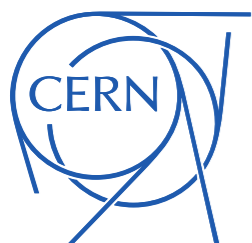


Phase Transitions in the Early Universe

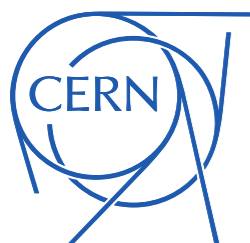
Joachim Kopp (CERN & Uni Mainz)
EP / TH Physics Workshop | Crozet | 21.10.2019





Phase Transitions in the Early Universe

Joachim Kopp (CERN & Uni Mainz)
EP / TH Physics Workshop | Crozet | 21.10.2019



- Phase Transitions Primer
- The Electroweak Phase Transition
- Extended Higgs Sectors
- Implications:
 - Dark Matter
 - Gravitational Waves
 - Baryogenesis
 - Higgs Physics at Colliders
- Summary

Phase Transitions in Everyday Life

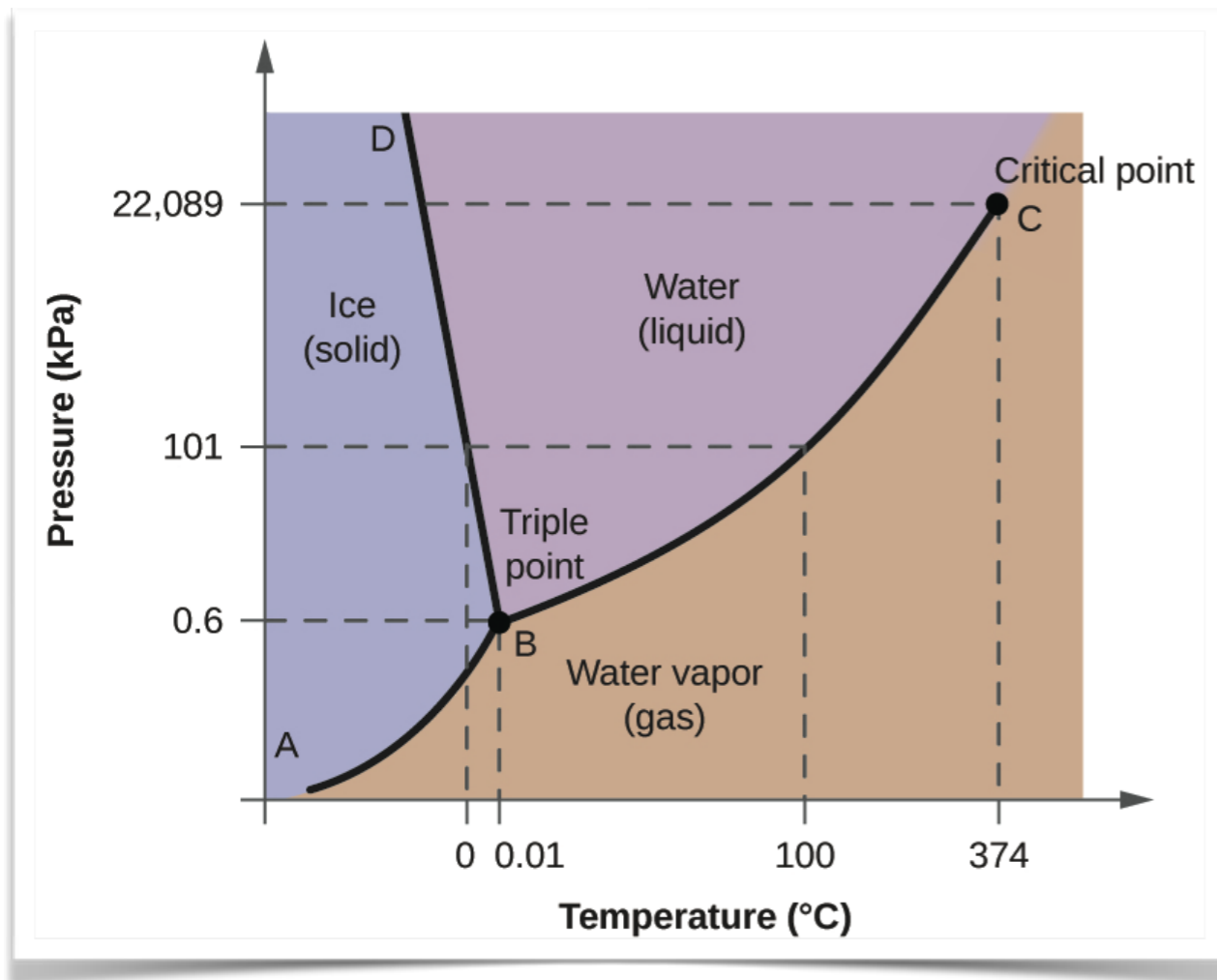
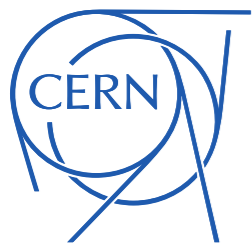


Image Credit: libretexts.org

Phase Transitions Primer



Phase Transitions in (a Physicist's) Everyday Life

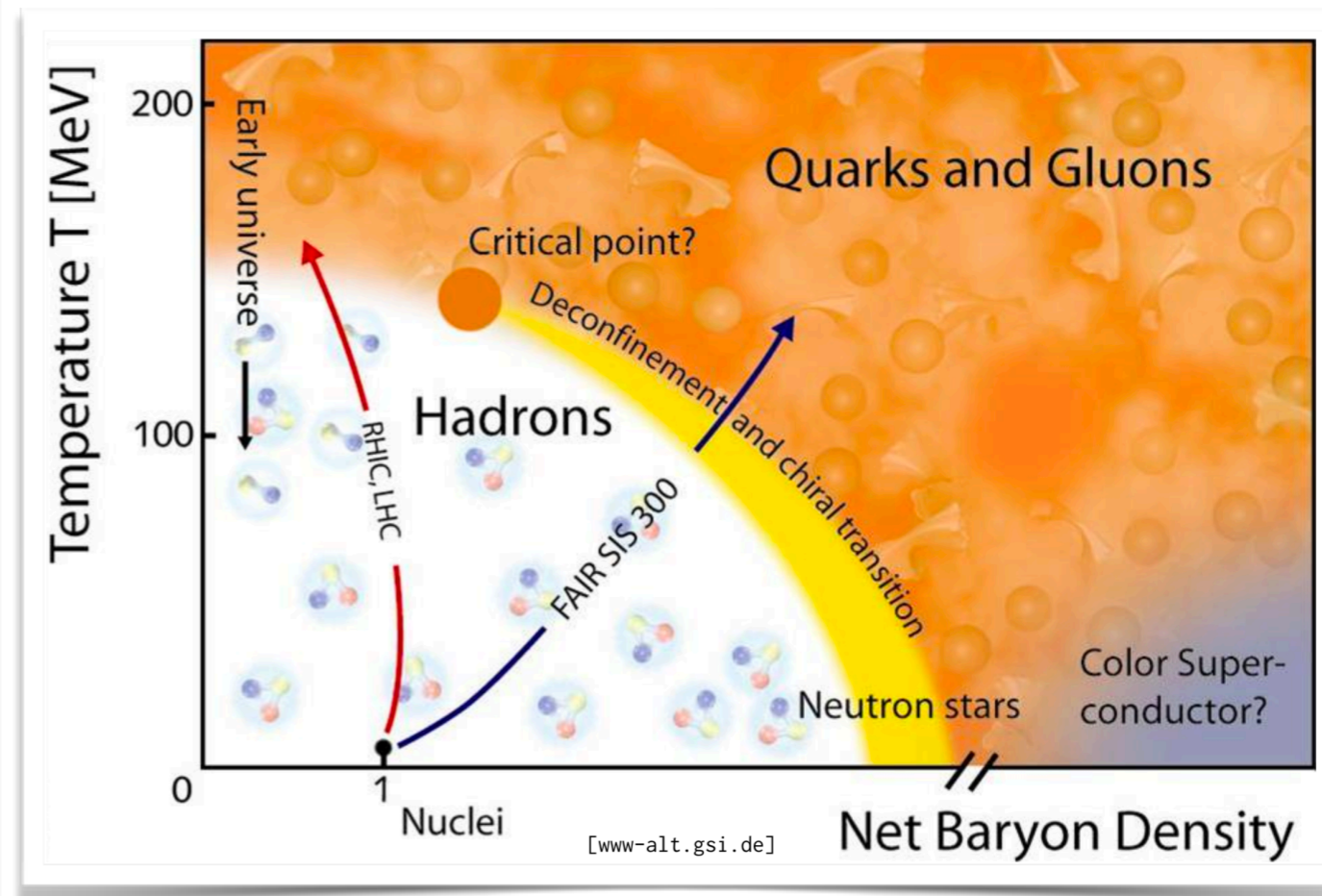
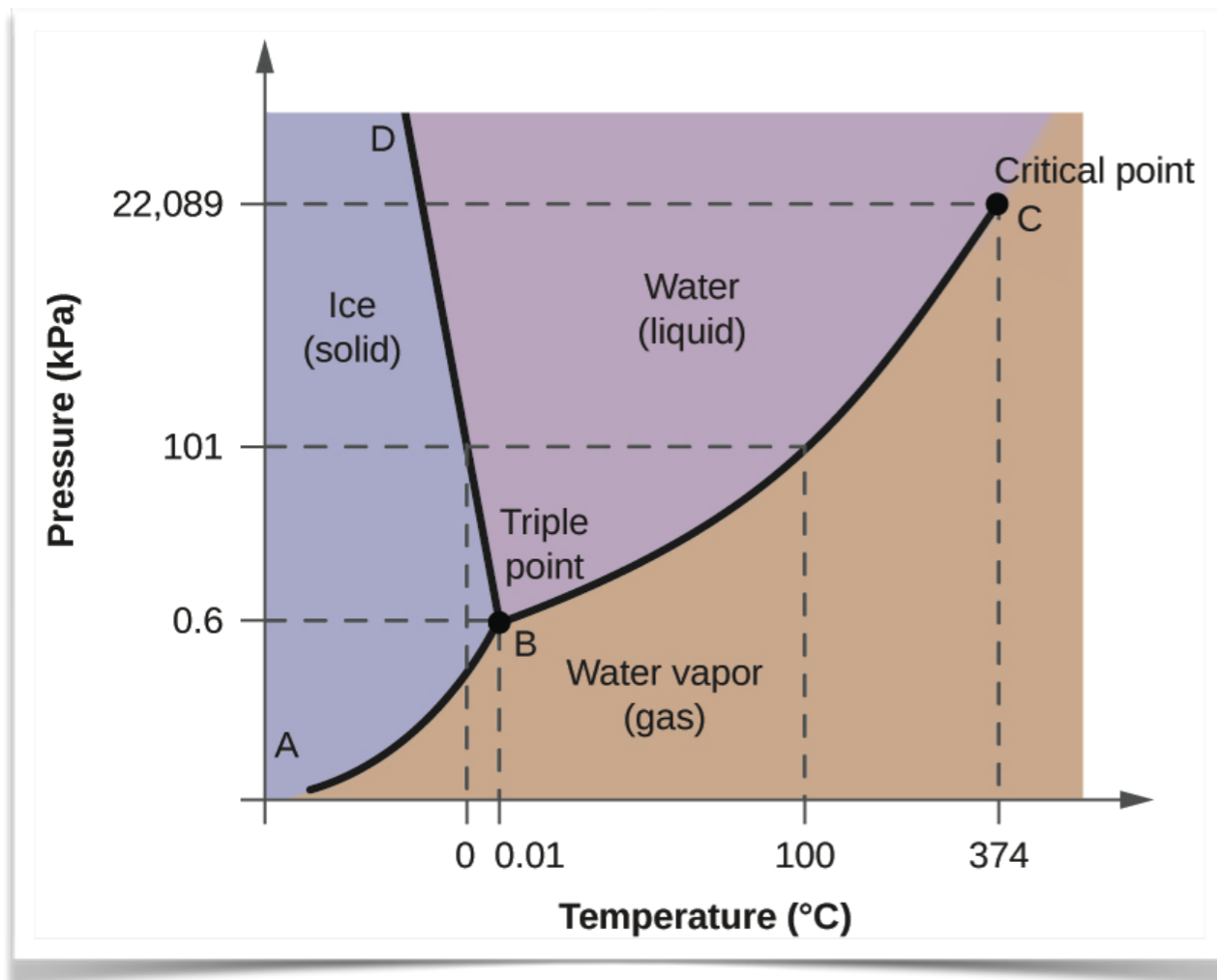


