

Studies of 3D Structure of the Nucleon

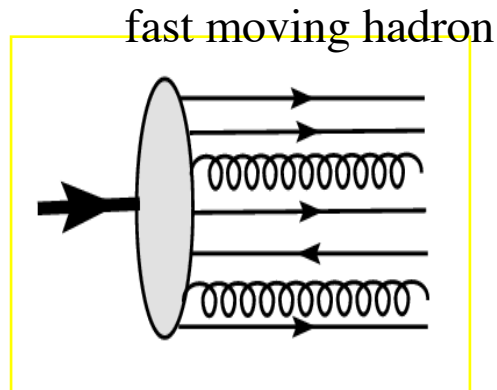
Harut Avakian (JLab)

ISMD2021

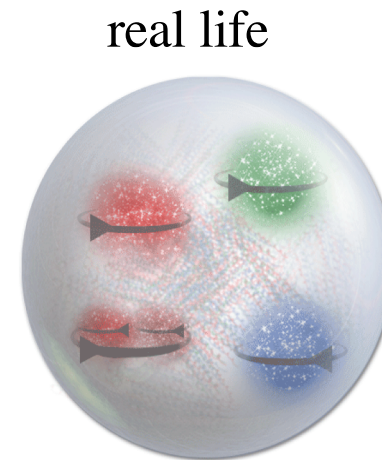
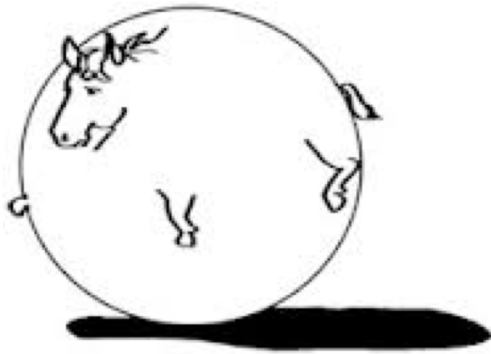
July 12-16, 2021

- Introduction
- Measurements at JLab12
- Extraction of 3D PDFs
- Interpretations
- Challenges
- Summary

Proton structure from 1D to 3D



spherical horse in vacuum

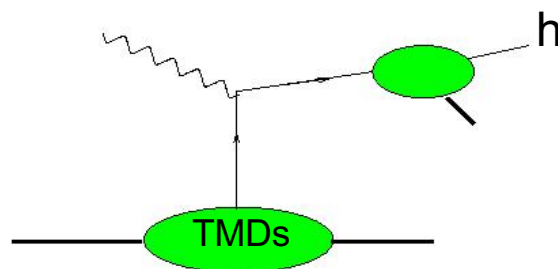
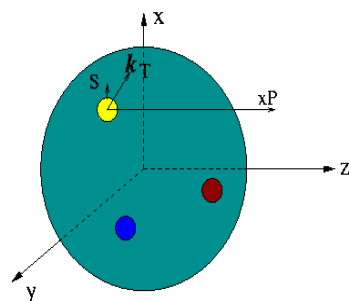


To understand better the dynamics we need to

- Study orbital motion, move from 1D to 3D
- Study interactions and correlations of spin, longitudinal and transverse degrees of freedom

3D structure of the nucleon

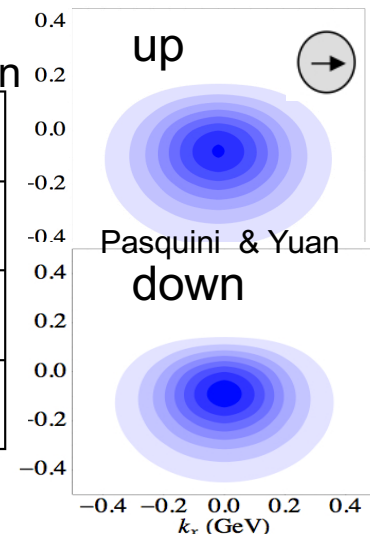
Semi-Inclusive processes and **transverse momentum distributions**



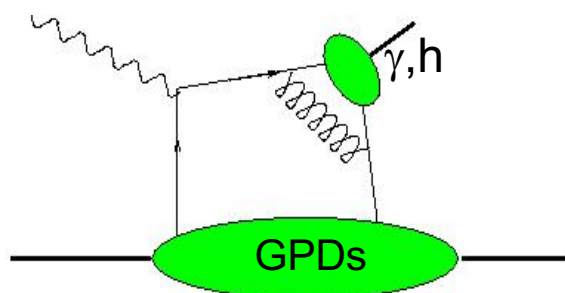
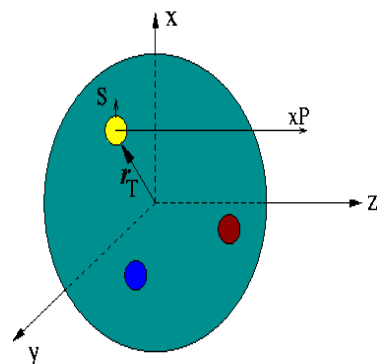
quark polarization

N/q	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

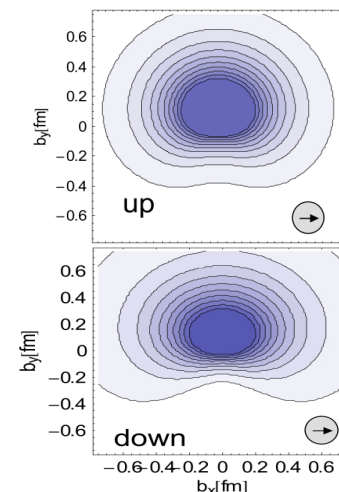
nucleon pol.



Hard exclusive processes and **spatial distributions of partons**



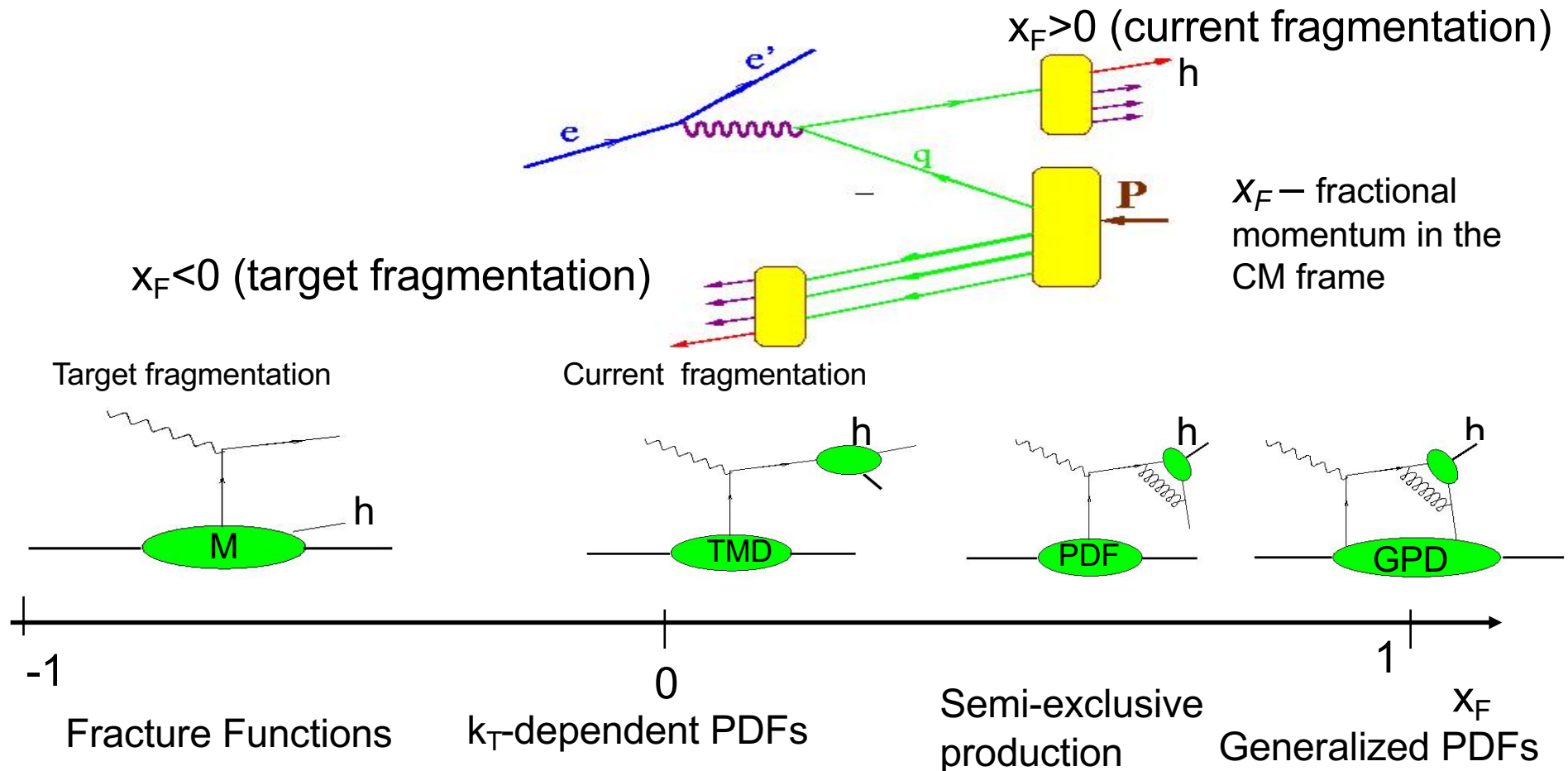
N/q	U	L	T
U	H		\mathcal{E}_T
L		\tilde{H}	
T	E		H_T, \tilde{H}_T



The quark-gluon dynamics manifests itself in a set of non-perturbative functions describing all possible spin-spin and spin-orbit correlations

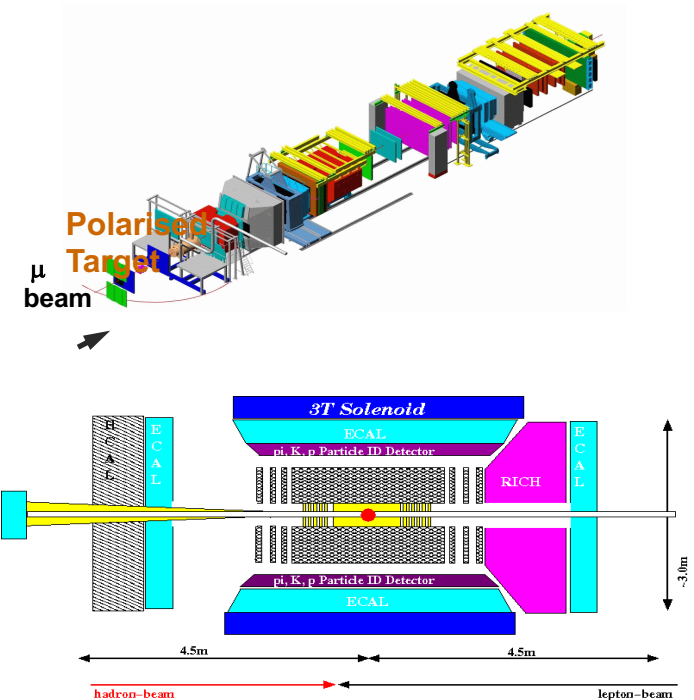
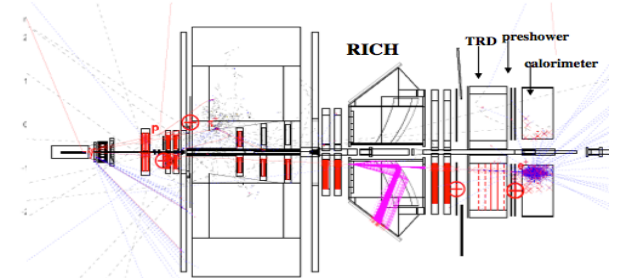
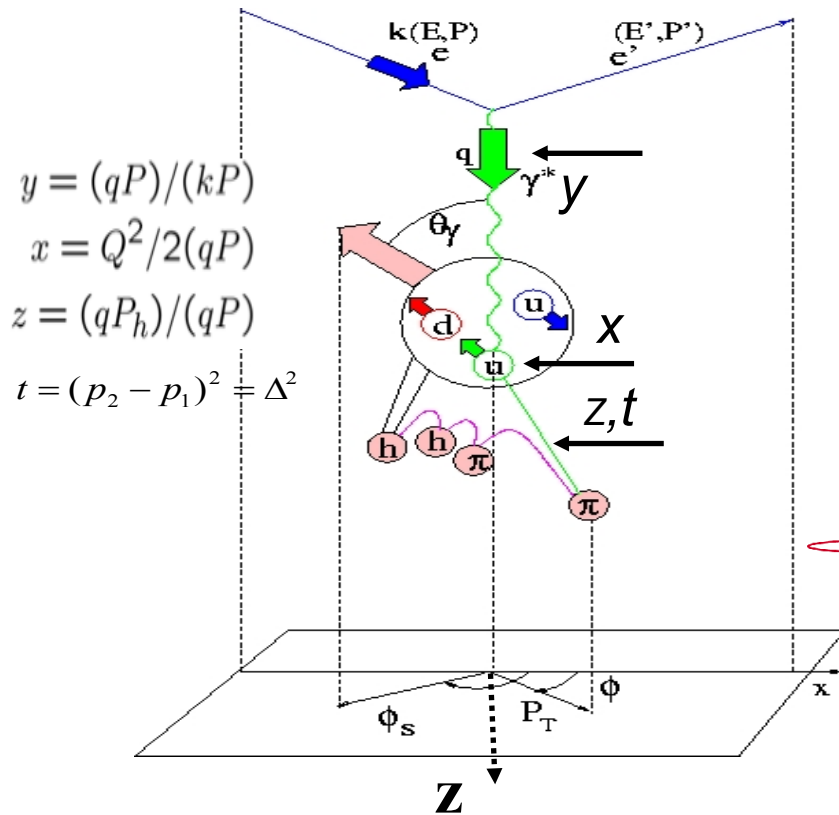
(QCDSF)

Electroproduction: extending 1D PDFs



Wide kinematic coverage of large acceptance detectors allows studies of semi-inclusive and exclusive processes simultaneously

Electroproduction: kinematics and detectors



x-section/multiplicity
 spin-azimuthal asymmetries

$$\sigma = F_{UU} + P_t F_{UL}^{\sin \phi} \sin 2\phi + P_b F_{LU}^{\sin \phi} \sin \phi \dots$$

