

# $|V_{cb}|$ and $R(D_{(s)}^{(*)})$ using lattice QCD and unitarity

in collaboration with:

G. Martinelli (Roma “La Sapienza”), M. Naviglio (Pisa), L. Vittorio (Pisa)

## *outline of the talk*

- \* **the Dispersion Matrix approach:** an attractive way to implement unitarity and Lattice QCD calculations in the analysis of exclusive semileptonic decays of hadrons [[PRD '21 \(2105.02497\)](#), [PRD '21 \(2105.07851\)](#), [PRD '22 \(2105.08674\)](#)]
- \* results for  $B \rightarrow D^{(*)} \ell \nu_\ell$  decays: extraction of  $|V_{cb}|$  and theoretical determination of  $R(D^{(*)})$  [[2105.08674](#), [2109.15248](#)]
- \* results for  $B_s \rightarrow D_s^{(*)} \ell \nu_\ell$  decays: extraction of  $|V_{cb}|$  and theoretical determination of  $R(D_s^{(*)})$  [[2204.05925](#)]
- \* results for  $|V_{ub}|$  from  $B \rightarrow \pi \ell \nu_\ell$  and  $B_s \rightarrow K \ell \nu_\ell$  decays [[2202.10285](#)] → Ludovico's slides in the discussion session

# motivations

\* two critical issues in semileptonic  $B \rightarrow D^{(*)}\ell\nu_\ell$  decays

- **exclusive/inclusive**  $|V_{cb}|$  **puzzle:**

exclusive (FLAG '21):  $|V_{cb}|(BGL) \cdot 10^3 = 39.36 (68)$

inclusive (HFLAV '21):  $|V_{cb}| \cdot 10^3 = 42.19 (78)$

**difference of  $\sim 2.7 \sigma$**

$|V_{cb}| \cdot 10^3 = 42.16 (50)$   
(Bordone et al. 2107.00604)

-  $R(D^{(*)})$  **anomalies:**

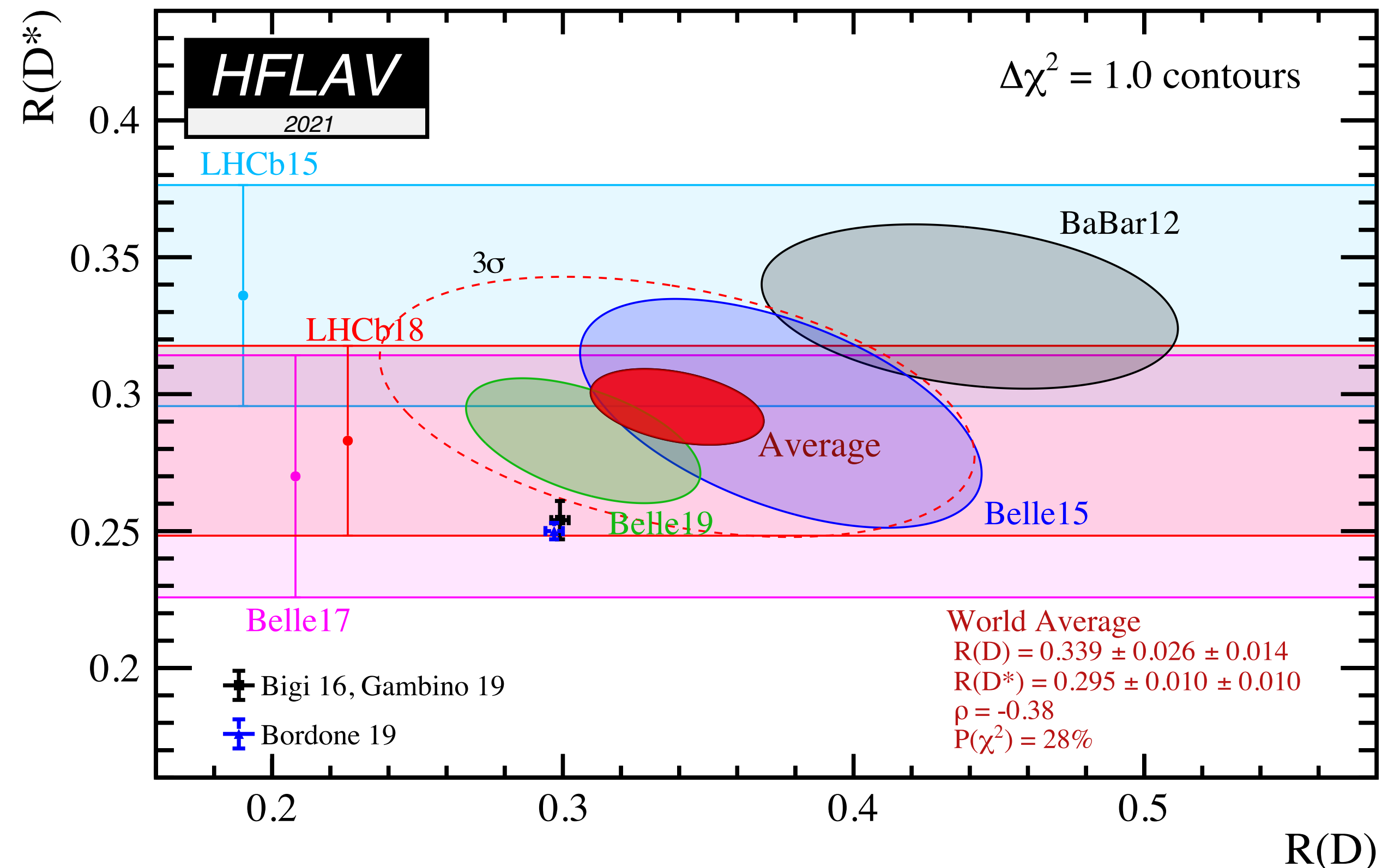
$$R(D) = \frac{\mathcal{B}(B \rightarrow D\tau\nu_\tau)}{\mathcal{B}(B \rightarrow D\ell\nu_\ell)}$$

$$R(D^*) = \frac{\mathcal{B}(B \rightarrow D^*\tau\nu_\tau)}{\mathcal{B}(B \rightarrow D^*\ell\nu_\ell)}$$

