



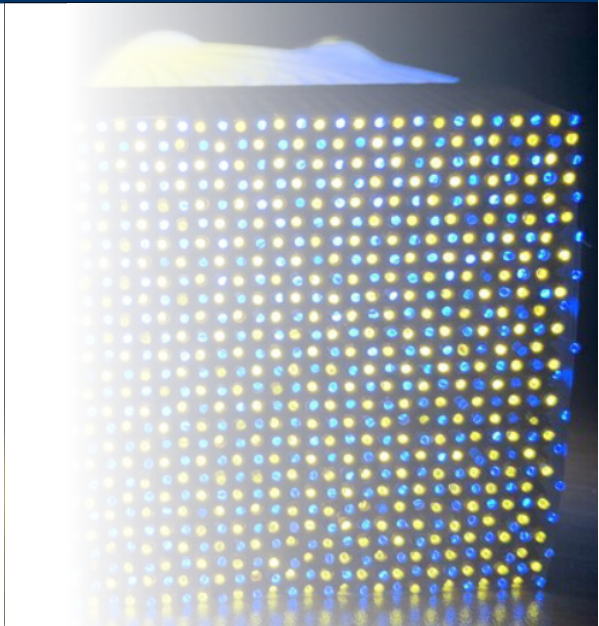
# Reconstruction of 3D shower shape with the dual-readout calorimeter

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on behalf of the dual-readout calorimeter team

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# Dual-readout calorimeter

## Dual-readout calorimetry

- The major difficulty of measuring energy of hadronic showers comes from the fluctuation of EM fraction of a shower,  $f_{em}$
- $f_{em}$  can be measured by implementing **two different channels with different  $h/e$  response** in a calorimeter

$$S = E[f_{em} + (\frac{h}{e})_s (1 - f_{em})],$$

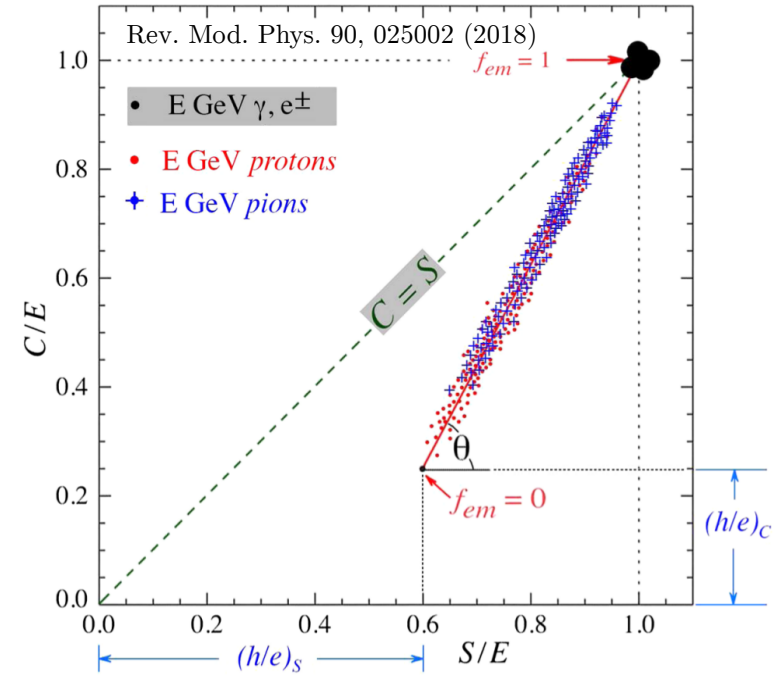
$$C = E[f_{em} + (\frac{h}{e})_c (1 - f_{em})]$$

$$f_{em} = \frac{(h/e)_c - (C/S)(h/e)_s}{(C/S)[1 - (h/e)_s] - [1 - (h/e)_c]}$$

$$\cot \theta = \frac{1 - (h/e)_s}{1 - (h/e)_c} \equiv \chi,$$

$$E = \frac{S - \chi C}{1 - \chi}$$

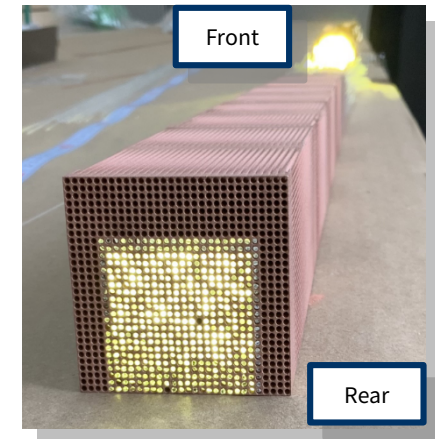
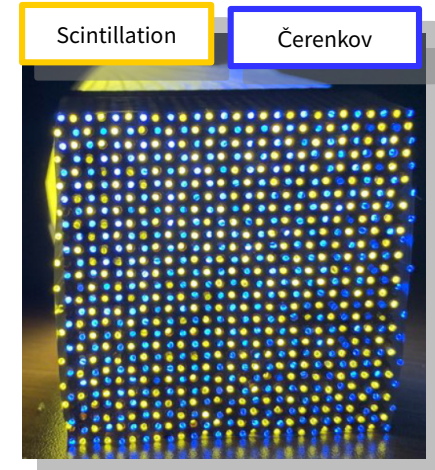
- Excellent energy resolution for hadrons can be achieved by **measuring  $f_{em}$  and correcting the measurement event-by-event**
- Dual-readout fiber-sampling calorimeter is a key element of the IDEA detector concepts



Energy measured from scintillation channel vs Čerenkov channel for EM particle,  $\pi$  &  $p$

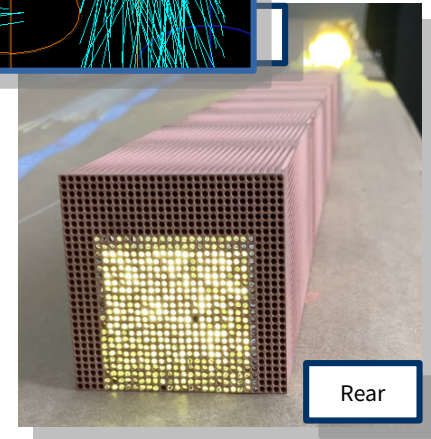
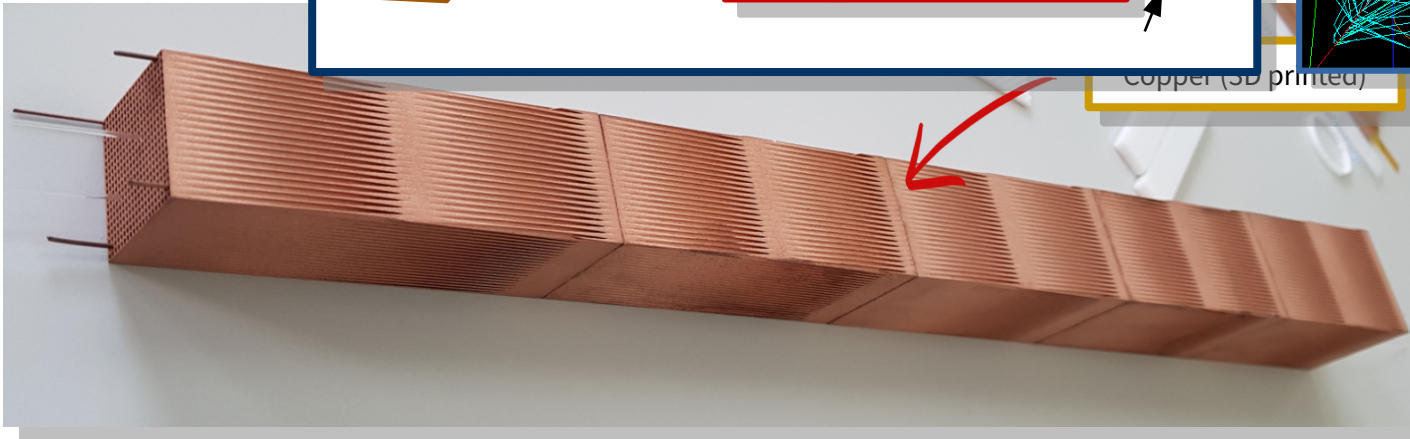
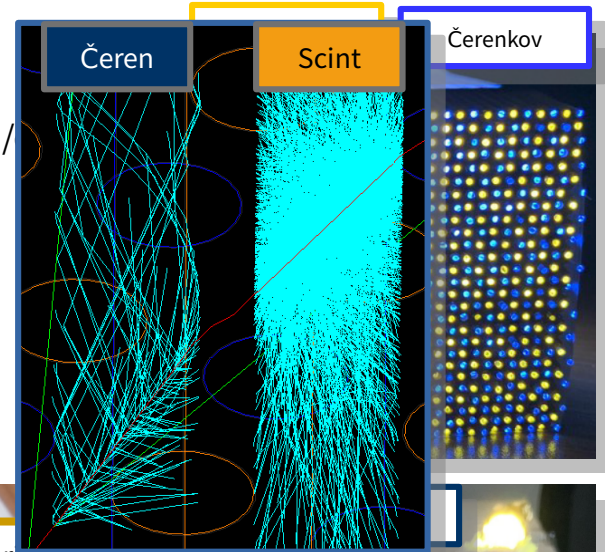
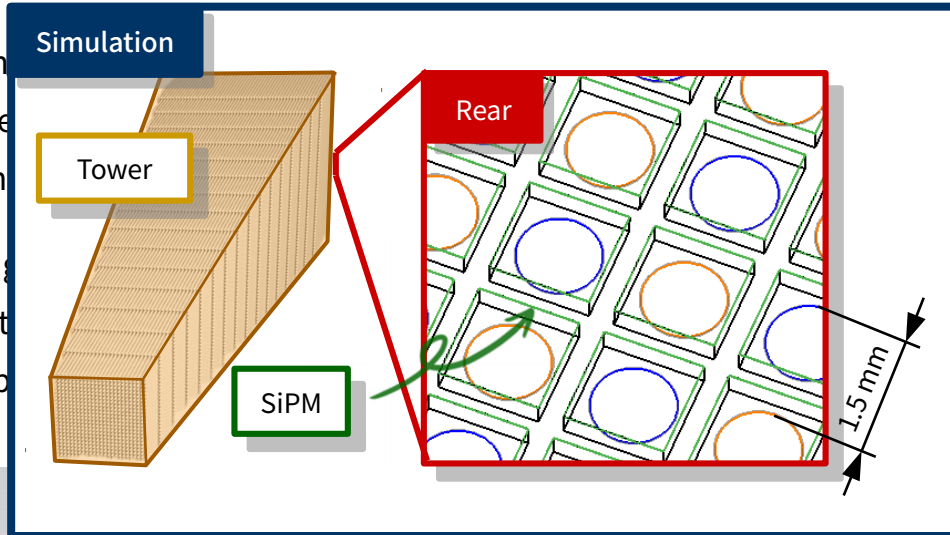
# Dual-readout calorimeter

- Longitudinally unsegmented fiber-sampling calorimeter
  - measure both EM & hadronic components with two different channels in h/e
  - excellent energy resolution for hadrons via event-by-event correction
- Projective geometry with a uniform sampling fraction
  - fine unit structure with high granularity
  - more fibers in the rear than the front