Image Credit: libretexts.org, Ralf-Arno Tripolt

The Order of a Phase Transition

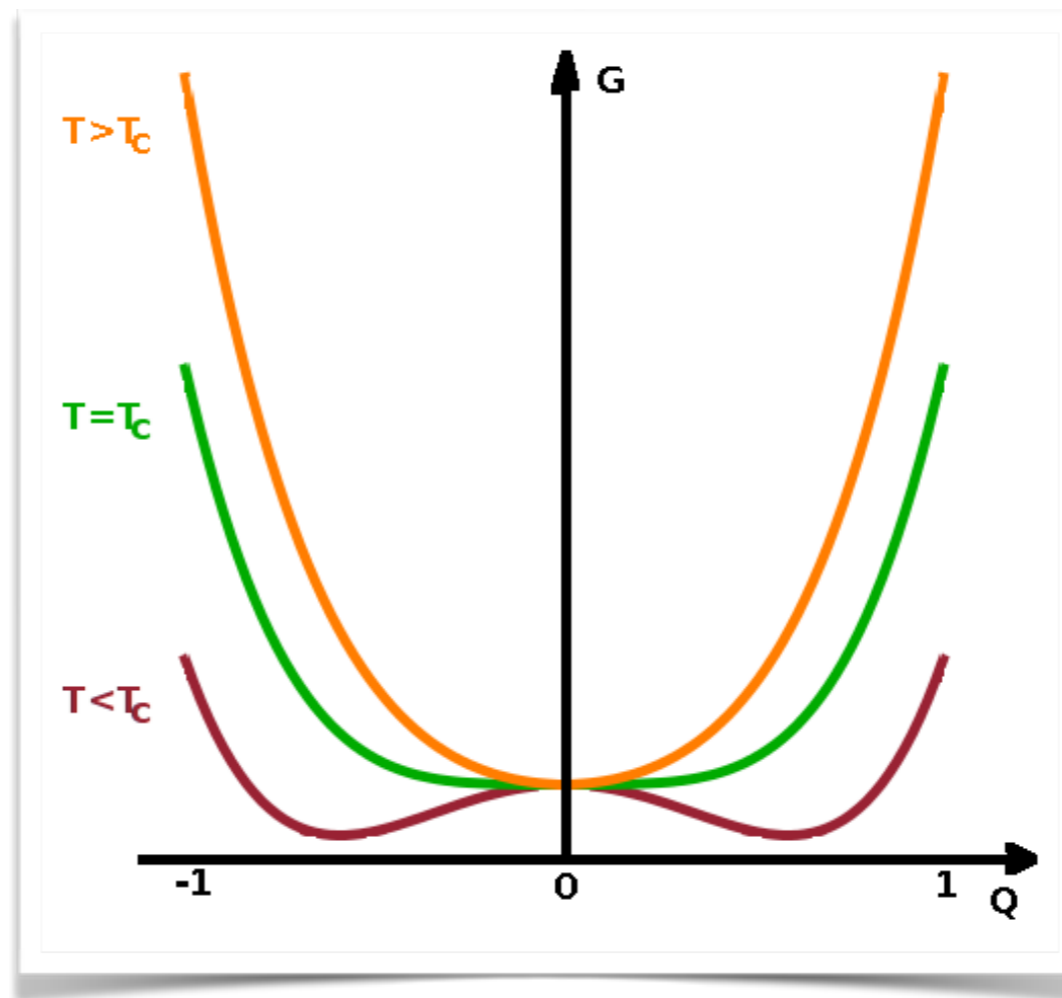
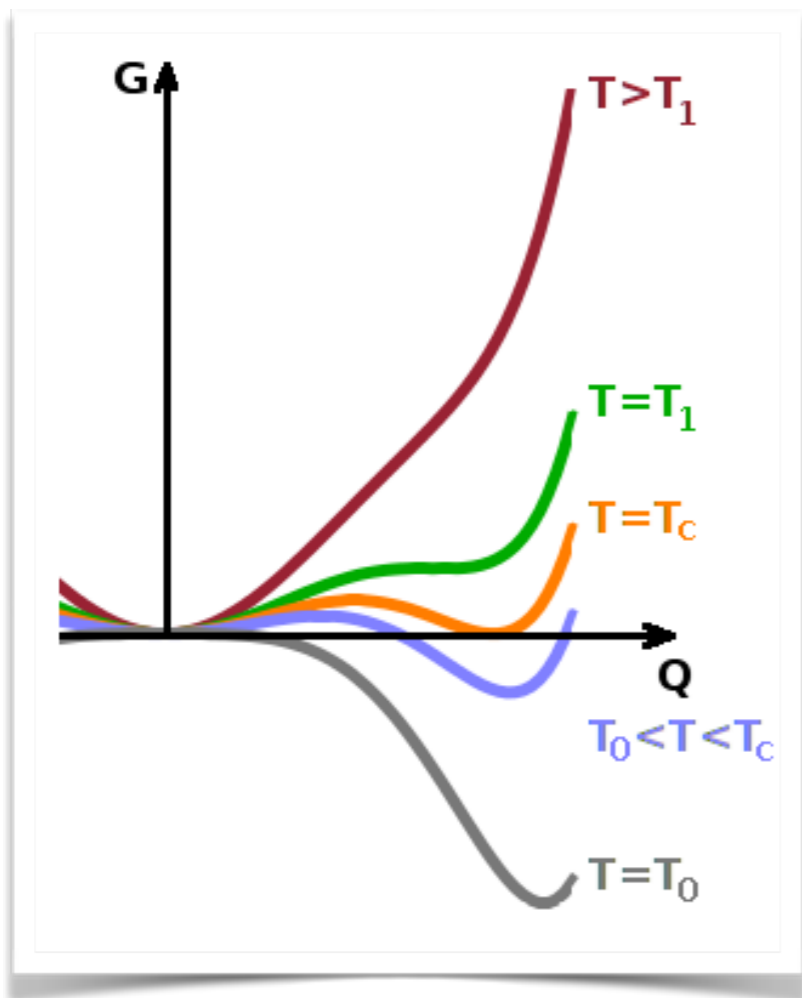
Order Parameter: a quantity measuring the change in the system across the phase transition

- for liquid–gas transition: density ρ
- for QCD phase transition: quark condensate $\langle \bar{q}_L q_R \rangle$

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Images: [Rudi Winter](#)

The Order of a Phase Transition

1st order phase transition

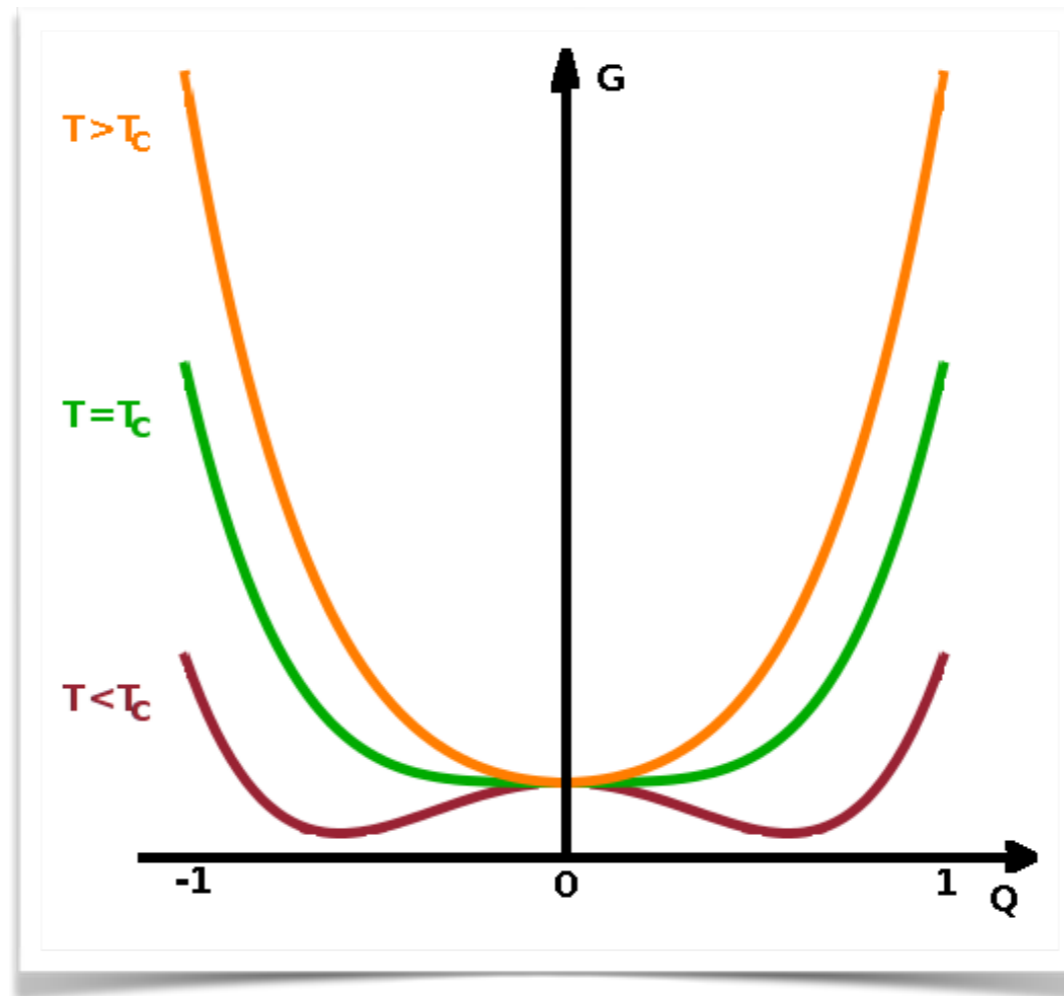
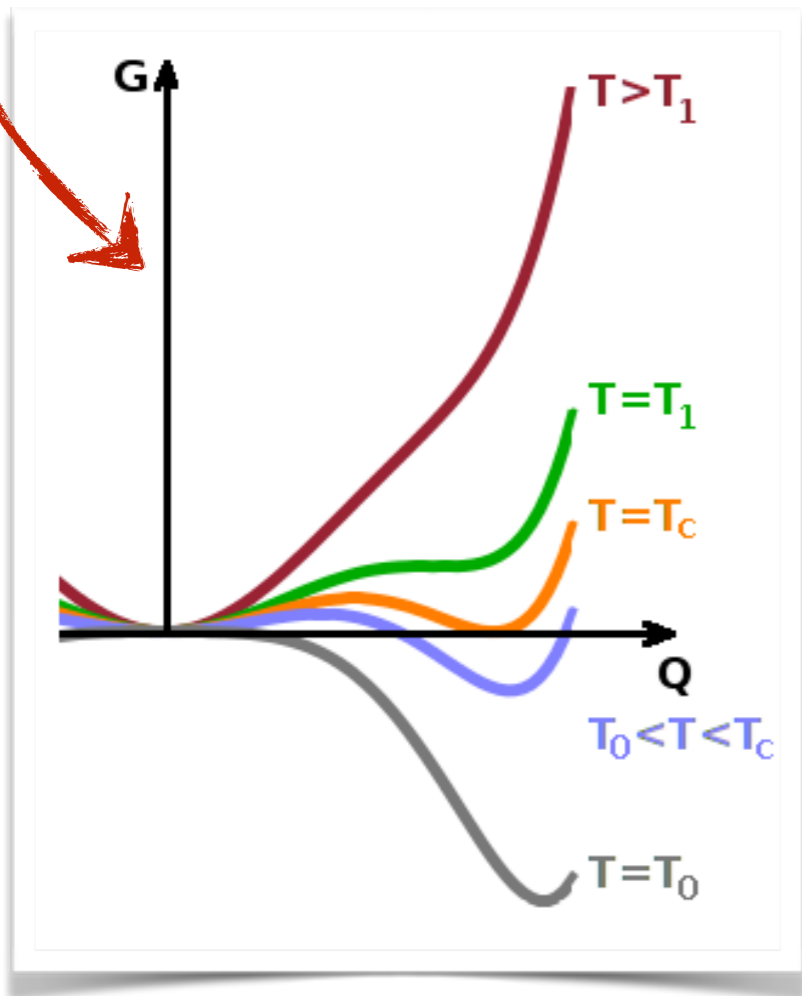
order parameter changes discontinuous

○ for liquid–gas transition:

○ for QCD phase transition:

density ρ

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Images: [Rudi Winter](#)

