

# Low-Pt Tracking for ATLAS in Nominal LHC Pileup

**Patrick McCormack** on behalf of the ATLAS Collaboration

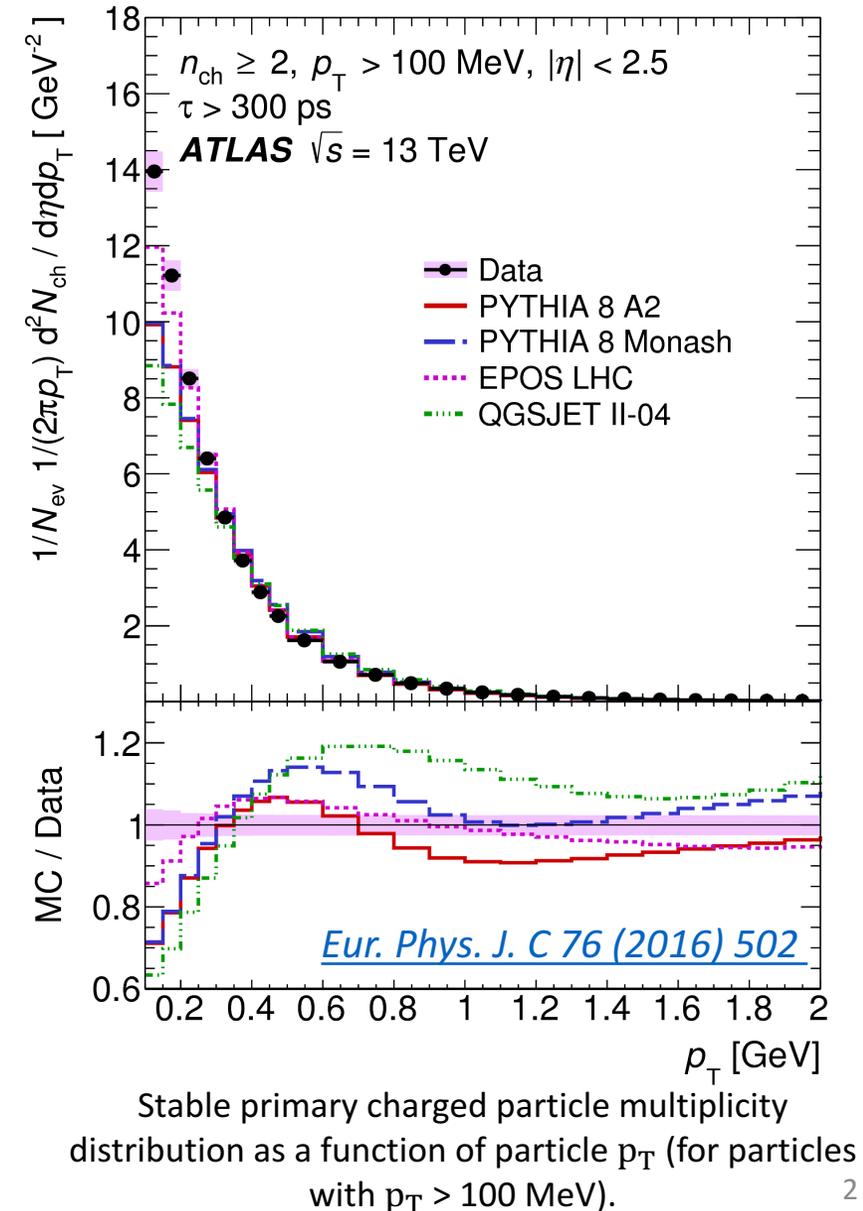
April 20, 2020

Connecting the Dots



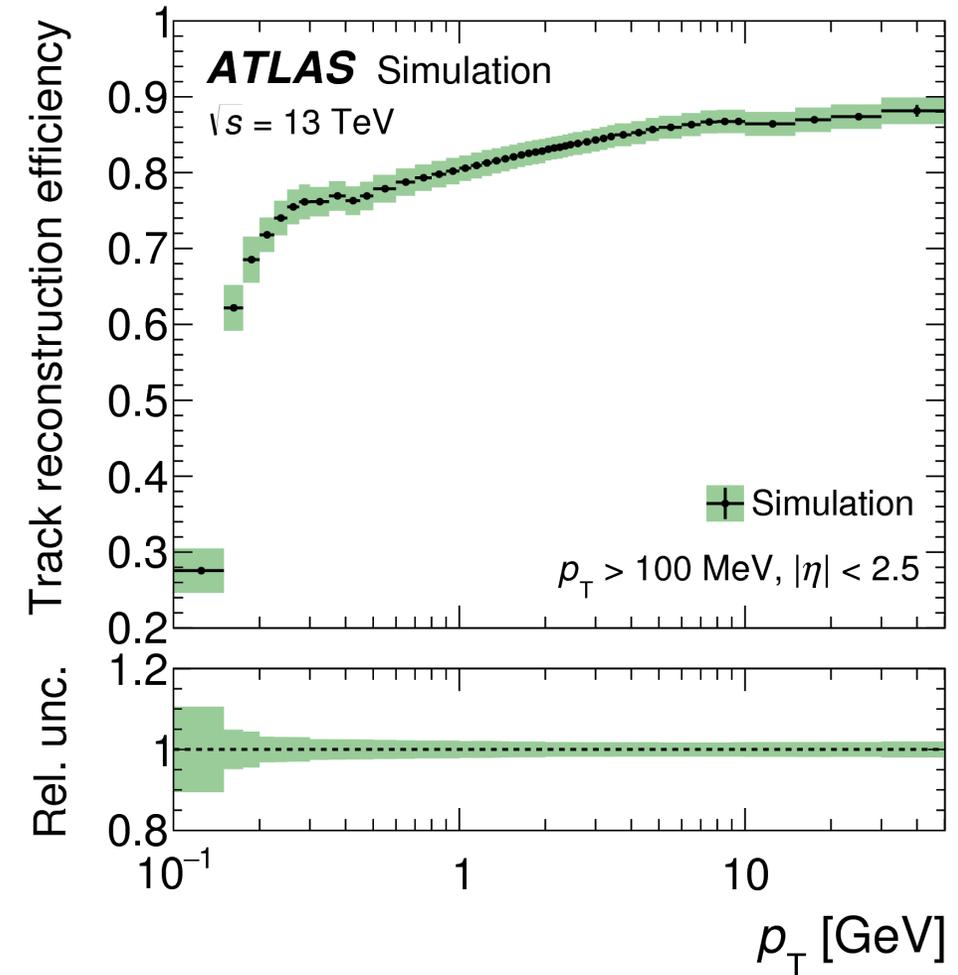
# ATLAS Tracking

- Current ATLAS tracking framework attempts to reconstruct particles with  $p_T$  down to 500 MeV
- The particles from pileup interactions and from the underlying event (UE) of high- $q^2$  pp interactions at the LHC are in fact MOST LIKELY to have  $p_T < 500$  MeV
  - For most analyses, these particles are not interesting
  - There is also a steep reconstruction time and storage cost for incorporating low- $p_T$  tracks



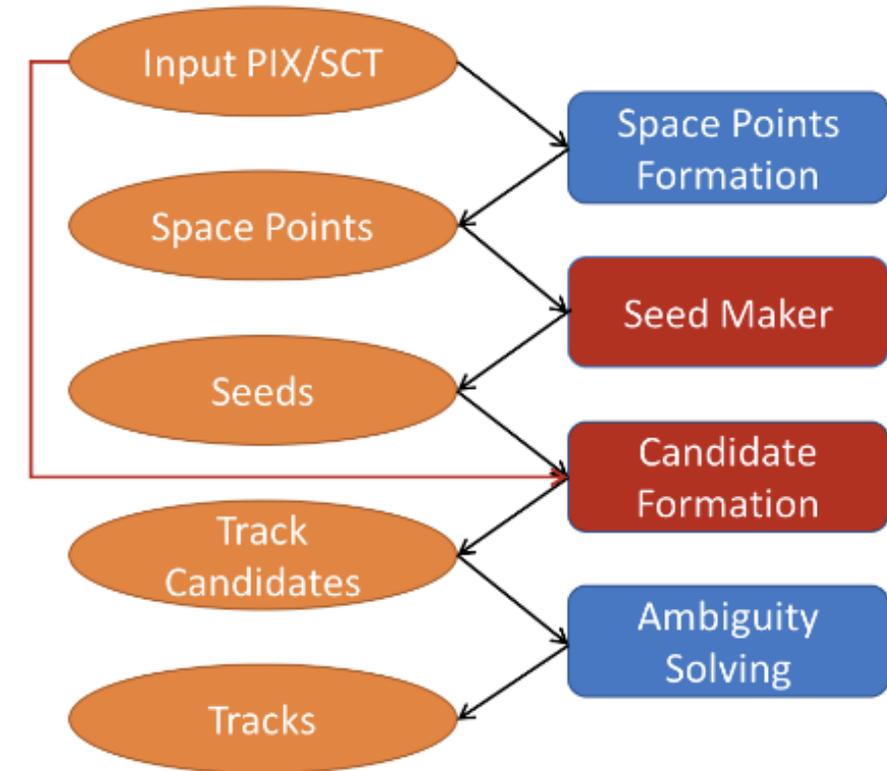
# Why reconstruct low- $p_T$ tracks?