# Dual-readout calorimeter

- Longitudinal  
→ measure  
→ excellent
- Projective  
→ fine unit  
→ more fib

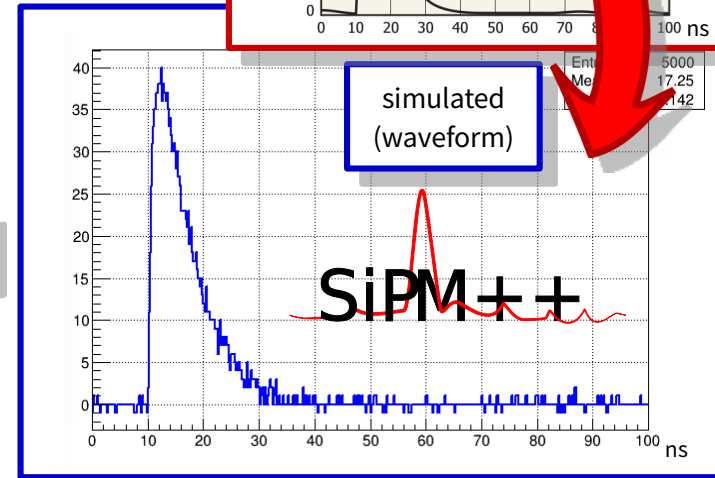
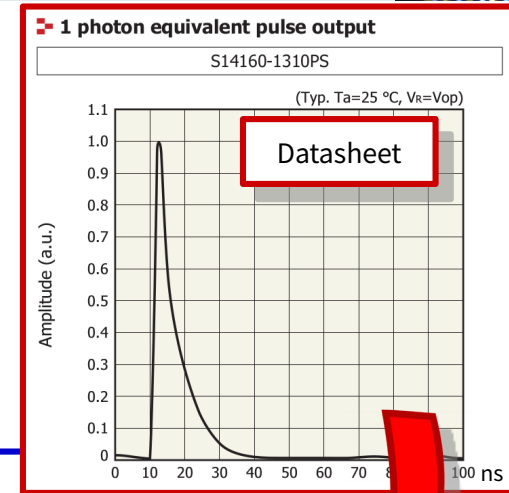
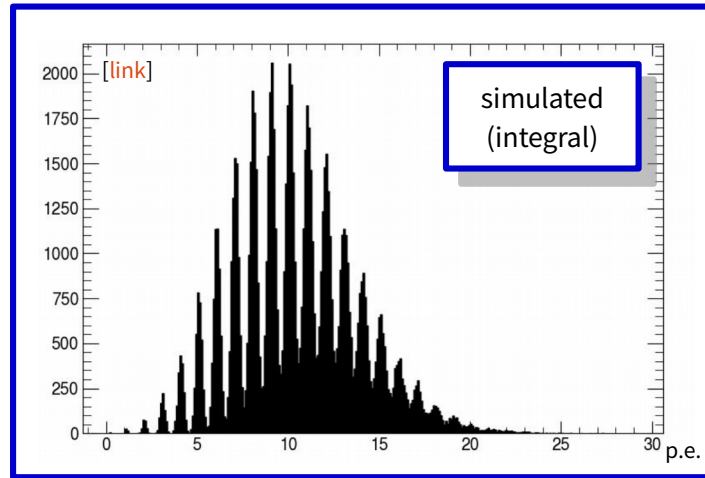




# SiPM emulation

## Simulating SiPM response with SimSiPM

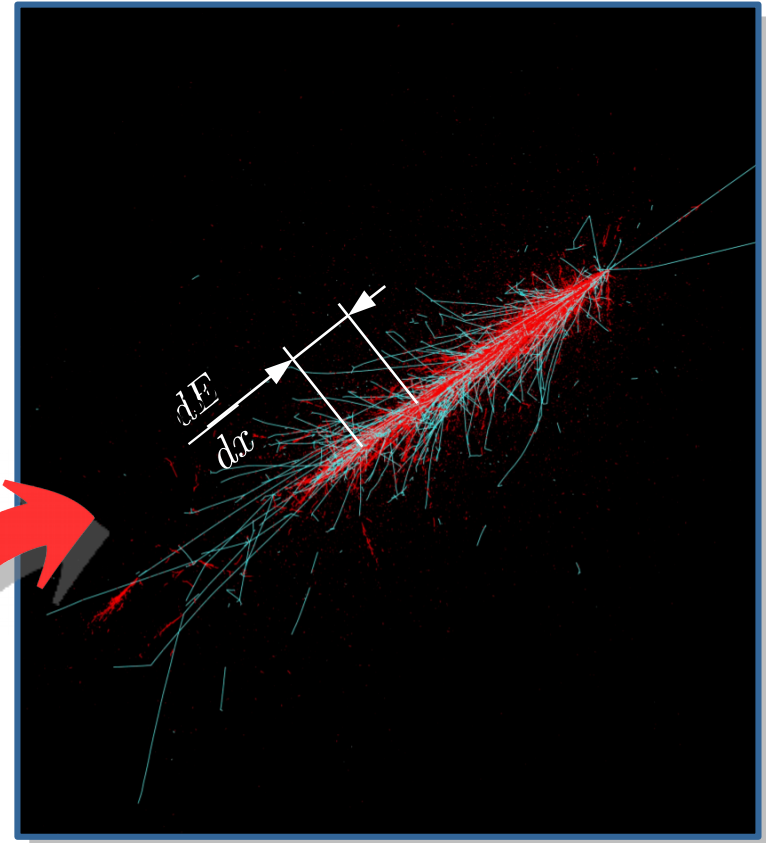
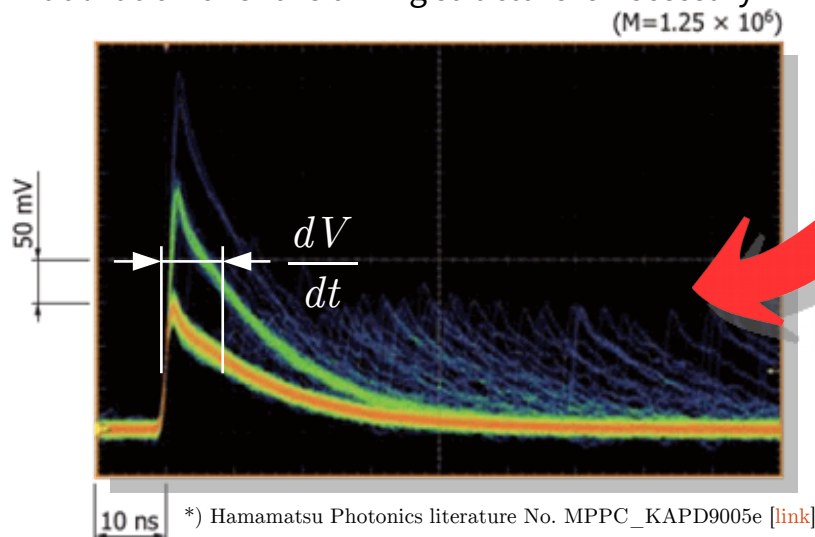
- SiPM is a major candidate for the photodetector  
→ SiPM simulation library is developed [\[link\]](#)
- Parameterized inputs from the datasheet  
→ Dark counts, crosstalk, afterpulses, saturation, noise, ...
- Implemented in the simulation with Hamamatsu S14160-1310PS



# Shower shape & timing

## Breaking down timing

- For fiber-sampling calorimeters, the conventional approach to get longitudinal info is taking the time of peak/arrival  
→ ignores details aside from shower maximum depth
- Full recovery of longitudinal shower shape  
→ utilization of entire timing structure is necessary



# Longitudinal shower shape

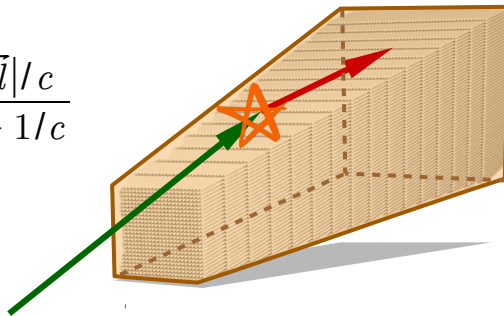
## Shower shape & timing – SiPM waveform

- Unsegmented calorimeter fully depends on the timing to reconstruct longitudinal shower shape
- Is  $dV/dt \rightarrow dE/dx$  possible?  
→ very challenging due to many hidden layers
- A SiPM yields exponentially decaying waveform to 1 photon
- FFT can be used to mitigate exponential tail, while preserving time translation & amplitude information

Deposit position ( $\vec{x}$ )    Photon propagation ( $\vec{k}$ )

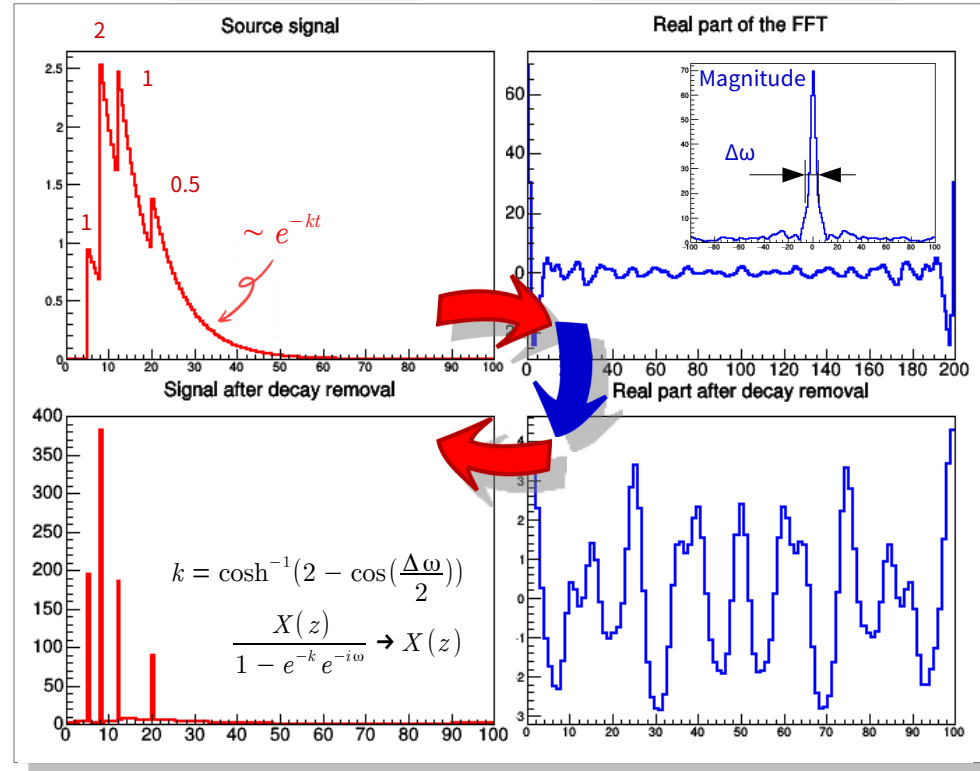
$$t = \frac{|\vec{x}|}{c} + \frac{|\vec{k}|}{v} \quad |\vec{k}| \simeq \frac{t - |\vec{l}|/c}{1/v - 1/c}$$