The Order of a Phase Transition

1st order phase transition

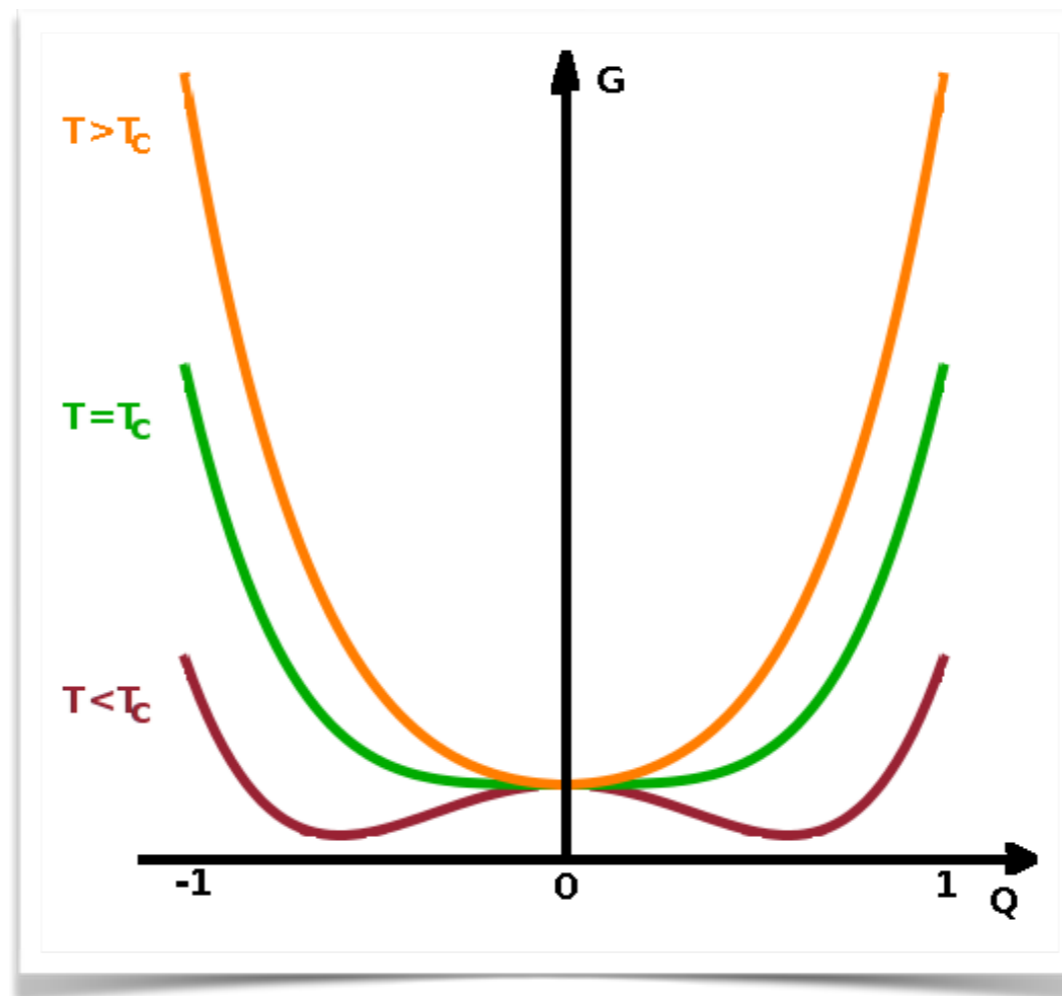
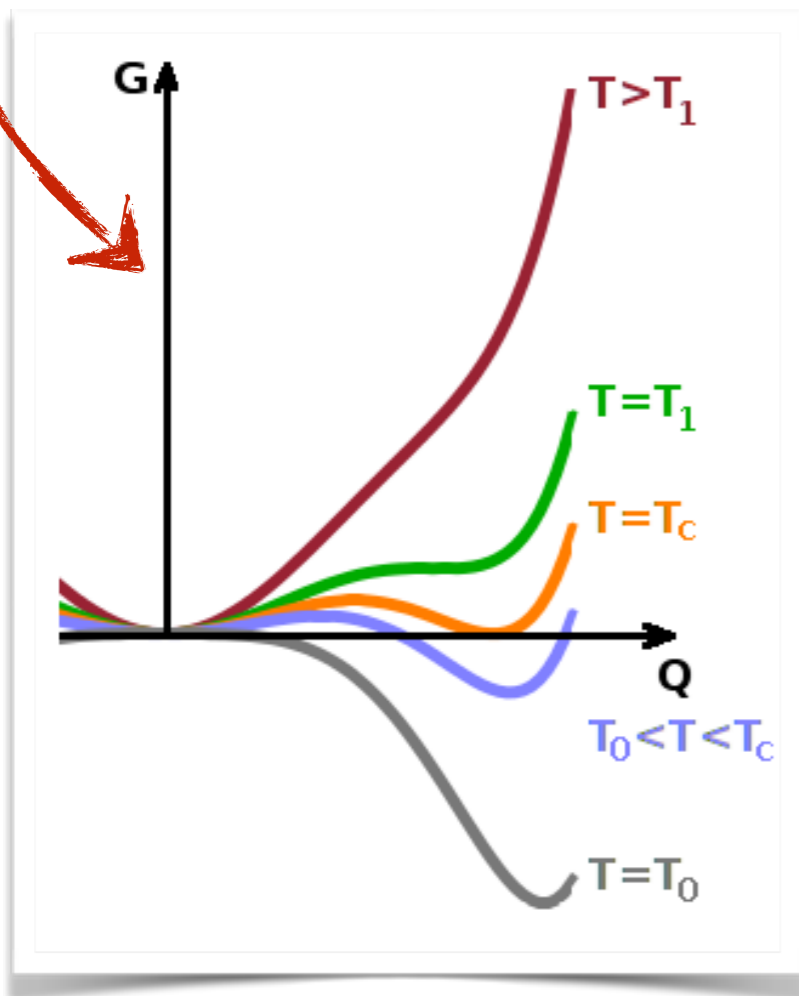
order parameter changes discontinuous

- for liquid–gas transition:
- for QCD phase transition:

2nd order phase transition

order parameter changes continuously (but its first derivative is discontinuous)

quark condensate $\langle \bar{q}_L q_R \rangle$

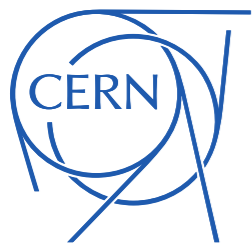


Images: [Rudi Winter](#)

Phase Transitions in the Early Universe

- ☑ Properties of the primordial plasma change dramatically during phase transitions
- ☑ QCD phase transition ($T \sim 200$ MeV)
 - chiral symmetry broken $SU(3)_L \times SU(3)_R \rightarrow SU(3)_V$
 - order parameter: $\langle \bar{q}_L q_R \rangle$
- ☑ Electroweak phase transition ($T \sim 160$ GeV)
 - Electroweak symmetry broken $SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$
 - Higgs acquires vev, fermions and gauge bosons become massive
 - cross-over in the SM, but can be 1st or 2nd order in BSM theories
 - order parameter: Higgs vev v_H

The Electroweak Phase Transition



Effective Higgs Potential

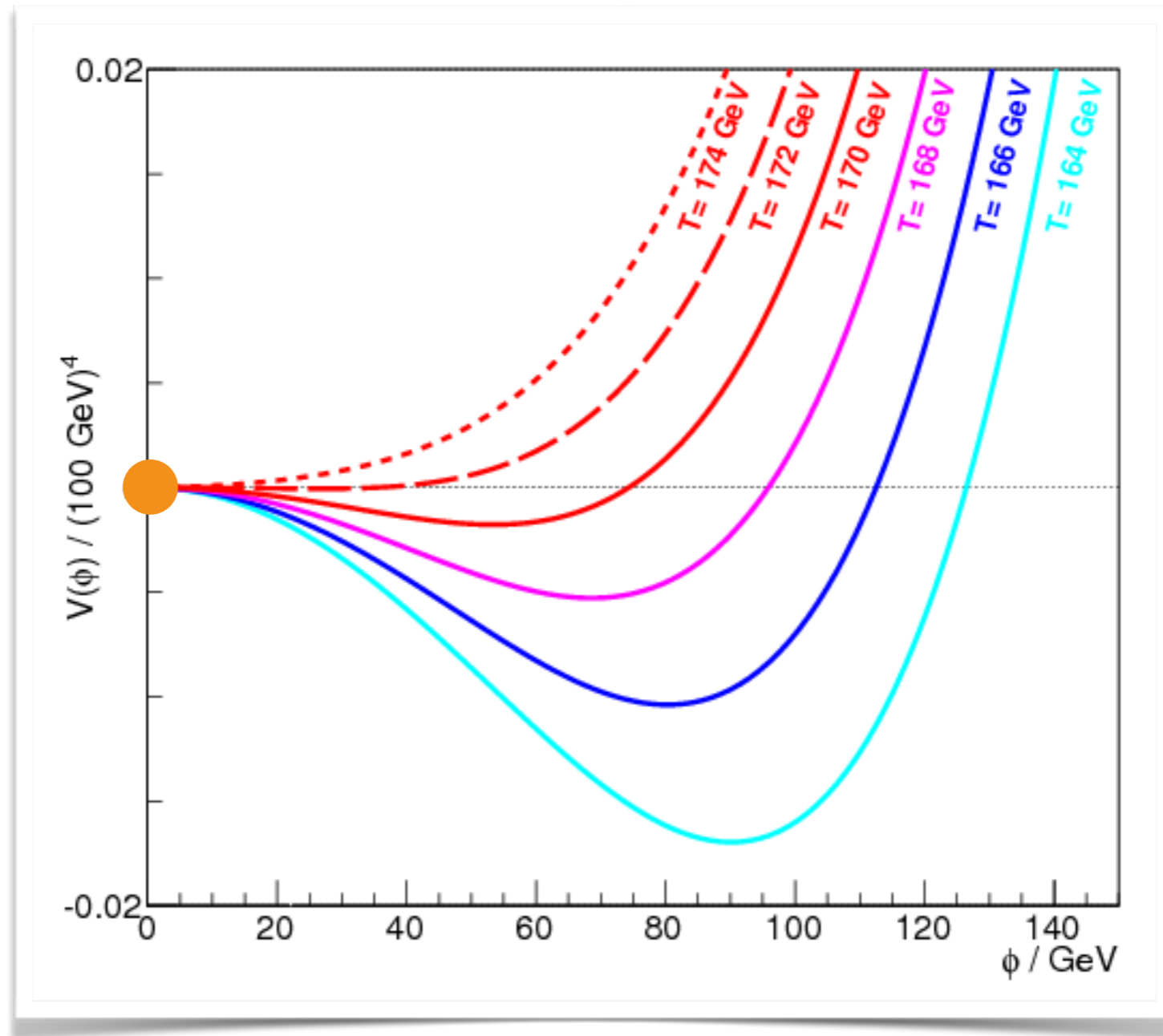


Image from [arXiv:1503.03317](https://arxiv.org/abs/1503.03317)

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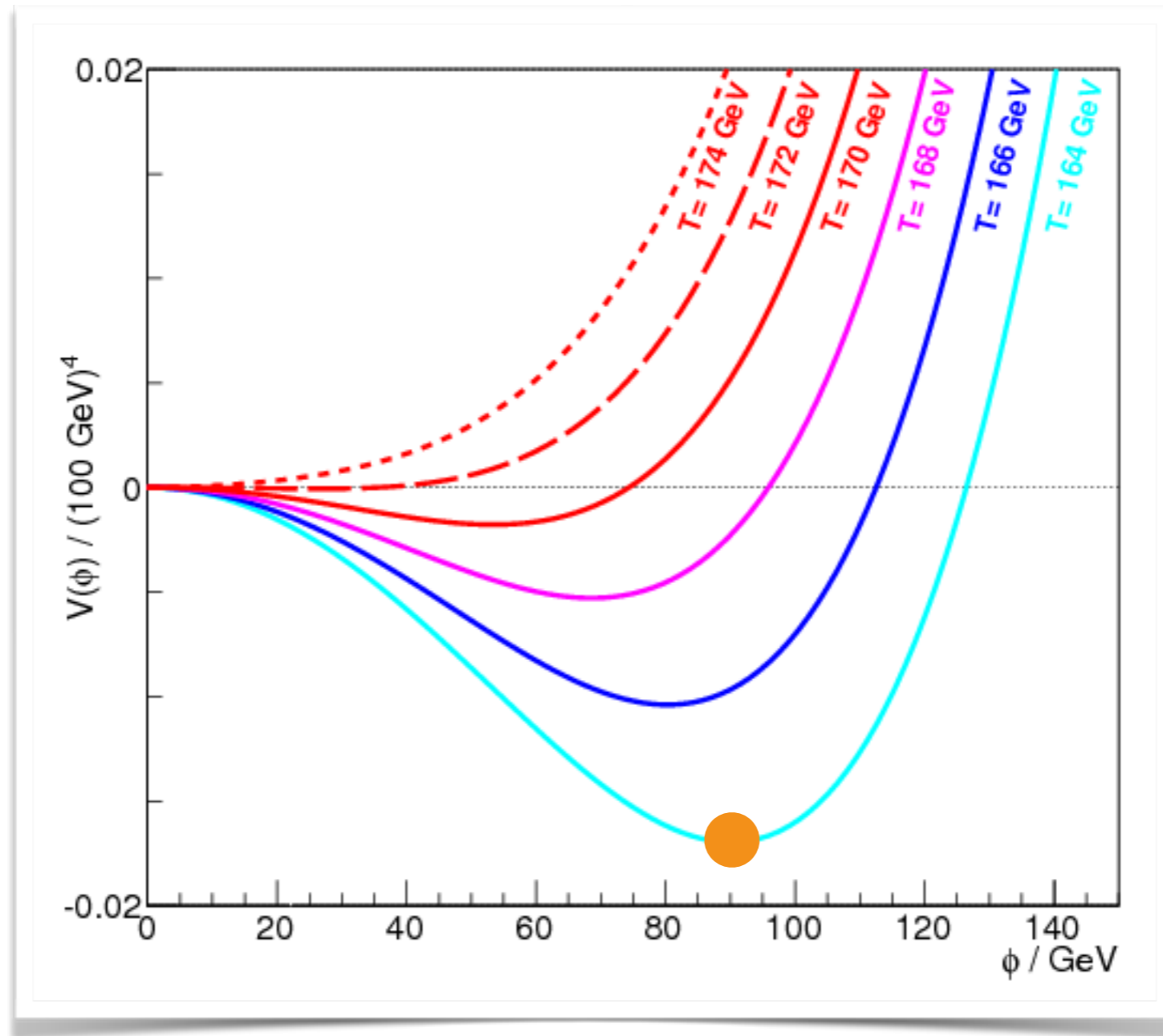


Image from [arXiv:1503.03317](https://arxiv.org/abs/1503.03317)