Azimuthal modulations depend on structure functions, providing information on underlying correlations of spins with partonic momentum and space distributions

12 GeV Approved Experiments by Physics Topics



Topic (status: May 2021)	Hall A	Hall B	Hall C	Hall D	Other	Total
Hadron spectra as probes of QCD	0	2	1	4	0	7
Transverse structure of the hadrons	7	4	3	1	0	15
Longitudinal structure of the hadrons	1	3	7	1	0	12
3D structure of the hadrons	5.5	9	6.5	0	0	21
Hadrons and cold nuclear matter	9	6	7	1	0	23
Low-energy tests of the Standard Model and Fundamental Symmetries	3	1	0	1	1	6
Total	25.5	25	24.5	8	1	84
Total Experiments Completed	9.0	9.7	7.3	1.5	0	27.5
Total Experiments Remaining	16.5	15.3	17.2	6.5	1.0	56.5

~10
years

JLab 2015 Science & Technology review closeout bullets:

- develop an integrated picture of what measurements are necessary and will be conducted in determining the GPDs and TMDs
- develop milestones for extraction of GPDs and TMDs from experiment

GPDs and CFFs: Accessing OAM

$$\begin{aligned}\{\mathcal{H}, \mathcal{E}, \mathcal{H}_+^3, \mathcal{E}_+^3, \widetilde{\mathcal{H}}_-^3, \widetilde{\mathcal{E}}_-^3\}(\xi) &= \int_{-1}^1 dx C^{(-)}(\xi, x) \{H, E, H_+^3, E_+^3, \widetilde{H}_-^3, \widetilde{E}_-^3\}(x, \eta)|_{\eta=-\xi} \\ \{\widetilde{\mathcal{H}}, \widetilde{\mathcal{E}}, \widetilde{\mathcal{H}}_+^3, \widetilde{\mathcal{E}}_+^3, \mathcal{H}_-^3, \mathcal{E}_-^3\}(\xi) &= \int_{-1}^1 dx C^{(+)}(\xi, x) \{\widetilde{H}, \widetilde{E}, \widetilde{H}_+^3, \widetilde{E}_+^3, H_-^3, E_-^3\}(x, \eta)|_{\eta=-\xi}\end{aligned}$$

Perturbatively calculable coefficients

$$C^{(\mp)} F \rightarrow \sum_{i=u,d,s} C_i^{(\mp)} F_i$$

Recover GPDs from CFFs

Calculate angular momentum distribution (Ji)

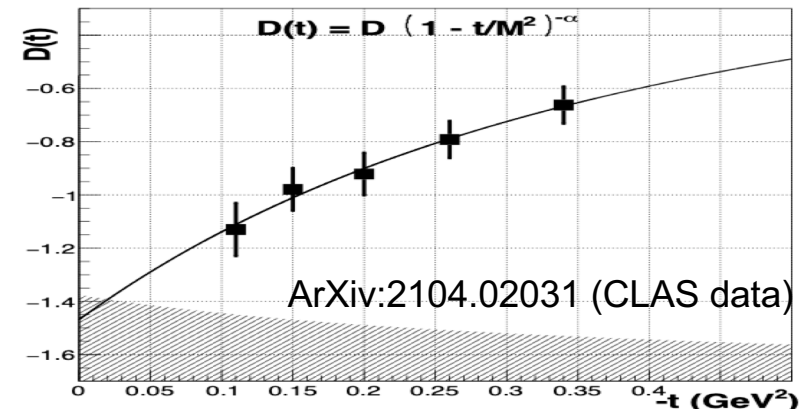
$$\lim_{t \rightarrow 0} \int_{-1}^1 dx x \left(H^a(x, \xi, t) + E^a(x, \xi, t) \right) = 2J^a(0)$$

Mellin moments of unpolarized GPDs yield the EMT form factors (1805.06596)

$$\int_{-1}^1 dx x H^a(x, \xi, t) = A^a(t) + \xi^2 D^a(t)$$

Extract force and pressure distributions

$$D = -\frac{4m}{15} \int d^3r r^2 s(r) = m \int d^3r r^2 p(r)$$



- Extraction of CFFs from data allows extractions of GPDs and EMT form factors opening access to fundamental properties, like mass, pressure, forces in nucleon
- Understanding of the systematics in extraction of CFFs in limited kinematics and further propagation to other observables requires detailed simulations !!!

Deeply Virtual Compton Scattering $ep \rightarrow e'p'\gamma$

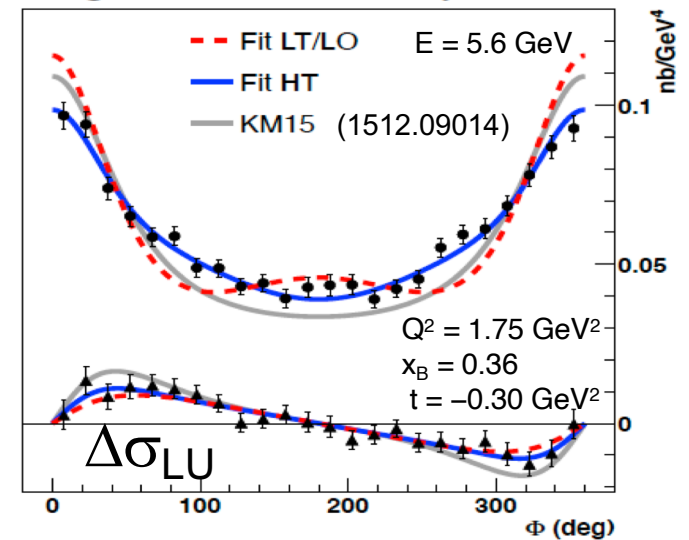
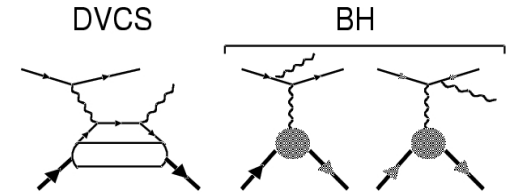
$$|\mathcal{T}_{\text{BH}}|^2 = \frac{e^6}{x_B^2 y^2 (1 + \epsilon^2)^2} \Delta^2 \mathcal{P}_1(\phi) \mathcal{P}_2(\phi) \left\{ c_0^{\text{BH}} + \sum_{n=1}^2 c_n^{\text{BH}} \cos(n\phi) + s_1^{\text{BH}} \sin(\phi) \right\}$$

$$\mathcal{I} = \frac{\pm e^6}{x_B y^2} \Delta^2 \mathcal{P}_1(\phi) \mathcal{P}_2(\phi) \left\{ c_0^{\mathcal{I}} + \sum_{n=1}^3 [c_n^{\mathcal{I}} \cos(n\phi) + s_n^{\mathcal{I}} \sin(n\phi)] \right\},$$

$$|\mathcal{T}_{\text{DVCS}}|^2 = \frac{e^6}{y^2 Q^2} \left\{ c_0^{\text{DVCS}} + \sum_{n=1}^2 [c_n^{\text{DVCS}} \cos(n\phi) + s_n^{\text{DVCS}} \sin(n\phi)] \right\}$$

$$\Delta\sigma_{\text{LU}} \sim \sin\phi \{ F_1 H(\xi, \xi, t) + \xi(F_1 + F_2) \tilde{H} + k F_2 E \} \quad s_2'$$

$$\Delta\sigma_{\text{UL}} \sim \sin\phi \{ F_1 \tilde{H} + \xi(F_1 + F_2)(H + \dots) \}$$



Hall-A: 1504.05453 (LU)

Hall-B: 1501.07052 (UL)

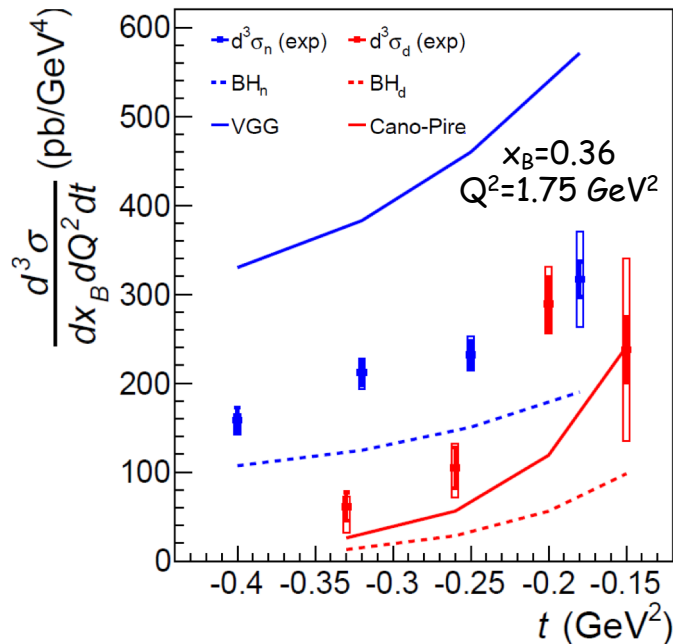
- Interference responsible for SSA, contain the same lepton propagator $\mathcal{P}_1(\phi)$ as BH
- Different contributions have different kinematical dependences
- Higher twist contributions may be important in separation of DVCS

Hall-A:DVCS off the neutron ($en \rightarrow en\gamma$)

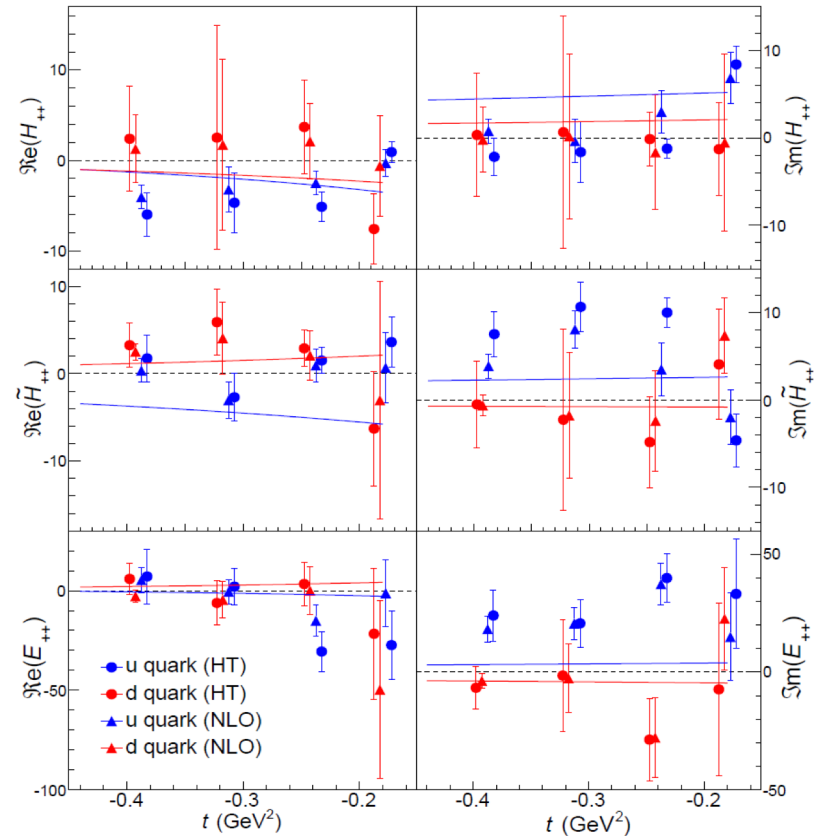
C.M. Camacho

$$D(e, e\gamma)X - p(e, e\gamma)p = n(e, e\gamma)n + d(e, e\gamma)d$$

- JLab Hall A experiment E08-025: DVCS off an LD2 target
- Quasi-free p events subtracted using normalized LH2 data
- Coherent DVCS off d separated using $ed \rightarrow e\gamma X$ missing mass



- 1st observation of DVCS off the neutron
- Sizeable cross section (significantly larger than BH)



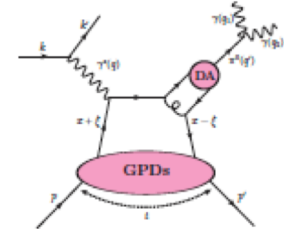
- Flavor separation of Compton Form Factors by combining proton and neutron DVCS data
- Uncertainties dominated by correlations originating from the (partial) separation of the coherent α -DVCS channel

Nature Physics 16, 191 (2020)

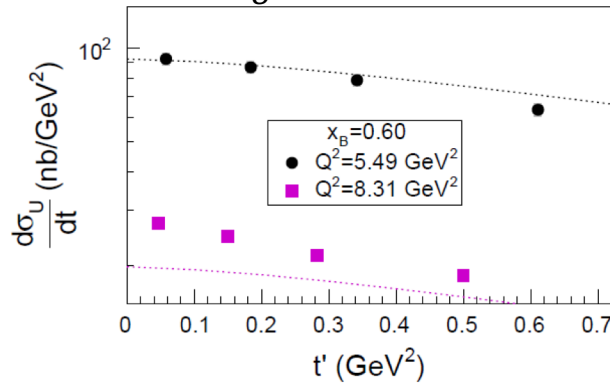
Hall-A: Exclusive π^0 electroproduction ($ep \rightarrow ep\pi^0$)

