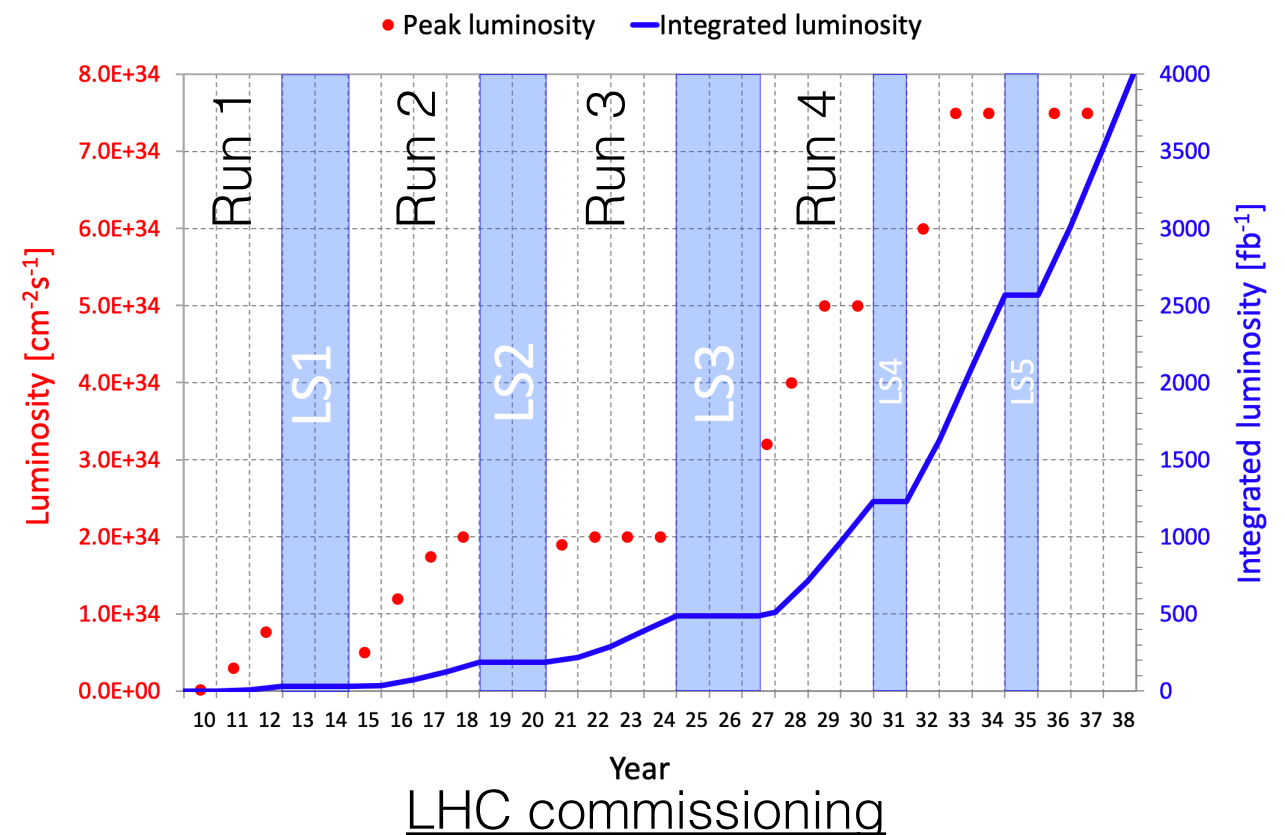
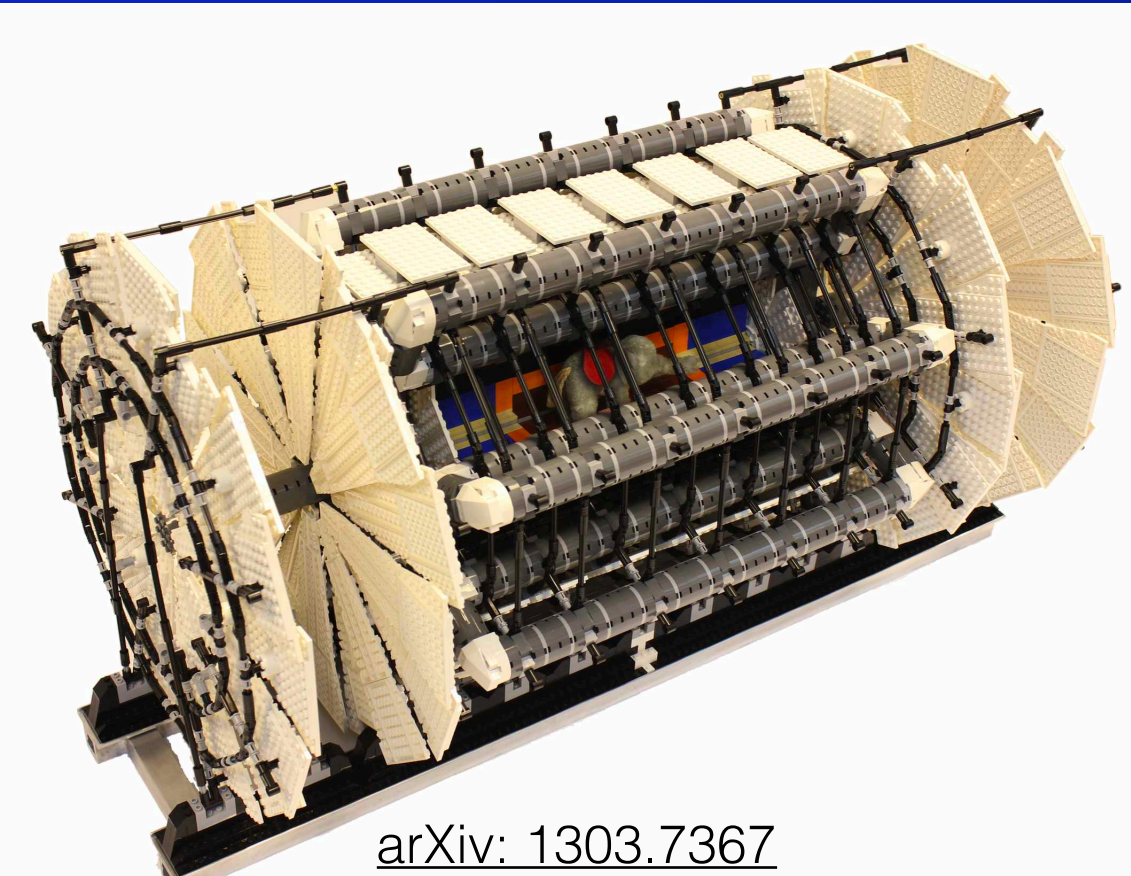


# Fast pattern recognition for ATLAS track triggers in HL-LHC

22.04.20

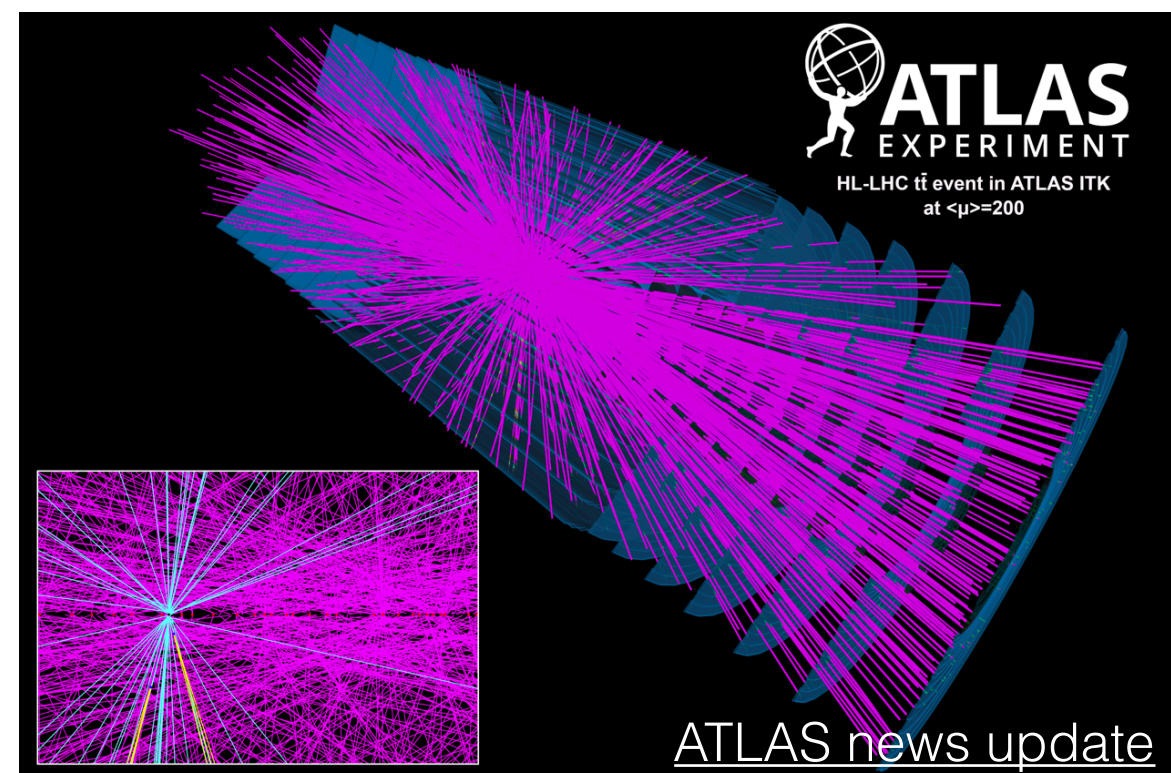
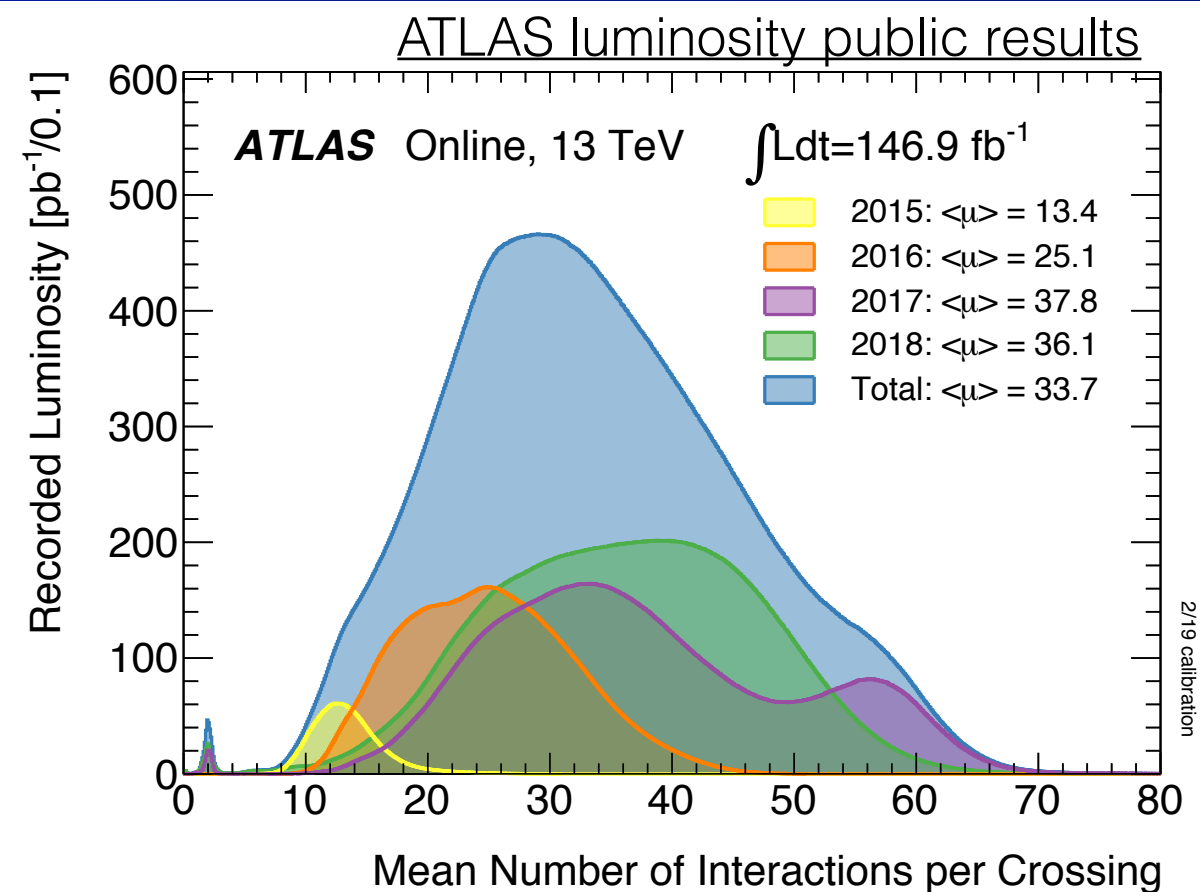
Will Kalderon (BNL)  
on behalf of the ATLAS Collaboration



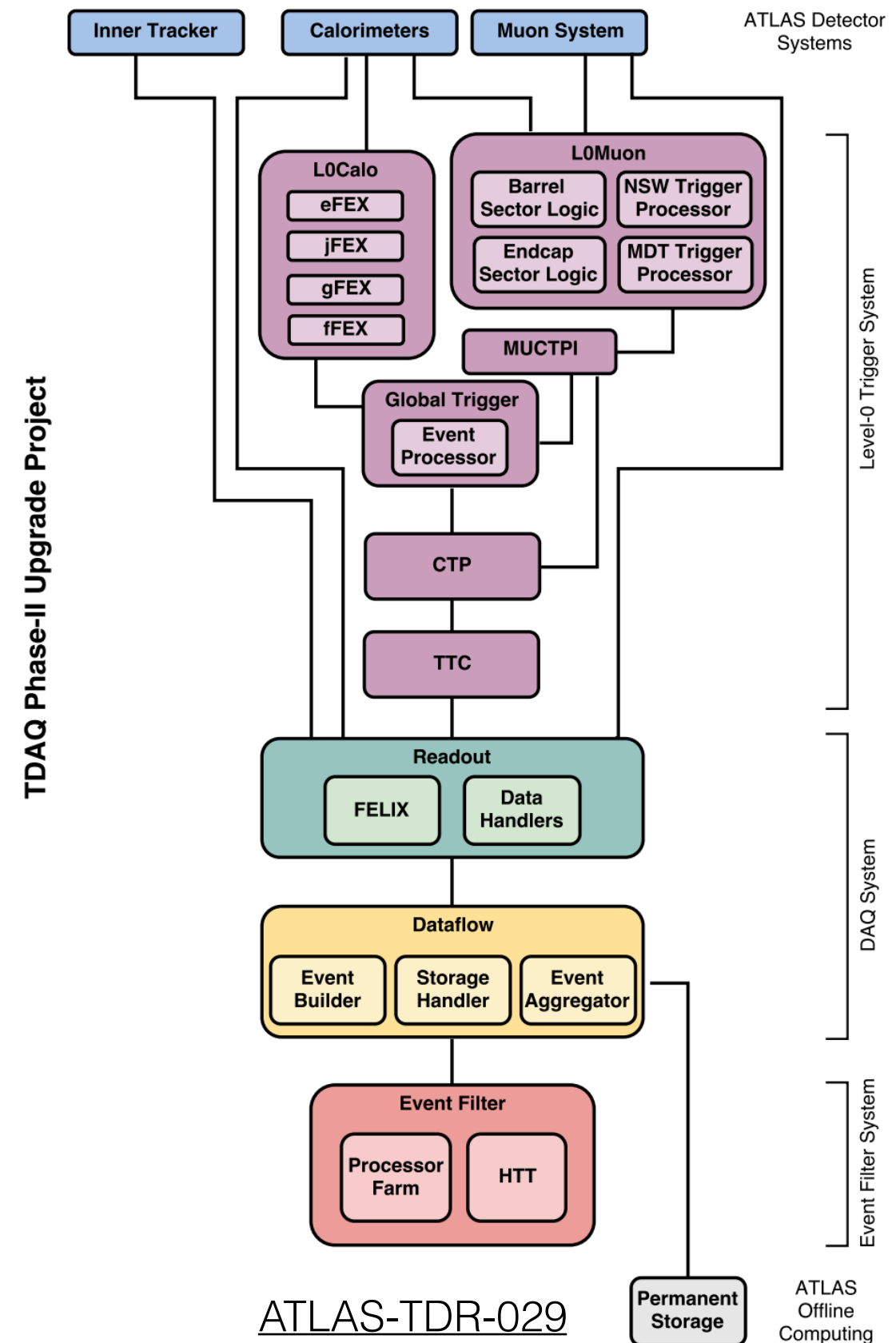
- ATLAS: large general-purpose LHC experiment
- To keep pushing research program, need an ever-increasing flow of data
  - Leaving rate constant would render each year increasingly less useful statistically
- High-Luminosity LHC: large increase in instantaneous luminosity -> more p-p collisions per second



- More p-p collisions but same bunch spacing -> more collisions per bunch crossing
- Peaked around 70 in Run 2, Run 4 may start around 140 and increase to 200
- Need much more capable tracking hardware: will replace entire inner detector with a new “inner tracker” (ITk)

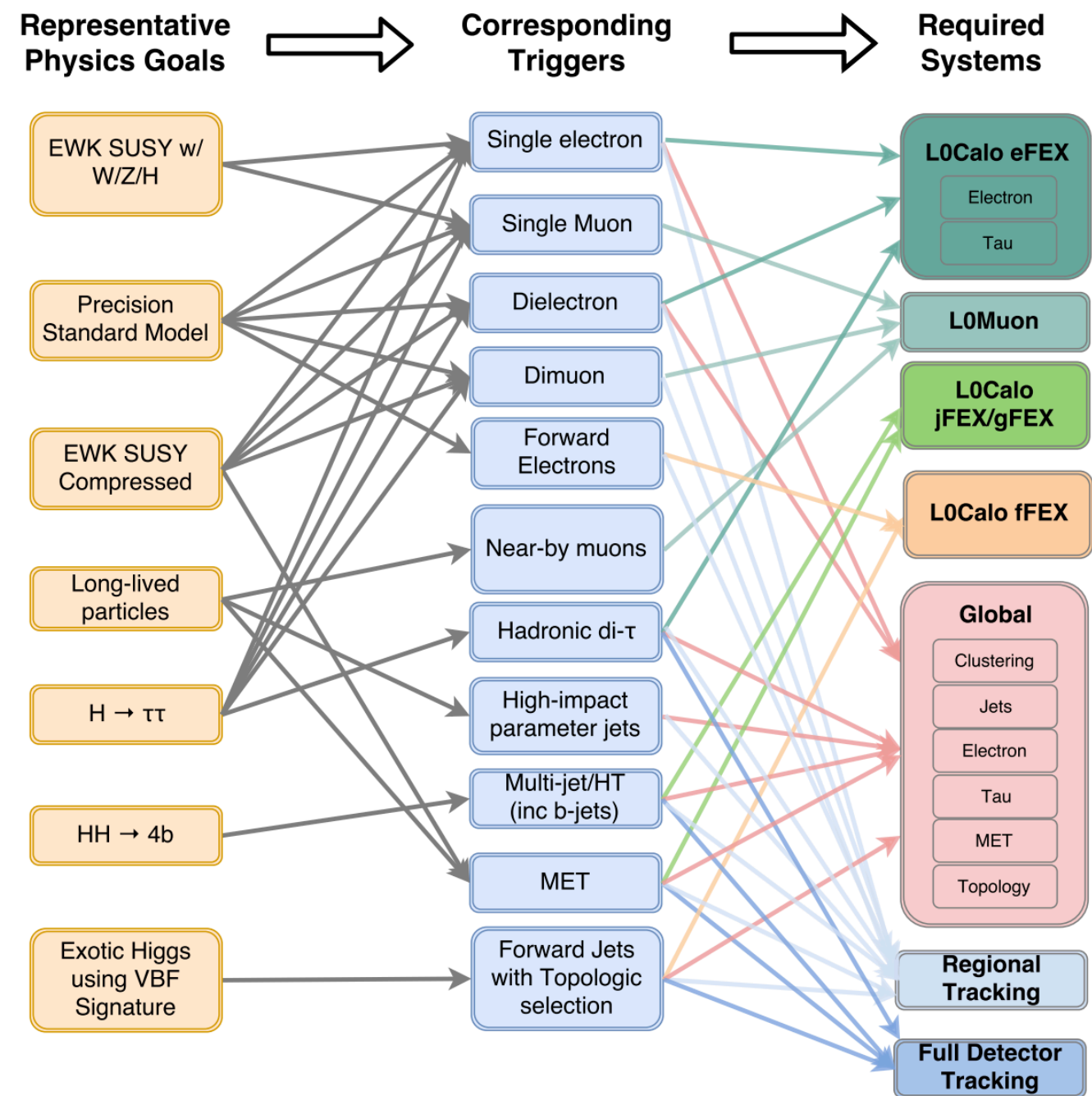


- Huge p-p collision rate is only useful if we can record the information we want
- Planned upgrades to trigger system: ATLAS-TDR-029
- Multi-stage system, progressively more detailed information and more time to make decision
  - 40 MHz input rate
  - Level-0: 1 MHz output, 10  $\mu$ s latency
  - Event Filter: 10 kHz output (full events)