$$\vec{x} \simeq \vec{l} - \frac{t - |\vec{l}|/c}{1/v - 1/c} \hat{k}$$



Time domain

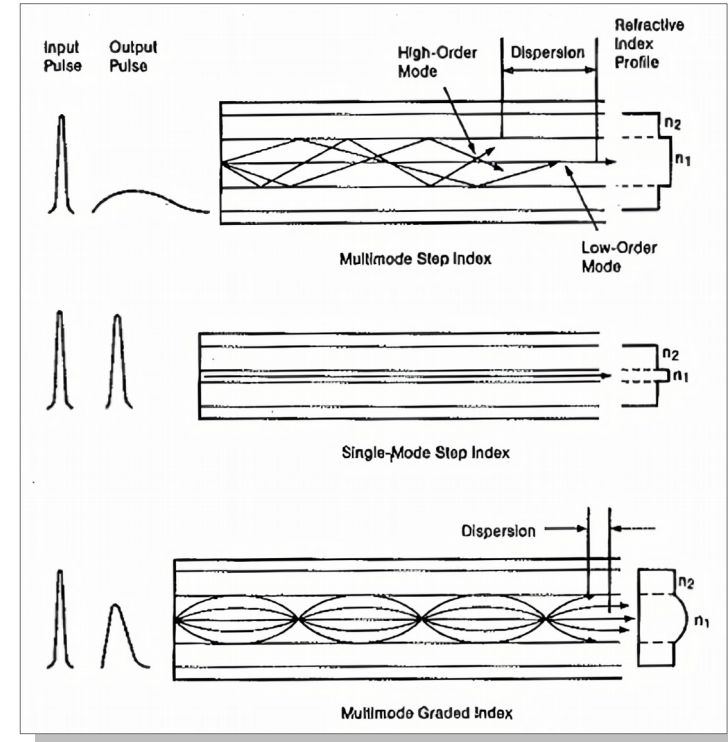
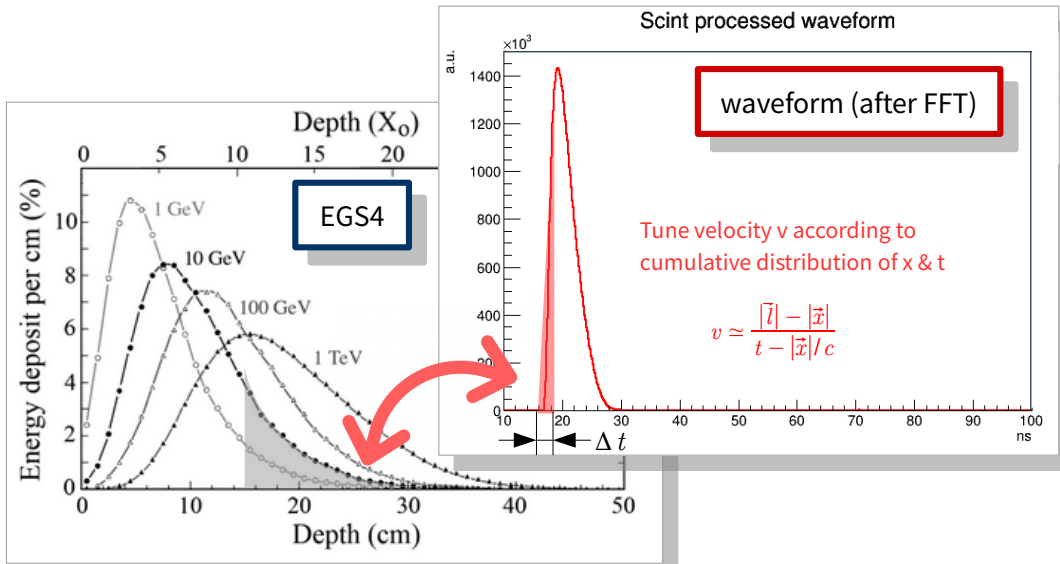
Frequency domain



# Longitudinal shower shape

## Shower shape & timing – Dispersion

- Waveform is unlikely a shower shape even after FFT processing
- Late-component of the timing is dominated by the modal dispersion
- Mitigate dispersions by using slower phase velocity for late-components  
→ Tune group velocity as a function of  $\Delta t$  using EM shower

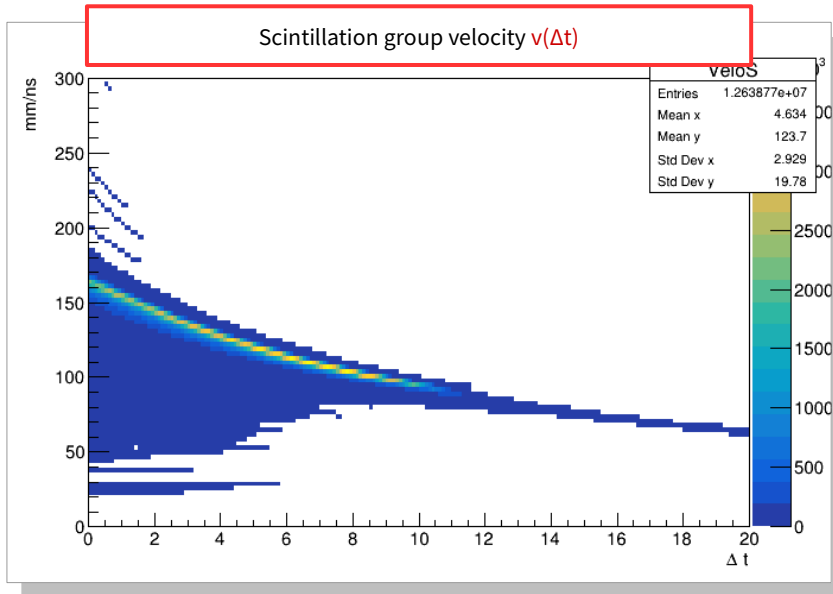




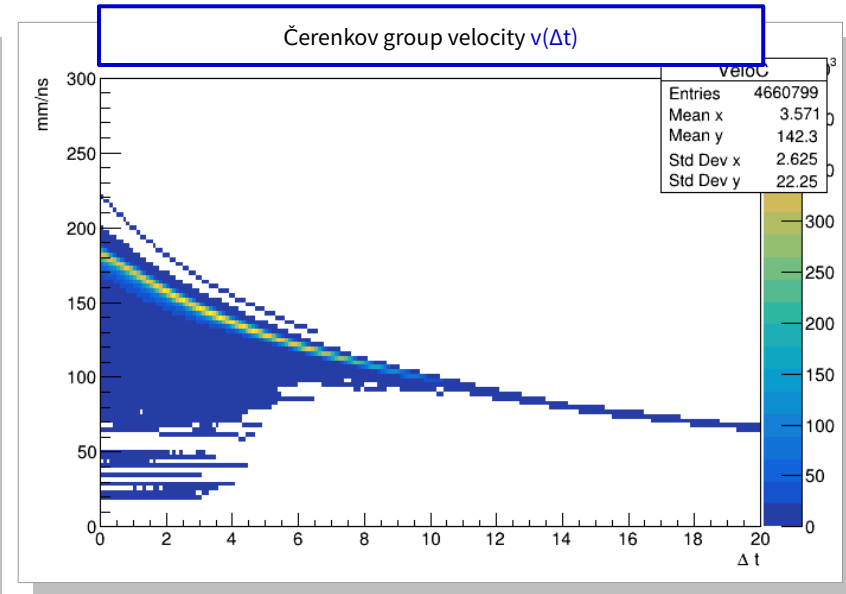
# Modal dispersion

## Group velocity modeling

- Assign slower group velocity for the late-components at  $t = t_0 + \Delta t$
- Apply tuning according to cumulative distribution of  $dE/dx$  &  $dV/dt$  with 20 GeV  $e^-$ 
  - profile group velocity for every fiber by assuming the longitudinal shape (EM shower template)



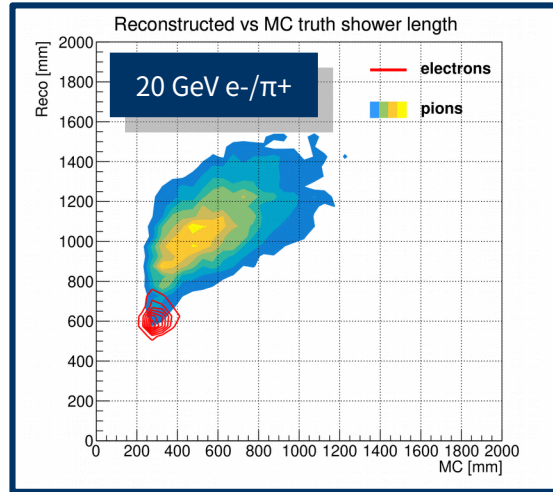
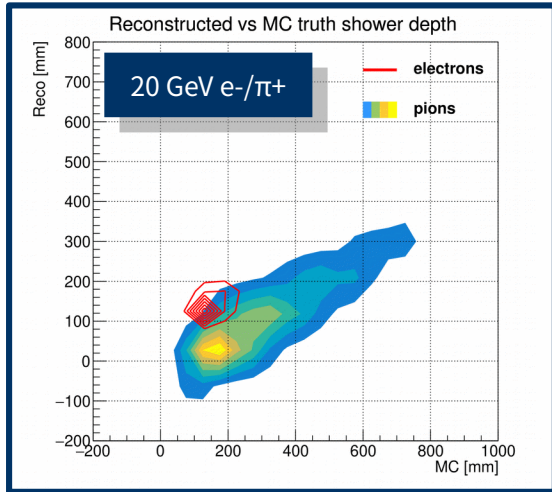
(ToA)



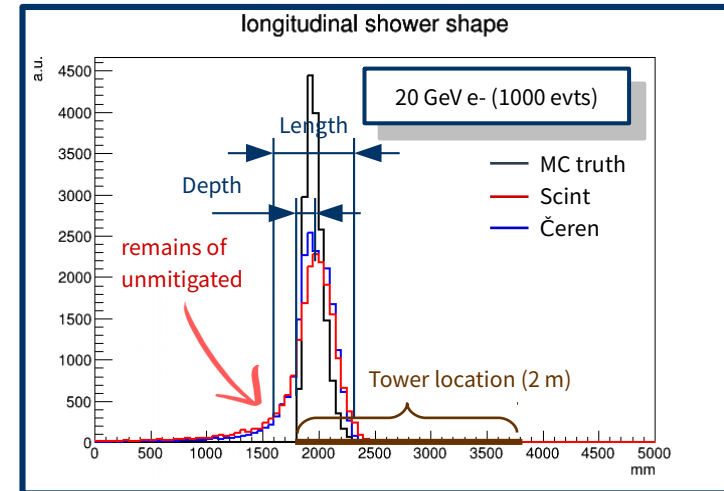
# Longitudinal shower shape

## Longitudinal shower depth & length

- Able to obtain linear correlation of both shower depth & length simultaneously
  - Depth shows good correlation between MC vs Reco
  - Length shows moderate correlation
- remains of unmitigated shower head (mainly dispersion)
- Longitudinal shape with excellent lateral granularity → 3D reconstruction

