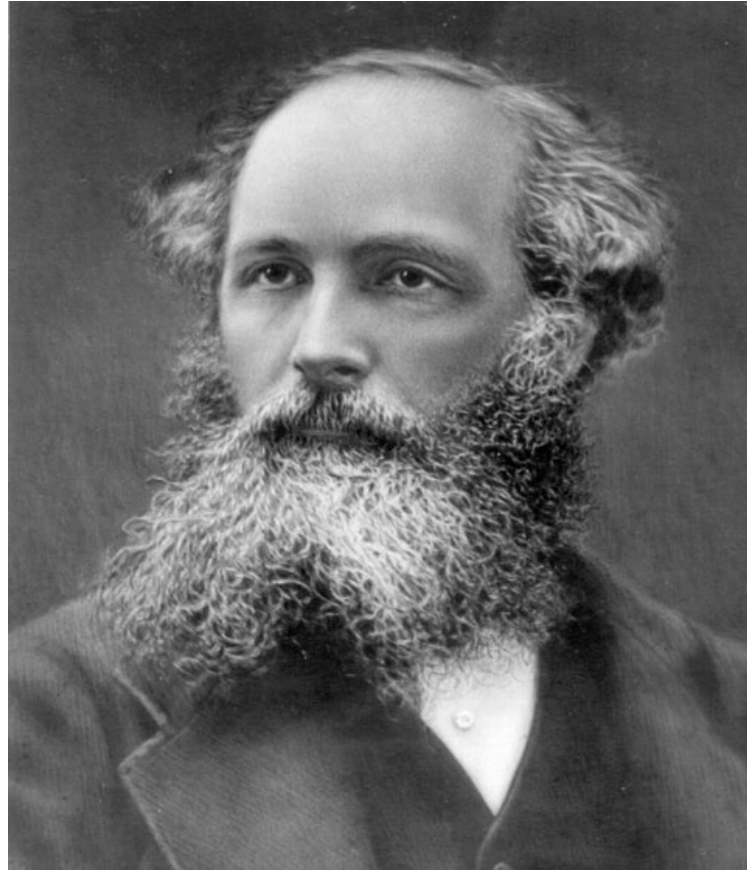


# Review of Electromagnetism



This review is not meant to teach the subject, but to repeat and to refresh, at least partially, what you have learnt at university.

# Maxwell's equations

(in material)

$$\oint \vec{H}(\vec{r}, t) \cdot d\vec{s} = \iint \vec{J}(\vec{r}, t) \cdot d\vec{A} + \frac{d}{dt} \iint \vec{D}(\vec{r}, t) \cdot d\vec{A}$$

$$\oint \vec{E}(\vec{r}, t) \cdot d\vec{s} = -\frac{d}{dt} \iint \vec{B}(\vec{r}, t) \cdot d\vec{A}$$

$$\oiint \vec{D}(\vec{r}, t) \cdot d\vec{A} = \iiint \rho(\vec{r}, t) dV$$

$$\oiint \vec{B}(\vec{r}, t) \cdot d\vec{A} = 0$$

$\vec{E}, \vec{H}$  electric and magnetic field

$\vec{D}, \vec{B}$  electric displacement and magnetic induction

$\vec{J}$  electric current density

$\rho$  electric charge density

$\iint \vec{J}(\vec{r}, t) \cdot d\vec{A}$  stands for all currents going through the area A. It may consist of 3 parts

$$\vec{J}(\vec{r}, t) = \vec{J}_c(\vec{r}, t) + \vec{J}_{cv}(\vec{r}, t) + \vec{J}_i(\vec{r}, t)$$

$\vec{J}_c(\vec{r}, t) = \kappa \vec{E}(\vec{r}, t)$  conduction current (Ohm's law)

$\vec{J}_{cv}(\vec{r}, t) = \rho(\vec{r}, t) \vec{v}(\vec{r}, t)$  convection current

$\vec{J}_i(\vec{r}, t)$  impressed current

$\iiint \rho(\vec{r}, t) dV$  stands for all charges in the volume V

*Current and charge may have different distributions:  
point, line, surface, volume*

# Maxwell's equations

(in differential form)

With Stokes' theorem:

$$\oint \vec{E} \cdot d\vec{s} = \iint (\vec{\nabla} \times \vec{E}) \cdot d\vec{A} = -\frac{d}{dt} \iint \vec{B} \cdot d\vec{A} = -\iint \frac{\partial \vec{B}}{\partial t} \cdot d\vec{A}$$

$$\iint \left[ \vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t} \right] \cdot d\vec{A} = 0$$

since this is valid for any area:  $\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$  (2)

correspondingly:  $\vec{\nabla} \times \vec{H} = \vec{J} + \frac{\partial \vec{D}}{\partial t}$  (1)

With Gauss' theorem:

$$\oiint \vec{D} \cdot d\vec{A} = \iiint \vec{\nabla} \cdot \vec{D} dV = \iiint \rho dV$$

$$\iiint [\vec{\nabla} \cdot \vec{D} - \rho] dV = 0$$

*since this is valid for any volume:*  $\vec{\nabla} \cdot \vec{D} = \rho$  (3)

*correspondingly:*  $\vec{\nabla} \cdot \vec{B} = 0$  (4)

## Time-harmonic fields

Time-harmonic fields can be written as complex quantities

$$\vec{E}(\vec{r}, t) = \vec{E}_0(\vec{r}) \cos(\omega t + \varphi) = \Re[\vec{E}_0(\vec{r}) e^{i\varphi} e^{i\omega t}] = \Re[\tilde{\vec{E}}(\vec{r}) e^{i\omega t}]$$

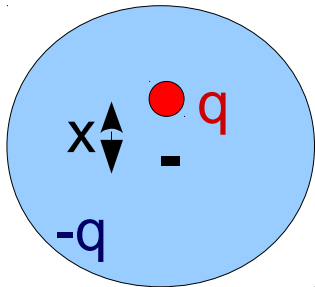
$\tilde{\vec{E}}(\vec{r})$  is called phasor.

- Advantages are:
- $\partial/\partial t \rightarrow i\omega$ ,
  - phasors are vectors in a coordinate system rotating with  $\omega t$ ,
  - $e^{i\omega t}$  cancels out in the equations

We will drop the tilde on following transparencies whenever the situation is sufficiently clear!

The effect of electric fields on matter can be described by a polarization field  $\vec{P}$ , the effect of magnetic fields by a magnetization field  $\vec{M}$ .

There are several electric reactions. E.g. a neutral atom changed to a dipole by a local field  $\vec{E}_{\text{local}}$



$$p_e = qx \rightarrow \vec{P} = n \vec{p}_e = \epsilon_0 \chi_e \vec{E}$$

$n$ : dipole density

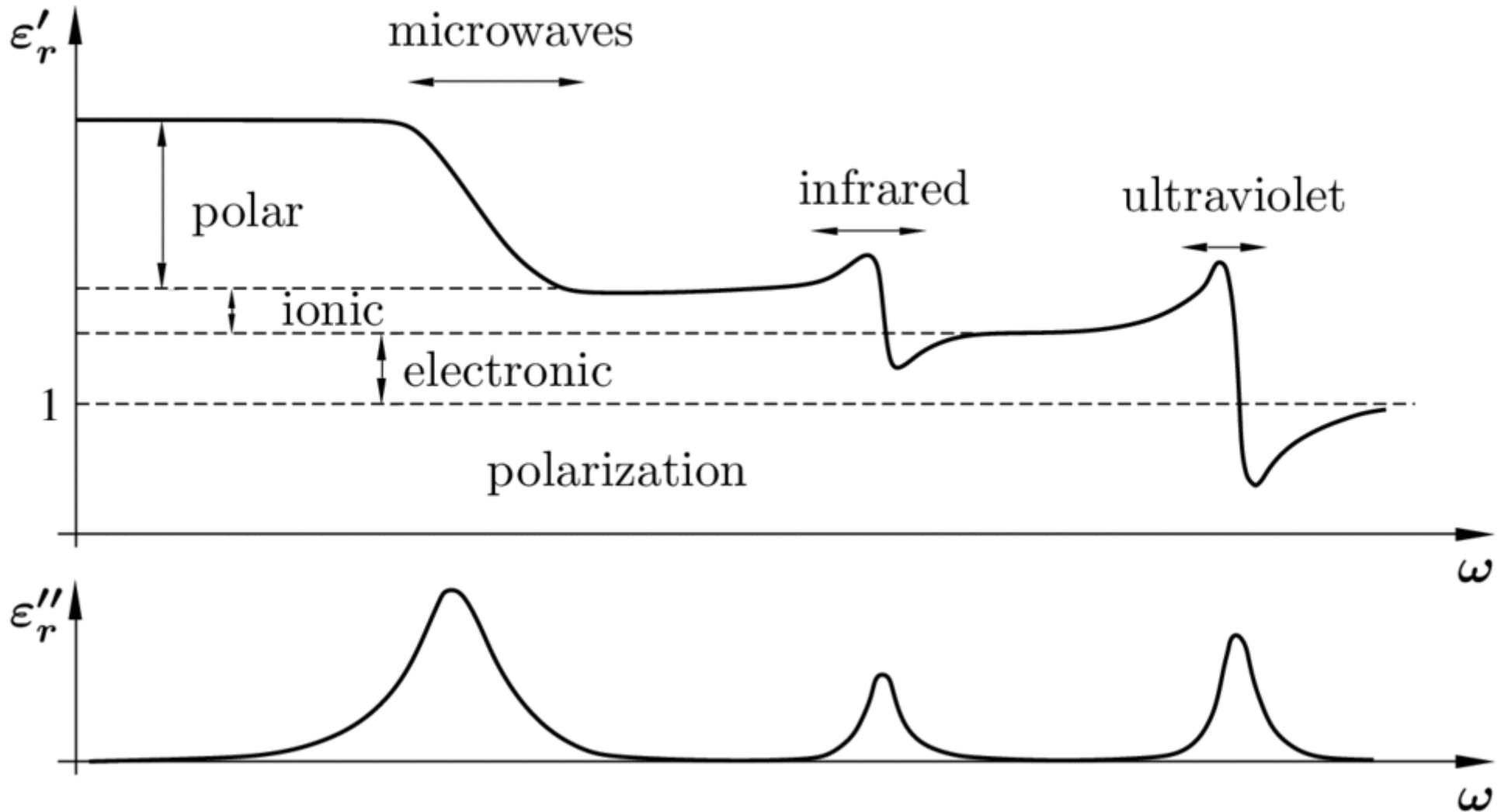
$\chi_e$ : electric susceptibility

Linear materials:

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P} = \epsilon_0 \vec{E} + \epsilon_0 \chi_e \vec{E} = \epsilon_r \epsilon_0 \vec{E} = \epsilon \vec{E}$$

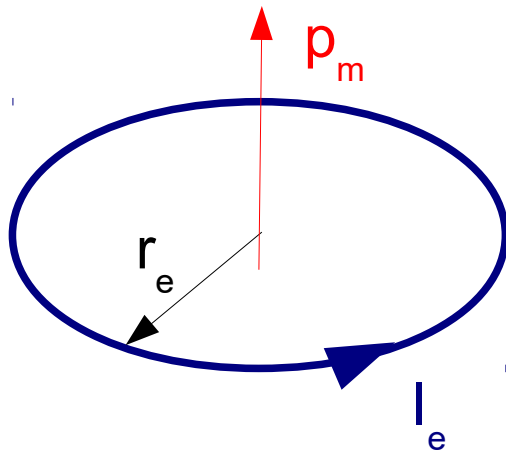
$\epsilon_r = 1 + \chi_e$ : relative permittivity

Dielectric behavior is a dynamic process, dependent on frequency ( $\epsilon_r = \epsilon_r' - i \epsilon_r''$ ,  $\epsilon_r''$  represents the losses):





Magnetic reaction of material is due to particle spins (magnetic moments  $\vec{p}_m$ ). It can be described by means of magnetic dipoles, i.e. by circulating elementary currents:



$$p_m = \pi r_e^2 I_e \rightarrow \vec{M} = n \vec{p}_m = \chi_m \vec{H}$$

$n$ : dipole density

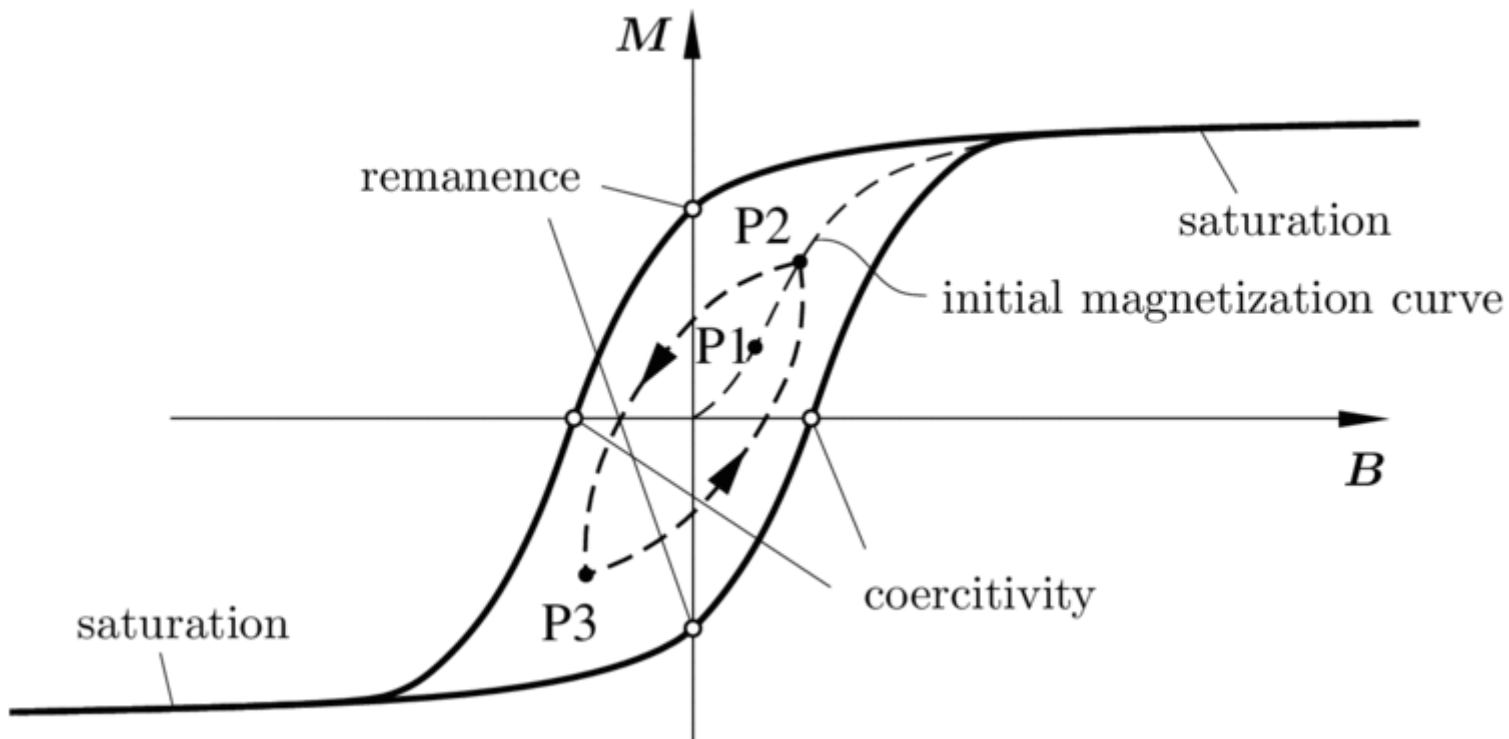
$\chi_m$ : magnetic susceptibility

Linear materials:

$$\vec{B} = \mu_0 \vec{H} + \mu_0 \vec{M} = \mu_0 \vec{H} + \mu_0 \chi_m \vec{H} = \mu_r \mu_0 \vec{H} = \mu \vec{H}$$

$$\mu_r = 1 + \chi_m: \text{ relative permeability}$$

For ferromagnetic materials the relation between the external field and the magnetization is non-linear and depends on the history of the material (hysteresis).



$$\vec{D} = \epsilon_0 \vec{E} + \epsilon_0 \chi \vec{P} \quad \text{and} \quad \vec{B} = \mu_0 \vec{H} + \mu_0 \vec{M}$$

take into account the reaction of the material due to fields  $E$  and  $H$ . The reaction is averaged over all atoms and/or molecules, i.e. over all elementary electric and magnetic dipoles.