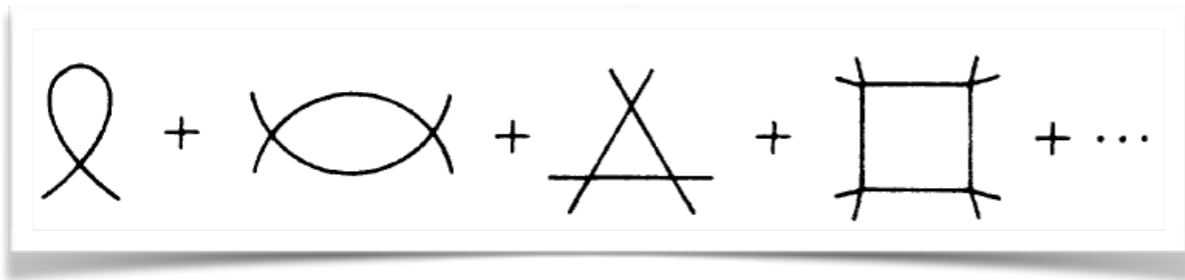
Scalar Potentials at Finite Temperature

☑ Tree level potential

$$V^{\text{tree}} = -\mu^2 H^\dagger H + \lambda (H^\dagger H)^2$$

☑ Coleman—Weinberg

[Coleman Weinberg 1973](#), [Dolan Jackiw 1974](#)



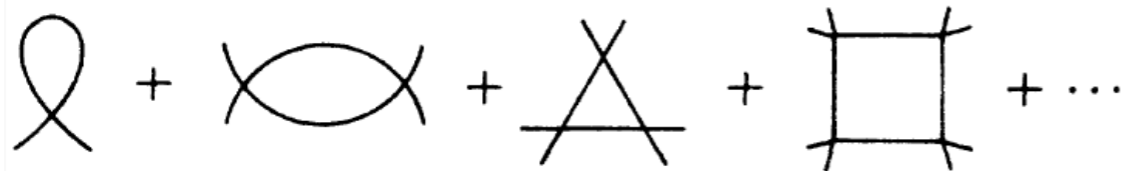
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☑ Coleman—Weinberg

Coleman Weinberg 1973, Dolan Jackiw 1974



$$V^{\text{CW}}[\phi] = \sum_{n=1}^{\infty} \int \frac{d^4 k}{(2\pi)^4} \frac{1}{2n} \left(\frac{2\lambda\phi}{k^2 - m^2} \right)^n$$

- Sum over n
- Regularize, evaluate integral
- Renormalize by adding counterterms

$$V^{\text{CW}} = \sum_i \frac{n_i}{64\pi^2} m_i^4(h, S) \left[\log \frac{m_i^2(h, S)}{\Lambda^2} - \frac{3}{2} \right]$$

Scalar Potentials at Finite Temperature

1-loop, finite temperature corrections [Dolan Jackiw 1974](#)

- Evaluate 1-loop diagrams

- Replace vacuum propagators by **thermal propagators**

propagator = correlation function $\langle \Phi(x) \Phi(y) \rangle$

in vacuum, points x and y become correlated if a particle propagates from x to y .

in a thermal bath, long-distance correlations are washed out by interactions with the bath.

Scalar Potentials at Finite Temperature

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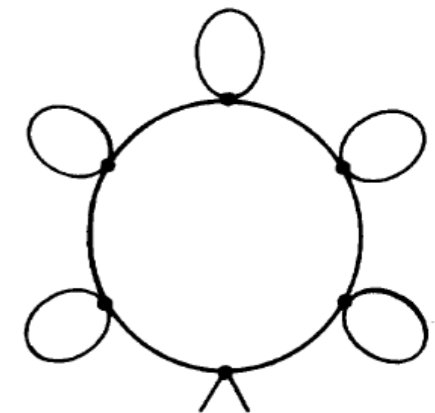
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Resummed “Daisy” Corrections [Dolan Jackiw 1974](#), [Carrington 1992](#)



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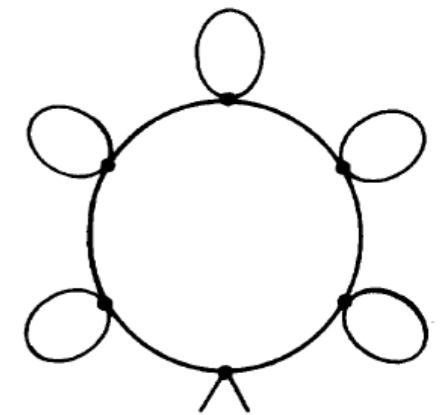
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☑ Resummed “Daisy” Corrections [Dolan Jackiw 1974](#), [Carrington 1992](#)

- n one-vertex bubbles, one n -vertex bubble:

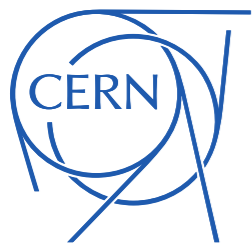
$$\sum_n \left(\int \frac{d^4 k}{(2\pi)^4} \tilde{D}(k) \right)^n \cdot \int \frac{d^4 k}{(2\pi)^4} (\tilde{D}(k))^n$$



- One-vertex bubbles yield **thermal mass $\Pi(T)$**

$$V^{\text{daisy}} = -\frac{T}{12\pi} \sum_i n_i \left([m_i^2(h, S) + \Pi_i(T)]^{\frac{3}{2}} - [m_i^2(h, S)]^{\frac{3}{2}} \right)$$

Extended Higgs Sectors



The Vev Flip-Flop

☑ Toy Model: SM + singlet scalar S

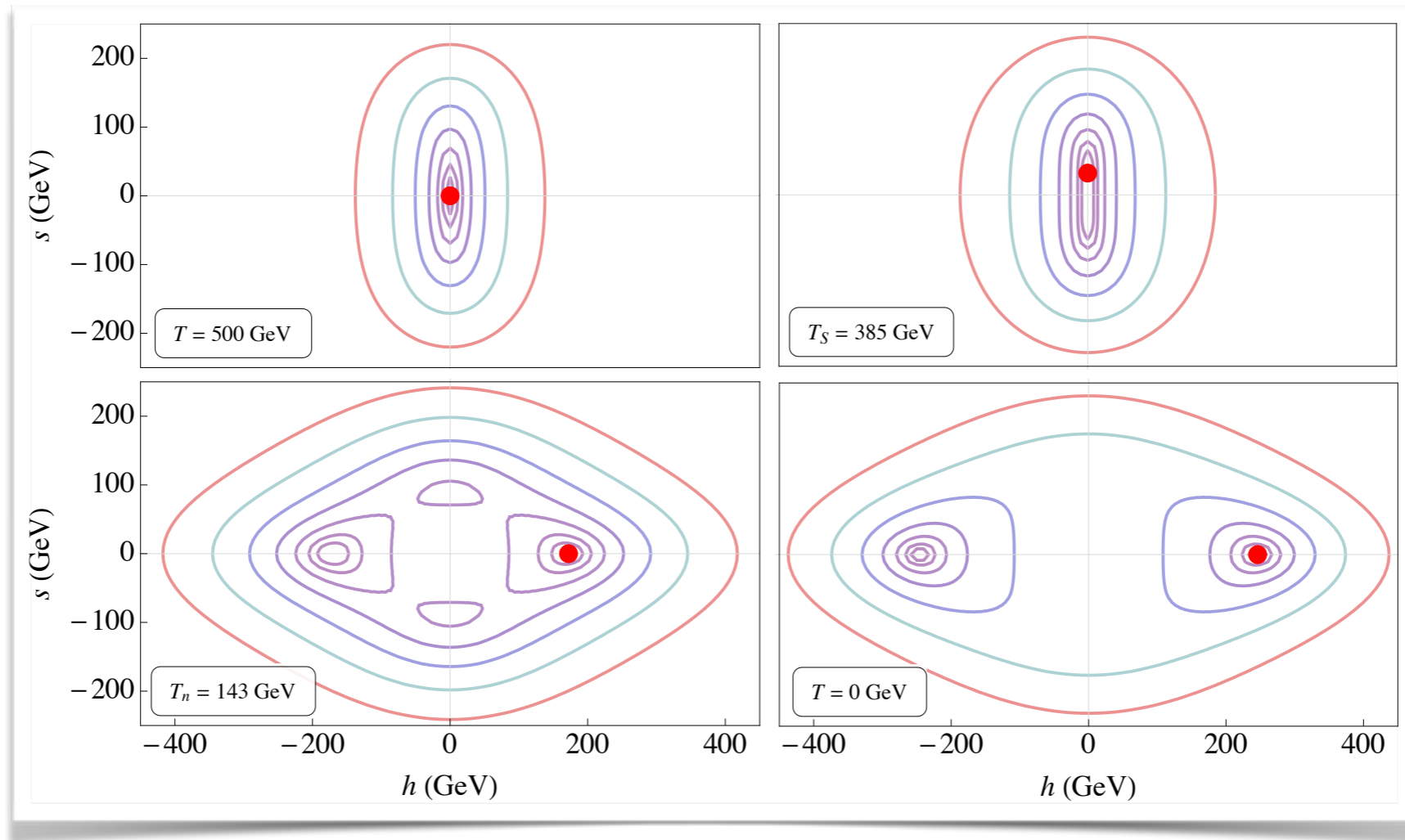
$$V^{\text{tree}} = -\mu_H^2 H^\dagger H + \lambda_H (H^\dagger H)^2 - \mu_S^2 S^\dagger S + \lambda_S (S^\dagger S)^2 + \lambda_p (H^\dagger H)(S^\dagger S)$$

☑ Typical behavior: 2-step phase transition

- High T : $\langle S \rangle = 0, \langle H \rangle = 0$
- Intermediate T : $\langle S \rangle \neq 0, \langle H \rangle = 0$
- Low T : $\langle S \rangle = 0, \langle H \rangle \neq 0$

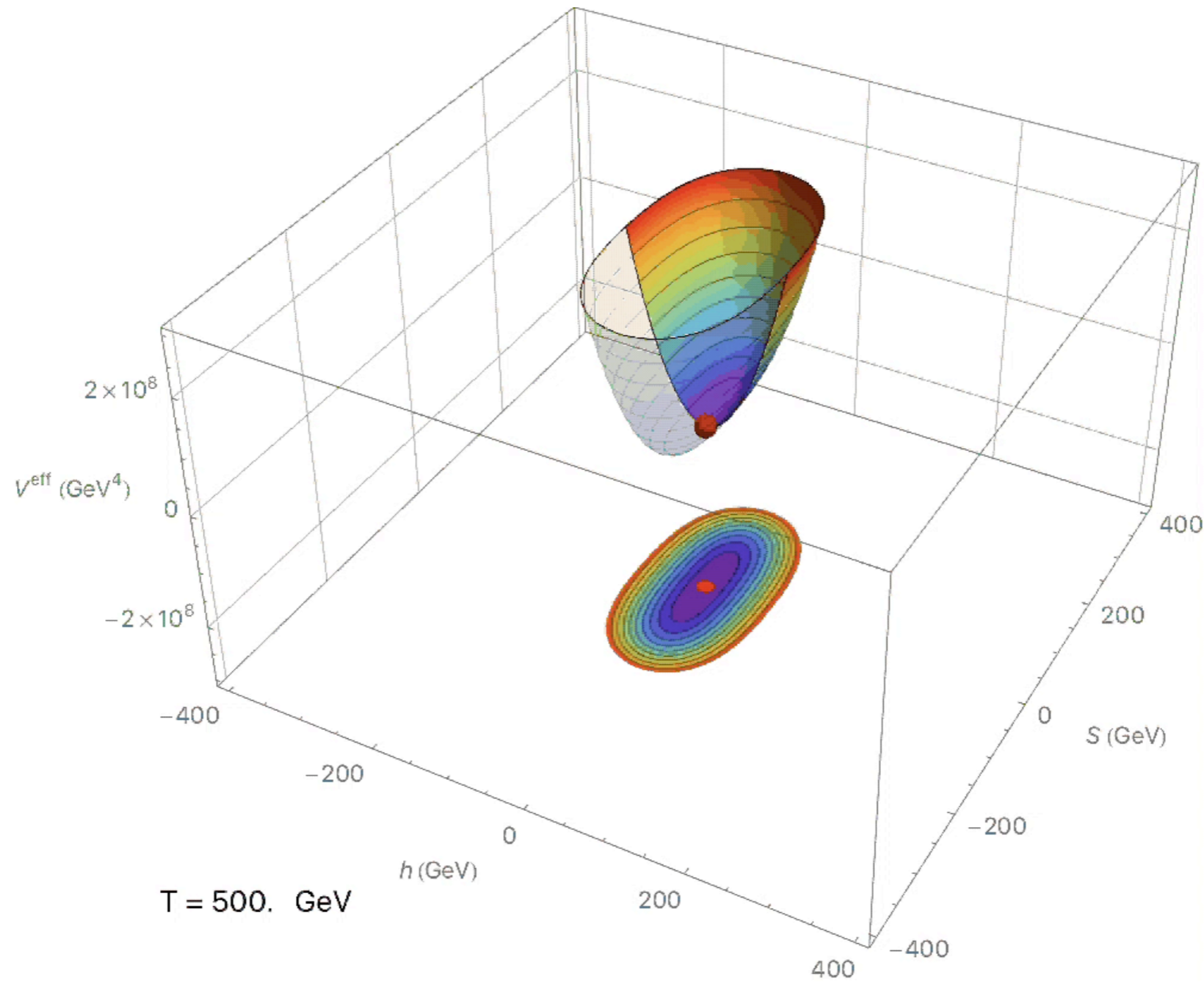
Profumo et al. [0705.2425](#)
Cline Laporte Yamashita Kraml [0905.2559](#)
Espinosa Konstandin Riva [1107.5441](#)
Cui Randall Shuve [1106.4834](#),
Cline Kainulainen [1210.4196](#)
Fairbairn Hogan [1305.3452](#)
Curtin Meade Yu [1409.0005](#)