C.M. Camacho

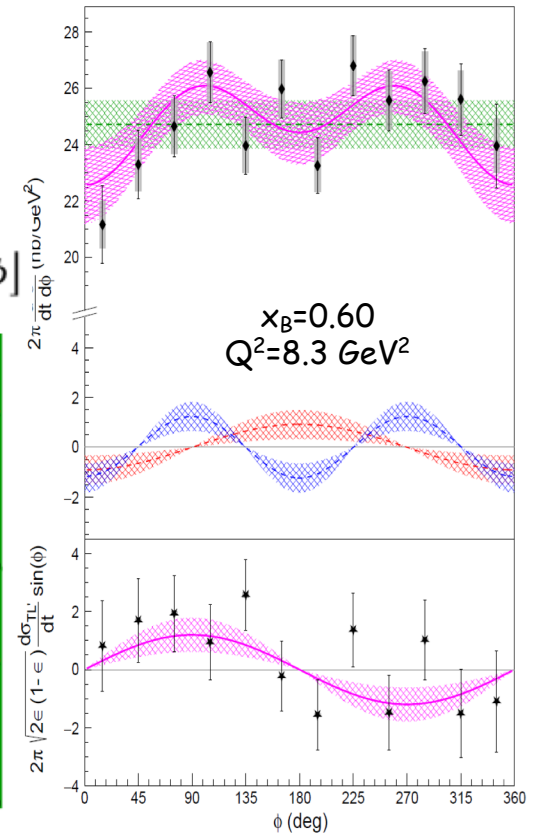
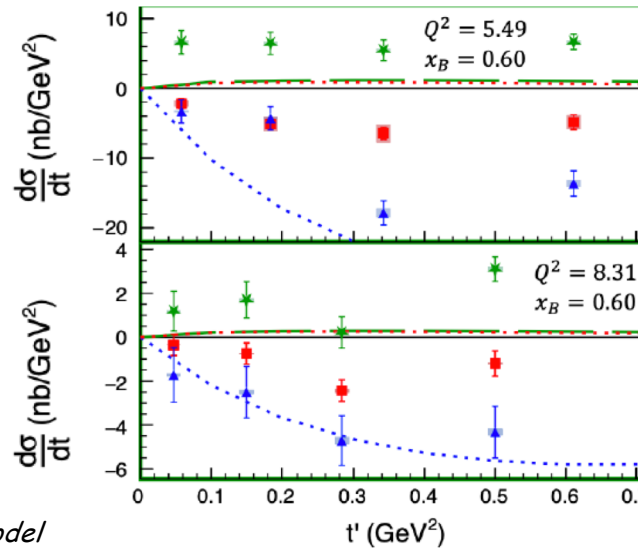
- JLab Hall A experiment E12-06-114 at 11 GeV
- Cross-section measurements up to large values of x_B and Q^2
- Dominance of the transverse cross section ($d\sigma_{TT} \gg d\sigma_{TL}, d\sigma_{TL'}$)
- Values well described by the modified factorization approach (Goloskokov, Kroll):
access to *transversity GPDs*



$$d^4\sigma = \frac{\Gamma}{2\pi} \left[\underbrace{d\sigma_T + \epsilon d\sigma_L}_{d\sigma_U} + \sqrt{2\epsilon(1+\epsilon)} d\sigma_{TL} \cos \phi + \epsilon d\sigma_{TT} \cos \phi + h \sqrt{2\epsilon(1-\epsilon)} d\sigma_{TL'} \sin \phi \right]$$



Dotted lines: GK model

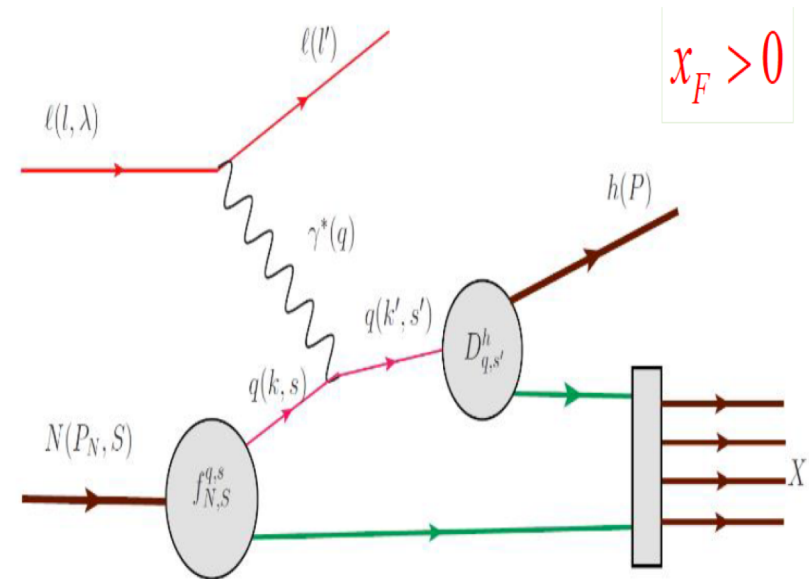
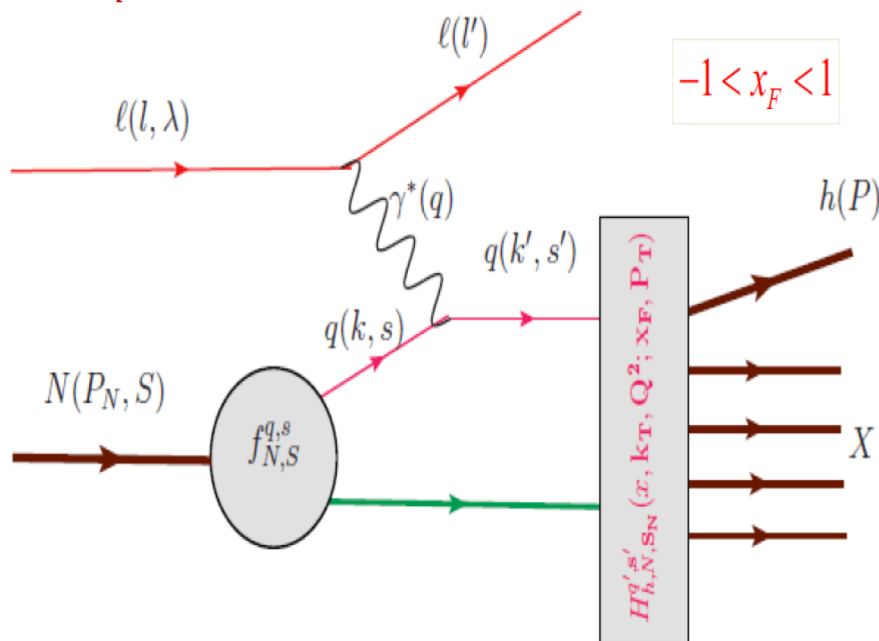


ArXiv:2011.11125

Hadronization

A.Kotzinian FF2019

$$Q^2 \gg M_p^2$$



Hadronization Function

→ conditional probability to produce hadron ***h***

$$H_{h/N}^{q'}(x, \mathbf{k}_T, Q^2; x_F, \mathbf{P}_T^h; \mathbf{s}'_q, \mathbf{S}_N)$$

Quark Fragmentation Functions

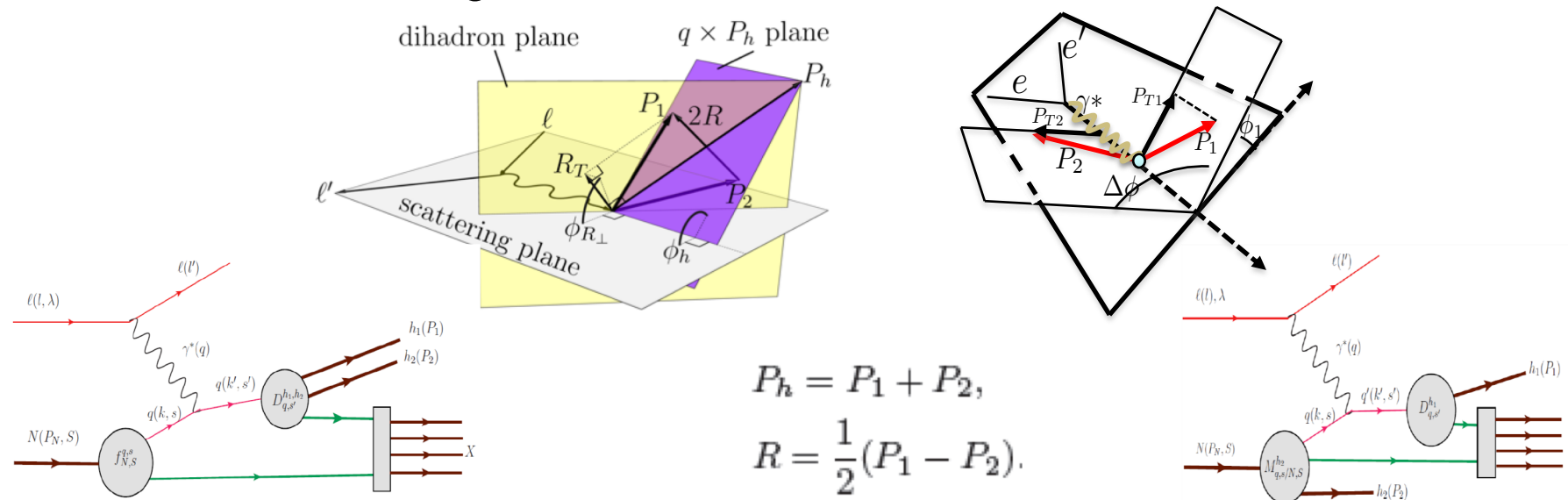
$$D_{q,s'}^h(z, \mathbf{p}_T, Q^2)$$

Where this works?

Correlated hadron production in hard scattering

Dedicated CLAS12 proposals: E12-06-112B/E12-09-008B

2 hadrons in current fragmentation hadrons in current & target fragmentation



With $\phi_S, \phi_1, \phi_2, \phi_R, \phi_h$ several observables have been identified to study correlations

ϕ_R - ϕ_S , ϕ_R -accessing transversity and quark-gluon correlations *Radici & Bacchetta*

$\phi_R - \phi_h$ -accessing leading twist polarized fragmentation functions *Matevosyan, Kotzinian, Thomas*

ϕ_1 - ϕ_2 -accessing correlations in current and target regions *Anselmino, Barone, Kotzinian*

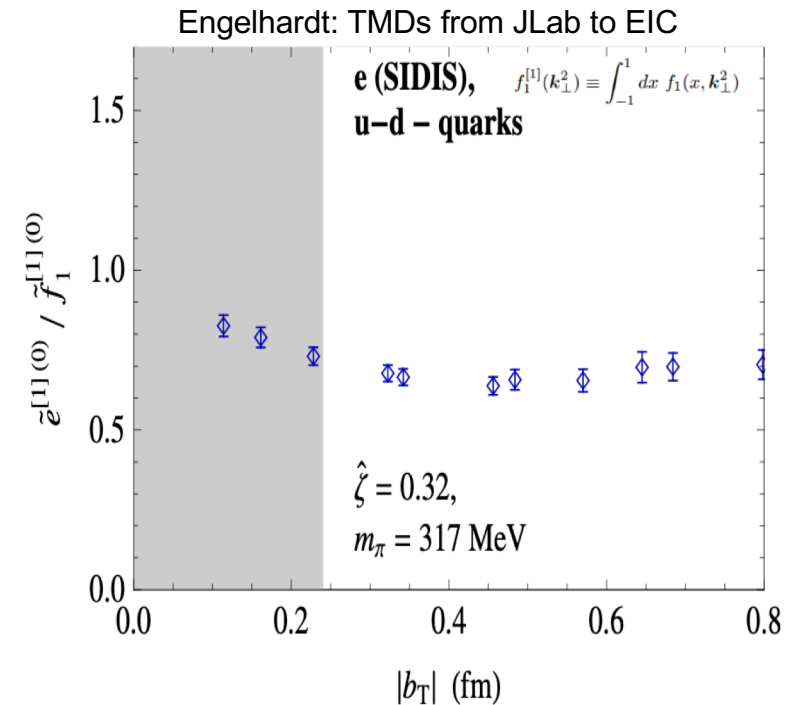
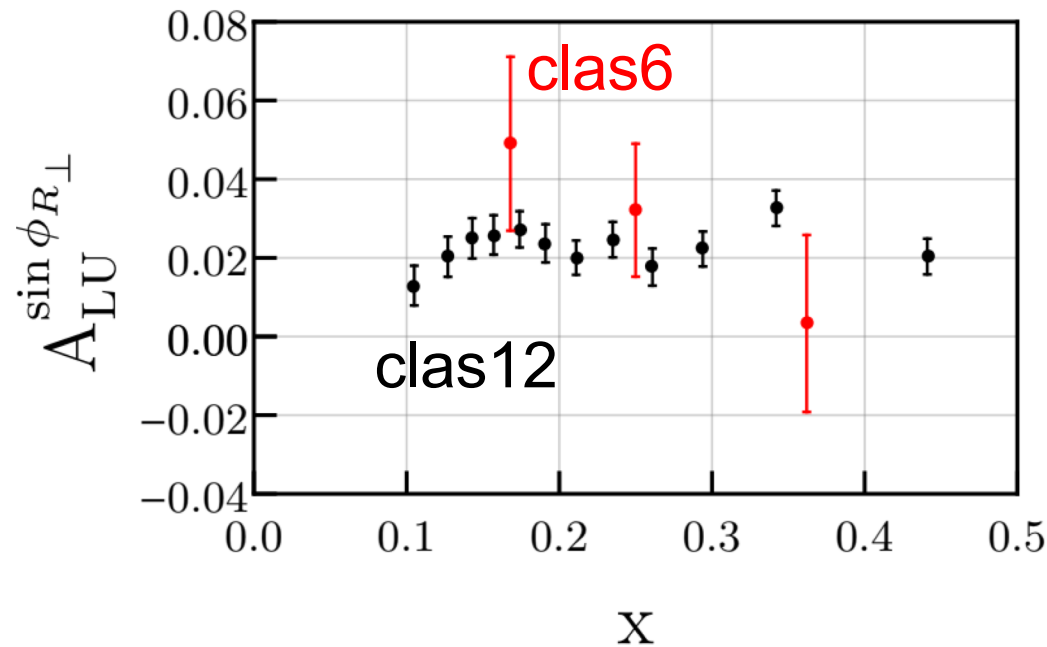
2h production in SIDIS provides access to correlations inaccessible in simple SIDIS

Observation of SSAs in $ep \rightarrow e' \pi^+ \pi^- X$

T. Hayward et al. Phys. Rev. Lett. 126, 152501 (2021)

$$H_1^\Delta = \text{diagram} \quad d\sigma_{LU} \propto \lambda_e \sin(\phi_{R\perp}) \left(x e(x) H_1^\Delta(z, M_h) + \frac{1}{z} f_1(x) \tilde{G}^\Delta(z, M_h) \right)$$

Bacchetta&Radici: arXiv:hep-ph/0311173



- Doubling the JLab beam energy, opens the phase space for SIDIS dihadrons
- Quark gluon correlations may be very significant
- PDF e describes the force on the transversely polarized quark after scattering

