

# Normalising Flows as Trivialising Maps for Lattice $\phi^4$ Theory

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Path integrals  $\rightarrow$  correlations

$$\langle \mathcal{O}_0[\Psi] \mathcal{O}_1[\Psi] \dots \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}\Psi e^{-iS_{\text{mink}}[\Psi]} \mathcal{O}_0(\Psi) \mathcal{O}_1(\Psi) \dots$$

Non-perturbative problems:

- Confinement mechanism
- Origin of mass  
(Reasonably important)

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Lattice Field Theory

- Wick rotate  $t \rightarrow -i\tau$ ,  $S_{\text{mink}} \rightarrow iS_{\text{eucl}}$
- Discretise space-time onto lattice  $\Lambda$

$$\langle \mathcal{O}_0[\Psi] \mathcal{O}_1[\Psi] \dots \rangle = \frac{1}{\mathcal{Z}} \int \prod_{x \in \Lambda} d\Psi_x e^{-S_{\text{eucl}}(\Psi)} \mathcal{O}_0(\Psi) \mathcal{O}_1(\Psi) \dots$$

Valid probability distribution if  $e^{-S_{\text{eucl}}(\Psi)}$  real & positive

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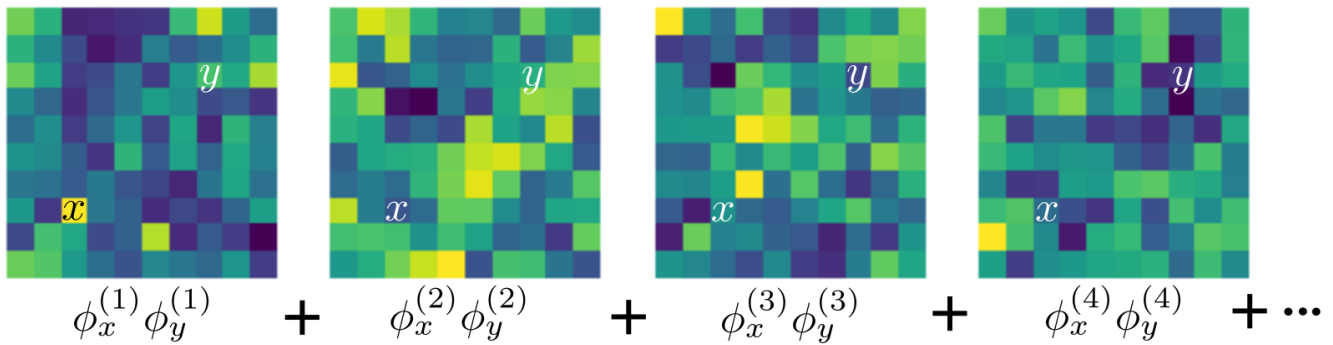
Example:  $\phi^4$  theory in  $d = 2$  ( $a := 1$ )

$$S(\phi) = \sum_{x \in \Lambda} \left[ -\beta \sum_{\mu=1}^2 \phi_{x+\epsilon_\mu} \phi_x + \phi_x^2 + \lambda(\phi_x^2 - 1)^2 \right]$$

$\lambda \rightarrow \infty$  is the Ising model

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Example: two point correlation



$$\langle \phi_x \phi_y \rangle = \frac{1}{N} \sum_{n=1}^N \phi_x^{(n)} \phi_y^{(n)} + O(N^{-\frac{1}{2}})$$

How to generate samples?

Stochastic process whose limiting distribution is  $e^{-S}$

$$A(\phi^{(t)} \rightarrow \phi^{(t+1)}) = \frac{q(\phi^{(t)} | \phi^{(t+1)}) e^{-S(\phi^{(t+1)})}}{q(\phi^{(t+1)} | \phi^{(t)}) e^{-S(\phi^{(t)})}}$$

Get (wrong kind of) correlations  $\phi^{(t)} \sim \phi^{(t+1)}$

Errors ~ effective sample size

$$N_{\text{eff}} = \frac{N}{2\tau_{\text{int}}}$$

$$\tau_{\text{int}} = \frac{1}{2} \sum_{t=-\infty}^{\infty} \frac{\Gamma(t)}{\Gamma(0)}$$

$$\Gamma(t) = \langle (\phi_x^{(t)} \phi_y^{(t)}) (\phi_x^{(0)} \phi_y^{(0)}) \rangle - \langle \phi_x \phi_y \rangle$$

It gets worse...

