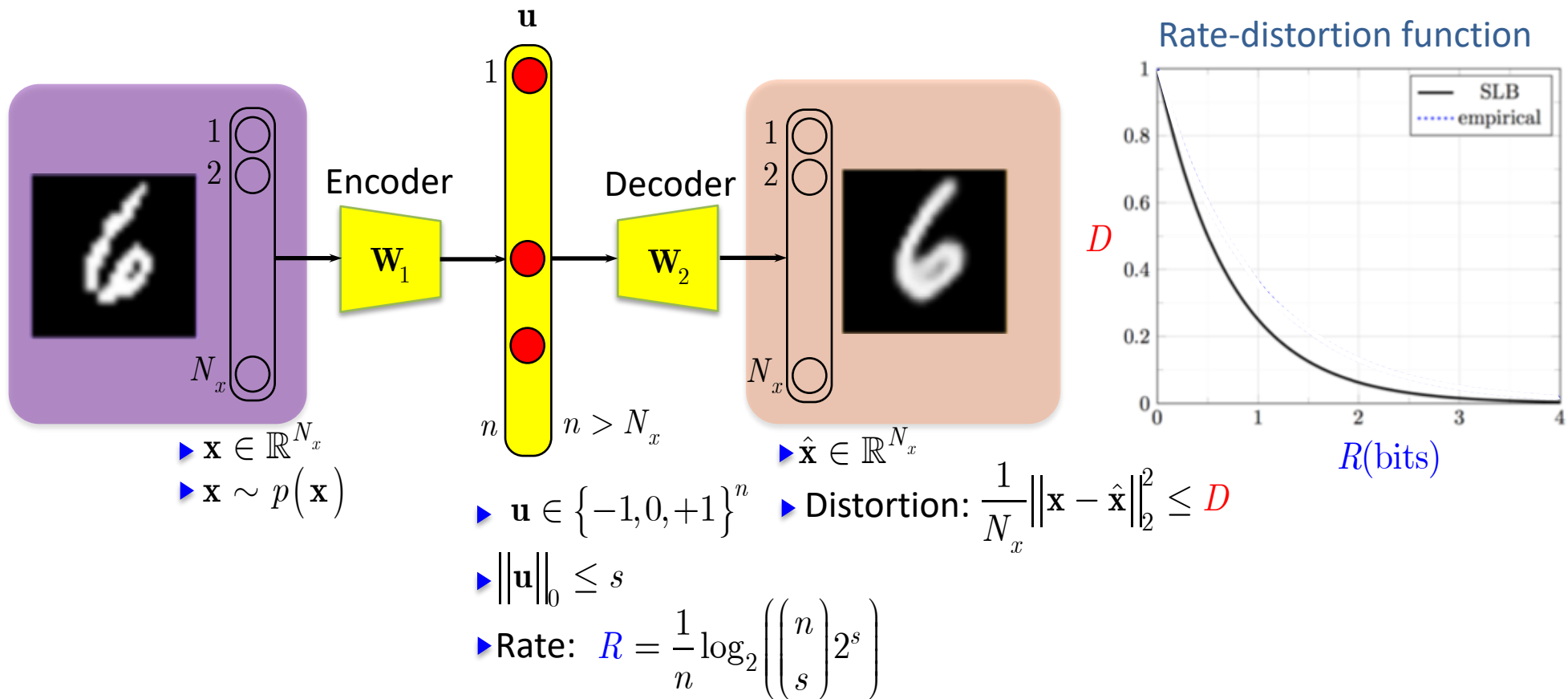


# Fast indexing based on the latent space representation

Slava Voloshynovskiy

University of Geneva  
Switzerland

# Basic framework



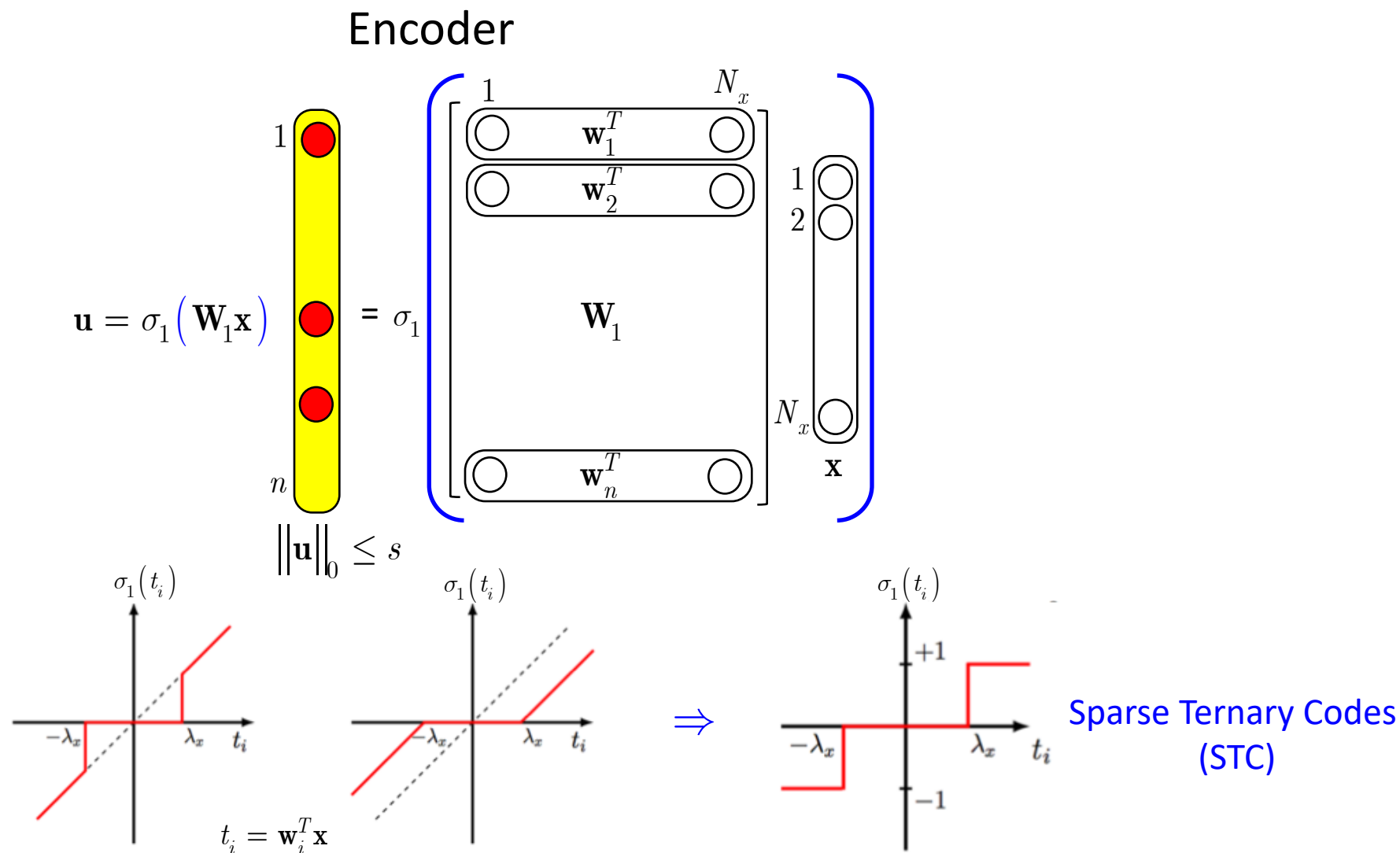
## Remark: Shannon rate-distortion

- ▶  $\mathbf{u} \in \{0, +1\}^{N_x}$
- ▶  $\|\mathbf{u}\|_0 = 1$

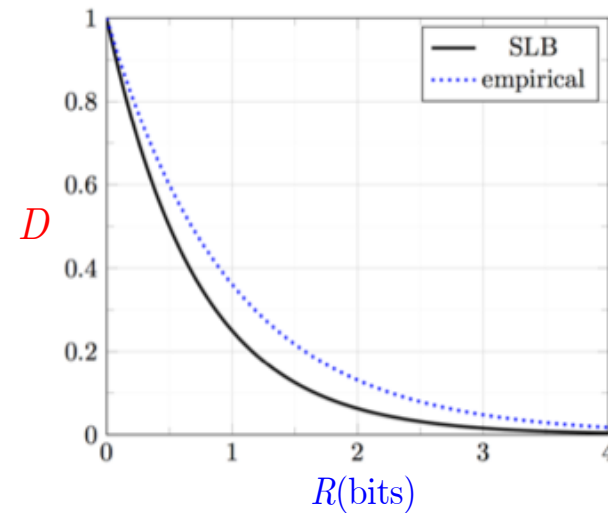
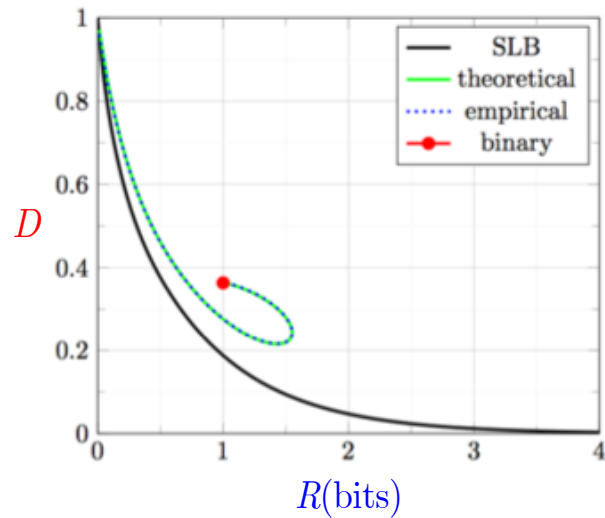
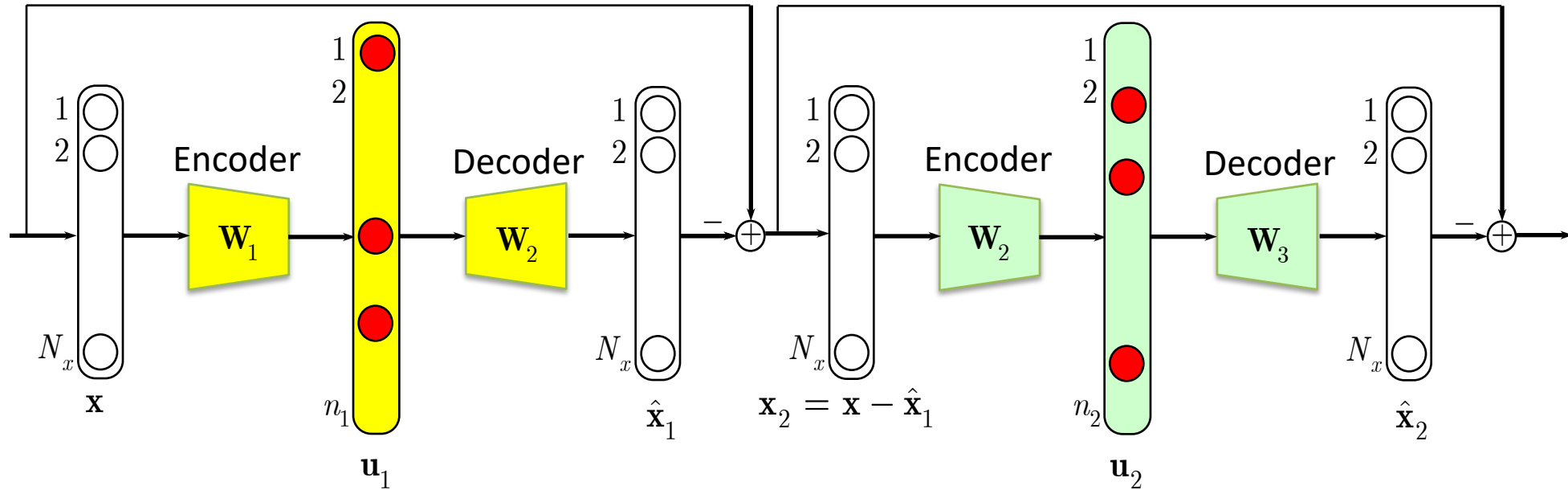
## Impractical result

- ▶  $N_x \rightarrow \infty$
- ▶  $n \sim 2^{N_x R}$  codebook in exponential in  $N_x$
- ▶  $p(\mathbf{x})$  source distribution should be known

# Basic framework

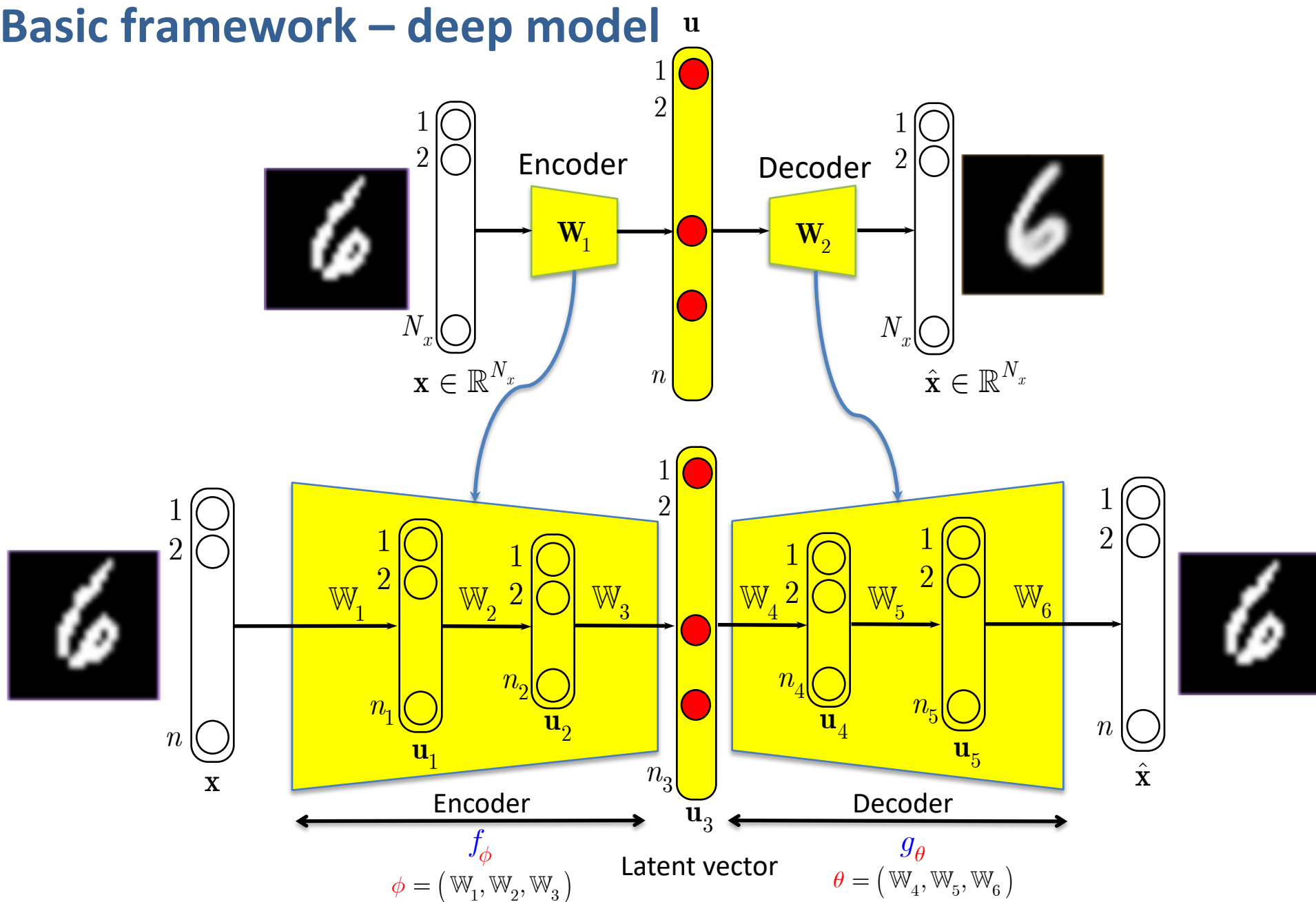


# Basic framework – successive refinement





# Basic framework – deep model



# Applications

- **Regression problems**
  - **Denoising, superresolution, compression**
- **Search and indexing problems**
  - **Physical object security**
- **Classification based on distributed VAEs**

