



B factory results and Belle II prospects

Alan Schwartz

University of Cincinnati, USA (on behalf of the Belle/Belle II Collaborations)

> Interplay between Particle and Astroparticle Physics (IPA 2022)

Technische Universitat, Wien, Austria 6 September 2022

overview

- search for axion-like particles
- measurement of ϕ_3
- $|V_{cb}|$ and $|V_{ub}|$

*g*_µ - 2

future schedule and improvements



The Belle + BaBar Era:

The "B Factory" experiments Belle and BaBar ran for ~10 years (2000-2010): **1195 papers** published to date, many discoveries (CPV in $B^0 \rightarrow J/\psi K^0$, direct CPV in $B^0 \rightarrow \pi^+\pi^-$, D^0 - D^0 bar mixing, X(3872), D_{sJ} (2317), etc.), one Nobel prize (Kobayashi and Maskawa, 2008), but:

most of the physics program/measurements were not envisioned when the experiments began

>1000 fb⁻¹

Belle II is a significant upgrade of Belle: new accelerator, new detector, new electronics, new DAQ, new trigger.

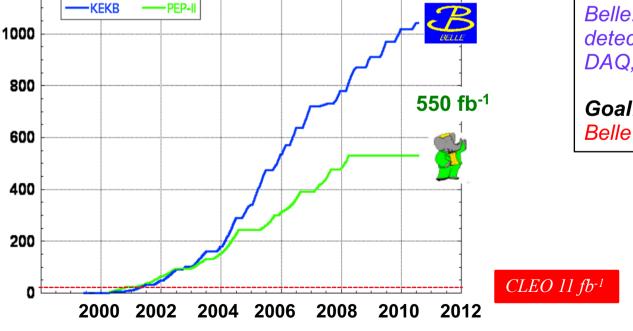
Goal: 50 ab⁻¹ of data, ~40x that of Belle+BaBar



2

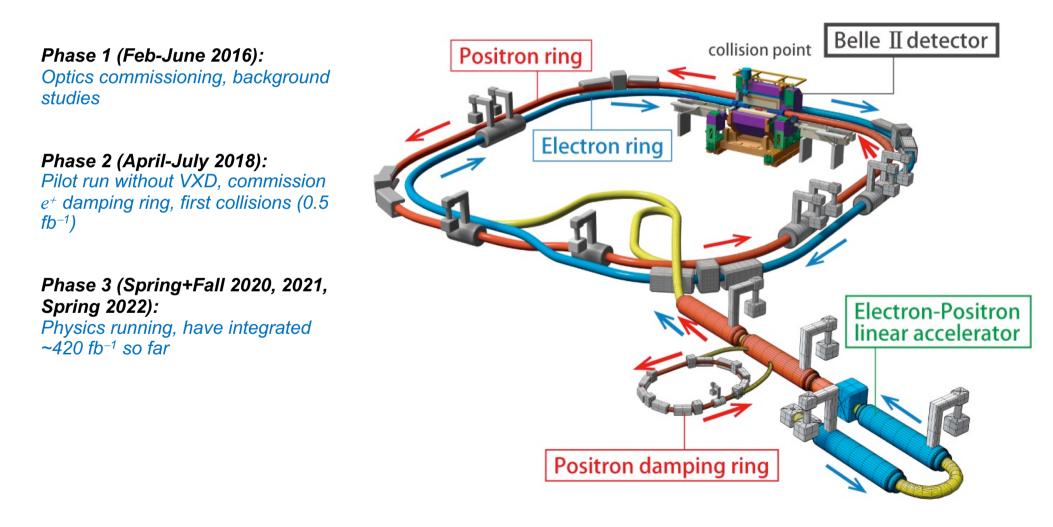


 (fb^{-1})



$Major \ accelerator \ upgrade \ (KEKB \rightarrow SuperKEKB)$

 e^+e^- collider running at the Upsilon(4S) [and Upsilon (5S)] resonances with 7 GeV (e^-) on 4 GeV(e^+) beams. $\mathcal{L}(\text{design}, 2020) = 6.5 \times 10^{35}/\text{cm}^2/\text{sec.}$ New e^+ damping ring, new e^+ storage ring, new IR optics, Superconducting FF, new RF



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Belle II physics program

"The Belle II Physics Book" E. Kou et al., PTEP 2019, 123C01 (2019) [arXiv:1808.10567]

B physics:

- Angles of CKM unitarity triangle
- Sides of CKM unitarity triangle
- CP violation
- Semileptonic/leptonic decays (lepton flavor universality)
- Radiative decays
- Electroweak penguin decays

Charm physics:

- Mixing and indirect CP violation
- direct CP violation
- T violation via T-odd asymmetries
- Semileptonic/leptonic decays (|Vcd|, |Vcs|)

Tau physics

Dark photon, dark sector searches

Quarkonium

Today:

Dingfelder: Flavor anomalies @ Belle II

LaCaprara:

Time-dependent CP violation @ Belle II

Bilka: Charm physics @ Belle II

Basith, Boschetti: Quarkonium @ Belle, Belle II

Thursday:

Banerjee, Martini: Tau physics @ Belle, Belle II

Friday:

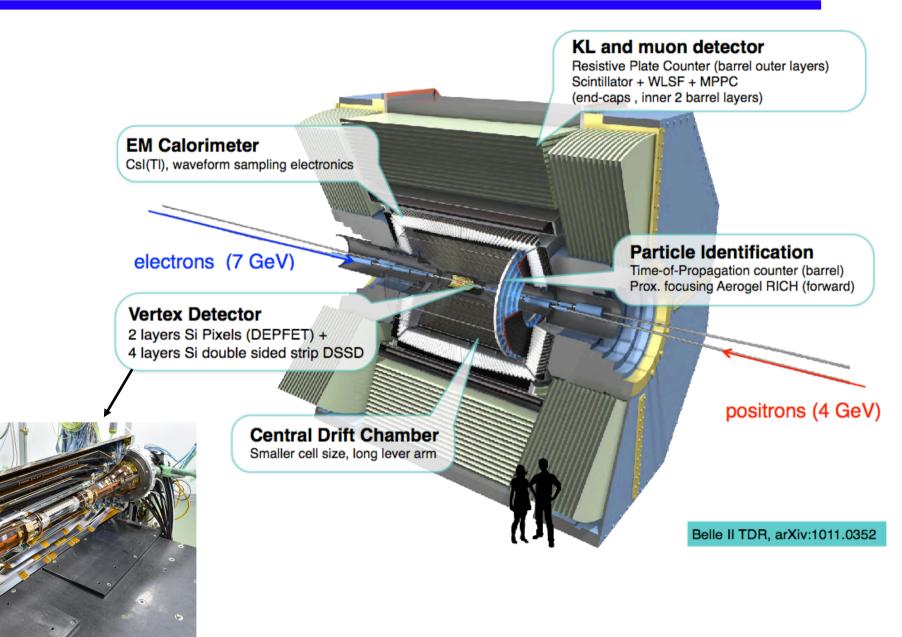
Corona: Dark sector @ Belle II

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The Belle II Detector

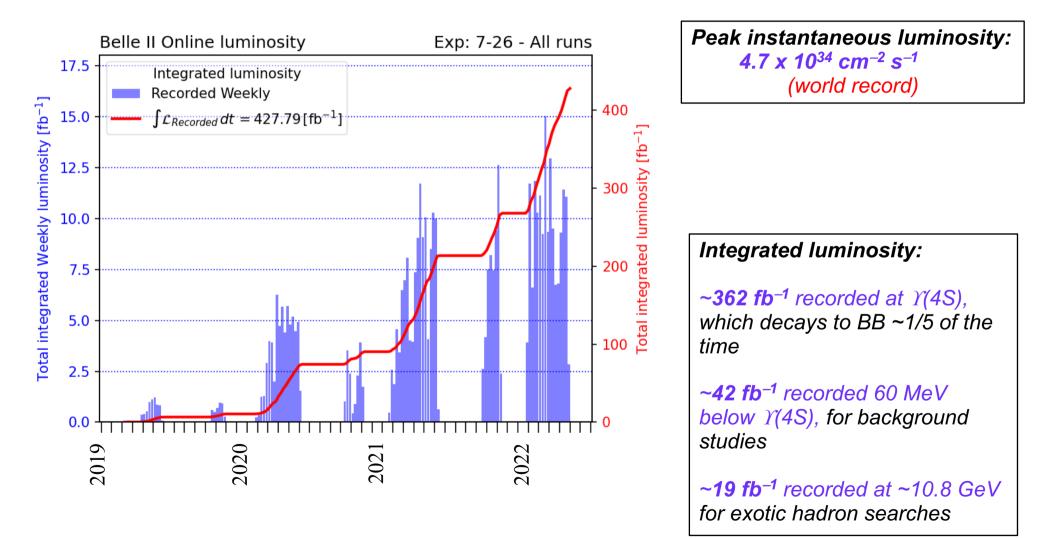


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Performance to date



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Physics publications: 10 submitted to-date

- Observation of $e^+e^- \rightarrow \text{omega } \chi_{bJ}$ and search for $\chi_B \rightarrow \text{omega Upsilon(1S)}$ at sqrt(s) near 10.75 GeV
- Measurement of the Ω_c lifetime at Belle II
- Search for a dark photon and an invisible dark Higgs boson in $\mu+\mu-$ and missing energy final states with the Belle II experiment
- Measurement of the Λ_c + lifetime
- Measurement of Lepton Mass Squared Moments in $B \rightarrow X_c \ell v_1$ Decays with the Belle II Experiment
- Combined analysis of Belle and Belle II data to determine the CKM angle ϕ_3 using B^+ JHEP 02 $\rightarrow D(K_s^0 h^+ h^-)h^+$ decays
- Precise measurement of the D^0 and D^+ lifetimes at Belle II
- Search for $B^+ \to K^+ \nu \bar{\nu}$ decays using an inclusive tagging method at Belle II PRL 127, 18
- Search for Axionlike Particles Produced in *e*+*e* Collisions at Belle II
 - Search for an Invisibly Decaying Z' Boson at Belle II in $e^+e^- \rightarrow \mu^+\mu^-(e^\pm\mu^{\mp})$ Plus Missing Energy Final States

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https://arxiv.org/abs/2208.08573 https://arxiv.org/abs/2207.00509 https://arxiv.org/abs/2206.15227 https://arxiv.org/abs/2205.06372 JHEP 02 (2022) 063 PRL 127, 211801 (2021)

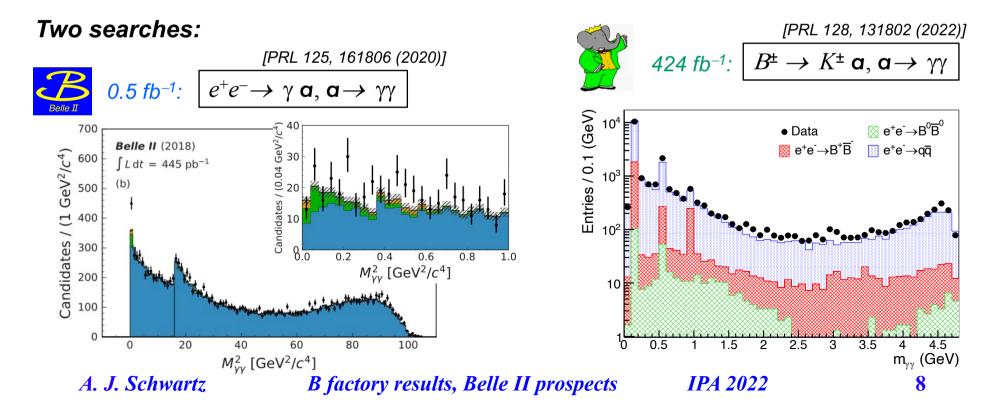
https://arxiv.org/abs/2208.13189

- PRL 127, 181802 (2021)
- PRL 125, 161806 (2020)
- PRL 124, 141801 (2020)



Search for axion-like particles

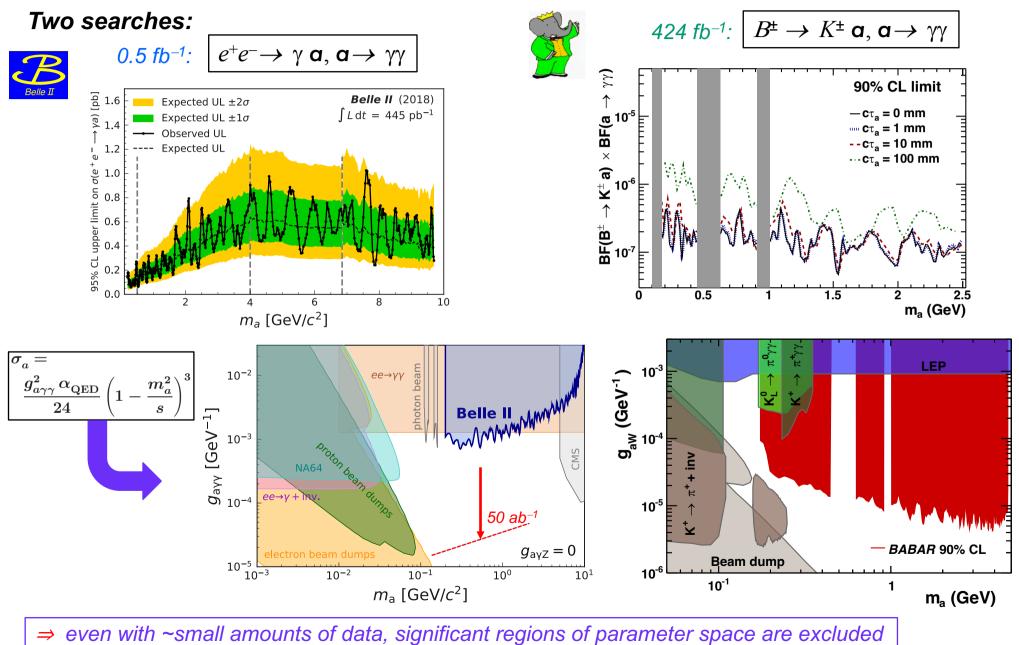
- When a global symmetry is spontaneously broken → pseudo-Goldstone bosons, called axion-like particles (ALPs)
- \Rightarrow If there are beyond-SM global symmetries that are spontaneously broken \rightarrow beyond-SM ALPs
- Characteristic: in the simplest models, ALPs predominantly couple to pairs of gauge bosons, e.g., γγ, W⁺W⁻, etc. The WW coupling gives rise to flavor-changing neutral currents (FCNC); see Izaguirre et al, PRL 118, 111802 (2017).
- Their observability depends on (a) the final state into which an ALPs decays (b) the coupling strength to gauge bosons (c) the ALPs mass





