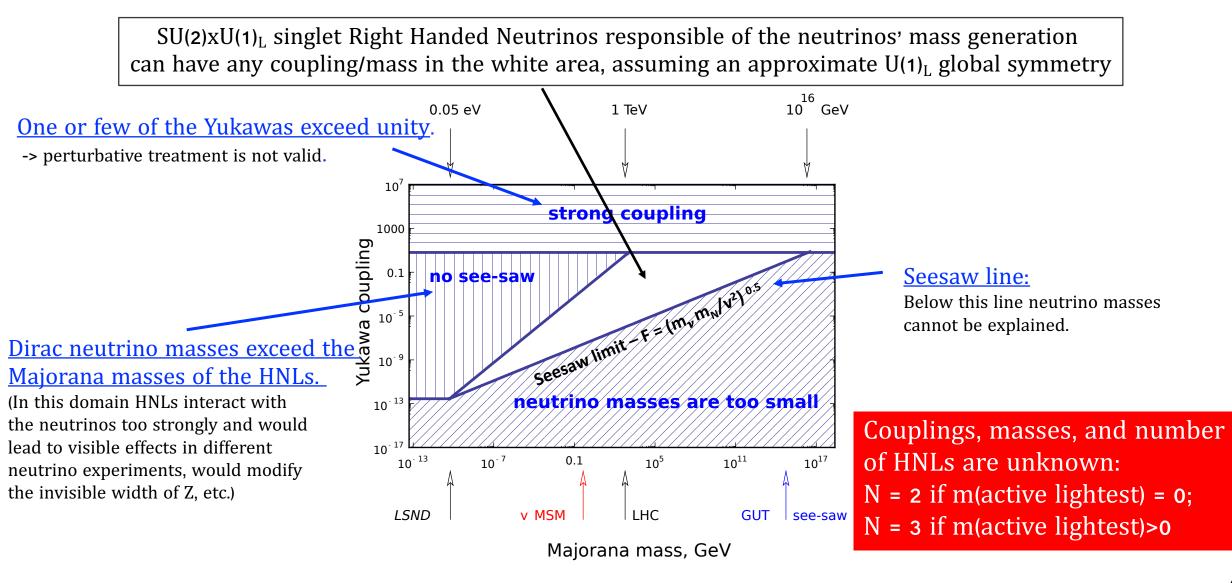
Experimental searches for Heavy Neutral Leptons

Gaia Lanfranchi CERN & INFN

CERN Neutrino Cross-Talk seminar ⁻ 22 November 2019

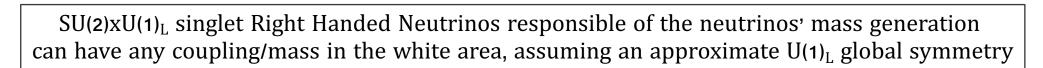
Can HNLs be the origin of the neutrino masses and oscillations?

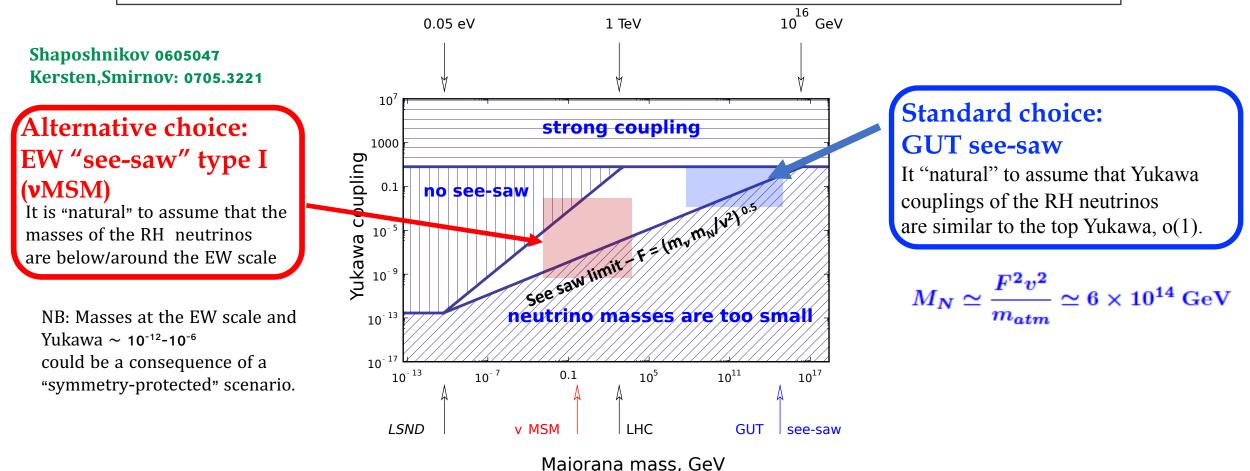




Can HNLs be the origin of the neutrino masses and oscillations?





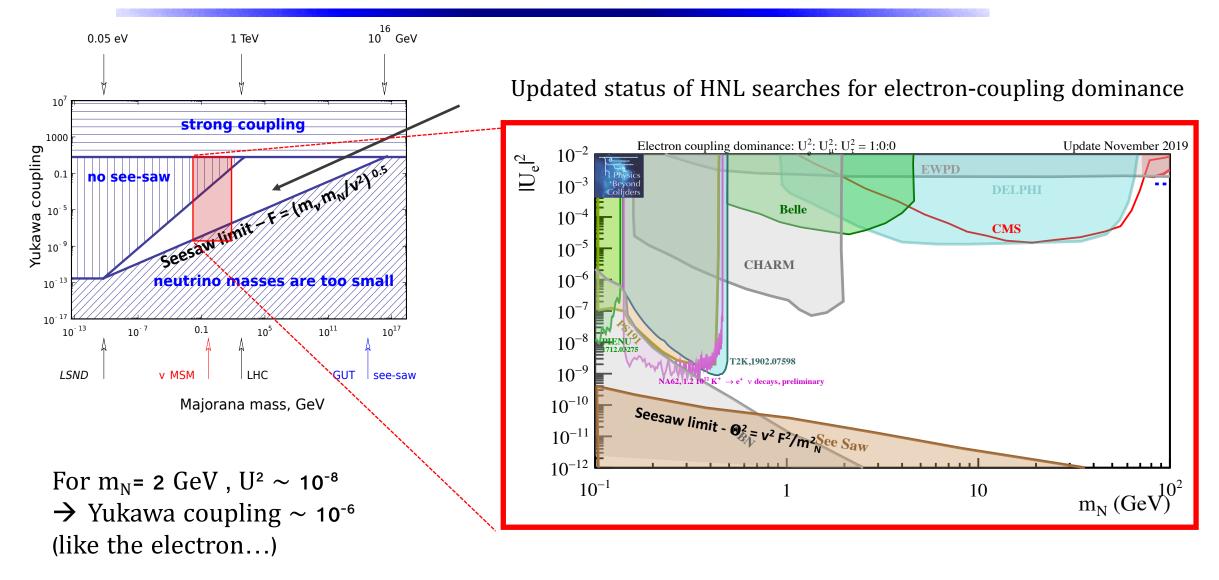


Large spectrum of possible masses. Here we focus on the 0.1-100 GeV range.



HNLs experimental searches: current status





Can we infer any information about HNLs from active neutrinos?





Very little: in fact with 3 HNLs we introduce 18 new parameters that can easily accommodate any PMNS pattern. But, in presence of additional terms, the PMNS matrix could become not unitary:

Leptonic mixing matrix for 3 active neutrinos and 2 RHN in the limit of exact symmetry (3 active neutrinos massless and 2 heavy neutrinos with degenerate mass values)

$$\begin{array}{c} \textbf{PMNS matrix} \\ U = \begin{pmatrix} \mathcal{N}_{e1} & \mathcal{N}_{e2} & \mathcal{N}_{e3} \\ \mathcal{N}_{\mu 1} & \mathcal{N}_{\mu 2} & \mathcal{N}_{\mu 3} \\ \mathcal{N}_{\mu 1} & \mathcal{N}_{\mu 2} & \mathcal{N}_{\mu 3} \\ \mathcal{N}_{\tau 1} & \mathcal{N}_{\tau 2} & \mathcal{N}_{\tau 3} \end{pmatrix} \begin{array}{c} -\frac{\mathrm{i}}{\sqrt{2}}\theta_{e} & \frac{1}{\sqrt{2}}\theta_{e} \\ -\frac{\mathrm{i}}{\sqrt{2}}\theta_{\mu} & \frac{1}{\sqrt{2}}\theta_{\mu} \\ -\frac{\mathrm{i}}{\sqrt{2}}\theta_{\tau} & \frac{1}{\sqrt{2}}\theta_{\tau} \\ 0 & 0 & 0 & \frac{\mathrm{i}}{\sqrt{2}} \\ -\theta_{e}^{*} & -\theta_{\mu}^{*} & -\theta_{\tau}^{*} & \frac{-\mathrm{i}}{\sqrt{2}}(1-\frac{1}{2}\theta^{2}) & \frac{1}{\sqrt{2}}(1-\frac{1}{2}\theta^{2}) \end{pmatrix} \end{array}$$

The leptonic mixing matrix U is unitary up to second order in theta: \rightarrow The PMNS matrix becomes the non-unitary 3x3 submatrix N

θ

See Stefan's talk

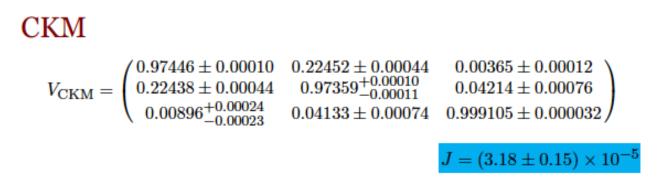
The mixing of the active and sterile neutrinos can be quantified by the mixing angles:

$$_{\alpha} = \frac{y_{\nu_{\alpha}}^{*}}{\sqrt{2}} \frac{v_{\rm EW}}{M} , \qquad |\theta|^{2} := \sum_{\alpha} |\theta_{\alpha}|^{2}$$





Current knowledge of the active neutrino mixing angles is still very poor with respect to e.g. CKM elements:



PMNS

3σ

			NuFIT 3.2 (2018)
$ U _{3\sigma} =$	$(0.799 \rightarrow 0.844)$	$0.516 \rightarrow 0.582$	$0.141 \rightarrow 0.156$
	$0.242 \rightarrow 0.494$	$0.467 \rightarrow 0.678$	$0.639 \rightarrow 0.774$
	$0.284 \rightarrow 0.521$	$0.490 \rightarrow 0.695$	$0.615 \rightarrow 0.754$

$V\simeq 0.033\sin\delta$

Precision might be key not only to to discriminate different models and identify a clear pattern but also to shed light on the possible PMNS non-unitarity effects.





The present status of neutrino oscillation experiments allows to do some quantitative analysis. One can use the statistical information about the light neutrino parameters gathered in various neutrino oscillation experiments to obtain a **probability distribution for the U**²_a/U².

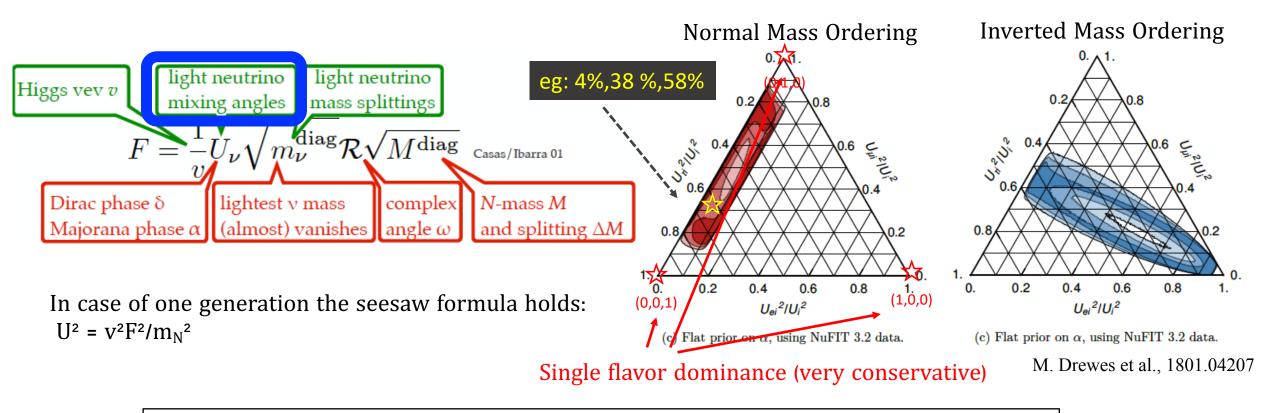
Inverted Mass Ordering Normal Mass Ordering light neutrino light neutrino Higgs vev v mixing angles mass splittings $\mathcal{R}^{\mathrm{diag}}\mathcal{R}\sqrt{M^{\mathrm{diag}}}$ UH121U12 U.S. UH121U12 $U_{\nu} \sqrt{m_{\nu}}$ Casas/Ibarra 01 Dirac phase δ lightest v mass complex N-mass M Majorana phase α (almost) vanishes angle ω and splitting ΔM 0.2 0.6 0.2 0.6 0.8 0.4 0. 0.4 0.8 0. 1. In case of one generation the seesaw formula holds: U_{ei}^2/U_i^2 U_{ei}^2/U_i^2 $U^2 = v^2 F^2 / m_N^2$ (c) Flat prior on α , using NuFIT 3.2 data. (c) Flat prior on α , using NuFIT 3.2 data. M. Drewes et al., 1801.04207

> We cannot know absolute values of couplings to the three active neutrino generations but we can constrain the ratios.

