

# Lattice calculations for strange processes

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“Direct CP violation and the  $\Delta I = 1/2$  rule in  $K \rightarrow \pi\pi$  decay from the Standard Model,”

R.Abbott, T.Blum, P.A.Boyle, M.Bruno, N.H.Christ, D.Hoying, C.Jung, **C.Kelly**, C.Lehner, R.D.Mawhinney,  
D.J.Murphy, C.T.S, A. Soni, M.Tomii and T.Wang, arXiv:2004.09440 [hep-lat].

The release of this paper allows me to tell a coherent story of RBC-UKQCD's long-standing project on  $K \rightarrow \pi\pi$  decays.

### Outline of talk

- 1 Directly computing  $K \rightarrow \pi\pi$  decay amplitudes
- 2 Evaluation of  $A_2$
- 3 Evaluation of  $A_0$
- 4 Conclusions and Outlook

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# 1. Directly computing $K \rightarrow \pi\pi$ decay amplitudes

- $K \rightarrow \pi\pi$  decays are a very important class of processes for standard model phenomenology with a long and noble history.
  - It is in these decays that both indirect and direct CP-violation was discovered.
- Bose Symmetry  $\Rightarrow$  the two-pion state has isospin 0 or 2.

$$I=2 \langle \pi\pi | H_W | K^0 \rangle = A_2 e^{i\delta_2}, \quad I=0 \langle \pi\pi | H_W | K^0 \rangle = A_0 e^{i\delta_0}.$$

- Among the very interesting issues are the origin of the  $\Delta I = 1/2$  rule ( $\text{Re } A_0 / \text{Re } A_2 \simeq 22.5$ ) and an understanding of the experimental value of  $\varepsilon' / \varepsilon$ , the parameter which was the first experimental evidence of direct CP-violation.

- CP-violating experimental amplitudes:

$$\eta_{+-} = \frac{\langle \pi^+ \pi^- | H_W | K_L \rangle}{\langle \pi^+ \pi^- | H_W | K_S \rangle} = \epsilon + \epsilon'$$

$$\eta_{00} = \frac{\langle \pi^0 \pi^0 | H_W | K_L \rangle}{\langle \pi^0 \pi^0 | H_W | K_S \rangle} = \epsilon - 2\epsilon'$$

$$\text{Re} \left( \frac{\epsilon'}{\epsilon} \right) = \frac{1}{6} \left( 1 - \frac{|\eta_{00}|^2}{|\eta_{+-}|^2} \right)$$

- Theoretically (without isospin breaking corrections),

$$\epsilon' = \frac{i\omega e^{i(\delta_2 - \delta_0)}}{\sqrt{2}} \left( \frac{\text{Im} A_2}{\text{Re} A_2} - \frac{\text{Im} A_0}{\text{Re} A_0} \right)$$

where  $\omega = \text{Re} A_2 / \text{Re} A_0 \simeq 1/22$ .

- Indirect CP-violation:  $|\epsilon| = (2.228 \pm 0.011) \times 10^{-3}$
- Direct CP-violation:  $\text{Re}(\epsilon'/\epsilon) = (16.6 \pm 2.3) \times 10^{-4}$

- The effective  $\Delta S = 1$  Hamiltonian can be written in the standard form:

$$H_W = \frac{G_F}{\sqrt{2}} V_{us}^* V_{ud} \sum_{i=1}^{10} \{z_i(\mu) + \tau y_i(\mu)\} Q_i(\mu),$$

where

- $G_F$  and  $V_{ij}$  are the Fermi Constant and CKM matrix elements respectively;
- $\tau$  is the ratio of CKM matrix elements

$$\tau = -\frac{V_{ts}^* V_{td}}{V_{us}^* V_{ud}} \simeq (1.558(65) + 0.663(33)i) \times 10^{-3};$$