Search for axion-like particles

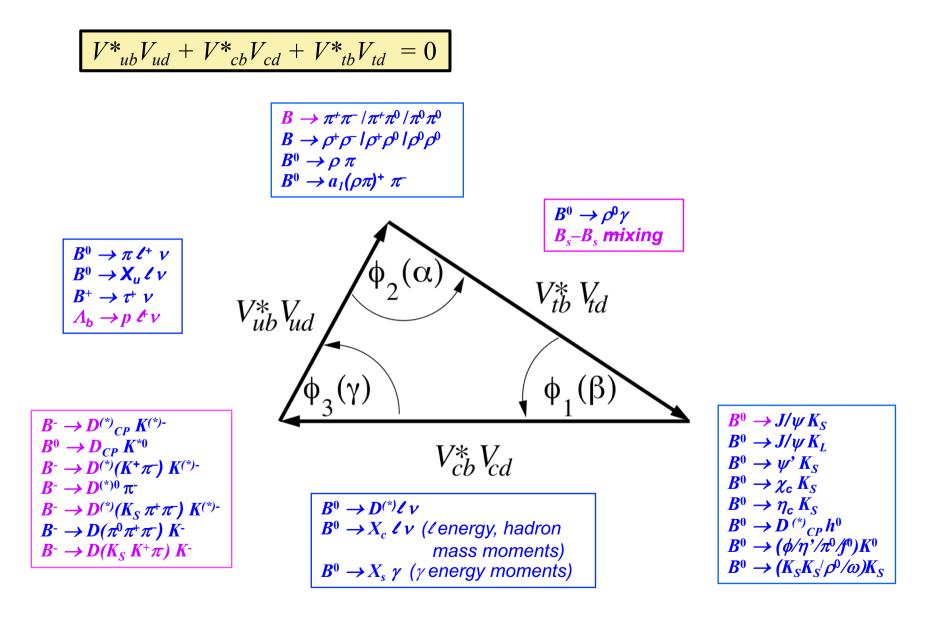
[Belle, PRL 125, 161806 (2020)] [Babar, PRL 128, 131802 (2022)]





CKM Unitarity triangle

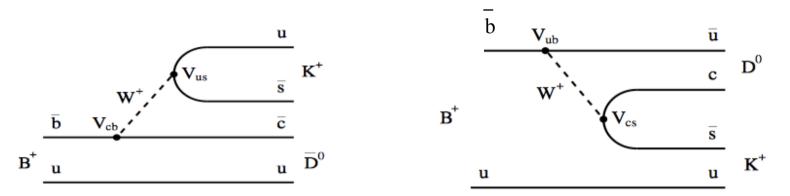




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Giri, Grossman, Soffer, and Zupan, PRD 68, 054018 (2003); Bondar, Proc. of BINP Anal. Meeting on Dalitz Analysis, 24-26 Sept. 2002



Decay rate depends on interference of the two amplitudes \Rightarrow sensitivity to ϕ_3 :

Defining:
$$\frac{\mathcal{A}(B^+ \to D^0 K^+)}{\mathcal{A}(B^+ \to \overline{D}{}^0 K^+)} \equiv r_B e^{i(\delta_B - \phi_3)}$$

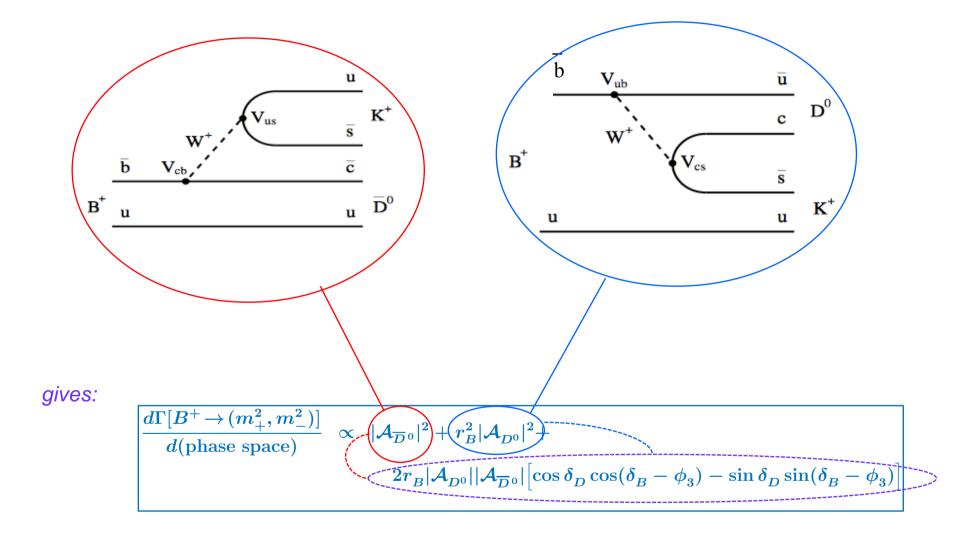
gives:

$$egin{aligned} rac{d\Gamma[B^+ o (m_+^2, m_-^2)]}{d(ext{phase space})} &\propto & |\mathcal{A}_{\overline{D}\,^0}|^2 + r_B^2 |\mathcal{A}_{D^0}|^2 + \ & 2r_B |\mathcal{A}_{D^0}| |\mathcal{A}_{\overline{D}\,^0}| \Big[\cos \delta_D \cos(\delta_B - \phi_3) - \sin \delta_D \sin(\delta_B - \phi_3) \Big] \end{aligned}$$