$\ell = e, \mu$

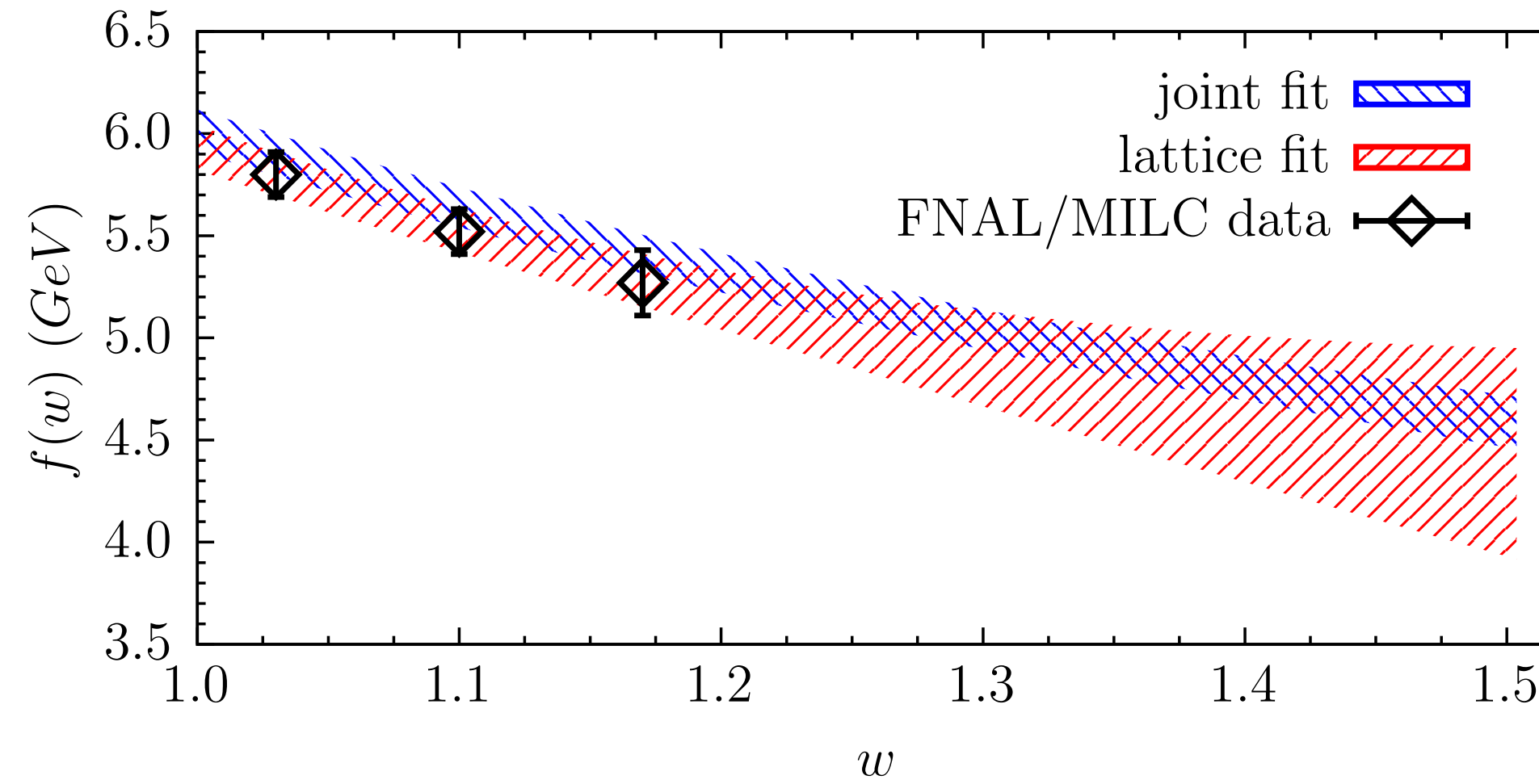
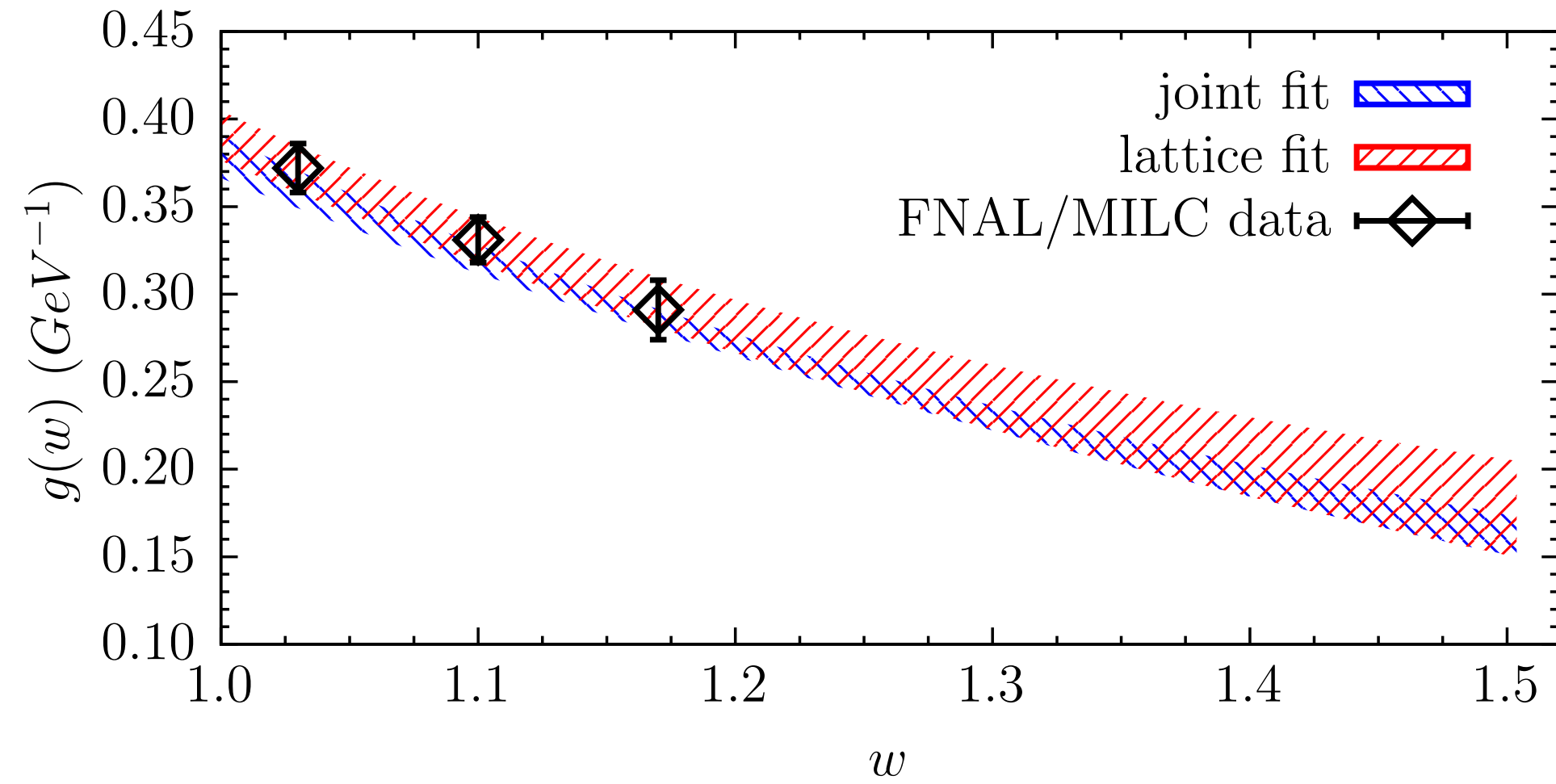
**differences of  $\sim 3.4\sigma$  between exp.'s and "SM"**