# Applications

- **Regression problems**
  - **Denoising, superresolution, compression, novelty detection**
- Search and indexing problems
  - Physical object security
- Classification based on distributed VAEs

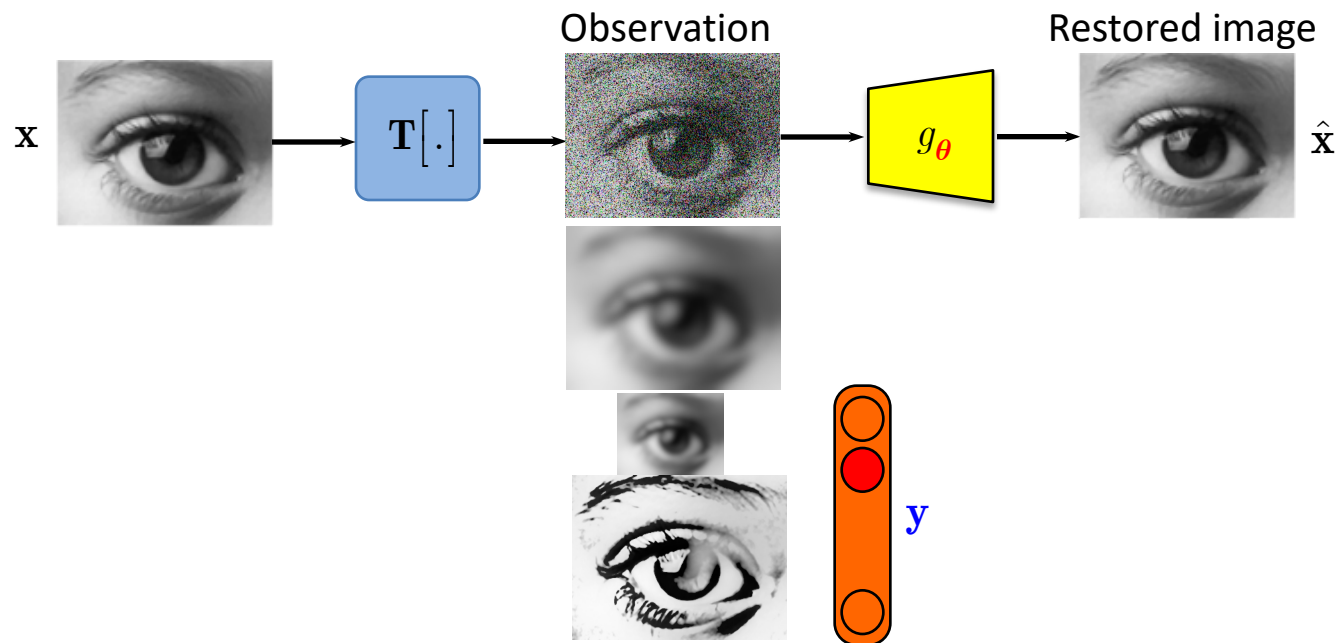
## Regression problems

a common basis  
for most of imaging problems

$$y = \mathbf{T}[\mathbf{x}] + \mathbf{z}$$

- Known
- Unknown

- Sampling
- Compressive sensing
- Learnable compressive sampling
- Denoising
- Restoration
- Compression
- Superresolution
- Inpainting



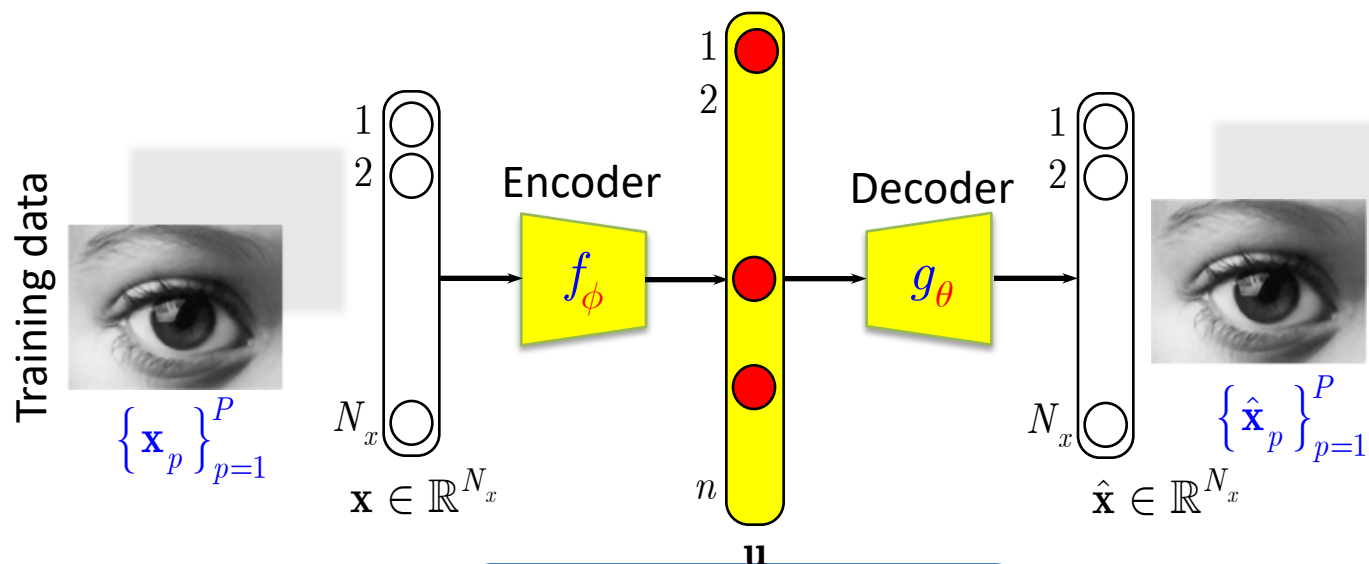
# Regression problems

Smoothness of solution, local correlations ...

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x}} \|\mathbf{y} - \mathbf{T}[\mathbf{x}]\|_2^2 + \lambda \Omega(\mathbf{x})$$

$$\Omega(\mathbf{x}) = -\ln p(\mathbf{x})$$

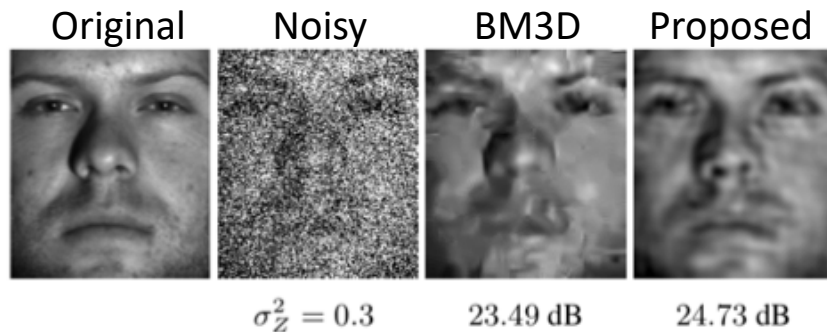
- $\Rightarrow$  often unknown
- $\Rightarrow$  very difficult to describe analytically
- $\Rightarrow$  defined solely based on human expertise
- $\Rightarrow$  domain or application specific



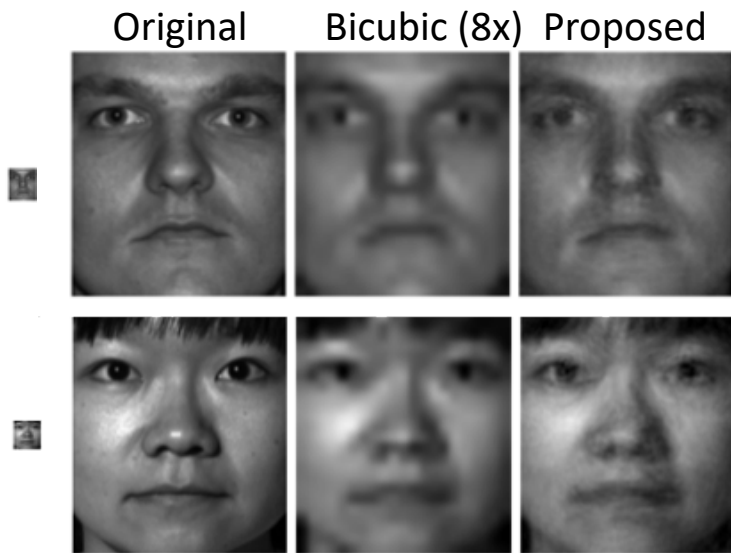
$$(\hat{\phi}, \hat{\theta}) = \arg \min_{\phi, \theta} \sum_{p=1}^P \left( \|\mathbf{u}_p - f_\phi(\mathbf{x}_p)\|_2^2 + \lambda \Omega(\mathbf{u}_p) + \beta \|\mathbf{x}_p - g_\theta(\mathbf{u}_p)\|_2^2 \right) + \lambda_1 \Omega(\phi) + \lambda_2 \Omega(\theta)$$

## Regression problems

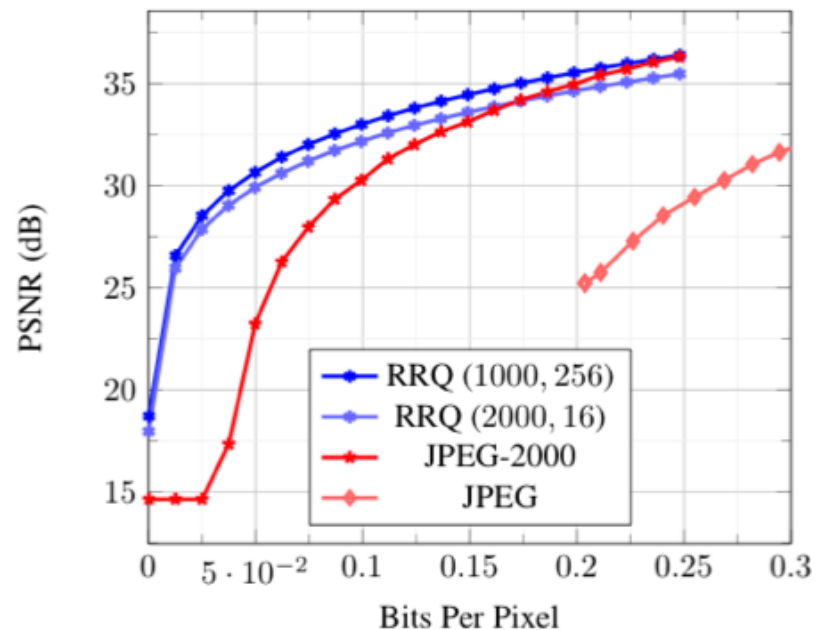
### Denoising



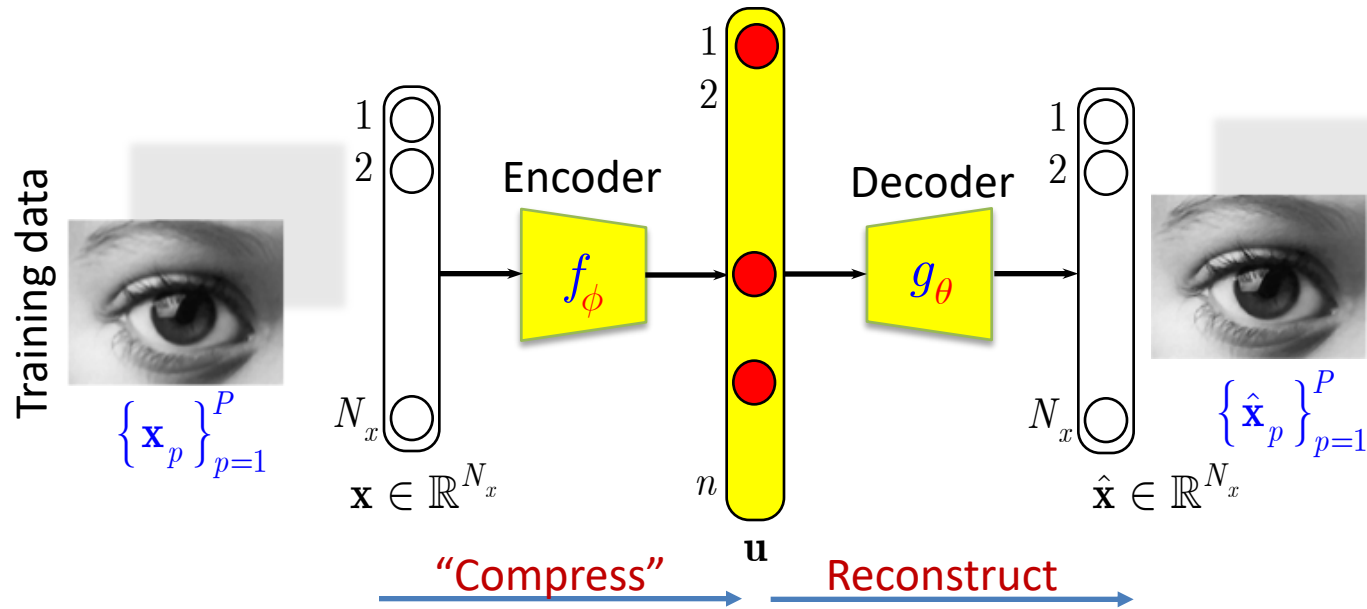
### Superresolution (single image)