- That being said, there *are* ATLAS analyses where low- $p_T$  track information can be useful
  - Searches for photon-induced physics can use better reconstruction of an UE to help distinguish between photon- and parton-induced interactions
  - Charm tagging can be improved, as D meson decays often result in low- $p_T$  tracks
  - Some SUSY models predict low- $p_T$  tracks (e.g. small chargino-neutralino LSP mass splitting)
- ATLAS *has* reconstructed tracks with  $p_T$  down to 100 MeV in the past, but that was done in low pileup (PU) conditions ( $\sim 1$  pp collision per bunch crossing)
  - In order for an analysis to use low- $p_T$  tracks in nominal data-taking conditions, **the implementation must work in high PU condition** (up to 70 pp collisions per crossing)



# Implementing low- $p_T$ tracking (for high PU)

- High PU results in a large number of detector hits in each layer of the ATLAS Inner Detector (ID)
  - Seeds for tracks are formed from combinations of 3 pixel-layer hits or 3 SCT hits (and sometimes an extra confirmation hit)
  - High- $p_T$  charged particles curve relatively little, so seed finding is a question of finding three hits that form a line in space (or small deviations from a line)
  - Going to lower  $p_T$  opens up a large deal of phase space for the curvature of seeds resulting in a large combinatorics problem- now can combine hits that are significantly non-linear
- To mitigate this problem, run tracking in two passes: first do nominal tracking, then *remove hits used by these tracks*, and then perform low- $p_T$  tracking with these hits as input
  - Low- $p_T$  tracks have slightly relaxed hit requirements

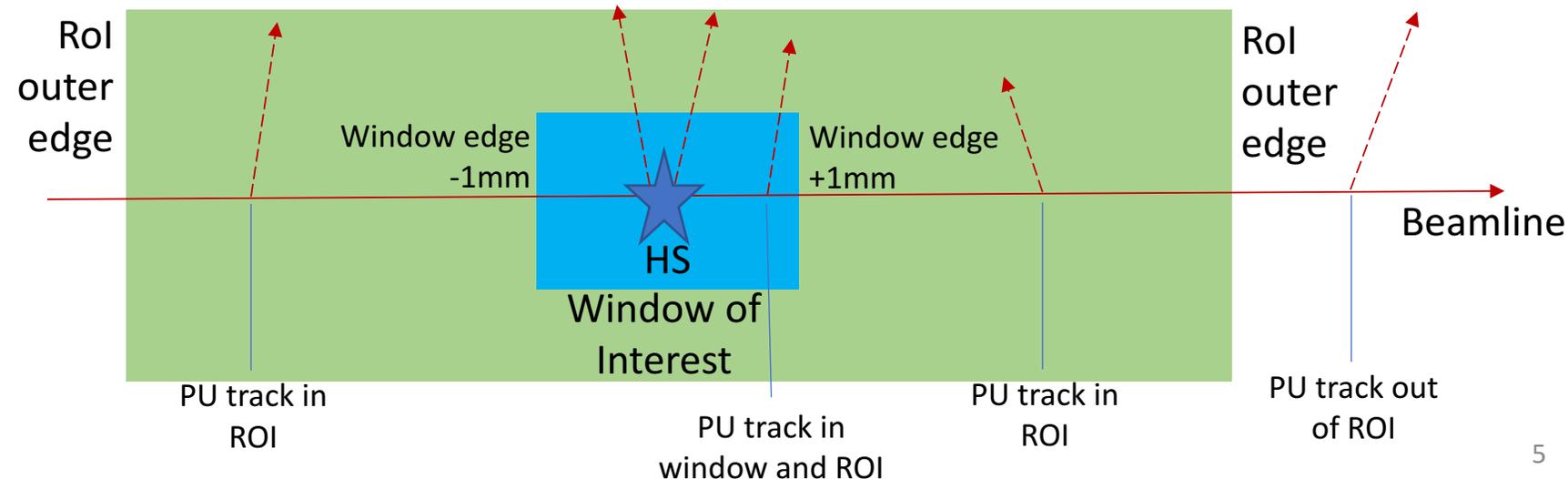


Hits used by nominal track ARE NOT in the input pix/SCT for the low- $p_T$

# Implementing low- $p_T$ tracking (for high PU)

- An additional trick to reduce combinatorics has also been implemented: only consider track seeds pointing into a region of interest (RoI)
  - RoI position can be chosen from reconstructed objects (data and MC) or from a truth-level position in MC: no need to find low- $p_T$  tracks far from collision of interest
  - Iteratively find seeds and reject them if pointing away. Only for the successful ones extend and move to ambiguity solving
  - RoI size is configurable- choice will be explored in coming slides

Tracks are reconstructed for seeds that point into the RoI (green region). However, the tracks that are **most relevant for analysis** are those near the hard scatter (HS), in what I will call the “window of interest” (blue region). Most of the following plots are only of particles or tracks in the blue region, which we typically take to be  $\pm 1\text{mm}$  from the HS for tracks.