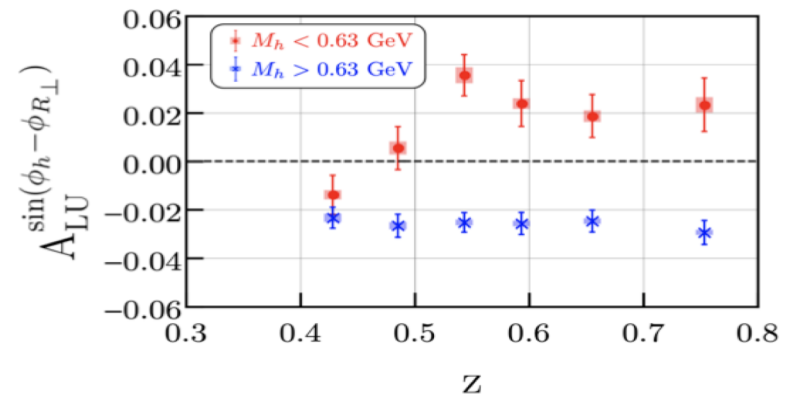
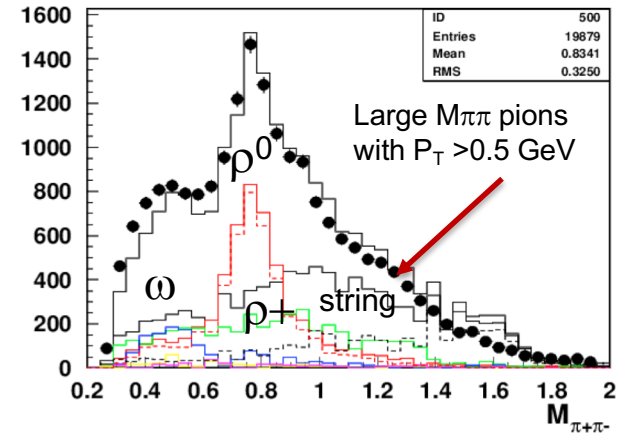
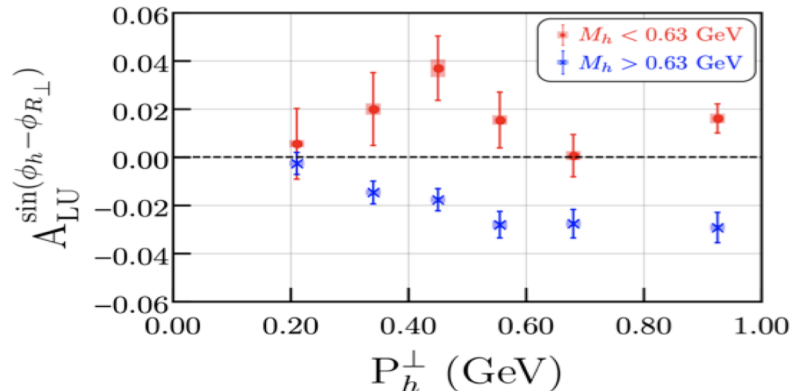
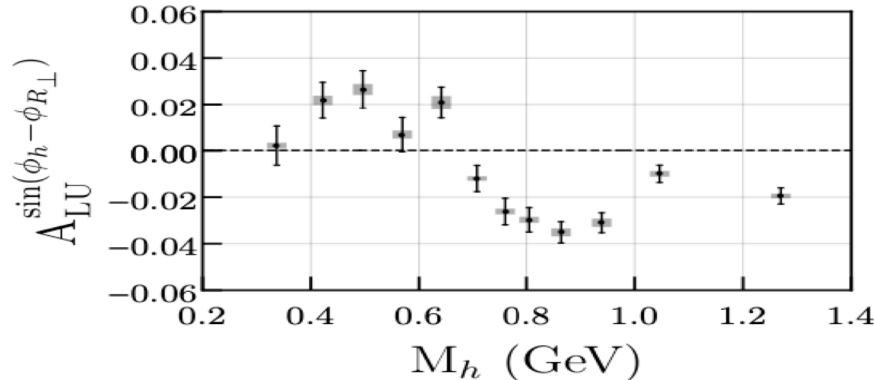
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$$d\sigma_{LU} \propto C \lambda_e \sin(\phi_h - \phi_{R_\perp}) \mathcal{I} [f_1 G_1^\perp] + \dots$$

$$G_1^\perp = \text{a TMD FF}$$

Matevosyan et al, arXiv:1707.04999

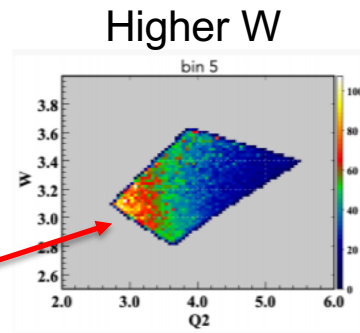
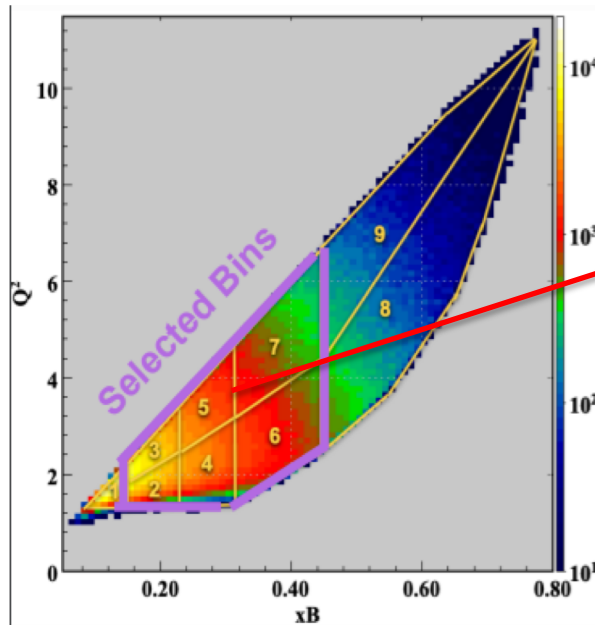


- The 2 hadron sample is dominated by correlated hadrons (VM-decays)
- Spin-orbit correlations change with the source of the hadrons
- TMD theory can't handle currently the P_T of hadrons $> \sim 0.5$ GeV

CLAS12 high P_T : impact of vector mesons

G. Angelini (GW)

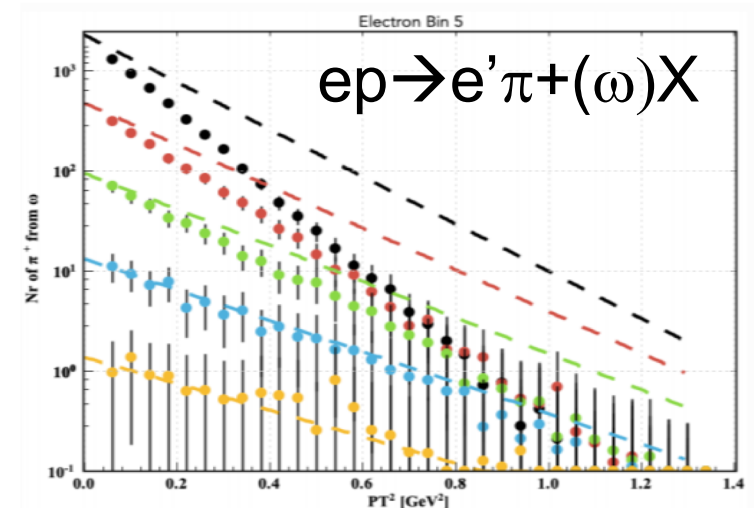
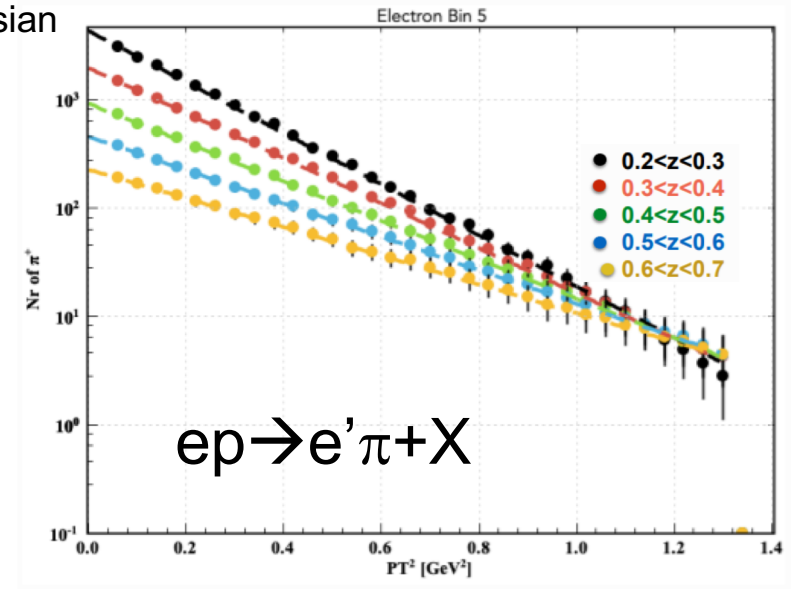
Generate direct pions with Gaussian



Generate pions from ω
produced with the same
Gaussian
Phase space effects more
pronounced

- The low P_T sample of pions is dominated by VM decays

Decay pions from VMs have significantly lower transverse momentum for the same z



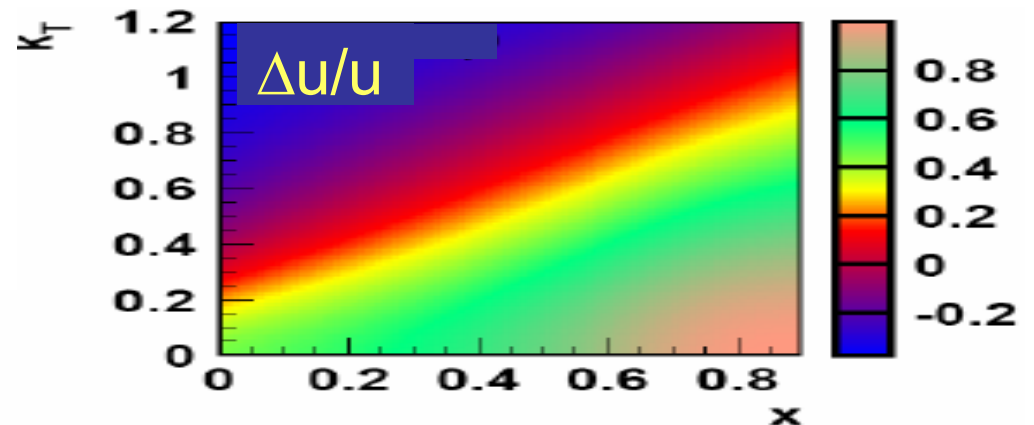
Quark longitudinal polarization

$$u^+(x, k_T) = f_1^u(x, k_T^2) + g_1^u(x, k_T^2)$$

$$u^-(x, k_T) = f_1^u(x, k_T^2) - g_1^u(x, k_T^2)$$

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

Effect of the orbital motion on the q^- may be significant (H.A., S. Brodsky, A. Deur, F. Yuan 2007)

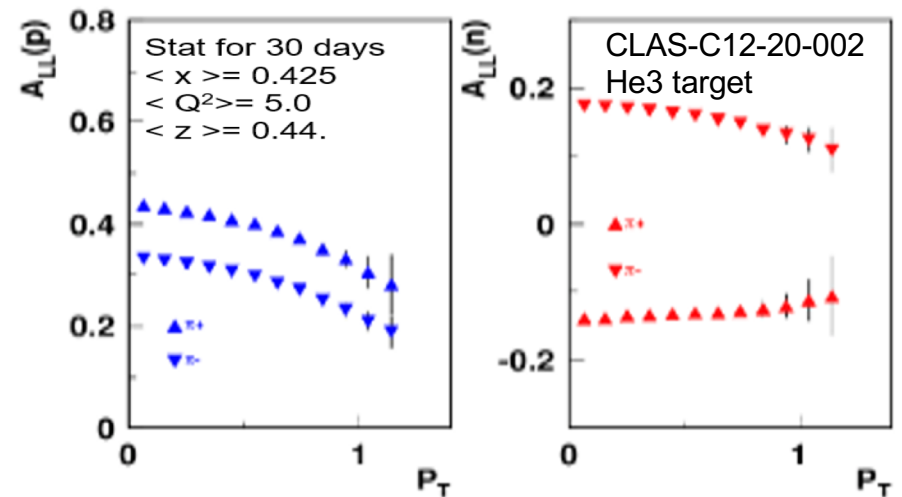


(dipole formfactor), J. Ellis, D-S. Hwang, A. Kotzinian

JMR model

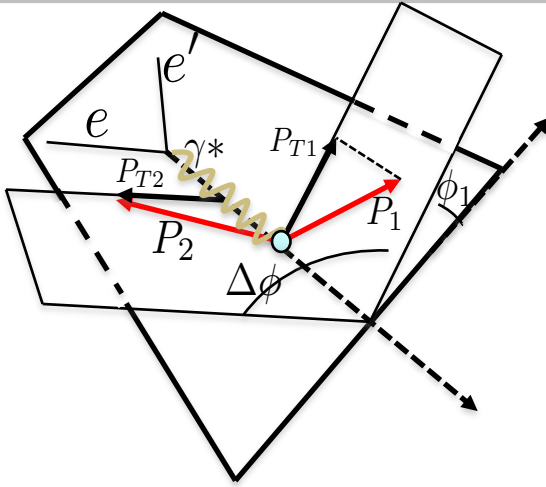
$$f_1(x, k_T^2) = A \frac{(xM + m)^2 + k_T^2}{(k_T^2 + \lambda_R^2)^{2\alpha}}$$

$$g_1(x, k_T^2) = A \frac{(xM + m)^2 - k_T^2}{(k_T^2 + \lambda_R^2)^{2\alpha}}$$



Large transverse momenta are crucial to access the large k_T of quarks

Back-to-back SSAs $ep \rightarrow p\pi^+ X$

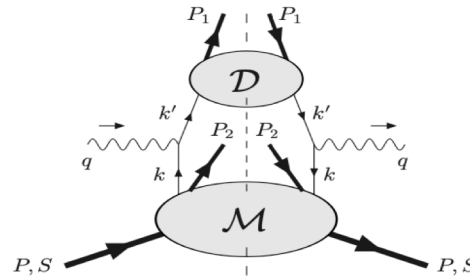


M. Anselmino, V. Barone and A. Kotzinian,
Physics Letters B 713 (2012)

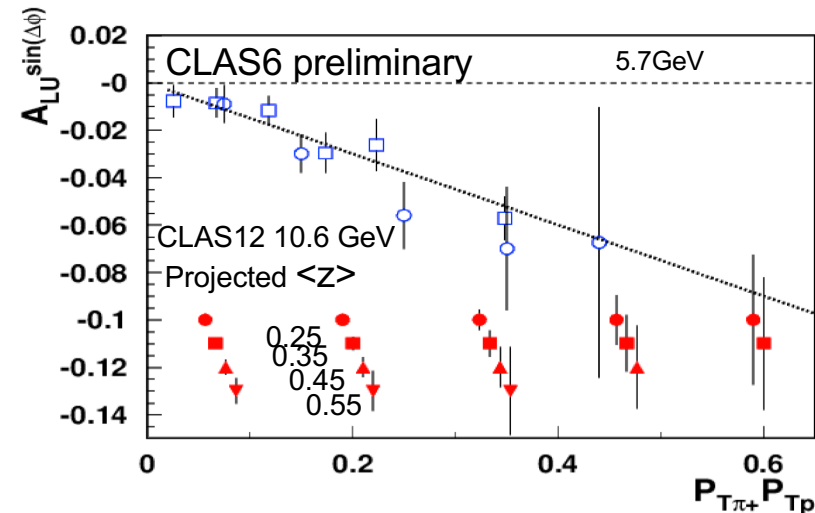
$$A_{LU} = -\frac{y(1-\frac{y}{2})}{(1-y+\frac{y^2}{2})} \frac{\mathcal{F}_{LU}^{\sin \Delta\phi}}{\mathcal{F}_{UU}} \sin \Delta\phi$$

$$= -\frac{|\mathbf{P}_{1\perp}||\mathbf{P}_{2\perp}|}{m_N m_2} \frac{y(1-\frac{y}{2})}{(1-y+\frac{y^2}{2})}$$

$$\times \frac{\mathcal{C}[w_5 \hat{l}_1^{\perp h} D_1]}{\mathcal{C}[\hat{u}_1 D_1]} \sin \Delta\phi,$$



