

# Flavor Anomalies at Belle II

## Status and Prospects

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On behalf of the Belle II Collaboration



**Interplay between Particle and  
Astroparticle Physics 2022**

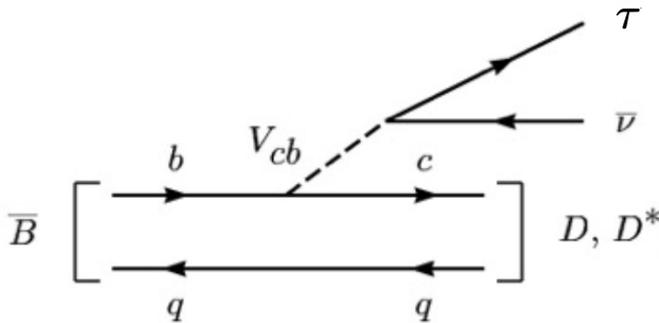
Technische Universität (TU)  
Wien,  
September 05-09



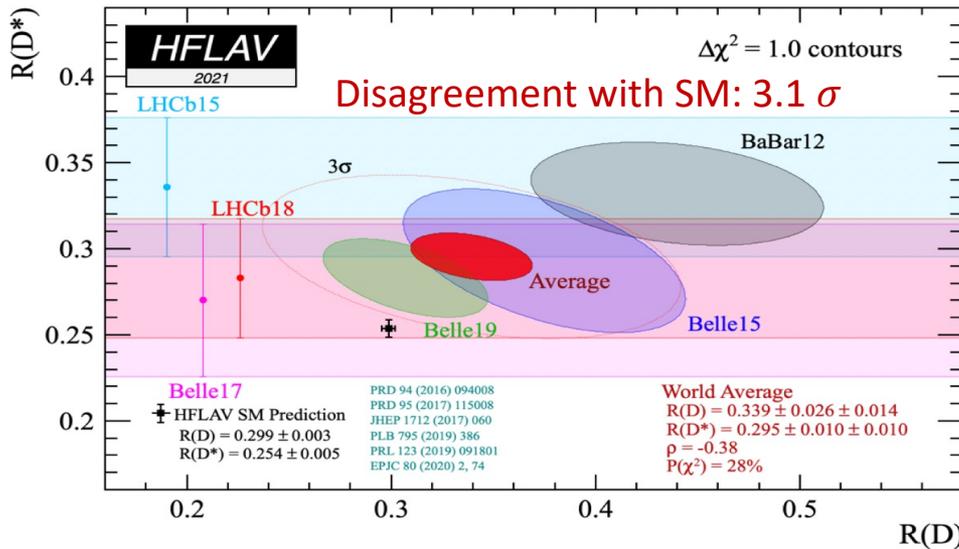
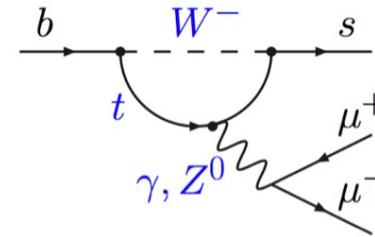
# The “Flavor Anomalies”

Interesting flavor anomalies seen in B decays at LHCb, Belle and BaBar

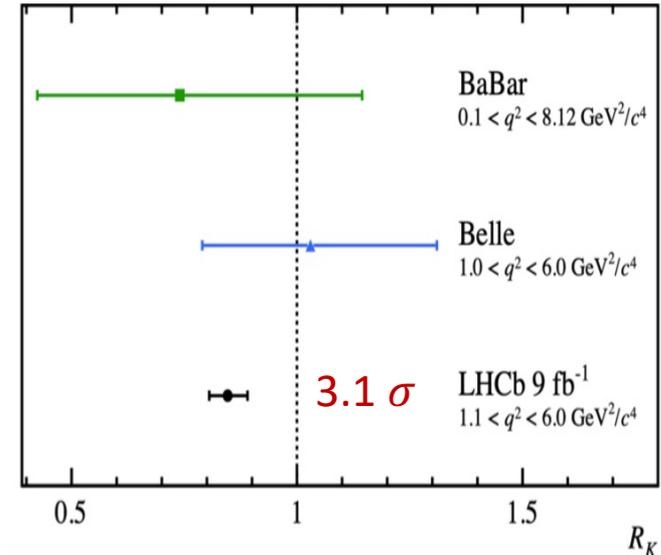
Lepton Flavor Universality (LFU)  
in semileptonic decays  $B \rightarrow D/D^* \tau \nu$



LFU and angular distributions  
in electroweak penguin decays



LHCb, Nature Physics 18 (2022) 277



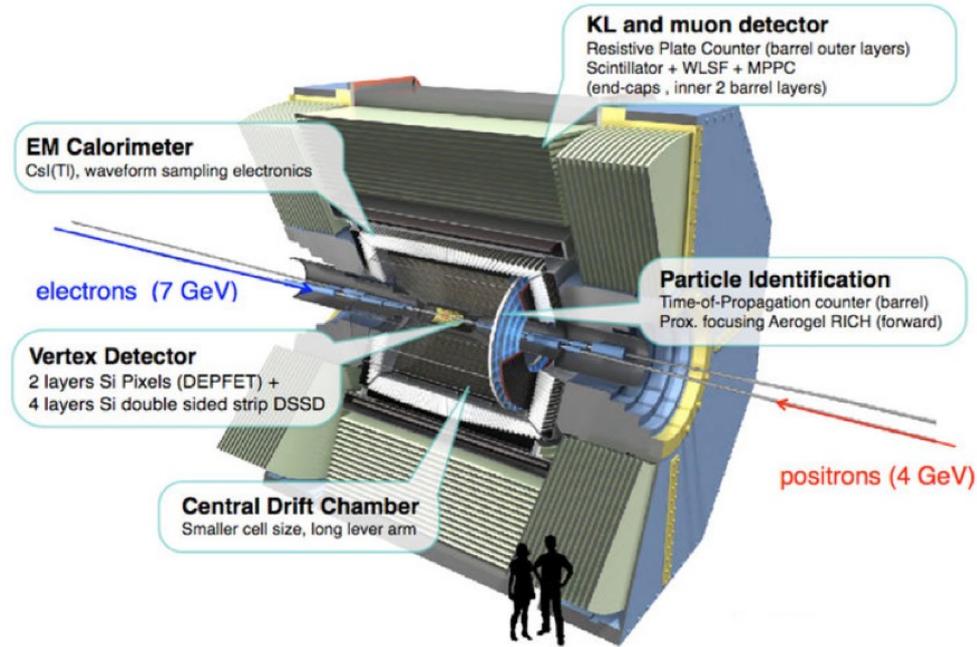
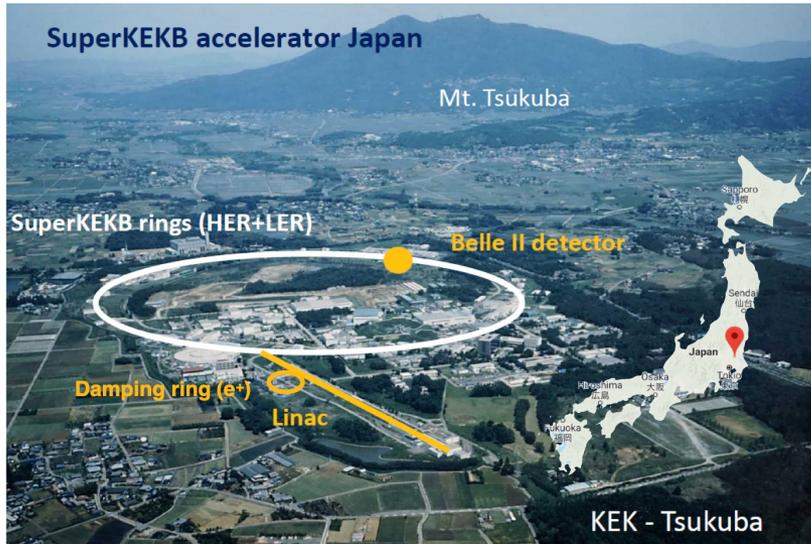
❖ Where do we stand with analyses related to flavor anomalies in Belle II?

