

WHY SHOULD WE STUDY YANG-MILLS GAUGE THEORIES IN 5D?

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Bridging perturbative and non-perturbative physics

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**Motivation:
uncovering the universality class
of quantum gravity**

A look at Weinberg's proposal

Asymptotic safety in QG \iff UV universality class

Weinberg proposes that the FP does not appear out of the blue but comes from continuing asymptotically free $2d$ quantum gravity above its critical dimension to $d = 2 + \epsilon$

Weinberg 1979

However a lot can happen from from $d = 2$ to $d = 4$...

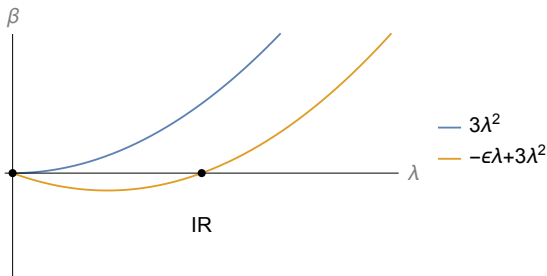
YM above $d = 4$ is certainly a great toy model for this

Take the continuation for granted, what are the obstructions?

Beta functions and ϵ -expansion

or what to look for when moving away from the critical dimension

Example: φ^4 in $d = 4 - \epsilon$

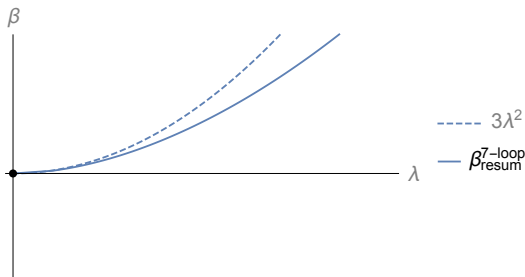


- ▶ One loop $\beta > 0$ for $d_c = 4$
- ▶ Well-defined ϵ -expansion
- ▶ Exactly solved CFT in $2d$
- ▶ Numerical evidence in $3d$

Bridging to non-perturbative physics: triviality in $d = 4$

For example approximate Borel transform with hypergeometric in $d = d_c$:

$$\beta_\lambda = 3\lambda^2 \left\{ 1 - 10^{-15} G_{3,4}^{4,1} \left(\frac{1.2}{\lambda} \mid \begin{matrix} 1, 3, 0.058 \\ 1, 1, 18.85, 0.063 \end{matrix} \right) \right\}$$

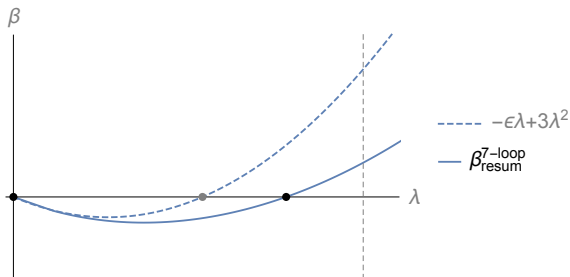


beta from **Antipin, Maiezza, Vasquez 2018**

Bridging to non-perturbative physics: IR FP

Include the scaling term for $d < d_c$:

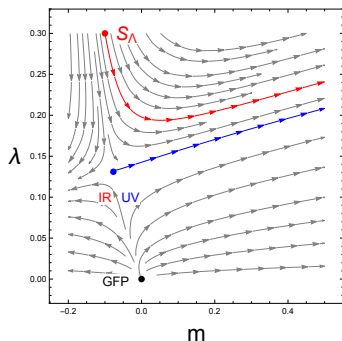
$$\beta_\lambda = -\epsilon\lambda + 3\lambda^2 \left\{ 1 - 10^{-15} G_{3,4}^{4,1} \left(\frac{1.2}{\lambda} \mid \begin{array}{l} 1, 3, 0.058 \\ 1, 1, 18.85, 0.063 \end{array} \right) \right\}$$



- Does an IR FP exist for each $d < 4$ when $\beta > 0$?

