Extraction of polarizabilities from Compton scattering

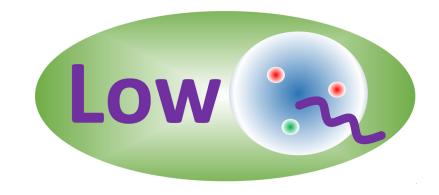
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E. Mornacchi - University of Mainz



Kolymbari, Crete, May 15th 2023



SUMMARY

> Physics motivations

Why is Real Compton Scattering (RCS) worthwhile to be measured?

Study of the nucleon internal structure

see E. Mornacchi's talk

- > The Experimental RCS -proton data base
- > The new fit method
- > Selected fit Results

First Concurrent Extraction of all the 6 Leading-Order
Proton Polarizabilities

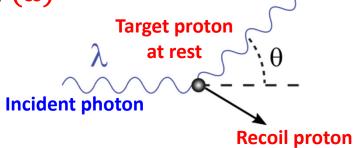
- B. Pasquini, P.P., S. Sconfietti PRC 89 015204 (2018)
- B. Pasquini, P.P., S. Sconfietti JPG 46 104001 (2019)
- P. P., S. Sconfietti, JPG 47, 054001 (2020)
- E. Mornacchi, S. Rodini, B. Pasquini, P.P. PRL 129 102501 (2022)

> Comments and Outlook

Real Compton Scattering off protons

Scattered photon

Expansion of the effective Hamiltonian in incident photon energy (ω)



2nd order **2** scalar polarizabilitites

Baldin's sum rule (BSR): $(\alpha_{E1} + \beta_{M1}) \approx$ known from other experiments

$$H_{eff}^{(2)} = -4\pi \left[\frac{1}{2} \alpha_{E1} \vec{E}^2 + \frac{1}{2} \beta_{M1} \vec{H}^2 \right]$$

$$[= 14.2 \pm 0.5 (10^{-4} \text{ fm}^3)]$$
PDG value

$$H_{eff}^{(3)} = -4\pi \begin{bmatrix} \frac{1}{2} \gamma_{E1E1} \vec{\sigma} \cdot (\vec{E} \times \dot{\vec{E}}) + \frac{1}{2} \gamma_{M1M1} \vec{\sigma} \cdot (\vec{H} \times \dot{\vec{H}}) \\ -\gamma_{M1E2} E_{ij} \sigma_i H_j + \gamma_{E1M2} H_{ij} \sigma_i E_j \end{bmatrix} \begin{bmatrix} E_{ij} = \frac{1}{2} (\nabla_i E_j + \nabla_j E_i) \\ H_{ij} = \frac{1}{2} (\nabla_i H_j + \nabla_j H_i) \end{bmatrix}$$

$$\gamma_0 = -\gamma_{E1E1} - \gamma_{E1M2} - \gamma_{M1M1} - \gamma_{M1E2}$$

$$\gamma_{E1E1} - \gamma_{E1M2} - \gamma_{E1M2} - \gamma_{M1M1} - \gamma_{M1E2}$$

$$\gamma_0 \text{ value also given by the GGT sum rule} \approx \text{known from other experiments}$$

$$\gamma_0 = -\gamma_{E1E1} - \gamma_{E1M2} + \gamma_{M1M1} + \gamma_{M1E2}$$

$$\gamma_0 = -0.9 \pm 0.1 \pm 0.1 \text{ (10}^{-4} \text{ fm}^4); \text{ B. Pasquini, P. P. D. Drechsel, PLB 687 160 (2010)}$$

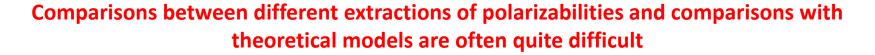
What do we need to determine the polarizability values?

(High-quality) experimental data on different observables

> A theoretical model predicting the functional shape of the RCS cross section

➤ A fit procedure using the two previous ingredients to give an estimate of all different polarizabilities

Up to now, in all existing fits of the RCS data, some of the polarizabilities have been fixed either using theoretical calculations or empirical evaluations from other reactions



Polarizabilities: how can they be accessed?

Different observables must be measured



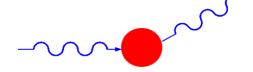
Several different experiments must be performed 13 (!!) possible observabes

only some points from two measurements

- P. Martel et al, PRL 114, 112501 (2015)
- D. Paudyal et al PRC 102, 035205 (2020)

• Unpolarized photons, unpolarized protons

$$DCS = \frac{d\sigma}{d\Omega} \propto \frac{N_{tot}}{I_{\gamma}} \qquad - \sim$$



Rough indications !!!

Sensitive to $\alpha_{E1} \beta_{M1} \gamma_0 \gamma_T$

Linearly polarized photons, unpolarized protons.

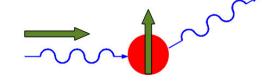
$$\Sigma_3 = rac{N_{\parallel} - N_{\perp}}{N_{\parallel} + N_{\perp}}$$

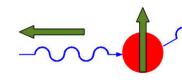


Sensitive to γ_{M1M1}

• Circularly polarized protons.

$$\Sigma_{2x} = \frac{N_{+x}^R - N_{+x}^L}{N_{+x}^R + N_{+x}^L}$$

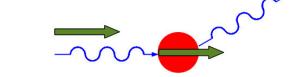


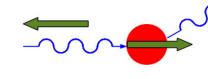


Sensitive to γ_{E1E1}

Circularly polarized photons, longitudinally polarized protons.

