

Gauge/Gravity Duality 2021

Based on 2104.09529

$T\bar{T}$ -deformed Fermionic Theories

Revisited

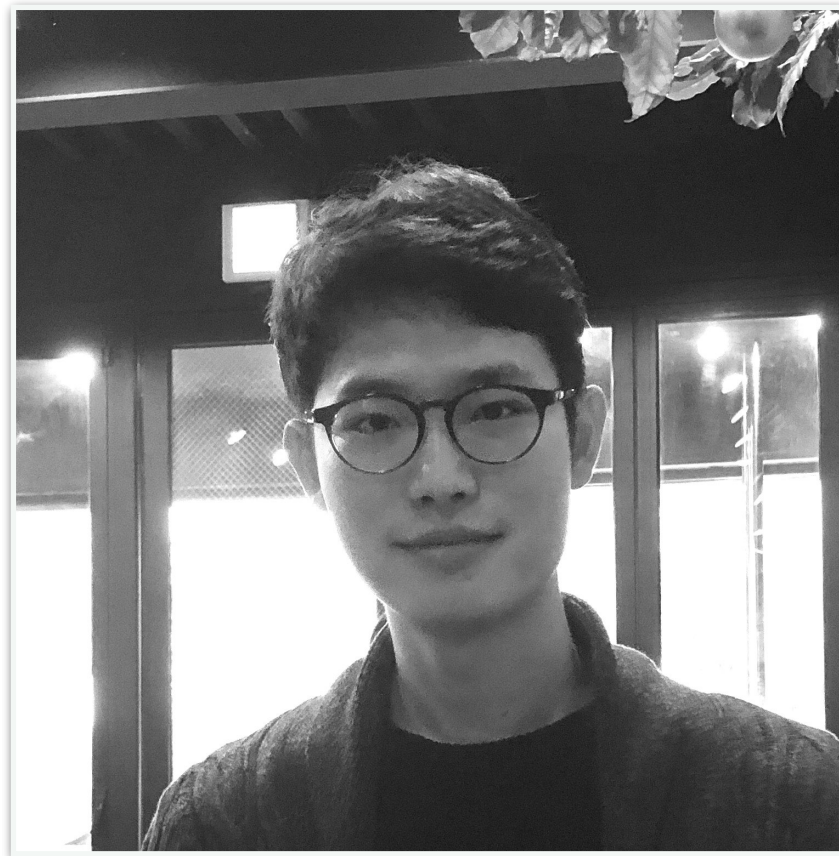
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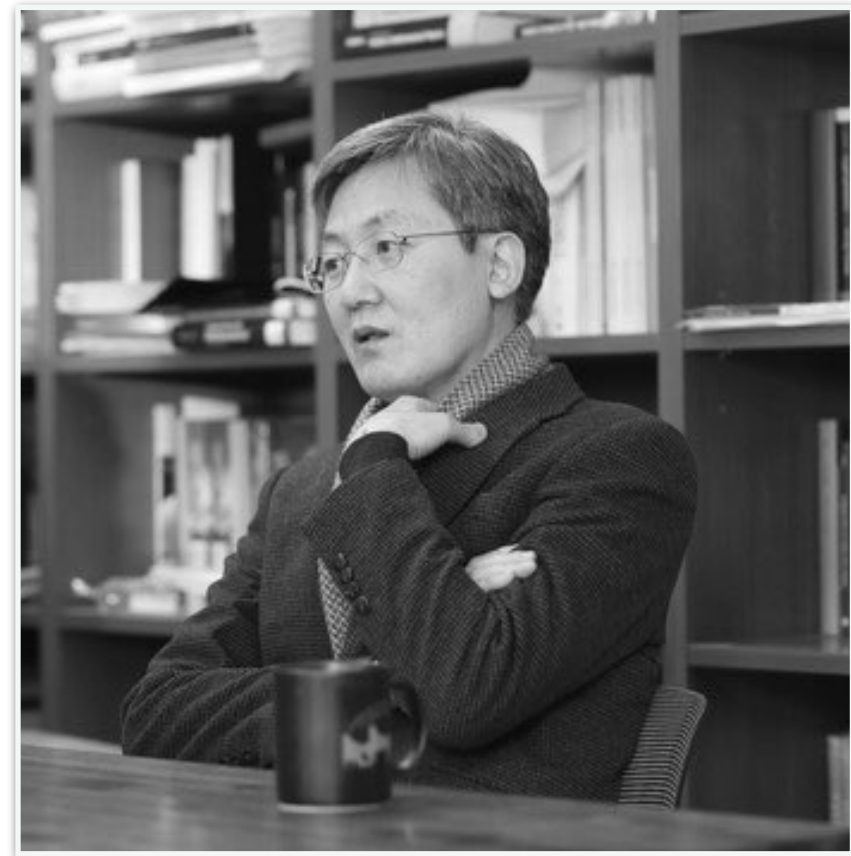
with Kyungsun Lee and Piljin Yi

