

# Experimental studies of the neutron generalized spin polarizabilities and spin sum rules at low $Q^2$

**A. Deur**

Thomas Jefferson National Accelerator Facility

+

**$^3\text{He}$  spin sum rules at low  $Q^2$**

**(For C. Peng)**

Spokespeople: **J.P. Chen**, A.D., F. Garibaldi.

Students: C. Peng (Duke U.), V. Laine (Clermont-Fd U.) J. Singh (UVa), V. Sulkosky (W&M),  
N. Ton (U.Va), J. Yuan (Rutgers U.).

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Motivations for E97-110 are the same as for EG4 (talk on Tuesday):

- \*Provide very low  $Q^2$  nucleon spin data to test  $\chi$ EFT,
- \*Test original GDH sum rule with **inclusive data**.
- \*Observable of interest the same as EG4: spin sum rules, generalized spin polarizabilities.

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- \*Observable of interest the same as EG4: spin sum rules, generalized spin polarizabilities.

E97-110 aimed at precision measurement of **neutron** spin structure (polarized  $^3\text{He}$  target).

E97-110 in Hall A: high resolution, small solid angle detectors. (EG4: Hall B, lower resolution, large solid angle).

$^3\text{He}$  target has transverse polarization capability:

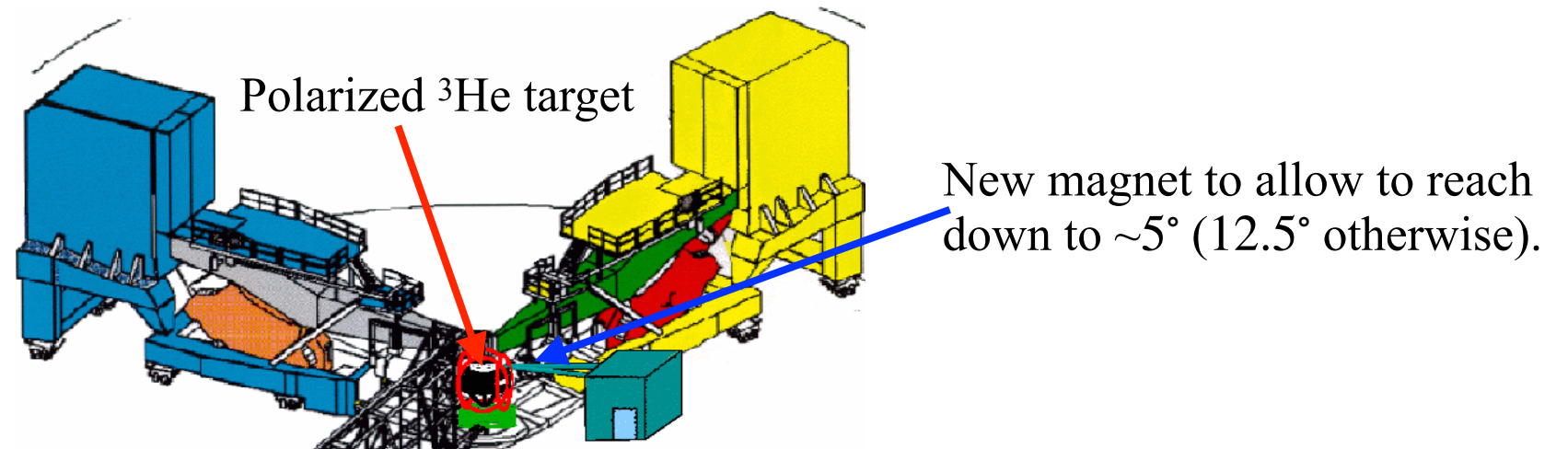
- \*No need to model  $g_2(x, Q^2)$  for  $\Gamma_1(Q^2)$ ,  $I_{TT}(Q^2)$  and  $\gamma_0(Q^2)$ ,
- \* $g_2(x, Q^2)$  data and associated sum rules,
- \* $\delta_{LT}^n(Q^2)$  data.



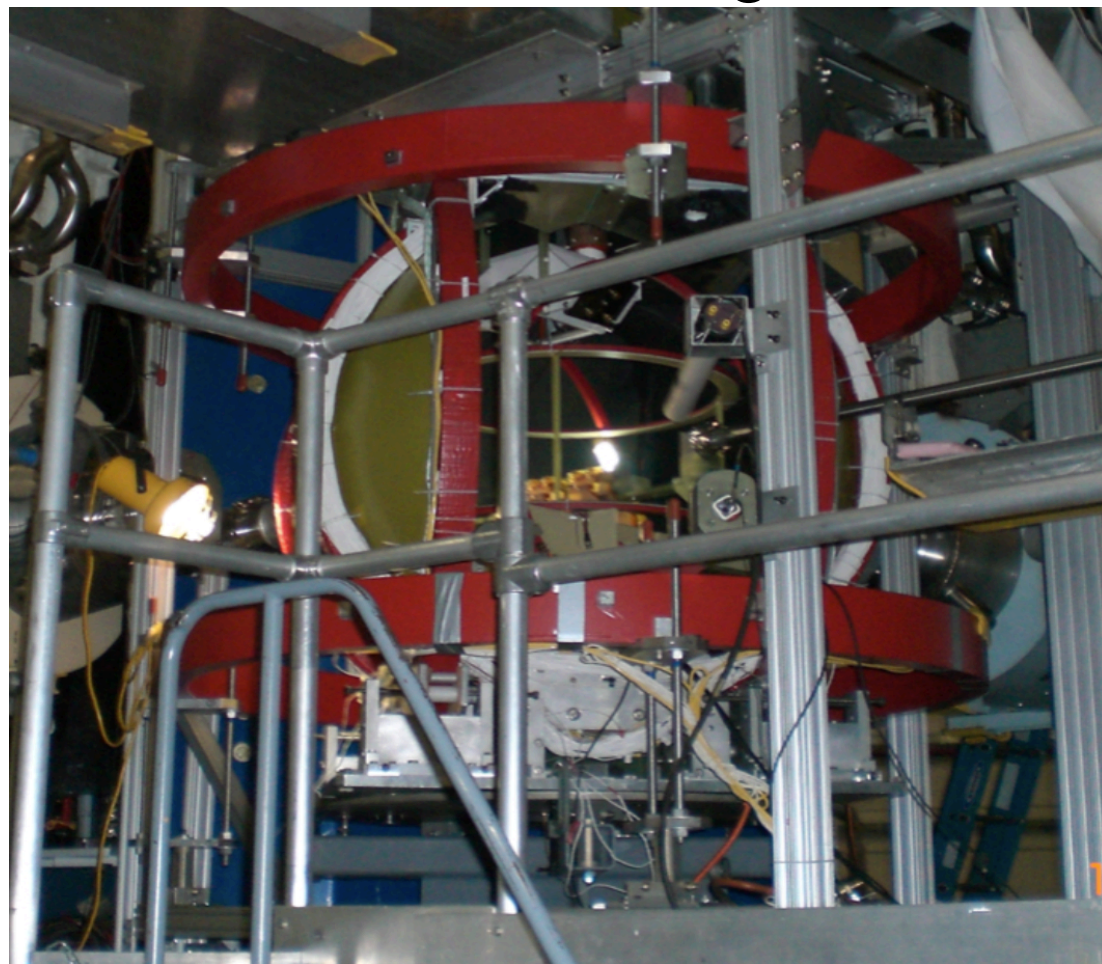
# JLab Hall A experiment E97-110

Low  $Q^2$  + covering large  $\nu$  range so that sum rule's integrals can be formed  $\Rightarrow$  forward angles

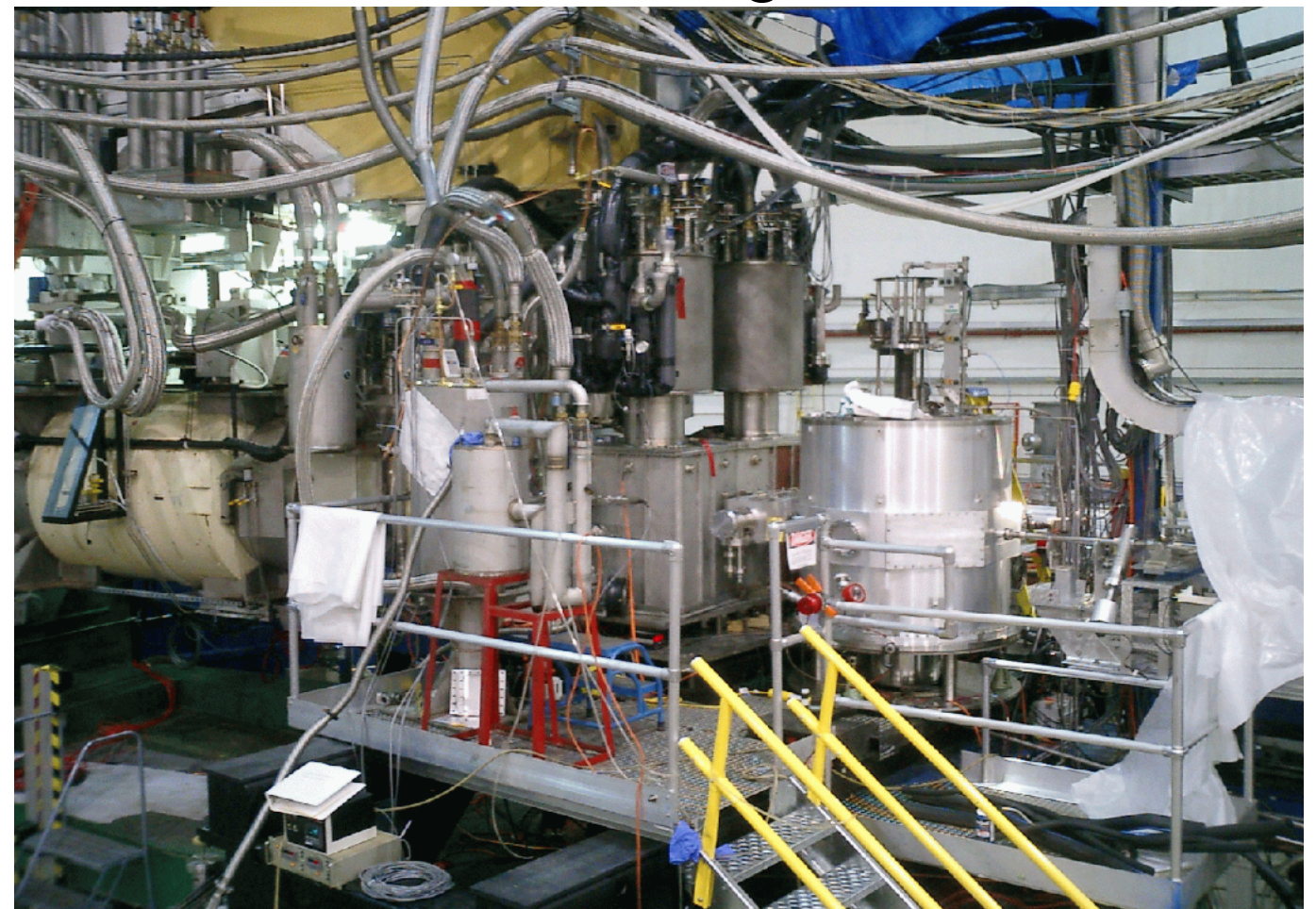
JLab Hall A:



JLab Polarized  $^3\text{He}$  target

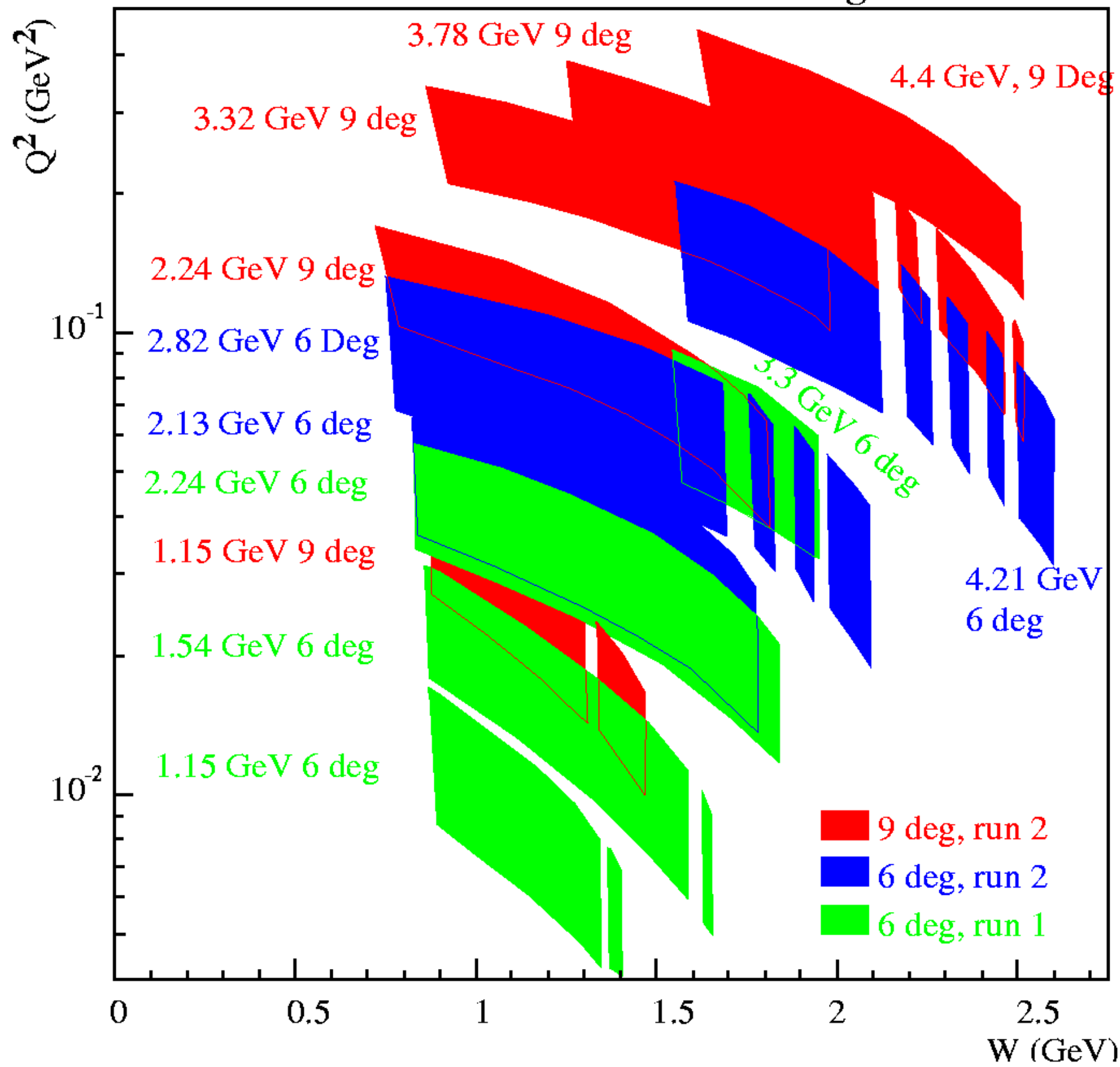


New magnet

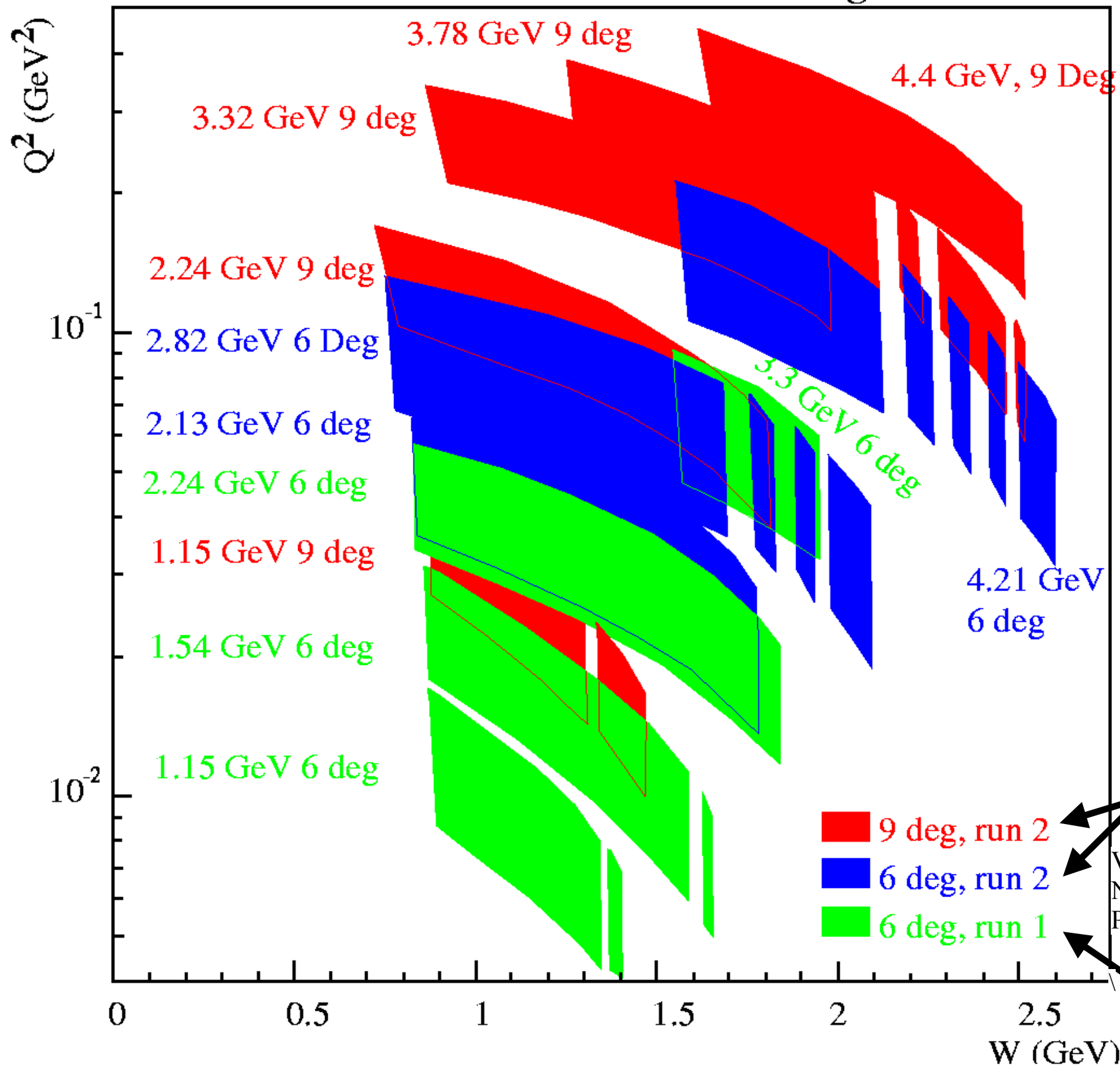




# E97-110 Kinematics Coverage



# E97-110 Kinematics Coverage



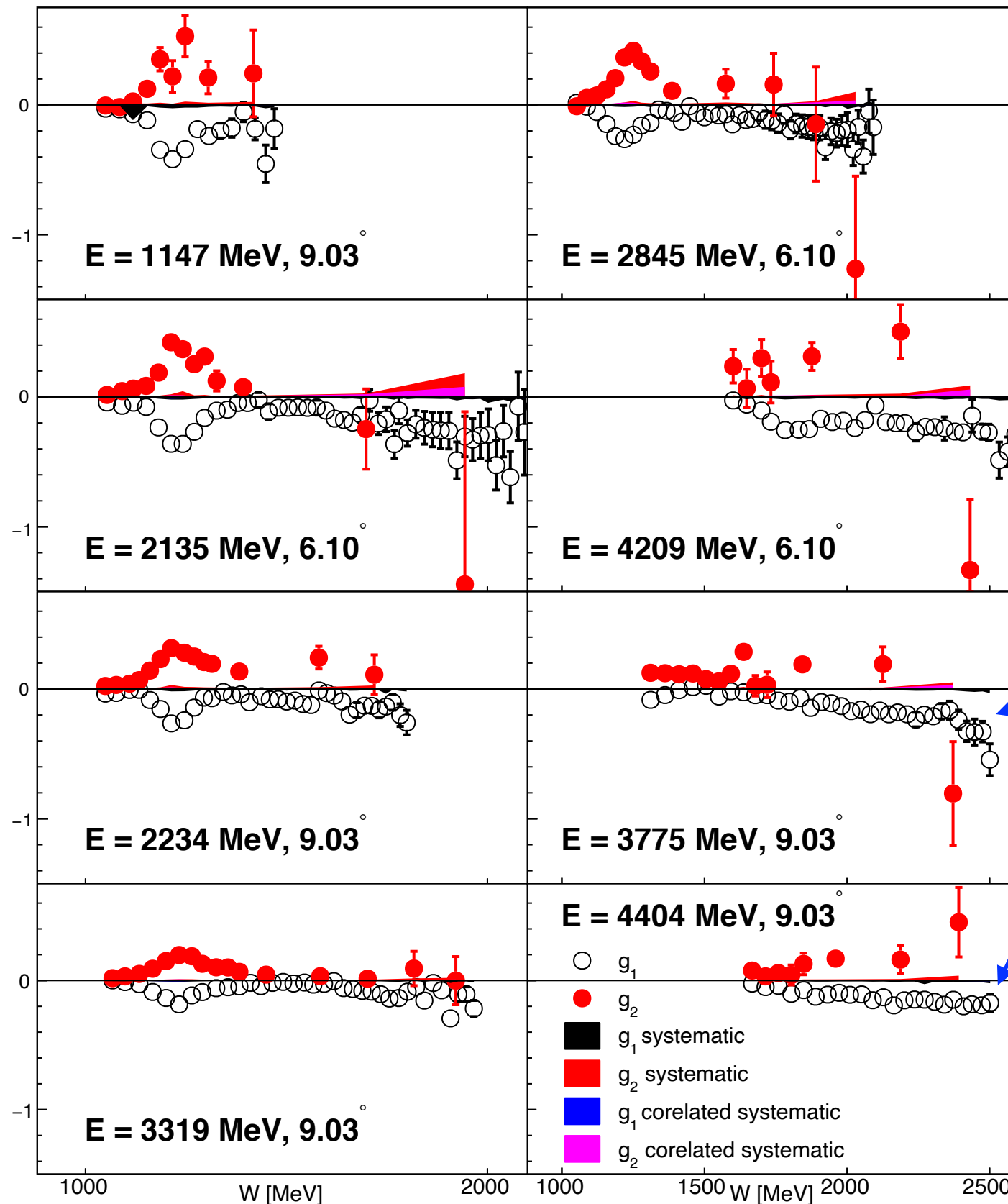
Analysis finalized  
and published (n)

V. Sulkosky et al.  
Nature Phys., 17 687 (2021)  
PLB 805 135428 (2020);

Preliminary  
analysis

# Spin structure function $g_1^{^3\text{He}}(W, Q^2)$ and $g_2^{^3\text{He}}(W, Q^2)$ data from E97-110

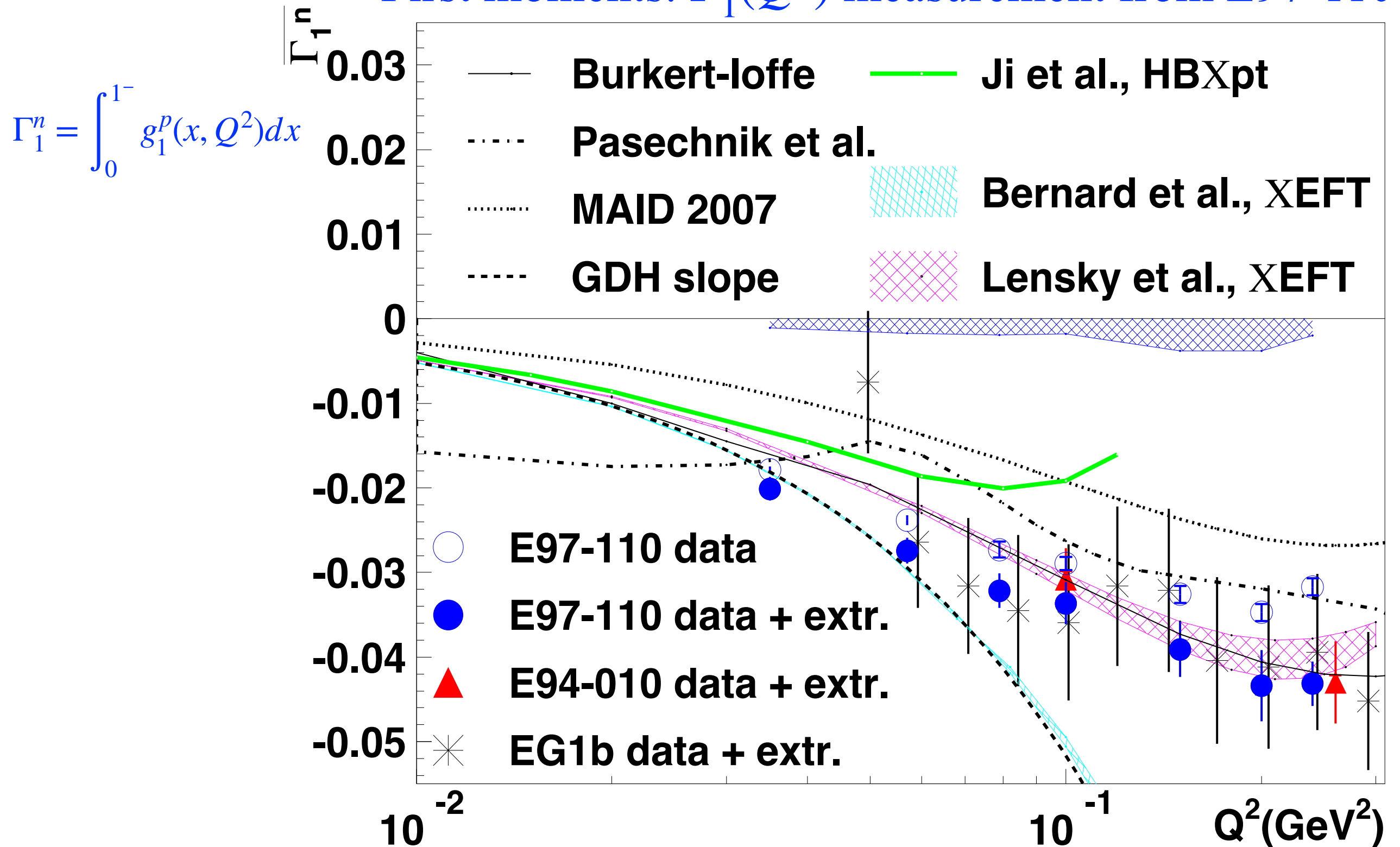
We do not know how to reliably extract neutron information from  $^3\text{He}$  for non-integrated quantities (e.g., spin structure functions, polarized cross-section difference...)



We observe the expected  $g_1 \simeq -g_2$  symmetry near the  $\Delta_{1232}$ .  
 $\Delta$ :  $\sim M_1$  transition  $\Rightarrow \sigma_{LT} \propto g_1 + g_2 \simeq 0$

Large  $W$  coverage to test sum rule convergency

# First moments: $\Gamma_1^n(Q^2)$ measurement from E97-110

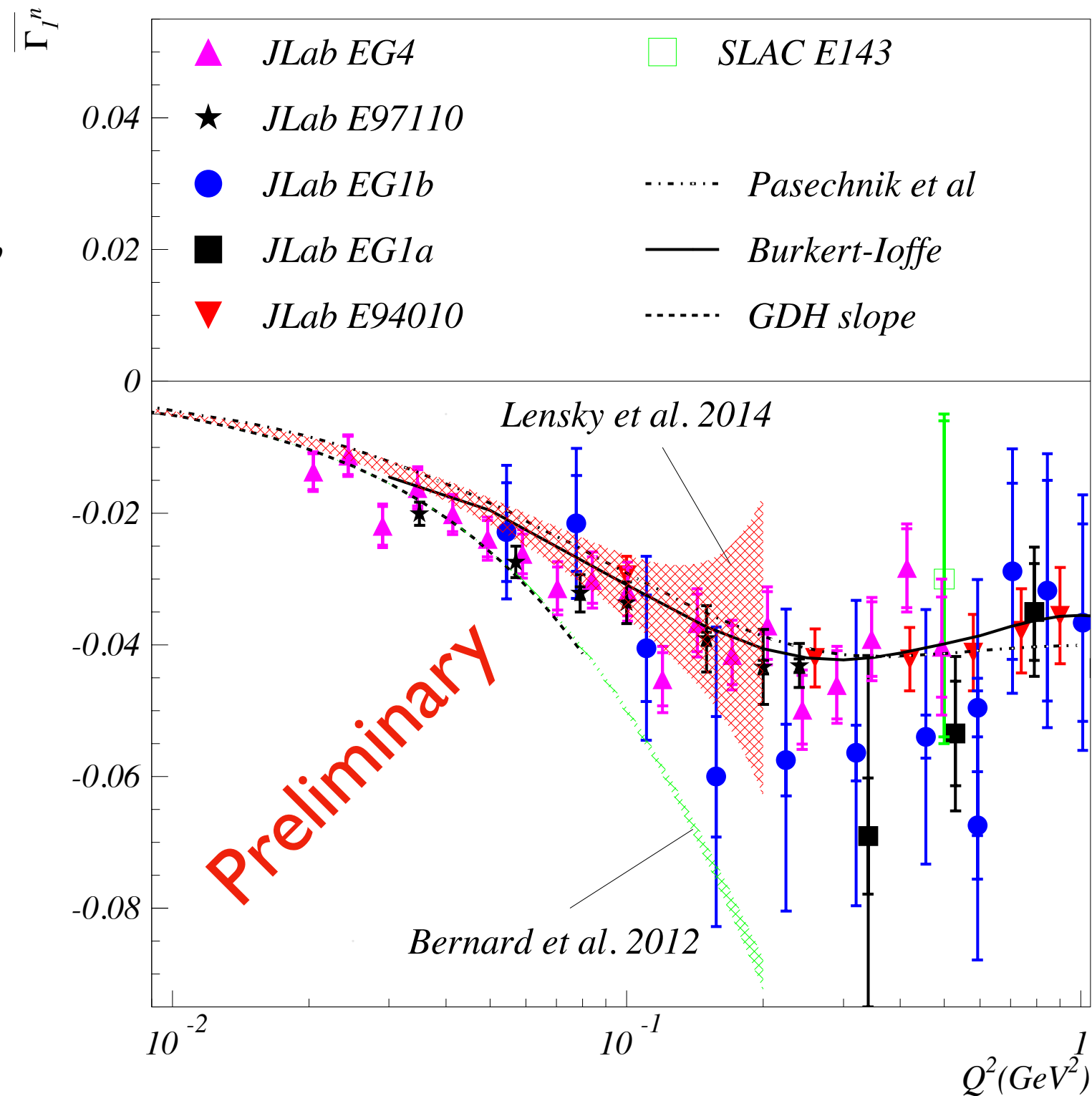


- E97-110 agree with older data at larger  $Q^2$  (EG1b, E94-010).
- E97-110 and  $\chi$ EFT agree up to  $Q^2 \sim 0.06 \text{ GeV}^2$  (Bernard et al) or  $Q^2 > 0.08 \text{ GeV}^2$  (Lensky et al.)
- Some phenomenological models (Burkert-Ioffe) agree well with data, other (MAID, Pasechnik et al) not as much.

# First moments: $\Gamma_1^n(Q^2)$ measurements from E97-110 and EG4

$$\Gamma_1^n = \int_0^1 g_1^p(x, Q^2) dx$$

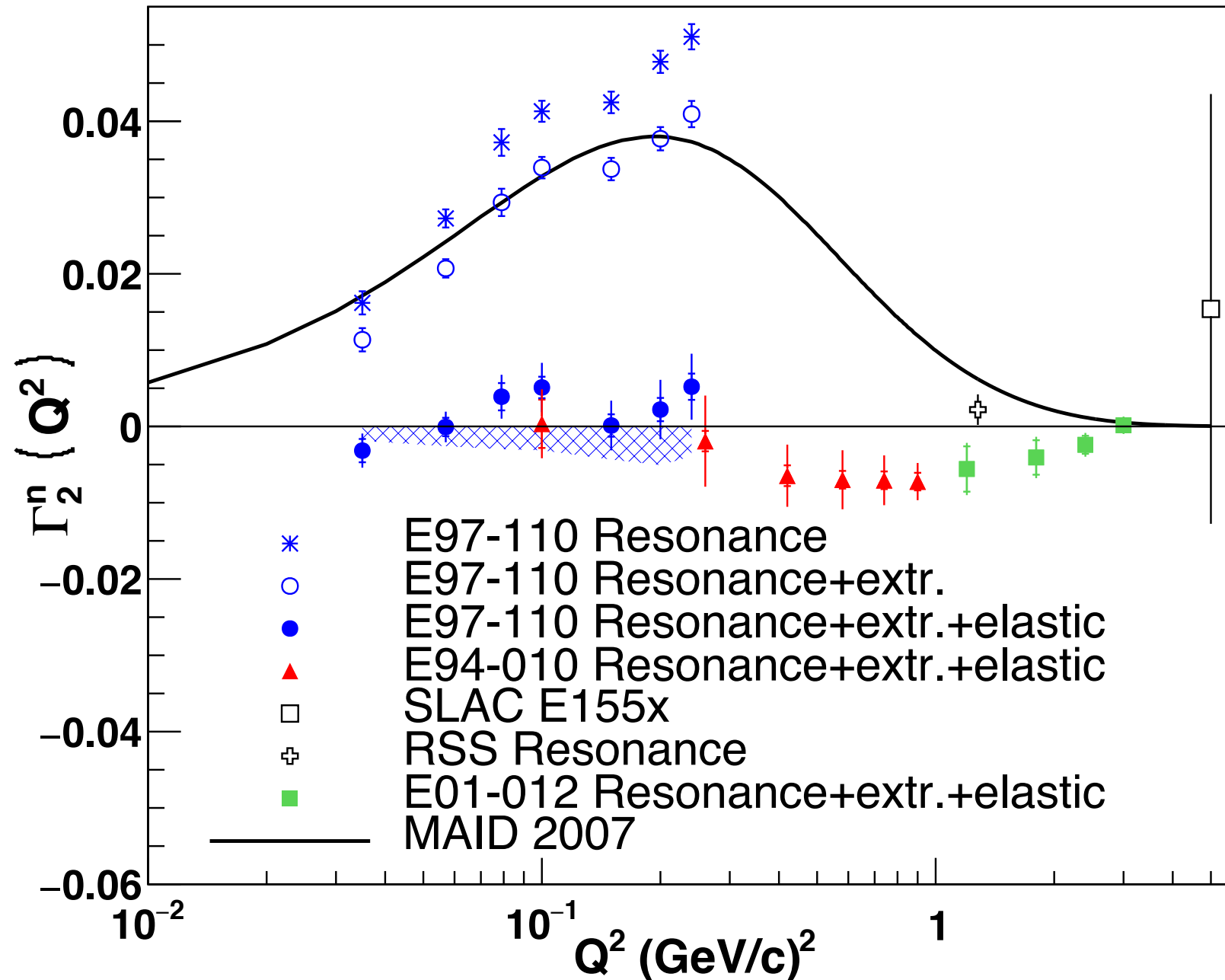
$$\Gamma_1^n = 2\Gamma_1^d / (1 - 1.5\omega_d) - \Gamma_1^p$$



E97-110 and EG4 agree well.

# First moments: Burkhardt–Cottingham sum rule

$$\Gamma_2(Q^2) \equiv \int_0^1 g_2 dx = 0$$



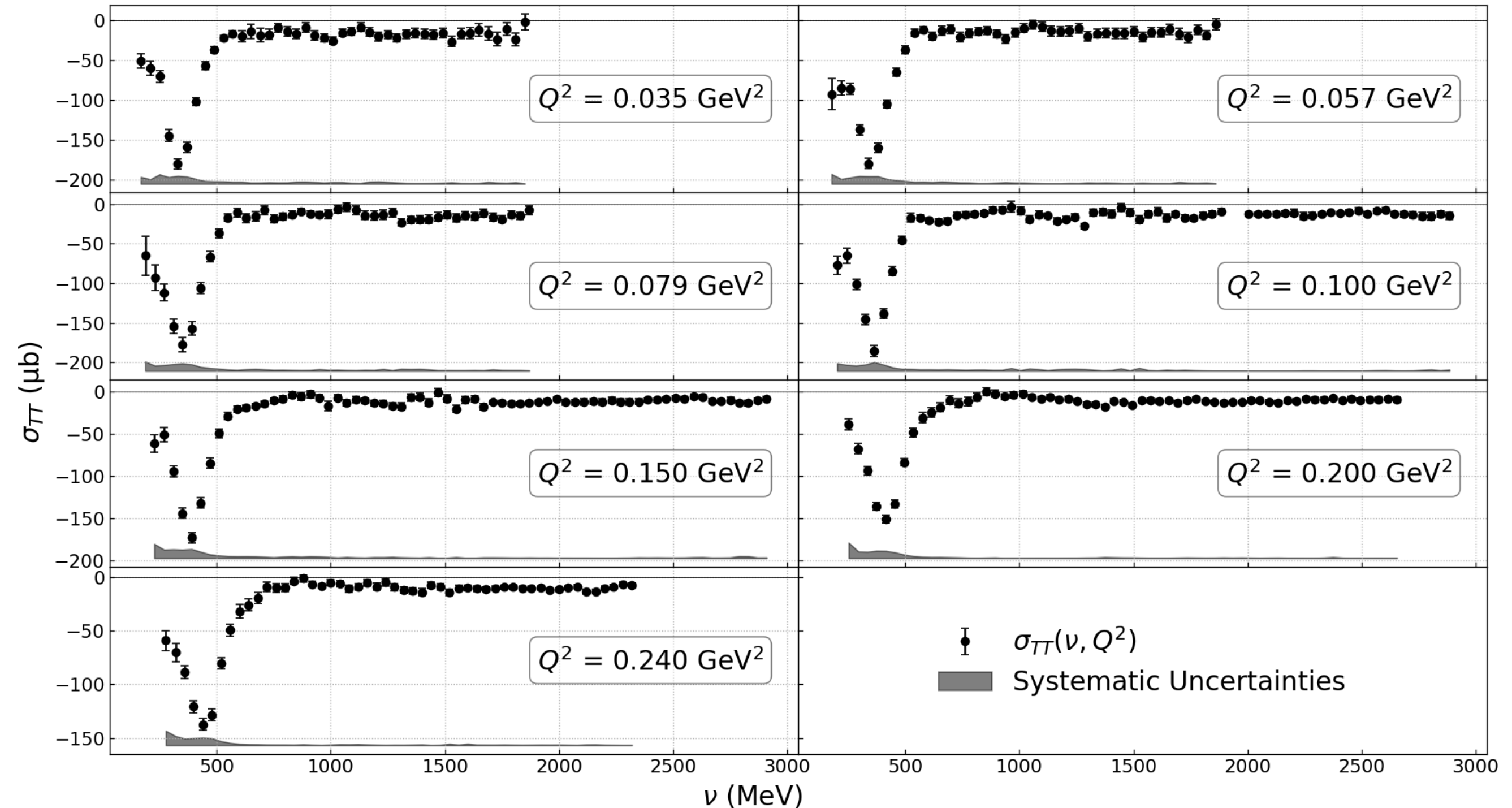
E97-110 verifies the B-C sum rule at low  $Q^2$ . Older experiments at higher  $Q^2$  also verify it.