The Vev Flip-Flop



- ☑ $T > 400$ GeV: $\langle S \rangle = 0$, $\langle H \rangle = 0$ (thermal corrections dominate V_{eff})
- ☑ $T \sim 400$ GeV: S develops vev \Rightarrow DM unstable
- ☑ $T \sim 150$ GeV: H develops vev \Rightarrow Feedback through $\lambda_p (H^\dagger H)(S^\dagger S)$
 $\Rightarrow m_{S,\text{eff}}$ changes sign, $\langle S \rangle \rightarrow 0$, DM stable

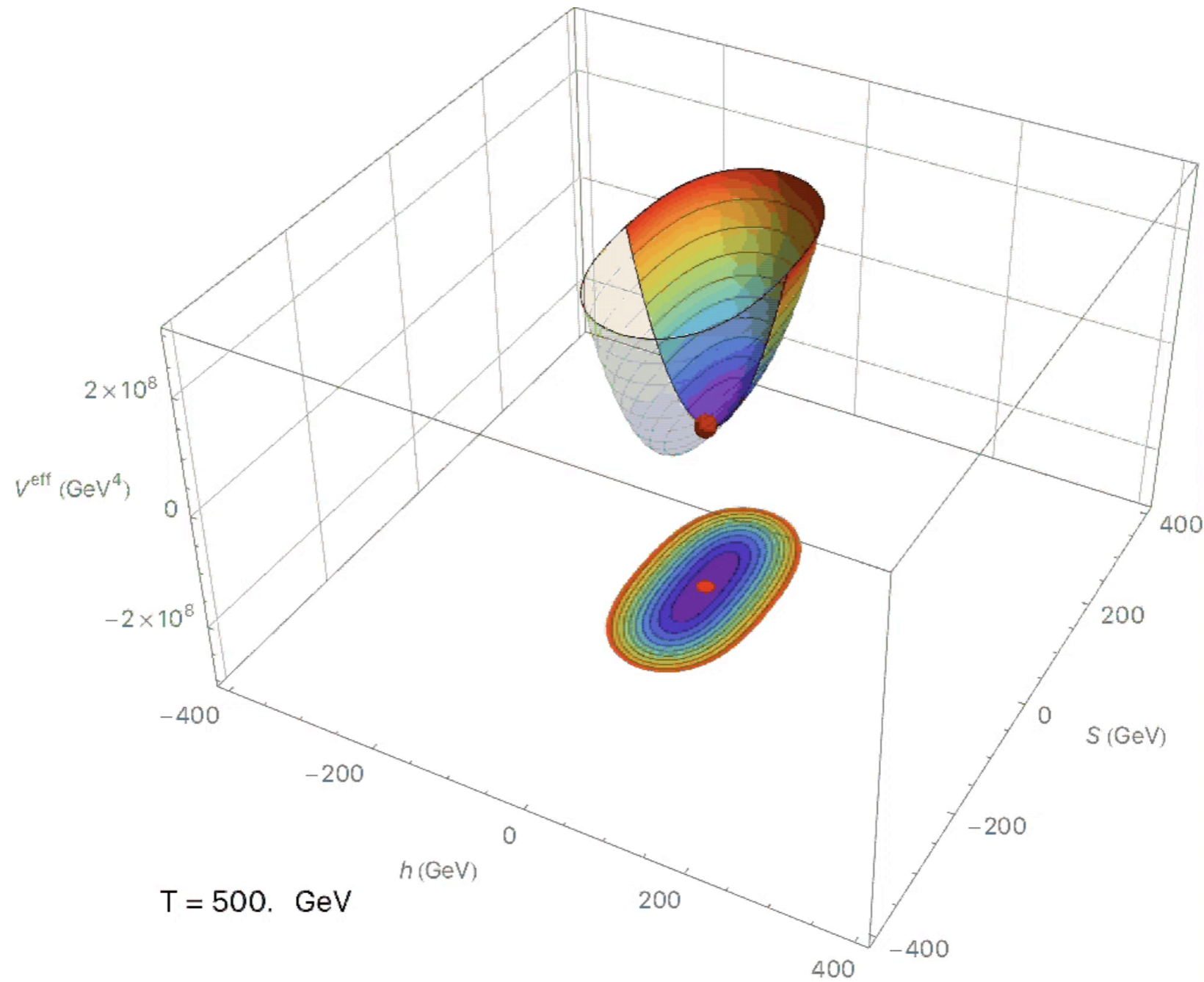
The Vev Flip-Flop



Computed by Mike Baker using CosmoTransitions

Wainwright [1109.4189](#), Kozaczuk Profumo Haskins Wainwright [1407.4134](#)

The Vev Flip-Flop

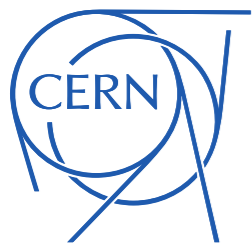


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Implications 1

Dark Matter



Standard Lore: Thermal Freeze-Out

- ✓ Early on: DM in thermal equilibrium with SM

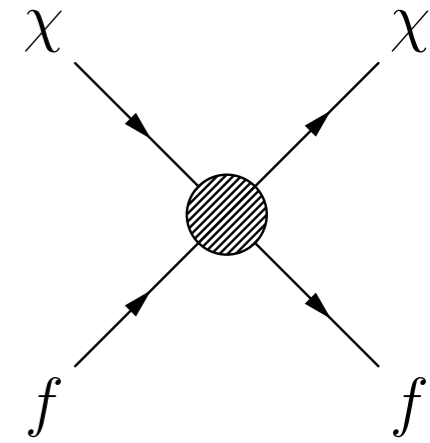
e.g. via $\bar{\chi}\chi \leftrightarrow \bar{f}f$

- ✓ Number density: $n_{\chi,\text{eq}} = \int \frac{d^3p}{(2\pi)^3} \exp[-E_{\chi}(\vec{p})/T]$

- ✓ T drops, interactions freeze out

- ✓ Described by Boltzmann equation

$$\frac{dn_{\chi}}{dt} + 3n_{\chi} \frac{\dot{a}}{a} = - \left(n_{\chi}^2 \langle \sigma(\chi\chi \rightarrow \bar{f}f) v_{\text{rel}} \rangle - n_f^2 \langle \sigma(\bar{f}f \rightarrow \chi\chi) v_{\text{rel}} \rangle \right)$$



Standard Lore: Thermal Freeze-Out

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☑ Detailed balance: $n_f^2 \langle \sigma(\bar{f}f \rightarrow \chi\chi) v_{\text{rel}} \rangle = n_{\chi,\text{eq}}^2 \langle \sigma(\chi\chi \rightarrow \bar{f}f) v_{\text{rel}} \rangle$

☑ Final Boltzmann equation

$$\frac{dn_\chi}{dt} + 3n_\chi \frac{\dot{a}}{a} = - \langle \sigma(\chi\chi \rightarrow \bar{f}f) v_{\text{rel}} \rangle (n_\chi^2 - n_{\chi,\text{eq}}^2)$$