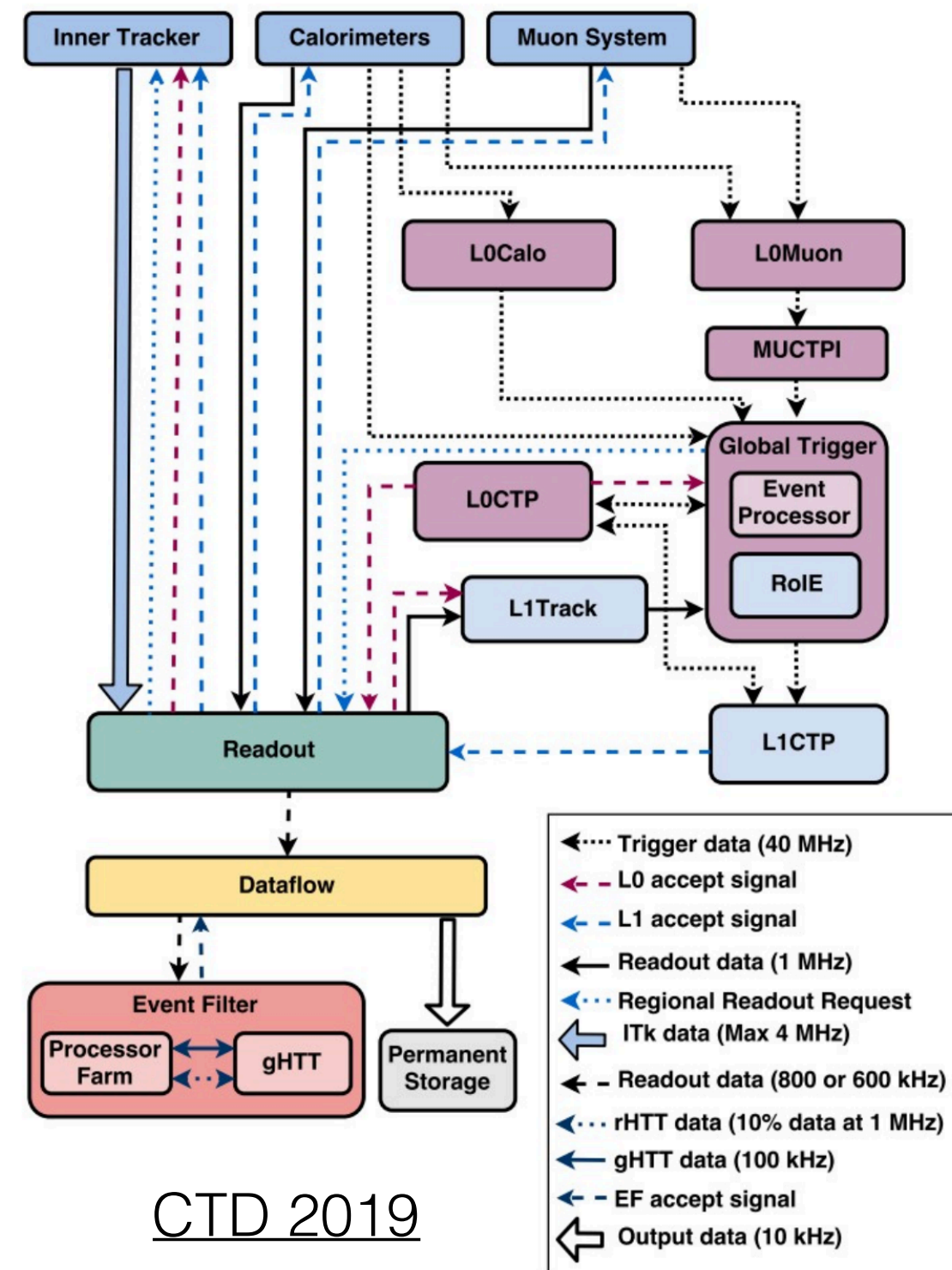


- Tracking is essential for Event Filter trigger performance
  - Particle flow identification
  - Missing transverse momentum
  - Jet tagging (b-jets, q/g, W/Z/h/top in large-R, ...)
  - Tau identification
  - ...
- Trigger system has limited latency and finite computing power: any tracking done has to be fast
  - The slower it is, the more limited it has to be: higher  $p_T$ , reduced regions in  $\eta$  /  $z$  /  $d_0$

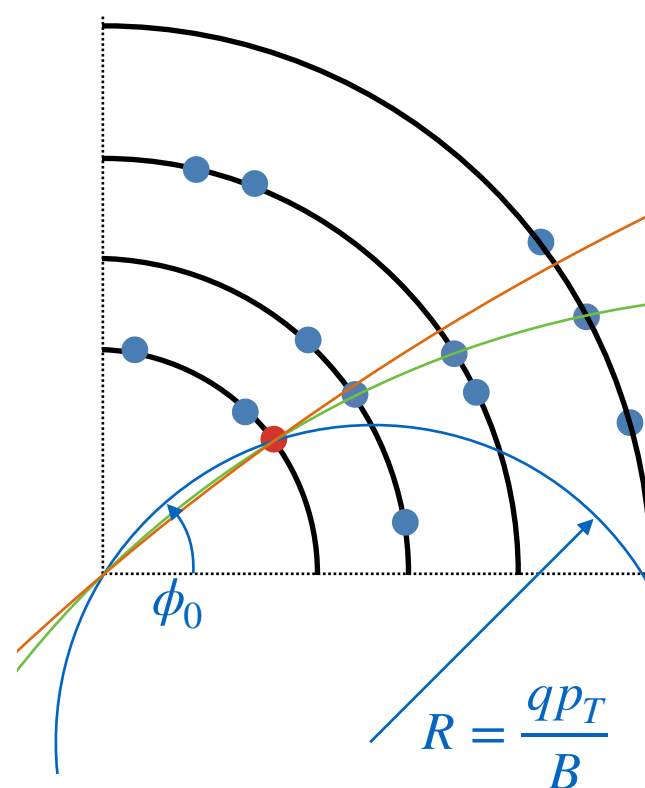
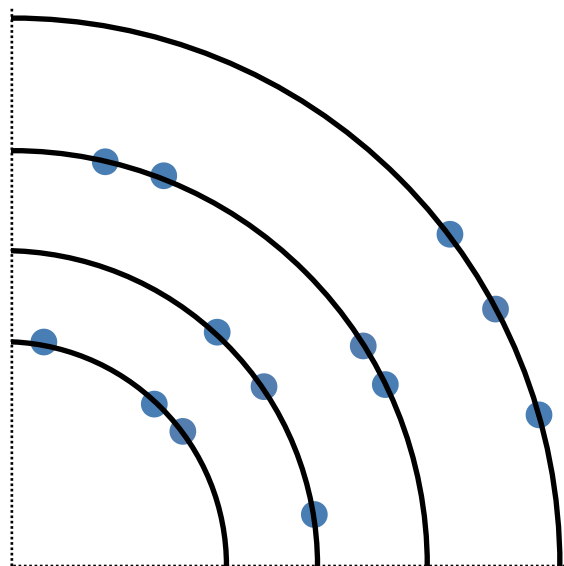


ATLAS-TDR-029

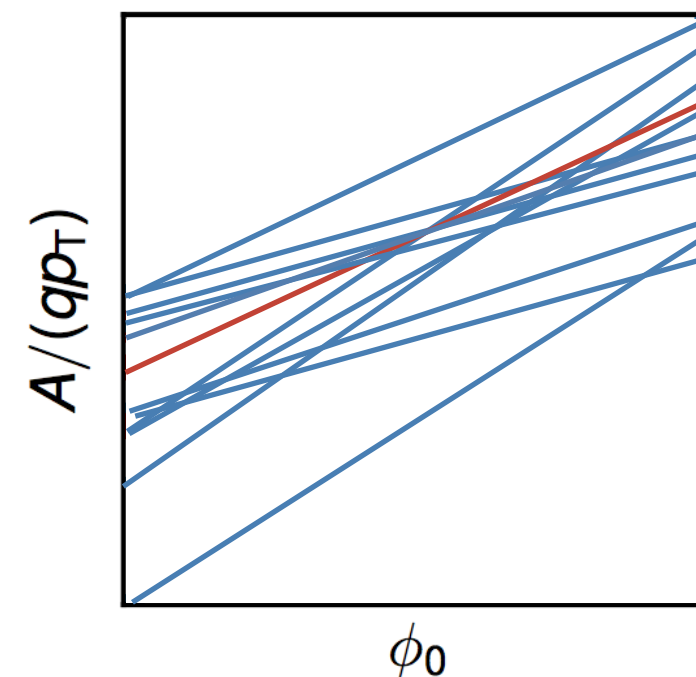
- Baseline ATLAS plan is to perform high-quality fast tracking with an associative-memory based Hardware Track Trigger processor
- Regional: L0 Rols
  - Event Filter, “rHTT”: 1 MHz, 2 GeV,
  - L1, “L1Track”, 4 MHz, 4 GeV
- Global, “gHTT”: 100 kHz, 1 GeV
- ATLAS is investigating alternative approaches, such as fully software tracking [[ATL-PHYS-PUB-2019-041](#)], or CPU-FPGA or CPU-GPU hybrid architectures
- This talk: fairly simple algorithms to seed tracking, amenable to running on FPGAs
  - Not contingent on particular architecture
  - Proposed architectures not contingent on them



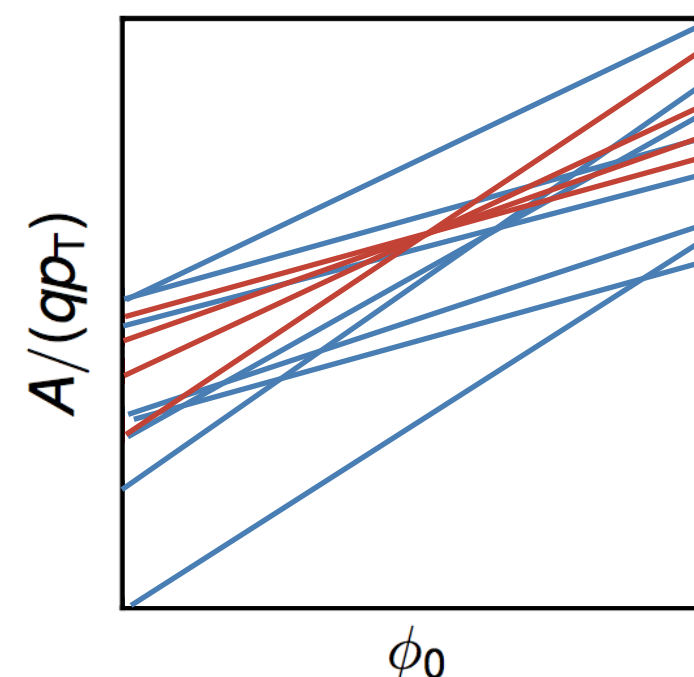
# Hough transform



$$r = 2R \sin(\phi_0 - \phi)$$

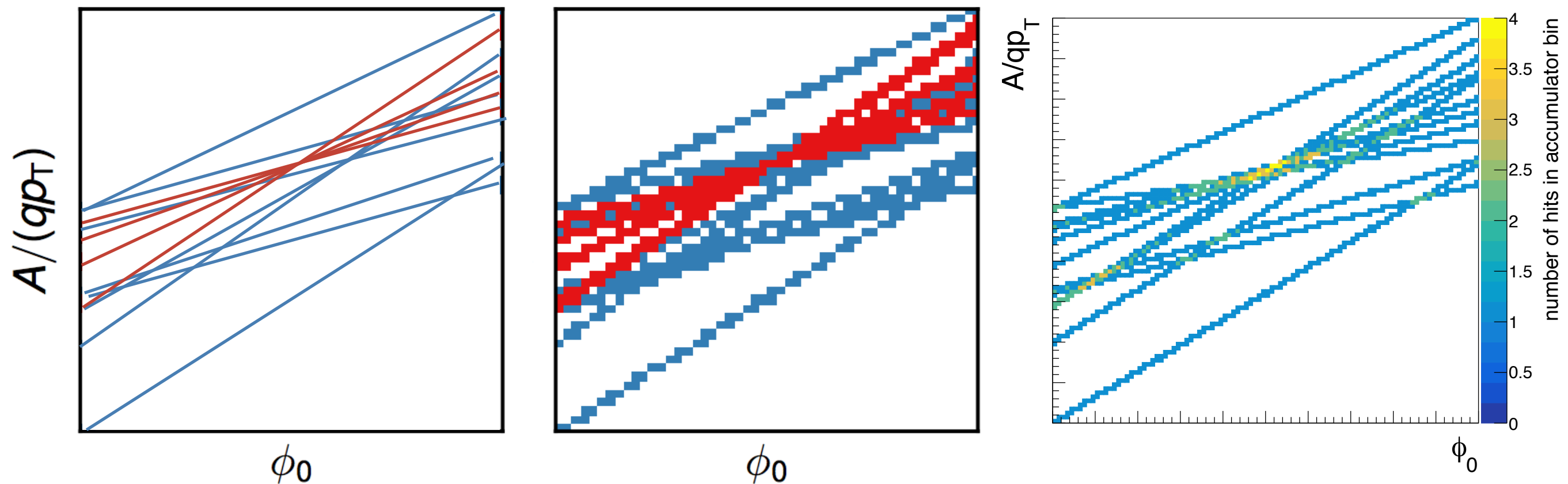


$$\frac{A}{qp_T} = \frac{1}{r} \sin(\phi_0 - \phi)$$

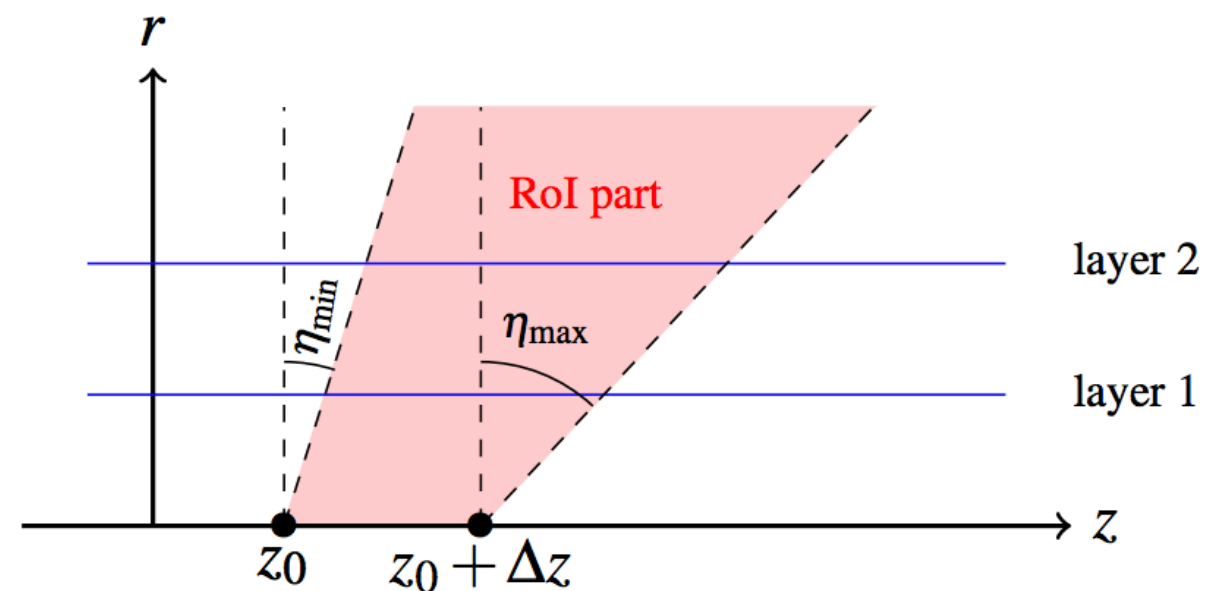


- Image-processing technique to find circles [Duda & Hart, J. Gradin et al 2018 JINST 13 P04019]
- Maps each hit to all circles through that point and the origin, straight lines for high- $p_T$  tracks
- Intersection points of these lines = circles compatible with all hits

# Hough transform, II



- Find intersections of lines using 2D “accumulator” histograms
- Split into multiple eta regions to reduce accumulator density
- Gives initial pass of track parameters and hits that seeded them

