

# Theia

## A Next-Generation Water-Based LS Detector

NNN 2019

November 9, 2019



Andy Mastbaum

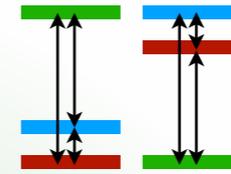
Rutgers University

[mastbaum@physics.rutgers.edu](mailto:mastbaum@physics.rutgers.edu)

# Big Questions



Could  $CP$  violation in neutrino interactions explain the matter/antimatter asymmetry?



What is the ordering of the neutrino masses?

$$\nu \stackrel{?}{=} \bar{\nu}$$

Is the neutrino its own antiparticle?

## Standard Model Physics



What is the mass of the neutrino, and why is it so small?

## Beyond the Standard Model

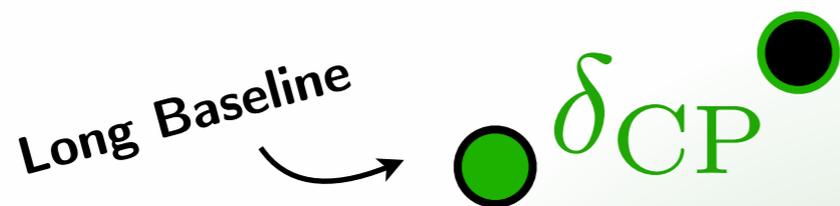


Are there new interactions we could discover via neutrinos?

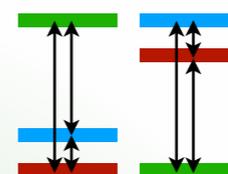
$$\nu_s$$

Are there additional neutrinos beyond the known three types?

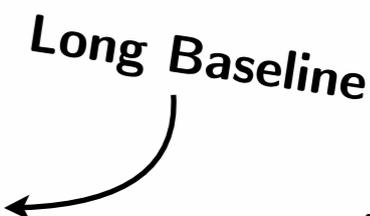
# Big Questions



Could  $CP$  violation in neutrino interactions explain the matter/antimatter asymmetry?



What is the ordering of the neutrino masses?



NLDBD  
Beta Decay  
Cosmology



What is the mass of the neutrino, and why is it so small?

NLDBD

$$\nu \stackrel{?}{=} \bar{\nu}$$

Is the neutrino its own antiparticle?

## Standard Model Physics

## Beyond the Standard Model



Are there new interactions we could discover via neutrinos?



$$\nu_s$$

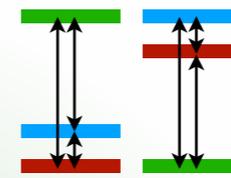
Are there additional neutrinos beyond the known three types?



# Big Questions

Long Baseline  $\delta_{CP}$

Could  $CP$  violation in neutrino interactions explain the matter/antimatter asymmetry?



What is the ordering of the neutrino masses?

Long Baseline

NLDBD  
Beta Decay  
Cosmology



What is the mass of the neutrino, and why is it so small?

NLDBD

$$\nu \stackrel{?}{=} \bar{\nu}$$

Is the neutrino its own antiparticle?

## Standard Model Physics

## Beyond the Standard Model



Are there new interactions we could discover via neutrinos?

Long Baseline

$$\nu_s$$

Are there additional neutrinos beyond the known three types?

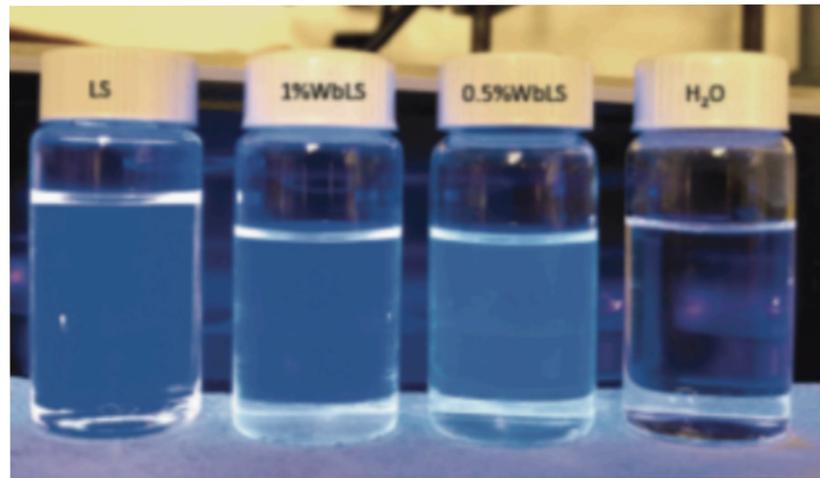
Short Baseline

## Neutrinos as Messengers



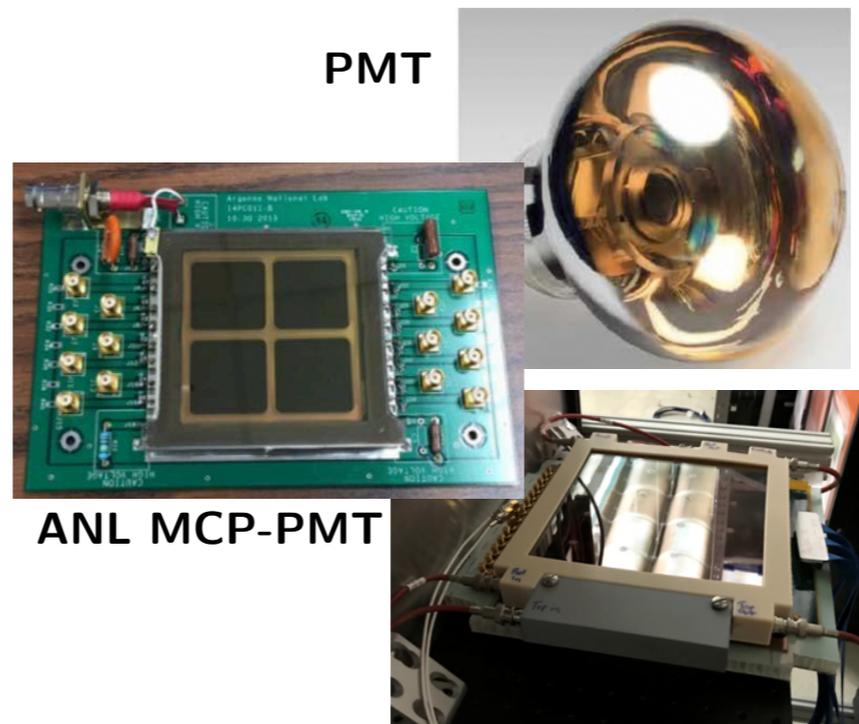
# Enabling Technologies

## Water-Based Liquid Scintillators



LS    1%WbLS    0.5%WbLS    H<sub>2</sub>O  
BNL WbLS

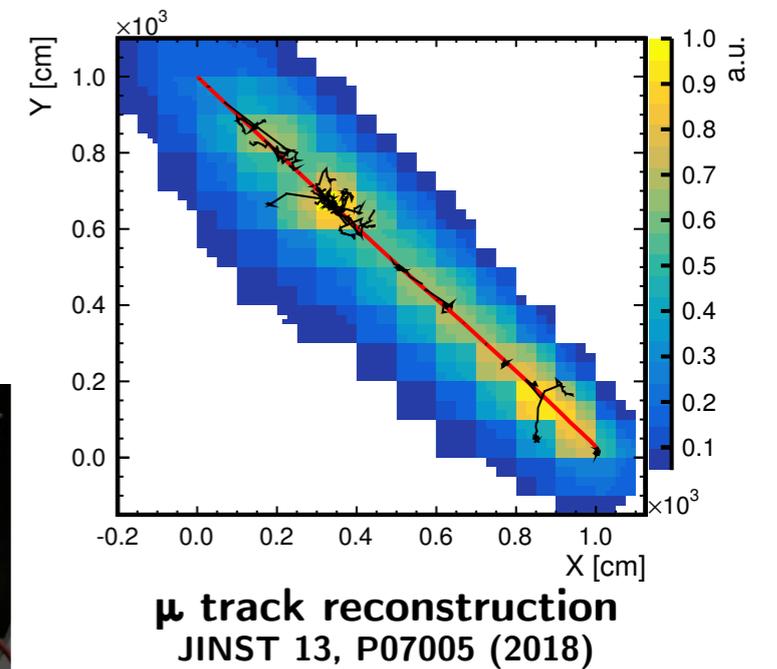
## (Ultra-)Fast Photon Detectors



ANL MCP-PMT

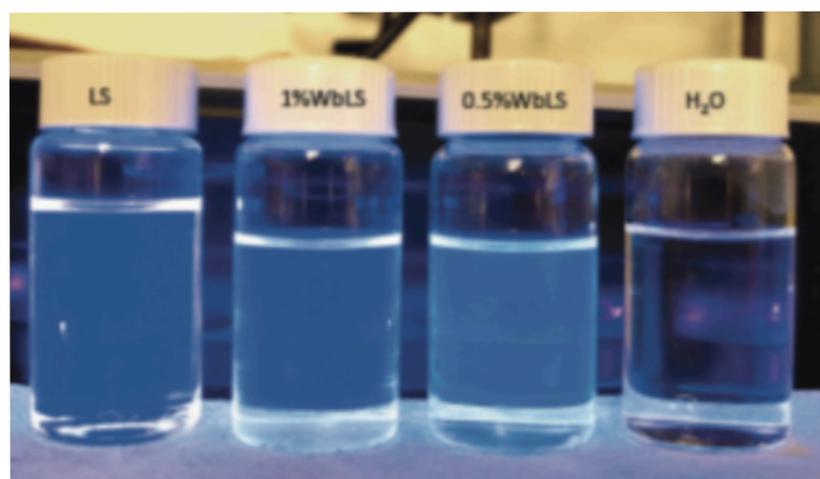
LAPPD (UChicago)

## Advanced Vertex Reconstruction



# Enabling Technologies

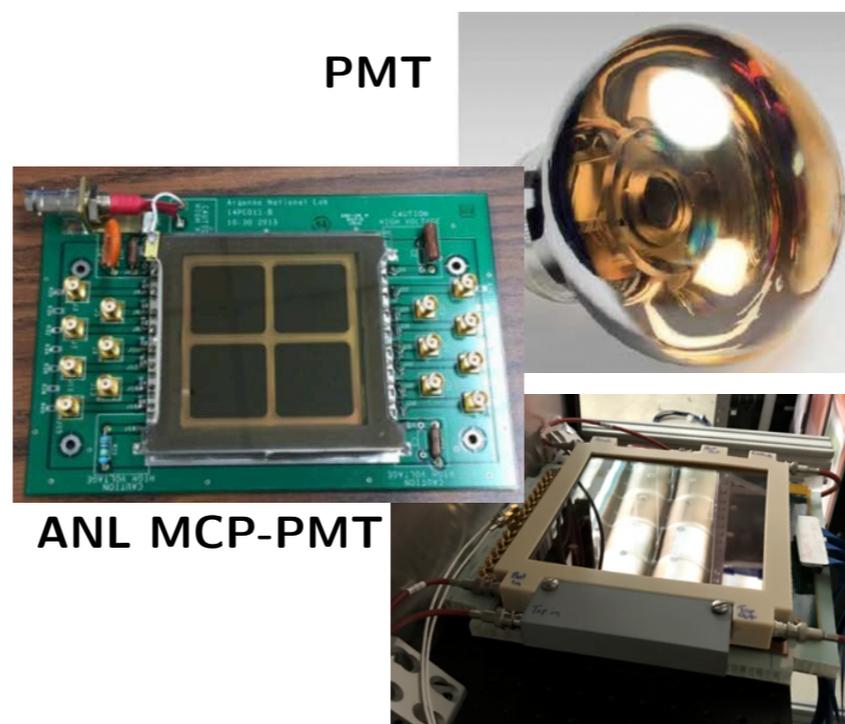
## Water-Based Liquid Scintillators



LS 1%WbLS 0.5%WbLS H<sub>2</sub>O

BNL WbLS

## (Ultra-)Fast Photon Detectors

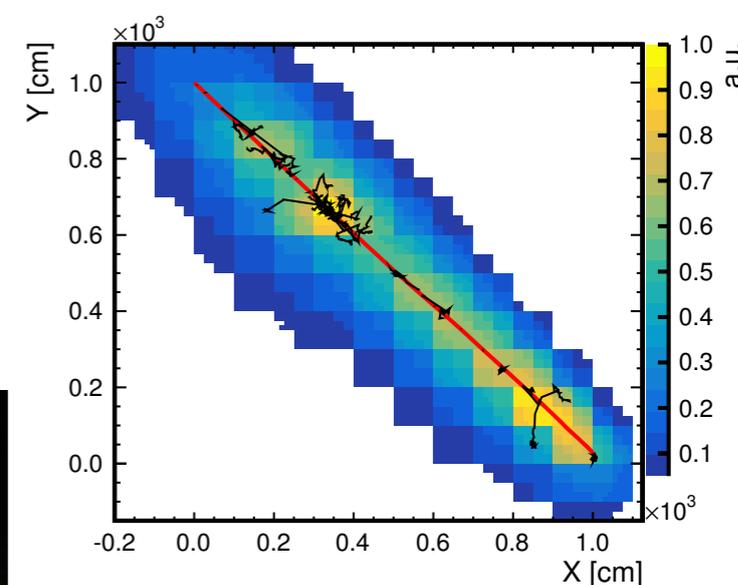


PMT

ANL MCP-PMT

LAPPD (UChicago)

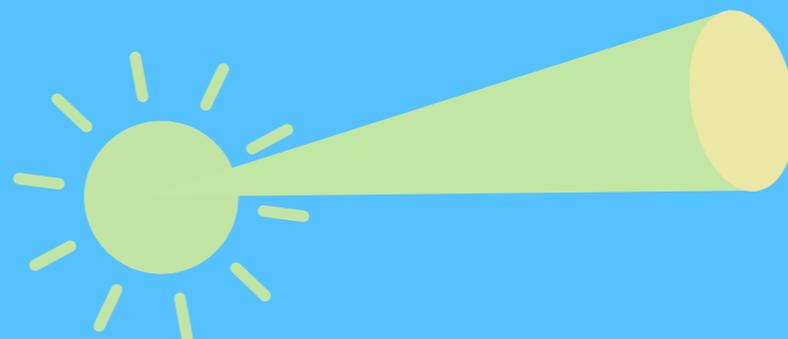
## Advanced Vertex Reconstruction



$\mu$  track reconstruction  
JINST 13, P07005 (2018)

## Water-Based Liquid Scintillator

**Scintillation Light**  
Isotropic, threshold-less



**Cherenkov Light**  
Directional, threshold

# Theia

## A Next-Generation Detector

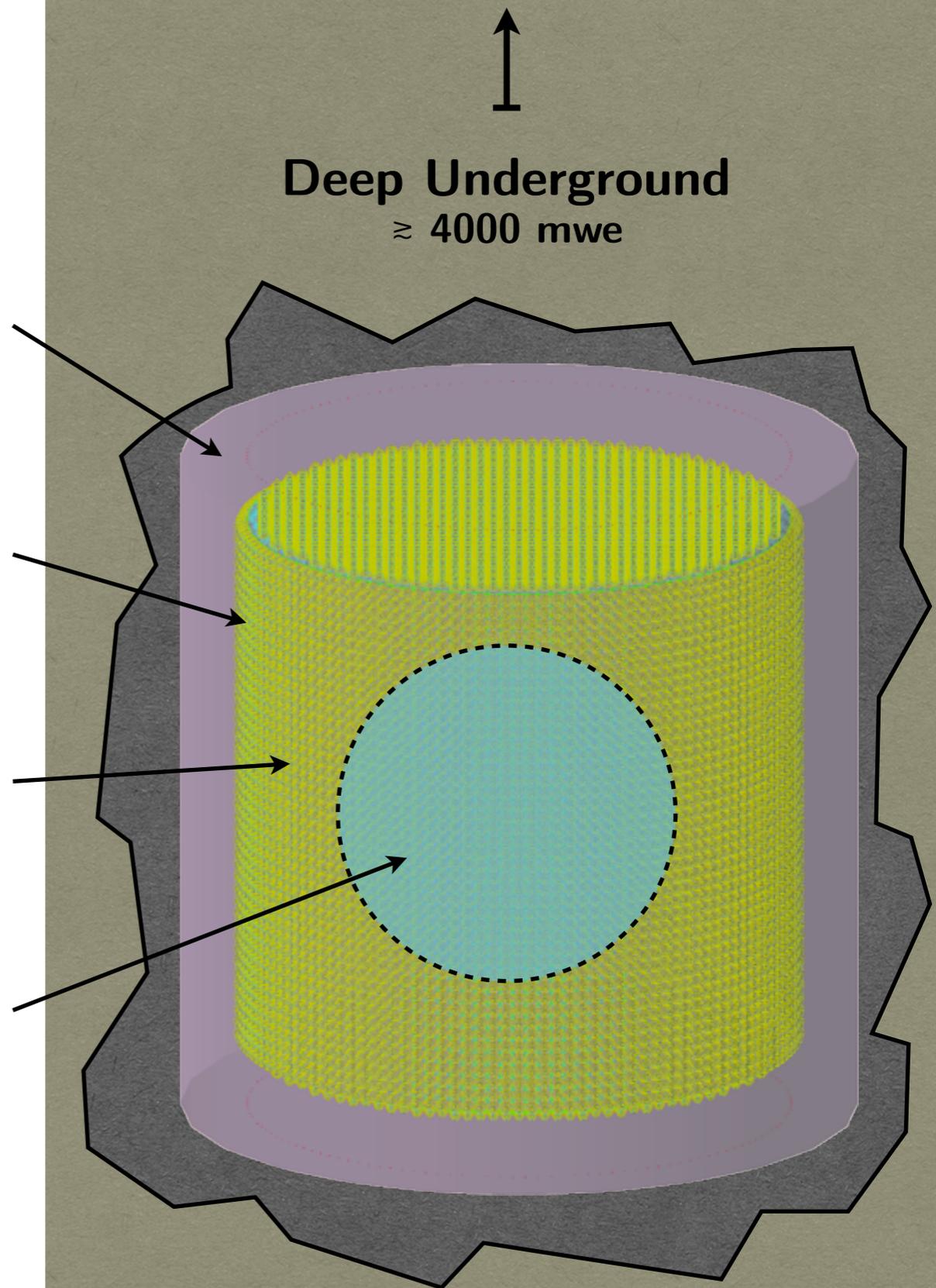
A detector combining large mass, an underground location, WbLS target, and fast photon detectors

