

Conference on Flavour Physics and  
CP violation 2020, 8-12 June 2020

# Experimental status of $|V_{cb}|$ and $|V_{ub}|$ with semileptonic b-hadron decays



**Marcello Rotondo**

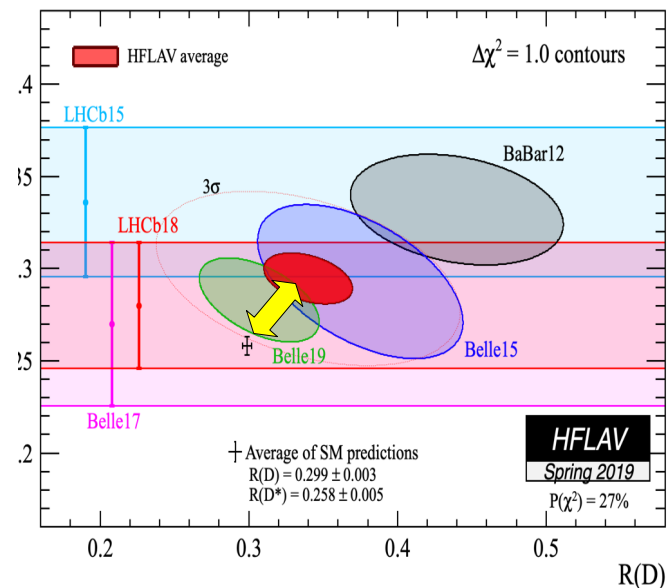
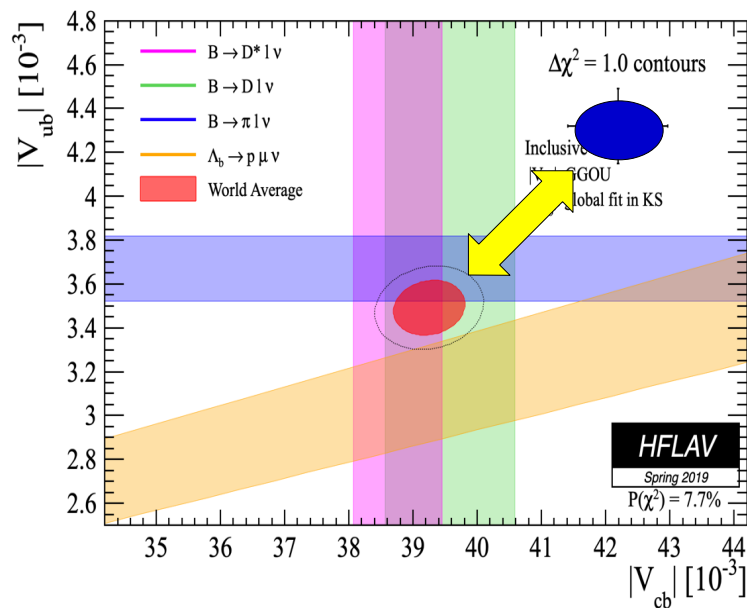
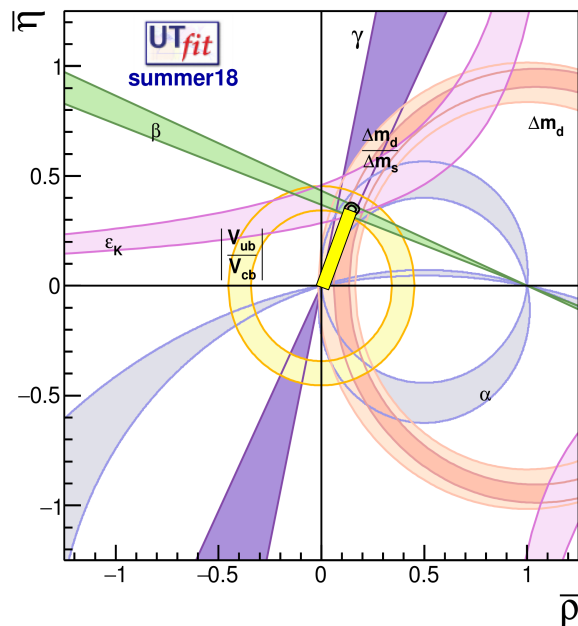
**Laboratori Nazionali di Frascati**



# Why $|V_{cb}|$ and $|V_{ub}|$ ?

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

$$\frac{\Gamma(B \rightarrow D^{(*)} \tau \nu_\tau)}{\Gamma(B \rightarrow D^{(*)} \ell \nu_\ell)}$$

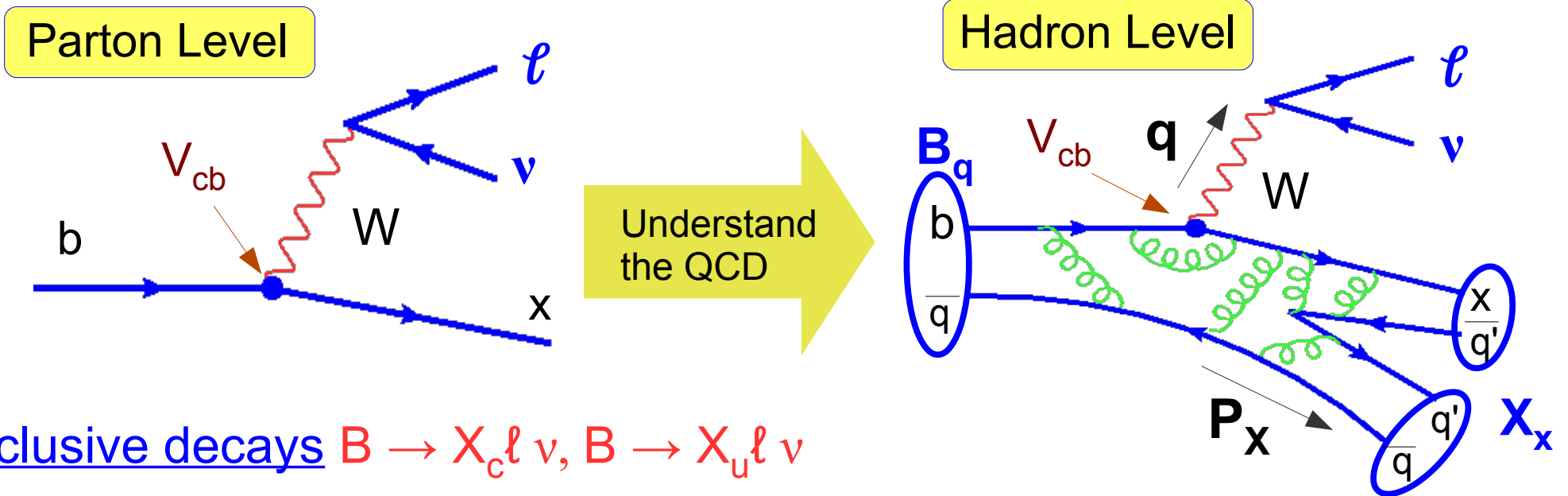


$|V_{xb}|$  provide crucial inputs for indirect search of New Physics

$|V_{ub}|$  and  $|V_{cb}|$  significant tensions between different determinations

Difference with expectations @ about  $3\sigma$ .  
Study of semileptonic B decays provide Form-Factors, crucial for SM predictions on  $R(D)$ - $R(D^*)$  and signal model

# Measurements of $|V_{xb}|$



Inclusive decays  $B \rightarrow X_c \ell \nu, B \rightarrow X_u \ell \nu$

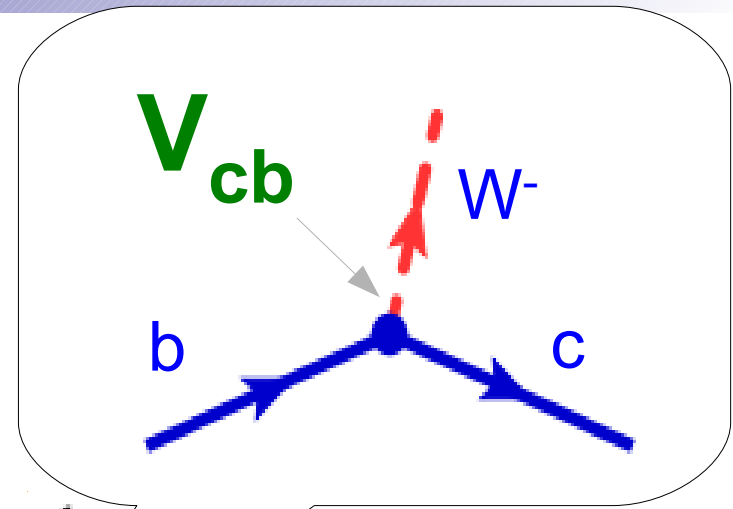
- Need to know QCD corrections to parton level decay rate
- Operator Product Expansion in  $\alpha_s$  and  $\Lambda_{\text{QCD}}/m_{b,c}$  predicts the total rate  $\Gamma_c$

Exclusive decays  $B \rightarrow D/D^* \ell \nu, B \rightarrow \pi/\rho \ell \nu$

- QCD effects are embedded in the form factors
- Lattice-QCD, LCSR

$$\frac{d\mathcal{B}(B \rightarrow H_x \ell \nu)}{dq^2} \propto |V_{xb}|^2 \cdot |f_{B \rightarrow H_x}(q^2)|^2$$

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} s \\ b \end{pmatrix}$$



# $|V_{cb}|$ with inclusive $B \rightarrow X_c \ell \nu$

- HQE is the successful tool to include perturbative and non-perturbative QCD corrections that allow to connect measurements of semileptonic B-meson decays to  $|V_{cb}|^2$

$$\Gamma_{sl} = \Gamma_0 \left[ 1 + a^{(1)} \frac{\alpha_s(m_b)}{\pi} + a^{(2,\beta_0)} \beta_0 \left( \frac{\alpha_s}{\pi} \right)^2 + a^{(2)} \left( \frac{\alpha_s}{\pi} \right)^2 + \left( -\frac{1}{2} + p^{(1)} \frac{\alpha_s}{\pi} \right) \frac{\mu_\pi^2}{m_b^2} + \left( g^{(0)} + g^{(1)} \frac{\alpha_s}{\pi} \right) \frac{\mu_c^2(m_b)}{m_b^2} + d^{(0)} \frac{\rho_D^3}{m_b^3} - g^{(0)} \frac{\rho_{LS}^3}{m_b^3} + \text{higher orders} \right]$$

+ Moments of the lepton spectrum  
+ Moments of the  $X_c$  invariant mass squared

No new experimental results since 2010

Gambino, Schwanda

PhysRevD 89,014022 (2014)

Include charm-quark mass from sum-rule results (PRD80,074010 (2009))

Alberti, Gambino, Healey, Nandi

PhysRevLett 114,061802 (2015)

- Includes corrections of  $O(\alpha_0^2 \Lambda_{\text{QCD}}^2/m_b^2)$

**HFLAV**

Latest fit in Kinetic Scheme:

$$|V_{cb}| = (42.19 \pm 0.78) \cdot 10^{-3}$$

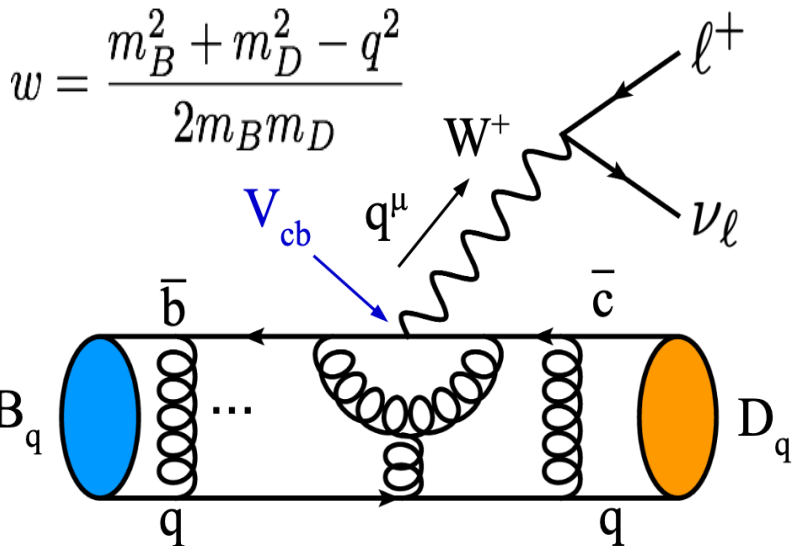
$$\mathcal{B}(B \rightarrow X_c \ell \nu) = 10.65 \pm 0.16 \%$$

$$m_b^{\text{kin}} = 4.544 \pm 0.018 \text{ GeV}$$

$$\mu_\pi^2 = 0.464 \pm 0.076 \text{ GeV}^2$$

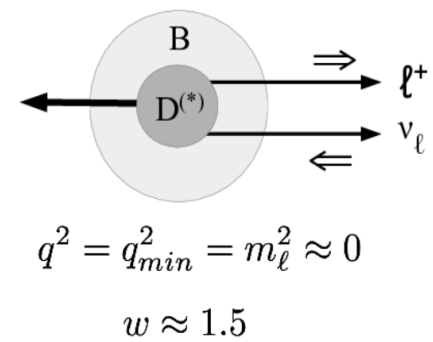
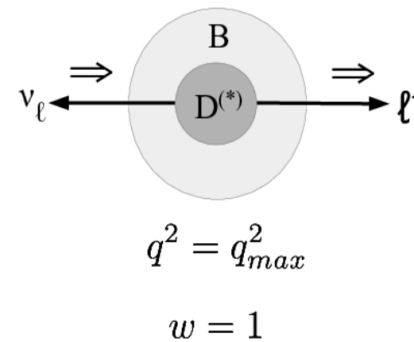
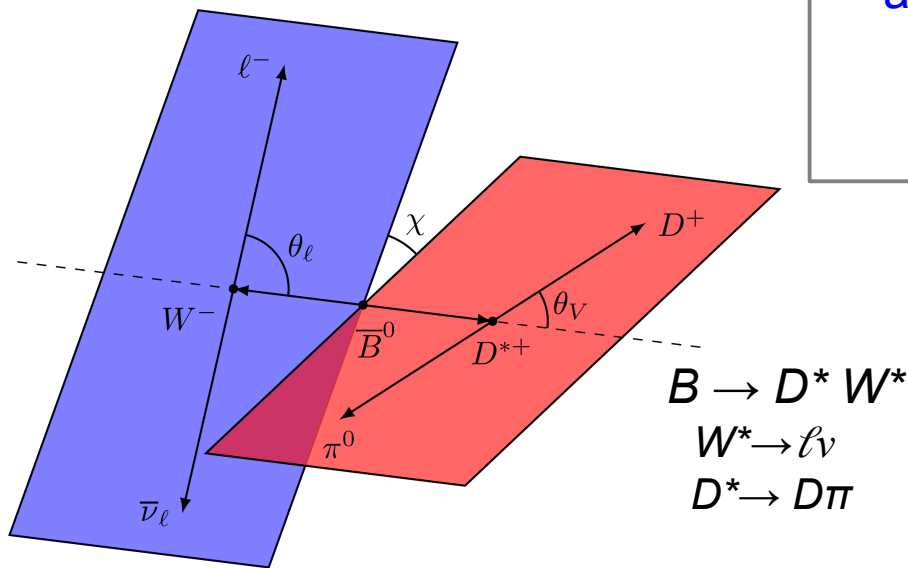
# $|V_{cb}|$ with exclusive $B \rightarrow D^{(*)} \ell \nu$

- $B \rightarrow D \ell \nu$  and  $B \rightarrow D^* \ell \nu$  provide clean way to extract  $|V_{cb}|$