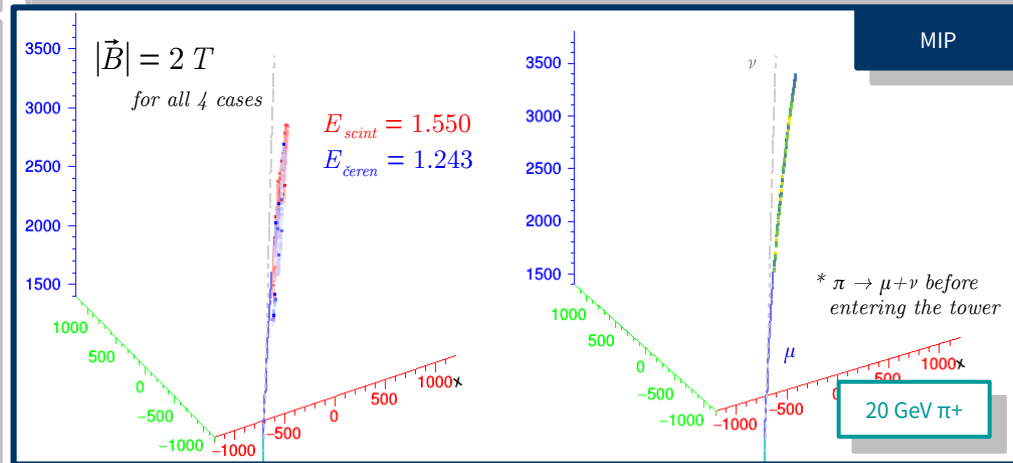
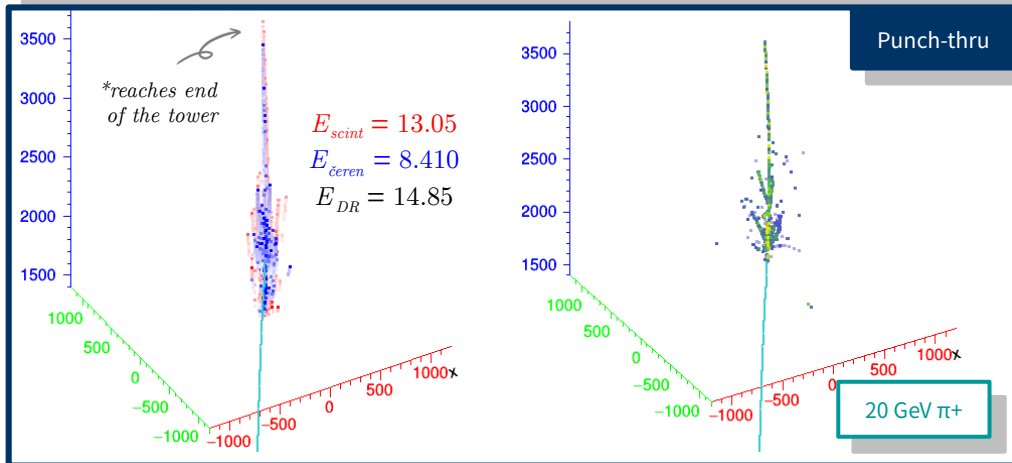
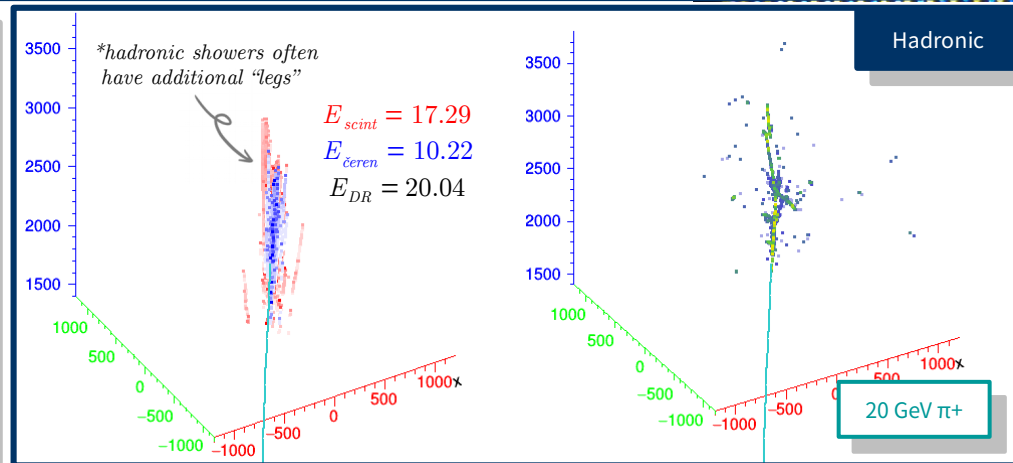
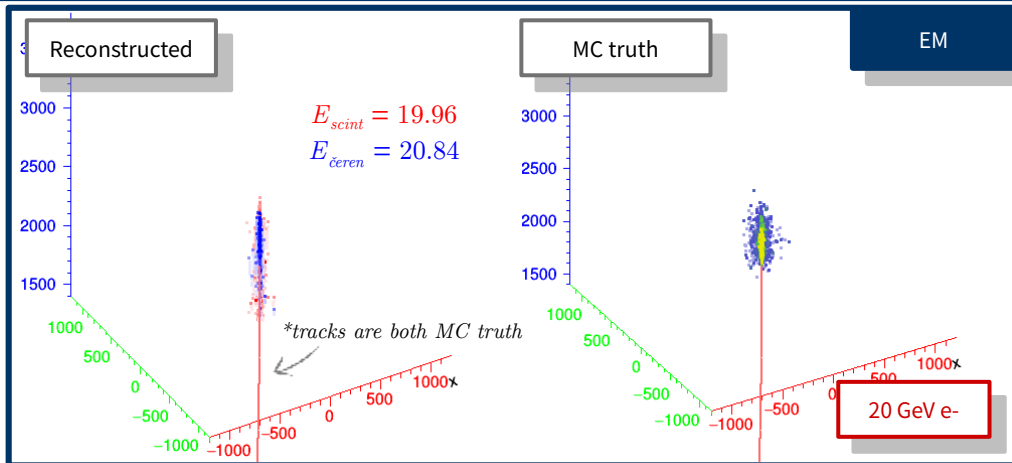


	Simulation setup
Timing resolution	Ideal (assume ~ O(10 ps))
Sampling rate	100 ps



\*) contributed to Snowmass21 white paper arXiv:2203.07286

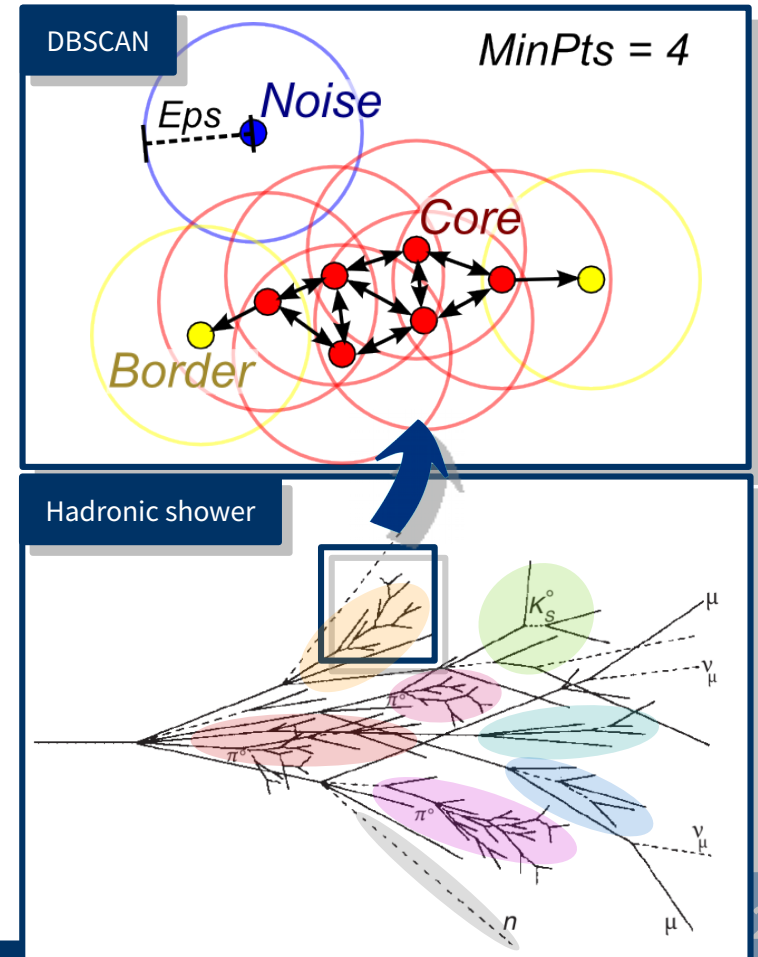
# 3D reconstruction



# Shower substructure

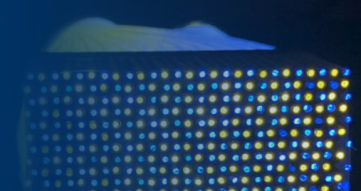
## Probing shower substructure with DBSCAN

- start to look into basic properties with 3D shower shape  
→ counting the # of substructures
- DBSCAN (density-based clustering) has useful characteristics to cluster (hadronic) shower substructures
  - 1) does not require the # of clusters a priori
  - 2) suitable for arbitrary-shaped clusters
  - 3) able to weight each point
- However, DBSCAN does not consider different lateral (1.5 mm) & longitudinal (100 ps  $\approx$  4 cm) binning accuracy
  - scale the longitudinal direction by the factor of 20 to match the accuracy of each direction

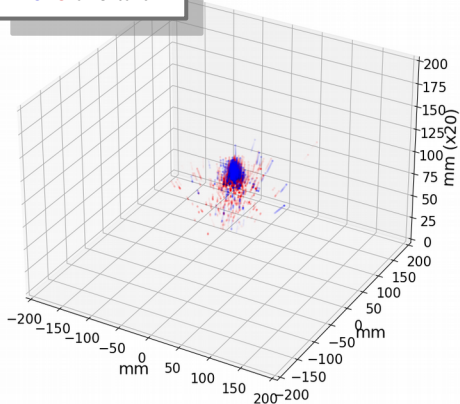




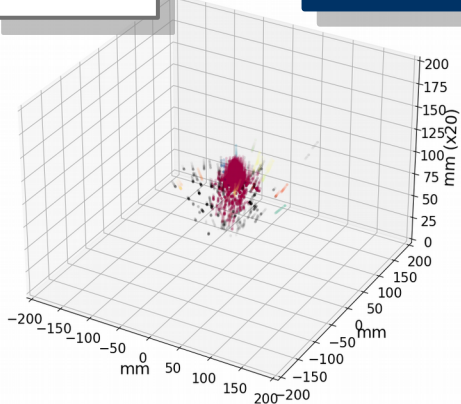
# Shower substructure



C+S overlaid

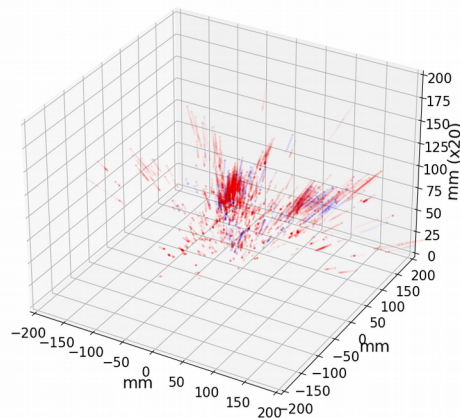


DBSCAN



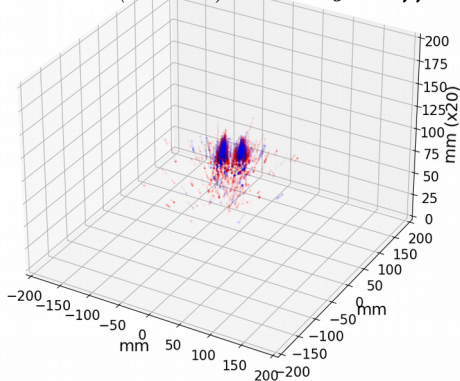
20 GeV e-

\*black marker: noise points  
 $\Sigma E < \text{minPts}$  (1% of tot E)



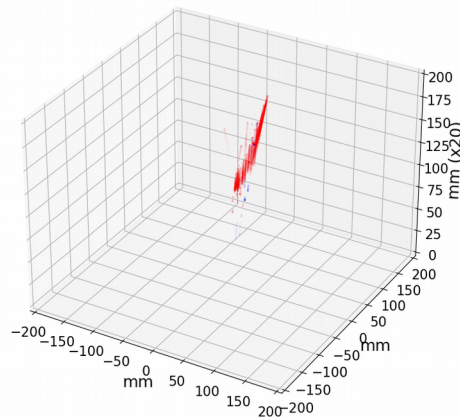
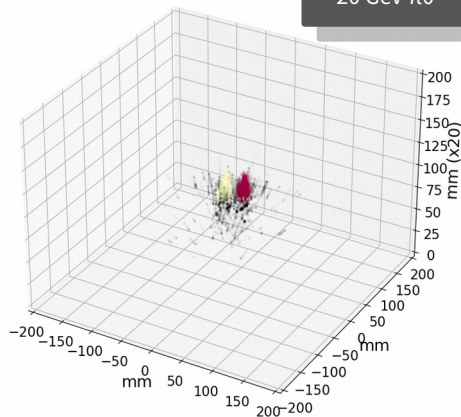
20 GeV  $\pi^+$