**Outer H<sub>2</sub>O Shielding**  
Active veto system

**Photodetector Array**  
20-90% active coverage (phased)

**Target Volume**  
WbLS, isotope loading

**Inner Balloon**  
Deployable inner volume



**Theia100 Concept**  
100 kton, 80 kton fiducial

### Example Configurations

**Theia25** — 25 kton, DUNE module-like  
**Theia100** — 100 kton, new SURF cavern

# Theia

## Broad Physics Goals

### A mix of **high-energy** and **nuclear** physics:

- Long baseline oscillation physics
  - CP violation, mass ordering
- Nucleon decay searches
- Source-based sterile neutrino searches
- Neutrinoless double-beta decay
- Solar neutrinos (metallicity, luminosity)
- Supernova burst neutrinos & DSNB
- Applied antineutrinos, geoneutrinos

### A broad program addressing:

- Major interdisciplinary questions across neutrino physics
- Fundamental neutrino physics and neutrino astrophysics, applied antineutrinos
- Physics over 5 orders of magnitude in energy
- LNV (double beta decay) + CPV (long baseline)  $\rightarrow$  Leptogenesis

### A flexible experimental program:

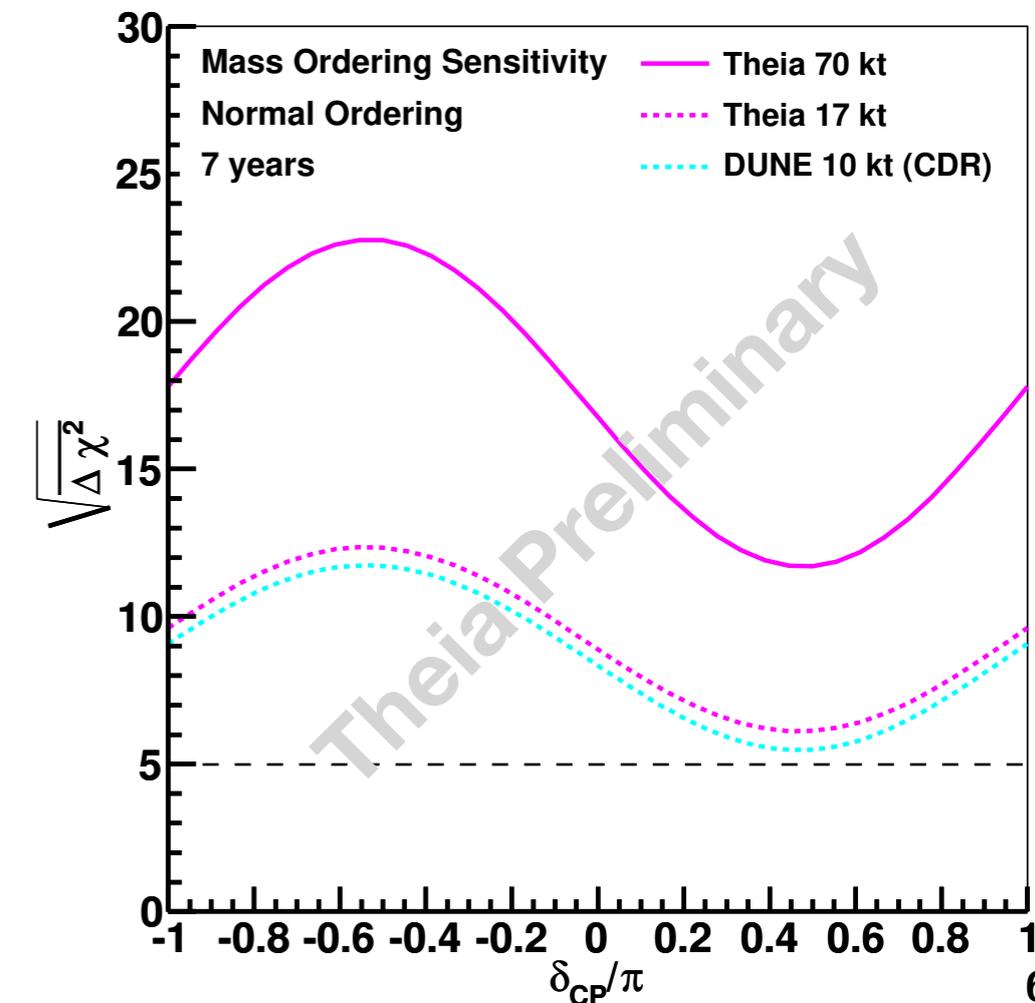
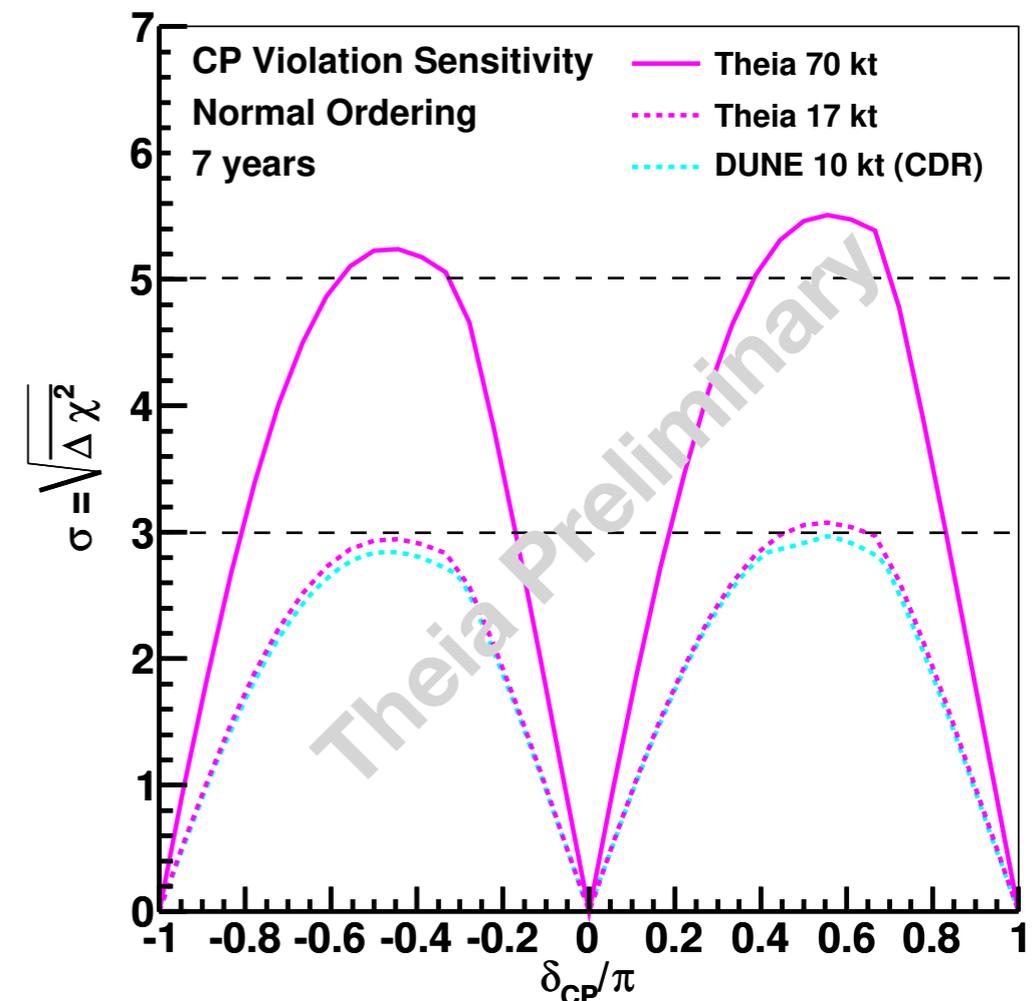
- Staging of detector configuration: isotope loading, scintillator fraction, etc.
- Potential upgrade routes for photon detectors (coverage, uniformity, response)
- A detector that can adapt with new discoveries in the coming decades

# Long Baseline

## CPV and Mass Ordering

A complementary measurement to DUNE, with the same beam but a different (water) target and different systematics.

- Considered a 25 or 100 kton water target
- Leveraging recent reconstruction improvements
  - Ring counting: 75% NC rejection, reducing  $\pi^0$
  - e/ $\mu$  PID: High purity  $\nu_e$ CC $\pi^+$  channel
  - High purity multi-ring  $\nu_e$  samples
- Nine-sample likelihood fit: 1-, 2-, 3-ring with 0/1 Michel for  $\nu$  mode, 0 Michel for  $\bar{\nu}$  mode
- Assumed constraint from additional  $\nu_\mu$  samples
- 2% (5%) systematic on each  $\nu_e/\bar{\nu}_e$  signal (background) mode in the fit, per DUNE CDR
- *Additional gains are possible using fast timing, scintillation for improved efficiency, purity, PID*

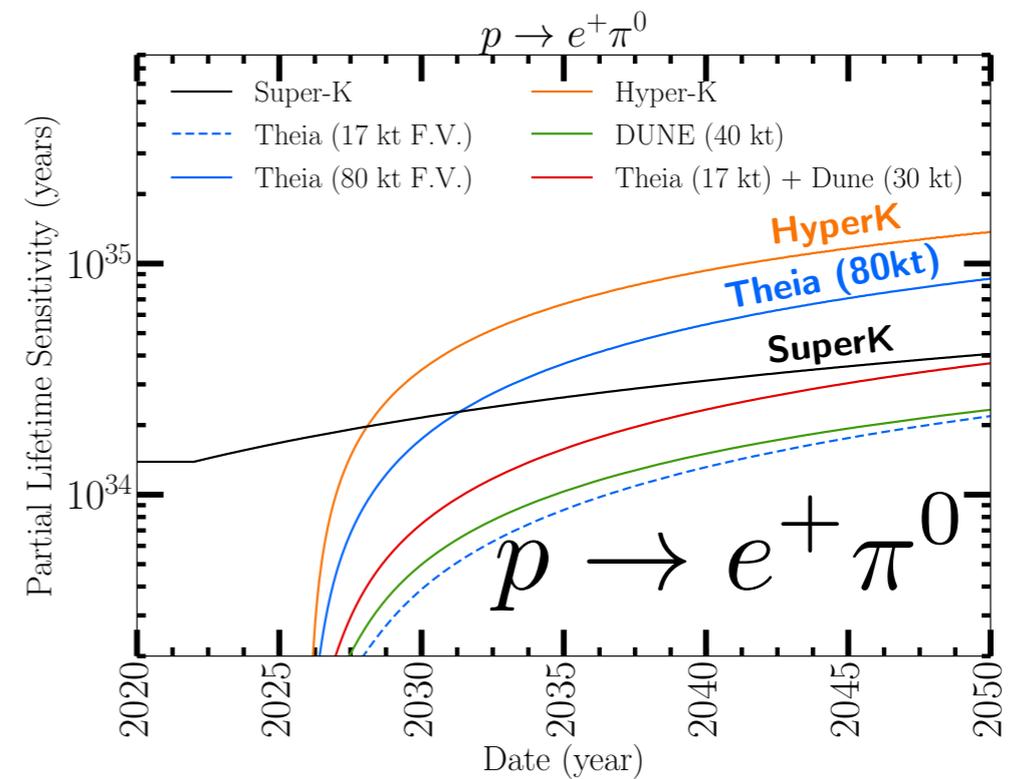
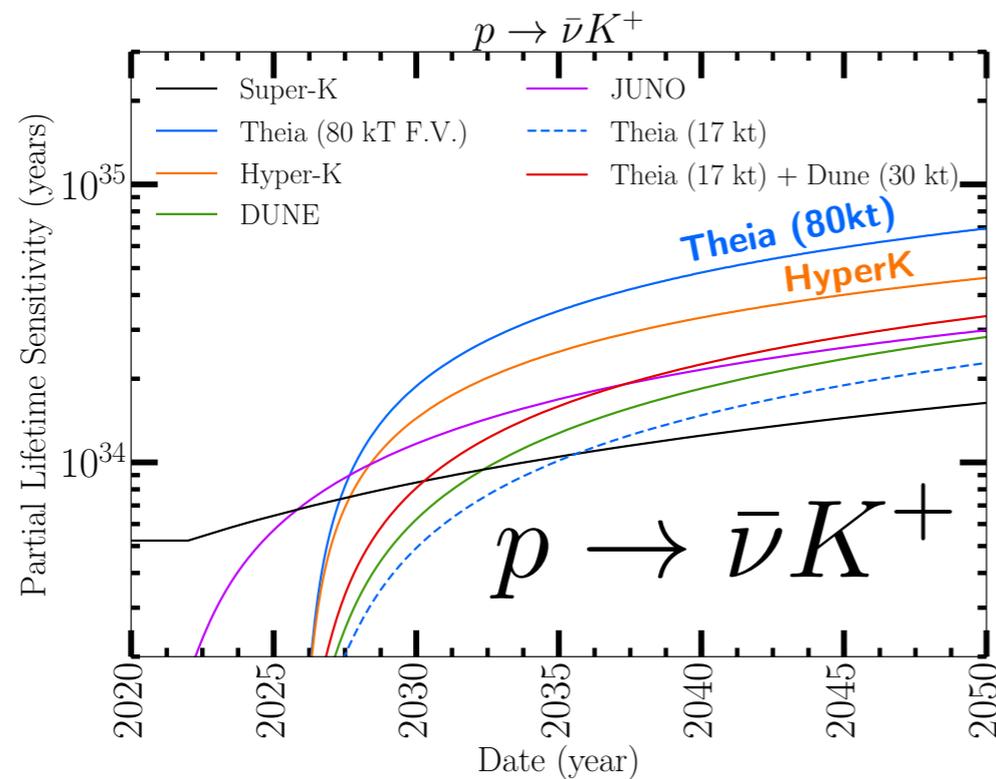
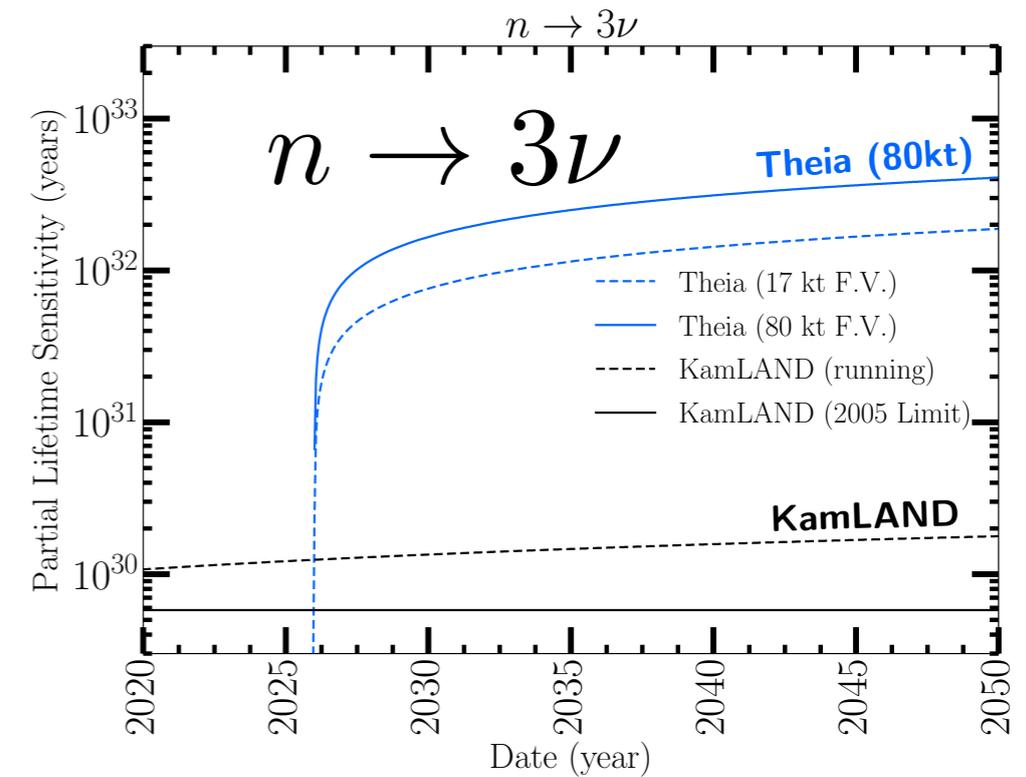