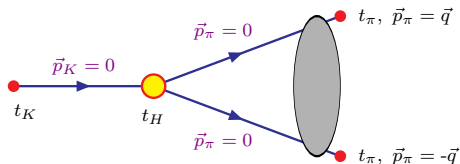
- $Q_i(\mu)$  are four-quark operators defined at the renormalisation scale  $\mu$  with Wilson Coefficients  $z_i(\mu)$  and  $y_i(\mu)$ .
- Role of lattice computations is to evaluate the hadronic matrix elements  $\langle \pi\pi | Q_i(\mu) | K \rangle$ .

$$H_W = \frac{G_F}{\sqrt{2}} V_{us}^* V_{ud} \sum_{i=1}^{10} \{z_i(\mu) + \tau y_i(\mu)\} Q_i(\mu)$$

Schematic structure of the calculation:

$$\begin{aligned} A_I &= F \frac{G_F}{\sqrt{2}} V_{us}^* V_{ud} \sum_{i=1}^{10} \sum_{j,k=1}^7 \{z_i(\mu) + \tau y_i(\mu)\} Z_{ij}^{\text{RI} \rightarrow \overline{\text{MS}}} Z_{jk}^{\text{Latt} \rightarrow \text{RI}} \langle (\pi\pi)_I | Q_k^{\text{Latt}} | K \rangle \\ &= F \frac{G_F}{\sqrt{2}} V_{us}^* V_{ud} \sum_{i=1}^{10} \sum_{j=1}^7 \{z_i(\mu) + \tau y_i(\mu)\} Z_{ij}^{\text{RI} \rightarrow \overline{\text{MS}}} \langle (\pi\pi)_I | Q_j^{\text{RI}} | K \rangle \end{aligned}$$

- $F$  is the Lellouch-Lüscher factor, necessary because the computations are performed in a finite-volume.
- RI is a “Regularisation Independent” renormalisation scheme which can be defined non-perturbatively (not  $\overline{\text{MS}}$ ).
- Lattice computations provide  $\langle (\pi\pi)_I | Q_j^{\text{RI}} | K \rangle$  and  $F$ .
- The Wilson coefficients  $z_i, y_i$  and the matching matrix  $Z_{ij}^{\text{RI} \rightarrow \overline{\text{MS}}}$ , necessarily calculated in perturbation theory.



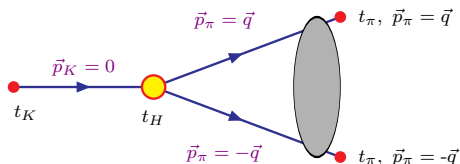
- $K \rightarrow \pi\pi$  correlation function is dominated by the lightest state, i.e. the state with two-pions at rest (or the vacuum for  $I = 0$ ). Maiani and Testa, PL B245 (1990) 585

$$C(t_\pi) = A + B_1 e^{-2m_\pi t_\pi} + B_2 e^{-2E_\pi t_\pi} + \dots$$

- Solution 1: Study an excited state. Lellouch and Lüscher, hep-lat/0003023
- Solution 2: Introduce suitable boundary conditions such that the  $\pi\pi$  ground state is  $|\pi(\vec{q})\pi(-\vec{q})\rangle$ . RBC-UKQCD, C.h.Kim hep-lat/0311003  
N.Christ, C.Kelly, D.Zhang, arXiv:1908.08640

For  $B$ -decays, with so many intermediate states below threshold, this is the main obstacle to producing reliable calculations.





- Imagine now that we chosen the boundary conditions so that the ground state is  $|\pi(\vec{q})\pi(-\vec{q})\rangle$ .
  - In a finite volume each component of  $\vec{q}$  is quantised, with allowed values separated by  $2\pi/L$ .
  - Thus in order to obtain the physical value of  $|\vec{q}|$  the volume must be chosen appropriately.
  - Moreover, the s-wave,  $I = 0$  and  $I = 2$  channels are attractive and repulsive respectively and so the two cases must be studied on lattices of different volumes.