### Lossy image compression (domain adapted compression)



## Link to Information Bottleneck formulation

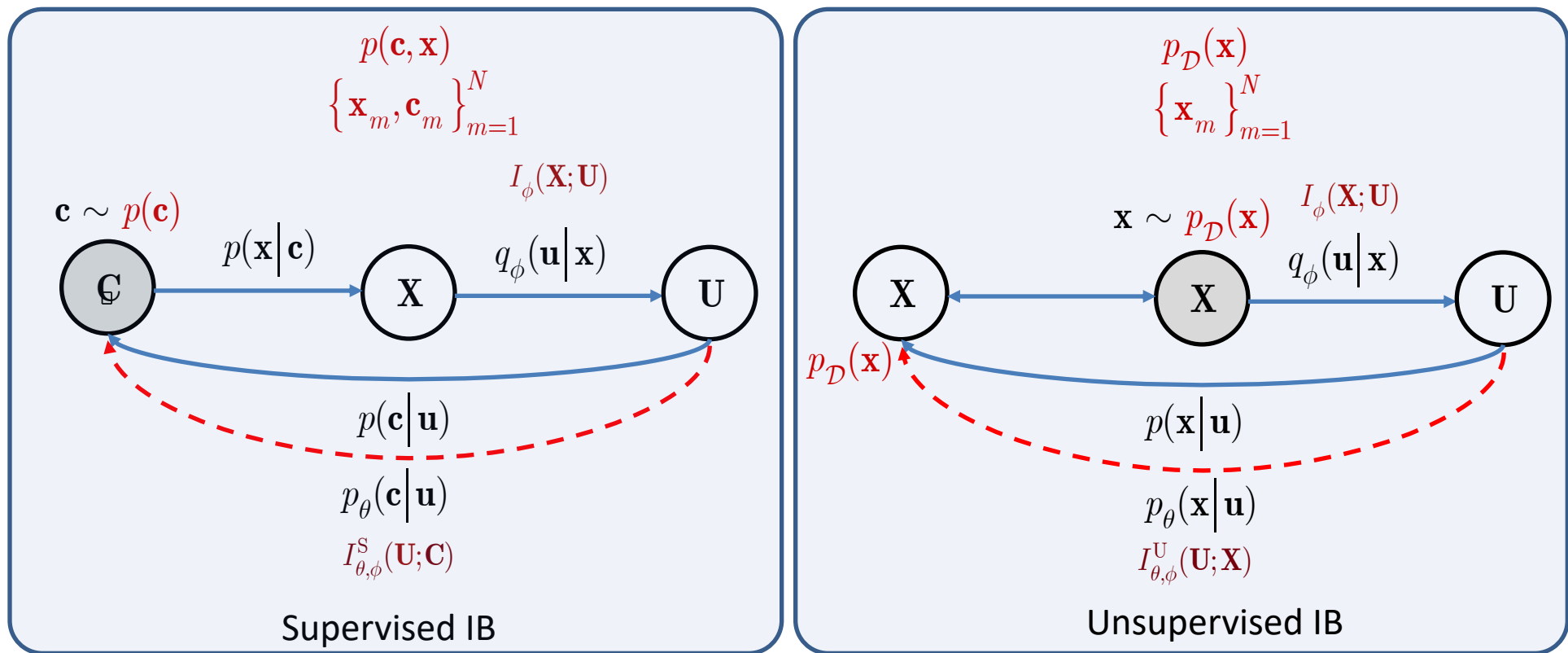


### Information Bottleneck for AE formulation

$$(\hat{\phi}, \hat{\theta}) = \arg \min_{\phi, \theta} \mathcal{L}_{\text{IB-AE}}(\phi, \theta)$$

where  $\mathcal{L}_{\text{IB-AE}}(\phi, \theta) = I_\phi(\mathbf{X}; \mathbf{U}) - \beta I_{\phi, \theta}(\mathbf{U}; \mathbf{X})$

## Link to Information Bottleneck formulation



$$\mathcal{L}_S(\phi, \theta) = I_\phi(\mathbf{X}; \mathbf{U}) - \beta I_{\phi, \theta}^S(\mathbf{U}; \mathbf{C})$$

$$\mathcal{L}_{\text{IB-AE}}(\phi, \theta) = I_\phi(\mathbf{X}; \mathbf{U}) - \beta I_{\phi, \theta}^U(\mathbf{U}; \mathbf{X})$$



## Link to Information Bottleneck formulation

### Bounded Information Bottleneck AE (BIB-AE)

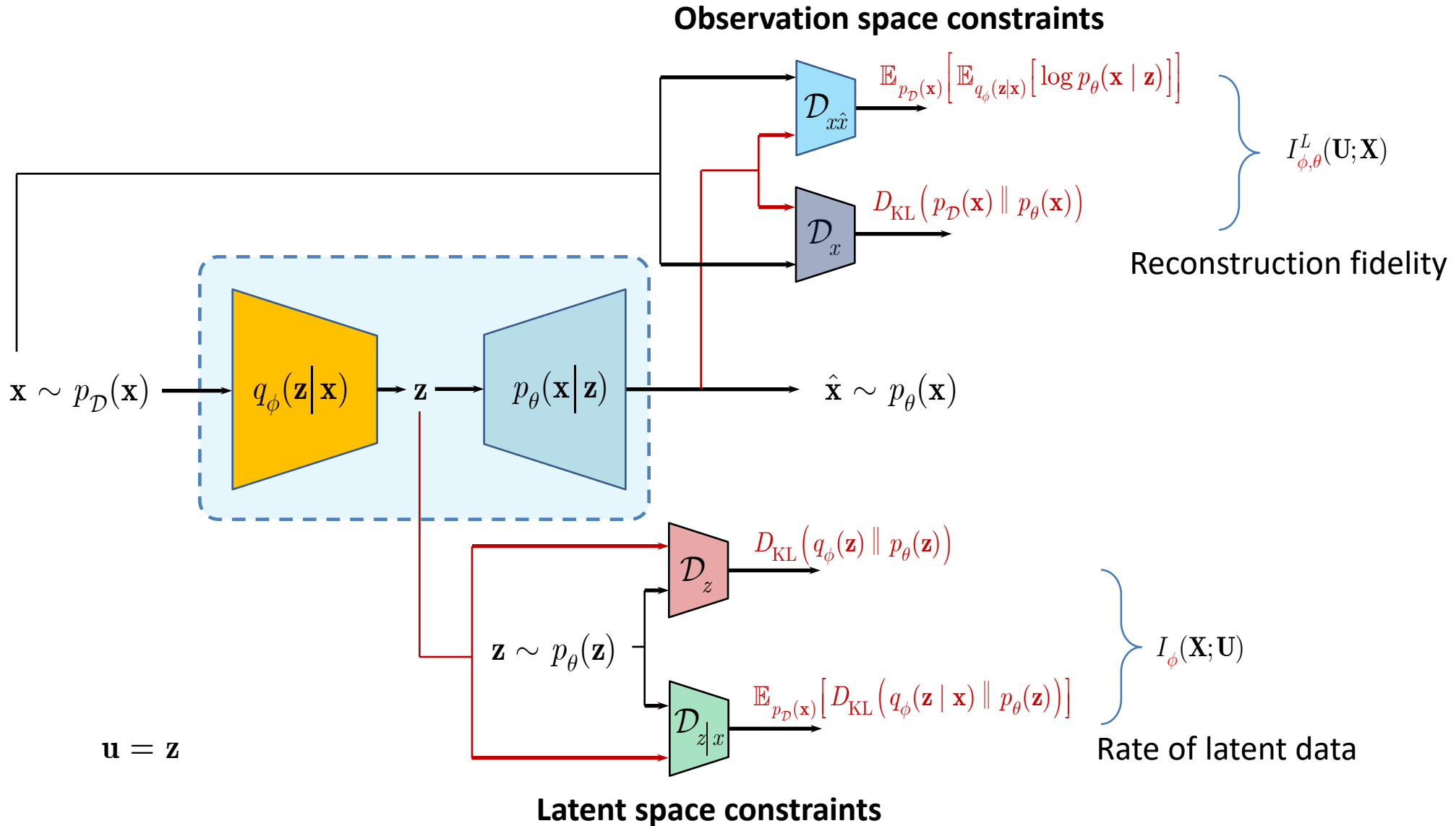
$$\mathcal{L}_{\text{BIB-AE}}(\phi, \theta) = I_{\phi}(\mathbf{X}; \mathbf{U}) - \beta I_{\phi, \theta}^L(\mathbf{U}; \mathbf{X})$$

where  $I_{\phi, \theta}^L(\mathbf{U}; \mathbf{X}) \leq I_{\phi, \theta}(\mathbf{U}; \mathbf{X})$

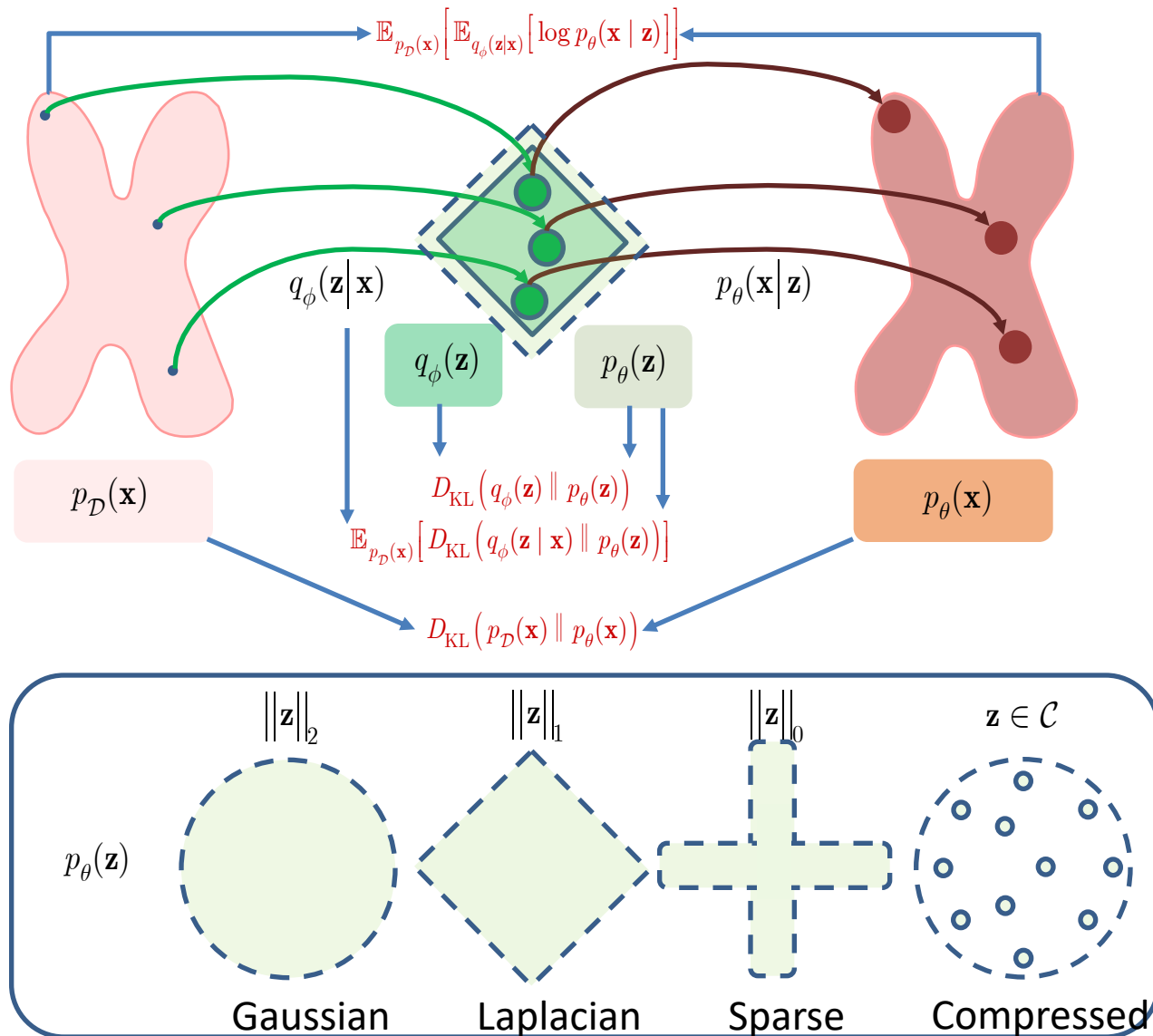
$$I_{\phi}(\mathbf{X}; \mathbf{U}) = \underbrace{\mathbb{E}_{p_{\mathcal{D}}(\mathbf{x})} \left[ D_{\text{KL}} \left( q_{\phi}(\mathbf{z} | \mathbf{x}) \parallel p_{\theta}(\mathbf{z}) \right) \right]}_{\text{A}} - \underbrace{D_{\text{KL}} \left( q_{\phi}(\mathbf{z}) \parallel p_{\theta}(\mathbf{z}) \right)}_{\text{B}}$$

$$I_{\phi, \theta}^L(\mathbf{U}; \mathbf{X}) = \underbrace{\mathbb{E}_{p_{\mathcal{D}}(\mathbf{x})} \left[ \mathbb{E}_{q_{\phi}(\mathbf{z} | \mathbf{x})} \left[ \log p_{\theta}(\mathbf{x} | \mathbf{z}) \right] \right]}_{\text{C}} - \underbrace{D_{\text{KL}} \left( p_{\mathcal{D}}(\mathbf{x}) \parallel p_{\theta}(\mathbf{x}) \right)}_{\text{D}}$$