# Nucleon Decay

## BSM Baryon Number Violation

### Low-threshold, low-background BNV search.

- Low threshold and low background due to depth leads to excellent sensitivity for invisible modes
- WbLS allows coincidence search with mesons below Cherenkov threshold (e.g.  $K^+$ )
- Complementary to searches in both DUNE and HyperK, leading in invisible



# Solar Neutrinos

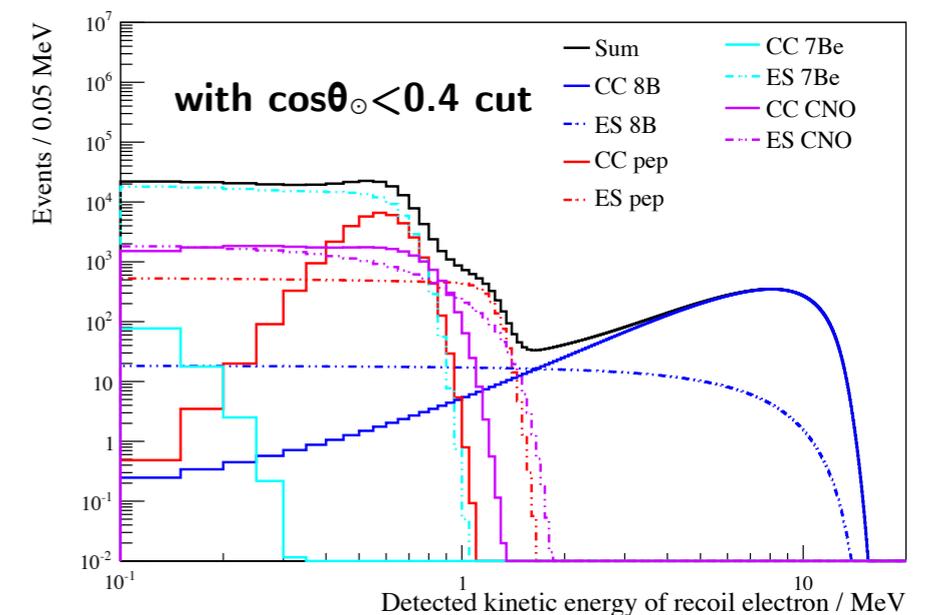
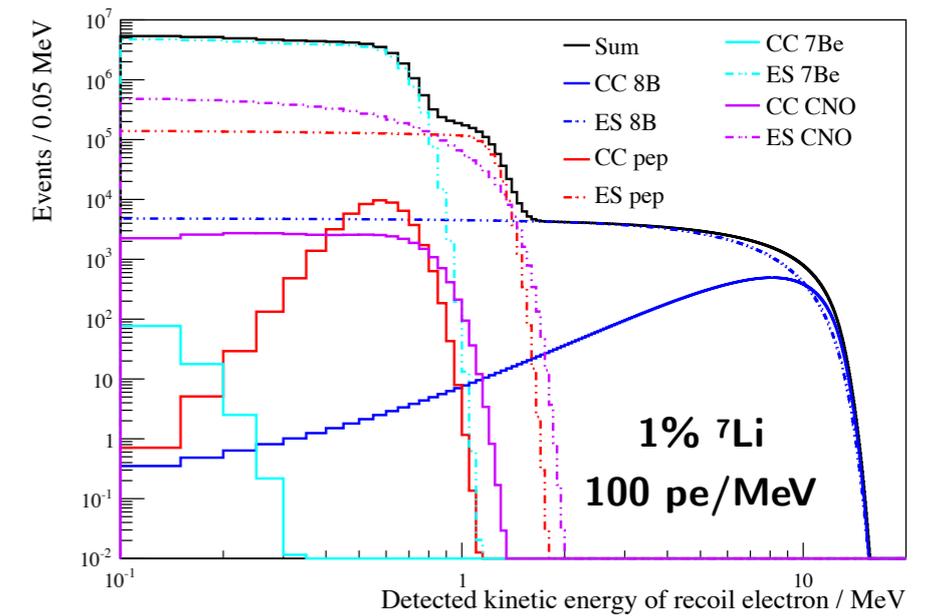
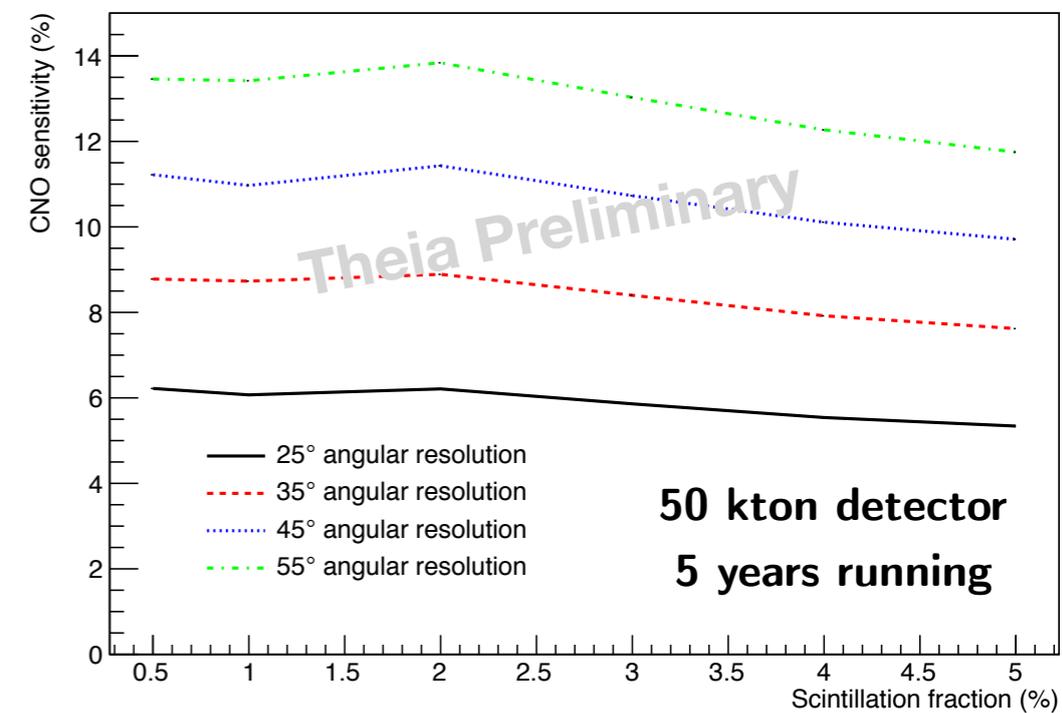
## CNO and the MSW Transition

Huge low-energy ES statistics, and potential for CC via isotope loading.

### Goals

- Detect CNO neutrinos to constrain solar metallicity, model for heavy main sequence stars
- Precision probes of MSW matter-vacuum transition: MSW check and new physics searches

- Low-energy ES measurements
  - 5% WbLS, 90% coverage,  $\sim 25^\circ$  angular res.
  - 2D maximum likelihood analysis using reconstructed energy and solar direction
  - 5-10% measurement of CNO fluxes achievable
- Charged Current measurements
  - Potential to load  ${}^7\text{Li}$ :  ${}^7\text{Li}(\nu_e, e){}^7\text{Be}$ , 862 keV
  - High precision possible on  $E_\nu$  by tagging excited state decay  $\gamma$

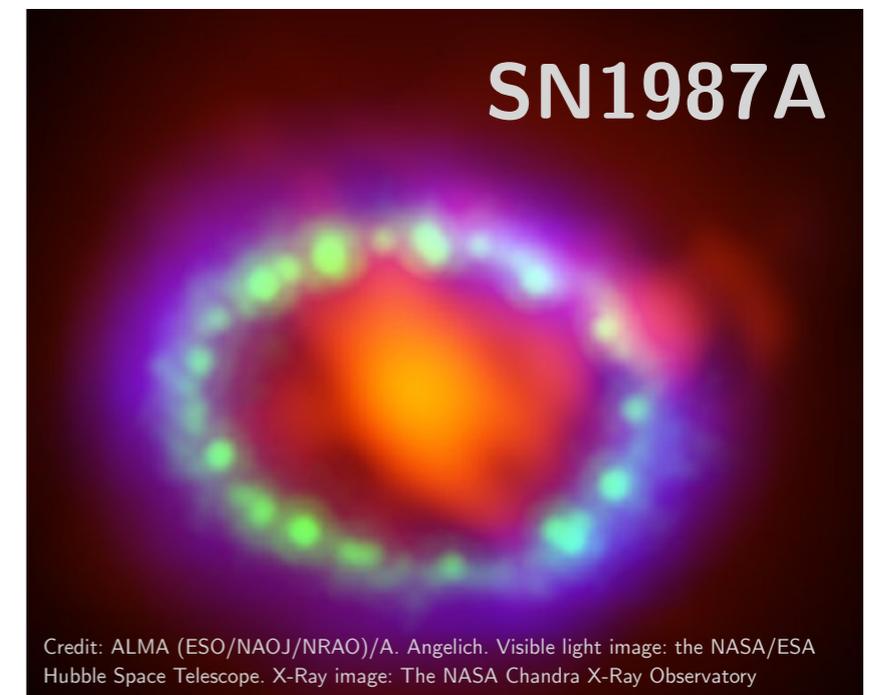


# Supernova Neutrinos

## Supernova Burst Detection

Multi-channel SNe detection with a high statistics antineutrino sample.

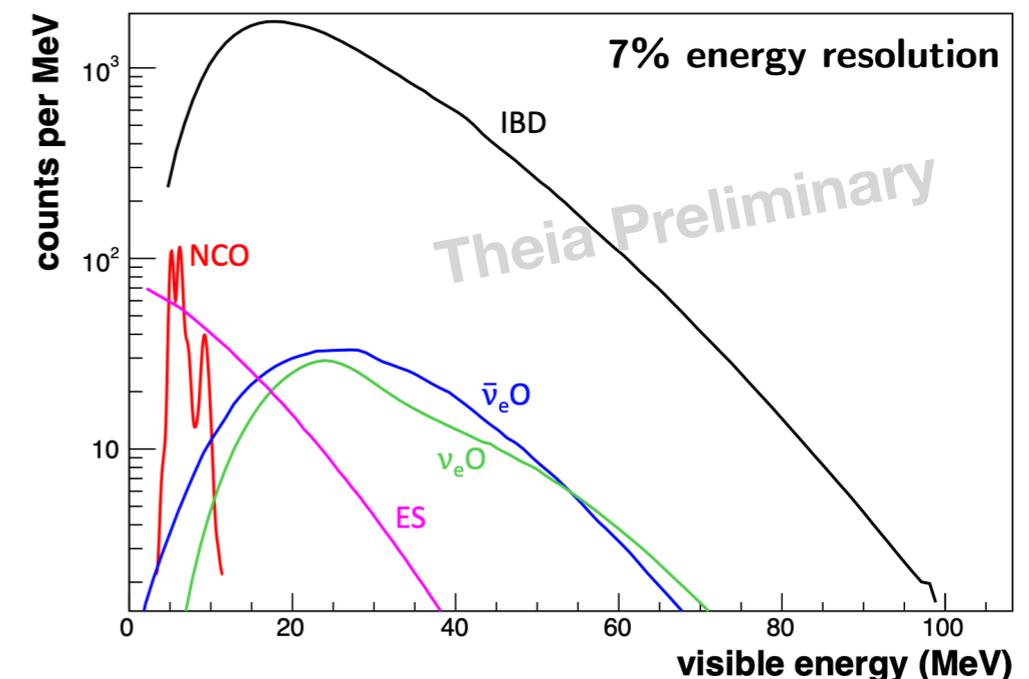
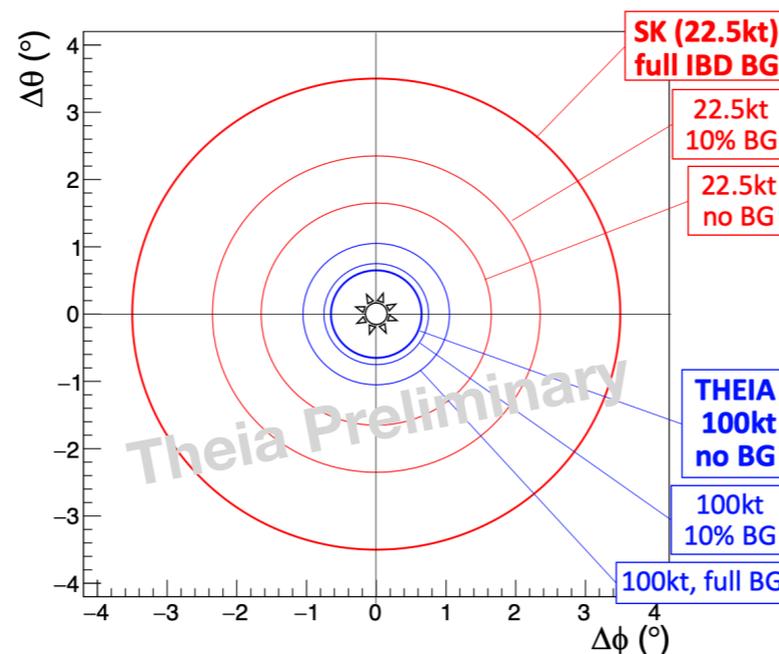
- Primary signal (88%) is  $\bar{\nu}_e$  IBD
  - Complementary to  $\nu_e$  detection in DUNE
- Scintillation improves low-threshold neutron tag
- Low background ES channel  $\rightarrow$  pointing
  - Potential to add low-energy  $\nu p$  ES channel
- CC & NC channels  $\rightarrow$  burst timing,  $\nu$  mixing
- Provides a capable SNe early warning trigger



Reaction	Rate
(IBD) $\bar{\nu}_e + p \rightarrow n + e^+$	19,800
(ES) $\nu + e \rightarrow e + \nu$	960
( $\nu_e O$ ) $^{16}O(\nu_e, e^-)^{16}F$	340
( $\bar{\nu}_e O$ ) $^{16}O(\bar{\nu}_e, e^+)^{16}N$	440
(NCO) $^{16}O(\nu, \nu)^{16}O^*$	1,100

10kpc, GVKM, SNOwGLoBES

Pointing resolution better than  $1^\circ$  achievable thanks to large ES statistics and good intrinsic resolution ( $1\sigma \sim 10^\circ$ )



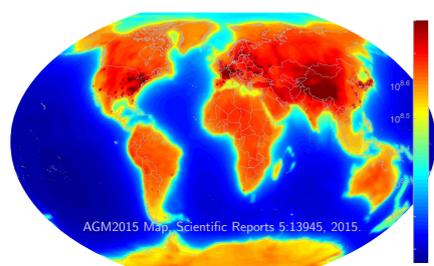
# Antineutrinos

## From Earth and Beyond

High efficiency IBD detection with improved background rejection.

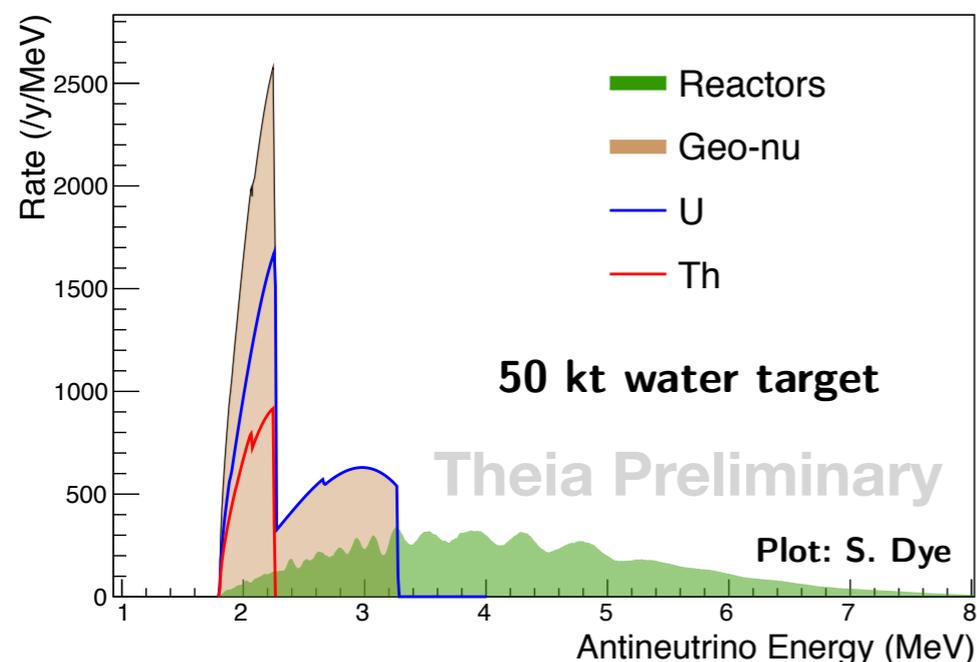
High light yield and C+S enables:

- Tag on 2.2 MeV  $\gamma$  from  $^1\text{H}$ , reducing single-event backgrounds in WCDs
- Reduced in NC backgrounds vs. LS detectors
- Higher efficiency than Gd- $\text{H}_2\text{O}$  IBD detection with improved background rejection.