Example: $O(N)$ sigma model

$$V(\phi) = \frac{m^2}{2}(\phi_i\phi_i) + \frac{\lambda}{4!}(\phi_i\phi_i)^2$$



Blue trajectory is nonlinear $O(N)$ sigma model!

- ▶ same FP \equiv same universality class
- ▶ asymptotically free in $d = 2$ (Gaussian), nontrivial in $d = 2 + \epsilon$
- ▶ Does it always end in Gaussian?

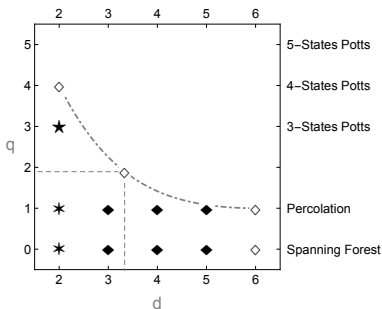
Mermin-Wagner

Example: q -states Potts-Landau field theory

Potential invariant under permutations:

$$V(\phi_i) = \lambda \sum_{\alpha=1}^q e_i^\alpha e_j^\alpha e_k^\alpha \phi_i \phi_j \phi_k$$

Critical dimension based on power counting is $d_c = 6$
but univ. class nontrivial for $d < d_{ec}(q) < 6$ (critical Potts model)



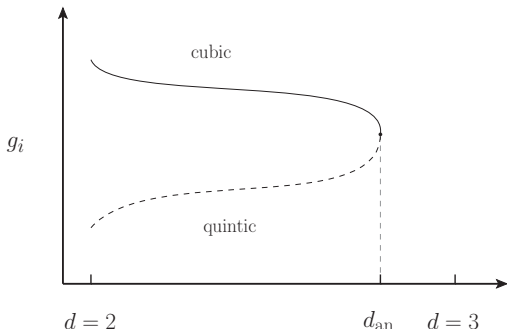
Codello, Safari, Vacca, Z 2019? but several others too

Mechanism: fixed points annihilation

FP annihilates with a multicritical friend for $d > d_{an}$

Therefore $d_{ec} = d_{an}$

For $q = 3$ we have $d_{an} \approx 3 \ll 6$



Very difficult mechanism to see perturbatively

but we have evidence in $d = \frac{10}{3} - \epsilon$ (almost perturbative in $d = 3$)

Recap

The ϵ -expansion:

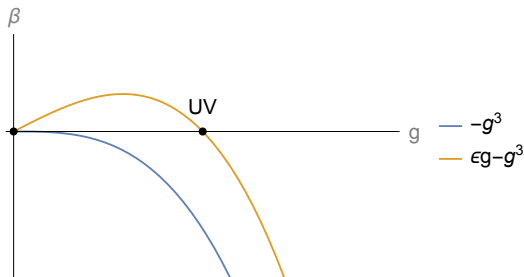
- ▶ Perfect for perturbative exploration/classification
- ▶ May bridge to non-perturbative (e.g. resum estimates)
- ▶ Has interplay with other non-perturbative (e.g. CFT)

However non-trivial things can happen:

- ▶ Same universality for different exp. (e.g. LSM vs NLSM)
- ▶ Fixed points might collide (Potts)

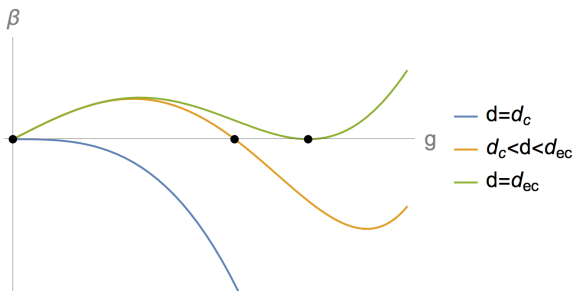
Yang-Mills in more than 4 dimensions

Yang-Mills pure gauge



- ▶ $\beta < 0$ for $d_c = 4$, asymptotic freedom
- ▶ Continuation to $d = 4 + \epsilon$ already by **Peskin 1980**
- ▶ “Confined” vs “deconfined” phases separated by g^* for $\epsilon > 0$?
- ▶ Testable on the lattice? **Kawai, Nio, Okamoto 1992**

