# Learned Representations from Lower-Order Interactions for Efficient Clustering

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# Particle Tracking, TrackML

Given a set of  $\approx 10^5$  hits created by  $\approx 10^4$  particle tracks, cluster hits such that each cluster is associated with one track.

#### Input:

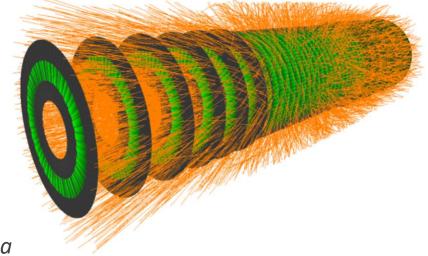
- N hits (x, y, z) and detector ID)
- Detector cell pattern for each hit

#### Output:

• k clusters of the N hits

#### **Challenges:**

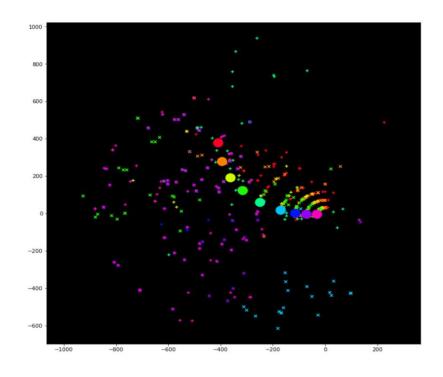
- Variable number of tracks which is not a priori known
- Inference must be efficient



#### Baseline Methods, Motivation

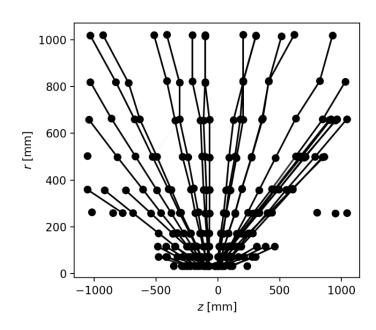
Top solutions to kaggle's TrackML competition generally operate in three stages:

- 1.Identify candidate pairs of hits on adjacent detector layers
- 2.Construct tracks based on candidate pairs
- 3. Refine track selections
  - Reject tracks below certain threshold
  - Extend tracks with any appropriate leftover hits



#### GNN Baseline, Motivation

- Farrell et al. proposed a GNN-based deep learning approach which connects hits through learned edge labels
  - Construct a graph which connects promising hit pairs in adjacent layers with edges
  - 2. Run hits and graph through a GNN to classify edge labels
  - Traverse graph through high-scoring edges to build tracks



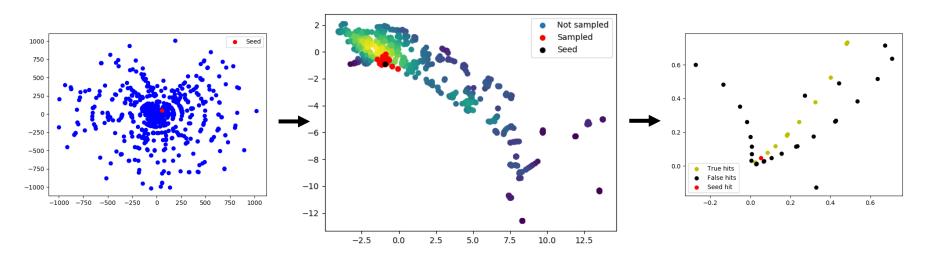
[Farrell et al., 2018]

#### Learned Embeddings

- Leading solutions begin by a process of doublet building, relying on implementing a series of heuristic physics-based cuts
- Key idea: build doublets by learning a representation of hits, where the new space has a Euclidean metric, hits belonging to the same track are close together, and otherwise far apart
- Learn an embedding model as follows:
  - Dataset  $X = \{ (x_1^{(1)}, x_2^{(2)}), \dots, (x_n^{(1)}, x_n^{(2)}) \}, x_i \text{ in original space}$
  - Embedding model  $\phi(x) \in \mathbb{R}^m$ , where  $\phi$  is an MLP and m is the embedding dimension
  - Supervise MLP with hinge loss *l*:

Let 
$$d = \|\phi(x^{(1)}) - \phi(x^{(2)})\|_2$$
 be the distance between  $x^{(1)}$  and  $x^{(2)}$ . Then 
$$l(x^{(1)}, x^{(2)}) = \begin{cases} d & \text{if } x^{(1)}, x^{(2)} \text{ belong to same track} \\ \max(0, 1 - d) & \text{else} \end{cases}$$

#### Learned Embeddings

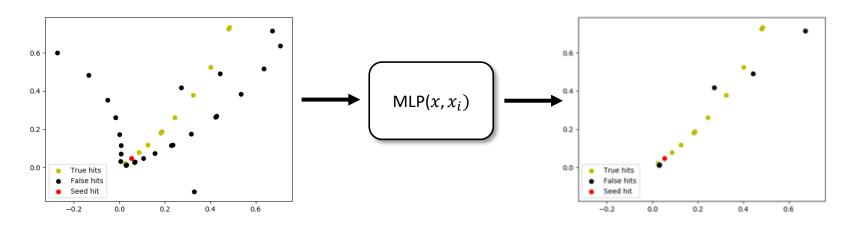


- Transform hits from the original space (left) to an embedded space
- Given a seed hit, query its neighborhood in the embedded space (center) using KD-trees
- Visualize the neighborhood in the original space (right)
  - Note that all of the hits in the seed hit's track are present