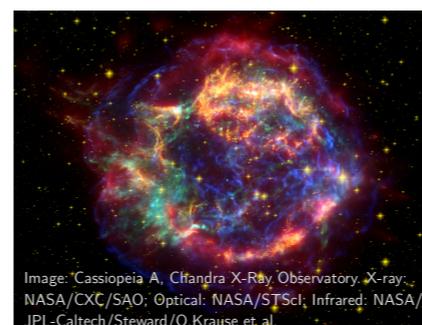


### Geoneutrinos

Antineutrinos from U and Th chain reactions driving the radiogenic heating of Earth

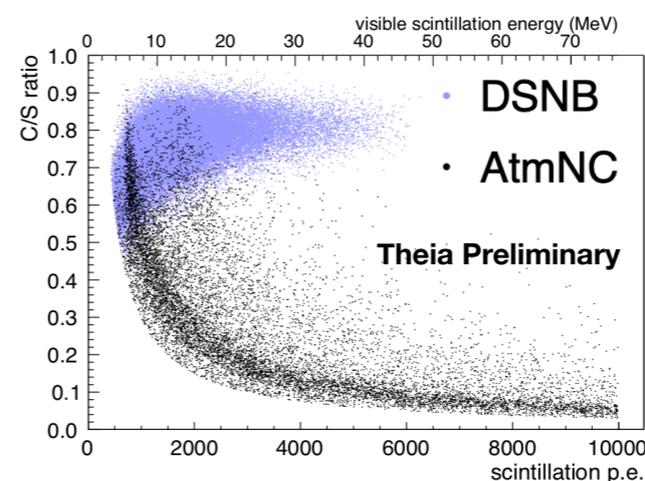


- High statistics
- Complementary to KL, Borexino
- Potential for directionality



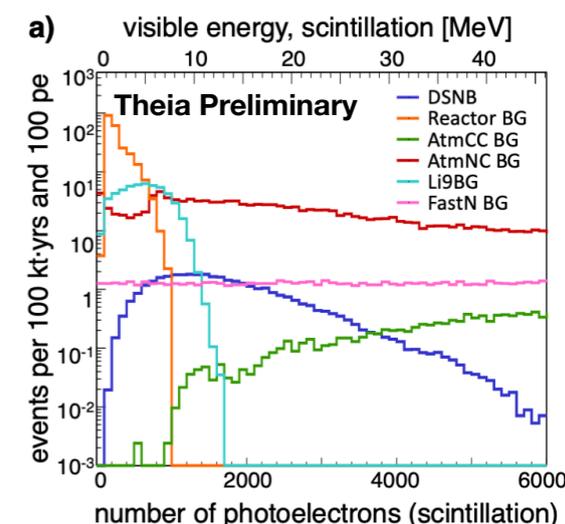
### Diffuse Supernova Neutrino Background

Diffuse neutrino flux from past core-collapse supernovae



NC background rejection via Cherenkov/scintillation light ratio (>98%)

Significant background reduction through C/S ratio, ring counting, and coincidence cuts



Plots: M. Wurm, J. Sawatzki

Potential for  $5\sigma$  in 1 (5) y for Theia100 (Theia25)  
O(100) events in 5 y in Theia100

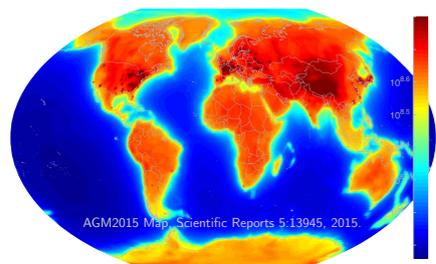
# Antineutrinos

## From Earth and Beyond

High efficiency IBD detection with improved background rejection.

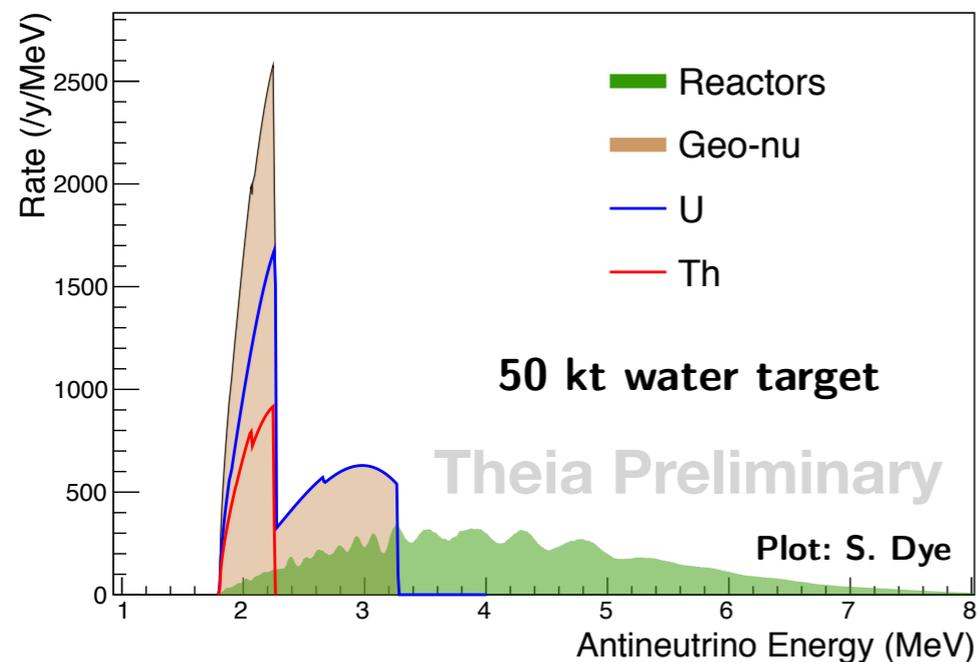
High light yield and C+S enables:

- Tag on 2.2 MeV  $\gamma$  from  $^1\text{H}$ , reducing single-event backgrounds in WCDs
- Reduced in NC backgrounds vs. LS detectors
- Higher efficiency than Gd- $\text{H}_2\text{O}$  IBD detection with improved background rejection.

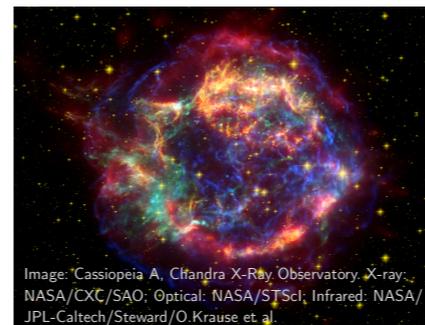


### Geoneutrinos

Antineutrinos from U and Th chain reactions driving the radiogenic heating of Earth

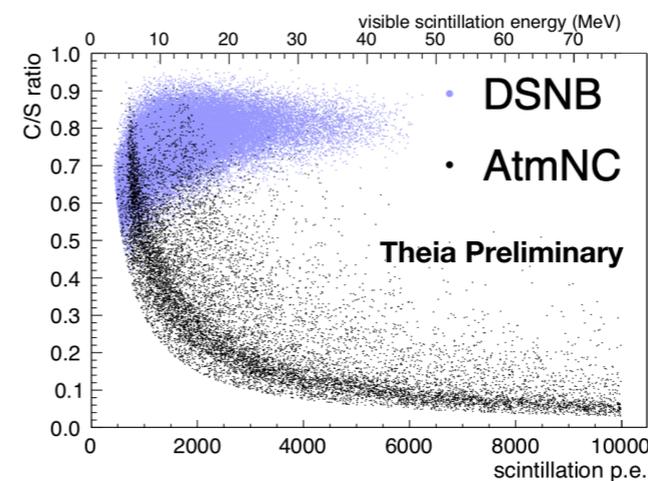


- High statistics
- Complementary to KL, Borexino
- Potential for directionality



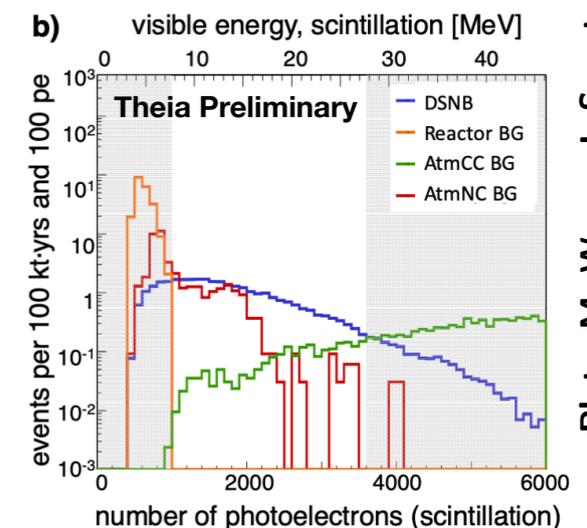
### Diffuse Supernova Neutrino Background

Diffuse neutrino flux from past core-collapse supernovae



NC background rejection via Cherenkov/scintillation light ratio (>98%)

Significant background reduction through C/S ratio, ring counting, and coincidence cuts



Potential for  $5\sigma$  in 1 (5) y for Theia100 (Theia25)  
O(100) events in 5 y in Theia100

Plots: M. Wurm, J. Sawatzki

# Neutrinoless Double Beta Decay

## Majorana Neutrinos & LNV

A very large isotope mass deployed in a liquid scintillator target volume.

- $\varnothing 16$  m LAB-PPO filled inner balloon
- Loading  $^{\text{nat}}\text{Te}$  or  $^{\text{enr}}\text{Xe}$  (or  $^{100}\text{Mo}$ ,  $^{82}\text{Se}$ ,  $^{150}\text{Nd}$ )
- Backgrounds due to  $^8\text{B}$  solar neutrinos,  $2\nu\beta\beta$ , LS contamination, and detector materials
- Single-bin Feldman-Cousins counting analysis

### Expected 90% CL Sensitivity

#### 5% $^{\text{nat}}\text{Te}$ Loading

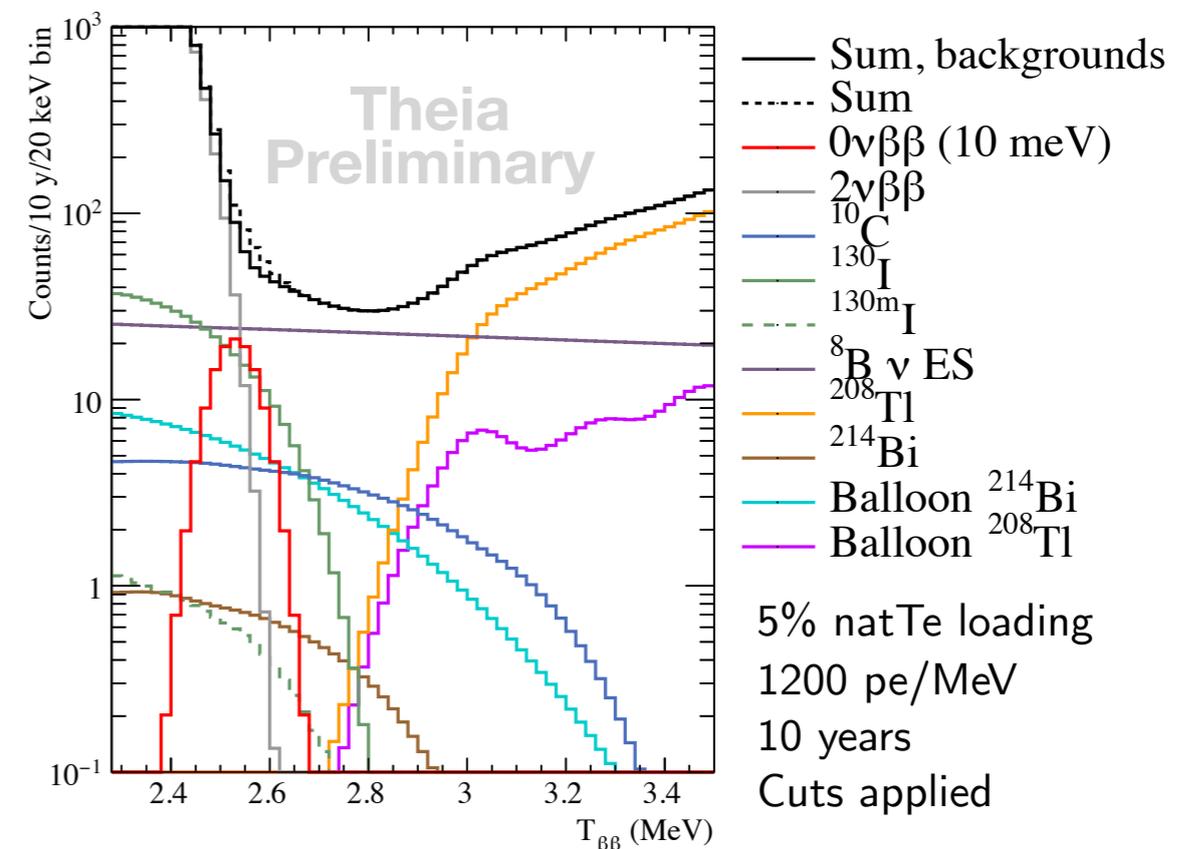
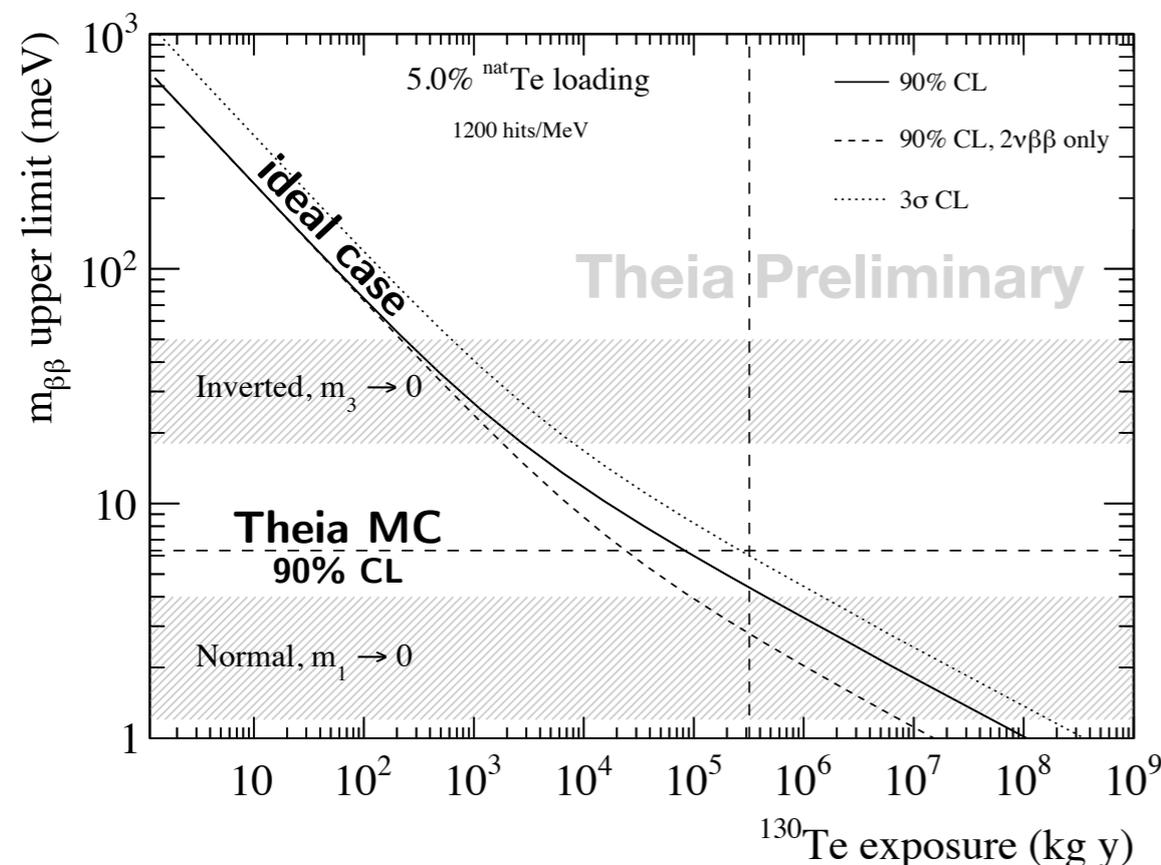
$$T_{1/2} > 1.1 \times 10^{28} \text{ y}, m_{\beta\beta} < 6.3 \text{ meV}$$

#### 3% $^{\text{enr}}\text{Xe}$ Loading\* (89.5%)

$$T_{1/2} > 2.0 \times 10^{28} \text{ y}, m_{\beta\beta} < 5.6 \text{ meV}$$

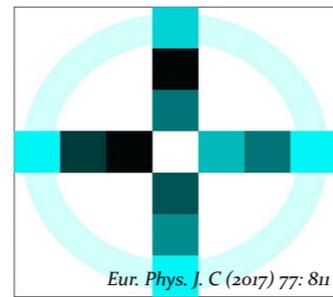
(IBM-2 NME,  $g_A=1.269$ )