$$\tau_{\text{int}} \sim \xi^z$$

"Critical slowing down" towards continuum limit 🧑

Generative models



<https://thispersondoesnotexist.com/> (GAN)

Joint (correlated) probability distributions which we can model (fit training examples)

Generate new "synthetic" samples  $\sim \tilde{p}_\theta(\phi)$

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Other uses of generative models

- As classifiers
  - Data augmentation (without storage issues)
  - Data interpolation
  - Procedural generation (e.g. graphics)
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Recent idea (Albergo et al. 1904.12072)

$$A(\phi^{(t)} \rightarrow \phi^{(t+1)}) = \frac{\tilde{p}_\theta(\phi^{(t)})}{\tilde{p}_\theta(\phi^{(t+1)})} \frac{e^{-S(\phi^{(t+1)})}}{e^{-S(\phi^{(t)})}}$$

Independent proposals  $\rightarrow$  rejections *only* source of autocorrelation

Corrects for "mistakes" in model (underfitting)

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Candidate models

- Autoregressive models (expensive)

$$\tilde{p}_\theta(\phi) = \prod_i q_\theta^{(i)}(i | j < i)$$

- Variational autoencoders (intractable)

$$\tilde{p}_\theta(\phi) = \int dz \ell_\theta(z) r(z) dz$$

- GANs  $\tilde{p}_\theta(\phi) = ???$  (implicit)
- Normalising flows....

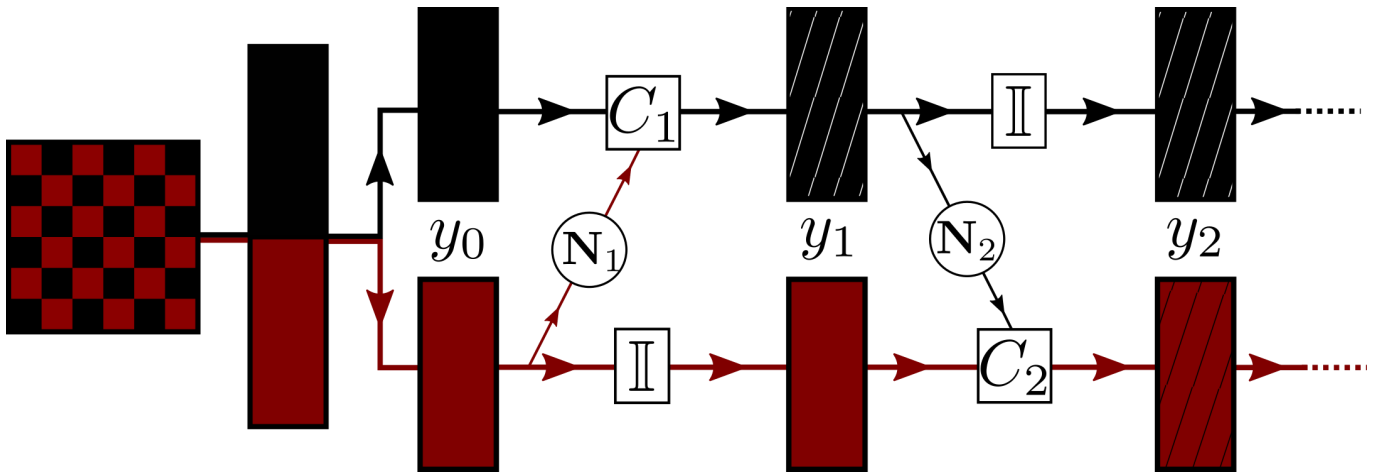
## Normalising flows

Model theory as bijection  $\phi = f_\theta(z)$  from uncorrelated latent variables

$$r(z) = \prod_{x \in \Lambda} \frac{1}{\sqrt{2\pi\sigma^2}} e^{-z_x^2/(2\sigma^2)}$$

$$\tilde{p}_\theta(f_\theta(z)) = r(z) \left| \det \frac{\partial f_\theta(z)}{\partial z} \right|$$

$f_\theta^{-1}$  is an (approximate) *trivialising map*



Correlations  $\rightarrow$  weights and biases ( $\theta$ ) of neural networks ( $\mathbf{N}$ )