Fracture Functions define the probability for hadron production (P2) given the struck quark q. Combined with the fragmentation function (defining probability for quark q to produce a hadron (P1) defines the x-section for 2 hadron production.

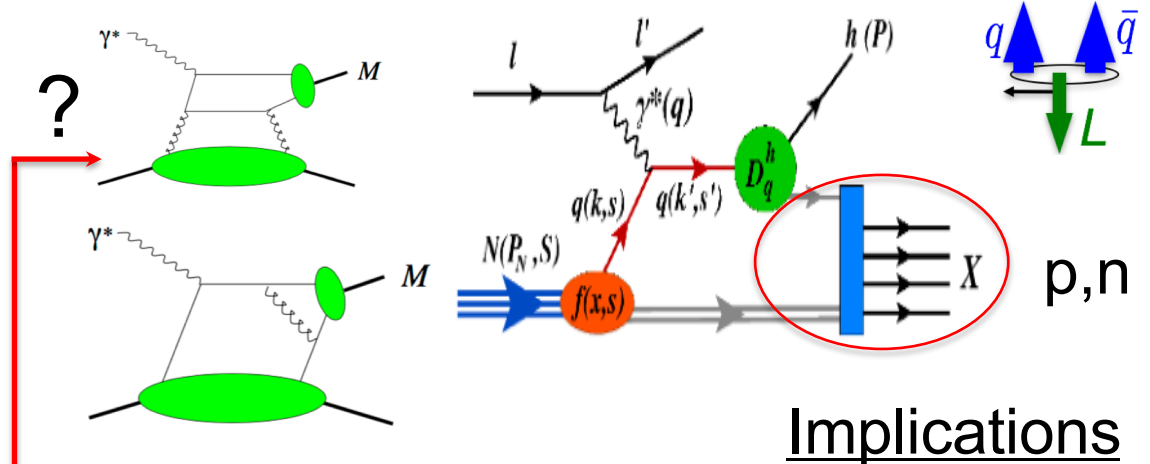
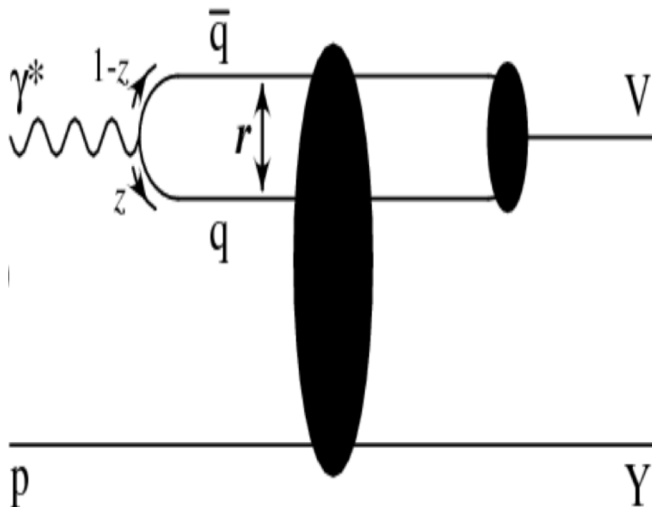
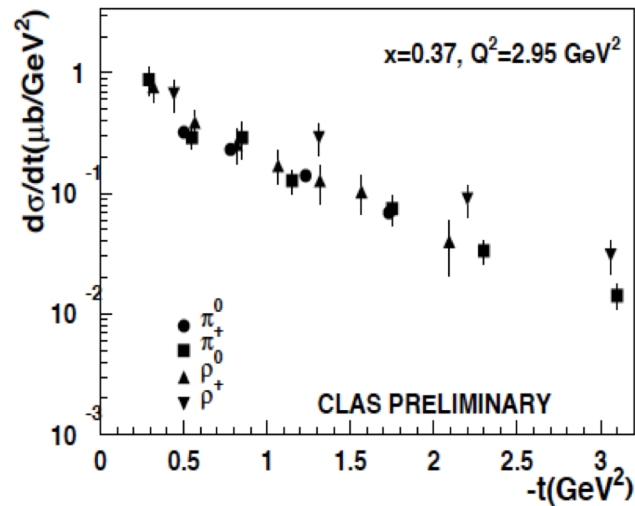


- Significant SSA observed consistent with linear behavior with P_T -product
- Indicates significant correlations between hadrons in SIDIS in CFR and TFR
- Superior statistics of CLAS12 allows multidimensional binning (x, z, P_T, \dots)

Summary

- Studies of azimuthal distributions of final state semi-inclusive and exclusive hadrons and photons provide access to complex 3D nucleon structure with variety of spin-orbit correlations
- Spin dependent and independent cross sections have been measured by JLab experiments (Hall-A, Hall B) for exclusive photon and π^0 production off proton and neutron allowing flavor separation of underlying chiral even and chiral odd GPDs (CFFs)
- Measurements of dihadron multiplicities at JLab indicate that hadronization of quarks goes predominantly through production of Vector Mesons (applies to Kaons as well)
- Significant beam spin asymmetries measured by CLAS12 in two hadron production indicates significant correlations in final hadrons, and large quark-gluon correlations
- Progress in theory and lattice calculations in describing the higher twist observables will be crucial for future precision studies of the 3D structure of nucleon using the GPD and TMD formalisms.
- Detailed simulations of the full ϕ -dependent cross section will be important for proper estimates of the systematics of extracted moments, and final extraction of physics observables (space and momentum distributions, forces, pressure,...)

Exclusive π/ρ production at large x/t

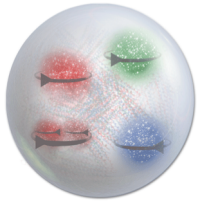


x-section of measured exclusive process at large t exhibit similar pattern

- $\rho^+ \rightarrow \rho^0 \rightarrow$ Diffractive production suppressed at large t production mechanism most likely is similar to SIDIS
- Slightly higher rho x-sections indicate the fraction of SIDIS pions from VM > 60%
- consistent with LUND-MC in fraction of pions from VMs
- Integrating in total counts (different Q^2 -dependence)?
-

Implications

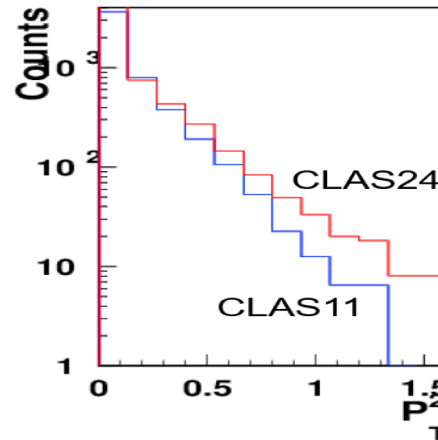
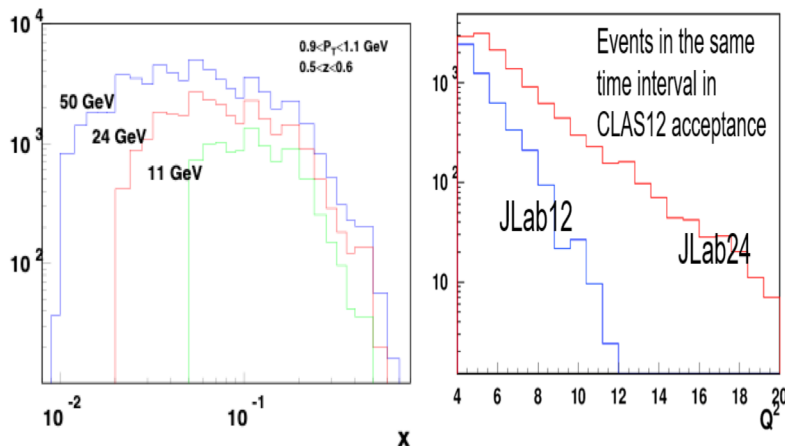
Extending to small x, large Q² and large P_T



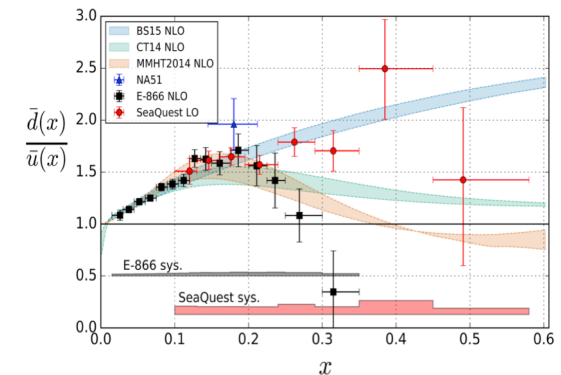
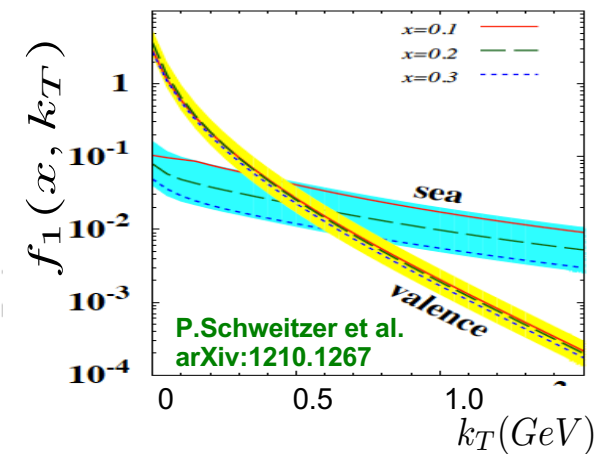
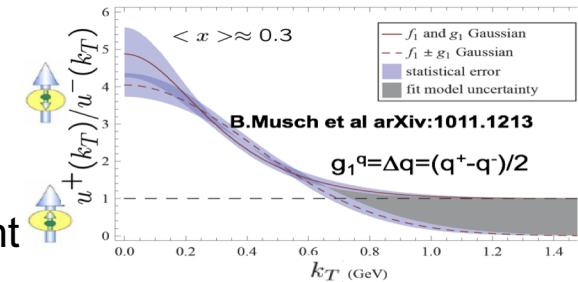
Non-perturbative sea (“tornado”) in nucleon is a key to understand the nucleon structure

$$\bar{d} > \bar{u}$$

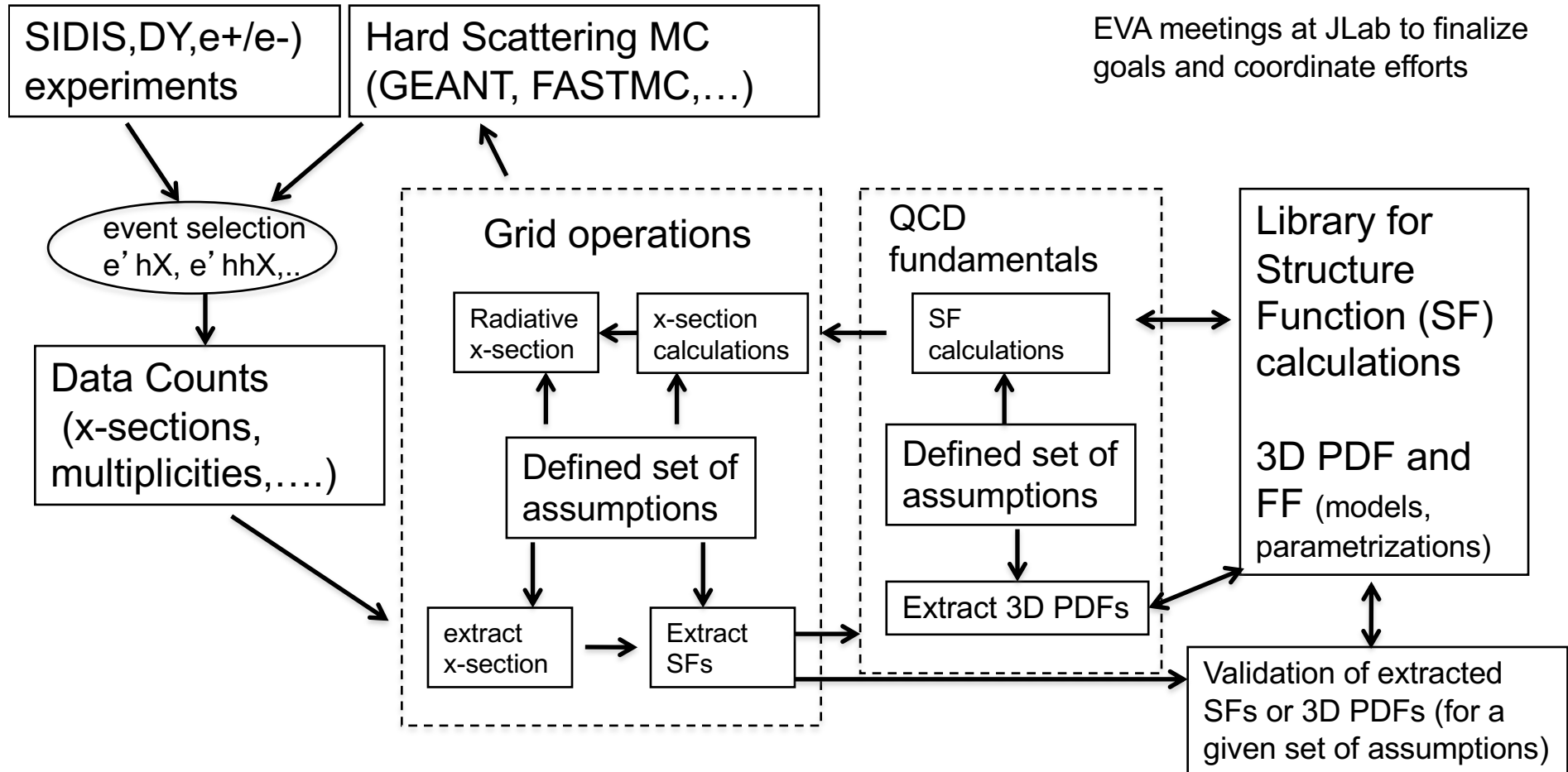
- Spin and momentum of struck quarks are correlated with remnant
- Correlations of spins of q-q-bar with valence quark spin and transverse momentum will lead to observable effects
- Spin-Orbit correlations so far were shown (measurements and model calculations) to be significant in the region where non-perturbative effects dominate