HNL-active neutrino mixing angles and PMNS non unitarity



The present status of neutrino oscillation experiments allows to do some quantitative analysis. One can use the statistical information about the light neutrino parameters gathered in various neutrino oscillation experiments to obtain a **probability distribution for the U²**_a/U².

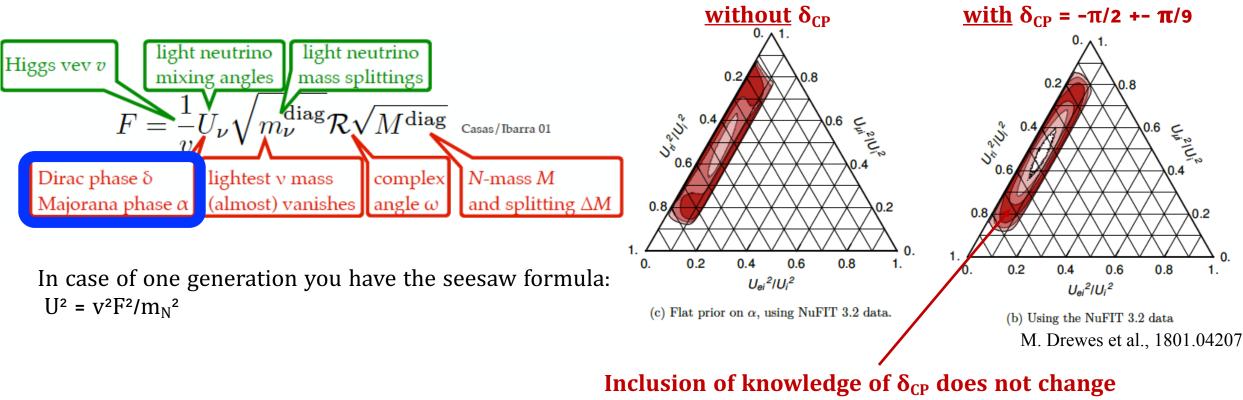


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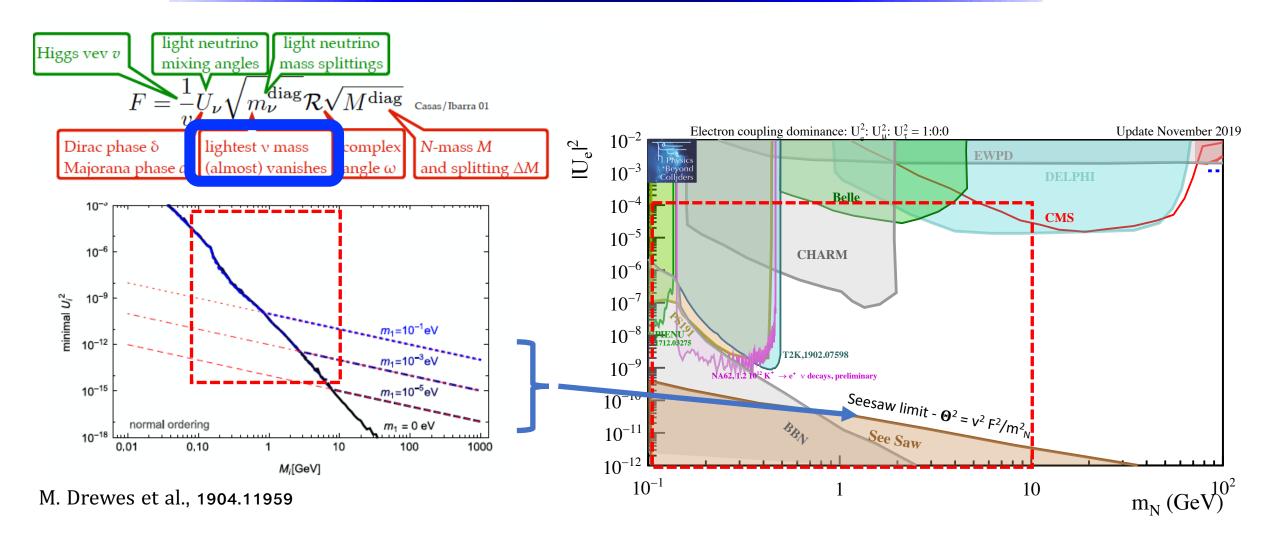


dramatically the situation

N HNLs mixing parameters: lower limit from lightest active neutrino

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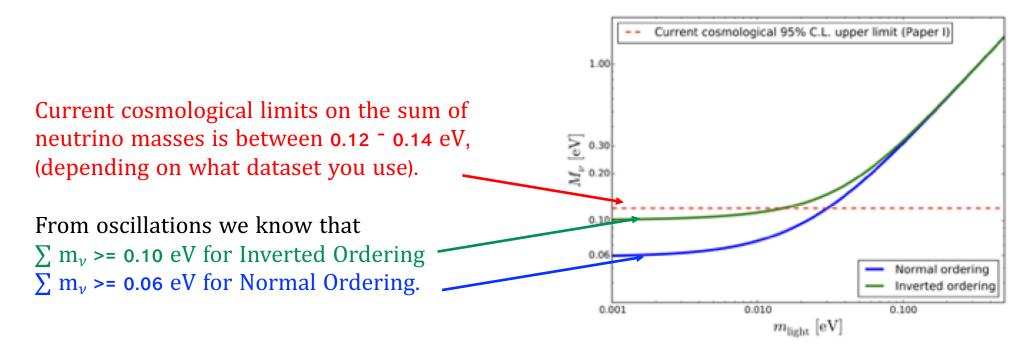




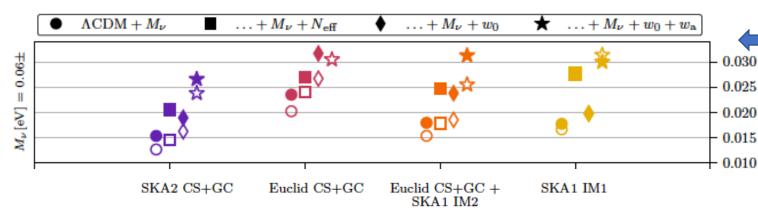
Lower boundary depends on the mass of the lightest active neutrino



Current knowledge on the absolute active neutrino masses



Sprenger et al., **1801.08331**



New data from Euclid and Square Km Array
(SKA) will be able to bring the cosmological
limit down to $\sum m_{\nu} \le 0.06 \pm 0.02 \text{ eV}$
and shed light on the value of the mass
of the lightest neutrino
(and the seesaw limit of HNLs...)10





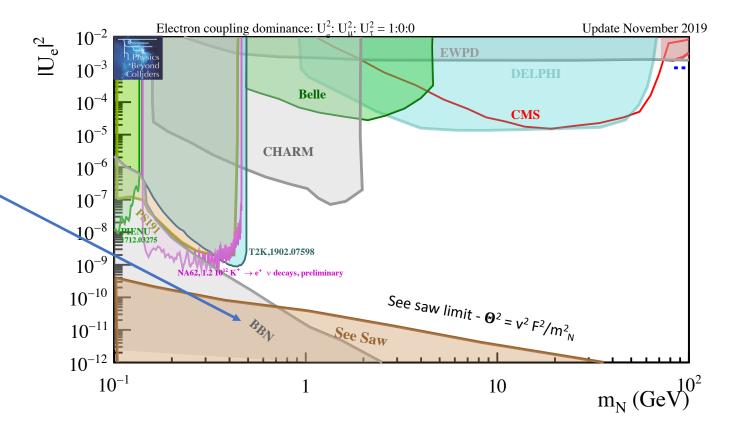


Big Bang Nucleosynthesis (BBN):

to avoid tension with the observed abundance of light elements in the intergalactic medium, HNLs should be enough short-lived that their decays do not disturb the primordial nucleosynthesis and the measured density of light elements (eg. ⁴He).

NB:

any feebly-interacting particle should decay before 0.1 sec (< BBN) or after 300,000 years (eg. Dark Matter) in order to not perturb BBN and CMB expectations - see Hufnagel et al, arXiv:1808.09324.



How can we directly search for HNLs?

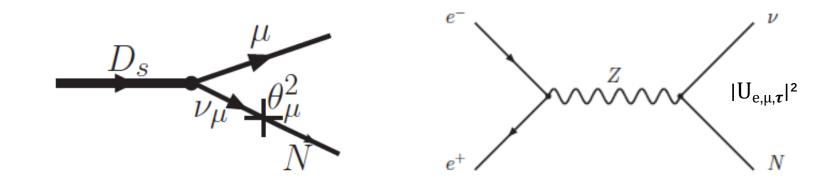




HNL production modes (and corresponding experimental facilities)

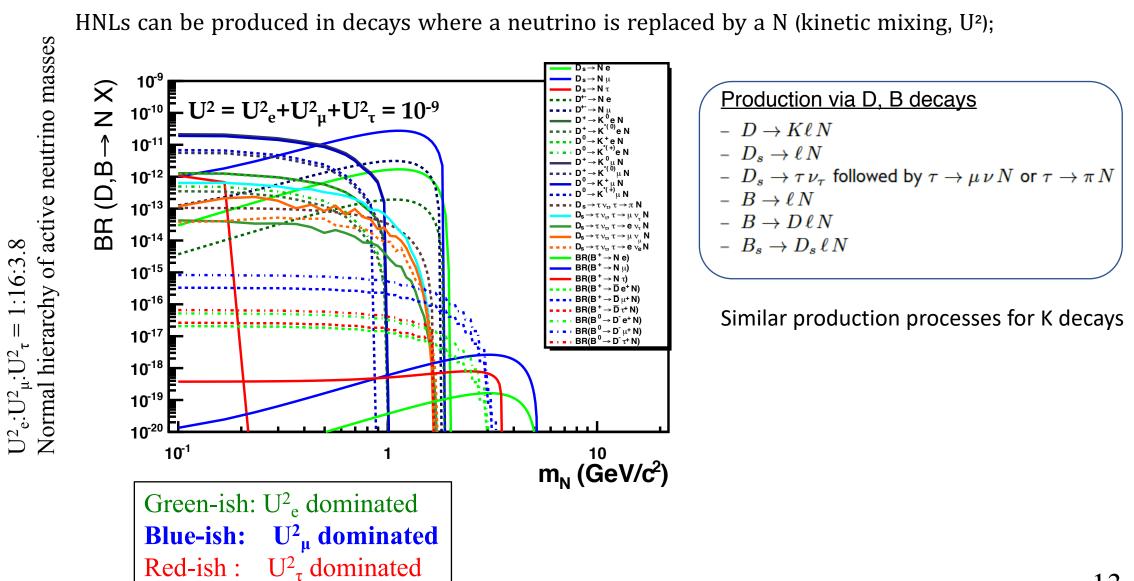
If the HNLs exist, they would be produced in every process containing active neutrinos with a branching fraction proportional to the mixing parameters $|U_{e,\mu,\tau}|^2$.

K decays \rightarrow kaon and neutrino experiments;D,B decays \rightarrow B-factories, LHCb and beam-dumpW decays \rightarrow LHC and future pp, ep collidersZ decay \rightarrow LEP and future e+ e- colliders





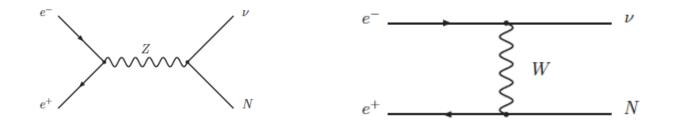




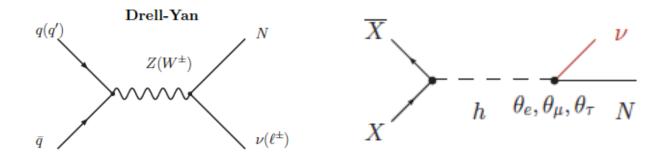




<u>ee colliders</u>: Z in s-channel (dominant at the Z pole), W in t-channel (for higher energies)



<u>pp colliders</u>: Drell-Yan processes (dominant), Higgs boson decays, gauge boson fusion (eg: W γ)



<u>ep colliders</u>: W in t-channel and W γ boson fusion



See Stefan's talk



HNL production modes



✓ Production processes and related experimental facilities:

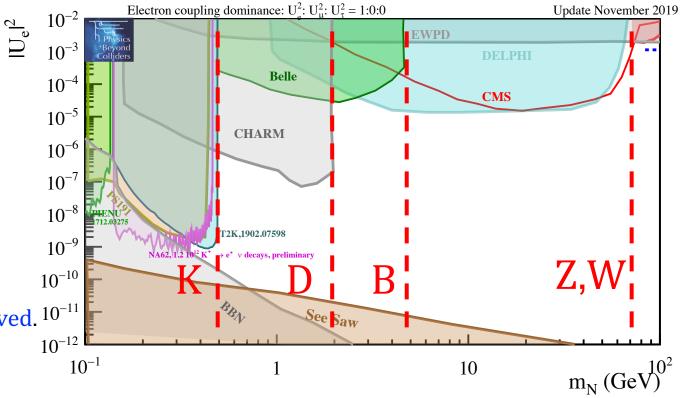
K decays \rightarrow kaon and neutrino experiments;D,B decays \rightarrow B-factories, LHCb and beam-dumpW decays \rightarrow LHC and future pp, ep collidersZ decay \rightarrow LEP and future e+ e- colliders

✓ The decay rate is proportional to the mass to the fifth power:

 $\Gamma_{N \to \mathrm{weak}} \propto \left| U_{\alpha I} \right|^2 \, G_F^2 \, M_I^5$

Hence: the more HLN is massive the more is short-lived. ^{10⁻¹¹}

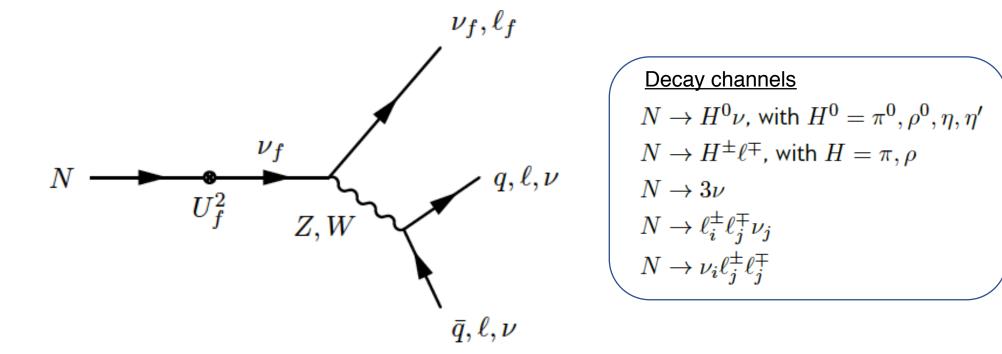
Thresholds set by the production process.