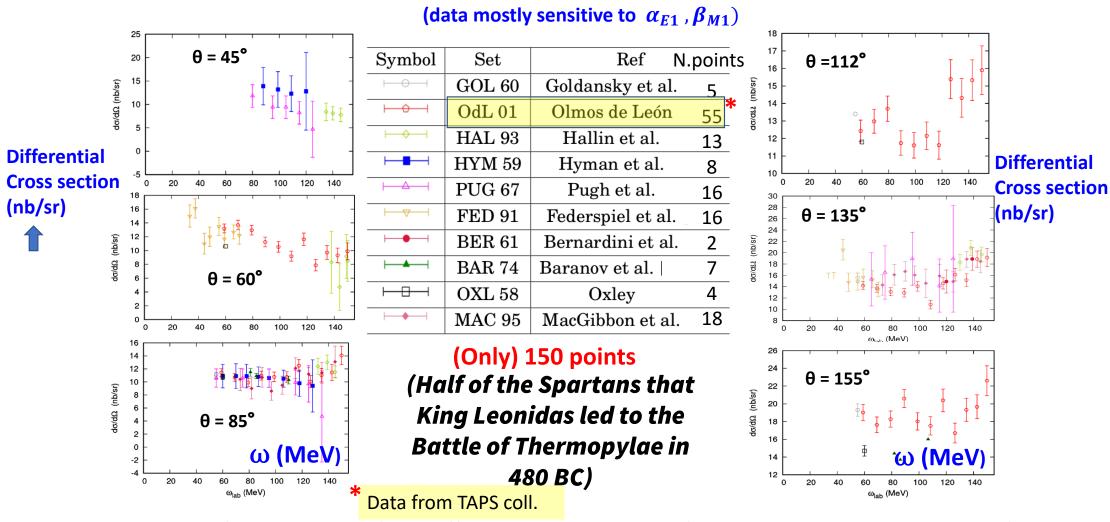
$$\Sigma_{2z} = \frac{N_{+z}^R - N_{+z}^L}{N_{+z}^R + N_{+z}^L}$$





Sensitive to $\gamma_{\rm M1M1}$

The RCS-proton data base ($\omega < 150$ MeV) - up to 2022

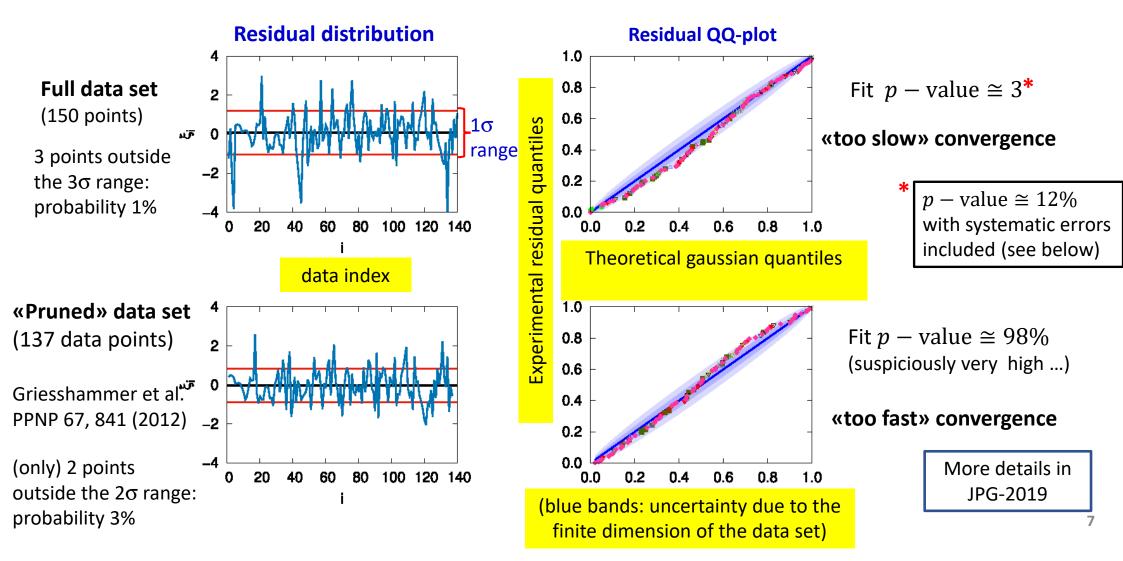


⇒ Poor quality of the data set (... a difficult experiment to perform ⇒ atomic e.m. background)

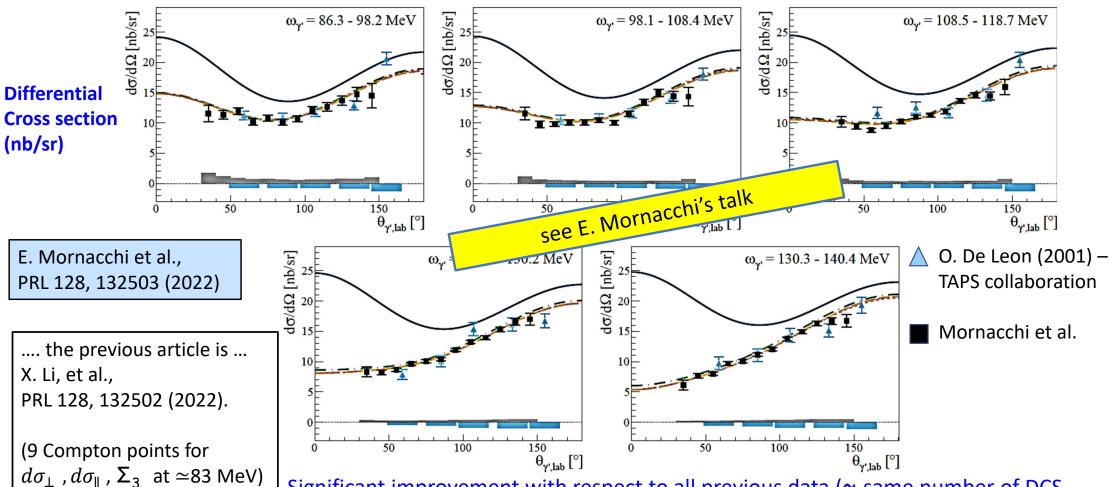
Large statistical -and systematic- errors; possible inconsistencies between subsets