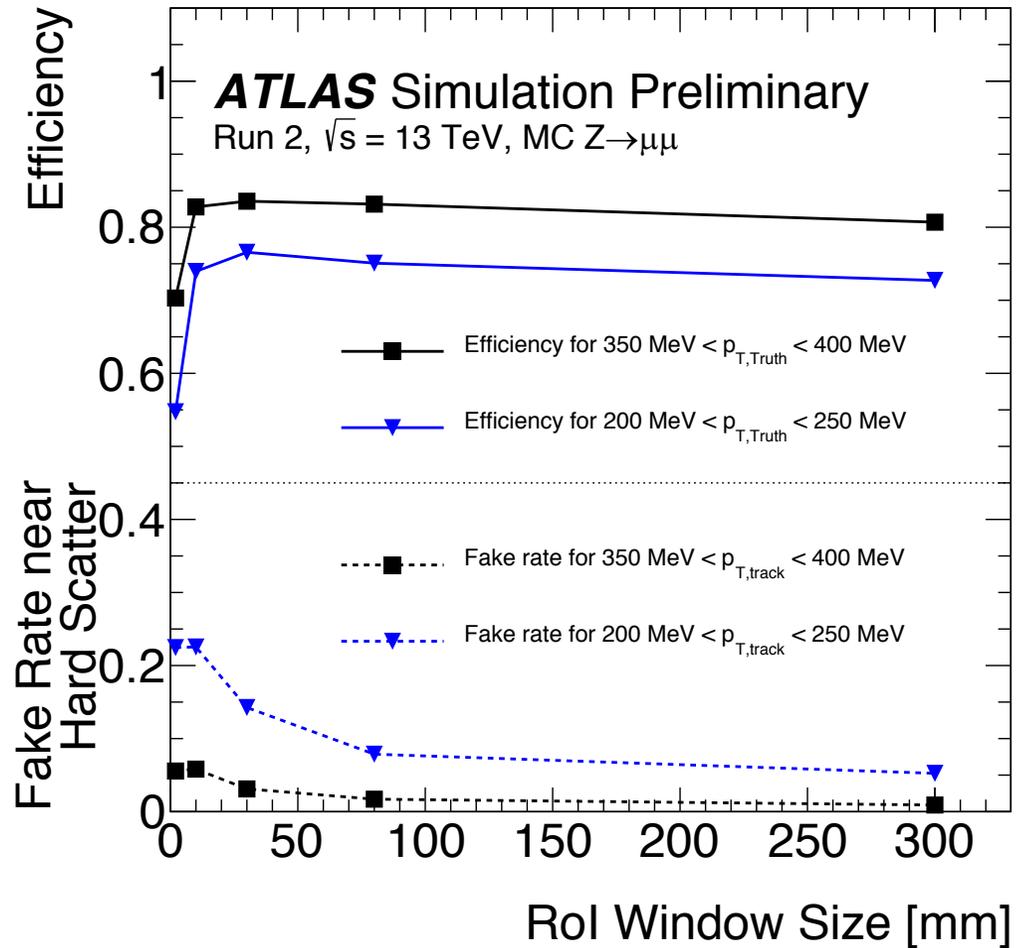
# Performance metrics

1. Efficiency of reconstructing charged particles (truth-level objects)

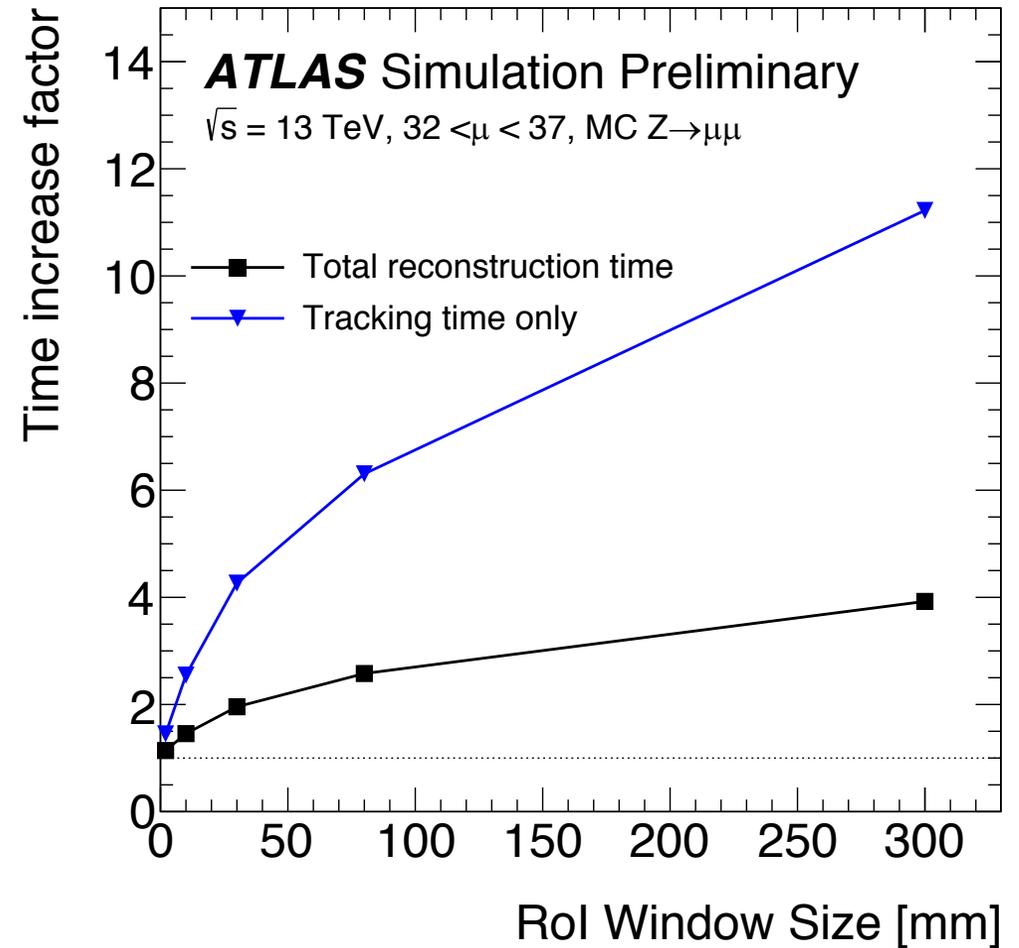
$$eff = \frac{\#charged\ particles\ with\ at\ least\ one\ reconstructed\ track}{\#charged\ particles}$$

2. Fake rate: a fake track is one constructed from detector hits created by multiple different charged particles- i.e. it does not correspond to any truth level object's trajectory
  3. Reconstruction time. How much longer does the reconstruction take when low- $p_T$  tracking is included?
- We will use these metrics to determine an optimal RoI size and to evaluate the performance of our algorithm

# Determining RoI size



Efficiency and fake rate near HS vs RoI size for two  $p_T$  slices of RoI tracks

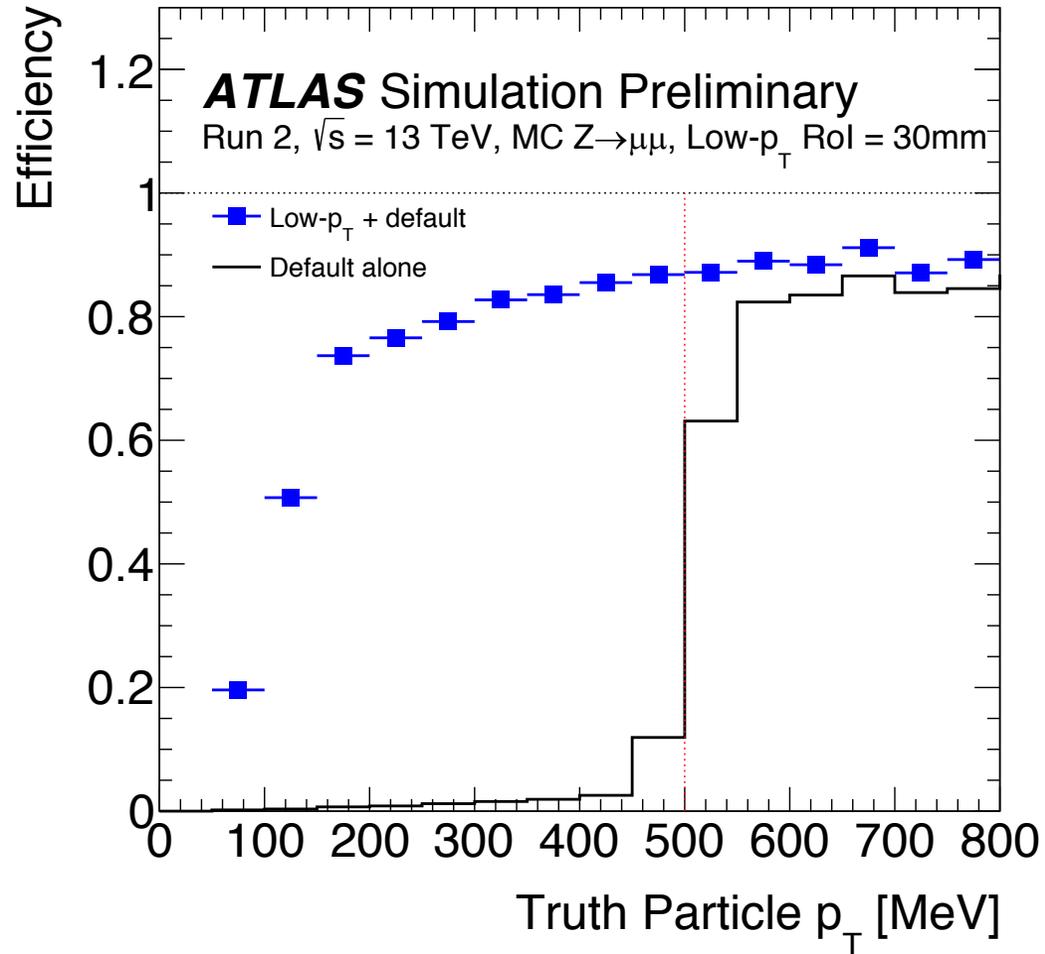


Total time increase and time increase of the tracking component of reconstruction alone vs RoI size. The factor is taken as a multiplicative factor over reconstruction without low- $p_T$  tracking.