2. Evaluation of  $A_2$ 

- For  $A_2$ , there is no vacuum subtraction and we can use the Wigner-Eckart theorem to write

$$\frac{\langle (\pi\pi)_{I_3=1}^{I=2} | Q_{\Delta I_3=1/2,i}^{\Delta I=3/2} | K^+ \rangle}{\frac{1}{\sqrt{2}}(\langle \pi^+\pi^0 | + \langle \pi^0\pi^+ |)} = \frac{3}{2} \frac{\langle (\pi\pi)_{I_3=2}^{I=2} | Q_{\Delta I_3=3/2,i}^{\Delta I=3/2} | K^+ \rangle}{\langle \pi^+\pi^+ |},$$

and impose anti-periodic conditions on the d-quark in one or more directions.

- If we impose the anti-periodic boundary conditions in all 3 directions then the ground state is

$$\left| \pi \left( \frac{\pi}{L}, \frac{\pi}{L}, \frac{\pi}{L} \right) \pi \left( \frac{-\pi}{L}, \frac{-\pi}{L}, \frac{-\pi}{L} \right) \right\rangle.$$

- With an appropriate choice of  $L$  and the number of directions, we can arrange that  $E_{\pi\pi} = m_K$ .
- Isospin breaking by the boundary conditions is harmless here.

CTS & G.Villadoro, hep-lat/0411033

- The amplitude  $A_2$  is considerably simpler to evaluate than  $A_0$ .
- Our first results for  $A_2$  at physical kinematics were obtained at a single, rather coarse, value of the lattice spacing ( $a \simeq 0.14$  fm). Estimated discretization errors at 15%. [arXiv:1111.1699](#), [arXiv:1206.5142](#)
- Our latest results were obtained on two new ensembles,  $48^3$  with  $a \simeq 0.11$  fm and  $64^3$  with  $a \simeq 0.084$  fm so that we can make a continuum extrapolation:

$$\text{Re}(A_2) = 1.50(4)_{\text{stat}}(14)_{\text{syst}} \times 10^{-8} \text{ GeV}.$$

$$\text{Im}(A_2) = -6.99(20)_{\text{stat}}(84)_{\text{syst}} \times 10^{-13} \text{ GeV}.$$

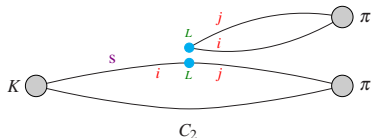
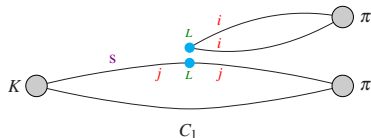
[arXiv:1502.00263](#)

- The experimentally measured value is  $\text{Re}(A_2) = 1.479(4) \times 10^{-8} \text{ GeV}$ .
- Although the precision can still be significantly improved (partly by perturbative calculations), the calculation of  $A_2$  at physical kinematics can now be considered as standard.
- We are not currently working towards improving this result.

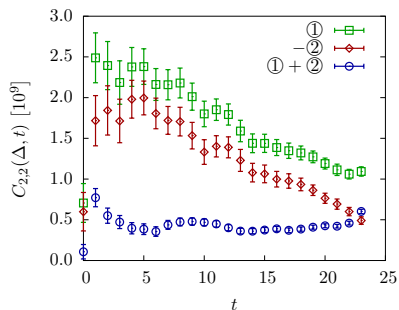
- $\text{Re} A_2$  is dominated by a simple operator:

$$O_{(27,1)}^{3/2} = (\bar{s}^i d^i)_L \{ (\bar{u}^j u^j)_L - (\bar{d}^j d^j)_L \} + (\bar{s}^i u^i)_L (\bar{u}^j d^j)_L$$

and two diagrams:



- $\text{Re} A_2$  is proportional to  $C_1 + C_2$ .
- The contribution to  $\text{Re} A_0$  from  $Q_2$  is proportional to  $2C_1 - C_2$  and that from  $Q_1$  is proportional to  $C_1 - 2C_2$  with the same overall sign.
- Colour counting might suggest that  $C_2 \simeq \frac{1}{3} C_1$ .
- **We find instead that  $C_2 \approx -C_1$  so that  $A_2$  is significantly suppressed!**
- The strong suppression of  $\text{Re} A_2$  is a major factor in the  $\Delta I = 1/2$  rule.