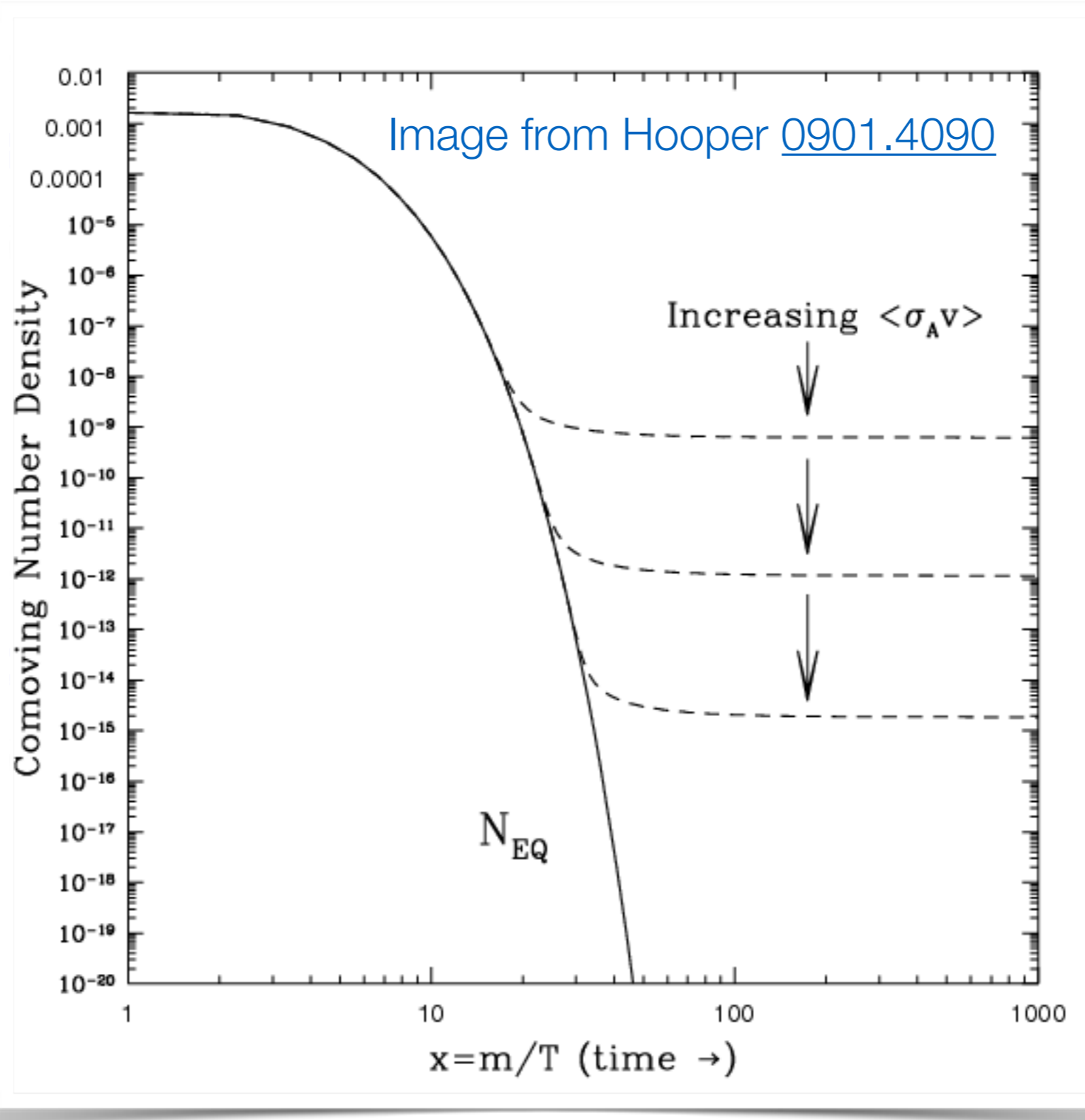
Standard Lore: Thermal Freeze-Out

$$\frac{dn_\chi}{dt} + \dots$$

Detailed

Final Bo

$$\frac{dn_\chi}{dt} + \dots$$

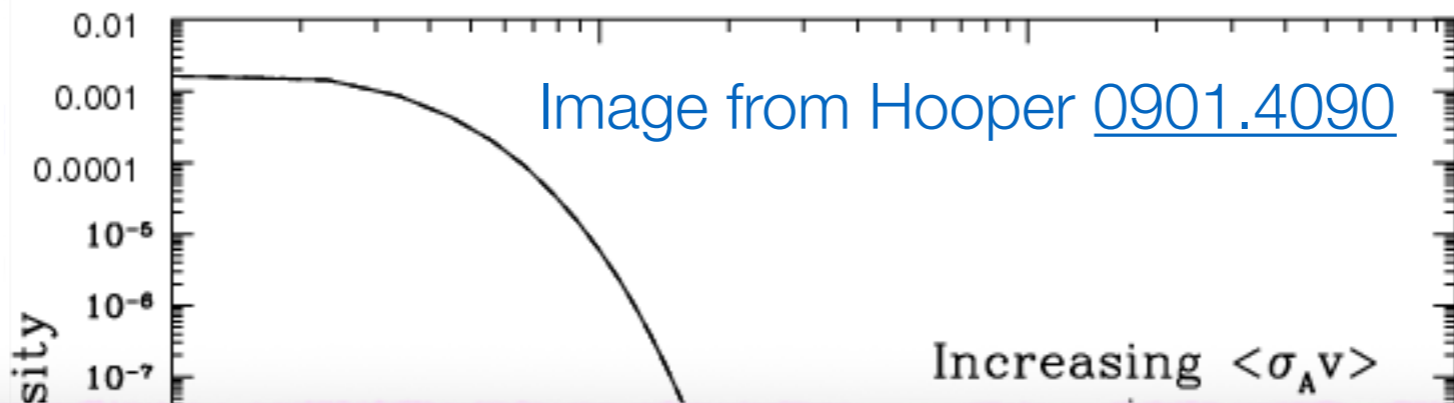


$$\rightarrow \langle \chi\chi \rangle v_{rel} \rangle$$

$$\chi\chi \rightarrow \bar{f}f \rangle v_{rel} \rangle$$

Standard Lore: Thermal Freeze-Out

$$\frac{dn_\chi}{dt} + \dots$$

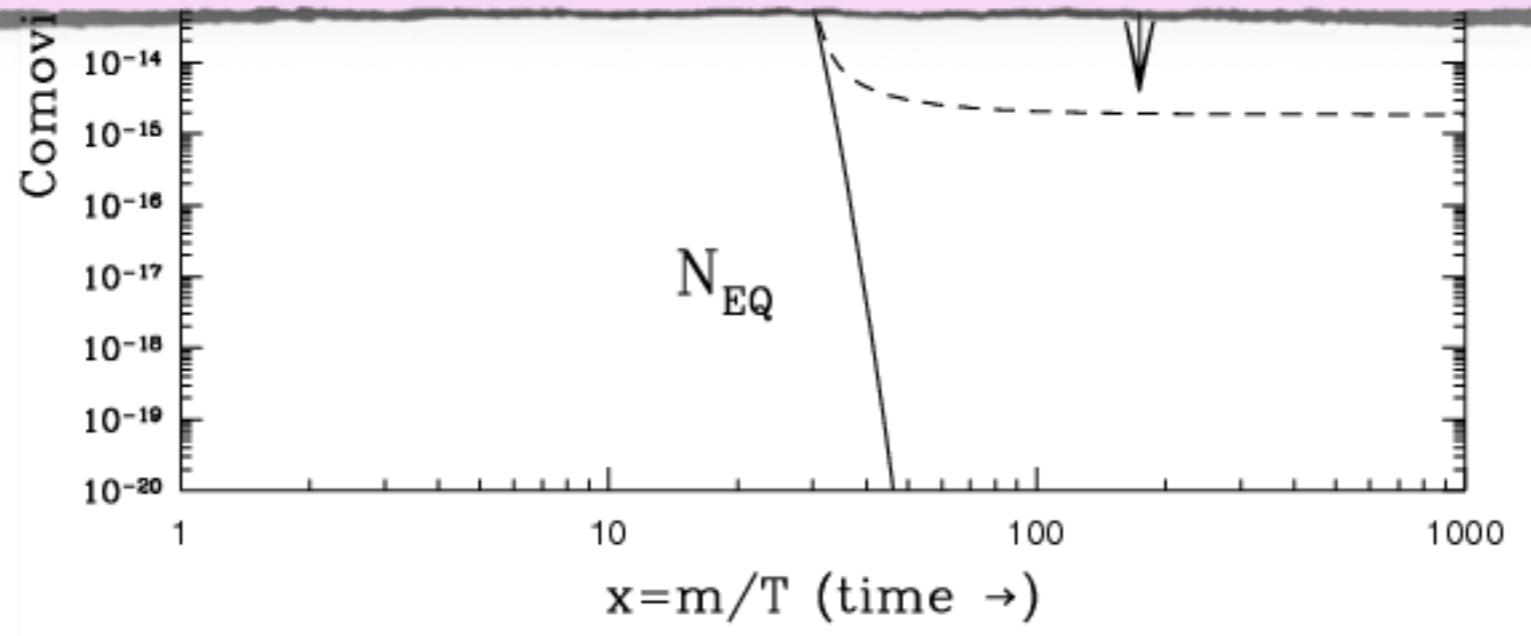


$$\langle \sigma(\chi\chi \rightarrow \bar{f}f)v_{\text{rel}} \rangle$$

observed relic abundance obtained for

$$\langle \sigma(\chi\chi \rightarrow \bar{f}f)v_{\text{rel}} \rangle \simeq 2.2 \times 10^{-26} \text{ cm}^3/\text{sec}$$

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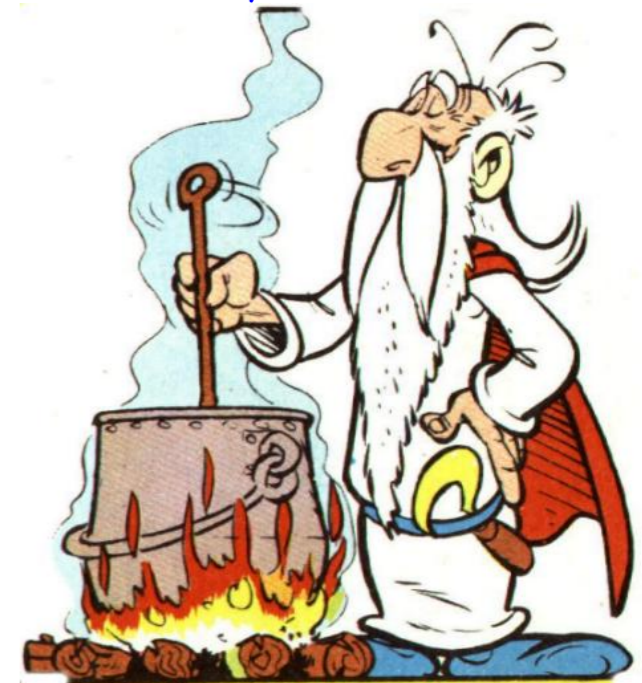
- ☑ Expect new particles at $\sim 100 \text{ GeV}$
- ☑ SM-like couplings $\sim \alpha_{\text{em}} \sim 0.01$
- ☑ Expect $\langle \sigma(\chi\chi \rightarrow \bar{f}f)v_{\text{rel}} \rangle \simeq \text{few} \times 10^{-26} \text{ cm}^3/\text{sec}$

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WIMP Miracle



Problems with the Standard Scenario

- ☑ Continued absence of signals in
 - direct DM searches (DM–nucleus scattering)
 - indirect searches (cosmic rays from DM annihilation)
 - collider searches (missing energy signatures)
- ☑ No showstoppers yet, but the community is beginning to worry

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Dark Matter Model Building Flowchart



DM Decay Between Phase Transitions

- ☑ Observed DM abundance requires a mechanism that depletes DM by several orders of magnitude, then stops
- ☑ Idea: DM decay!
- ☑ Example:
 - Phase transition shifts particle masses, making DM unstable
 - DM partly decays
 - 2nd phase transition restores symmetry

A Toy Model

Field	Spin	\mathbb{Z}_2	mass Scale
S	0	+1	0.1 — 100 GeV
χ	$\frac{1}{2}$	-1	5 GeV — 5 TeV
ψ	$\frac{1}{2}$	-1	5 GeV — 5 TeV

Baker Mittnacht [arXiv:1811.03101](https://arxiv.org/abs/1811.03101)

$$\mathcal{L} \supset - [y_{\chi\psi} \bar{\psi} S \chi + h.c.] - y_{\chi} \bar{\chi} S \chi - y_{\psi} \bar{\psi} S \psi$$

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 $\Rightarrow \chi$ out of equilibrium

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large enough
 to keep ψ in equilibrium
 $\langle S \rangle$ affects ψ mass



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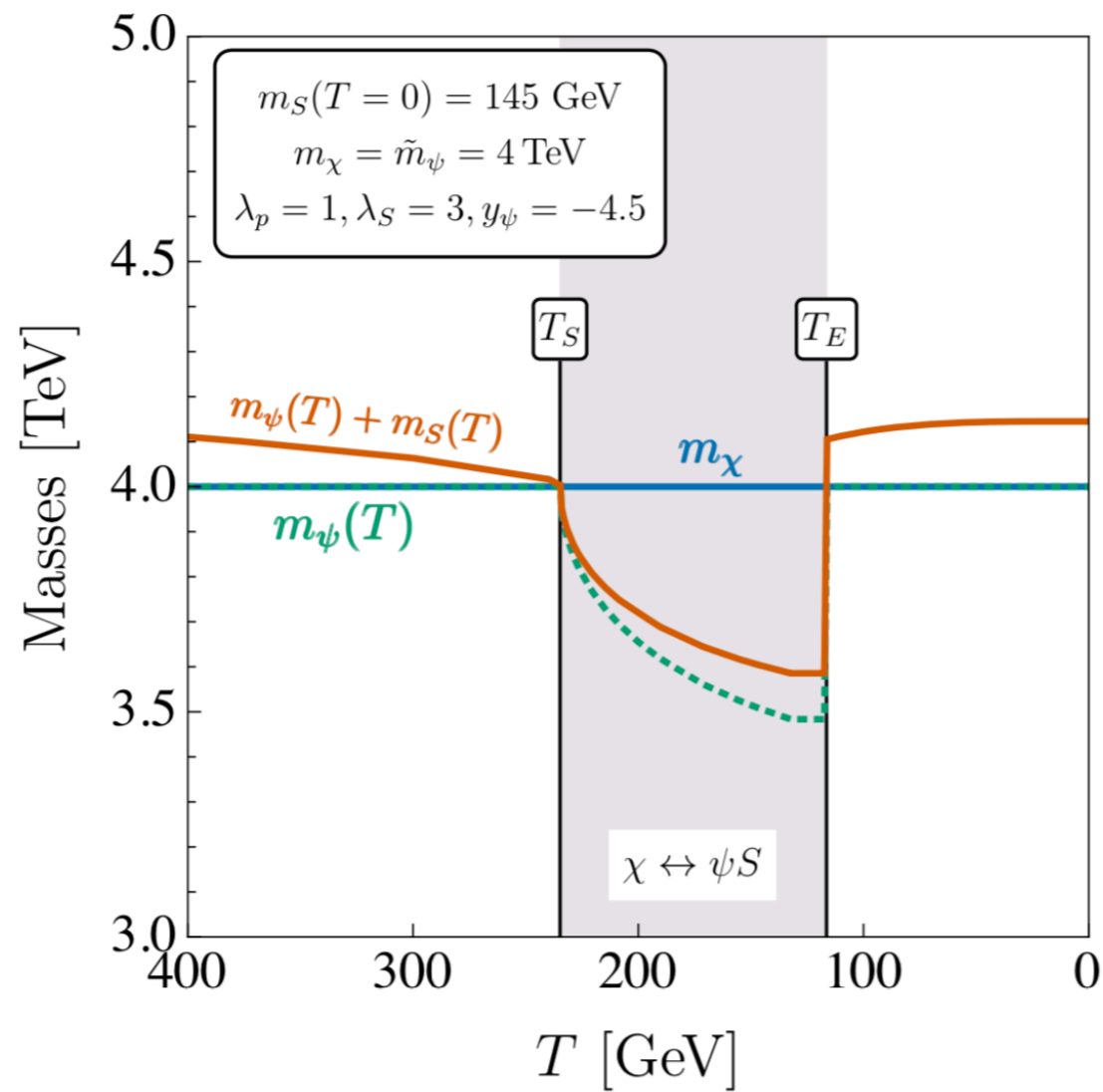
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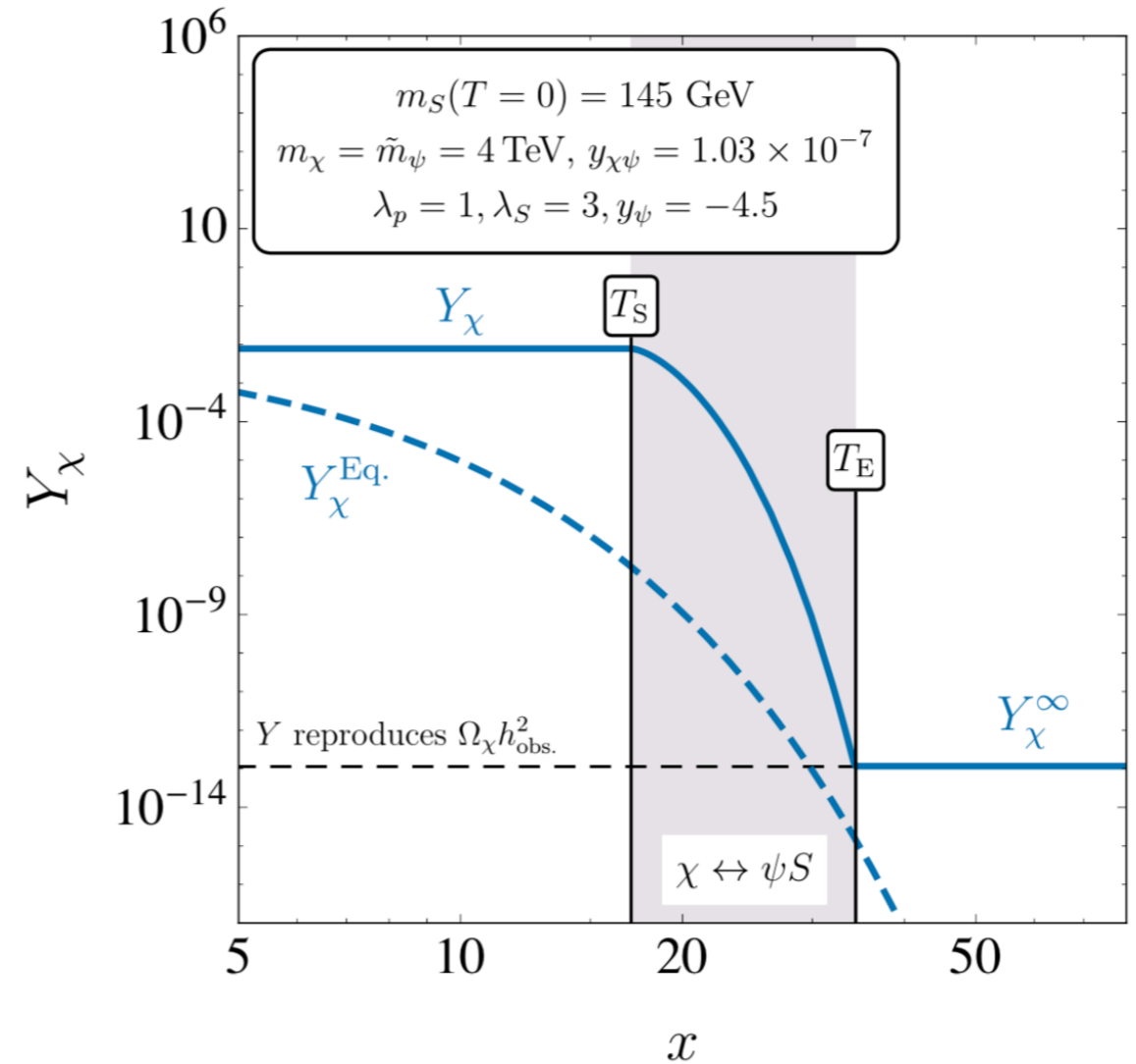
temporarily allows decay
 $\chi \rightarrow \psi S$

