

LHCb charm physics (including CPV)

Federico Lazzari (università di Pisa, INFN)
on behalf of the LHCb Collaboration



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Charm Physics at LHCb

- Unique probe to study CPV in up-type quark.
- Difficult precise theoretical predictions.
- Expected small CPV ($\lesssim 0.1\%$).
- Large cross section: $\sigma(pp \rightarrow c\bar{c}X) \approx 2.4 \text{ mb}$ [\[JHEP 2016. 159 \(2016\)\]](#) $\sigma(pp \rightarrow b\bar{b}X) \approx 144 \mu\text{b}$ @ $\sqrt{s} = 13 \text{ TeV}$ [\[Phys. Rev. Lett. 118. 052002 \(2017\)\]](#) .

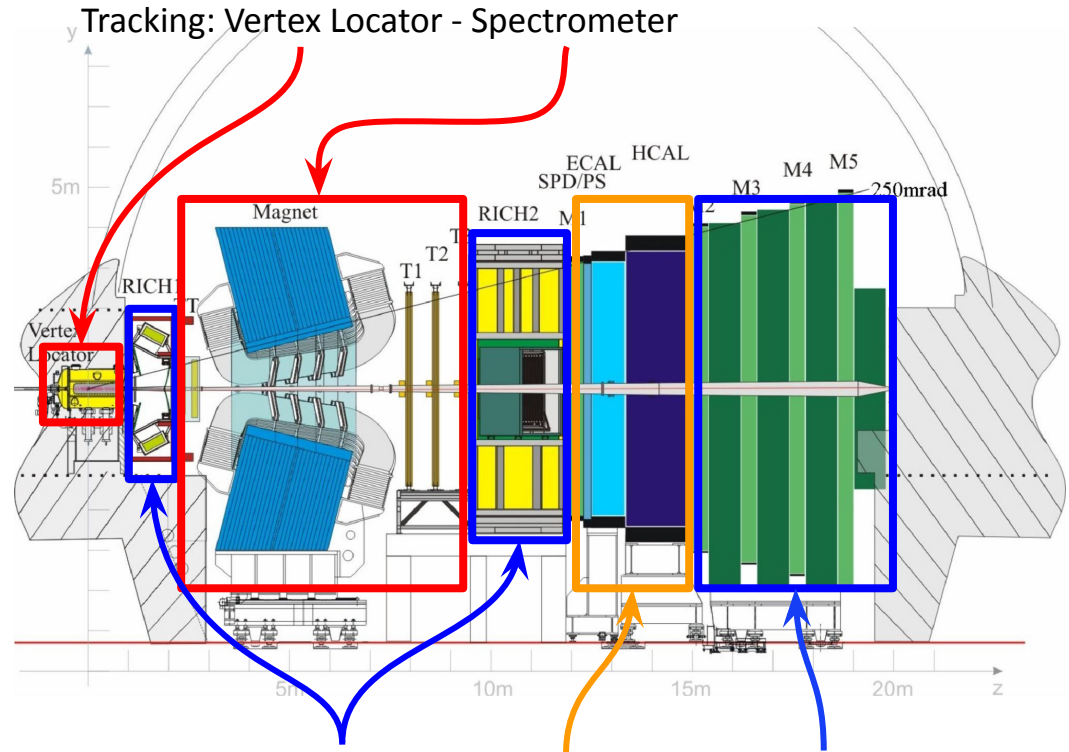
LHCb charm program:

- Search for direct CP violation.
 - Search for CP violation in mixing.
 - Measurement of rare decays.
 - Amplitude analysis in multi-body decays.
 - Spectroscopy.
- } Arguments of this talk

LHCb

[JINST 3 S08005 (2008)]

- Single arm spectrometer
- Vertex Locator (VELO):
impact parameter resolution
 $\sigma(IP) = (15+29/P_T)\mu\text{m}$
- Spectrometer: measurement of tracks
momentum resolution
 $\Delta P/P = 0.5\%$ at low momentum
- RICH, calorimeters, muon chambers:
particle identification.
 $\epsilon_{PID}(K \rightarrow K) \sim 95\%$ and $\epsilon_{PID}(\pi \rightarrow K) \sim 5\%$.
- Run 1 (2011-2012): 3 fb^{-1} at 7-8 TeV.
- Run 2 (2015-2018): 6 fb^{-1} at 13 TeV.



Particle ID: Cherenkov (RICH) - Calorimeters - Muon chambers

Direct CP violation
in $D^0 \rightarrow K^+K^-$ decays

Direct CP violation

- Time-integrated D^0 CP asymmetry depends on decay-time acceptance $\varepsilon(t)$ and is affected by mixing:

$$A_{CP}(f) = \frac{\int \varepsilon(t)\Gamma(D^0 \rightarrow f)dt - \int \varepsilon(t)\Gamma(\bar{D}^0 \rightarrow \bar{f})dt}{\int \varepsilon(t)\Gamma(D^0 \rightarrow f)dt + \int \varepsilon(t)\Gamma(\bar{D}^0 \rightarrow \bar{f})dt} \approx a_f^d + \frac{\langle t \rangle_f}{\tau_{d^0}} \Delta Y_f$$