There is not a common agreement on the definition of a "good" data set below pion-production threshold

Residual test: 1-parameter fit $(\alpha_{E1} - \beta_{M1})$ without systematic errors, $(\alpha_{E1} + \beta_{M1})$ from Baldin's sum rule and constany $\gamma_i's$



> Situation drastically improved with the publication of new A2-Mainz data

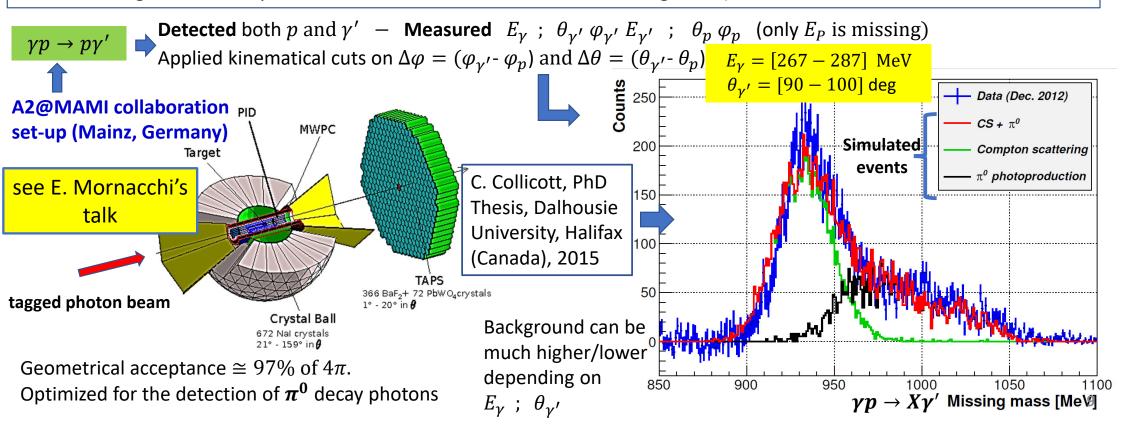


Significant improvement with respect to all previous data (~ same number of DCS points of the TAPS experiment -the most comprehensive single data set up to nowwith much higher precision. In addition, also Σ_3 36 data points)

The RCS-proton data base ($\omega > 150$ MeV)

... RCS is an even more difficult experiment to perform additional (huge) background from the $p\pi^0$ production process (cross section 2-3 orders of magnitude higher; when one of the two π^0 decay photons is not detected, $p\gamma$ kinematics can mimic Compton reaction)

Only «new» esperiment using tagged photons were considered (over-determined event-by-event kinematics is essential – together with a precise MC simulation - to subtract this background)



The RCS-proton data base (150 MeV < v < 300 MeV)

Upper limit of validity of the used model

Two large data sets have been collected in this energy region (properties of the Δ (1232) resonance)

LEGS G. Blanpied et al., Phys. Rev. C 64, 025203 (2001).

LARA S. Wolf et al., Eur. Phys. J. A 12, 231 (2001).

LEGS 82 DCS and 82 Σ_3 points (58 below 300 MeV)

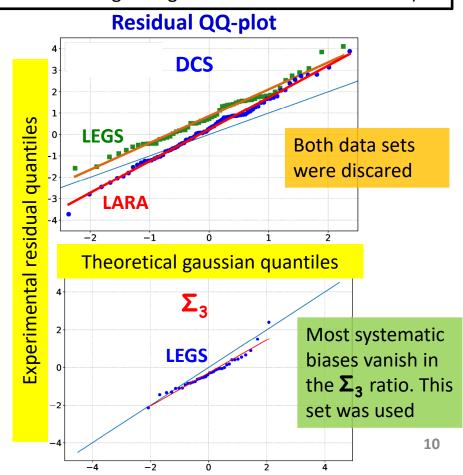
LARA 340 DCS points (128 below 300 MeV)

However, these two DCS data sets are known be **inconsistent** between each other (see M. Schumacher, Prog. Part. Nucl. Phys. 55, 567 (2005), H. W. Griesshammer et al, Prog. Part. Nucl. Phys. 67, 841 (2012)). Which is the «correct» one?

Used DCS data base above 150 MeV

	N.Points
O. De Leon et al. (TAPS)	10
Camen et al.	5
Peise et al.	8
Wissmann et al.	6
Molinari et al.	4

Residual analysis: a fit test by alternatively including LARA or LEGS data in the database (results with MAID-2021 but nothing changes with the other solutions)



The DR model

ightharpoonup RCS Amplitudes described using 6 Lorentz-invariant amplitudes $A_i(
u,t)$