# Outline

- LFU test with semileptonic B decays
  - $R(X_{e/\mu})$  from inclusive  $B \rightarrow Xl\nu$
  - Prospects
  
- Electroweak and radiative B decays
  - $B \rightarrow K^*l^+l^-$
  - $R(K_{J/\psi})$  from  $B \rightarrow J/\psi K$
  - Inclusive  $B \rightarrow X_s\gamma$
  - Prospects

**New** preliminarily Belle II measurements with **189 fb<sup>-1</sup>**

# Belle II and SuperKEKB



## SuperKEKB

$$L = \frac{\gamma_{\pm}}{2er_e} \left( 1 + \frac{\sigma_y^*}{\sigma_x^*} \right) \frac{R_L}{R_{\xi}} \frac{I_{\pm} \xi_{y\pm}}{\beta_{y\pm}^*}$$

beam current **x1.5**

beam-beam param. **x1**

vertical beta function **x 1/20**

Instantaneous luminosity:  $\sim 6 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$

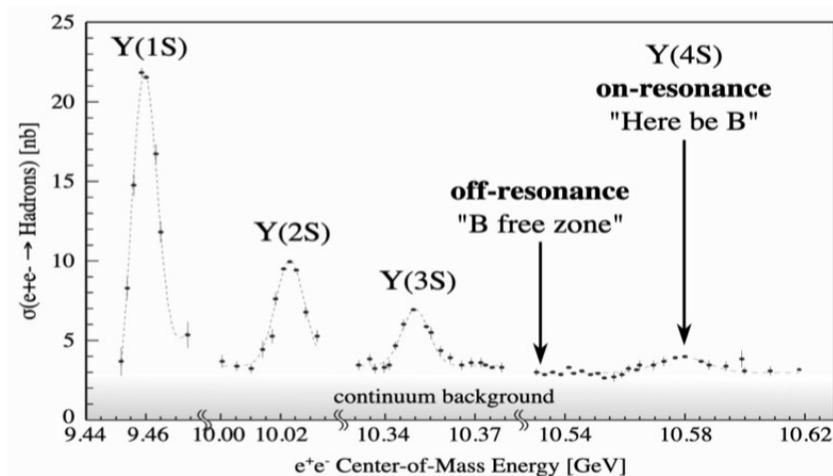
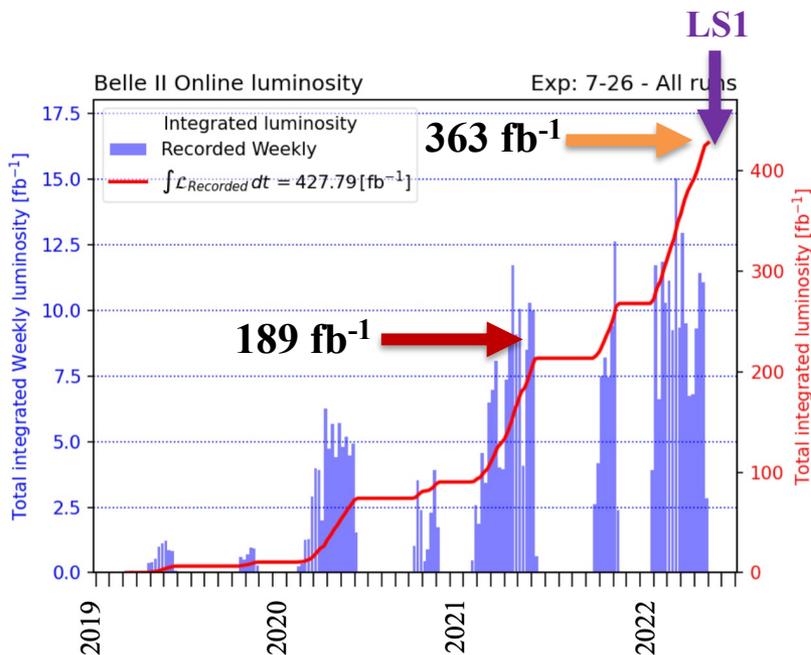
Integrated luminosity:  $\sim 50 \text{ ab}^{-1}$

## Belle II

- Nearly-hermetic  $4\pi$  detector coverage  
 $\Rightarrow$  **inclusive** final states, **neutrinos**
- Excellent neutral particle reconstruction  
( $\gamma$ ,  $\pi^0$ ,  $K_S$ )

# Status of data taking

- SuperKEKB set **luminosity world record** on June 22, 2022:  
 $L = 4.71 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$  ( $> 2 \times$  KEKB record)  $\Rightarrow$  entering “Super B-factory” regime
- **Integrated luminosity: 424 fb<sup>-1</sup>** (2019-2022)
  - 363 fb<sup>-1</sup> at  $\sqrt{s} = 10.58 \text{ GeV} = \Upsilon(4S)$  mass [BaBar: 420 fb<sup>-1</sup>, Belle: 700 fb<sup>-1</sup>]
  - 42 fb<sup>-1</sup> off-resonance, 60 MeV below  $\Upsilon(4S)$  mass
  - 19 fb<sup>-1</sup> at  $\sqrt{s} = 10.75 \text{ GeV}$  for exotic hadron searches

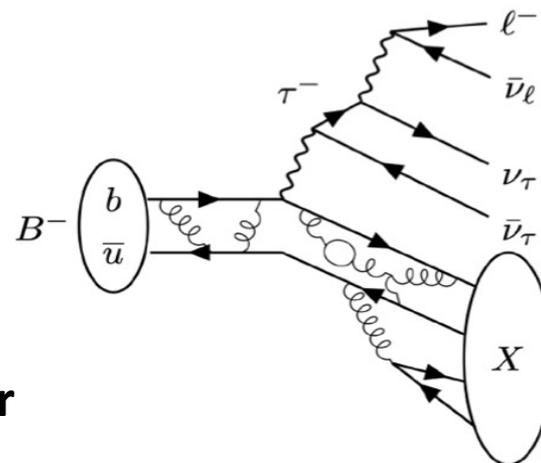


- **Currently: Long Shutdown 1 (15 mos.)** for detector upgrades and beam-pipe improvement

# LFU in inclusive semileptonic B decays

- **Inclusive** cross-check of  $R_D, R_{D^*}$  anomaly:

$$R(X) = \frac{\mathcal{B}(B \rightarrow X\tau\nu)}{\mathcal{B}(B \rightarrow X\ell\nu)}$$