Once produced, they can then decay again to SM particles through mixing (U²) with a SM neutrino. This (now massive) neutrino can decay to a large amount of final states through emission of a Z⁰ or W boson (NC or CC currents):

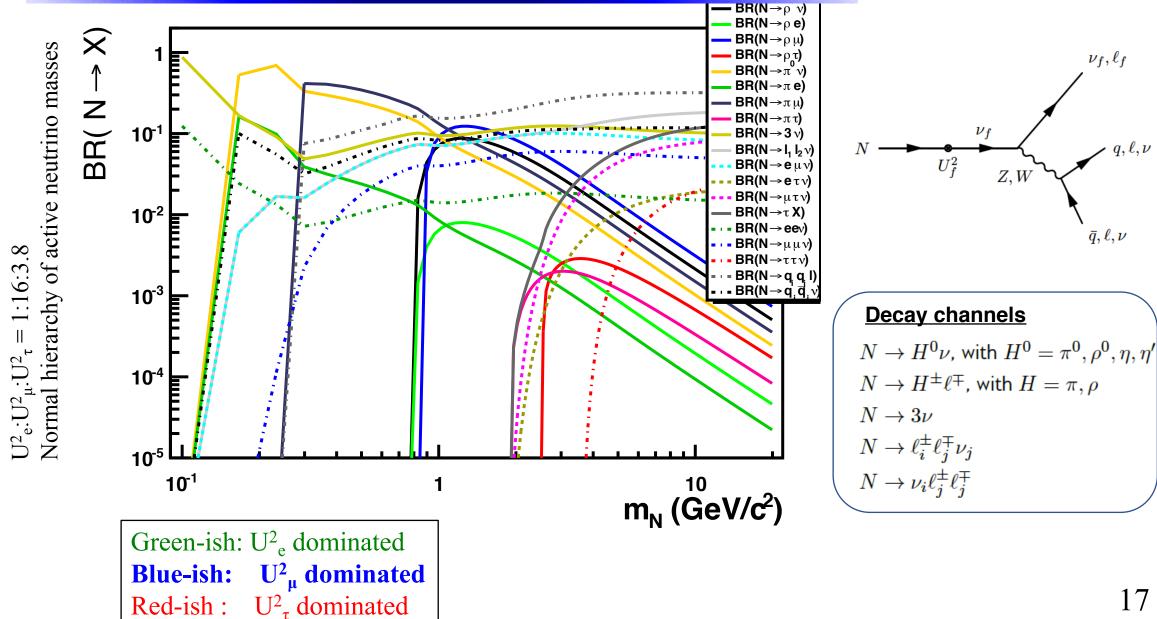


HNL decay modes

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stituto Nazionale li Fisica Nucleare





HNL experimental searches: the past, the present and the future

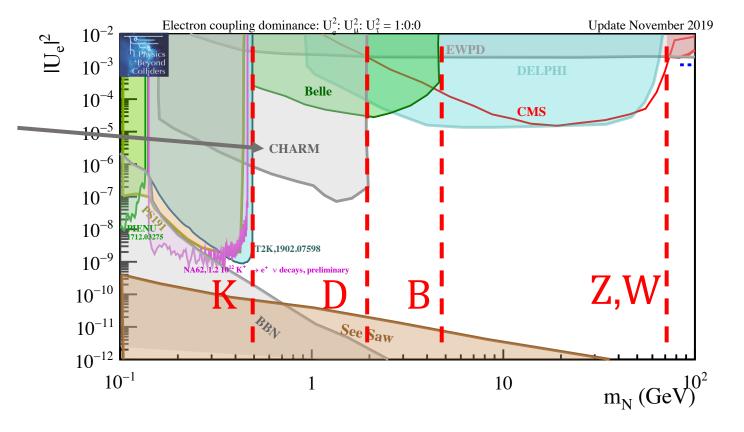




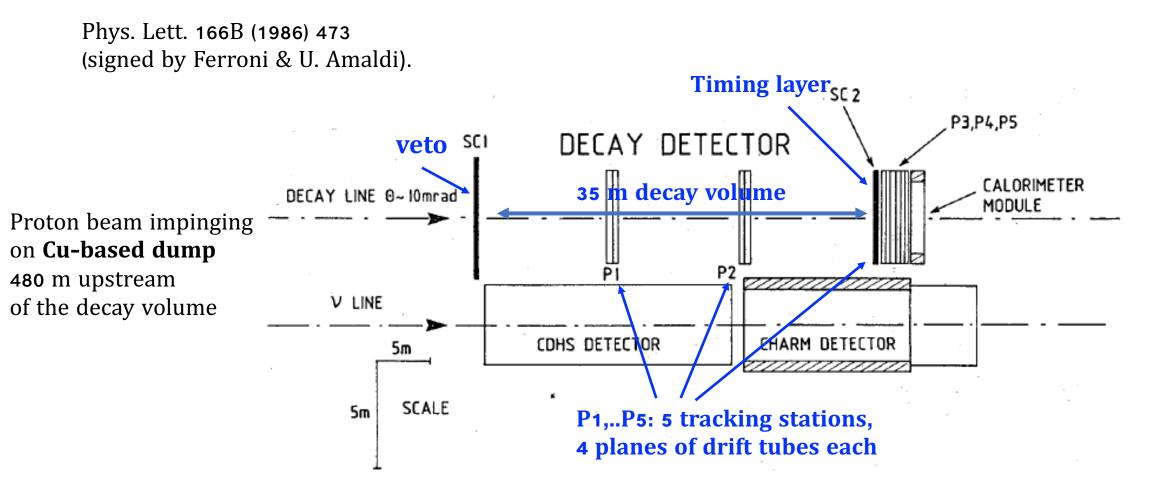
1986: CHARM @ CERN

Phys. Lett. 166B (1986) 473

Dump of $o(2 \cdot 10^{18})$ 400 GeV protons on a thick Cu beam dump; look for visible decays with electrons in the final state in the 35 m long decay volume with a spectrometer of 3×3 m² cross section.







CHARM result still dominates the sensitivity for U_e^2 up to the D meson mass (after > 30 years)







1986: CHARM @ CERN

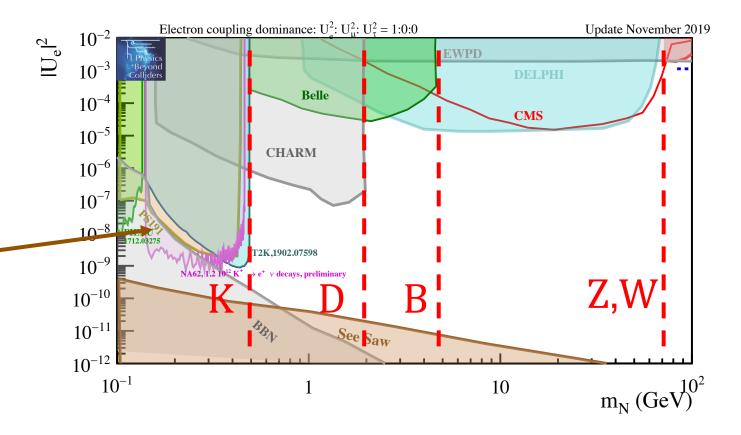
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1988: PS191 @ CERN

Phys. Lett. B203 (1988) 332

specifically designed to search for neutrino decays in a low-energy neutrino beam. Made of 10 m long nearly empty decay volume instrumented by flash chambers, calorimeter and scintillator hodoscope. → Dominated the mass range below the kaon mass until 6 months ago.







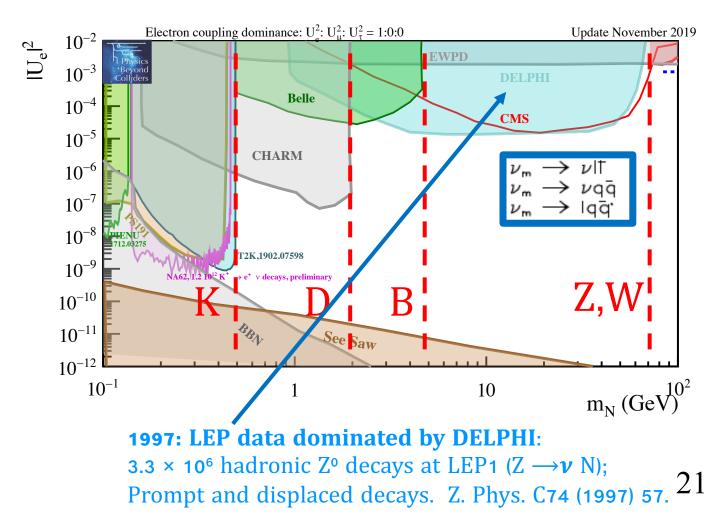
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2013 Belle (a) KEK: 772 M of BB pairs, leptonic and semileptonic B mesons decays, $B \rightarrow X \mid N$, where $l = e, \mu$ and X = K (*), D(*), (ρ,π,η , etc.) or nothing; range of masses between K and B masses. *Phys. Rev. D***8**7 (2013) 071102.

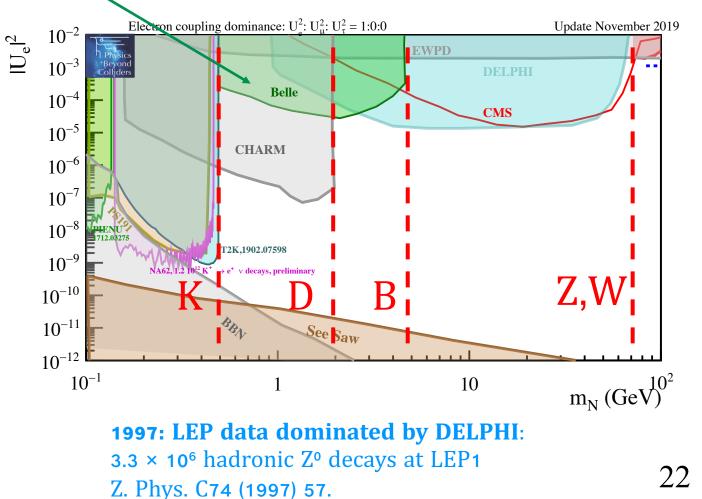
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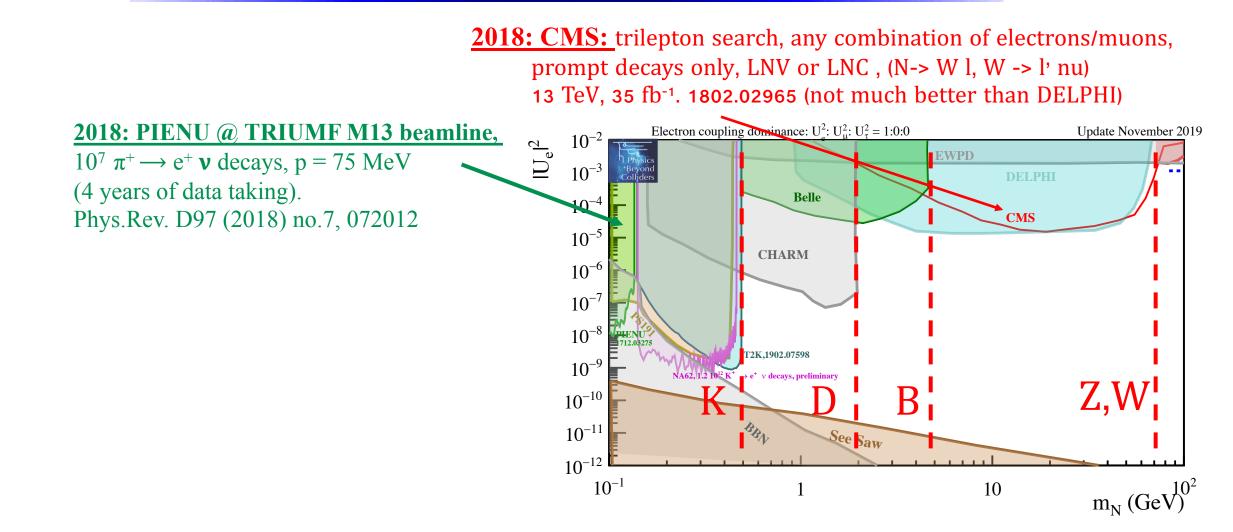
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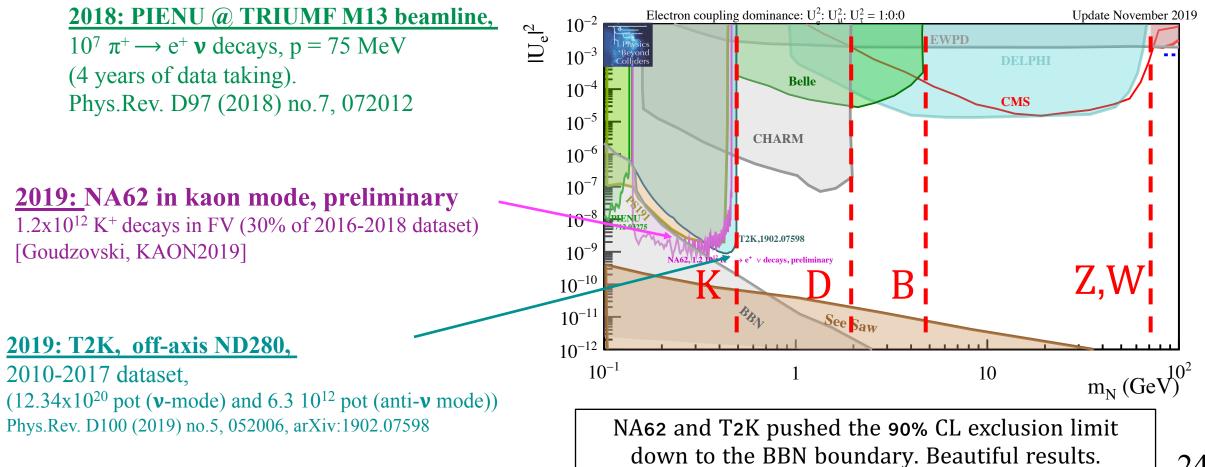