In *many materials* the relations  $\vec{P} = \vec{P}(\vec{E})$  and  $\vec{M} = \vec{M}(\vec{H})$  are *linear*.

But in *general* they are *nonlinear*, *anisotropic*, i.e. dependent on the direction of  $\vec{E}$  or  $\vec{H}$ , and they are *time* or *frequency dependent*.

They may also include *losses*.

There are losses due to radiation and interaction between electric and magnetic dipoles. Losses are responsible for the imaginary parts.

$$\epsilon = \epsilon' - i\epsilon'' = \epsilon' (1 - i \tan \delta_\epsilon)$$

$$\tan \delta_\epsilon = \epsilon'' / \epsilon', \quad \delta_\epsilon \text{ electric loss angle}$$

$$\mu = \mu' - i\mu'' = \mu' (1 - i \tan \delta_\mu)$$

$$\tan \delta_\mu = \mu'' / \mu', \quad \delta_\mu \text{ magnetic loss angle}$$

There are also losses due to collisions between free charges

$$\vec{\nabla} \times \vec{H} = \vec{J} + i\omega \epsilon \vec{E} = \kappa \vec{E} + i\omega \epsilon \vec{E} = i\omega \epsilon [1 + \kappa / (i\omega \epsilon)] \vec{E}$$

$$\epsilon_c = \epsilon' - i\epsilon'' = \epsilon [1 - i\kappa / (\omega \epsilon)]$$

Most dielectrics:  $\tan(\delta_\epsilon) \ll 1$ ,  $\tan(\delta_\mu) \approx 0$

Good conductors:  $\kappa / \omega \epsilon \gg 1$  ( $|\vec{J}| \gg |\partial \vec{D} / \partial t|$ )  $\rightarrow \epsilon_c \approx \kappa / i\omega$

## Boundary / continuity conditions

Maxwell's theory is a continuum theory. It requires continuous, double differentiable functions.

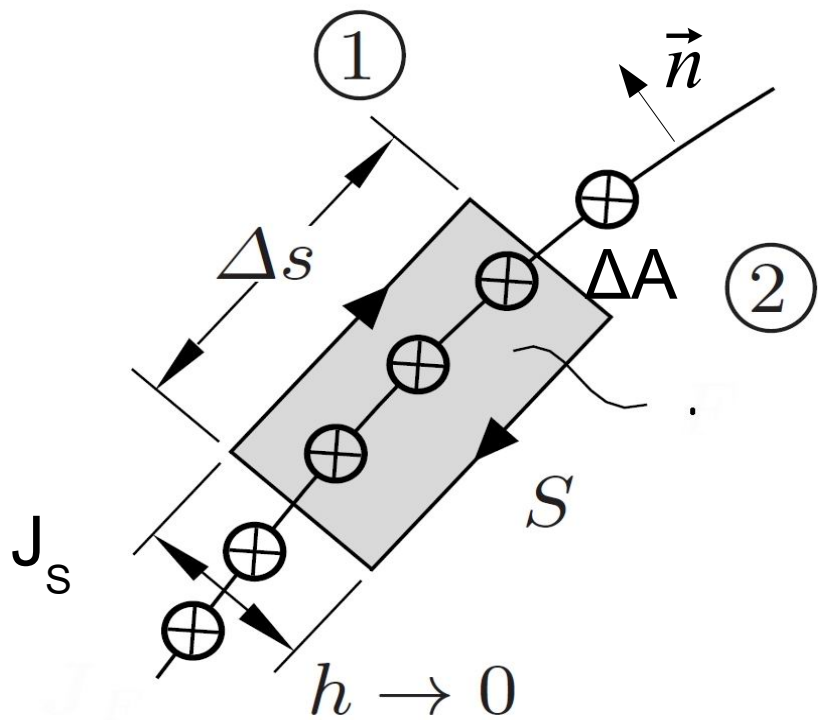
Solutions in different media have to be matched at the interface by boundary or continuity conditions.

Take Maxwell's equs. in integral form

$$\oint \vec{H}(\vec{r}, t) \cdot d\vec{s} = \iint \vec{J}(\vec{r}, t) \cdot d\vec{A} + \frac{d}{dt} \iint \vec{D}(\vec{r}, t) \cdot d\vec{A}$$

$$\oint \vec{E}(\vec{r}, t) \cdot d\vec{s} = -\frac{d}{dt} \iint \vec{B}(\vec{r}, t) \cdot d\vec{A}$$

and make an intelligent choice for the integration area:



$\Delta s$  is finite but small, such that the fields are constant, then

$$\begin{aligned}
 H_{t1} \Delta s - H_n h - H_{t2} \Delta s + H_n h &= \\
 &= J_s \Delta s + \frac{\partial}{\partial t} \iint_{\Delta A} \vec{D} \cdot \Delta \vec{A}
 \end{aligned}$$

for  $h \rightarrow 0$  it becomes

$$H_{t1} - H_{t2} = J_s$$

$$E_{t1} - E_{t2} = 0, \quad \text{correspondingly}$$

If medium 2 is perfectly electric conducting (pec) :

$$E_{t1} = 0, \quad H_{t1} = J_s$$

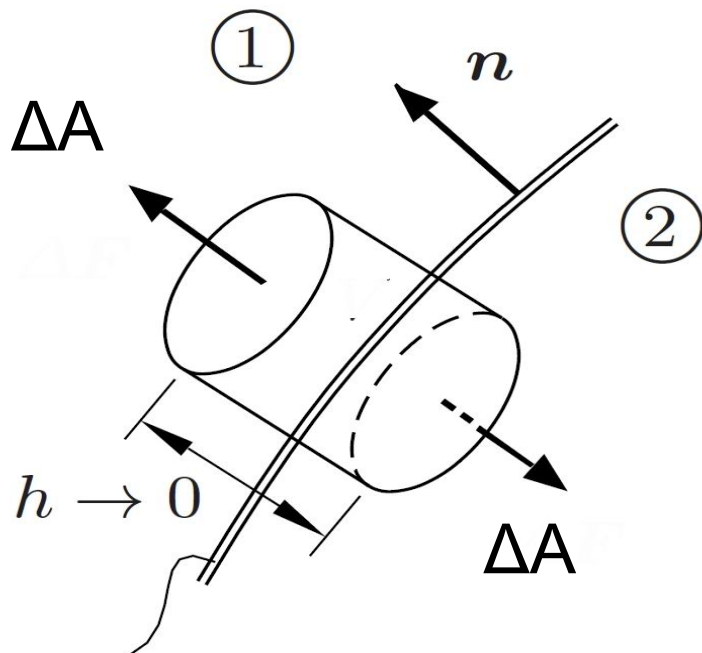
$J_s$  is a surface current density.

An intelligent choice of the integration volume:

$$\oiint \vec{D}(\vec{r}, t) \cdot d\vec{A} = \iiint \rho(\vec{r}, t) dV$$

$$\oiint \vec{B}(\vec{r}, t) \cdot d\vec{A} = 0$$

$$D_{n1} \Delta A - D_{n2} \Delta A + \iint_{\Delta A_{\text{cyl}}} \vec{D} \cdot d\vec{A} = \rho_s \Delta A$$



for  $h \rightarrow 0$  it becomes

$$D_{n1} - D_{n2} = \rho_s$$

$$B_{n1} - B_{n2} = 0, \quad \text{correspondingly}$$

If medium 2 is pec:  $D_{n1} = \rho_s, \quad B_{n1} = 0$

$\rho_s$

$\rho_s$  is a surface charge density.

# Application of Maxwell's equations

Electrostatic fields

( $H=0$ ,  $\delta/\delta t=0$ ,  $\epsilon=\text{const.}$ )

Maxwell's equations

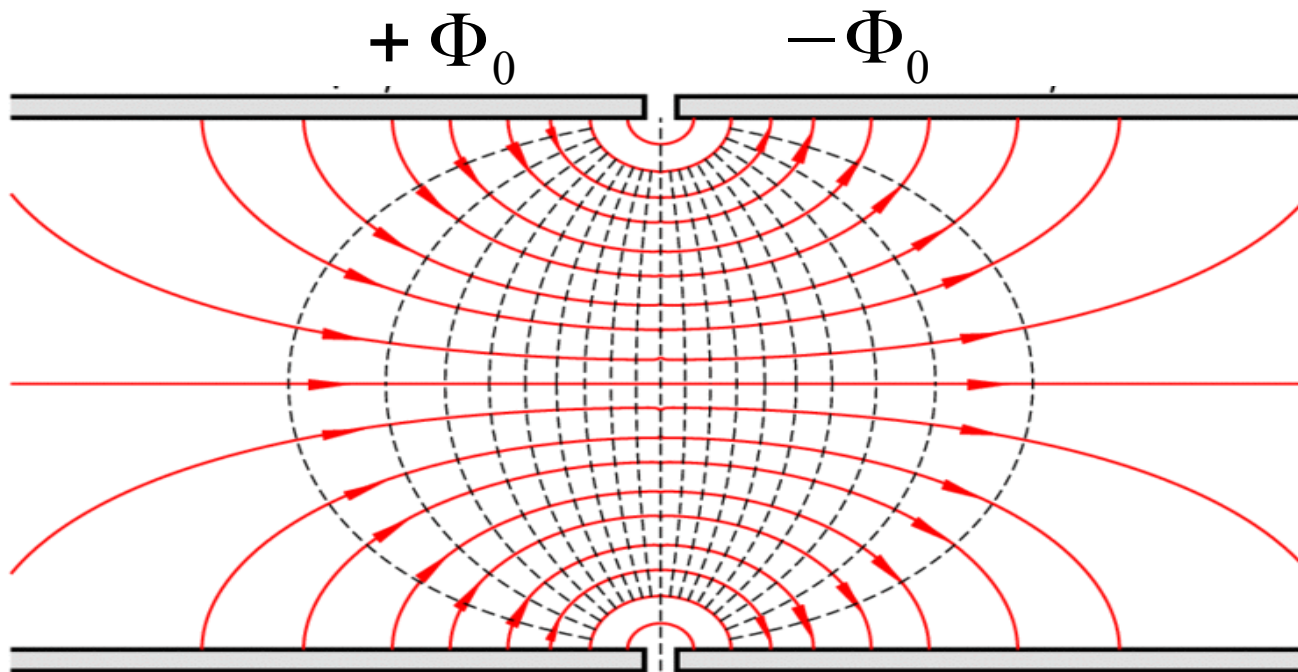
$$\vec{\nabla} \times \vec{E} = 0 \quad \rightarrow \quad \vec{E} = -\vec{\nabla} \Phi \quad \text{since} \quad \vec{\nabla} \times \vec{\nabla} \Phi \equiv 0$$
$$\vec{\nabla} \cdot \vec{D} = \rho$$

*Poisson equation:*

$$\vec{\nabla} \cdot \vec{D} = \vec{\nabla} \cdot (\epsilon \vec{E}) = \rho \quad \rightarrow \quad \vec{\nabla}^2 \Phi = -\frac{\rho}{\epsilon} \quad (1)$$



## Example: Two round tubes forming an electrostatic lens



E-field pattern

(1) becomes circular symmetric Laplace equation

$$\vec{\nabla}^2 \Phi = \frac{\partial^2 \Phi}{\partial \rho^2} + \frac{1}{\rho} \frac{\partial \Phi}{\partial \rho} + \frac{\partial^2 \Phi}{\partial z^2} = 0 \quad (2)$$

## Bernoulli ansatz

$$\Phi(\rho, z) = R(\rho) Z(z)$$

substituted in (2) and divided by RZ

$$\frac{1}{R} \frac{d^2 R}{d\rho^2} + \frac{1}{R\rho} \frac{dR}{d\rho} + \underbrace{\frac{1}{Z} \frac{d^2 Z}{dz^2}}_{k_z^2} = 0 \quad (3)$$

Last term is independent of  $\rho$  and must be constant. It yields

$$\frac{d^2 Z}{dz^2} - k_z^2 Z = 0$$

with solutions

$$Z = \begin{cases} C_0 + D_0 z, & k_z = 0 \\ C e^{k_z z} + D e^{-k_z z}, & k_z \neq 0 \end{cases}$$

## Condition at infinity

$$\Phi \text{ finite for } z = \pm\infty: C = D_0 = 0, \quad Z = \begin{cases} C_0, & k_z = 0 \\ D e^{-k_z|z|}, & k_z \neq 0 \end{cases}$$

The left over equ.(3) is the Bessel differential equation

$$\frac{d^2 R}{d\rho^2} + \frac{1}{\rho} \frac{dR}{d\rho} + k_z^2 R = 0$$

with solutions

$$R = \begin{cases} A_0 + B_0 \ln(\rho/\rho_0), & k_z = 0 \\ A J_0(k_z \rho) + B N_0(k_z \rho), & k_z \neq 0 \end{cases}$$

Condition at  $\rho \rightarrow 0$

$$\Phi \text{ finite for } \rho = 0: \quad B_0 = B = 0$$

$$\Phi = A_0 C_0 + A D J_0(k_z \rho) e^{-k_z|z|} \quad \text{for all } k_z$$

## Boundary conditions

$$\Phi = \begin{cases} -\Phi_0 & \text{for } \rho = a, z > 0 \\ +\Phi_0 & \text{for } \rho = a, z < 0 \end{cases} \quad (4)$$
$$A_0 C_0 = -\text{sign}(z) \Phi_0, \quad J_0(k_z a) = 0 \rightarrow k_{zn} a = j_{0n}$$

Using above conditions  $\Phi$  becomes

$$\Phi = \text{sign}(z) \left[ -\Phi_0 + \sum_{n=1}^{\infty} A_n J_0\left(j_{0n} \frac{\rho}{a}\right) e^{-j_{0n} |z|/a} \right] \quad (5)$$

and due to symmetry (4),  $\Phi(z=0)=0$ , (5) becomes

$$\Phi_0 = \sum_{n=1}^{\infty} A_n J_0\left(j_{0n} \frac{\rho}{a}\right) \quad (6)$$

To calculate the coefficients  $A_n$  we use a Fourier-Bessel expansion.