\*  $\sim 10\times$  annual global production



# R&D Program

## Overview



CHESS: CHErenkov-Scintillation Separation at LBL

**BROOKHAVEN**  
NATIONAL LABORATORY  
Scintillator development



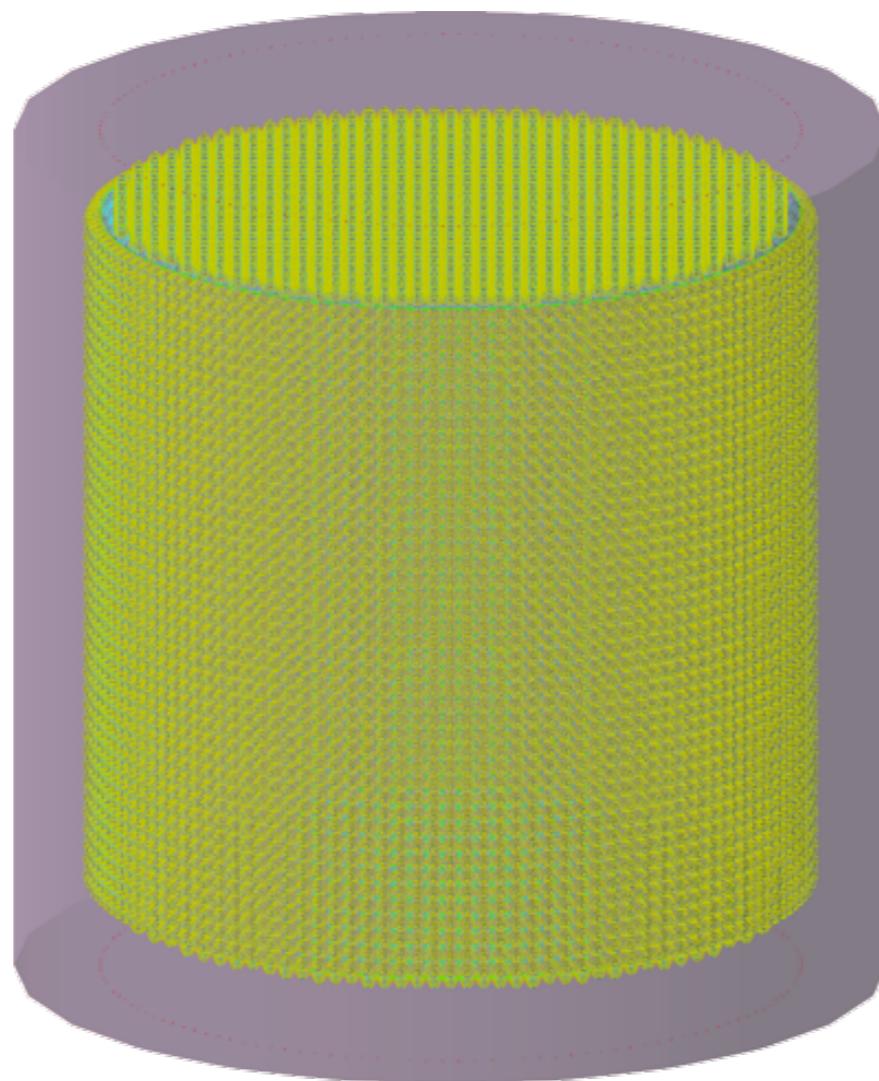
WbLS optical properties  
Dichroic light concentrators



LS Cherenkov/Scintillation  
WbLS + LAPPDs  
Proton light yield



Purification, nanofiltration



**UK Group:** Planning 2 ton test tank WbLS and photon detection systems



LAPPDs, reconstruction  
WbLS target possible



Antineutrinos  
PMTs, WbLS

### Germany Group:

(Hamburg, Mainz, München, Tübingen)

WbLS & photon detection  
R&D, 4 GS + 1 PD

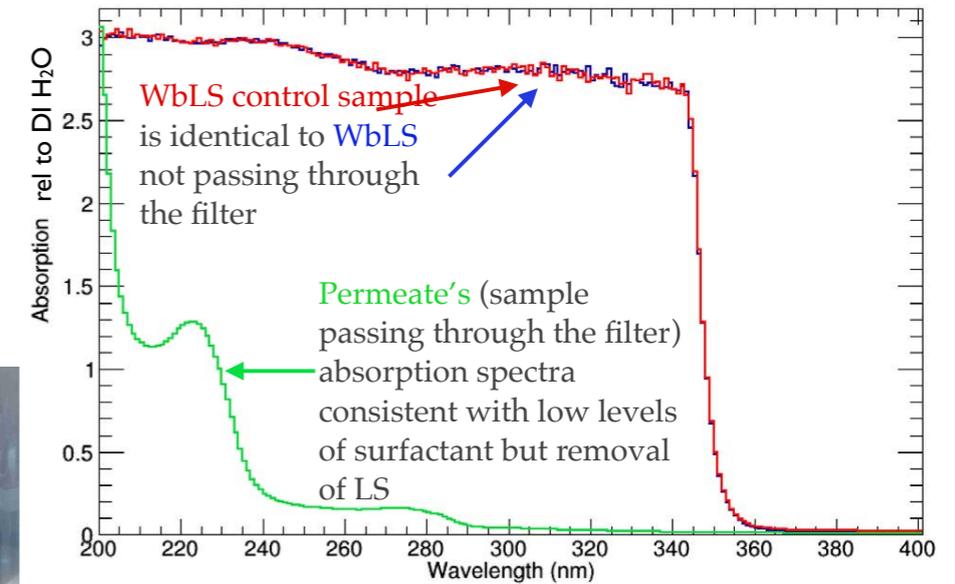
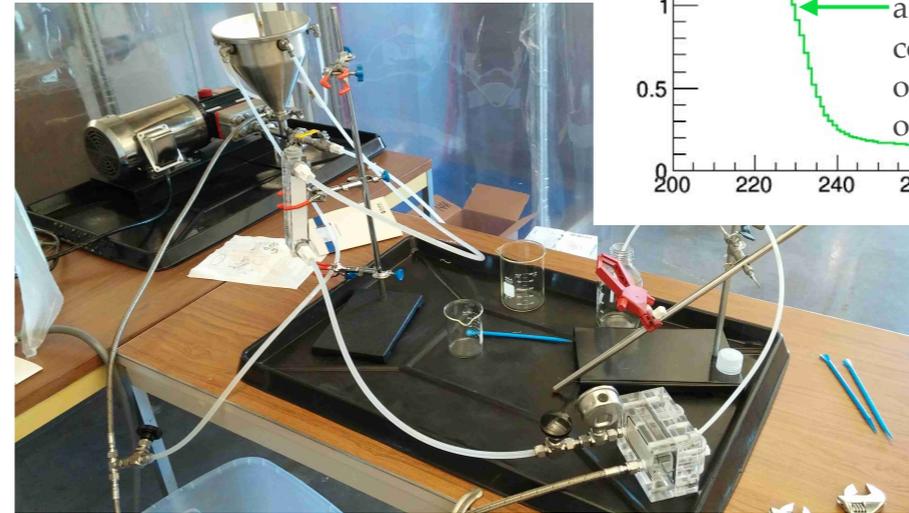
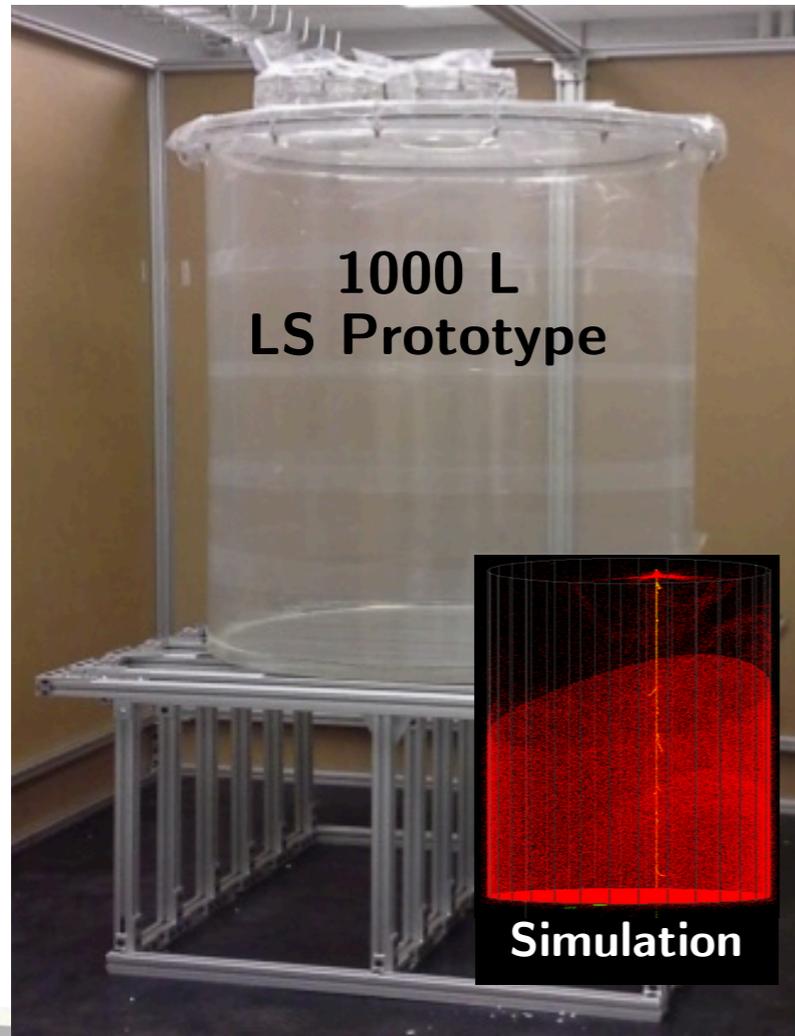
**not an exhaustive list!**

# Water-Based Liquid Scintillator

Target R&D

**BROOKHAVEN**  
NATIONAL LABORATORY

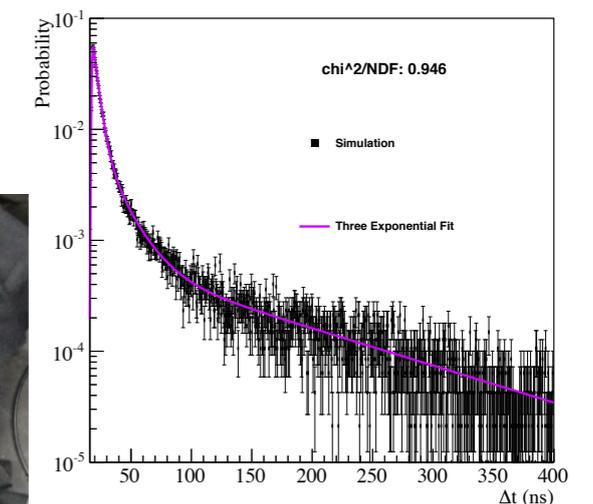
**UC DAVIS**  
UNIVERSITY OF CALIFORNIA



**nm-scale membrane filtration process**

Separate and DI water for optical transparency

 **Penn**  
UNIVERSITY OF PENNSYLVANIA



**Scintillator timing and optics**

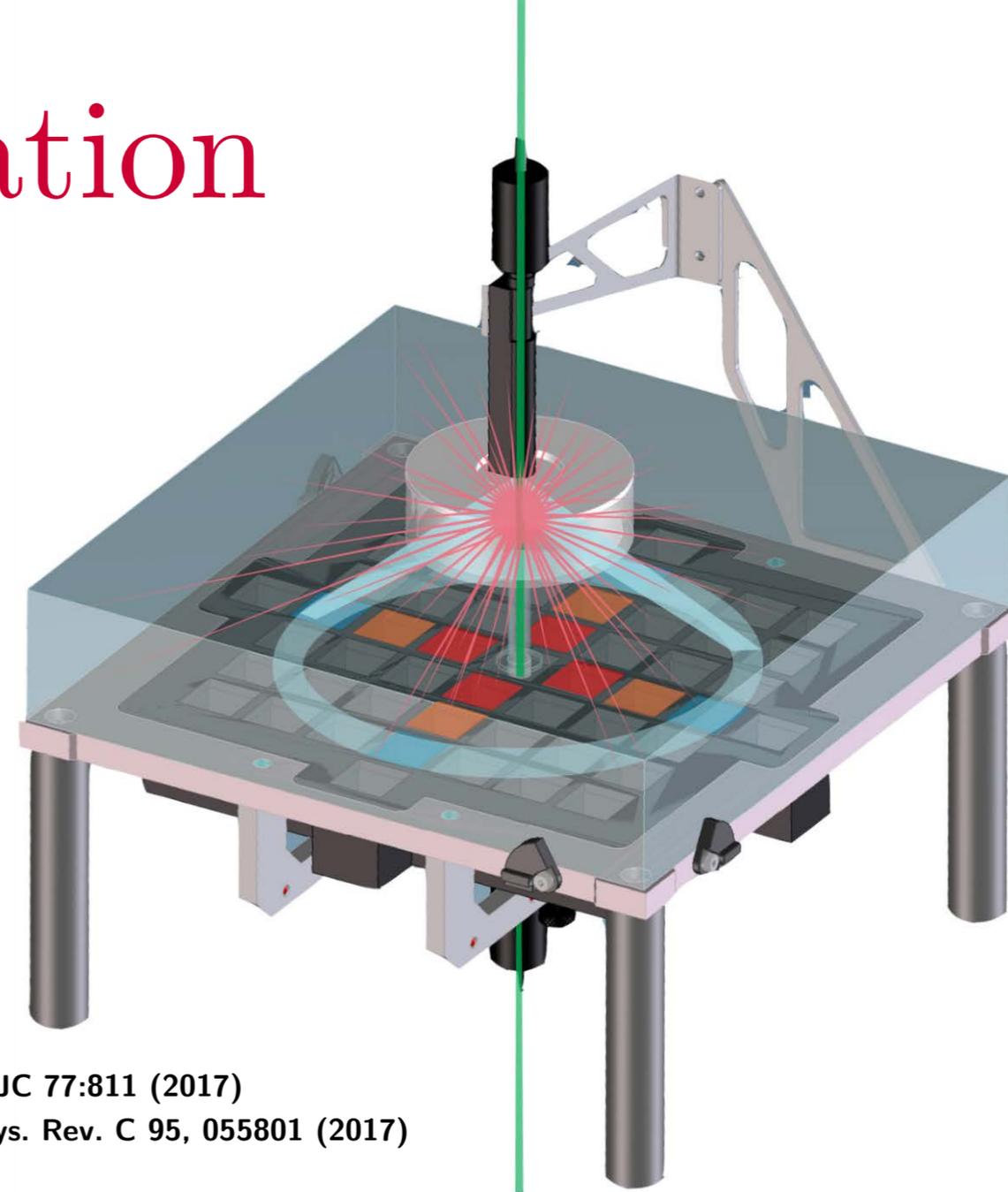
**Ton-Scale Production Facility**

# Cherenkov & Scintillation

CHESS at LBL

A cosmic muon ring imaging experiment.