 $v \rightarrow \omega + t/4M$ $t \rightarrow \text{transferred momentum}$

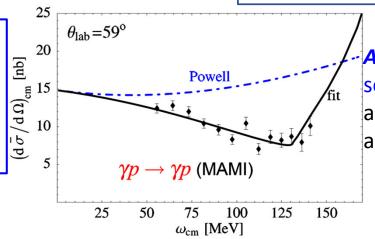
They are determined using fixed-t subtracted dispersion relations

$$\operatorname{Re} A_{i}(v,t) = A_{i}^{B}(v,t) + \left[A_{i}(0,t) - A_{i}^{B}(0,t)\right] + \frac{2}{\pi}v^{2}\mathcal{P} \int_{v_{thr}}^{+\infty} dv' \frac{\operatorname{Im}_{s} A_{i}(v',t)}{v'(v'^{2} - v^{2})}$$

$$\pi N \text{ threshold}$$

Evaluated using $N\pi$ multipoles from all the latest version of the MAID, SAID, BNGA analyses and contributions of $N\pi\pi...$ channels

Determined by additional once subtracted DRs in the t-channel with \mathbf{Im}_t calculated using $\gamma\gamma \to \pi\pi$ and $\pi\pi \to N\overline{N}$ processes. The subtraction constants $\equiv \begin{bmatrix} A_i(0,0) - A_i^B(0,0) \end{bmatrix}$ are directly related to the 6 polarizabilities



A^B => Powell (Born) cross section: photon scattering off a point-like nucleon with anomalous magnetic moment

- D. Drechsel, M. Gorchtein, B. Pasquini, M. Vanderhaeghen, Phys.Rev. C61 (1999) 015204
- B. Pasquini, D. Drechsel M. Vanderhaeghen, Phys.Rev. C76 (2007) 015203
- B. Pasquini, M. Vanderhaeghen, Ann.Rev.Nucl.Part.Sci. 68 (2018) 75-103

Upper limit of validity ~300 MeV

The Fit procedure

> RCS data base: 388 points from 25 different data sets and 6 different observables $(d\sigma_{\rm unpol}, d\sigma_{\parallel}, d\sigma_{\perp}, \Sigma_3, \Sigma_{2x}, \Sigma_{2z})$

For each data set, systematic uncertainties have to be taken into account in the fit procedure, to perform the correct error propagation.

- ✓ «point to point» systematic uncertainties (when present) are quadratically combined with the statistical uncertainties
- the remaining systematic uncertainites are are common scale factors They are assumed to be

Best fit of fully correlated data correlated data correlated with correlated data («maximum ignorance» principle)



Fit with systematic uncertainties-I

G .D'Agostini, NIM A 346, 306 (1994)

Standard method (a single subset and a single common scale factor):

$$\chi^2_{mod}(\theta,\lambda) = \sum_{i=1}^{N} \left(\frac{(\lambda \cdot y_i - \mu_i(\theta,x_i)}{\lambda \cdot \sigma_i} \right)^2 + \left(\frac{\lambda - 1}{\sigma_{sys}} \right)^2 \equiv \chi^2_{mod}(\theta,\alpha) = \sum_{i=1}^{N} \left(\frac{(y_i - \alpha \cdot \mu_i(\theta,x_i)}{\sigma_i} \right)^2 + \left(\frac{\alpha - 1}{\sigma_{sys}} \right)^2$$
 with $\lambda = 1/\alpha$

Drawbacks:

- Valid only for gaussian systematic uncertainties
- When different data subsets are fitted, one additional normalization factor per subset is needed (problem with large data bases)
 [in our case this would mean to have 25 additional fit parameters (!!)]
- In general, systematic errors may also vary within a given subset (i.e. for angular –dependent errors) and statistical errors may also not be gaussian (there could, for instance, be asymmetric errors).
- In general, the minimum value $\hat{\chi}^2_{mod}$ is not distributed according to the chi-squared density (sum in quadrature of variables which are neither independent nor gaussian). What is the correct goodness-of-fit distribution ?
- are errors on the final $\hat{ heta}$ values Gaussian-distributed (product/ratio of 2 gaussians is not a priori gaussian)1?

Fit with systematic uncertainties-II

P. Pedroni, S. Sconfietti, JPG 47, 054001 (2020)

A new boostrap-based method (a single subset and a single common scale factor):

known (kinematical variables, in our case E_{γ} ; $\; heta_{\gamma'}$)

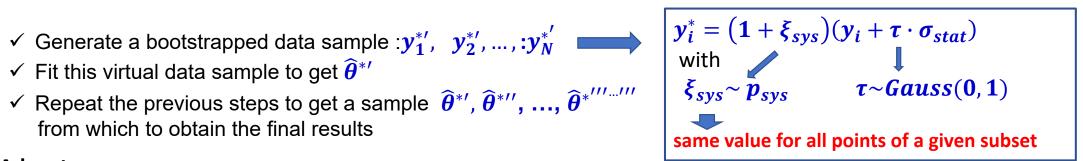
$$Y_i \sim p(x_i, \mu_i)$$

A model for the $Y_i^* \sim p^*(x, \mu_i = y_i)$ distribution of the experimental data

known measured value

known (is the experimental resolution) unknown true value of $d\sigma/d\Omega(x_i)$