# Polarized cross-section $\sigma_{TT}^{^3\text{He}}(\nu, Q^2)$ data from E97-110

We do not know how to reliably extract neutron information from  $^3\text{He}$  for non-integrated quantities (e.g., spin structure functions, polarized cross-section difference...)

$$\sigma_{TT} = \frac{\sigma_A - \sigma_p}{2} = \frac{4\pi^2\alpha}{MK}(g_1 - \gamma^2 g_2)$$

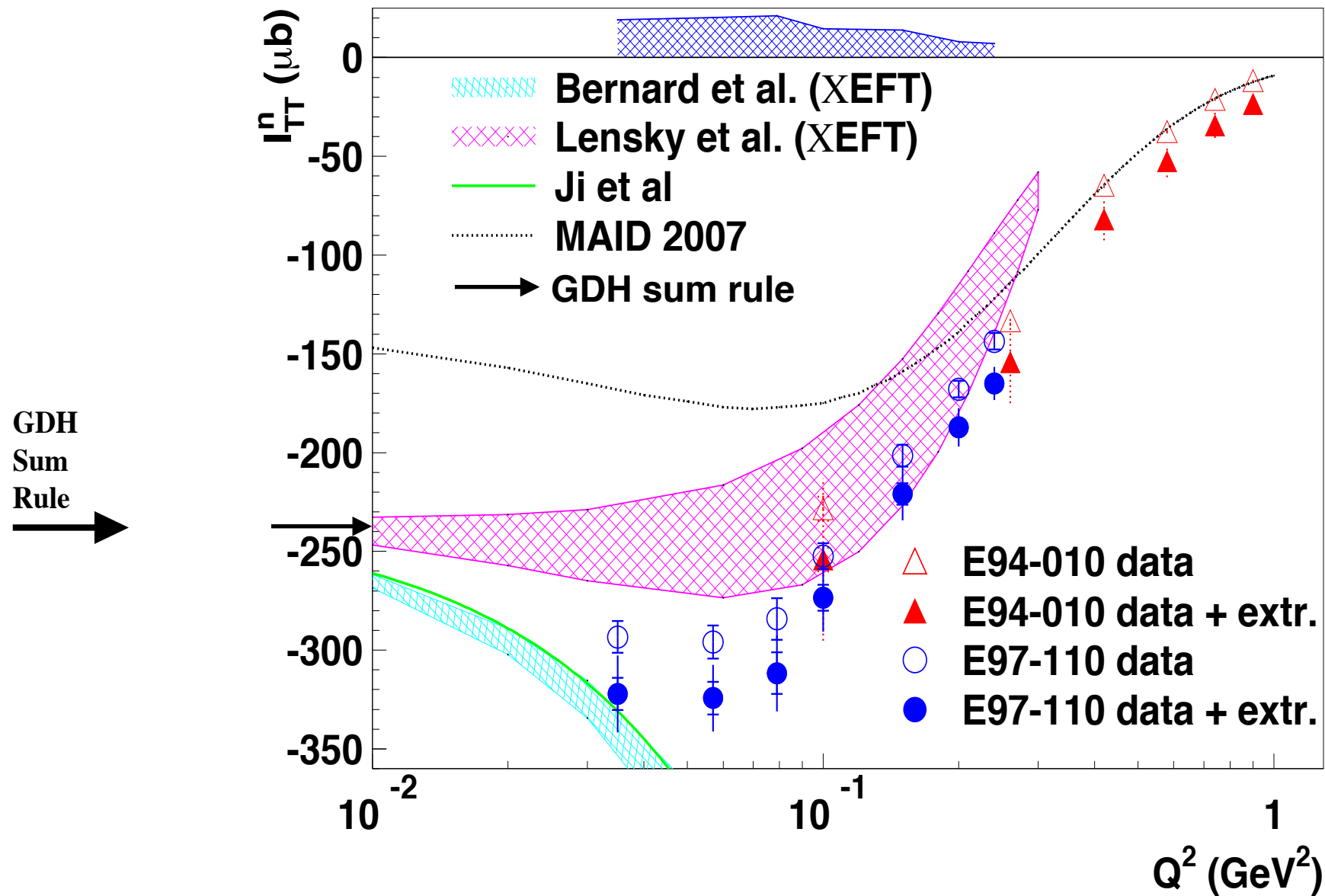
$K$ : virtual photon flux





# First moments: GDH sum ( $I_{TT}^n(Q^2)$ ) measurement from E97-110

$$I_{TT}^n(Q^2) \equiv \frac{M^2}{8\pi^2\alpha} \int_{\nu_{thr}}^{\infty} \frac{K}{\nu} \frac{\sigma_A - \sigma_P}{\nu} d\nu$$

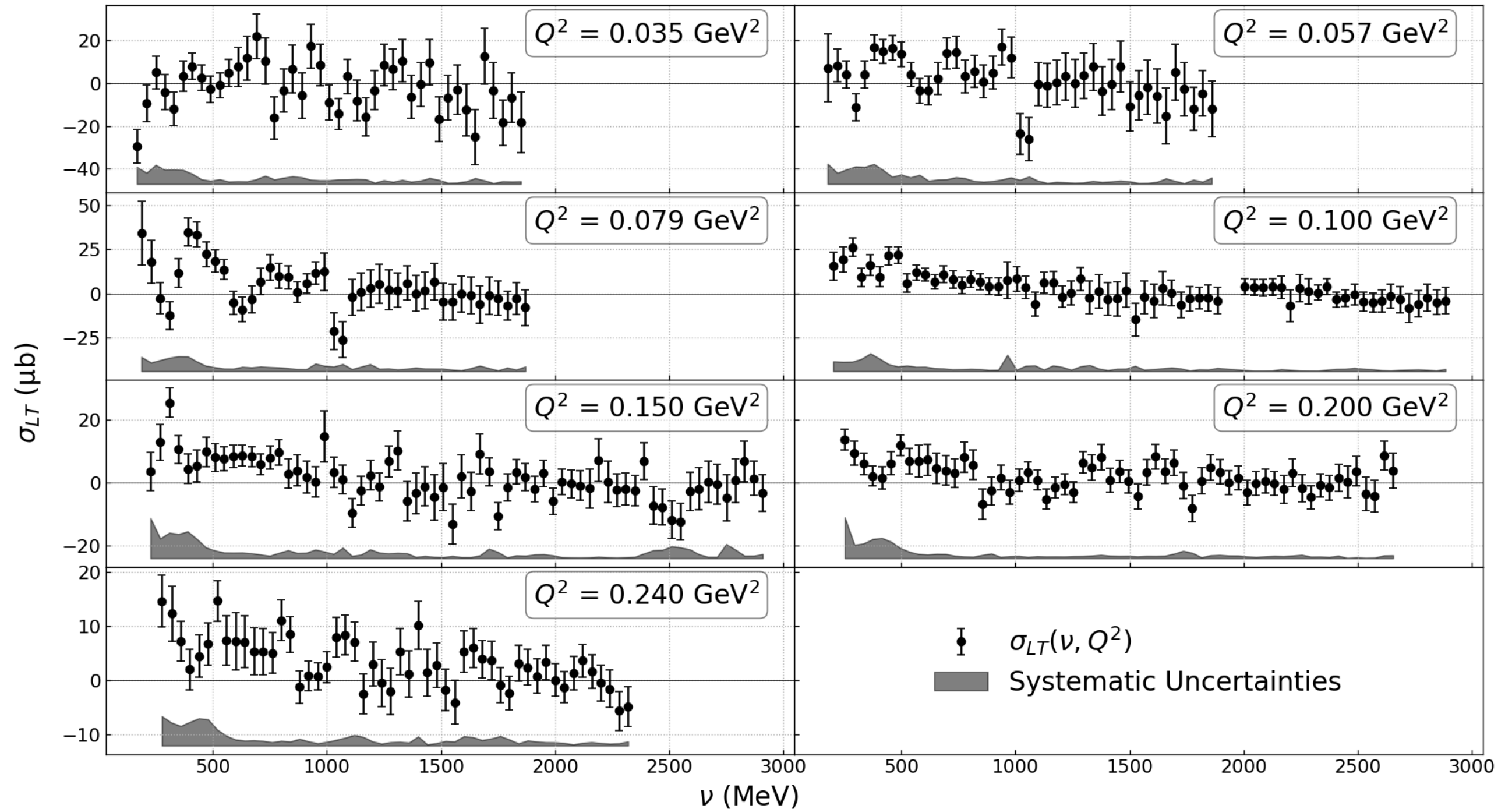


- E97-110 agree with older data at larger  $Q^2$ .
- E97-110 and  $\chi\text{EFT}$ :
  - agree for lowest data point ( $Q^2 \sim 0.04 \text{ GeV}^2$ ) for Bernard *et al.*
  - disagree with Lensky *et al.* except at the higher  $Q^2$ .
- Maid disagree with the data.

# Polarized cross-section $\sigma_{LT}^{{}^3\text{He}}(\nu, Q^2)$ data from E97-110

We do not know how to reliably extract neutron information from  ${}^3\text{He}$  for non-integrated quantities (e.g., spin structure functions, polarized cross-section difference...)

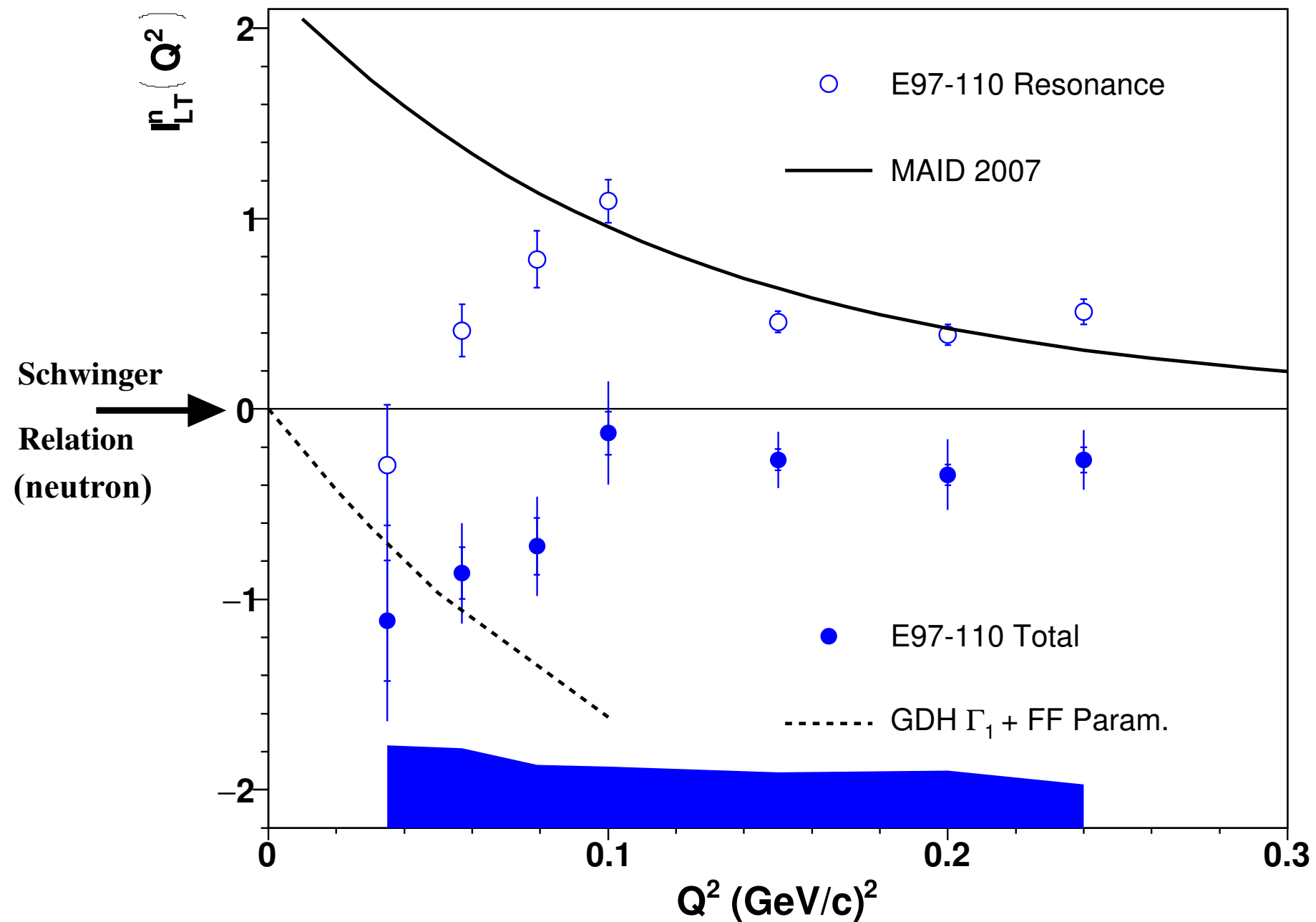
$$\sigma_{LT} = \frac{4\pi^2\alpha}{MK} \gamma(g_1 + g_2)$$



# First moments: Schwinger sum rule

$$I_{LT}(Q^2) = \frac{8M^2}{Q^2} \int_0^{1^-} (g_1 + g_2) dx \xrightarrow{Q^2 \rightarrow 0} \kappa e_t$$

anomalous magnetic  
moment  $\times$  charge

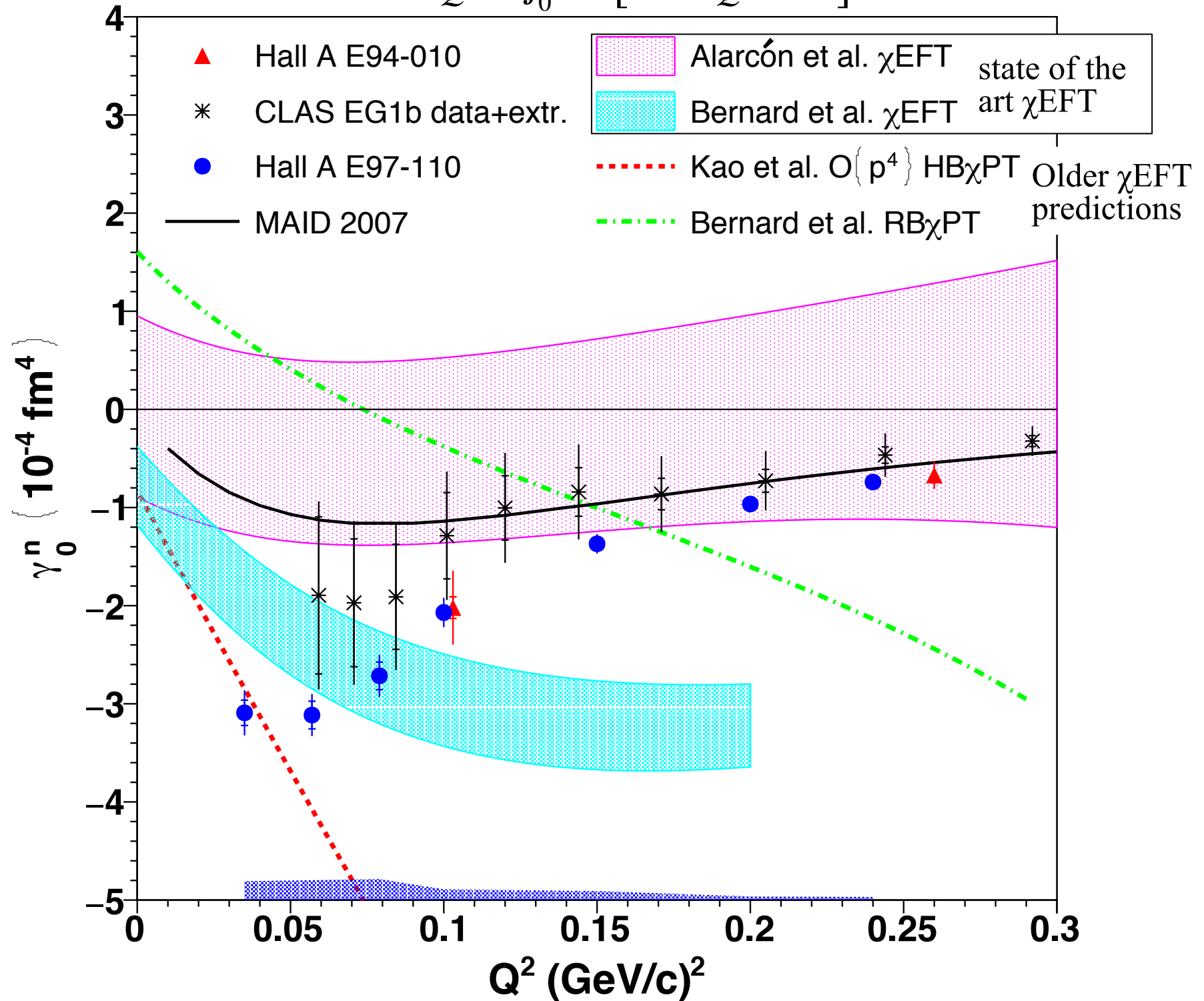


E97-110 (+GDH+BC sum rule+known neutron elastic form-factor) agrees with Schwinger sum rule.