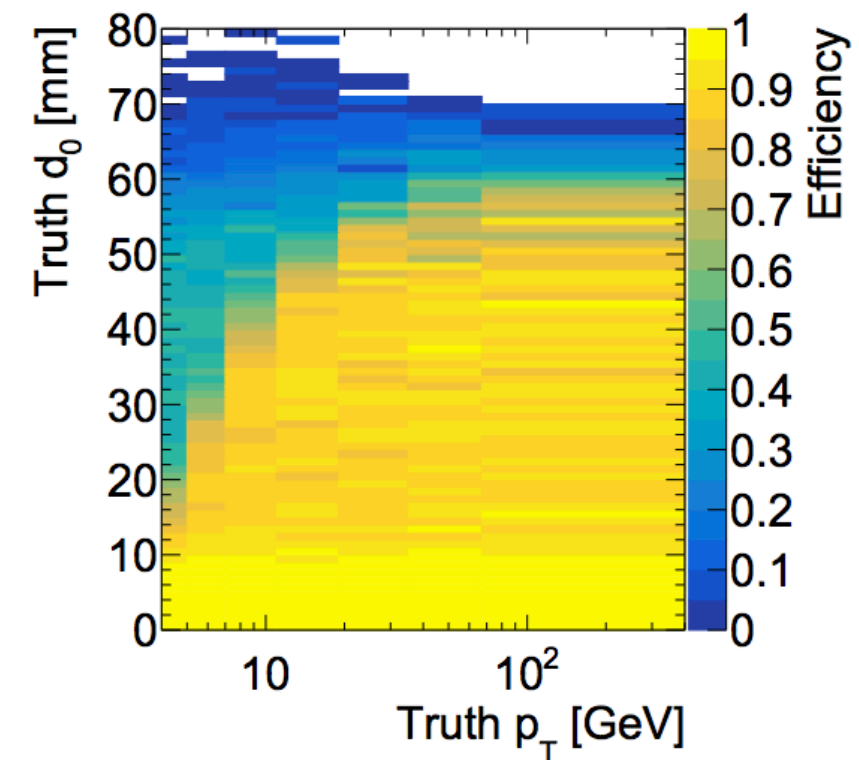
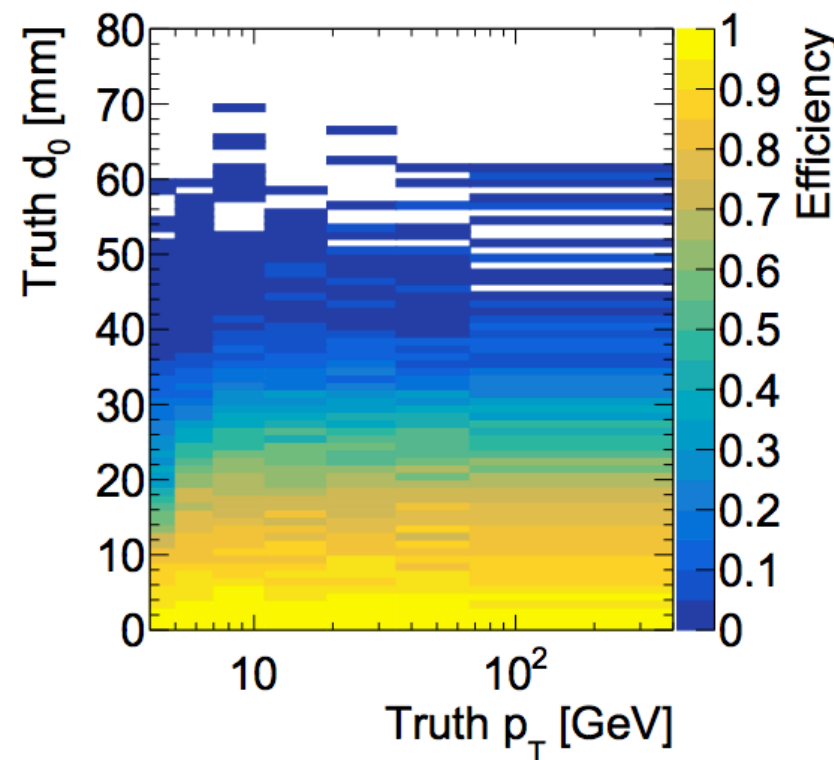
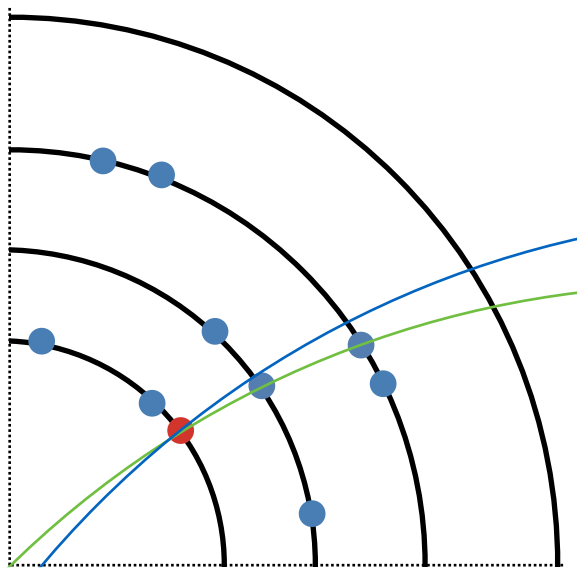


ATL-DAQ-PROC-2016-034



# Hough transform, II

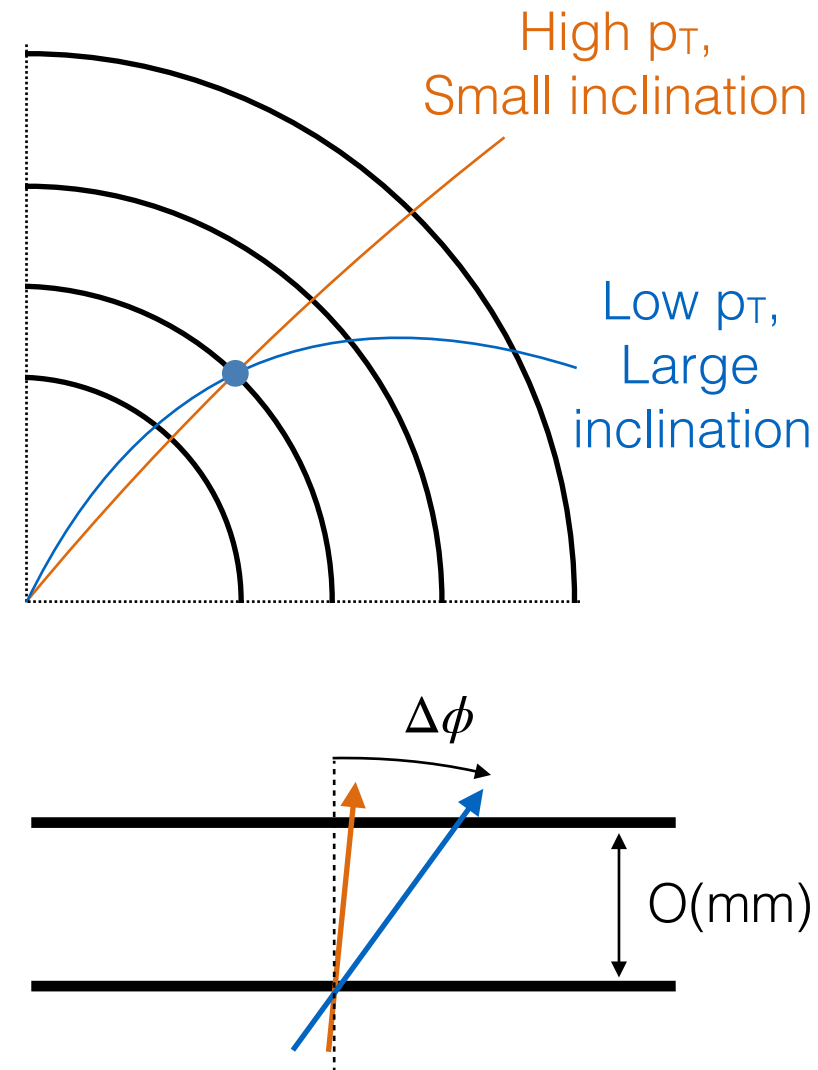
M. Mårtensson et al 2019 JINST 14 P11009



- Limitations on impact parameter: method designed for circles from known, fixed, origin
- Full treatment needs more complicated transform on pairs of hits, much more intensive -> slower and less feasible
- Can tune accumulator binning to mitigate impact (plot: efficiency to find at least 6 hits out of 8 in model tracker)
- Alternative tuning on ITk  $\langle \mu \rangle = 200$  simulation finds (6/8 hits)  $\sim 97\%$  of 1 GeV and 2 GeV muons

# Stub finding

- Lower  $p_T$  track will go through tracker layers at a higher inclination angle
- Large inclination  $\rightarrow$  large  $\Delta\phi$  between layers
- CMS implements this starting with the design and readout of their phase-2 tracker
  - Mixed Pixel + Strip layers
  - Stub built on-detector
  - Require  $p_T > 2 \text{ GeV} \rightarrow 90\%$  reduction in hits

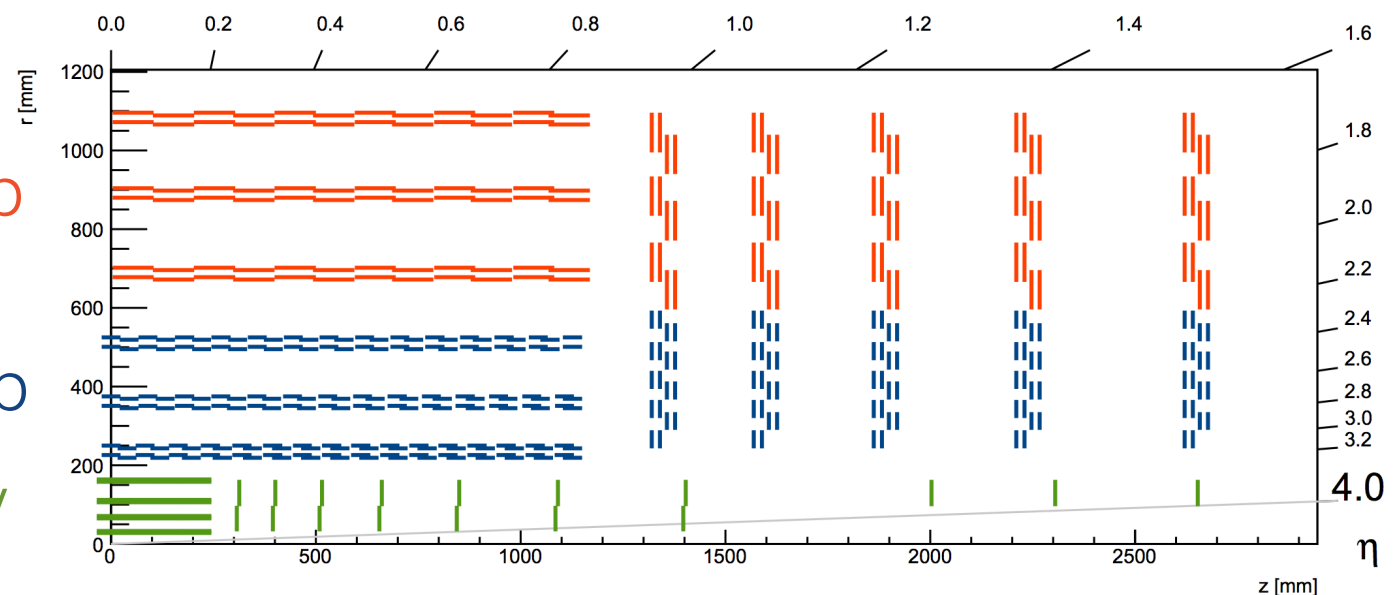


CMS Phase-II tracker: [CMS-TDR-15-02](#)

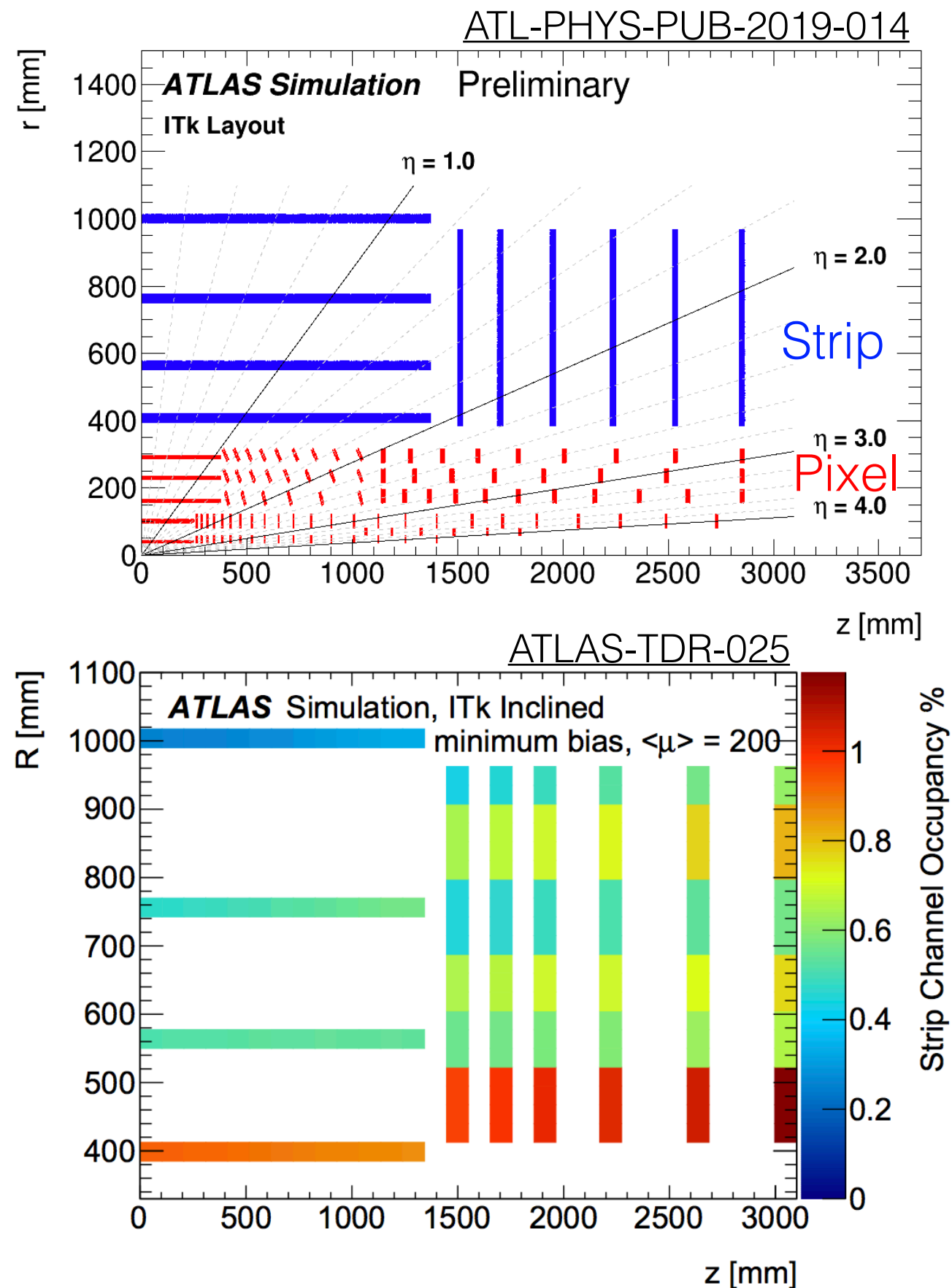
2S: Strip + Strip

PS: Pixel + Strip

P: Pixel only

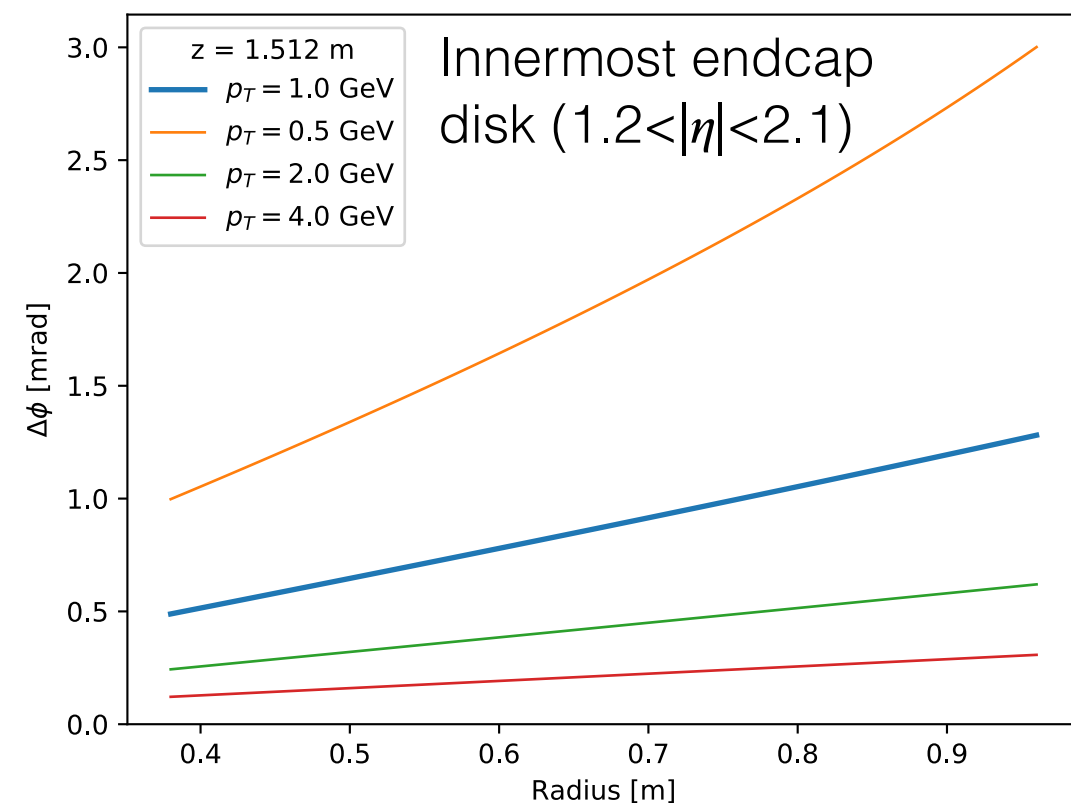
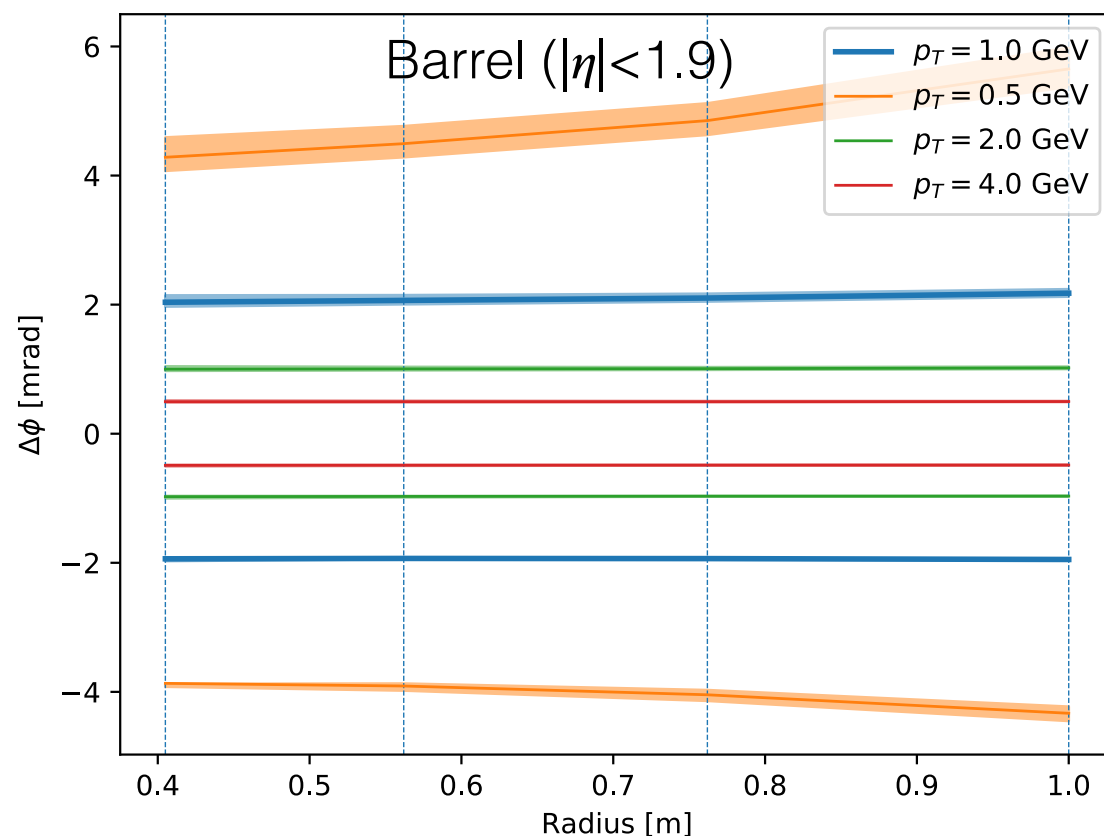