- 2019 first observation of CPV in charm decays [[Phys. Rev. Lett. 122, 211803 \(2019\)](#)]:

$$\Delta A_{CP} = A_{CP}(D^0 \rightarrow K^+ K^-) - A_{CP}(D^0 \rightarrow \pi^+ \pi^-) = a_{KK}^d - a_{\pi\pi}^d + \frac{\langle t \rangle_{KK} - \langle t \rangle_{\pi\pi}}{\tau_{D^0}} \Delta Y = (-15.4 \pm 2.9) \times 10^{-4}$$

- a_{KK}^d and $a_{\pi\pi}^d$ measurable combining ΔA_{CP} and $A_{CP}(D^0 \rightarrow K^+ K^-)$.
- LHCb measured $A_{CP}(D^0 \rightarrow K^+ K^-) = (4 \pm 12 \pm 10) \times 10^{-4}$ with Run 1 data [[Phys.Lett. B 767 \(2017\)](#)]
- Updated measurement of $A_{CP}(D^0 \rightarrow K^+ K^-)$ with Run 2 dataset [LHCB-PAPER-2022-024 (2022)]
in preparation

CP asymmetry in $D^0 \rightarrow K^+ K^-$

- D^0 flavor tagged from prompt $D^{*\pm} \rightarrow D^0 \pi_{soft}^\pm$ decays (π -tagging).
- Measure raw asymmetry:

$$A(D^0 \rightarrow K^+ K^-) = \frac{N(D^0 \rightarrow K^+ K^-) - N(\bar{D}^0 \rightarrow K^+ K^-)}{N(D^0 \rightarrow K^+ K^-) + N(\bar{D}^0 \rightarrow K^+ K^-)}$$

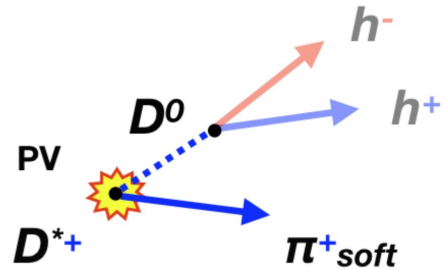
- Subtract production and detection asymmetries:

$$A(D^0 \rightarrow K^+ K^-) = A_{CP}(D^0 \rightarrow K^+ K^-) + A_{prod}(D^0) + A_{det}(\pi_{soft}^\pm)$$

exploiting high-statistics D^\pm and D_s^\pm decays

$$\mathbf{C}_{D^+}: \quad A_{CP}(D^0 \rightarrow K^- K^+) = +A(D^{*+} \rightarrow (D^0 \rightarrow K^- K^+) \pi_{soft}^+) - A(D^{*+} \rightarrow (D^0 \rightarrow K^- \pi^+) \pi_{soft}^+) \\ + A(D^+ \rightarrow K^- \pi^+ \pi^+) - [A(D^+ \rightarrow \bar{K}^0 \pi^+) - A(\bar{K}^0)]$$

- Particles kinematics are equalised with a reweighting procedure.



CP asymmetry in $D^0 \rightarrow K^+ K^-$

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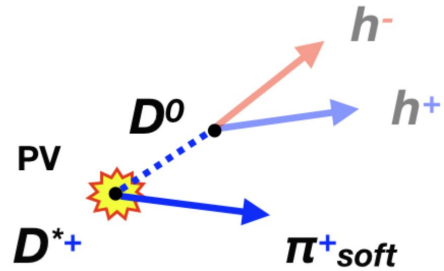
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$$\mathbf{CD_{S^+}}: \quad A_{CP}(D^0 \rightarrow K^- K^+) = +A(D^{*+} \rightarrow (D^0 \rightarrow K^- K^+) \pi_{soft}^+) - A(D^{*+} \rightarrow (D^0 \rightarrow K^- \pi^+) \pi_{soft}^+) \\ + A(D_s^+ \rightarrow \phi \pi^+) - [A(D_s^+ \rightarrow \bar{K}^0 K^+) - A(\bar{K}^0)]$$

- Particles kinematics are equalised with a reweighting procedure.



CP asymmetry in $D^0 \rightarrow K^+K^-$

[LHCb-PAPER-2022-024 (2022)]

- Combining the result obtained with the two control modes:

$$A_{CP}(D^0 \rightarrow K^+K^-) = (6.8 \pm 5.4 \pm 1.6) \times 10^{-4}$$

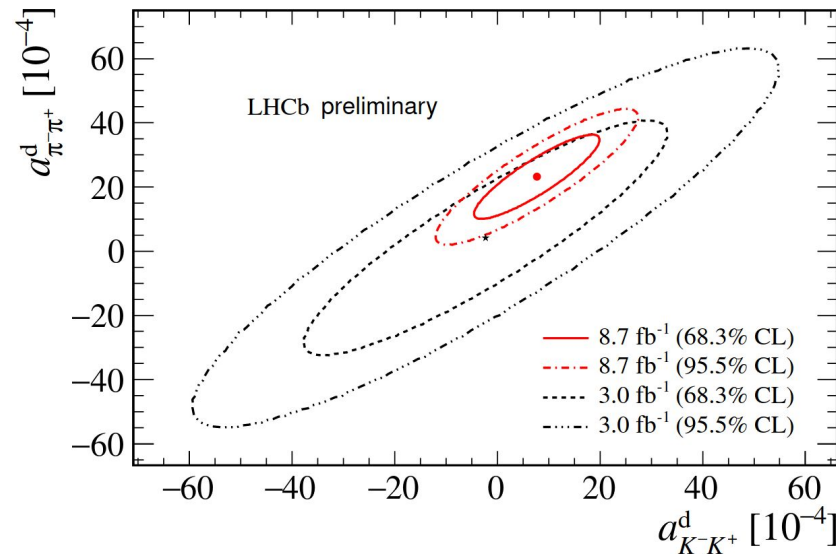
more than twice times more precise than Run 1 measurement.

- From combination with Run 1, ΔA_{CP} , and ΔY measurements:

$$a_{KK}^d = (7.7 \pm 5.7) \times 10^{-4}$$

$$a_{\pi\pi}^d = (23.2 \pm 6.1) \times 10^{-4}$$

first evidence of direct CP violation in $D^0 \rightarrow \pi^+\pi^-$ decays (3.8σ).



CP violation in mixing

CP violation in mixing

- Oscillation between $D^0 - \bar{D}^0$ mesons can be described by:

$$x = (m_1 - m_2)c^2/\Gamma \quad y = (\Gamma_1 - \Gamma_2)/\Gamma$$

- With mass eigenstates $|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$.
- CP is violated if: $\left|\frac{q}{p}\right| \neq 1$ or $\phi \equiv \arg\left(\frac{q\bar{A}}{pA}\right) \neq 0$

- LHCb observed $x > 0$ in π -tagged $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ decays [[Phys. Rev. Lett. 127, 111801 \(2021\)](#)]:

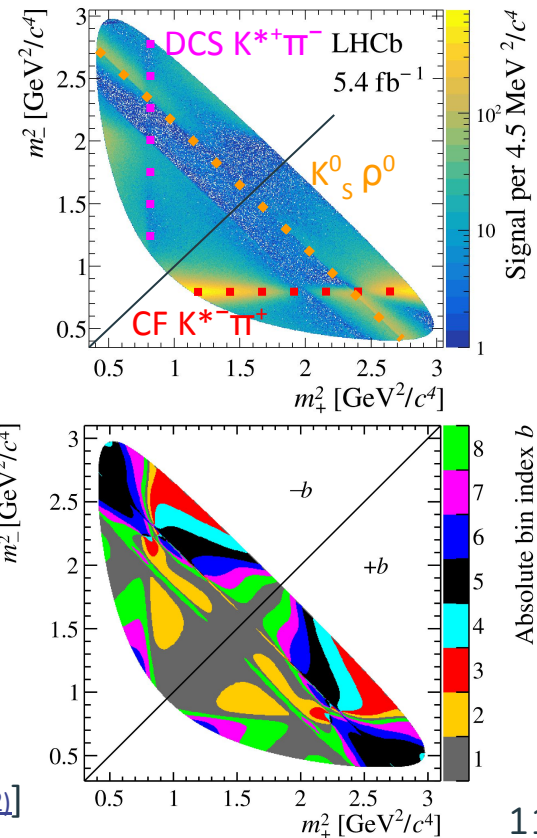
$$x = (3.98_{-0.54}^{+0.56}) \times 10^{-3}$$

- New measurement with $\bar{B} \rightarrow D^0 (\rightarrow K_S^0 \pi^+ \pi^-) \mu^- \bar{\nu}_\mu X$ decays [[LHCb-PAPER-2022-020 \(2022\)](#)].
 - Paper includes also results combination.
- Both analyses use bin-flip method.

Mixing parameters in $D^0 \rightarrow K^0_S \pi^+ \pi^-$ decays

Bin-flip method [[Phys. Rev. D 99, 012007 \(2019\)](#)]:

- Model independent.
- Sensitive mainly to x parameter but also to y .
- Access to mixing parameters through time-dependent ratio between $-b$ and $+b$ Dalitz bins (10 equipopulated time bins).
- Dalitz bins chosen to have constant strong-phase difference between D^0 and \bar{D}^0 (measured by CLEO [[Phys. Rev. D 82, 112006 \(2010\)](#)]).
- Suppresses biases due to non-uniform efficiencies.