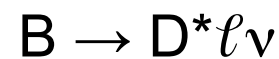
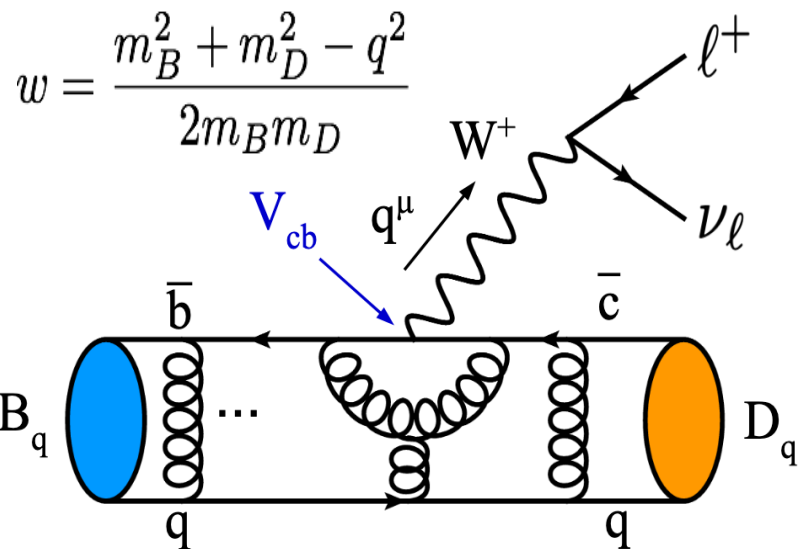
$$\frac{d\Gamma(B \rightarrow D^{(*)} \ell \bar{\nu})}{dw} \propto \eta_{EW}^2 |V_{cb}|^2 \begin{cases} (w^2 - 1)^{1/2} F^2(w) & \mathbf{B \rightarrow D^*} \\ (w^2 - 1)^{3/2} G^2(w) & \mathbf{B \rightarrow D} \end{cases}$$

- $B \rightarrow D \ell \nu$  decay is described by  $w$ 
  - $\ell = e, \mu$  only one form factor is needed
- $B \rightarrow D^* \ell \nu$  with  $D \rightarrow D\pi/\gamma$ : requires  $w$  and helicity angles  $\{\theta_\ell, \theta_V, \chi\}$ 
  - $\ell = e, \mu$  three form factors are required



# $|V_{cb}|$ with exclusiv

- $B \rightarrow D\ell\nu$  and  $B \rightarrow D^*\ell\nu$  provide



Unquenched lattice FF calculation available only at zero-recoil

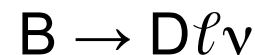
HPQCD, Phys.Rev.D97, 054502 (2018)

$$F(1) = 0.895 \pm 0.026$$

MILC/FNAL Phys.Rev.D89, 115404 (2014)

$$F(1) = 0.906 \pm 0.013$$

Ongoing calculations at large recoil by various lattice groups



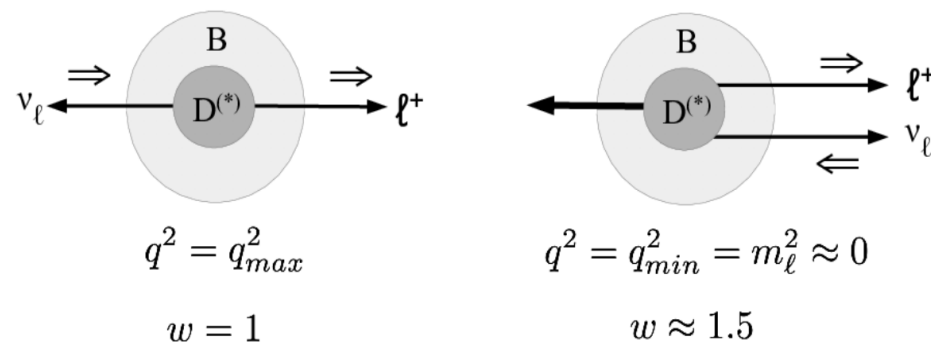
Unquenched lattice FF calculation also at moderately large recoil

MILC/FNAL Phys.Rev.D92, 034506 (2015)

HPQCD Phys.Rev.D92, 054510 (2015)

- FF normalization requires non perturbative QCD calculations

- ▶ Lattice-QCD reliables close to zero-recoil  $w \sim 1$
- ▶ LCSR reliables at  $w \sim w_{\max}$





# $|V_{cb}|$ and Form Factors parameterizations

- Phase space is reduced to 0 in the zero-recoil region
- Need an extrapolation to  $w=1$  which has to rely on a form factor parameterization

- BGL **Boyd, Grinstein, Lebed Phys.Rev.Lett 74, 4603 (1995)**

- Generic parameterization with minimal theoretical assumptions

$$f_i(z) = \frac{1}{P_i(z)\phi_i(z)} \sum_{n=0}^N a_{i,n} z^n, \quad z(w) = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}}$$

Coefficient  $a_{i,n}$  are free parameters to a certain order

The unitarity and analyticity of the FFs assure bounds on the coefficients

$$\sum_{n=0}^{\infty} a_{i,n}^2 \leq 1$$

- CLN **Caprini, Lellouch, Neubert Nucl.Phys.B530, 153 (1998)**

$B \rightarrow D^* \ell \nu$

- Additional assumptions to reduced the number of parameters

- $F(1) = h_{A_1}(1)$

- $R_1$  and  $R_2$  are ratios of FFs

$$h_{A_1}(w) = h_{A_1}(1) [1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3],$$

$$R_1(w) = R_1(1) - 0.12(w-1) + 0.05(w-1)^2$$

$$R_2(w) = R_2(1) + 0.11(w-1) - 0.06(w-1)^2$$



- Based on  $711 \text{ fb}^{-1}$
- Using  $D^{*-} \rightarrow D^0 \pi_s, D^0 \rightarrow K \pi$
- Requiring a well reconstructed lepton
  - $P_e > 0.80 \text{ GeV}$
  - $P_\mu > 0.85 \text{ GeV}$

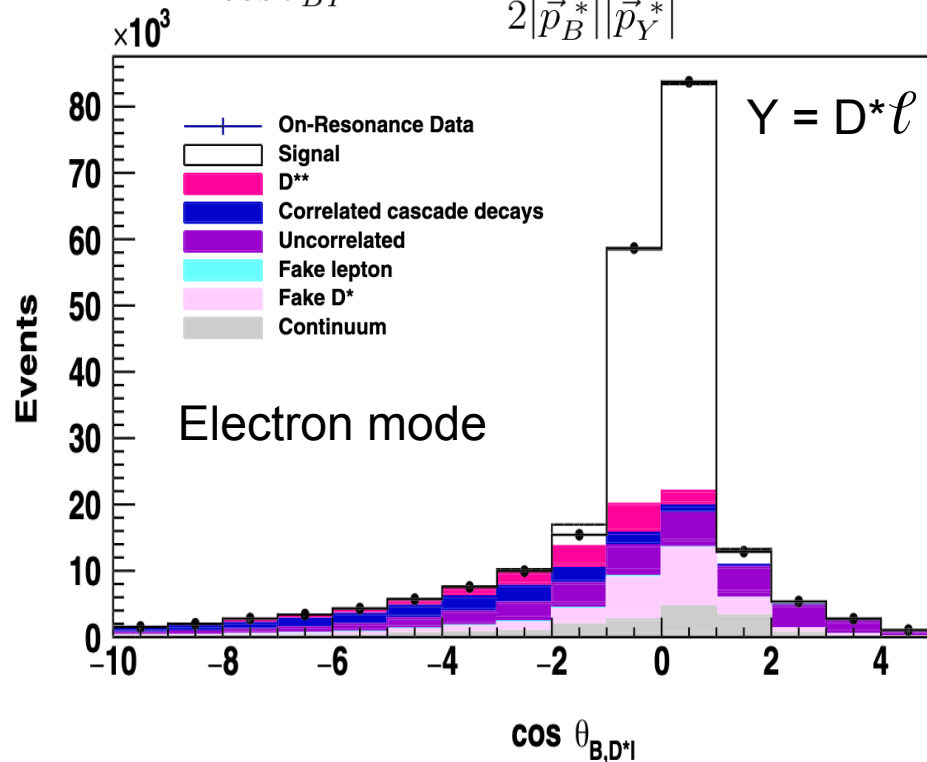
$$N(B \rightarrow D^* e \nu_e) = 91 \cdot 10^3$$

$$N(B \rightarrow D^* \mu \nu_\mu) = 90 \cdot 10^3$$

Purity  $\sim 80\%$

- Signal yields extracted from a 3D fit of

$$\cos \theta_{BY} = \frac{2E_B^* E_Y^* - m_B^2 - m_Y^2}{2|\vec{p}_B^*| |\vec{p}_Y^*|}$$



$$\cos \theta_{BY}$$

$$\Delta M = m(D^*) - m(D)$$

$$P_\ell$$

- In 40 bins in  $w, \theta_\ell, \theta_\nu, \chi$

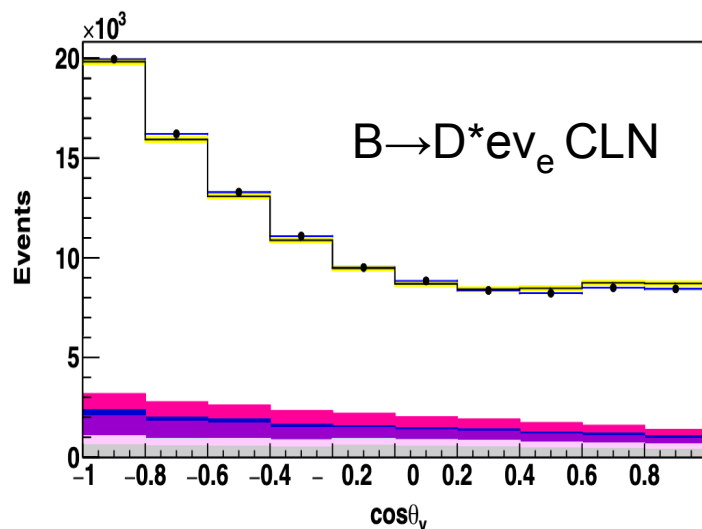
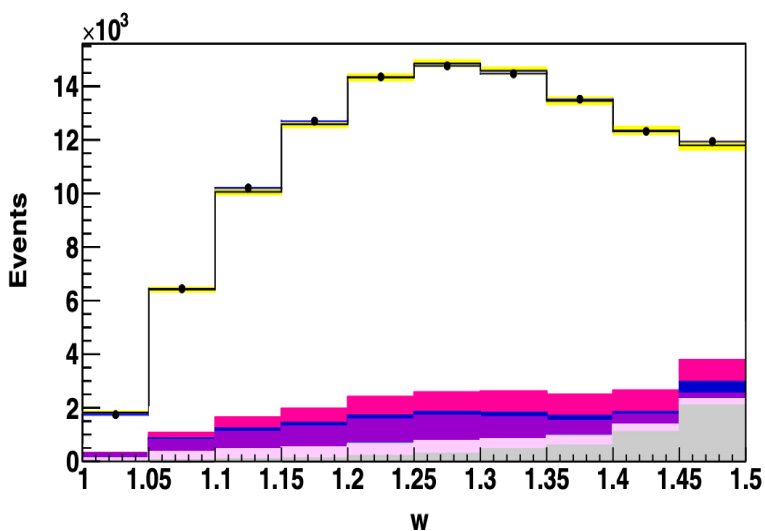
(10 bins for each projection)

Computation of kinematic quantities requires the knowledge of the B direction  $\rightarrow$  estimated by  $\cos \theta_{BY}$  and information from the rest of the event

- Simultaneous fit of 1D projections of  $w$ ,  $\theta_\ell$ ,  $\theta_\nu$ ,  $\chi$

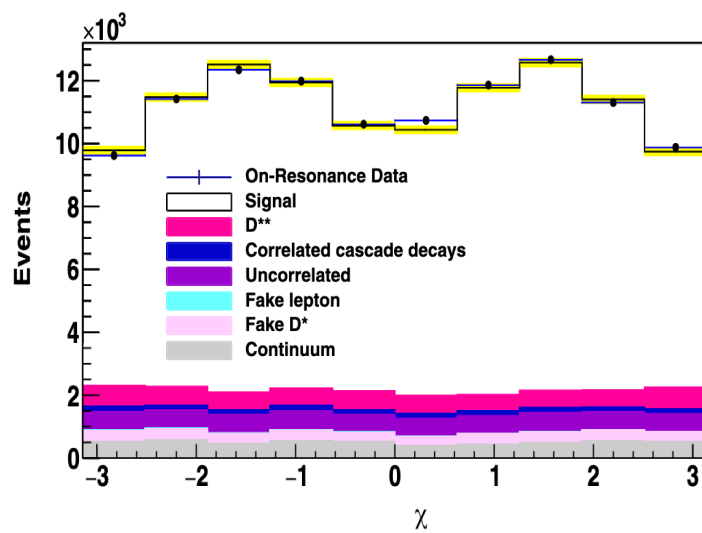
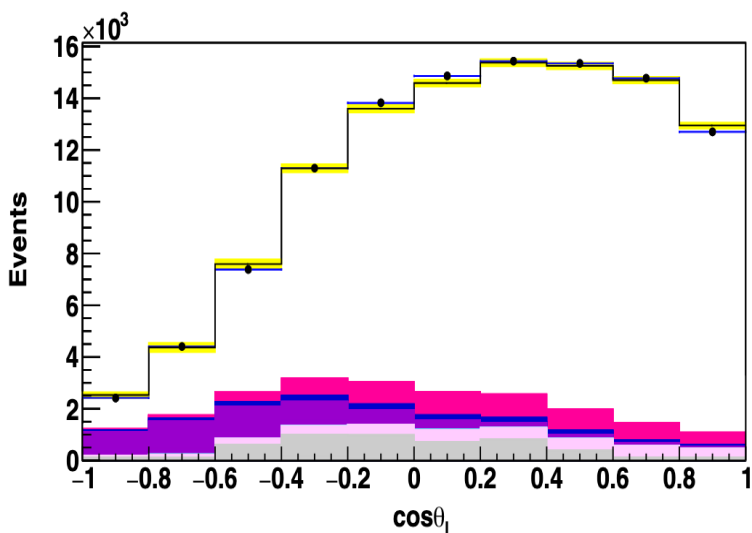
- CLN:  $F(1)|V_{cb}| + 3$  f.f. parameters  $\rho^2$ ,  $R_1(1)$ ,  $R_2(1)$

- BGL<sup>(120)</sup>:  $|V_{cb}|$  and 5 f.f. coefficients,  $a_0^f$ ,  $a_1^f$ ,  $a_1^{F1}$ ,  $a_2^{F1}$ ,  $a_0^g$



Correlations across the 4 dimensions evaluated from the overlapping events between each pair of bins  
The 40x40 covariance matrix is properly accounted in the  $\chi^2$

Good  $\chi^2/\text{ndf}$  for both CLN and BGL



$$\frac{\mathcal{B}(B^0 \rightarrow D^{*-} e^+ \nu)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu)} =$$

$$1.01 \pm 0.01 \pm 0.03$$

$$|V_{cb}|_{CLN} = (38.4 \pm 0.2 \pm 0.6 \pm 0.6) \times 10^{-3}$$

$$\text{FNAL/MILC } F(1) = 0.906 \pm 0.013$$

$$|V_{cb}|_{BGL} = (38.3 \pm 0.2 \pm 0.7 \pm 0.6) \times 10^{-3}$$

$$\rho^2 = 1.106 \pm 0.031 \pm 0.007$$

$$R_1(1) = 1.229 \pm 0.028 \pm 0.009$$

$$R_2(1) = 0.852 \pm 0.021 \pm 0.006$$

$$\tilde{a}_0^f \times 10^3 = -0.506 \pm 0.004 \pm 0.008,$$

$$\tilde{a}_1^f \times 10^3 = -0.65 \pm 0.17 \pm 0.09,$$

$$\tilde{a}_1^{F_1} \times 10^3 = -0.270 \pm 0.064 \pm 0.023,$$

$$\tilde{a}_2^{F_1} \times 10^3 = +3.27 \pm 1.25 \pm 0.45,$$

$$\tilde{a}_0^g \times 10^3 = -0.929 \pm 0.018 \pm 0.013,$$

- Consistent results between CLN and BGL
- With additional parameters free in the BGL
  - The unitarity constraints are violated
  - Large correlations between parameters cause fit instability

- Spectrum is not unfolded, but information about bin-bin migration matrix and efficiency maps are available for independent fits



- Analysis based on  $426 \text{ fb}^{-1}$  at  $Y(4S)$

$$\Upsilon(4S) \rightarrow B_{tag} B_{sig} (\rightarrow D^* \ell \nu_\ell)$$

- Hadronic B-tagging

- Suppress continuum  $e^+e^- \rightarrow q\bar{q}$  and combinatorial background
- Improve the resolution on the kinematics of the signal decay

- Boost kinematics in the  $B_{sig}$  rest frame
- Increase the signal/background separation