- ATLAS phase-II tracker different
  - Only strips are double-layered
  - Stub-finding only feasible within double layers
- $\sim 0.5 - 1\%$  channel occupancy
- Barrel strips have  $75.5\ \mu\text{m}$  pitch, endcap  $70-80\ \mu\text{m}$  (wedge-shaped)  $\rightarrow \Delta\phi$  from one strip to another is  $0.1-0.2\ \text{mrad}$
- 100 strips =  $O(10)\ \text{mrad}$  between hits
  - Far too big for inter-layer stubs to be useful

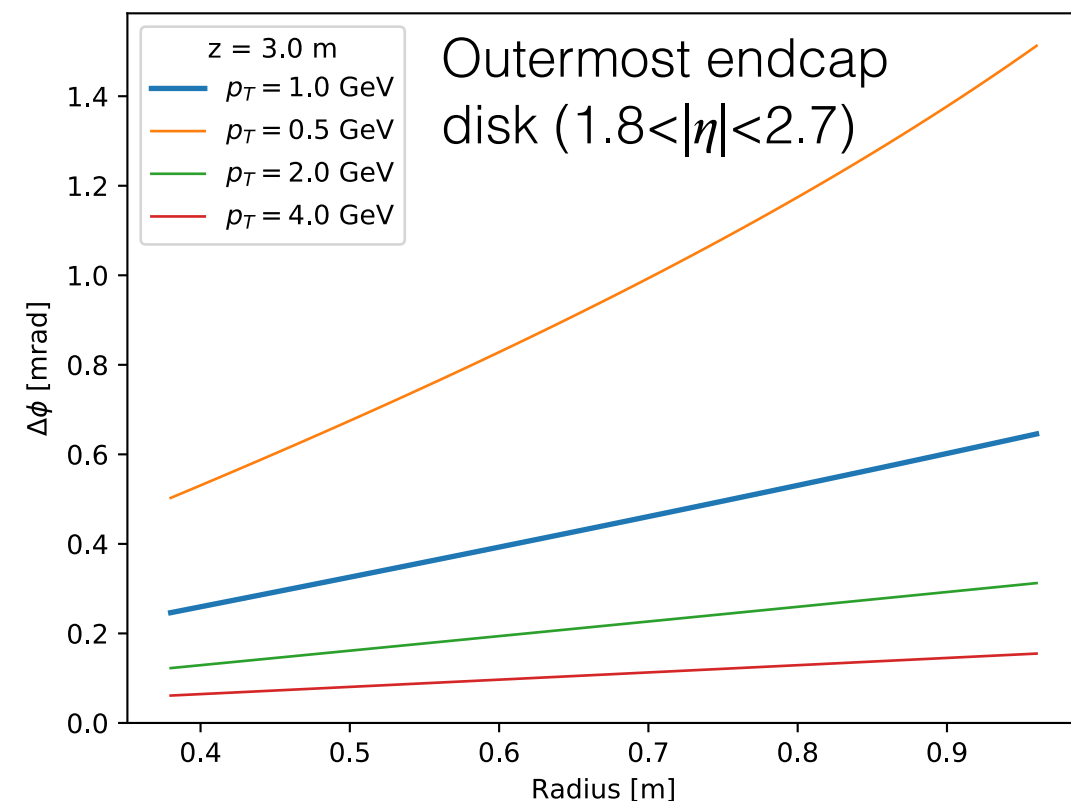


# Stub finding: expectation

- Trigonometry from TDR numbers
- $\sim$  Linear in track  $p_T$
- 1 GeV track  $\sim$  2 mrad in barrel, 0.2-1.0 mrad in endcap
- cf pileup occupancy  $\sim$  10 mrad
- Sadly this isn't the whole story...



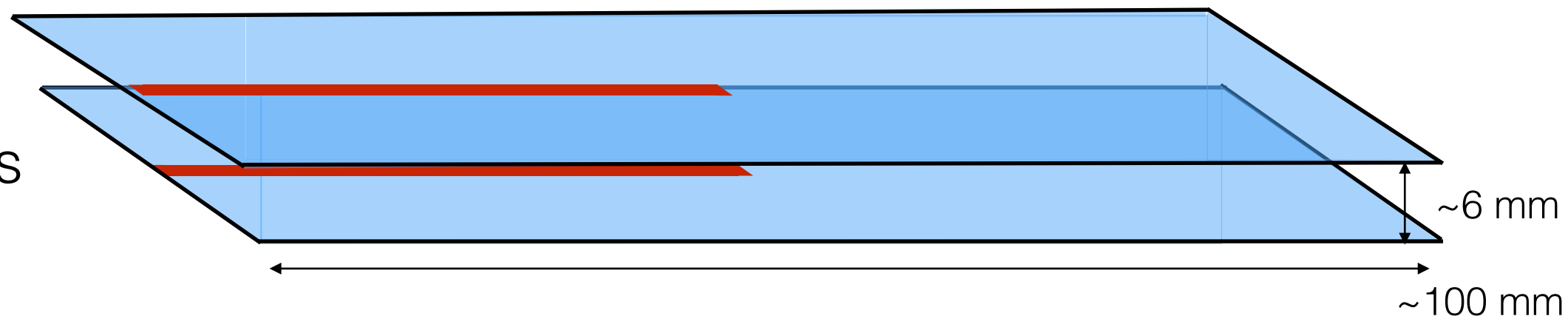
NB: not-quite-valid approximation for high  $\eta$





# Stereo angle

Modules  
come in pairs

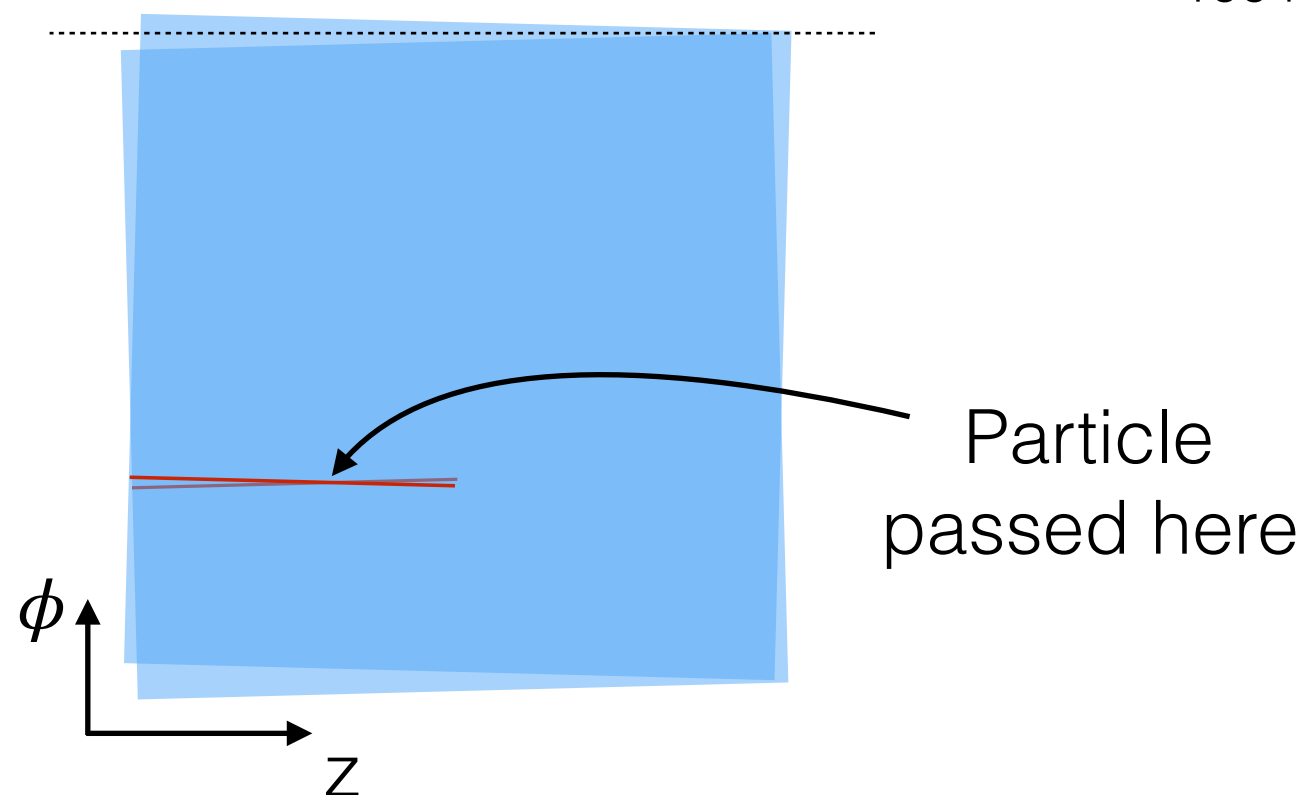


Each rotated  $26 \text{ mrad} = 1.5^\circ$   
from z axis

->  $52 \text{ mrad} = 3^\circ$  between them

Strips 18-60 mm long

Allows position information to  
be determined along strip  
direction



**But** means that strip 1 in layer A to strip 2 in layer B has an  
indeterminate  $\Delta\phi$  depending on where along the strip it is

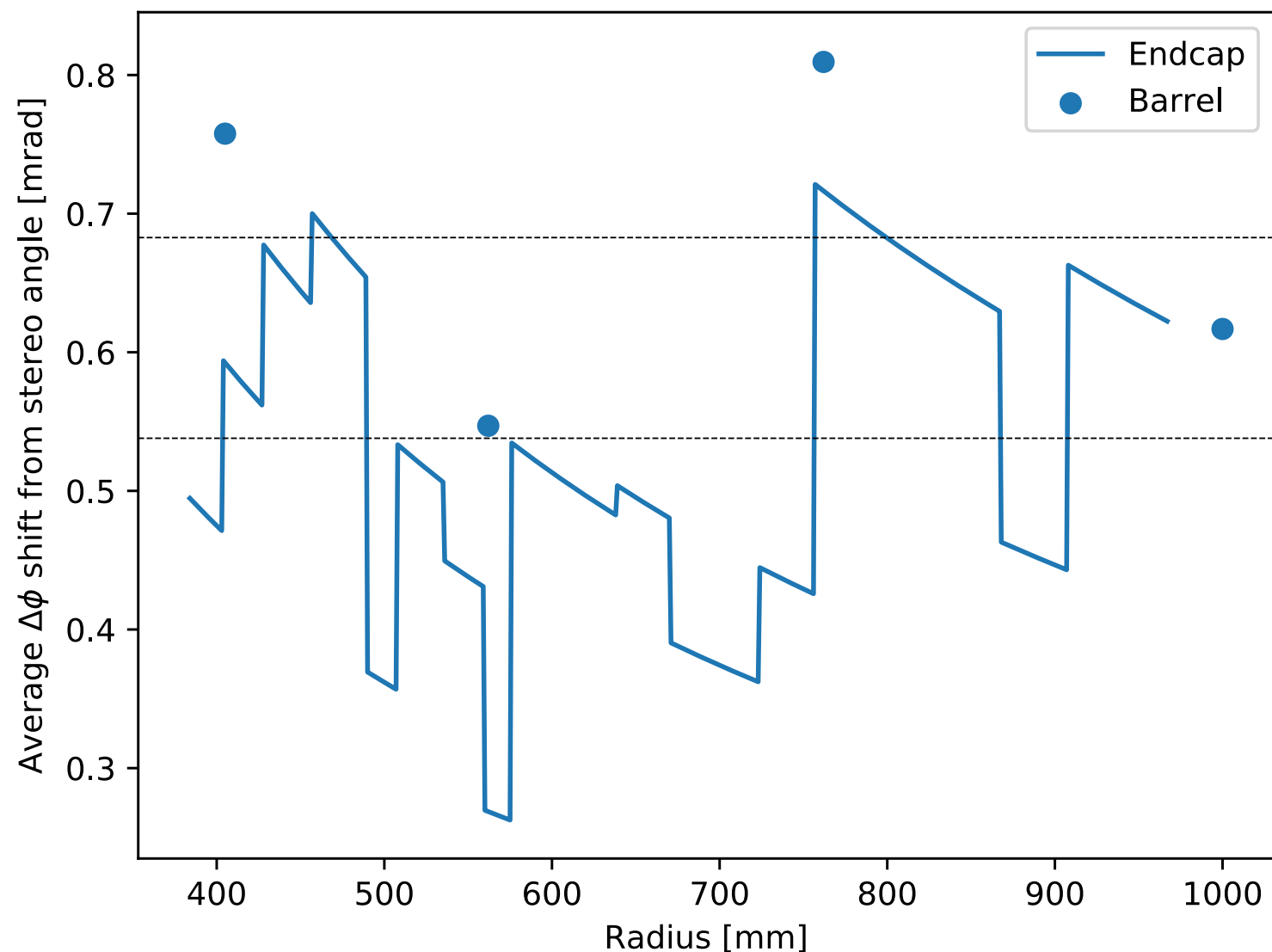
**NB:** not a problem for CMS pixel+strip modules, no need for  
stereo angle

# Stereo angle

Barrel short strip to scale: 24.1 mm x 75.5  $\mu\text{m}$ , 52 mrad angle =  $3^\circ$



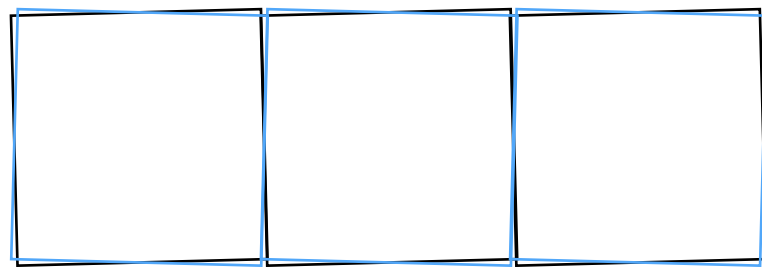
- Plot: average shift in  $\Delta\phi$  from stereo angle (half maximum)
- $\Delta(\Delta\phi) \sim \frac{1}{r}$  for fixed strip length and stereo angle
- In reality, length varies (shorter at smaller radius for higher track density)
- $\sim 0.69$  mrad for barrel,  
 $\sim 0.54$  mrad for endcaps



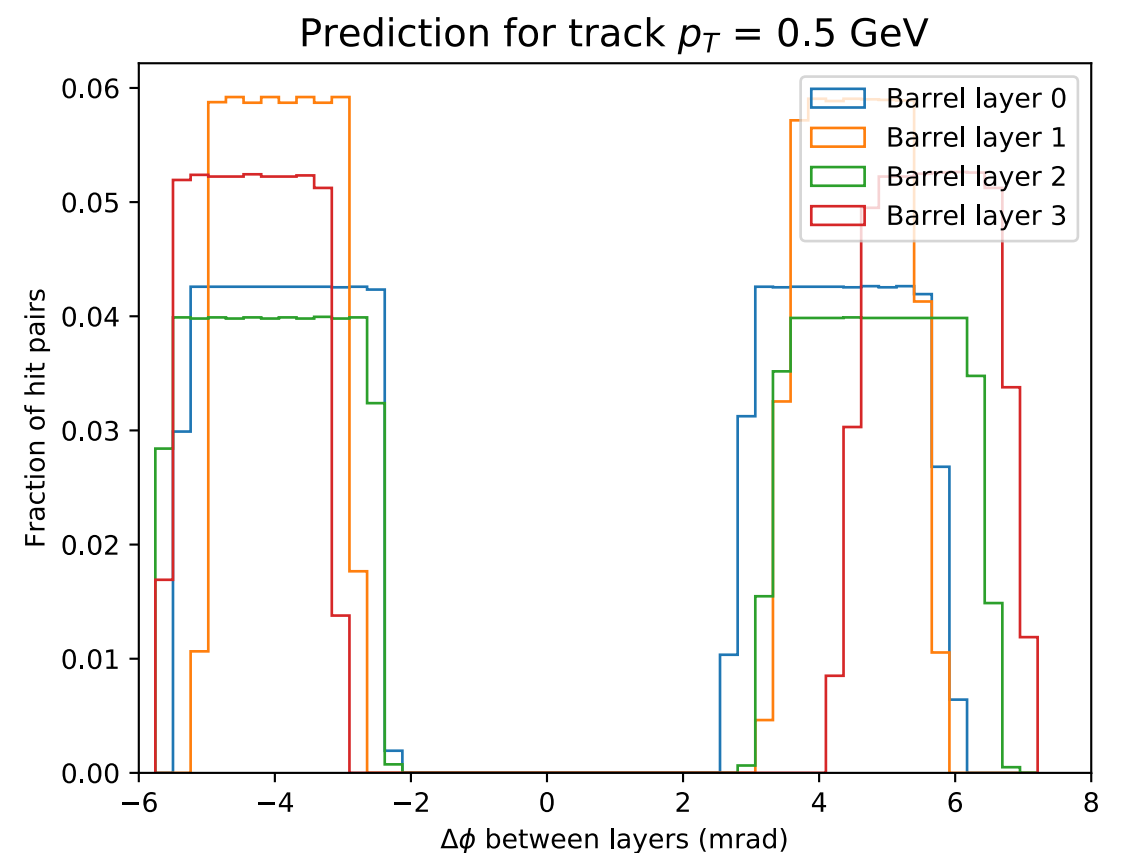
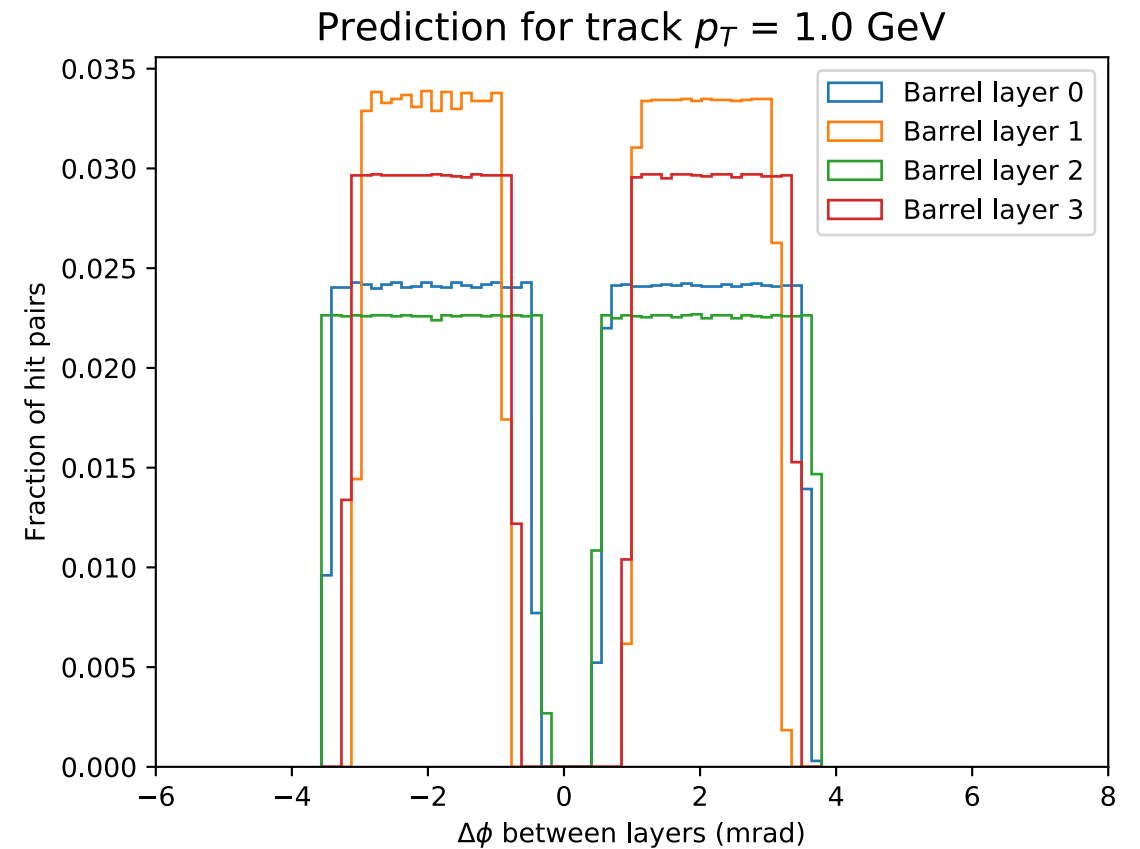
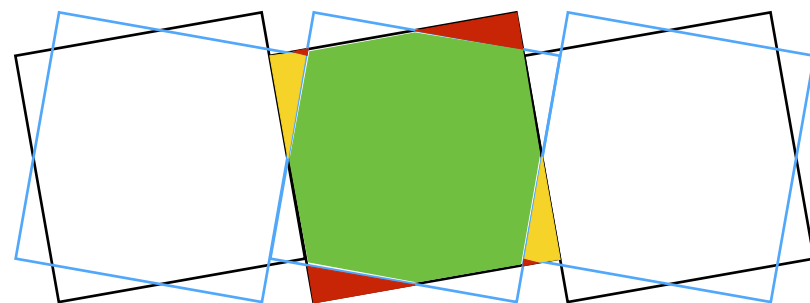
# Expected distribution: barrel