# Higher moments: Generalized forward spin polarizability $\gamma_0^n$ from E97-110

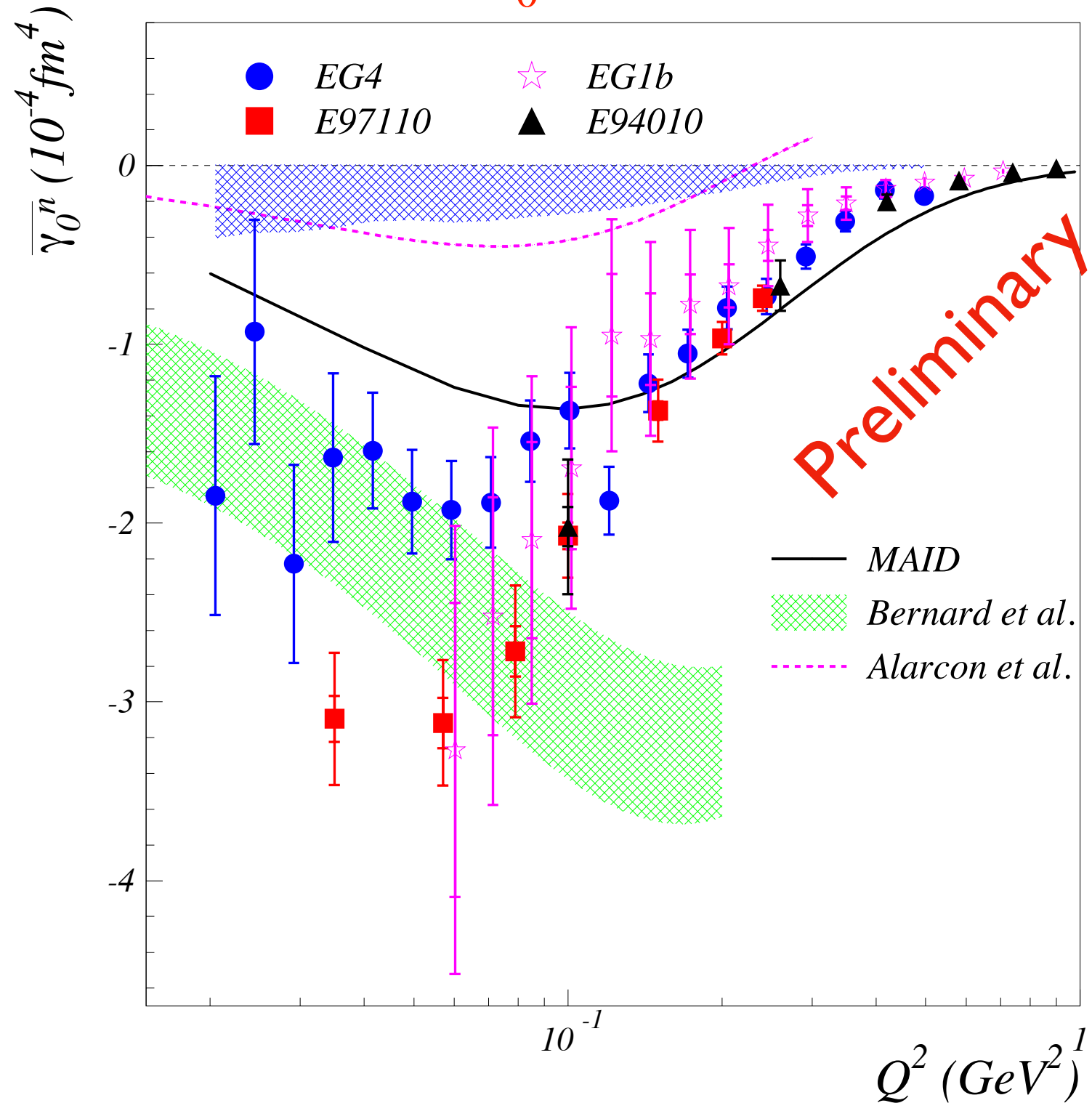
E97-110,  $\gamma_0^n$ :

$$\gamma_0(Q^2) = \frac{16\alpha M^2}{Q^6} \int_0^{1-} x^2 \left[ g_1 - \frac{4M^2}{Q^2} x^2 g_2 \right] dx$$



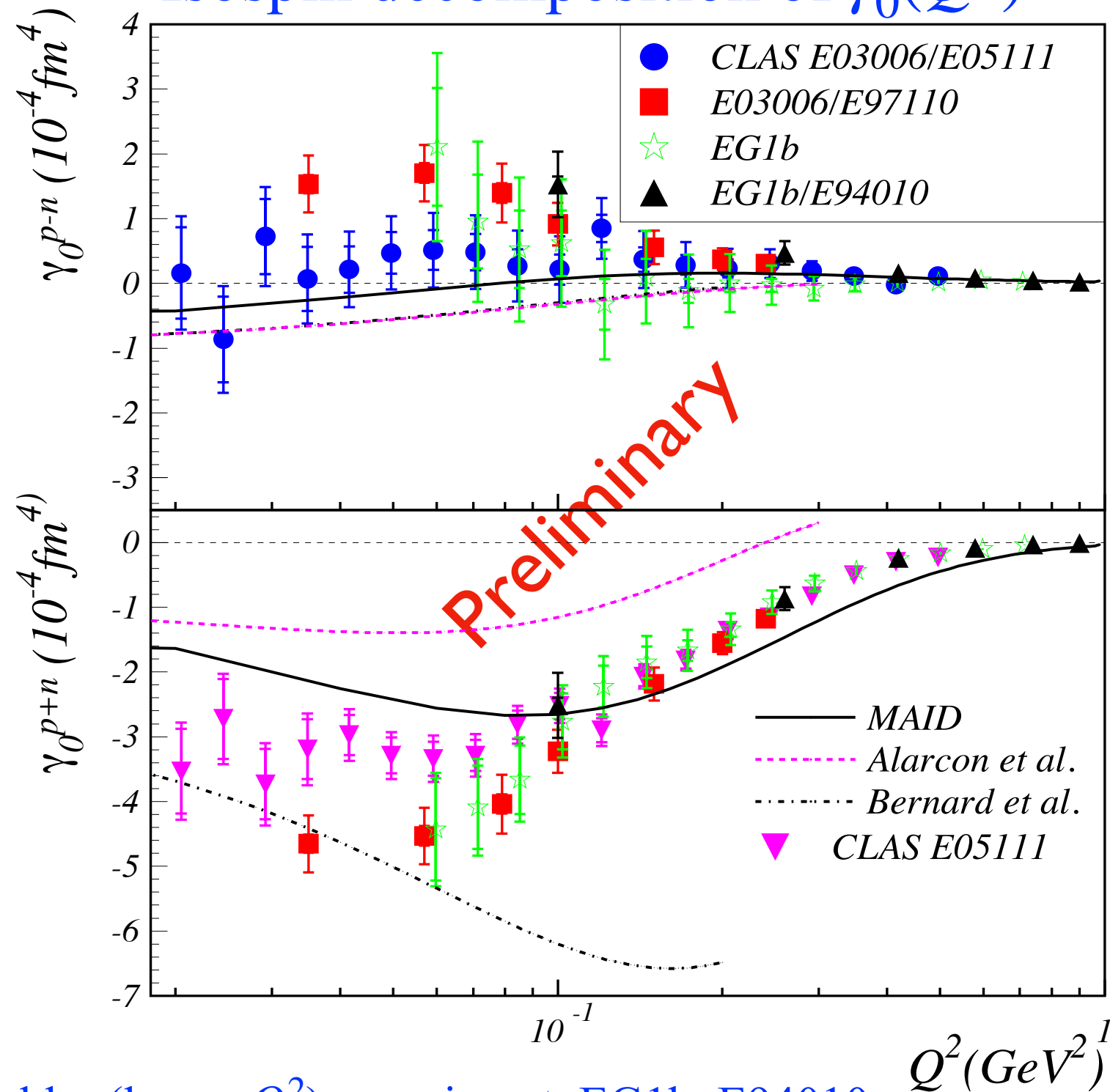
- E97-110 agree with older data at larger  $Q^2$  (EG1b, E94-010). Maid disagrees with the data.
- $\chi$ EFT result of Alarcón et al disagrees with data.
- Bernard et al.  $\chi$ PT calculation agrees for lowest  $Q^2$  points.

# Higher moments: $\gamma_0^n$ from E97-110 and EG4



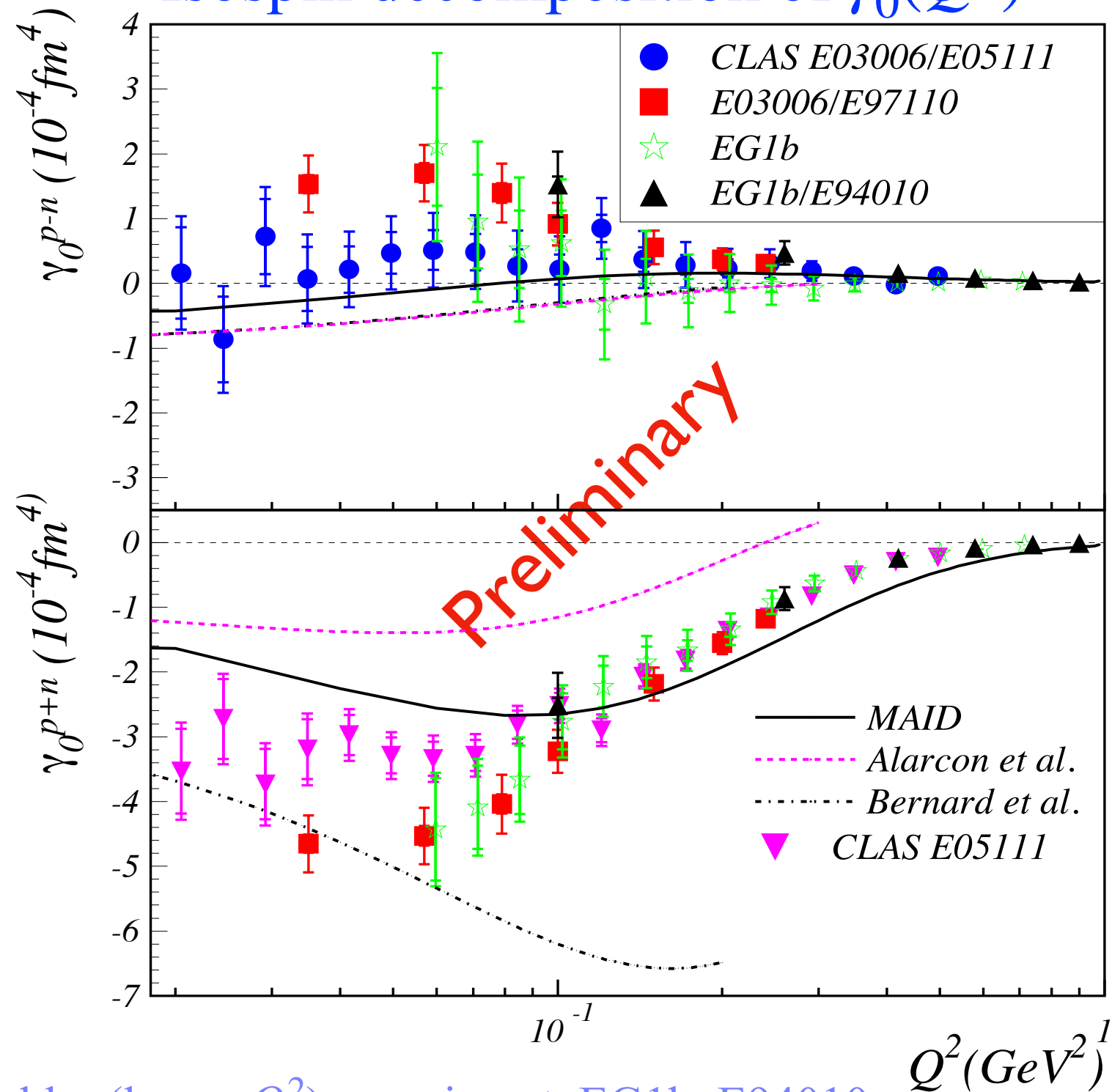
Some tension between EG4 and E97-110  
(we will discuss it when showing  $\gamma_0^{p\pm n}$  isospin separation)

# Isospin decomposition of $\gamma_0(Q^2)$



- Agreement with older (larger  $Q^2$ ) experiment, EG1b, E94010.
- Tension between EG4 (p from H and D, n from D) and EG4/E97110 (p from H and n from  $^3\text{He}$ ).
- $\chi\text{EFT}$  result of Alarcón et al disagrees with data.
- Bernard et al.  $\chi\text{EFT}$  calculation agrees for  $\gamma_0^{p+n}$  and for  $\gamma_0^{p-n}$  for lowest  $Q^2$  points.
- Both new and old data (from 5 different experiments) indicate that  $\gamma_0^{p-n}$  is positive.

# Isospin decomposition of $\gamma_0(Q^2)$



- Agreement with older (larger  $Q^2$ ) experiment, EG1b, E94010.
- Tension between EG4 (p from H and D, n from D) and EG4/E97110 (p from H and n from <sup>3</sup>He).

Tension may come from adding systematic uncertainties from E03006, E05111 or E97110 quadratically. If we combine linearly the total systematic uncertainties of each experiments, there is not tension.

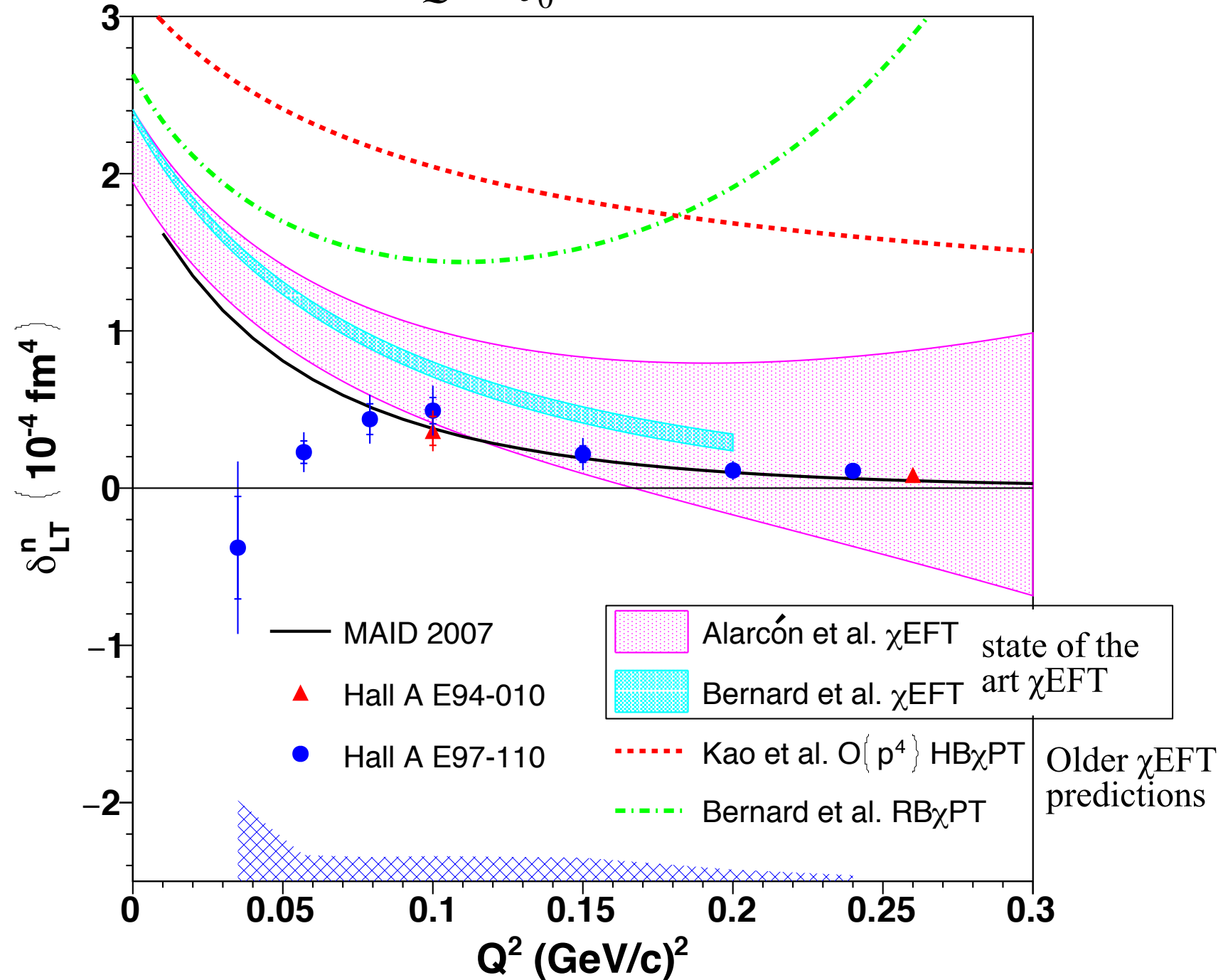
- Both new and old data (from 5 different experiments) indicate that  $\gamma_0^{p-n}$  is positive.



# Higher moments: LT spin polarizability $\delta_{LT}$ from E97-110

E97-110 neutron,  $\delta_{LT}^n$

$$\delta_{LT}(Q^2) = \frac{16\alpha M^2}{Q^6} \int_0^{1^-} x^2 [g_1 + g_2] dx$$



- Good agreement with older data at larger  $Q^2$  and with  $\chi$ EFT & MAID there.
- Disagreement at lower  $Q^2$ , although first moment  $\int_0^{1^-} x^2 [g_1 + g_2] dx$  satisfies Schwinger SR.
- “ $\delta_{LT}^n(Q^2)$  puzzle” still remains.



# Testing $\chi$ EFT

| Ref. | $\Gamma_1^p$ | $\Gamma_1^n$ | $\Gamma_1^{p-n}$ | $\Gamma_1^{p+n}$ | $\gamma_0^p$ | $\gamma_0^n$ | $\gamma_0^{p-n}$ | $\gamma_0^{p+n}$ | $\delta_{LT}^p$ | $\delta_{LT}^n$ |
|------|--------------|--------------|------------------|------------------|--------------|--------------|------------------|------------------|-----------------|-----------------|
|      |              |              | 😊                |                  | 😊            | 😊            | 😊😊               | 😊                | 😊😊              | 😊😊              |

More robust measurements (no significant missing low-x contribution).

