

Classical de Sitter string backgrounds and the swampland

David ANDRIOT

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Based on arXiv:1902.10093

arXiv:2004.00030 (with N. Cribiori, D. Erkingen)

arXiv:2005.12930, 2006.01848 (with P. Marconnet, T. Wrase)

Introduction

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De Sitter solutions: 4d de Sitter space-time, $\mathcal{R}_4 = 4\Lambda > 0$.

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(Quasi) de Sitter solutions in cosmological models, \checkmark observ.
Appear in periods of accelerated expansion, where
dark energy: (approx.) Λ
Late universe Λ CDM, early univ. (slow roll single field) inflation

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\leftrightarrow de Sitter solutions in fundamental theory/quantum gravity?

In string theory: difficult to get well-controlled de Sitter
solutions

U. H. Danielsson, T. Van Riet [[arXiv:1804.01120](https://arxiv.org/abs/1804.01120)]

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U. H. Danielsson, T. Van Riet [arXiv:1804.01120]

Various approaches, perturbative or not

S. Kachru, R. Kallosh, A. D. Linde, S. P. Trivedi [hep-th/0301240],
V. Balasubramanian, P. Berglund, J. P. Conlon, F. Quevedo [hep-th/0502058]

Here: focus on classical regime,
i.e. **classical de Sitter string backgrounds.**

D. A. [arXiv:1902.10093]

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Classical de Sitter string backgrounds

Motivation: “simple” well-defined framework, good chances to control approximations.

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In practice: solutions of 10d supergravity (low energy classical EFT of string theory)

$$10\text{d} = \mathbf{4\text{d de Sitter}} \times 6\text{d compact space } \mathcal{M}$$

+ fluxes + D_p -branes, orientifold O_p -planes

Equivalent 4d effective description with a scalar potential V
 \Rightarrow (classical) de Sitter solutions? **Well-posed question.**

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Equivalent 4d effective description with a scalar potential V
 \Rightarrow (classical) de Sitter solutions? **Well-posed question.**

Cost to simplicity: not many ingredients at hand, very constrained framework, many no-go theorems.
 \rightarrow very difficult to find such solutions, none is known up-to-date, but not excluded

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Another take: the **Swampland Program**.

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Another take: the **Swampland Program**.

→ aims at characterising what EFT can be obtained from string theory: part of the **landscape**

≠ models that cannot be coupled consistently to quantum gravity: part of the **swampland**

Example: inflation models: in the landscape or the swampland?

→ useful to distinguish among them

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List of proposed criteria/characterisations of EFT:

E. Palti [arXiv:1903.06239]

T. D. Brennan, F. Carta, C. Vafa [arXiv:1711.00864]

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Conjectured answer: **no**, in asymptotic regime, e.g. classical...

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Can one trust these swampland criteria/conjectures?

→ Discuss, test, refine, prove them...

De Sitter: **test them** very accurately! Comparison to no-go theorems

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Incomplete list of more recent references

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- F. Marchesano, D. Prieto, J. Quirant, P. Shukla [arXiv:2007.00672],
S. Brahma, K. Dasgupta, R. Tatar [arXiv:2007.00786],
M. Cicoli, G. Dibitetto, F. G. Pedro [arXiv:2007.11011],
S. Brahma, K. Dasgupta, R. Tatar [arXiv:2007.11611],
F. Farakos, G. Tringas, T. Van Riet [arXiv:2007.12084],
I. Basile, S. Lanza [arXiv:2007.13757],
V. Basiouris, G. K. Leontaris [arXiv:2007.15423],
M. Gunaydin, R. Kallosh, A. Linde, Y. Yamada [arXiv:2008.01494],
A. Bedroya, M. Montero, C. Vafa, I. Valenzuela [arXiv:2008.07555],
M. Dine, J. A. P. Law-Smith, S. Sun, D. Wood, Y. Yu [arXiv:2008.12399],
S. Banerjee, U. Danielsson, S. Giri [arXiv:2009.01597],
F. Farakos, A. Kehagias, N. Liatsos [arXiv:2009.03335],
H. Bernardo, S. Brahma, K. Dasgupta, R. Tatar [arXiv:2009.04504],
P. Agrawal, S. Gukov, G. Obied, C. Vafa [arXiv:2009.10077],
I. Bena, G. Bruno De Luca, M. Grana, G. Lo Monaco [arXiv:2010.05936],
A. Bedroya [arXiv:2010.09760],
S. Bansal, S. Nagy, A. Padilla, I. Zavala [arXiv:2010.13758],
C. Crinò, F. Quevedo, R. Valandro [arXiv:2010.15903],
V. Aragam, S. Paban, R. Rosati [arXiv:2010.15933],
C. P. Burgess, S. P. de Alwis, F. Quevedo [arXiv:2011.03069],

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Classical de Sitter solutions

Two steps:

1.