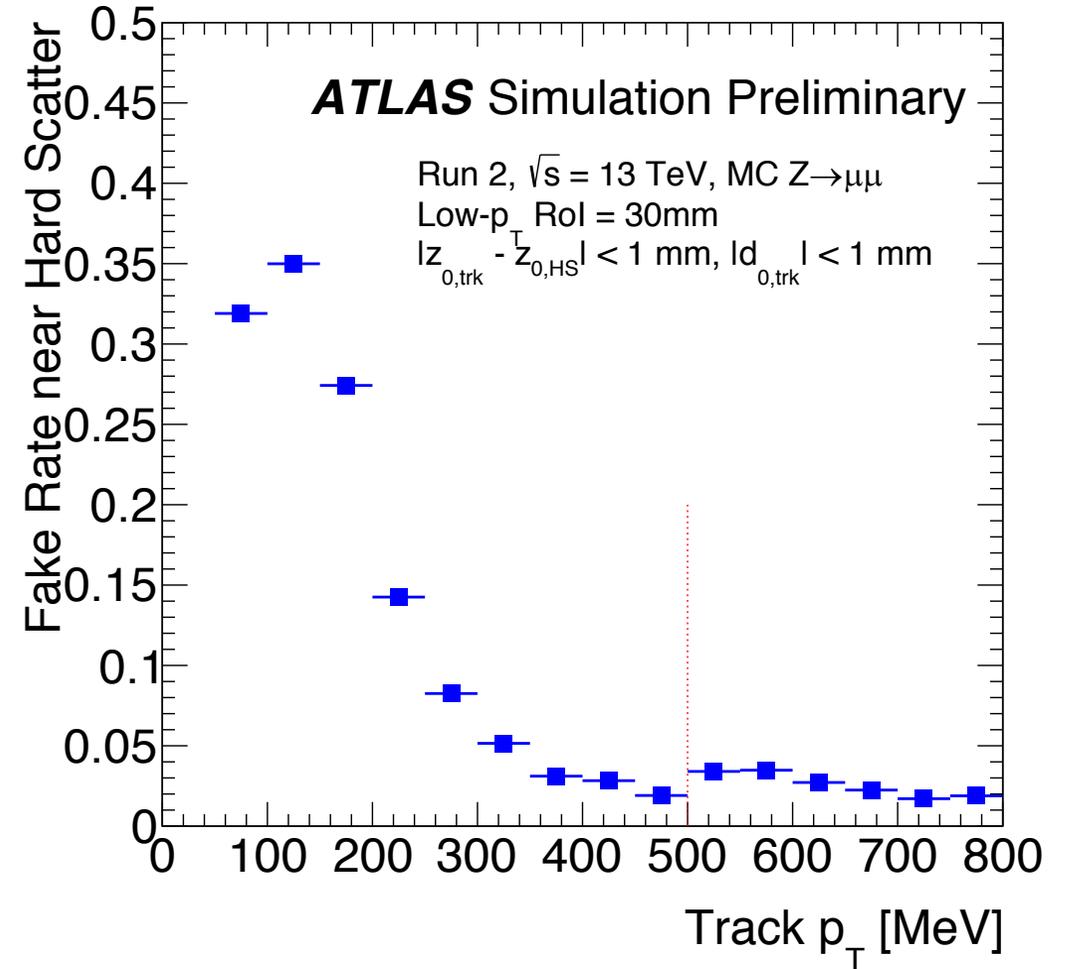
# Selecting an RoI size

- A small RoI (< 10 mm) is **fast** but has lower efficiency and **high fakes**
- A large RoI (>100 mm) has **low fakes**, has generally lower efficiency, and is **time intensive**
- A medium RoI size (~30 mm) has **close to maximal efficiency**, at the cost of medium fakes and a moderate reconstruction time impact
- We adopt 30mm as a tentative default, though this is an adjustable parameter when the algorithm is called
- Now, how do efficiency and fake rate perform as a function of  $p_T$ ?

# Efficiency and fake performance



Efficiency for reconstructing charged, stable, non-lepton truth particles as a function of truth particle  $p_T$ . Step dropoff in performance below 200 MeV.



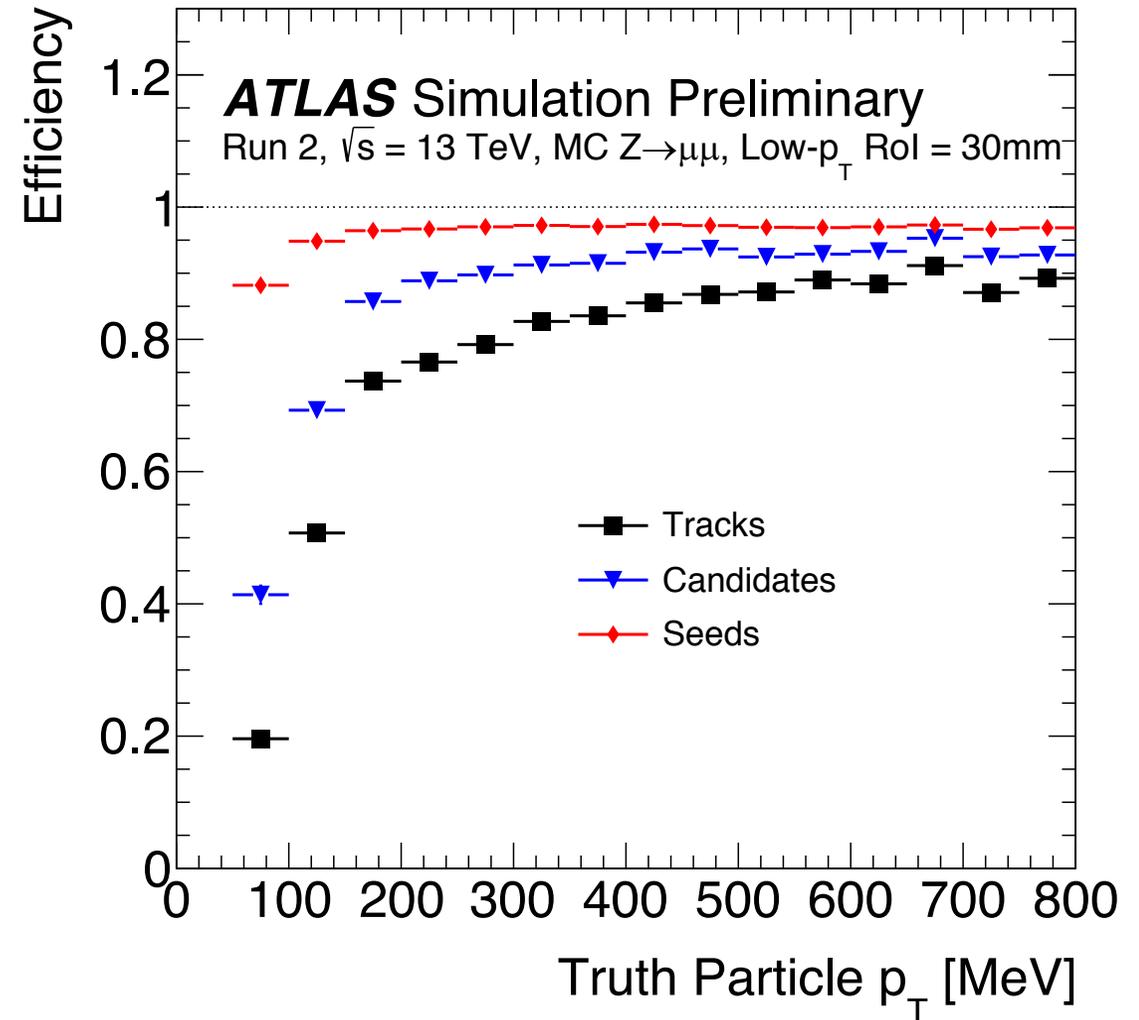
Fake rate for tracks within 1mm of the HS vs the track  $p_T$ . Relatively steep increase as  $p_T$  decreases.

# Remarks

- The efficiency decreases slightly but is fairly stable down to  $\sim 200$  MeV
  - Steep dropoff for extremely low- $p_T$  particles
- Fake rate stays below 10% for tracks down to  $\sim 250$  MeV
  - Below 200 MeV,  $\sim 1$  out of every 3 of tracks are fakes
- **How could we improve efficiency and decrease fake rate?**
  - ATLAS tracking is performed in three stages
    1. Create “seeds” from 3 space point (either 3 pixel hits or 3 SCT hits)
    2. Extend seeds through additional hits using Kalman filter to create “candidates”
    3. Perform ambiguity solving on candidates to create final tracks (want only 1 track per particle!)
  - To increase efficiency, you want more seeds (or perhaps looser ambiguity solving)
  - To decrease fake rate, you want fewer seeds (or perhaps tighter ambiguity solving)
- Let’s examine where efficiency is being lost and our seed quality

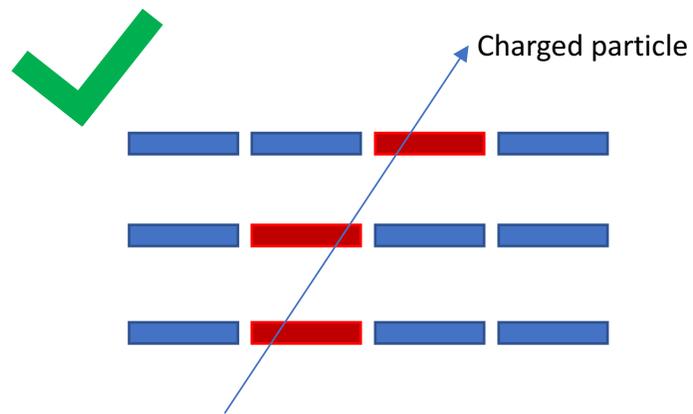
# Where is efficiency lost?

- Here we plot the probability for a charged, stable, non-lepton truth particle to have at least one track/candidate/seed as a function of the truth particle  $p_T$ 
  - Most particles have at least one seed
  - About half of the seed-to-track loss is lost in the candidate stage, except at very low  $p_T$ , where loss is more dramatic
- Does this mean we should just accept a higher fraction of seeds to increase our efficiency?
  - Why is the seed-to-candidate drop worse at lower- $p_T$ ?

