

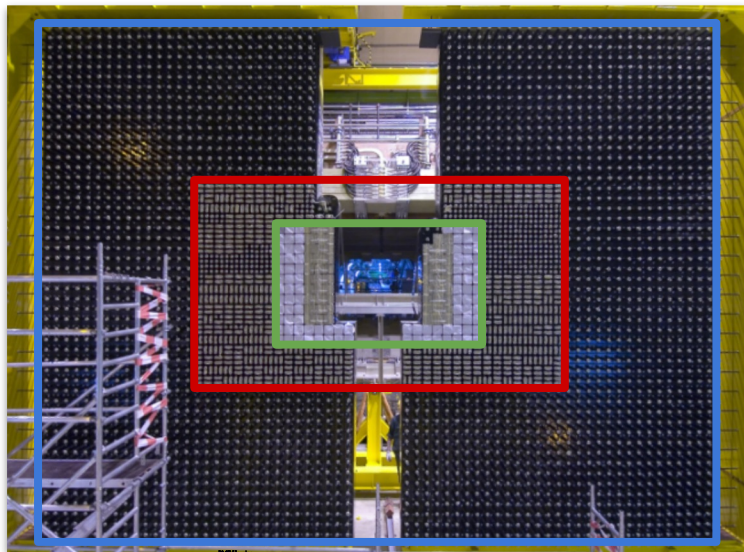


# SCINTILLATING SAMPLING ECAL TECHNOLOGY FOR THE UPGRADE II OF LHCb

E. Auffray, *CERN*

on behalf of SPACAL EP-R&D and the LHCb ECAL Upgrade R&D Groups





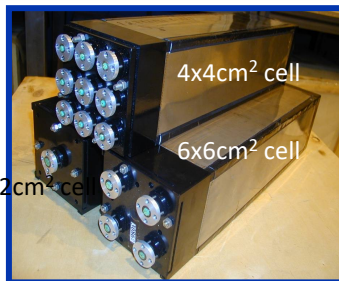
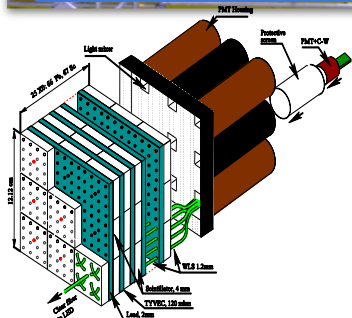
- Large Shashlik array (about 50 m<sup>2</sup>), with 3312 modules and 6016 channels:

176 modules      4 x 4 cm<sup>2</sup> cell size (center)

448 modules      6 x 6 cm<sup>2</sup> cell size

2688 modules      12 x 12 cm<sup>2</sup> cell size (outer)

- Optimized for  $\pi^0$ ,  $e^-$  and  $\gamma$  identification in the few GeV to 100 GeV region at  $2 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$
- Radiation hard up to 40 kGy
- Energy resolution:  $\sigma(E)/E \approx 10\%/\sqrt{E} \oplus 1\%$

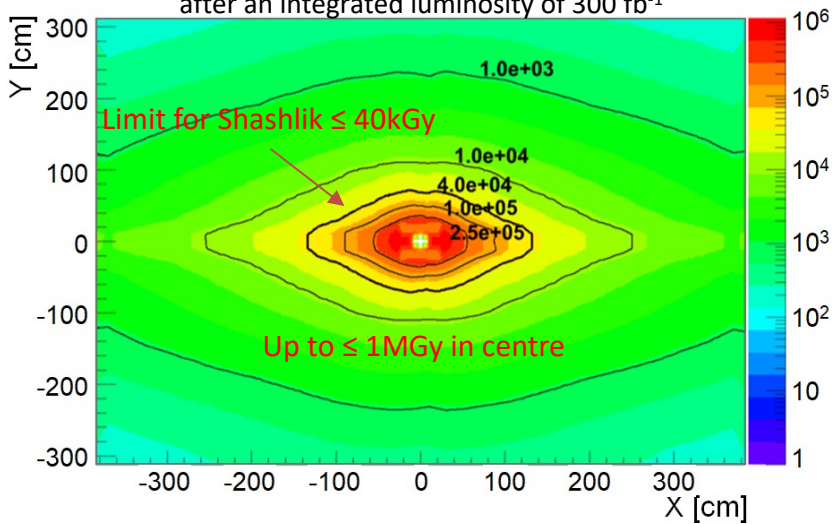




# Requirements for ECAL Upgrade II @LS4

Keep the current energy resolution of  $\sigma(E)/E \approx 10\%/VE \oplus 1\%$  with the new operating conditions up to  $L = 1.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$  :

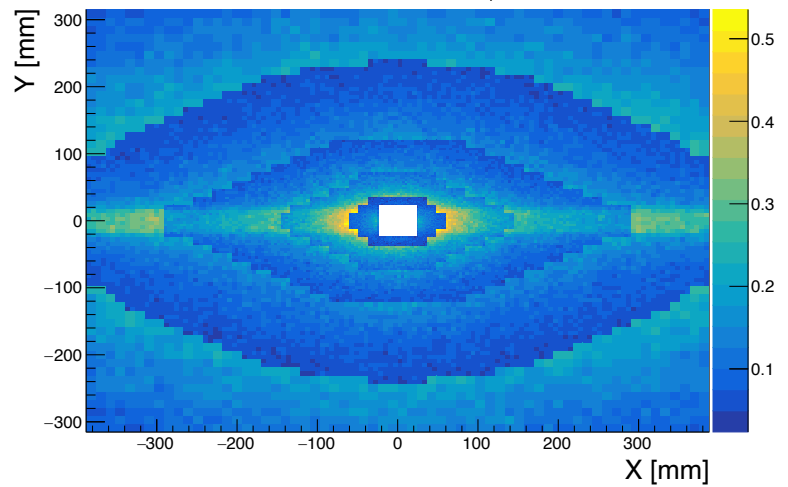
Expected accumulated radiation dose (Gy) on the ECAL after an integrated luminosity of  $300 \text{ fb}^{-1}$



### Radiation doses:

up to 1 MGy and  $6 \times 10^{15}$  1 MeV neq/cm<sup>2</sup> in the center for  $300 \text{ fb}^{-1}$ :  
=> **New technologies more radiation hard than shashlik required in the centre**

Occupancy, front section,  $E_{T, \text{cell}} > 25 \text{ MeV}$



### Increased occupancy and pile-up, requiring:

- Timing O(10ps)
- Increased granularity
- Denser absorber in inner region => tungsten
- Longitudinal segmentation

See FTDR LHCb upgrade phase II : <https://cds.cern.ch/record/2776420>



# R&D strategy for the ECAL upgrade II

Detector technology optimization  
for different regions according radiation dose levels