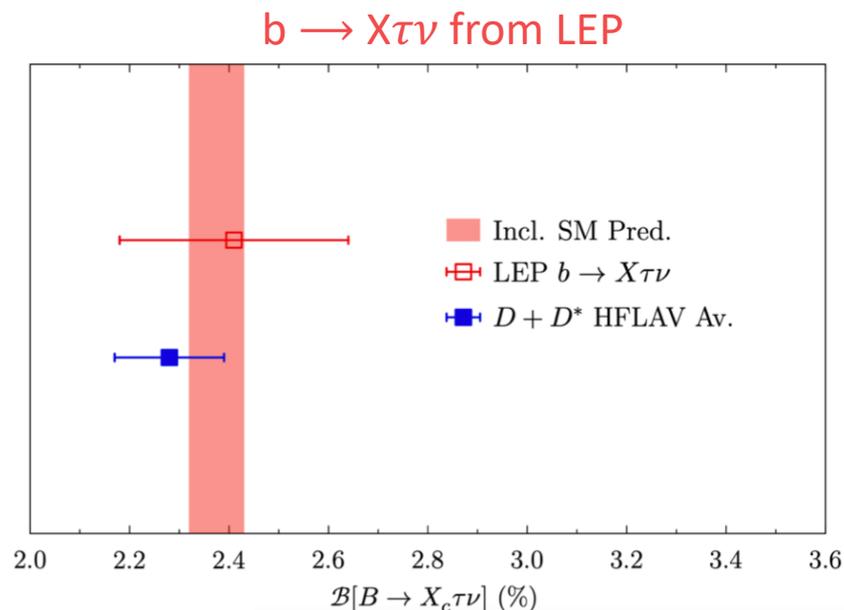


- **So far, no  $R(X)$  measurement from Belle or BaBar**

- Large background due to less constrained X system
- Modeling of  $B \rightarrow X\tau\nu$  with  $X \rightarrow \dots$  difficult

- **New Belle II measurement of light-lepton ratio:**

$$R(X_{e/\mu}) = \frac{\mathcal{B}(B \rightarrow Xe\nu)}{\mathcal{B}(B \rightarrow X\mu\nu)}$$



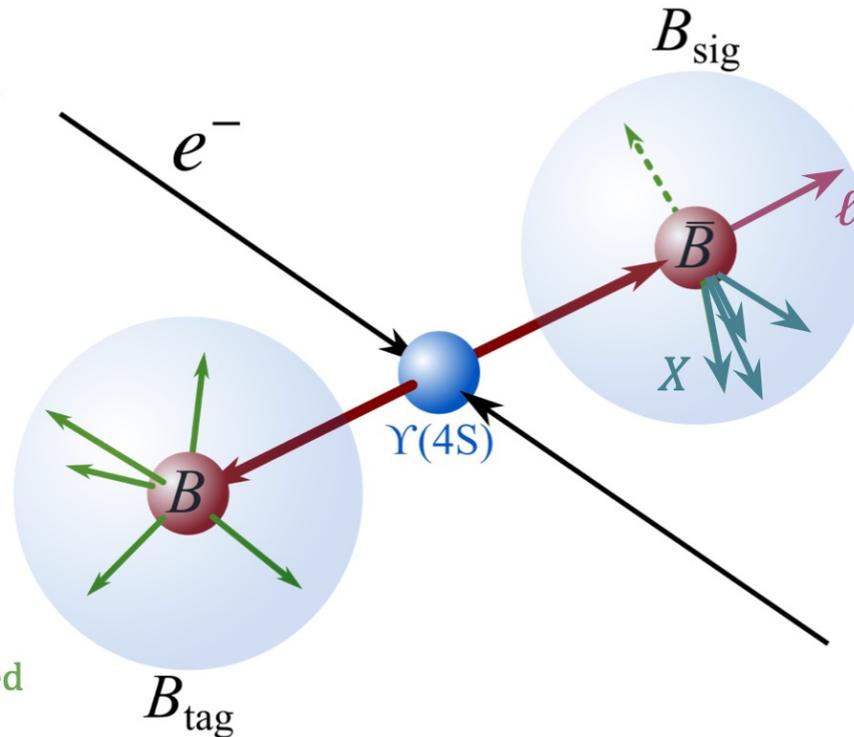
# Reconstructing inclusive $B \rightarrow X\ell\nu$

H. Junkerkalefeld @ ICHEP 2022

## Reconstruction of inclusive $B \rightarrow X\ell\nu$ decays with hadronic $B$ tagging

### Full event

Shape variables used to reduce continuum background with machine learning



### Tag-side $B$ meson

- Fully reconstructed (hadronic FEI)
- Tight tag quality selections

### Signal lepton:

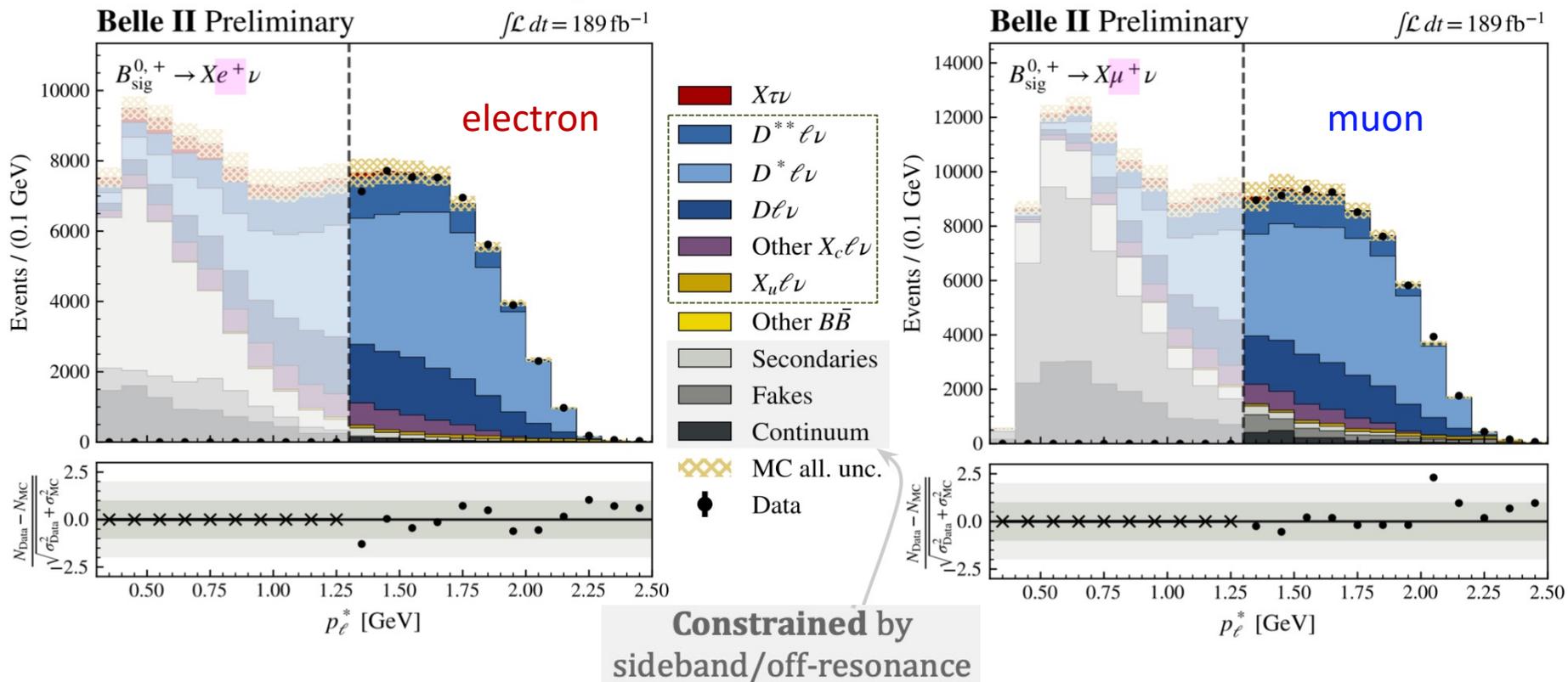
- $p_\ell^* > 1.3 \text{ GeV}$
- High electron or muon likelihood