- Geometry in 2 T uniform field predicts:
  - 1 GeV track bends  $\sim 2$  mrad
  - Stereo angle shifts this by 0.7 mrad on average (both ways)
  - Shifts between + and -  $\Delta\phi$  at low  $p_T$  thanks to module inclination
- Reasonable discrimination between tracks of 0.5 and 1 GeV (gHTT threshold)
  - Expect smearing from edge cases: 2.5% of module area is more complicated

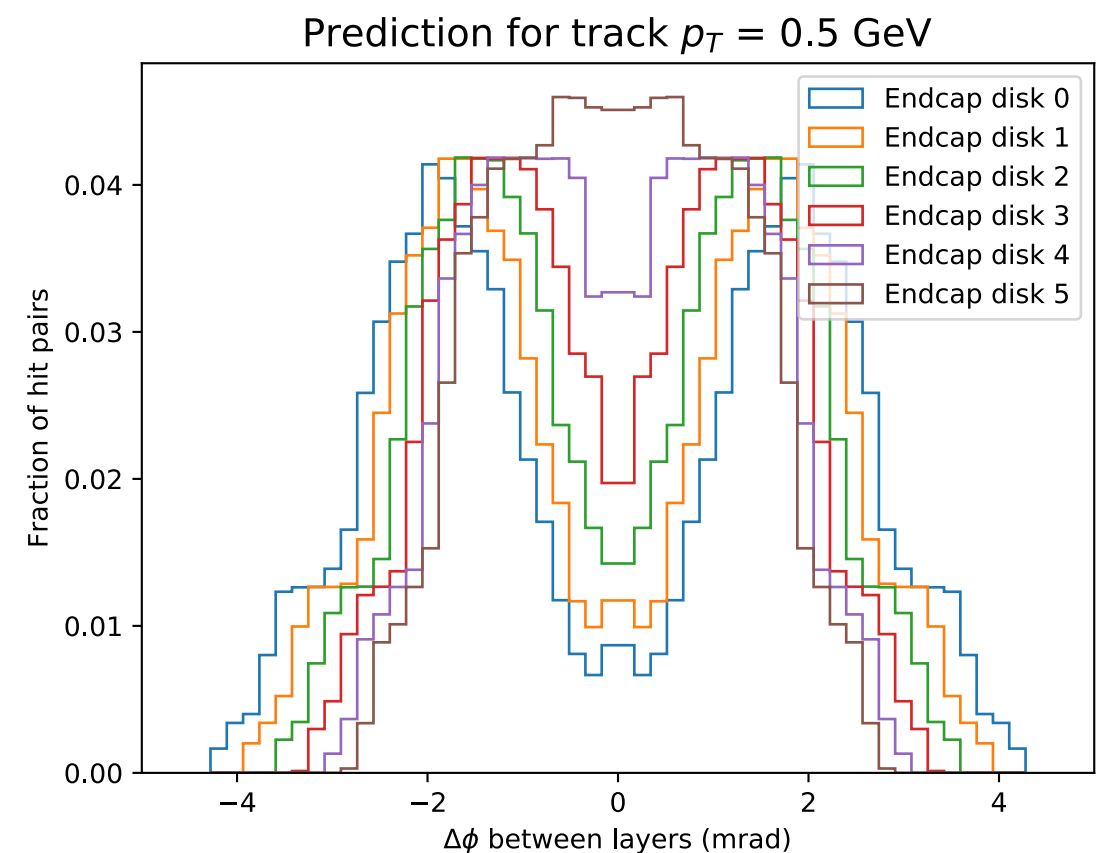
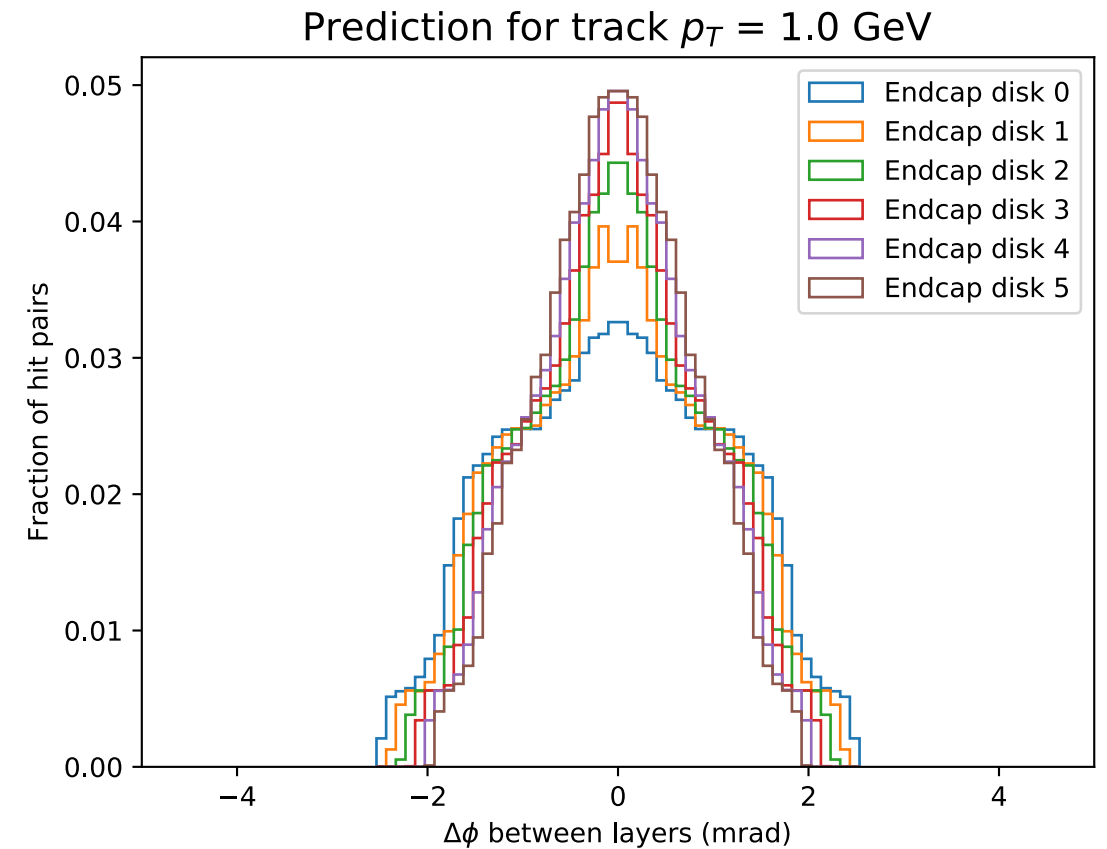
Actual



Exaggerated



- More complex shape for endcaps
- Retain some discrimination between tracks of 0.5 and 1 GeV





- In simulation, see similar distributions but with some extra smearing: if require 98% efficiency for 1 GeV hit pairs then reject  $\sim 20\text{-}30\%$  of lower- $p_T$  ones
  - More rejection at 2 GeV, but less than CMS thanks to less-optimal detector layout
- Studies ongoing to:
  - Translate hit pair efficiency to track efficiency
    - Not every track has 2 hits in a sublayer (between 1 and 4)
  - Evaluate speedup in downstream algorithms
    - Thanks to combinatorics, may be non-linear with rejection factor

- HL-LHC will see significant upgrades to the LHC machine to provide many more p-p collisions per second
- This can only be exploited by the experiments with upgraded detectors, DAQ and trigger systems
- Having access to track information in the trigger, as early on in the decision-making process and with as few restrictions as possible, is critical for efficient and pure selection of the most interesting events
- Some methods to do some preliminary steps of track-finding in the trigger have been presented: Hough transform and stub finding, initially promising but further study needed for full cost and utility to be determined

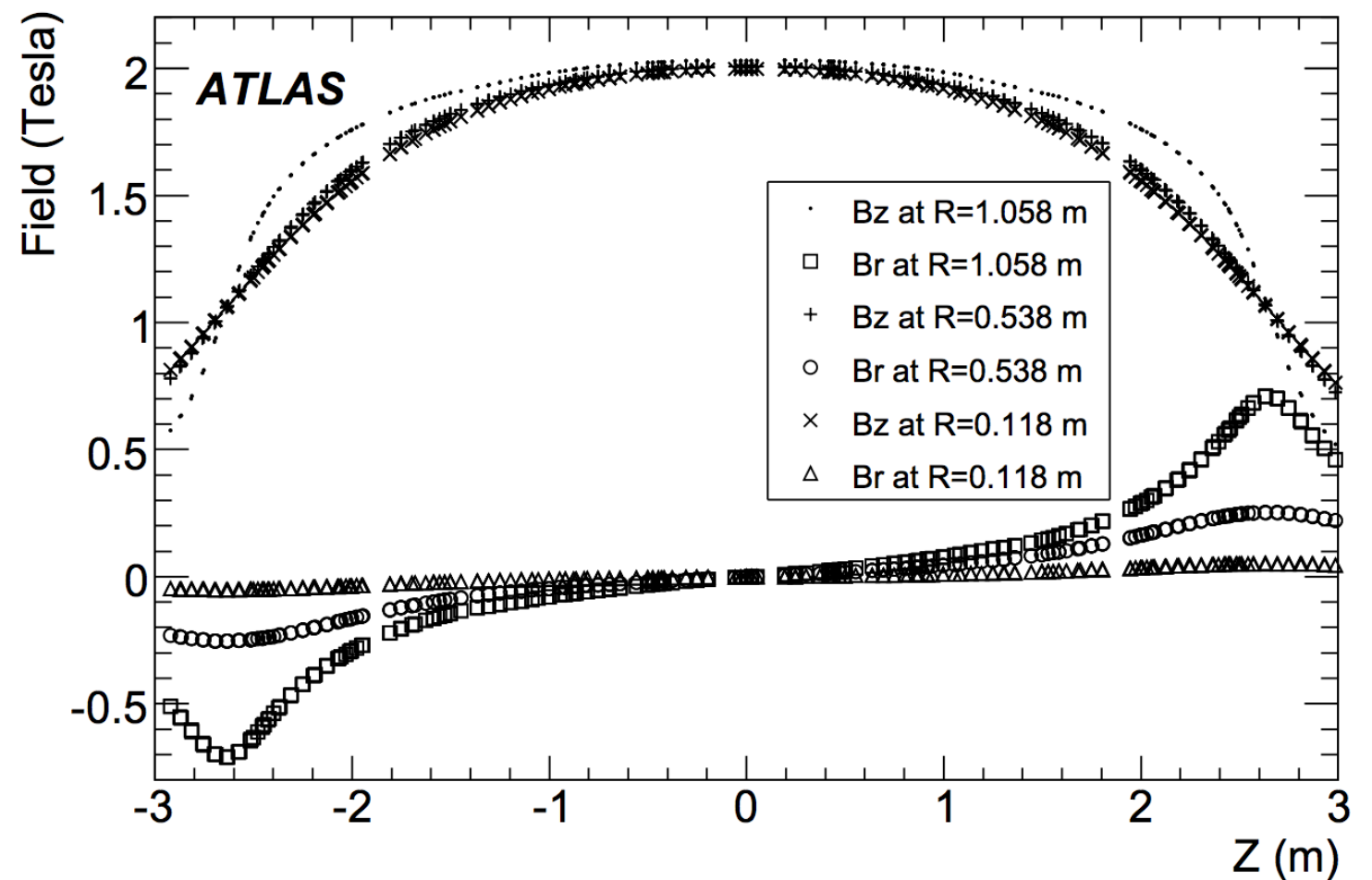


- Here have used  $B_z = 2\text{T}$ ,  $B_r = 0$  everywhere

- Constant curvature  $\rightarrow$  Circle

- $$R = \frac{p_T [\text{GeV}/c]}{B [\text{T}]} \times \frac{10^9}{c}$$

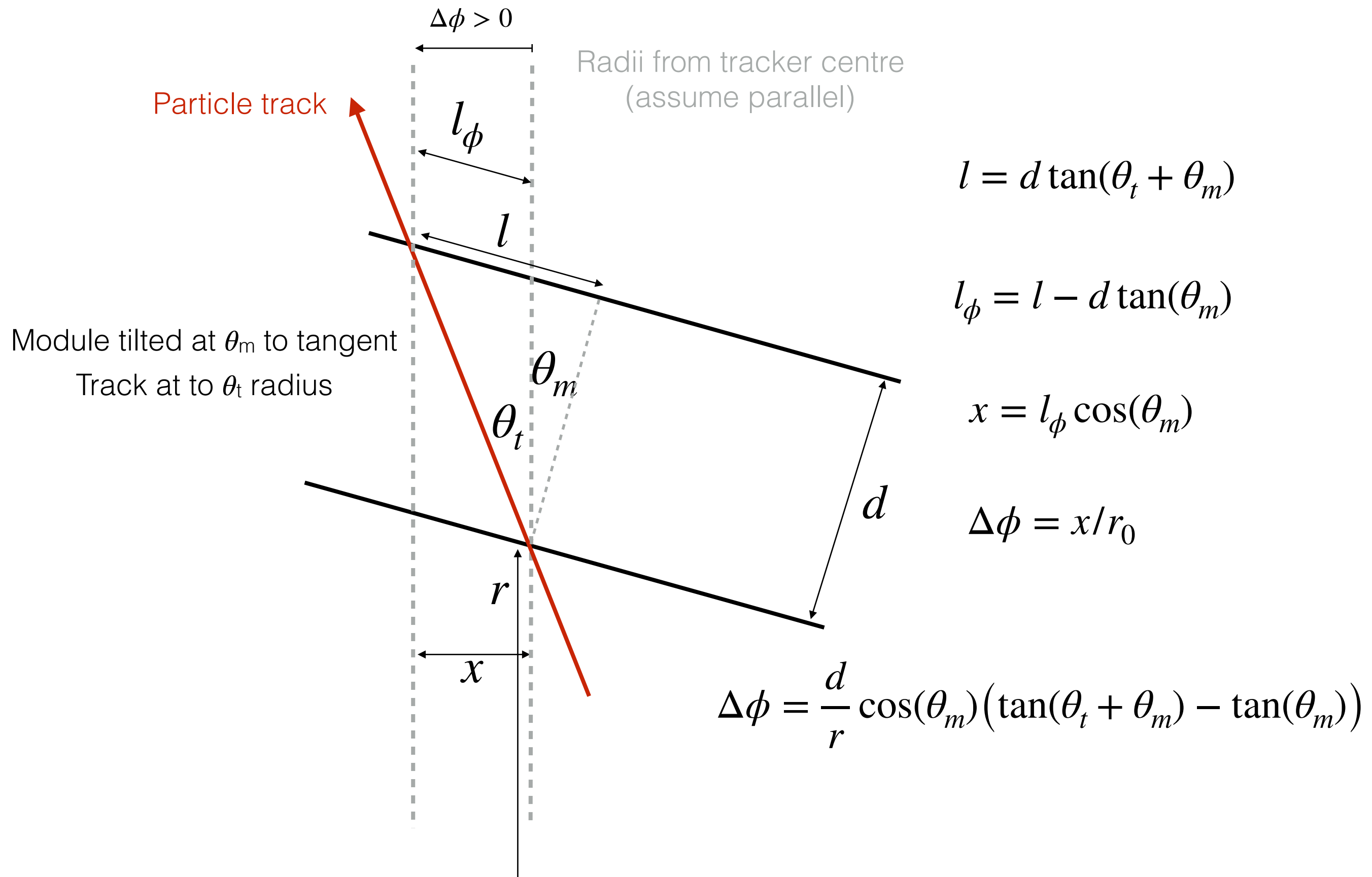
- $R \sim 1.67 p_T$



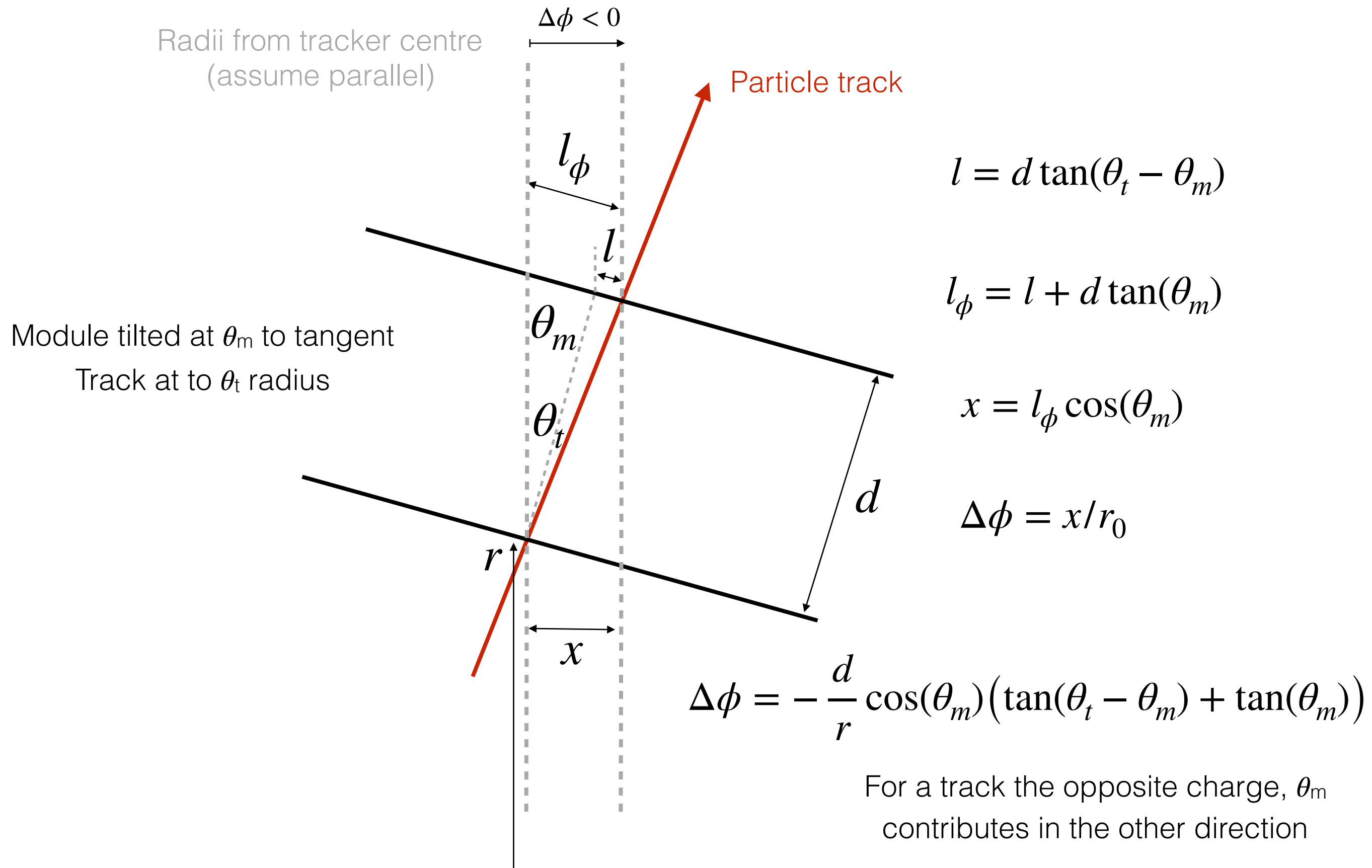
M Aleksa et al 2008 JINST 3 P04003



# Strip barrel



# Strip barrel



$$\Delta\phi = \frac{d}{r} \cos \theta_m (\tan(\theta_m + \theta_t) - \tan \theta_m)$$