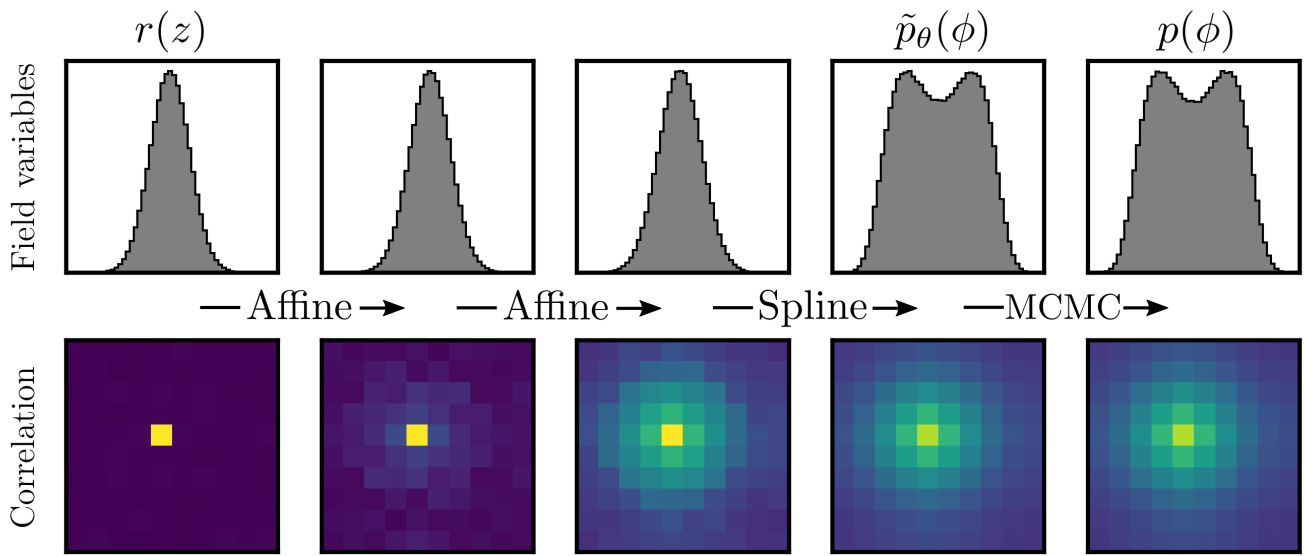
Training (fit parameteric model  $\tilde{S}_\theta$ )

$$D_{\text{KL}}(\tilde{p}_\theta \parallel p) = \int \prod_{x \in \Lambda} d\phi_x \tilde{p}_\theta(\phi) \log \frac{\tilde{p}_\theta(\phi)}{p(\phi)}$$

$$\hat{D}_{\text{KL}}(\tilde{p}_\theta \parallel p) = \mathbb{E}_{z \sim r(z)} \left[ S(f_\theta(z)) - \log \left| \det \frac{\partial f_\theta(z)}{\partial z} \right| \right] + \dots$$

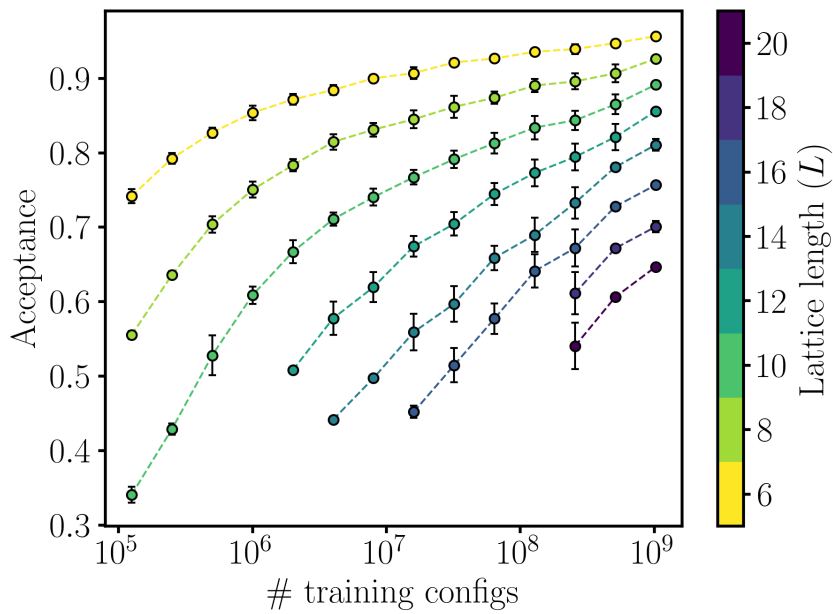
Doesn't require existing training examples!