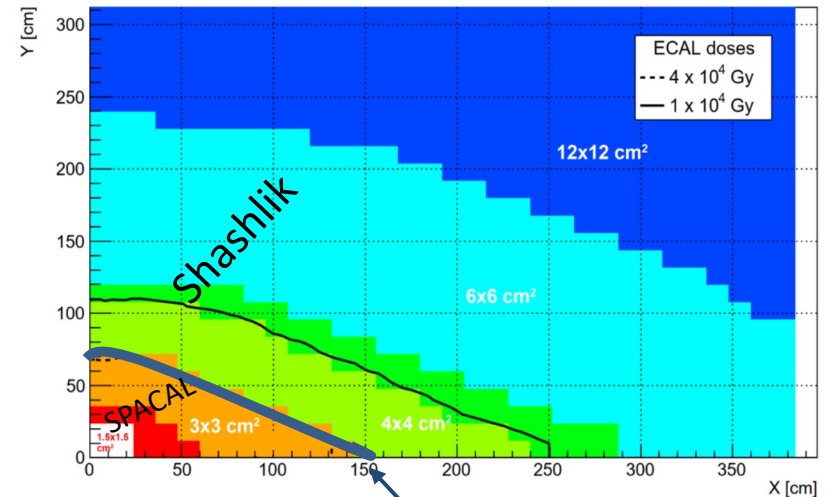
## New configurations of Shashlik modules in outer part (< 40kGy)

12 x 12 cm<sup>2</sup> 1344 refurbished modules  
6 x 6 cm<sup>2</sup> 896 rebuilt + 448 refurbished modules  
4 x 4 cm<sup>2</sup> 272 new + 176 refurbished modules

## SPACAL technology in the inner region (> 40kGy) :

**SPACAL-Pb:**  
3 x 3 cm<sup>2</sup> 144 modules, rad-hard up to 200 kGy => **plastic fibres**

**SPACAL-W:**  
1.5 x 1.5 cm<sup>2</sup> 32 modules, rad-hard up to 1 MGy => **crystal fibres**



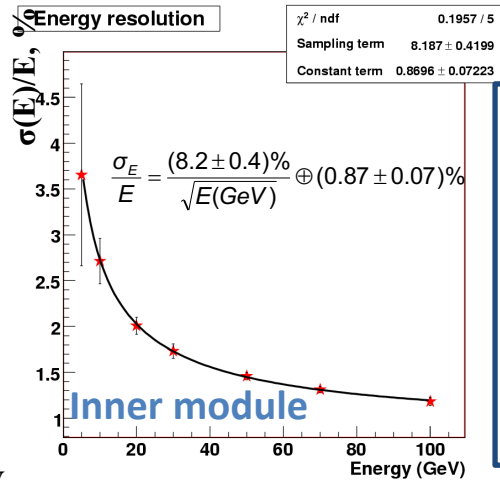
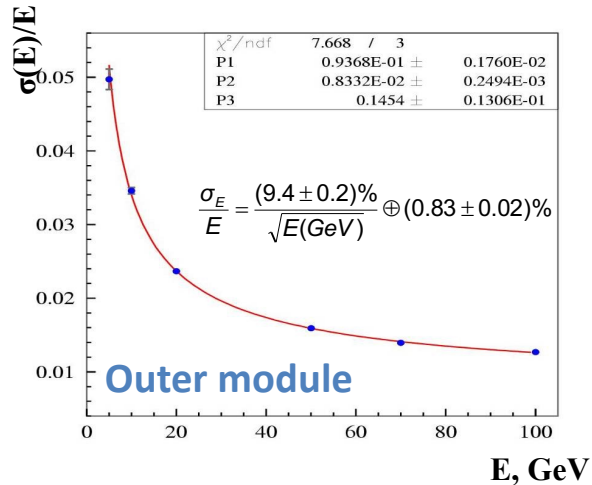
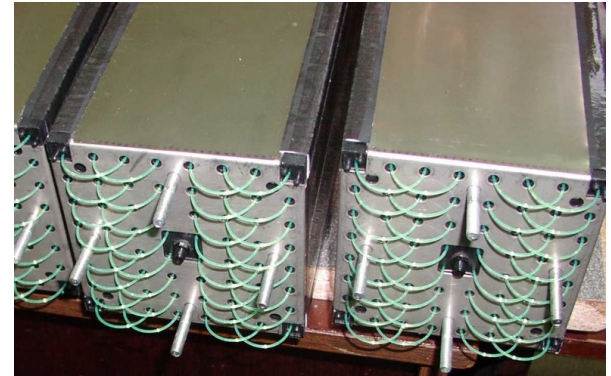
Radiation limit of current Shashlik technology



# Shashlik: towards Upgrade II

## Current Shashlik

- 4 mm thick **scintillating tiles** and 2 mm thick **lead tiles** with **wavelength shifting fibres** (Kuraray YS11 (7ns))
- Energy resolution:  $\frac{\sigma_E}{E} = \frac{(8 \div 10)\%}{\sqrt{E(\text{GeV})}} \oplus (0.8 \div 0.9)\%$
- Photoelectron yield:  $\approx 3000$  ph.el. / GeV (with HAMAMATSU R7899-20)



## For Upgrade II:

Current performance suitable for non central part

- Good Energy resolution
- Radiation hardness limit at 40-50 kGy

=> need R&D to exploit intrinsic time resolution from Shashlik

The performance obtained from test beams in 2003 – 2006:

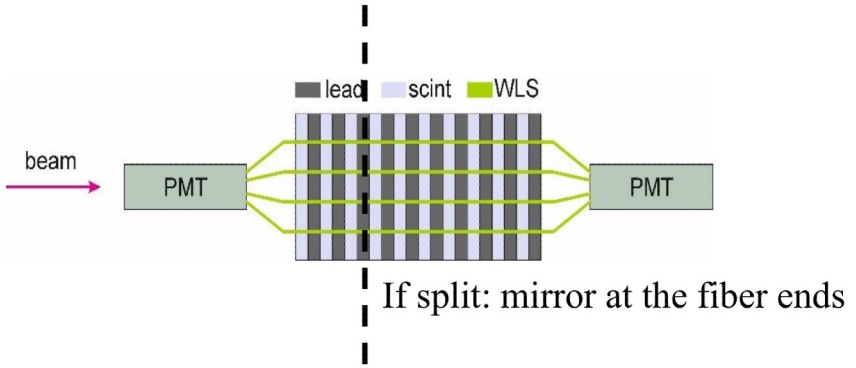
See S. Barsuk, IEEE Trans. Nucl. Sci. 57 1447/ A. Arefiev et al, LHCb-2007-149