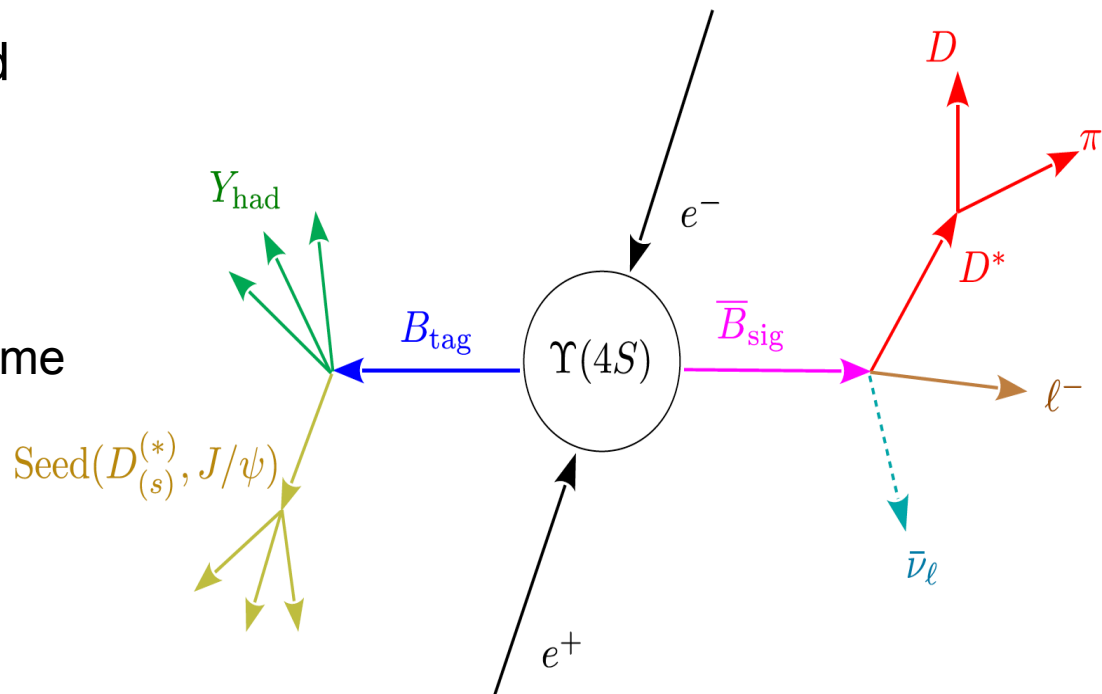
- Improved  $B_{tag}$  algorithm used also in

- $B \rightarrow D^{(*)} \pi \pi \ell \nu$  PRL 116 (2016) 041801
- $R(D) - R(D^*)$  PRL 109 (2012) 101802

- Full exclusive event topology reconstructed: no additional tracks

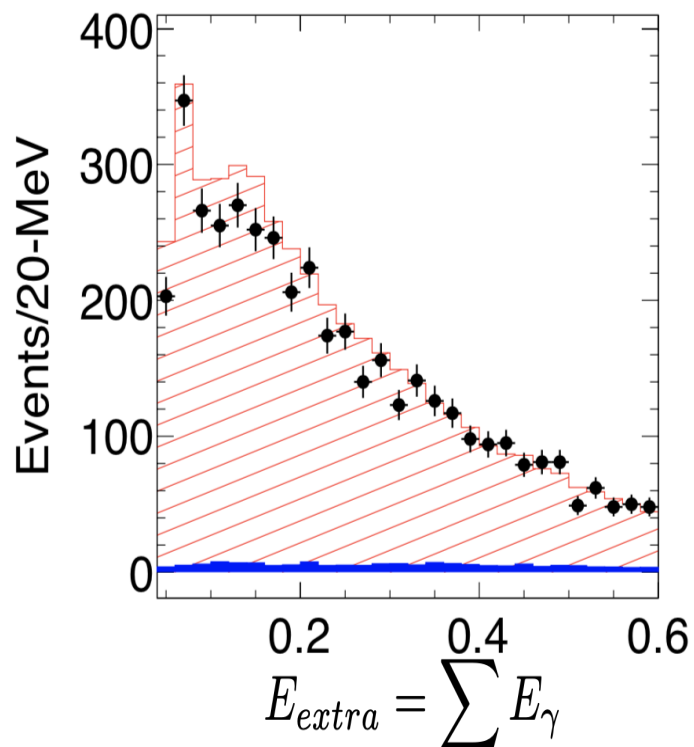
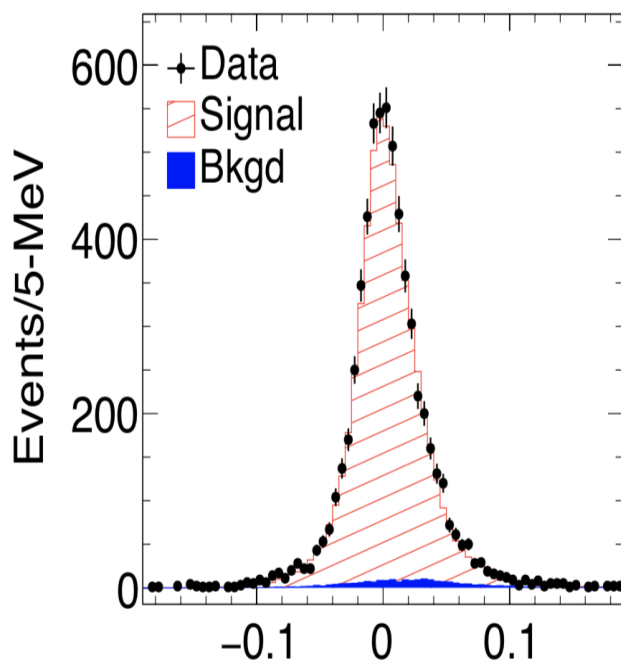
- $B_{tag}^0$  &  $B^0 \rightarrow D^{*-} \ell^+ \nu$ , with  $D^{*-} \rightarrow D^0 \pi^-$ ,  $\ell = e, \mu$
- $B_{tag}^-$  &  $B^+ \rightarrow D^{*0} \ell^+ \nu$ , with  $D^{*0} \rightarrow D^0 \pi^0$ ,  $\ell = e, \mu$

- $D^0$  reconstructed in the cleanest mode:  $K^- \pi^+$ ,  $K^- \pi^+ \pi^0$ ,  $K^- \pi^+ \pi^- \pi^+$





- Kinematic fit of the full event topology:  $e^+e^- \rightarrow Y(4S) \rightarrow B_{\text{tag}} \&\& B \rightarrow D^* \ell \nu$ 
  - Mass constraint:  $B_{\text{tag}}, B_{\text{sig}}, D, D^*, \nu$
  - Vertex constraint: beam spot, secondary vertices
  - Probability of the  $\chi^2$  of the kinematic fit used as discriminating variable
  - Event further cleaned requiring  $E_{\text{extra}} < 0.4-0.6$  GeV (depending on the mode)



Requiring  $|U| < 90$  MeV

- 6112 total candidates
- signal purity 98%
- the background of 2% is all due to BB events

# FF parameters and $|V_{cb}|$

- Form factors parameters extracted from an unbinned Maximum Likelihood fit using the full 4D differential rate

$$\frac{d\Gamma}{dq^2 d\Omega}$$

- BF( $B^0 \rightarrow D^* \ell^+ \nu$ ) included as gaussian constraints in the likelihood to extract  $|V_{cb}|$

$$\Gamma_{tot} \equiv \int \frac{d\Gamma}{dq^2 d\Omega} dq^2 d\Omega = \frac{BF(B \rightarrow D^* \ell \nu)}{\tau_B}$$

• CLN

$\rho_{D^*}^2$	$R_1(1)$	$R_2(1)$	$ V_{cb}  \times 10^3$
$0.96 \pm 0.08$	$1.29 \pm 0.04$	$0.99 \pm 0.04$	$38.40 \pm 0.84$

• BGL<sup>(111)</sup>

$a_0^f \times 10^2$	$a_1^f \times 10^2$	$a_1^{F_1} \times 10^2$	$a_0^g \times 10^2$	$a_1^g \times 10^2$	$ V_{cb}  \times 10^3$
$1.29 \pm 0.03$	$1.63 \pm 1.00$	$0.03 \pm 0.11$	$2.74 \pm 0.11$	$8.33 \pm 6.67$	$38.36 \pm 0.90$

- Higher order terms have large uncertainties and violate unitarity

- BGL and CLN give consistent  $|V_{cb}|$  results
  - Result consistent with HFLAV average (based on CLN)
  - Form Factor parameters not included in HFLAV yet

# Comments on $B \rightarrow D^* l \nu$ measurements

- Most precise previous measurements from BaBar and Belle



Phys.Rev.D77:032002(2008)



Phys.Rev.D100:052007(2019)

- Untagged sample: high efficiency but higher background and unconstrained kinematics
- Fit the projections to the 4-dimensions  $w, \theta_\ell, \theta_V, \chi$ 
  - Strong statistical correlation between the bins in the various projections need to be considered
  - Reduced sensitivity to form factors shapes

- The BaBar measurement with only  $1/20^{\text{th}}$  of the signal yield achieved a sensitivity to form factors similar to Belle analysis

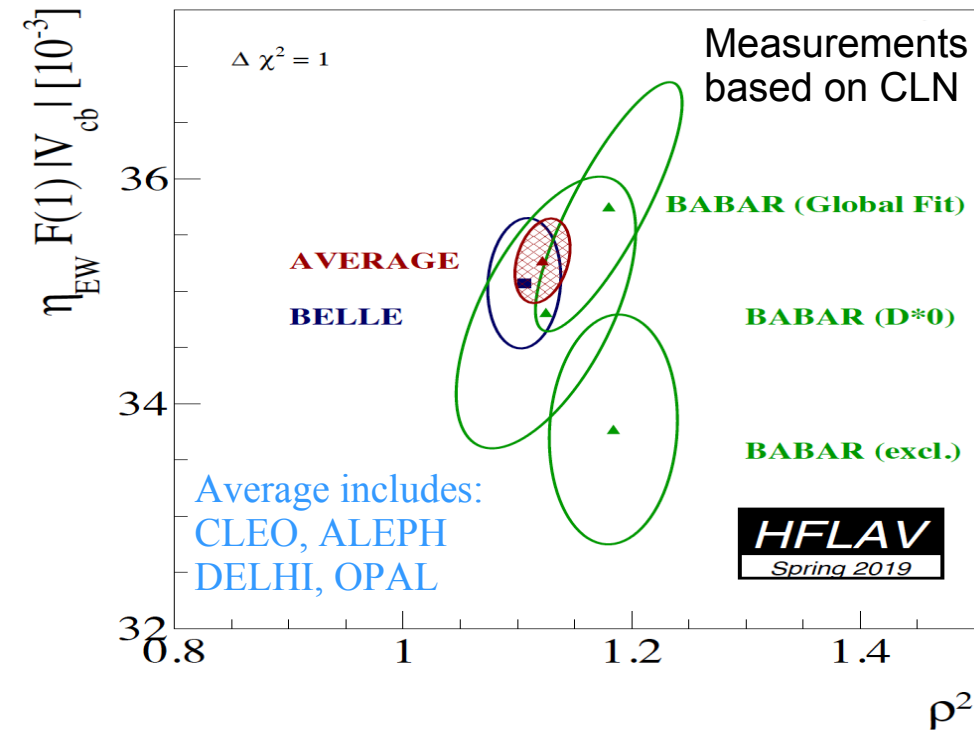
- Tagged sample: better resolutions, smaller background, 4-D fit to  $q^2, \theta_\ell, \theta_V, \chi$

- Caveat: for a  $|V_{cb}|$  measurement, the hadronic B-tagging suffers from systematics due to calibration of the  $B_{\text{tag}}$  efficiencies



# HFLAV Average

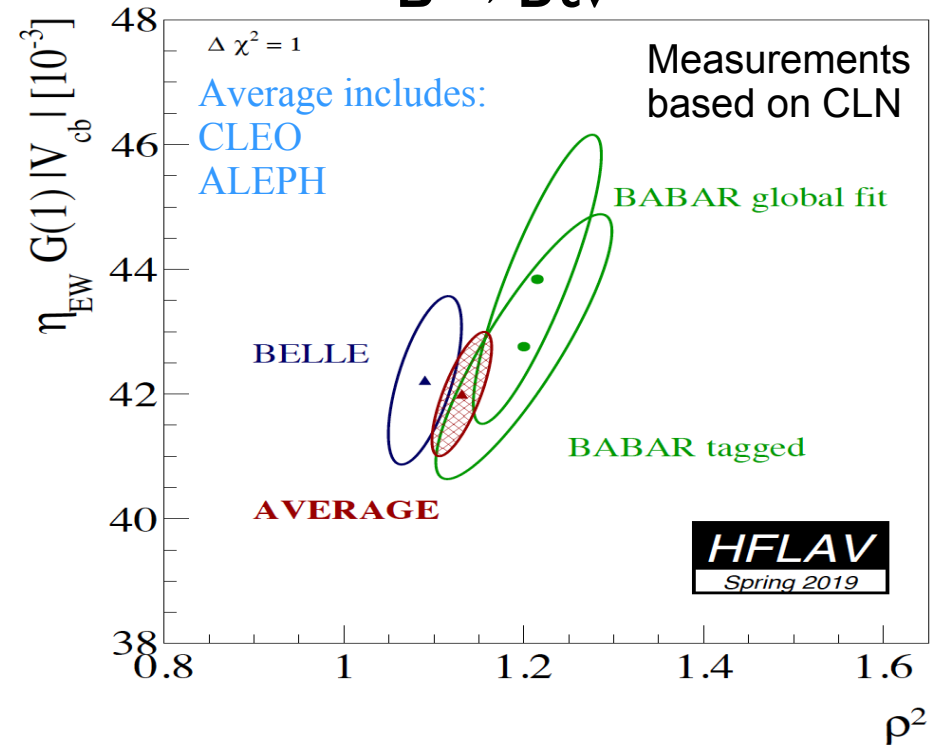
**B → D\*ℓν**



$$\begin{aligned} \eta_{EW} \mathcal{F}(1) |V_{cb}| &= (35.27 \pm 0.38) \times 10^{-3} \\ \rho^2 &= 1.122 \pm 0.024 \\ R_1(1) &= 1.270 \pm 0.026 \\ R_2(1) &= 0.852 \pm 0.018 \end{aligned}$$

$$|V_{cb}| = (38.76 \pm 0.42_{exp} \pm 0.55_{th}) \cdot 10^{-3}$$

**B → Dℓν**



$$\begin{aligned} \eta_{EW} \mathcal{G}(1) |V_{cb}| &= (42.00 \pm 1.00) \times 10^{-3} \\ \rho^2 &= 1.131 \pm 0.033 \end{aligned}$$

$$|V_{cb}| = (39.58 \pm 0.94_{exp} \pm 0.37_{th}) \cdot 10^{-3}$$

# $B_s$ semileptonic decays

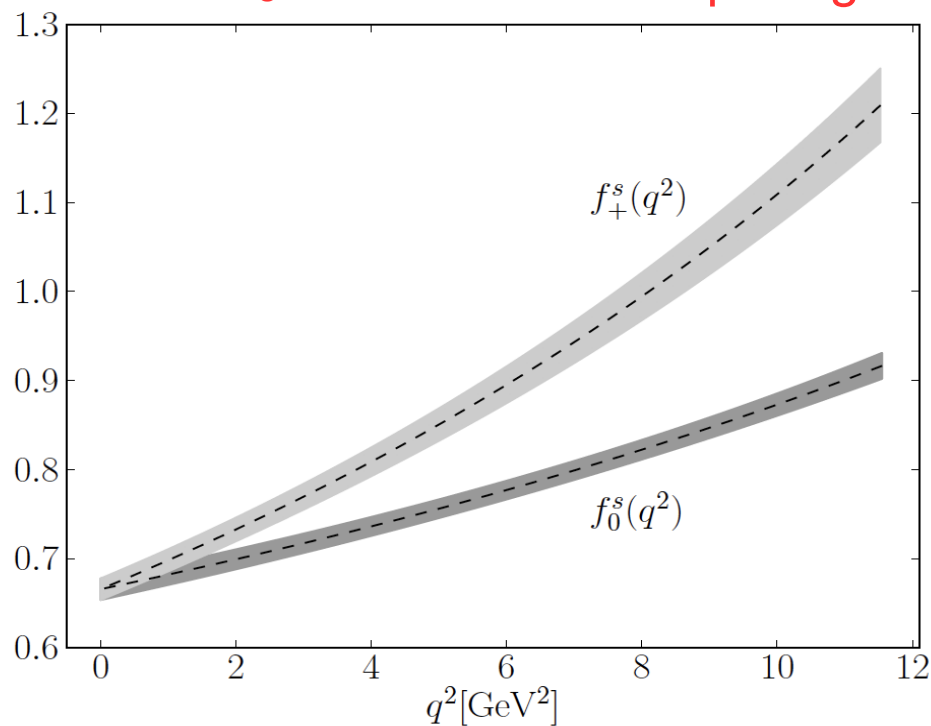
- Complementary measurements to those from  $B^0$  and  $B^+$
- Advantages:



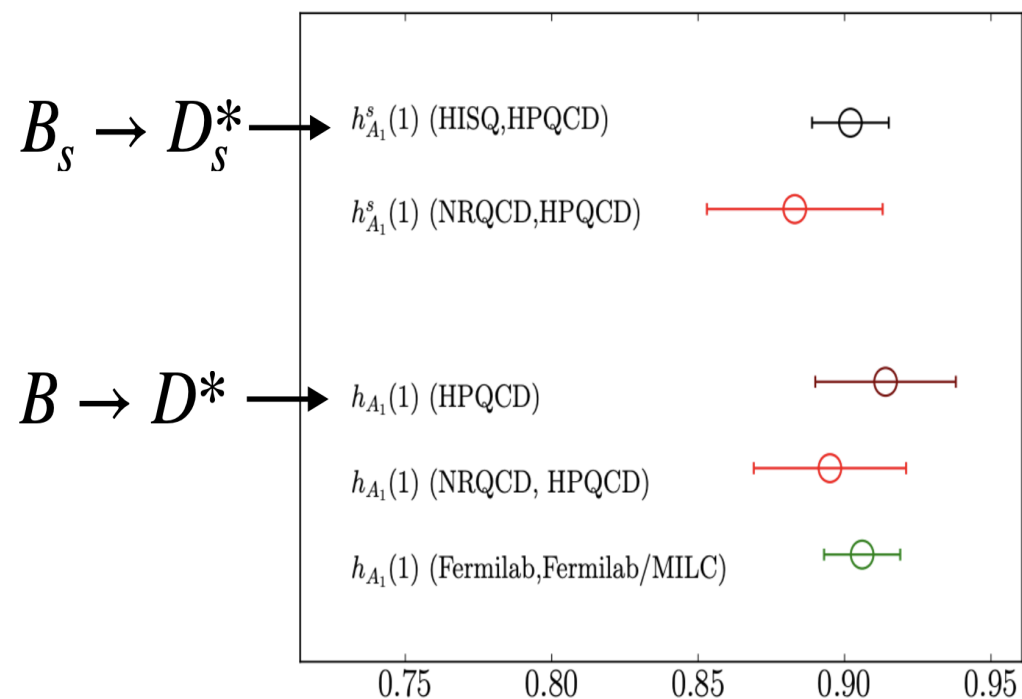
Golden modes  
for Lattice-QCD

- Lattice calculations easier due to heavier spectator quark: predictions are more precise
- For the  $B_s \rightarrow D_s^*$ : the *zero-width* approximation of the  $D_s^*$  should work better than the  $B$  case (no  $D\pi$  pollution)

HPQCD, PRD 101 (2020) 7, 074513  
 $B^0 \rightarrow D_s \ell^+ \nu$  FF in the full  $q^2$  range



HPQCD, PRD 99 (2019), 114512



- Based on Run1,  $3\text{fb}^{-1}$
- Extract  $|V_{cb}|$  from  $B_s$  decays  $B_s \rightarrow D_s^- \mu \nu$  and  $B_s \rightarrow D_s^{*-} \mu \nu$
- Normalized to  $B^0 \rightarrow D^- \mu \nu$  and  $B^0 \rightarrow D^{*-} \mu \nu$ 
  - The  $\text{BF}(B^0 \rightarrow D^{(*)-} \mu \nu)$  are known well from B-Factories

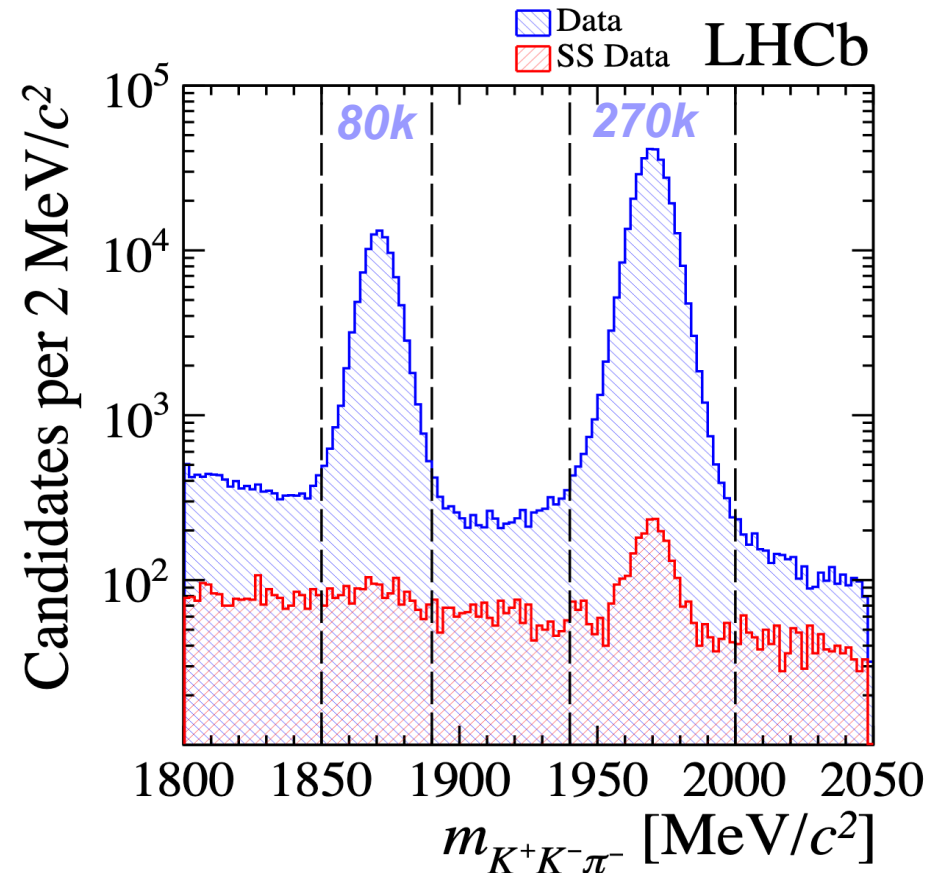
$$\mathcal{R} \equiv \frac{\mathcal{B}(B_s^0 \rightarrow D_s^- \mu^+ \nu_\mu)}{\mathcal{B}(B^0 \rightarrow D^- \mu^+ \nu_\mu)}$$

$$\mathcal{R}^* \equiv \frac{\mathcal{B}(B_s^0 \rightarrow D_s^{*-} \mu^+ \nu_\mu)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)}$$

- The  $D^-$  and the  $D_s^-$  are reconstructed in the same final state  $D_{(s)}^- \rightarrow K^- K^+ \pi^-$

- Decrease the systematic uncertainties: same particles and similar kinematic in the final state

- Only the  $D^- \mu \nu$  and  $D_s^- \mu \nu$  samples are reconstructed
  - The  $D_s^- \mu \nu$  and the  $D_s^{*-} \mu \nu$  components (and  $D^- \mu \nu$  and  $D^{*-} \mu \nu$ ) are separated kinematically

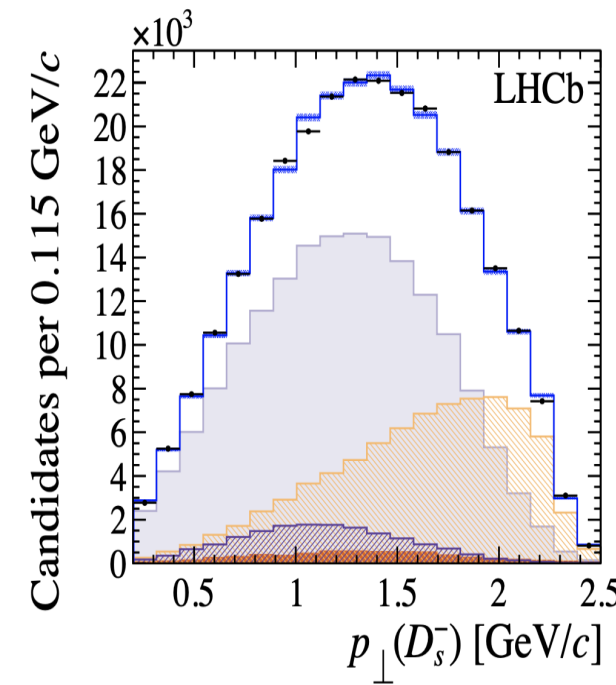
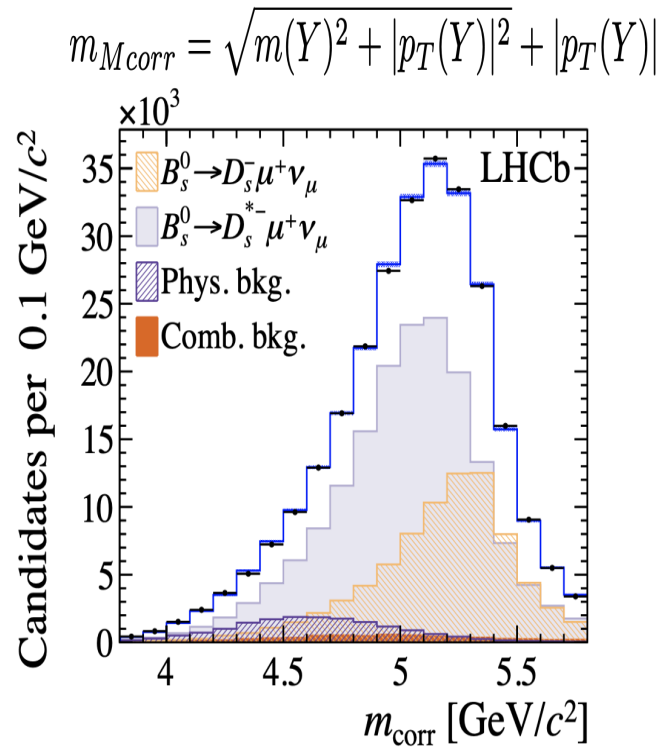
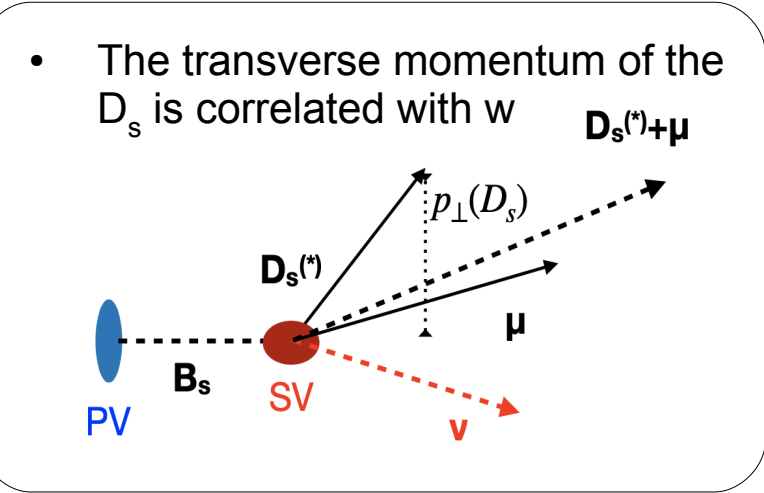


$$\mathcal{R} \equiv \frac{\mathcal{B}(B_s \rightarrow D_s \mu \nu)}{\mathcal{B}(B^0 \rightarrow D^- \mu \nu)} = \frac{N_s}{N_d} \cdot \frac{\epsilon_d}{\epsilon_s} \cdot \frac{f_d}{f_s} \cdot \frac{\mathcal{B}(D^- \rightarrow KK\pi)}{\mathcal{B}(D_s \rightarrow KK\pi)}$$

External inputs  
 $f_s/f_d$  from PRD(2019)031102  
 BFs from PDG

More details in the dedicated talk by Dawid Gerstel on June 10<sup>th</sup> /parallel session on B-anomalies

- $N_s$  is written in terms of  $|V_{cb}|$  and the form factors
- 2-D template fit to  $m_{corr}$  and  $p_{\perp}(D_s)$  identify the signal yields and provides a simultaneous measurement of the ratios  $R(^*)$  and the form factors



$$|V_{cb}|_{\text{CLN}} = (41.4 \pm 0.6(\text{stat}) \pm 0.9(\text{syst}) \pm 1.2(\text{ext})) \times 10^{-3}$$

$$|V_{cb}|_{\text{BGL}} = (42.3 \pm 0.8(\text{stat}) \pm 0.9(\text{syst}) \pm 1.2(\text{ext})) \times 10^{-3}$$



First measurement of  $|V_{cb}|$  using  $B_s$



First measurement of  $|V_{cb}|$  in an  
hadronic environment

- The results are consistent between CLN and BGL
- Compatible with world average for both inclusive and exclusive determinations
- Uncertainty not competitive with b-factories: limited by knowledge of  $f_s/f_d$



The approach can be applied also to  $B^0$  decays!



$$|V_{cb}|_{\text{CLN}} = (41.4 \pm 0.6(\text{stat}) \pm 0.9(\text{syst}) \pm 1.2(\text{ext})) \times 10^{-3}$$

$$|V_{cb}|_{\text{BGL}} = (42.3 \pm 0.8(\text{stat}) \pm 0.9(\text{syst}) \pm 1.2(\text{ext})) \times 10^{-3}$$