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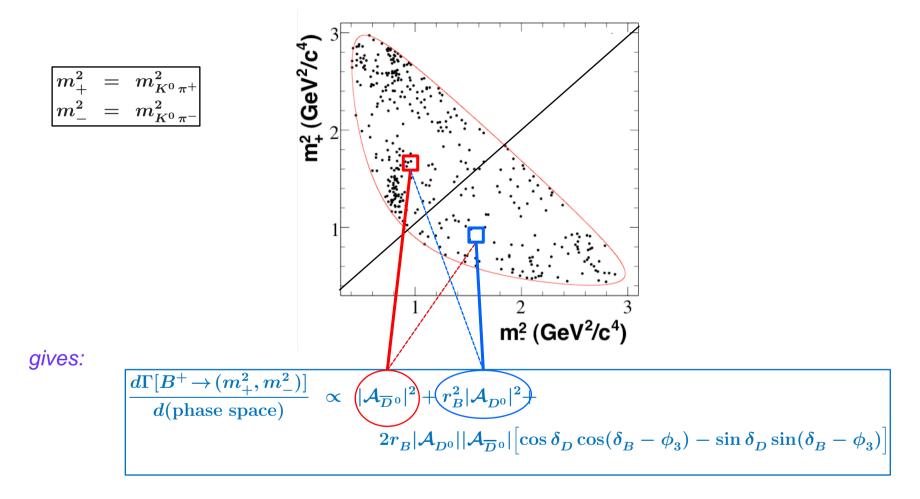




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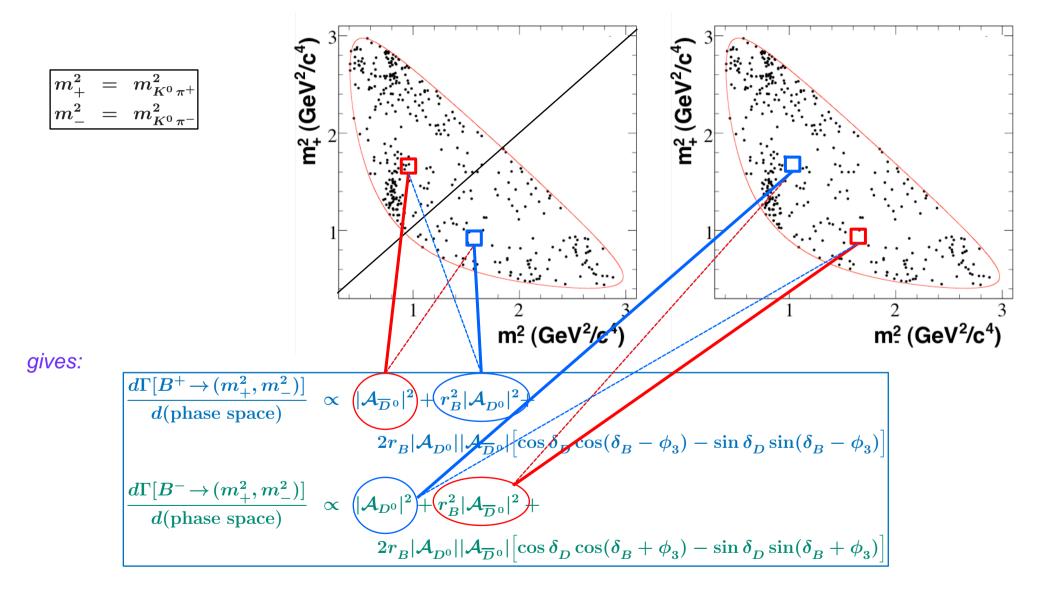
Use $(D^0, \overline{D^0}) \rightarrow K_S^0 \pi^+ \pi^-$ decays, determine decay rates into bins of Dalitz plot:



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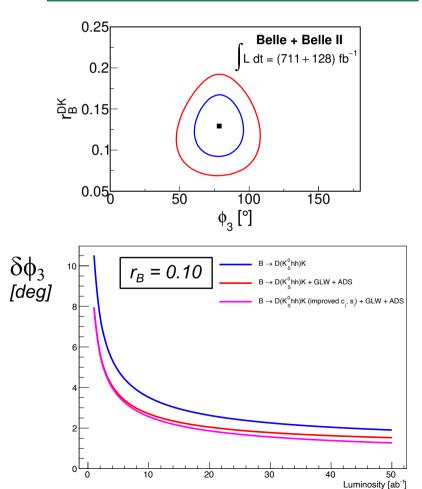
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Results for (711 + 128) fb⁻¹:

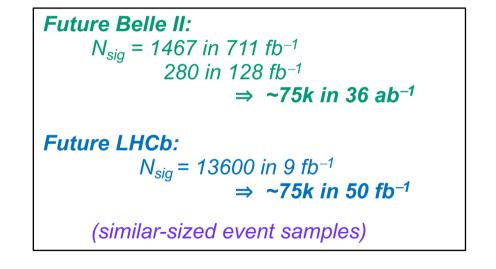
 $egin{array}{rll} \phi_3 &=& (78.4\pm11.4\pm0.5\pm1.0)^\circ \ r_B &=& 0.129\pm0.024\pm0.001\pm0.002 \ \delta_B &=& (124.8\pm12.9\pm0.5\pm1.7)^\circ \end{array}$



Comparison: LHCb 9 fb⁻¹:

[JHEP 02, 169 (2021)]

$$\begin{array}{rcl} \phi_{3} & = & (68.7 \, {}^{+5.2}_{-5.1})^{\circ} \\ r_{B} & = & 0.0904 \, {}^{+0.0077}_{-0.0075} \\ \delta_{B} & = & (118.3 \, {}^{+5.5}_{-5.6})^{\circ} \end{array}$$

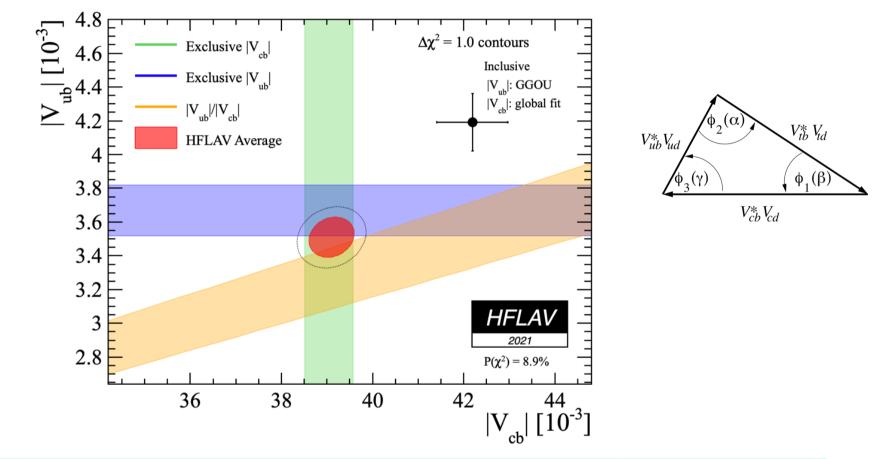


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Inclusive vs. Exclusive $|V_{cb}|$, $|V_{ub}|$