# Link to Information Bottleneck formulation



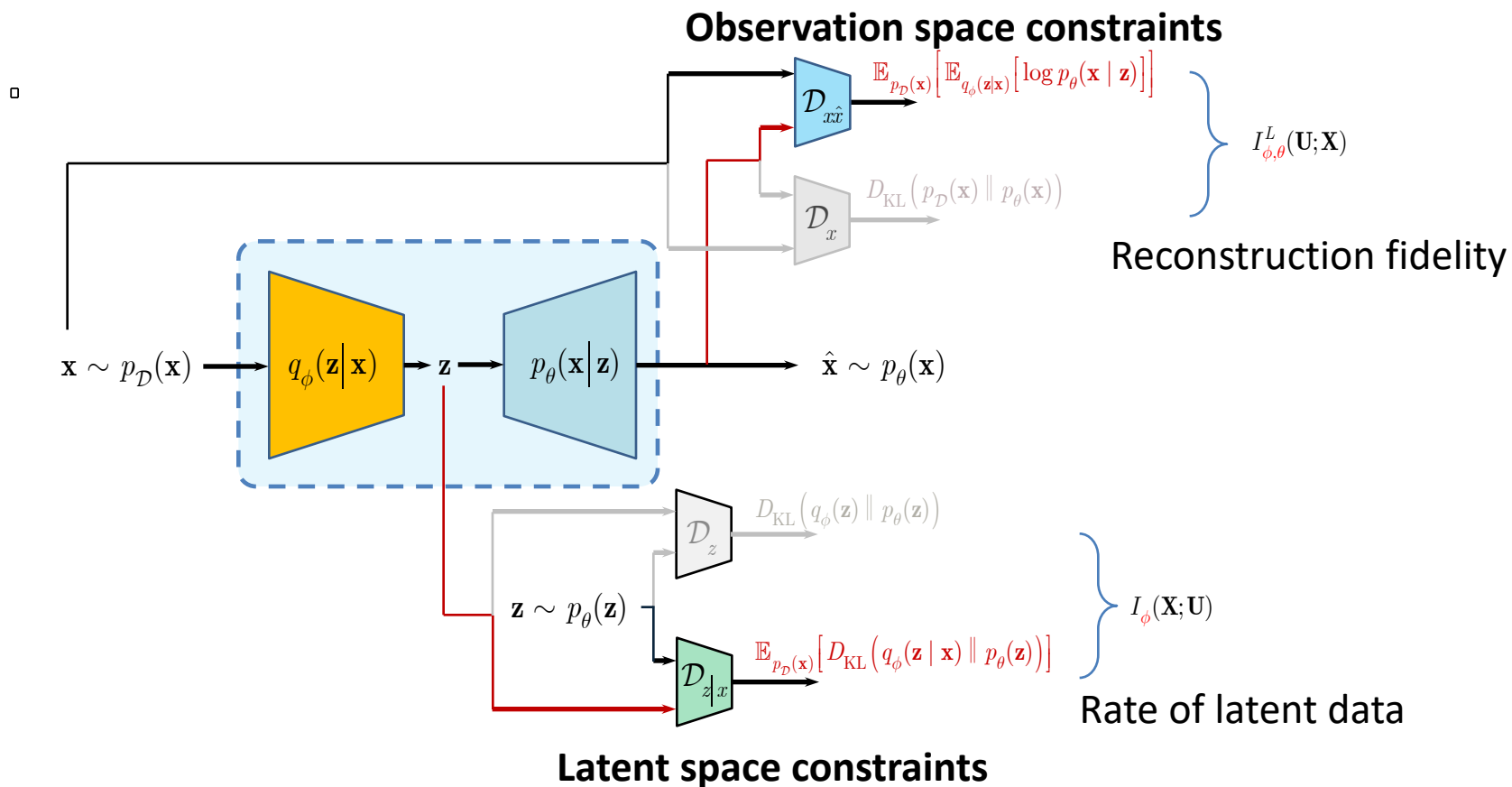
## Link to Information Bottleneck formulation



## Link to Information Bottleneck formulation

### VAE and $\beta$ -VAE: Variational Autoencoder

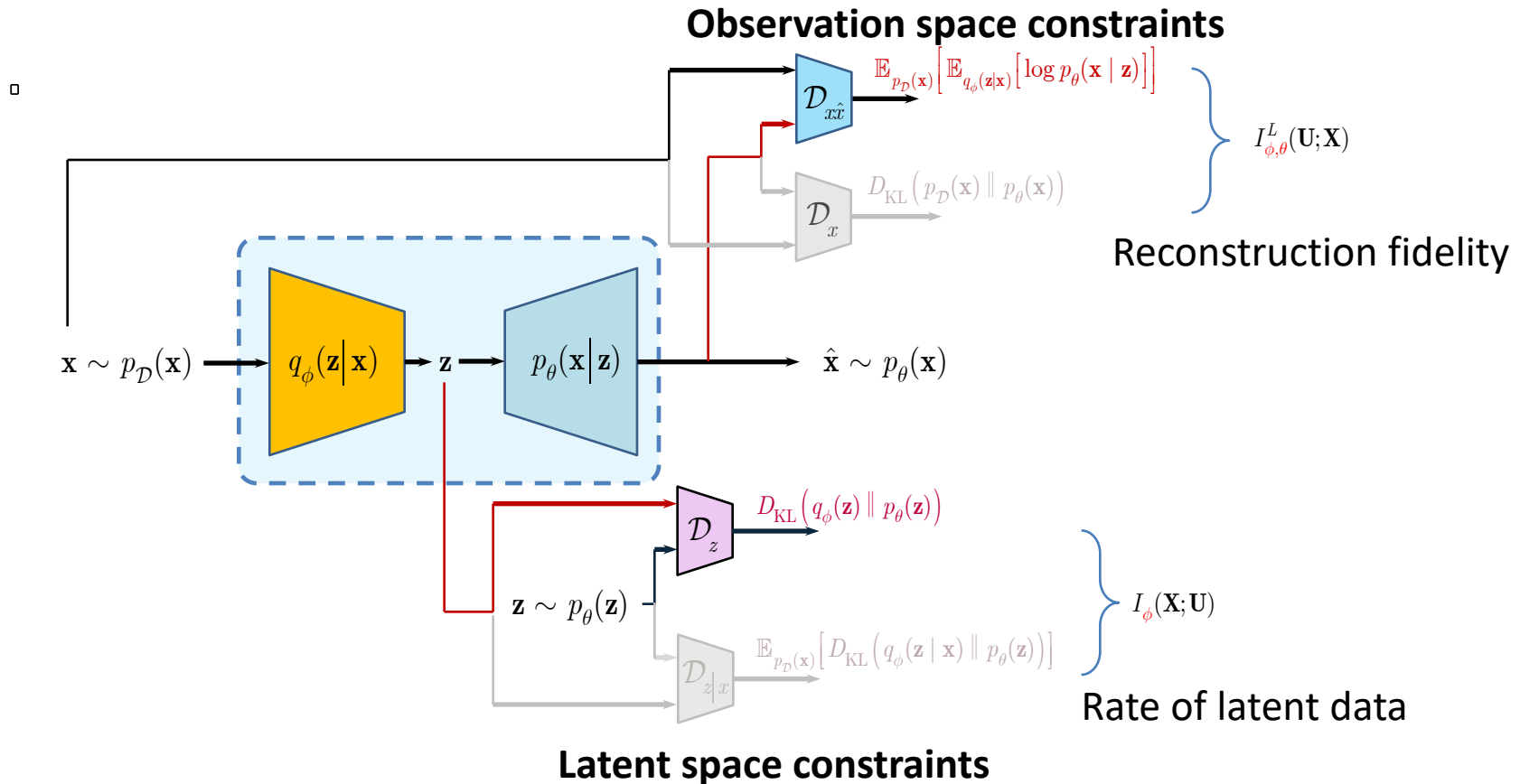
$$\mathcal{L}_{\text{VAE}}(\phi, \theta) = \mathbb{E}_{p_{\mathcal{D}}(\mathbf{x})} \left[ D_{\text{KL}} \left( q_{\phi}(\mathbf{z} | \mathbf{x}) \parallel p_{\theta}(\mathbf{z}) \right) \right] - \beta \mathbb{E}_{p_{\mathcal{D}}(\mathbf{x})} \left[ \mathbb{E}_{q_{\phi}(\mathbf{z} | \mathbf{x})} \left[ \log p_{\theta}(\mathbf{x} | \mathbf{z}) \right] \right]$$



## Link to Information Bottleneck formulation

### AAE: Adversarial Autoencoder

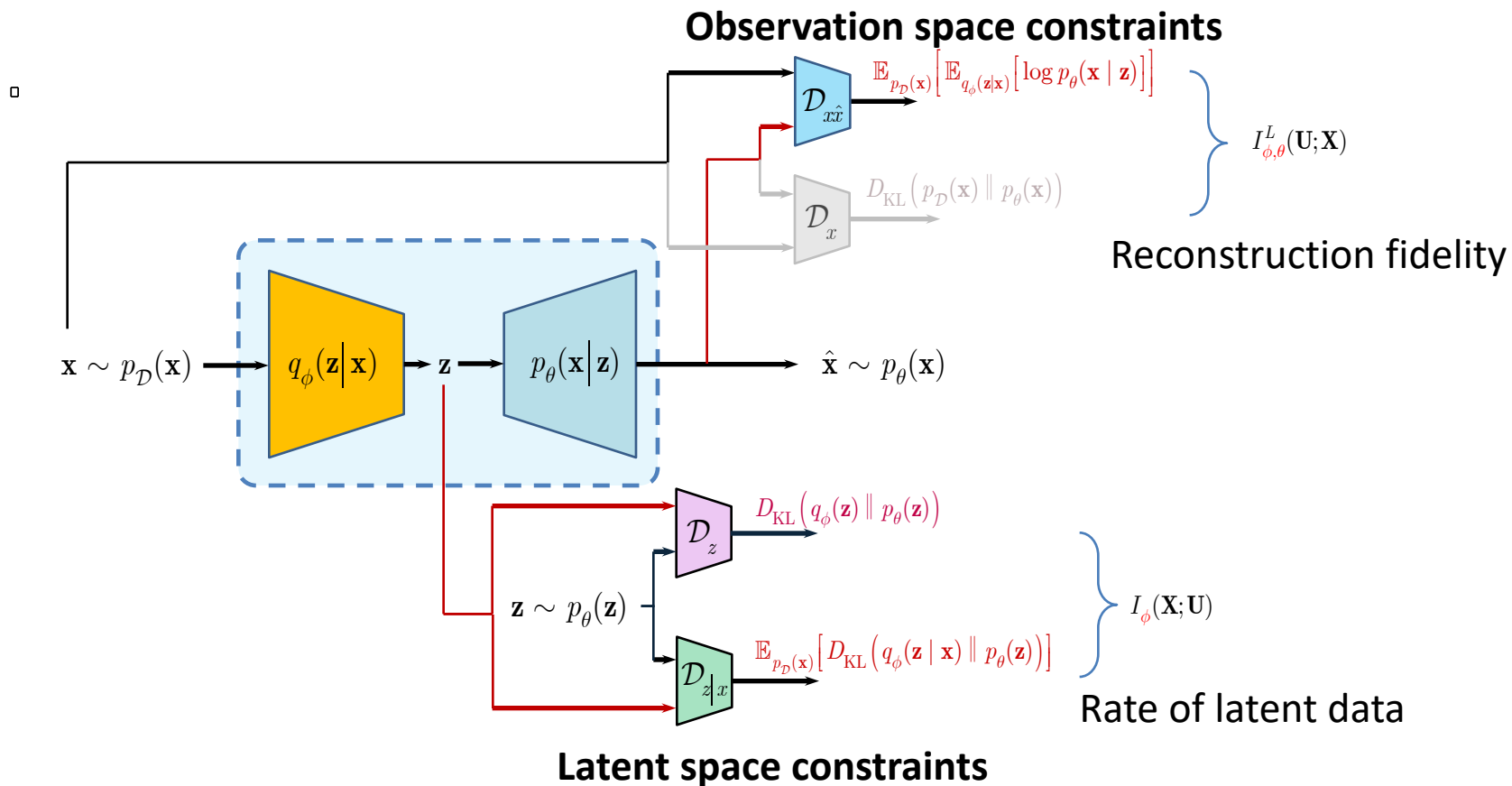
$$\mathcal{L}_{\text{AAE}}(\phi, \theta) = \mathbb{E}_{p_{\mathcal{D}}(\mathbf{x})} \left[ D_{\text{KL}} \left( q_{\phi}(\mathbf{z}) \parallel p_{\theta}(\mathbf{z}) \right) \right] - \beta \mathbb{E}_{p_{\mathcal{D}}(\mathbf{x})} \left[ \mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})} \left[ \log p_{\theta}(\mathbf{x} | \mathbf{z}) \right] \right]$$