Upgrade to 24 GeV will qualitatively increase the JLab phase space, opening access to large P_T, high Q² and low x (sea) region



3D PDF Extraction and Validation (EVA) framework



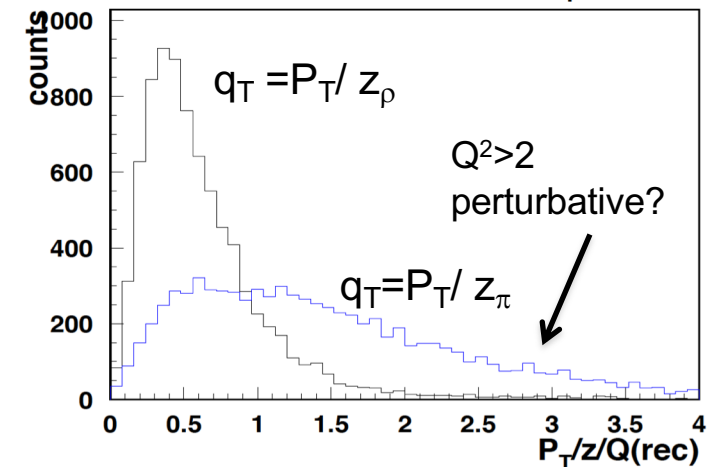
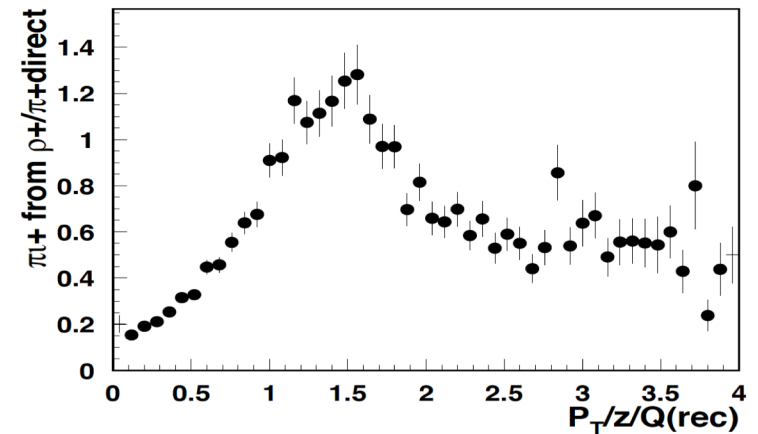
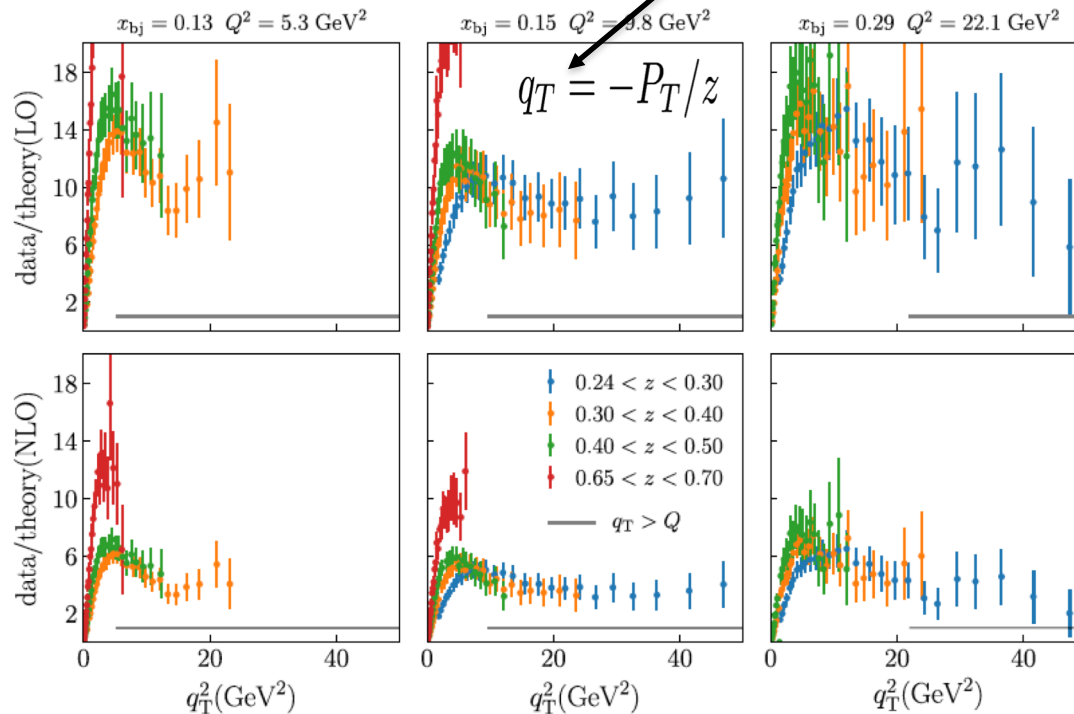
Development of a reliable techniques for the extraction of 3D PDFs and fragmentation functions from the **multidimensional** experimental observables with controlled systematics requires close collaboration of experiment, theory and computing

TMD formalism applicability and the impact of q_T cut

$$F_{XY}^h(x, z, P_T, Q^2) \propto \sum H^q \times f^q(x, k_T, \dots) \otimes D^{q \rightarrow h}(z, p_T, \dots) + Y(Q^2, P_T) + \mathcal{O}(M/Q)$$

$$\int d^2\vec{k}_T d^2\vec{p}_T \delta^{(2)}(z\vec{k}_T + \vec{p}_T - \vec{P}_T)$$

quark transverse momentum



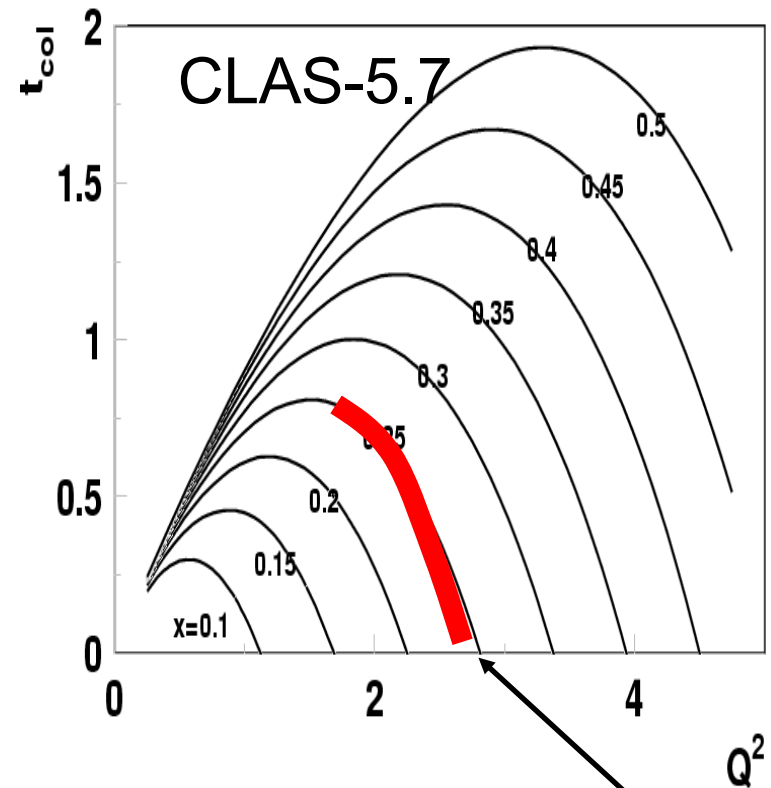
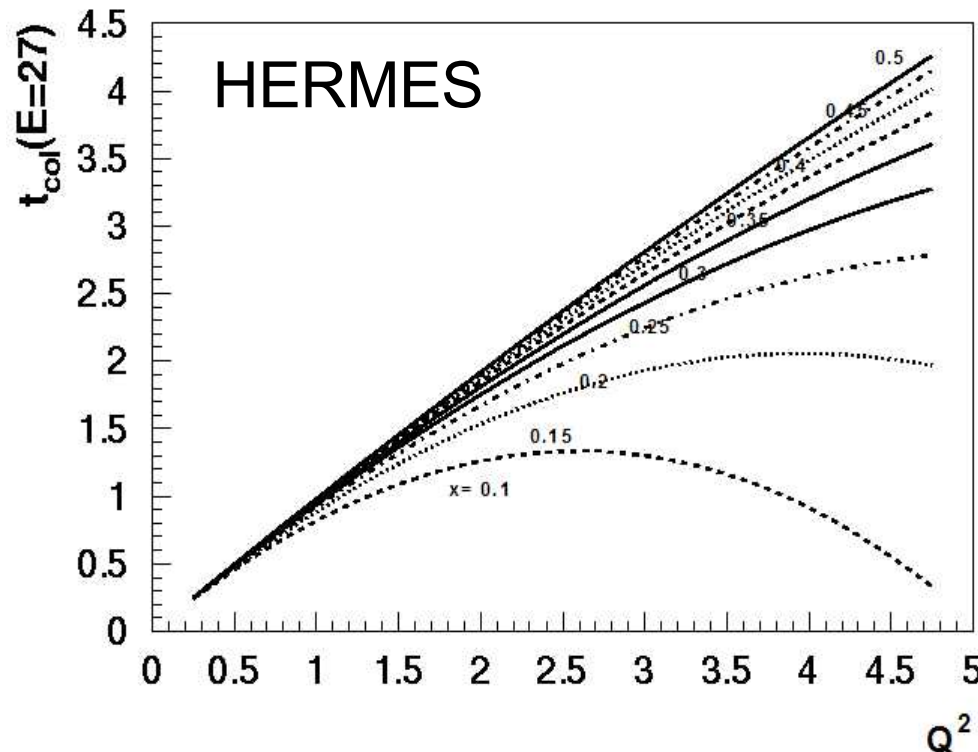
The measurements disagree with leading order and next-to-leading order calculations most significantly at the more moderate values of x close to the valence region.

Gonzalez-Hernandez et al, PRD 98, 114005 (2018)

understanding the fraction of pions from “correlated dihadrons” will be important to make sense out of q_T distributions

Collinearity kinematics

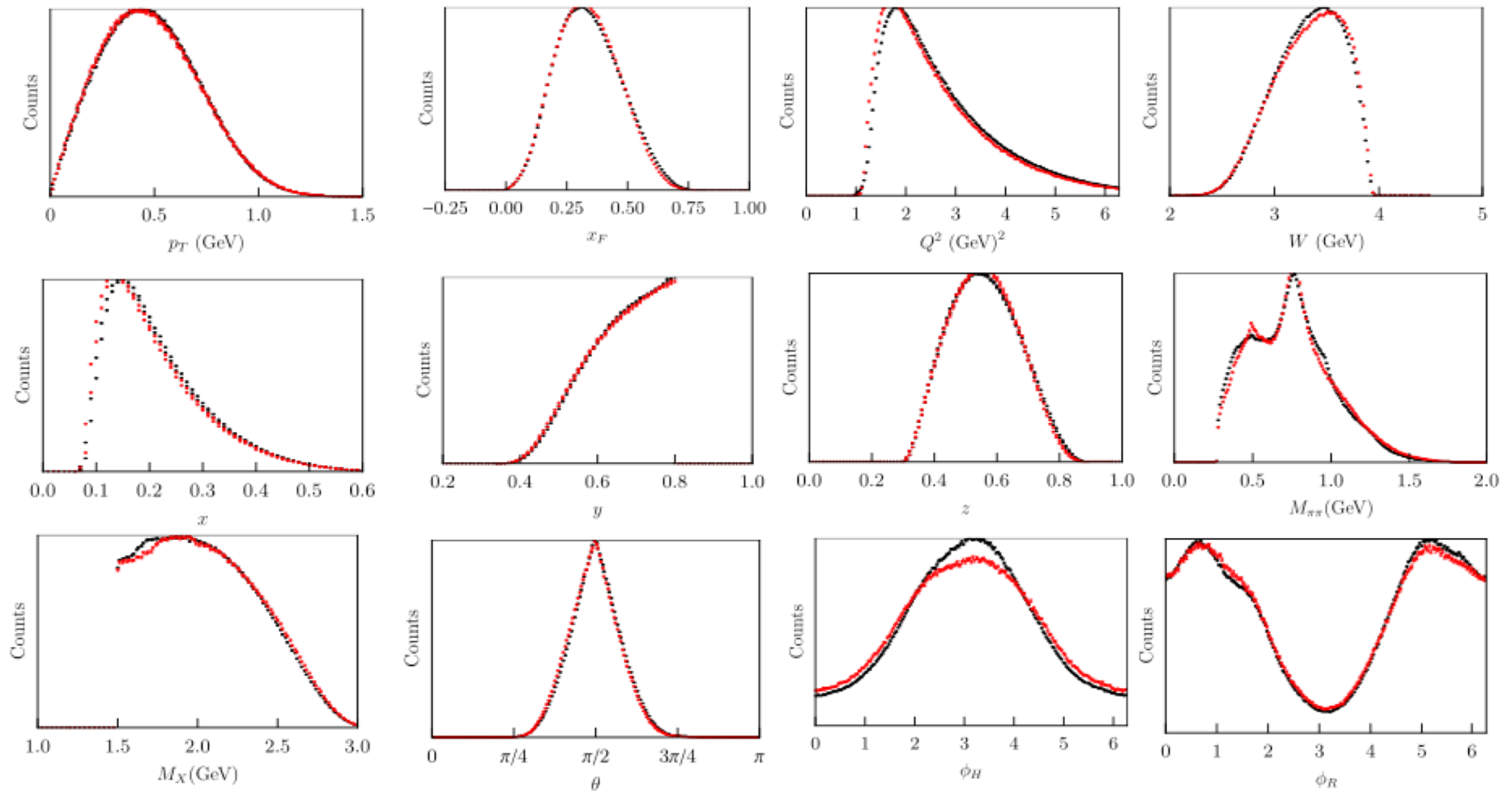
$$y_{col} = \frac{Q^2 - t}{Q^2 - xt} = y \quad \longrightarrow \quad t_{col} = \frac{Q^2 (Q^2 - 2xME)}{(Q^2 - 2ME)x}$$