\* $\pi^0$  needs tighter  $\epsilon$  (5 mm) compared to others (7.5 mm) to distinguish  $\gamma\gamma$



20 GeV  $\pi^0$

20 GeV  $\mu^-$

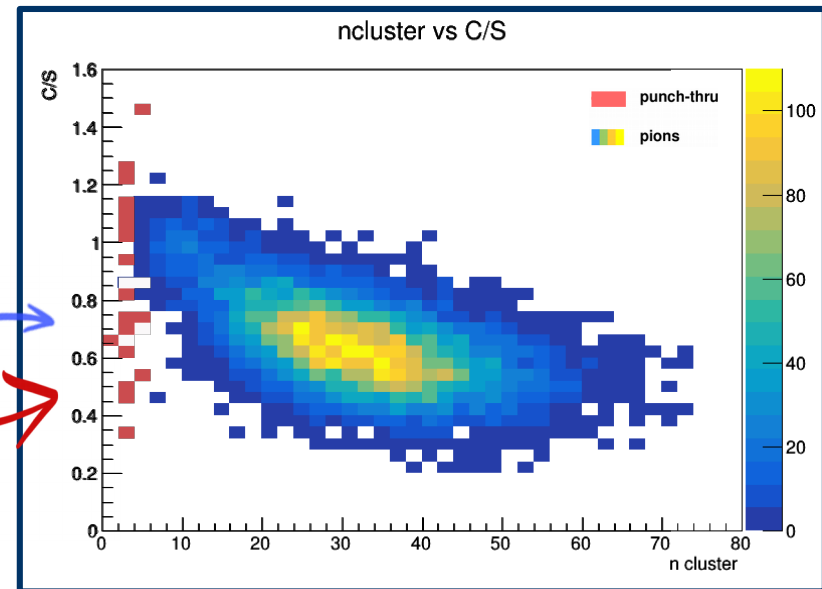
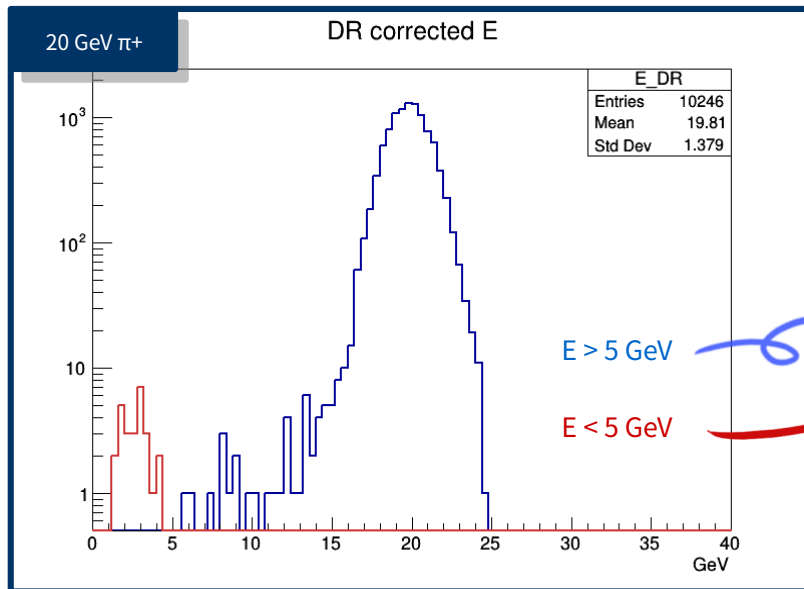


# # of clusters vs C/S



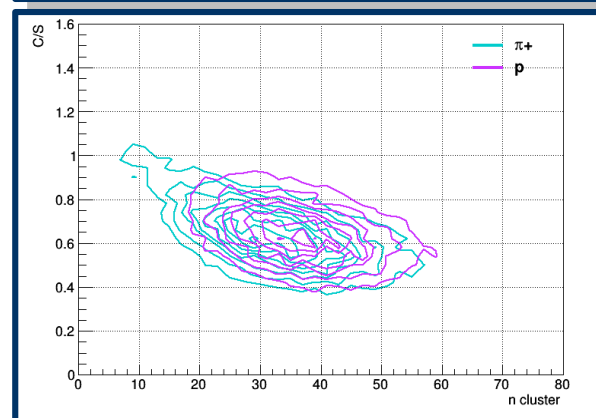
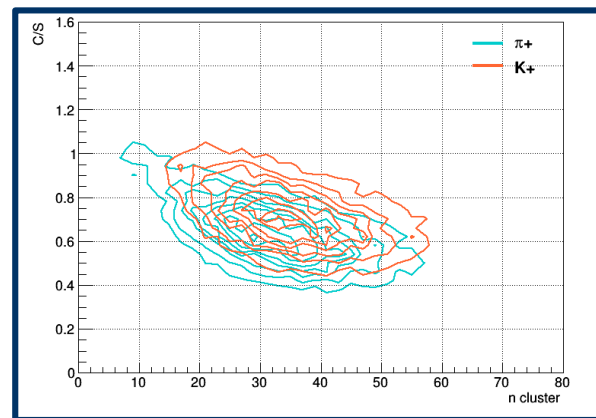
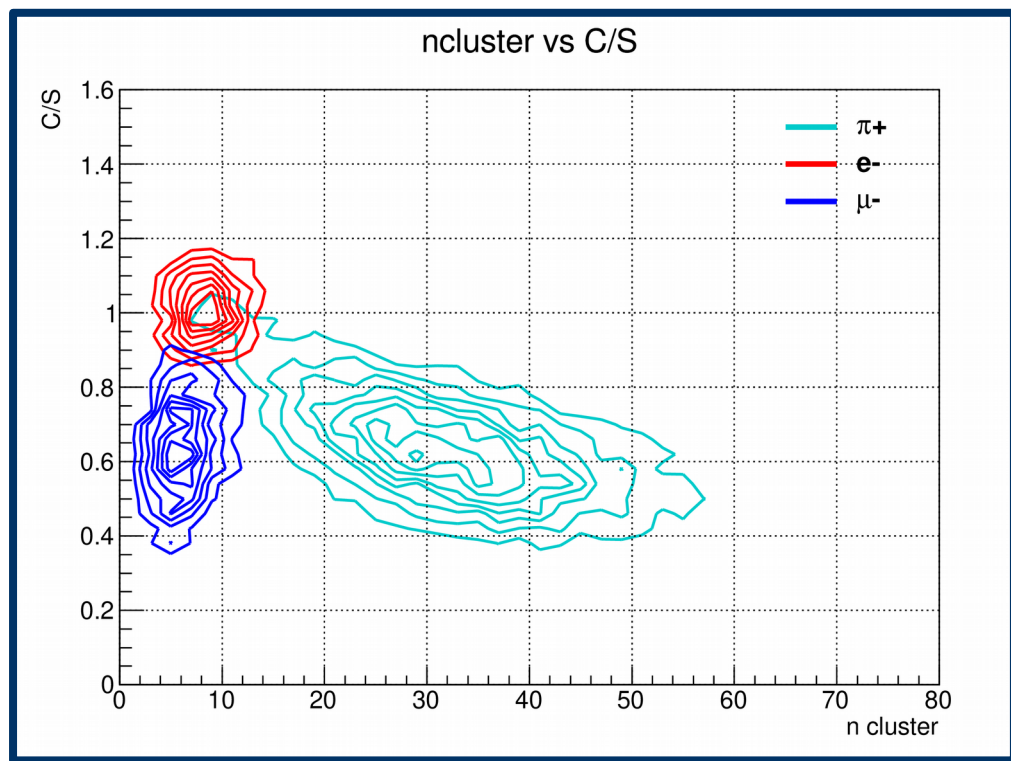
## Hadronic punch-thru ID via substructure clustering

- # of clusters provides an orthogonal source of the information
- mixing it with the classic C/S of DRC can bring insights to the behavior of showered particles  
→ hadronic particle showers to fully EM component, punch-thru, .etc



# # of clusters vs C/S

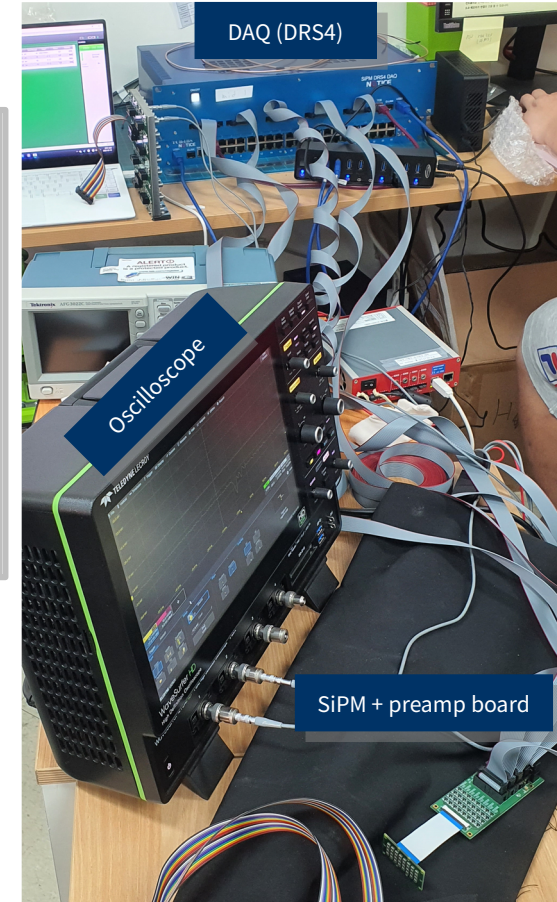
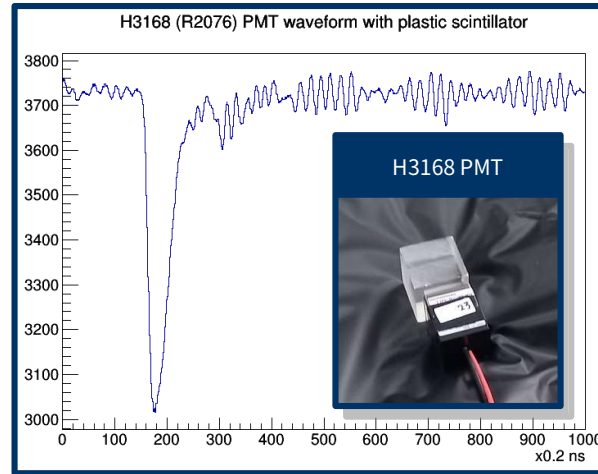
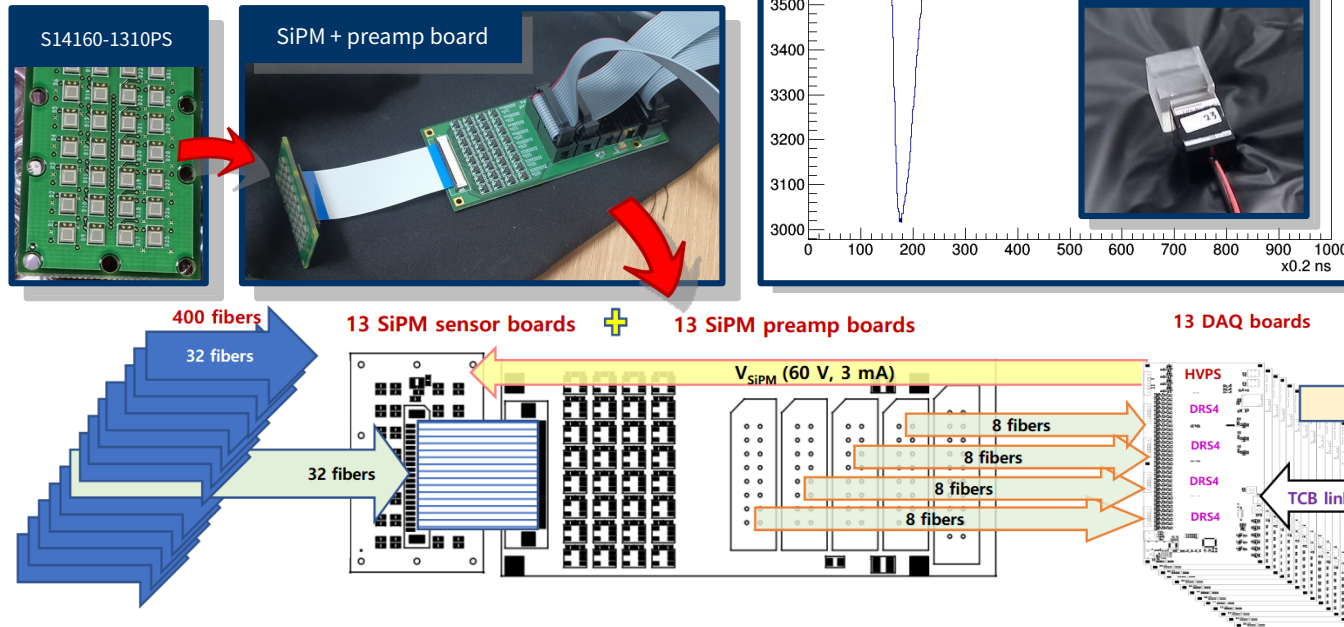
## Particle ID with substructure clustering