2018: CMS: trilepton search, any combination of electrons/muons, prompt decays only, LNV or LNC , (N-> W l, W -> l' nu) 13 TeV, 35 fb⁻¹. 1802.02965 (not much better than DELPHI)



24

The NA62 experiment @ K12 in EHN3 (the "Kaon Factory")



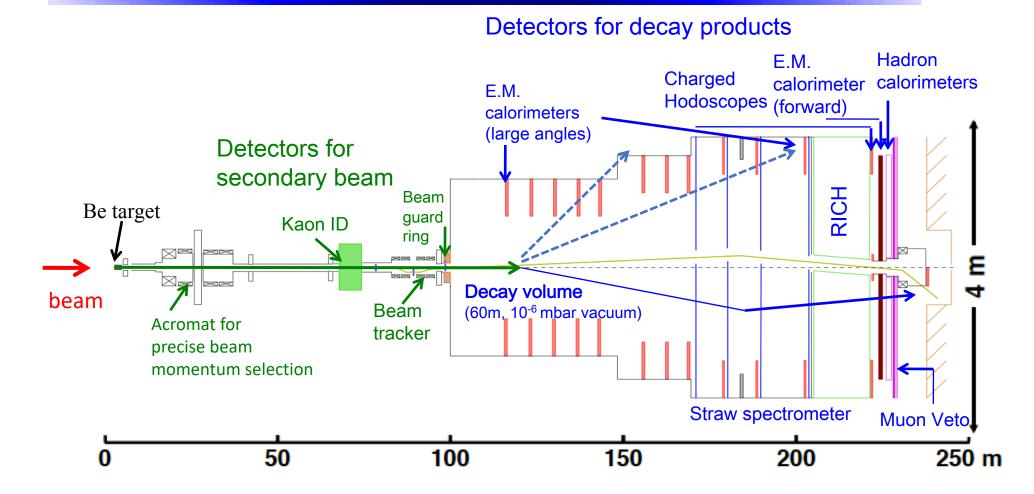
https://na62.web.cern.ch,

NA62 currently running in K12. Main goal: measure the BR(K+ $\rightarrow \pi$ + $\nu \nu$ bar) with 10% accuracy.



The NA62 experiment - layout





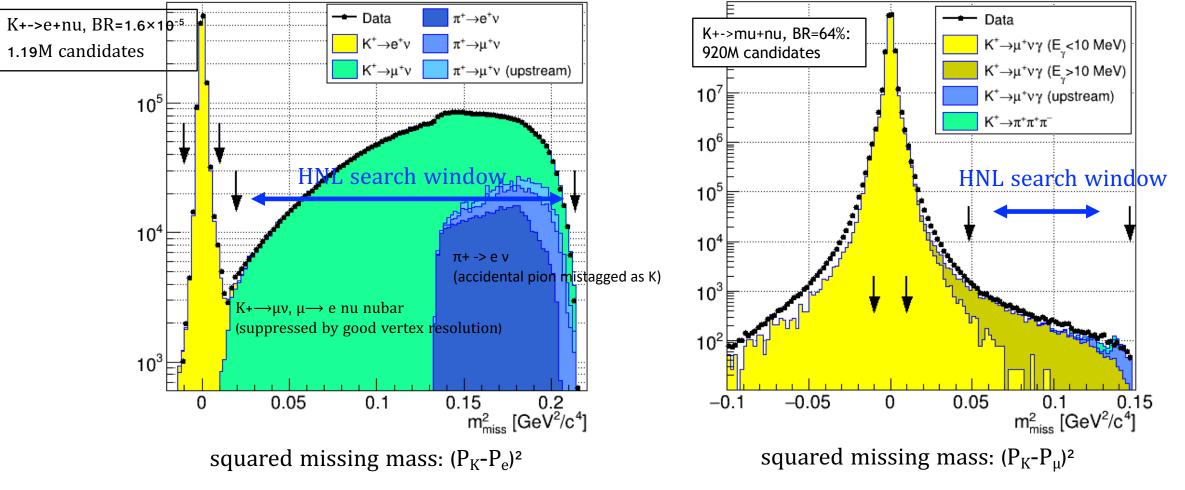
Physics run 2016 (30 days, ~1.3×10¹² ppp): 2×10¹¹ useful K⁺ decays. Physics run 2017 (161 days, ~2.0×10¹² ppp): 2×10¹² useful K⁺ decays. Physics run 2018 (217 days, ~2.3×10¹² ppp): 4×10¹² useful K⁺ decays.



NA62 in kaon mode



HNL search in $K^+ \rightarrow e^+N$ and $K^+ \rightarrow \mu^+ N$ decays: bump hunting over continuous background spectrum



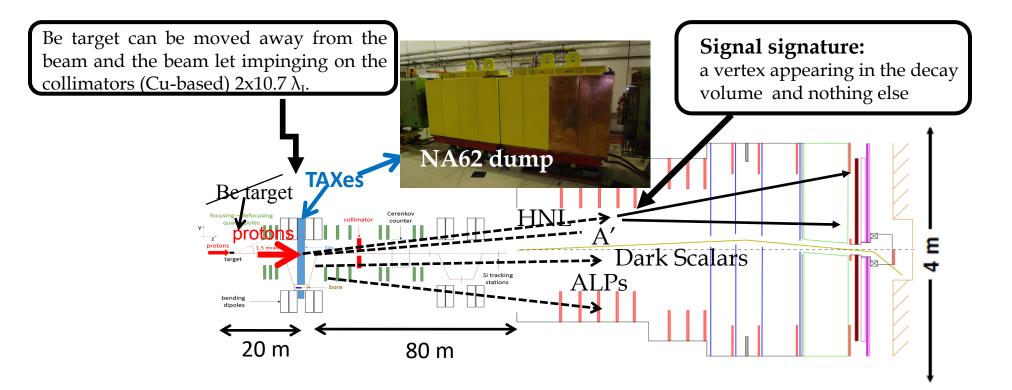
Searches are background dominated - progress will be slow



NA62 in dump mode



Dump mode allows NA62 to search for Hidden States above the K⁺ mass. Switch between kaon and dump mode possible <u>within minutes</u>. <u>~3x10¹⁶ pot collected in dump mode in 2016-2018</u> (~50 integrated hours of data taking)



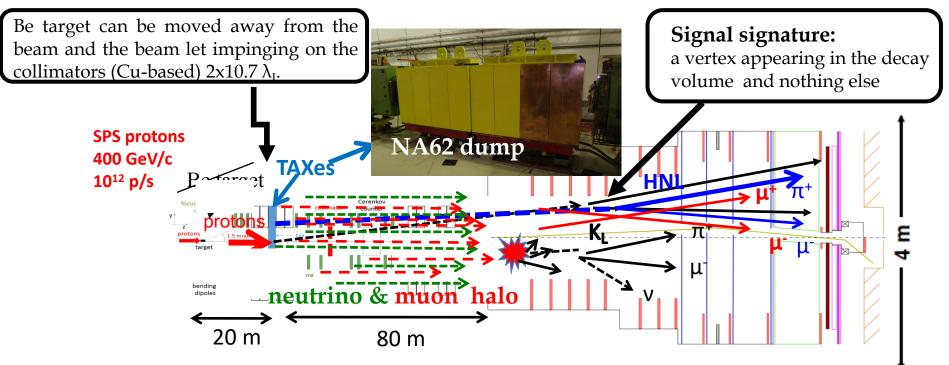
NA62 intends to collect 10¹⁸ POT in dump mode before LS3 (e.g.: by 2024-2025)



NA62 in dump mode



Dump mode allows NA62 to search for Hidden States above the K⁺ mass. Switch between kaon and dump mode possible <u>within minutes</u>. <u>~3x10¹⁶ pot collected in dump mode</u> in 2016-2018 (~50 integrated hours of data taking)



<u>Two types of background expected</u>:

1) muon combinatorial background:

 \rightarrow mostly out-of-time tracks, not pointing backwards to the target; main detector to reject it: tracker and charged hodoscopes 2) neutrino and muon inelastic interactions with the detector material, namely with the decay vessel;

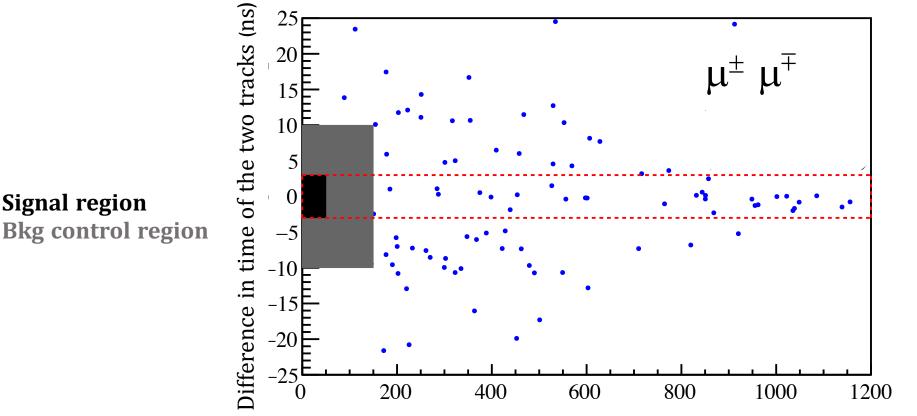
 \rightarrow mostly in-time tracks, not pointing backwards to the target; main detectors to reject it: tracker for pointing & VETOes. 28



Signal region



An example of how the $\mu+\mu$ - background looks like in a dump experiment



Impact parameter wrt production point [mm]

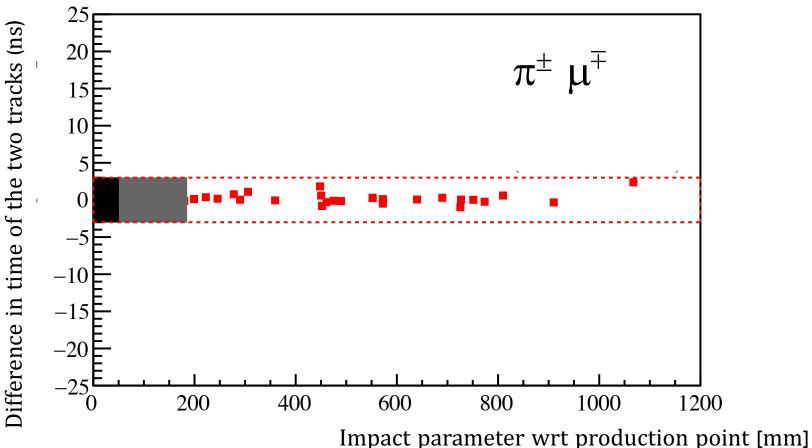
Dimuon background is dominated by combinatorial background. hence it is intrinsically made of out-of-time events with sparse IP values.





muon/neutrino inelastic interactions: $\pi^{\pm}\mu^{\mp}$ background

An example of how the $\pi+\mu$ - background looks like in a generic dump experiment