$T\bar{T}$ -deformed Fermionic Theories Revisited

Kyungsun Lee, Piljin Yi and JY
arXiv: 2104.09529

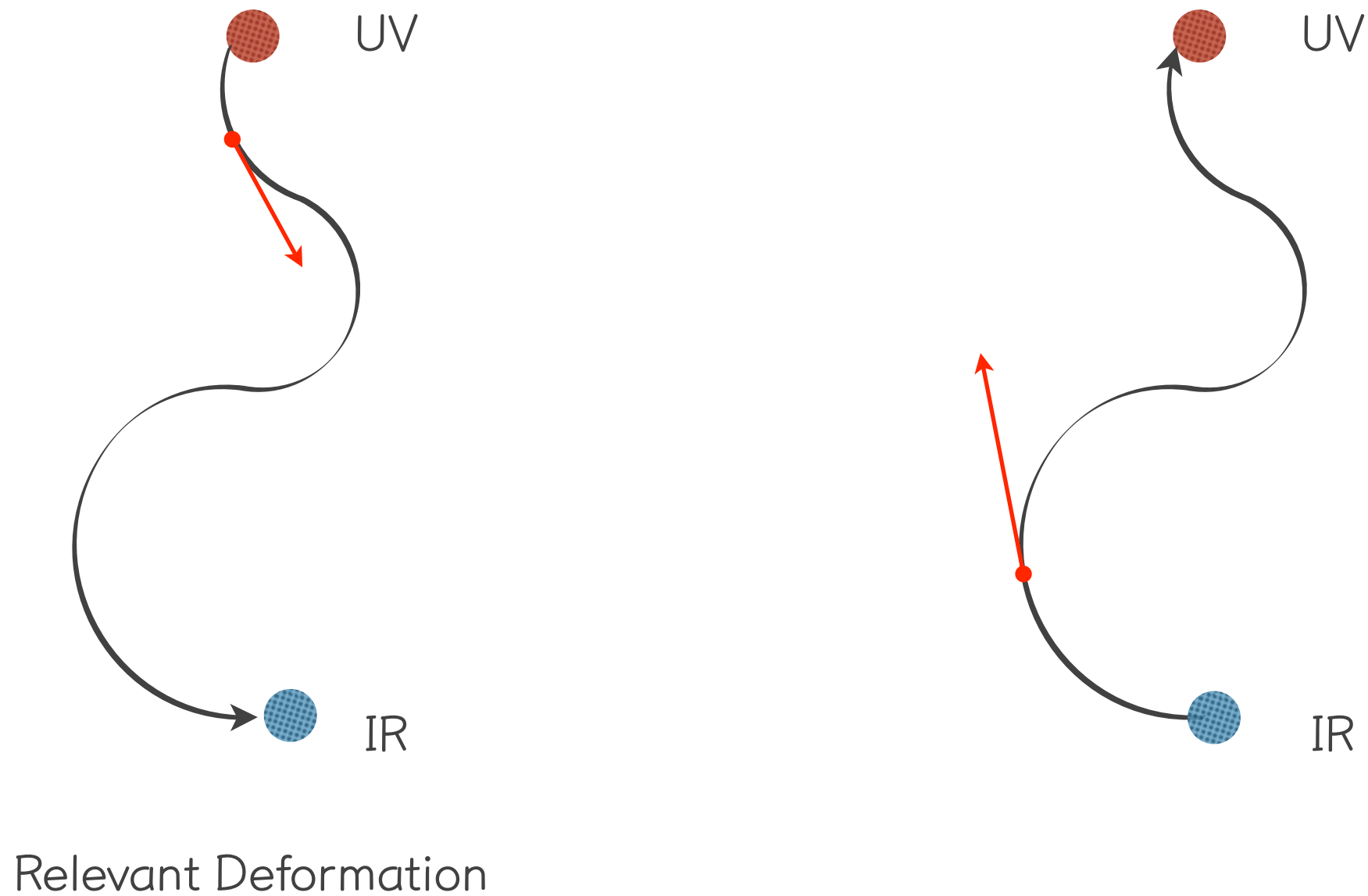


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Irrelevant Deformation



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- II. Quantization of $T\bar{T}$ deformed Theory and Deformed Spectrum
- III. A Caveat in $T\bar{T}$ deformation of Fermionic Theories
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Short Review: $T\bar{T}$ Deformation

$T\bar{T}$ Deformation

* Deformation by (determinant of) energy momentum tensor (EMT) of 2D QFT

✓ $\partial_\lambda \mathcal{L}_\lambda = \frac{1}{2} \epsilon_{\mu\nu} \epsilon^{\rho\sigma} T^\mu{}_\rho T^\nu{}_\sigma$