# Hardware efforts

## Towards the proof of concept

- Started lab tests with SiPM + readouts
- Plan to collect waveforms at SPS H8 in August (in prescaled mode – 67kB/32ch per event)

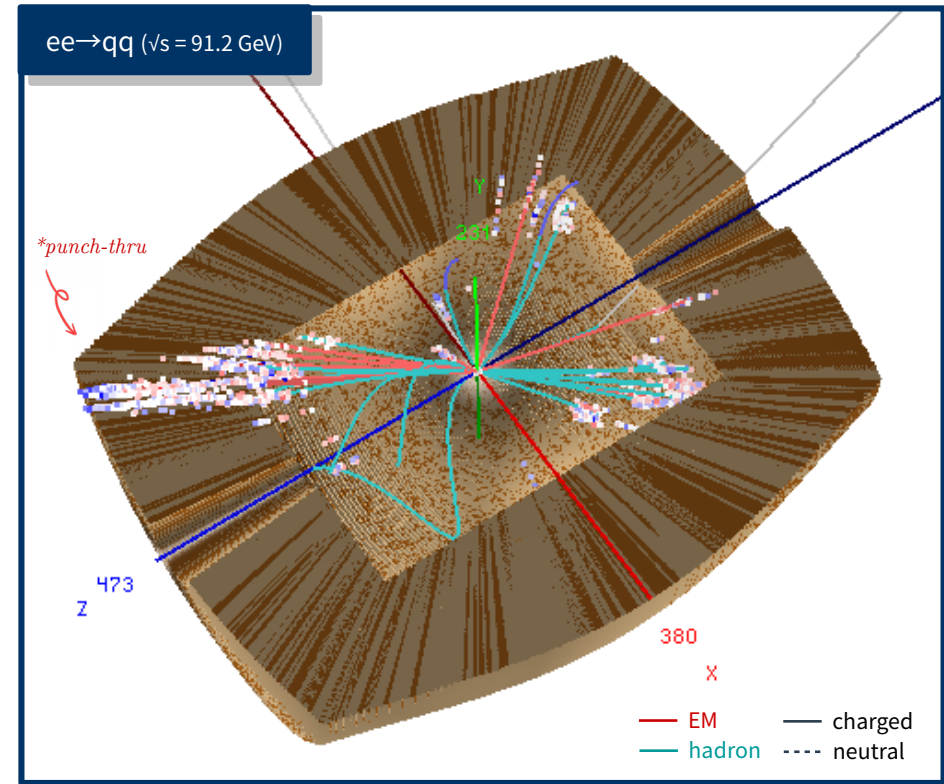
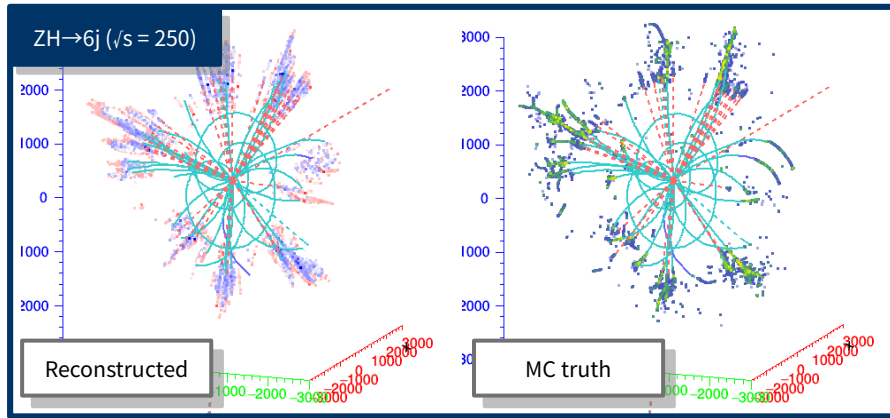




# Summary

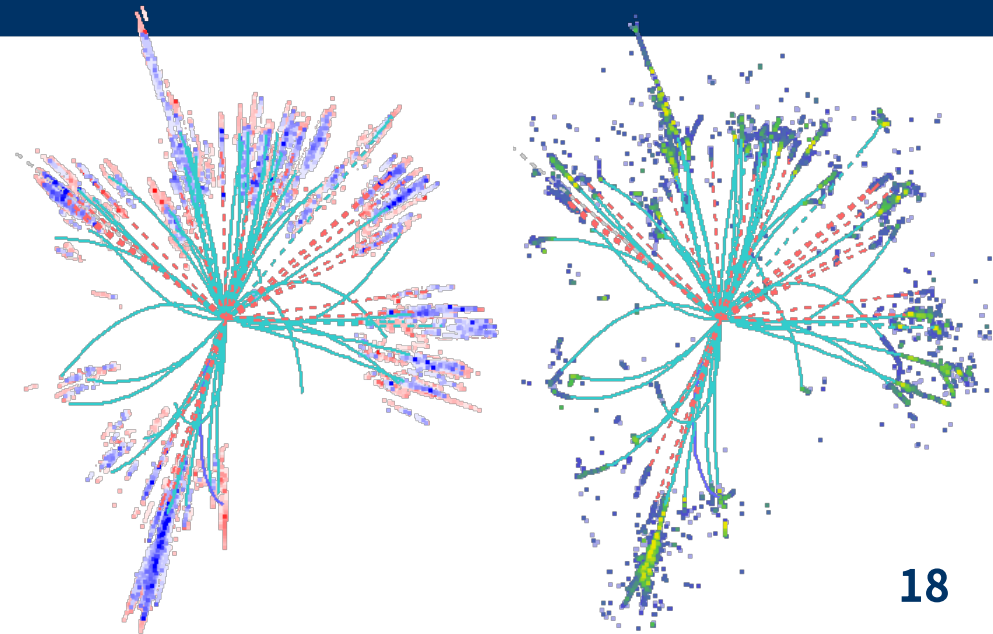
## Longitudinal & 3D reconstruction

- Dual-readout calorimeter has shown excellent performance with simulations through past years
- Developing novel ideas to exploit timing information for longitudinal & 3D reconstruction  
→ first 3D reconstruction with fiber-sampling calorimeter
- Many exciting challenges are ahead of us...





# Backups



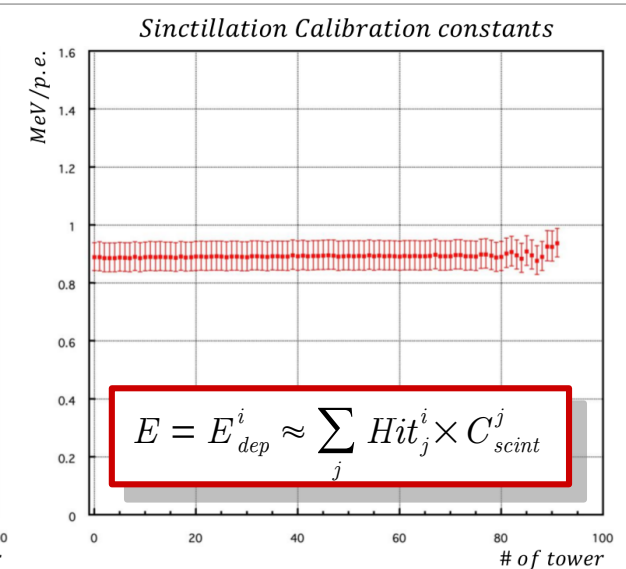
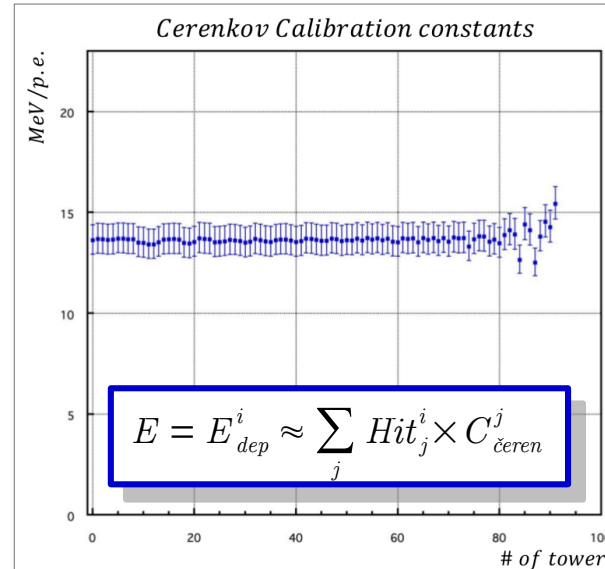
# Calibration

## Calibration using 20 GeV e-

- Measure **Energy deposit**, **scintillation p.e.** & **Čerenkov p.e.** at i-th tower (0<sup>th</sup> - 91<sup>st</sup>)
- Energy can be expressed as a linear combination with simulations of 92 towers  
→ Estimate calibration constants
- Uniform calibration constants as a function of the tower number

$$Energy = \sum_{i=0}^{92} Hit_{i^{th\ tower}} \times Calibration\ constant^{i^{th\ tower}}$$