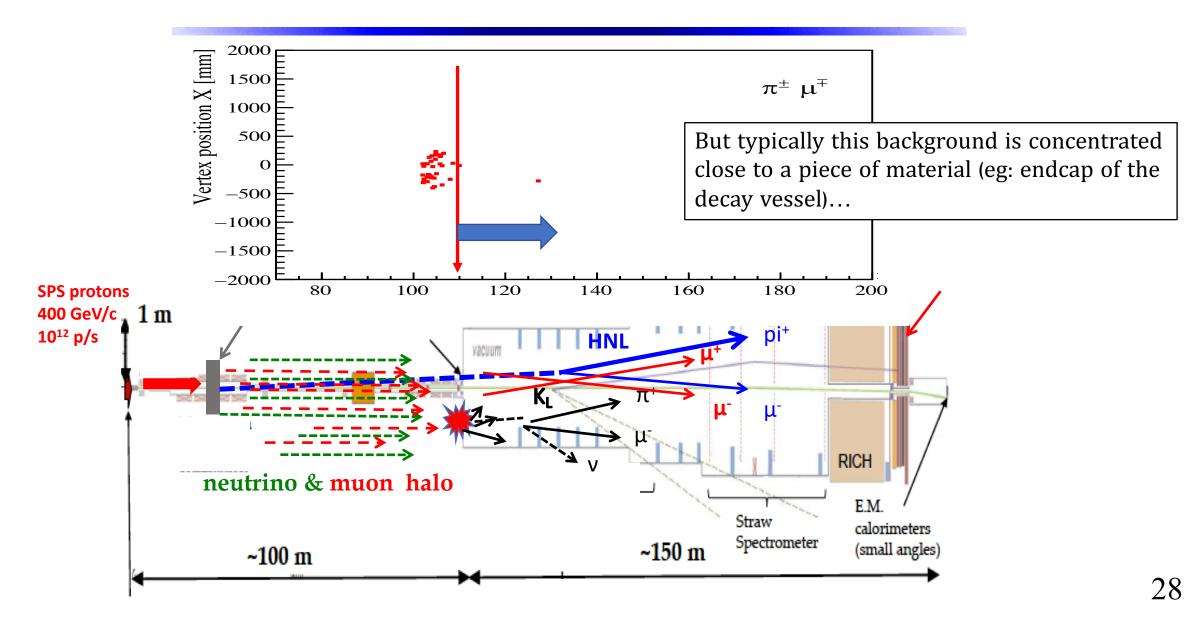


 π + μ - background is dominated by inelastic interactions, hence it is intrinsically made of in-time events with whatever IP.



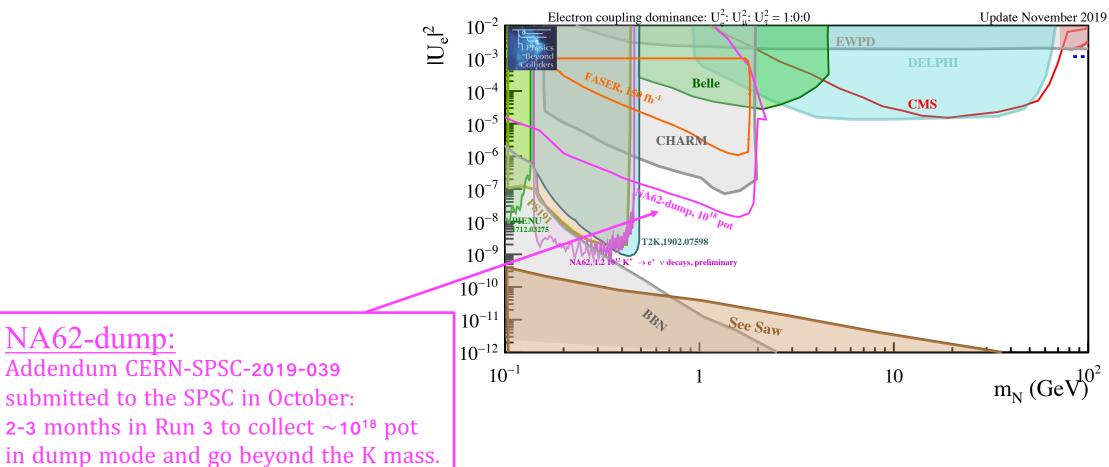


muon/neutrino inelastic interactions: $\pi^{\pm}\mu^{\mp}$ background





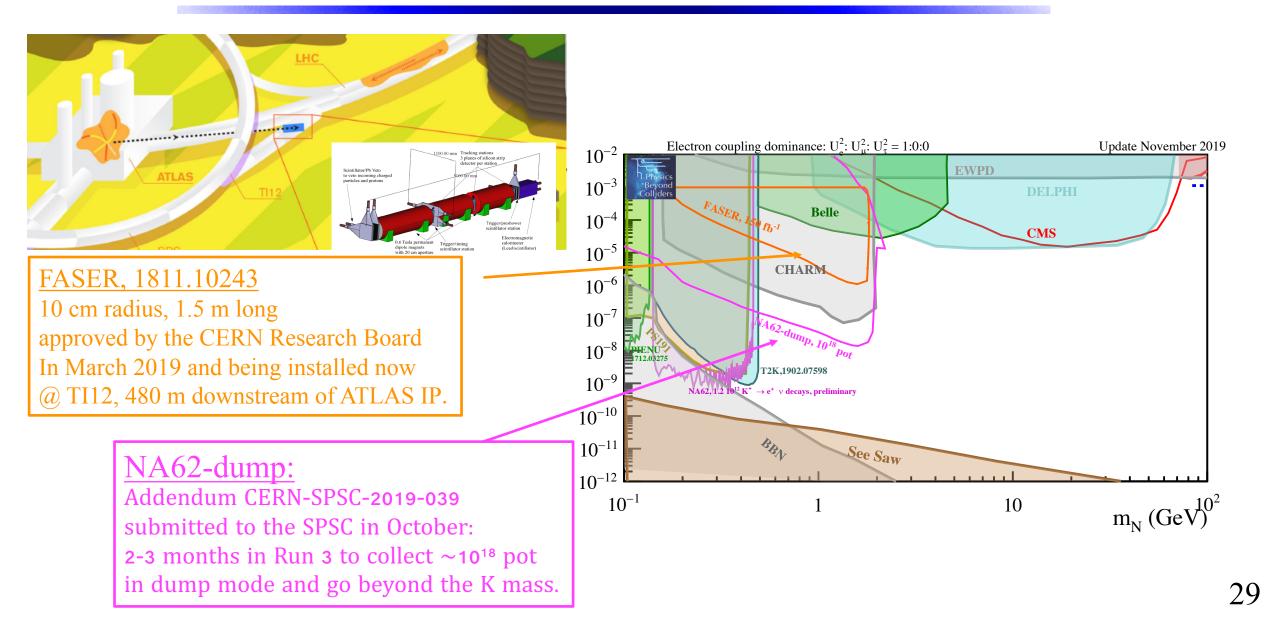






HNL searches: the short-term future (2021-2024)

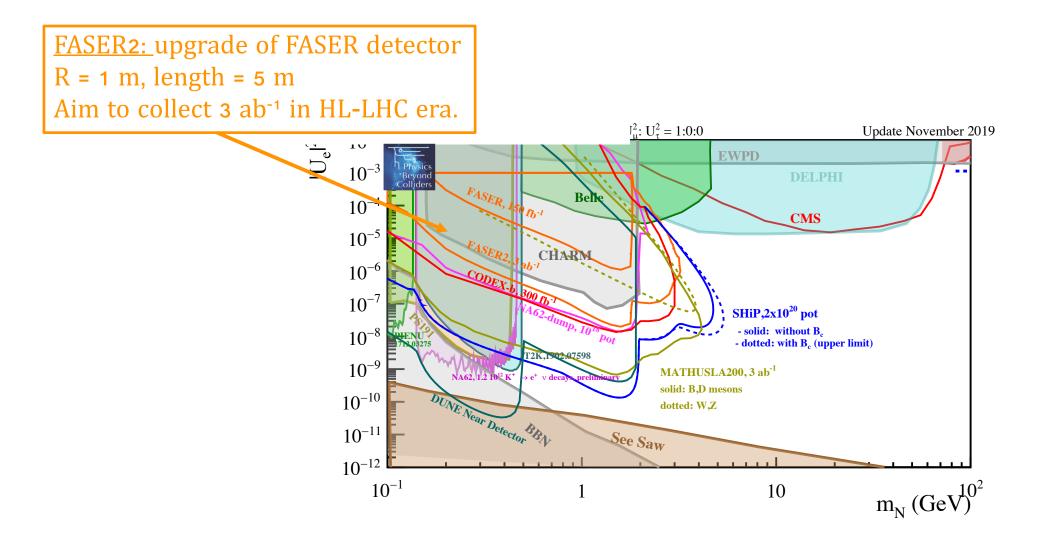




HNL experimental searches the medium-term future (10-15 years)