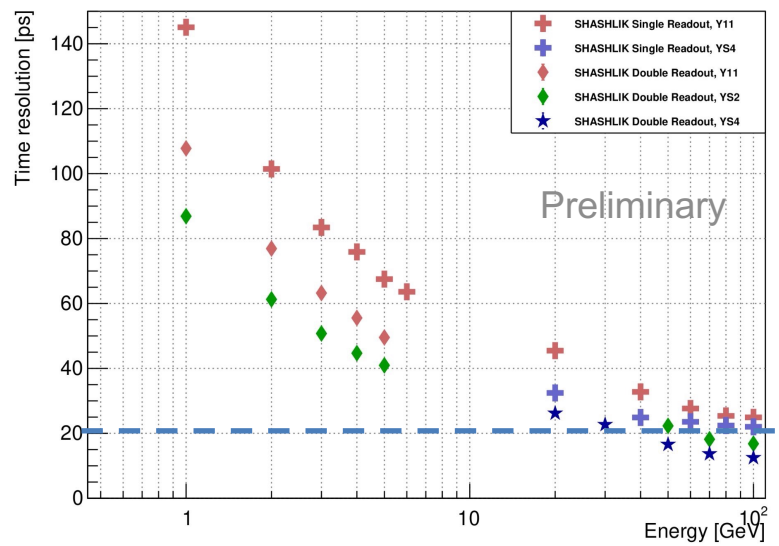
# Shashlik: towards Upgrade II

Improve time resolution

- New Kuraray **faster wavelength shifter (WLS)**
  - YS2: 3 ns decay time
  - YS4: 1.1 ns decay time
- PMT with **better timing performance (R7600U-20)**
- **Double-sided readout** to mitigate the effect of longitudinal shower fluctuations
  - With continuous WLS fibers
  - With split fibres at shower maximum ( $\approx 7X_0$ )



SHASHLIK Time Resolution ( $\sigma$ ) vs Energy



Better than 20ps achieved at  $E > 30\text{GeV}$  with double-sided readout  
 Expected to further improve at higher energies

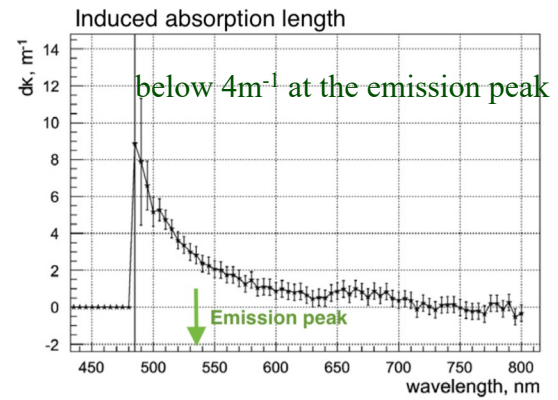
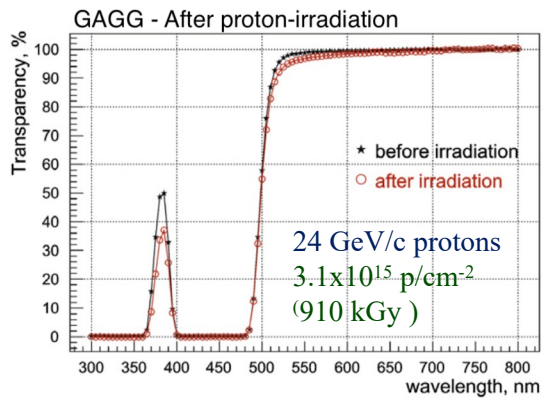
\*Many thanks to KURARAY for providing pre-production YS2 and YS4 samples



# SPACAL-W R&D for inner part

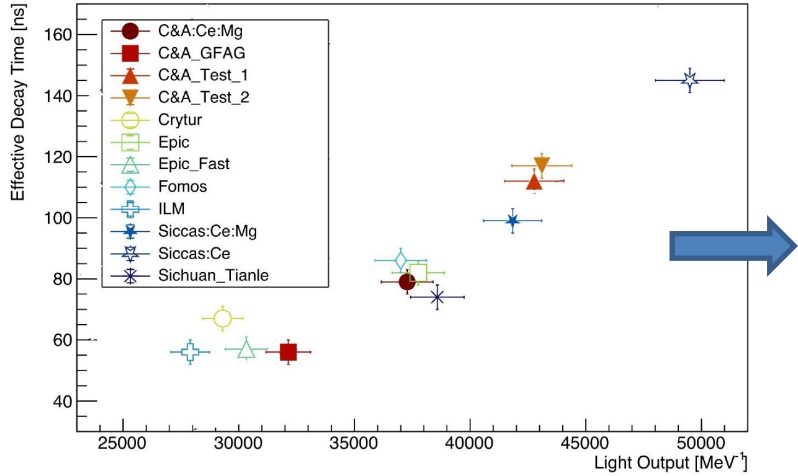
## Search for radiation hard and fast scintillators: garnet crystals

Garnet crystals are radiation hard  
Scintillation properties can be tuned with different levels of dopants (Ce,Mg) and growth conditions



See: V. Alenkov et al., NIM A 816 (2016) 176

### Effective Decay Time vs Light Output



- Variation of a factor 2 in light output and 3 in decay time
- High light yield → slow decay time
- Scintillation speed increases with Mg-codoping at expense of light output
- Further R&D ongoing to reduce decay time below 20 ns

See: L. Martinazzoli et al., NIM A 1000 (2021) 165231

L. Martinazzoli et al., submitted to Materials Advances and J. Mater. Chem. C



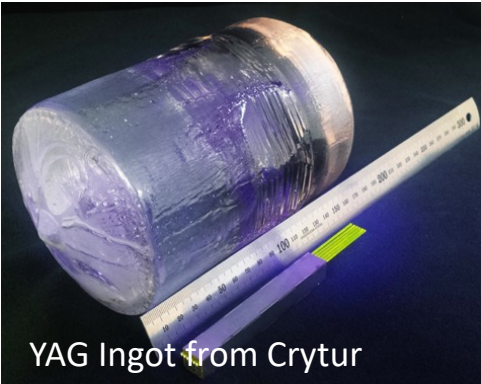
# SPACAL-W R&D for inner part

Optimize Garnet fibre crystal production

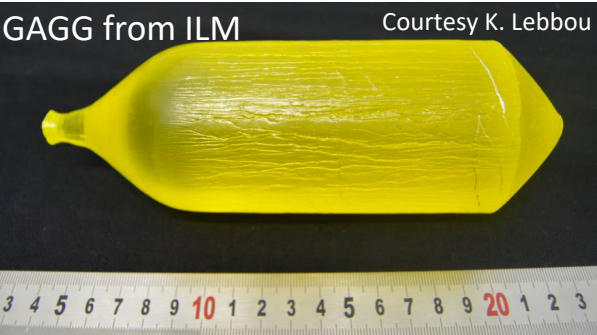
Garnet crystal fibres can be obtained from:

Large ingot grown by Czochralski method

Micropulling down technique

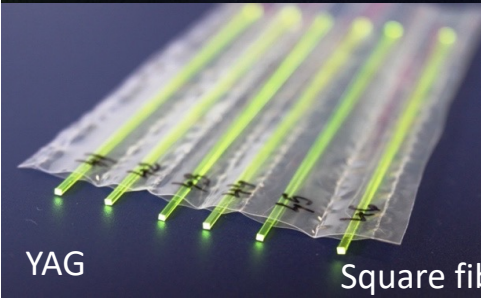


YAG Ingot from Crytur



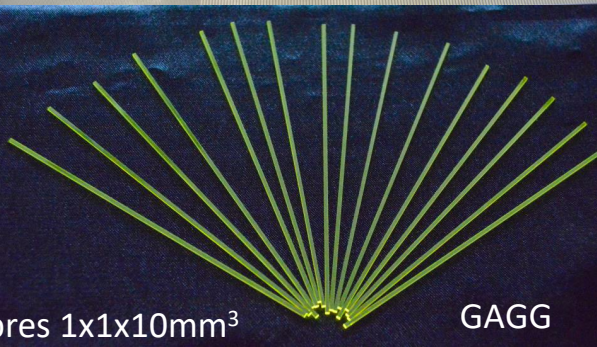
GAGG from ILM

Courtesy K. Lebbou

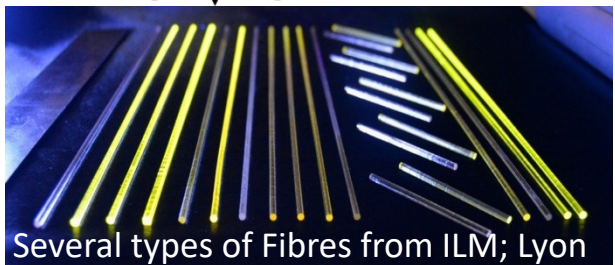
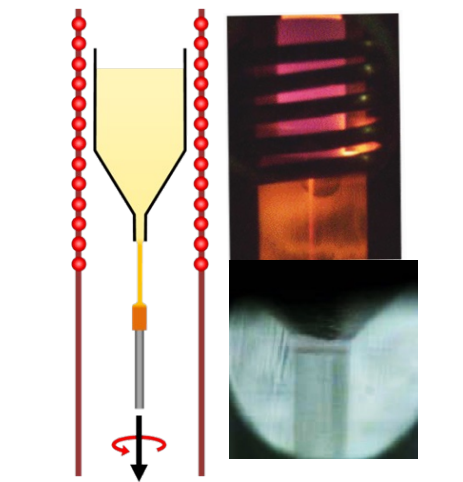


YAG

Square fibres 1x1x10mm<sup>3</sup>



GAGG



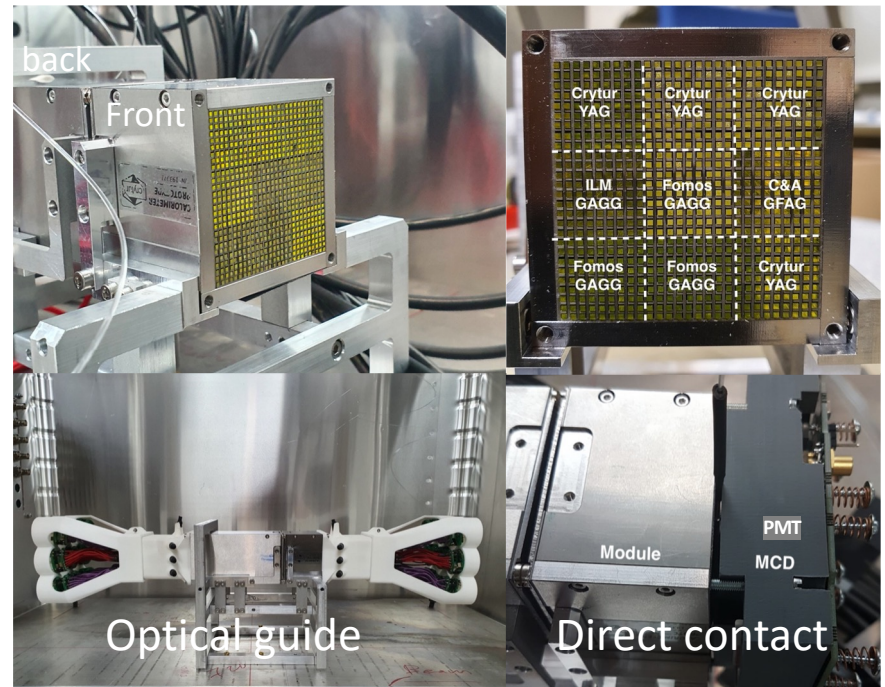
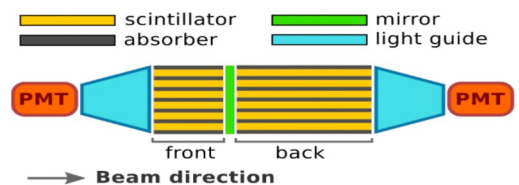
Several types of Fibres from ILM; Lyon





# SPACAL-W prototype with garnet crystal fibres

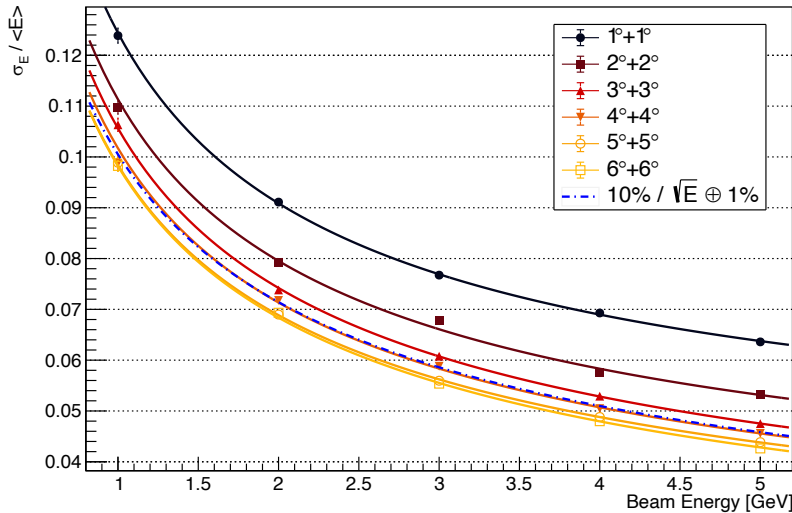
- Pure tungsten absorber with  $19 \text{ g/cm}^3$
- Crystal garnet scintillating fibres ( $1 \times 1 \text{ cm}^2$ , cut from ingot)
- 9 cells, each  $1.5 \times 1.5 \text{ cm}^2$  ( $R_M \approx 1.45 \text{ cm}$ )
- Longitudinal segmentation at the shower maximum:
  - 4 + 10 cm long split ( $7+18 X_0$ ), pitch 1.7mm
  - Reflective mirror between sections
- 4 garnet types tested:
  - Crytur - YAG
  - Fomos - GAGG
  - ILM - GAGG
  - C&A - GFAG
- Two photodetection readouts:
  - Energy resolution: Hamamatsu R12421 PMT and PMMA light guides between fibres and PMT
  - Timing resolution: Hamamatsu R7600U-20 metal channel dynodes (MCD) PMTs in direct contact with fibres