Multiplication of (6) with  $\rho J_0(j_{0m} \rho/a)$  and integration over  $\rho$

$$\Phi_0 \underbrace{\int_0^a J_0\left(j_{0m} \frac{\rho}{a}\right) \rho d\rho}_{\frac{a^2}{j_{0m}} J_1(j_{0m})} = \sum_{n=1}^{\infty} A_n \underbrace{\int_0^a J_0\left(j_{0n} \frac{\rho}{a}\right) J_0\left(j_{0m} \frac{\rho}{a}\right) \rho d\rho}_{\delta_m^n \frac{a^2}{2} J_1^2(j_{0m})}$$

gives the final result

$$\Phi = \text{sign}(z) \Phi_0 \left[ -1 + 2 \sum_{n=1}^{\infty} \frac{J_0\left(j_{0n} \frac{\rho}{a}\right)}{j_{0n} J_1(j_{0n})} e^{-j_{0n}|z|/a} \right]$$

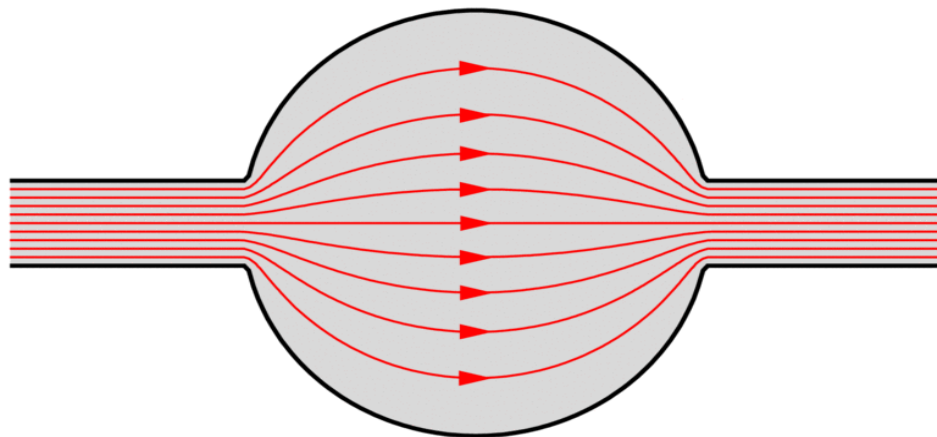
## Stationary currents

( $\delta/\delta t=0$ ,  $\kappa=\text{const.}$ )

Maxwell's equations:  $\vec{\nabla} \times \vec{H} = J$ ,  $\vec{\nabla} \times \vec{E} = 0 \rightarrow \vec{E} = -\vec{\nabla} \Phi$

$$\vec{\nabla} \cdot (\vec{\nabla} \times \vec{H}) = 0 = \vec{\nabla} \cdot \vec{J} = \vec{\nabla} \cdot (\kappa \vec{E}) \rightarrow \vec{\nabla}^2 \Phi = 0$$

similar to electrostatics but different boundary / continuity conditions:  $J_n = \kappa \vec{E}_n = -\kappa d\Phi/dn = 0$ .



J-field lines

## Magnetostatic fields

( $E=0$ ,  $\delta/\delta t=0$ ,  $\mu=\text{const.}$ )

Maxwell's equations

$$\vec{\nabla} \cdot \vec{B} = 0 \quad \rightarrow \quad \vec{B} = \vec{\nabla} \times \vec{A} \quad \text{since } \vec{\nabla} \cdot (\vec{\nabla} \times \vec{A}) = 0$$

$$\vec{\nabla} \times \vec{B} = \mu \vec{J} \quad \rightarrow \quad \vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = \vec{\nabla} (\vec{\nabla} \cdot \vec{A}) - \vec{\nabla}^2 \vec{A} = \mu \vec{J}$$

Vectorpotential  $\vec{A}$  is not fully determined. Substitution  $\vec{A} \rightarrow \vec{A} + \vec{\nabla} \psi$  (gauge transformation) does not change  $\vec{B} = \vec{\nabla} \times \vec{A}$ . Gauge  $\vec{\nabla} \cdot \vec{A} = 0$  yields vectorial Poisson equ.

$$\vec{\nabla}^2 \vec{A} = -\mu \vec{J}$$

The solution of which (see appendix A1) is

$$\vec{A}(\vec{r}) = \frac{\mu}{4\pi} \iiint \frac{\vec{J}(\vec{r}')}{R} dV'$$

## Quasi-stationary fields

$$|\vec{J}| = \kappa |\vec{E}| \gg \left| \frac{d\vec{D}}{dt} \right| = \omega \epsilon |\vec{E}| \rightarrow \frac{\epsilon}{\kappa} = T_r \ll \frac{1}{\omega} = \frac{T}{2\pi}$$

$T_r$  is called relaxation time

Maxwell's equations

$$\vec{\nabla} \times \vec{B} = \mu \vec{J}, \quad \vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}, \quad \vec{\nabla} \cdot \vec{D} = \rho, \quad \vec{\nabla} \cdot \vec{B} = 0$$

Potentials

$$\vec{\nabla} \cdot \vec{B} = 0 \rightarrow \vec{B} = \vec{\nabla} \times \vec{A}$$

$$\vec{\nabla} \times \vec{E} = -\vec{\nabla} \times \frac{\partial \vec{A}}{\partial t}, \quad \vec{\nabla} \times \left( \vec{E} + \frac{\partial \vec{A}}{\partial t} \right) = 0 \rightarrow \vec{E} = -\vec{\nabla} \Phi - \frac{\partial \vec{A}}{\partial t}$$



$$\begin{aligned}\vec{\nabla} \times \vec{B} &= \vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = \vec{\nabla} (\vec{\nabla} \cdot \vec{A}) - \vec{\nabla}^2 \vec{A} = \\ &= \mu \vec{J} = \mu \kappa \vec{E} = -\vec{\nabla} (\mu \kappa \Phi) - \mu \kappa \frac{\partial \vec{A}}{\partial t}\end{aligned}$$

$\vec{A}$  and  $\Phi$  are not fully determined. Substituting

$$\vec{A} \rightarrow \vec{A} + \vec{\nabla} \psi, \quad \Phi \rightarrow \Phi - \frac{\partial \psi}{\partial t}$$

does not change  $\vec{B} = \vec{\nabla} \times \vec{A}$ ,  $\vec{E} = -\vec{\nabla} \Phi - \frac{\partial \vec{A}}{\partial t}$

So, use gauge:  $\vec{\nabla} \cdot \vec{A} = -\mu \kappa \Phi$

$$\rightarrow \vec{\nabla}^2 \vec{A} - \mu \kappa \frac{\partial \vec{A}}{\partial t} = 0 \quad \text{vectorial diffusion equation}$$

## Poynting's theorem

( $\epsilon$ ,  $\mu$ ,  $\kappa = \text{const.}$  and real,  $\mathbf{J} = \kappa \mathbf{E}$ , full set of Maxwell's equations)

If fields move a charge  $\rho dV$  by a distance  $\delta s$  in the interval  $\delta t$ , the work done by the fields (dissipated power) is

$$d \frac{\delta W}{\delta t} = d \vec{f} \cdot \frac{\delta \vec{s}}{\delta t} = \rho dV (\vec{E} + \vec{v} \times \vec{B}) \cdot \vec{v} = \vec{E} \cdot \rho \vec{v} dV = \vec{E} \cdot \vec{J} dV$$

Express  $\vec{E} \cdot \vec{J}$  with the aid of Maxwell's equations

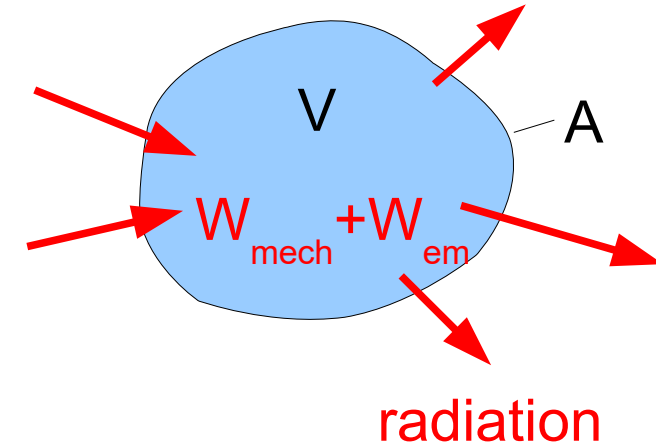
$$\vec{E} \cdot \vec{\nabla} \times \vec{H} = \vec{J} + \frac{\partial \vec{D}}{\partial t}$$

$$-\vec{H} \cdot \vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

$$\rightarrow -\vec{\nabla} \cdot (\vec{E} \times \vec{H}) = \vec{E} \cdot \vec{J} + \frac{\partial}{\partial t} \left[ \frac{1}{2} \vec{E} \cdot \vec{D} + \frac{1}{2} \vec{H} \cdot \vec{B} \right]$$

We get Poynting's theorem after integration over  $V$  and application of Gauss' law:

$$\begin{aligned}
 & -\oiint (\vec{E} \times \vec{H}) \cdot d\vec{A} = \\
 & = \iiint \vec{E} \cdot \vec{J} dV + \frac{\partial}{\partial t} \iiint \left( \frac{1}{2} \vec{E} \cdot \vec{D} + \frac{1}{2} \vec{H} \cdot \vec{B} \right) dV
 \end{aligned}$$



Poynting vector (radiation flux)	$\vec{S} = \vec{E} \times \vec{H}$
dissipated power density	$\rho_d = \vec{E} \cdot \vec{J}$
electric energy density	$w_e = (1/2) \vec{E} \cdot \vec{D}$
magnetic energy density	$w_m = (1/2) \vec{H} \cdot \vec{B}$

Energy radiated into the volume  $V$  equals the dissipation plus the increase of stored electromagnetic energy in  $V$ .

## Poynting's theorem for time-harmonic fields (see appendix 2)

For time-harmonic fields it is e.g.  $\vec{E} = \Re [\tilde{E} e^{i\omega t}]$

and Poynting's theorem becomes

$$-\oint \vec{S}_c \cdot d\vec{A} = \iiint \bar{p}_d dV + i2\omega \iiint (\bar{w}_m - \bar{w}_e) dV \quad (1)$$

complex, time-averaged radiation flux:  $\vec{S}_c = \frac{1}{2} \tilde{E} \times \tilde{H}^*$

time-averaged electric and magnetic energy density  $\bar{w}_e = \frac{1}{4} \tilde{E} \cdot \tilde{D}^*$ ,  $\bar{w}_m = \frac{1}{4} \tilde{H} \cdot \tilde{B}^*$

time-averaged dissipated power density  $\bar{p}_d = \frac{1}{2} \tilde{E} \cdot \tilde{J}^*$

Real part of (1) gives the time-averaged active power (dissipation) and the imaginary part the reactive power.

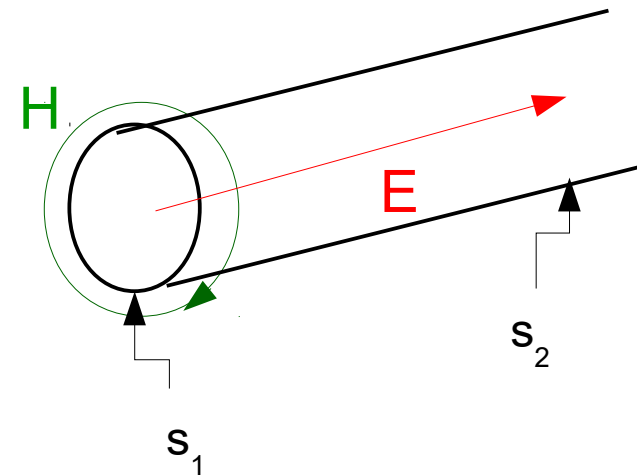
In good conductors is  $W_m \gg W_e$  ( $|E| \ll |H|$ )

$$-\oiint \vec{S}_c \cdot d\vec{A} = \bar{P}_c = \bar{P}_d + i2\omega \bar{W}_m$$

This allows to calculate the resistance and internal inductance of a conductor. We define

$$I^* = \oint \vec{H}^* \cdot d\vec{s}$$

$$U = \int_{s_1}^{s_2} \vec{E} \cdot d\vec{s} = I(R + i\omega L_i)$$



and obtain

$$\bar{P}_c = \frac{1}{2} U I^* = \frac{1}{2} |I|^2 (R + i\omega L_i) = \bar{P}_d + i2\omega \bar{W}_m$$

## Electromagnetic waves

$$(\epsilon, \mu = \text{const.}, \rho = J = 0)$$

The simplest electromagnetic wave is a **plane wave**. It depends only on one space variable (direction of propagation) and on the time.

$$\vec{E} = \vec{E}(z, t), \quad \vec{H} = \vec{H}(z, t)$$

First two Maxwell's eqs.  $\vec{\nabla} \times \vec{H} = \epsilon \frac{\partial \vec{E}}{\partial t}$ ,  $\vec{\nabla} \times \vec{E} = -\mu \frac{\partial \vec{H}}{\partial t}$

give two sets of uncoupled equations:

$$\begin{aligned} -\frac{\partial H_y}{\partial z} &= \epsilon \frac{\partial E_x}{\partial t} & \frac{\partial E_x}{\partial z} &= -\mu \frac{\partial H_y}{\partial t} \\ \frac{\partial H_x}{\partial z} &= \epsilon \frac{\partial E_y}{\partial t} & -\frac{\partial E_y}{\partial z} &= -\mu \frac{\partial H_x}{\partial t} \end{aligned}$$

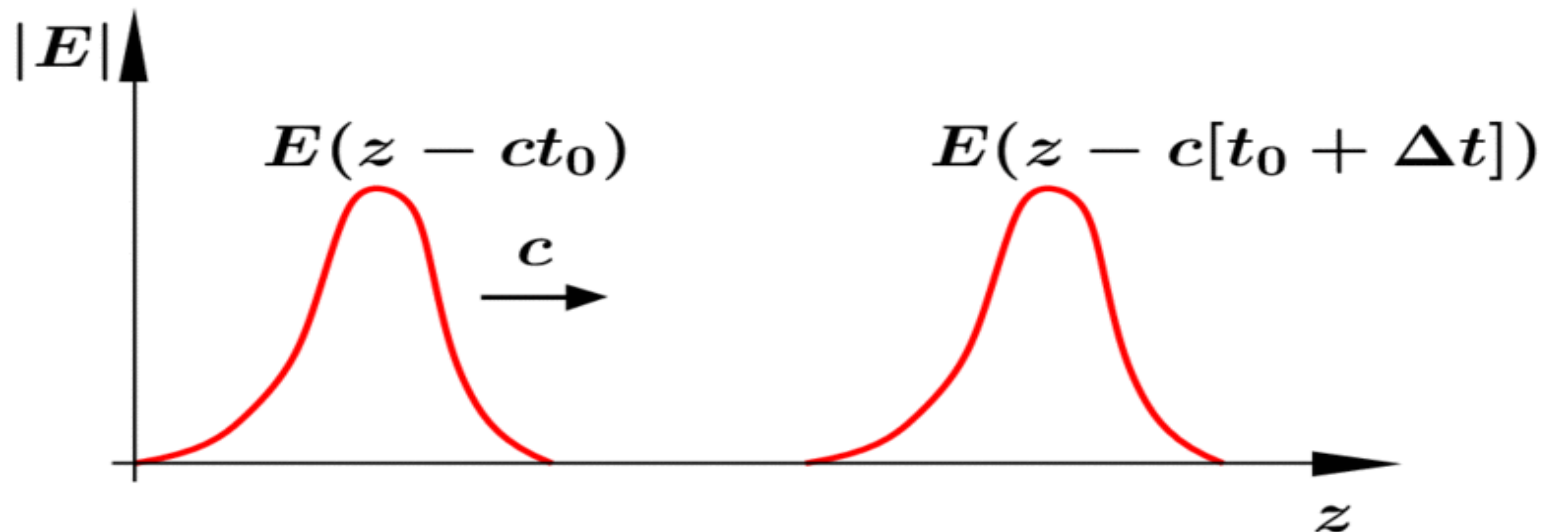
From the red set e.g. follows the wave equation

$$\frac{\partial^2 E_x}{\partial z^2} - \frac{1}{c^2} \frac{\partial^2 E_x}{\partial t^2} = 0, \quad c = \frac{1}{\sqrt{\mu \epsilon}}$$

with d'Alembert's solution

$$E_x = f(z - ct) + g(z + ct) = E_x^+ + E_x^-$$

$$ZH_y = f(z - ct) - g(z + ct) = ZH_y^+ - ZH_y^-, \quad Z = \sqrt{\frac{\mu}{\epsilon}}$$



Similar solutions follow from the blue set with  $E_y$  and  $H_x$ .