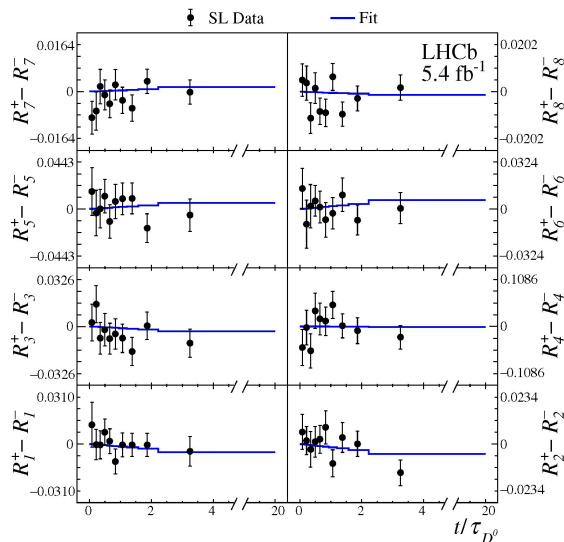
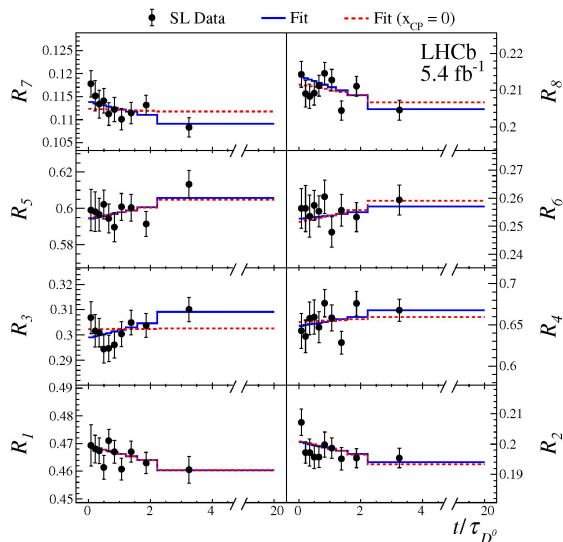
[[LHCb-PAPER-2022-020 \(2022\)](#)]

Mixing parameters in $D^0 \rightarrow K^0_S \pi^+ \pi^-$ decays

- Fit the ratio of Dalitz bin b and time bin j with:

$$R_{bj}^\pm \approx r_b - \langle t \rangle_j \sqrt{r_b} [(1 - r_b)c_b y - (1 + r_b)s_b x]$$

where “+” for D^0 and “-” for \bar{D}^0 , r_b the ratio of bins, $\langle t \rangle_j$ average decay-time in bin j , and c_b and s_b are the strong phase parameters.



[LHCb-PAPER-2022-020 (2022)]

Mixing parameters in $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ decays

- Results:

$$x_{CP} = (+ 4.29 \pm 1.48 \pm 0.26) \times 10^{-3}$$

$$y_{CP} = (+12.61 \pm 3.12 \pm 0.83) \times 10^{-3}$$

$$\Delta x = (- 0.77 \pm 0.93 \pm 0.28) \times 10^{-3}$$

$$\Delta y = (+ 3.01 \pm 1.92 \pm 0.26) \times 10^{-3}$$

Compatible with π -tagged analysis.

- Combining the results:

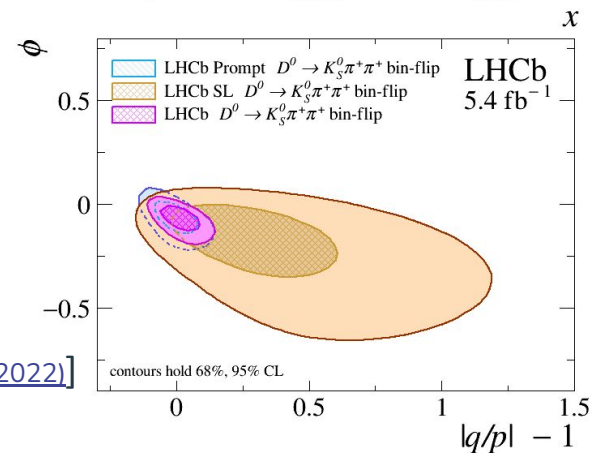
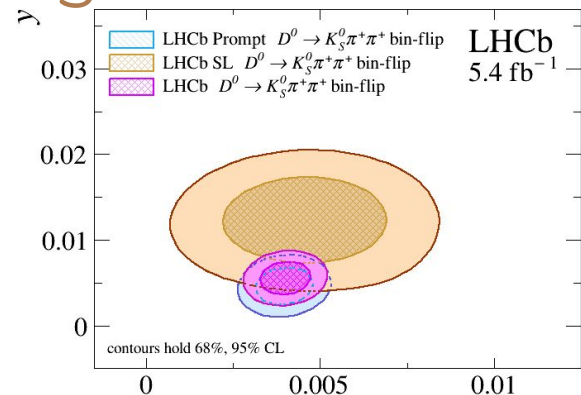
$$x = (+0.40 \pm 0.05) \times 10^{-2} (8\sigma)$$

$$y = (+0.55 \pm 0.13) \times 10^{-2}$$

$$|q/p| = 1.012_{-0.048}^{+0.050}$$

$$\phi = -0.061_{-0.044}^{+0.037}$$

[[LHCb-PAPER-2022-020 \(2022\)](#)]



Measurement of $\gamma_{CP} - \gamma_{CP}^{K\pi}$

Measurement of $y_{CP} - y_{CP}^{K\pi}$

- Due to mixing, effective decay widths $\hat{\Gamma}$ of Cabibbo suppressed decays is not equal to Γ .
- From the decays rates, we define the observable [[JHEP 2022, 162 \(2022\)](#)]:

$$y_{CP}^{hh} - y_{CP}^{K\pi} \approx \frac{\hat{\Gamma}(D^0 \rightarrow h^+ h^-) + \hat{\Gamma}(\bar{D}^0 \rightarrow h^+ h^-)}{\hat{\Gamma}(D^0 \rightarrow K^- \pi^+) + \hat{\Gamma}(\bar{D}^0 \rightarrow K^+ \pi^-)} - 1$$