### $X$ system:

- Everything else in the event...
- ...passing quality criteria

# LFU in inclusive semileptonic B decays

- Signal yields for  $B \rightarrow Xe\nu$  and  $B \rightarrow X\mu\nu$  extracted with fit in **10 bins of  $p_\ell^*$**



$$N_{Xe\nu} = 48034 \pm 286$$

$$\varepsilon = 0.114\%$$

$$N_{X\mu\nu} = 58569 \pm 429$$

$$\varepsilon = 0.138\%$$

# LFU in inclusive semileptonic B decays

**Result:**

$$R(X_{e/\mu}) = 1.033 \pm 0.010^{\text{stat.}} \pm 0.020^{\text{syst.}}$$

- Most precise BF-based LFU test with semileptonic B decays to date
- **Agrees with SM value of  $1.006 \pm 0.001$  within  $1.2\sigma$**  EPJ 81 (2021) 984
- Compatible within  $0.6\sigma$  with exclusive Belle result:  $R(D_{e/\mu}^*) = 1.01 \pm 0.01 \pm 0.03$   
PRD 100 (2019) 052007

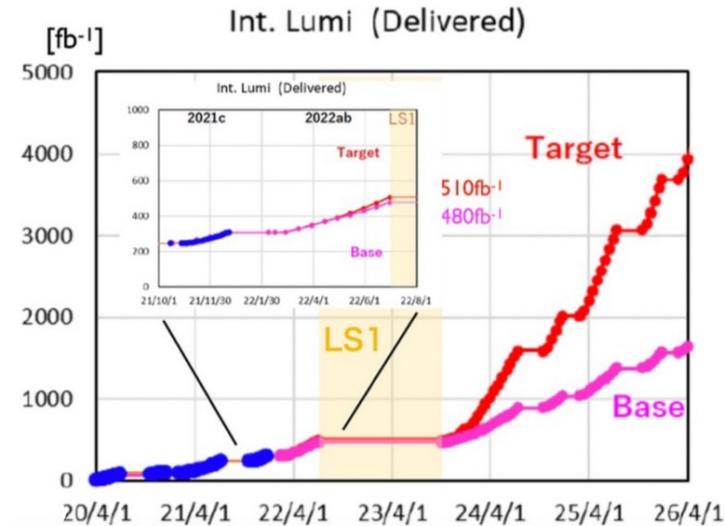
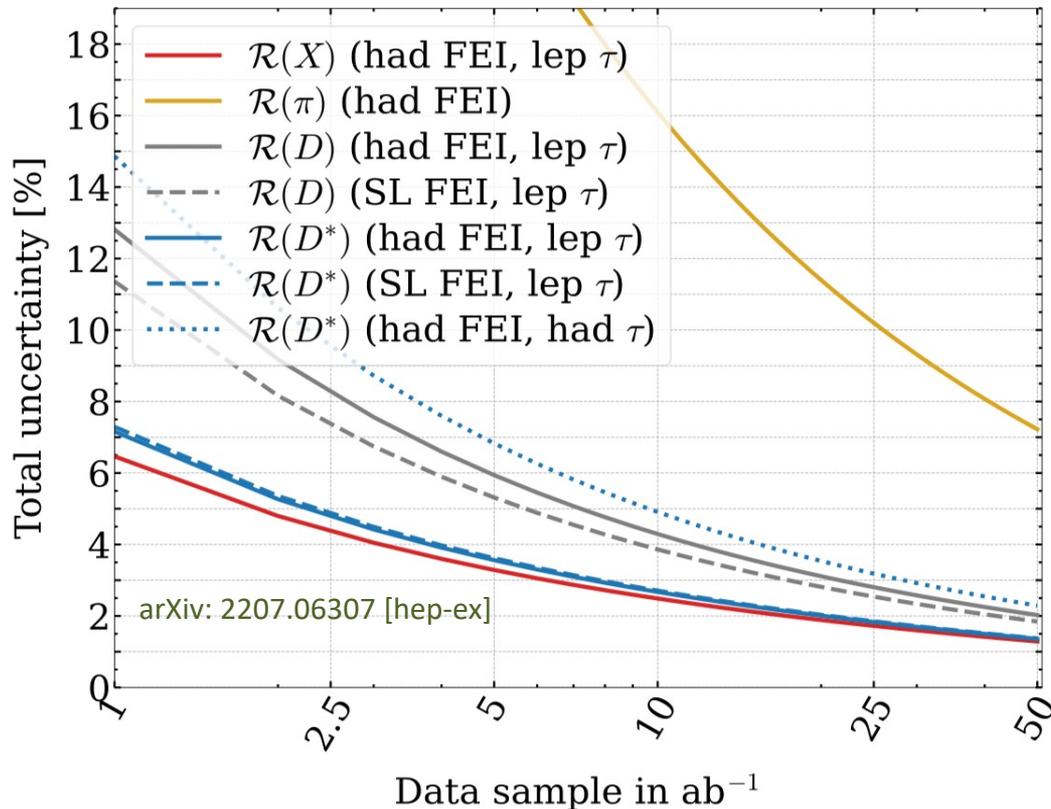
Source of uncertainty	Lepton ID	$X_{c\ell\nu}$ BFs	$X_{c\ell\nu}$ FFs	Statistical	Total
Uncertainty of $R(X_{e/\mu})$	1.8%	0.1%	0.2%	1.0%	2.2%

**Next steps:**

- Uncertainty dominated by lepton ID systematic  $\Rightarrow$  expected to further improve
- **Paves the way for inclusive  $R(X)$  measurement**

# Prospects for LFU in semileptonic B decays

## Belle II projections for $R(D^{(*)})$ , $R(X)$ , $R(\pi)$

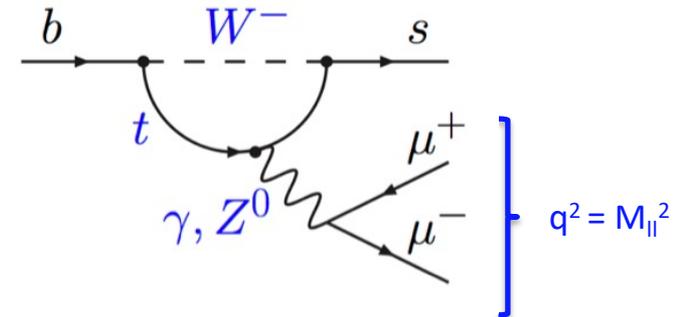


- $R(X)$  from inclusive decays unique to Belle II  
**Precision with current data set** expected to be  $\sim 10\text{-}20\%$
- Belle II will need **few  $\text{ab}^{-1}$**  (until  $\sim 2026$ ) to clarify if  $R(D^{(*)})$  anomaly has statistical or systematic origin