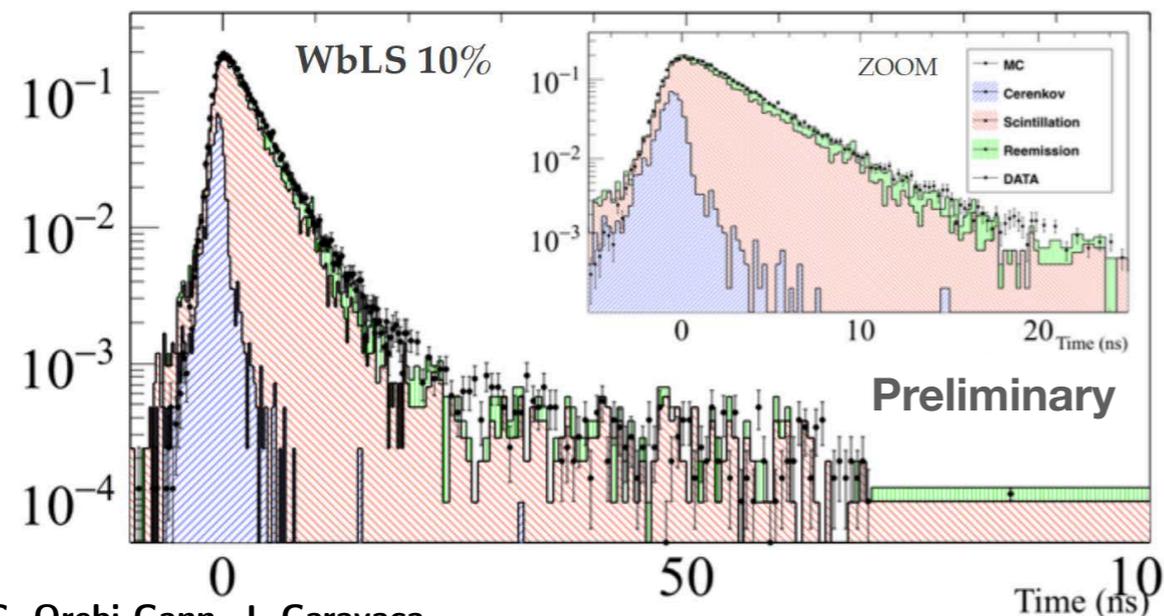
- Tag cosmics using scintillator detectors
- Target vessel: LS, WbLS, H<sub>2</sub>O, etc.
- Image using a fast PMT array
- Study isotropic/ring component: precise charge and timing information
- Calibrated via radioactive sources



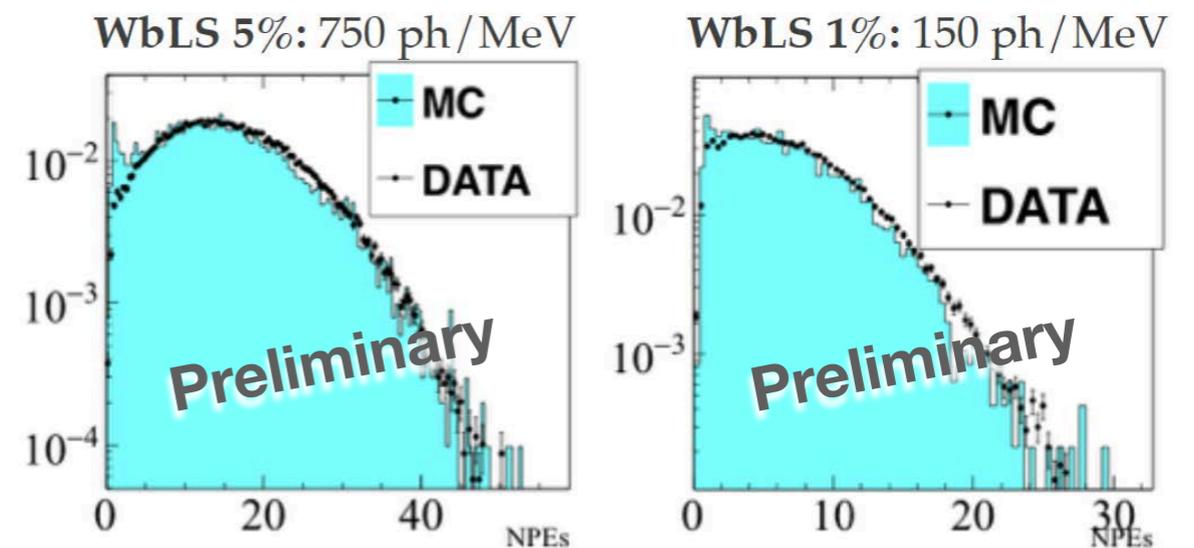
EPJC 77:811 (2017)

Phys. Rev. C 95, 055801 (2017)

## WbLS Scintillation Timing



## WbLS Scintillation Light Yield



# Photon Detection

## Overview

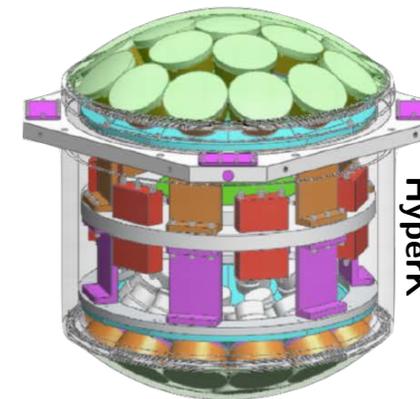
### Goals:

- Observed light yield  $\sim 1200$  p.e./MeV for LS
- High photocathode coverage (90%)
- High quantum efficiency
- Fast timing for Cherenkov/scintillation separation and optical tracking
- Cost effective/pathways for future upgrades



**Large-Area PMTs**

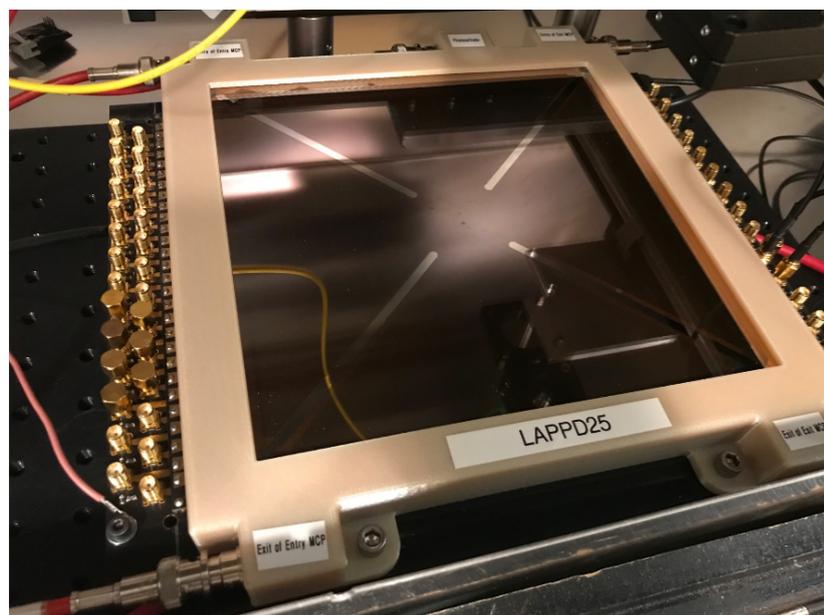
- High efficiency
- Sub-ns timing
- Cost effective



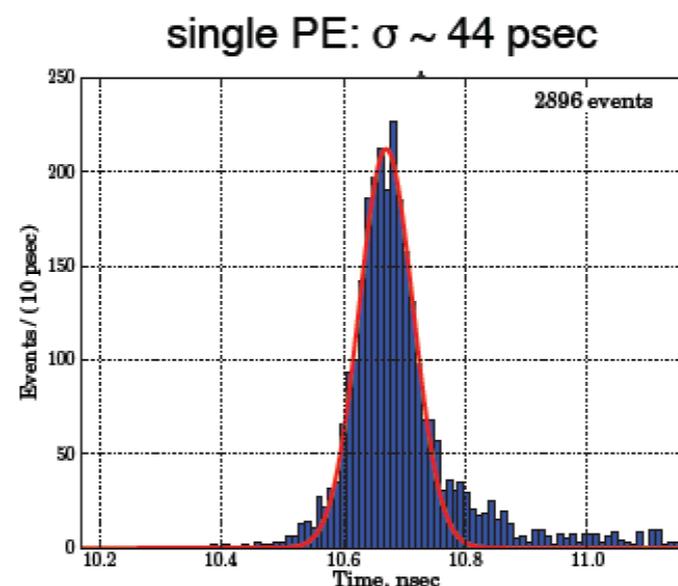
**Modular PMTs**

- High density
- Fast timing
- Flexible response

See HK talks!

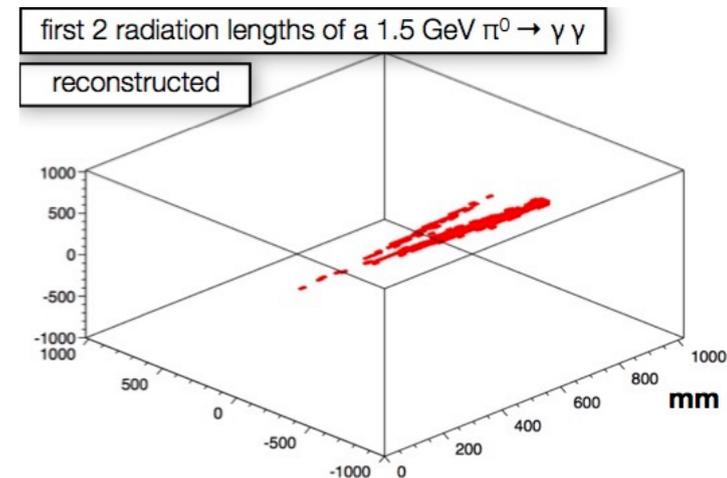


**Large Area Picosecond Photo-Detectors**



144 ps  $\sim 1$  cm in water!

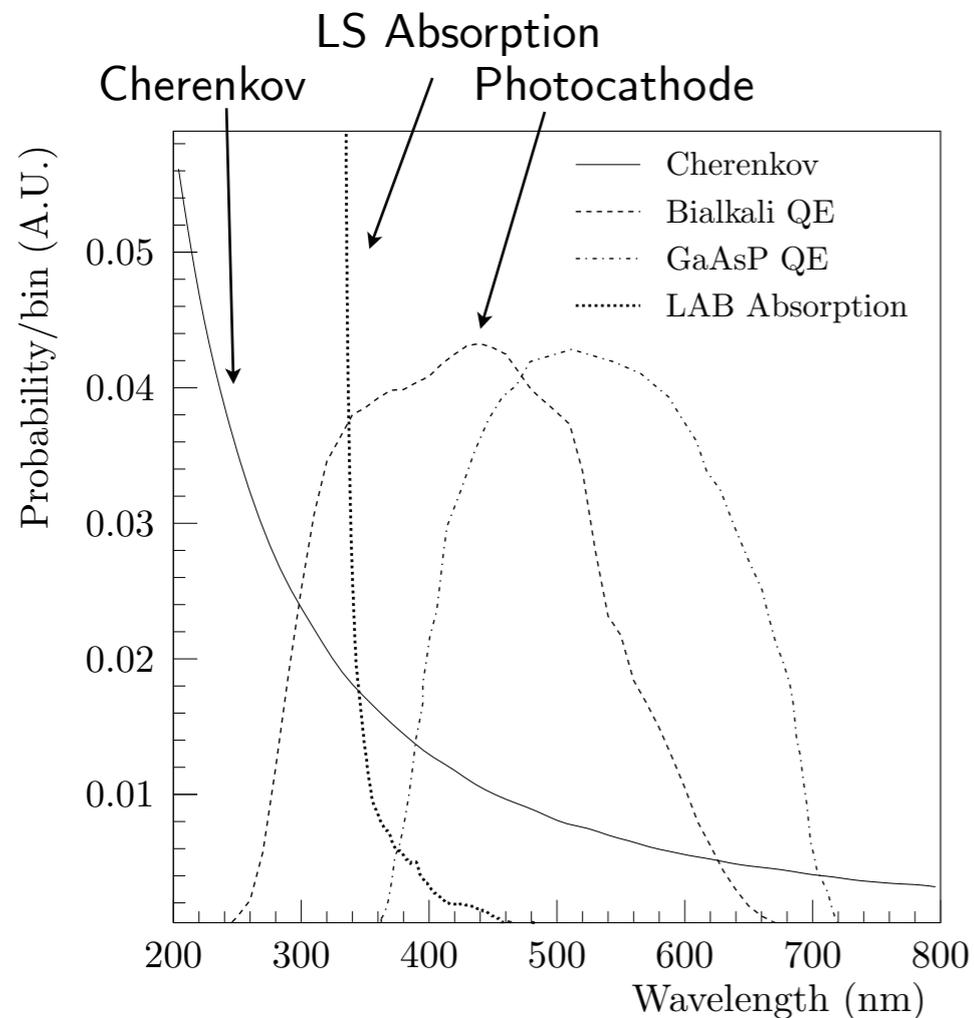
Optical reconstruction of charged particle tracks



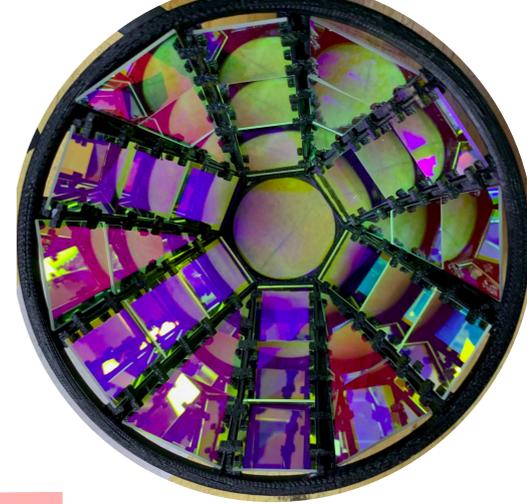
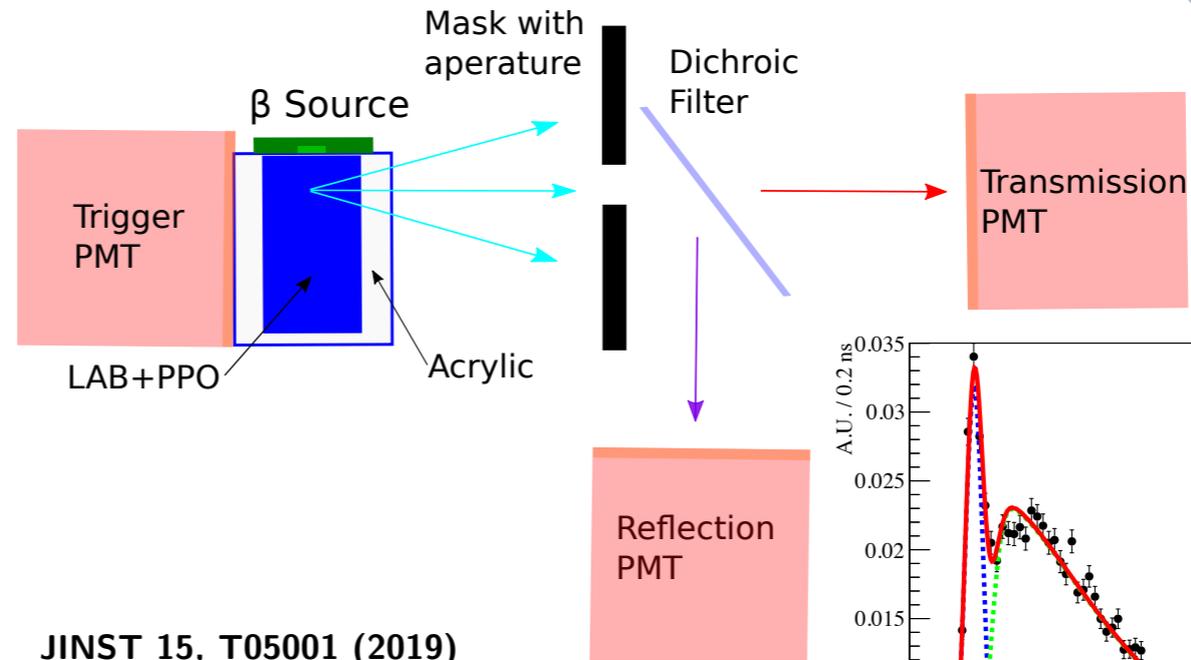
# Photon Detection

## Spectral Dependence

- Cherenkov production is fast relative to scintillation**  
Early photons are Cherenkov-rich
- Most Cherenkov photons are absorbed by the LS**  
The valuable direct photons are in the long-wavelength tail.



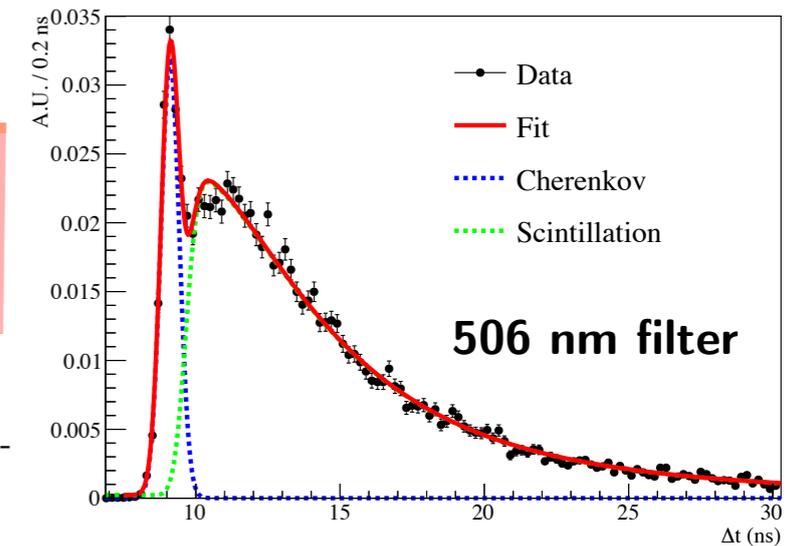
## Dichroic Filtering



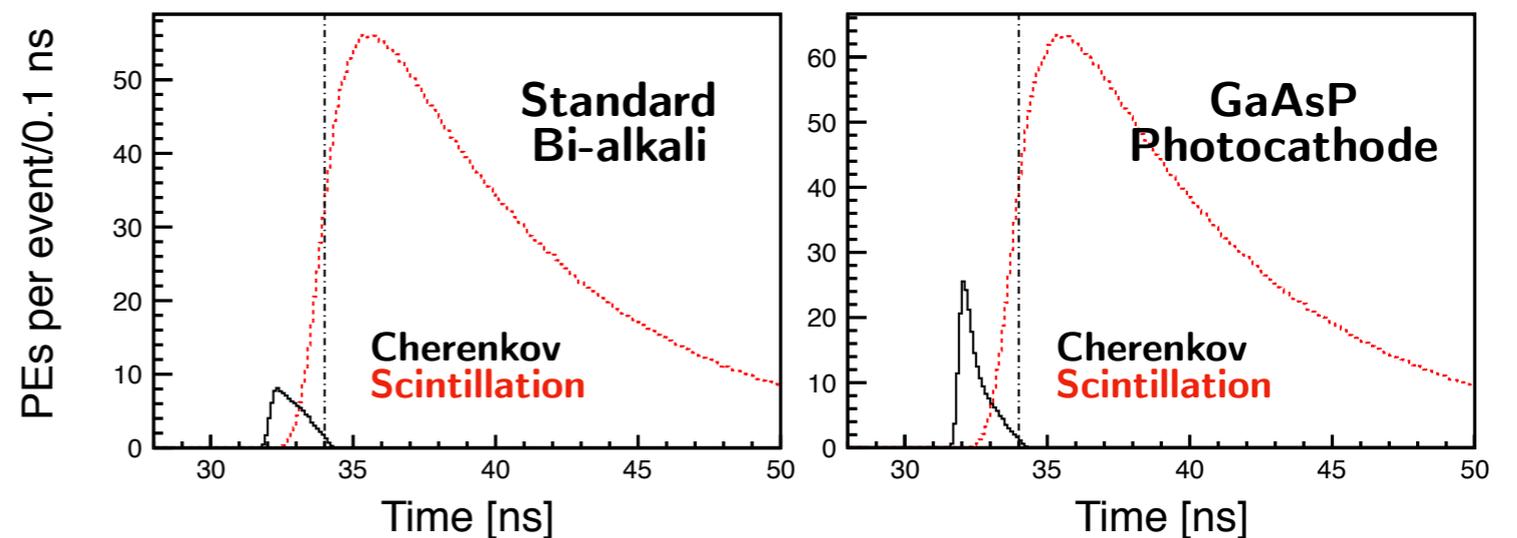
Dichroic Winston cone  
T. Kaptanoglu, DPF19