2.

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Two steps:

1. find 10d (type II) supergravity de Sitter solution

Very constrained, no-go theorems

Find some:

C. Caviezel, P. Koerber, S. Kors, D. Lüst, T. Wrase, M. Zagermann [arXiv:0812.3551],

R. Flauger, S. Paban, D. Robbins, T. Wrase [arXiv:0812.3886],

C. Caviezel, T. Wrase, M. Zagermann [arXiv:0912.3287],

U. H. Danielsson, P. Koerber, T. Van Riet [arXiv:1003.3590],

U. H. Danielsson, S. S. Haque, P. Koerber, G. Shiu, T. Van Riet, T. Wrase [arXiv:1103.4858],

C. Roupec, T. Wrase [arXiv:1807.09538],

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C. Roupec, T. Wrase [arXiv:1807.09538],

D. A., P. Marconnet, T. Wrase [arXiv:2005.12930]

2. verify that in classical string regime: small g_s , large volume...

C. Roupec, T. Wrase [arXiv:1807.09538],

D. Junghans [arXiv:1811.06990],

A. Banlaki, A. Chowdhury, C. Roupec, T. Wrase [arXiv:1811.07880],

D. A. [arXiv:1902.10093],

T. W. Grimm, C. Li, I. Valenzuela [arXiv:1910.09549],

D. A., P. Marconnet, T. Wrase [arXiv:2006.01848]

↪ no solution left!

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No-go theorems, parameter space, and new solutions

Existence of de Sitter solutions

5 supergravity equations (e.o.m., BI) \rightarrow constraints:

T. Wrase, M. Zagermann [arXiv:1003.0029], G. Shiu, Y. Sumitomo [arXiv:1107.2925]

D. A., J. Blåbäck, [arXiv:1609.00385], D. A. [arXiv:1710.08886]

D. A. [arXiv:1807.09698], [arXiv:1902.10093]

p	A de Sitter solution requires $T_{10} > 0$ and	
	$\mathcal{R}_6 \geq 0$	$\mathcal{R}_6 < 0$
3	×	×
4	×	??
5	×	??
6	×	??
7	×	×
8	×	×
9	×	×

Excluded in many cases. Small corner of parameter space left:
 $O_p (T_{10} > 0), \mathcal{R}_6 < 0, p = 4, 5, 6, F_{6-p} \neq 0, +$ more restrictions.

No-go theorems, parameter space, and new solutions

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\leftrightarrow Look for IIB de Sitter solutions with intersecting O_5/D_5

D. A., P. Marconnet, T. Wrase [arXiv:2005.12930]

We found **17 new de Sitter solutions**.

Stability

All previously known 10d supergravity de Sitter solutions are pert. **unstable**: 4d tachyon, maximum of a 4d potential. Many works on understanding/proving this property (+ swampland conj.). Not fully established → test stability

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Appropriate tools (4d effective **potential** + kin. terms)

$$\mathcal{S} = \int d^4x \sqrt{|g_4|} \left(\frac{M_p^2}{2} \mathcal{R}_4 - \frac{M_p^2}{2} \left(\frac{3}{2\rho^2} (\partial\rho)^2 + \frac{2}{\tau^2} (\partial\tau)^2 \right. \right. \\ \left. \left. + 12 \left(\frac{1}{\sigma_1^2} (\partial\sigma_1)^2 + \frac{1}{\sigma_2^2} (\partial\sigma_2)^2 - \frac{1}{\sigma_1\sigma_2} \partial_\mu\sigma_1 \partial^\mu\sigma_2 \right) \right) - V(\rho, \tau, \sigma_1, \sigma_2) \right)$$

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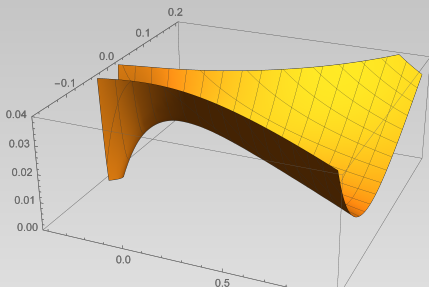
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↪ all **17 solutions are pert. unstable**.