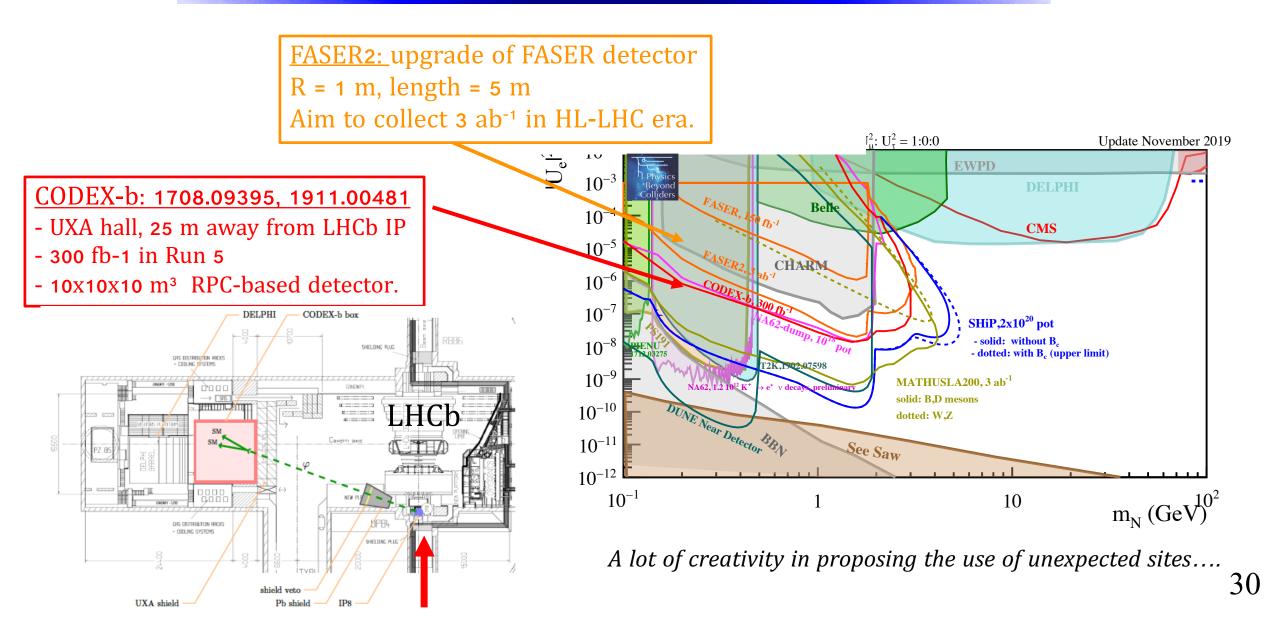






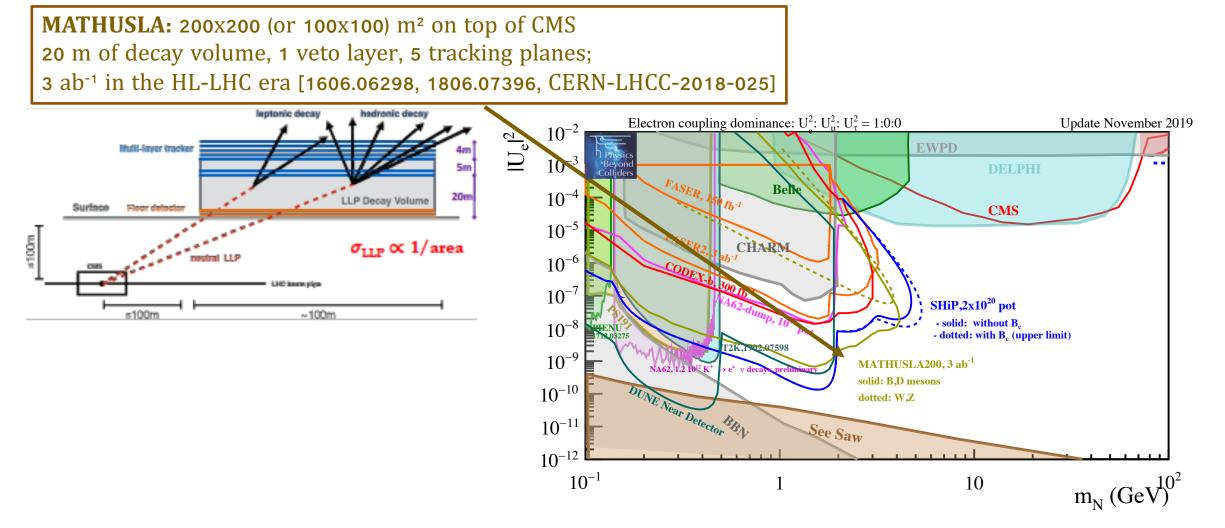








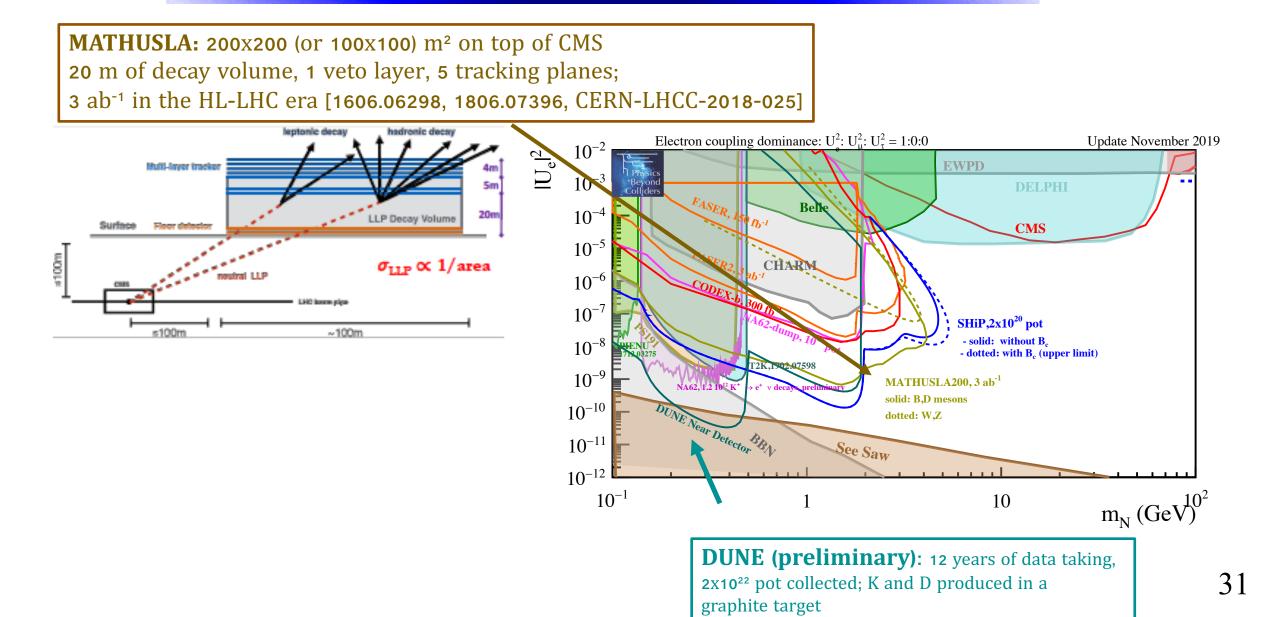




A lot of creativity in proposing the use of unexpected sites....

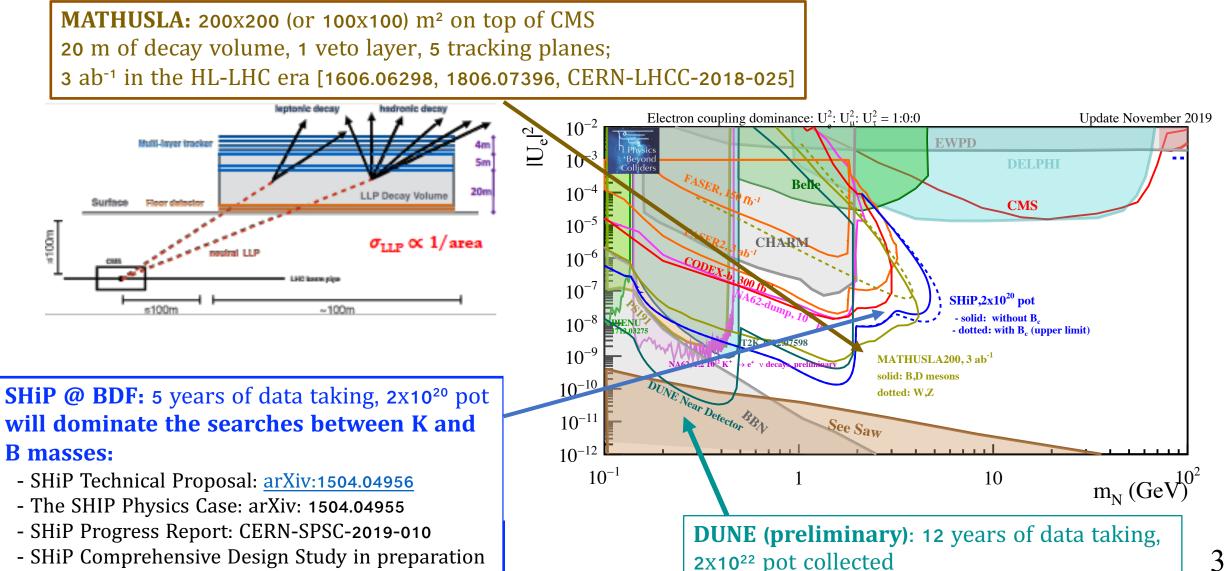








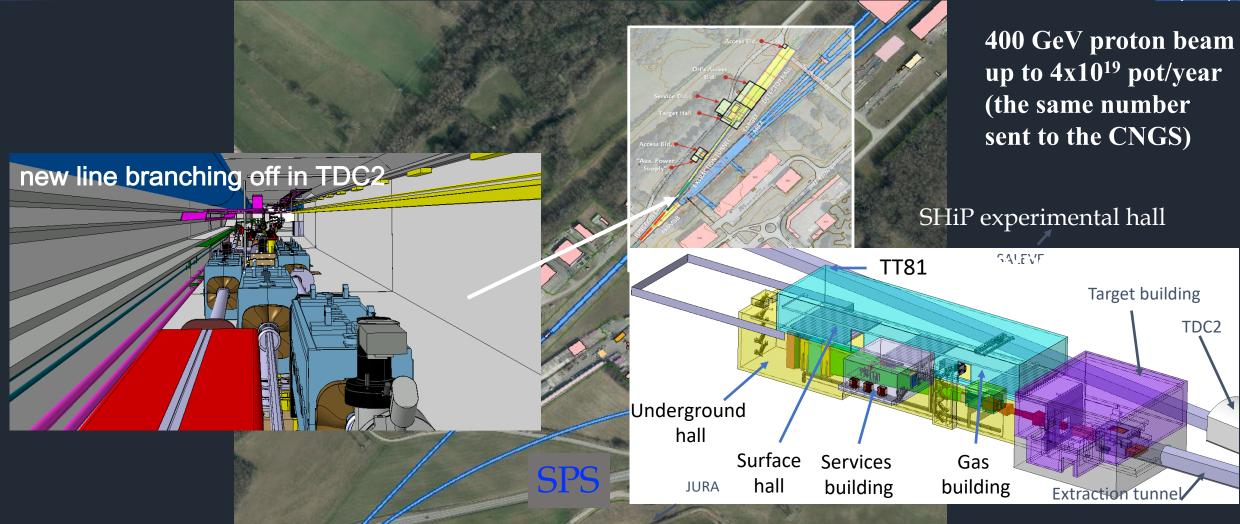




The Beam Dump Facility (BDF) in the North Area

Beyond Colliders



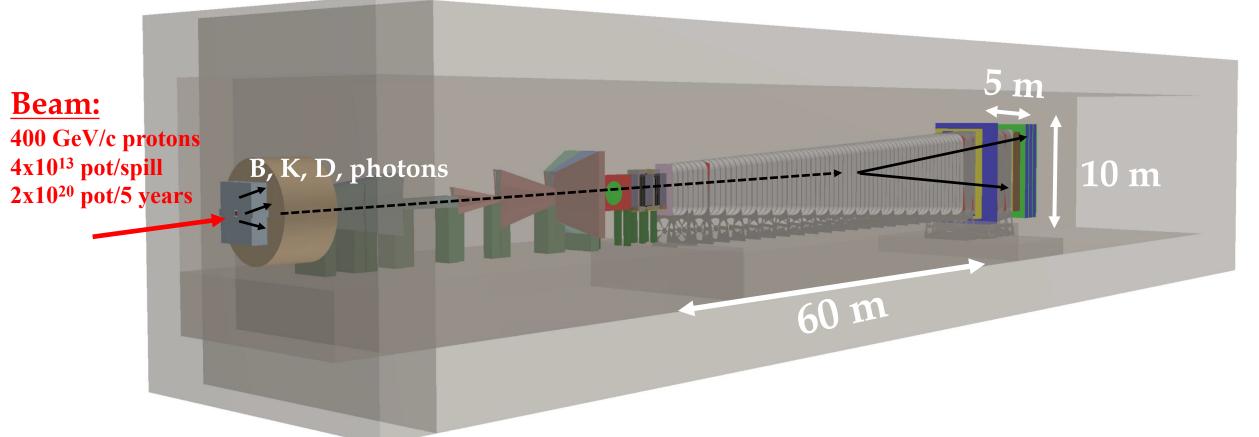


Brand new high-intensity proton beam proposed in the North Area

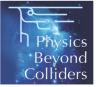






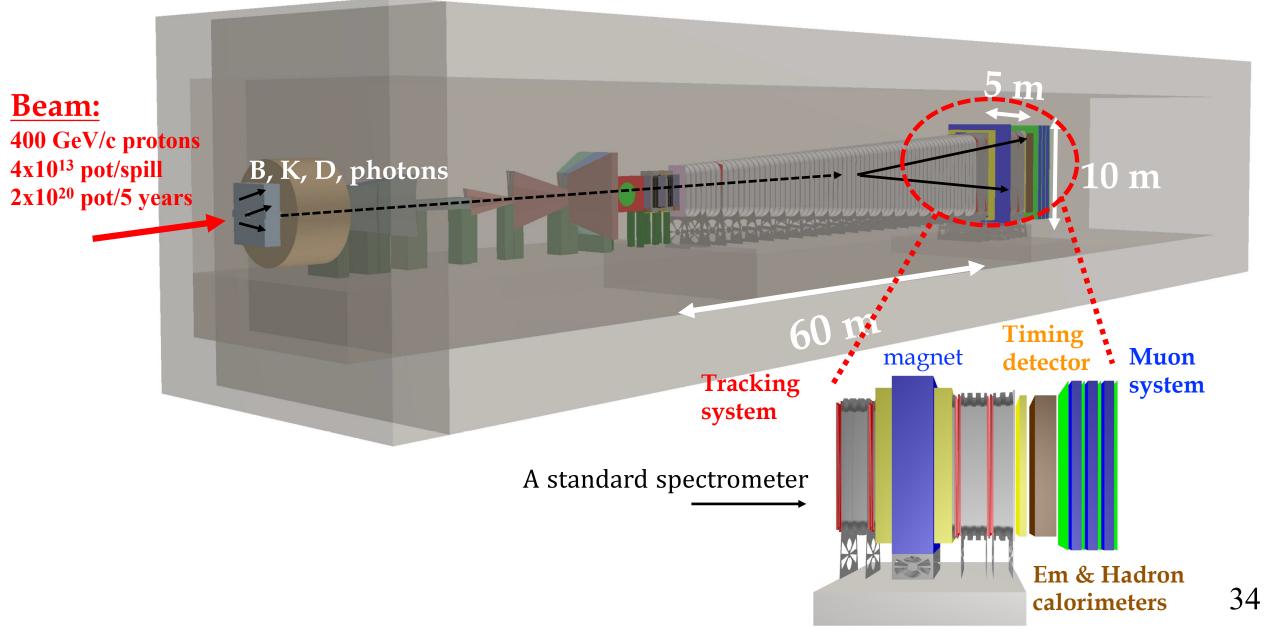


- ✓ Hidden particles have very feeble couplings, hence they are (very) long-lived:
 - The 60m-long, in-vacuum decay volume allows SHiP to be sensitive to extremely low couplings (long lifetimes);
- ✓ Hidden particles from D and B decays have large p_T :
 - SHiP large geometrical acceptance maximizes detection of decay products.