✓ Irrelevant deformation

✓ λ : deformation parameter of length-squared dimension

✓ EMT can be evaluated in “reasonable” QFT: Universal

* Deformed spectrum: universal

✓ $E_n(L, \lambda) = \frac{L}{2\lambda} \left[\sqrt{1 + \frac{4\lambda}{L} E_n + \frac{4\lambda^2}{L^2} P_n^2} - 1 \right] \quad P_n(L, \lambda) = P_n$

✓ E_n, P_n : energy, momentum of undeformed theory

✓ can be derived from factorization formula by Zamolodchikov
 $\langle n | T_{zz} T_{\bar{z}\bar{z}} - T_{\bar{z}\bar{z}} T_{zz} | n \rangle = \langle n | T_{zz} | n \rangle \langle n | T_{\bar{z}\bar{z}} - \langle n | T_{\bar{z}\bar{z}} | n \rangle \langle n | T_{zz} | n \rangle$

Deformed Lagrangian

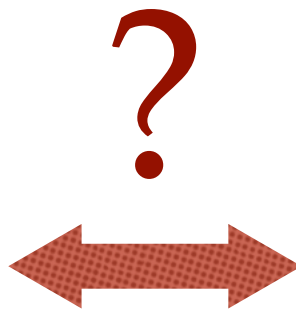
- * Flow equation $\partial_\lambda \mathcal{L} = \frac{1}{2} \epsilon_{\mu\nu} \epsilon^{\rho\sigma} T^\mu{}_\rho T^\nu{}_\sigma$
 - ✓ EMT on RHS: Derivative of deformed Lagrangian w.r.t. field
 - ✓ This leads to differential equation of the Lagrangian
 - ✓ Can be solved perturbatively in principle. Mostly, we can find exact solutions.
 - ✓ Initial condition: $\mathcal{L}[\lambda = 0] = \mathcal{L}_{\text{undeformed}}$
 - ✓ e.g. Deformation of free scalar field: $\mathcal{L} = -\frac{1}{2\lambda} \left[\sqrt{1 + 2\lambda(-\dot{\phi}^2 + \phi'^2)} - 1 \right]$
- * This is related to Nambu-Goto action for 3D target space with “static gauge”
 - ✓ usually difficult to quantize the NG action
- * Relation to dynamical coordinate transformation and 2D gravity

Quantization of $T\bar{T}$ Deformed Theory and Deformed Spectrum

Question

- * How can you reproduce the deformed spectrum from the deformed Lagrangian?

$$\mathcal{L} = -\frac{1}{2\lambda} \left[\sqrt{1 + 2\lambda(-\dot{\phi}^2 + \phi'^2)} - 1 \right]$$



$$E_n(L, \lambda) = \frac{L}{2\lambda} \left[\sqrt{1 + \frac{4\lambda}{L} E_n + \frac{4\lambda^2}{L^2} P_n^2} - 1 \right]$$

$$P_n(L, \lambda) = P_n$$

- * Clever guess

$$\widetilde{H} = \frac{L}{2\lambda} \left[\sqrt{1 + \frac{4\lambda}{L} H_{(0)} + \frac{4\lambda^2}{L^2} P_{(0)}^2} - 1 \right]$$

$$H_{(0)} = H_+ + H_-$$

$$P_{(0)} = H_+ - H_-$$

$$H_+ = \frac{\pi}{L} \sum_k \alpha_{-k} \alpha_k$$

$$H_- = \frac{\pi}{L} \sum_k \alpha_{-k} \alpha_k$$

Free Fermion

* Free Fermion: $\mathcal{L}_0 = \frac{i}{2}\psi_+\dot{\psi}_+ + \frac{i}{2}\psi_-\dot{\psi}_- - \frac{i}{2}\psi_+\psi_+' + \frac{i}{2}\psi_-\psi_-'$

* Conjugate momentum

✓ $\pi_+ = \frac{\overleftarrow{\delta}\mathcal{L}}{\overleftarrow{\delta}\dot{\psi}_+} = \frac{i}{2}\psi_+$ and $\pi_- = \frac{\overleftarrow{\delta}\mathcal{L}}{\overleftarrow{\delta}\dot{\psi}_-} = \frac{i}{2}\psi_-$: no $\dot{\psi}_\pm$

✓ forms the second class constraints: $\mathcal{C}_\pm = \pi_\pm - \frac{i}{2}\psi_\pm$

* Due to the 2nd class constraints $\mathcal{C}_\pm = \pi_\pm - \frac{i}{2}\psi_\pm$, we need to evaluate Dirac bracket.