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$$\eta_V \in [-2.9703, -1.7067]$$

\hookrightarrow not good for slow-roll single field inflation.

Multi-field inflation with non-geodesic motion or strong bending?

A. R. Brown [arXiv:1705.03023],

S. Garcia-Saenz, S. Renaux-Petel, J. Ronayne [arXiv:1804.11279],

A. Achucarro, G. A. Palma [arXiv:1807.04390],

T. Bjorkmo, M. D. Marsh [arXiv:1901.08603]

To some extent attempted in

V. Aragam, S. Paban, R. Rosati [arXiv:2010.15933]

Classical regime of string theory

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D. A., P. Marconnet, T. Wrase [[arXiv:2006.01848](#)]

A 10d supergravity solution: a classical string background?

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↔ **5** (sufficient) **requirements**: small g_s , etc.

Applied these checks on 2 solutions: **failed**.

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- Analysis very complete.
Goes beyond what has been done before in literature.

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Why not working? A general property of string theory?

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- A very constrained problem.
Move/**deform** in one direction in parameter/moduli space
→ hit a bound.
↔ classical de Sitter solutions live *at best* in a **bounded region** of parameter space. Probably not in asymptotics (see swampland conjectures).

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Summary:

- No-gos
- Remaining region → find de Sitter supergravity solutions
- Classical regime analysis

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Testing swampland de Sitter conjectures

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Several swampland conjectures that forbid (classical) de Sitter solutions.

De Sitter swampland conjecture: (initial version)

G. Obied, H. Ooguri, L. Spodyneiko, C. Vafa, [[arXiv:1806.08362](https://arxiv.org/abs/1806.08362)]

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Consider a 4d theory of minimally coupled scalars ϕ^i

$$\mathcal{S} = \int d^4x \sqrt{|G_4|} \left(\frac{M_p^2}{2} \mathcal{R}_4 - \frac{1}{2} g_{ij}(\phi) \partial_\mu \phi^i \partial^\mu \phi^j - V(\phi) \right)$$

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Criterion: if NOT in the swampland, one has:

- $|\nabla V| \geq \frac{c}{M_p} V$ at any point in field space
with $c > 0$, $|\nabla V| = \sqrt{g^{ij} \partial_{\phi^i} V \partial_{\phi^j} V}$
- $c \sim O(1)$

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with $c > 0$, $|\nabla V| = \sqrt{g^{ij} \partial_{\phi^i} V \partial_{\phi^j} V}$
- $c \sim O(1)$

\Rightarrow extremum: $|\nabla V|_0 = 0 \Rightarrow V|_0 \leq 0$

\hookrightarrow no de Sitter solution for a theory coming from string theory.

+ more consequences, for e.g. inflation...

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Refined de Sitter conjectures

Various criticisms:

- example based (e.g. no-go theorems)/deeper physical reason?
- **what is c ?**
- allow for maxima!

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Refined de Sitter conjectures

Various criticisms:

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- what is c ?
- allow for maxima!

↪ refinements:

- To include the notion of stability, i.e. V'' or η_V :

D. A. [arXiv:1806.10999],

S. K. Garg, C. Krishnan [arXiv:1807.05193],

H. Ooguri, E. Palti, G. Shiu, C. Vafa [arXiv:1810.05506],

D. A., C. Roupec [arXiv:1811.08889],

T. Rudelius [arXiv:1905.05198]

- No de Sitter solution in asymptotics of moduli space, e.g. classical regime(?)

H. Ooguri, E. Palti, G. Shiu, C. Vafa [arXiv:1810.05506]

Reminiscent/generalization of Dine-Seiberg argument.