	Exclusive (x 10 ⁻³)	Inclusive (x 10 ⁻³)	Difference
$ V_{cb} $	$38.46 \pm 0.40 \text{ (exp)} \pm 0.55 \text{ (th)} \text{ (D}^* \ell \text{v)}$ $39.14 \pm 0.92 \text{ (exp)} \pm 0.36 \text{ (th)} \text{ (D} \ell \text{v)}$	42.19 ± 0.78 (kinetic scheme) 41.98 ± 0.45 (1S scheme)	2.6–3.6 σ
$ V_{ub} $	$3.67 \pm 0.09 \pm 0.12 \ (\pi \ell \nu)$	$4.62 \pm 0.20 \pm 0.29$ (BLL)	2.5 σ

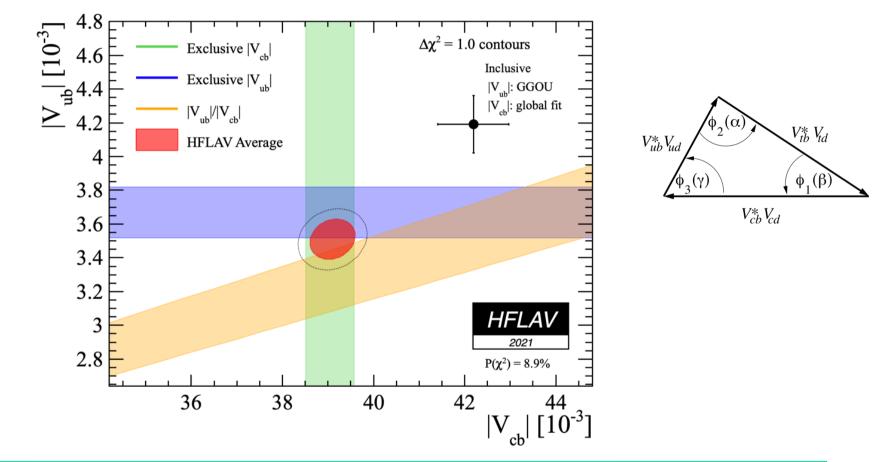
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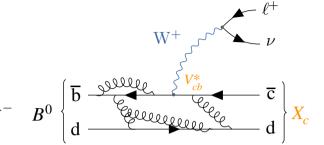
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KM matrix glement $V_{\rm ub}$ and the projection operator operator (2014) t parton level and as an effective diagram, EPJC 81, 226 (2021)

Fael, Mannel, and Vos, JHEP 02, 177 (2019) Bernlochner et al., arXiv:2205.10274 (2022)



$B \rightarrow X_c lv$, where X_c denotes final state hadrons containing charm

- Experimentally, no specific final state is reconstructed. Statistics are high, but backgrounds are high
- Theoretically, one calculate a b \rightarrow c transition, not a $< D^* | \mathcal{H} | B >$ matrix element (parameterized by form factors).

gram.

 $\ell \nu$ is show

(b) Effective Feynman diagram.

level Strategy: dtagringlusive here there are in a failed with the Heavy Quark Expansion. This is a double expansion in effective Feynman/Magrane, Meansigagdeore of an Waknown B matrix elements of local operators. However, these matrix e_{weak} weak interaction is point like, and the state of the lepton energy and recoil hadronic mass M_{X} in $B \rightarrow X lv$. These moments have blob been measured (Belle, BaBar, others), and thus one can fit the moments and the measured width for $B \rightarrow X lv$ to extract $|V_{cb}|$. To order $(1/m_b)^3$, there are 4 hadronic parameters (~matrix elements) fitted for. [To $(1/m_b)^4$, there would be 13.]

> New Strategy: 79Instead of lepton energy and recoil hadronic mass M_{χ} moments, use q^2 moments (mass squared of *Lv* system). These moments are "re-parameterization invariant," and thus depend on a reduced set of nonperturbative HQE parameters. To order $(1/m_b)^4$, there are only 8. There are two recent measurements of q^2 moments, by Belle (711 fb⁻¹) and Belle II (63 fb⁻¹). [Previously there were none.] Both sets have now been fitted for $|V_{cb}|$.

$$\left\langle (q^2)^n \right\rangle \; = \; \frac{1}{\Gamma_0} \int_{q_{\rm cut}^2}^{q_{\rm max}^2} dq^2 \, (q^2)^n \, \frac{d\Gamma}{dq^2} \qquad \left(\Gamma_0 = \frac{G_F^2 \, |V_{cb}|^2 \, m_b^5 \, A_{EW}}{192 \pi^3}\right)$$

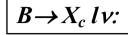
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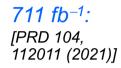
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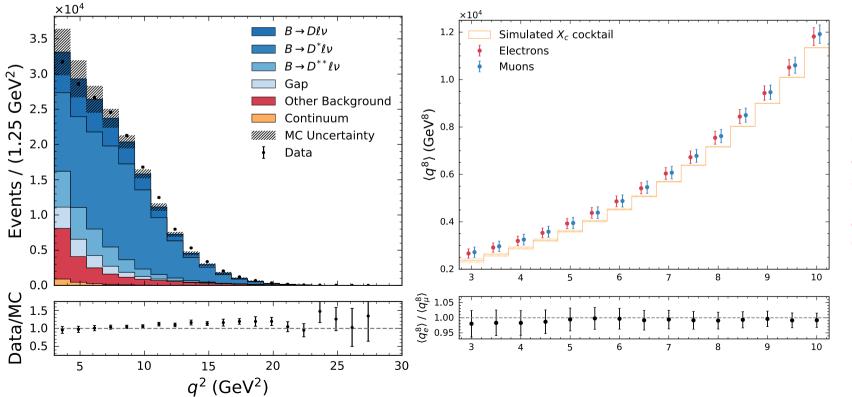
Inclusive $|V_{cb}|$ via q^2 moments: 2 measurements







- to reconstruct q² = (P_ℓ + P_ν)² moments, need P_ν ⇒ must fully reconstruct tag side. To achieve this, use 4 stages of neural networks (output classifier of one stage → input layer of next stage). In total, 1104 decay chains considered, effic. = 0.2–0.3%. [details: Feindt et al., NIM A 654, 432 (2011)]
- identify μ or e on signal side. Instead of lower p cut (needed to well-identify leptons), impose lower q^2 cut (to preserve re-parameterization invariance).