## Physical Kinematics

- Notation  $\textcircled{i} \equiv C_i$ ,  $i = 1, 2$ .

3. Evaluation of  $A_0$  and  $\epsilon'/\epsilon$ 

- In 2015 RBC-UKQCD published our first result for  $\epsilon'/\epsilon$  computed at physical quark masses and kinematics, albeit still with large relative errors:

Z.Bai et al. (RBC-UKQCD), arXiv:1505.07863

$$\left. \frac{\epsilon'}{\epsilon} \right|_{\text{RBC-UKQCD}} = (1.38 \pm 5.15 \pm 4.59) \times 10^{-4}$$

to be compared with

$$\left. \frac{\epsilon'}{\epsilon} \right|_{\text{Exp}} = (16.6 \pm 2.3) \times 10^{-4}.$$

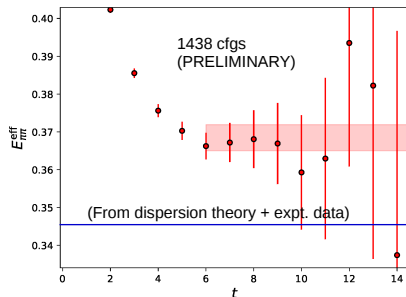
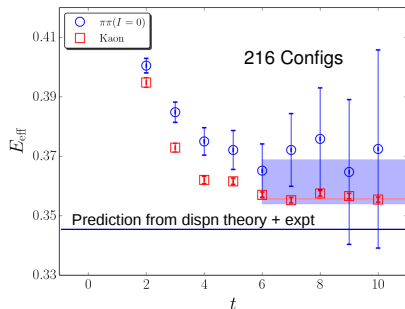
- Is this  $2.1\sigma$  deviation real?  $\Rightarrow$  must reduce the uncertainties.
- The matrix elements themselves are calculated with a smaller relative error.
- This is by far the most complicated project that I have ever been involved with.
- Puzzle: For the  $I = 0$  s-wave  $\pi\pi$  phase shift we obtained  $\delta_0 = (23.8 \pm 4.9 \pm 2.2)^\circ$ , to be compared with the dispersive results of about  $34^\circ$ . G.Colangelo et al.

## 2015

- $32^3 \times 64$  ensemble (Möbius DWF and Iwasaki + DSDR gauge action)
- $a^{-1} = 1.3784(68)\text{GeV}$ ,  $L = 4.53\text{ fm}$ .
- G-parity boundary conditions in 3-directions
- 216 configurations
- Almost physical kinematics: ( $m_\pi = 143.1(2.0)\text{ MeV}$ ,  $m_K = 490.6(2.2)\text{ MeV}$ ,  $E_{\pi\pi} = 498(11)\text{ MeV}$ ).

## Extension and Improvement in 2020

- Increase the statistics:  $216 \rightarrow 1438$  configurations.
  - Reduce the statistical error;
  - Improved statistics allows for an in-depth study of the systematics.
- Use an expanded set of operators to create the  $\pi\pi$  state. (741 configurations)
- Improve the non-perturbative renormalisation, including step-scaling to match at a higher energy.
- Significantly improve the analysis techniques. C.Kelly and T.Wang, arXiv:1911.04582



- Increasing the statistics from 216 to 1438 configurations, the  $\pi\pi$  correlation function is still well described by a single  $\pi\pi$  state.
  - It does not solve the  $\delta_0$  puzzle however:

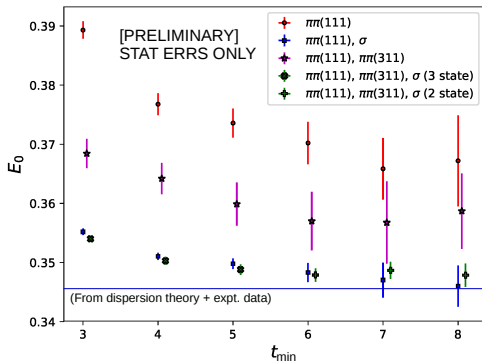
$$\delta_0 = (23.8 \pm 4.9 \pm 2.2)^\circ \quad \rightarrow \quad \delta_0 = (19.1 \pm 2.5 \pm 1.2)^\circ \quad (\chi^2/\text{dof} = 1.6)$$



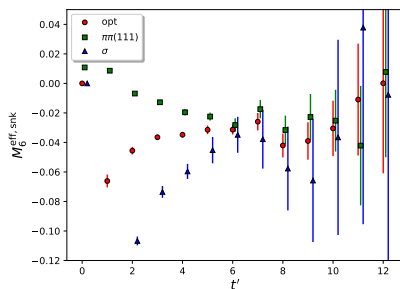
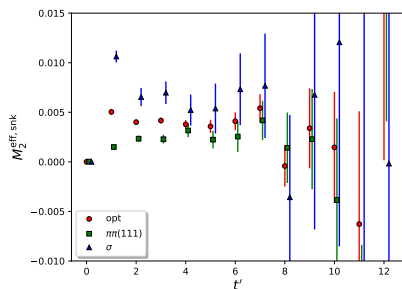
- The  $\delta_0$ -puzzle has been resolved by adding more interpolating operators for the  $\pi\pi$  states.  
Originally we only had a single  $\pi\pi$  operator with each pion being given a momentum  $\pm(1, 1, 1)\pi/L$  (with total momentum  $\vec{0}$ ).
- In particular the inclusion of a  $\sigma$ -like two-quark operator ( $\bar{u}u + \bar{d}d$ ) has exposed a second state, e.g. for  $t_f - t_i = 5$

$$\det \begin{pmatrix} \langle \pi\pi(t_f)\pi\pi(t_i) \rangle & \langle \pi\pi(t_f)\sigma(t_i) \rangle \\ \langle \sigma(t_f)\pi\pi(t_i) \rangle & \langle \sigma(t_f)\sigma(t_i) \rangle \end{pmatrix} = 0.439(50) \neq 0$$

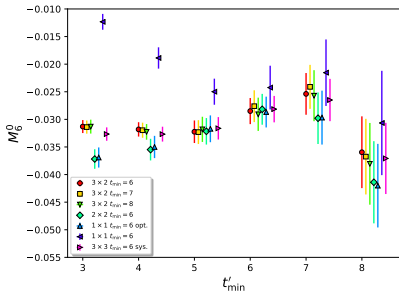
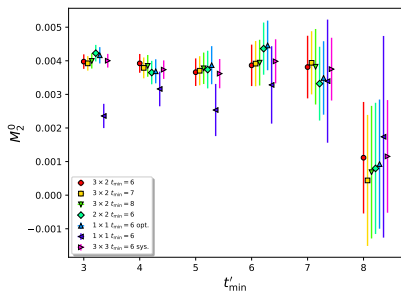
- We have also included a third operator giving each pion a larger momentum  $\pm(3, 1, 1)\pi/L$ .
- At present we have only analysed 741 configurations with the additional operators. Remainder will be done in the future.



- $\delta_0 = (32.3 \pm 1.0 \pm 1.8)^\circ$  from a fit in the range  $t = 5 - 15$  (statistical error only).
  - The fit from dispersion theory at this value of  $E_{\pi\pi}$  and  $m_\pi$  is about  $35.9^\circ$ .
- The  $\pi\pi(3, 1, 1)$  operator turns out not to be very important.



- It is convenient to visualise the data by taking “optimal” linear combinations of operators which best projects into the ground state.



- Examples of results of fits with different numbers of operators, states,  $t_{\min} = (t_H - t_K)_{\min}$  and  $t'_{\min} = (t_{\pi\pi} - t_H)_{\min}$ .
  - Adopt uniform fit with  $t'_{\min} = 5$  which is stable for all operators.
  - Evidence that excited state errors significantly underestimated in 2015.