$$\tan(A + B) = \frac{\tan A + \tan B}{1 - \tan A \tan B}$$

$$\Delta\phi = \frac{d}{r} \cos \theta_m \left( \frac{\tan \theta_m + \tan \theta_t}{1 - \tan \theta_m \tan \theta_t} - \tan \theta_m \right)$$

$$\Delta\phi = \frac{d}{r} \cos \theta_m \frac{\tan \theta_t + \tan^2 \theta_m \tan \theta_t}{1 - \tan \theta_m \tan \theta_t}$$

$$\Delta\phi = \frac{d}{r} \cos \theta_m \tan \theta_t \frac{\sec^2 \theta_m}{1 - \tan \theta_m \tan \theta_t}$$

$$\Delta\phi = \frac{d}{r} \frac{1}{\cos \theta_m} \frac{\tan \theta_t}{1 - \tan \theta_m \tan \theta_t}$$

$$r = \frac{p_T}{0.3} \sin \theta_t$$

$$\Delta\phi = \frac{0.3d}{p_T} \frac{1}{\cos \theta_m} \frac{1}{\cos \theta_t - \tan \theta_m \sin \theta_t}$$

$$\cos \theta_t - \tan \theta_m \sin \theta_t = \sqrt{1 + \tan^2 \theta_m} \cos[\theta_t + \tan^{-1}(\tan \theta_m)] = \frac{1}{\cos \theta_m} \cos(\theta_t + \theta_m)$$

$$\Delta\phi = \frac{0.3d}{p_T} \frac{1}{\cos(\theta_t + \theta_m)}$$

$$\Delta\phi = \pm \frac{0.3d}{p_T} \frac{1}{\cos(\theta_t \pm \theta_m)}$$

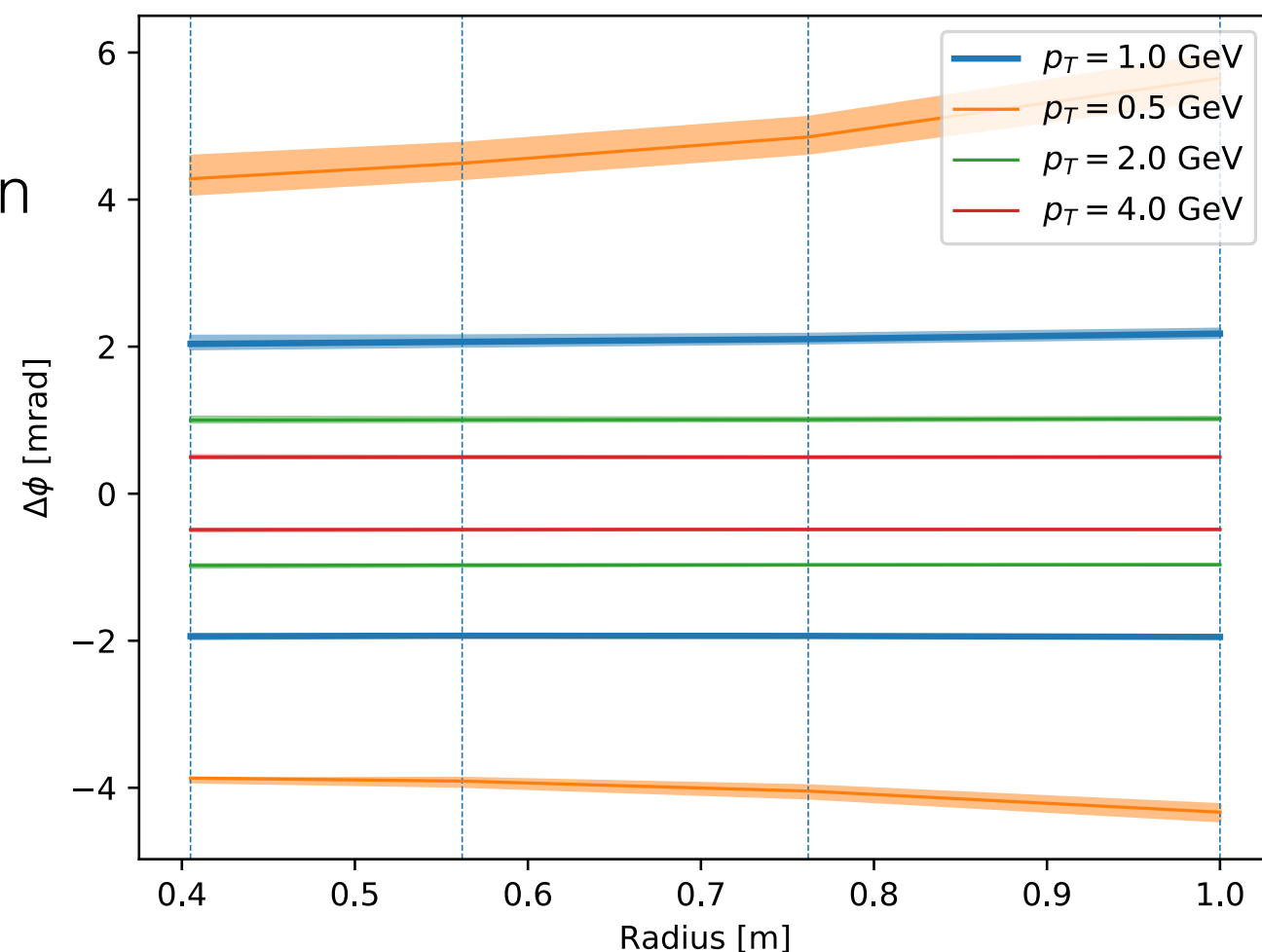
# Strip barrel

$$\Delta\phi = \pm \frac{0.3d}{p_T} \frac{1}{\cos(\theta_t \pm \theta_m)}$$

$$\sin \theta_t = \frac{0.3}{p_T} r \quad d = 6.44 \text{ mm}$$

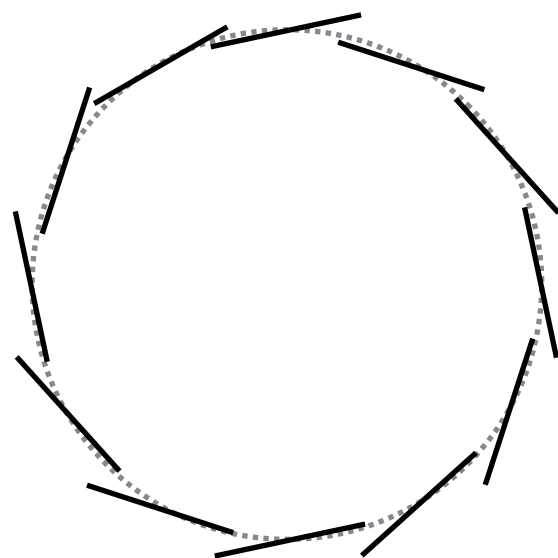
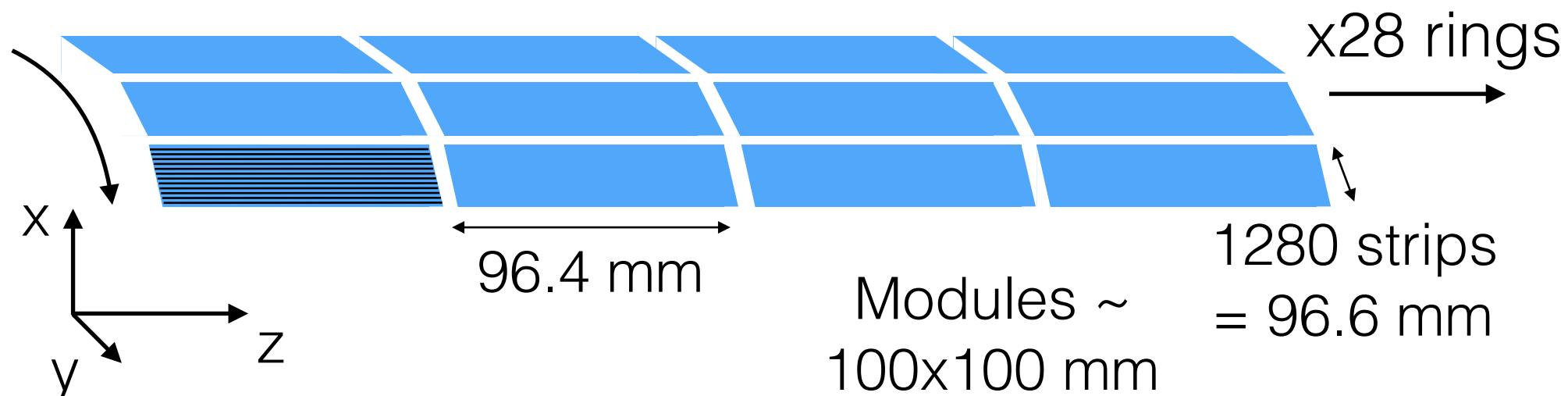
r	$\theta_t$	$\theta_t$ (deg)	positive			negative		
			$\Delta\phi$ (low)	$\Delta\phi$ (mid)	$\Delta\phi$ (high)	$\Delta\phi$ (low)	$\Delta\phi$ (mid)	$\Delta\phi$ (high)
0.405	0.12	7.0	1.97	2.04	2.14	-1.93	-1.94	-1.97
0.562	0.17	9.7	2.01	2.07	2.15	-1.94	-1.93	-1.94
0.762	0.23	13.2	2.05	2.10	2.17	-1.95	-1.94	-1.93
1	0.30	17.5	2.12	2.18	2.24	-1.96	-1.95	-1.94

- $\sim$  independent of radius
- $\sim$  independent of module tilt, and variation from one end of a module to the other
- Barrel: 1 GeV particle, 6.4 mm spaced stereo-layers  $\rightarrow \Delta\phi$  of **2 mrad**
- Lose small-angle approximations by 500 MeV: more, and non-linear, variation (incl with charge - smaller bending for  $\Delta\phi < 0$ )



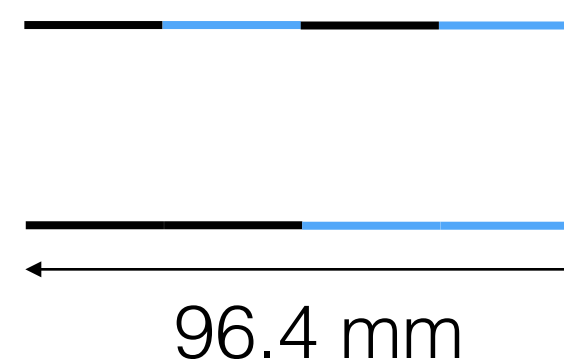
# Strip barrel geometry (TDR)

x28, 40, 56, 72  
modules per ring  
x2 since double-  
sided

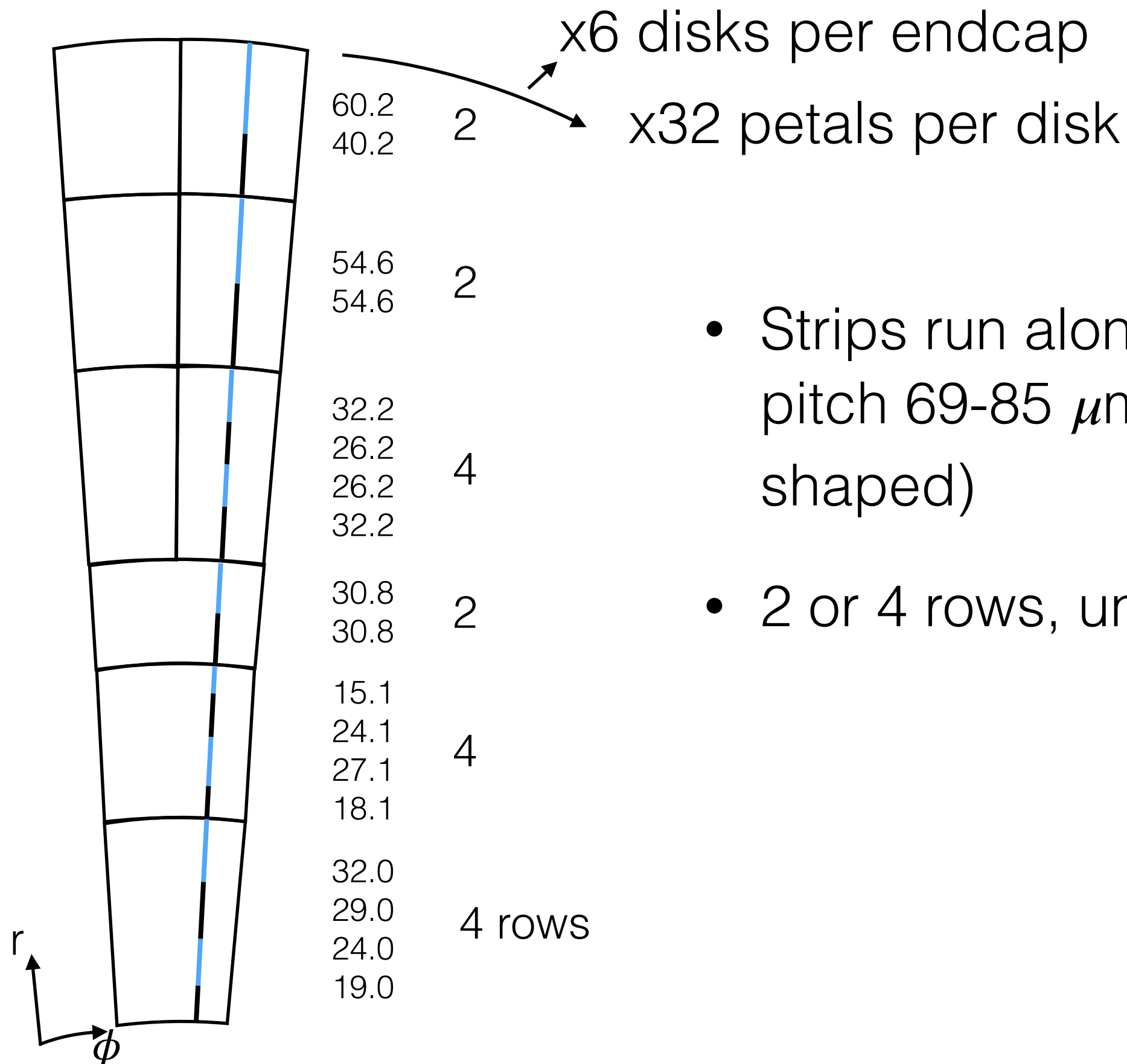


Sensors tilted in  $r$ - $\phi$ : hermeticity for tracks  $> 1$  GeV  
( $11.5^\circ$ ,  $11.0^\circ$ ,  $10.0^\circ$ ,  $10.0^\circ$  from tangent in layers 0-3)

- Strips run along  $z$ , pitch (ie strip width)  $75.5 \mu\text{m}$
- Inner: 4 rows of short strips 24.1 mm
- Outer: 2 rows of long strips, 48.2 mm



# Strip endcap geometry

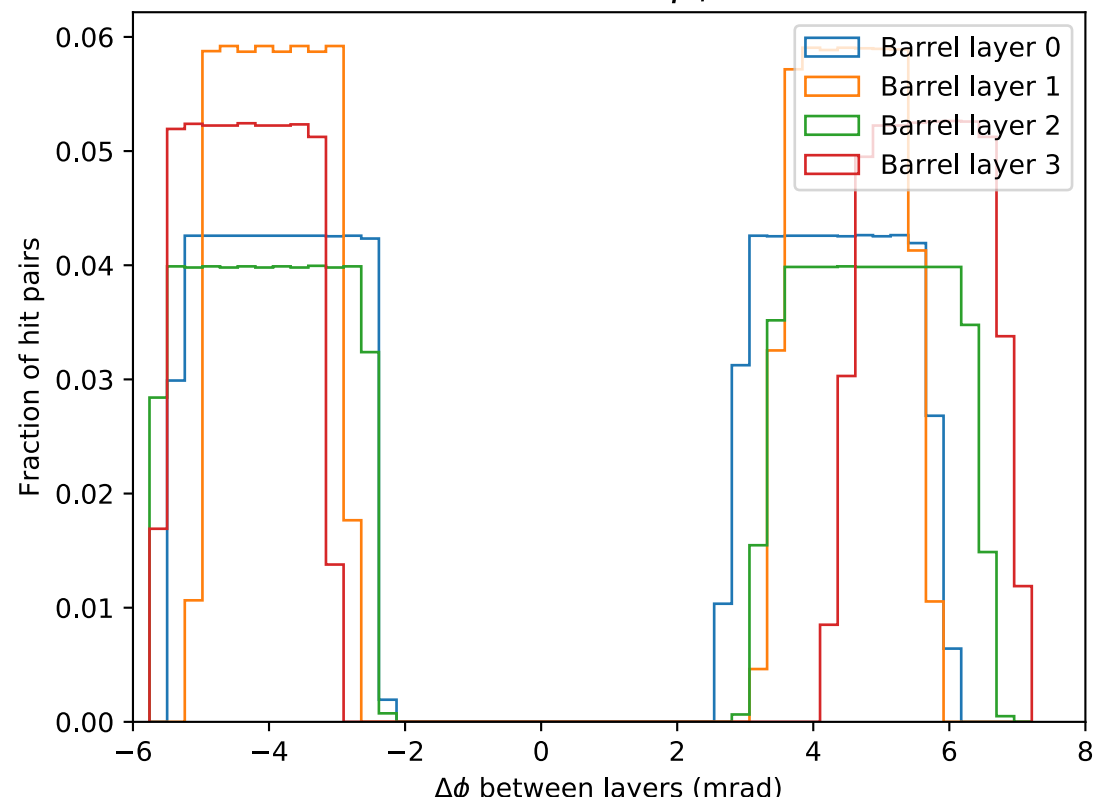


- Strips run along  $r$ , variable pitch 69-85  $\mu\text{m}$  (wedge-shaped)
- 2 or 4 rows, uneven lengths