M. Dine, N. Seiberg *Phys. Lett. B* **162** (1985) 299

$$\frac{|\nabla V|}{V} \geq \frac{c}{M_p} \text{ for } \varphi \rightarrow \infty.$$

TCC: Trans-Planckian Censorship Conjecture

A. Bedroya, C. Vafa [arXiv:1909.11063]

→ see talk by R. Brandenberger

Conjectured physical argument on trans-Planckian modes

↔ scalar field φ and potential, in 4d (with $M_p = 1$):

$$0 < V(\varphi) < A e^{-c_0 \varphi} \quad \Rightarrow \quad \left\langle \frac{|V'|}{V} \right\rangle_{\varphi \rightarrow \infty} \geq c_0 = \sqrt{\frac{2}{3}}$$

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Compare to $\frac{|\nabla V|}{V} \geq c$:

⇒ gives a **physical motivation** for such an inequality

⇒ gives a **number!**

⇒ **asymptotic limit** is crucial

$$\text{TCC bound: } c \geq c_0 = \sqrt{\frac{2}{3}} \quad \text{in 4d}$$

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A. Bedroya, C. Vafa [arXiv:1909.11063]

→ see talk by R. Brandenberger

Conjectured physical argument on trans-Planckian modes

↔ scalar field φ and potential, in 4d (with $M_p = 1$):

$$0 < V(\varphi) < A e^{-c_0 \varphi} \quad \Rightarrow \quad \left\langle \frac{|V'|}{V} \right\rangle_{\varphi \rightarrow \infty} \geq c_0 = \sqrt{\frac{2}{3}}$$

Compare to $\frac{|\nabla V|}{V} \geq c$:

⇒ gives a **physical motivation** for such an inequality

⇒ gives a **number!**

⇒ **asymptotic limit** is crucial

$$\text{TCC bound: } c \geq c_0 = \sqrt{\frac{2}{3}} \quad \text{in 4d}$$

Consequences on V'' and stability...

Bound on lifetime, related to scrambling time in de Sitter.

L. Aalsma, G. Shiu [arXiv:2002.01326],

A. Bhattacharyya, S. Das, S. Shajidul Haque, B. Underwood [arXiv:2005.10854]

Testing conjectures with no-go theorems

“Parameter space” for classical de Sitter solutions:

p	A de Sitter solution requires $T_{10} > 0$ and	
	$\mathcal{R}_6 \geq 0$	$\mathcal{R}_6 < 0$
3	×	×
4	×	??
5	×	??
6	×	??
7	×	×
8	×	×
9	×	×

In remaining corner, reason preventing us from accessing classical regime?

No clear no-go theorem formulation of this...

↔ focus on all other no-go theorems

9 no-go theorems (for parallel D_p/O_p)

p	A de Sitter solution requires $T_{10} > 0$ (1.) and	
	$\mathcal{R}_6 \geq 0$	$\mathcal{R}_6 < 0$
3	(4.)	
4	(3.)	F_{6-p} (2.),
5		$f^{\parallel \perp \perp}$ (5.), (6.), (9.), $f^{\perp \perp \parallel}$ (7.), (8.),
6		linear combi (5.), (6.)
7	(2.), (3.)	(2.)
8		
9		

(number.) = no-go theorem;
entry = necessary ingredient

\Rightarrow put them in swampland conjecture format!

No-go theorem (2.): for $p = 7, 8$, or $p = 4, 5, 6$ & $F_{6-p} = 0$

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10d type II supergravities e.o.m.:

$$(p - 3) \mathcal{R}_4 = -2|H|^2 - g_s^2 \sum_{q=0}^6 (q + p - 8) |F_q|^2$$

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4d corresponding equations with $V(\rho, \tau)$:

$$\begin{aligned} & 4(p-3) \mathbf{V} + 2(p-4) \tau \partial_\tau \mathbf{V} + 4 \rho \partial_\rho \mathbf{V} \\ &= -\tau^{-2} \rho^{-3} 2|H|^2 - g_s^2 \sum_{q=0}^6 \tau^{-4} \rho^{3-q} (q+p-8) |F_q|^2 \leq 0 \end{aligned}$$

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Swampland format:

$$\Rightarrow \frac{|\nabla V|}{V} \geq \mathbf{c} = \sqrt{\frac{2(p-3)^2}{3 + (p-4)^2}}$$

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\Leftrightarrow **TCC bound**?!)

(no quantum gravity argument, no limit, no average... except in a swampland perspective...)

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\rightarrow all 9 no-go theorems...