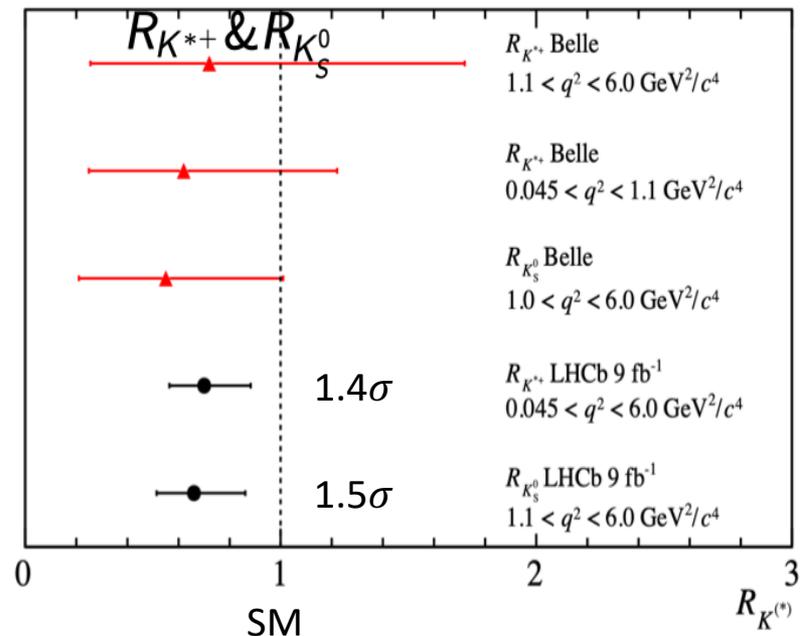
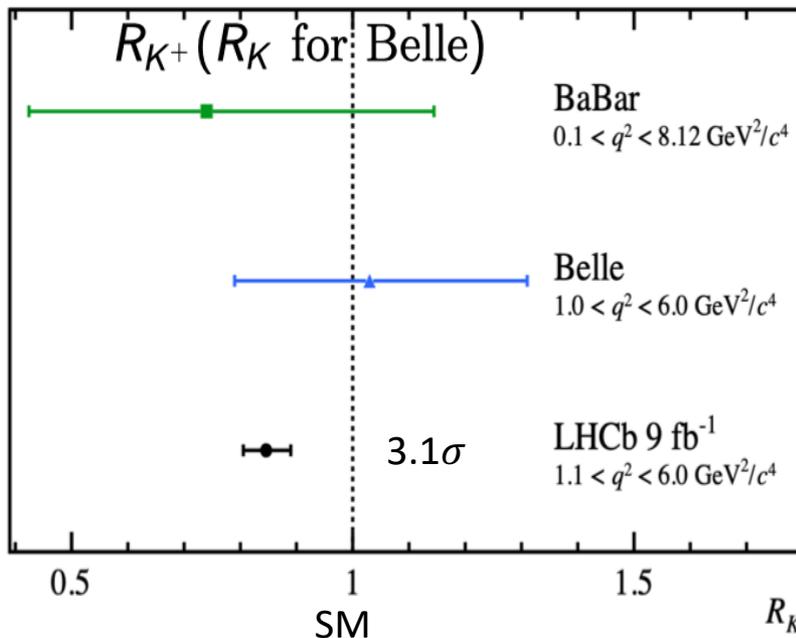
# LFU in electroweak penguin decays

- Rare B decays with  $b \rightarrow s$  loop-level transitions interesting LFU tests

- Measure LFU ratio: 
$$R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu \mu)}{\mathcal{B}(B \rightarrow K^{(*)} e e)}$$



- Measurements for  $K^+$ ,  $K^{*+}$ ,  $K_S$  from **LHCb, BaBar, Belle**:



# B $\rightarrow$ K\* l+l-

arXiv: 2206.05946 [hep-ex]

- Decay modes:**

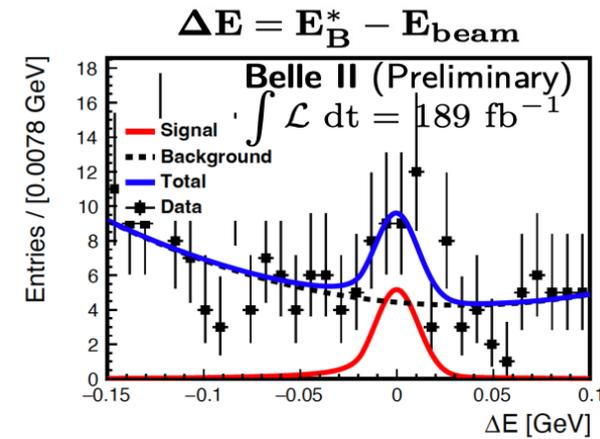
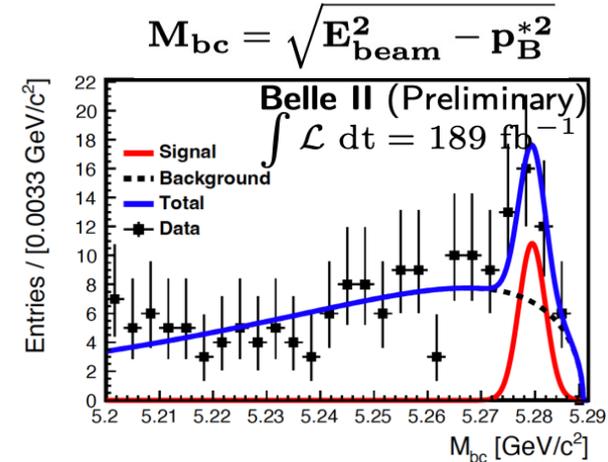
$$B^0 \rightarrow K^{*0}(K^+\pi^-)ll \text{ and } B^+ \rightarrow K^{*+}(K^+\pi^0, K_S^0\pi^+)ll$$

- Background suppression:**

- $e^+e^- \rightarrow q\bar{q}$  and  $e^+e^- \rightarrow B\bar{B}$  bkg suppressed with BDT using event shape, vertex quality, kinematics

- Extract signal yields from **2D unbinned fit in  $M_{bc}$  and  $\Delta E$**

- Branching fractions measured over **entire  $q^2$  range**, excluding low-mass region to reject  $B \rightarrow K^* \gamma (\rightarrow e^+e^-)$  and regions of charmonium resonances



Mode	Observed events	Branching Fraction ( $\times 10^{-6}$ )	World average ( $\times 10^{-6}$ )
$B \rightarrow K^* e^+ e^-$	$22 \pm 6$	$1.42 \pm 0.48 \pm 0.09$	$1.19 \pm 0.20$
$B \rightarrow K^* \mu^+ \mu^-$	$18 \pm 6$	$1.19 \pm 0.31^{+0.08}_{-0.07}$	$1.06 \pm 0.09$

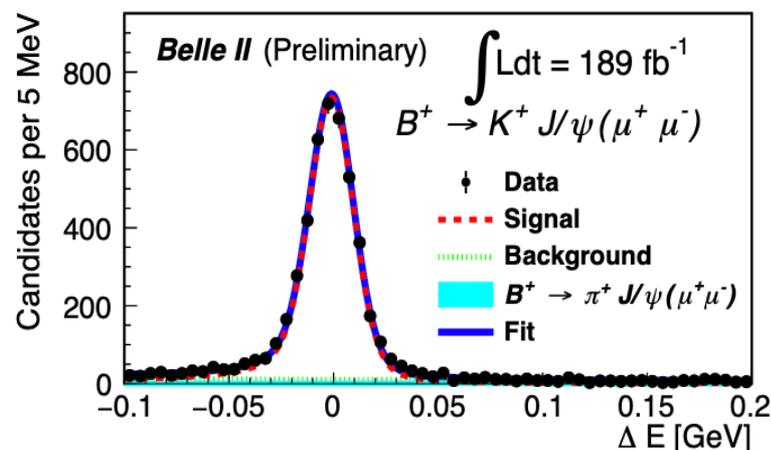
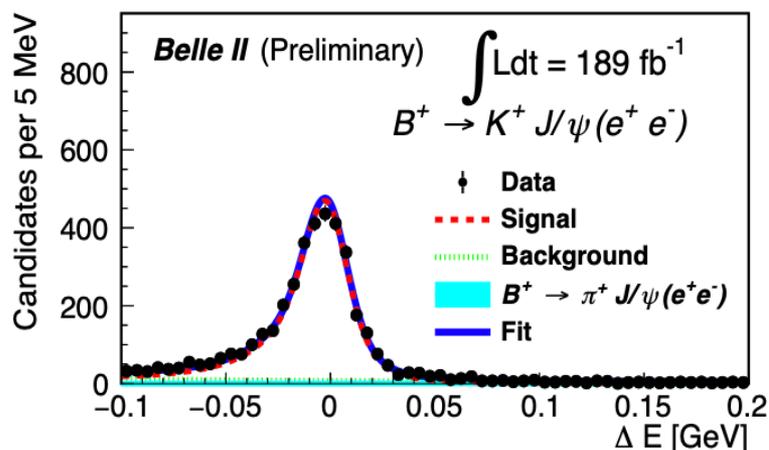
❖ Comparable precision for e and  $\mu$  modes ( $\sim 25\text{-}30\%$ )