## Link to Information Bottleneck formulation

### InfoVAE:

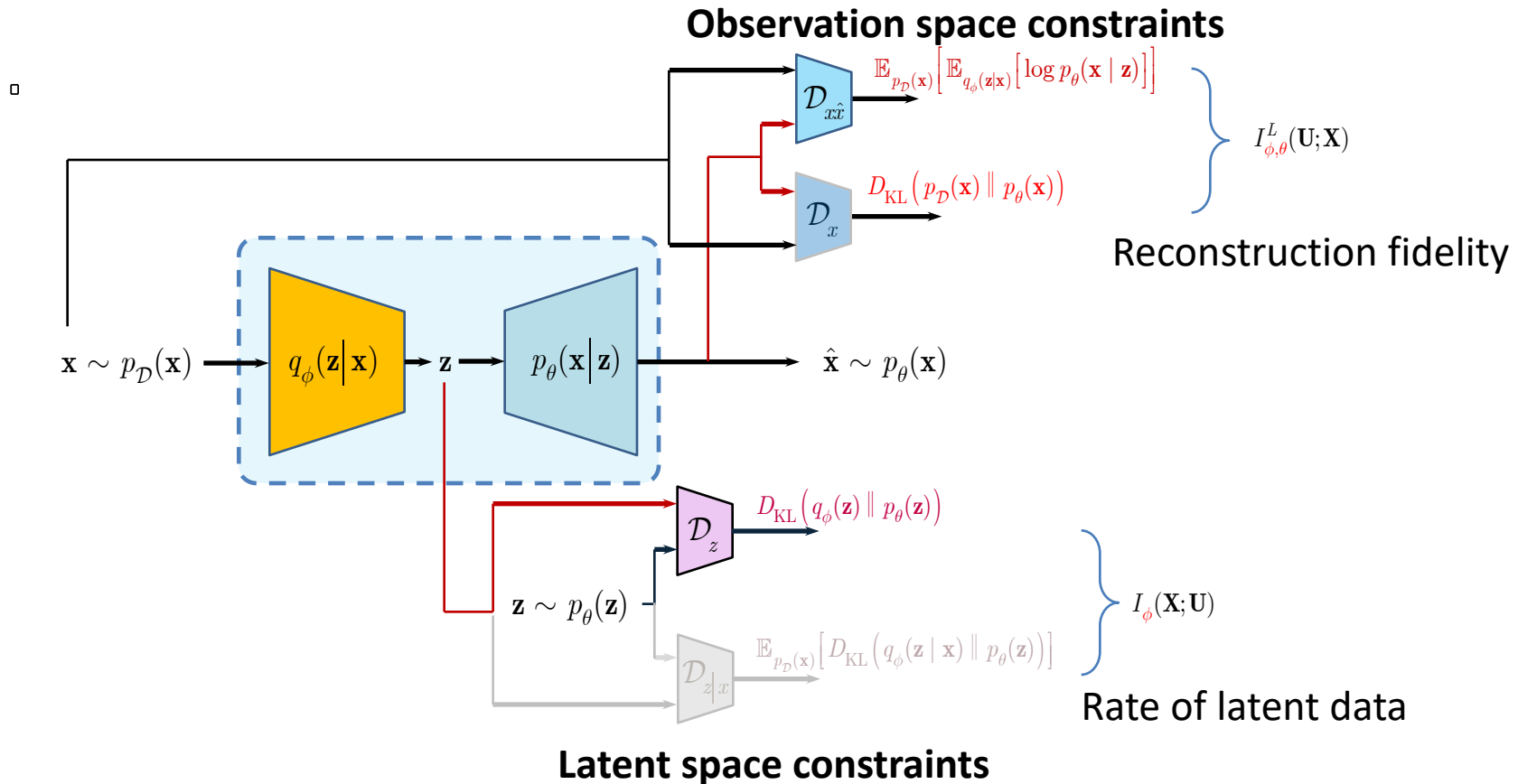
$$\mathcal{L}_{\text{InfoVAE}}(\phi, \theta) = I_{\phi}(\mathbf{X}; \mathbf{U}) - \beta \mathbb{E}_{p_{\mathcal{D}}(\mathbf{x})} \left[ \mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})} \left[ \log p_{\theta}(\mathbf{x} | \mathbf{z}) \right] \right]$$



# Information Bottleneck based Novelty Detection

## ND-AAE

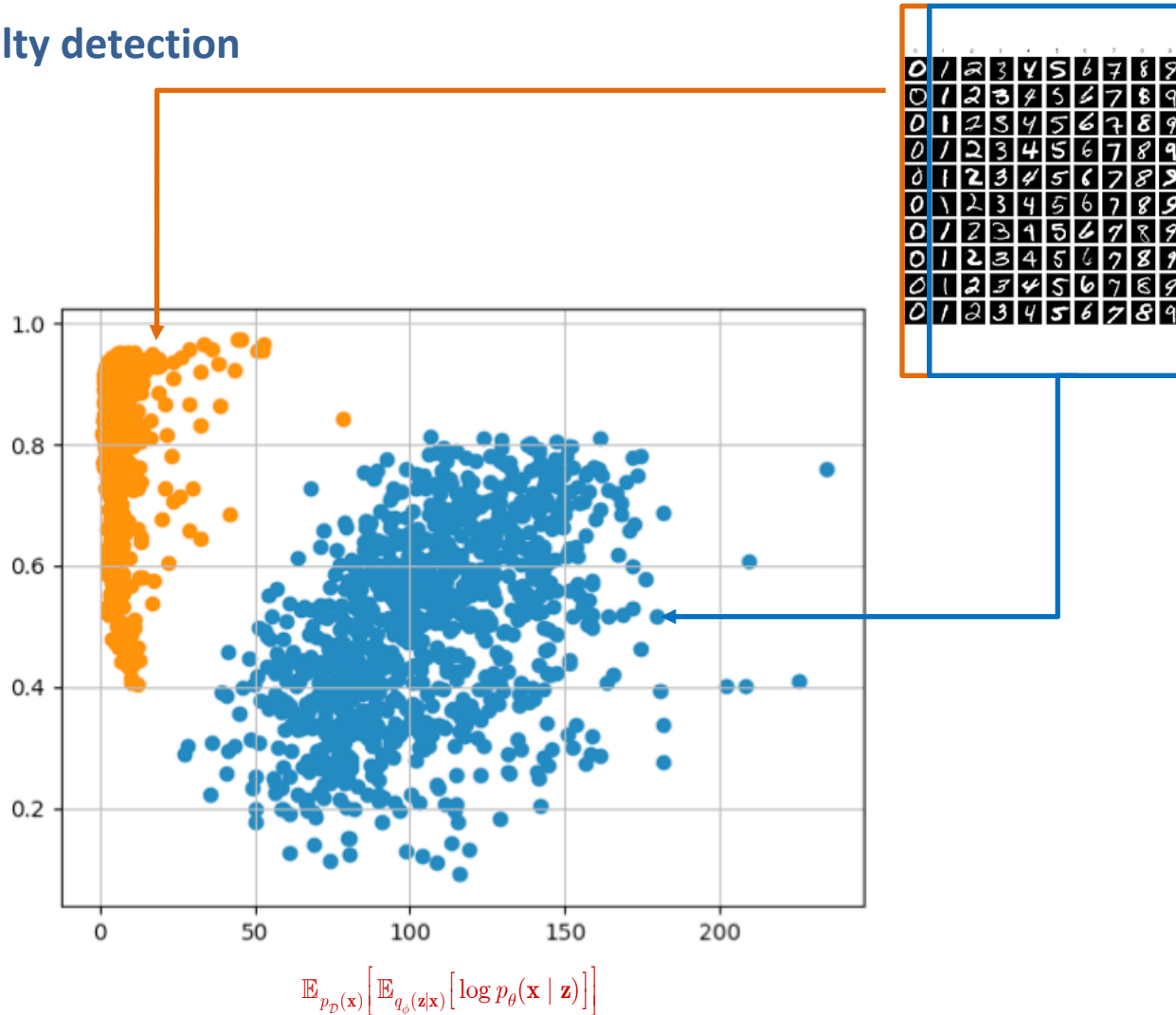
$$\mathcal{L}_{ND-AAE}(\phi, \theta) = \mathbb{E}_{p_{\mathcal{D}}(\mathbf{x})} \left[ D_{\text{KL}}(q_{\phi}(\mathbf{z}) \parallel p_{\theta}(\mathbf{z})) \right] - \beta \left[ \mathbb{E}_{p_{\mathcal{D}}(\mathbf{x})} \left[ \mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})} [\log p_{\theta}(\mathbf{x} | \mathbf{z})] \right] \right] + D_{\text{KL}}(p_{\mathcal{D}}(\mathbf{x}) \parallel p_{\theta}(\mathbf{x}))$$



# Link to Information Bottleneck formulation

## Link to novelty detection

$$D_{\text{KL}}(p_{\mathcal{D}}(\mathbf{x}) \parallel p_{\theta}(\mathbf{x}))$$





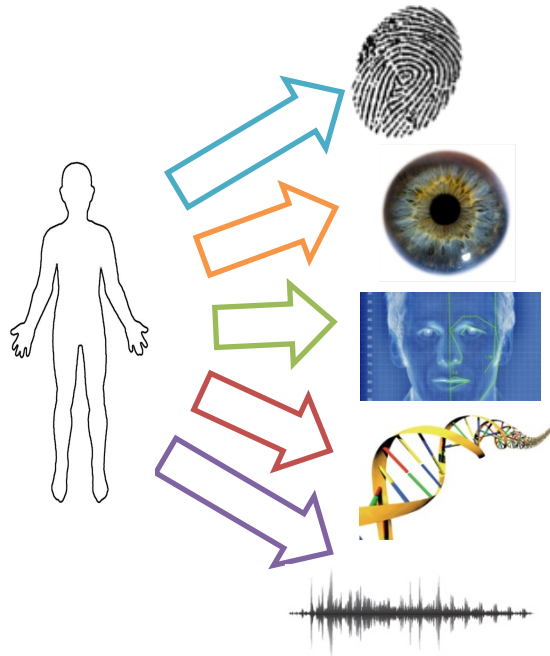
# Applications

- Regression problems
  - Denoising, superresolution, compression, novelty detection
- **Search and indexing problems**
  - **Physical object security**
- Adversarial machine learning
  - Countermeasures