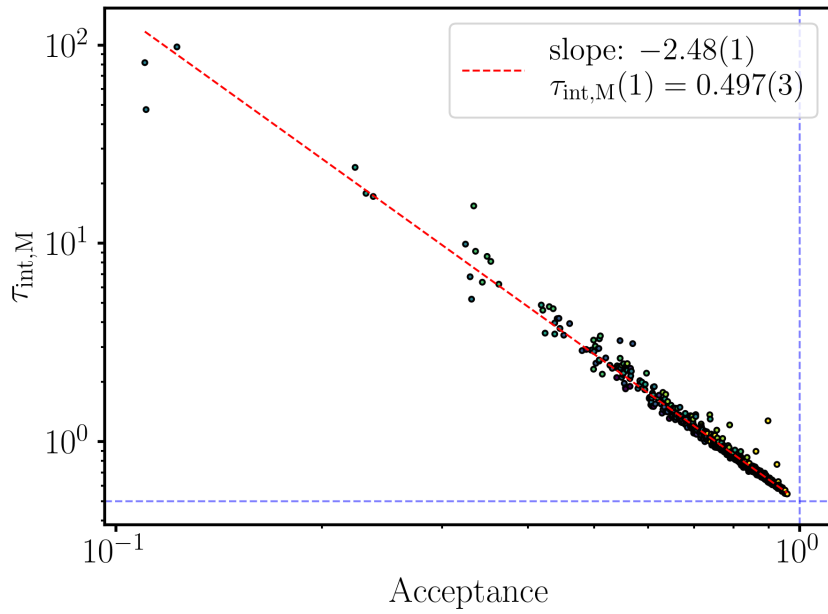
Example of trained model



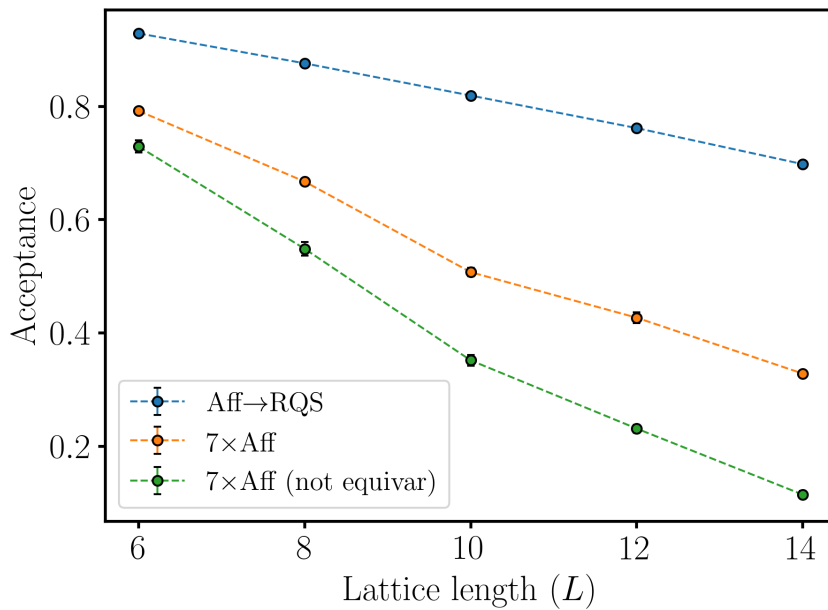
Does it work?

- Want high acceptance rate  $\mathbb{E}_{\phi, \phi' \sim \tilde{p}_\theta} [A(\phi \rightarrow \phi')]$
- Integrated autocorrelation time  $\tau_{\text{int}} \approx \xi$





Our models learn much more efficient representations than original...



...but still poor scaling out-of-the-box.

Reasons to get excited

- Large improvements from finding efficient representation
- Highly parallelisable approach (large batch training)

- Low memory requirements (shallow neural networks)
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### Reasons to go back to sleep

- Scaling sucks
  - $\phi^4$  is 'easy'
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### Next steps

- Scaling: exploit symmetries to reduce redundancy
  - Scaling: hierarchical / multigrid approaches
  - Physics: non-trivial topology e.g.  $\mathbb{C}\mathbb{P}^{N-1}$  models
  - Physics: incorporate ideas from machine-learned RG
  - Physics: test recently developed algorithms for gauge fields
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### The bigger picture

<b>Lattice field theories</b>	<b>Real data</b>
Local interactions	<input checked="" type="checkbox"/>
Exact symmetries	~
Renormalisable	~
Correlations over multiple scales	<input checked="" type="checkbox"/>
Emergent phenomena	<input checked="" type="checkbox"/>

Can we use LFT as a test bed where we learn how to *efficiently* encode these properties into machine learning models?

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Thanks

Questions? 🙄

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