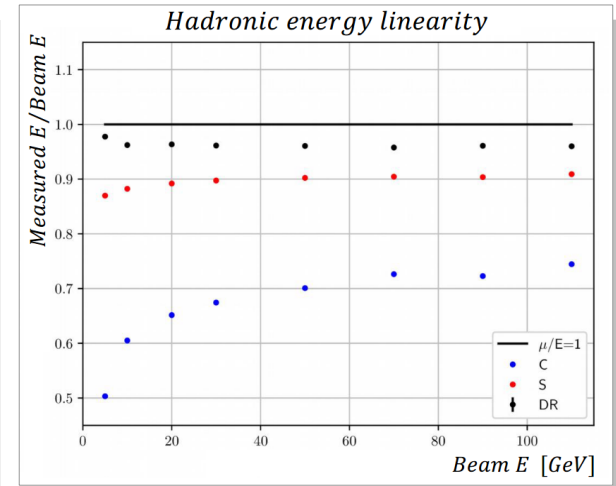
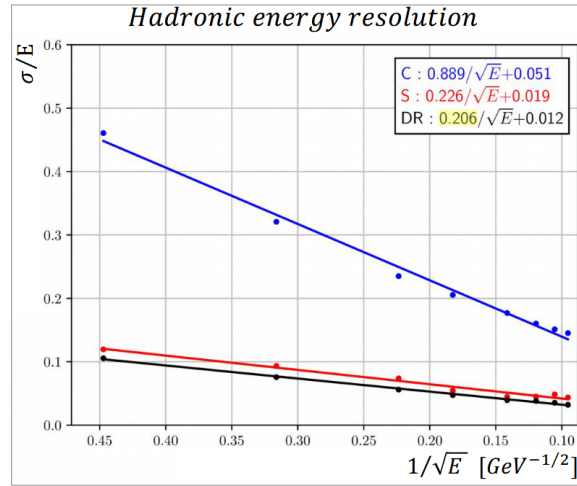
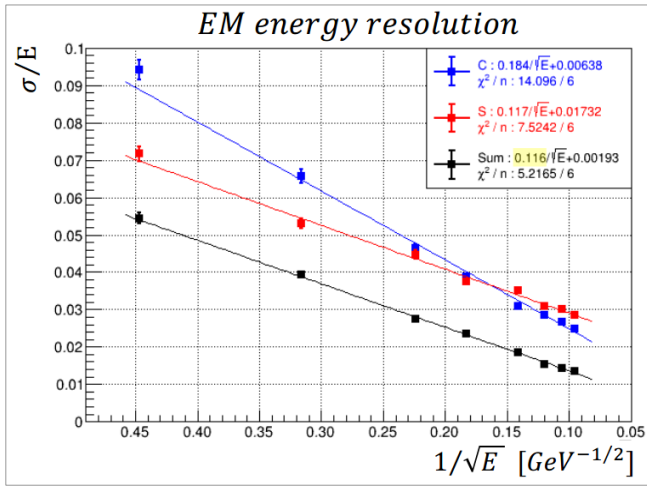
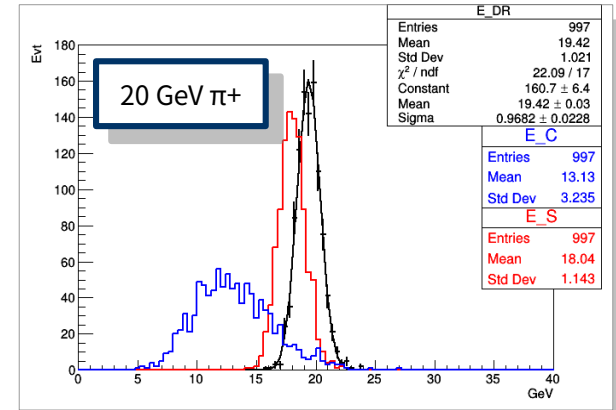
$$\Rightarrow \begin{bmatrix} E_{dep}^0 \\ E_{dep}^1 \\ \vdots \\ E_{dep}^{90} \\ E_{dep}^{91} \end{bmatrix} = \begin{bmatrix} Hit_0^0 & Hit_1^0 & \dots & Hit_{90}^0 & Hit_{91}^0 \\ Hit_0^1 & Hit_1^1 & \dots & Hit_{90}^1 & Hit_{91}^1 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ Hit_0^{90} & Hit_1^{90} & \dots & Hit_{90}^{90} & Hit_{91}^{90} \\ Hit_0^{91} & Hit_1^{91} & \dots & Hit_{90}^{91} & Hit_{91}^{91} \end{bmatrix} \begin{bmatrix} C^0 \\ C^1 \\ \vdots \\ C^{90} \\ C^{91} \end{bmatrix}$$



# Energy resolution

## Estimation of energy resolution with GEANT4

- GEANT4 shows excellent energy resolution for both EM & hadronic showers  
 → 11.6%/√E (EM)      20.6%/√E (Hadronic)
- Moving forward to demonstrate energy resolution with the beam test data  
 → details presented by INFN colleagues [\[link\]](#)

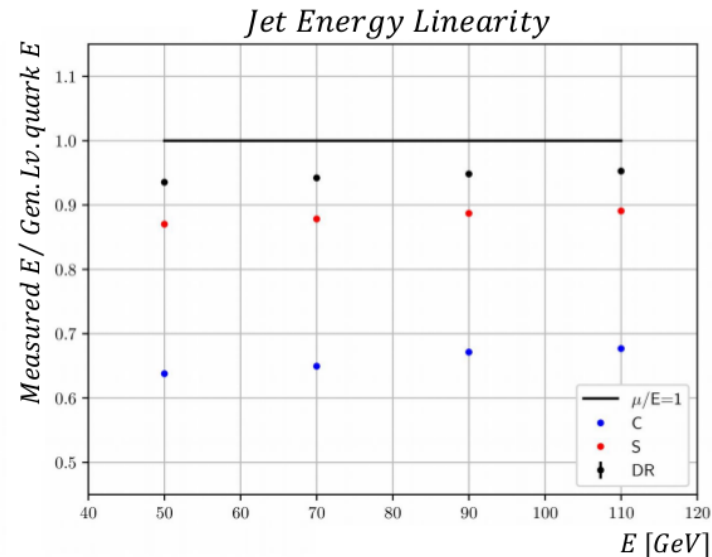
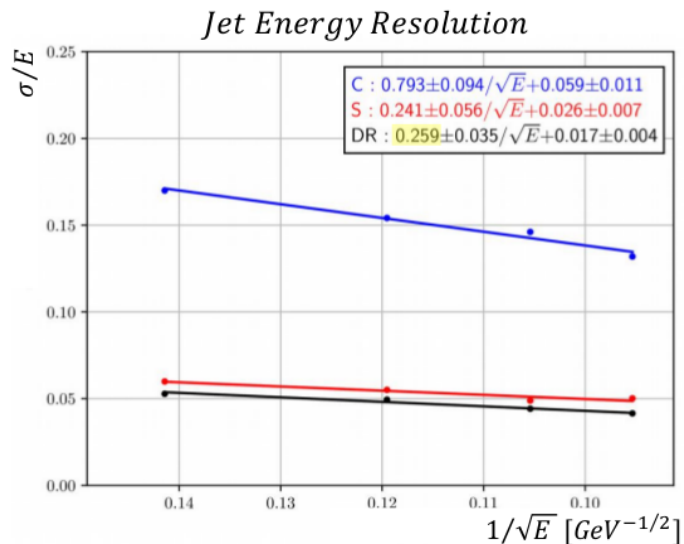




# Jet energy resolution

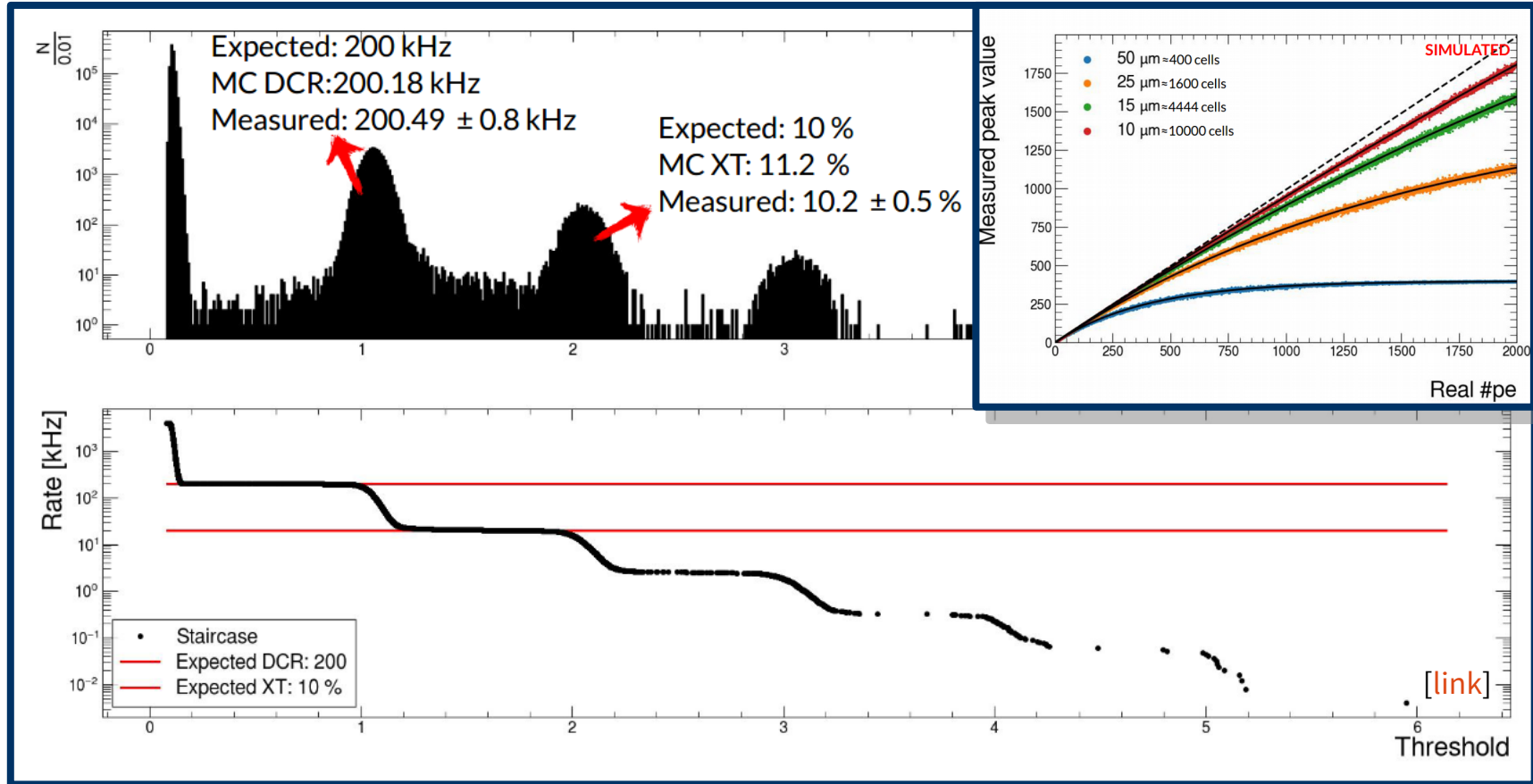
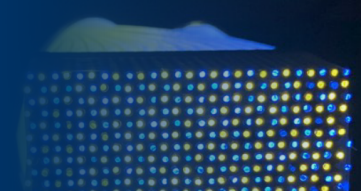
## JER with $ee \rightarrow uu$ events

- Resolution is scaled to  $1/\sqrt{E}$ .
- Stochastic term and constant term of resolution is estimated by linear fitted result



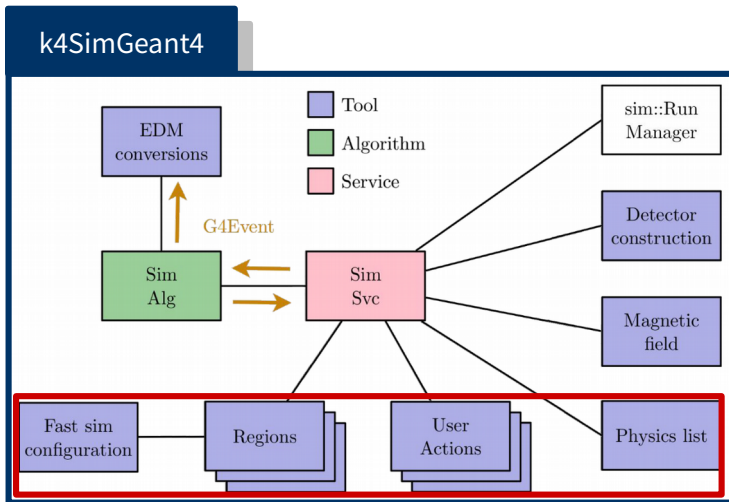
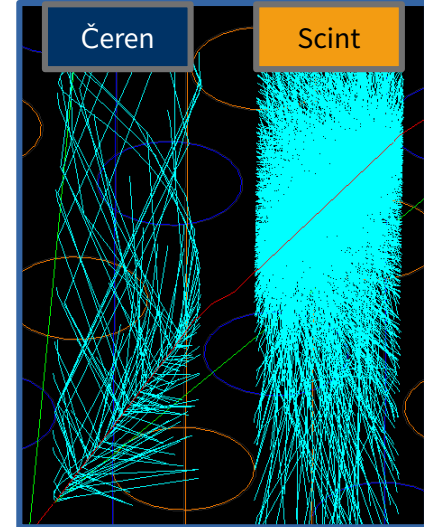
- Stochastic term for jet energy resolution is  **$\sim 26\%$** .
- JER for 100GeV jet is  **$\sim 3.1\%$** . It satisfies requirement which proposed at CDR of FCC-ee and CEPC.