Strong dependence of collinearity kinematics changes region of enhanced t as a function of beam energy

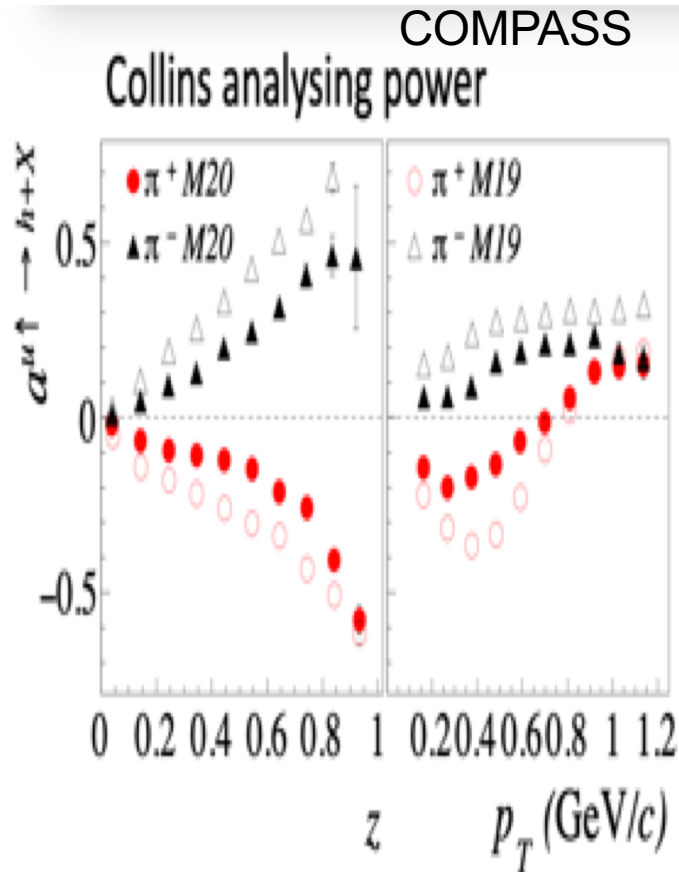
SIDIS ehhX: CLAS12 data vs MC

CLAS12 dihadron production $ep \rightarrow ehhX$ (T.Hayward)

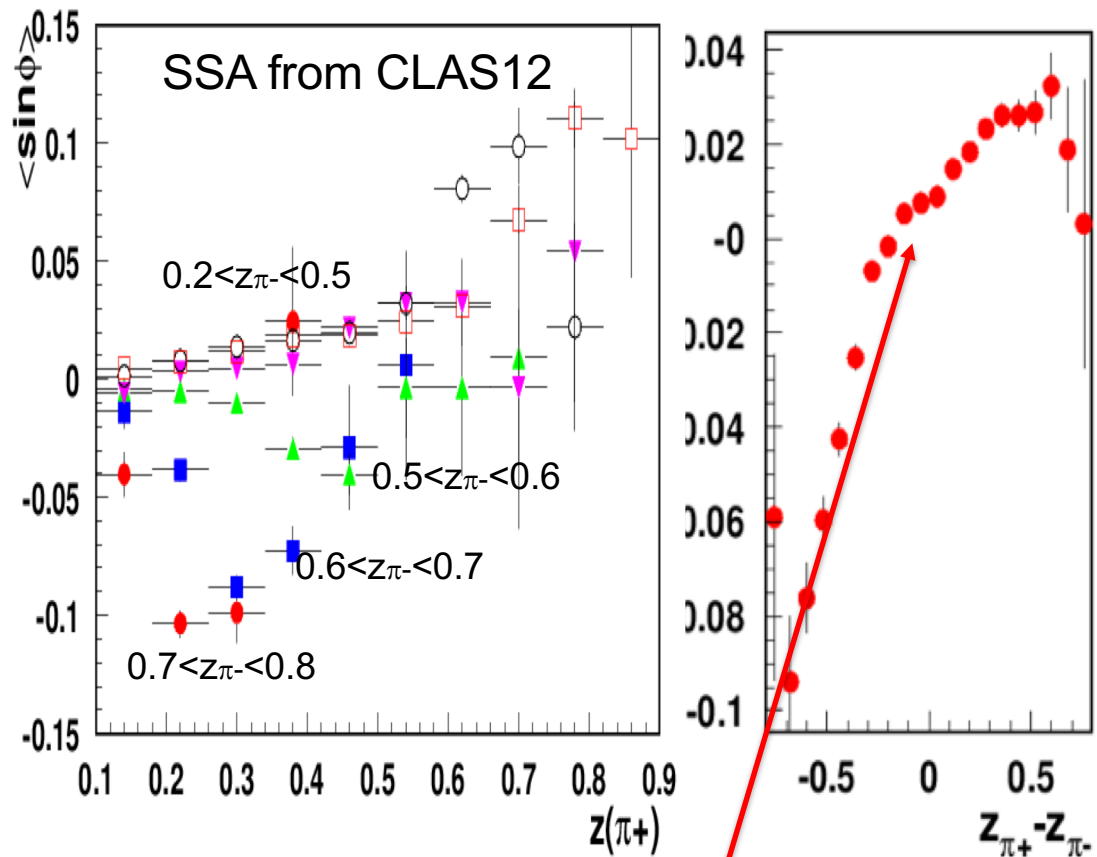


CLAS12 MC, based on the PEPSI(LEPTO) simulation with most parameters "default" is in a good agreement with CLAS12 measurements for all relevant distributions

Disecting the SSA in $ep \rightarrow e' \pi X$



VM contributions may change significantly the interpretation of Collins analyzing power
A. Kerbizi: TMD Studies: from JLab to EIC



Observed SSA for the inclusive π^+ changes significantly with the $\pi^- z$
The polarization of the ρ itself may be relevant (no SSA for symmetric case)

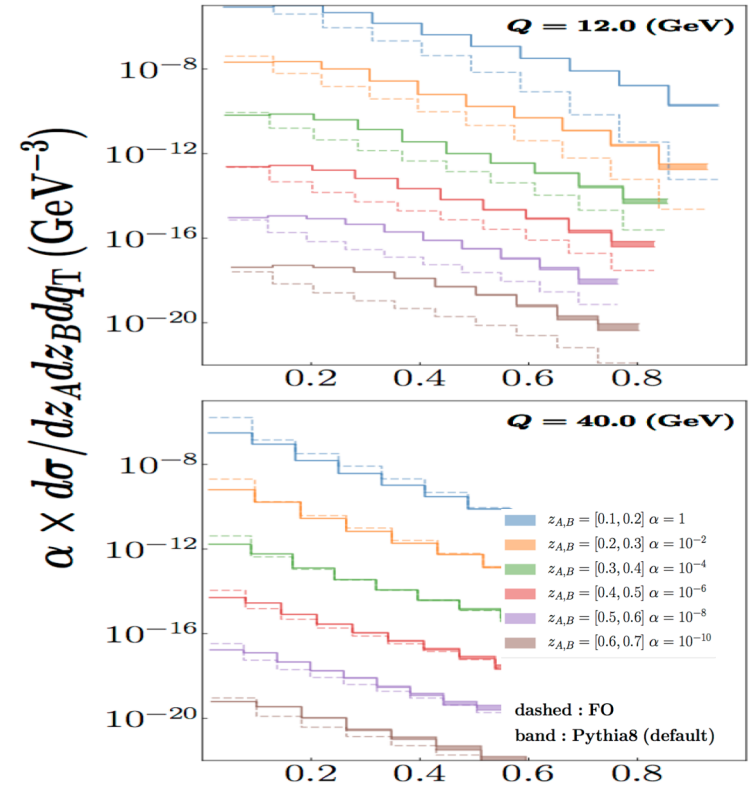
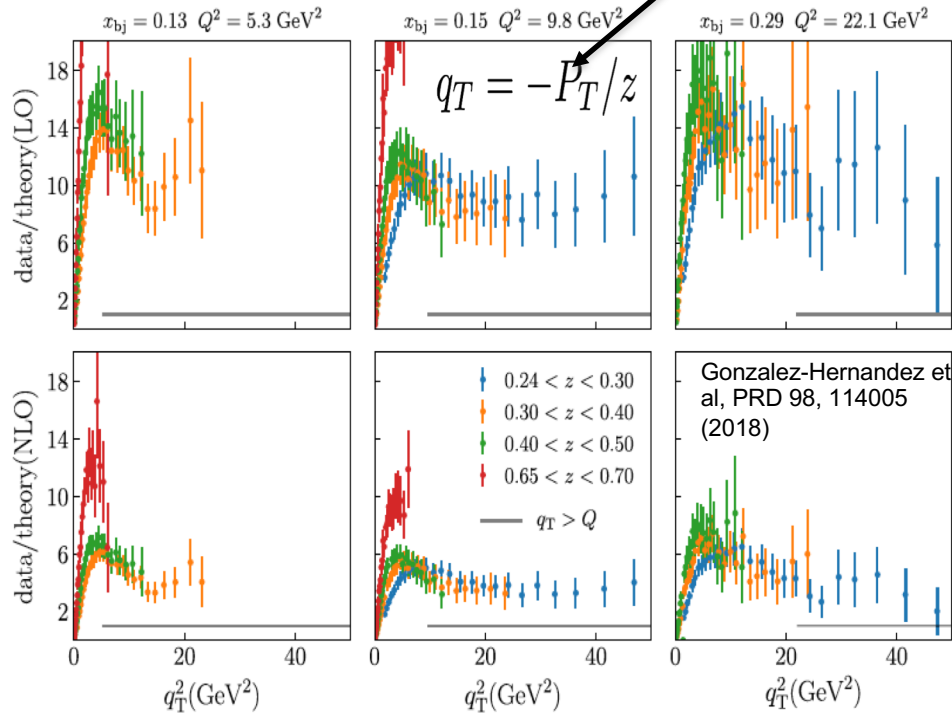
FO vs data for $q_T \gtrsim Q$

$$F_{XY}^h(x, z, P_T, Q^2) \propto \sum H^q \times f^q(x, k_T, \dots) \otimes D^{q \rightarrow h}(z, p_T, \dots) + Y(Q^2, P_T) + \mathcal{O}(M/Q)$$

$$\int d^2\vec{k}_T d^2\vec{p}_T \delta^{(2)}(z\vec{k}_T + \vec{p}_T - \vec{P}_T)$$

quark transverse momentum

$e^+e^- \rightarrow h_1 h_2 X$ - Moffat et. al 1909.02951



The measurements disagree with leading order and next-to-leading order calculations most significantly at the more moderate values of x close to the valence region.