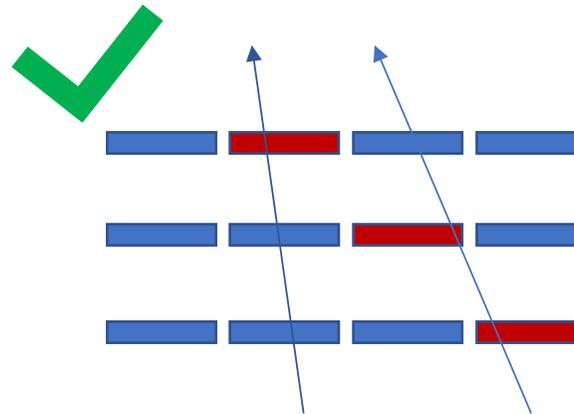


# Examining seed quality

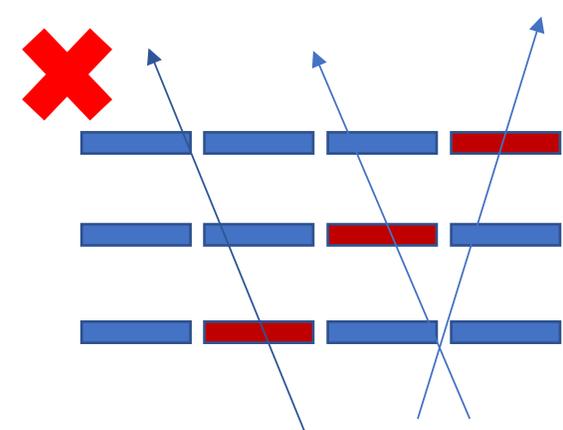
- We will use “truth match probability” as the primary metric for seed quality
  - This is weighted fraction of hits on a track created by the same truth particle
  - Recall that seeds are typically formed from hits on 3 pixel layers or 3 SCT layer (with an extra hit or two possible as “confirmation hits”)
  - If all three hits are from different truth particles, truth match probability = .333
  - Typically consider a seed fake if fewer than half of hits come from the same particle



“TRUE”: Seed formed from hits in red has truth match prob of  $3/3$



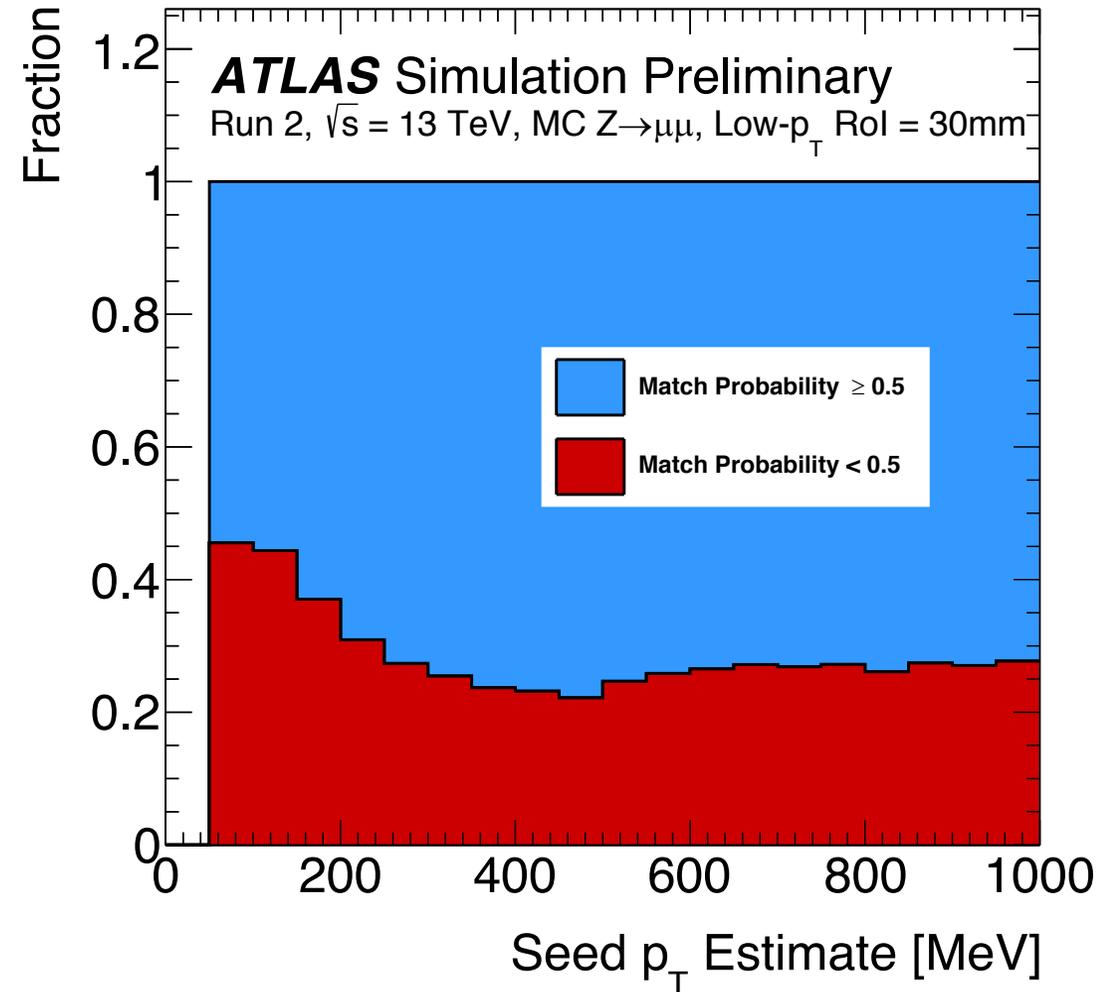
“TRUE”: Seed formed from hits in red has truth match prob of  $2/3$



“FAKE”: Seed formed from hits in red has truth match prob of  $1/3$

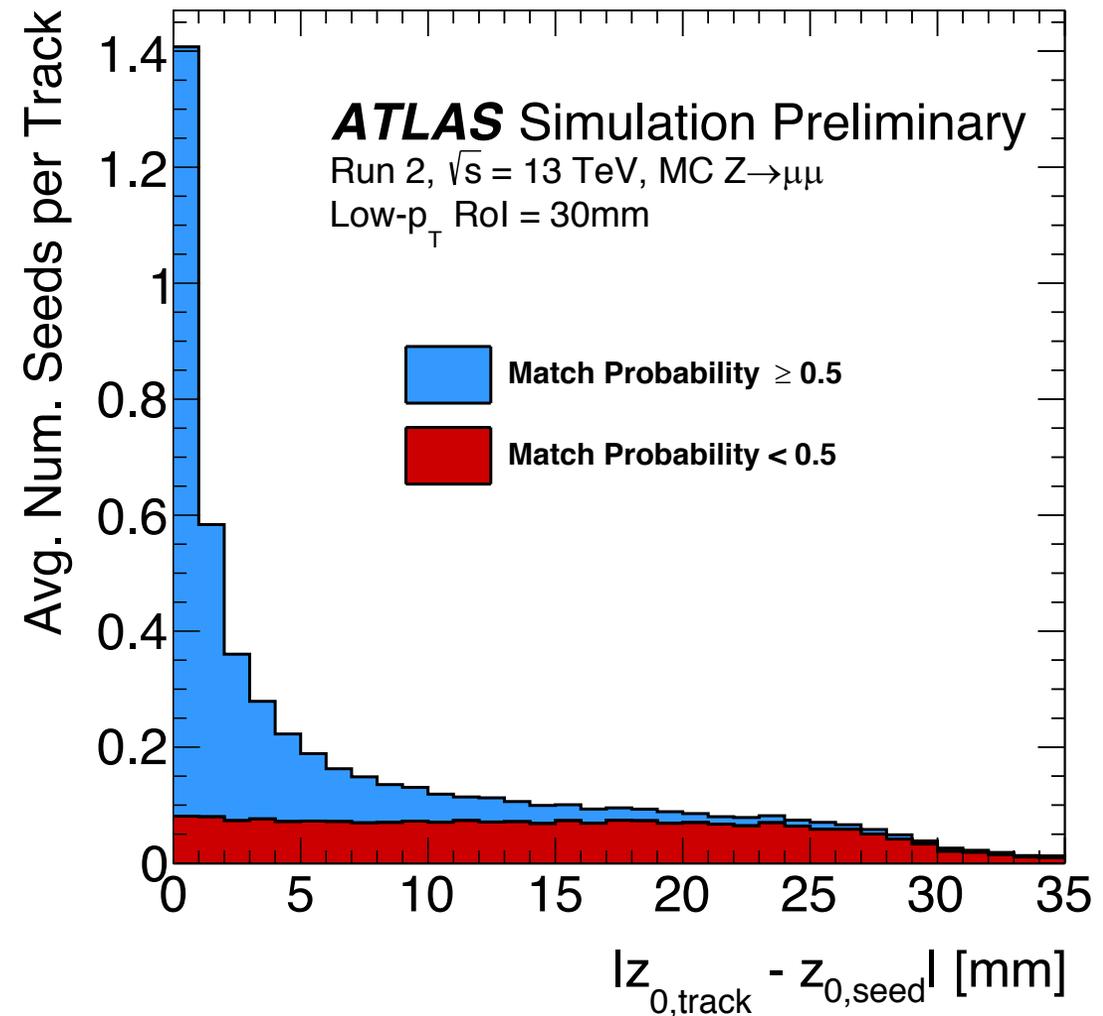
# Examining seed quality

- Could we increase efficiency by loosening seed-to-candidate selection?
  - If the seeds are generally poor quality, this is not necessarily the case though
  - If truth match prob is low we don't necessarily expect the seed to be able to be properly extended through hits on other layers to make a candidate
- There is a high fake rate for very low- $p_T$  seeds; loosening selection requirements here will increase fake rate