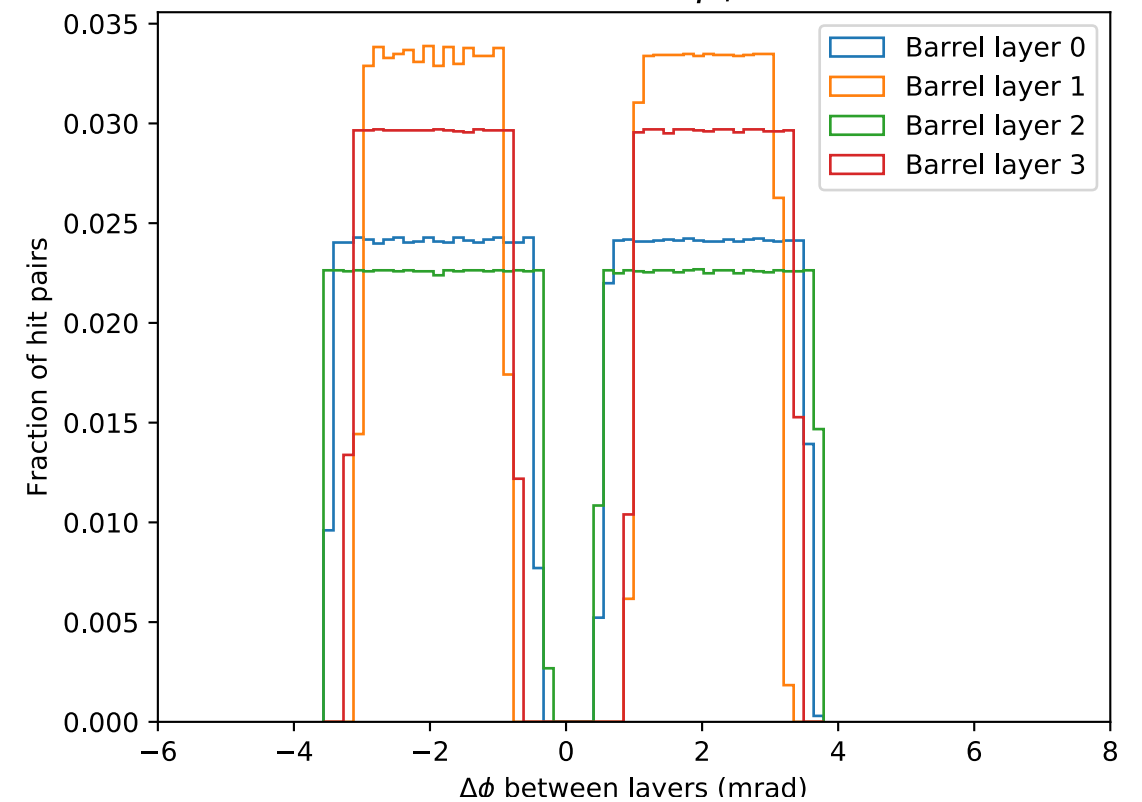


# Expected distributions: barrel

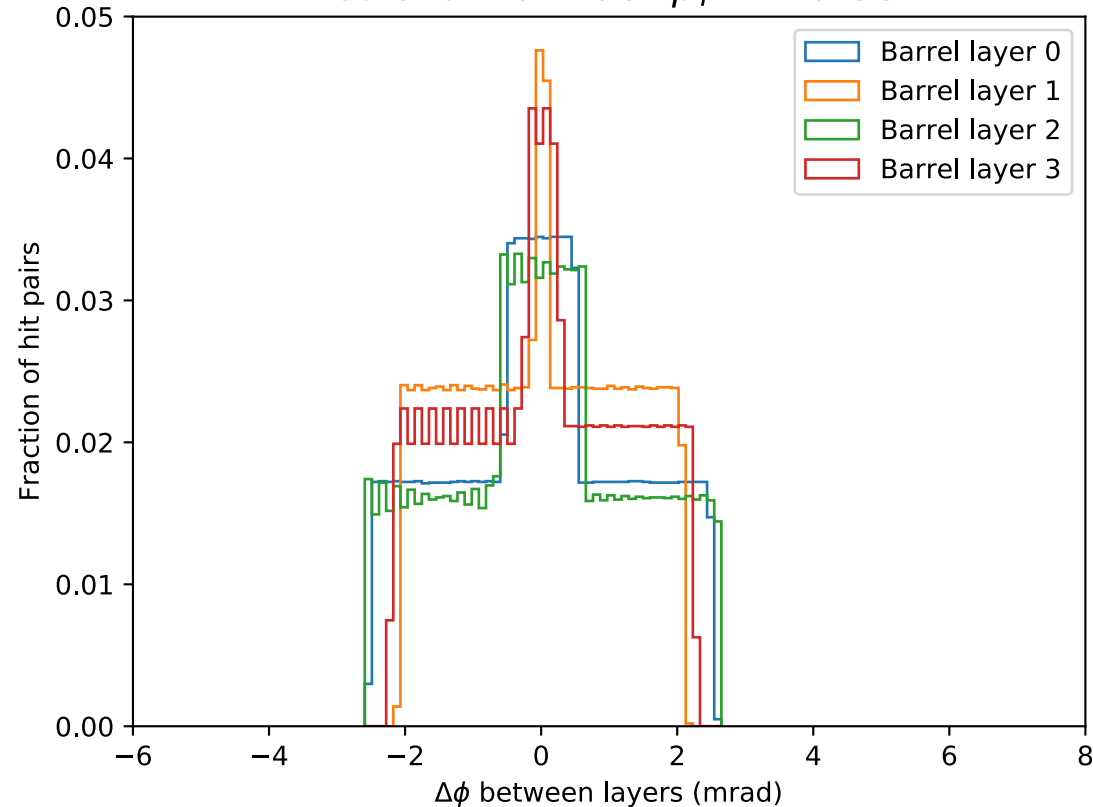
Prediction for track  $p_T = 0.5$  GeV



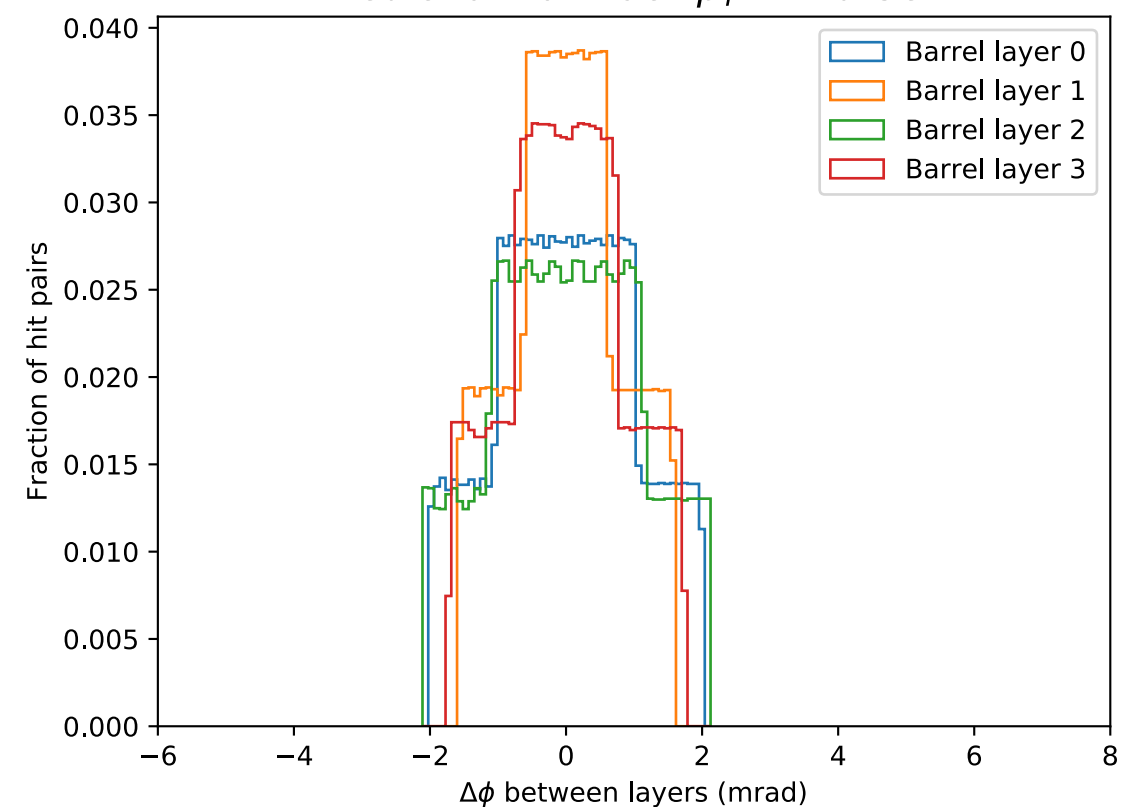
Prediction for track  $p_T = 1.0$  GeV



Prediction for track  $p_T = 2.0$  GeV

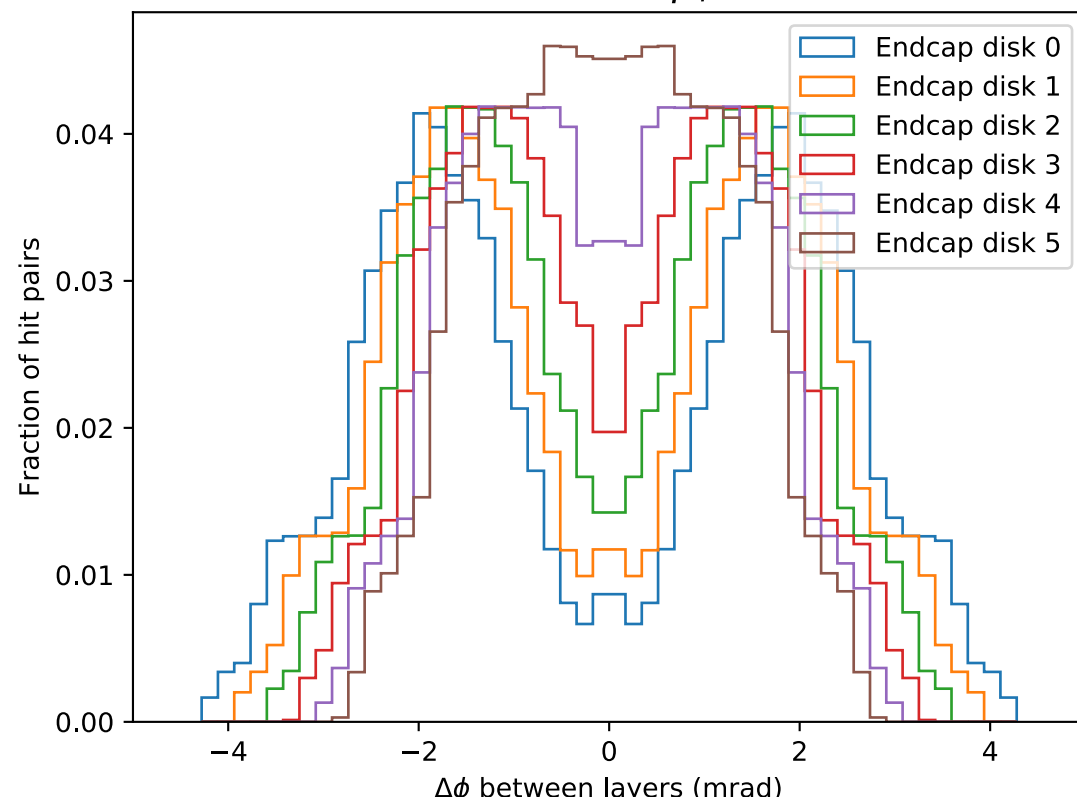


Prediction for track  $p_T = 4.0$  GeV

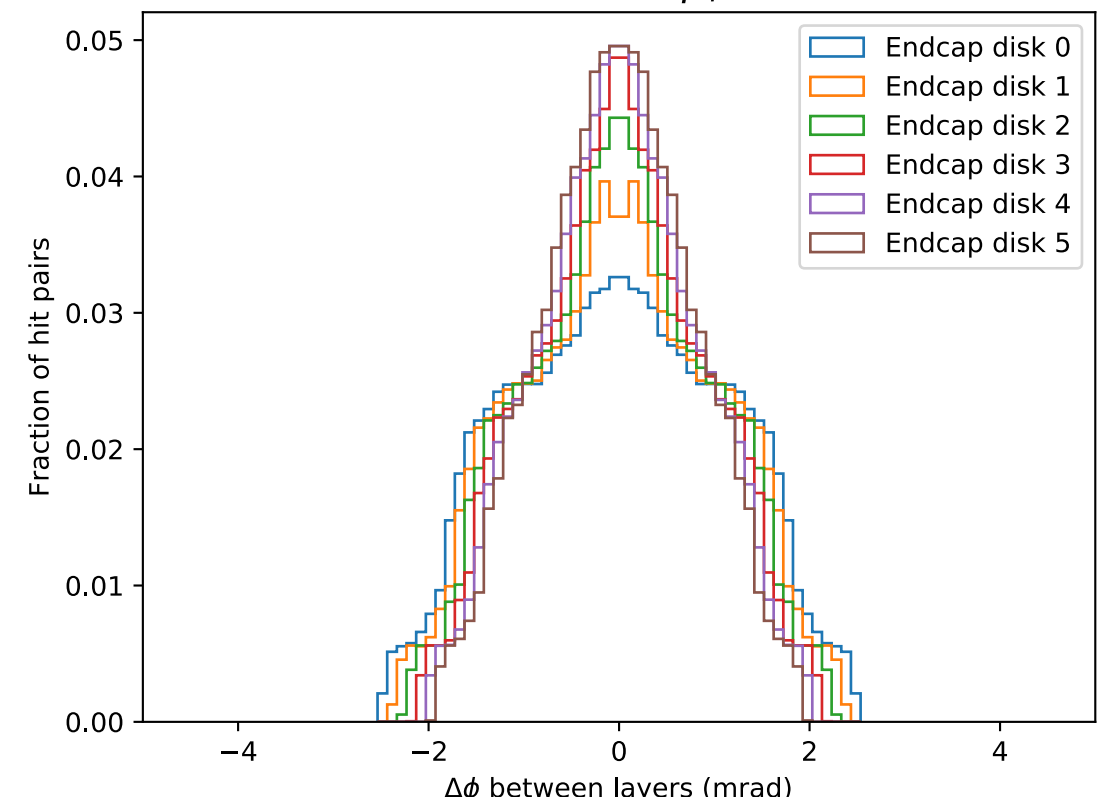


# Expected distributions: endcap

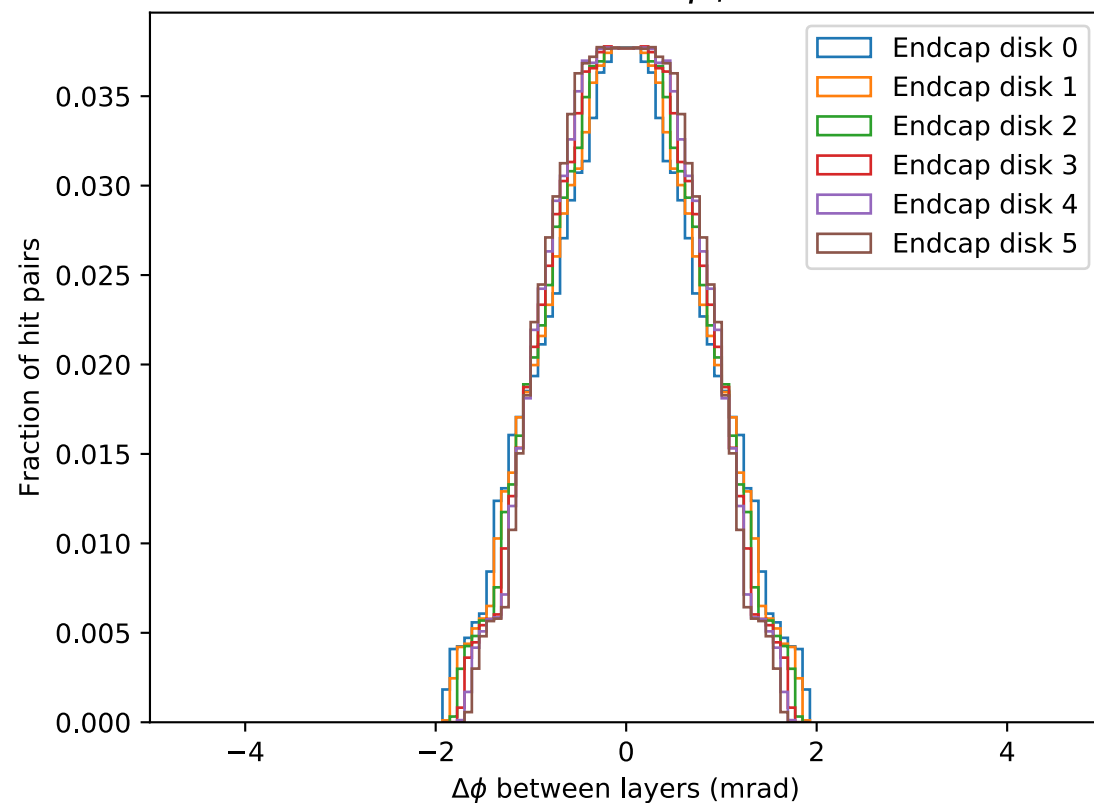
Prediction for track  $p_T = 0.5$  GeV



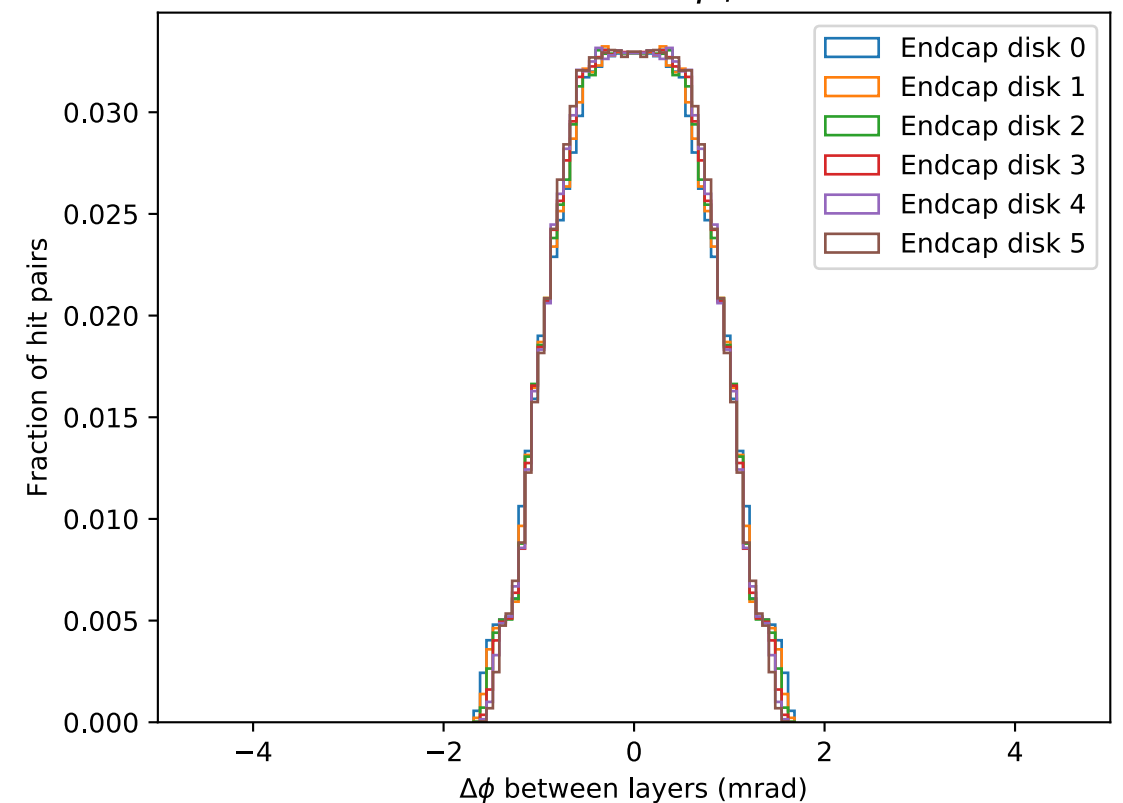
Prediction for track  $p_T = 1.0$  GeV