UV completion for any $d > 4$? Unlikely



Here's a conundrum for you:

Theoretical evidence $d_{ec} \approx 5$ or 6 includes

- ▶ $\alpha \simeq 1$ exponent Peskin 1980
- ▶ Functional RG Gies 2003
- ▶ Properties of the β series Morris 2005

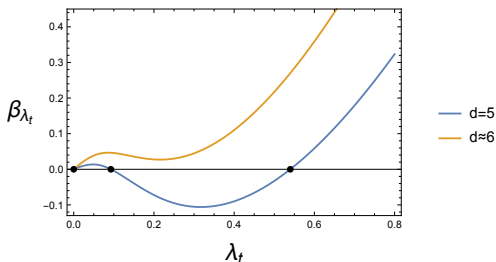
Numerical evidence against $\epsilon > 0$ is

- ▶ Only first-order from Wilson plaquette action!

Creutz 1979; Nishimura 1996; Ejiri et al. 2000; Farakos et al. 2003

Theory side: resumming 5-loop YM β

λ_t is t'Hooft coupling. Beta from Herzog et al. 2017



Bonati, Z 2020 in prep.

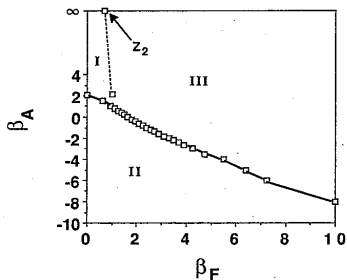
Qualitative features:

- ▶ UV FP always present for $d = 5$
- ▶ $d_{ec} \approx 6$, higher resums favor $d_{ec} \gtrsim 6$
- ▶ Necessity to circumvent Borel singularity or $\text{Im}\beta \neq 0$:(
- ▶ Mechanism: collision with an **unidentified IR FP**

Well actually there is something on the lattice...

Change plaquette action ($N = 2$)

$$S = \sum_{\square \in \mathcal{L}} \left\{ \beta_F S_{\square}^F + \beta_A S_{\square}^A \right\} \quad \frac{4}{g^2} = \beta_F + \frac{8}{3}\beta_A$$



- ▶ 1st order for $\beta_A = 0$
- ▶ Line of 1st order varying $\beta_A \lesssim 0$
- ▶ Indications that $\Delta E \rightarrow 0$ along the line

plot from Kawai et al. 1992

How does the new action differ from the traditional one?

Expansion in lattice spacing

$$S = \sum_{\square} \left\{ \beta_F S_{\square}^F + \beta_A S_{\square}^A \right\}$$
$$\simeq \int d^5x \left\{ c_2 F_{\mu\nu}^a F_{\mu\nu}^a + c_{3,1} F_{\mu\nu}^a \square F_{\mu\nu}^a + c_{3,2} f_{abc} F_{\mu\nu}^a F_{\nu\rho}^b F_{\rho\mu}^c + \dots \right\}$$

We deduce that **higher derivative interactions** must play a role

Let's apply the ideas on **classification** using the ϵ -expansion to infer which could be the active players here:

there are in principle infinitely many higher derivative (non-unitary) generalizations of YM with $d_c = 2n = 4, 6, 8, \dots$

$$S = \frac{1}{4g_{2n}^2} \int d^{2n}x \left\{ F_{\mu\nu}^a \square^{n-2} F_{\mu\nu}^a + \dots \right\}$$