✓ $\{\mathcal{C}_\pm, \mathcal{C}_\pm\} = -i$ and $\{\mathcal{C}_+, \mathcal{C}_-\} = 0$

✓ For example,

$$\{\psi_+(x_1), \psi_+(x_2)\}_D = 0 - \{\psi_+(x_1), \mathcal{C}_+\} \mathcal{M}_{++}^{-1} \{\mathcal{C}_+, \psi_+(x_2)\} = -i\delta(x_1 - x_2)$$

$T\bar{T}$ Deformation of Free Fermion

* For fermion case, the solution of flow equation is truncated.

✓ Due to the fermi statistics, non-vanishing term is restricted.

e.g. $\psi_+ \partial_{++} \psi_+ \psi_- \partial_{--} \psi_-$, $\psi_+ \partial_{--} \psi_+ \psi_- \partial_{++} \psi_-$

✓ This is because Noether procedure does not produce higher derivative terms

* Deformed Lagrangian

✓
$$\mathcal{L} = \frac{i}{2} \psi_+ \dot{\psi}_+ + \frac{i}{2} \psi_- \dot{\psi}_- - \frac{i}{2} \psi_+ \psi'_+ + \frac{i}{2} \psi_- \psi'_- + \frac{\lambda}{2} (-\psi_+ \psi'_+ \psi_- \dot{\psi}_- + \psi_+ \dot{\psi}_+ \psi_- \psi'_-)$$

* Conjugate momentum

✓
$$\pi_+ = \frac{\overleftarrow{\delta} \mathcal{L}}{\delta \dot{\psi}_+} = \frac{i}{2} \psi_+ + \frac{\lambda}{2} \psi_+ \psi_- \psi'_- \quad \text{and} \quad \pi_- = \frac{\overleftarrow{\delta} \mathcal{L}}{\delta \dot{\psi}_-} = \frac{i}{2} \psi_- - \frac{\lambda}{2} \psi_+ \psi'_+ \psi_- : \text{ Still no } \dot{\psi}_\pm$$

✓ forms the second class constraints:

$$\mathcal{C}_1 = \pi_+ - \frac{i}{2} \psi_+ - \frac{\lambda}{2} \psi_+ \psi_- \psi'_- \quad , \quad \mathcal{C}_2 = \pi_- - \frac{i}{2} \psi_- + \frac{\lambda}{2} \psi_+ \psi'_+ \psi_-$$

Dirac Bracket

- * Dirac bracket of the deformed theory.

$$i\{\psi_+(x_1), \psi_+(x_2)\}_D = (1 + \lambda S_- + 2\lambda^2 S_+ S_-)\delta(x_1 - x_2)$$

$$i\{\psi_+(x_1), \psi_-(x_2)\}_D = -i\lambda(\psi'_+ \psi_- + \psi_+ \psi'_-)\delta(x_1 - x_2) \quad S_{\pm} = i\psi_{\pm}\psi'_{\pm}$$

- * Hamiltonian of the deformed theory is

- ✓ $H = \frac{i}{2} \int dx [\psi_+ \psi'_+ - \psi_- \psi'_-]$: of the same form as free Hamiltonian

- ✓ no explicit λ dependence

- * Then, how does it produce the deformed spectrum?

Transformation

- * Goal: Finding a transformation $\psi_{\pm,k} = F_{\pm,k}[b, \bar{b}]$ from free fermi oscillator b_k, \bar{b}_k to $\psi_{\pm,k}$ such that

$$H[\psi_+, \psi_-] = \frac{\pi}{L} \sum_k (-k\psi_{+,-k}\psi_{+,k} + k\psi_{-,-k}\psi_{-,k})$$

$$P[\psi_+, \psi_-] = \frac{\pi}{L} \sum_k (-k\psi_{+,-k}\psi_{+,k} - k\psi_{-,-k}\psi_{-,k})$$

$$\psi_{\pm}(x) = \frac{1}{\sqrt{L}} \sum_k \psi_{\pm,k} e^{\frac{2\pi i k x}{L}}$$

$$\widetilde{H}[b, \bar{b}] = \frac{L}{2\lambda} \left[\sqrt{1 + \frac{4\lambda}{L}(H_+ + H_-) + \frac{4\lambda^2}{L^2}(H_+ - H_-)^2} - 1 \right]$$

$$\widetilde{P}[b, \bar{b}] = H_+ - H_-$$

$$H_+ = -\frac{\pi}{L} \sum_k k b_{-k} b_k \quad H_- = \frac{\pi}{L} \sum_k k \bar{b}_{-k} \bar{b}_k$$

$$i\{\psi_{+,k}, \psi_{+,q}\}_{Dirac} = \delta_{k+q,0} + \frac{\lambda}{L^2} S_{-,k+q} + \frac{2\lambda^2}{L^4} (S_+ S_-)_{k+q}$$