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No-go number	Condition for the no-go	c
(1.)	$T_{10} \leq 0$	$\sqrt{2}$
(2.)	$p = 7, 8$, or $p = 4, 5, 6$ & $F_{6-p} = 0$	$\sqrt{\frac{2(p-3)^2}{3+(p-4)^2}} \geq \sqrt{\frac{2}{3}}$
(3.)	$\mathcal{R}_6 \geq 0, p \geq 4$	$\sqrt{\frac{2(p+3)^2}{3+p^2}} > 1$
(4.)	$p = 3$	$2\sqrt{\frac{2}{3}}$
(5.)	$\mathcal{R}_{ } + \mathcal{R}_{ }^{\perp} + \frac{\sigma^{-12}}{2} f_{ \perp\perp}^{\perp} ^2 \leq 0, p \geq 4$	$\sqrt{\frac{2(p-3)}{p-1}} \geq \sqrt{\frac{2}{3}}$
(6.)	$-2\rho^2 \sigma^{2(p-6)} (\mathcal{R}_{ } + \mathcal{R}_{ }^{\perp}) + H^{(2)} ^2 \leq 0$	$2\sqrt{\frac{2}{3}}$
(7.)	$\lambda \leq 0, p \geq 4$	$\sqrt{\frac{2}{3}}$
(9.)	$\exists a_{ }$ s.t. $f^{a_{ }ij} = 0 \forall i, j \neq a_{ }, p \geq 4$	$\sqrt{\frac{2}{3}}$

TCC bound always satisfied! Sometimes with saturation.

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Surprising quantitative verification of de Sitter
swampland conjectures (in this part of parameter space).

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In remaining corner of parameter space: failure to find classical
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Confidence in swampland conjectures: hint at a deeper reason?

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Confidence in swampland conjectures: hint at a deeper reason?
All swampland conjectures are related: a **web of conjectures**.
Translate the impossibility of getting classical de Sitter to
another conjecture?
↔ the **distance conjecture**...

Conclusion

- Connection to cosmology \rightarrow (quasi) **de Sitter string backgrounds**? \rightarrow **classical** ones (simplicity, well-controlled)
- Constrained by **no-go theorems** \rightarrow **a corner** of parameter space remains \rightarrow we look there and find new de Sitter solutions of 10d supergravity
- Thorough analysis of classical regime for these solutions \rightarrow failure, but close...

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- Answer from **de Sitter swampland conjectures**: \sim no de Sitter solution in asymptotic limit, $c \geq c_0$.
- A surprisingly good quantitative check with no-go theorems \rightarrow confidence in swampland conjectures?
Cosmological checks of $c_0 = \sqrt{\frac{2}{3}}$?

Conclusion

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Cosmological checks of $c_0 = \sqrt{\frac{2}{3}}$?
- **Connection to other conjectures**/criteria, e.g. the distance conjecture? Yes!
 \rightarrow translation of the obstruction against de Sitter to other properties of EFT
 \leftrightarrow better understanding?

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Swampland program: take home message:
list of conjectures may look arbitrary/not constructive
but tests, refinements, proofs: very precise, require expertise!
+ relations between conjectures are motivating

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Do **classical de Sitter** string backgrounds exist?

Tendency towards “no”, but not established, still trying!
Highlighted several directions where to make progress on this
important matter.

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Thank you for your attention!

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Back up slide

All swampland conjectures are related: a **web of conjectures**.
Translate the impossibility of getting classical de Sitter to
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↔ the **distance conjecture**: also involves large field
distances, and a parameter $\lambda \sim \mathcal{O}(1)$...

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Translate the impossibility of getting classical de Sitter to another conjecture?

↪ the **distance conjecture**: also involves large field distances, and a parameter $\lambda \sim \mathcal{O}(1)$...

Inspired by examples in literature + new quantitative tests of conj., **we proposed a bound**

$$4d : \quad \lambda \geq \lambda_0 = \frac{1}{2} \sqrt{\frac{2}{3}}, \quad \lambda_0 = \frac{1}{2} c_0$$

To justify bound on λ : **generalization** of distance conj.

To justify relation to c_0 : **relation** between conj. $\frac{m}{m_i} \simeq \left| \frac{V}{V_i} \right|^{\frac{1}{2}}$.

↪ translates the “no de Sitter” into asymptotic bound on m .

A new perspective.