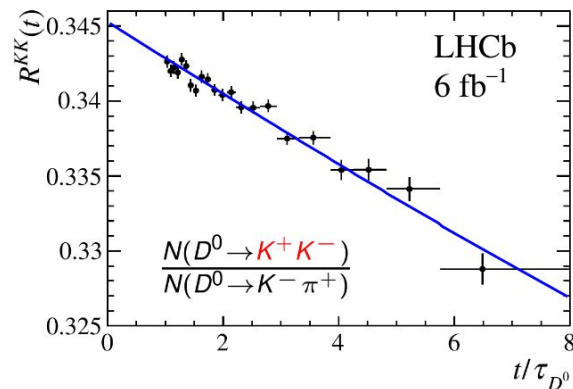
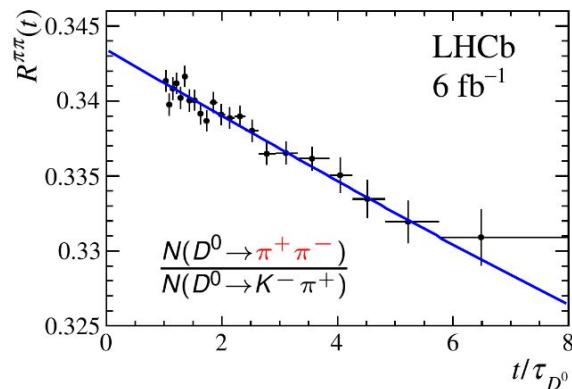
- $y_{CP}^{hh} = y_{12} \approx y$ in absence of CPV.
- New measure of $y_{CP} - y_{CP}^{K\pi}$ with π -tagged decays [[Phys. Rev. D 105, 092013 \(2022\)](#)].
- Measure of

$$R^{hh}(t) = \frac{N(D^0 \rightarrow h^+ h^-, t)}{N(D^0 \rightarrow K^- \pi^+, t)} \propto e^{(y_{CP}^{hh} - y_{CP}^{K\pi})t/\tau_{D^0}} \frac{\varepsilon(h^+ h^-, t)}{\varepsilon(K^- \pi^+, t)}$$

Combine $y_{CP}^{KK} - y_{CP}^{K\pi}$ and $y_{CP}^{\pi\pi} - y_{CP}^{K\pi}$ in $y_{CP} - y_{CP}^{K\pi}$.

Measurement of $y_{CP} - y_{CP}^{K\pi}$

[Phys. Rev. D 105, 092013 (2022)]



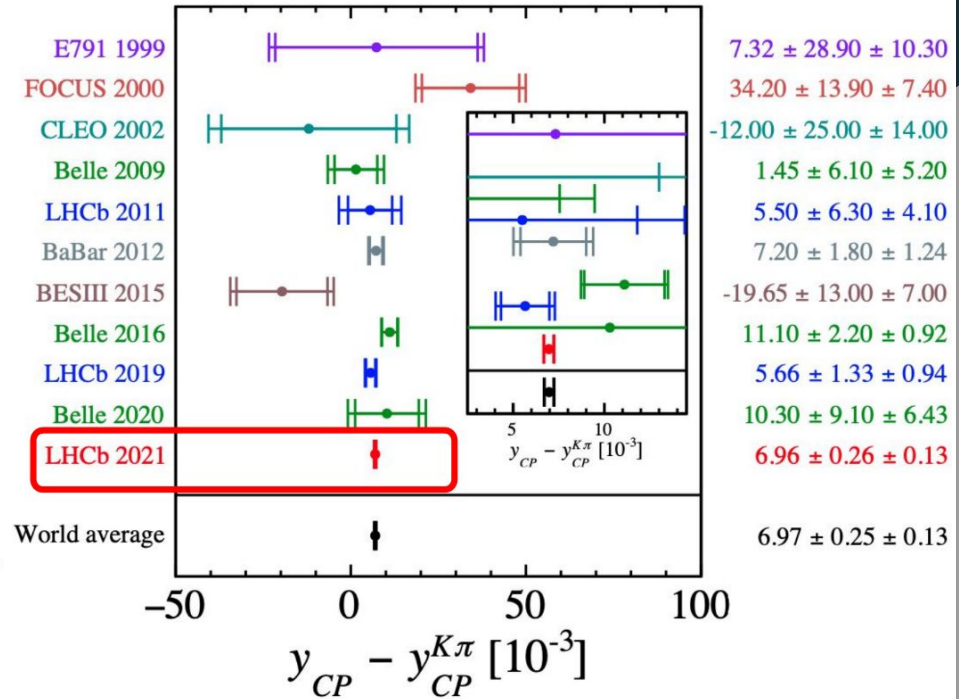
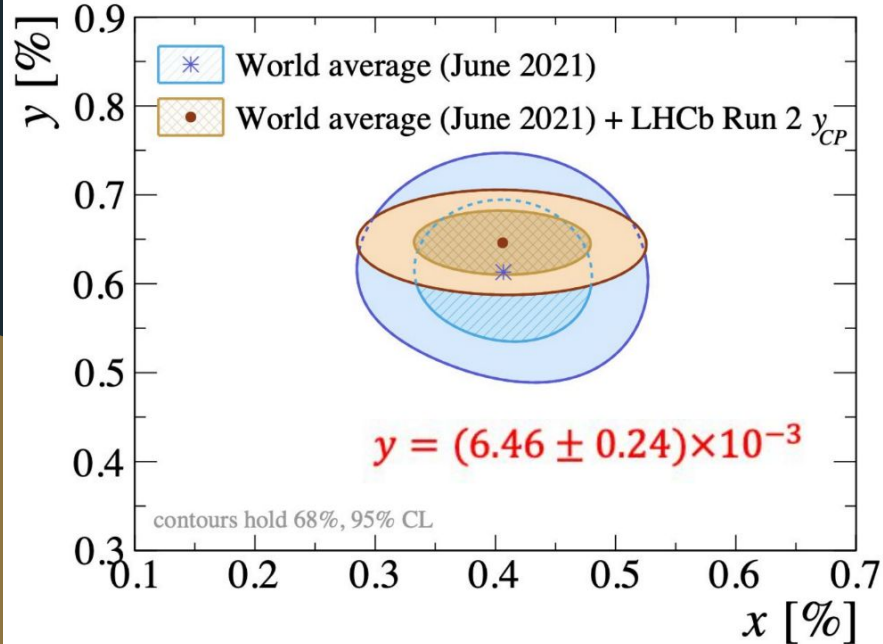
$$\left. \begin{aligned} y_{CP}^{\pi\pi} - y_{CP}^{K\pi} &= (6.57 \pm 0.53 \pm 0.16) \times 10^{-3} \\ y_{CP}^{KK} - y_{CP}^{K\pi} &= (7.08 \pm 0.30 \pm 0.14) \times 10^{-3} \end{aligned} \right\} \Rightarrow y_{CP} - y_{CP}^{K\pi} = (6.96 \pm 0.26 \pm 0.13) \times 10^{-3}$$