“ ” = mix of theoretical calculations and experimental

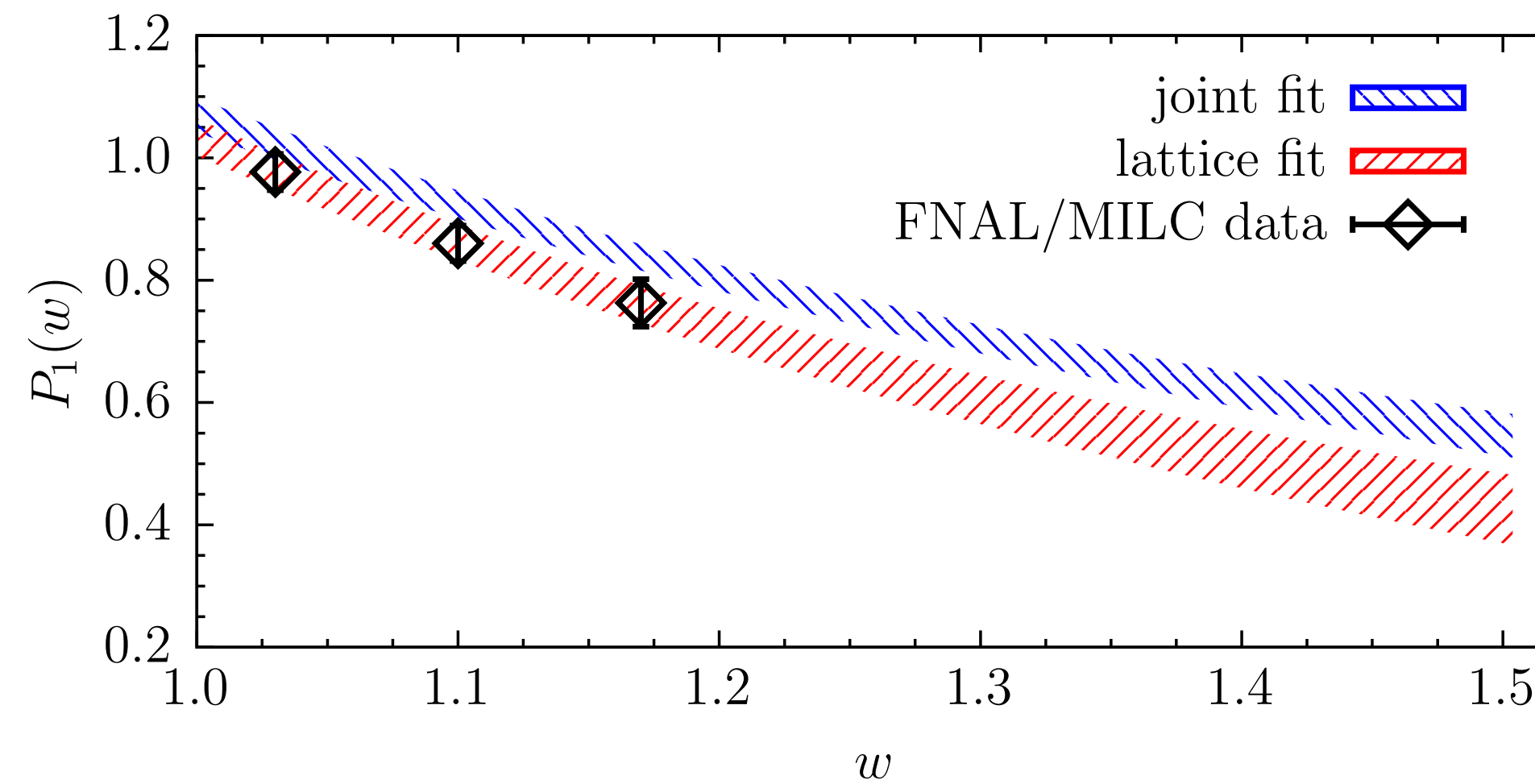
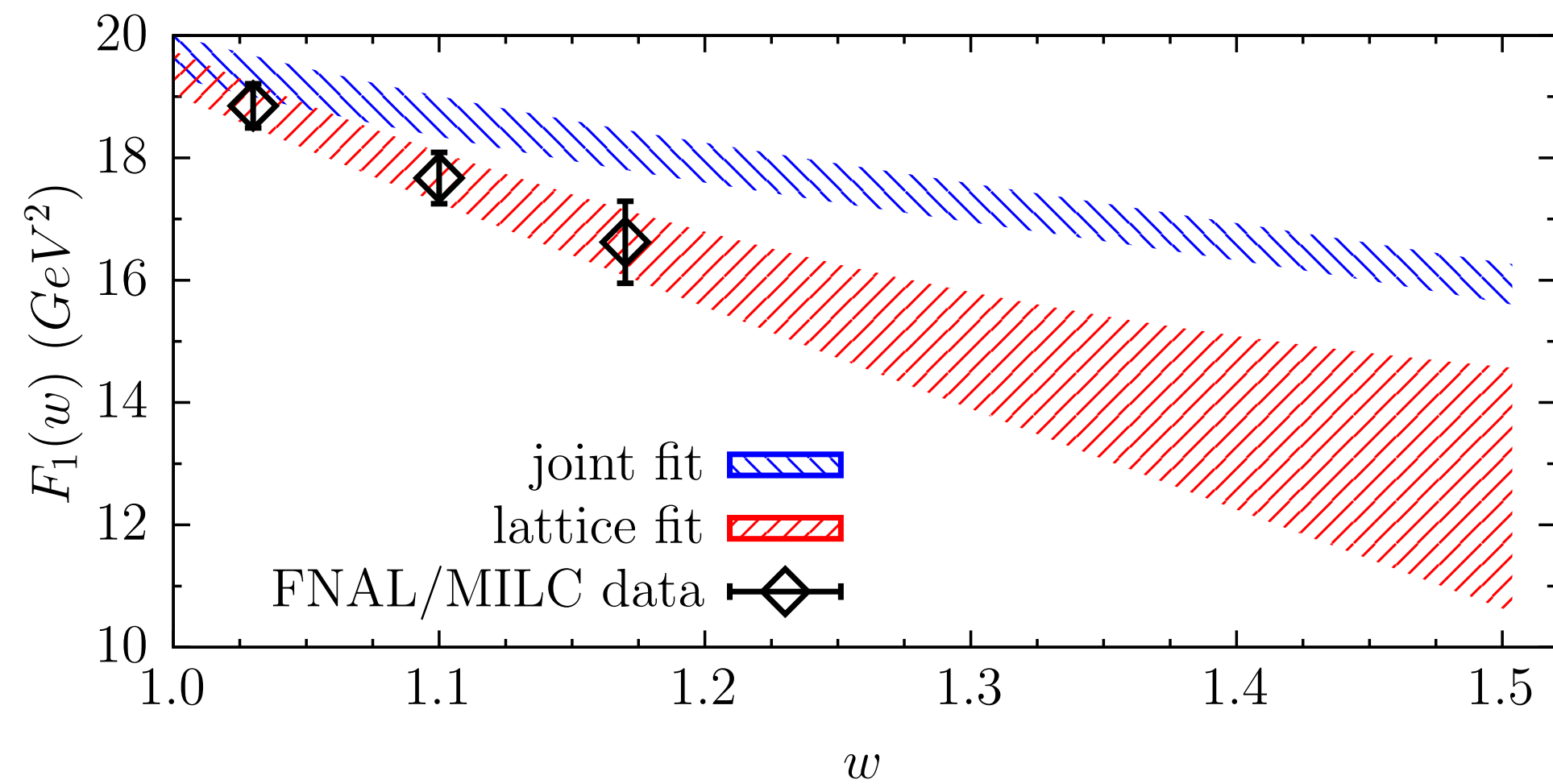


# important news: LQCD form factors for $B \rightarrow D^* \ell \nu_\ell$ decays from FNAL/MILC (arXiv:2105.14019)

synthetic data points at 3 non-zero values of the recoil ( $w - 1$ )



joint fit:  
 BGL fit of LQCD points +  
 Belle + BaBar exp. data  
 $|V_{cb}| \cdot 10^3 = 38.40 \pm 0.74$   
 $R(D^*) = 0.2483 \pm 0.0013$



lattice fit:  
 quadratic BGL fit of LQCD  
 points only  
 $|V_{cb}| > |V_{cb}|^{\text{joint fit}} \quad ?$   
 $R(D^*) = 0.265 \pm 0.013$

simultaneous fit of the lattice points and experimental data to determine the shape of the FFs and to extract  $|V_{cb}|$

\*\*\* slope differences between exp's and theory  $\rightarrow$  bias on  $|V_{cb}|^{\text{joint fit}} \quad ?$  \*\*\*

# aim of the talk

to show the relevant, attractive features of the **Dispersion Matrix (DM) approach** [arXiv:2105.02497], which is a rigorously model-independent tool for describing the hadronic form factors (FFs) in their whole kinematical range

- entirely based on first principles (i.e. lattice QCD simulations of 2- and 3-point Euclidean correlators)
- independent on any assumption about the momentum dependence of the FFs
- unitarization of the input theoretical data (including also kinematical constraints)
- **no mixing among theoretical calculations and experimental data to describe the shape of the FFs**