SHiP @ BDF: Hidden Sector Spectrometer



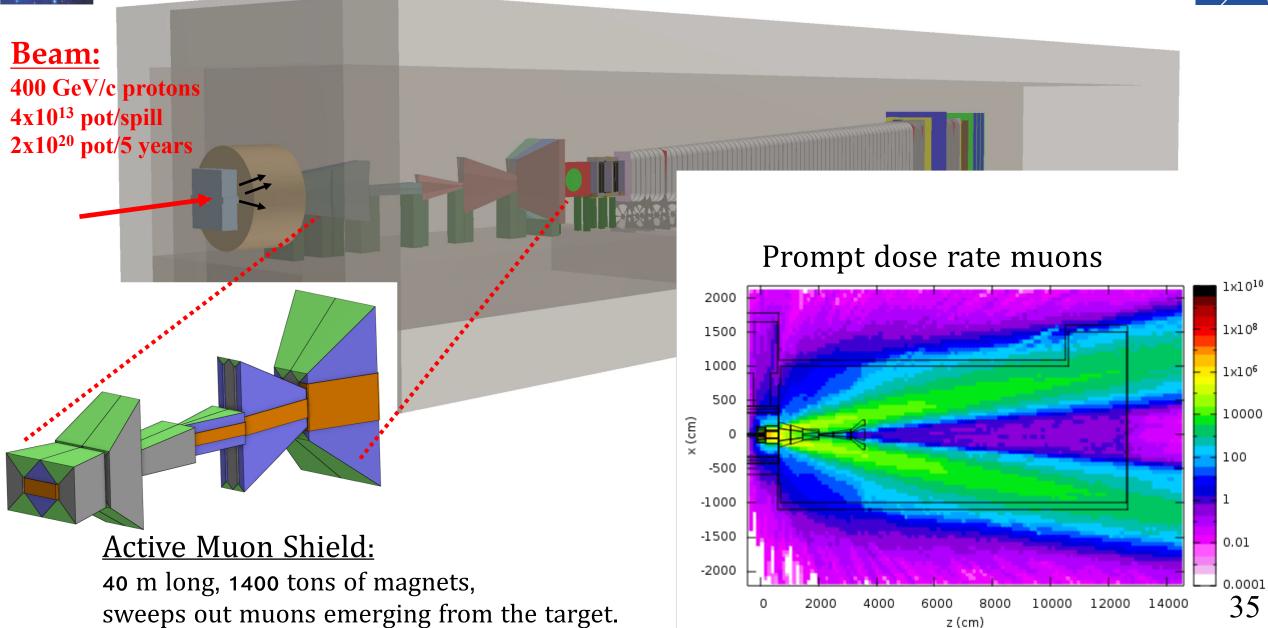


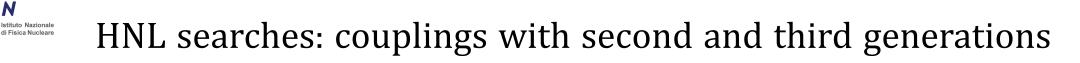


SHiP @ BDF: Active Muon Shield



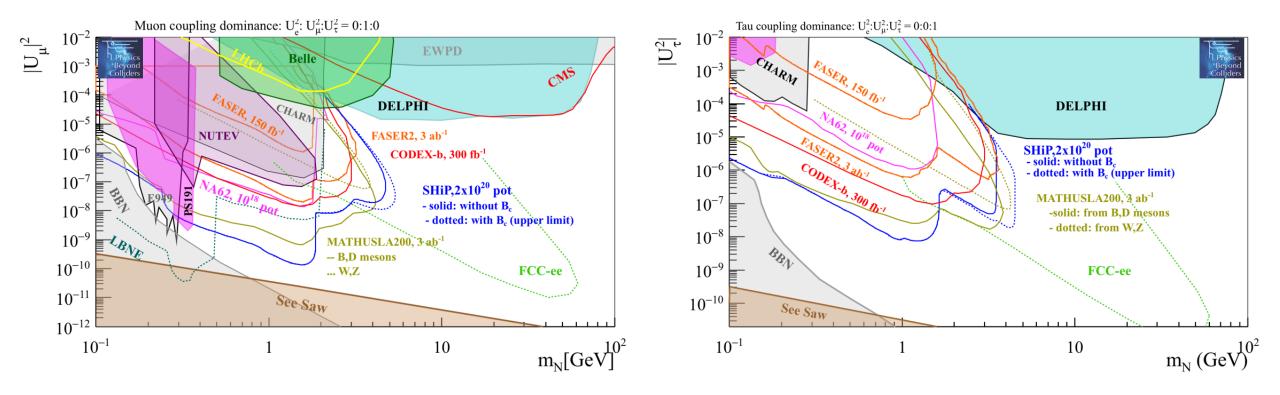
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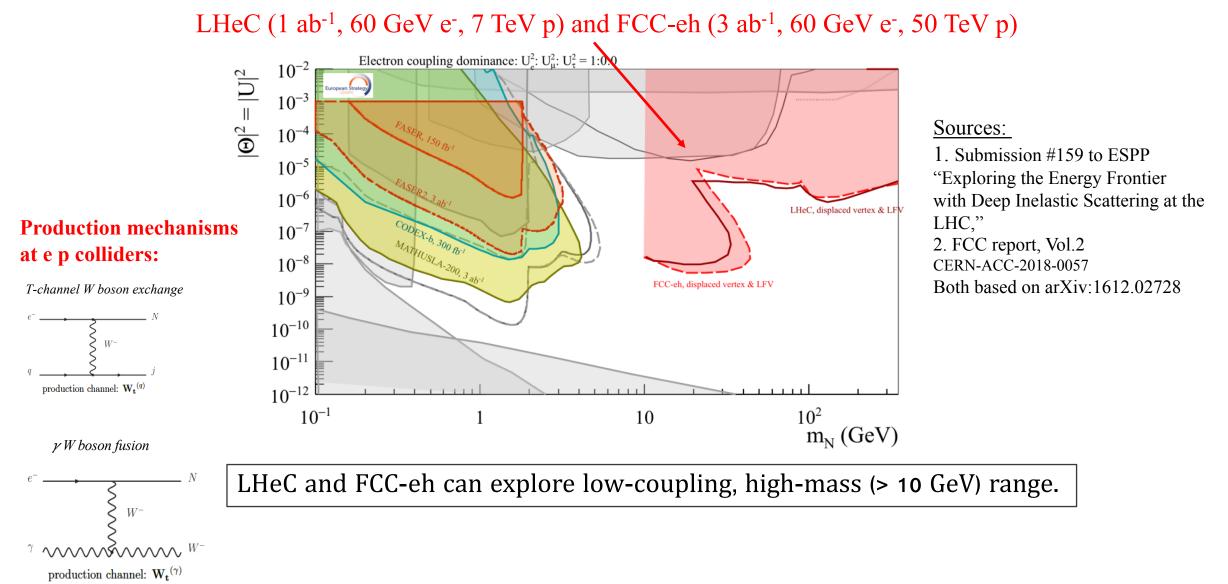




HNL experimental searches: the very far future (20-30++ years)

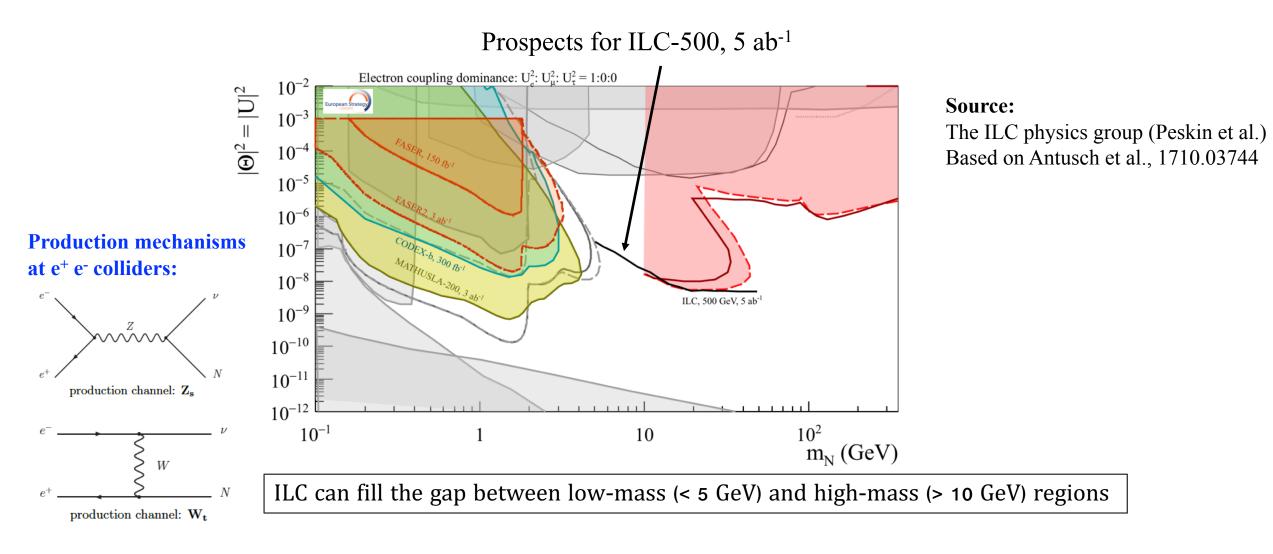












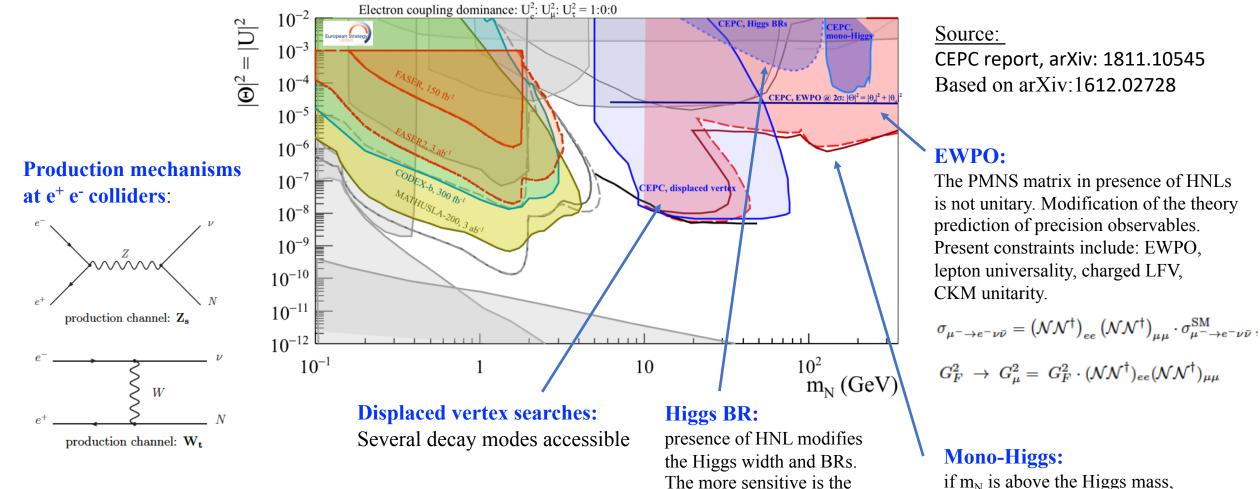




Prospects for CEPC: 10 ab⁻¹ at the Z-pole and 5 ab⁻¹ at 240 GeV.

 $H \rightarrow WW$ which constrains

 $H \rightarrow \nu N \text{ (and } \Theta^2 \text{)}$

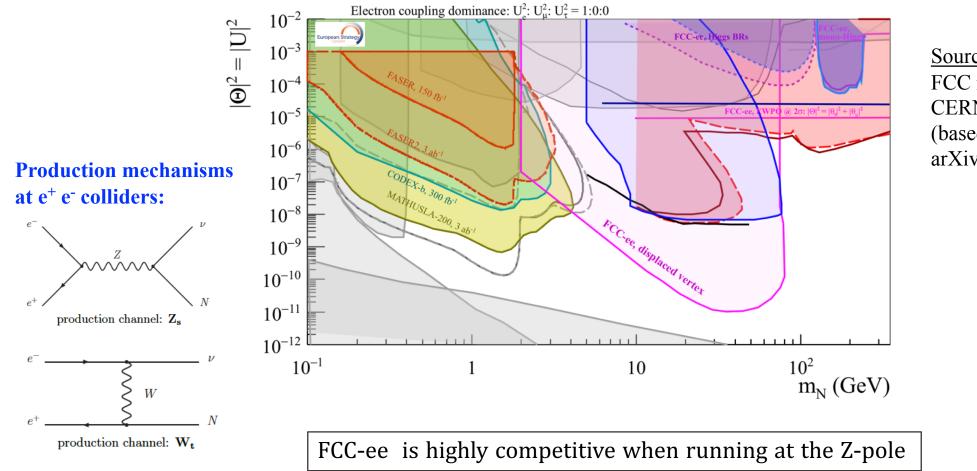


if m_N is above the Higgs mass, N $\rightarrow \nu$ H, H \rightarrow hadronically (dijet).





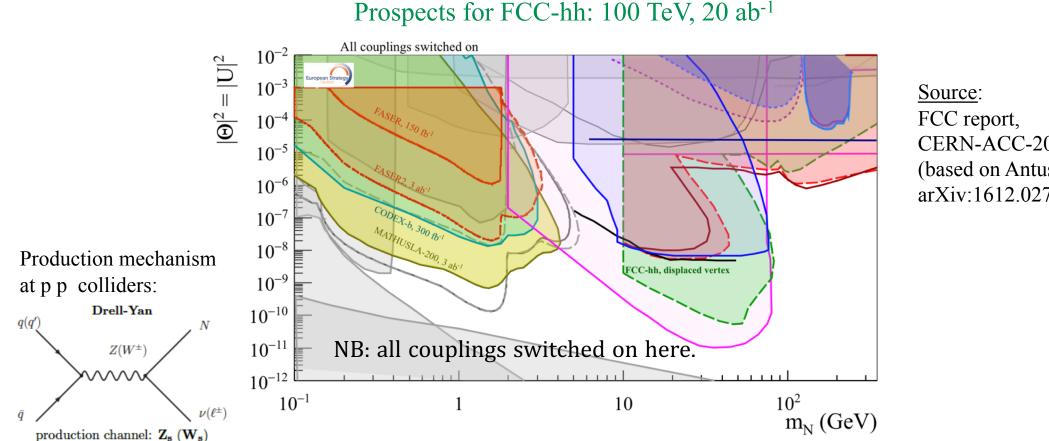
Prospects for FCC-ee : combination of data at the Z-pole (110 ab^{-1}), 2 m_W (7.5 ab^{-1}) and 240 GeV (5 ab^{-1}).