- from which to obtain the final results

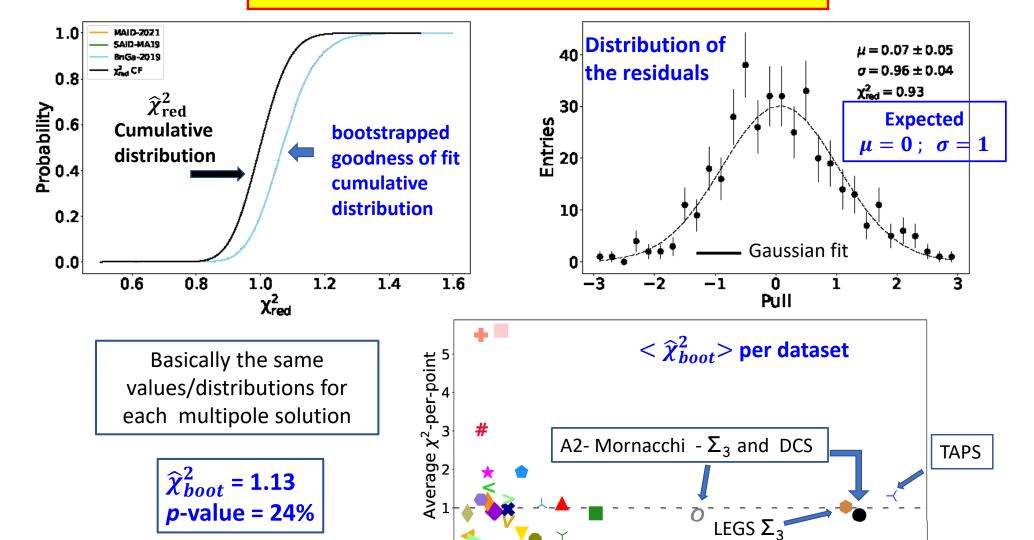


Advantages:

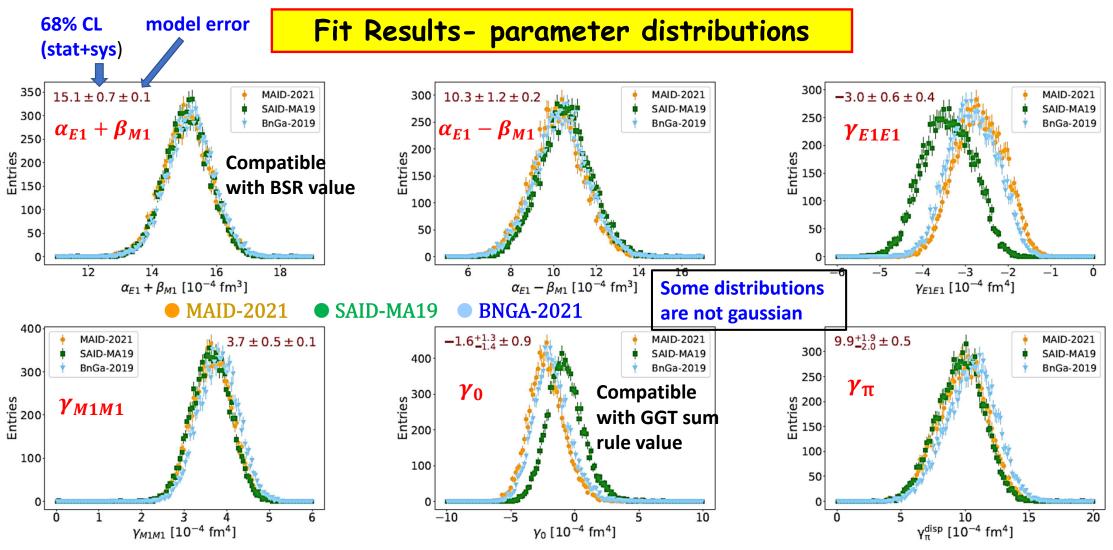
- No a priori assumption needed for both the systematic and the statistical errors (any type of distribution can be easily simulated); the correct p.d.f. of the fit parameters is always provided automatically by the procedure
- No additional fit parameter is needed
- The correct goodness-of-fit distribution and the correct p-value of the " χ^2 " test" are also always provided by this procedure

Drawback (as any other MC-based method): a relevant computational time may be needed to get precise results

Fit Results



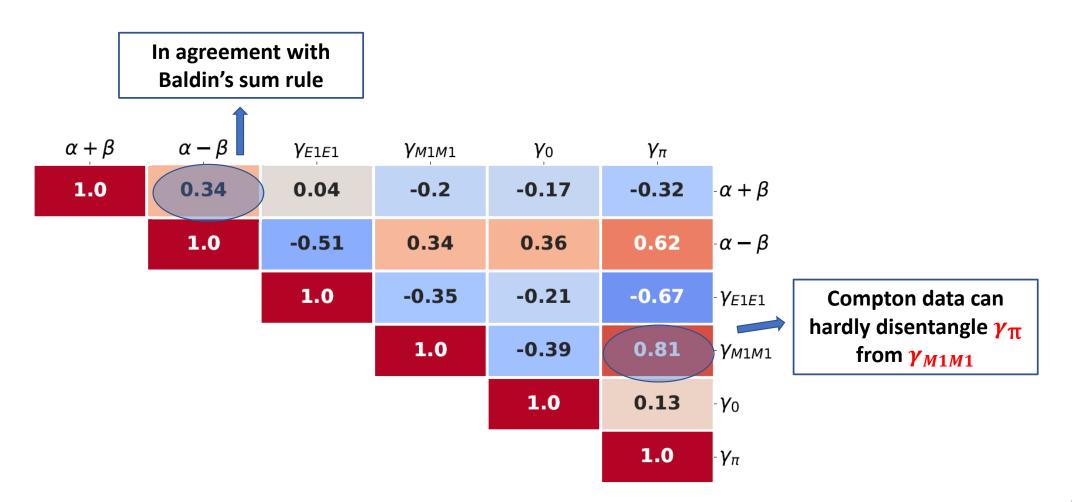
points

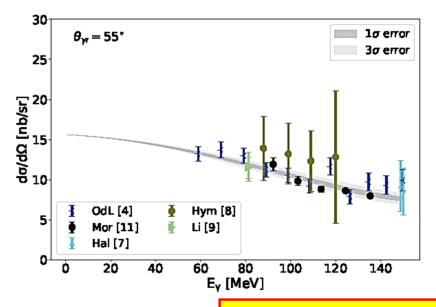


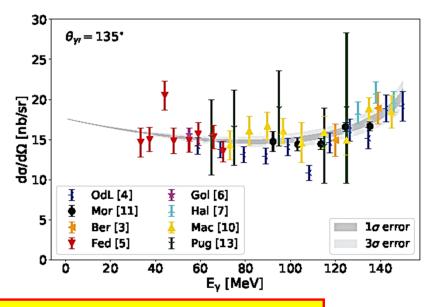
Different multipole solutions give (very) similar results.