Perturbative $d = 6$ higher derivative YM

The one in $d = 4$ we know already, however

$$S = \frac{1}{2g_6} \int d^6x \left\{ (D^\nu F_{\mu\nu}^a)^2 + \lambda f_{abc} F_{\mu\nu}^a F_{\nu\rho}^b F_{\rho\mu}^c \right\}$$

is perturbatively renormalizable in $d = 6$

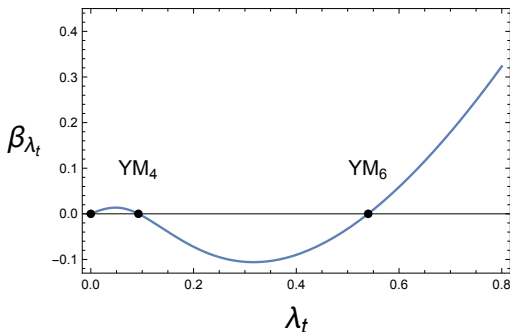
Gracey 2015; Casarin, Tseytlin 2019

- ▶ Asymptotically free in g_6
- ▶ Need to set λ for freedom, one tunable parameter
- ▶ F^2 is relevant deformation hence $\frac{1}{g^2} = 0$ in $\overline{\text{MS}}$ at $d = 6$

Two conjecture(s)

- ▶ Either the FP of $d = 4$ YM **collides** with the one of $d = 6$ like in Potts-Landau
- ▶ Or FP of $d = 4$ YM **interpolates** with the one of $d = 6$ like in $O(N)$ NLSM

In the first case:



Implications for gravity?

If asymptotic safety is asymptotic freedom extended to $d = 2 + \epsilon$

Unlikely continuation to $d = \infty$

my opinion which might differ from **Litim 2004**

because FRG displays same problem for NLSM

again my opinion which might differ **Codello, Percacci 2008**

We need to determine the active players!

Critical dimensions:

$$d_c = 2n = 2, 4, 6, \dots$$

So which models are we talking about?

- ▶ $d_c = 2$ controlled by $\int R$ or $\int \left\{ R \frac{1}{\Delta} R \right\}$
- ▶ $d_c = 4$ is Stelle's perturbative $\int \left\{ R^2 + C_{\mu\nu\rho\theta}^2 + \dots \right\}$
- ▶ $d_c = 6$ would include $\int \left\{ R \square R + C_{\mu\nu\rho\theta} \square C^{\mu\nu\rho\theta} + \dots \right\}$

Quadratic gravity is distinct universality from $2 + \epsilon$

Codello, Percacci 2006; Rechenberger et al. 2011

Related to original proposal of Smolin with large- N

Smolin 1982

Cubic gravity might pose a threat to continuation
or it could provide a brand new model (but was never studied)

Indications that terms \mathcal{R}^3 might play a role

Cubic relevant deformation Falls et al. 2011

Estimate of $d_c \approx 5$ to 6 Gies et al. 2015

**The universality class of $d = 2 + \epsilon$
quantum gravity**

or a tale of two central charges

Linearized fluctuations

We want to construct the perturbative series in G

$$S[g] = -\frac{1}{G} \int d^2x \sqrt{g} R[g]$$

Perform the expansion:

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$$

Gauge-fixed propagator:

$$G(p^2)_{\mu\nu}^{\rho\theta} = \frac{1}{p^2} \left\{ \delta_{\mu\nu}^{\rho\theta} + \frac{1}{d-2} g_{\mu\nu} g^{\rho\theta} \right\}$$

Conformal mode problem

The pole in the conformal part signals change in d.o.f.s.

Locally

$$g_{\mu\nu} = e^{2\sigma} \hat{g}_{\mu\nu}$$

In $d = 2$ there is strictly only σ and no spin-2

- ▶ Discontinuity at $d = 2$
- ▶ [Kawai-Ninomiya](#): need to reproduce $d = 2$ in $\epsilon \rightarrow 0$ limit
- ▶ [Jack-Jones](#): new poles cannot be countered beyond 1-loop

Solution by [Aida-Kitazawa](#): separate conformal mode and quantize nonlinearly the conformal sector

My point of view on AK's approach

General diff $g_{\mu\nu} \rightarrow g_{\mu\nu} + \nabla_{\mu}\xi_{\nu} + \nabla_{\nu}\xi_{\mu}$