*velocity of light:*  $c = \frac{1}{\sqrt{\mu \epsilon}}$

*wave impedance:*  $Z = \sqrt{\frac{\mu}{\epsilon}}$   
 $\approx 377 \Omega$  in free space

*field properties:*

$$\vec{E} \perp \vec{H}$$

$$\vec{S} = \vec{E} \times \vec{H} \rightarrow \text{direction of propagation}$$

$\vec{E}, \vec{H}$  are  $\perp$  to direction of propagation

$$E^+ / H^+ = -E^- / H^- = Z$$



## Time-harmonic plane wave

$$\left(\frac{\partial}{\partial t} = i\omega, \epsilon_r = \epsilon_r' - i\epsilon_r''\right)$$

Wave equation becomes Helmholtz equation:

$$\frac{\partial^2 E_x}{\partial z^2} + k^2 E_x = 0, \quad k = \omega \sqrt{\mu \epsilon}$$

$$E_x = A e^{i(\omega t - kz)} + B e^{i(\omega t + kz)} = E_x^+ + E_x^-$$
$$ZH_y = A e^{i(\omega t - kz)} - B e^{i(\omega t + kz)} = ZH_y^+ - ZH_y^-$$

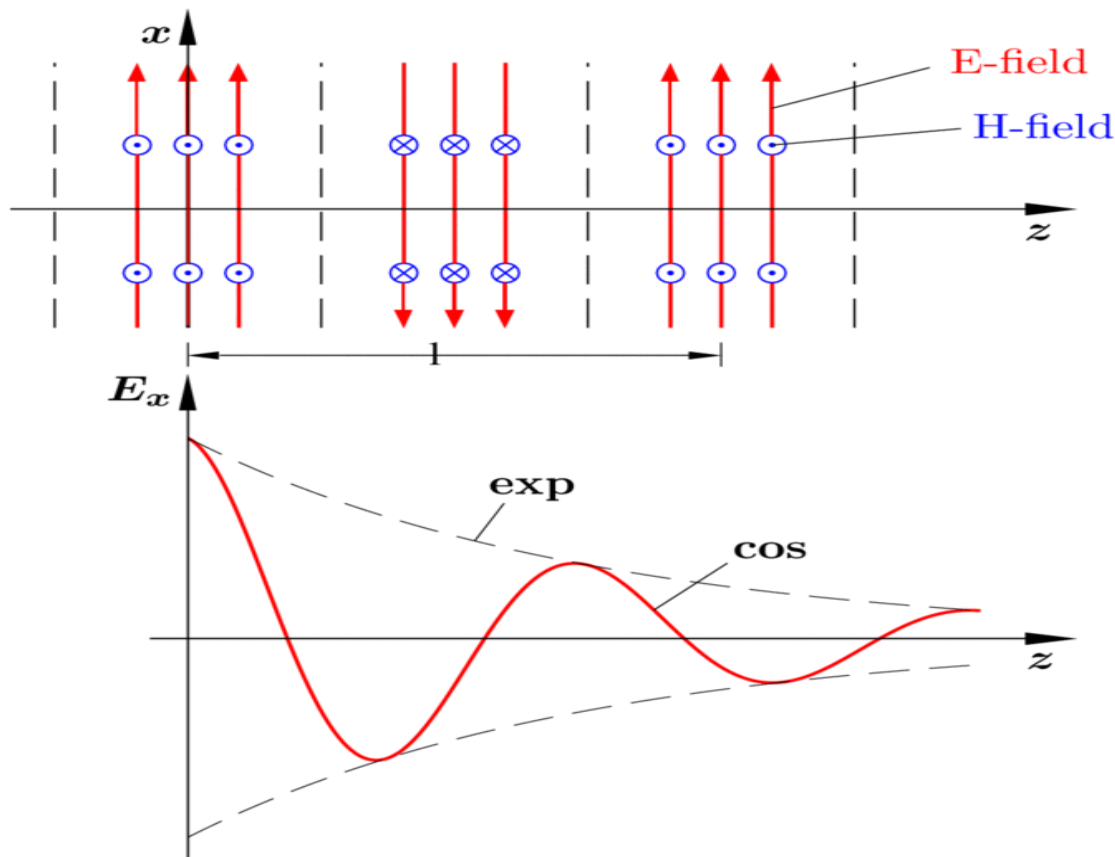
loss-free material:  $k = \omega/c = 2\pi/\lambda$

lossy dielectric:  $k = \omega \sqrt{\mu \epsilon_r \epsilon_0} = \beta - i\alpha$

$\alpha$ : *attenuation constant*,  $\beta$ : *phase constant*

$$\frac{\beta}{k_0} = \sqrt{\frac{\epsilon_r'}{2} + \frac{\epsilon_r'}{2} \sqrt{1 + \left(\frac{\epsilon_r''}{\epsilon_r'}\right)^2}}, \quad \frac{\alpha}{k_0} = \sqrt{-\frac{\epsilon_r'}{2} + \frac{\epsilon_r'}{2} \sqrt{1 + \left(\frac{\epsilon_r''}{\epsilon_r'}\right)^2}}$$

real physical field:  $E_x^+ = \Re A e^{i(\omega t - kz)} = A \cos(\omega t - \beta z) e^{-\alpha z}$



Low-loss dielectrics:  $\epsilon_r'' \ll \epsilon_r'$

$$\beta \approx \sqrt{\epsilon_r'} k_0, \quad \alpha \approx \frac{1}{2} \frac{\epsilon_r''}{\sqrt{\epsilon_r'}} k_0, \quad Z \approx \frac{Z_0}{\sqrt{\epsilon_r'}} \left( 1 + \frac{i}{2} \frac{\epsilon_r''}{\epsilon_r'} \right)$$

Example: Polyamide (nylon),  $\kappa = 10^{-8} \Omega^{-1} \text{m}^{-1}$ ,  $\epsilon_r = 3$ ,  $f = 10 \text{MHz}$   
11% attenuation in 100km,  $\text{arc } Z \approx 10^{-4}^\circ$

Very good conductors (metallic):  $\epsilon_r'' \approx -i\kappa/\omega \gg \epsilon_r'$

$$\beta \approx \alpha \approx \sqrt{\frac{\omega \mu \kappa}{2}} = \frac{1}{\delta_s}, \quad Z \approx (1+i) \frac{\alpha}{\kappa}, \quad \text{arc } Z = 45^\circ$$

Skin depth ( $z = \delta_s$ ):  $e^{-\alpha \delta_s} = \frac{1}{e} \rightarrow \alpha \delta_s = 1$

In general,  $\beta$  is a function of  $\omega$  and is called dispersion relation. Developing  $\beta$  around  $\omega_0$

$$\beta(\omega) = \beta(\omega_0) + \left( \frac{d\beta}{d\omega} \right)_{\omega_0} d\omega + O[(d\omega)^2]$$

## Phase velocity

$$\phi = \omega t \mp \beta z = \text{const.} \quad \rightarrow \quad \frac{d\phi}{dt} = \omega \mp \beta \frac{dz}{dt} = \omega \mp \beta v_{ph} = 0$$

$$v_{ph} = \pm \frac{\omega}{\beta(\omega_0)}$$

$v_{ph}$  has no physical importance. Monochromatic waves carry no information.

Example: Water waves at shore

**Group velocity** (velocity with which a signal propagates)

As an example take two plane waves with  $\omega_1$  and  $\omega_2$

$$\omega_1 = \omega_0 + \delta\omega, \quad \omega_2 = \omega_0 - \delta\omega$$

$$\beta_1 = \beta_0 + \delta\beta, \quad \beta_2 = \beta_0 - \delta\beta$$

$$\Re [e^{i(\omega_1 t - \beta_1 z)} + e^{i(\omega_2 t - \beta_2 z)}] = 2 \cos(\delta\omega t - \delta\beta z) \cos(\omega_0 t - \beta_0 z)$$

$$v_g = \frac{\delta\omega}{\delta\beta} \rightarrow v_g = \left( \frac{d\omega}{d\beta} \right)_{\omega_0}$$

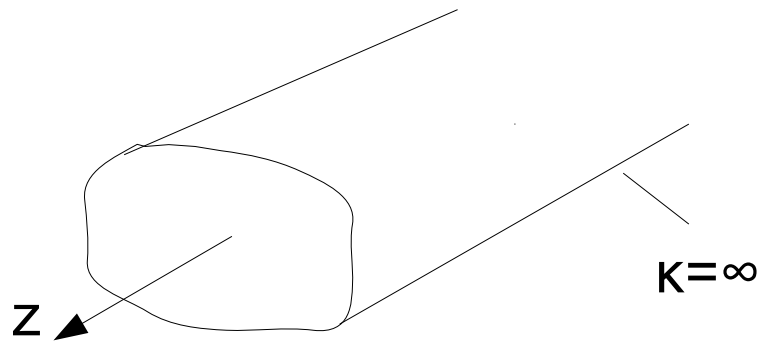
$v_g$  is the velocity with which the envelope propagates.

Signals with **small** bandwidth  $2\delta\omega$  propagate with  $v_g$ .

**Large** bandwidth signals require higher order terms  $O((\delta\omega)^2)$ .

**Energy velocity**, see appendix 3.

# Cylindrical, ideal conducting waveguides



Substituting one of the 2 first Maxwell's equ. into the other gives a 2<sup>nd</sup> order diff. equ., which requires 2 independent functions. The 3<sup>d</sup> and 4<sup>th</sup> equ. are additional conditions. These conditions and the required independent functions are fulfilled by

$$\begin{aligned}\vec{\nabla} \cdot \vec{E} = 0 &\rightarrow \vec{E}^{TE} = \vec{\nabla} \times \vec{A}^{TE}, & \vec{A}^{TE} = A^{TE} \vec{e}_z, & TE\text{-waves} \\ \vec{\nabla} \cdot \vec{H} = 0 &\rightarrow \vec{H}^{TM} = \vec{\nabla} \times \vec{A}^{TM}, & \vec{A}^{TM} = A^{TM} \vec{e}_z, & TM\text{-waves}\end{aligned}$$

With the vector potentials  $\vec{A}$  one gets e.g. for TE-waves

$$\begin{aligned}\vec{\nabla} \times \vec{H} &= \epsilon \frac{\partial \vec{E}}{\partial t} = \epsilon \frac{\partial}{\partial t} \vec{\nabla} \times \vec{A} \quad \rightarrow \quad \vec{\nabla} \times \left( \vec{H} - \epsilon \frac{\partial \vec{A}}{\partial t} \right) \\ &\rightarrow \quad \vec{H} = \vec{\nabla} \Phi + \epsilon \frac{\partial \vec{A}}{\partial t}\end{aligned}$$

and from Maxwell's 2<sup>nd</sup> equ.

$$\begin{aligned}\vec{\nabla} \times \vec{E} &= -\mu \frac{\partial \vec{H}}{\partial t} \quad \rightarrow \\ \vec{\nabla} \times (\vec{\nabla} \times \vec{A}) &= \vec{\nabla} (\vec{\nabla} \cdot \vec{A}) - \vec{\nabla}^2 \vec{A} = -\vec{\nabla} \left( \mu \frac{\partial \Phi}{\partial t} \right) - \mu \epsilon \frac{\partial^2 \vec{A}}{\partial t^2}\end{aligned}$$

$\vec{A}, \Phi$  are not fully determined. Substituting

$$\vec{A} \rightarrow \vec{A} + \vec{\nabla} \psi, \quad \Phi \rightarrow \Phi - \epsilon \partial \psi / \partial t$$

yields the same  $\vec{E}, \vec{H}$ .

One can make a gauge-transformation and choose e.g. the Lorenz gauge

$$\vec{\nabla} \cdot \vec{A} = -\mu \frac{\partial \Phi}{\partial t}$$

which results in a vectorial wave equ.

$$\vec{\nabla}^2 \vec{A} - \frac{1}{c^2} \frac{\partial^2 \vec{A}}{\partial t^2} = 0$$

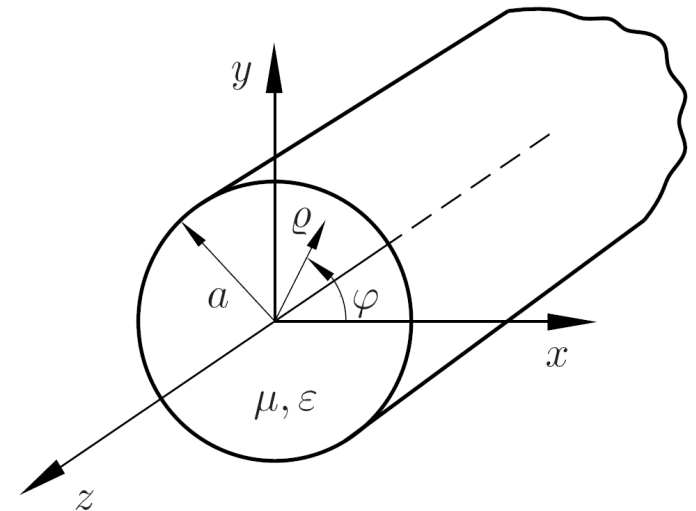
Similarly, we proceed for the TM-case and obtain the same equ.. Since  $A$  has only a cartesian component, the vectorial wave equ. becomes a scalar one and in case of time-harmonic fields a scalar Helmholtz equ.

$$\vec{\nabla}^2 A^p + k^2 A^p = 0, \quad k = \frac{\omega}{c} = \omega \sqrt{\mu \epsilon}, \quad p = \left\{ \begin{array}{l} TE \\ TM \end{array} \right\}$$



## Circular waveguide

*Helmholtz equ. for  
circular cylinder coordinates :*



$$\frac{1}{\rho} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial A}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2 A}{\partial \varphi^2} + \frac{\partial^2 A}{\partial z^2} + k^2 A = 0 \quad (1)$$