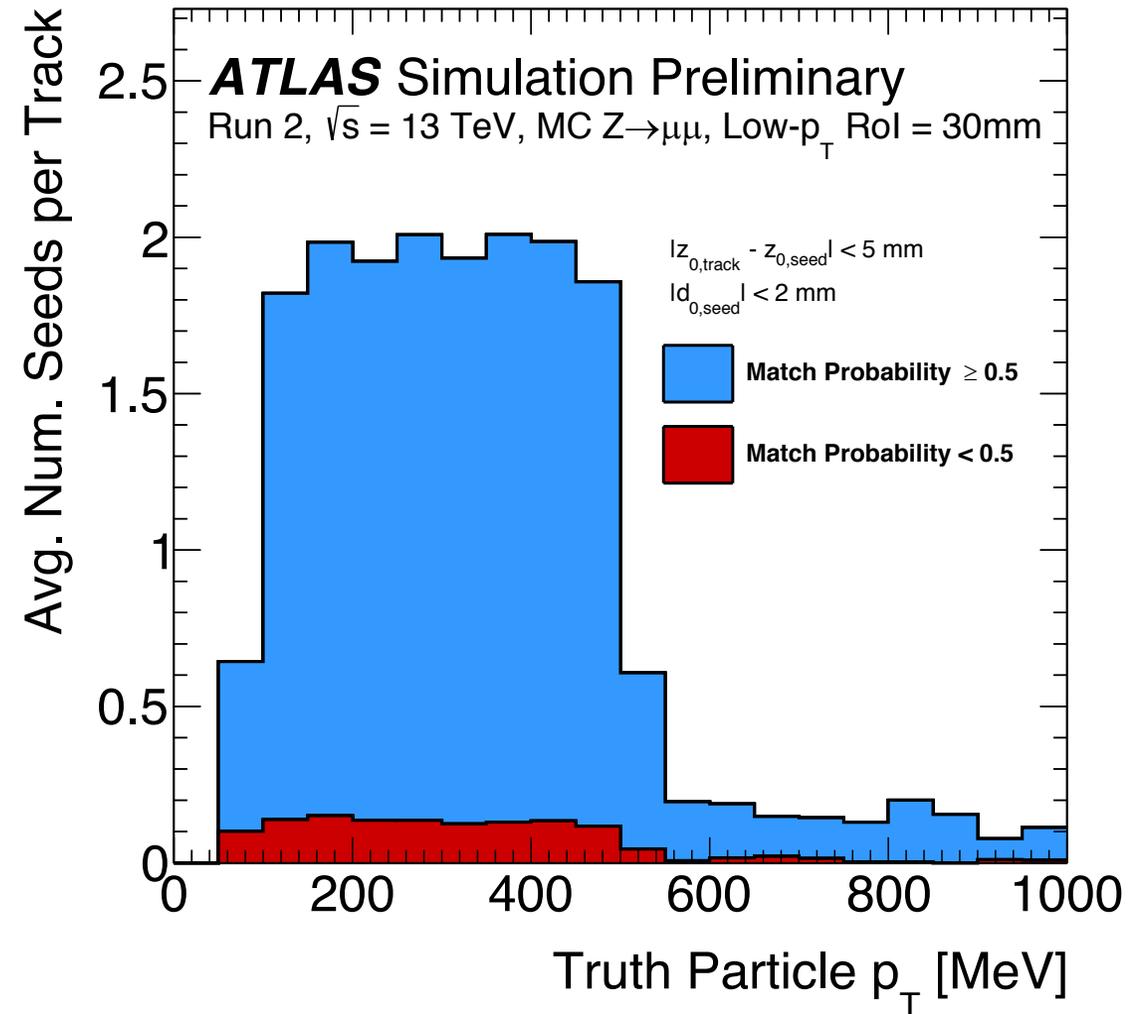
# Seed resolution and number of seeds per track

- Conversely, to decrease fake rate, we would want to tighten seed-to-candidate-to-track selections. Do we have enough seeds per track to consider doing this?
- On the right: plot of  $|z_{seed} - z_{track}|$  for tracks coming from low- $p_T$  tracking pass
- Fake seeds can point almost anywhere, but we DO see that low- $p_T$  tracks typically have  $\sim 2$  good seeds pointing within 5mm along the beamline
  - Note that this is seeds per **track**, not per truth particle- i.e. the track *exists* for seeds considered here
  - This is not meant to imply that we should be highly efficient at track level



# Seed resolution and number of seeds per track

- Given the seed “resolution” plot on the previous slide, let’s restrict ourselves to look at seeds with  $|z_{seed} - z_{track}| < 5\text{mm}$  and seeds with a transverse displacement from beamline of  $< 2\text{mm}$ 
  - How many of such seeds per track do we see per track?
  - Interestingly, we see that for truth particles that DO have a track, there’s typically about 2 good pointing seeds per track
  - Perhaps this means there is a little wiggle room for reducing fake rate



# Moving forward

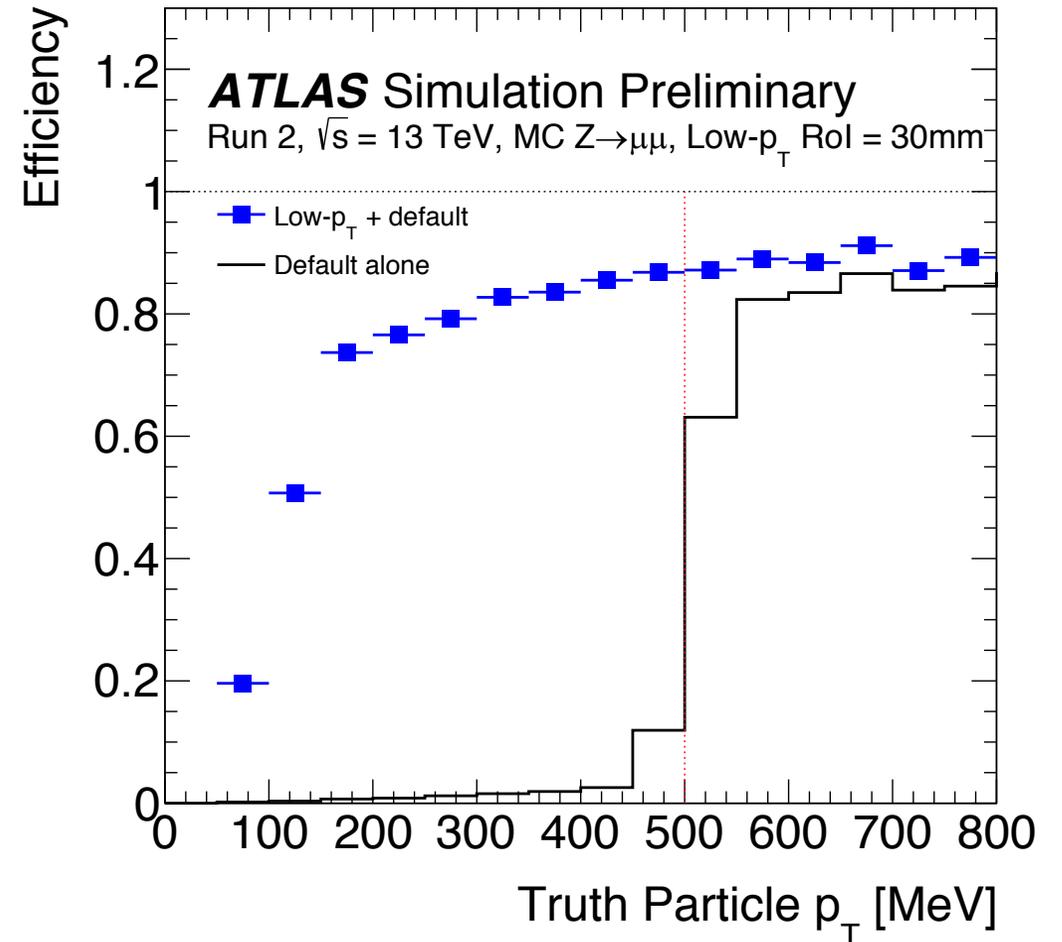
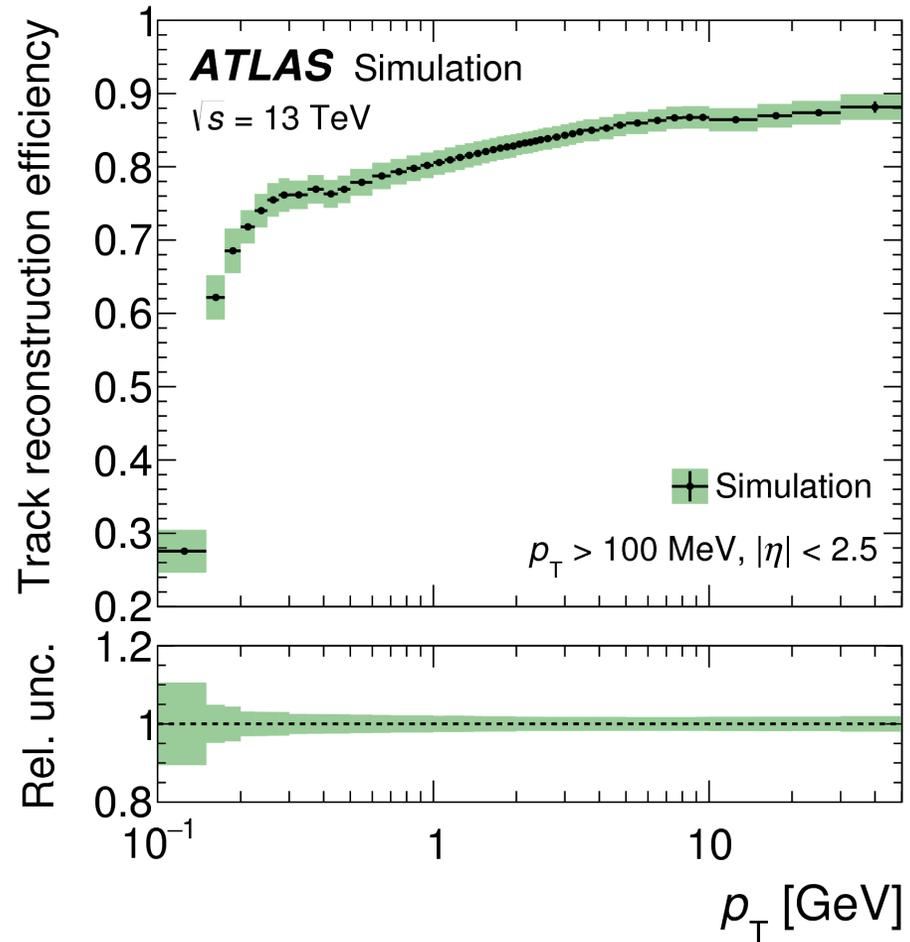
- Increasing the efficiency for very low- $p_T$  particles would be difficult
  - There is a large combinatorial background here, where almost half of seeds are comprised of hits of random origin. Relaxing seeding selections here would only make this worse
- Reducing the fake rate might be possible by tightening selections
  - This could be done at reconstruction level, potentially improving reconstruction time too
  - Alternatively could also be done offline at the track level
    - E.g. make some set of track selections based on track  $p_T$ ,  $\eta$ , number of pixel hits, number of SCT hits, number of holes, etc.
    - Such selection can decrease the fake rate, but will have a strictly negative effect on efficiency
  - Balance between fake rate and efficiency is somewhat analysis-specific question