Aida-Kitazawa suggest to split $g_{\mu\nu} = e^{2\sigma}\hat{g}_{\mu\nu}$; preserve Weyl

$$\hat{g}_{\mu\nu} \rightarrow \hat{g}_{\mu\nu} + \nabla_{\mu}\xi_{\nu} + \nabla_{\nu}\xi_{\mu} - \frac{2}{d}\nabla \cdot \xi \hat{g}_{\mu\nu}$$

and then break Weyl by $\langle\sigma\rangle \neq 0$

Diff group is nonlinearly realized

$$T\text{Diff} \times \text{Weyl} \rightarrow \text{Diff}^* \simeq \text{Diff}$$

realization discussed in **Gielen, de Leon Ardon, Percacci 2018**

Natural expansion

$$g_{\mu\nu} \rightarrow e^{2\sigma}[e^{\hat{h}}]_{\mu}{}^{\rho}g_{\rho\nu} = g_{\mu\nu} + h_{\mu\nu} + \frac{1}{2}h_{\mu}{}^{\rho}h_{\rho\nu} + \dots$$

AK gravity: functional perspective

Renormalized to two loops by Aida and Kitazawa
(with counterterms on-shell)

In passing we briefly discussed how to *best* renormalize it.
Consider [unimodular dilaton gravity](#)
with unimodular metric and normalized dilaton ψ :

$$S[\psi, \hat{g}] = -\frac{1}{G} \int d^d x \sqrt{\hat{g}} \left\{ L[\psi, \phi] R[\hat{g}] + \frac{1}{2} (\partial\psi)^2 \right\}$$

Impose $\langle \psi \rangle = 1$ and $L[1, 0] \equiv 1$ along the RG flow

$$\beta_G = \epsilon G - \frac{25 - c}{24\pi} G^2 \qquad G^* = \frac{24\pi\epsilon}{25 - c}$$

brief discussion in **Martini, Z 2019**

procedure reminiscent of yesterday's talk by **Orlando**

My point of view on JJ gravity

Diff is now linearly realized

Inconsistency at $d = 2$, but $\epsilon = 2$ at $d = 4$...

Solution: give different names to the poles in $d - 2$

Gauge-fixed propagator in $d = 2 + \bar{\epsilon}$

$$G(p^2)_{\mu\nu}^{\rho\theta} = \frac{1}{p^2} \left\{ \delta_{\mu\nu}^{\rho\theta} + \frac{1}{\bar{\epsilon}} g_{\mu\nu} g^{\rho\theta} \right\}$$

$\overline{\text{MS}}$ poles in $d = 2 + \epsilon$

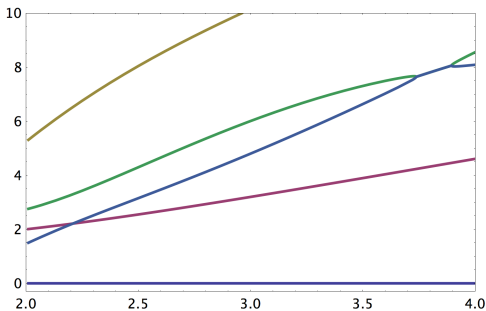
Traces of the metric $g^\mu{}_\mu = d$

JJ gravity: some result

Setting $\epsilon = \bar{\epsilon} = d - 2$

$$\beta_G = \epsilon G - \frac{19 - c}{24\pi} G^2 \qquad G^* = \frac{24\pi\epsilon}{19 - c}$$

Leading spectrum of scaling dimensions Δ up to \mathcal{R}^2 :



Complex conjugate pairs might danger unitarity **Martini, Z 2020 in prep**

Conclusion

- ▶ $5d$ YM can be interesting case study for asymptotic safety besides the naive arguments that the asymptotically free theory can be continued above $d = 4$
- ▶ Considerations on universality and universality classes might help pinning where to look for more evidence (and which evidence) of asymptotically safe quantum gravity

Thank you!