Bernoulli ansatz:  $A = R(\rho) \Phi(\varphi) Z(z)$

Substituted in (1) and division by  $R\Phi Z$

$$\frac{1}{\rho R} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial R}{\partial \rho} \right) + \frac{1}{\rho^2 \Phi} \frac{\partial^2 \Phi}{\partial \varphi^2} + \underbrace{\frac{1}{Z} \frac{\partial^2 Z}{\partial z^2}}_{-k_z^2} + k^2 = 0 \quad (2)$$

$$\frac{d^2 Z}{dz^2} + k_z^2 Z = 0 \quad \rightarrow \quad Z = C_1 e^{-ik_z z} + C_2 e^{ik_z z} \quad \rightarrow \quad C_1 e^{-ik_z z}$$

for waves propagating in +z-direction

(2) becomes with  $k_z$

$$\frac{\rho}{R} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial R}{\partial \rho} \right) + \underbrace{\frac{1}{\Phi} \frac{\partial^2 \Phi}{\partial \varphi^2}}_{-k_\mu^2} + \rho^2 (k^2 - k_z^2) = 0 \quad (3)$$

$$\begin{aligned} \frac{d^2 \Phi}{d\varphi^2} + k_\mu^2 \Phi = 0 &\quad \rightarrow \quad \Phi = C_3 \cos(k_\mu \varphi) + C_4 \sin(k_\mu \varphi) \\ &\quad \rightarrow \quad \Phi = C_3 \cos(m \varphi) \end{aligned}$$

because of  $2\pi$ -periodicity and free choice of origin

With  $m$  and  $k_z$  (3) becomes Bessel's equ.

$$\frac{\partial^2 R}{\partial \rho^2} + \frac{1}{\rho} \frac{\partial R}{\partial \rho} + \left[ k_c^2 - \frac{m^2}{\rho^2} \right] R = 0, \quad k_c = \sqrt{k^2 - k_z^2}$$

$$R = C_5 J_m(k_c \rho) + C_6 N_m(k_c \rho) \rightarrow R = C_5 J_m(k_c \rho)$$

because Neumann's function is infinite at  $\rho=0$

*Vector potential:*

$$A = C_m \cos(m\varphi) J_m(k_c \rho) e^{-ik_z z}$$

*TE-waves:*  $\vec{E} = \vec{\nabla} \times (A \vec{e}_z)$

$$E_\varphi = -\partial A / \partial \rho \sim J_m'(k_c \rho)$$

$$E_\varphi(\rho = a) = 0 \rightarrow k_{cmn} a = j'_{mn}$$

$j'_{mn}$ :  $n^{\text{th}}$  non vanishing zero of  $J'_m$

$$E_\rho = \frac{1}{\rho} \frac{\partial A}{\partial \phi} = -\frac{m}{\rho} C_{mn} \sin(m\varphi) J_m\left(j'_{mn} \frac{\rho}{a}\right) e^{-ik_z z}$$

$$E_\varphi = -\frac{\partial A}{\partial \rho} = -\frac{j'_{mn}}{a} C_{mn} \cos(m\varphi) J'_m\left(j'_{mn} \frac{\rho}{a}\right) e^{-ik_z z}$$

$$\vec{\nabla} \times \vec{E} = -i\omega\mu \vec{H}:$$

$$H_\rho = \frac{k_z}{\omega\mu} \frac{j'_{mn}}{a} C_{mn} \cos(m\varphi) J'_m\left(j'_{mn} \frac{\rho}{a}\right) e^{-ik_z z}$$

$$H_\varphi = -\frac{k_z}{\omega\mu} \frac{m}{\rho} C_{mn} \sin(m\varphi) J_m\left(j'_{mn} \frac{\rho}{a}\right) e^{-ik_z z}$$

$$H_z = \frac{-1}{i\omega\mu} \left(\frac{j'_{mn}}{a}\right)^2 C_{mn} \cos(m\varphi) J_m\left(j'_{mn} \frac{\rho}{a}\right) e^{-ik_z z}$$

$$TM\text{-waves: } \vec{H} = \vec{\nabla} \times (A \vec{e}_z), \quad \vec{\nabla} \times \vec{H} = i \omega \epsilon \vec{E}$$

$$E_z = \frac{k_c^2}{i \omega \epsilon} A \sim J_m(k_c \rho), \quad E_z(\rho = a) = 0 \rightarrow k_{cmn} a = j_{mn}$$

$$H_\rho = -\frac{m}{\rho} D_{mn} \sin(m \varphi) J_m\left(j_{mn} \frac{\rho}{a}\right) e^{-ik_z z}$$

$$H_\varphi = -\frac{j_{mn}}{a} D_{mn} \cos(m \varphi) J'_m\left(j_{mn} \frac{\rho}{a}\right) e^{-ik_z z}, \quad H_z = 0$$

$$E_\rho = -\frac{k_z}{\omega \epsilon} \frac{j_{mn}}{a} D_{mn} \cos(m \varphi) J'_m\left(j_{mn} \frac{\rho}{a}\right) e^{-ik_z z}$$

$$E_\varphi = \frac{k_z}{\omega \epsilon} \frac{m}{\rho} D_{mn} \sin(m \varphi) J_m\left(j_{mn} \frac{\rho}{a}\right) e^{-ik_z z}$$

$$E_z = \frac{1}{i \omega \epsilon} \left(\frac{j_{mn}}{a}\right)^2 D_{mn} \cos(m \varphi) J_m\left(j_{mn} \frac{\rho}{a}\right) e^{-ik_z z}$$

The ratio of the transverse field components is the field (wave) impedance

$$Z_F = \frac{E_\rho}{H_\varphi} = -\frac{E_\varphi}{H_\rho} = \left\{ \begin{array}{l} Z_F^{TE} = \frac{\omega \mu}{k_z} \\ Z_F^{TM} = \frac{k_z}{\omega \epsilon} \end{array} \right.$$

The dependence of the propagation constant  $k_z$  on frequency is the dispersion relation

$$k_{cmn}^2 = k^2 - k_{zmn}^2 \rightarrow k_{zmn} = \sqrt{k^2 - k_{cmn}^2}$$

$$k_{zmn} = \left\{ \begin{array}{ll} \text{real} & k > k_{cmn} \quad \textit{propagation} \\ 0 & \textit{for} \quad k = k_{cmn} \\ \text{imaginary} & k < k_{cmn} \quad \textit{attenuation} \end{array} \right.$$

*critical wavenumber* :  $k_{cmn} = \begin{cases} j_{mn}'/a & \text{for } TE \\ j_{mn}/a & \text{for } TM \end{cases}$

*cutoff frequency* :  $f_{cmn} = c k_{cmn} / 2\pi$

*cutoff wavelength* :  $\lambda_{cmn} = 2\pi / k_{cmn}$

*guide wavelength* :  $\lambda_{zmn} = 2\pi / k_{zmn} = \frac{\lambda}{\sqrt{1 - (\lambda/\lambda_{cmn})^2}}$

*free space wavelength*  $\lambda$

*energy flux density*  $S_{cz} = \frac{1}{2} (\vec{E} \times \vec{H}^*)_z = \frac{1}{2} Z_F (|H_\rho|^2 + |H_\phi|^2)$

$$= \begin{cases} \text{imaginary} & k < k_c \\ 0 & \text{for } k = k_c \\ \text{real} & k > k_c \end{cases}$$

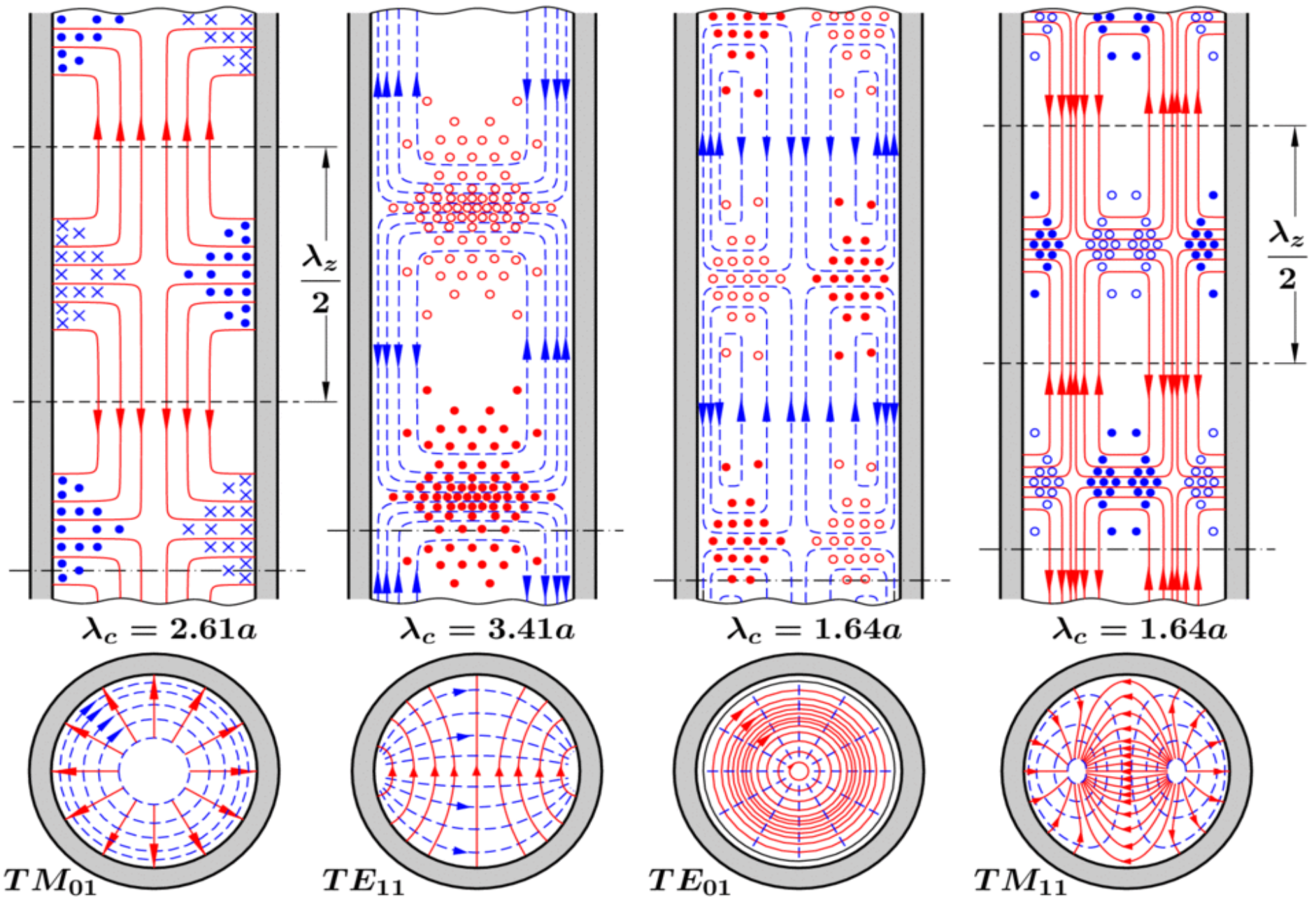
Each  $mn$  defines a certain (eigen-) mode. The general solution is the linear combination of all modes

$$\vec{E} = \sum_m \sum_n (\vec{E}_{mn}^{TE} + \vec{E}_{mn}^{TM}), \quad \vec{H} = \sum_m \sum_n (\vec{H}_{mn}^{TE} + \vec{H}_{mn}^{TM})$$

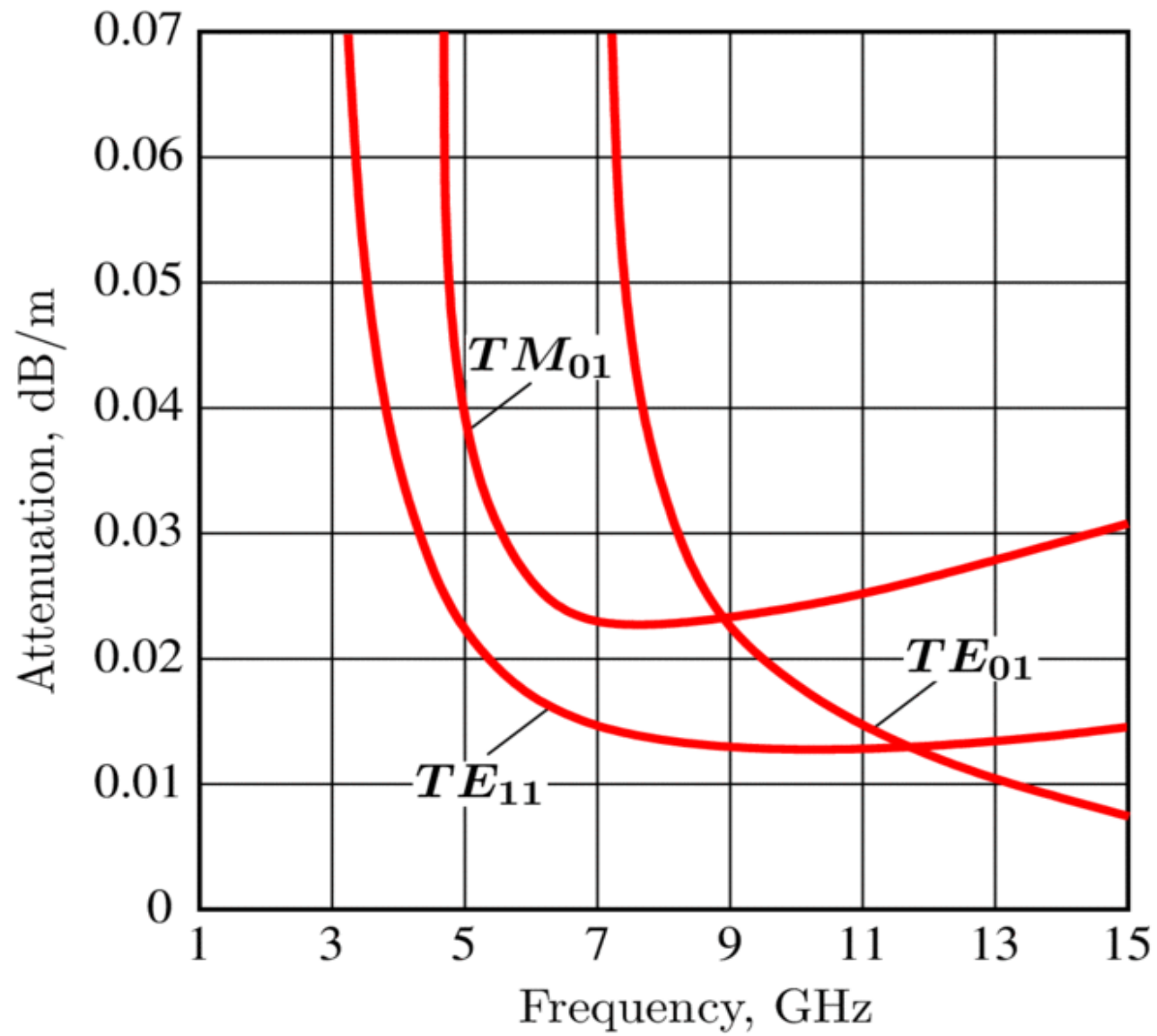
Modes are normally sorted referring to their cutoff frequency:

type	m	n	( $f_c$ / GHz)(a/cm)
TE	1	1	8.78
TM	0	1	11.46
TE	2	1	14.56
TE/TM	0/1	1/1	18.29
TE	3	1	20.05