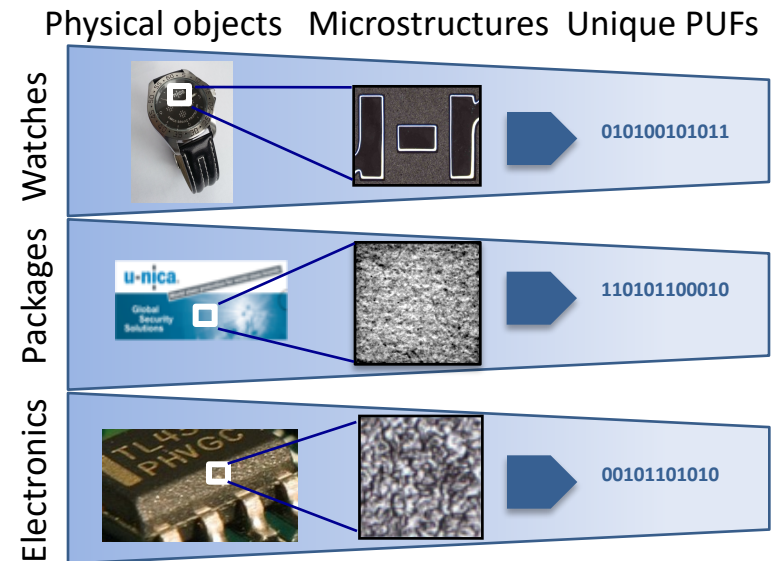
# Object identification

## Intuition behind physical uncloneable functions (PUFs)

### Humans = biometrics



### Physical Objects

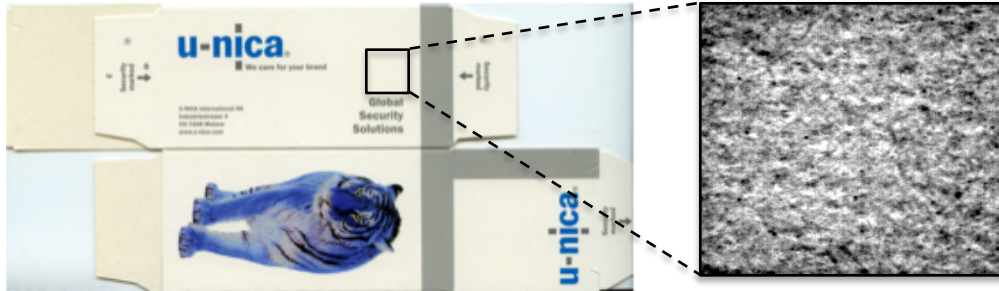


All physical objects are unique like humans

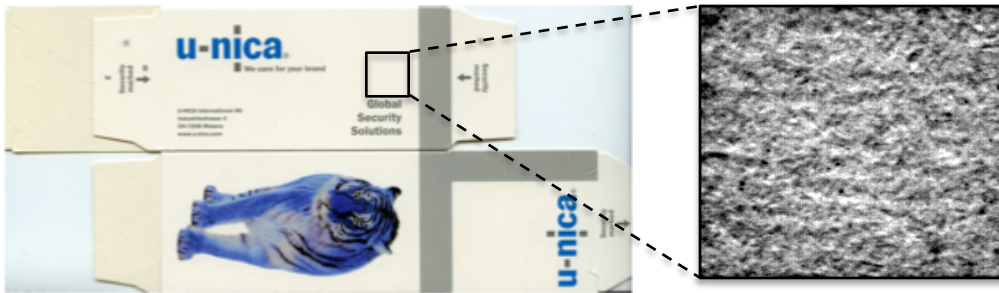
# Object identification

Paper microstructures = PUFs

Package 1

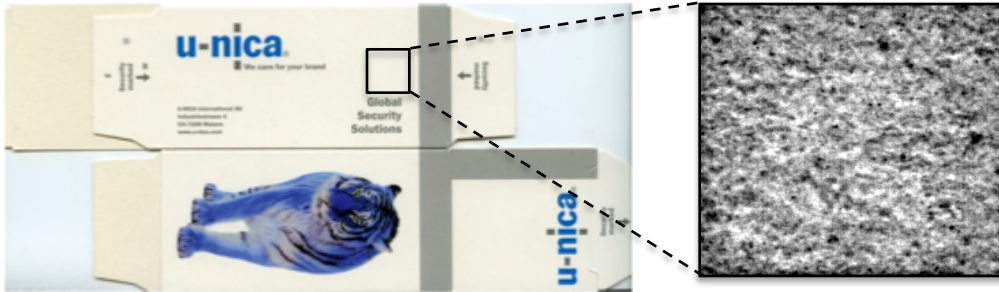


Package 2



⋮

Package M



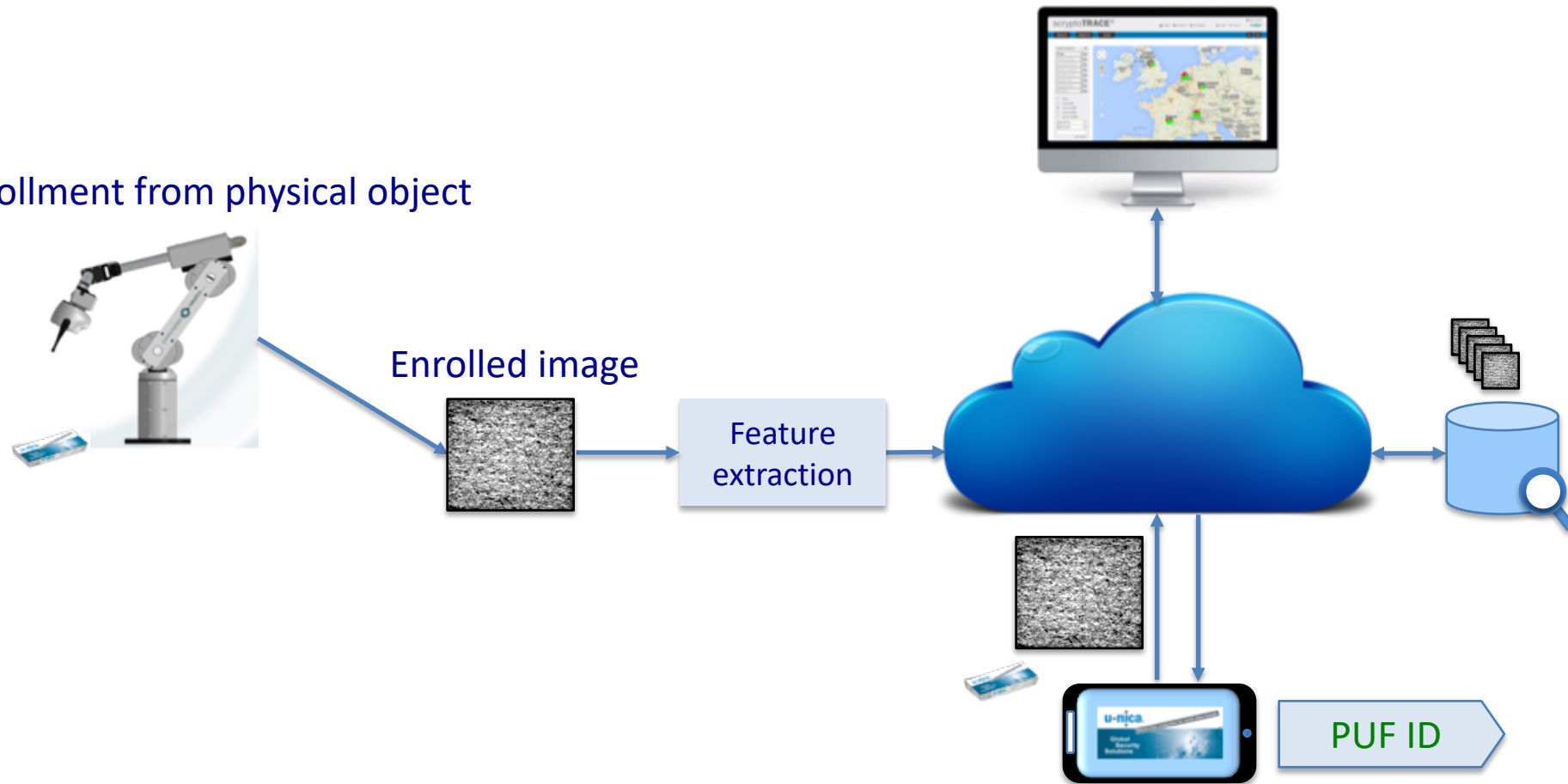
Individually unique PUFs

= unique identifier for  
Track&Trace

Visibly packages look identical

# Object identification

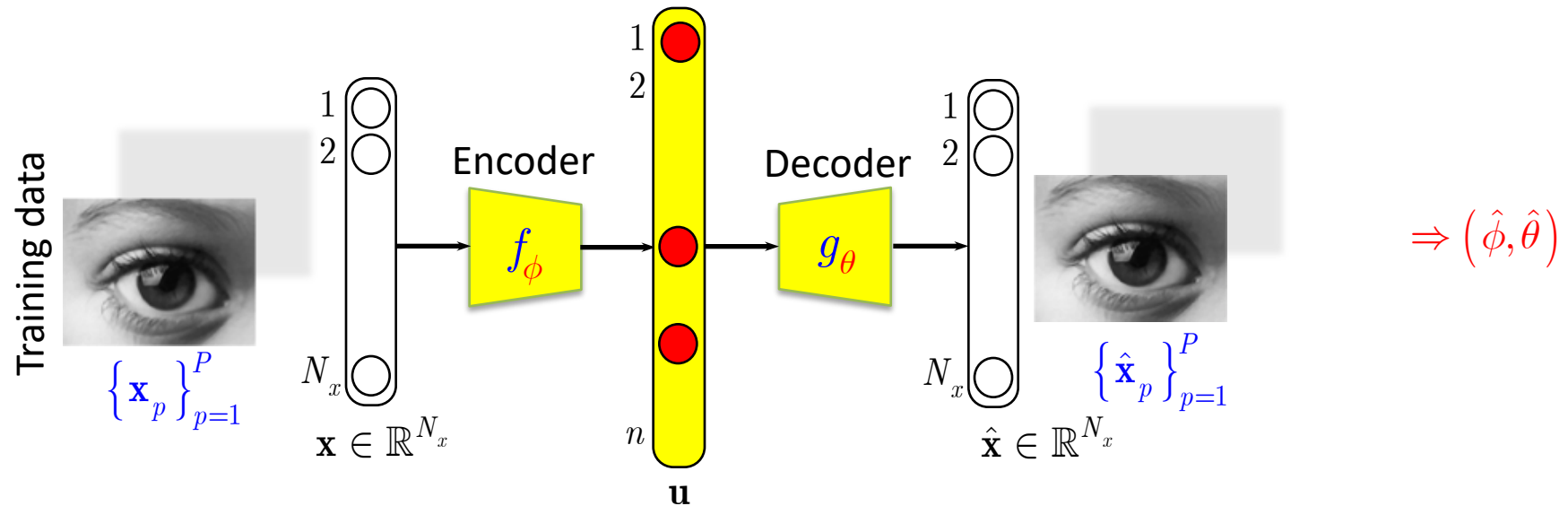
Enrollment from physical object



## Open issue:

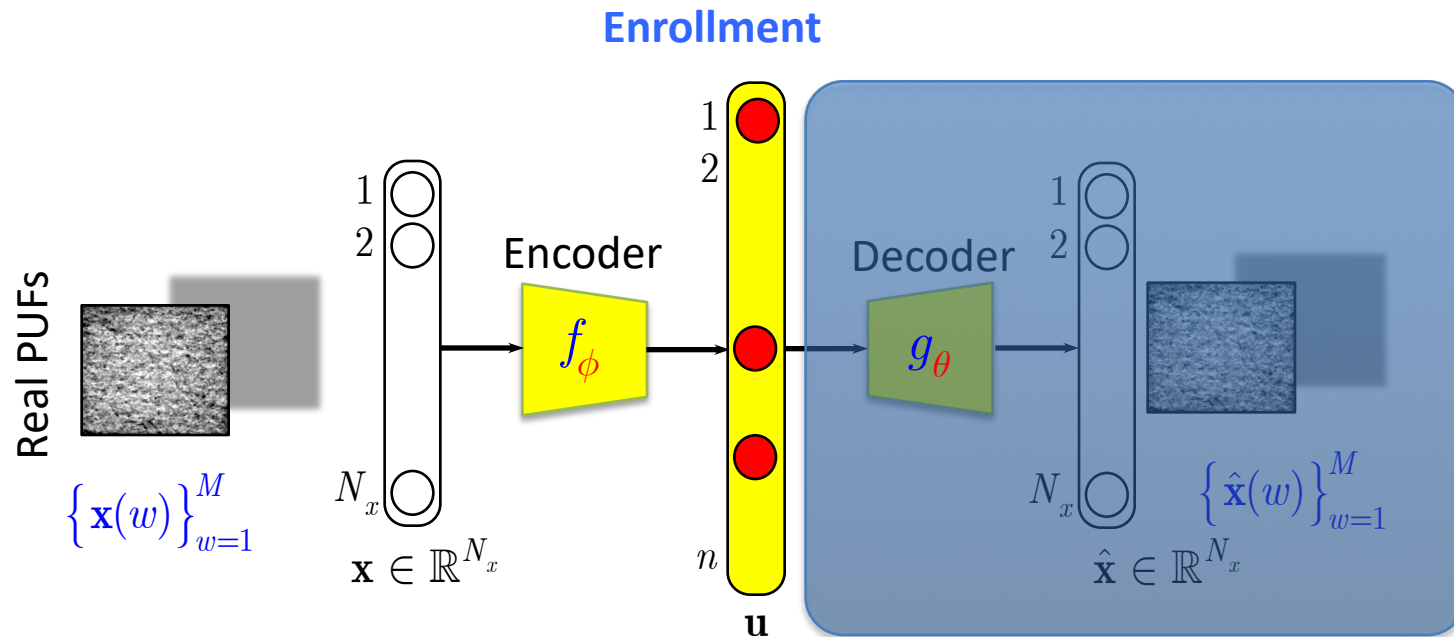
Big Data (millions of objects with high-dimensional features)

## Fast search based on STC



## Open issue:

## Big Data (millions of objects with high-dimensional features)



	Input data					
Latent	x(1)	x(2)	x(3)	x(4)	...	x(M)
$\mathbf{u}(1)$	0	0	-1	0	...	+1
$\mathbf{u}(2)$	0	+1	0	-1	...	0
$\mathbf{u}(3)$	-1	0	0	0	...	0
...					...	
$\mathbf{u}(i)$	0	+1	0	+1	...	-1
...					...	
...					...	
$\mathbf{u}(n)$	+1	0	0	-1		0

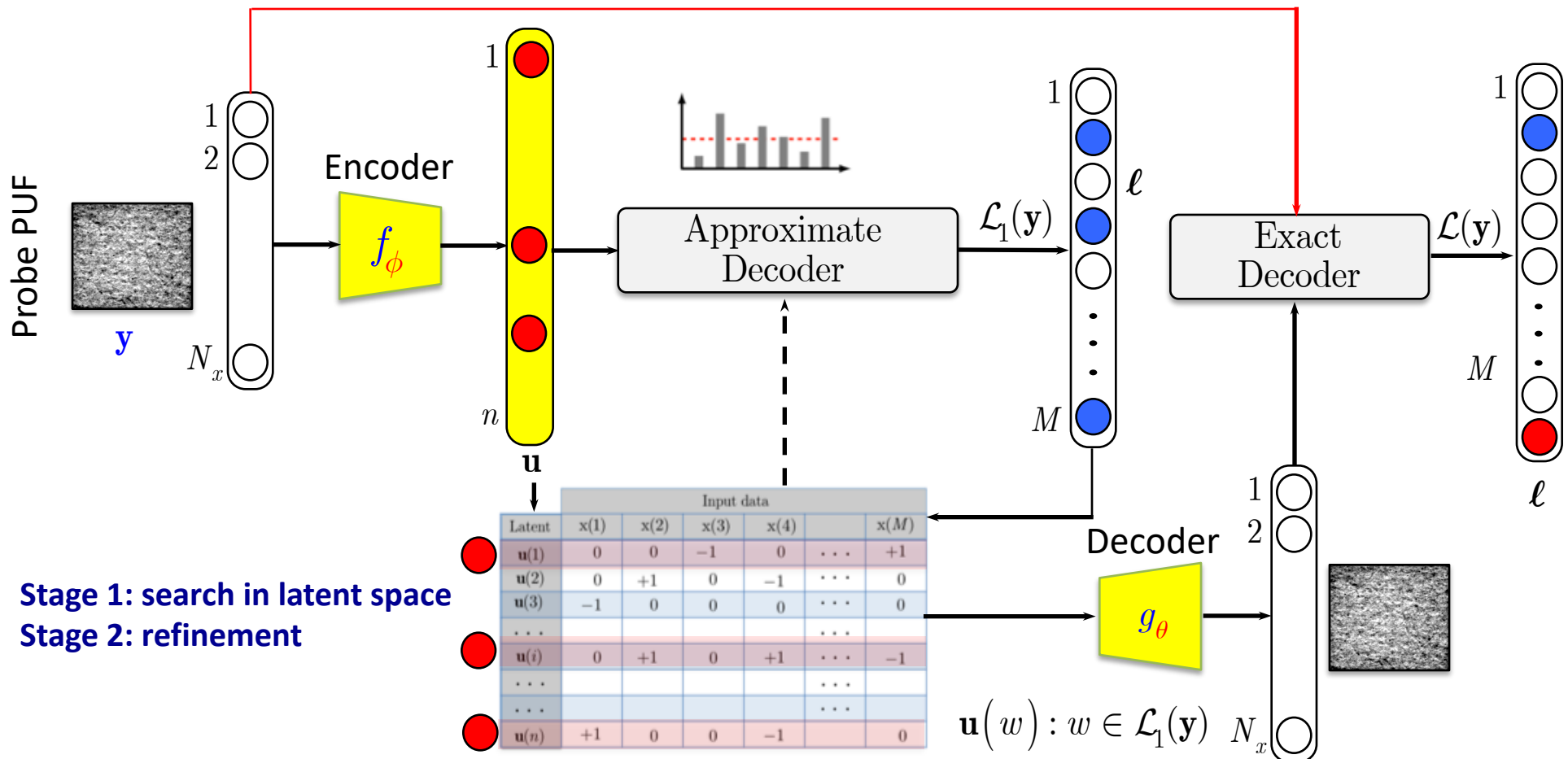
Very sparse representation

- efficient storage
- suitable for fast indexing

## Open issue:

## Big Data (millions of objects with high-dimensional features)

### Identification



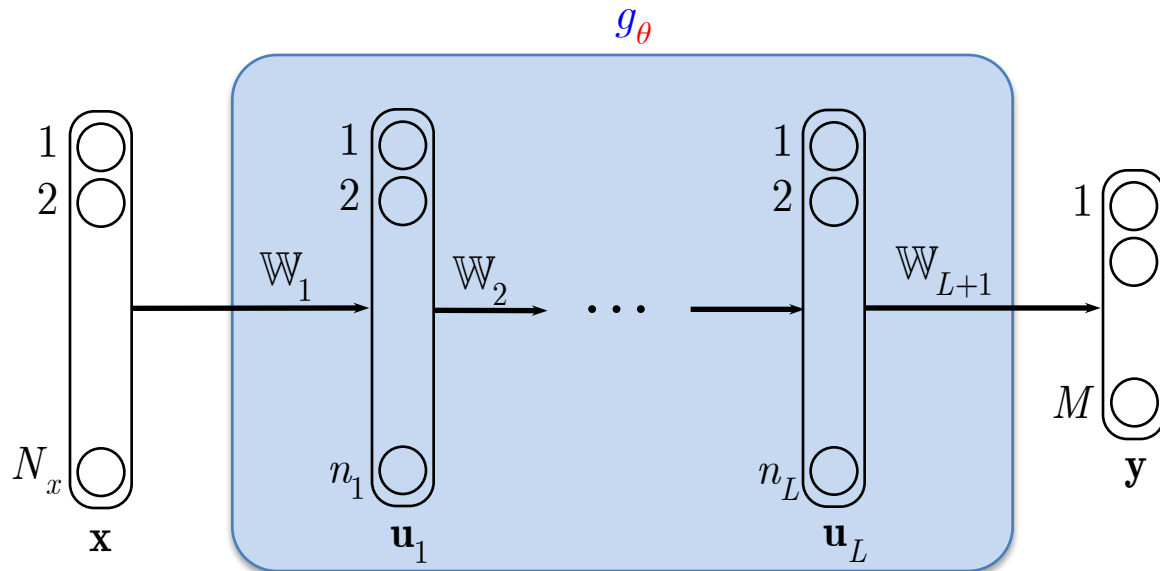
# Applications

- Regression problems
  - Denoising, superresolution, compression
  - Learnable compressive sampling
- Search and indexing problems
  - Physical object security
- **Classification based on distributed VAEs**