Nucleon resonance  $\Delta_{1232}$  contribution suppressed (More robust  $\chi$ EFT calculations)

# Testing $\chi$ EFT

State of  $\chi$ EFT affairs before E97-110/EG4 run:

A:  $\sim$ agree

X:  $\sim$ disagree

- : No prediction available

| Ref.         | $\Gamma_1^p$ | $\Gamma_1^n$ | $\Gamma_1^{p-n}$ | $\Gamma_1^{p+n}$ | $\gamma_0^p$ | $\gamma_0^n$ | $\gamma_0^{p-n}$ | $\gamma_0^{p+n}$ | $\delta_{LT}^p$ | $\delta_{LT}^n$ |
|--------------|--------------|--------------|------------------|------------------|--------------|--------------|------------------|------------------|-----------------|-----------------|
| Ji 1999      | X            | X            | A                | X                | -            | -            | -                | -                | -               | -               |
| Bernard 2002 | X            | X            | A                | X                | X            | A            | X                | X                |                 | X               |
| Kao 2002     | -            | -            | -                | -                | X            | X            | X                | X                |                 | X               |

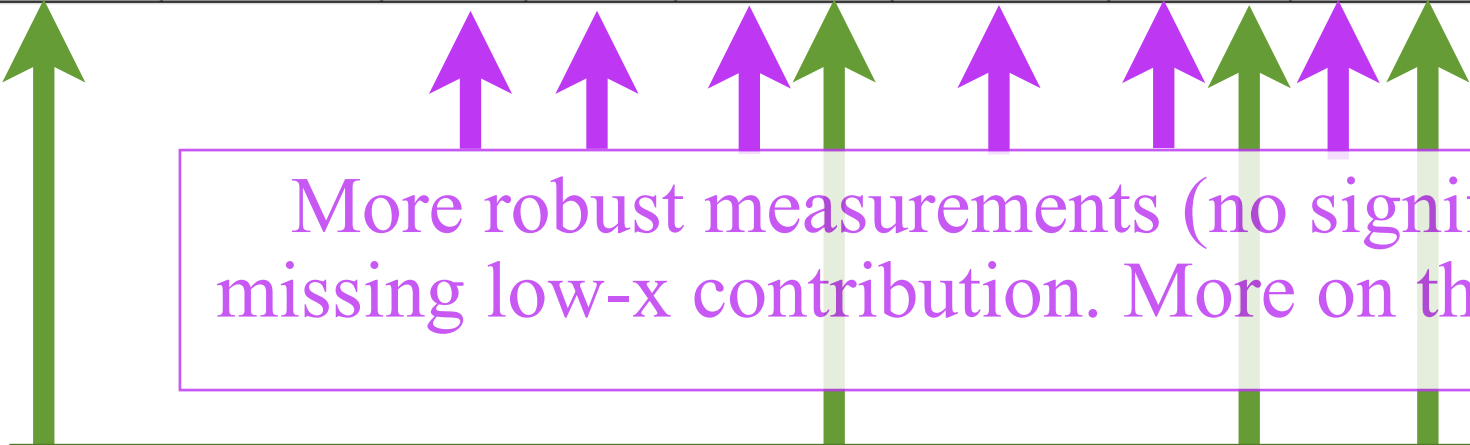
A: agree over range  $0 < Q^2 \lesssim 0.1 \text{ GeV}^2$       Testing  $\chi\text{EFT}$

X: disagree over range  $0 < Q^2 \lesssim 0.1 \text{ GeV}^2$

- : No prediction available

\*: Preliminary data

| Ref.         | $\Gamma_1^p$ | $\Gamma_1^n$ | $\Gamma_1^{p-n}$ | $\Gamma_1^{p+n}$ | $\gamma_0^p$ | $\gamma_0^n$ | $\gamma_0^{p-n}$ | $\gamma_0^{p+n}$ | $\delta_{LT}^p$ | $\delta_{LT}^n$ | state of the<br>art $\chi\text{EFT}$ |
|--------------|--------------|--------------|------------------|------------------|--------------|--------------|------------------|------------------|-----------------|-----------------|--------------------------------------|
| Ji 1999      | X            | X            | A                | X                | -            | -            | -                | -                | -               | -               |                                      |
| Bernard 2002 | X            | X            | A                | X                | X            | A            | X                | X                |                 | X               |                                      |
| Kao 2002     | -            | -            | -                | -                | X            | X            | X                | X                |                 | X               |                                      |
| Bernard 2012 | X            | X            | $\sim A$         | X                | X            | A            | X*               | X*               | X               | X               |                                      |
| Alarcón 2020 | A            | A            | $\sim A$         | A                | $\sim A$     | X            | X*               | X*               | A               | X               |                                      |



More robust measurements (no significant missing low-x contribution. More on this later)

Nucleon resonance  $\Delta_{1232}$  contribution suppressed  
(More robust  $\chi\text{EFT}$  calculations)

A: agree over range  $0 < Q^2 \lesssim 0.1$

X: disagree over range  $0 < Q^2 \lesssim 0.1$

- : No prediction available

\*: Preliminary data

# Testing $\chi$ EFT

| Ref.         | $\Gamma_1^p$ | $\Gamma_1^n$ | $\Gamma_1^{p-n}$ | $\Gamma_1^{p+n}$ | $\gamma_0^p$ | $\gamma_0^n$ | $\gamma_0^{p-n}$ | $\gamma_0^{p+n}$ | $\delta_{LT}^p$ | $\delta_{LT}^n$ |
|--------------|--------------|--------------|------------------|------------------|--------------|--------------|------------------|------------------|-----------------|-----------------|
| Ji 1999      | X            | X            | A                | X                | -            | -            | -                | -                | -               | -               |
| Bernard 2002 | X            | X            | A                | X                | X            | A            | X                | X                |                 | X               |
| Kao 2002     | -            | -            | -                | -                | X            | X            | X                | X                |                 | X               |
| Bernard 2012 | X            | X            | $\sim A$         | X                | X            | A            | X*               | X*               | X               | X               |
| Alarcón 2020 | A            | A            | $\sim A$         | A                | $\sim A$     | X            | X*               | X*               | A               | X               |

$\chi$ EFT, although successful in many instances, is challenged by results from dedicated polarized experiments at low  $Q^2$ .

To be sure, low  $Q^2$  sum rule measurements are challenging (low-x extrapolation, high-x contamination). But the experiments were run independently with very different detectors and methods: consistent experiment message.

# Conclusion

E97-110 provides high precision neutron spin structure data at very low  $Q^2$ , in the domain where  $\chi$ EFT is expected to be valid.

General good agreement with other experiments. Some possible tension with EG4 for the low  $Q^2$  points.

Mixed of agreement/disagreement with  $\chi$ EFT, depending on observable,  $Q^2$  range and calculations. “ $\delta_{LT}^n(Q^2)$  puzzle”, remains and  $\gamma_0^{p-n}$  disagree with  $\chi$ EFT expectation.

# Experimental studies of the neutron generalized spin polarizabilities and spin sum rules at low $Q^2$

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Thomas Jefferson National Accelerator Facility

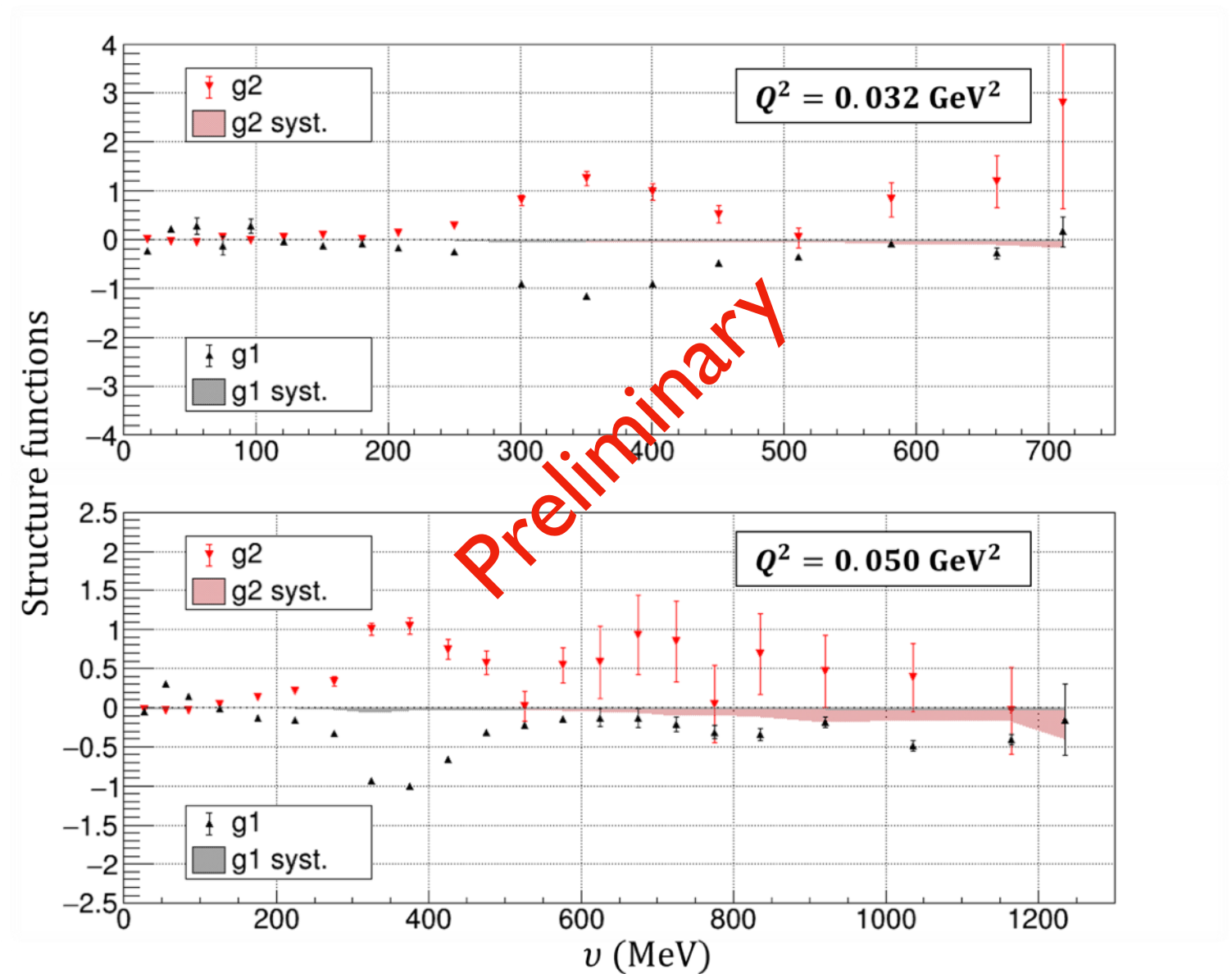
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## $^3\text{He}$ spin sum rules at low $Q^2$

(For C. Peng)

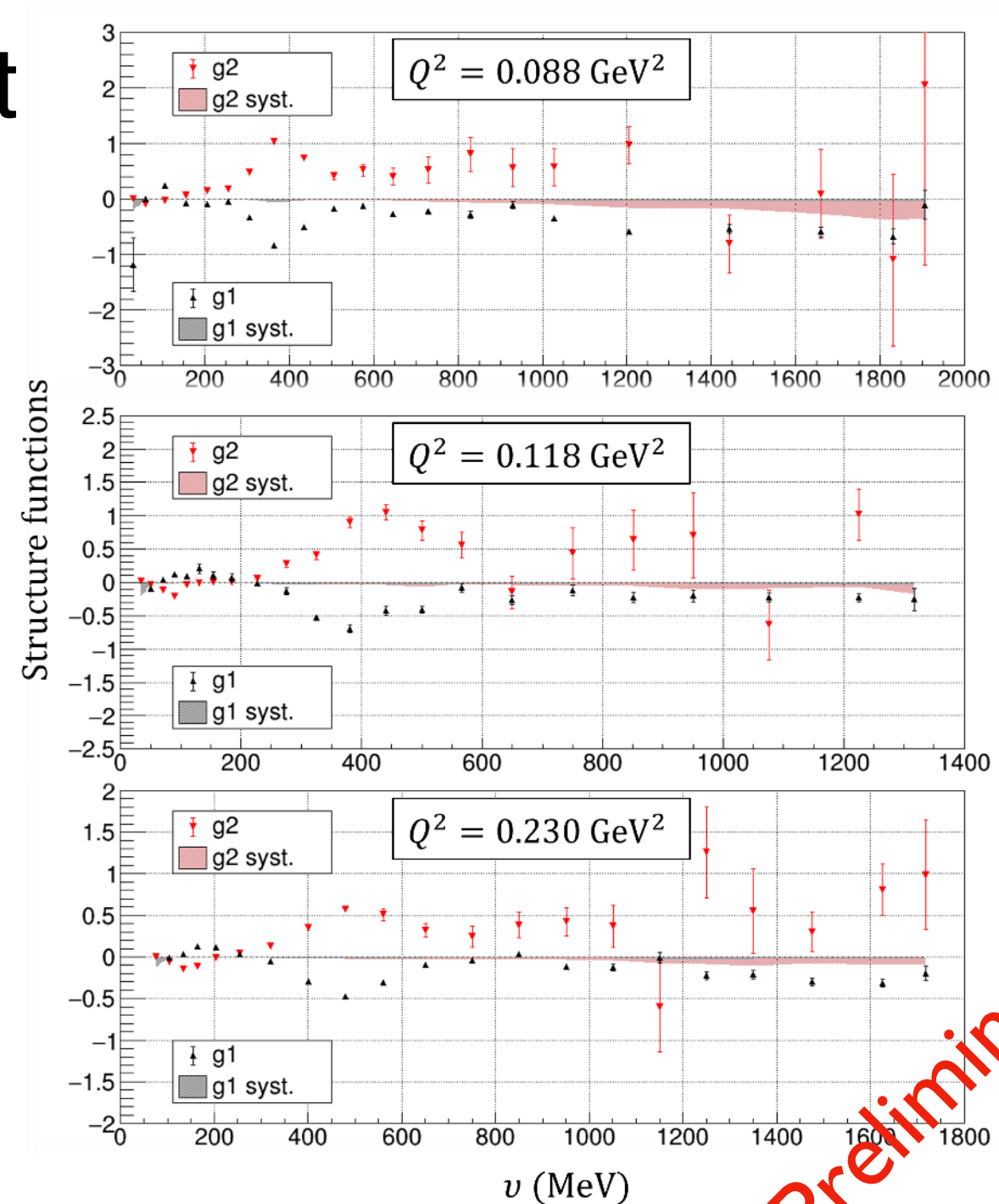
# $^3\text{He}$ Spin-dependent Structure Functions

- Two lowest  $Q^2$  point
  - Interpolated from
    - 1.1 GeV @  $9^\circ$
    - 2.1 GeV @  $6^\circ$
    - 2.8 GeV @  $6^\circ$
- $g_1 + g_2 \approx 0$  for  $\Delta(1232)$



# $^3\text{He}$ Spin-dependent Structure Functions

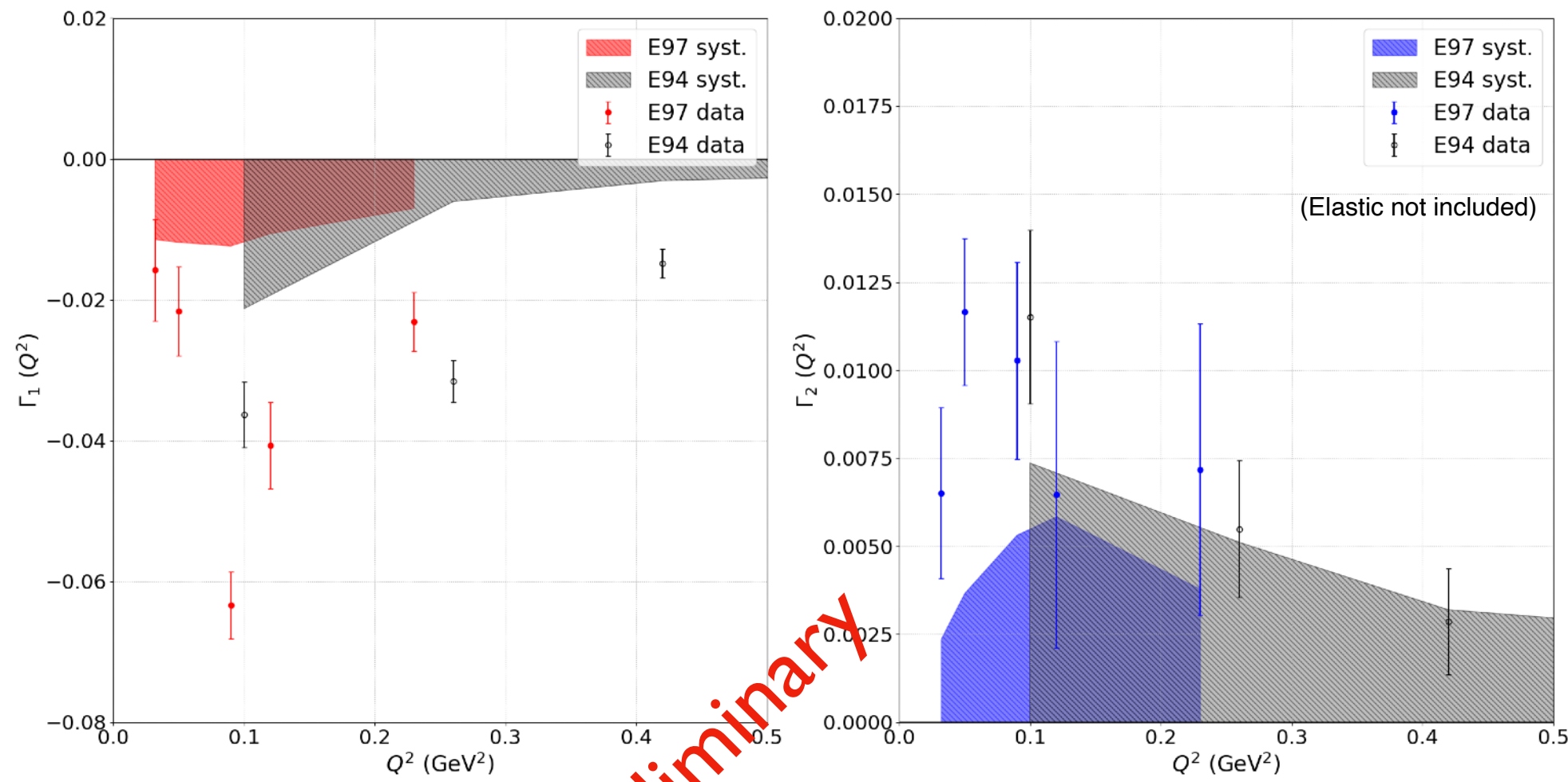
- Three higher  $Q^2$  point
  - Interpolated from
    - 2.8 GeV @  $6^\circ$
    - 2.2 GeV @  $9^\circ$
    - 3.3 GeV @  $9^\circ$
  - Additional constraints from
    - 4.2 GeV @  $6^\circ$
    - 3.8 GeV @  $9^\circ$
    - 4.4 GeV @  $9^\circ$