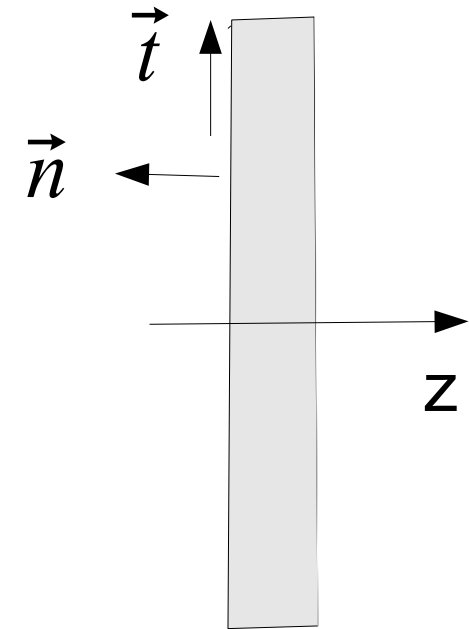


# Copper waveguide with $a=2.5$ cm



## Impedance boundary condition on good conductors

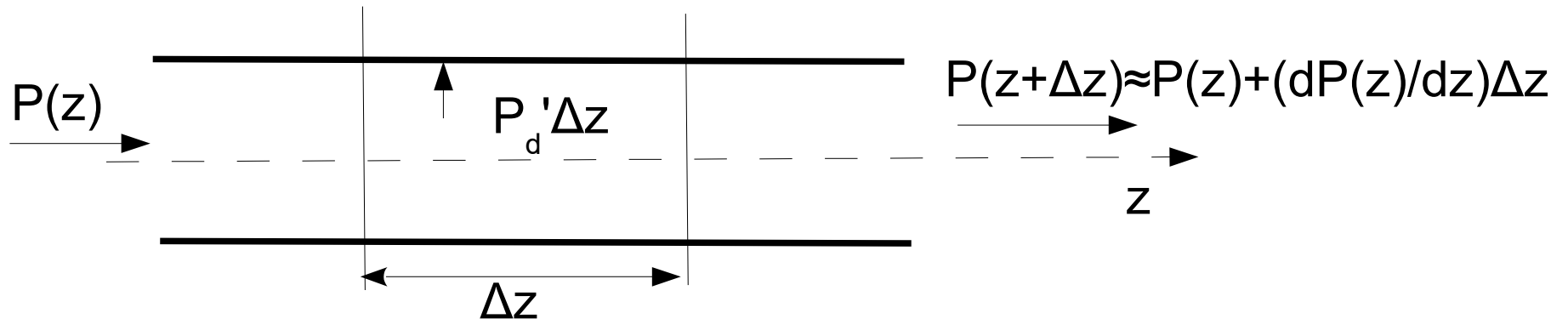
1. In metals (high conductivity  $\kappa$ ) we can neglect the displacement current compared to the conduction current, ( $| \delta D / \delta t | \ll | J |$ ).
2. On metallic surfaces is approximately  $E_{\perp}$ ,  $H_{\parallel}$ .
3. Tangential to the surface the typical length of change is  $\lambda_0$ .  
Normal to the surface, in the metal, the typical length of change is  $\delta_s \ll \lambda_0$ .
4. Assumptions 1 through 3 allow for the derivation of a very good approximation for the tangential surface fields (see appendix A2)



$$\vec{E}_{t0} \approx Z_w (\vec{n} \times \vec{H}_{t0}), \quad Z_w = \frac{1+i}{\kappa \delta_s}, \quad \text{wall impedance}$$

# Attenuation in waveguides

(power-loss method)



conservation of power:  $\frac{dP(z)}{dz} = -P'_d$

$$\vec{E}, \vec{H} \sim e^{-\alpha z}, P(z) \sim e^{-2\alpha z} \rightarrow \frac{dP(z)}{dz} = -2\alpha P(z) = -P'_d$$

dissipation per waveguide surface area:

$$\frac{\Delta P_d}{\Delta A} = -\vec{n} \cdot \Re(\vec{S}_c) = -\frac{1}{2} \Re(\vec{n} \cdot (\vec{E}_{t0} \times \vec{H}_{t0}^*)) = \frac{1}{2} \Re(Z_w) |\vec{H}_{t0}|^2$$

$$\frac{\Delta P_d}{\Delta A} = \frac{1}{2 \kappa \delta_s} |\vec{H}_{t0}|^2$$

*dissipation per waveguide length:*

$$P_d' = \frac{1}{2 \kappa \delta_s} \oint |\vec{H}_{t0}|^2 ds$$

*transported active power:*

$$\begin{aligned} P(z) &= \iint \Re(\vec{S}_c) \cdot d\vec{A} = \frac{1}{2} \iint \Re(\vec{E} \times \vec{H}^*) \cdot \vec{e}_z dA = \\ &= \frac{1}{2} \iint \Re(\vec{E}_{\text{transv}} \times \vec{H}_{\text{transv}}^*)_z dA = \frac{1}{2} Z_F \iint |\vec{H}_{\text{transv}}|^2 dA \end{aligned}$$

*attenuation:*  $\alpha = \frac{1}{2} \frac{P_d'}{P(z)}$

## Resonant cavities

Example: Cylindrical cavity, radius  $a$ , length  $g$ , TM-modes

Superposition of forward and backward traveling waves  
(see transp. 45, 47)

$$E_{\varphi} = \frac{k_z}{\omega \epsilon} \frac{m}{\rho} D_{mn} \sin(m\varphi) J_m(k_{cmn}\rho) [e^{-ik_z z} - r_{mn} e^{ik_z z}]$$

Boundary conditions fix  $r$  and  $k_z$

$$E_{\varphi}(z=0) = 0 \quad \rightarrow \quad r_{mn} = 1, \quad E_{\varphi} \sim \sin(k_z z)$$

$$E_{\varphi}(z=g) = 0 \quad \rightarrow \quad k_{zp} g = p\pi, \quad p = 0, 1, 2, \dots$$

Now, the other field components can be calculated from the vector potential (see appendix A3).

Example:  $\text{TM}_{010}$ -resonator ( $m=0, n=1, p=0$ )

$$H_{\varphi} = 2 \frac{j_{01}}{a} D_{010} J_1 \left( j_{01} \frac{\rho}{a} \right)$$

$$E_z = -i \frac{2}{\omega \epsilon} \left( \frac{j_{01}}{a} \right)^2 D_{010} J_0 \left( j_{01} \frac{\rho}{a} \right)$$

Resonance frequency

$$k_{010} = \frac{\omega_{010}}{c_0} = k_{c01} = \frac{j_{01}}{a}$$

$$f_{010} = \frac{\omega_{010}}{2\pi} = \frac{j_{01} c_0}{2\pi a}$$

Stored energy

$$\bar{W} = \bar{W}_e + \bar{W}_m = 2 \bar{W}_e = \frac{1}{2} \iiint \vec{E} \cdot \vec{D}^* dV = \frac{\epsilon}{2} \iiint |E_z|^2 dV$$

$$\bar{W} = \frac{2\pi g}{\omega_{010}^2 \epsilon a^2} |D_{010}|^2 J_1^2(j_{01})$$

Dissipation per unit area

$$\bar{P}_d'' = \frac{1}{2\kappa\delta_s} |\vec{H}_{t0}|^2$$

total dissipation

$$\bar{P}_d = \iint \bar{P}_d'' dA = \frac{4\pi}{\kappa\delta_s} j_{01}^2 \left(1 + \frac{g}{a}\right) |D_{010}|^2 J_1^2(j_{01})$$

Quality factor (Q-value)

$$Q_0 = \frac{\omega_{010} \bar{W}}{\bar{P}_d} = \frac{1}{\delta_s} \frac{g}{1 + g/a} \quad \rightarrow \quad \delta_s Q_0 = 2 \frac{V}{S} \sim \frac{\text{Volume}}{\text{Surface}}$$



$Q_0$  gives the decay rate of the stored energy or the time  $T_f$  to fill the cavity.

From *power conservation*

$$-\frac{d\bar{W}}{dt} = \bar{P}_d = \frac{\omega_{010}}{Q_0} \bar{W} \quad \rightarrow \quad \bar{W} = \bar{W}_0 e^{-2t/T_f}, \quad T_f = 2 \frac{Q_0}{\omega_{010}}$$

Example: 3 GHz copper cavity,  $g = \lambda_{010}/2 = 5$  cm

$$j_{01} = 2.405, \quad J_1(j_{01}) = 0.5191, \quad \kappa = 58 \cdot 10^6 \text{ } \Omega^{-1} \text{m}^{-1}$$

$$a = 3.83 \text{ cm}, \quad \delta_s = 1.21 \text{ } \mu\text{m}, \quad Q_0 = 17963, \quad T_f = 1.9 \mu\text{s}$$

## Resonance behaviour of a cavity mode

Instead of lossy walls assume ideal conducting walls and lossy dielectric filling. That preserves the ideal mode but allows for studying losses.

The cavity is driven by a current  $\vec{J}$  passing through it.  $\vec{J}$  splits into a conduction current  $\vec{J}_c = \kappa \vec{E}$ , responsible for the losses in the dielectric, and in an enforced current  $\vec{J}_0$  as driving term:

$$\begin{aligned}\vec{\nabla} \times (\vec{\nabla} \times \vec{E}) &= \vec{\nabla} (\vec{\nabla} \cdot \vec{E}) - \vec{\nabla}^2 \vec{E} = -\mu \frac{\partial}{\partial t} \vec{\nabla} \times \vec{H} = \\ &= -\mu \frac{\partial}{\partial t} (\vec{J}_0 + \kappa \vec{E} + \epsilon \frac{\partial \vec{E}}{\partial t}) \\ \vec{\nabla}^2 \vec{E} - \mu \kappa \frac{\partial \vec{E}}{\partial t} - \mu \epsilon \frac{\partial^2 \vec{E}}{\partial t^2} &= \mu \frac{\partial \vec{J}_0}{\partial t} \quad \text{with gauge} \quad \vec{\nabla} \cdot \vec{E} = 0\end{aligned} \quad (1)$$

We expand E in (eigen-)modes

$$\vec{E} = \sum_r a_r(t) \vec{e}_r(x, y, z), \quad r \text{ goes over all } m, n, p \quad (2)$$

where  $\vec{\nabla}^2 \vec{e}_r + k_r^2 \vec{e}_r = 0$

$$\vec{\nabla} \cdot \vec{e}_r = 0 \text{ in volume,} \quad \vec{n} \times \vec{e}_r = 0 \text{ on walls}$$

$$\iiint \vec{e}_r \cdot \vec{e}_s dV = \delta_r^s$$

Substituting (2) in (1) and deviding by  $-\mu\epsilon$

$$\sum_r \left[ \frac{d^2 a_r}{dt^2} + \frac{\kappa}{\epsilon} \frac{da_r}{dt} + \frac{k_r^2}{\mu\epsilon} a_r \right] \vec{e}_r = -\frac{1}{\epsilon} \frac{\partial \vec{J}_0}{\partial t}. \quad (3)$$

Multiplying (3) with  $\mathbf{e}_s$  and integrating over V

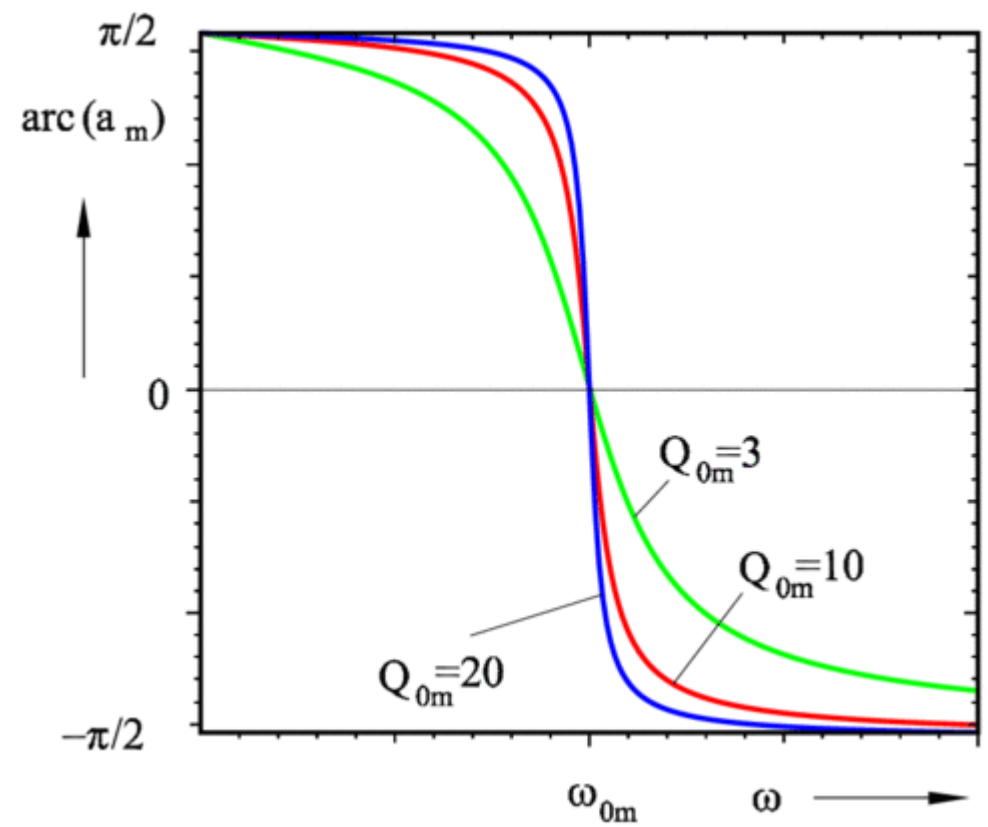
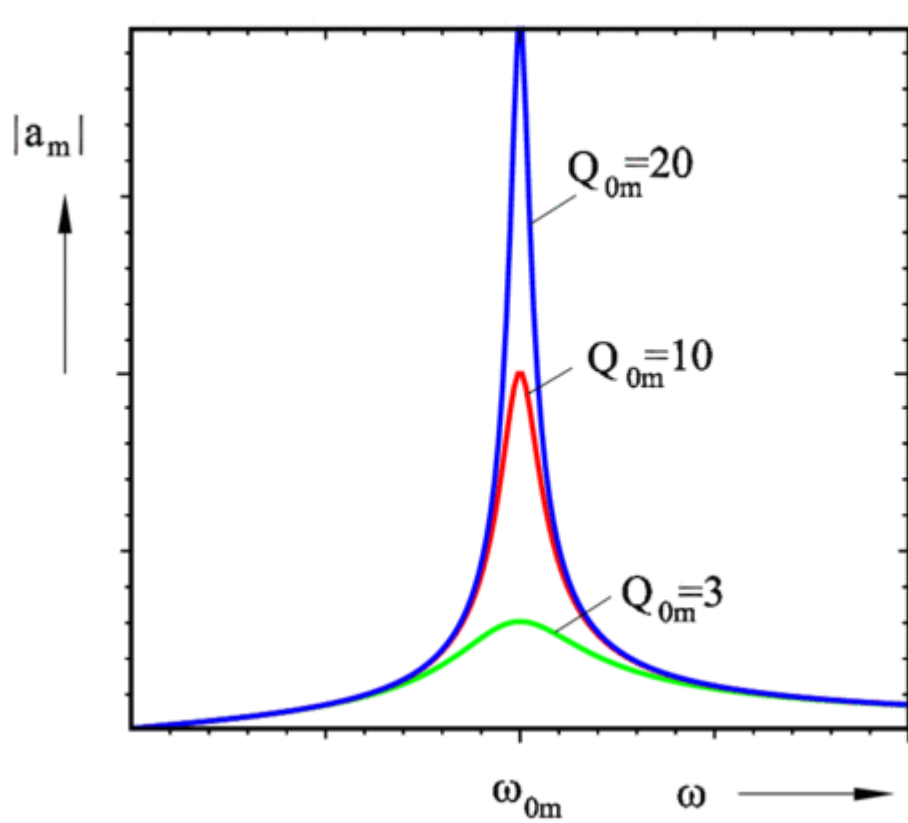
$$\frac{d^2 a_s}{dt^2} + \frac{\kappa}{\epsilon} \frac{da_s}{dt} + \frac{k_s^2}{\mu\epsilon} a_s = -\frac{1}{\epsilon} \iiint \frac{\partial \vec{J}_0}{\partial t} \cdot \vec{e}_s dV = \frac{\partial f_s}{\partial t}. \quad (4)$$