$$i\{\psi_{-,k}, \psi_{-,q}\}_{Dirac} = \delta_{k+q,0} - \frac{\lambda}{L^2} S_{+,k+q} + \frac{2\lambda^2}{L^4} (S_+ S_-)_{k+q}$$

$$i\{\psi_{+,k}, \psi_{-,q}\}_{Dirac} = -\frac{\lambda}{L^2} K_{k+q} \quad S_{\pm} = i\psi_{\pm}\psi'_{\pm}$$

$$K = i(\psi'_+\psi_- + \psi_+\psi'_-)$$

$$i\{b_k, b_q\}_{Dirac} = i\{\bar{b}_k, \bar{b}_q\}_{Dirac} = \delta_{k+q,0}$$

$$i\{b_k, \bar{b}_q\}_{Dirac} = 0$$

Perturbative Solution

* Solution:

$$\begin{aligned}\psi_{+,k} &= b_k + \frac{\lambda}{L^2} \psi_{+,k}^{(1)}[b, \bar{b}] + \dots, \\ \psi_{-,k} &= \bar{b}_k + \frac{\lambda}{L^2} \bar{\psi}_{-,k}^{(1)}[b, \bar{b}] + \dots\end{aligned}$$

$$\checkmark \quad \psi_{+,k}^{(1)} = 2\pi \sum_{\substack{r,s \\ r+s \neq 0}} \frac{(k-r-s)s}{r+s} b_{k-r-s} : \bar{b}_r \bar{b}_s : - \pi b_k \sum_r r : \bar{b}_{-r} \bar{b}_r :$$

$$\checkmark \quad \psi_{-,k}^{(1)} = -2\pi \sum_{\substack{r,s \\ r+s \neq 0}} \frac{(k-r-s)s}{r+s} : b_r b_s : \bar{b}_{k-r-s} + \pi \sum_r r : b_{-r} b_r : \bar{b}_k$$

* Non-local

* At quantum level, it is confirmed up to order $\mathcal{O}(\lambda)$

Comparison

* Fermion case

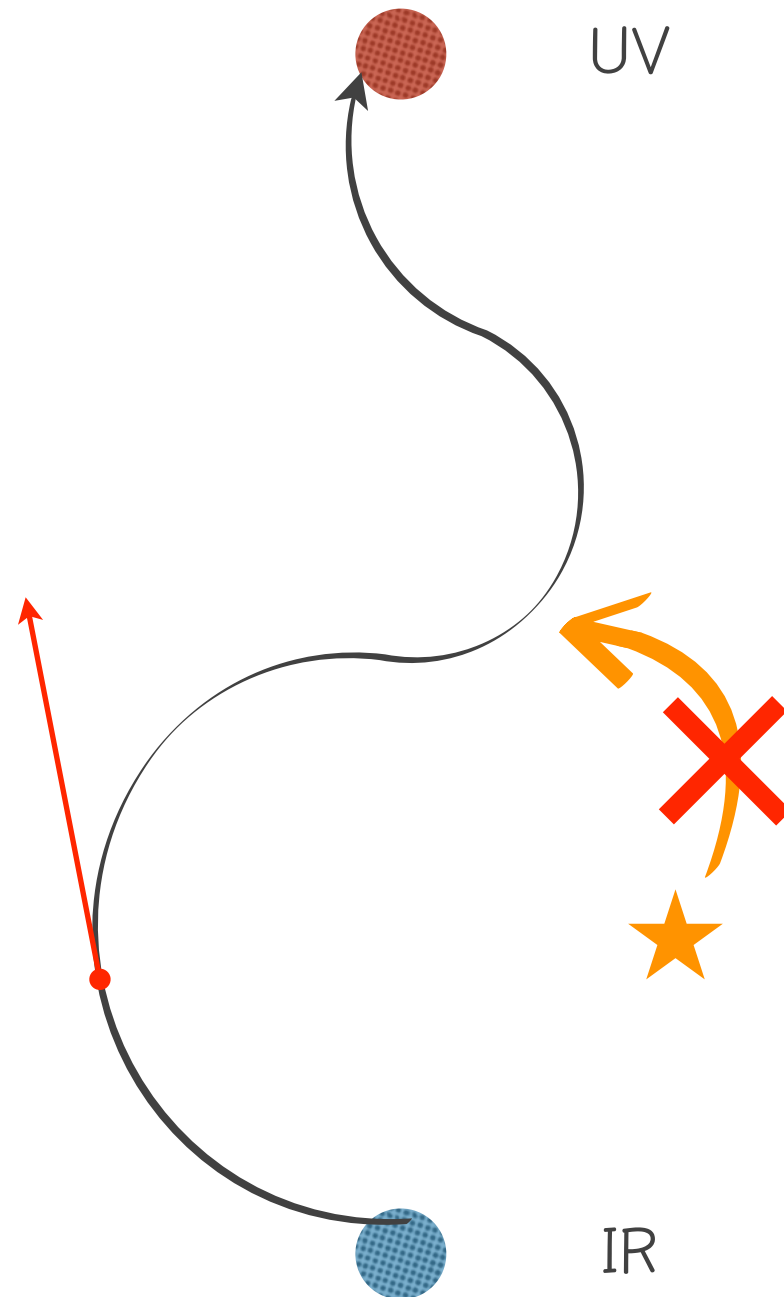
- ✓ Deformed Hamiltonian does not have explicit λ dependence
- ✓ The algebra of phase space variables is changed.
- ✓ Not canonical transformation.
- ✓ This generates λ dependence for the spectrum