Estimate of the model error: largest of the differences between each set of fit values and the average was used to estimate an additional model error (conservatively considered as a standard deviation)

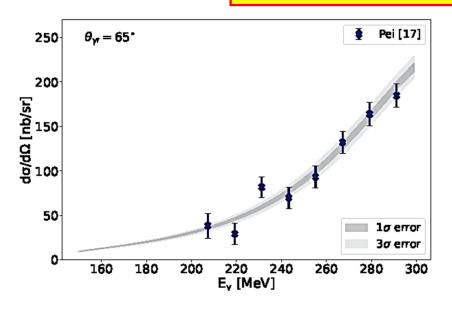
Fit Results -correlation matrix

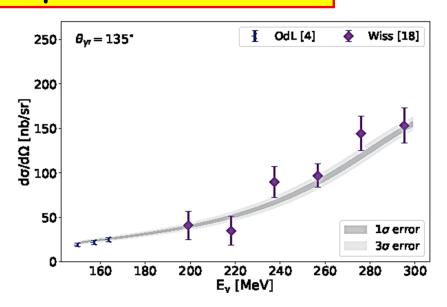






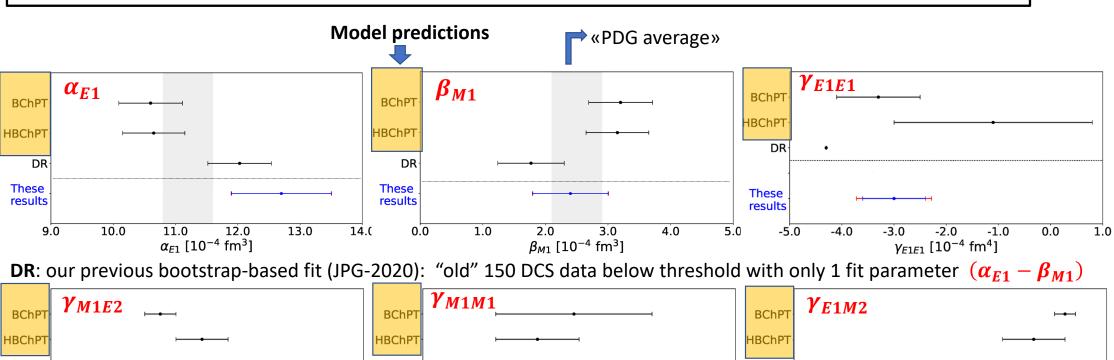
Fit Results - comparison with data





> First consistent comparison with existing theoretical models

BChPT: V. Lensky et al, Phys. Rev. C 89, 032202 (2014) HBChPT: J. McGovern et al, Eur. Phys. J. A 49, 12 (2013)



$$lpha_{E1} = 12.7 \pm 0.8 \pm 0.1$$
 $eta_{M1} = 2.4 \pm 0.6 \pm 0.1$ $\gamma_{E1E1} = -3.0 \pm 0.6 \pm 0.4$ $\gamma_{M1M1} = 3.7 \pm 0.5 \pm 0.1$ $\gamma_{E1M2} = -1.2 \pm 1.0 \pm 0.3$ $\gamma_{M1E2} = 2.0 \pm 0.7 \pm 0.4$

19

Conclusions and Outlook

- Last A2 proton-Compton DCS data have reached a significant precision and accuracy (stat.errors \approx sys.errors \approx 2-5%) over a wide angular and energy range.
- ➤ This critical experimental improvement and a new boostrap-based fitting method have allowed to obtain the first concurrent extraction of all 6 leading-order proton polarizabilities

We have already performed the first extraction of the scalar dynamical polarizabilities values – See PRC-2018



- However this important milestone is only the first step of a long run ...
 - \checkmark relative uncertainties (in std.dev. units) on α_{E1} (7%) and β_{M1} (30%) are quite large
 - \checkmark relative uncertainties on all γ_i are even larger (from 15% to 100%)
- ... since the overall quality of the proton-Compton DB is still quite poor
 - ✓ Many More DCS data, especially at backward angles and below/above pion threshold are needed (and with very small stat. and systematic uncertainties) to increase precision on α_{E1} and β_{M1}
 - \checkmark Many more data on polarization observables (especially for $\Sigma_{2\times,z}$) are mandatory to increase precsision on all γ_i . Also additional polarization (beam-recoil) observables must be measured

Backup

Multipole Expansion for RCS

R.Hildebtrandt et al., EPJA 20, 293 (2004)

$$T_{fi} = \frac{4\pi W}{M} \sum_{i=1}^{6} \rho_i R_i(\omega, \cos \theta) \quad R_i \implies 6 \text{ Independent amplitudes}$$

$$R_{1} = \sum_{l>1} \{ [(l+1)f_{EE}^{l+} + lf_{EE}^{l-}](lP_{l}' + P_{l-1}'') - [(l+1)f_{MM}^{l+} + lf_{MM}^{l-}]P_{l}'' \}$$

$$R_2 = \sum_{l>1} \left\{ [(l+1)f_{MM}^{l+} + lf_{MM}^{l-}](lP_l' + P_{l-1}'') - [(l+1)f_{EE}^{l+} + lf_{EE}^{l-}]P_l'' \right\}$$