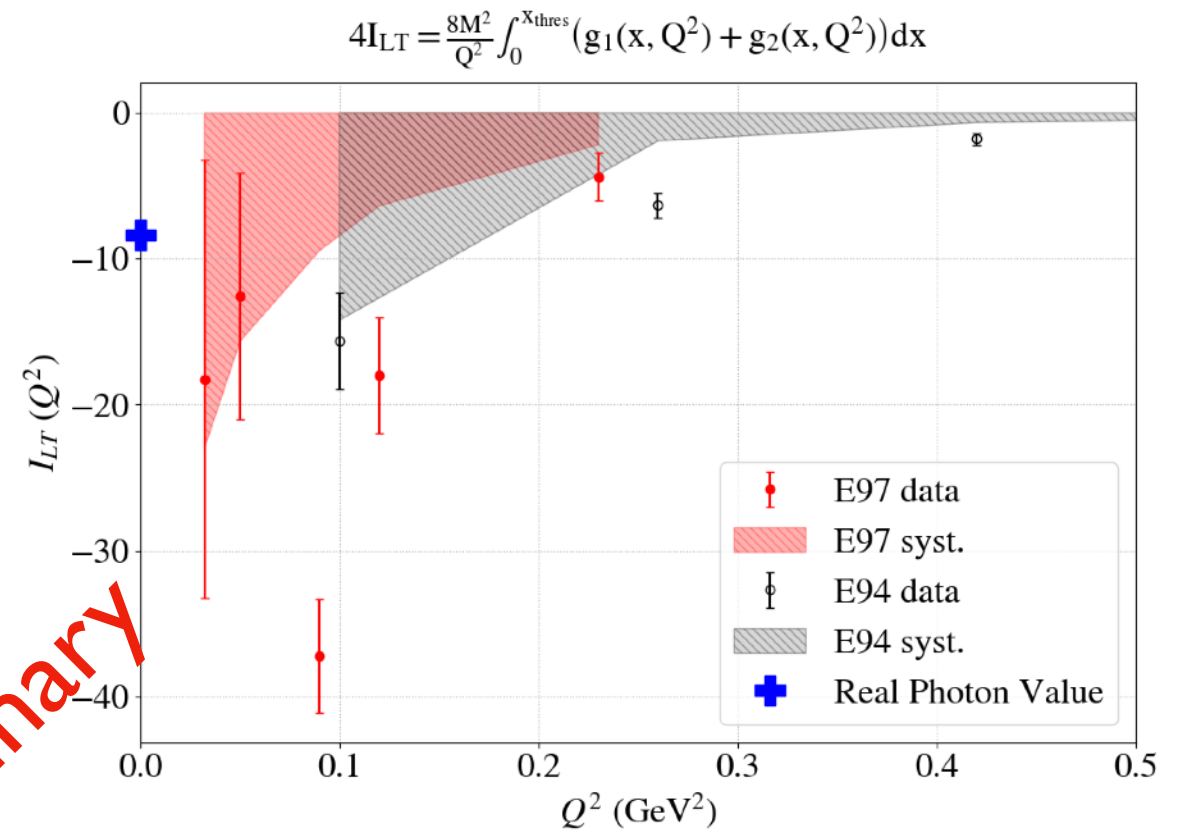
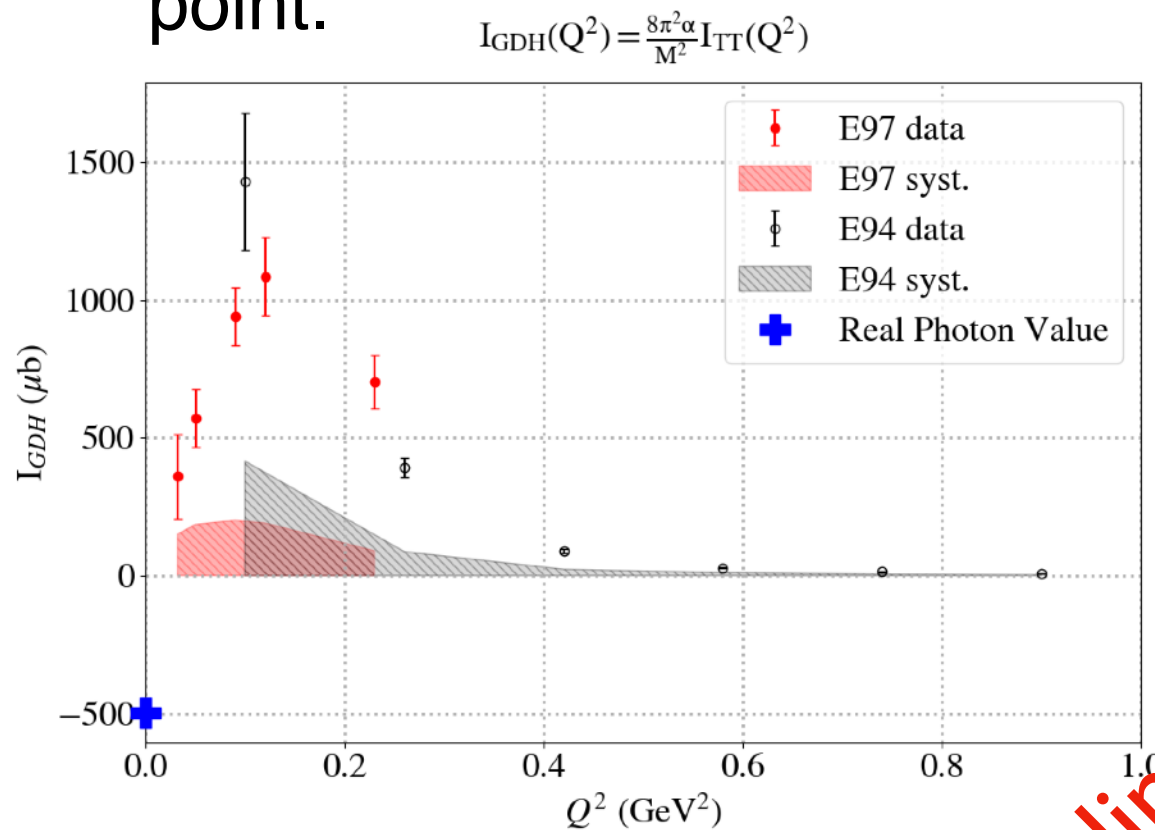
# $^3\text{He}$ First Moments $\Gamma_1, \Gamma_2$

Consistent with E94 results at overlapping  $Q^2$ , a turning point observed at  $< 0.1 \text{ GeV}^2$



# $^3\text{He}$ Results - Sum Rules $I_{TT}, I_{LT}$

A turning point observed at  $< 0.1 \text{ GeV}^2$ , data curve is approaching the real photon point.

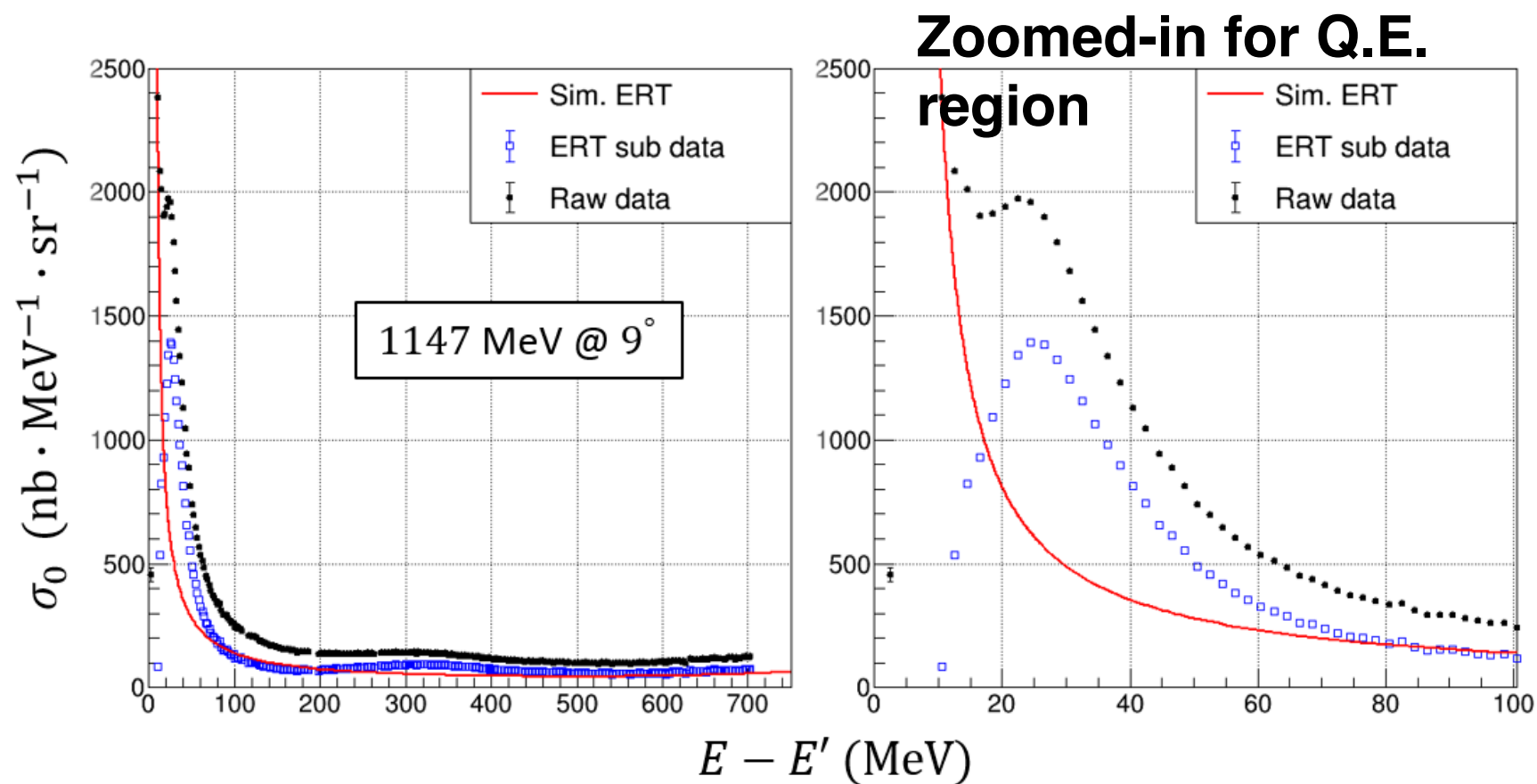


Preliminary

# Backup Slides

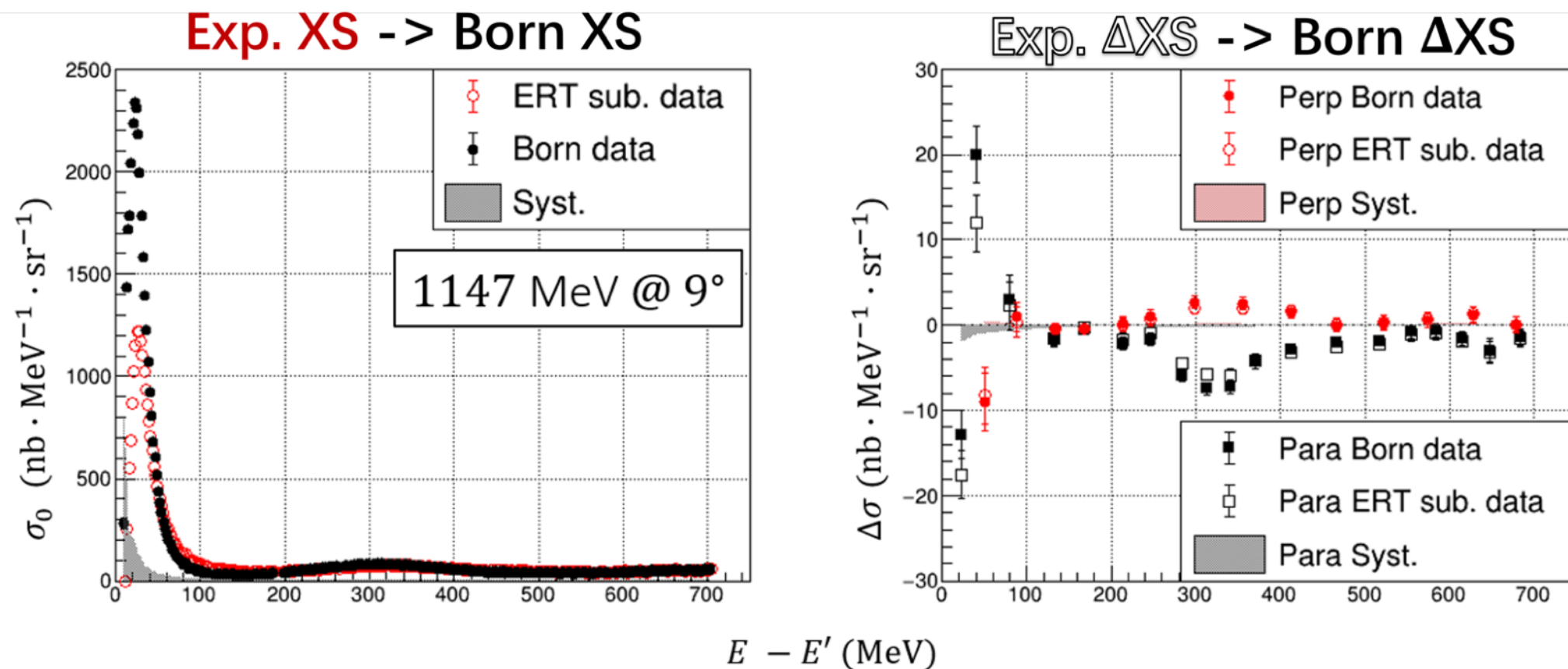
# Radiative Corrections – ERT Subtraction

- Elastic radiative tail subtraction
  - The dominant systematic term at low  $(\nu, Q^2)$



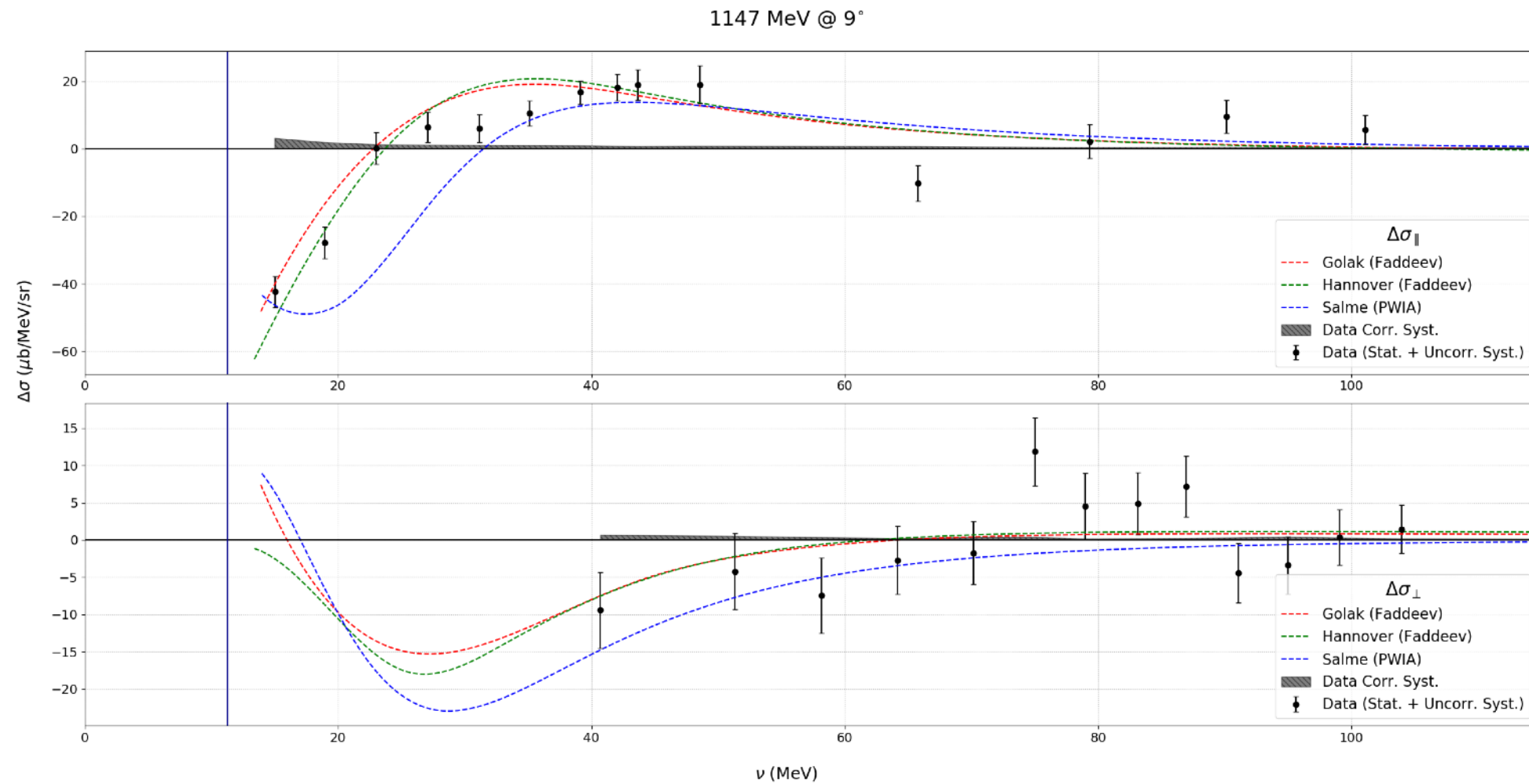
# Radiative Corrections – Inelastic Spectrum

- Radiative correction for inelastic spectrum
  - Iterative process using the experimental data as a pseudo XS model