# SiPM emulation



# Optical physics simulation

- Timing is crucial for longitudinally unsegmented calorimeter to measure shower depth
- Optical physics gives detailed timing information, but at a high cost of CPU
- Incorporating modularized G4 Physics Lists to achieve detail & speed simultaneously
  - FTFP\_BERT (full simulation)
    - └ + GEANT4 optical physics [code] (inactive in default G4)
    - └ + Fastsim module applied to optical photons [link][code]



## k4run configuration

```
regionTool = SimG4FastSimOpFiberRegion("fastfiber")
opticalPhysicsTool = SimG4OpticalPhysicsList("opticalPhysics", fullphysics="SimG4FtfpBert")
physicslistTool = SimG4FastSimPhysicsList("Physics", fullphysics=opticalPhysicsTool)

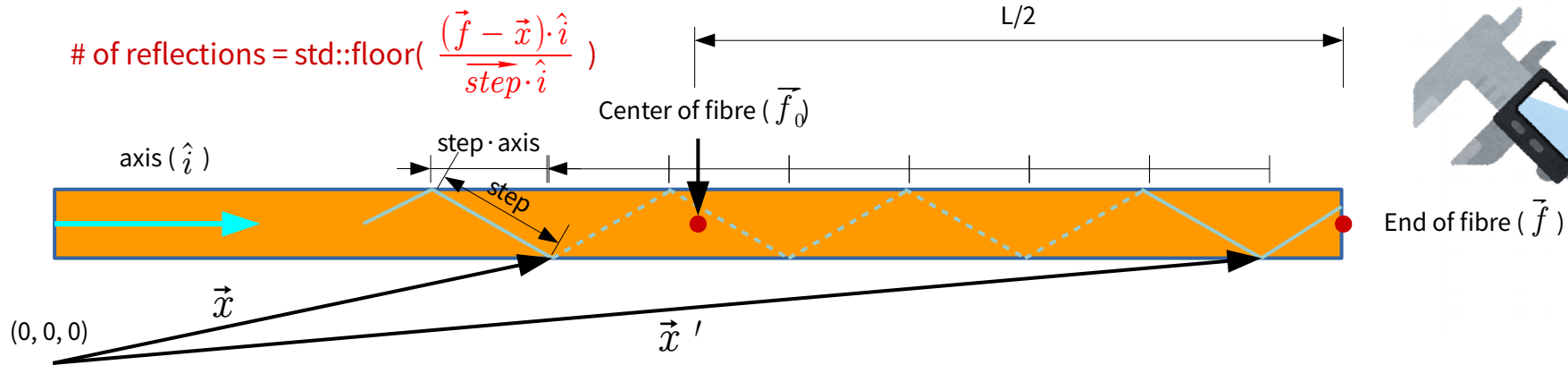
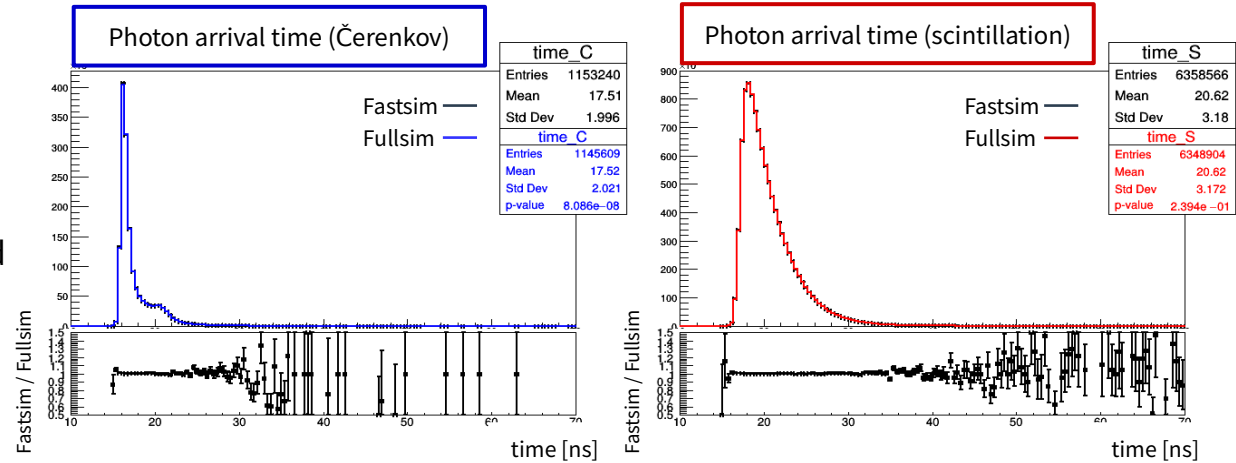
from Configurables import SimG4DRcaloActions
actionTool = SimG4DRcaloActions("SimG4DRcaloActions")

# Name of the tool in GAUDI is "XX/YY" where XX is the tool class name and YY is the given name
geantservice = SimG4Svc("SimG4Svc",
    physicslist = physicslistTool,
    regions = ["SimG4FastSimOpFiberRegion/fastfiber"],
    actions = actionTool
)
```

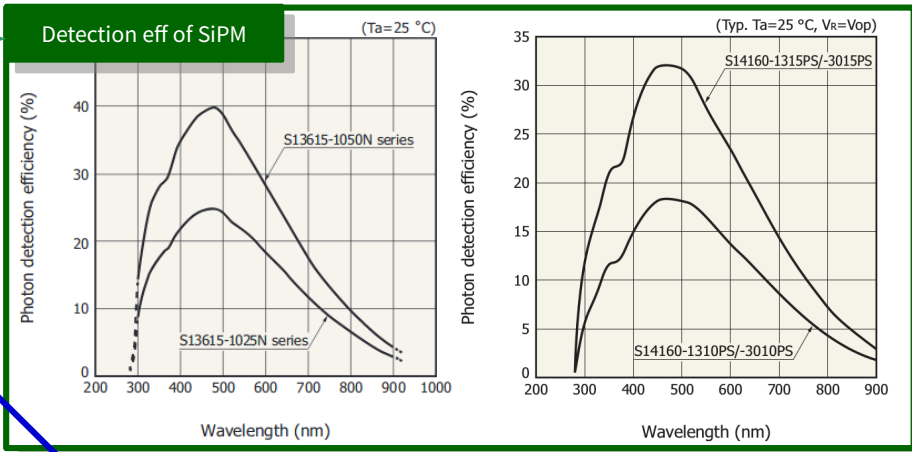
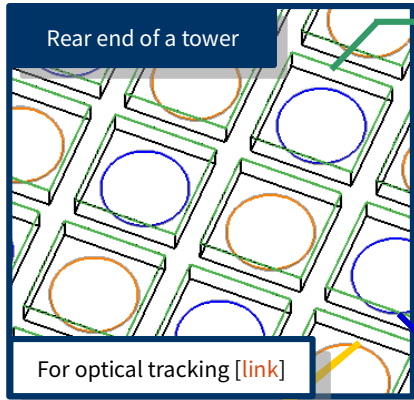
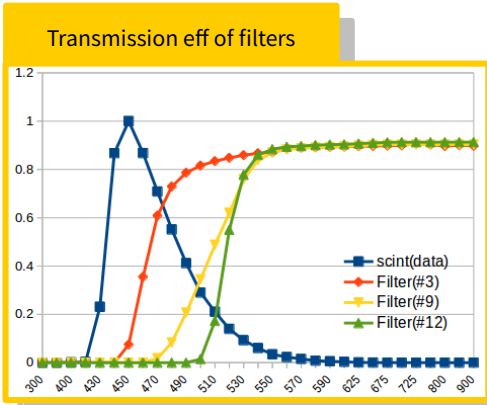
# Speeding up optical photon tracking

## Fast optical photon tracking

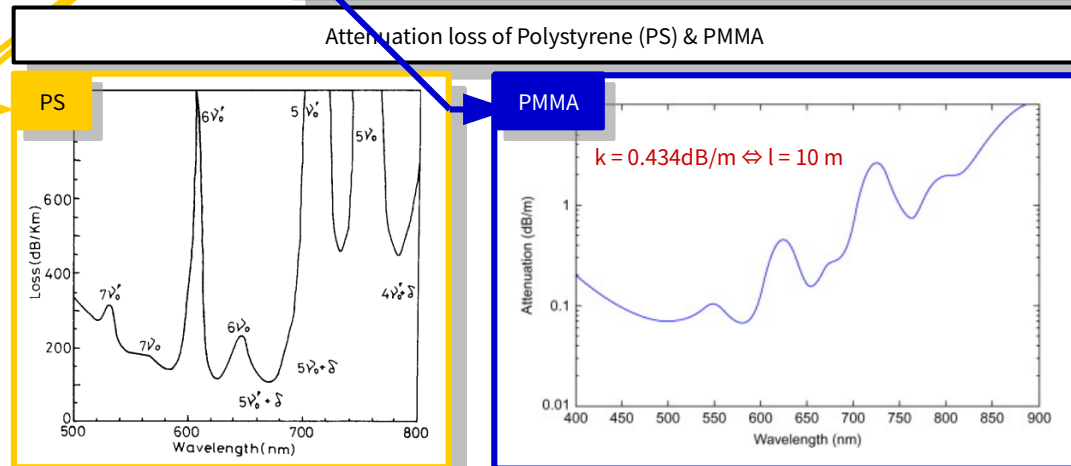
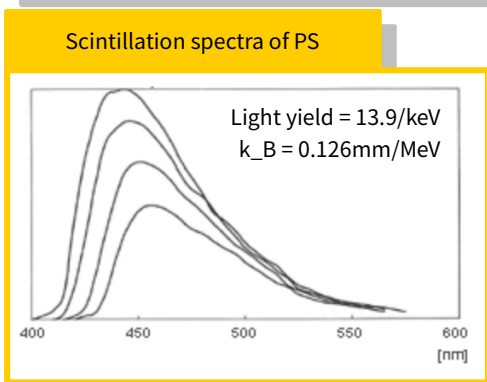
- Tracking optical photons is necessary, however it dominates CPU consumption
- Optical photons inside fibers can be tracked efficiently, by skipping intermediate steps  
→ developed fastsim for optical photons (presented at GEANT4 R&D meeting [\[link\]](#))



# Optical properties in simulation



Attenuation loss diverges at 400nm → applied filter to S channel to mitigate it





# Backups – to be updated