2 spin-independent amplitudes

$$f_{TT'}^{l\pm}$$
 Corresponds to the transition $Tl \rightarrow T'l'$ with $T,T'=E,M$; $l'=l\pm\{0,1\}$

Multipole expansion + nucleon polarizabilities ⇒ Dynamical polarizabilities

$$\alpha_{E1-DYN}(\omega) = \frac{2f_{EE}^{1+}(\omega) + f_{EE}^{1-}(\omega)}{\omega^2} \quad ; \quad \beta_{M1-DYN}(\omega) = \frac{2f_{MM}^{1+}(\omega) + f_{MM}^{1-}(\omega)}{\omega^2}$$

$$\alpha_{E1} = \lim_{\omega \to 0} \alpha_{E1-DYN}(\omega)$$
 ; $\beta_{M1} = \lim_{\omega \to 0} \beta_{M1-DYN}(\omega)$

How to extract dynamical polarizabilites?

Our method \Rightarrow Dispersion relations (DRs) + Low Energy Expansion (LEX) $(\omega < 140 \text{ MeV})$

> B.Holstein et al., PRC61, 034316 (2000)

RCS differential cross section \rightarrow 6 amplitudes

$$A_i(v,t)$$
 $v \to \omega + t/4M$ 034
 $t \to \text{transferred momentum}$

$$A_i(v,t)$$
 are connected to $f_{TT'}^{l\pm}$ $\left[A_i\left(0,0\right)-A_i^B\left(0,0\right)\right]$ are connected to the 6 static polarizabilites

$$\operatorname{Re} \left[\underline{A_i(v,t)} = \underline{A_i^B(v,t)} + \left[\underline{A_i(0,t)} - \underline{A_i^B(0,t)} \right] + \frac{2}{\pi} v^2 P \int_{v_{thr}}^{+\infty} dv' \frac{\operatorname{Im}_s A_i(v',t)}{v'(v'^2 - v^2)} \right]$$
 Dispersion relations

Can be evaluated from $\gamma\gamma \to \pi\pi$, $\pi\pi \to N\overline{N}$, Born terms (can be exactly calculated) $\gamma N \rightarrow N\pi(\pi)$ data

$$\alpha_{E1-DYN}^{DR}(\omega) = f_{\alpha}(\alpha_{E1}, \beta_{M1}, \alpha_{E1\nu}, \beta_{M1\nu}) + g_{\alpha}(\gamma_{i}) + h_{\alpha} \text{ (any other term)}$$

$$\beta_{M1-DYN}^{DR}(\omega) = f_{\beta}(\alpha_{E1}, \beta_{M1}, \alpha_{E1\nu}, \beta_{M1\nu}) + g_{\beta}(\gamma_{i}) + h_{\beta} \text{ (any other term)}$$
2 additional parameters to be fitted Calculated using measured γ_{i} values evaluated with DRs

Complications

3-parameter fit $(\alpha_{E1} - \beta_{M1})$; $\alpha_{E1\nu}$; $\beta_{M1\nu} \rightarrow (\alpha_{E1} + \beta_{M1})$ from Baldin's sum rule

Standard gradient (Newton) method to find the minimum of the " χ^2 function" using first and second derivatives

MINUIT WARNING IN HESSE ======== MATRIX FORCED POS-DEF BY ADDING 0.13727E-01 TO DIAGONAL.

Too high correlations between fitted parameters!



VERY low sensitivity of the data to dynamical polarizabilities

NO WAY to find the "right" minimum and to define "right" errors on fit parameters



Combination of SIMPLEX method and BOOTSTRAP technique (purely geometrical search) (Monte Carlo)

Bootstrap and dynamical polarizabilities

```
3-parameter fit (\alpha_{E1} - \beta_{M1}); \alpha_{E1\nu}; \beta_{M1\nu}
```

- ✓ Baldin's sum rule
- ✓ Systematical errors ON
- ✓ FULL data set (150 data)
- ✓ TAPS data set (55 data) (O. De Leon et al., 2001)
- ${\color{red} \checkmark}$ Errors on Baldin's sum rule and γ_{π} included in the procedure

Dynamical polarizabilities: fit results

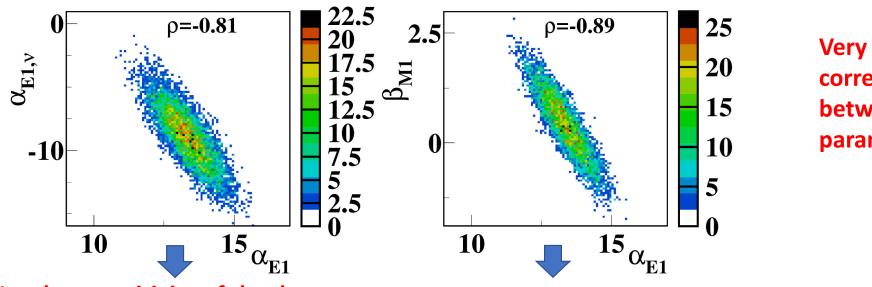
PRC-2019

		FULL	TAPS
α_{E1}	(10^{-4}fm^3)	13.3 ± 0.8	11.6 ± 1.1
$lpha_{E1, u}$	(10^{-4}fm^5)	-8.8 ± 2.5	-3.2 ± 3.1
eta_{M1}	(10^{-4}fm^3)	0.4 ∓ 0.9	2.2 ∓ 1.1
$\beta_{M1,\nu}$	(10^{-4}fm^5)	10.8 ± 2.8	5.1 ± 3.7

BChPT model
11.2±0.7
1.3±1.0
3.9±0.7
7.1±2.5

V. Lensky et al., EPJC 75, 604 (2015)

Quite strong dependence on data set (maybe due to different covered angular regions?)