Description	2015 Error	2020 Error
Operator normalisation	15%	5% <sup>1</sup>
Wilson coefficients	12%	unchanged
Finite lattice spacing	12%	unchanged
Lellouch - Lüscher factor	11%	1.5% <sup>2</sup>
Residual FV corrections	7%	unchanged
Parametric errors	5%	6% <sup>3</sup>
Excited state contamination	5%	negligible <sup>4</sup>
Unphysical kinematics	3%	5%
<b>Total</b>	<b>27%</b>	<b>21%</b>

- <sup>1</sup> As a result of step scaling from  $\mu = 1.53 \text{ GeV} \rightarrow 4.00 \text{ GeV}$ .
- <sup>2</sup> Better control of  $\pi\pi$  system due to additional operators.
- <sup>3</sup> Largest uncertainty is due to  $\tau \sim 5\%$ .
- <sup>4</sup> Significantly underestimated in 2015.

- For leptonic decays, the precision of lattice calculations is such that  $O(1\%)$  isospin breaking corrections (including electromagnetism) are becoming important. (I wish that I had the time to discuss our framework and applications on this.)
- The extension of this framework to  $K \rightarrow \pi\pi$  decays is considerably more complicated.
  - Some first steps, towards including electromagnetism in  $K \rightarrow \pi\pi$  decays we taken by N.Christ and X.Feng. [arXiv:1711.09339](https://arxiv.org/abs/1711.09339)
- At the current stage of precision we are not concerned with including  $O(1\%)$  corrections.
  - However, because of the  $\Delta I = \frac{1}{2}$  rule, the corrections are expected to be amplified.

- Recently a detailed updated study of isospin corrections was presented in the framework of ChPT and the large  $N_C$  approximation.

V.Cirigliano, H.Gisbert, A.Pich, A.Rodriguez-Sanchez, arXiv:1911.01359

The authors write the formula for  $\epsilon'$  in the form:

$$\epsilon' = \frac{i\omega_+ e^{i(\delta_2 - \delta_0)}}{\sqrt{2}} \left[ \frac{\text{Im} A_2^{\text{ewp}}}{\text{Re} A_2} - \frac{\text{Im} A_0}{\text{Re} A_0} \left( 1 - \hat{\Omega}_{\text{eff}} \right) \right],$$

where  $\omega_+ = \text{Re} A_2^+ / \text{Re} A_0$  and  $A_2^+$  is  $A_2$  obtained from the physical decay  $K^+ \rightarrow \pi^+ \pi^0$  at NLO

$$\hat{\Omega}_{\text{eff}} = \left( 17.0_{-9.0}^{+9.1} \right) \times 10^{-2}.$$

(At LO the corresponding number is  $19.5 \pm 3.9$ .)

- As expected, this is a very significant effect, certainly requiring further investigation.
- A careful discussion of arXiv:1911.01359, and the determination of the LECs at NLO in particular, is beyond the scope of our work and we include the central value as a further 23% systematic error on our result.

- $\text{Re} A_0 = 2.99(0.32)(0.59) \times 10^{-7} \text{ GeV}$  (Experiment:  $3.3201(18) \text{ GeV}$ )
- $\text{Im} A_0 = -6.98(0.62)(1.44) \times 10^{-11} \text{ GeV}$ .
- Combining our new result for  $\text{Re} A_0$  with our 2015 one for  $\text{Re} A_2$  we find:

$$\frac{\text{Re} A_0}{\text{Re} A_2} = 19.9 \pm 2.3 \pm 4.4,$$

in good agreement with the experimental result of  $22.45(6)$ .