# Modern “framework” of classification

## End-to-end training of classifiers



Classifier parameters

$$\theta = (\mathbb{W}_1, \mathbb{W}_2, \dots, \mathbb{W}_{L+1})$$

Labels of classes

$$w \in \mathcal{W} = \{1, 2, \dots, M\}$$

$$p \in \mathcal{P}_S = \{1, 2, \dots, P_S\}$$

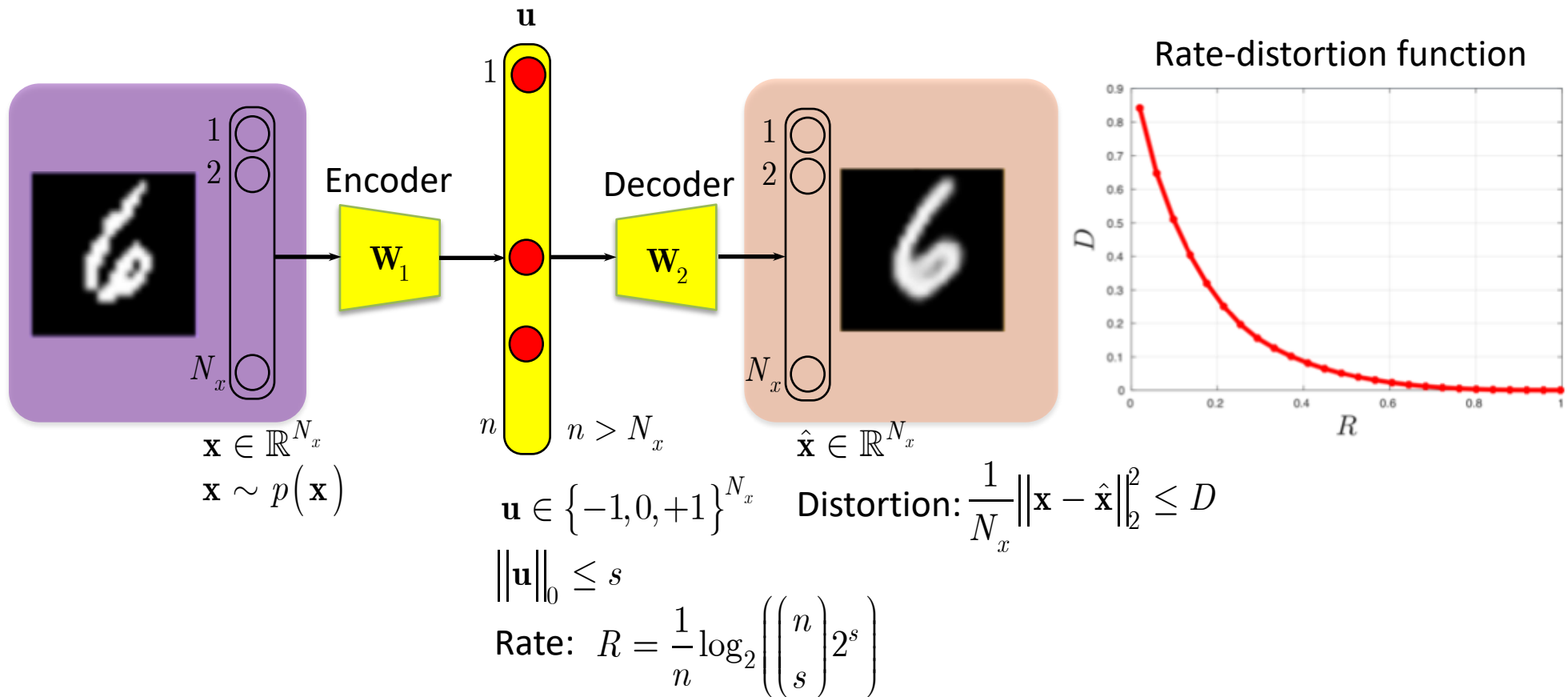
$$\hat{\theta} = \arg \min_{\theta} \sum_{w=1}^M \sum_{p=1}^{P_S} L(\mathbf{y}_p(w), g_\theta(\mathbf{x}_p(w))) + \lambda \Omega(\theta)$$

$$g_\theta(\mathbf{x}) = \sigma_{L+1}(\mathbb{W}_{L+1} \dots \sigma_1(\mathbb{W}_1 \mathbf{x}))$$

+ Fully “automated procedure” but:

- a lot of training data are needed
- highly supervised
- “physics” of training process and nature of learned features are poorly understood
- vulnerable to adversarial attacks

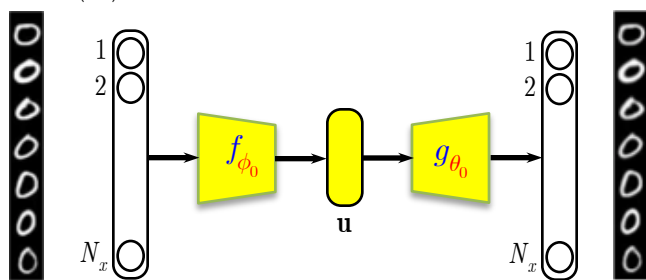
## Proposed classification paradigm: classification by compression



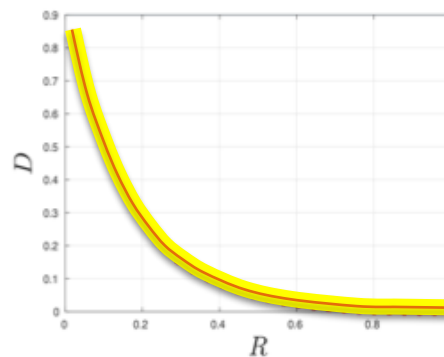
Compression as a “matched filter” for a given class

## Proposed classification paradigm: classification by compression

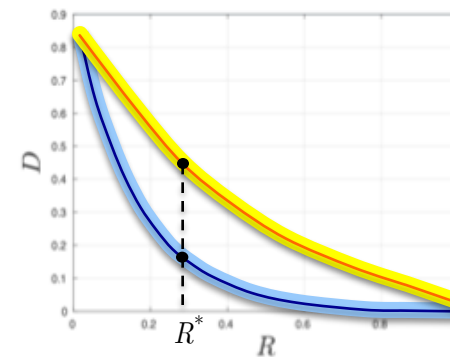
$$\mathbf{x} \sim p_0(\mathbf{x})$$



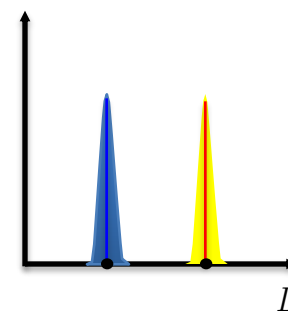
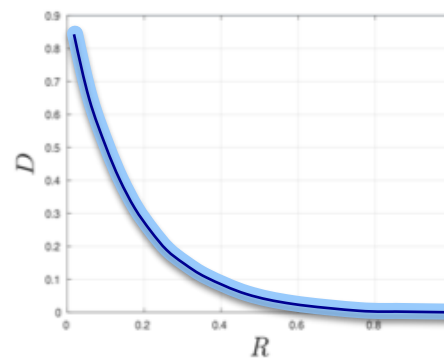
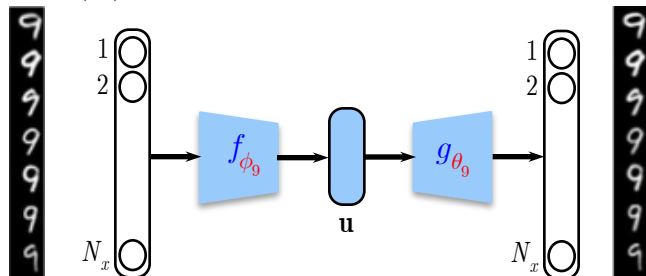
Matched case



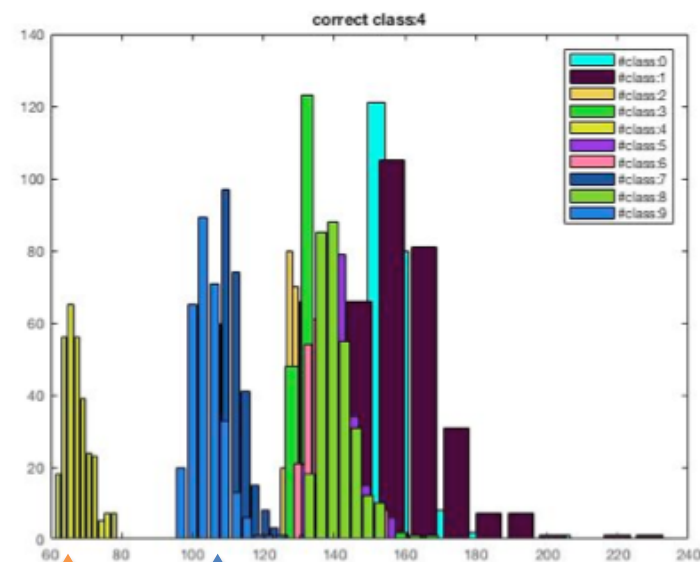
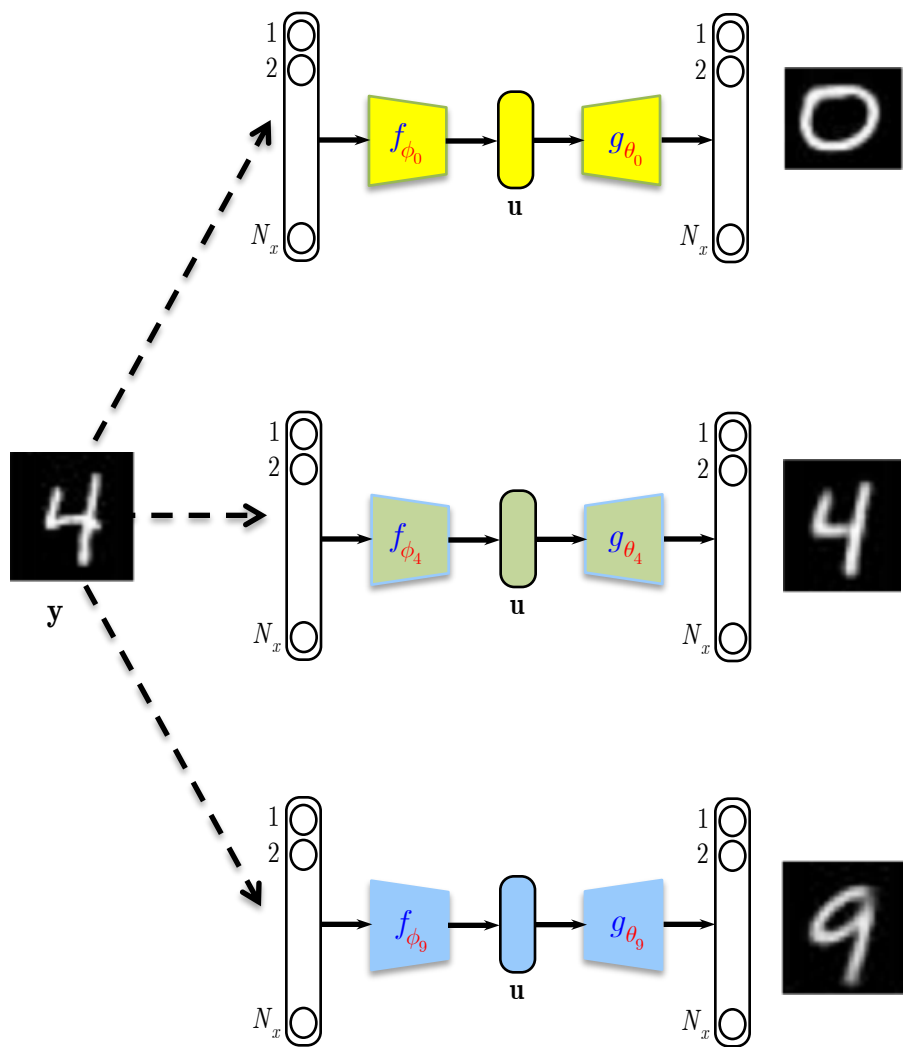
Mismatched case