In case of time-harmonic excitation (4) becomes

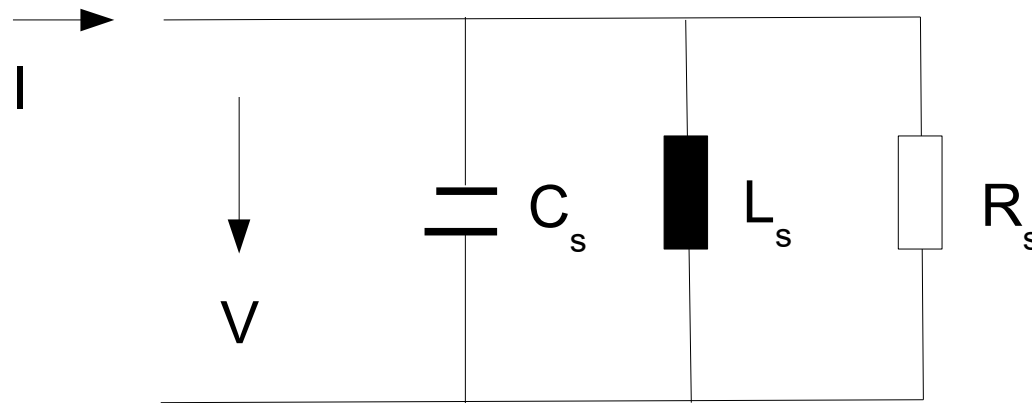
$$\left[ -\omega^2 + i\frac{\kappa}{\epsilon}\omega + \frac{k_s^2}{\mu\epsilon} \right] a_s = i\omega f_s$$

$$a_s = \frac{Q_s}{\omega_s} \frac{f_s}{1 + iQ_s \left[ \frac{\omega}{\omega_s} - \frac{\omega_s}{\omega} \right]}, \quad \omega_s = ck_s, \quad Q_s = \frac{\epsilon\omega_s}{\kappa}.$$

Now replace  $Q_s$  by  $Q_0$  as calculated with impedance-boundary-condition and  $\omega_s$  by the resonance frequency  $\omega_{\text{mnp}}$ .



Well separated modes can be represented by a lumped element resonator



$$\omega_s = \frac{1}{\sqrt{L_s C_s}}, \quad Q_s = \frac{\omega_s W_s}{P_{ds}} = \omega_s R_s C_s$$

*Bandwidth* 
$$B_s = \frac{(\omega_s + \delta\omega) - (\omega_s - \delta\omega)}{\omega_s} = 2 \frac{\delta\omega}{\omega_s} = \frac{1}{Q_s}$$

*Filling time* 
$$T_{fs} = 2 \frac{Q_s}{\omega_s} = \frac{1}{\delta\omega}$$

Accelerating voltage for a particle passing the cavity on-axis with velocity  $v$

$$V_s = \left| \int_0^g a_s \vec{e}_s \cdot \vec{e}_z e^{i\omega t} dz \right|, \quad z = vt$$

Shunt impedance (available  $V_s$  for given  $P_{ds}$ )

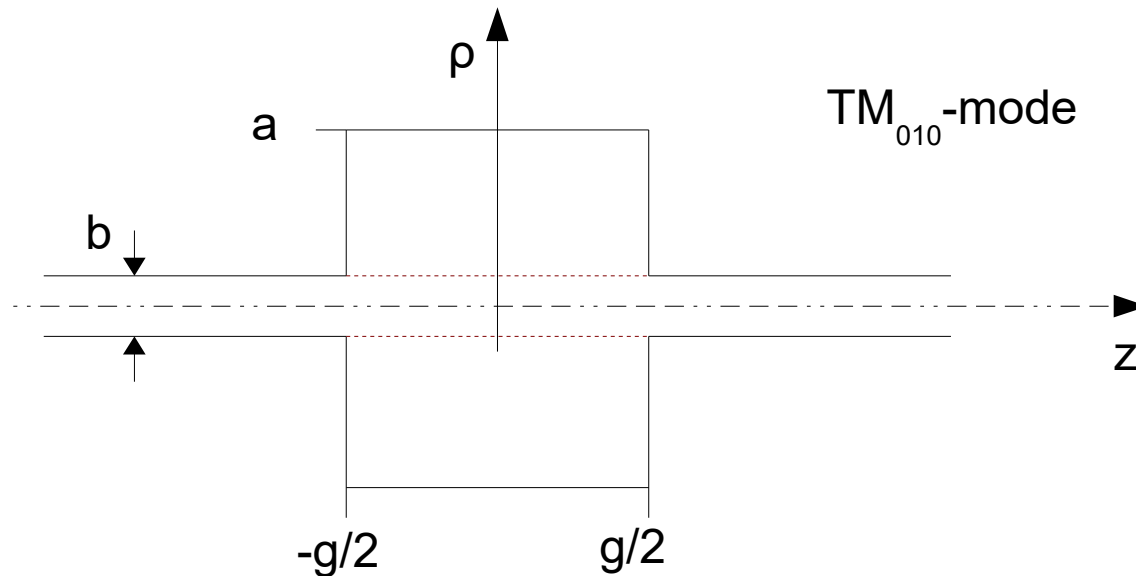
$$R_{shs} = \frac{V_s^2}{P_{ds}} = 2 R_s$$

R-upon-Q (available  $V_s$  for given  $W_s$ , geometrical quantity, independent of losses)

$$\frac{R_{shs}}{Q_s} = \frac{V_s^2}{\omega_s W_s} = \frac{2}{\omega_s C_s}$$

$\omega_s$ ,  $Q_s$  and  $R_{shs}/Q_s$  define  $R_s$ ,  $L_s$ ,  $C_s$ .

# Influence of beam pipe on voltage gain



Spectral expansion of field in tube region,  $0 \leq \rho \leq b$

$$E_z(\rho, z) = \int_{-\infty}^{\infty} A(k_z) I_0(K\rho) e^{-ik_z z} dk_z, \quad K = \sqrt{k_z^2 - k^2} \quad (1)$$

$$A(k_z) I_0(K\rho) = \frac{1}{2\pi} \int_{-\infty}^{\infty} E_z(\rho, z) e^{ik_z z} dz$$

Approximation: 
$$E_z(\rho=b, z) = \begin{cases} E_0, & -g/2 \leq z \leq g/2 \\ 0, & |z| > g/2 \end{cases}$$



$$A(k_z) I_0(Kb) = \frac{E_0}{2\pi} \int_{-g/2}^{g/2} e^{ik_z z} dz = \frac{E_0 g}{2\pi} \frac{\sin(k_z g/2)}{k_z g/2} \quad (2)$$

Substituting (2) into (1) yields for the voltage gain

$$\begin{aligned} V(\rho) &= \int_{-\infty}^{\infty} E_z(\rho, z) e^{i\omega t} dz = \int_{-\infty}^{\infty} E_z(\rho, z) e^{ikz/\beta} dz, \quad vt = z, \quad \beta = v/c \\ &= \frac{E_0 g}{2\pi} \int_{-\infty}^{\infty} dk_z \frac{\sin(k_z g/2)}{k_z g/2} \frac{I_0(K\rho)}{I_0(Kb)} \int_{-\infty}^{\infty} dz e^{i(k/\beta - k_z)z} = E_0 g T F(\rho, b) \end{aligned}$$

Transit time factor:

$$T = \frac{\sin(kg/2\beta)}{kg/2\beta}$$

Reduction factor due to beam pipe:  $F(\rho, b) = \frac{I_0(k\rho/\beta\gamma)}{I_0(kb/\beta\gamma)}$ ,  $\gamma = 1/\sqrt{1-\beta^2}$

$$\frac{V(\rho=0)}{V(\rho=b)} = \frac{1}{I_0(kb/\beta\gamma)} \approx \sqrt{2\pi kb/\beta\gamma} e^{-kb/\beta\gamma} \quad \text{for } kb/\beta\gamma > 2$$

## Appendix

A1

### Solution of the vectorial diffusion equation

We decompose the vectorial equ.

$$\vec{\nabla}^2 \vec{A} = -\mu \vec{J}$$

into cartesian components

$$\vec{\nabla}^2 A_i = -\mu J_i, \quad i = x, y, z \quad (1)$$

Coulomb's law gives the field and scalar potential of a point charge  $q$ :

$$\vec{E} = \frac{q}{4\pi\epsilon r^2} \vec{e}_r = -\vec{\nabla} \Phi \quad \rightarrow \quad E_r = -\frac{d\Phi}{dr} \quad \rightarrow \quad \Phi = \frac{q}{4\pi\epsilon r}$$

$\Phi$  is solution of the inhomogeneous Poisson equ.

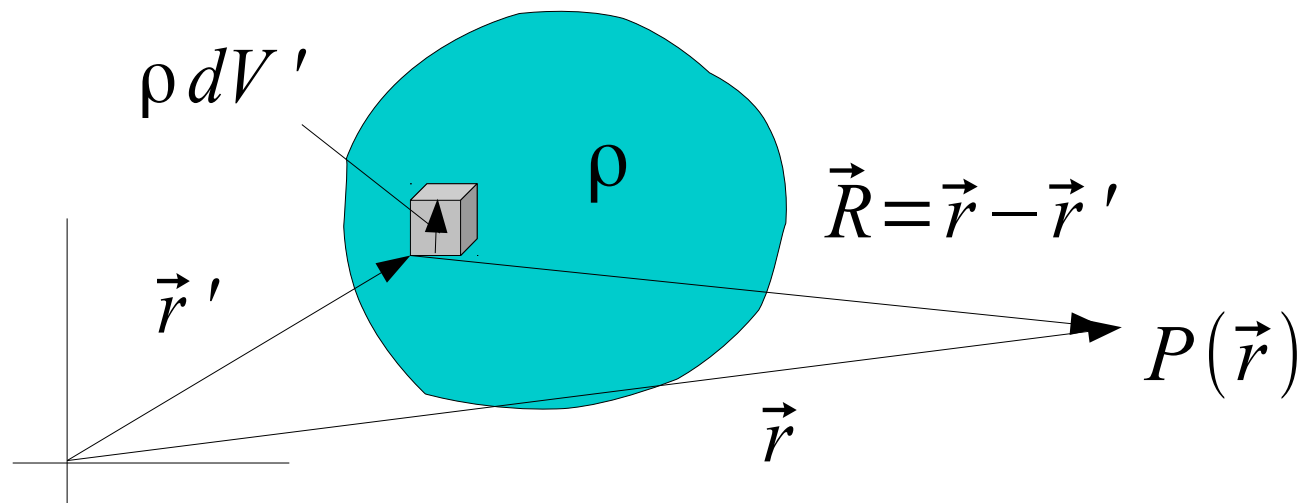
$$\vec{\nabla}^2 \Phi = -\frac{q}{\epsilon} \delta(r) \quad (2)$$

Comparing (1) and (2), we see that (1) follows from (2) by substituting

$$\Phi \rightarrow A_i, \quad \frac{1}{\epsilon} \rightarrow \mu, \quad q \rightarrow J_i \quad (3)$$

Next we use the solution  $\Phi$  of (2) as a „Green's function“ to calculate the potential of a charge distribution. This yields the Coulomb integral

$$\Phi(\vec{r}) = \frac{1}{4\pi\epsilon_0} \iiint \frac{\rho(\vec{r}')}{R} dV' \quad (4)$$



Using the substitution (3) in (4) we get the solution of (1).  
The vectorial form is then

$$\vec{A}(\vec{r}) = \frac{\mu}{4\pi} \iiint \frac{\vec{J}(\vec{r}')}{R} dV'$$

A2

## Poynting's theorem for time-harmonic fields

decompose e.g.  $\vec{E} = \Re[\vec{\tilde{E}} e^{i\omega t}] = \frac{1}{2}[\vec{\tilde{E}} e^{i\omega t} + \vec{\tilde{E}}^* e^{-i\omega t}]$

$$w_e = \frac{1}{2} \vec{E} \cdot \vec{D} = \frac{1}{8} [\vec{\tilde{E}} \cdot \vec{\tilde{D}} e^{i2\omega t} + \vec{\tilde{E}}^* \cdot \vec{\tilde{D}}^* e^{-i2\omega t}] + \frac{1}{8} [\vec{\tilde{E}} \cdot \vec{\tilde{D}}^* + \vec{\tilde{E}}^* \cdot \vec{\tilde{D}}]$$

$$= \frac{1}{4} \Re[\vec{\tilde{E}} \cdot \vec{\tilde{D}} e^{i2\omega t}] + \frac{1}{4} \vec{\tilde{E}} \cdot \vec{\tilde{D}}^*$$

and after time-averaging

$$\bar{w}_e = \frac{1}{4} \vec{\tilde{E}} \cdot \vec{\tilde{D}}^*$$

correspondingly:  $\bar{w}_m = \frac{1}{4} \vec{\tilde{H}} \cdot \vec{\tilde{B}}^*$ ,  $\bar{\rho}_d = \frac{1}{2} \vec{\tilde{E}} \cdot \vec{\tilde{J}}^*$

$$\vec{S}_c = \frac{1}{2} \vec{\tilde{E}} \times \vec{\tilde{H}}^*$$

complex, time-averaged radiation flux

Using Maxwell's equations

$$(1/2) \vec{E} \cdot \vec{\nabla} \times \vec{H}^* = \vec{J}^* - i\omega \vec{D}^*$$

$$\underline{-(1/2) \vec{H}^* \cdot \vec{\nabla} \times \vec{E} = -i\omega \vec{B}}$$

$$\rightarrow -\vec{\nabla} \cdot \left( \frac{1}{2} \vec{E} \times \vec{H}^* \right) = \frac{1}{2} \vec{E} \cdot \vec{J}^* + i2\omega \left( \frac{1}{4} \vec{H}^* \cdot \vec{B} - \frac{1}{4} \vec{E} \cdot \vec{D}^* \right)$$

we get Poynting's theorem after integration over V and application of Gauss' law:

$$-\oint \vec{S}_c \cdot d\vec{A} = \iiint \bar{\rho}_d dV + i2\omega \iiint (\bar{w}_m - \bar{w}_e) dV$$