large enough
 to keep ψ in equilibrium
 $\langle S \rangle$ affects ψ mass

Evolution of Particle Masses

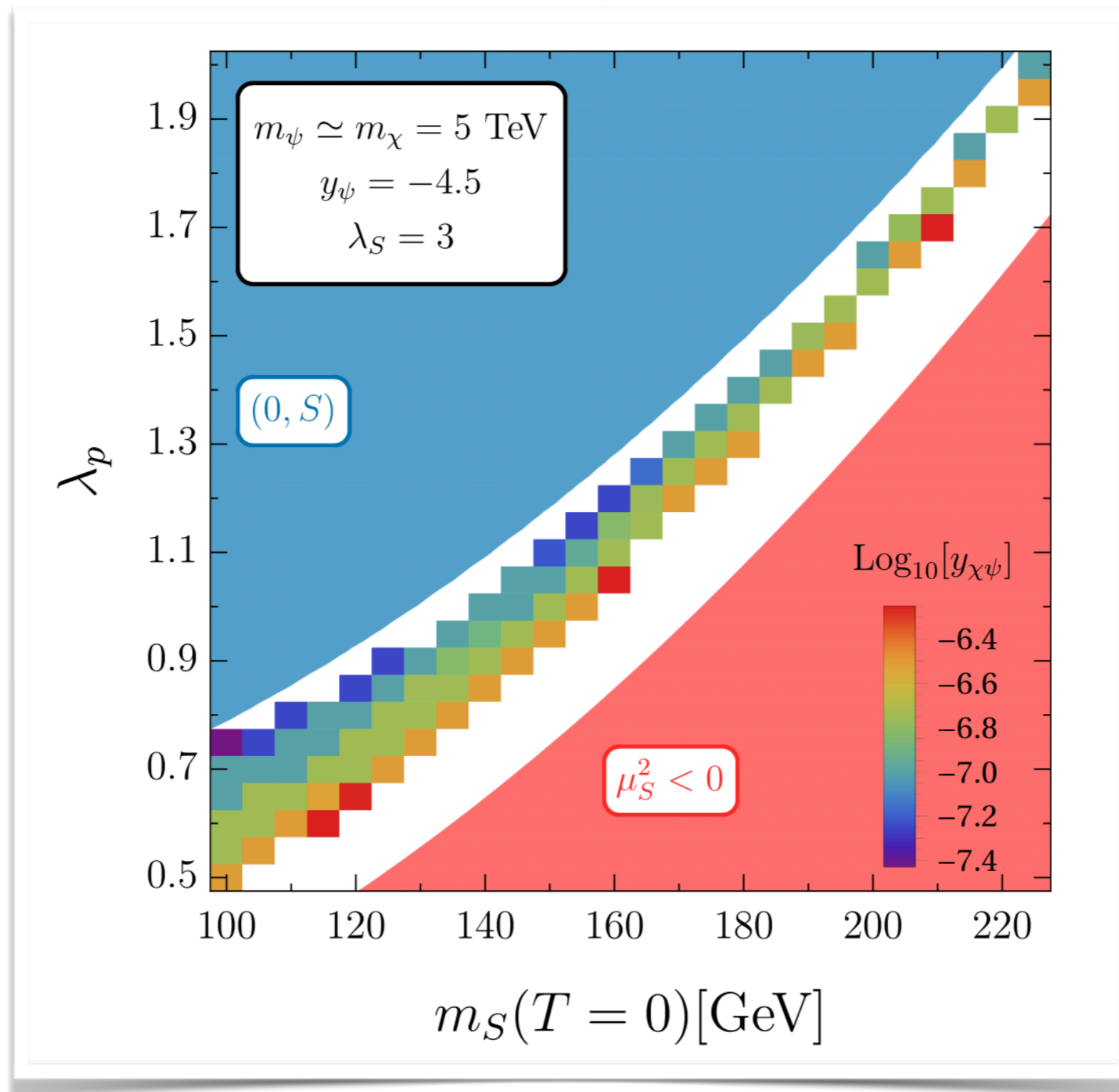


Evolution of DM Abundance



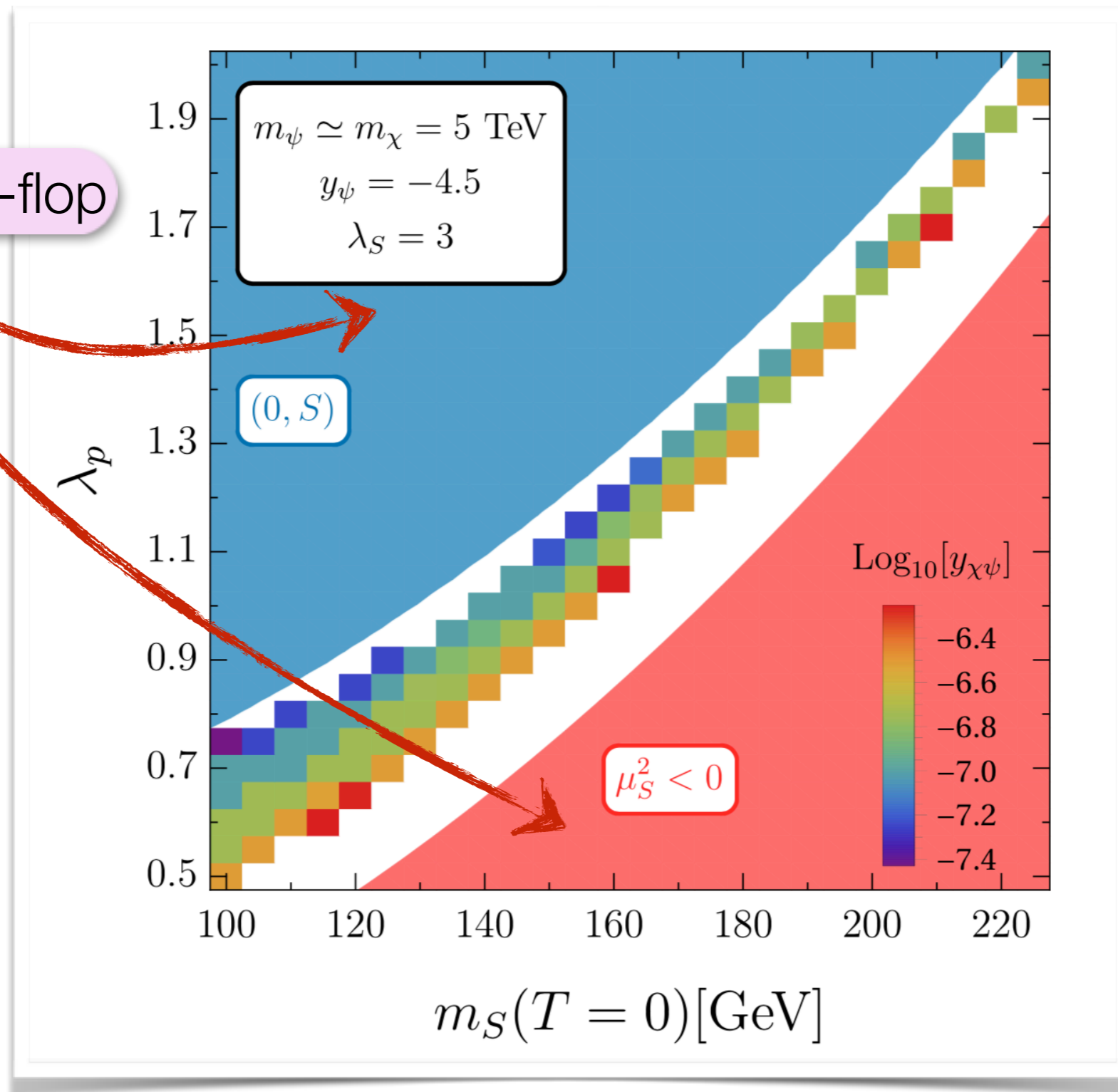
Baker Mittnacht [arXiv:1811.03101](https://arxiv.org/abs/1811.03101)

Parameter Space



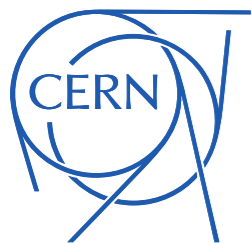
Parameter Space

no vev flip-flop



Implications 2

Gravitational Waves

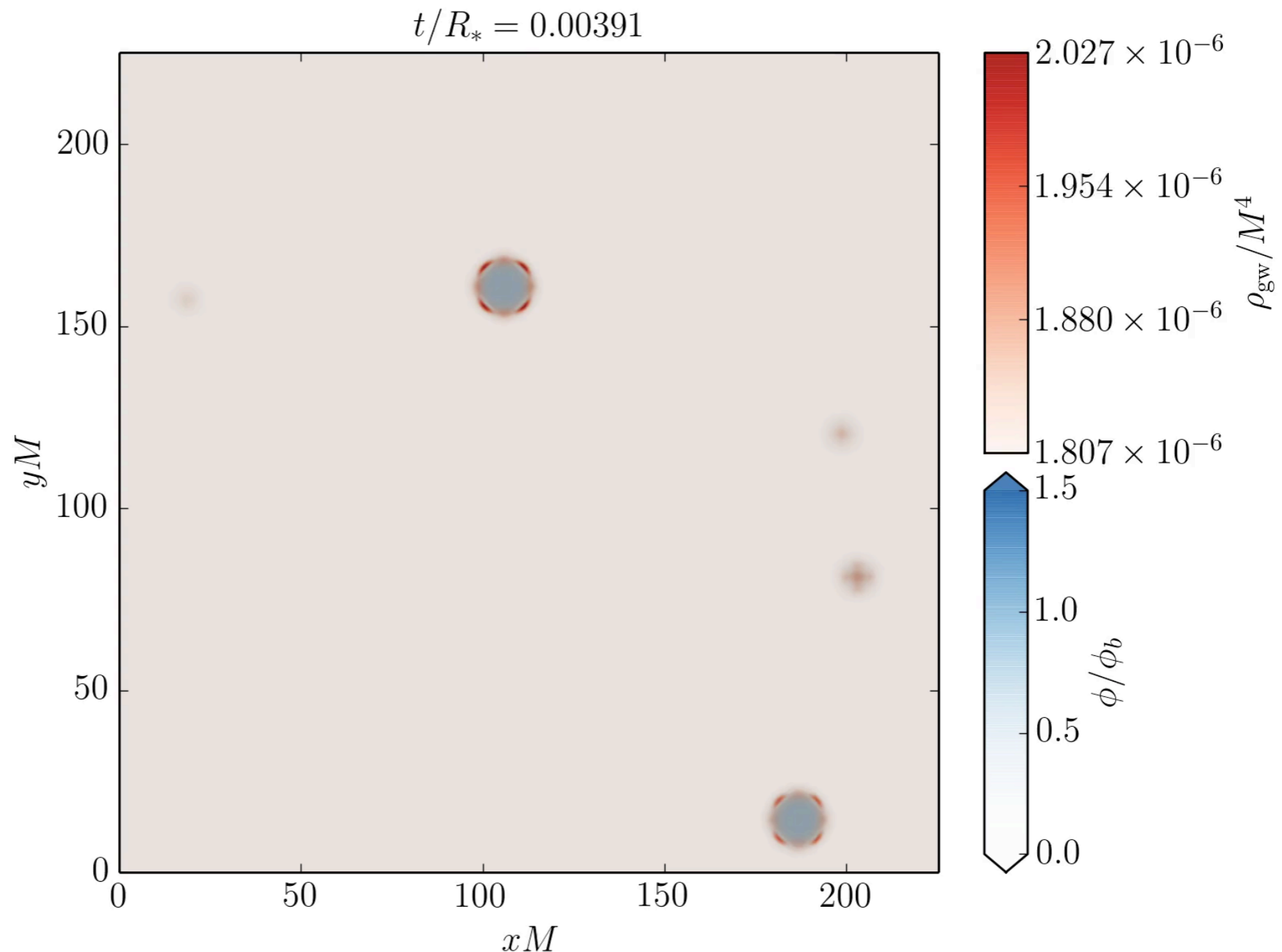


Gravitational Waves from Phase Transitions

- ☑ Phase transitions in extended scalar sectors often 1st order
 - ➡ gravitational wave signals?