First measurement of  $|V_{cb}|$  using  $B_s$

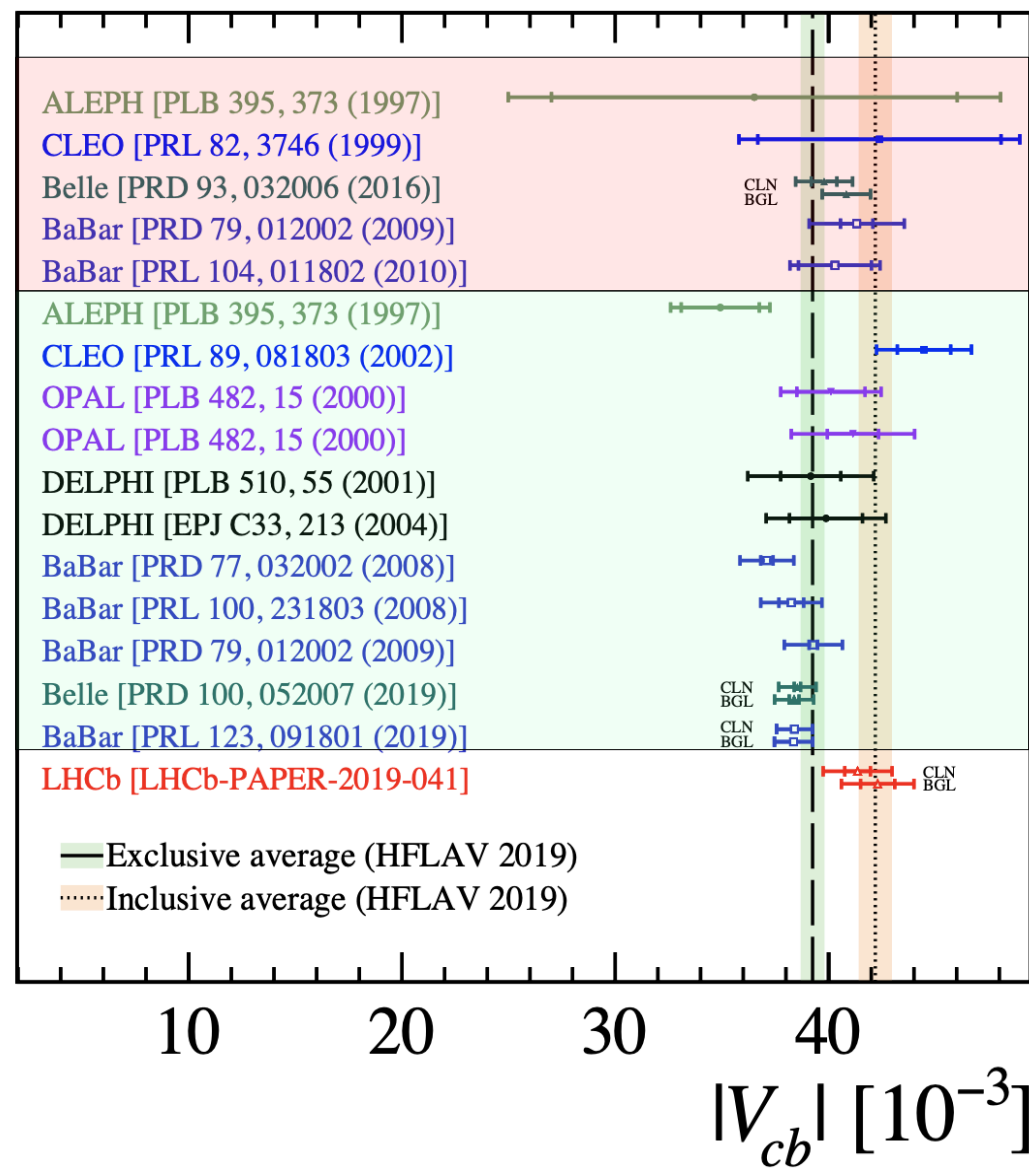


First measurement of  $|V_{cb}|$  in an hadronic environment

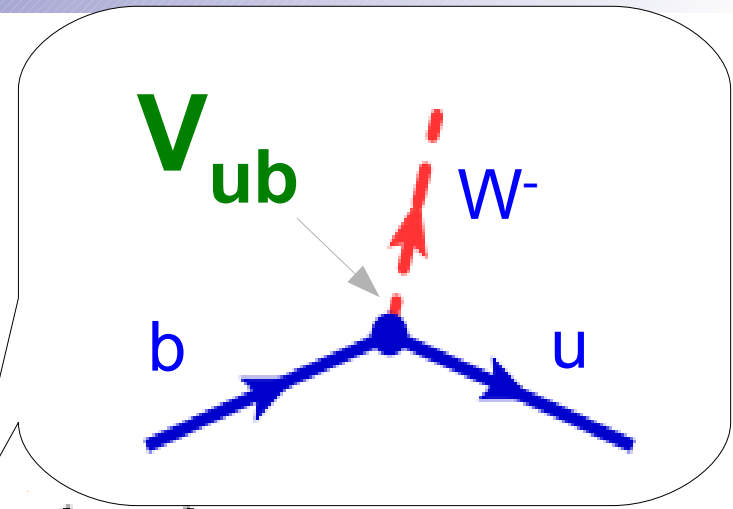
- The results are consistent between CLN and BGL
- Compatible with world average for both inclusive and exclusive determinations
- Uncertainty not competitive with b-factories: limited by knowledge of  $f_s/f_d$



The approach can be applied also to  $B^0$  decays!



$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$





# The state of the art at the B-Factories

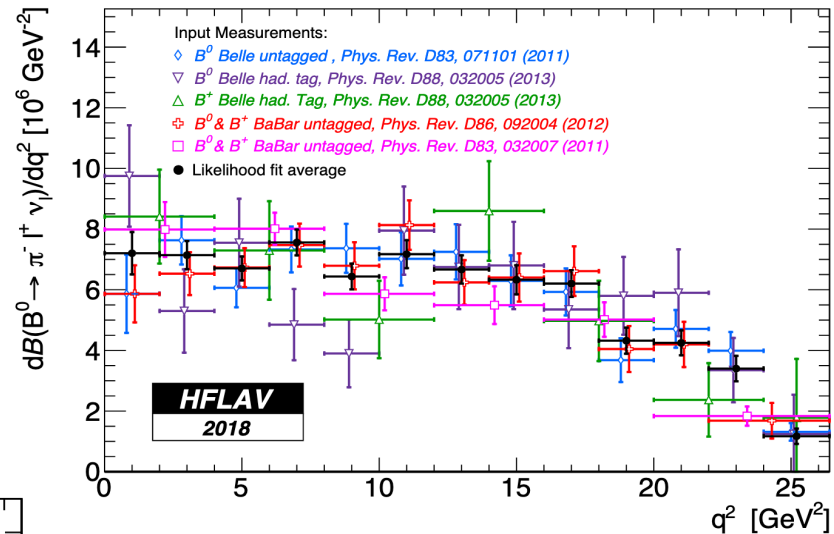
$$B^0 \rightarrow \pi^+ \ell^- \bar{\nu}$$

$$B^- \rightarrow \pi^0 \ell^- \bar{\nu}$$



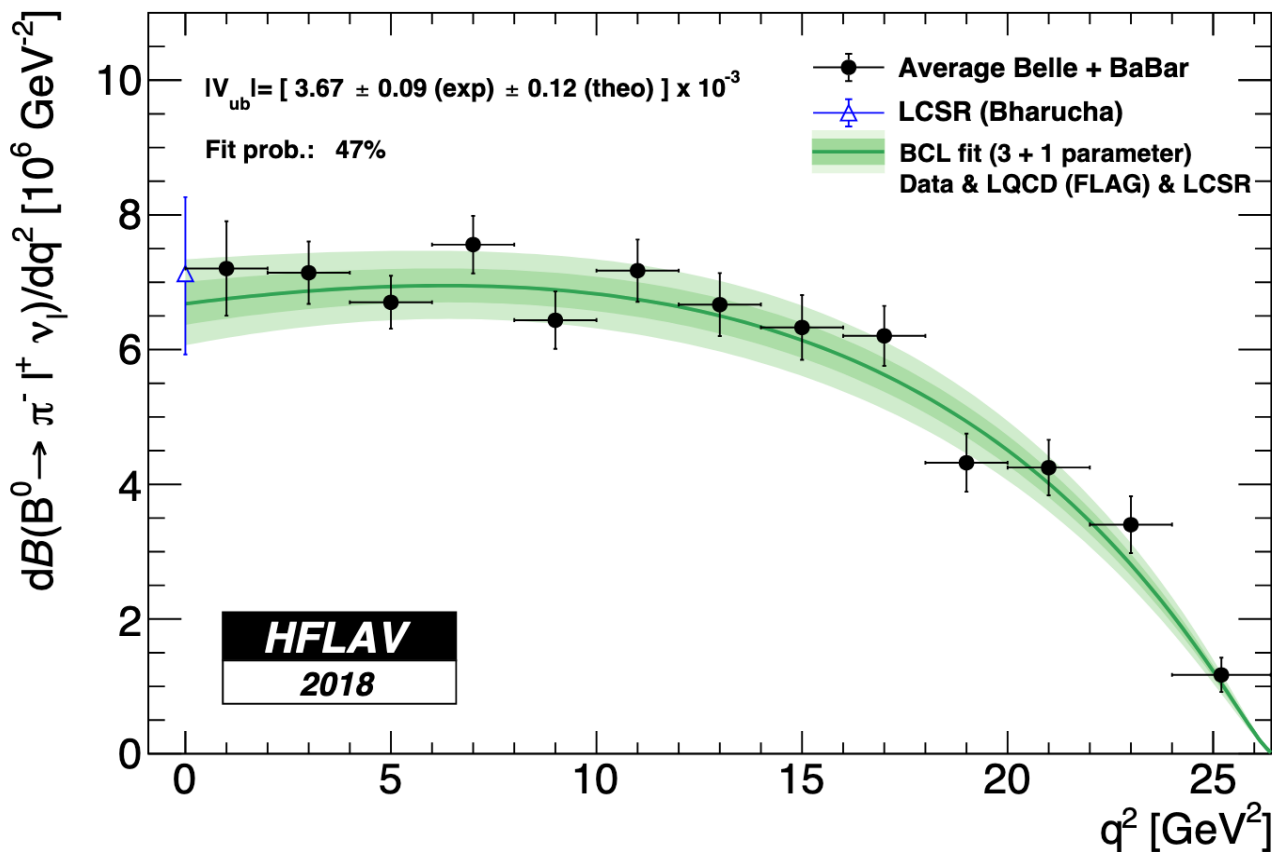
Using both tagged and untagged approaches

Untagged provide most precise results



Results of the HFLAV combined fit of the experimental data and theoretical inputs:

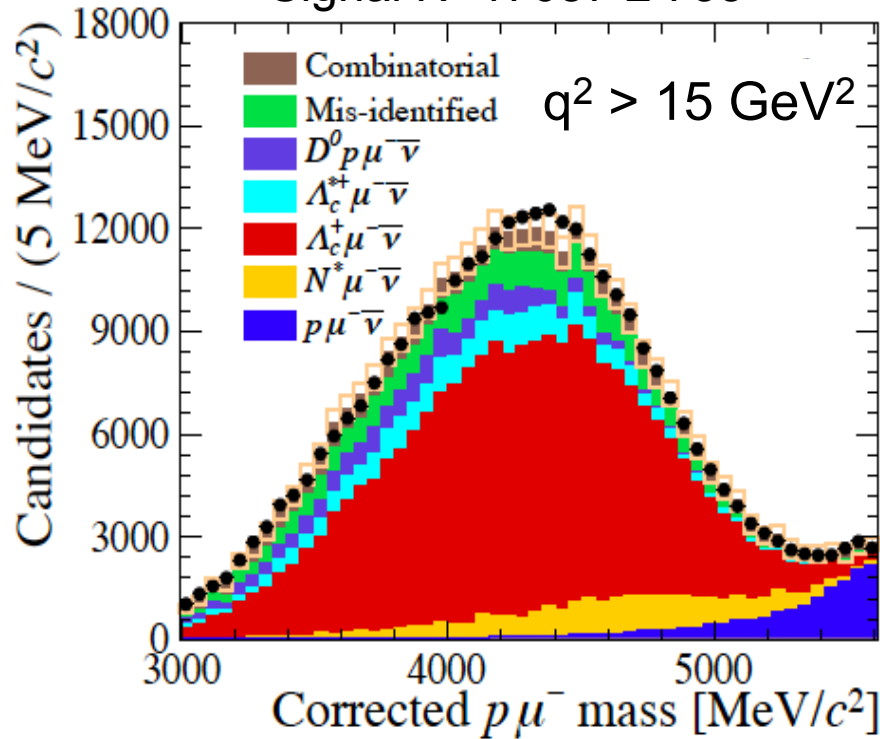
- Lattice QCD (HPQCD, MILC/FNA) at high  $q^2$
- Light Cone Sum Rules at low  $q^2$



$$|V_{ub}| = (3.67 \pm 0.09_{exp.} \pm 0.12_{th.}) \cdot 10^{-3}$$

- The b-baryon decays provided complementary information to B mesons
- Using Run1 (2 fb<sup>-1</sup>)
  - Large background from  $\Lambda_b \rightarrow \Lambda_c \mu \nu$
  - LHCb determines the ratio

Signal N=17687 ± 733



$$R_{exp} = \frac{\mathcal{B}(\Lambda_b \rightarrow p \mu \nu)}{\mathcal{B}(\Lambda_b \rightarrow \Lambda_c \mu \nu)}$$

Signal  $q^2 > 15 \text{ GeV}^2$   
 Normalization  $q^2 > 7 \text{ GeV}^2$

$$R_{exp} = (0.92 \pm 0.04_{stat} \pm 0.07_{syst}) \times 10^{-2}$$

$$\frac{V_{ub}}{V_{cb}} = \sqrt{\frac{R_{exp}}{R_{TH}}}$$

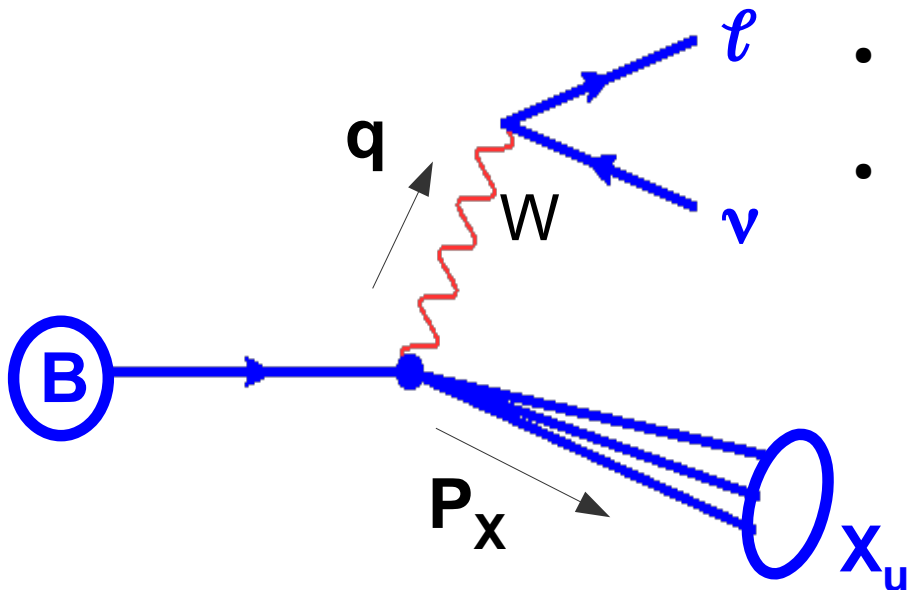
Detmold et al. PRD92 (1015) 034503

$$\frac{|V_{ub}|}{|V_{cb}|} = 0.079 \pm 0.004_{exp} \pm 0.004_{F.F}$$

- First measurement of  $\Lambda_b \rightarrow p \mu \nu$
- First  $|V_{ub}|$  measurement in hadronic environments

# $|V_{ub}|$ from inclusive decays

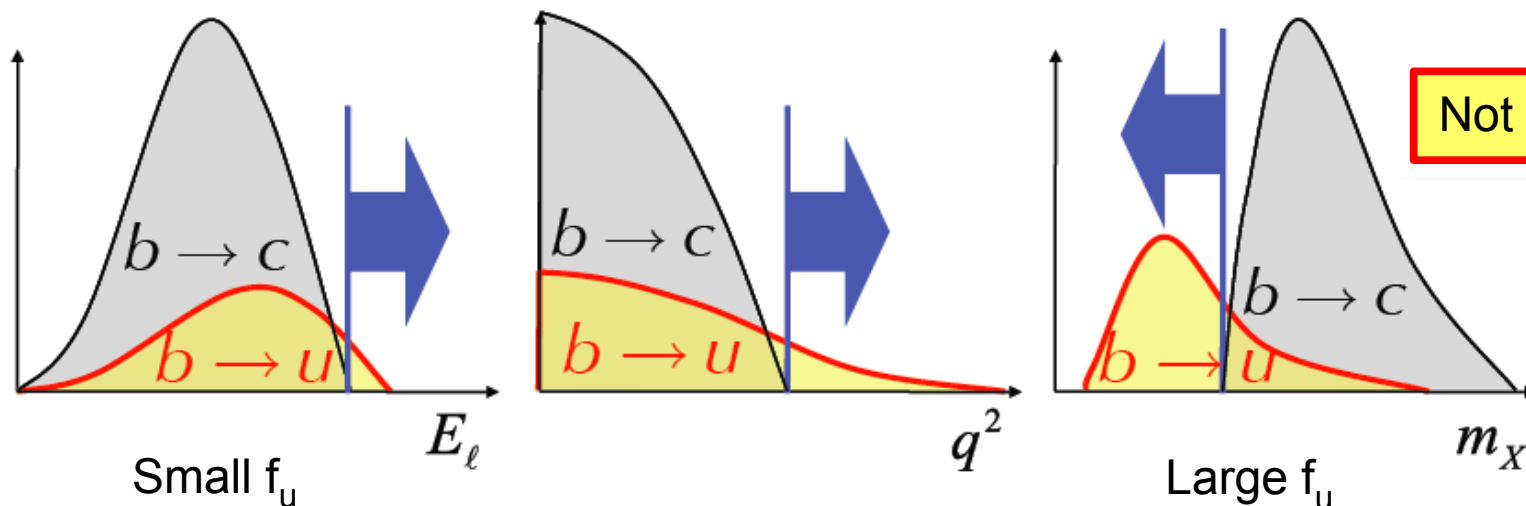
$$\frac{\Gamma(b \rightarrow cl\nu)}{\Gamma(b \rightarrow ul\nu)} \approx 50$$