- $y_{CP}^{K\pi} \approx -4 \times 10^{-4}$
- Previous world average: $y_{CP} - y_{CP}^{K\pi} = (7.19 \pm 1.13) \times 10^{-3}$
- 4 times more precise.

Measurement of $y_{CP} - y_{CP}^{K\pi}$

[Phys. Rev. D 105, 092013 (2022)]

- New constrain to world average



Rare decays

$D^0 \rightarrow h^+ h^- \mu^+ \mu^-$ rare decays

- FCNC $c \rightarrow ul^+l^-$ transitions sensitive to BSM contribution
 - More suppressed than $b \rightarrow sl^+l^-$ due to GIM mechanism.
- 4-body decays have measurable BFs.
 - Measured in 2017 using 2012 data (2 fb^{-1}) [[Phys. Rev. Lett. 119, 181805 \(2017\)](#)].

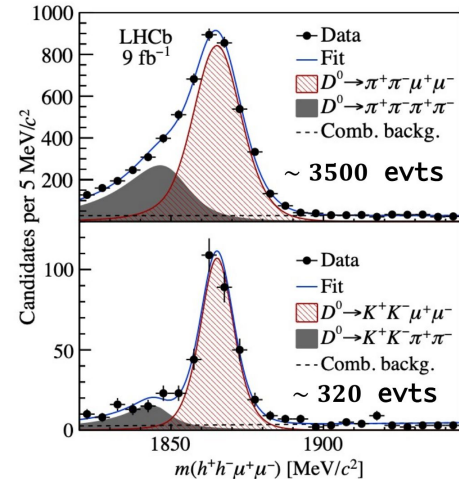
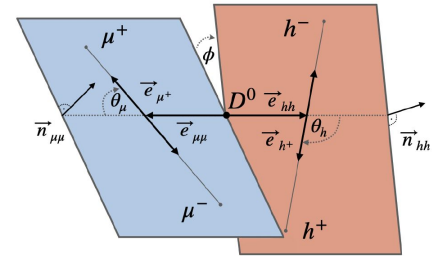
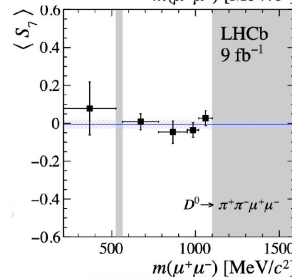
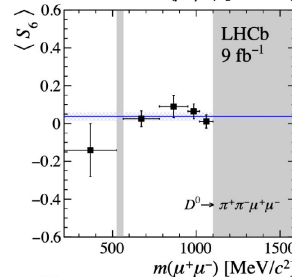
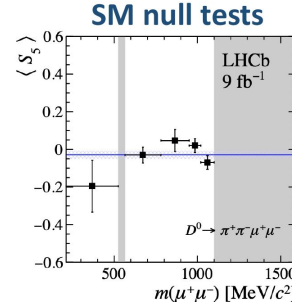
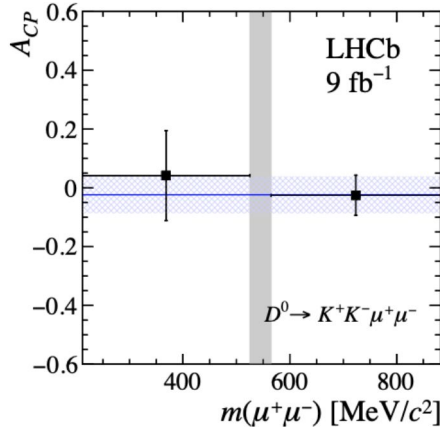
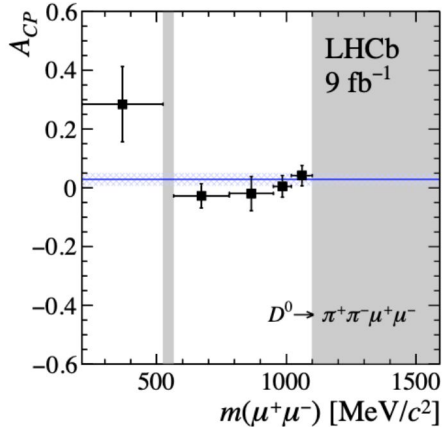
$$\mathcal{B}(D^0 \rightarrow \pi^- \pi^+ \mu^+ \mu^-) \sim 9.6 \times 10^{-7}$$
$$\mathcal{B}(D^0 \rightarrow K^- K^+ \mu^+ \mu^-) \sim 1.5 \times 10^{-7}$$