JINST 15, T05001 (2019)

T. Kaptanoglu, July 11 Detector Parallel Session  
"The Dichroicon: Spectral Photon Sorting For Large-Scale Cherenkov and Scintillation Detectors"



## Red-Sensitive Photocathodes



JINST 9, P06012 (2014)

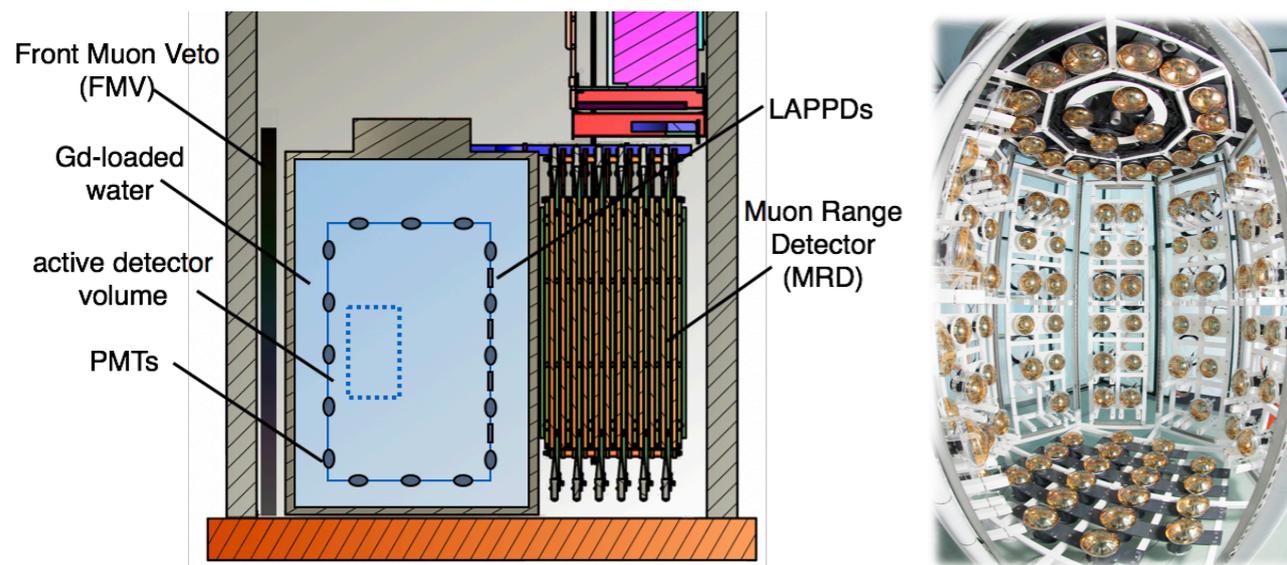
# Complementary Programs

"Friends of Theia"

Existing programs pilot key Theia technologies, building up to full scale



**ANNIE:** Accelerator Neutrino-Nucleus Interaction Experiment



26 tonne water Cherenkov detector in the FNAL Booster Neutrino Beam

- First to deploy LAPPDs in water
- Materials & compatibility testing
- Reconstruction technique development
- Potential for WbLS loading



**WATCHMAN:** WATer Cherenkov Monitor for Anti-Neutrinos



"Advanced Instrumentation Testbed"  
Phase I, reactor monitoring mission

- Materials testing, integration, DAQ
- Reconstruction development
- Possible for large-scale WbLS loading
- Advanced photon sensor R&D

# Detector Programs

## Complementary R&D

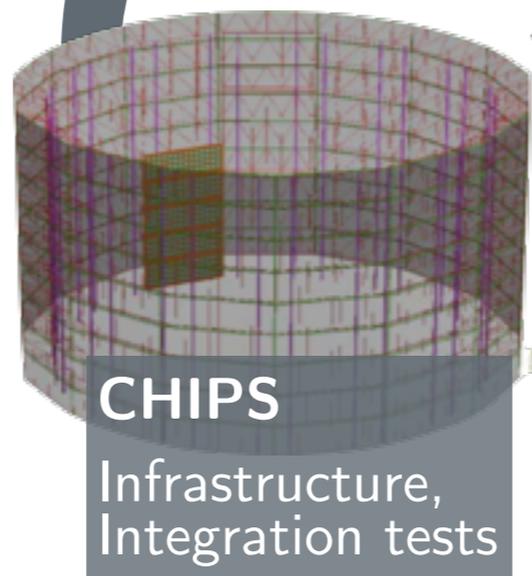
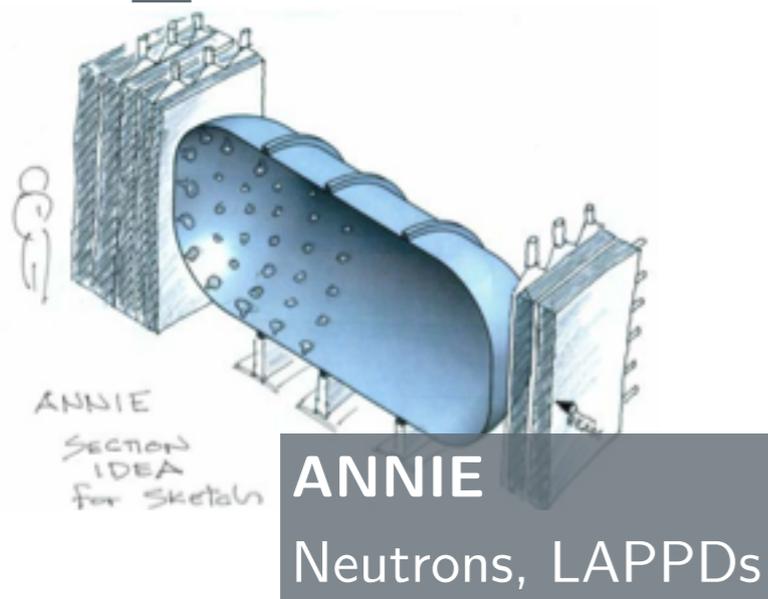
arxiv:1908.11532



[bnl.gov/chemistry/NNC](http://bnl.gov/chemistry/NNC)



[snoplus.phy.queensu.ca](http://snoplus.phy.queensu.ca)

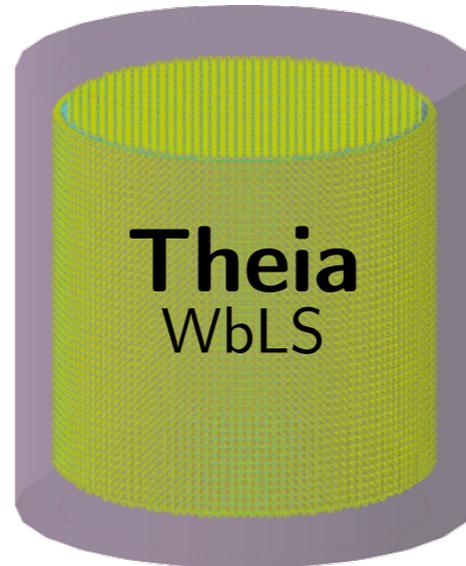


# Summary & Outlook

## Compelling Physics via Novel Technologies

### Enabling Technologies

- Water-Based LS target
- Cherenkov + Scintillation
- Fast photodetectors
- Advanced reconstruction



### Broad Physics

- LBL: CPV + mass ordering
- Nucleon decay
- Geoneutrinos
- Supernova burst & DSNB
- Source-based sterile searches
- Neutrinoless Double-Beta Decay

- The convergence of technologies presents a transformational opportunity to address several major open questions in neutrino physics
- High level of complementarity with the existing program, including DUNE and LBNE
- The flexibility of target and detection mechanisms means a detector that can evolve with the field for decades to come

# Thank You!

## Questions?

**Advanced Scintillator Detector Concept (ASDC) Whitepaper** → [arxiv:1409.5864](https://arxiv.org/abs/1409.5864)

**Theia** whitepaper in preparation

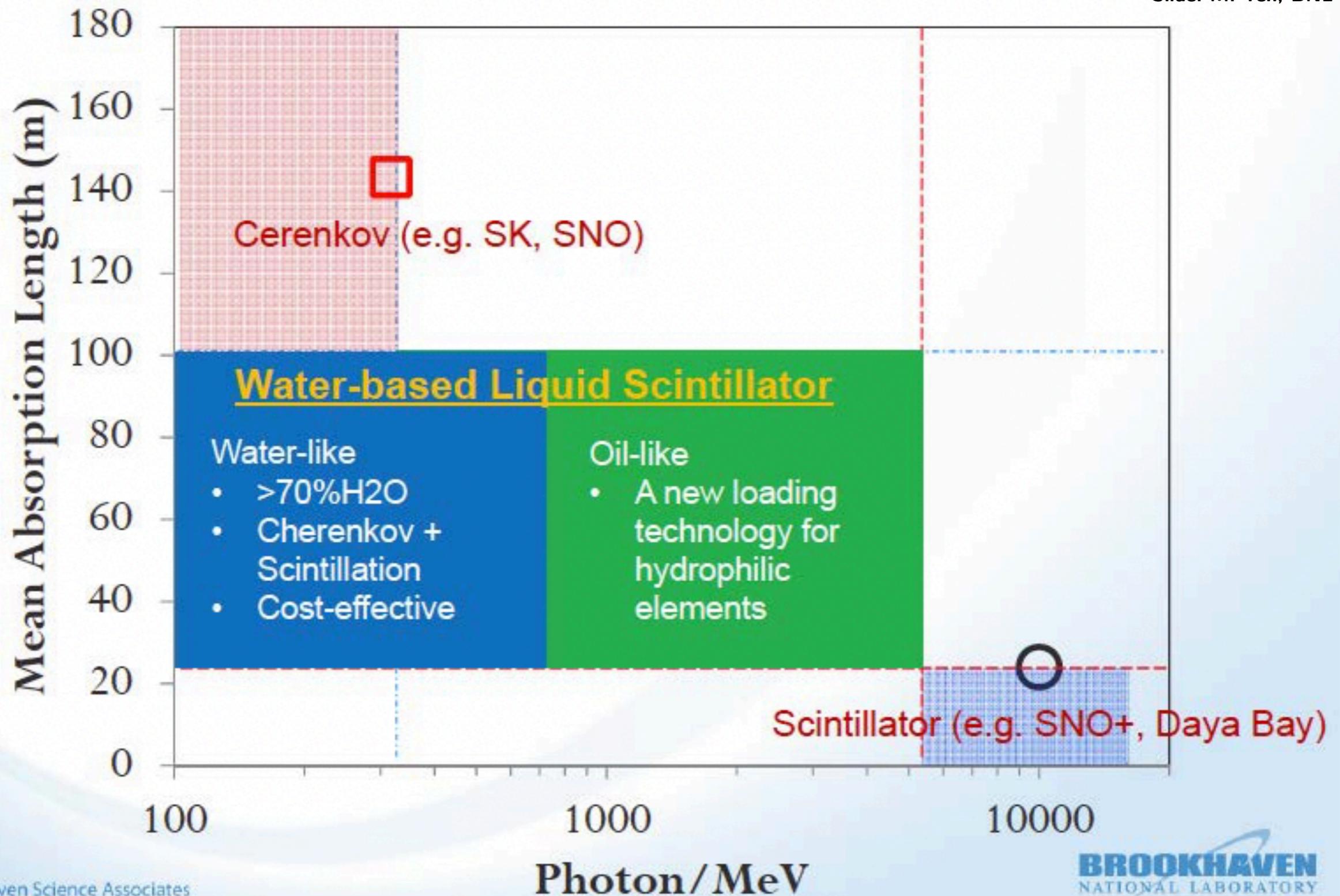
**FroST – Topical Workshop for Theia, JGU Mainz**



# Water-Based LS

## Cherenkov/Scintillation Tuning

Slide: M. Yeh, BNL



# Liquid Scintillator

## Advantages & Challenges

**Liquid Scintillator** detectors have been deployed and proposed to study a broad array of topics:

- ▶ Long-baseline neutrinos and beams
- ▶ Neutrinoless double beta decay (and related)
- ▶ Solar neutrinos
- ▶ Reactor  $\bar{\nu}$  and geoneutrinos
- ▶ Supernova and diffuse SN background neutrinos
- ▶ Sterile neutrinos

:)

---

*Low threshold, large target mass,  
self-shielding, loading options*

:(

---

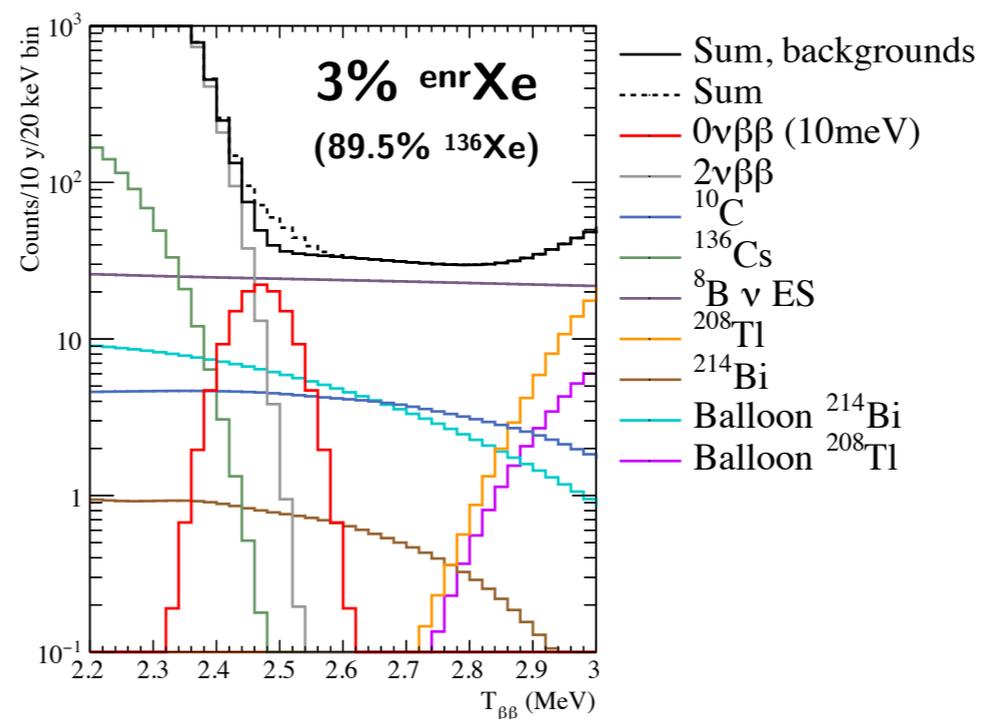
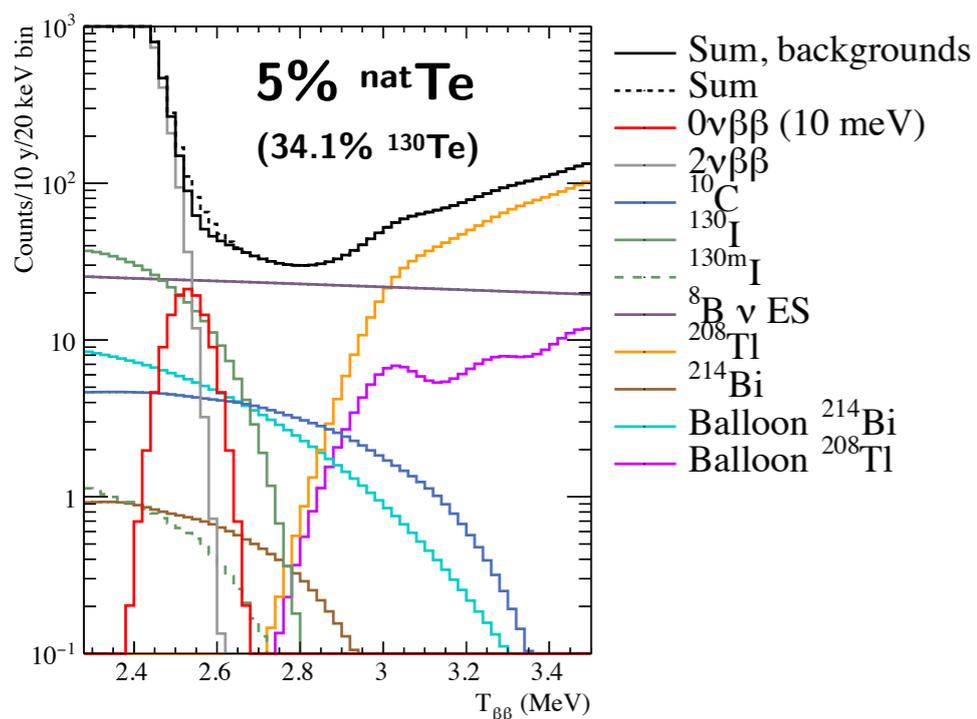
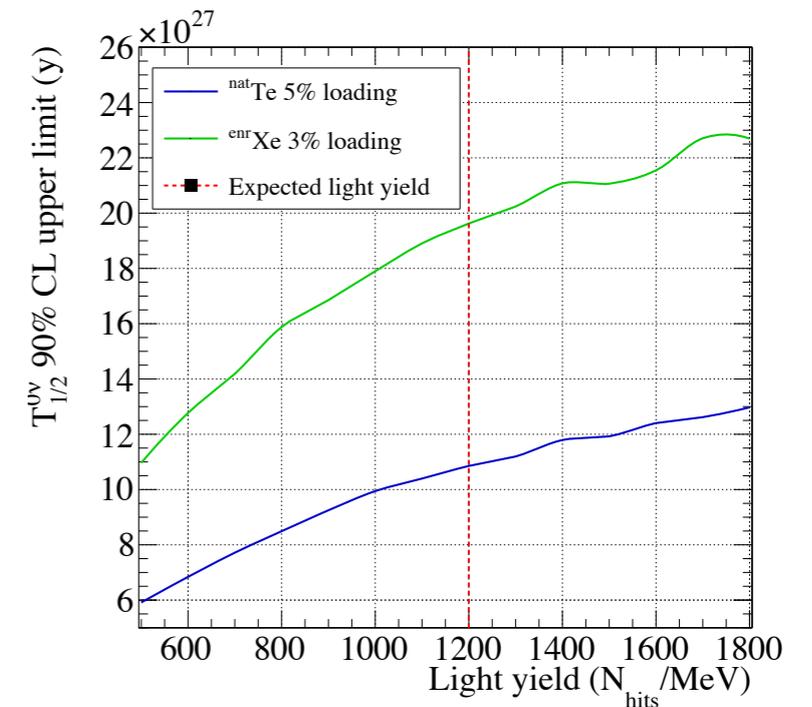
*Energy resolution, optics, cost,  
lack of directionality*