\* results for  $B_{(s)} \rightarrow D_{(s)}^{(*)} \ell \nu_\ell$  decays: extraction of  $|V_{cb}|$  and theoretical determination of  $R(D_{(s)}^{(*)})$  using LQCD results for the FFs (from FNAL/MILC and HPQCD) [2105.08674, 2109.15248, 2204.05925]

decay	$ V_{cb} ^{\text{DM}} \cdot 10^3$	inclusive	exclusive	observable	DM	experiment	difference
		[2107.00604]	[FLAG 21]	$R(D)$	0.296 (8)	0.340 (27) (13)	$\simeq 1.4 \sigma$
$B \rightarrow D$	$41.0 \pm 1.2$			$R(D^*)$	0.275 (8)	0.295 (11) (8)	$\simeq 1.3 \sigma$
$B \rightarrow D^*$	$41.3 \pm 1.7$			$R(D_s)$	0.298 (5)		
$B_s \rightarrow D_s$	$42.4 \pm 2.0$			$R(D_s^*)$	0.250 (6)		
$B_s \rightarrow D_s^*$	$41.4 \pm 2.6$						
average	$41.4 \pm 0.8$	$42.16 \pm 0.50$	$39.36 \pm 0.68$				
difference		$\simeq 0.8 \sigma$	$\simeq 1.9 \sigma$				

\*\*\* reduced tensions in both  $|V_{cb}|$  and  $R(D^{(*)})$  \*\*\*

\* reappraisal and improvement of the method originally proposed by Bourenly et al. NPB '81 and Lellouch in NPB '96

$$\mathcal{M} = \begin{pmatrix} \langle \phi f | \phi f \rangle & \langle \phi f | g_t \rangle & \langle \phi f | g_{t_1} \rangle & \dots & \langle \phi f | g_{t_N} \rangle \\ \langle g_t | \phi f \rangle & \langle g_t | g_t \rangle & \langle g_t | g_{t_1} \rangle & \dots & \langle g_t | g_{t_N} \rangle \\ \langle g_{t_1} | \phi f \rangle & \langle g_{t_1} | g_t \rangle & \langle g_{t_1} | g_{t_1} \rangle & \dots & \langle g_{t_1} | g_{t_N} \rangle \\ \dots & \dots & \dots & \dots & \dots \\ \langle g_{t_N} | \phi f \rangle & \langle g_{t_N} | g_t \rangle & \langle g_{t_N} | g_{t_1} \rangle & \dots & \langle g_{t_N} | g_{t_N} \rangle \end{pmatrix}$$

inner product:  $\langle g | h \rangle \equiv \frac{1}{2\pi i} \int_{|z|=1} \frac{dz}{z} \bar{g}(z) h(z)$

$g_t(z) \equiv \frac{1}{1 - \bar{z}(t) z}$

$\langle g_t | \phi f \rangle \equiv \phi(z, q_0^2) f(z)$        $\langle g_t | g_{t_m} \rangle = \frac{1}{1 - \bar{z}(t_m) z(t)}$

$t_1, t_2, \dots, t_N$  are the  $N$  values of the squared 4-momentum transfer where the form factor  $f$  has been computed and  $t$  is its value where we want to compute  $f(t)$

unitarity bound:  $\langle \phi f | \phi f \rangle \equiv \frac{1}{2\pi i} \int_{|z|=1} \frac{dz}{z} |\phi(z, q_0^2) f(z)|^2 \leq \chi(q_0^2)$

in the case of interest  $z_i \equiv z(t_i)$  and  $\phi_i f_i \equiv \phi(z_i, q_0^2) f(t_i)$  are real numbers and the positivity of the inner product implies:

$$\det[\overline{\mathcal{M}}] = \begin{vmatrix} \chi(q_0^2) & \phi f & \phi_1 f_1 & \dots & \phi_N f_N \\ \phi f & \frac{1}{1-z^2} & \frac{1}{1-zz_1} & \dots & \frac{1}{1-zz_N} \\ \phi_1 f_1 & \frac{1}{1-z_1 z} & \frac{1}{1-z_1^2} & \dots & \frac{1}{1-z_1 z_N} \\ \dots & \dots & \dots & \dots & \dots \\ \phi_N f_N & \frac{1}{1-z_N z} & \frac{1}{1-z_N z_1} & \dots & \frac{1}{1-z_N^2} \end{vmatrix} \geq 0$$

\* the explicit solution is a band of values:  $\beta - \sqrt{\gamma} \leq f(z) \leq \beta + \sqrt{\gamma}$

$$\beta = \frac{1}{d(z) \phi(z)} \sum_{j=1}^N f_j \phi_j d_j \frac{1 - z_j^2}{z - z_j} \quad \gamma = \frac{1}{d^2(z) \phi^2(z)} \frac{1}{1 - z^2} \left[ \chi - \sum_{i,j=1}^N f_i f_j \phi_i \phi_j d_i d_j \frac{(1 - z_i^2)(1 - z_j^2)}{1 - z_i z_j} \right]$$

$\chi, f_i$ : nonperturbative input quantities,

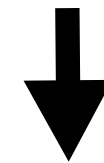
$\phi(z), d(z), \phi_i, d_i$ : kinematical coefficients depending on  $z_i$

\* unitarity is satisfied when  $\gamma \geq 0$ , which implies:  $\chi \geq \chi_{\{f\}}^{DM} \equiv \sum_{i,j=1}^N f_i f_j \phi_i \phi_j d_i d_j \frac{(1 - z_i^2)(1 - z_j^2)}{1 - z_i z_j}$

\*\*\* parameterization-independent “unitarization” of the input data  $\{f\}$  \*\*\*

select only events  
with  $\chi \geq \chi_{\{f\}}^{DM}$

\* important feature: when  $z \rightarrow z_j$  one has  $\beta \rightarrow f_j$  and  $\gamma \rightarrow 0$ , i.e. the DM band collapses to  $f_j$  for  $z = z_j$



for any given set of input data the DM approach reproduces exactly the known data and it allows to extrapolate the form factor in the whole kinematical range in a parameterization-independent way providing a band of values representing the results of all possible BGL fits satisfying unitarity and passing through the known points

\* the DM band represents a uniform distribution which is combined with the multivariate distribution of the input data  $\{f_j\}$  to generate the final band for the FF  $f(z)$