- World's first full angular analysis of a rare decays [[Phys. Rev. Lett. 128, 221801 \(2022\)](#)].
- SM null tests in regions dominated by resonances
(due to interference between short- and long-distance contributions)

$D^0 \rightarrow h^+ h^- \mu^+ \mu^-$ rare decays

[Phys. Rev. Lett. 128, 221801 (2022)]

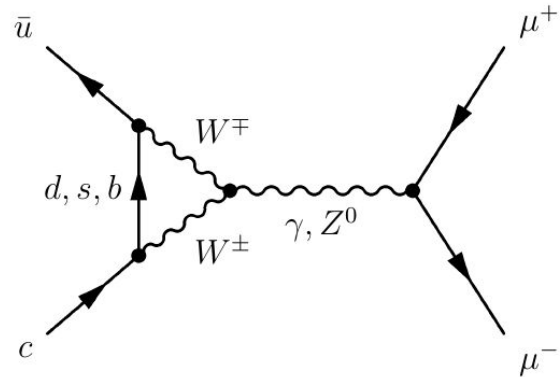
- Measurement of the full set of CP -averaged angular observables and CP asymmetries as function of $q^2 \equiv m^2(\mu^+\mu^-)$.
- Updated measurement of CP asymmetry.
- Results consistent with SM null hypothesis.



$D^0 \rightarrow \mu^+ \mu^-$ rare decays

- Very rare decay: FCNC + helicity suppression.
- Lepto-quarks in tree-level.
- SM branching fraction [[Phys. Rev. D 93, 074001 \(2016\)](#)]:
 - Short Distance: $\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) \sim 10^{-18}$
 - Long Distance: $\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) \sim 10^{-11}$
- Current upper limit (1 fb^{-1}) [[Phys. Lett. B 725, 15-24 \(2013\)](#)]:
$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 6.2 \times 10^{-9} \text{ @ } 90\% \text{ CL}$$
- New upper limit with Run 1 + Run 2 π -tagged data [[LHCB-PAPER-2022-029 \(2022\)](#)].

in preparation

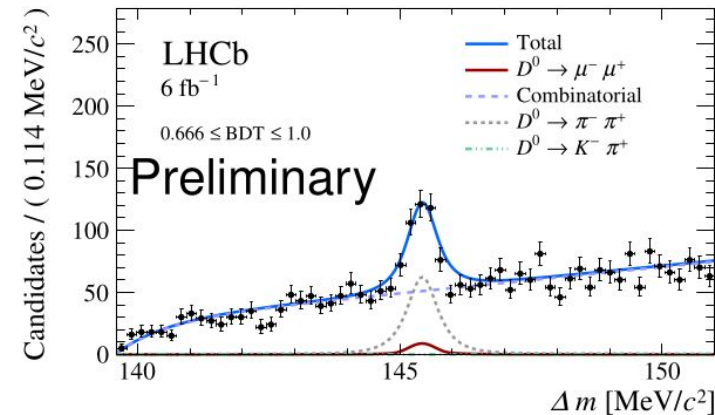
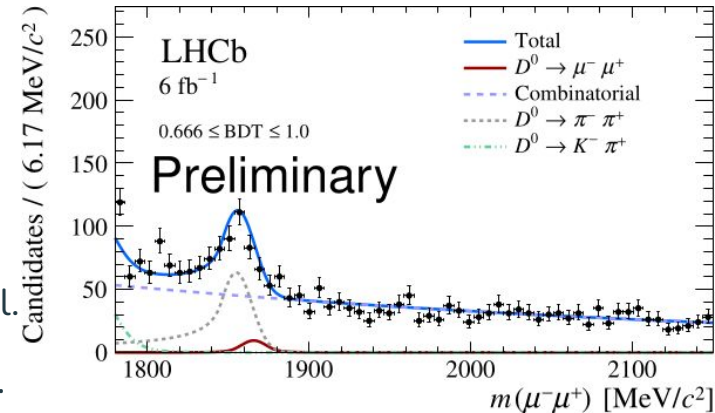