❖ BF measurement important first step towards  $R(K^*)$  determination

# $R_K(J/\psi)$

arXiv:2207.11275 [hep-ex]

- Decay channels:  $B^+ \rightarrow J/\psi(\ell\ell)K^+$  and  $B^0 \rightarrow J/\psi(\ell\ell)K^0$
- **Tree-level  $b \rightarrow c$  transition**, serves as **control channel** for  $R(K)$  measurement
- Signal yields extracted from **2D unbinned fit** in  $M_{bc}$  and  $\Delta E$



Signal  
purity:  
90-95%

$$R_K(J/\psi) = \frac{\mathcal{B}(B \rightarrow J/\psi(\mu^+\mu^-)K)}{\mathcal{B}(B \rightarrow J/\psi(e^+e^-)K)}$$

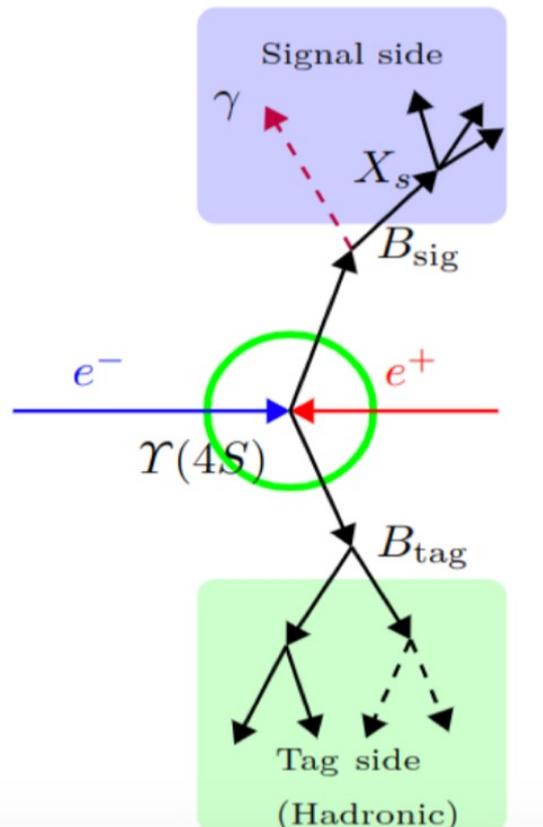
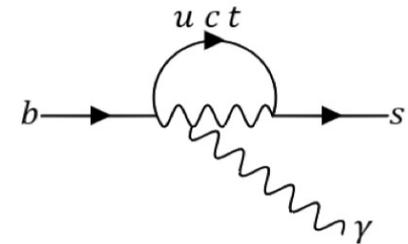
Observable	Belle II	Belle (2021)
$R_{K^+}(J/\Psi)$	$1.009 \pm 0.022 \pm 0.008$	$0.0994 \pm 0.011 \pm 0.010$
$R_{K_S^0}(J/\Psi)$	$1.042 \pm 0.042 \pm 0.008$	$0.0993 \pm 0.015 \pm 0.010$

- ❖ Results agree with previous Belle and LHCb measurements
- ❖ Reduced systematics compared with most-precise Belle result

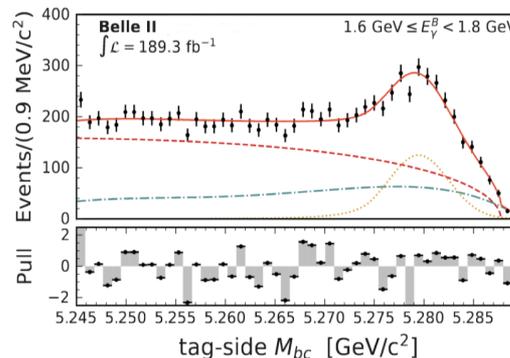
# Inclusive $B \rightarrow X_s \gamma$

E. Ganiev @ ICHEP 2022

- $B \rightarrow X_s \gamma$  has **higher rate** than  $B \rightarrow X_s |^+|^-$  and in addition to NP sensitivity, **measurement of  $E_\gamma$**  facilitates determination of:
  - o b-quark mass
  - o shape function (b-quark motion inside B meson)

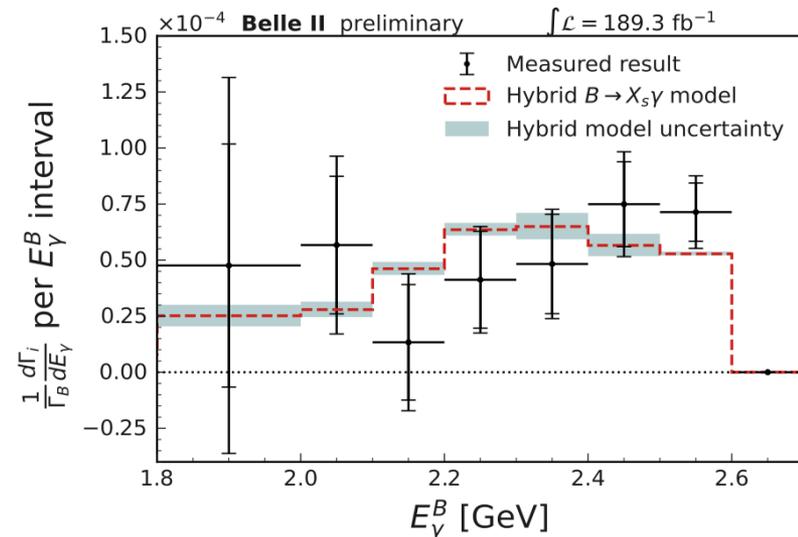
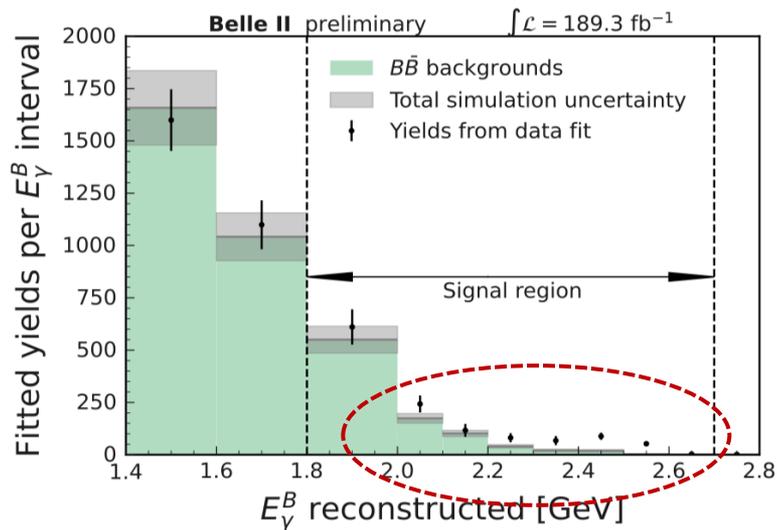