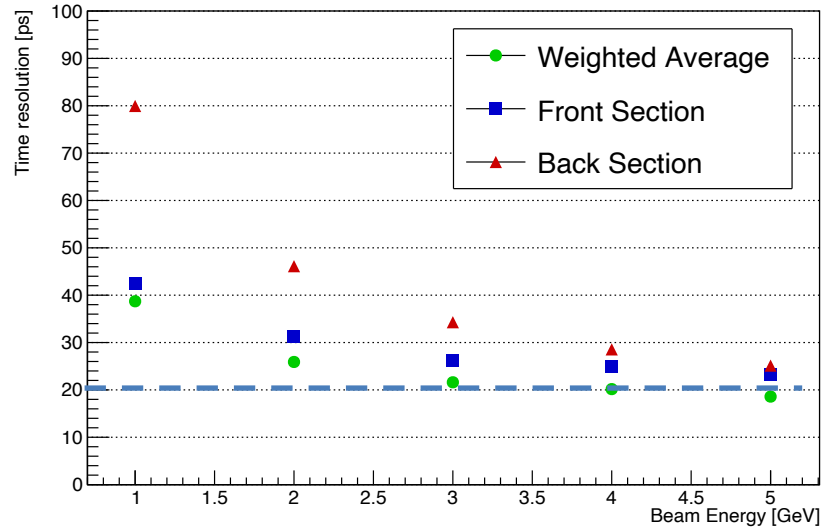
# SPACAL-W with garnet crystals: test beam results

### Energy resolution versus incident angles (DESY 2020 , R12421)



Energy resolution improves for larger incident angles

### Time resolution GFAG cell @ incident angle of 3° + 3° (DESY 2020 , R7600-20)



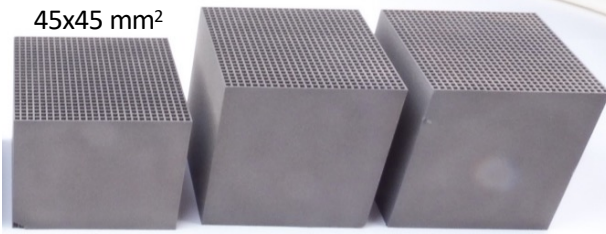
**18 ps @ 5 GeV**

Analysis of SPS 2021 data (higher energy) ongoing

**Energy resolution at 3° + 3°**  
**Sampling term: 10.2% ± 0.1**  
**Constant term: 1.2% ± 0.3**



# SPACAL-W: R&D on absorber 3D

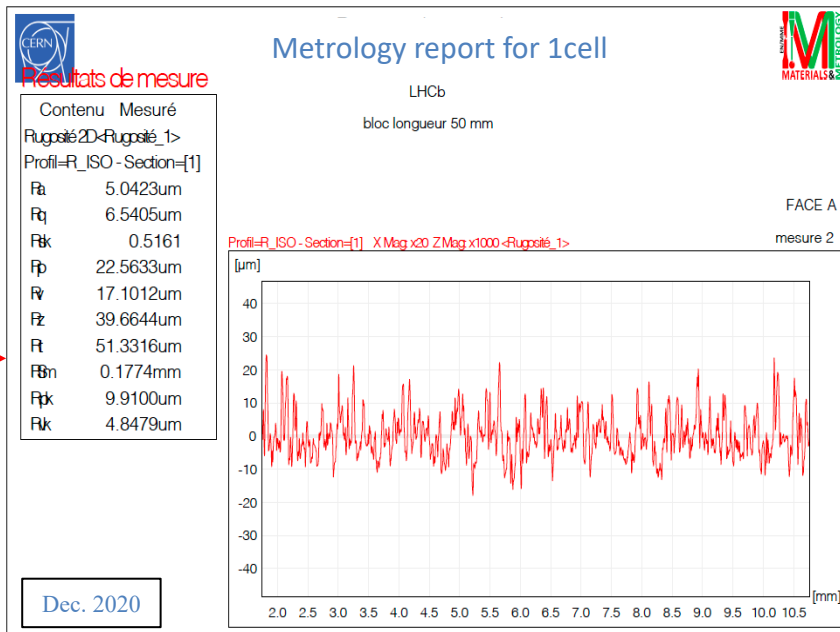
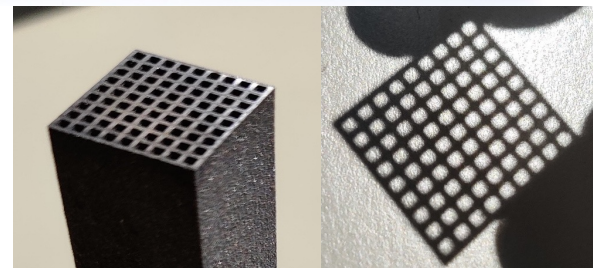


## R&D on single cell 3D printed tungsten prototype

=> Produced 1.5x1.5 cm<sup>2</sup> cells with up to 10 cm length (4.5x4.5 cm<sup>2</sup> section)

Smooth surface mandatory not to damage scintillating fiber crystals

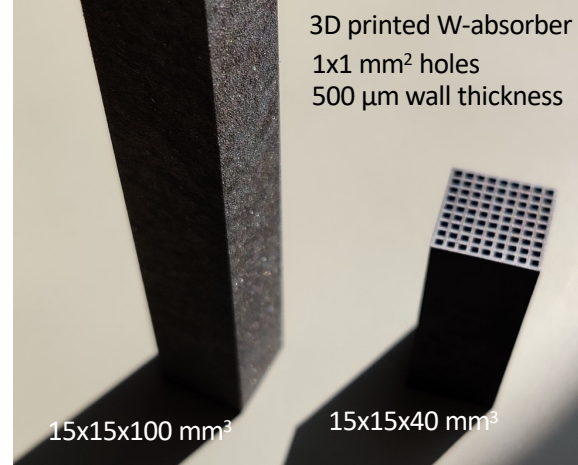
=>Very good roughness of Ra= 5 μm achieved



Mean roughness

Total height of profile

= distance (max-min)



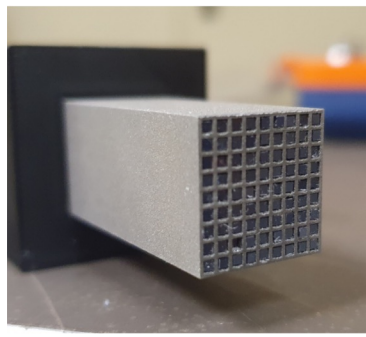
3D printed W-absorber  
1x1 mm<sup>2</sup> holes  
500 μm wall thickness