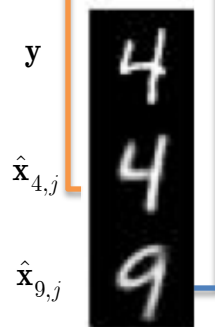
$$\mathbf{x} \sim p_9(\mathbf{x})$$



## Proposed classification paradigm: classification by compression

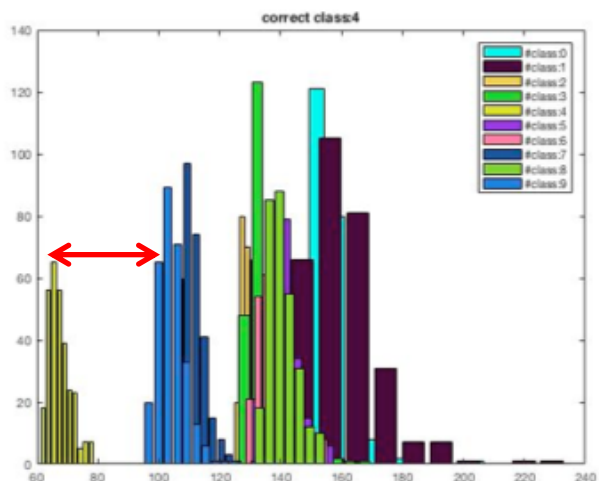


$$D = \frac{1}{N_x} \left\| y - \hat{x}_{w,j} \right\|_2$$

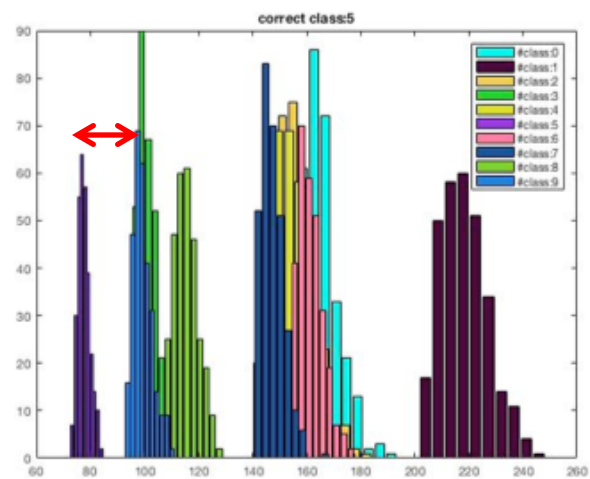


# Proposed classification paradigm: classification by compression

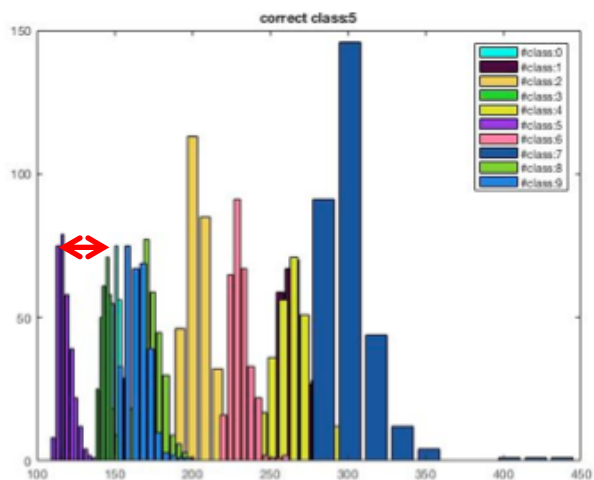
## Recognition: correct cases



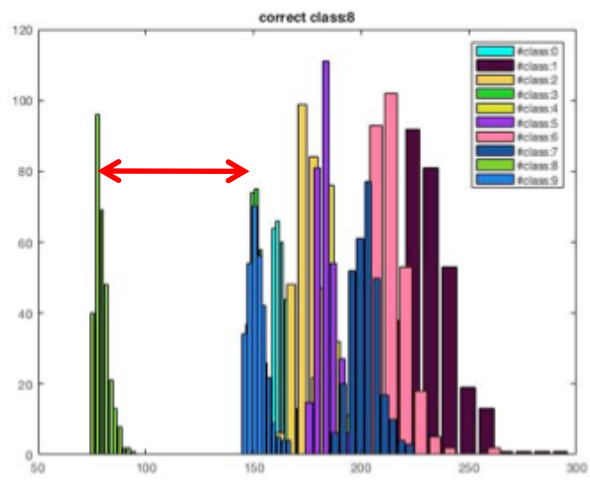
4  
4  
9



5  
5  
9  
3



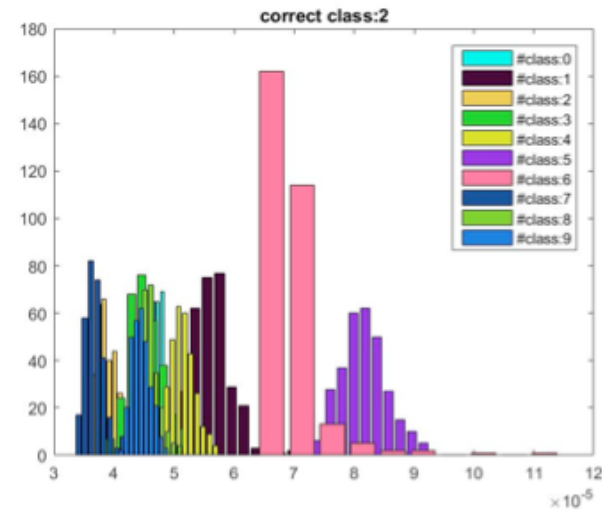
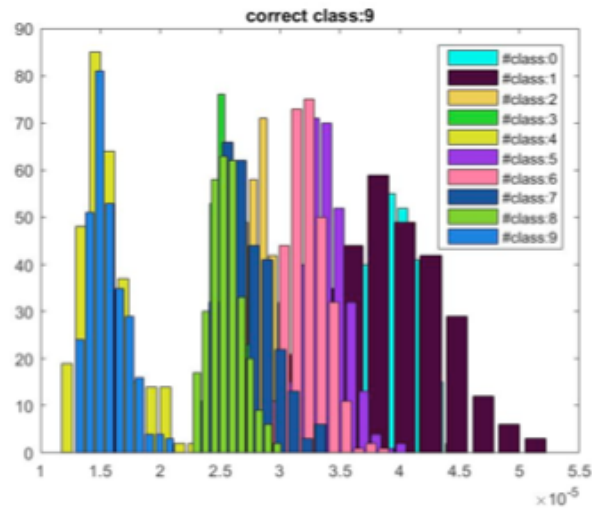
5  
5  
9  
5



8  
8  
3  
2

# Proposed classification paradigm: classification by compression

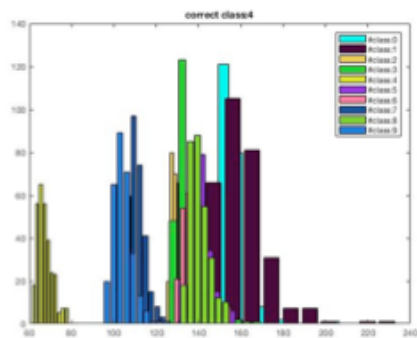
## Recognition: erroneous cases



# Proposed classification paradigm: classification by compression

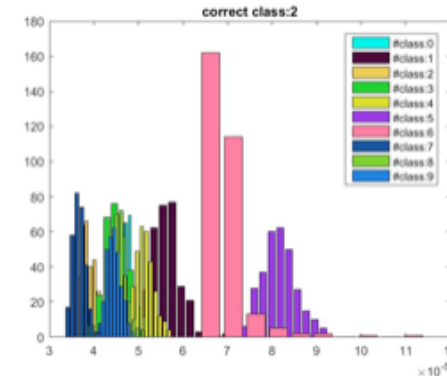
Rejection of “suspicious” and adversarial samples

Recognition: correct cases

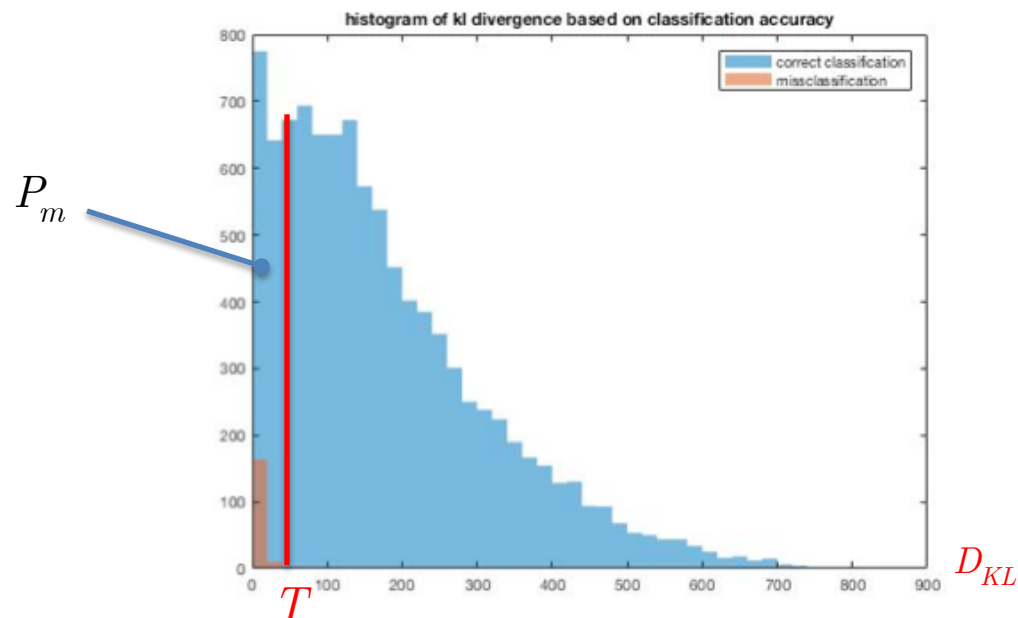


$$D_{KL} \geq T$$

Recognition: erroneous cases



$$D_{KL} < T$$



$D_{KL}$

## Proposed classification paradigm: classification by compression

Recognition: rejection of “abnormal cases”

Tab. 2: VAE results after 40 epochs of training

Thr.	$dim_z = 20$		$dim_z = 15$		$dim_z = 10$	
	Acc.	$P_{miss}$	Acc.	$P_{miss}$	Acc.	$P_{miss}$
1e-100	98.04	0	98.21	0	98.04	0.006
1e-50	98.04	0	98.21	2e-4	98.03	0.011
1e-10	98.04	0	98.21	9e-4	97.99	0.03
1	98.88	0.012	98.96	0.010	98.43	0.059
5	99.42	0.038	99.45	0.030	98.97	0.082
10	99.68	0.068	99.69	0.048	99.17	0.099
15	99.79	0.097	99.77	0.063	99.25	0.112
20	99.82	0.125	99.82	0.077	99.33	0.123
no rejection	98.05	-	98.21	-	98.05	-

Trust in results:  
rejecting just 7.7%, one obtains  
0.18% classification error

Interpretability of results:  
A clear and meaningful  
interpretation of learned features

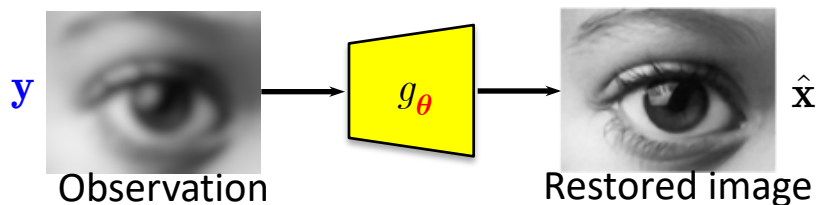


# Summary

## Regression

restoration  
denoising  
superresolution  
compressive sensing

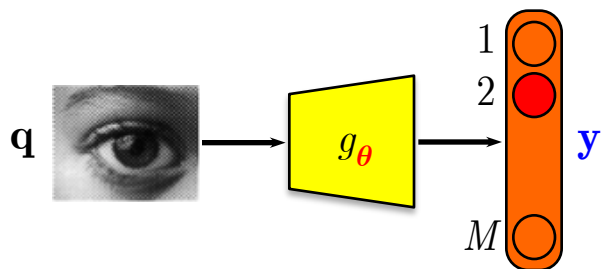
$$y = \mathbf{T}[\mathbf{x}] + \mathbf{z}$$



## Classification

$$\{\mathbf{y}_p(w), \mathbf{x}_p(w)\}$$

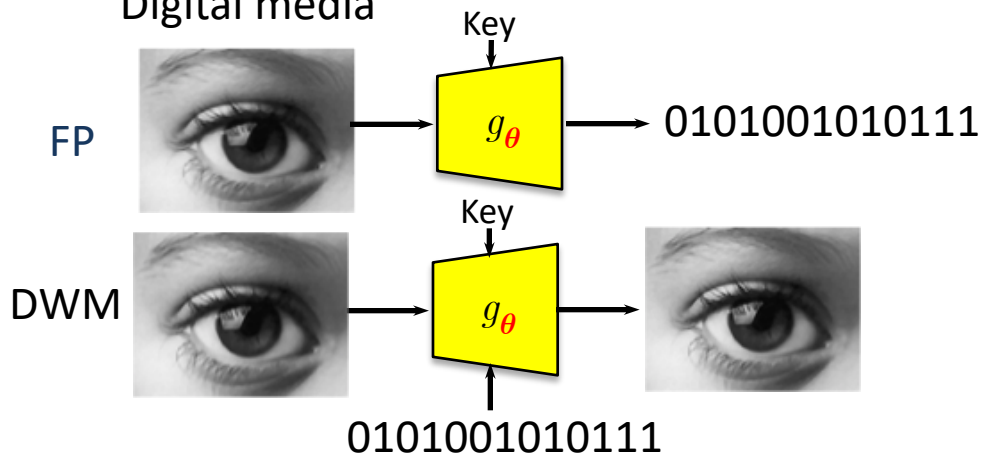
$$w \in \{1, 2, \dots, M\}, p = 1, 2, \dots, P$$



## Security

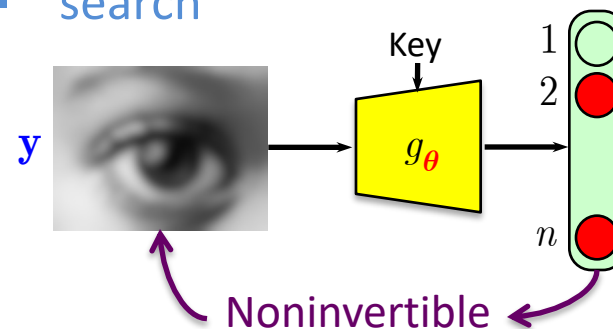
Physical objects and humans

Digital media



## Privacy preserving applications

- identification
- authentication
- indexing
- search





## Seniors



Dr. S. Ferdowsi



Dr. T. Holotyak

## PhD students



S. Rezaeifar



O. Taran



D. Ullmann



B. Razeghi



S. Bonev