$D^0 \rightarrow \mu^+ \mu^-$ rare decays

[LHCb-PAPER-2022-029 (2022)]

In preparation

- Analysis strategy:
 - BDT trained against combinatorial background.
 - PID based classifier to suppress misID.
 - $D^0 \rightarrow K^- \pi^+$ and $D^0 \rightarrow \pi^+ \pi^-$ as normalisation channel.
 - Simultaneous fit to $m(D^0)$ and $\Delta m = m(D^{*+}) - m(D^0)$.
- No significant signal observed:
 $\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 2.9 \times 10^{-9} @ 90\% CL$
- Improvement of more than a factor two.
 - Most stringent limit of FCNC in the charm sector.



Conclusion

- LHCb collected the largest dataset of charm hadron decays.
- Major contribution in charm sector:
 - Many LHCb measurements are world's best.
- Measurements are still limited by statistical uncertainties.
 - With Run 3-4 data we expect to improve our results.



Backup

Mixing parameters in $D^0 \rightarrow K^0_S \pi^+ \pi^-$ decays

- Fit the ratio of Dalitz bin b and time bin j with:

$$R_{bj}^\pm \approx \frac{r_b + \frac{1}{4} r_b \langle t^2 \rangle_j \operatorname{Re}(z_{CP}^2 - \Delta z^2) + \frac{1}{4} \langle t^2 \rangle_j |z_{CP} \pm \Delta z|^2 + \sqrt{r_b} \langle t \rangle_j \operatorname{Re}[X_B^*(z_{CP} \pm \Delta z)]}{1 + \frac{1}{4} \langle t^2 \rangle_j \operatorname{Re}(z_{CP}^2 - \Delta z^2) + r_b \frac{1}{4} \langle t^2 \rangle_j |z_{CP} \pm \Delta z|^2 + \sqrt{r_b} \langle t \rangle_j \operatorname{Re}[X_B(z_{CP} \pm \Delta z)]}$$

where “+” for D^0 and “-” for \bar{D}^0 , r_b the ratio of bins, $\langle t \rangle_j$ average decay-time in bin j , and

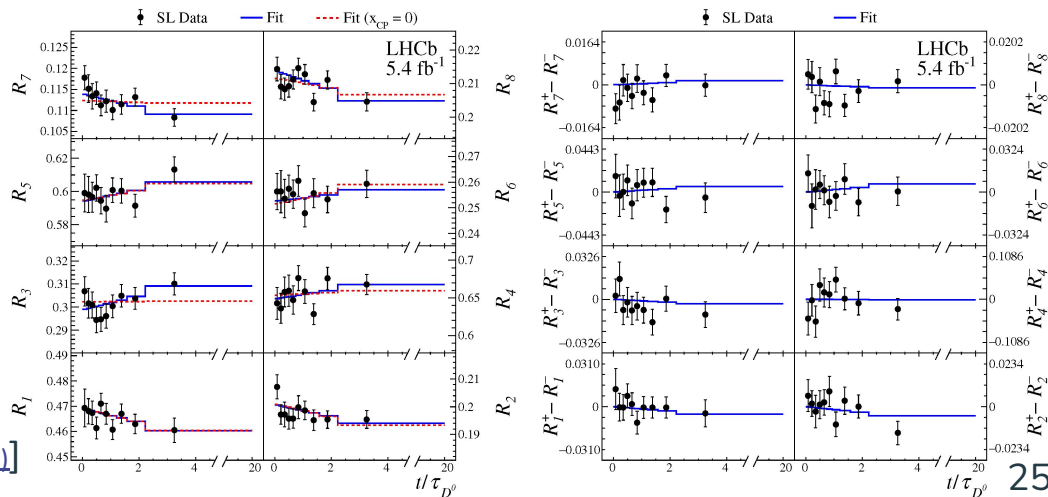
$$z_{CP} \pm \Delta z = -\left(\frac{q}{p}\right)^{\pm 1} (y + ix)$$

- Therefore

$$x_{CP} = -\operatorname{Im}(z_{CP}), \quad \Delta x = \operatorname{Im}(\Delta z)$$

$$y_{CP} = -\operatorname{Re}(z_{CP}), \quad \Delta y = \operatorname{Re}(\Delta z)$$

[LHCb-PAPER-2022-020 (2022)]



Measurement of $y_{CP} - y_{CP}^{K\pi}$

[Phys. Rev. D 105, 092013 (2022)]

- Control channel:

$$R^{CC}(t) = \frac{N(D^0 \rightarrow \pi^+ \pi^-, t)}{N(D^0 \rightarrow K^+ K^-, t)} \propto e^{y_{CP}^{CC} t / \tau_{D^0}} \frac{\varepsilon(\pi^+ \pi^-, t)}{\varepsilon(K^+ K^-, t)}$$