- **Hadronic-tag** measurement (high purity)
  - o Reconstruct **photon energy in B rest frame** ( $E_\gamma^B$ )
- **Inclusive** measurement (all  $X_s$  states):
  - o Only **photon** reconstructed on **signal side**
  - o **Signal photon = highest-E photon with  $E_\gamma^B > 1.4$  GeV**
- Large backgrounds challenging to suppress without sacrificing “inclusiveness”
- **Tag-side  $M_{bc}$  fit in bins of  $E_\gamma^B$**  to determine **correct tags**



Estimate **BB bkg** with good  $B_{tag}$  from simulation

# Inclusive $B \rightarrow X_s \gamma$



$E_\gamma^B$ threshold [ GeV ]	$\mathcal{B}(B \rightarrow X_s \gamma)(10^{-4})$
1.8	$3.54 \pm 0.78$ (stat.) $\pm 0.83$ (syst.)
2.0	$3.06 \pm 0.56$ (stat.) $\pm 0.47$ (syst.)
2.1	$2.49 \pm 0.46$ (stat.) $\pm 0.35$ (syst.)

- ❖ Consistent with world average:  $(3.49 \pm 0.19) \times 10^{-4}$  @ 1.8 GeV
- ❖ Comparable precision to BaBar hadronic-tag measurement with 210  $\text{fb}^{-1}$

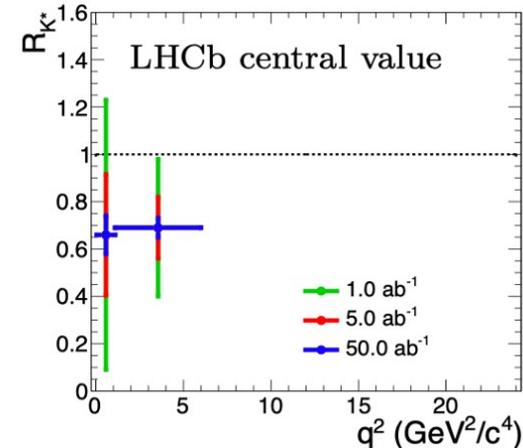
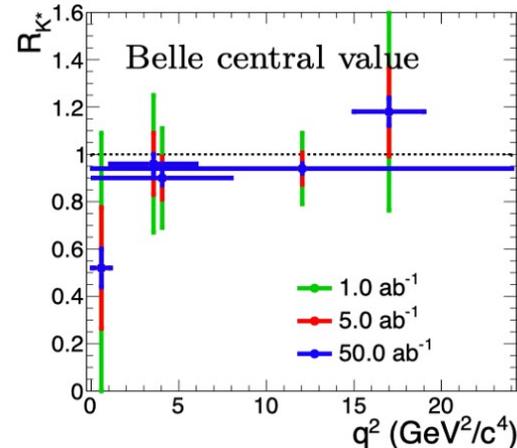
# Prospects for LFU in electroweak penguin decays

PTEP 2019 (2019) 12, 123C01

Observables	Belle 0.71 $\text{ab}^{-1}$	Belle II 5 $\text{ab}^{-1}$	Belle II 50 $\text{ab}^{-1}$
$R_K$ ([1.0, 6.0] $\text{GeV}^2$ )	28%	11%	3.6%
$R_K$ ( $> 14.4$ $\text{GeV}^2$ )	30%	12%	3.6%
$R_{K^*}$ ([1.0, 6.0] $\text{GeV}^2$ )	26%	10%	3.2%
$R_{K^*}$ ( $> 14.4$ $\text{GeV}^2$ )	24%	9.2%	2.8%
$R_{X_S}$ ([1.0, 6.0] $\text{GeV}^2$ )	32%	12%	4.0%
$R_{X_S}$ ( $> 14.4$ $\text{GeV}^2$ )	28%	11%	3.4%

- Belle II can measure  $R_K$ ,  $R_{K^*}$ ,  $R_{X_S}$  over **full  $q^2$  spectrum** with similar precision
- Expected precision with  
 5  $\text{ab}^{-1}$ :  $\sim 10\%$   
 50  $\text{ab}^{-1}$ :  $\sim 3 - 4\%$

$R_{K^*}$  projections for Belle II



- ❖ Belle II can provide **competitive  $R(K)$ ,  $R(K^*)$  measurements** to cross-check flavor anomalies with **few  $\text{ab}^{-1}$**

# Summary

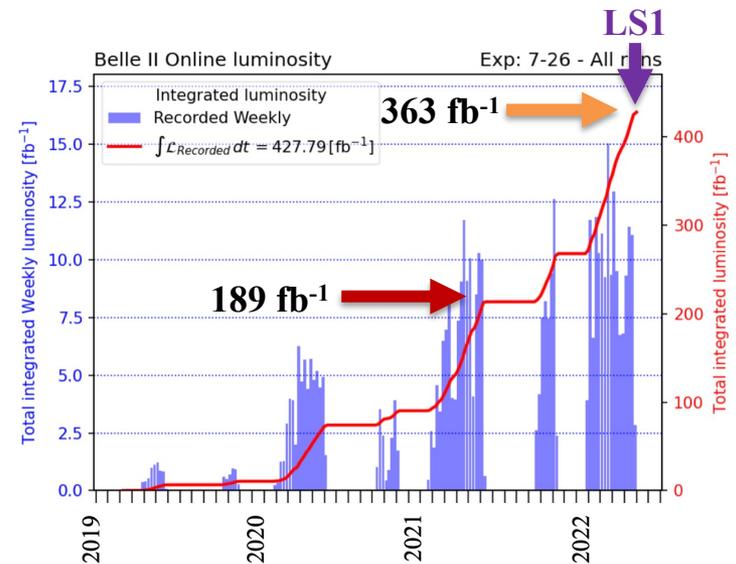
- Belle II has now collected **424 fb<sup>-1</sup>** of data – comparable to BaBar data set
- **New preliminary Belle II measurements related to flavor anomalies:**
  - $R(X_{e/\mu})$  from inclusive  $B \rightarrow Xl\nu \Rightarrow$  Precise e/mu LFU test, first step towards  $R(X)$
  - $B \rightarrow K^*ll$
  - $B \rightarrow J/\psi K$
  - $B \rightarrow X_s\gamma$



$\Rightarrow$  First step towards  $R(K^*)$

$\Rightarrow$  First inclusive BF measurement from Belle II

- **Soon to come:**  
First Belle II measurement of  $R(D^*)$  and  $R(X)$

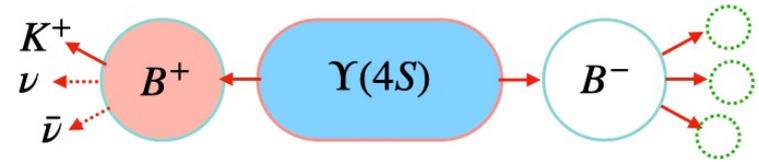
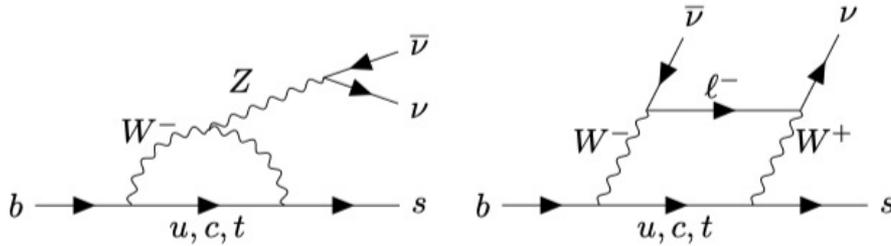