Developed by EOS, Germany

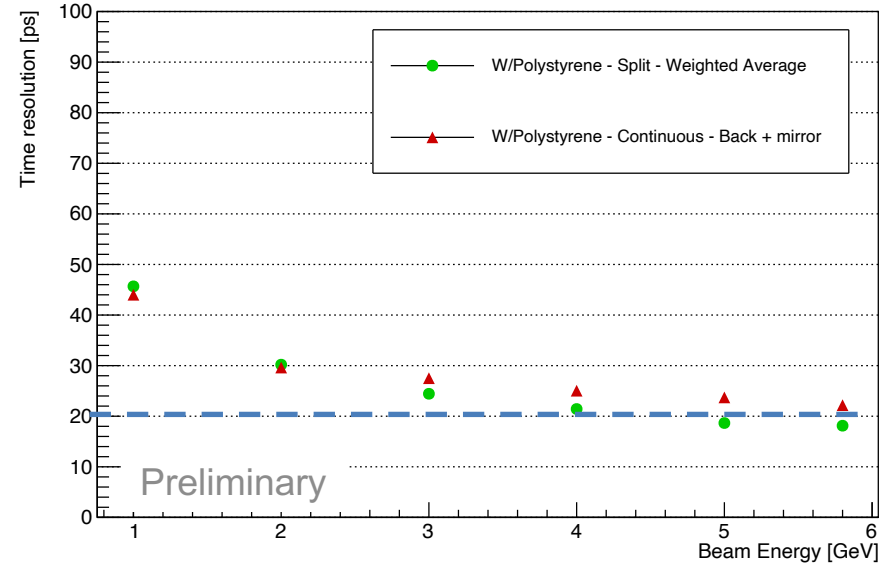


# SPACAL-W prototype with organic scintillating fibres

- Candidate for consolidation of inner region during LS3
- 3D printed **pure tungsten** absorber
- **Polystyrene** squared scintillating fibres (1x1mm<sup>2</sup>, SCSF-78M)
- 1 cell produced, 1.5 x 1.5 cm<sup>2</sup> (with R<sub>M</sub> ≈ 1.8 cm)
  
- Two configurations tested:
  - 5+14 cm long split cell (7+18 X<sub>0</sub>), double readout
  - 19 cm long continuous cell, single readout at back
- Reflective mirror between sections, or continuous fibres with mirror at front



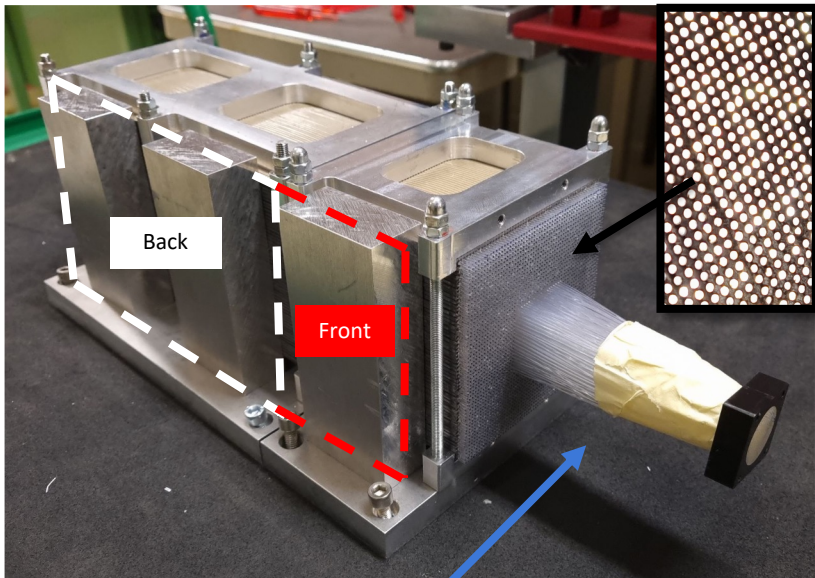
Time resolution @ 3°+3° incidence angle  
(DESY 2021, R7600-20)



**Split cell: 19 ps @ 5 GeV**  
**Continuous cell: 24 ps @ 5 GeV**



# SPACAL-Pb prototype with organic scintillator fibres



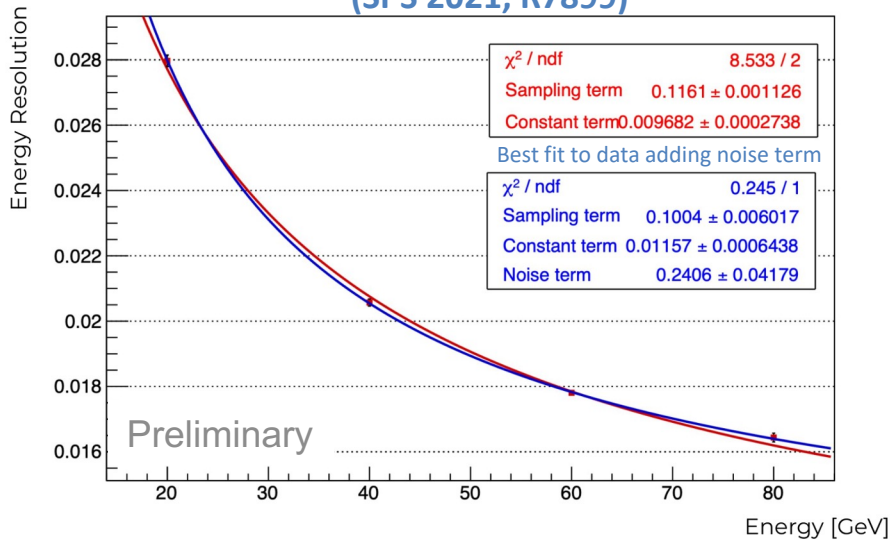
Fibres bundle, 1 cell

- Lead absorber with **polystyrene** fibres (1x1mm<sup>2</sup> SCSF-78M)
- 9 cells, each 3 x 3 cm<sup>2</sup> ( $R_M \approx 3$  cm)
- Longitudinal segmentation at shower maximum
  - 8 + 21 cm long (7+18  $X_0$ )
  - Reflective mirror between sections
- Two photodetectors employed
  - Energy resolution: Hamamatsu R7899 with light guide
  - Timing resolution: Hamamatsu R7600U-20 metal channel dynodes (MCD) PMTs in direct contact
- Different readout configurations:
  - Direct contact (timing)
  - 10 cm long PMMA light guide
  - Bundle of fibres coupled directly to MCD PMT