- Large background from  $B \rightarrow X_c l \nu$
- Kinematics to extract the signal:  $m_u \ll m_c$ 
  - Cut limited region of phase space ( $f_u$ )
    - Non perturbative shape-function needed
    - Universal only at leading order in  $\Lambda/m_b$

$E_\ell$  = lepton energy  
 $q^2 = (P_B - P_X)^2 = (P_\ell - P_\nu)^2$   
 $M_X = X_u$  hadronic mass

$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(\bar{B} \rightarrow X_u l \bar{\nu})}{\tau_B \Delta\Gamma_{\text{theory}}}}$$



Experimental resolution leads to “irreducible”  $b \rightarrow cl\nu$  contamination  
 - partially suppressed with K and  $D^*$  vetos

# $|V_{ub}|$ from inclusive decays

$$\frac{\Gamma(b \rightarrow cl\nu)}{\Gamma(b \rightarrow ul\nu)} \approx 50$$

- Large background from  $B \rightarrow X_c \ell \nu$

DN De Fazio, Neubert JHEP9905,017 (1999)

Claimed in BLNP to be superseded

BLNP Bosh, Lange, Neubert, Paz,

Nucl.Phys.B699,335(2004)

GGOU Gambino, Giordano, Ossola, Uraltsev,

JHEP908 10, 058 (2007)

DGE Andersen, Gardi, JHEP 0601, 097 (2006)

ADFR Aglietti, Di Ludovico, Ferrara, Ricciardi

EPJC, Vol. 59 (2009)

BLL Bauer, Ligeti, Luke Phys. Rev. D64,113004 (2001)

Only valid in the  $m_X$ - $q^2$  two-dimensions cut

Inputs on  $m_b$  and non perturbative parameters, from the global fit of inclusive  $|V_{cb}|$

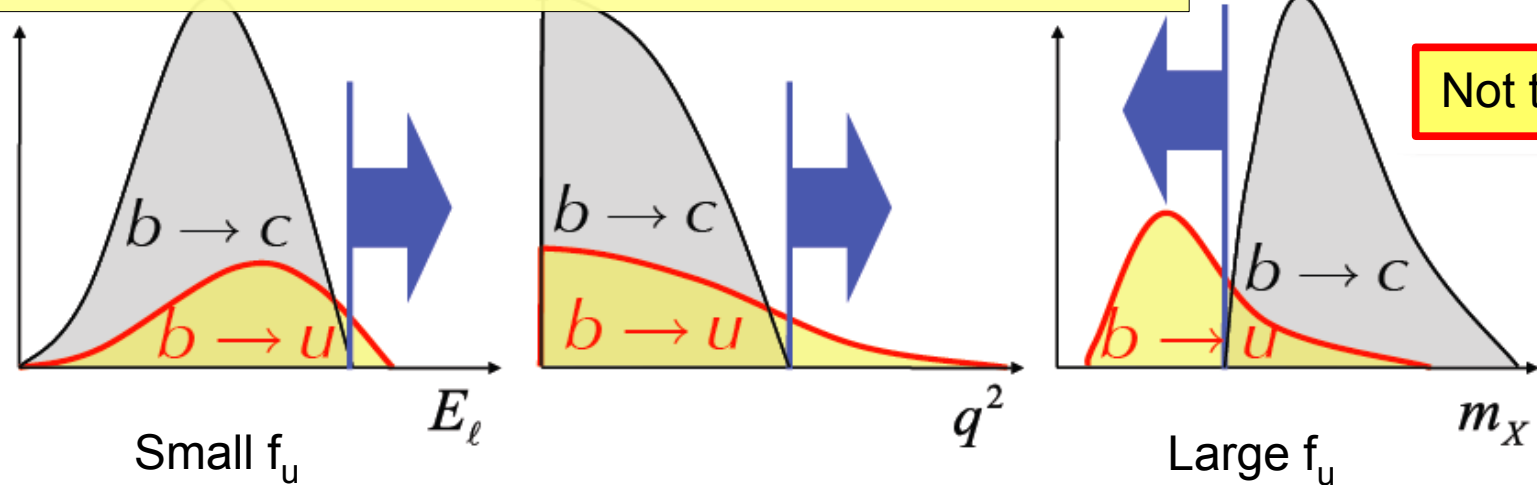
to extract the signal:  $m_u \ll m_c$

- Cut limited region of phase space ( $f_u$ )

Non perturbative shape-function needed

Universal only at leading order in  $\Lambda/m_b$

$$\frac{\Delta\mathcal{B}(\bar{B} \rightarrow X_u \ell \bar{\nu})}{\tau_B \Delta\Gamma_{\text{theory}}}$$



Not to scale!

Experimental resolution leads to "irreducible"  $b \rightarrow cl\nu$  contamination - partially suppressed with K and  $D^*$  vetos

# $B \rightarrow X_u e \nu$ : electron spectrum

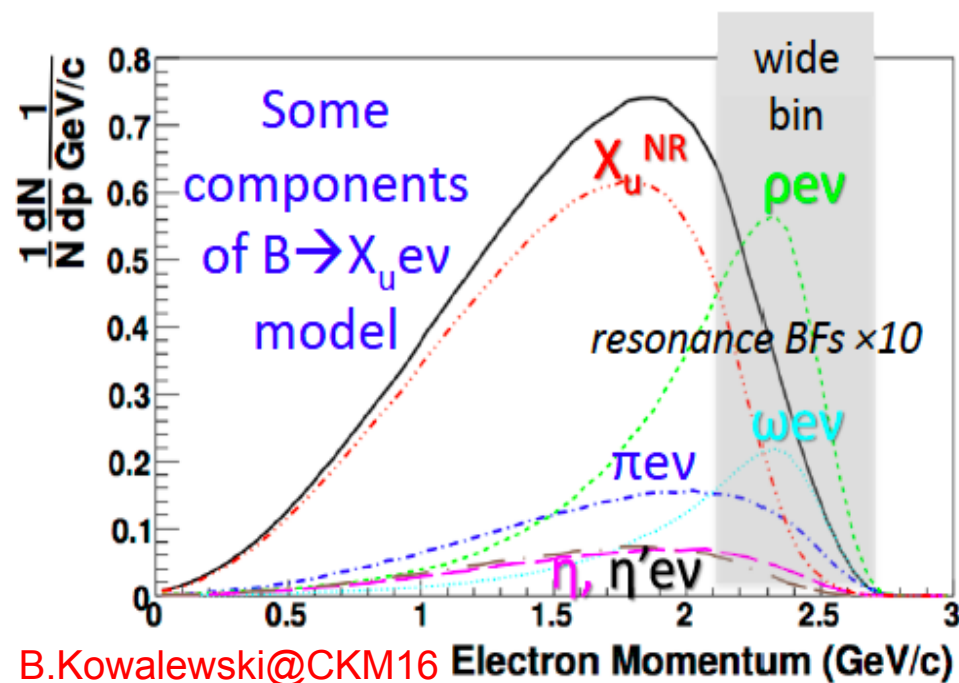
Phys.Rev.D 95,  
072001 (2017)



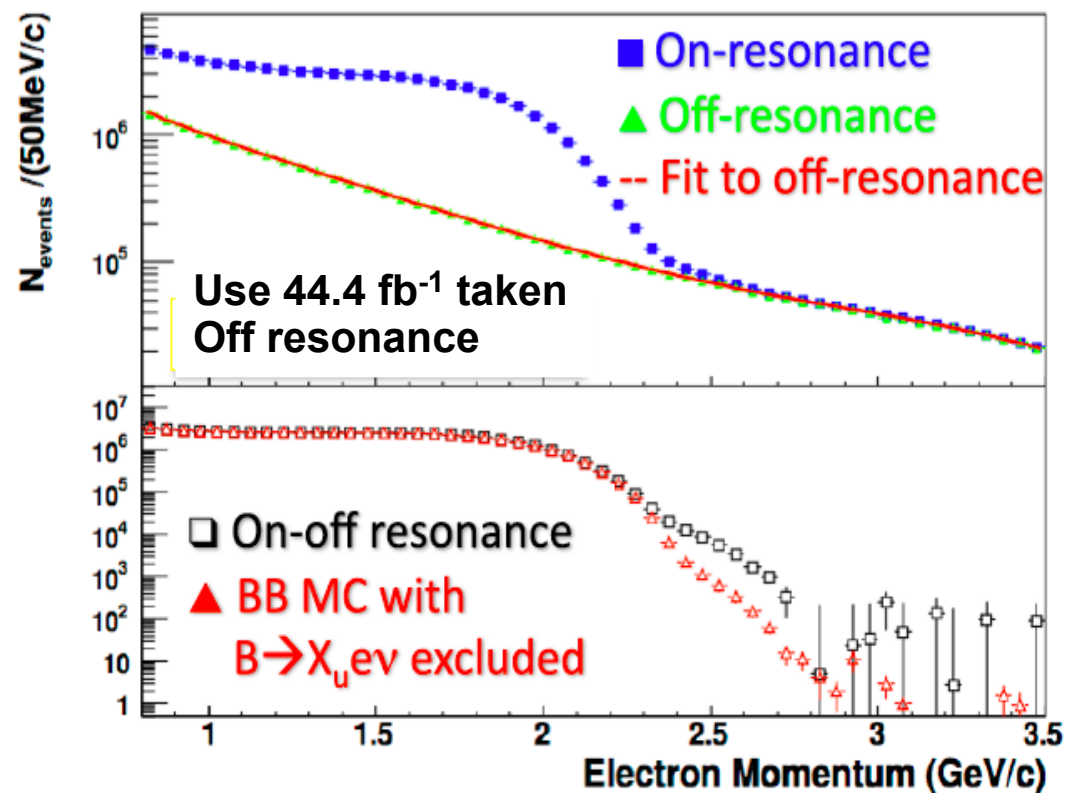
- Inclusive electron spectrum measurement
- Dataset: 467M Y(4S)

## Fit Strategy

- Fit simultaneously on-Y(4S) and off-Y(4S)
  - 5 separate  $b \rightarrow c$  components
  - Secondary leptons  $b \rightarrow c \rightarrow e$
  - $b \rightarrow X_u e \nu$
- Spectrum range [ $p_{\min}, 2.7$ ] GeV,  $p_{\min}$  from 0.8 GeV



Large statistics:  $>10^6$  events / 50 MeV bin;  
statistical uncertainties dominated by continuum subtraction



Signal model obtained mixing known existing exclusive final states with calculations for  $B \rightarrow X_u e \nu$  (Hybrid model). Four different calculations considered for  $B \rightarrow X_u e \nu$  Inclusive spectrum

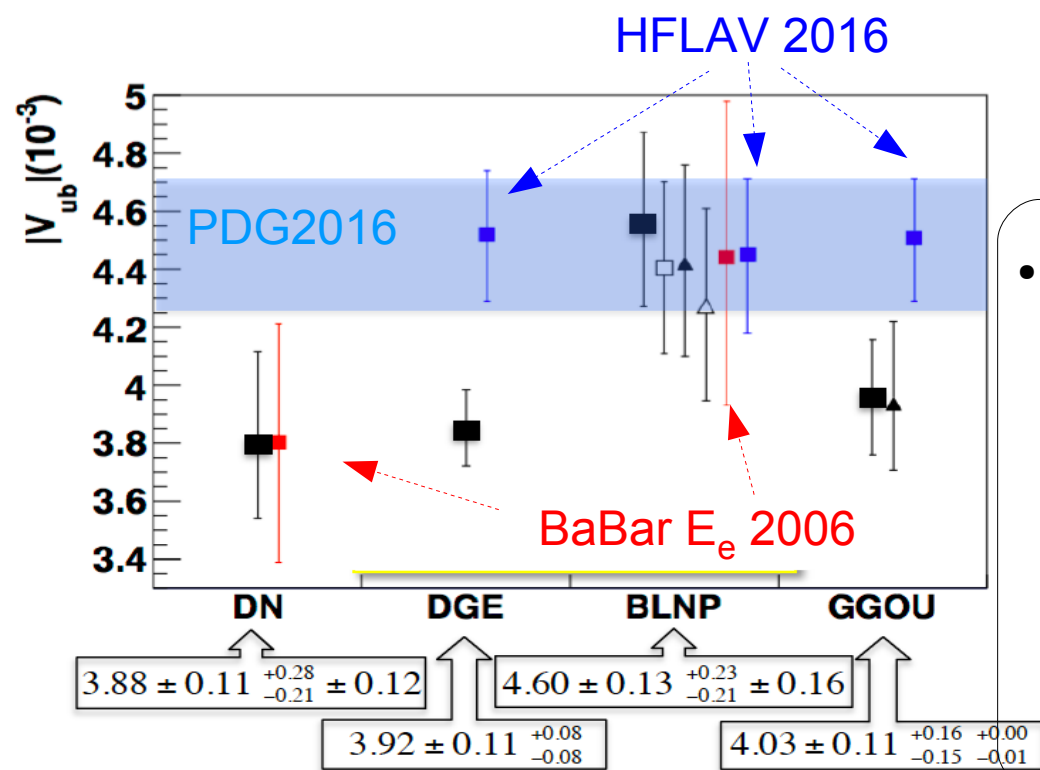
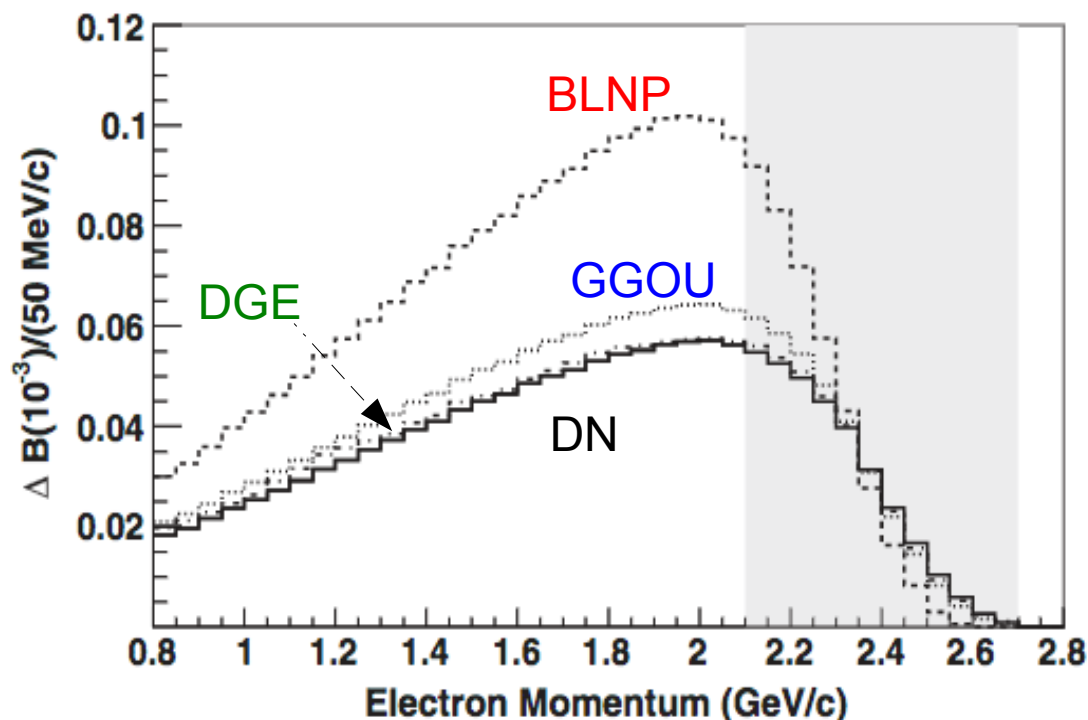


# Results on total rate and $|V_{ub}|$

Phys.Rev.D 95,  
072001 (2017)



- Highest sensitivity to  $B \rightarrow X_u e \nu$  in the wide bin 2.1-2.7 GeV
- Models make different predictions for the fractional rate in this bin
- This dependence on the signal model impacts any measurement that extends in the  $B \rightarrow X_c e \nu$  region



- In the future it will be crucial to improve
  - Knowledge about  $B \rightarrow X_c$  composition and kinematics: rates and FFs for  $D/D^*/D^{**}$  ...
  - Constrain the signal model measuring exclusive  $B \rightarrow n\pi e \nu$ : up to now resonant and non-resonant contributions are combined with an ad-hoc procedure