\* kinematical constraint(s) can be easily and rigorously implemented in the DM approach [2105.02497, 2105.08674, 2109.15248]

# nonperturbative determination of the susceptibilities

\* lattice QCD simulations can provide a first-principle determination of the unitarity bounds [\[arXiv:2105.02497\]](#)

time-momentum representation ( $Q = \text{Euclidean 4-momentum}$ )

2-point Euclidean correlation functions

$$\begin{aligned} \chi_{0+}(Q^2) &\equiv \frac{\partial}{\partial Q^2} [Q^2 \Pi_{0+}(Q^2)] = \int_0^\infty dt t^2 j_0(Qt) C_{0+}(t) , & C_{0+}(t) &= \tilde{Z}_V^2 \int d^3x \langle 0 | T [\bar{q}_1(x) \gamma_0 q_2(x) \bar{q}_2(0) \gamma_0 q_1(0)] | 0 \rangle , \\ \chi_{1-}(Q^2) &\equiv -\frac{1}{2} \frac{\partial^2}{\partial^2 Q^2} [Q^2 \Pi_{1-}(Q^2)] = \frac{1}{4} \int_0^\infty dt t^4 \frac{j_1(Qt)}{Qt} C_{1-}(t) , & C_{1-}(t) &= \tilde{Z}_V^2 \frac{1}{3} \sum_{j=1}^3 \int d^3x \langle 0 | T [\bar{q}_1(x) \gamma_j q_2(x) \bar{q}_2(0) \gamma_j q_1(0)] | 0 \rangle , \\ \chi_{0-}(Q^2) &\equiv \frac{\partial}{\partial Q^2} [Q^2 \Pi_{0-}(Q^2)] = \int_0^\infty dt t^2 j_0(Qt) C_{0-}(t) , & C_{0-}(t) &= \tilde{Z}_A^2 \int d^3x \langle 0 | T [\bar{q}_1(x) \gamma_0 \gamma_5 q_2(x) \bar{q}_2(0) \gamma_0 \gamma_5 q_1(0)] | 0 \rangle , \\ \chi_{1+}(Q^2) &\equiv -\frac{1}{2} \frac{\partial^2}{\partial^2 Q^2} [Q^2 \Pi_{1+}(Q^2)] = \frac{1}{4} \int_0^\infty dt t^4 \frac{j_1(Qt)}{Qt} C_{1+}(t) , & C_{1+}(t) &= \tilde{Z}_A^2 \frac{1}{3} \sum_{j=1}^3 \int d^3x \langle 0 | T [\bar{q}_1(x) \gamma_j \gamma_5 q_2(x) \bar{q}_2(0) \gamma_j \gamma_5 q_1(0)] | 0 \rangle , \end{aligned}$$

\* in arXiv:2105.02497, 2105.07851 and 2202.10285 we have calculated the  $\chi$ 's for the  $c \rightarrow s$ ,  $b \rightarrow c$  and  $b \rightarrow u$  transitions at  $Q^2 = 0$  using the  $N_f = 2+1+1$  gauge ensembles generated by ETMC

- subtraction of discretization effects evaluated in perturbation theory at order  $\mathcal{O}(\alpha_s^0)$
  - implementation of WI for the  $0^+$  and  $0^-$  channels to avoid exactly contact terms
  - use of the ETMC ratio method (hep-lat/0909.3187) to reach the physical b-quark point
- $b \rightarrow c$

applicable also  
at  $Q^2 \neq 0$

$b \rightarrow c$  transition (arXiv:2105.07851)

channel	nonPT	with GS subtr.	NNLO PT	with GS subtr.
$0^+$ [ $10^{-3}$ ]	<b>7.58 (59)</b>	—	<b>6.204 (81)</b>	—
$1^-$ [ $10^{-4} \text{ GeV}^{-2}$ ]	<b>6.72 (41)</b>	<b>5.88 (44)</b>	<b>6.486 (48)</b>	<b>5.131 (48)</b>
$0^-$ [ $10^{-2}$ ]	<b>2.58 (17)</b>	<b>2.19 (19)</b>	<b>2.41</b>	<b>1.94</b>
$1^+$ [ $10^{-4} \text{ GeV}^{-2}$ ]	<b>4.69 (30)</b>	—	<b>3.894</b>	—

GS = ground state

perturbative

Bigi, Gambino PRD '16  
Bigi, Gambino, Schacht PLB '17  
Bigi, Gambino, Schacht JHEP '17

\* differences with NNLO PT  $\sim 4\%$  for  $1^-$ ,  $\sim 7\%$  for  $0^-$ ,  $\sim 20\%$  for  $0^+$  and  $1^+$

$c \rightarrow s$  transition (arXiv:2105.02497)

channel	nonPT	with GS subtr.
$0^+$ [ $10^{-2}$ ]	<b>0.929 (64)</b>	<b>0.433 (133)</b>
$1^-$ [ $10^{-3} \text{ GeV}^{-2}$ ]	<b>7.88 (41)</b>	<b>4.19 (36)</b>
$0^-$ [ $10^{-2}$ ]	<b>2.48 (15)</b>	<b>0.942 (91)</b>
$1^+$ [ $10^{-3} \text{ GeV}^{-2}$ ]	<b>4.89 (29)</b>	<b>3.74 (56)</b>

$b \rightarrow \ell$  transition (arXiv:2202.10285)