Source: FCC report, CERN-ACC-2018-0057 (based on Antusch et al., arXiv:1612.02728)





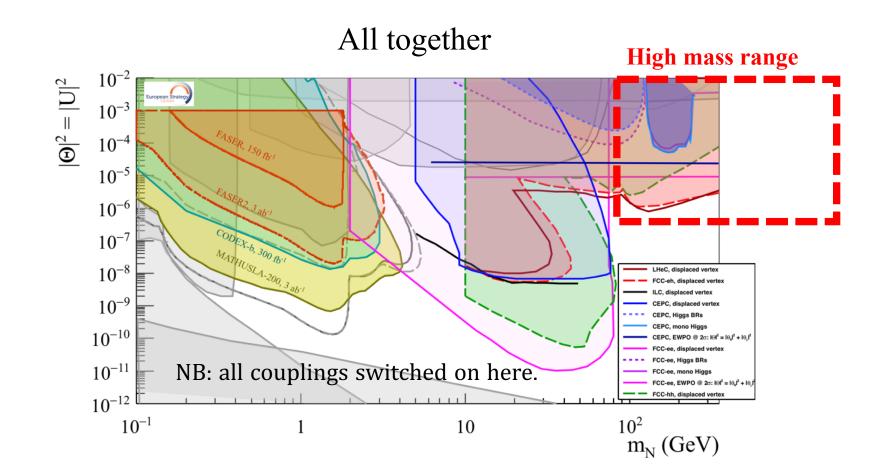


CERN-ACC-2018-0057 (based on Antusch et al., arXiv:1612.02728)

FCC-hh cannot improve with respect to e+ e- colliders below the Z threshold (but can improve at high masses, see later)



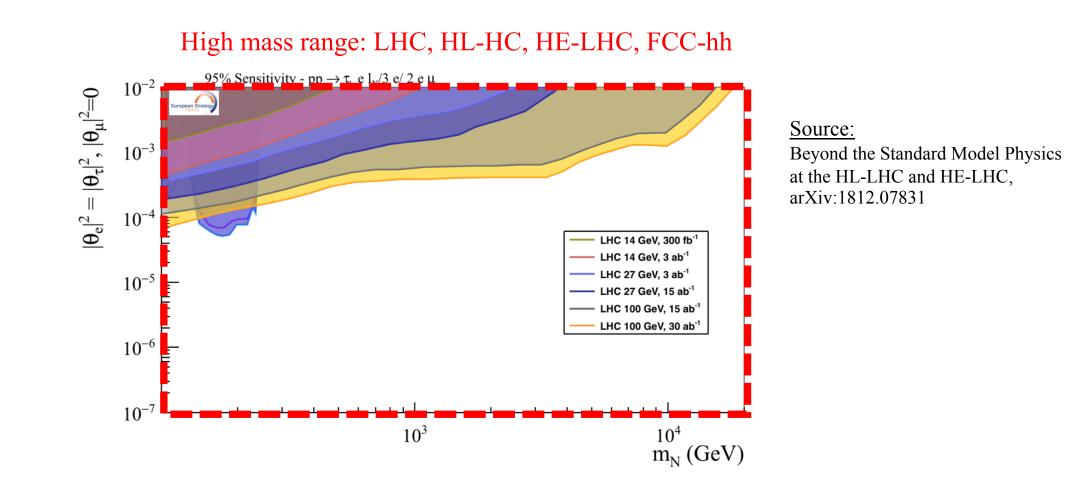




Nice complementarity between beam-dump and colliders' experiments





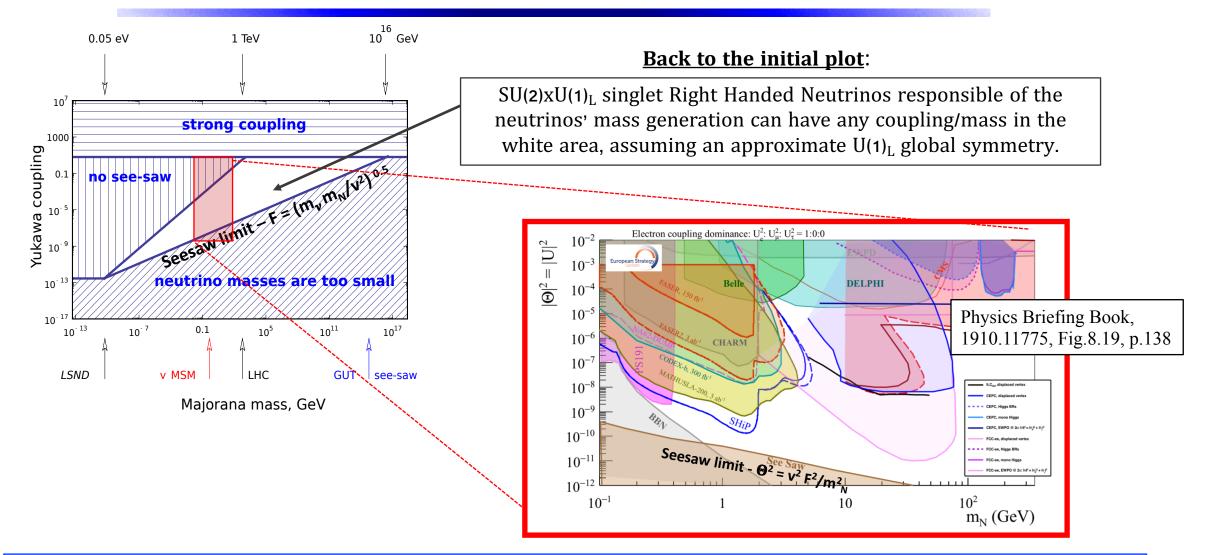


Hadron colliders can cover large-coupling in the high-mass range



HNL experimental searches: all together

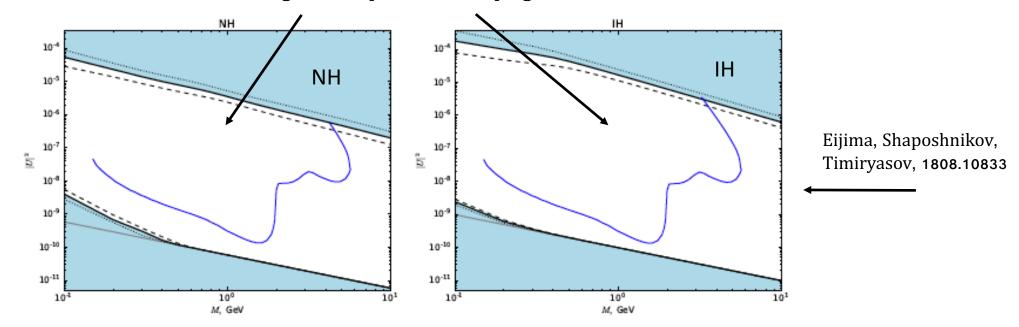




With neutrino, beam dump, fixed-target, and future collider experiments we can explore (light) RHN in the mass range 0.1-90 GeV almost down to the see-saw limit.

Heavy Neutral Leptons: possible connection to leptogenesis

- Initial idea: Akhmedov, Rubakov, Smirnov 98
- ✓ Formulation of kinetic theory and demonstration that NuMSM can explain neutrino masses, Dark matter and baryon asymmetry: Asaka Shaposhnikov 05
- Analysis of baryon asymmetry generation in the NuMSM: Asaka, Shaposhnikov, Canetti, Frossard, Abada, Domcke, Lucente, Hernandez, Racker, Salvado, Drewes, Garbrech, Guetera, Klaric, Hambye, Eijima, Timiryasov, ...



Regions compatible with leptogenesis

Region compatible with leptogenesis is accessible at accelerator-based experiments.

Conclusions

- ✓ HNLs could be a possible explanation of the origin of the neutrino masses and oscillations in a wide range of masses and couplings:
- Masses around (or below) the EW scale and very small Yukawa's are allowed within a symmetry-protected seesaw mechanism;
- For same values of masses and Yukawa couplings could also provide a valid mechanism of leptogenesis.
- ✓ Experimental searches of HNLs at or below the EW scale can be performed with a large variety of experimental facilities:
- neutrino, fixed-target, beam-dump, colliders, B-factories, future ee,ep, pp colliders, etc.. and are intimately connected to:
- active neutrino physics, astroparticle, and cosmology.

✓ The future of this (fascinating) field will be driven by:

- new astroparticle results (Euclid, SKA,..), active neutrino measurements (mixing angles and CP violating phase), $\mathbf{ov}\beta\beta$ decays, beam-dump/neutrino experiments in the very-low (< 5 GeV) mass region and future e+ e- colliders in the Z,W masses region.

HNLs are a bright example of synergies and complementarities across very different communities, which is ⁻ in my opinion ⁻ the future of our field.

SPARES

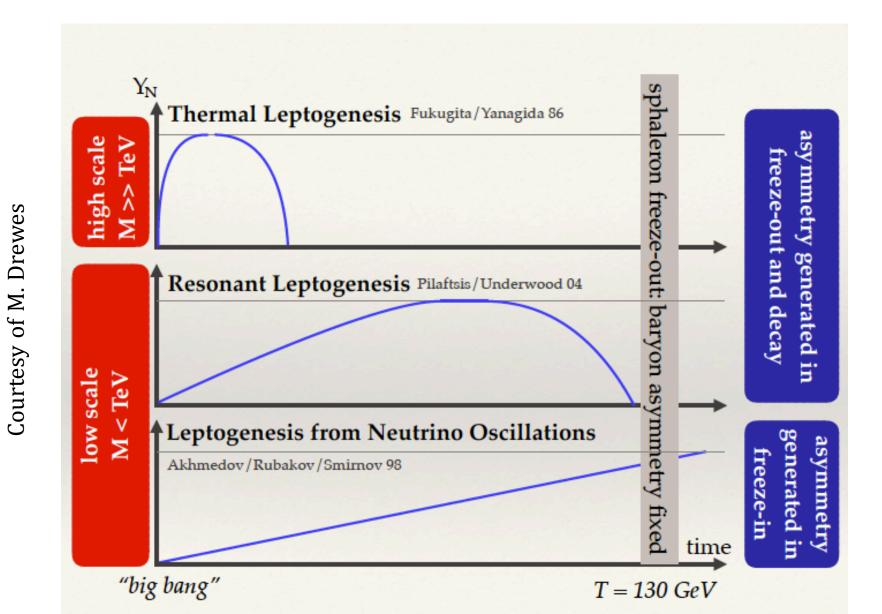
Big Bang Nucleosynthesis

What exactly goes wrong? recent update: Hufnagel et al <u>1808.09324</u>

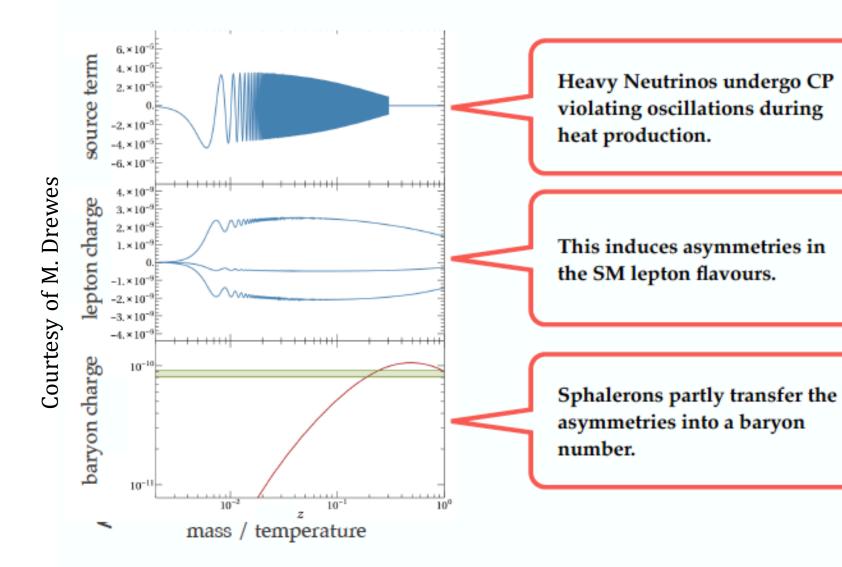
Decay products can dissociate nuclei

- Decay modifies relation between temperature and energy density... $\rho_{\gamma} + \rho_{\text{neutrinos}} + [\text{new physics effects}] \equiv \rho_{\gamma} + N_{\text{eff}} \rho_{\nu} = \frac{\pi^2}{15} T_{\gamma}^4 \left[1 + N_{\text{eff}} \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} \right]$...and thereby the Hubble rate $H^2 = \frac{8\pi}{3} G \rho$
 - Entropy injection modifies baryon to photon ratio

Heavy Neutral Leptons and Leptogenesis



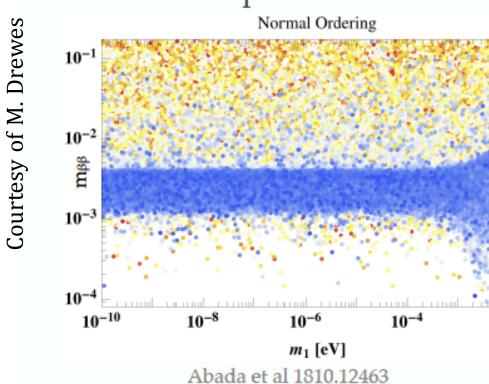
Heavy Neutral Leptons and Leptogenesis Freeze-In Leptogenesis



The 0νββ Connection

10

Heavy neutrino exchange can dominate $0\nu\beta\beta...$...even in the leptogenesis region \Rightarrow additional probe of Re ω !



Bezrukov 0505247 Blennow et al 1005.3240 Lopez Pavon et al <u>1209.5342</u> MaD/Eijima 1606.06221, Hernandez et al 1606.06719, Asaka et al 1606.06686 Abada et al 1810.12463