# Status of inclusive $|V_{ub}|$ : HFLAV 2018

## Compared with HFLAV 2016

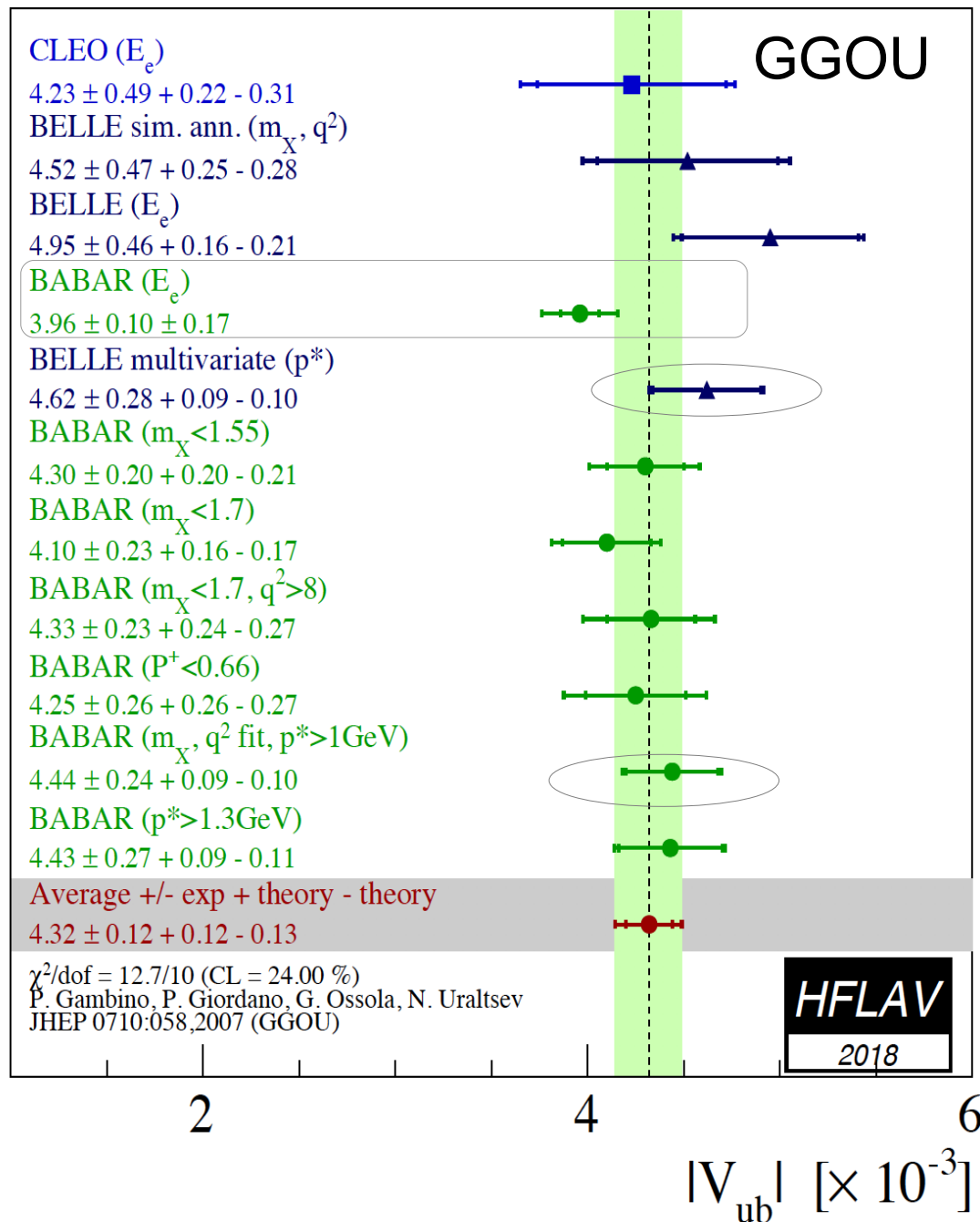
BLNP is unchanged

DGE, GGOU decrease

ADFR is almost unchanged (not included in the BaBar analysis)

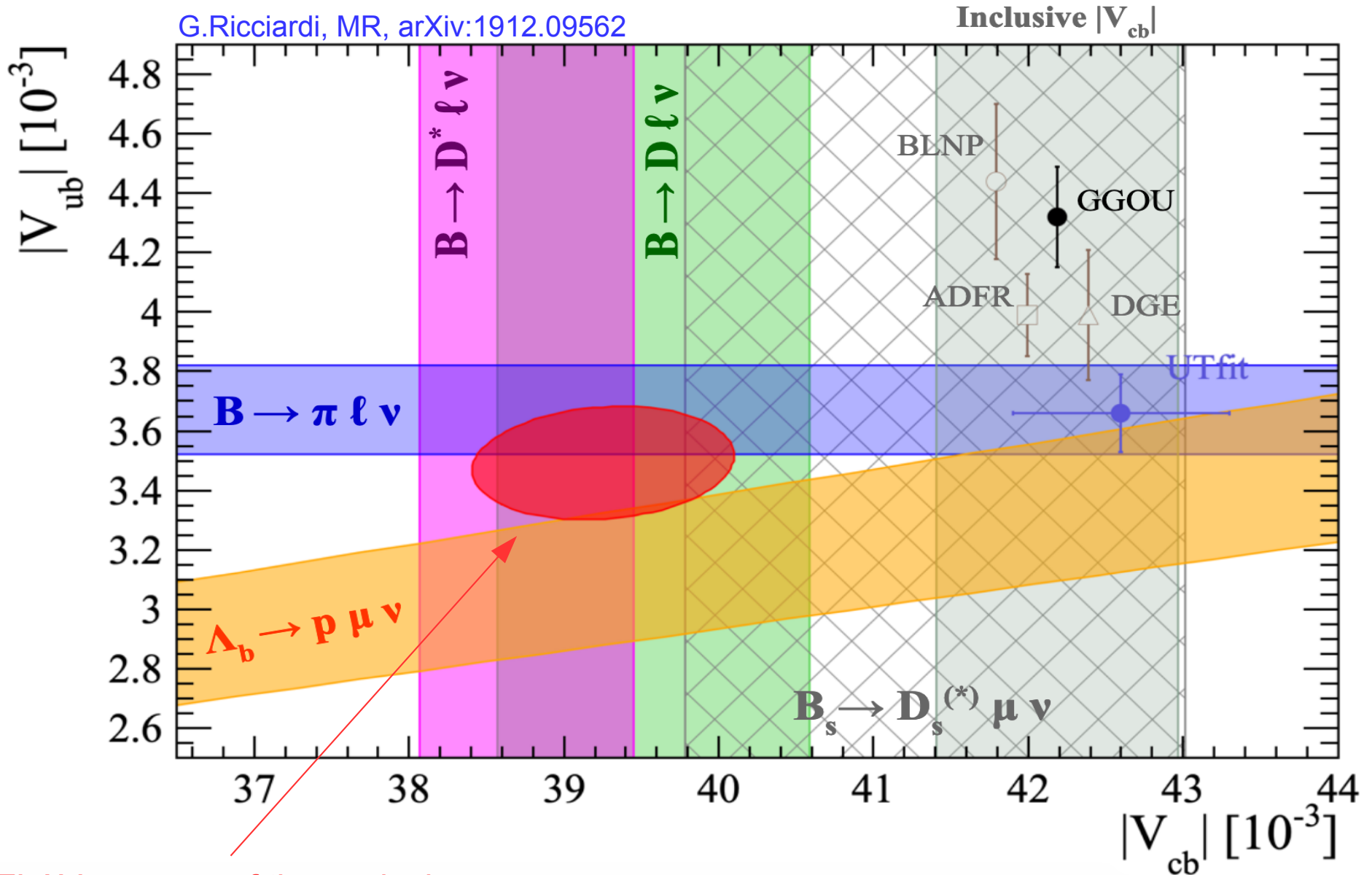
Framework	$ V_{ub}  [10^{-3}]$
BLNP	$4.44^{+0.13+0.21}_{-0.14-0.22}$
DGE	$3.99 \pm 0.10^{+0.09}_{-0.10}$
GGOU	$4.32 \pm 0.12^{+0.12}_{-0.13}$
ADFR	$3.99 \pm 0.13^{+0.18}_{-0.12}$
BLL ( $m_X/q^2$ only)	$4.62 \pm 0.20 \pm 0.29$

- BLNP and GGOU are based on QCD with a mild dependence on the way the shape functions are modeled
- DGE and ADFR are model dependent: they compute the shape function with assumptions on the QCD couplings
  - Uncertainties are probably underestimated





# Summary of the $|V_{ub}|$ - $|V_{cb}|$ measurements



HFLAV average of the exclusive  $|V_{ub}|$  and  $|V_{cb}|$

Indirect determination of  $|V_{cb}|$   
 D.King et al. JHEP05(2019)034  $|V_{cb}| = (41.6 \pm 0.7) \cdot 10^{-3}$

# Conclusions

- Semileptonic decays require close interplay between theorists and experimentalists
  - Eagerly waiting for calculations at non-zero recoil for  $B \rightarrow D^*$  and  $B \rightarrow D_s^*$
- Inclusive-Exclusive puzzle has to be understood
  - Many published results are based on 10-year old analyses and cannot be easily re-analyzed
    - Crucial to provide results in a format that can be re-analyzed
  - Inclusive measurements will be dominated by Belle II in the near future
  - $\Lambda_b$  and  $B_s$  decays into the game ( $B_c$  in the future): many opportunities

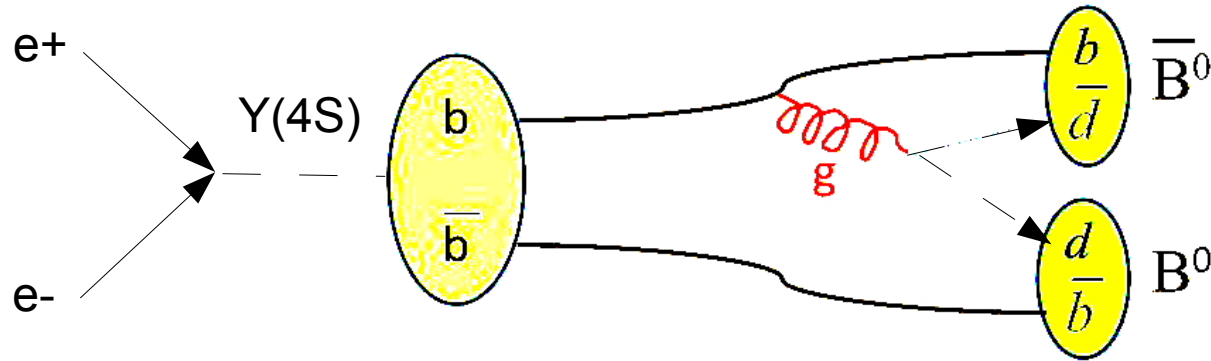


# Backup

# B-hadron production

$$\mathcal{N} \propto \mathcal{L} \cdot \sigma$$

- B-Factories:  $e^+e^-$  running at  $\sqrt{s} \sim 10.58$  GeV



$$\sigma(e^+e^- \rightarrow \Upsilon(4S)) = 1.06 \text{ nb}$$

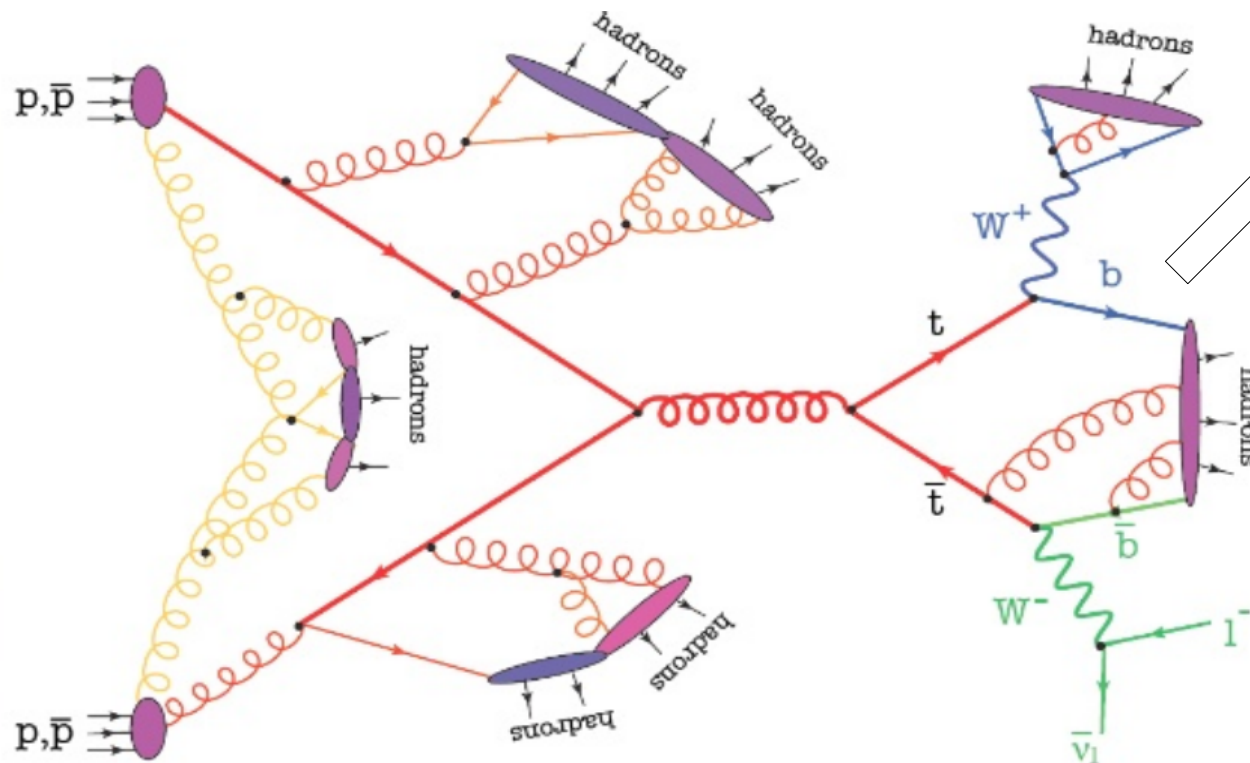
$$BF(\Upsilon(4S) \rightarrow B\bar{B}) \approx 100\%$$

BaBar:  $430 \text{ fb}^{-1}$

Belle:  $711 \text{ fb}^{-1}$

Belle-II expected  $50 \text{ ab}^{-1}$

- Hadron machines: high energy  $pp$  (or  $p\bar{p}$ ) collision



b-hadrons

$$\sigma(pp \rightarrow b\bar{b})_{7 \text{ TeV}} \approx 295 \cdot 10^3 \text{ nb}$$

$$\sigma(pp \rightarrow b\bar{b})_{13 \text{ TeV}} \approx 600 \cdot 10^3 \text{ nb}$$

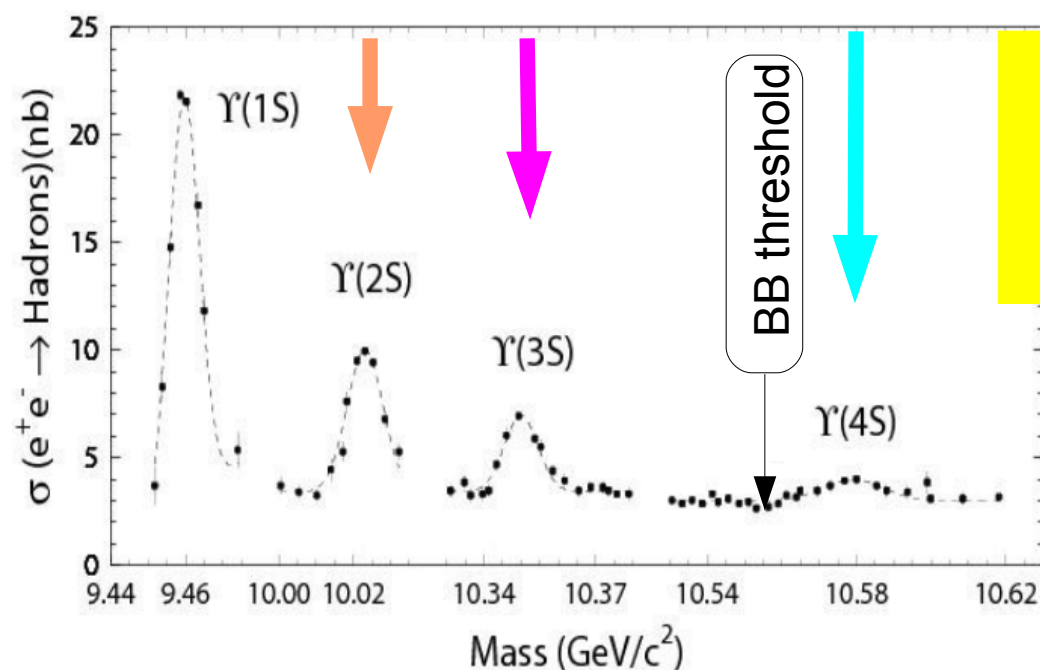
The b-quarks can hadronize in any kind of b-hadron