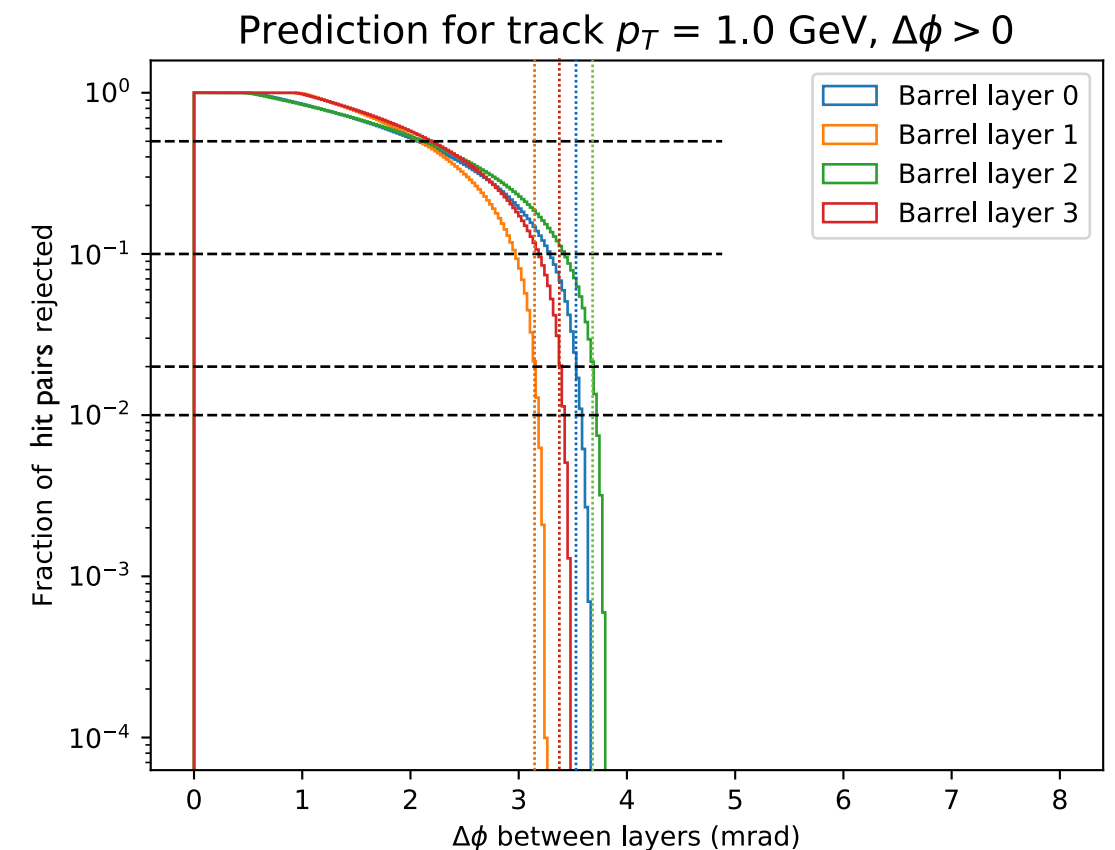
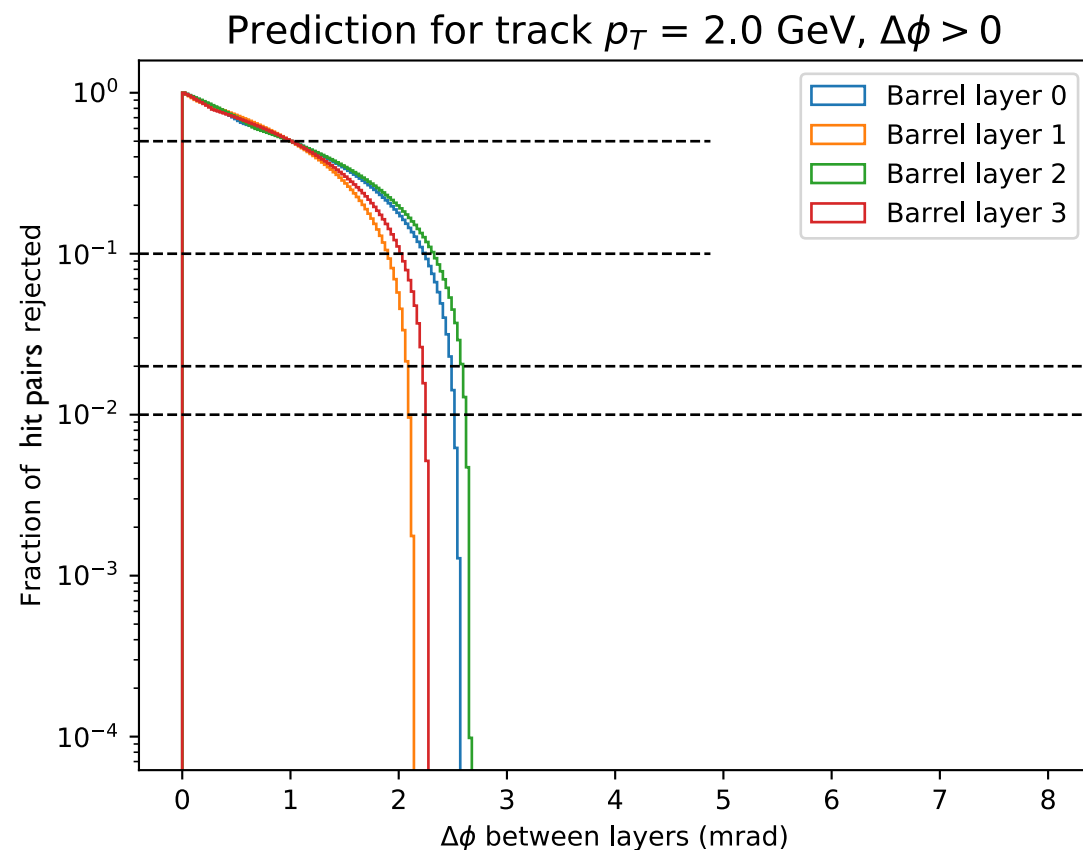
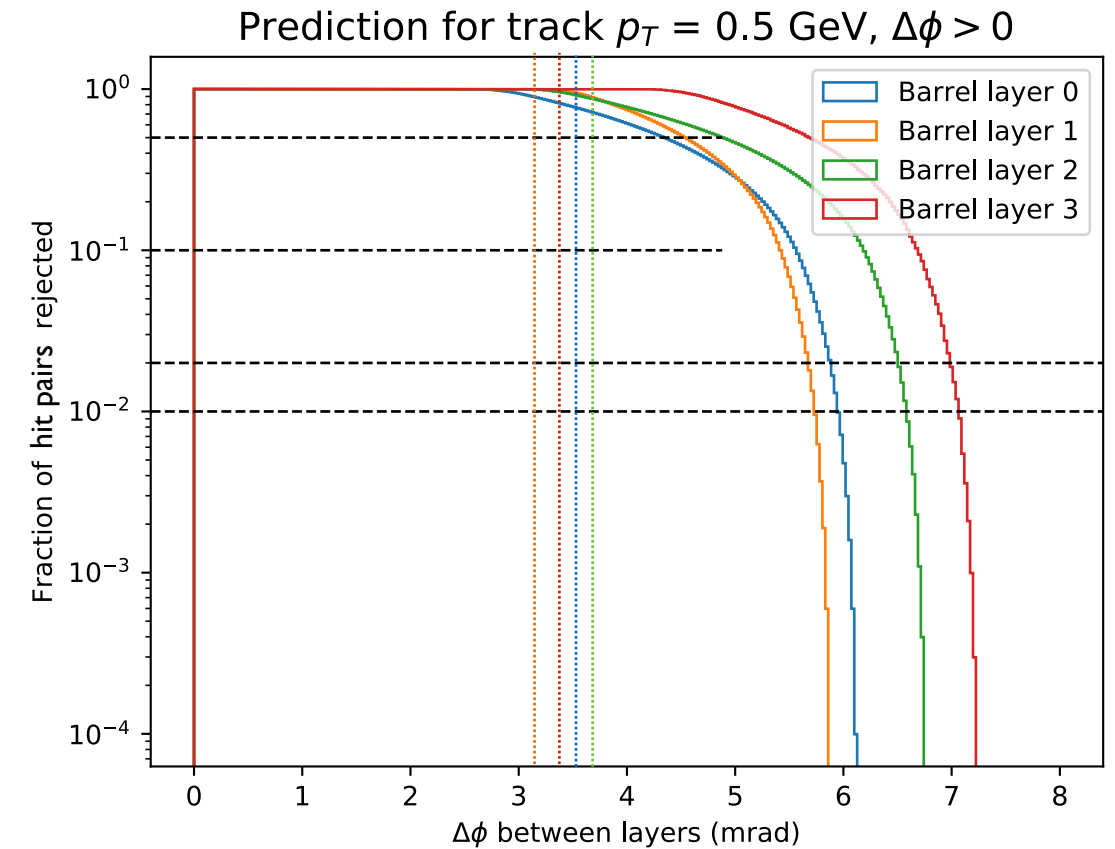
Prediction for track  $p_T = 2.0$  GeV



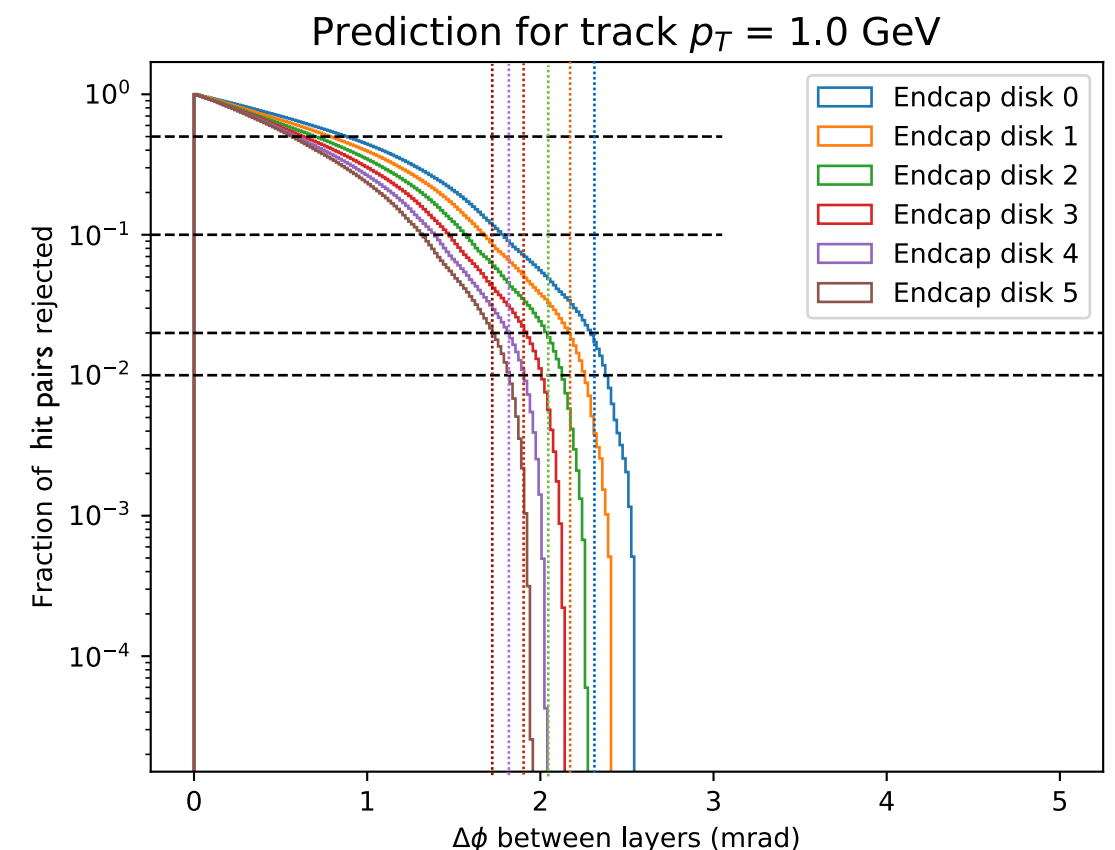
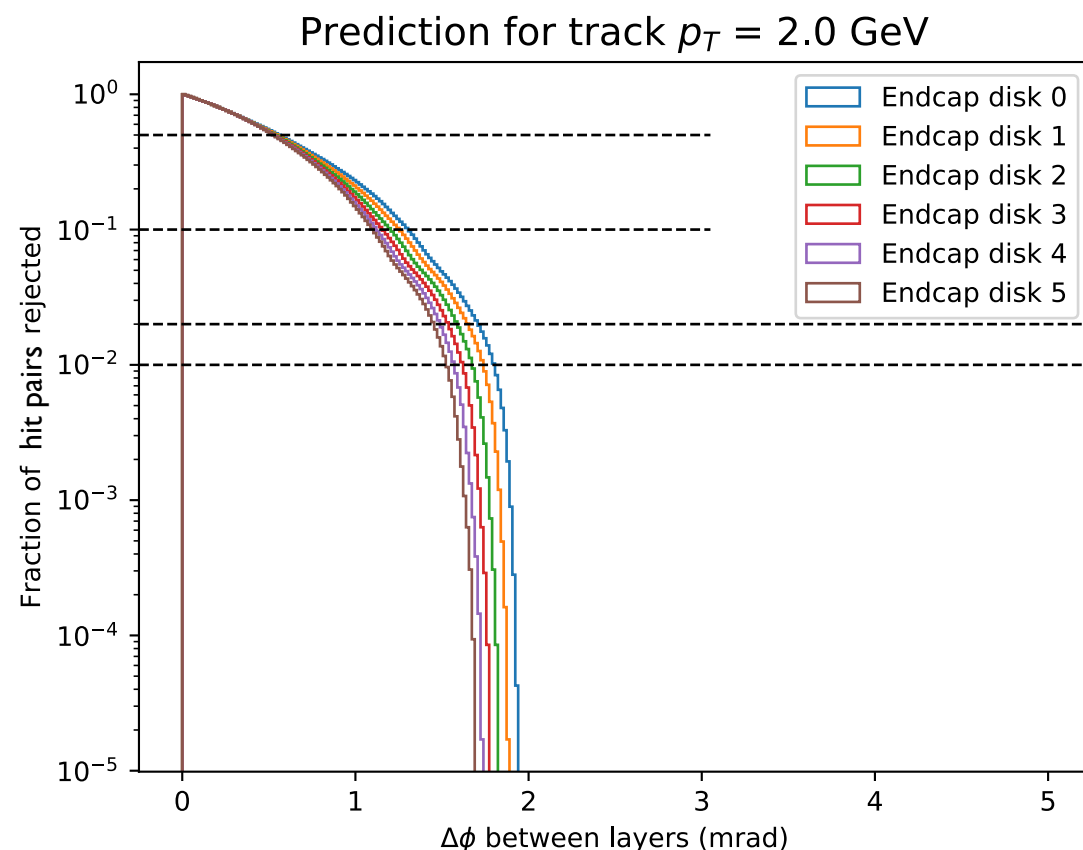
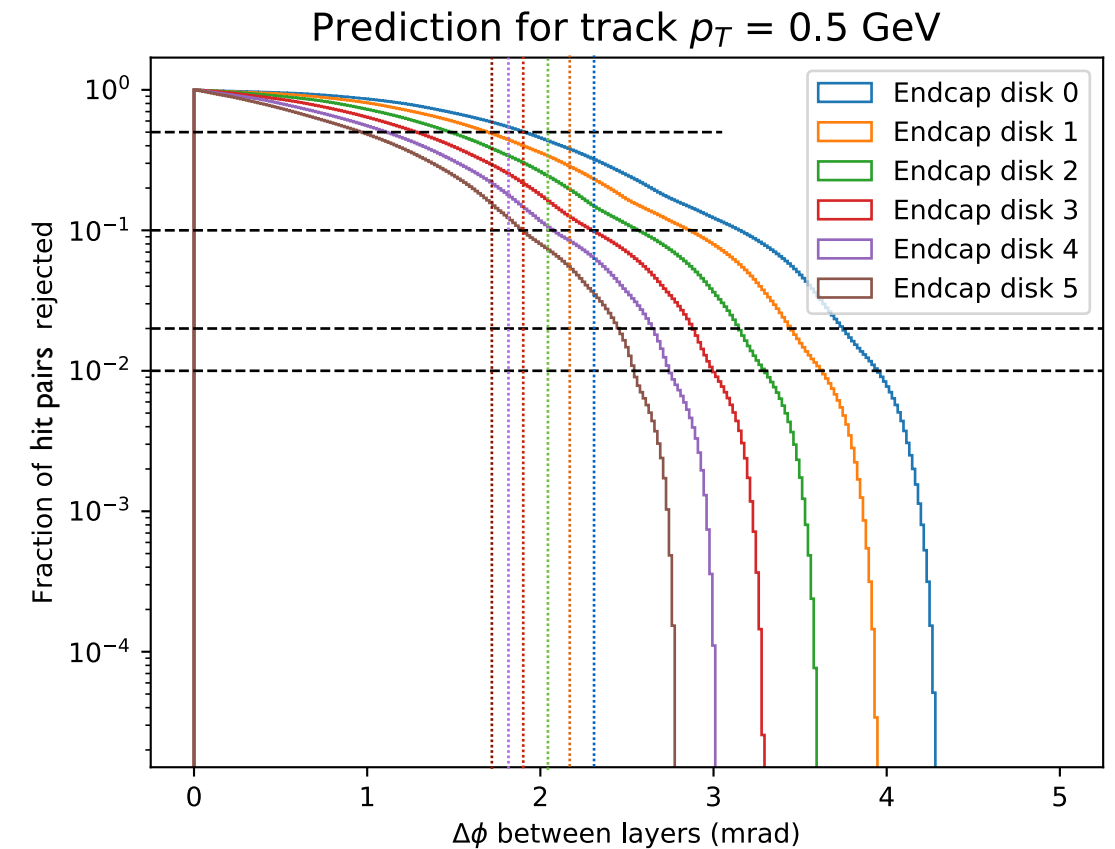
Prediction for track  $p_T = 4.0$  GeV



- 1 GeV: rejections of between 70 and 100% predicted in barrel
- 2 GeV: 100% rejection
- Extra smearing in reality will degrade this



- 1 GeV: rejections of between 15 and 30% predicted in endcap
- 2 GeV: 20-60% rejection
- Extra smearing in reality will degrade this



# Traversing modules