# Conclusions

- Low-Pt tracking can be a useful tool for many analyses
  - We have created a framework in the ATLAS software that can be applied on events of interest to specific analyses, making it very portable
- Extending tracking down to  $\sim 200$  MeV can actually be done in generic run 2 pileup conditions with relatively high efficiency and low fake rate
  - In the very-low Pt regime, fake rate tends to become significant, but this can likely be mitigated with offline selections
- Impact on reconstruction time is not totally negligible, but there's room for improvement by tightening seed selection criteria

# Backup

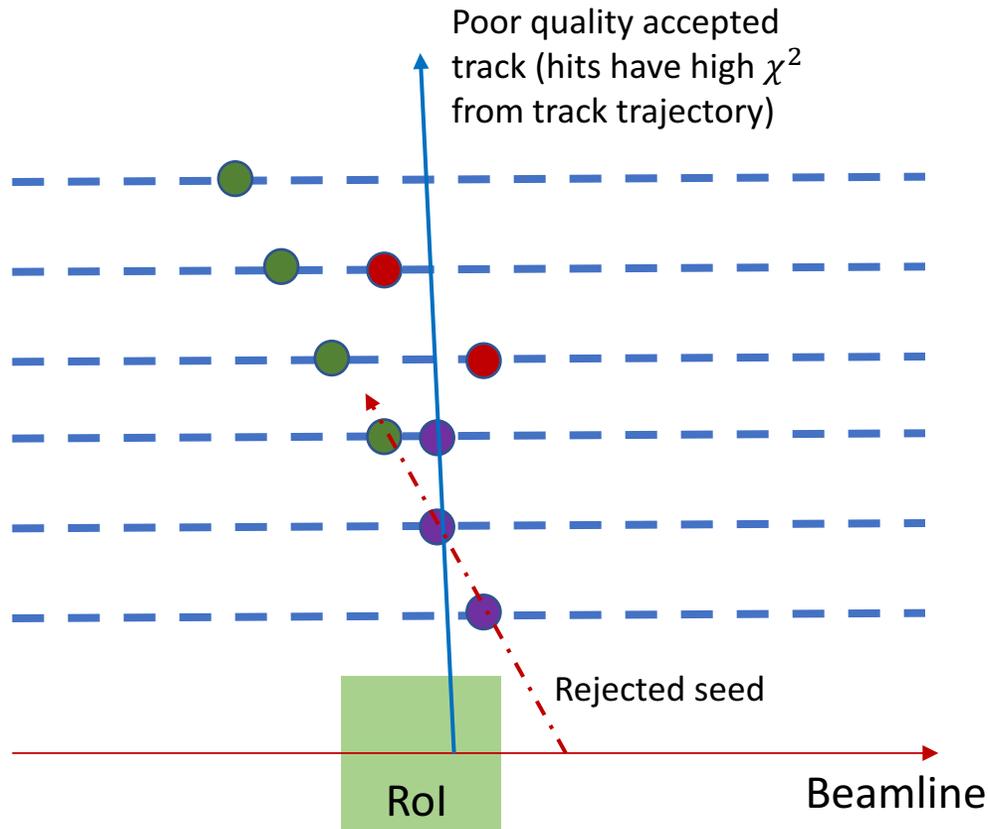
# Compare MinBias tracking efficiency to ours



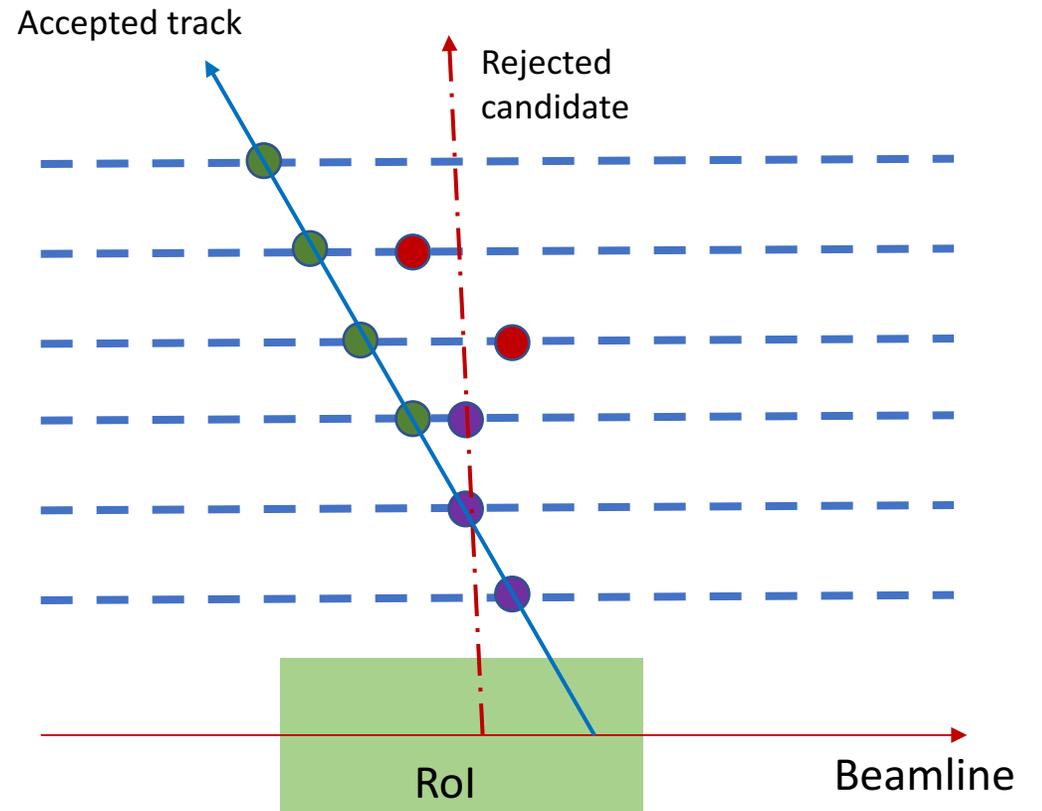
# Why does fake rate decrease with RoI size?