❖ **Stay tuned for new Belle II flavor-anomaly measurements with full dataset collected before the shutdown**

# Backup

# $B^+ \rightarrow K^+ \nu \bar{\nu}$

PRL 127, 181802 (2021)



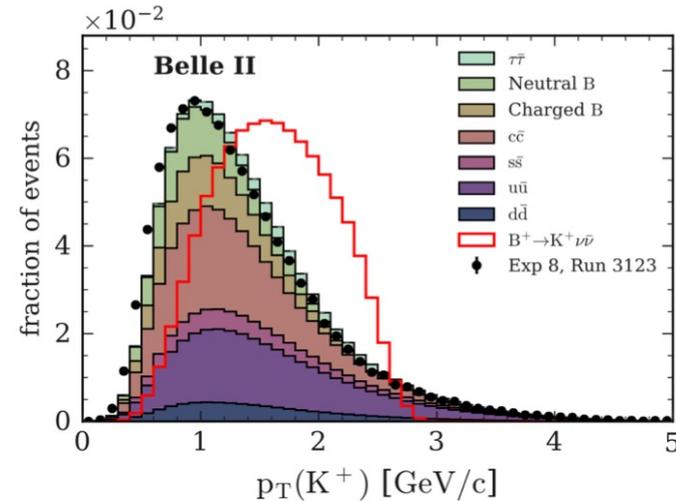
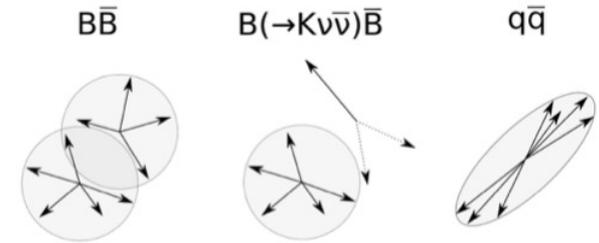
- **Complementary** to  $b \rightarrow sll$
- **Precise theory prediction** (no virtual  $\gamma$  contribution)
- Challenge: Final state with  $2\nu$

- **Previous searches based on tagged analyses:**

- Belle : semileptonic tag  $\epsilon_{\text{sig}} \approx 0.2\%$
- BaBar: hadronic tag  $\epsilon_{\text{sig}} \approx 0.04\%$

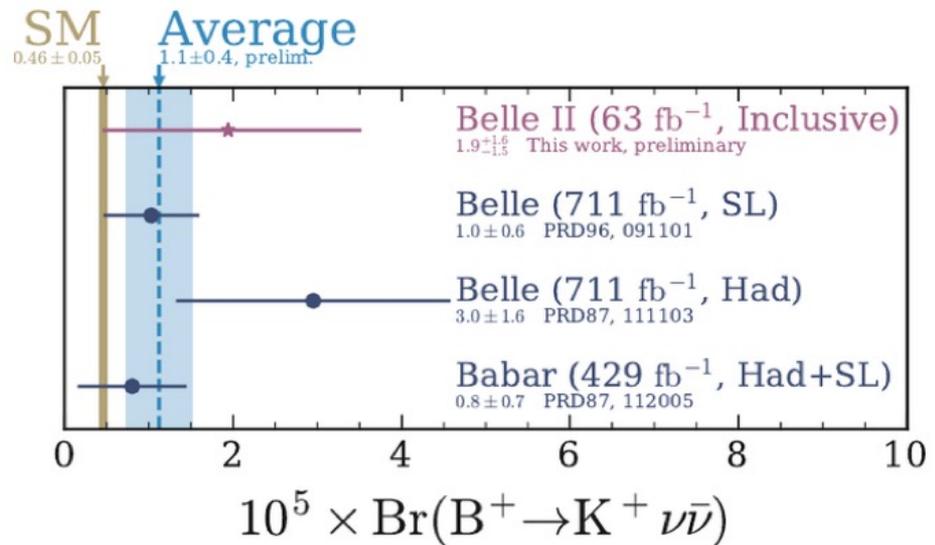
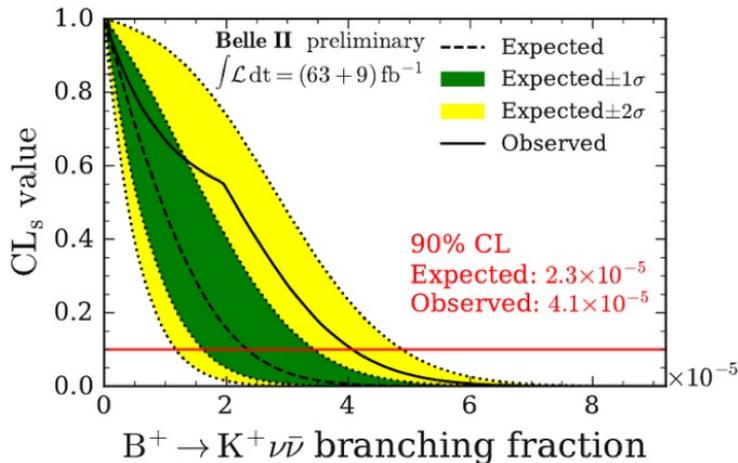
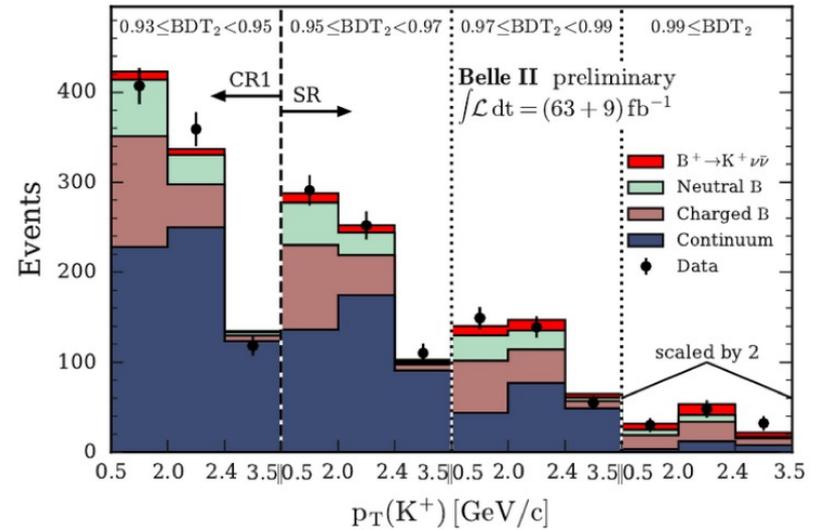
- **New approach by Belle II based on inclusive tag:**

- **Signal kaon = track with highest  $P_T$**
- All remaining tracks/clusters associated with other B meson in event
- Backgrounds suppressed by 2 sequential BDTs using topological, vertexing and kinematic variables
- **Much higher efficiency: 4.3%**



# $B^+ \rightarrow K^+ \nu \bar{\nu}$

- Extract signal yield from **fit in bins of  $p_T(K^+)$  and BDT score**
- No significant signal observed:  
 **$BF(B \rightarrow K \nu \bar{\nu}) < 4.1 \times 10^{-5}$  @ 90% CL**
- **Futher improvement underway:**
  - Update with 3× more data
  - Additional channels ( $K^*$ ,  $K_S$ )
  - Improved classifiers (NN)



- ❖ **Inclusive methods offers large sensitivity improvement**
- ❖ **Belle II will provide world-leading measurement in the near future**