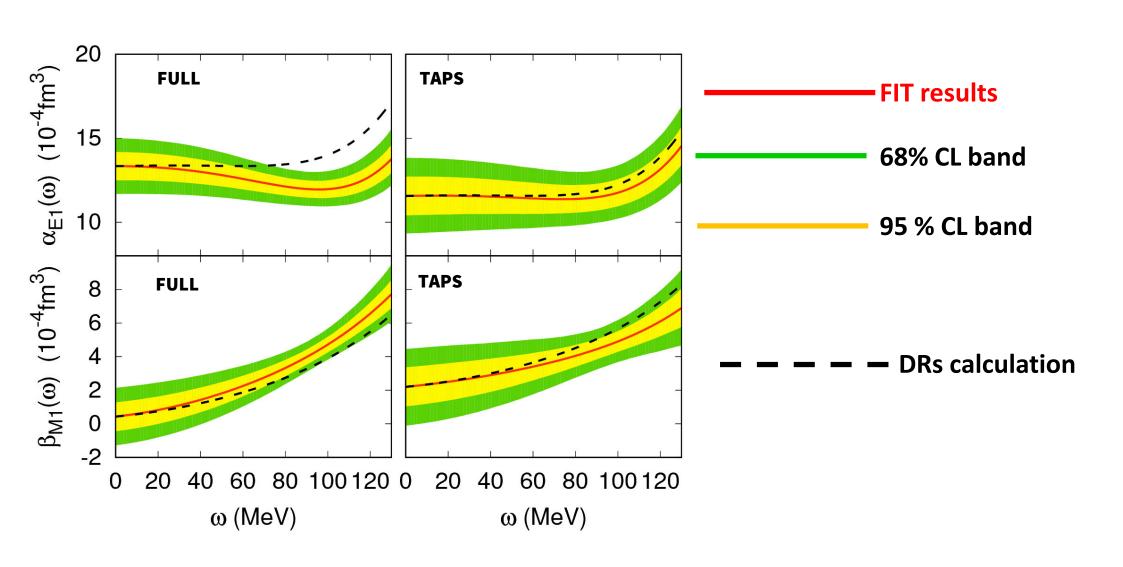


Very strong correlations between the fit parameters

Very low sensitivity of the data to $lpha_{E1
u}$

 $(\alpha_{E1} + \beta_{M1})$ Constrained by the Baldin's rum rule

Dynamical polarizabilities: fit results



Parametric bootstrap

A.C.Davidson, D.V.Hinkley

Bootstrap Methods and Their Applications Cambridge University Press, 1997

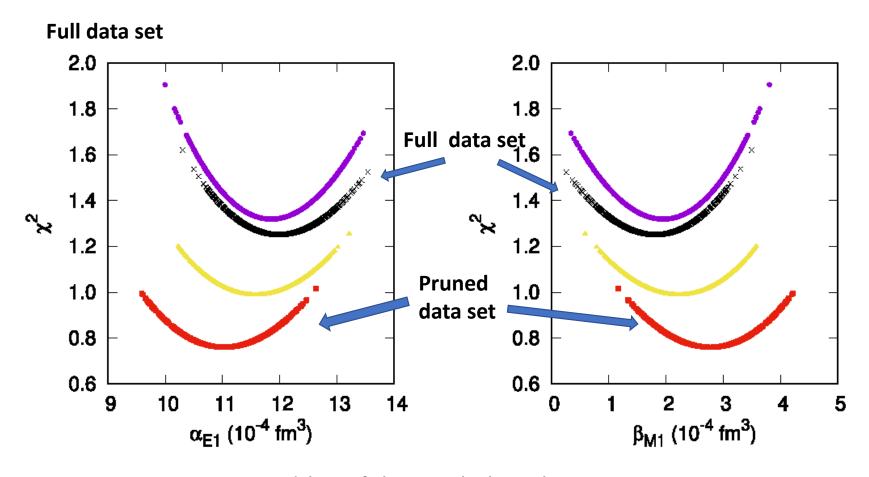
2.2 Parametric Simulation

In the previous section we pointed out that theoretical properties of T might be hard to determine with sufficient accuracy. We now describe the sound practical alternative of repeated simulation of data sets from a fitted parametric model, and empirical calculation of relevant properties of T.

Suppose that we have a particular parametric model for the distribution of the data y_1, \ldots, y_n . We shall use $F_{\psi}(y)$ and $f_{\psi}(y)$ to denote the CDF and

PDF respectively. When ψ is estimated by $\hat{\psi}$ — often but not invariably its maximum likelihood estimate — its substitution in the model gives the *fitted model*, with CDF $\hat{F}(y) = F_{\hat{\psi}}(y)$, which can be used to calculate properties of T, sometimes exactly. We shall use Y^* to denote the random variable distributed according to the fitted model \hat{F} , and the superscript * will be used with E, var and so forth when these moments are calculated according to the fitted distribution. Occasionally it will also be useful to write $\hat{\psi} = \psi^*$ to emphasise that this is the parameter value for the simulation model.

profile fo the χ^2 function of α_{E1} and β_{M1}



«Width» of the parabola is the same
=> same final errors for the pruned and full data sets