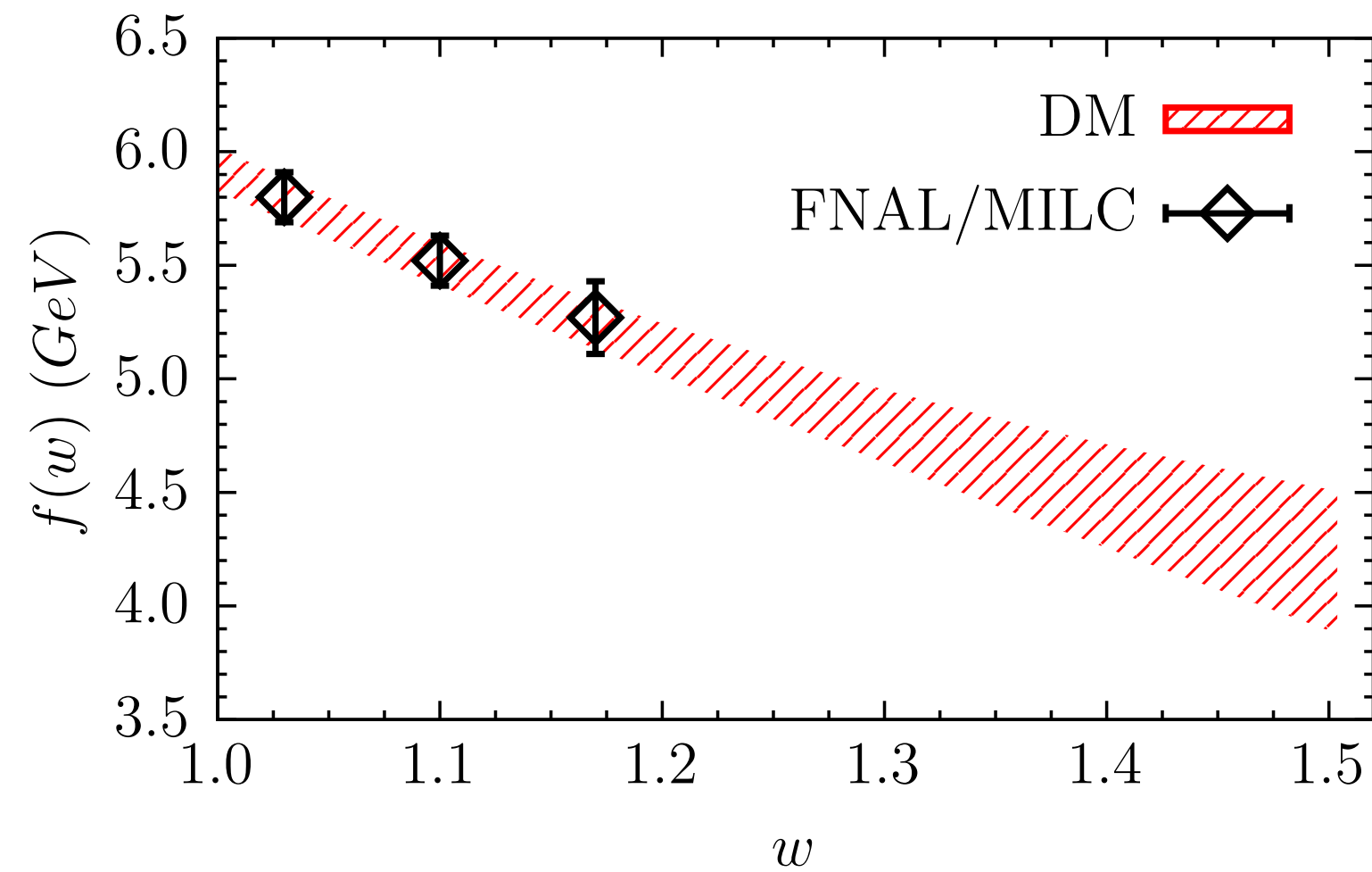
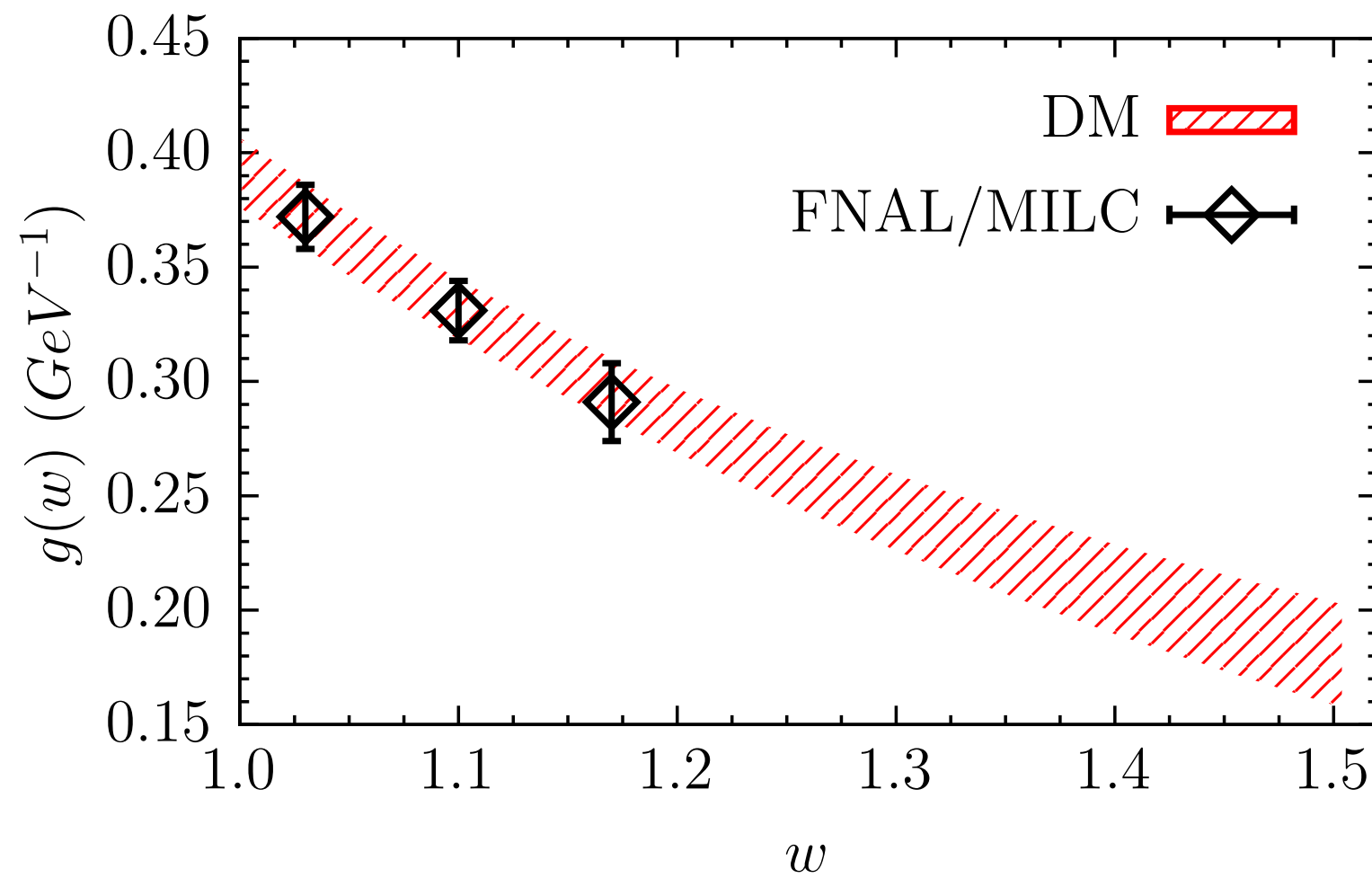
channel	nonPT	with GS subtr.
$0^+$ [ $10^{-2}$ ]	<b>2.04 (20)</b>	—
$1^-$ [ $10^{-4} \text{ GeV}^{-2}$ ]	<b>4.88 (1.16)</b>	<b>4.45 (1.16)</b>
$0^-$ [ $10^{-2}$ ]	<b>2.34 (13)</b>	—
$1^+$ [ $10^{-4} \text{ GeV}^{-2}$ ]	<b>4.65 (1.02)</b>	—