- Qualitative explanation:
  - When you run low- $p_T$  tracking, you get a collection of both low and high quality tracks
  - With a larger RoI, hits will be used by high quality tracks, and with ambiguity solving, the hits get "taken away" from the worse tracks. The lower quality tracks get eliminated.
  - Occasionally, eliminate poor track that points near the HS in favor of a good track that points outside the RoI. **This leads to a lower fake rate when you have a larger RoI.**
  - Conversely, with smaller RoI, more poor tracks survive: the good tracks outside of the RoI never get made, so they never take away hits from the poor tracks. Leads to a **higher fake rate when using a smaller RoI.**
- Thought about another way:
  - All of the hits are available for the seeding stage regardless of RoI, so the number of **seeds** near the HS (say within 1mm) won't change much regardless of RoI size, so increasing the RoI is a win for the fake rate because it allows for more ambiguity solving on the candidates from those seeds.

# Cartoon explaining fake rate vs RoI size



Blue dashed lines represent inner detector layers



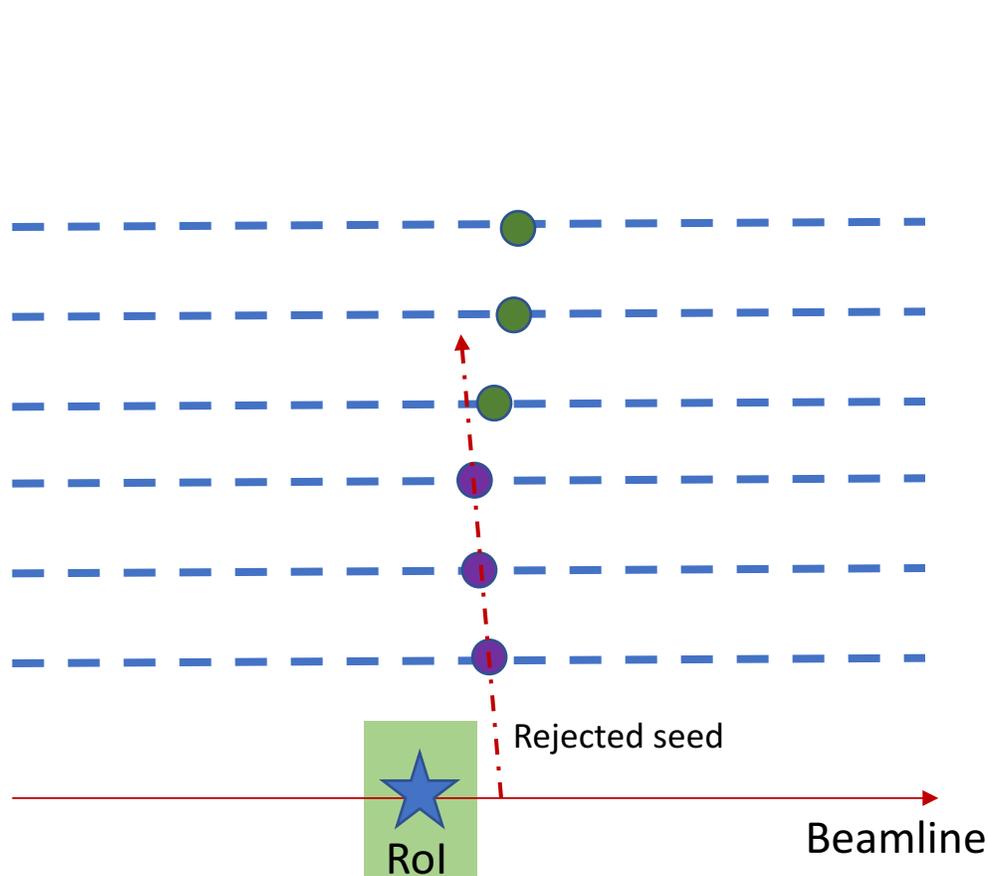
With a larger RoI the fake candidate was rejected in ambiguity solving because it shared hits with a high quality track. **Generally: larger RoI = lower fake rate**

Here a small RoI was used. The seed formed from purple hits was accepted and extended using the red hits becoming a fake candidate and then track. The red dashed seed was rejected because it points outside the RoI, but it would make a good track.

# Can you explain eff. vs RoI size shape?

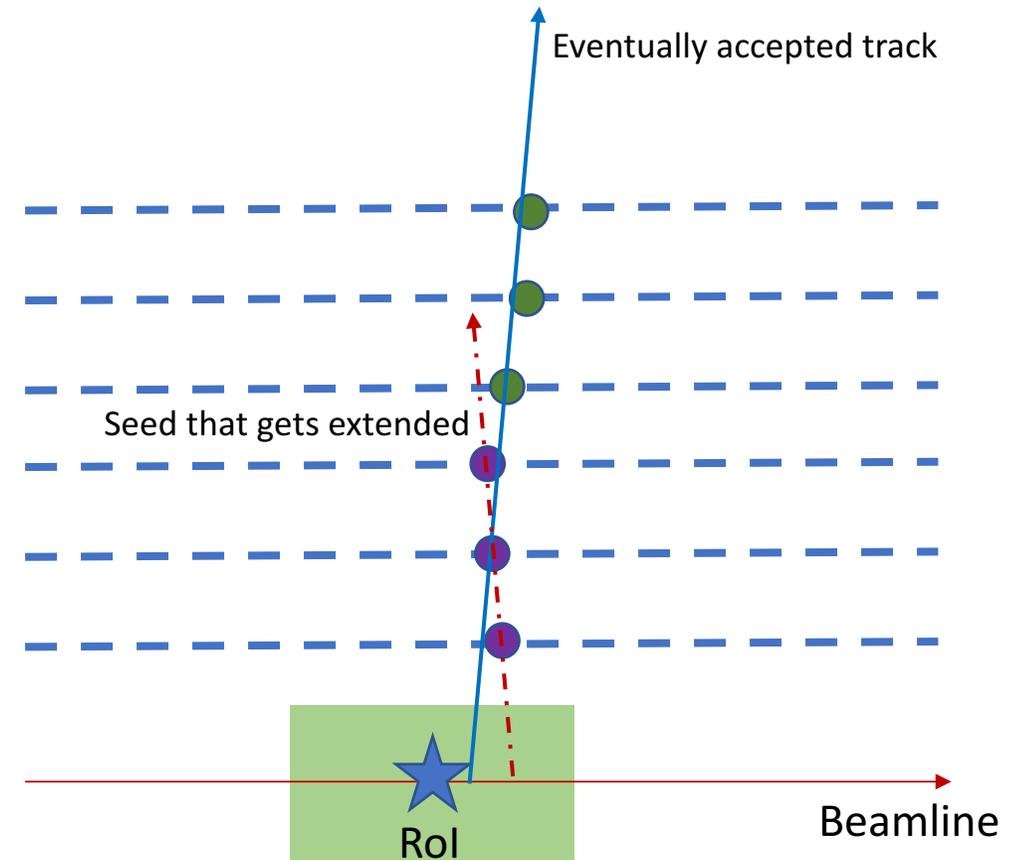
- Qualitative explanation:
  - While the number of **seeds near the HS** isn't very RoI size dependent, the seeds have poor z resolution
  - **If the RoI is too small, you will miss some tracks** that end up pointing towards the HS after being extended through all layers, even though they don't point there at the seed level
  - **Efficiency will increase with RoI size**; there will simply be more candidates, meaning higher likelihood of having something to match a truth particle
  - However, efficiency drops again for a very large RoI size.
  - The drop is likely due to the ambiguity solving mentioned in the fake rate discussion above
  - Once the RoI is  $\sim 30\text{mm}$ , it seems that you don't gain any candidates (near the HS!) by using a larger RoI. **This means that efficiency is NECESSARILY maximal at such an RoI.**
  - A larger RoI will only mean more ambiguity solving, which can only take away tracks, reducing efficiency
  - Admittedly, that means that the  $\sim 30\text{mm}$  RoI will have a higher fake rate too (due to less ambiguity solving). A bit of further investigation is needed, but everything seems consistent with this explanation so far.

# Cartoon explaining efficiency vs RoI size



Blue dashed lines represent inner detector layers

Here a small RoI was used, so the seed that gets created from the purple hits gets rejected.



With a larger RoI the seed was accepted and eventually extended through the green hits, slightly adjusting the measured  $z_0$ .