- Combining our new result for  $\text{Im} A_0$  with our 2015 result for  $\text{Im} A_2$  and using the experimental results for the real parts, we find

$$\text{Re} \left( \frac{\epsilon'}{\epsilon} \right) = 0.00217(26)(62)(50),$$

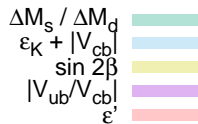
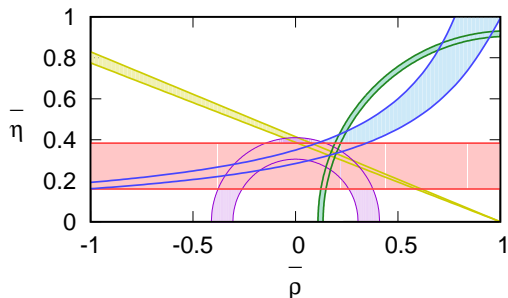
where the third uncertainty is due to isospin breaking effects. This result is consistent with the experimental value of  $0.00166(23)$ .

- Note that if, instead of treating the isospin correction from [arXiv:1911.01359](https://arxiv.org/abs/1911.01359) as a component of the systematic uncertainty, we were to implement on our result, we would obtain a central value  $\text{Re}(\epsilon'/\epsilon) = 0.00167$ , coincidentally identical to the experimental result.



- We have completed the update on our 2015 lattice determination of  $A_0$  and  $\epsilon'/\epsilon$  with:
  - a 3.2 times increase in statistics;
  - the use of multi-operator techniques in order to essentially remove the systematic error due to excited state contamination;
  - the use of step-scaling to reduce significantly the systematic error in the renormalisation.
- We reproduce the experimental value of  $\text{Re}A_0/\text{Re}A_2$  demonstrating that, within our uncertainties, QCD is sufficient to solve this decades-old puzzle.
- Our result for  $\text{Re} \epsilon'/\epsilon$  is consistent with the experimental value, with an error which is about 3.5 times larger. This quantity remains a promising avenue in which to search for new physics but more precision is required.

- The collaboration intends to perform measurements on two larger lattices with different lattice spacings to the perform continuum limit. This will require the next generation of supercomputers.
- A project is currently underway to perform the  $4 \rightarrow 3$  flavour matching in the Wilson coefficients non-perturbatively. [M.Tomii, arXiv:1901.04107](#)
- We are also working on laying the groundwork for the lattice calculation of isospin breaking and electromagnetic effects. [N.Christ and Xu Feng, arXiv:1711.09339](#)
- The collaboration is actively investigating the potential for multi-operator fits to circumvent need for G-parity BCs, allowing for more reuse of ensembles and eigenvectors from other RBC&UKQCD projects. [D.Hoying, PoS LATTICE2018 \(2019\) 064](#)



- 1  $A_0$  and  $A_2$  amplitudes with unphysical quark masses and with the pions at rest.

“ $K$  to  $\pi\pi$  decay amplitudes from lattice QCD,”

T.Blum, P.A.Boyle, N.H.Christ, N.Garron, E.Goode, T.Izubuchi, C.Lehner, Q.Liu, R.D. Mawhinney, C.T.S, A.Soni, C.Sturm, H.Yin and R. Zhou, Phys. Rev. D **84** (2011) 114503 [arXiv:1106.2714 [hep-lat]].

“Kaon to two pions decay from lattice QCD,  $\Delta I = 1/2$  rule and CP violation”

Q.Liu, Ph.D. thesis, Columbia University (2010)

- 2  $A_2$  at physical kinematics and a single coarse lattice spacing.

“The  $K \rightarrow (\pi\pi)_{I=2}$  Decay Amplitude from Lattice QCD,”

T.Blum, P.A.Boyle, N.H.Christ, N.Garron, E.Goode, T.Izubuchi, C.Jung, C.Kelly, C.Lehner, M.Lightman, Q.Liu, A.T.Lytle, R.D.Mawhinney, C.T.S., A.Soni, and C.Sturm

Phys. Rev. Lett. **108** (2012) 141601 [arXiv:1111.1699 [hep-lat]],

“Lattice determination of the  $K \rightarrow (\pi\pi)_{I=2}$  Decay Amplitude  $A_2$ ”

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