* Scalar field case

- ✓ Deformed Hamiltonian has λ dependence
- ✓ The algebra of phase space variables is not changed.
: canonical transformation

A Caveat in $T\bar{T}$ Deformation of Fermionic Theories

Another Property of $T\bar{T}$ Deformation



No new D.o.F emerges

Energy-momentum Tensor

* Flow equation for $T\bar{T}$ deformation : $\partial_\lambda \mathcal{L} = \frac{1}{2} \epsilon_{\mu\nu} \epsilon^{\rho\sigma} T^\mu{}_\rho T^\nu{}_\sigma$

* Two ways to calculate energy-momentum tensor

✓ Noether procedure: not always symmetric (e.g. fermion)

$$T^\mu{}_\nu = \frac{\delta \mathcal{L}}{\delta \partial_\mu \phi} \partial_\nu \phi - \delta^\mu_\nu \mathcal{L}$$

✓ Metric variation: symmetric by construction

$$T^{\mu\nu} = - \frac{2}{\sqrt{g}} \frac{\delta S}{\delta g_{\mu\nu}}$$

* They can be related by improvement term

$T\bar{T}$ Deformation of Free Fermion

* From Noether Energy-momentum tensor

$$\mathcal{L} = \frac{i}{2}\psi_+\dot{\psi}_+ + \frac{i}{2}\psi_-\dot{\psi}_- - \frac{i}{2}\psi_+\psi'_+ + \frac{i}{2}\psi_-\psi'_- + \frac{\lambda}{2}(-\psi_+\psi'_+\psi_-\dot{\psi}_- + \psi_+\dot{\psi}_+\psi_-\psi'_-)$$

* From Symmetric Energy-momentum tensor

$$\mathcal{L} = \frac{i}{2}\psi_+\dot{\psi}_+ + \frac{i}{2}\psi_-\dot{\psi}_- - \frac{i}{2}\psi_+\psi'_+ + \frac{i}{2}\psi_-\psi'_- + \frac{3\lambda}{8}(-\psi_+\psi'_+\psi_-\dot{\psi}_- + \psi_+\dot{\psi}_+\psi_-\psi'_-)$$

$$-\frac{\lambda}{8}\psi_+\dot{\psi}_+\psi_-\dot{\psi}_- + \frac{\lambda}{8}\psi_+\psi'_+\psi_-\psi'_-$$

Emergent D.o.F.

* Free fermion: $\mathcal{L} = \frac{i}{2}\psi_+\dot{\psi}_+ + \frac{i}{2}\psi_-\dot{\psi}_- - \frac{i}{2}\psi_+\psi'_+ + \frac{i}{2}\psi_-\psi'_-$

✓ Conjugate momentum

$$\pi_+ = \frac{\delta S}{\delta \dot{\psi}_+} = \frac{i}{2}\psi_+$$

2nd class constraints

$$\pi_- = \frac{\delta S}{\delta \dot{\psi}_-} = \frac{i}{2}\psi_-$$

* $T\bar{T}$ deformation of free fermion:

$$\mathcal{L} = \frac{i}{2}\psi_+\dot{\psi}_+ + \frac{i}{2}\psi_-\dot{\psi}_- - \frac{i}{2}\psi_+\psi'_+ + \frac{i}{2}\psi_-\psi'_- - \frac{\lambda}{8}\psi_+\dot{\psi}_+\psi_-\dot{\psi}_- + \dots$$

* Conjugate momentum

$$\pi_+ = \frac{\delta S}{\delta \dot{\psi}_+} = \frac{i}{2}\psi_+ - \frac{\lambda}{8}\psi_+\psi_-\dot{\psi}_-$$

Not constraints any more

*
$$\pi_- = \frac{\delta S}{\delta \dot{\psi}_-} = \frac{i}{2}\psi_- + \frac{\lambda}{8}\psi_+\psi_-\dot{\psi}_+$$

Toy Model for Negative Norm State

- * Quantum mechanical toy model

$$L = \frac{i}{2} \bar{\psi} \dot{\psi} - \frac{i}{2} \dot{\bar{\psi}} \psi + m \bar{\psi} \psi - \lambda \dot{\bar{\psi}} \psi$$

Hello!