(Lepton flavor universality conserved, but notable systematic from MC simulation (used to apportion background among q² bins)

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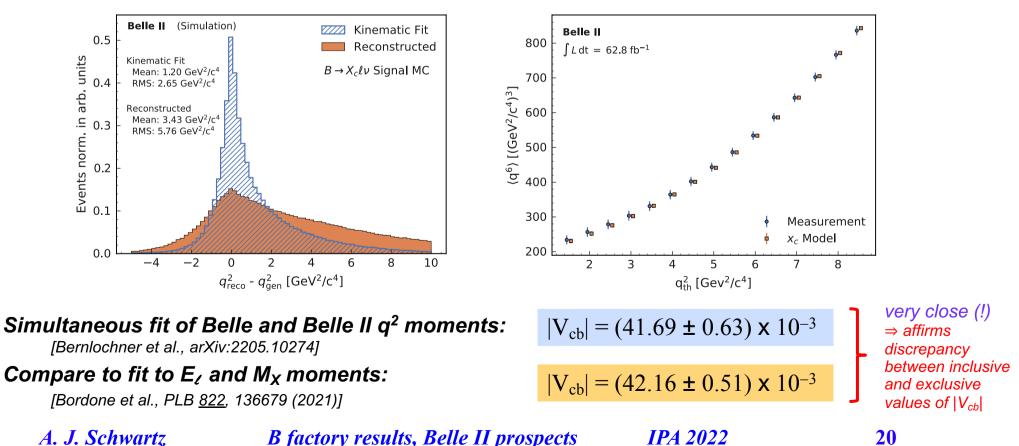


Inclusive $|V_{cb}|$ via q^2 moments: 2 measurements

 $B \rightarrow X_c l v$, some improvements w/r/t Belle:

63 fb⁻¹: [arXiv:2205.06372]

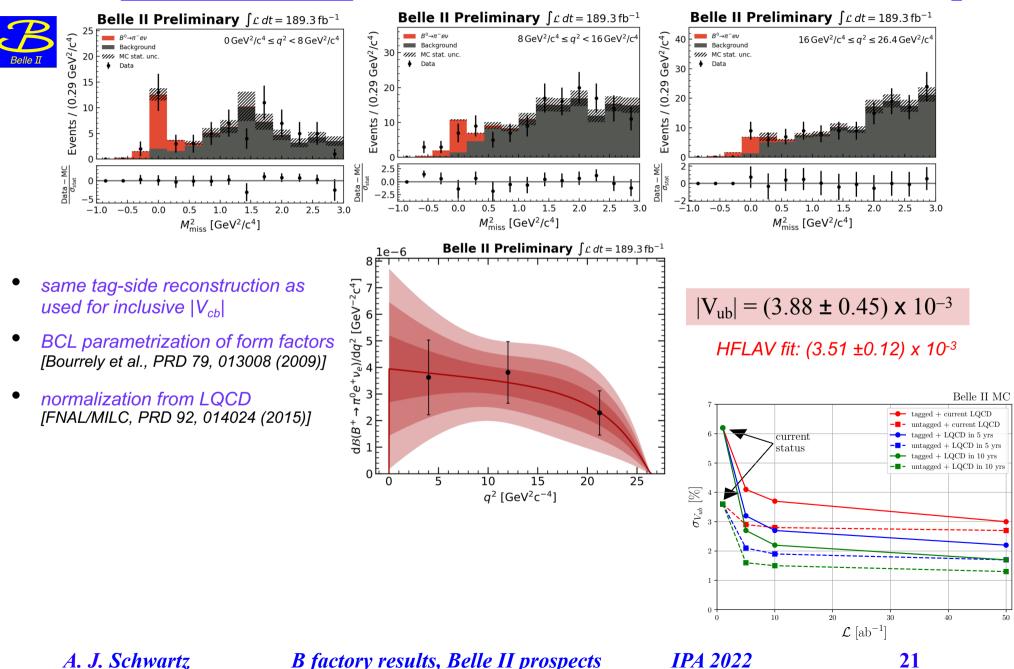
- q^2 threshold is lowered from 3.0 GeV² (58% of phase space) to 1.5 GeV² (77% of phase space). This introduces some dependence on the moments on modeling $B \rightarrow X_c lv$. new algorithm based on boosted decision trees used for full reconstruction of tag side [T. Keck et al., arXiv:1807.08680]. Total of ~10000 decay chains considered. effic. = 0.3-0.4%.
- q^2 resolution is improved by performing a global kinematic fit to the entire decay chain $e^+e^- \rightarrow BB \rightarrow B_{tag} X_c \ell v$, imposing $(P_{tag})^2 = m_B^2$, $(P_{sig})^2 = m_B^2$, and overall energy-momentum conservation.

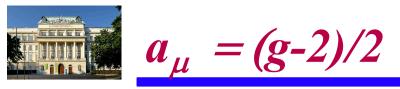




Exclusive $|V_{ub}|$ *via* $B \rightarrow \pi e^+ v$

189 fb⁻¹: [arXiv:2206.08102]





Discrepancy:

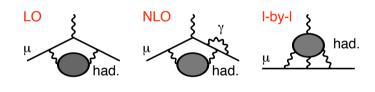
 a_{μ} [Experiment – Theory] = (279 ± 76) x 10⁻¹¹

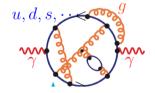
Experimental uncertainty: $\delta a_{\mu} = 63 \ge 10^{-11} \rightarrow \sim 16 \ge 10^{-11}$ *E*-989

Theoretical uncertainty:

Contribution	Magnitude (10 ⁻¹¹)	Uncertainty (10 ⁻¹¹)
QED	116584718.931	0.104
electroweak	153.6	1.0
NLO hadronic	-98.3	0.7
NNLO hadronic	12.4	0.1
light-by-light hadronic	92	18
LO hadronic	6931	40

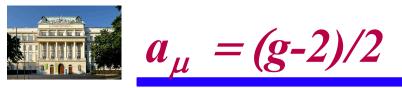
Hadronic contributions:





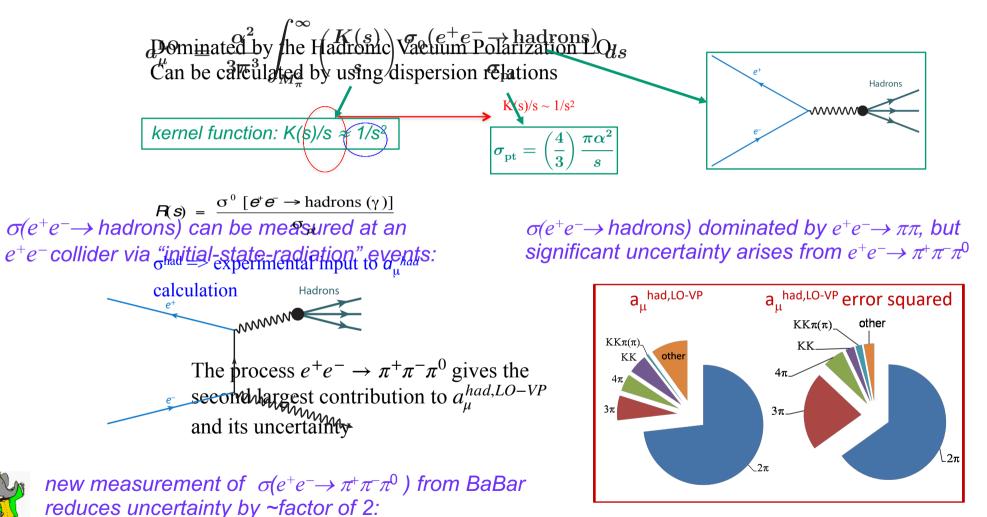
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Aoyama et al., Phys. Rep. 887, 1 (2020) Lees et al. (BaBar), PRD 104, 112003 (2021) Fabio Anulli, ICHEP 2022