#### Doublet Refinement

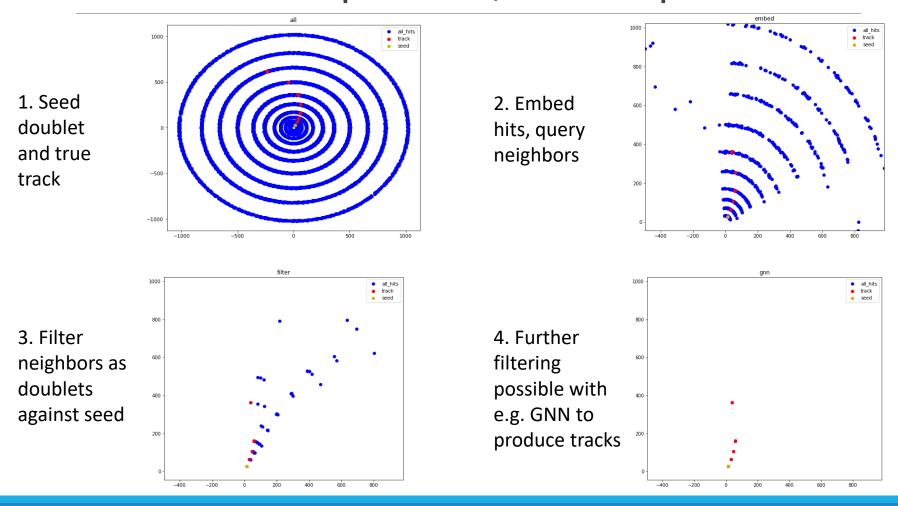
- Graph neural networks are expensive and require large footprints in GPU memory
- Far more information content in doublet than in single hit
- Key idea: Filter doublets with small MLP that rejects hit pairs belonging to different tracks, greatly increasing the purity of the produced graph
- Learn a filter model as follows:
  - Dataset  $X = \{ \left(x_1^{(1)}, x_2^{(2)}\right), \dots, \left(x_n^{(1)}, x_n^{(2)}\right) \}$ ,  $x_i$  in original space
  - $\circ$  Targets  $Y=\{y_1,\dots,y_n\},$   $y_i=1$  if  $x_i^{(1)},x_i^{(2)}$  belong to the same track, else 0
  - Supervise MLP with binary cross entropy

#### Doublet Refinement



- Given seed x, sample neighbors  $x_i \in N(x)$  from the embedded space
- Pass x concatenated with  $x_i$  to an MLP classifier, trained to reject hit pairs which belong to different tracks
- Remove pairs below threshold, refining neighborhood selection
  - $^{\circ}$  Pairwise hit information improves precision by pprox17%, greatly reducing the number of pairs produced

#### Doublet Pipeline, example



# Learned Embeddings, Results

- Learned representations find twice as many true doublets, while presenting one third as many false doublets
- Adaptive neighborhood size allows variable efficiency, purity
- This doublet seeding strategy is plug-and-play with the baselines
  - Results presented here are with restriction that true pairs are between adjacent layers only

Method	Range (GeV)	Efficiency	Purity
Learned	All	0.961	0.303
	>0.5	0.985	
	>1.0	0.983	
Cut-based	All	0.428	0.087
	>0.5	0.944	
	>1.0	0.993	

# Learned Embeddings, Speed

- Graph building per event can be greatly reduced with good precision, recall
- Half precision and smaller networks offer promise to build entire graphs in under one second
- Challenges remain in training at half precision in stable manner

Precision	Net Size	Efficiency	Purity	Speed (s)
Full	4L/2048H	0.962	0.305	18
Full	3L/512H	0.961	0.303	3
Half	3L/512H	0.962	0.288	1.5

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# Generalizing to triplets

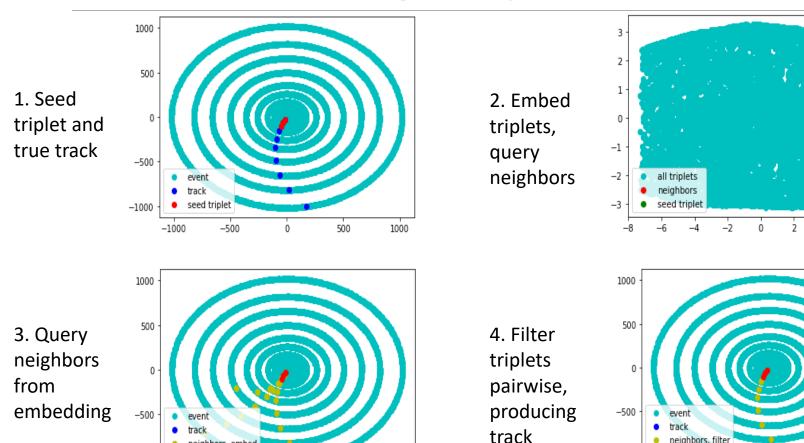
- Given a set of triplets, how can they be stitched together to form tracks?
- Key idea: build tracks by learning an embedding of triplets, where the new space has a Euclidean metric, triplets belonging to the same track are close together, and otherwise far apart
- Learn an embedding model as follows:
  - Dataset  $T = \left\{ \left(t_1^{(1)}, t_2^{(2)}\right), \dots, \left(t_n^{(1)}, t_n^{(2)}\right) \right\}, \ t_i$  a triplet of hits
  - Embedding model  $\phi(x) \in \mathbb{R}^m$ , where  $\phi$  is an MLP and m is the embedding dimension
  - Supervise MLP with hinge loss *l*:

Let 
$$d = \|\phi(t^{(1)}) - \phi(t^{(2)})\|_2$$
 be the distance between  $t^{(1)}$  and  $t^{(2)}$ . Then 
$$l(t^{(1)}, t^{(2)}) = \begin{cases} d & \text{if } t^{(1)}, t^{(2)} \text{ belong to same track} \\ \max(0, 1 - d) \text{ else} \end{cases}$$

# Track building with triplets

- 1. Receive triplets with high purity hits inside triplets all come from the same track from seeding algorithm
- 2. Embed triplets using learned embedding model
- 3. Query neighborhoods, filter pairs of triplets (akin to doublet filtering)
- Build tracks iteratively, assigning hits to clusters as seeded by a triplet

#### Track building, triplets: Ex 1



1000

500

0

neighbors, embed

-500

seed triplet

-1000

-1000

neighbors, filter

-500

500

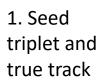
1000

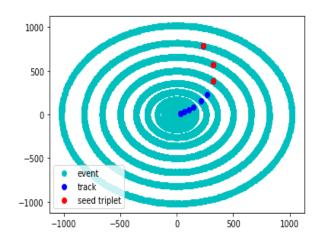
seed triplet

-1000

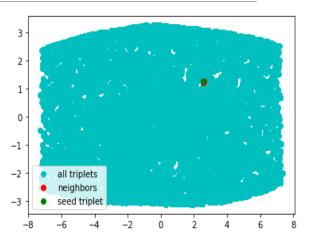
-1000

#### Track building, triplets: Ex 2

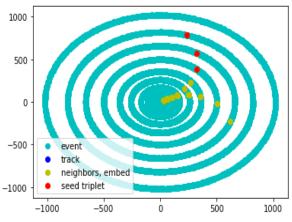




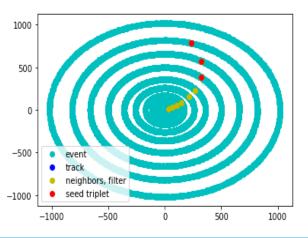
2. Embed triplets



3. Query neighbors from embedding



4. Filter triplets pairwise, producing track



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#### TrackML score from triplets

- Given a set of triplets from triplet classifier shown in Daniel Murnane's talk, baseline TrackML score is  $\approx 0.82$ 
  - Assign all hits contained in triplets to their true particle ID
  - Any hit not assigned to a triplet is given cluster ID 0
- Building triplets using embeddings achieves a **TrackML score of**  $\approx$  **0.78** 
  - Able to stitch together tracks which had a gap in Daniel's layerwise graph
  - Does not account for hits not included in any triplet

# Generalizing to Tracklets

- Many ways to parameterize a track segment (curvature, angle in z-r plane, etc.) to find nearby hits, matching track segments
- Key idea: learn a representation of track segments using a GNN, where the new space has a Euclidean metric, hits and track segments belonging to the same track are close
- Similar to the previous learned representation model:
  - Dataset  $X = \{(c_1^{(1)}, c_2^{(2)}), \dots, (c_n^{(1)}, c_n^{(2)})\}$ ,  $c_i$  is a cluster of variable size, containing hits which belong to the same track
  - Embedding model  $\Psi(c) \in \mathbb{R}^m$ , where  $\Psi$  is a message-passing GNN and m is the embedding dimension
  - Supervise GNN with hinge loss *l*:

Let  $d = \|\Psi(c^{(1)}) - \Psi(c^{(2)})\|_2$  be the distance between  $c^{(1)}$  and  $c^{(2)}$ . Then  $l(c^{(1)}, c^{(2)}) = \begin{cases} d & \text{if } c^{(1)}, c^{(2)} \text{ represent the same track} \\ \max(0, 1 - d) \text{ else} \end{cases}$ 

#### **Future Directions**

- Recover TrackML score through learned metric between triplets and hits
- Stitch together triplets with a GNN, incorporating neighborhood information in addition to pairs of triplets
- Alternative training strategies for embeddings
  - Contrastive triplet loss, or n-pair loss for better convergence
  - Angular loss allows for scale invariance in embedded space
- Optimize graph building to balance speed with efficiency
  - Vary neighborhood size against filtering threshold
  - Reduce MLP size, incorporate half-precision training