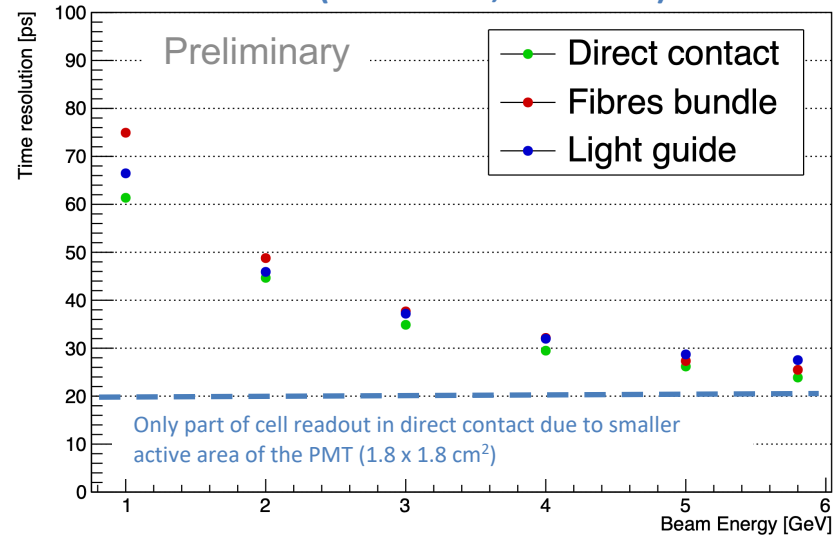
# SPACAL-Pb with organic scintillator fibres: test beam results

### Energy resolution @ 3°+3° incidence angle (SPS 2021, R7899)

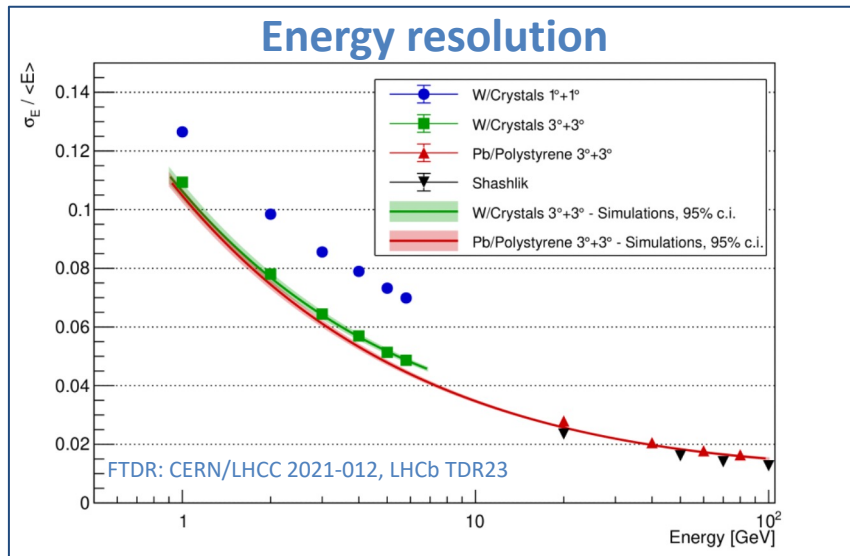
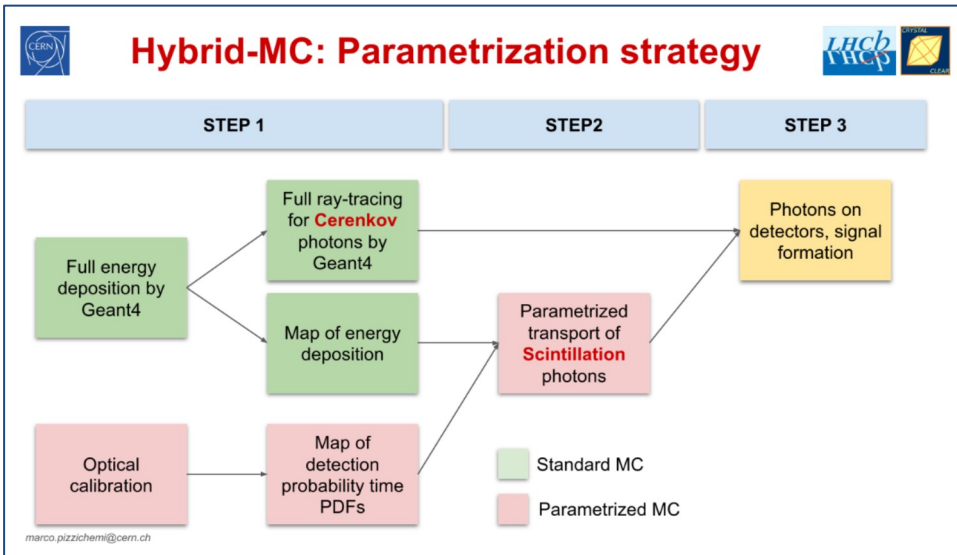


Sampling term: 10.0%  
Constant term: 1.2%

### Time resolution @ 3°+3° incidence angle (DESY 2021, R7600-20)



26 ps @5 GeV

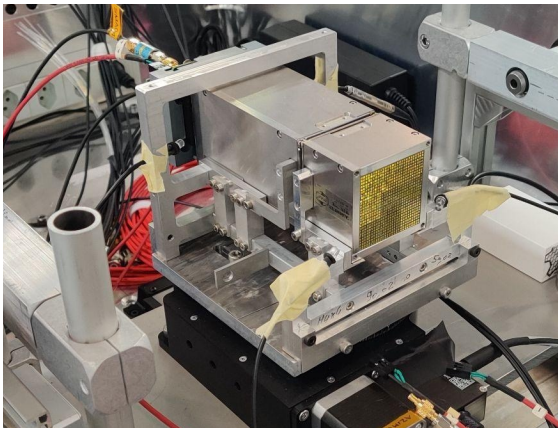


- Geant4 simulation of energy deposit and parametrized transport of scintillation photons
- Allows a gain in computation time by a **factor x100**
- Particle flux from full LHCb simulation can be included
- Different module types (SPACAL-W, SPACAL-Pb, Shashlik)
- Parametrised response of photo-detectors

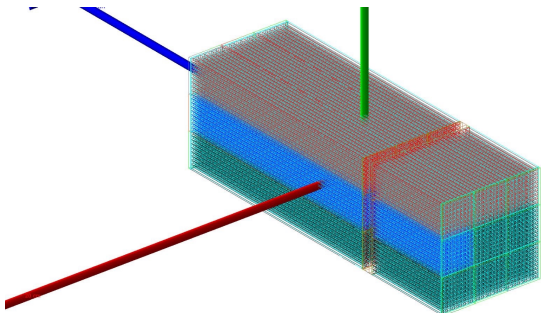
**Good agreement with test beam data over the 1-100 GeV range**