- * Phase space: $\psi, \bar{\psi}, \pi, \bar{\pi}$

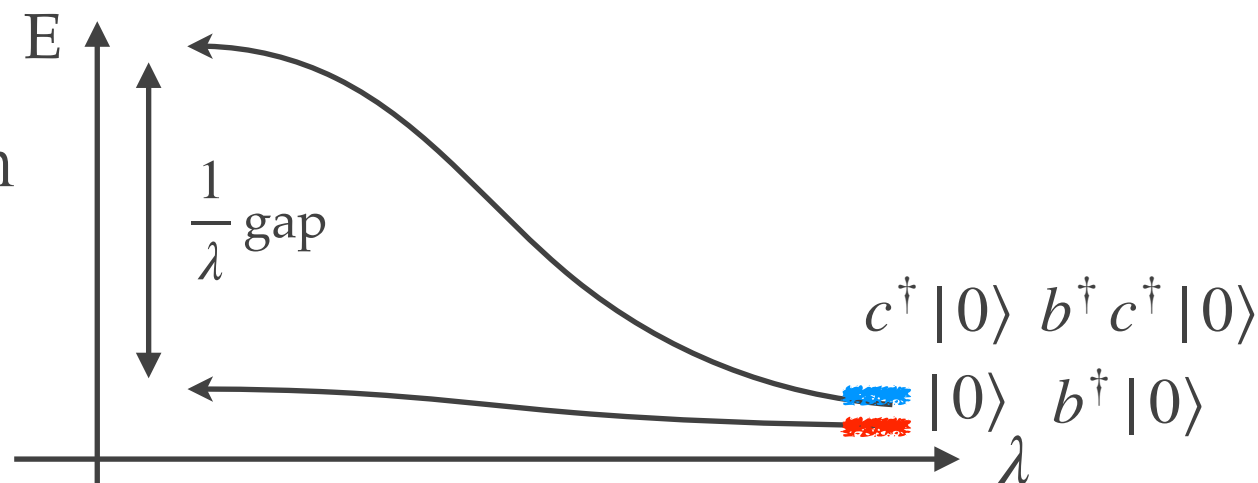
no constraints

$b, b^\dagger, c, c^\dagger$

$$\{b, b^\dagger\} = 1$$

$$\{c, c^\dagger\} = -1$$

- * Spectrum



- * Negative norm: $\langle 0 | c c^\dagger | 0 \rangle = -1$

✓ Non-unitary???

Recovery of Unitarity

* Define J operator: unitary and Hermitian

$$J \equiv 1 + 2c^\dagger c \qquad JcJ = -c \qquad JbJ = b$$
$$Jc^\dagger J = -c^\dagger \qquad Jb^\dagger J = b^\dagger$$

* Define J -inner product

$$\langle \mathcal{O} \rangle_J \equiv \langle J \mathcal{O} \rangle$$

* Positive-definite norm: $\langle cc^\dagger \rangle_J = 1$

Negative Norm or Non-Hermiticity

* In $T\bar{T}$ deformation of fermion, one can define J operator (in large λ limit).

$$H = \int dx \left[\lambda \psi_+ \psi'_+ \psi_- \psi'_- + 3(\pi_- - \frac{i}{2} \psi_-) \psi'_- - 3(\pi_+ - \frac{i}{2} \psi_+) \psi'_+ + \frac{i}{2} \psi_+ \psi'_+ - \frac{i}{2} \psi_- \psi'_- \right] + \mathcal{O}(\lambda^{-1})$$

New J-inner product



New J-Hermitian

H and P cannot be J-Hermitian at the same time!!

➔ $|E, p\rangle$ is not orthogonal

➔ Formula for deformed spectrum is not valid

$$E_n(L, \lambda) = \frac{L}{2\lambda} \left[\sqrt{1 + \frac{4\lambda}{L} E_n + \frac{4\lambda^2}{L^2} P_n^2} - 1 \right]$$

$T\bar{T}$ Deformation of Free Fermion

* From Noether Energy-momentum tensor

$$\mathcal{L} = \frac{i}{2}\psi_+\dot{\psi}_+ + \frac{i}{2}\psi_-\dot{\psi}_- - \frac{i}{2}\psi_+\psi'_+ + \frac{i}{2}\psi_-\psi'_- + \frac{\lambda}{2}(-\psi_+\psi'_+\psi_-\dot{\psi}_- + \psi_+\dot{\psi}_+\psi_-\psi'_-)$$