Active power (time-averaged Joulean heat, dissipation)

$$\bar{P}_{act} = -\oint \Re[\vec{S}_c] \cdot d\vec{A} = \iiint \bar{\rho}_d dV = \bar{P}_d$$

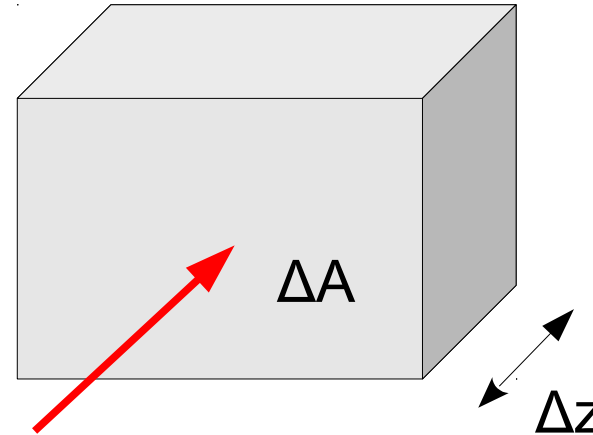
Reactive power

$$\bar{P}_{react} = -\oint \Im[\vec{S}_c] \cdot d\vec{A} = 2\omega \iiint (\bar{w}_m - \bar{w}_e) dV = 2\omega (\bar{W}_m - \bar{W}_e)$$

A3

## Energy velocity

Energy transported  
by  $\Delta z$  in time  $\Delta t$ :



$$\frac{\bar{w} \Delta A \Delta z}{\Delta t} = S_c \Delta A \quad \rightarrow \quad v_e = \frac{\Delta z}{\Delta t} = \frac{S_c}{\bar{w}}$$

for plane waves

$$S_c = \frac{1}{2} (\vec{E} \times \vec{H}^*) = \frac{|E_0|^2}{2Z}, \quad w^- = \frac{1}{4} \vec{E} \cdot \vec{D}^* + \frac{1}{4} \vec{H} \cdot \vec{B}^* = \frac{1}{2} \epsilon |E_0|^2$$

$$v_e = \frac{1}{Z \epsilon} = \frac{1}{\sqrt{\mu \epsilon}} = c$$

## A4

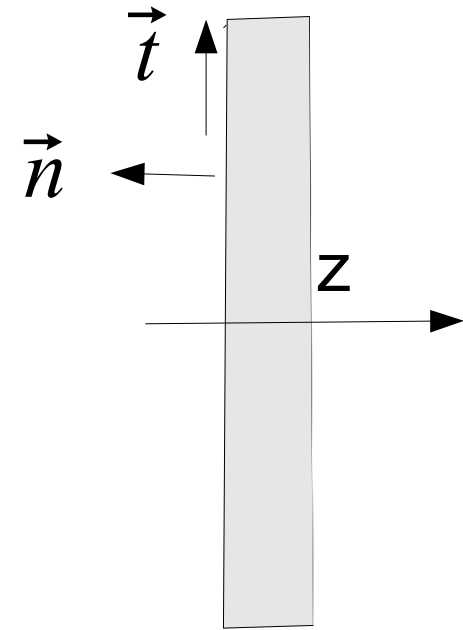
## Impedance boundary condition on good conductors

On ideal conducting surfaces is the E-field perpendicular and the H-field tangential. On good metallic conductors we expect similar behaviour.

We decompose fields and nabla operator into tangential and normal components

$$\vec{E} = \vec{E}_t + E_z \vec{e}_z, \quad \vec{H} = \vec{H}_t + H_z \vec{e}_z, \quad \vec{\nabla} = \vec{\nabla}_t + \vec{e}_z \frac{\partial}{\partial z}$$

and subsequently also Maxwell's equs., where we neglect the displacement current as compared to the conduction current,  $|\delta D / \delta t| \ll |J|$ :





$$\vec{\nabla} \times \vec{H} = \kappa \vec{E}: \quad \vec{E}_t = -\frac{1}{\kappa} \vec{e}_z \times \vec{\nabla}_t H_z + \frac{1}{\kappa} \vec{e}_z \times \frac{\partial \vec{H}_t}{\partial z}$$

$$E_z \vec{e}_z = \frac{1}{\kappa} \vec{\nabla}_t \times \vec{H}_t$$

$$\vec{\nabla} \times \vec{E} = -i \omega \mu_0 \vec{H}: \quad \vec{H}_t = -\frac{i}{\omega \mu_0} \vec{e}_z \times \vec{\nabla}_t E_z + \frac{i}{\omega \mu_0} \vec{e}_z \times \frac{\partial \vec{E}_t}{\partial z}$$

$$H_z \vec{e}_z = \frac{i}{\omega \mu_0} \vec{\nabla}_t \times \vec{E}_t$$

Tangential to the surface the typical length of change is  $\lambda_0$ .  
 Normal to the surface, in metal, the typical length of change is  $\delta_s \ll \lambda_0$ .

With an order of magnitude approximation  $|\vec{\nabla}_t| \approx 1/\lambda_0$   
 one gets for the magnitude of  $E_z$  and  $H_z$

$$|E_z| = \left| \frac{1}{\kappa} \vec{\nabla}_t \times \vec{H}_t \right| \approx \frac{1}{\kappa \lambda_0} |\vec{H}_t| = \pi \left( \frac{\delta_s}{\lambda_0} \right)^2 Z_0 |\vec{H}_t|$$

$$Z_0 |H_z| = \left| \frac{i}{\omega \mu_0} \vec{\nabla}_t \times \vec{E}_t \right| \approx \frac{1}{\omega \mu_0} \frac{Z_0}{\lambda_0} |\vec{E}_t| = \frac{1}{2\pi} |\vec{E}_t|.$$

With that we estimate the green terms

$$\left| \frac{1}{\kappa} \vec{e}_z \times \vec{\nabla}_t H_z \right| \approx \frac{1}{\kappa \lambda_0} |H_z| = \pi \left( \frac{\delta_s}{\lambda_0} \right)^2 Z_0 |H_z| \approx \frac{1}{2} \left( \frac{\delta_s}{\lambda_0} \right)^2 |\vec{E}_t|$$

$$\left| \frac{i}{\omega \mu_0} \vec{e}_z \times \vec{\nabla}_t E_z \right| \approx \frac{1}{\omega \mu_0 \lambda_0} |E_z| = \frac{1}{2\pi Z_0} |E_z| \approx \frac{1}{2} \left( \frac{\delta_s}{\lambda_0} \right)^2 |\vec{H}_t|.$$

One finds that they can be neglected compared to  $E_t$ ,  $H_t$ .  
So, the tangential parts of Maxwell's equs. are simplified to

$$\kappa \vec{E}_t \approx \vec{e}_z \times \frac{\partial \vec{H}_t}{\partial z} \quad (1)$$

$$i \omega \mu_0 \vec{H}_t \approx -\vec{e}_z \times \frac{\partial \vec{E}_t}{\partial z}.$$

Eliminating  $\vec{E}_t$  one gets an equ. for  $\vec{H}_t$

$$\frac{\partial^2 \vec{H}_t}{\partial z^2} - i \omega \mu_0 \kappa \vec{H}_t = 0$$

with the solution

$$\vec{H}_t = \vec{H}_{t0} e^{-(1+i)z/\delta_s}. \quad (2)$$

(2) substituted into (1) gives a boundary condition at real (non-ideal) metallic surfaces

$$\vec{E}_{t0} \approx Z_W (\vec{n} \times \vec{H}_{t0}), \quad Z_W = \frac{1+i}{\kappa \delta_s} \quad \text{wall impedance}$$

**A5**Cylindrical cavity, radius  $a$ , length  $g$ , TM-modes

Superposition of forward and backward traveling waves (see transp. 49) gives for the  $E_\varphi$  component

$$E_\varphi = \frac{k_z}{\omega \epsilon} \frac{m}{\rho} D_{mn} \sin(m\varphi) J_m(k_{cmn}\rho) [e^{-ik_z z} - r_{mn} e^{ik_z z}]$$

Boundary conditions fix  $r$  and  $k_z$

$$E_\varphi(z=0) = 0 \quad \rightarrow \quad r_{mn} = 1, \quad E_\varphi \sim \sin(k_z z)$$

$$E_\varphi(z=g) = 0 \quad \rightarrow \quad k_{zp} g = p\pi, \quad p = 0, 1, 2, \dots$$

and the vector potential can be written as

$$A = 2D_{mnp} \cos(m\varphi) \cos(k_{zp} z) J_m(k_{cmn}\rho), \quad k_{cmn} = j_{mn}/a$$

TM-modes follow from  $\vec{H} = \vec{\nabla} \times (A \vec{e}_z)$ ,  $i \omega \epsilon \vec{E} = \vec{\nabla} \times \vec{H}$  as

$$H_\rho = -2 \frac{m}{\rho} D_{mnp} \sin(m \varphi) \cos(k_{zp} z) J_m(k_{cmn} \rho)$$

$$H_\varphi = -2 k_{cmn} D_{mnp} \cos(m \varphi) \cos(k_{zp} z) J_m'(k_{cmn} \rho), \quad H_z = 0$$

$$E_\rho = i 2 \frac{k_{zp}}{\omega \epsilon} k_{cmn} D_{mnp} \cos(m \varphi) \sin(k_{zp} z) J_m'(k_{cmn} \rho)$$

$$E_\varphi = -i 2 \frac{k_{zp}}{\omega \epsilon} \frac{m}{\rho} D_{mnp} \sin(m \varphi) \sin(k_{zp} z) J_m(k_{cmn} \rho)$$

$$E_z = -i 2 \frac{k_{cmn}^2}{\omega \epsilon} D_{mnp} \cos(m \varphi) \cos(k_{zp} z) J_m(k_{cmn} \rho)$$

$$k_{cmn} = \sqrt{k^2 - k_{zp}^2} = \frac{j_{mn}}{a} \quad \rightarrow \quad k_{mnp} = \frac{\omega_{mnp}}{c_0} = \left( \frac{j_{mn}}{a} \right)^2 + k_{zp}^2$$

## Literature:

David K. Cheng, Field and wave electromagnetics.  
Addison-Wesley 1990

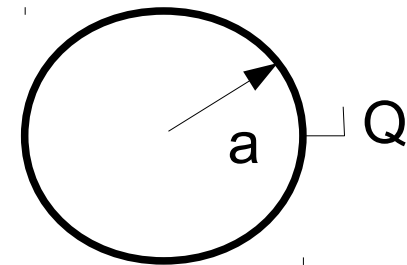
David J. Griffiths, Introduction to electrodynamics.  
Prentice Hall 1999

J. D. Jackson, Classical electrodynamics.  
John Wiley & Sons 1975

## Exercise 1:

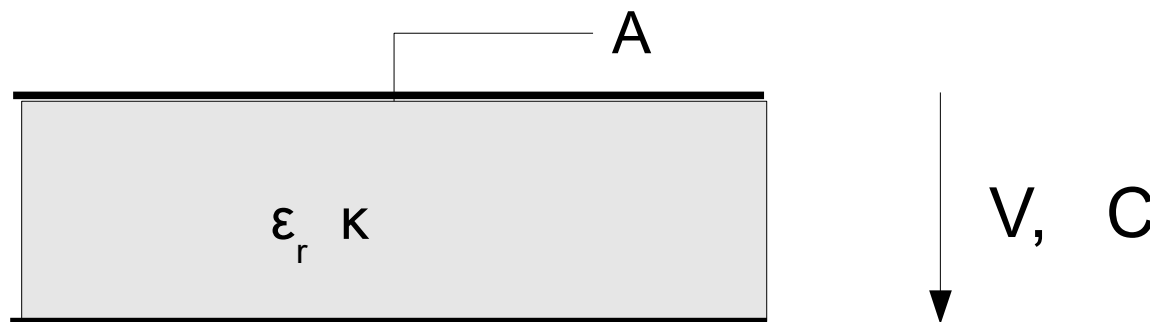
Given is a conducting hollow sphere carrying a charge  $Q$ . What is the field inside and outside and what is the stored energy?

If the sphere is a model for an electron ( $E_{0e} = 511\text{keV}$ ) what is then the classical electron radius  $r_e = a$  ?



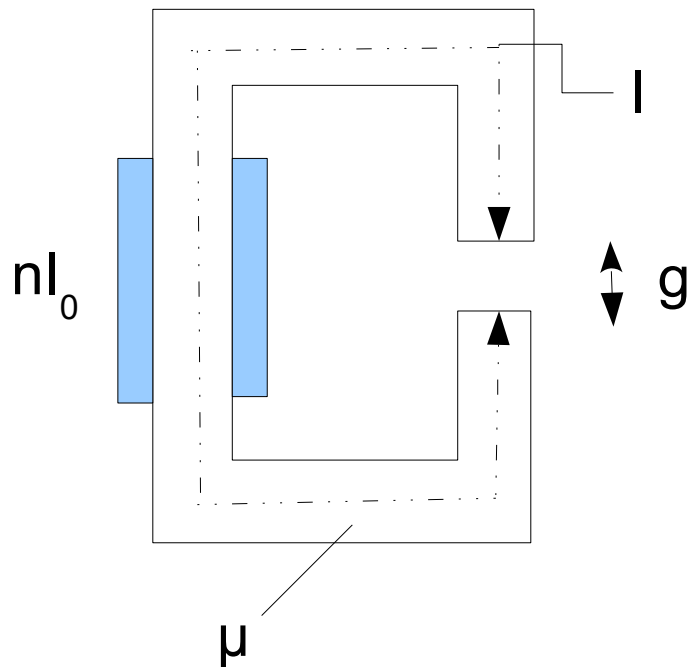
## Exercise 2:

A capacitor is filled with a lossy dielectric and charged to a voltage  $V$ . What is the time constant for discharge?



### Exercise 3:

A long dipole magnet is excited by a coil with  $n$  windings and current  $I_0$ . Calculate the magnetic field in the air gap.





## Exercise 4:

Derive the multi-poles for a static 2-dimensional circular magnetic field.

Remark: Solve the magnetic potential equation in circular cylindrical coordinates and free-space.

## Exercise 5:

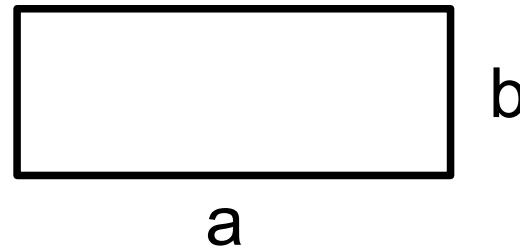
Give the E- and H-field of a z-polarized plane wave which propagates in x-direction.

What is the time-averaged radiated power density?

## Exercise 6:

Derive the longitudinal vector potential for TM-waves in a rectangular waveguide.

What is the equation for the separation constants?



## Exercise 7:

Give the guide wavelength and phase and group velocity of a  $TM_{11}$ -mode in a rectangular waveguide.

## Exercise 8:

Calculate the accelerating voltage, shunt impedance and R-upon-Q of a  $TM_{110}$ -mode in a rectangular cavity resonator with dimensions  $a, b, g$ .