$B_d, B_u, \Lambda_b, B_s, \Xi_b, \Sigma_b, \Omega_b, B_c \dots$

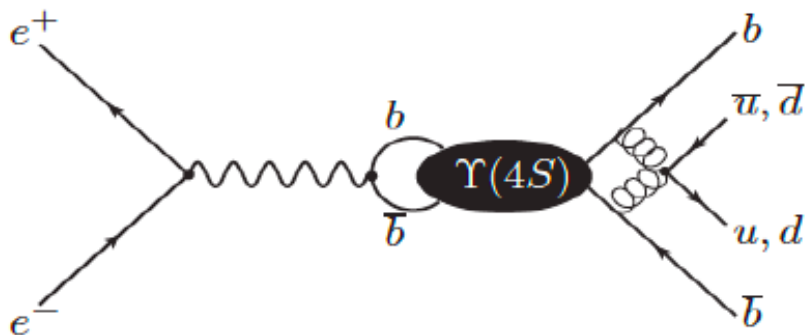
LHCb:  $3 \text{ fb}^{-1} + 6 \text{ fb}^{-1}$

# B-Factories BaBar and Belle

- CM energy of the  $\Upsilon(4S) = 10.58 \text{ GeV}$  most of the time
  - Large production of B meson from  $\Upsilon$  decays
  - $\sigma_{10.58\text{GeV}}(e^+e^- \rightarrow b\bar{b}) = 1.06\text{nb}$
  - Run at  $\Upsilon(5S)$  allows to access the  $B_s$  mesons



$$e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$$



B-Factories: hermetic detectors, low background, Excellent PID, access (mainly) at  $B^{0/+}$

About  $(771 + 467) \times 10^6$   
 $e^+e^- \rightarrow BB$  events in  
 the Belle+BaBar data

# $B_s$ semileptonic decays

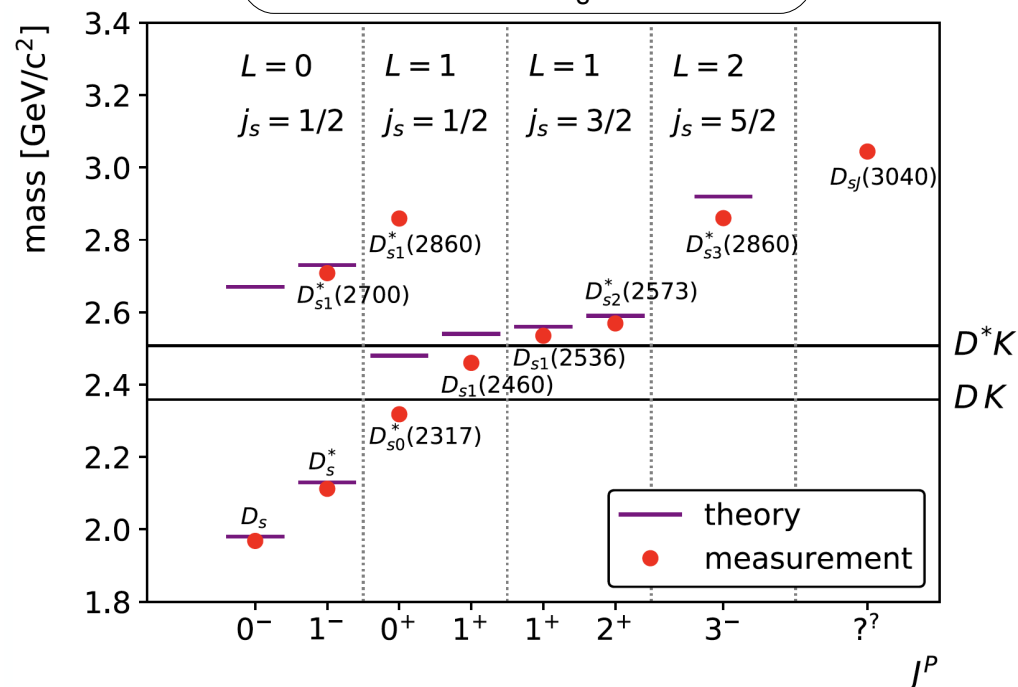
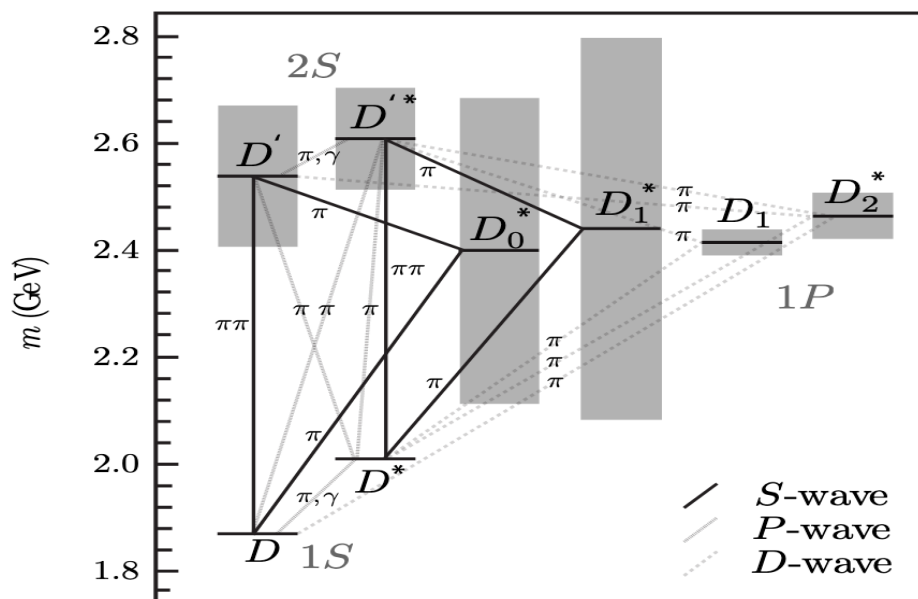


Golden modes  
for Lattice-QCD

- Advantages:

- Lattice calculations easier due to heavier spectator quark, so predictions are more precise
- For the  $B_s \rightarrow D_s^*$ : the *zero-width* approximation of the  $D_s^*$  is more valid than the B case (no  $D\pi$  pollution)
- Experimental point: different background composition from excited  $D_s$  states than in the  $B \rightarrow D^*$  case

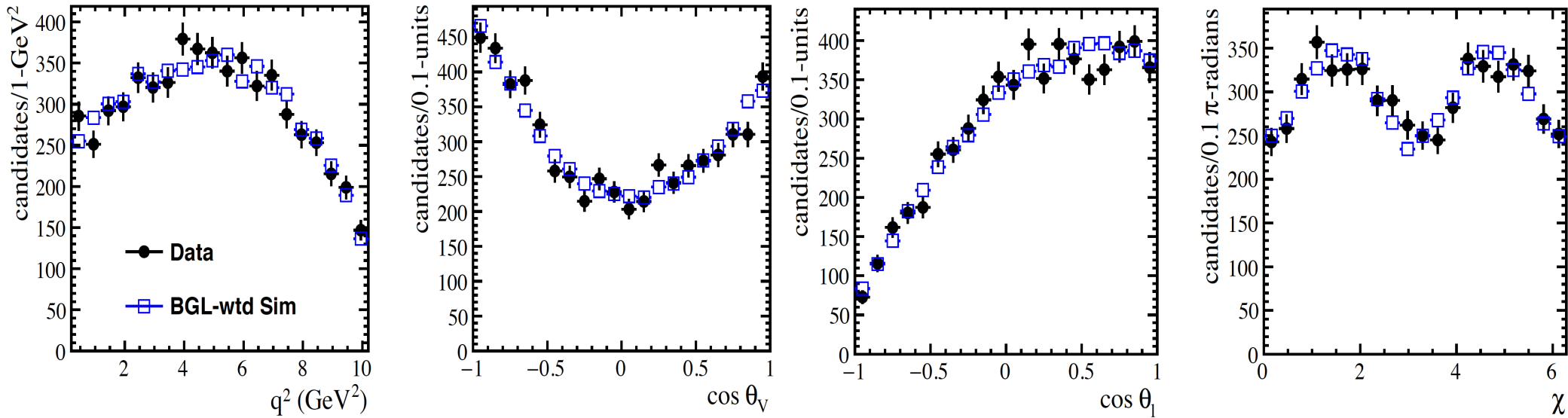
$D_s(2317) \rightarrow D_s \pi^0 > 90\%$   
 $D_{s1}(2460) \rightarrow D_s^* \pi^0 \sim 50\%$   
 $D_s X \sim 50\%$







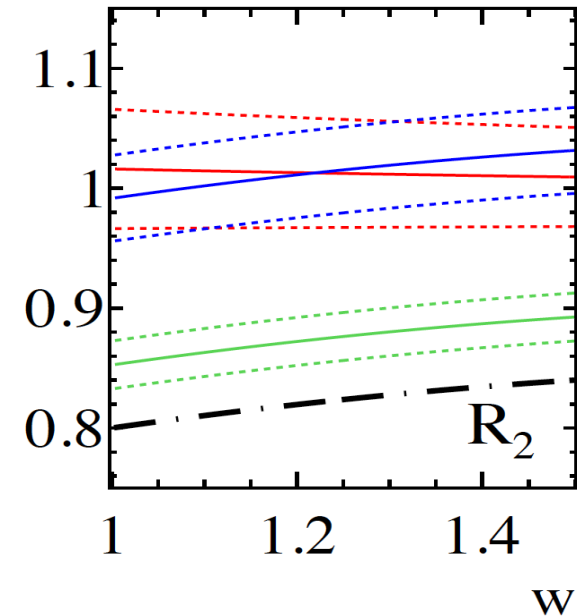
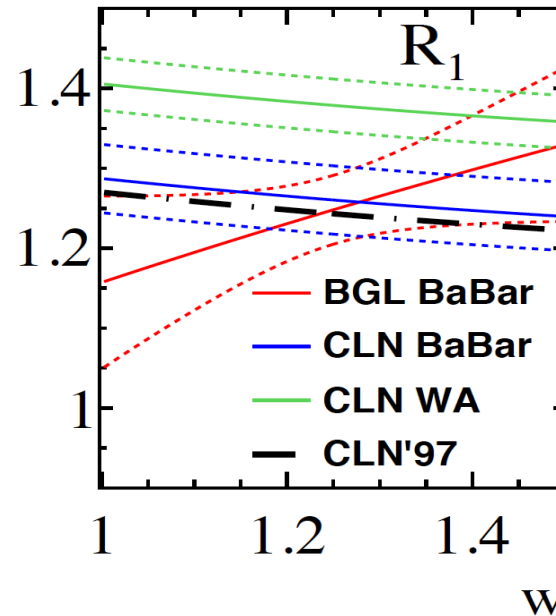
B.Day @ EPS19



- BGL FF's differ from CLN-WA both in scale and in shape
- In terms of ratios  $R_1$  and  $R_2$ 
  - $R_1$  has positive slope,  $R_2$  is flat

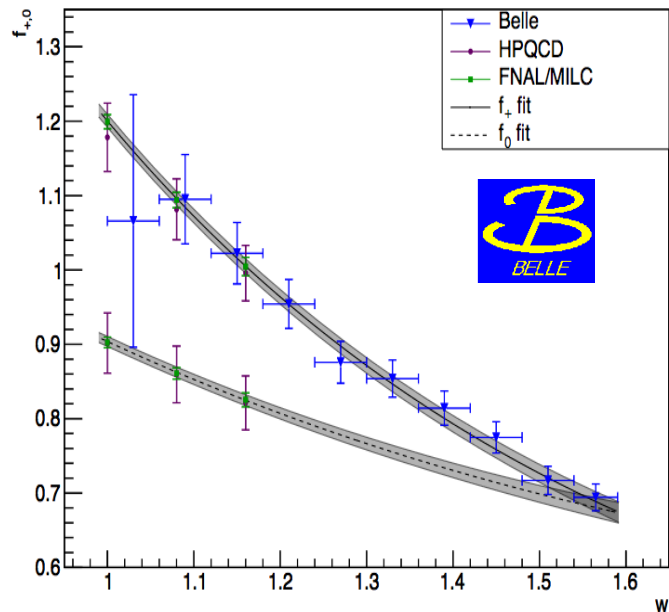
$$R_1 = (w + 1)m_B m_{D^*} \frac{g}{f}$$

$$R_2 = \frac{w - r}{w - 1} - \frac{F_1}{m_B(w - 1)f}$$





# $G(1)|V_{cb}|$ : effect of the parameterization

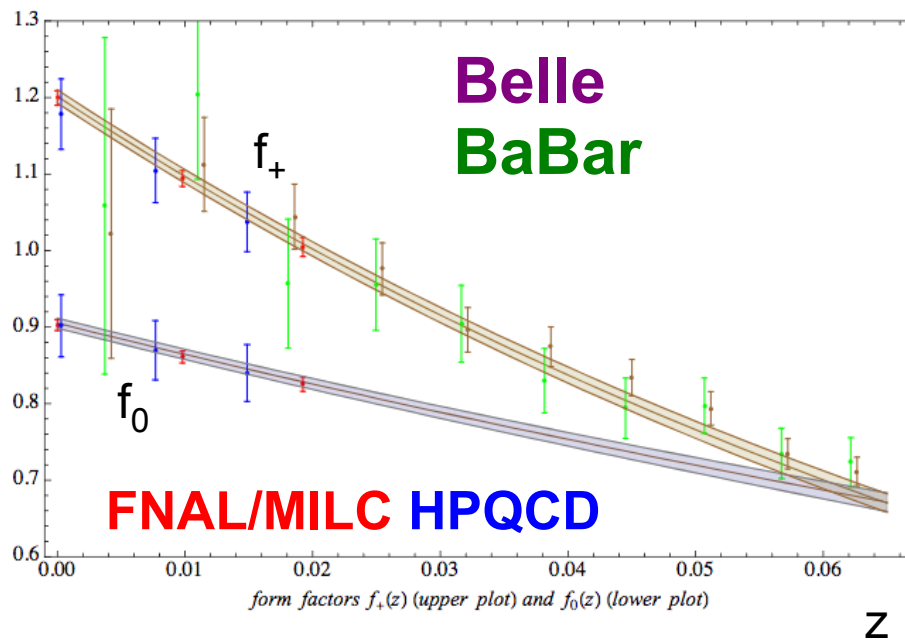


- Combined fit with Lattice data beyond zero-recoil using BGL parameterization

Series truncated at  $n=3$

Lattice data	$\eta_{EW} V_{cb}  [10^{-3}]$	$\chi^2/n_{df}$	Prob.
FNAL/MILC [15]	$40.96 \pm 1.23$	6.01/10	0.81
HPQCD [32]	$41.14 \pm 1.88$	4.83/10	0.90
FNAL/MILC & HPQCD [15, 32]	$41.10 \pm 1.14$	11.35/16	0.79

Critical discussion on the FF parameterizations, using both Belle and BaBar data reported in Bigi, Gambino Phys.Rev.D 94,094008(2016)



exp data	lattice data	N,par	$10^3 \times  V_{cb} $	$\chi^2$
all	all	2,BGL	40.62(98)	22.1/26
all	all	3,BGL	40.47(97)	18.2/24
all	all	4,BGL	<b>40.49(97)</b>	19.0/22
Belle	all	3,BGL	40.92(1.12)	11.6/14
BaBar	all	3,BGL	40.11(1.55)	12.6/14

BGL and CLN give consistent results. Because of the present accurate Lattice calculation, CLN is no longer a satisfactory parametrization