* From Symmetric Energy-momentum tensor

$$\mathcal{L} = \frac{i}{2}\psi_+\dot{\psi}_+ + \frac{i}{2}\psi_-\dot{\psi}_- - \frac{i}{2}\psi_+\psi'_+ + \frac{i}{2}\psi_-\psi'_- + \frac{3\lambda}{8}(-\psi_+\psi'_+\psi_-\dot{\psi}_- + \psi_+\dot{\psi}_+\psi_-\psi'_-) - \frac{\lambda}{8}\psi_+\dot{\psi}_+\psi_-\dot{\psi}_- + \frac{\lambda}{8}\psi_+\psi'_+\psi_-\psi'_-$$

$T\bar{T}$ Deformation of SUSY Model

- * Super-current $\mathcal{T}_{\mu\alpha}$ containing energy momentum tensor

$$\mathcal{T}_{++} = S_{++} + \theta^+ T_{++} + \theta^- Z_{++} - \theta^+ \theta^- \partial_{++} S_{--}$$

- * Deformed $\mathcal{N} = (1,1)$ SUSY model in the superspace

$$\int d^2\theta (\mathcal{T}_{++} \mathcal{T}_{--} + \mathcal{T}_{+-} \mathcal{T}_{-+})$$

evaluated by Noether procedure

- * Emergent of extra degrees of freedom

$$\mathcal{L}_\lambda = \mathcal{L}_0 + \lambda \mathcal{L}_1 + \lambda^2 \mathcal{L}_2 + \dots$$

$$\psi_+ \dot{\psi}_+ \psi_- \dot{\psi}_-$$



HI!!! I'M HERE, TOO

Summary of Other Results

Topics of Our Paper

Spectrum from
string

Relation to
string actions

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Hamiltonian
Analysis

$N=(1,1)$ SUSY

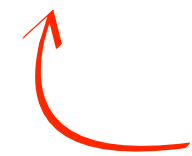
emergent
negative norm state

Supercharges and Fermi Global Charge for (non-SUSY) $T\bar{T}$ deformation of Free $\mathcal{N} = (1,1)$ SUSY Model

► Supercharges

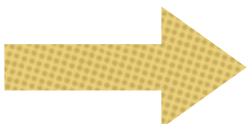
$$Q_+^1 = \int dx \psi_+(\pi + \phi')$$

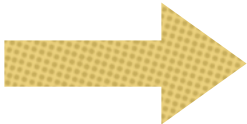
$$Q_-^1 = \int dx \psi_-(\pi - \phi')$$



$$\dot{\phi} = \frac{\pi\sqrt{1+2\lambda\phi^2}}{\sqrt{1+2\lambda\pi^2}} + \dots \neq \pi$$

► Global symmetry

Shift **scalar field** $\phi(x) \longrightarrow \phi(x) + a$  $\mathbb{P}^2 = \frac{2\pi}{L} \int dx \pi$

Shift **fermion** $\psi_{\pm}(x) \longrightarrow \psi_{\pm}(x) + \eta_{\pm}$  $Q_{\pm}^2 = -\frac{8\pi i}{L} \int dx \pi_{\pm}$

a, η_{\pm} : constants

SUSY and Global Symmetry Algebra

SUSY

$$\{Q_{\pm}^1, Q_{\pm}^1\}_D = -2i(H \pm P)$$

$$\{Q_+^1, Q_-^1\}_D = 0$$

Global

$$\{Q_{\pm}^2, Q_{\pm}^2\}_D = -\frac{16\pi^2 i}{L} - \frac{16\pi^2 i \lambda}{L^2} (H \mp P)$$

$$\{Q_+^2, Q_-^2\}_D = 0$$

$$\{Q_{\pm}^1, Q_{\pm}^2\}_D = -2i \left(\mathbb{P}^2 \pm \frac{4\pi^2}{L^2} \mathbb{W}^2 \right)$$

$$\{Q_{\pm}^1, Q_{\mp}^2\}_D = 0$$

3D Target Space SUSY

► $\mathcal{N} = 2$ SUSY of 3D target space

Q_{\pm}^1 : $\mathcal{N} = (1,1)$ supercharge

Q_{\pm}^2 : fermionic global charge

$$\{Q_a^\alpha, Q_b^\beta\}_D = -2i\delta_{ab}(\Gamma^\mu C)^{\alpha\beta}\mathbb{P}_\mu - \frac{2i}{2\pi\ell_s^2}\sigma_{ab}^3 A^{\alpha\beta}$$

topological charge from WZ term

$$\Gamma^\mu C \mathbb{P}_\mu = \begin{pmatrix} H & \mathbb{P}^2 \\ \mathbb{P}^2 & \frac{8\pi^2}{L} + 2\Lambda H \end{pmatrix} \quad A = \Gamma_\mu C \oint d\sigma \partial_\sigma X^\mu = \frac{L^2}{4\pi^2} \begin{pmatrix} P & \frac{4\pi^2}{L^2} W^2 \\ \frac{4\pi^2}{L^2} W^2 & -2\Lambda P \end{pmatrix}$$

Thank You