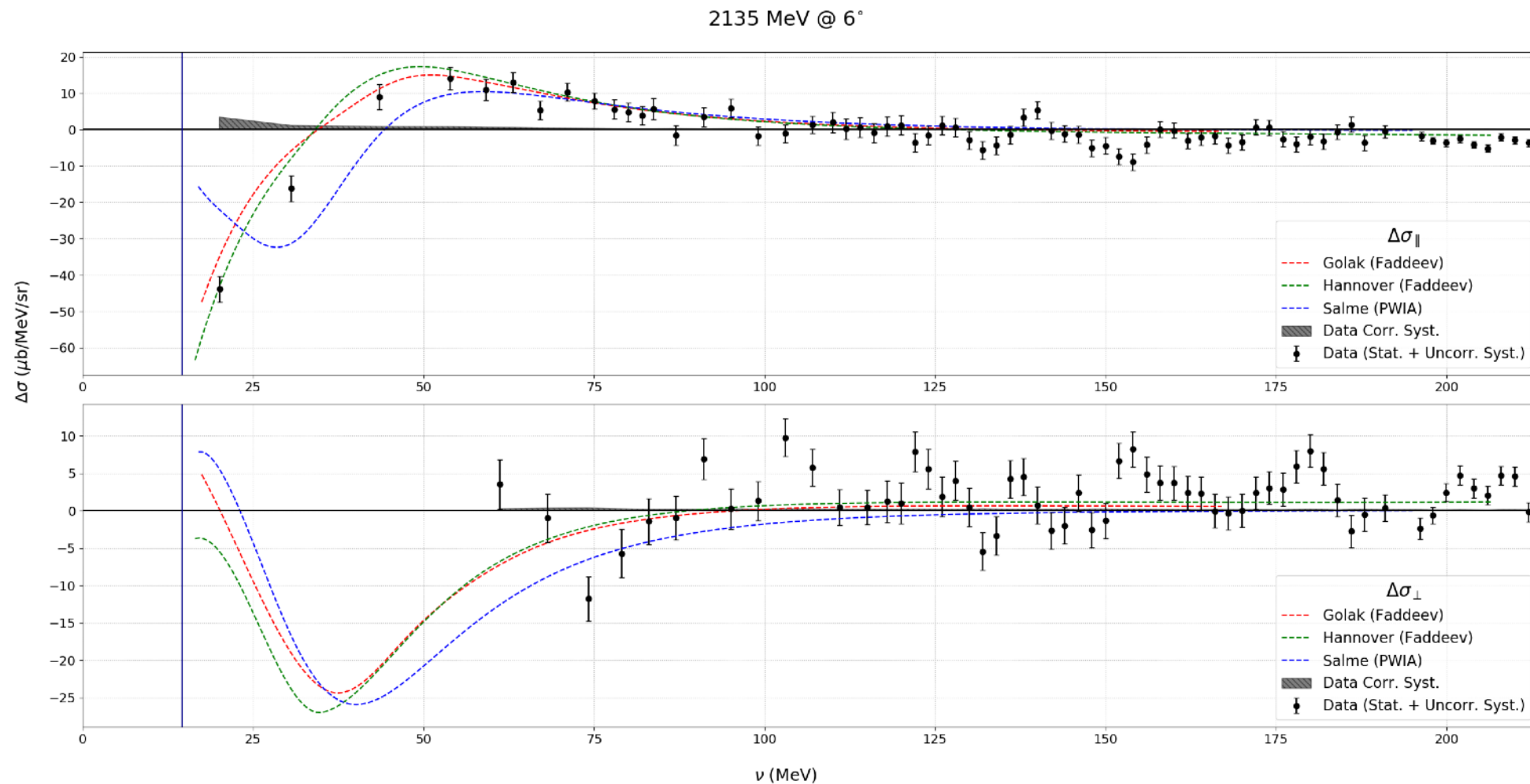
# Faddeev Calculation Comparison

- Good agreement at lowest  $Q^2$



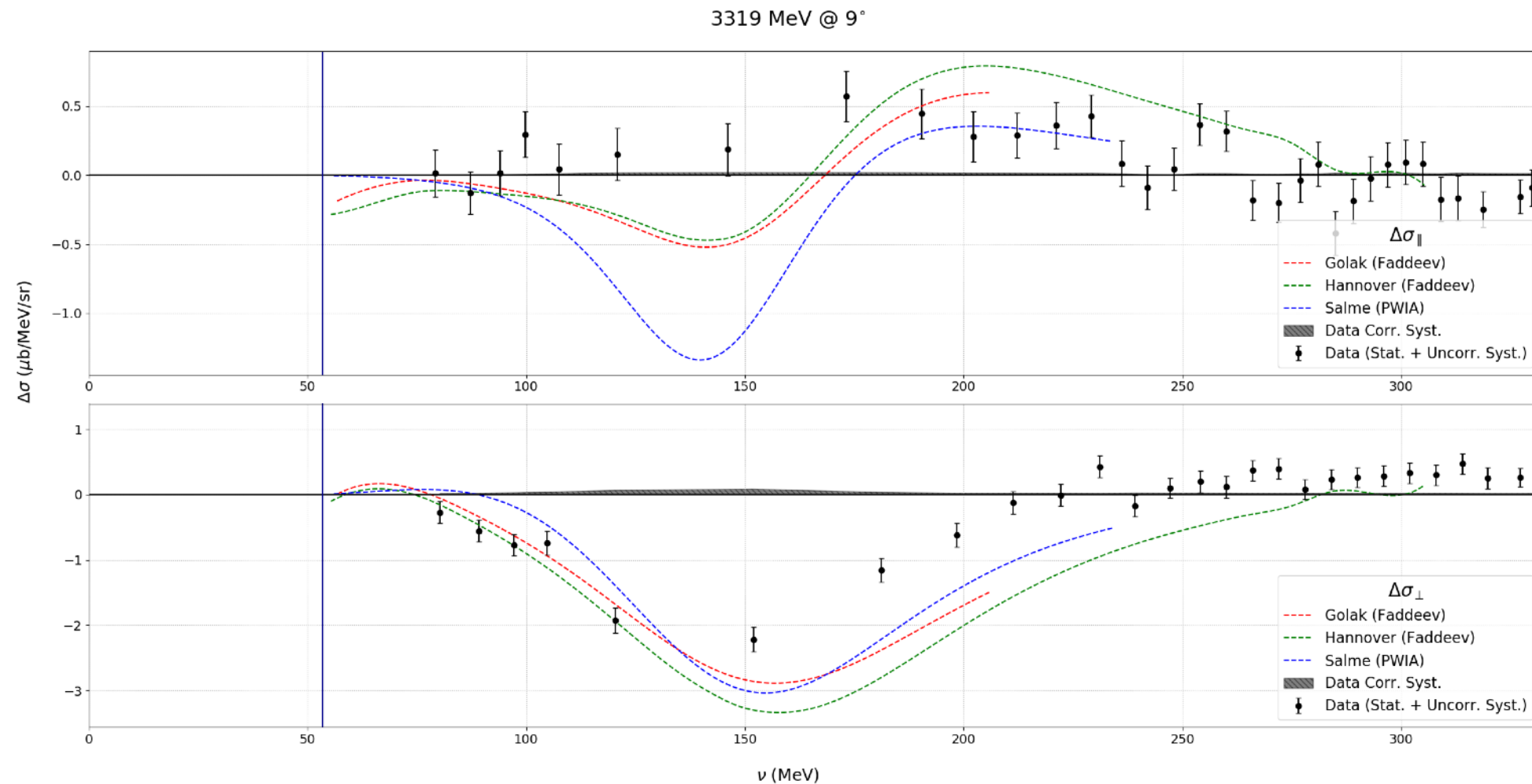
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# Faddeev Calculation Comparison

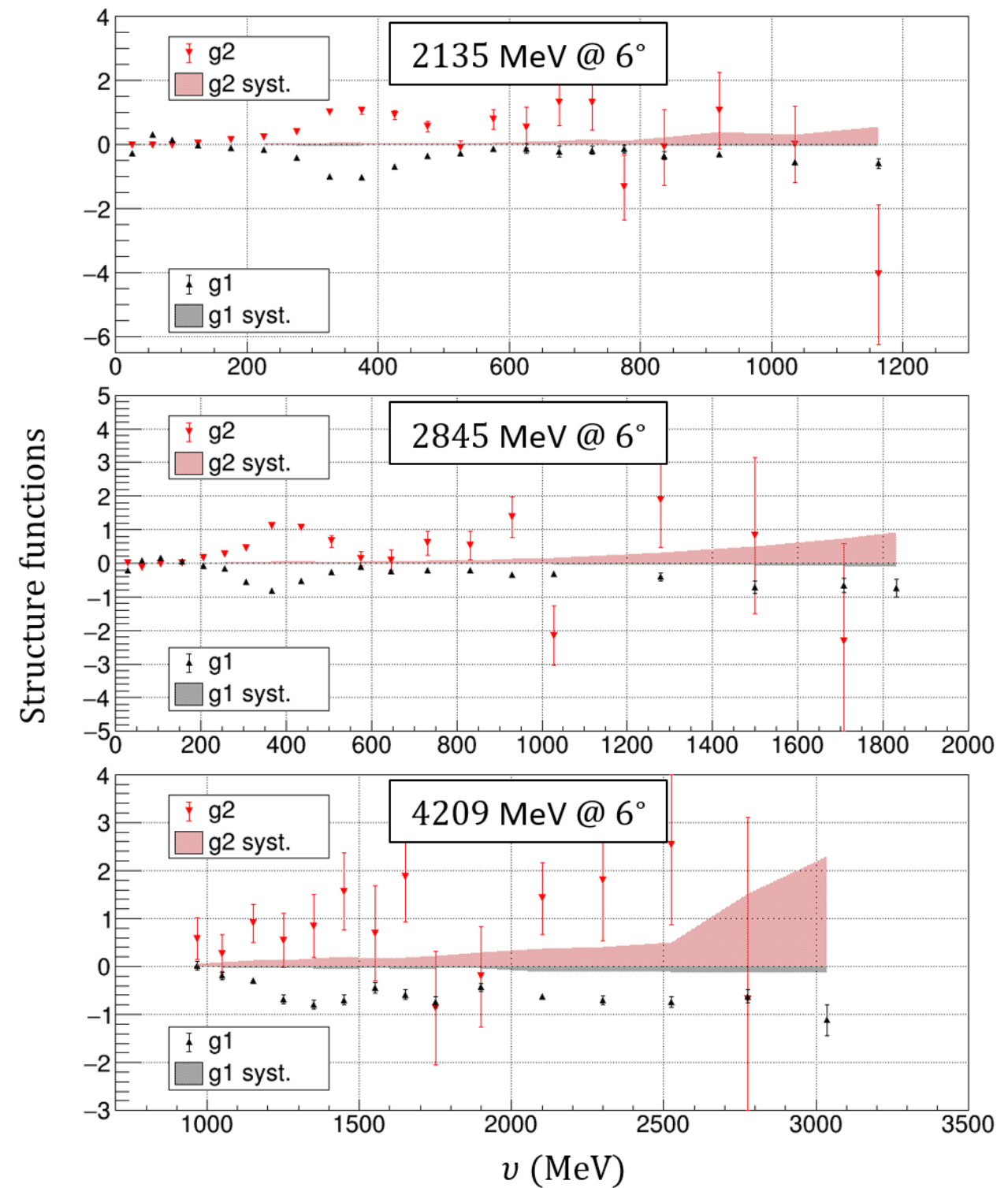
- Both Faddeev and PWIA are not working well at intermediate  $Q^2$



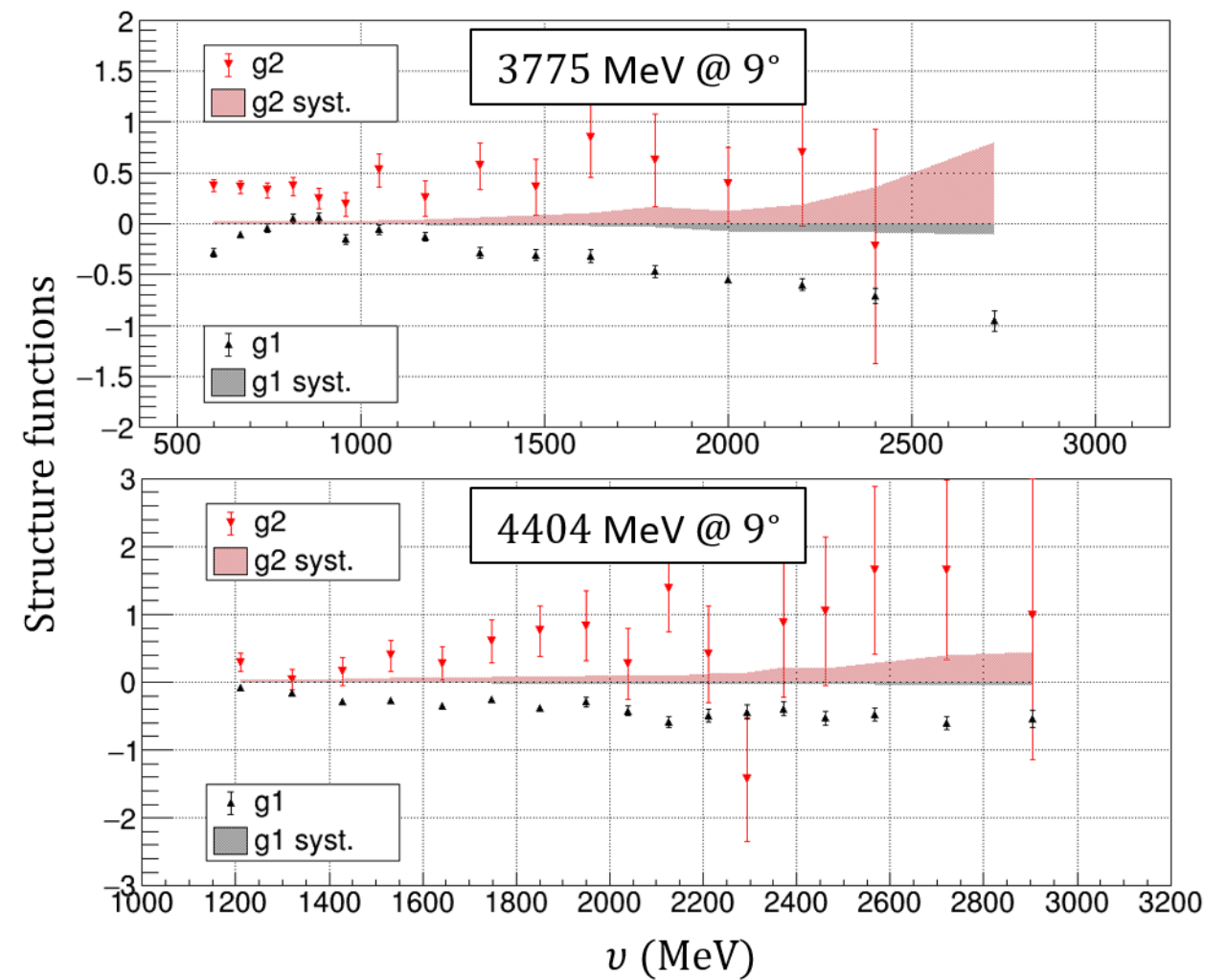
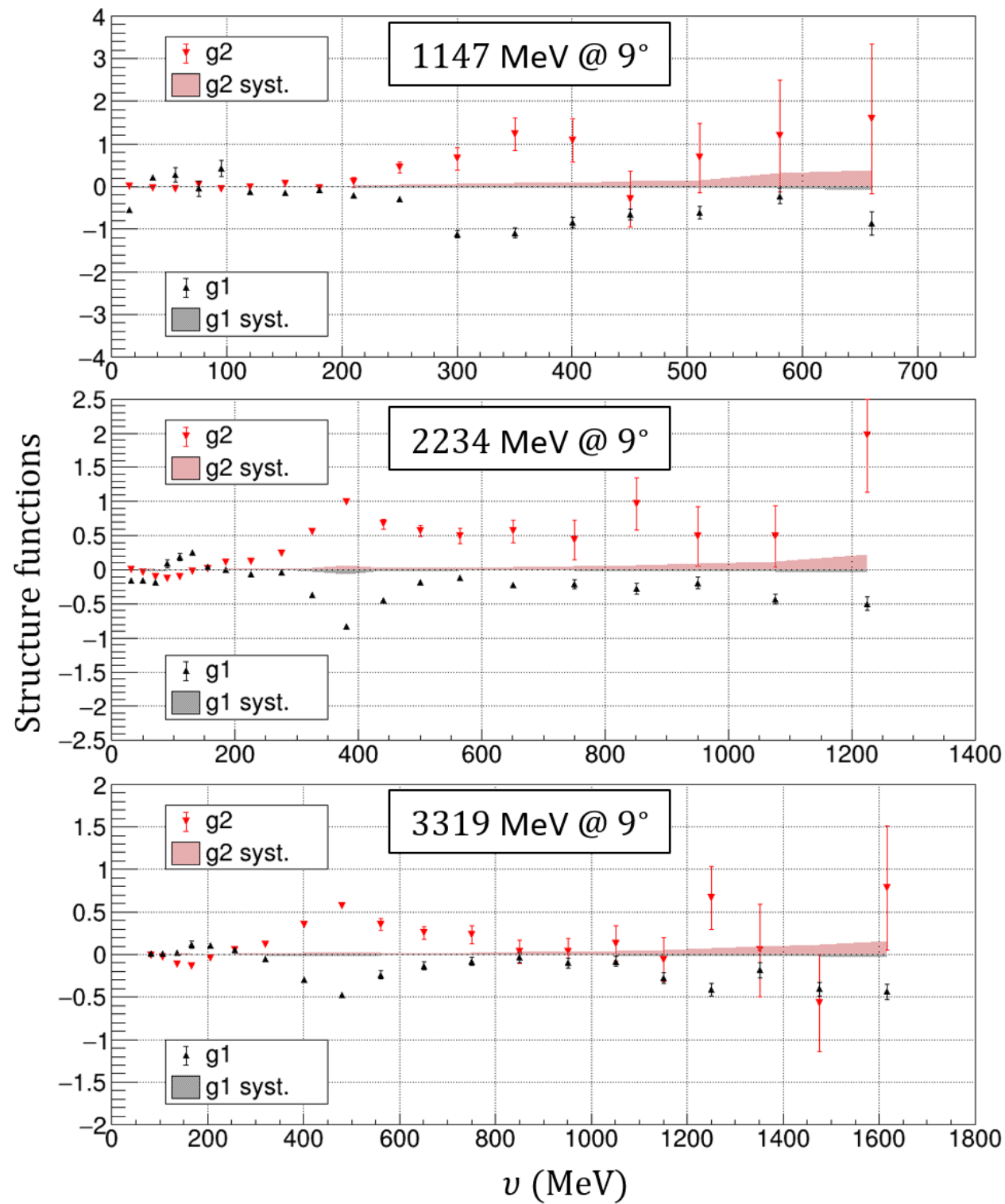


# Spin-dependent Structure Function, **6** degree

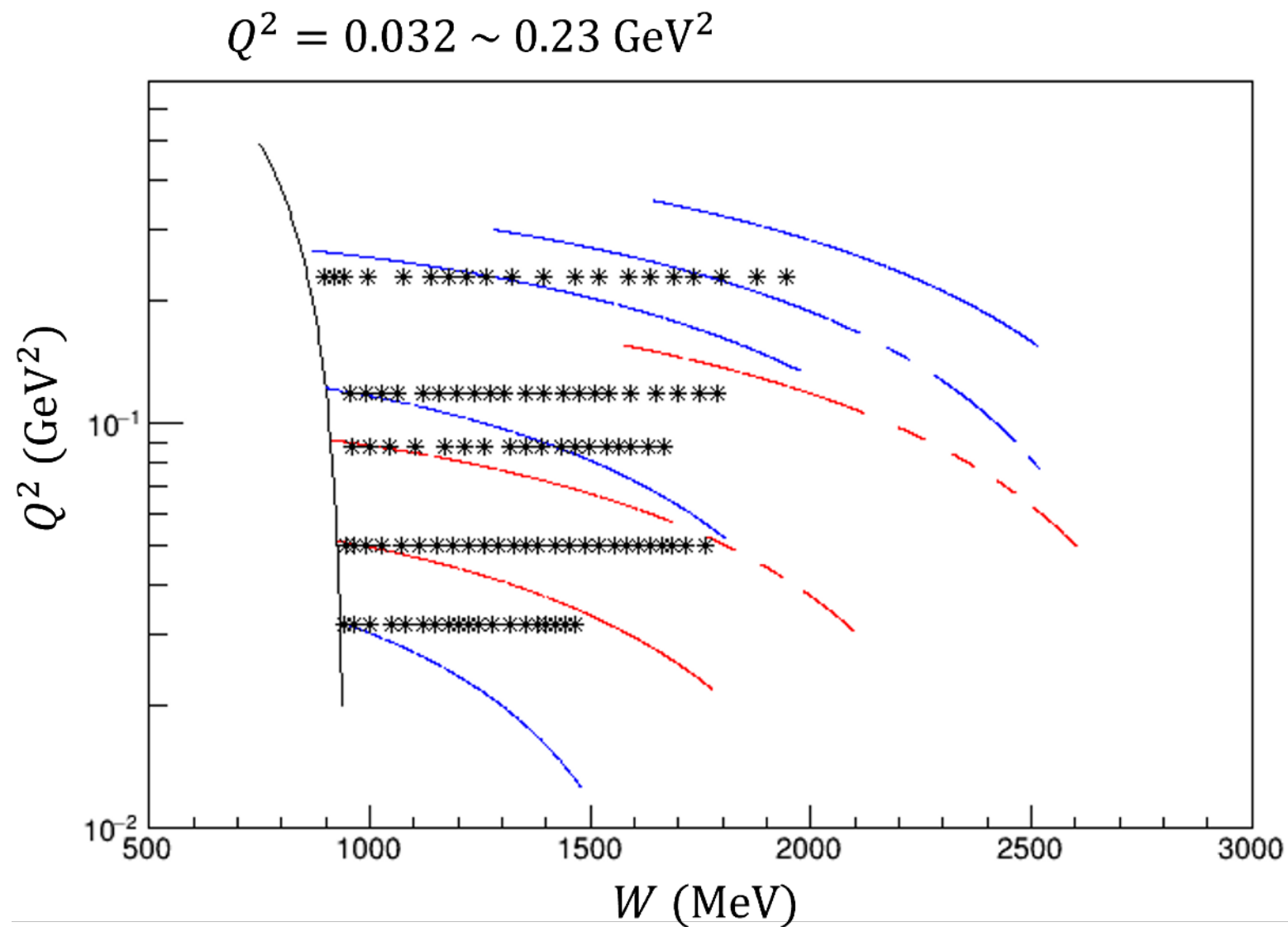
- $g_1 + g_2 \approx 0$  for Delta resonance



# Spin-dependent Structure Function, **9** degree



# Interpolation to Constant $Q^2$ (He3 results)



Back-up slides

# The GDH and Generalized GDH Sum Rules

**Sum rule:** relation between an **integral** of a dynamical quantity (cross section, structure function,...) and a global property of the target (mass, spin,...).