[Witten 1984](#)

[Cutting Hindmarsh Weir 2018](#)

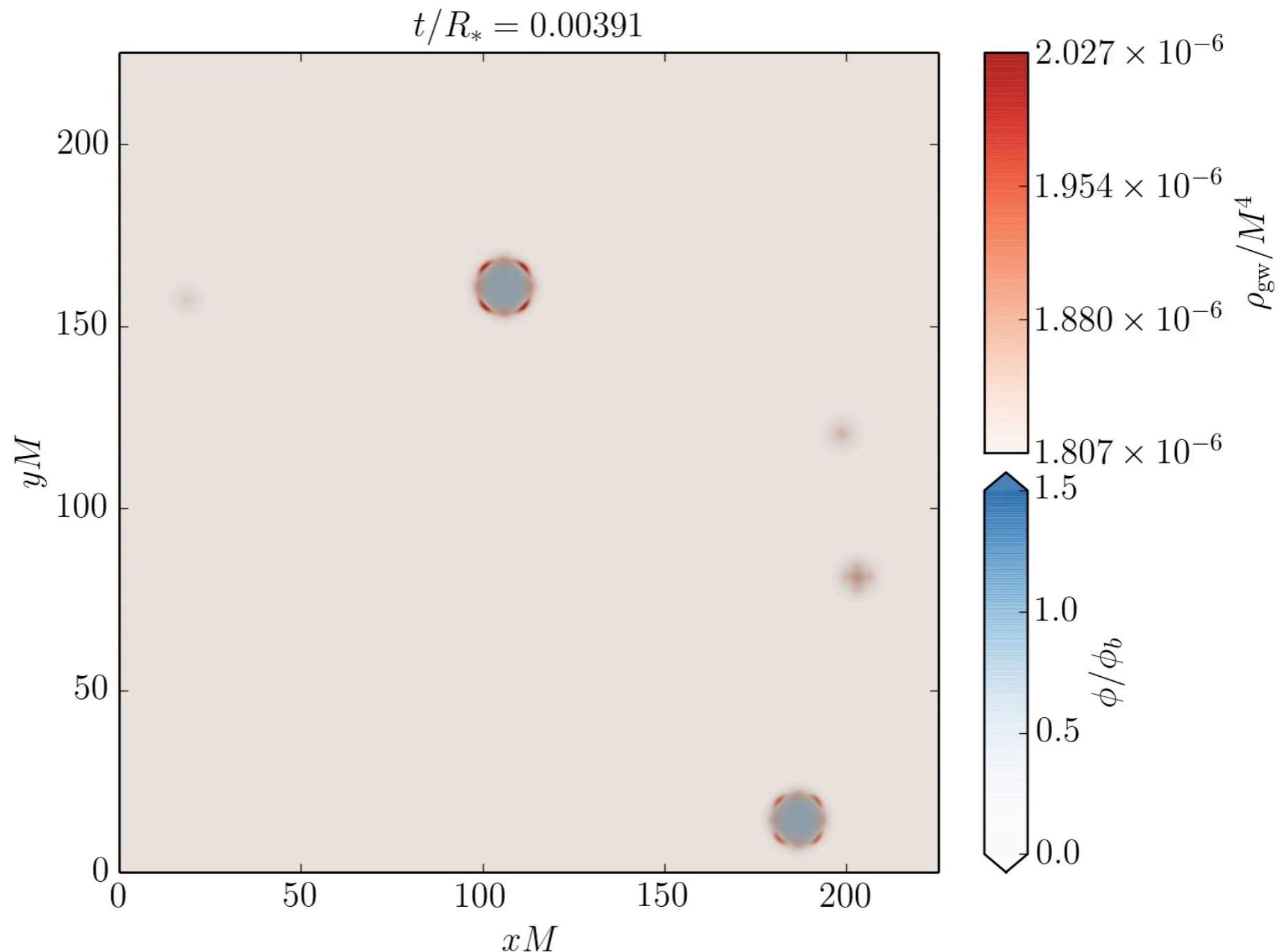


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 - ➡ gravitational wave signals?

[Witten 1984](#)

[Cutting Hindmarsh Weir 2018](#)



Gravitational Waves from Phase Transitions

☑ Three contributions

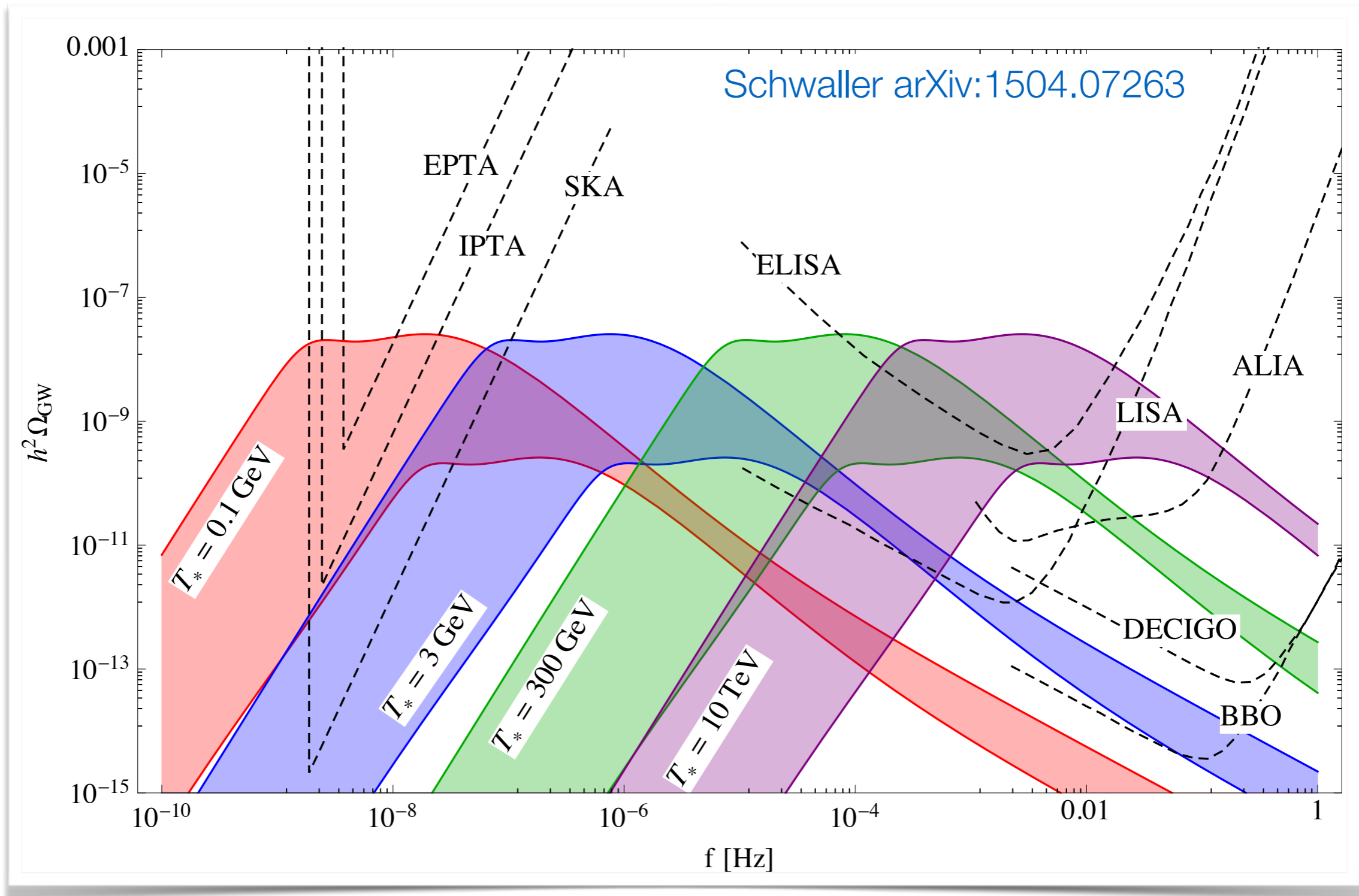
- Bubble collisions
- Collisions of **sound waves** generated during bubble expansion
- **Turbulence** in the plasma

☑ How to compute the GW signal from these contributions:

- requires numerical simulations (**large uncertainties!**)
- Parameterize results, e.g. as

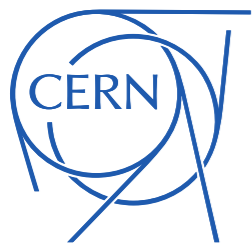
$$\Omega_{\text{GW}}(f) \equiv \frac{1}{\rho_c} \frac{d\rho_{\text{GW}}(f)}{d \log f} \simeq \mathcal{N} \Delta \left(\frac{\kappa \alpha}{1 + \alpha} \right)^p \left(\frac{H}{\beta} \right)^q s(f)$$

Gravitational Wave Spectra



Implications 3

Baryogenesis



Electroweak Baryogenesis

- ☑ Consider 1st order electroweak phase transition
e.g. SM + real singlet scalar
- ☑ Penetrating bubble walls is difficult for top quarks
massless on the outside, massive on the inside \Rightarrow potential wall
- ☑ Permeability can be larger for t_L and t_R
requires new CP-violating interaction
- ☑ Deficit of t_L outside the bubbles

Electroweak Baryogenesis

- ☑ $B+L$ (baryon number + lepton number) violated by **sphaleron transitions**
 - effect of the weak interaction \Rightarrow affect only **LH particles**
 - **active only outside the bubble** (electroweak symmetry broken inside)
 - $B-L$ remains conserved
- ☑ Entropy maximization implies that baryons are regenerated from leptons
- ☑ **Net gain in baryon number**
- ☑ Excess baryons are eventually swept up by advancing bubble walls

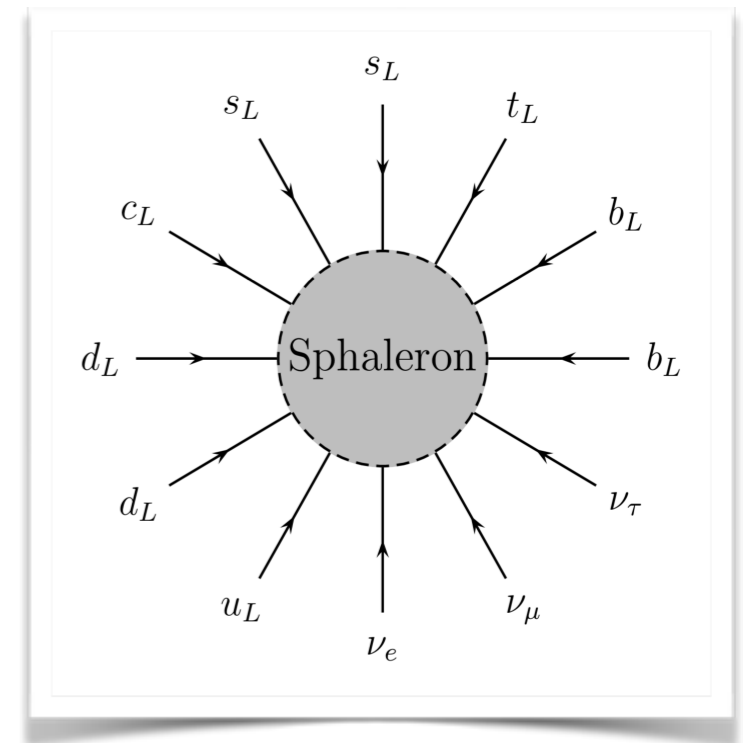
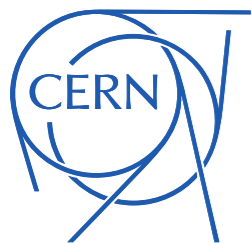


Image: Wilfried Buchmüller, [hep-ph/9812447](https://arxiv.org/abs/hep-ph/9812447)

Implications 4

Higgs Physics at Colliders



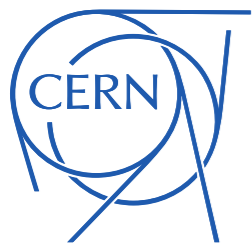
Connections to Higgs Physics at Colliders

- ☑ Early Universe phase transitions often controlled by scalar fields
- ☑ Connection to the SM: Higgs portal $(S^\dagger S)(H^\dagger H)$
- ☑ Testable at colliders:
 - Invisible Higgs decays
 - If $\langle S \rangle \neq 0$: mixing between S and H
 - electroweak precision observables (S , T , U parameters)
 - modified H branching ratios
 - direct observation of S
(similar production/decay channels as H , but suppressed by mixing)
 - Higgs total width
 - Precision measurements of Higgs self-coupling
(e.g. in di-Higgs production)

Barger *et al.*, <https://arxiv.org/abs/0706.4311>

Robens & Stefaniak, [arXiv:1601.07880](https://arxiv.org/abs/1601.07880)

Summary



Summary

Phase Transition in the early Universe

- ☑ can affect **dark matter** abundance
- ☑ may be observable using **gravitational waves**
- ☑ may be responsible for the **particle–antiparticle asymmetry** of the Universe
- ☑ can be searched for indirectly by looking for an **extended scalar sector**

Thank you!