Leading order hadronic contribution is calculated using a dispersion relation:



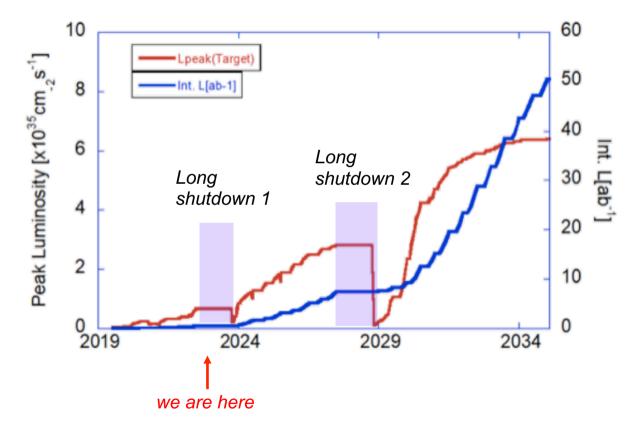
Note: 2.9 σ discrepancy between BaBar and KLOE for $a_{\mu}^{LO}(\pi^{+}\pi^{-})$ should be resolved by Belle II

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 $\Rightarrow a_{\mu}^{LO}(\pi^{+}\pi^{-}\pi^{0}) = (45.86 \pm 0.14 \pm 0.58) \times 10^{-10}$





Long shutdown #1

Detector upgrades:

- PXD (pixel) detector: complete 2nd layer
- TOP (particle ID) detector: exchange "conventional" PMTs for life-extended PMTs
- upgrade of back-end readout (COPPER-> PCle40)

Accelerator upgrades:

- shielding of QCS (final focusing) bellows
- additional neutron shielding
- installation of nonlinear collimator
- enlarged beampipe for HER injection
- pulse-by-pulse beam control for LINAC

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- Belle II has taken 423 fb⁻¹ of data, ~equaling the BaBar sample. The only missing element is the second layer of the PXD (to be installed this year during LS1).
- Detector works well, many analyses in progress. A dozen new results presented at Moriond 2022, another ~dozen at ICHEP22.
- Accelerator commissioning is proceeding, but there are challenges (as expected) for this machine: background is higher than expected, dominated by beam gas, Touschek. β_y^* is slowly being reduced. Both instantaneous luminosity and specific luminosity significantly higher than Belle (& BaBar), but still have a ways to go. We are 2-3 years behind in luminosity profile/data.
- Physics potential is large: there is much better vertexing (⇒ 2x better decay time resolution) and (in principle) better particle ID than in Belle. Full reconstruction on tag side is much improved over Belle/BaBar. Improved triggering (relevant for DM searches).



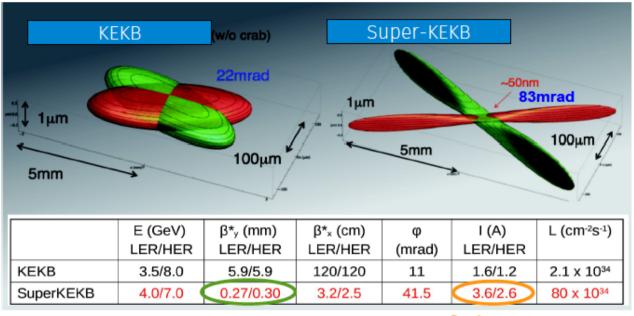
Extras

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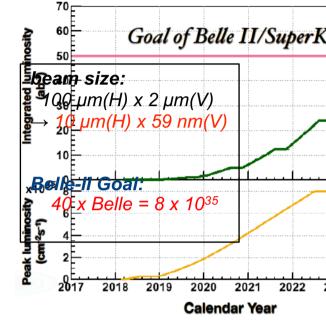
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How to get 40x instantaneous luminosity?

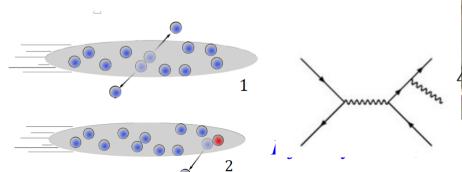






factor 20

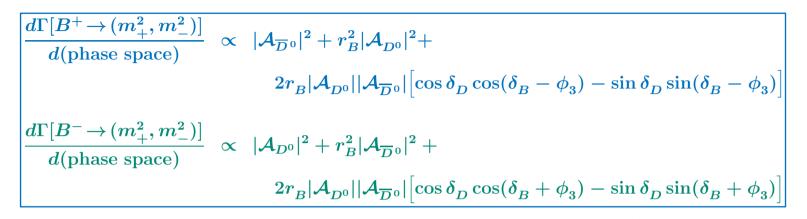
Final focus quadrupole being inserted:



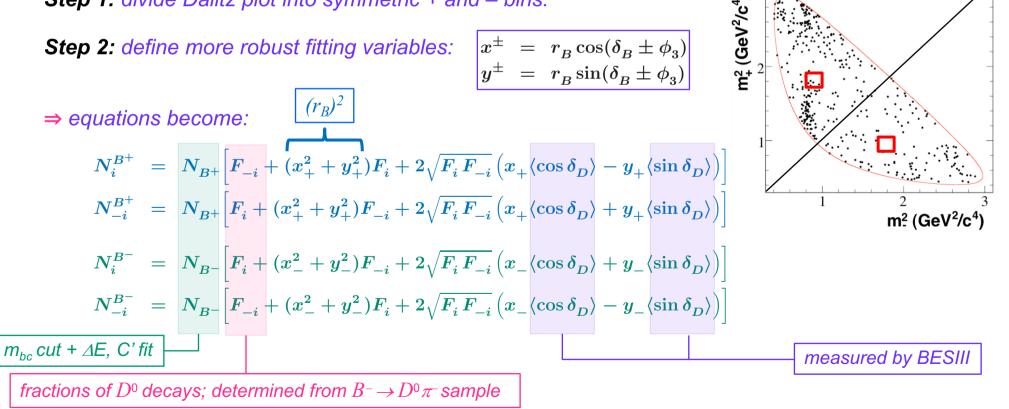


II prospects





Step 1: divide Dalitz plot into symmetric + and – bins:



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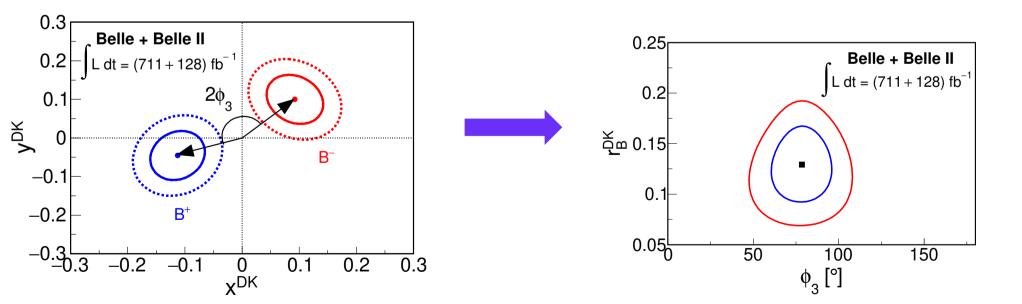
Results for (711 + 128) fb⁻¹:

		$(-11.28 \pm 3.15 \pm 0.18 \pm 0.22)\%$
		$(9.24 \pm 3.27 \pm 0.17 \pm 0.23)\%$
$ y^+ $		$(-4.55 \pm 4.20 \pm 0.11 \pm 0.55)\%$
y^-	=	$(10.00 \pm 4.20 \pm 0.23 \pm 0.67)\%$



ϕ_3	=	$(78.4 \pm 11.4 \pm 0.5 \pm 1.0)^{\circ}$
r_{B}	=	$0.129 \pm 0.024 \pm 0.001 \pm 0.002$
δ_B	=	$(124.8 \pm 12.9 \pm 0.5 \pm 1.7)^{\circ}$

$$\begin{array}{rcl} x^{\pm} &=& r_B\cos(\delta_B\pm\phi_3)\\ y^{\pm} &=& r_B\sin(\delta_B\pm\phi_3) \end{array}$$



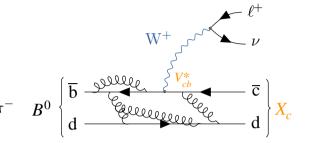
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KM_smatrix element $V_{\rm ub}$ and the projection operator parton ever and a effective diagram Gambino and Schwanda, PRD 89. 014022 (2014)

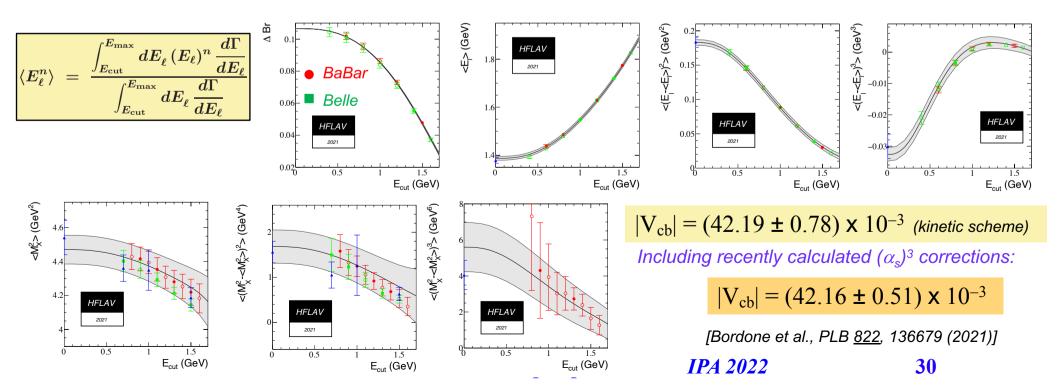
Y. Amhis et al. (Heavy Flavor Averaging Group), EPJC 81, 226 (2021) https://hflav.web.cern.ch/content/semileptonic-b-decays



$B \rightarrow X_c lv$, where X_c denotes final state hadrons containing charm

- Experimentally, no specific final state is reconstructed. Statistics are high, but backgrounds are high
- **Theoretically**, one calculate a $b \rightarrow c$ transition, not a $\langle D^* | \mathcal{H} | B \rangle$ matrix element (parameterized by form factors).

(b) Effective Feynman diagram. gran **Strategy:** the inclusive $b \rightarrow clv$ decay rate is calculated via the Heavy Quark Expansion. This is a double expansion in level & Econdran diagram (a) candpares if colored a manunknown B matrix elements of local operators. However, these matrix effective/enternals dialetermitive propagate of the left on energy and recoil hadronic mass M_{x} in $B \rightarrow X lv$. These moments have e weaken are an and the measured width for $B \rightarrow X l v$ to extract blob, $|V_{cb}|$. To order $(1/m_b)^3$, there are 4 hadronic parameters (~matrix elements) fitted for. [To $(1/m_b)^4$, there would be 13.]

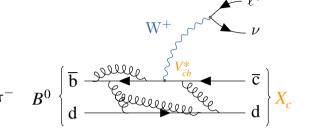


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KM_omatrix element $V_{\rm ub}$ and the projection operator parton level and as an effective diagram aux

Fael, Mannel, and Vos, JHEP 02, 177 (2019) Bernlochner et al., arXiv:2205.10274 (2022)



New Strategy:

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now been fitted for $|V_{cb}|$. level Feynman diagram (a) and the effective Feynman effective Feynman diagram, the propagator of the W is

e weak interaction is point-like, and
$$\left(\frac{1}{q^{2}}\right)^{n}$$
 blob.

$$\left(\Gamma_{0} = \frac{G_{F}^{2} |V_{cb}|^{2} m_{b}^{5} A_{EW}}{192\pi^{3}}\right)^{n}$$

Heavy Quark Expansion:

$$\begin{array}{ll} \langle (q^2)^n \rangle &\simeq & \mu_3 \Big[X_0^{(n)} + \left(\frac{\alpha_s}{\pi}\right) X_1^{(n)} + \ldots \Big] + \\ & \frac{\mu_G^2}{m_b^2} \Big[g_0^{(n)} + \left(\frac{\alpha_s}{\pi}\right) g_1^{(n)} + \ldots \Big] + \\ & \frac{\rho_D^3}{m_b^3} \Big[d_0^{(n)} + \left(\frac{\alpha_s}{\pi}\right) d_1^{(n)} + \ldots \Big] + \\ & \frac{r_E^4}{m_b^4} l_{r_E}^{(n)} + \frac{r_G^4}{m_b^4} l_{r_G}^{(n)} + \frac{s_B^4}{m_b^4} l_{s_B}^{(n)} + \frac{s_E^4}{m_b^4} l_{s_E}^{(n)} + \frac{s_{q_B}^4}{m_b^4} l_{s_{q_B}}^{(n)} \end{array}$$

A. J. Schwartz

IPA 2022 **B** factory results, Belle II prospects