Can be used to:

- Test theory (e.g. QCD) and hypotheses with which they are derived. Ex: GDH, Ellis-Jaffe, Bjorken sum rules.
- Measure the global property (e.g. spin polarizability sum rules)

**GDH sum rule**: derived for real photons ( $Q^2=0$ ):

$$\int_{v_{\text{thr}}}^{\infty} \frac{\sigma_A(v) - \sigma_P(v)}{v} dv = \frac{-4\pi^2 S \alpha \kappa^2}{M^2}$$

QED coupling constant  
 target anomalous magnetic moment  
 target mass  
 target spin  
 photon spin parallel to S  
 photoprod. cross section with photon spin anti-parallel to S

# The GDH and Generalized GDH Sum Rules

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Can be used to:

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- Measure the global property (e.g. spin polarizability sum rules)

**GDH sum rule:** derived for real photons ( $Q^2=0$ ):

$$\int_{\nu_{\text{thr}}}^{\infty} \frac{\sigma_A(\nu) - \sigma_P(\nu)}{\nu} d\nu = \frac{-4\pi^2 S \alpha_K^2}{M^2}$$

QED coupling constant  
 target anomalous magnetic moment  
 target mass  
 target spin  
 photon spin parallel to S  
 photoprod. cross section with photon spin anti-parallel to S

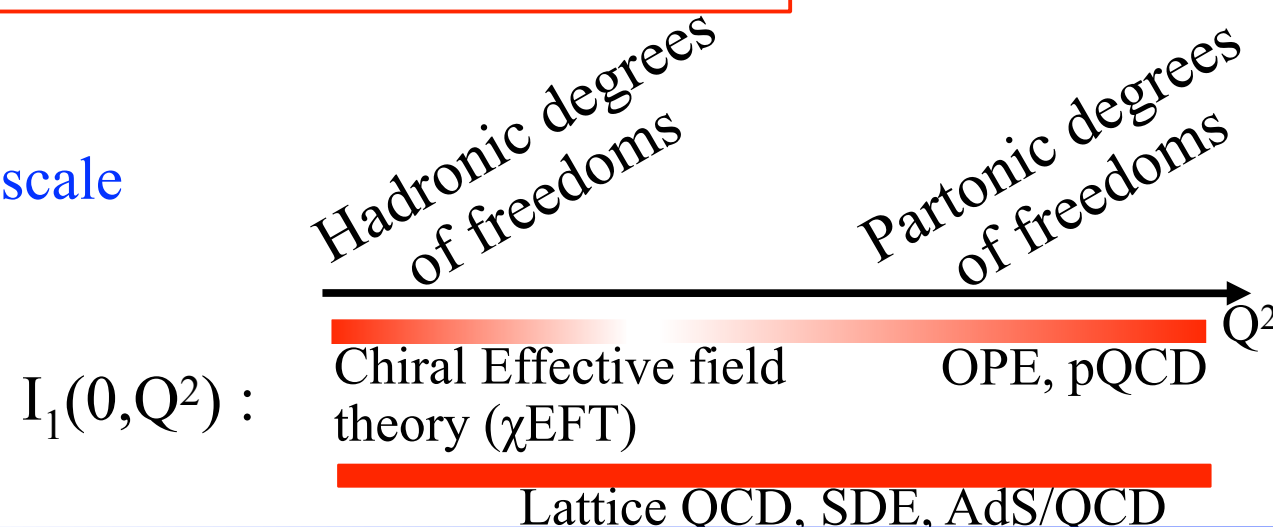
**Generalized GDH sum rule:** valid for any  $Q^2$ . Recover the original GDH sum rule as  $Q^2 \rightarrow 0$

$$\Gamma_1(Q^2) = \int_0^{x_{\text{th}}} g_1(x, Q^2) dx = \frac{Q^2}{2M^2} I_1(0, Q^2)$$

$g_1(\nu, Q^2)$ : first spin structure function (mostly a longit. target pol. observable)

$I_1(\nu, Q^2)$ : first covariant polarized VVCS amplitude

⇒ Study QCD at any scale



# The GDH and Generalized GDH Sum Rules

**Sum rule:** relation between an **integral** of a dynamical quantity (cross section, structure function,...) and a global property of the target (mass, spin,...).

Can be used to:

- Test theory (e.g. QCD) and hypotheses with which they are derived. Ex: GDH, Ellis-Jaffe, Bjorken sum rules.
- Measure the global property (e.g. spin polarizability sum rules)

**GDH sum rule:** derived for real photons ( $Q^2=0$ ):

$$\int_{\nu_{\text{thr}}}^{\infty} \frac{\sigma_A(\nu) - \sigma_P(\nu)}{\nu} d\nu = \frac{-4\pi^2 S \alpha k^2}{M^2}$$

QED coupling constant  
 target anomalous magnetic moment  
 target mass  
 target spin  
 photon spin parallel to S  
 photoprod. cross section with photon spin anti-parallel to S

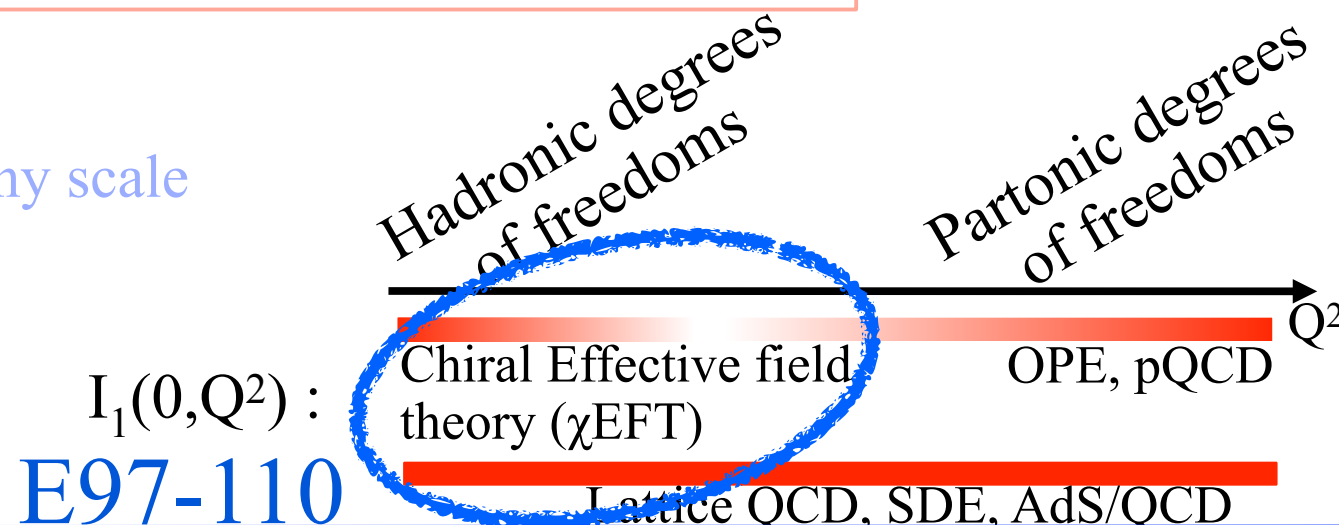
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$\Rightarrow$  Study QCD at any scale





# Spin polarizabilities sum rules

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- Measure the global property (e.g. spin polarizability sum rules)

Spin polarizability sum rules involve higher moments:

**Generalized forward spin polarizability:**

$$\gamma_0 = \frac{4e^2 M^2}{\pi Q^6} \int x^2 \left( g_1 - \frac{4M^2}{Q^2} x^2 g_2 \right) dx$$

$g_2(\nu, Q^2)$ : second spin structure function (mostly a perp. target pol. observable)

**Longitudinal-Transverse polarizability:**

$$\delta_{LT} = \frac{4e^2 M^2}{\pi Q^6} \int x^2 (g_1 + g_2) dx$$



# Spin polarizabilities sum rules

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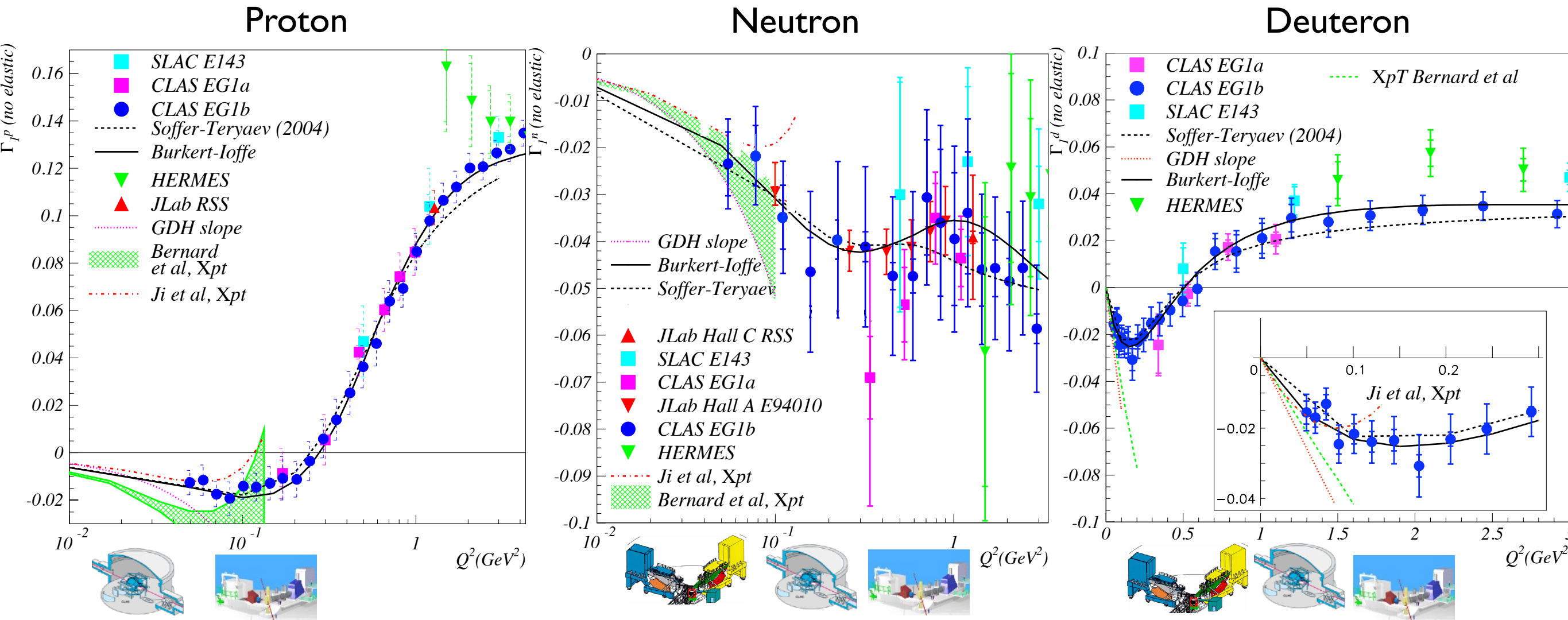
## Longitudinal-Transverse polarizability:

$$\delta_{LT} = \frac{4e^2 M^2}{\pi Q^6} \int x^2 (g_1 + g_2) dx$$

We do not know how to measure directly generalized spin polarizabilities. The spin polarizability sum rules are used to access them.

# Previous JLab data: high to intermediate $Q^2$

$\Gamma_1(Q^2)$  Before EG4 run:



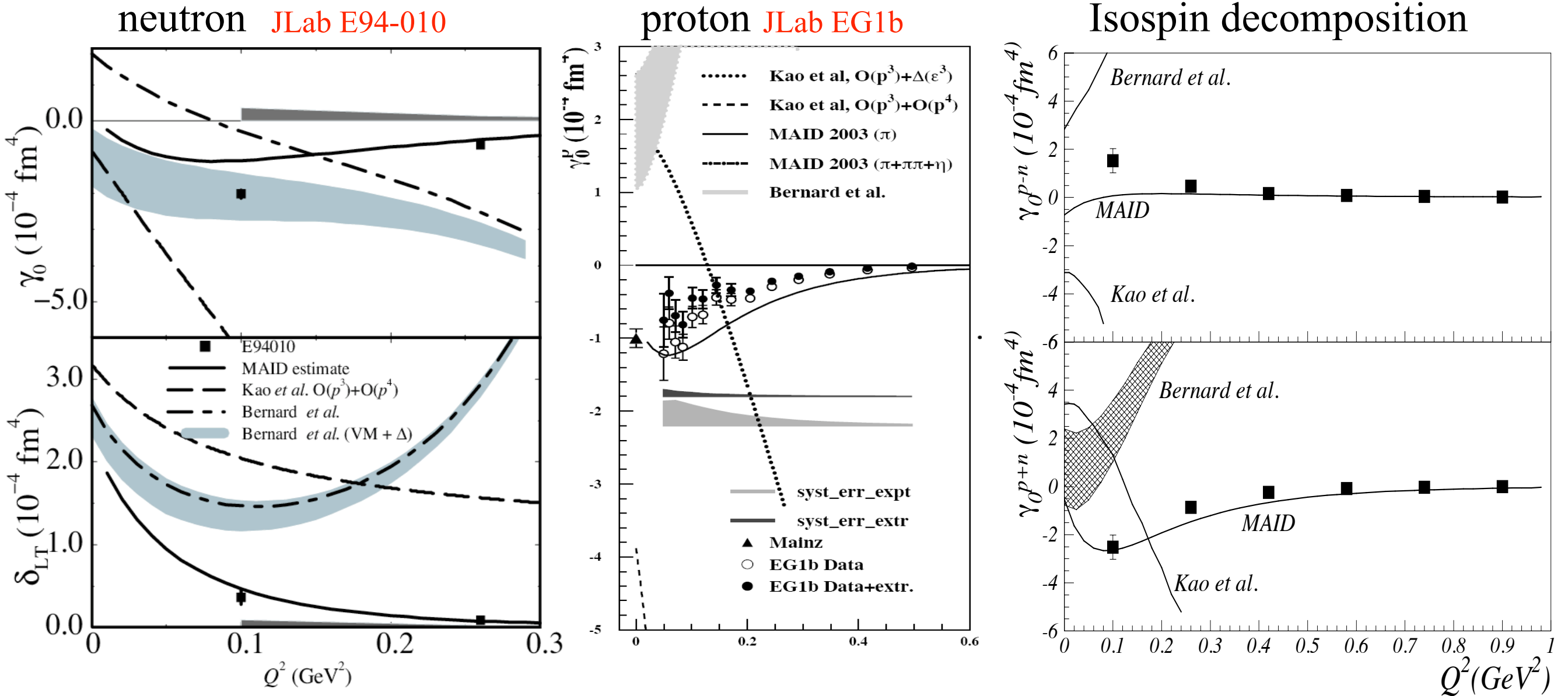
Precise mapping of spin structure function moments in intermediate  $Q^2$  region for p, n and d.

PQCD, models and data agree.

Not so clear for the  $\chi$ EFT predictions available at that time.

# Previous JLab data: high to intermediate $Q^2$

$\gamma_0(Q^2)$  Before EG4 run:



No agreement with the  $\chi$ EFT predictions available at that time.

# Previous data: high to intermediate $Q^2$

State of  $\chi$ EFT affairs before EG4 run:

A: ~agree

X: ~disagree

- : No prediction available

| Ref.         | $\Gamma_1^p$ | $\Gamma_1^n$ | $\Gamma_1^{p-n}$ | $\Gamma_1^{p+n}$ | $\gamma_0^p$ | $\gamma_0^n$ | $\gamma_0^{p-n}$ | $\gamma_0^{p+n}$ | $\delta_{LT}^p$ | $\delta_{LT}^n$ |
|--------------|--------------|--------------|------------------|------------------|--------------|--------------|------------------|------------------|-----------------|-----------------|
| Ji 1999      | X            | X            | A                | X                | -            | -            | -                | -                | -               | -               |
| Bernard 2002 | X            | X            | A                | X                | X            | A            | X                | X                |                 | X               |
| Kao 2002     | -            | -            | -                | -                | X            | X            | X                | X                |                 | X               |

1990s-2000s  $\chi$ EFT predictions in tension with spin observable data more often than not.

# Testing $\chi$ EFT

| Ref. | $\Gamma_1^p$ | $\Gamma_1^n$ | $\Gamma_1^{p-n}$ | $\Gamma_1^{p+n}$ | $\gamma_0^p$ | $\gamma_0^n$ | $\gamma_0^{p-n}$ | $\gamma_0^{p+n}$ | $\delta_{LT}^p$ | $\delta_{LT}^n$ |
|------|--------------|--------------|------------------|------------------|--------------|--------------|------------------|------------------|-----------------|-----------------|
|      |              |              | 😊                |                  | 😊            | 😊            | 😊😊               | 😊                | 😊😊              | 😊😊              |

More robust measurements (no significant missing low-x contribution).

Nucleon resonance  $\Delta_{1232}$  contribution suppressed (More robust  $\chi$ EFT calculations)

# Testing $\chi$ EFT

State of  $\chi$ EFT affairs before EG4 run:

A:  $\sim$ agree

X:  $\sim$ disagree

- : No prediction available

| Ref.         | $\Gamma_1^p$ | $\Gamma_1^n$ | $\Gamma_1^{p-n}$ | $\Gamma_1^{p+n}$ | $\gamma_0^p$ | $\gamma_0^n$ | $\gamma_0^{p-n}$ | $\gamma_0^{p+n}$ | $\delta_{LT}^p$ | $\delta_{LT}^n$ |
|--------------|--------------|--------------|------------------|------------------|--------------|--------------|------------------|------------------|-----------------|-----------------|
| Ji 1999      | X            | X            | A                | X                | -            | -            | -                | -                | -               | -               |
| Bernard 2002 | X            | X            | A                | X                | X            | A            | X                | X                |                 | X               |
| Kao 2002     | -            | -            | -                | -                | X            | X            | X                | X                |                 | X               |

1990s-2000s  $\chi$ EFT predictions in tension with spin observable data more often than not.

The discrepancies for  $\delta_{LT}^n$  was particularly puzzling:

- Expected to be a robust  $\chi$ EFT prediction;
- Expected to be a robust measurement.

$\chi$ EFT calculation problem? Or were the experiments not reaching well enough into the  $\chi$ EFT applicability domain, i.e., reaching low enough  $Q^2$ ?

- 
- **Refined  $\chi$ EFT calculations**, with improved expansion schemes & including the  $\Delta_{1232}$ .
  - **New experimental program** at JLab reaching well into the  $\chi$ EFT applicability domain & with improved precision.