# Neutrinoless Double Beta Decay

## Majorana Neutrinos & LNV

Source	Target level	Expected events/y	Events/ROI·y	
			5% <sup>nat</sup> Te	3% <sup>enr</sup> Xe
Balloon <sup>10</sup> C		500	2.5	2.5
<sup>8</sup> B neutrinos (normalization from [45])		2950	13.8	13.8
<sup>130</sup> I (Te target)		155 (30 from <sup>8</sup> B)	8.3	-
<sup>136</sup> Cs ( <sup>enr</sup> Xe target)		478 (68 from <sup>8</sup> B)	-	0.06
2νββ (Te target, T <sub>1/2</sub> from [46])		1.2×10 <sup>8</sup>	8.0	-
2νββ ( <sup>enr</sup> Xe target, T <sub>1/2</sub> from [47, 48])		7.1×10 <sup>7</sup>	-	3.8
Liquid scintillator	<sup>214</sup> Pb: 10 <sup>-17</sup> g <sub>U</sub> /g	7300	0.4	0.4
	<sup>208</sup> Tl: 10 <sup>-17</sup> g <sub>Th</sub> /g	870	-	-
Nylon Vessel [49, 50]	<sup>214</sup> Pb: < 1.1 × 10 <sup>-12</sup> g <sub>U</sub> /g	1.2×10 <sup>5</sup>	3.0	3.4
	<sup>208</sup> Tl: < 1.6 × 10 <sup>-12</sup> g <sub>Th</sub> /g	2.1×10 <sup>4</sup>	0.03	0.02

TABLE IV: Dominant background sources expected for the NLDBD search in THEIA. The assumed loading is 3% for Xe, for a <sup>136</sup>Xe mass of 49.5 t, and 5% for Te, for a <sup>130</sup>Te mass of 31.4 t. The events in the ROI/yr are given for a fiducial volume of 7 m and an asymmetric energy range around the Q-value of the reaction (*see text*). A rejection factor of 92.5% is applied to <sup>10</sup>C, of 99.9% to <sup>214</sup>Pb, of 50% to the balloon backgrounds, and of 50% to the <sup>8</sup>B solar neutrinos.



**LY: 1200 pe/MeV**  
**10 years livetime**  
**7 m fiducial volume**  
**H<sub>2</sub>O bkg.: SNO**  
**LS bkg.: 0.1x Borexino**  
**75% signal efficiency**

- Rejection:**
- 92.5% <sup>10</sup>C
  - 99.9% <sup>214</sup>Pb
  - 50% external
  - 50% <sup>8</sup>B solar ES

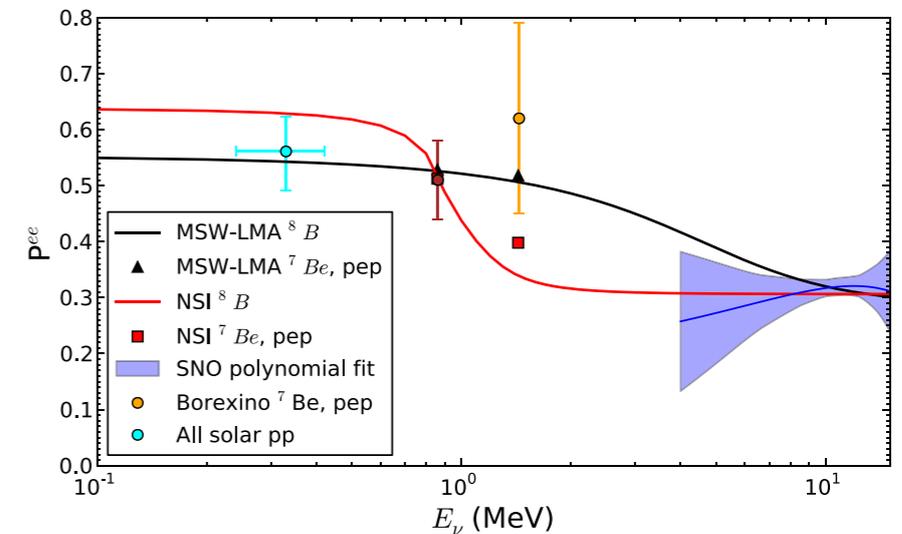
# Solar Neutrinos

## Questions & Motivation

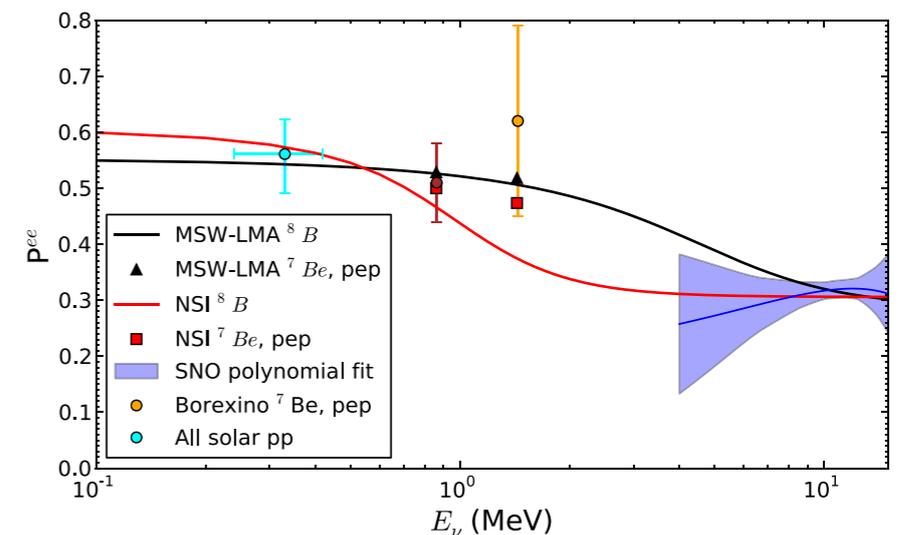
- Measurement of MSW matter-vacuum transition region,  $E_\nu \sim 1$  MeV
  - Understand experimental tension
  - Confirmation of MSW model
  - Search for new physics (NSI)  $\rightarrow$
- High-precision  $pp$  fluxes
  - $pep$  (luminosity)
  - ${}^7\text{Be}$  (temperature, tomography)
  - $hep$  (unmeasured)
- CNO fluxes
  - Solar metallicity
  - Modeling of heavier main-sequence stars

### Example NSI Fits

PRD 88, 053010 (2013)



### Fermion-density dependent MaVaN



### Scalar long-range force

# Requirements

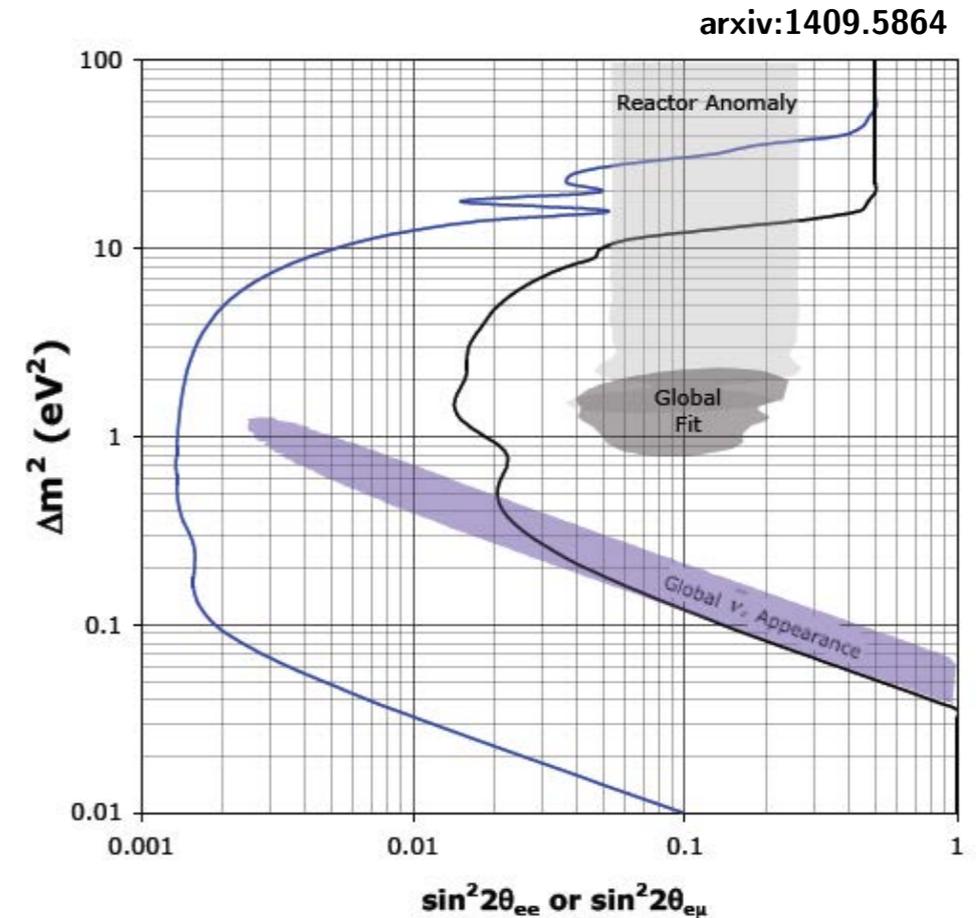
Physics	Size	Cherenkov Priority	Scintillation Priority	Cleanliness Priority
$0\nu\beta\beta$	~few ktonne	Medium	Very high	Very High
Low E Solar vs (< 1 MeV)	~10 ktonne	High	Very high	Very High
High E Solar vs (> 1 MeV)	>50 ktonne	High	Low	High
Geo/reactor anti- $\nu$ s	~10 ktonne	Low	High	Medium
DSNB anti-ns	>50 ktonne	Low	High	Medium
Long-baseline vs	> 50 ktonne	Very high	Low	Low
Nucleon decay ( $K^+$ anti- $\nu$ )	> 100 ktonne	High	High	Low

From G.D. Orebi Gann

# Sterile Neutrinos

## Source-Based VSBL Oscillations

- Potential to deploy an intense DAR source near the Theia detector
- E.g.  $^8\text{Li}$  DAR such as IsoDAR
- Response requirements:  $E \sim 15\% E$ ,  $r \sim 50$  cm
- Case study  $\rightarrow$ 
  - 5 years live time
  - Fiducial 1 kt (black) / 20 kt (blue)
- Could reduce backgrounds using a  $2n$  tag with a *heavy* water based LS (HWbLS)



# Advanced Reconstruction

## Examples

see B. Wonsak et al., *Solar Neutrinos*, pp. 445-463 (2019)

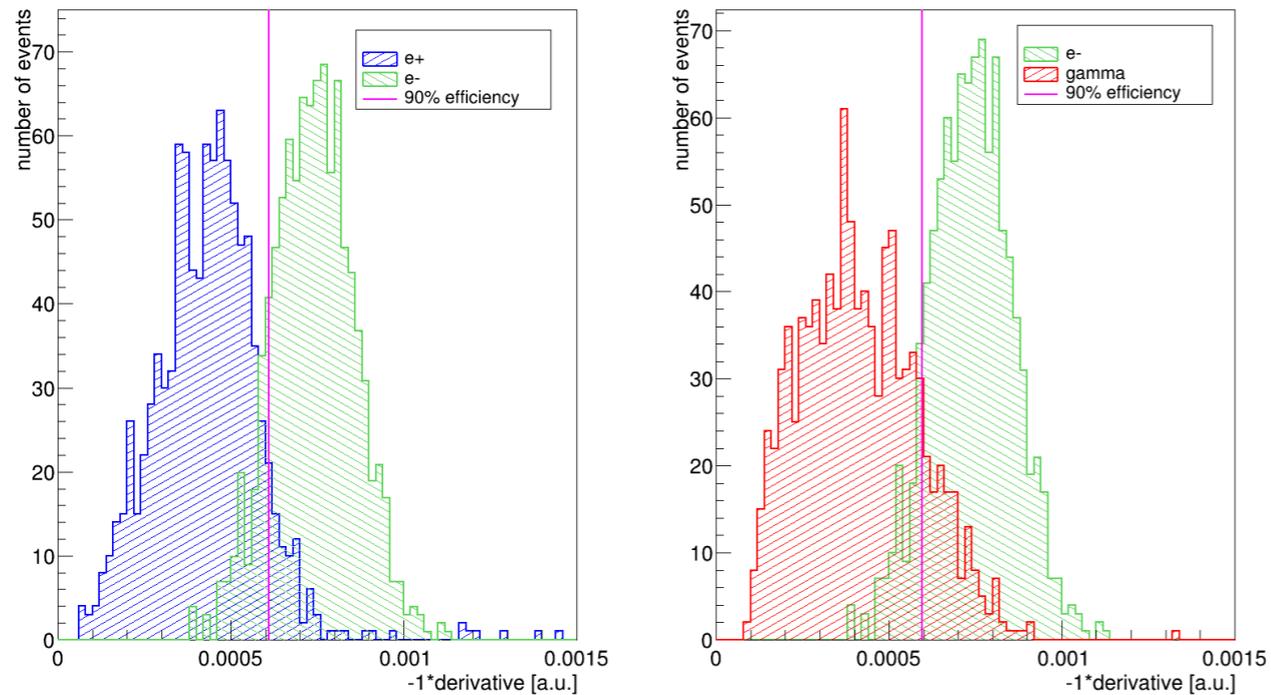


FIG. 2: Maximum derivative of the radial profile of the 3D-topological reconstruction at 2 MeV in JUNO for (left) electrons and positrons and (right) electrons and gammas [63].

### Examples:

- Cherenkov + Scintillation
  - MiniBooNE
  - LSND
- Likelihood approaches
  - fiTQun (SK): multi-track, PID
- Topological reco
  - Tracking with C+S
  - Low-energy topology (SS/MS, e/gamma)
- Spherical harmonics & DL approaches
  - 60% C10 rejection, 90% NLDBD eff.

Angular resolution 0.3–0.8 rad  
100 ps photodetector timing  
2.5 MeV simulated electrons

see R. Jiang and E. Elagin, [arXiv:1902.06912](https://arxiv.org/abs/1902.06912)

FIG. 3: Simulation of a liquid scintillator detector similar to KamLAND-Zen, but equipped with 100 ps time resolution photo-detectors. Details about the simulation can be found in [60] (Left): The inner product between the reconstructed direction and the true electron direction for simulation of 10,000 one-track background events. (Right): The mean value of the inner product distribution as a function of photo-coverage.