# SPACAL W with garnet crystal fibres:

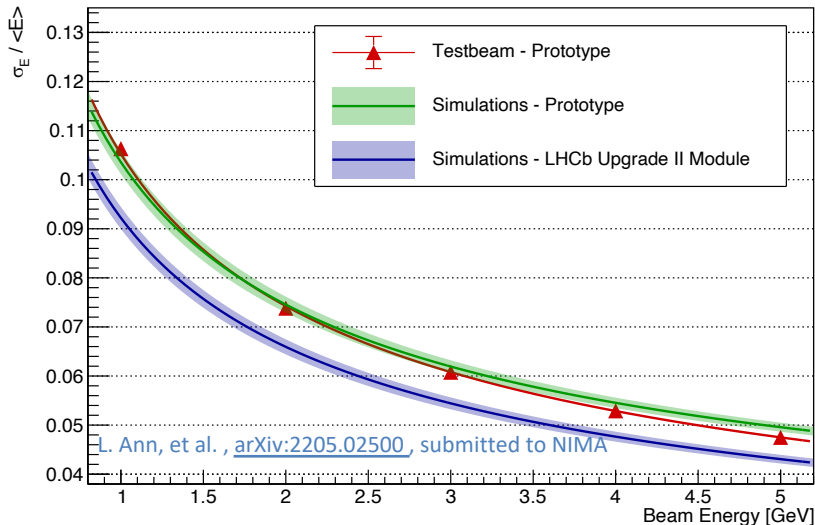
Test beam set-up



Simulation



Energy Resolution -  $3^{\circ}+3^{\circ}$



- The MC framework reproduces well the testbeam measurements, when the material between front and back sections is properly taken into account
- In SPACAL modules designed for usage in the LHCb ECAL, the front/back separation will be optimized (e.g. thin reflector foil)
- The MC framework allows to predict the energy resolution expected in these optimized modules





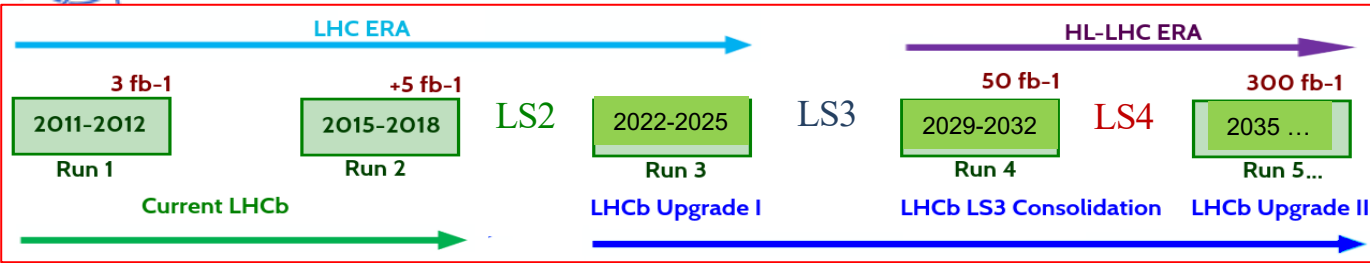
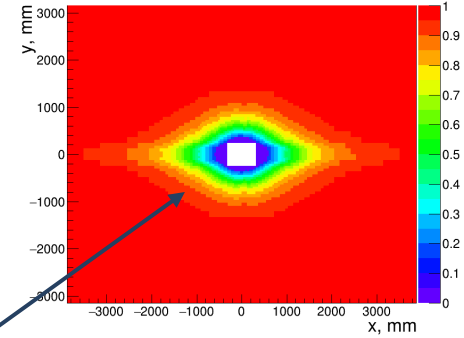
# SPACAL: Energy resolution performance

		Measurements on TB modules [%]	MC simulations on TB modules [%]	MC simulations on optimized modules [%]
SPACAL-W	<i>Sampling term</i>	$10.6 \pm 0.1$	$10.2 \pm 0.1$	$9.2 \pm 0.1$
	<i>Constant term</i>	$1.9 \pm 0.5$	$1.98 \pm 0.04$	$1.18 \pm 0.03$
SPACAL-Pb	<i>Sampling term</i>	$10.0 \pm 0.6$	$10.3 \pm 0.1$	$9.7 \pm 0.1$
	<i>Constant term</i>	$1.16 \pm 0.06$	$0.94 \pm 0.04$	$0.62 \pm 0.06$

Energy resolution expected in optimized modules in line with requirements

# LHCb ECAL upgrade strategy

ECAL cell efficiency after 2025 (48/fb)



## Run3 in 2022-2025:

Run with unmodified ECAL shashlik modules at  $L=2 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$

## LS3 consolidation in 2026-2028:

Introduce **single section rad. tolerant SPACAL** ( $2 \times 2$  &  $3 \times 3 \text{ cm}^2$  cells) in inner regions and rebuilt ECAL in **rhombic shape** to improve performance at  $L=2(4) \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$

=> 32 SPACAL-W & 144 SPACAL-Pb modules with plastic fibres **compliant with Upgrade II** conditions

=> Include **timing information with single sided R/O** to inner regions

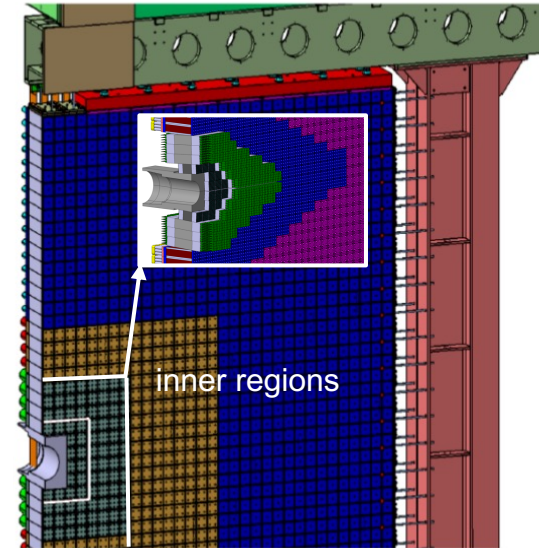
## LS4 Upgrade II in $\geq 2035$ :

Introduce **double section rad. hard SPACAL** in inner regions

=>  $1.5 \times 1.5 \text{ cm}^2$  cells with crystal fibres and  $3 \times 3 \text{ cm}^2$  cells with plastic fibres

Improve **timing of Shashlik modules** for a luminosity of up to  $L=1.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

Include **timing information with double sided R/O** to full ECAL to mitigate pile-up



inner regions



# Summary and Outlook

- SPACAL and Shashlik technologies provide the required performance for the LHCb ECAL upgrades
- Several prototypes produced and tested at DESY and SPS-CERN
- Time resolution above 5 GeV
  - SPACAL W+GAGG < 20 ps
  - SPACAL W+Polystyrene < 20 ps
  - SPACAL Pb+Polystyrene < 25 ps
  - SHASHLIK < 40 ps
- Energy resolution of order  $\sigma(E) / E \approx 10\% / \sqrt{E} \oplus 1\%$  expected for final, optimized configurations
- Comprehensive R&D studies ongoing:
  - Production and Test Beam measurements of new prototypes
  - Detailed Monte Carlo simulations
  - Investigation on new radiation-hard and fast scintillators (both garnet and organic fibres)
  - Study of more realistic PMTs and electronics readout
  - Study of new absorber production techniques