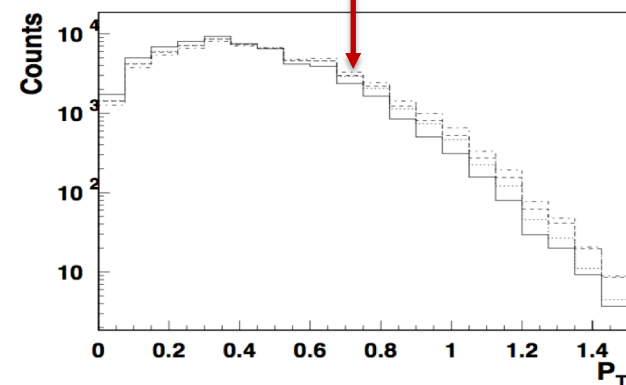
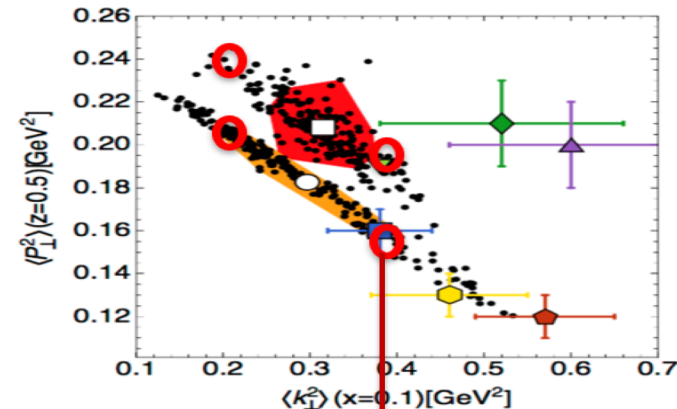
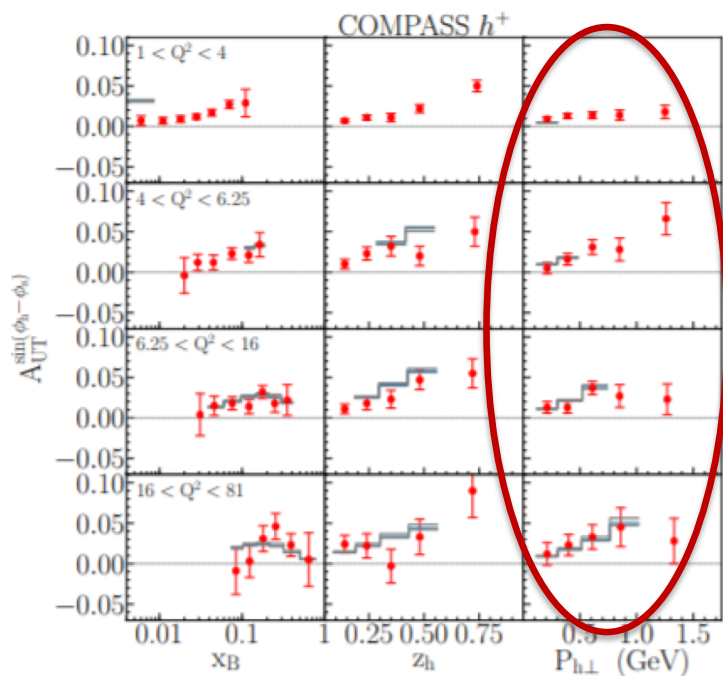
Extracting the average transverse momenta

Sivers functions at NLO+NNLL

Echevarria, Kang & Terry: [JHEP01\(2021\)126](#)

Andrea Signori,^{1,*} Alessandro Bacchetta,^{2,3,†} Marco Radici,^{3,‡} and Gunar Schnell^{4,5,§}

$$F_{UU,T}(x, z, P_{hT}^2, Q^2) = \sum_a \mathcal{H}_{UU,T}^a(Q^2; \mu^2) \int dk_{\perp} dP_{\perp} f_1^a(x, k_{\perp}^2; \mu^2) D_1^{a \rightarrow h}(z, P_{\perp}^2; \mu^2) \delta(zk_{\perp} - P_{hT} + P_{\perp}) \\ + Y_{UU,T}(Q^2, P_{hT}^2) + \mathcal{O}(M/Q).$$

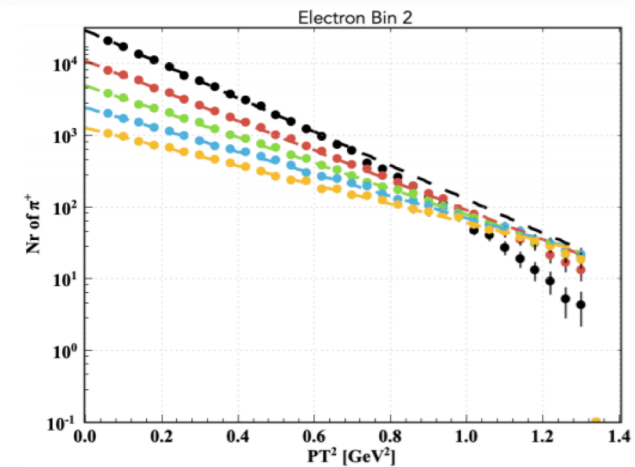
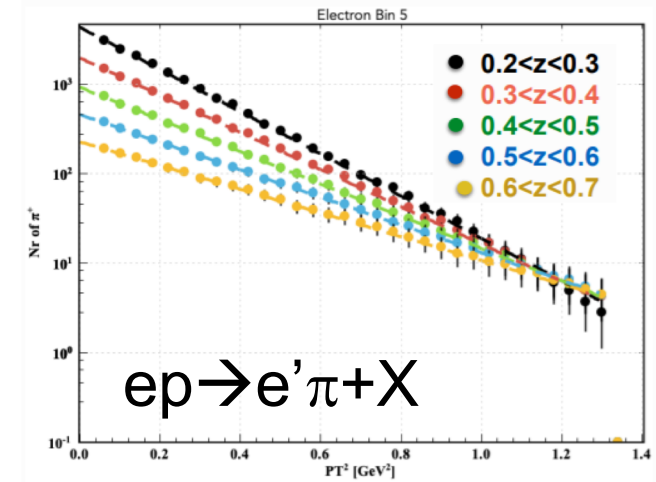
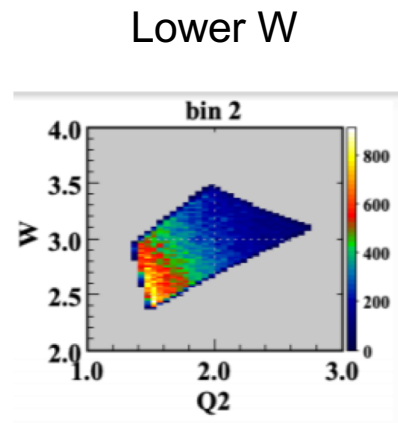
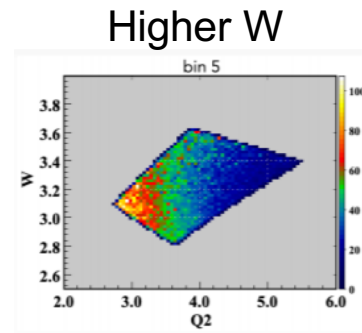
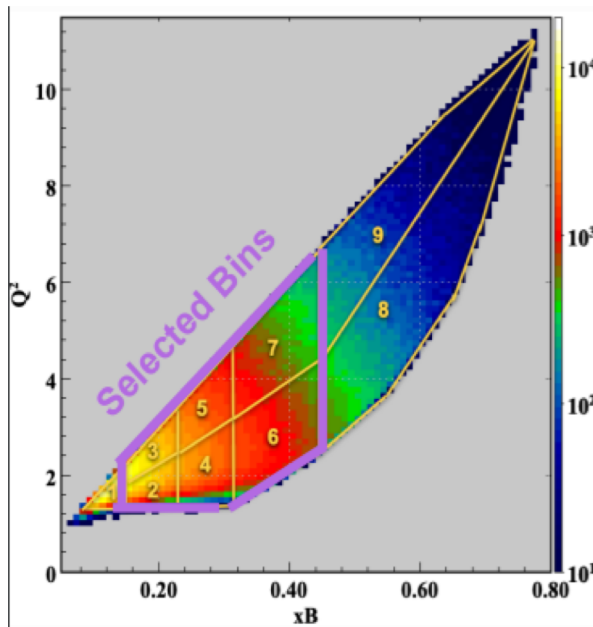


Fits even for COMPASS include only the small fraction of the low P_T -data, with trivial linear dependence
What exactly we learn about high P_T , and can we extrapolate?

- Extraction very sensitive to input (replicas)
- Most sensitive to parameters is the large P_T region

CLAS12 Multiplicities: high P_T & phase space

G. Angelini (GW) Generate direct pions with Gaussian



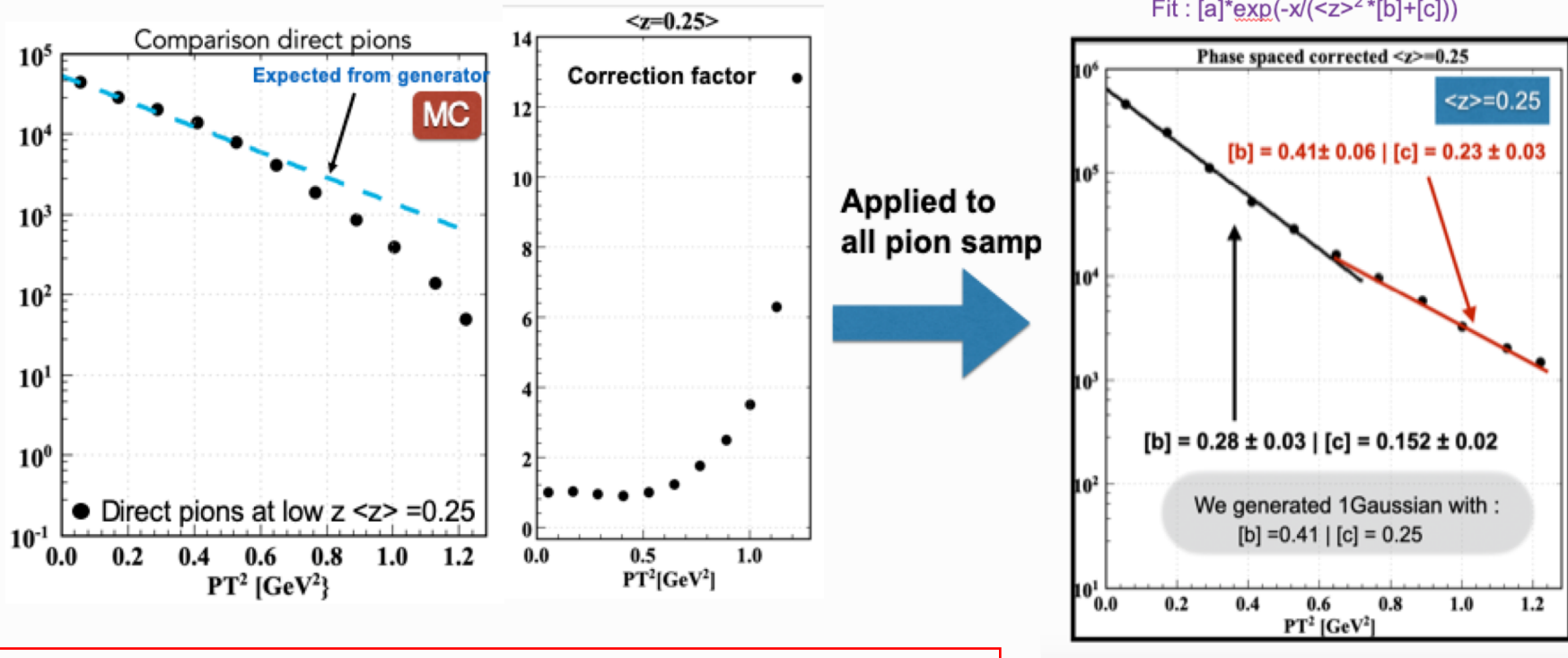
- Phase space limitations for direct pion production more significant at low W , and low z

At low z , and high P_T there is not enough energy in the system even for reproducing the Gaussian fall of (problem for studies of high P_T tails)

CLAS12 Multiplicities: the role of high P_T

LUND MC at 12 GeV using a single Gauss for all hadrons

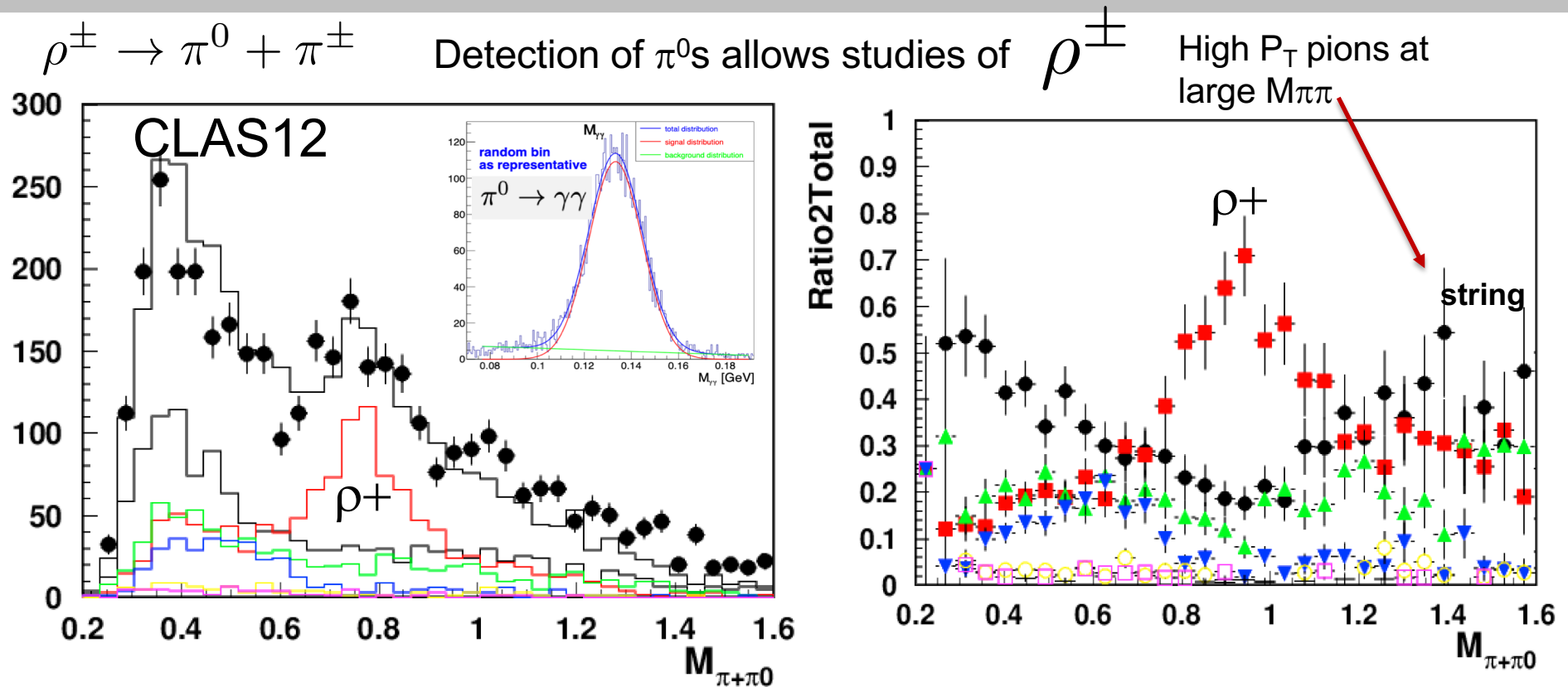
G. Angelini (GW)



- Corrections due to phase space (energy needed to produce a hadron with a given z, P_T at given x, Q^2) are detector and model independent
- Corrections due to fraction of fragmentation VMs and diffractive VMs are model dependent, but can be extracted from MC

At low z , only the high P_T shows the generated Gaussian transverse momentum distribution.

Sources of inclusive pions: CLAS12 vs MC



Dominant fraction of inclusive pions come from VM decays

π^+ from

- ρ^+
- string
- ρ^0
- ω

CLAS12 due to unique capability for precision measurements of neutral pions, will provide measurements of multiplicities of variety of semi-inclusive and exclusive hadron pairs (could be also VMs).