# form factors for $B \rightarrow D^* \ell \nu_\ell$ decays

[arXiv:2109.15248]

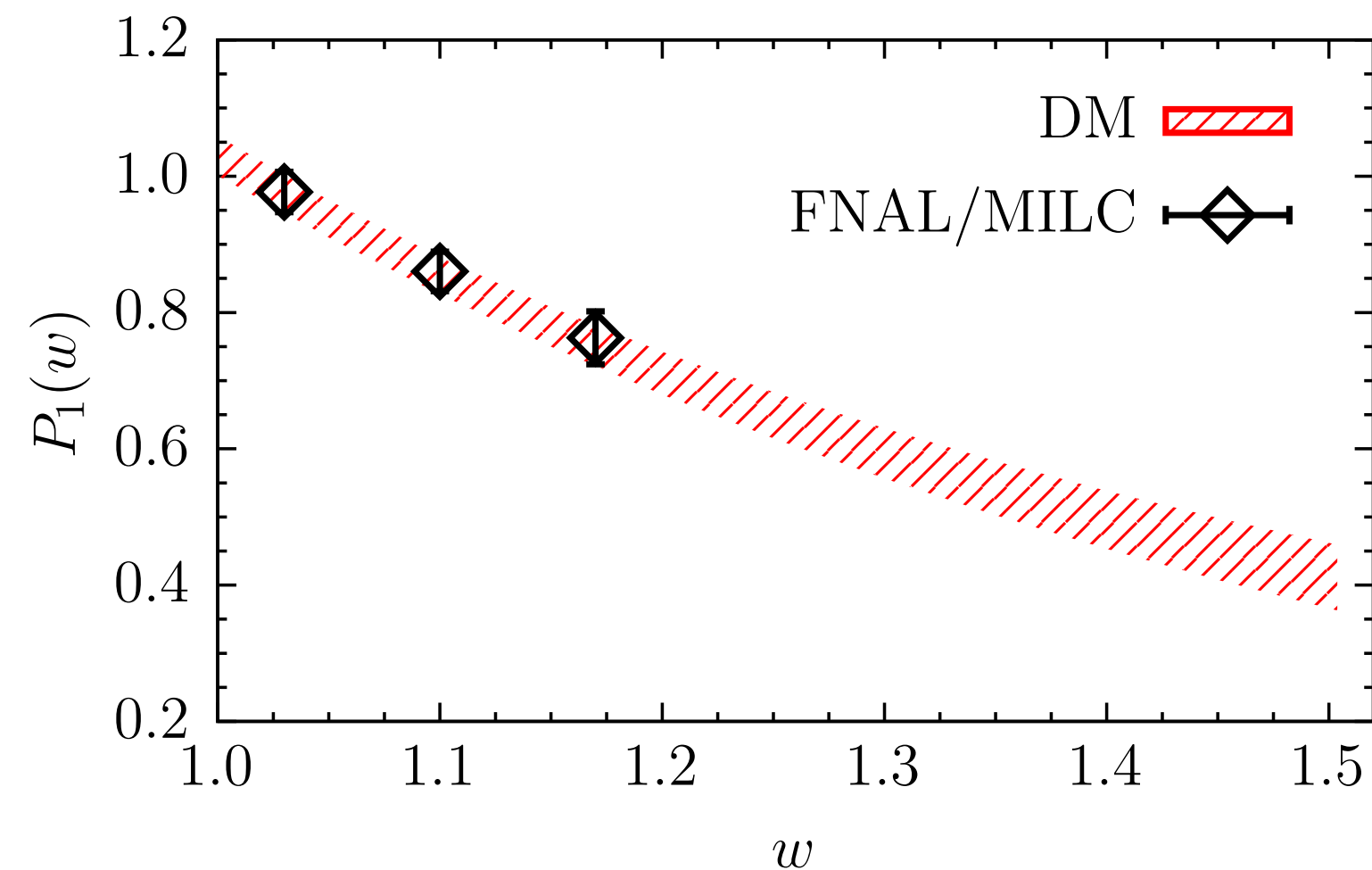
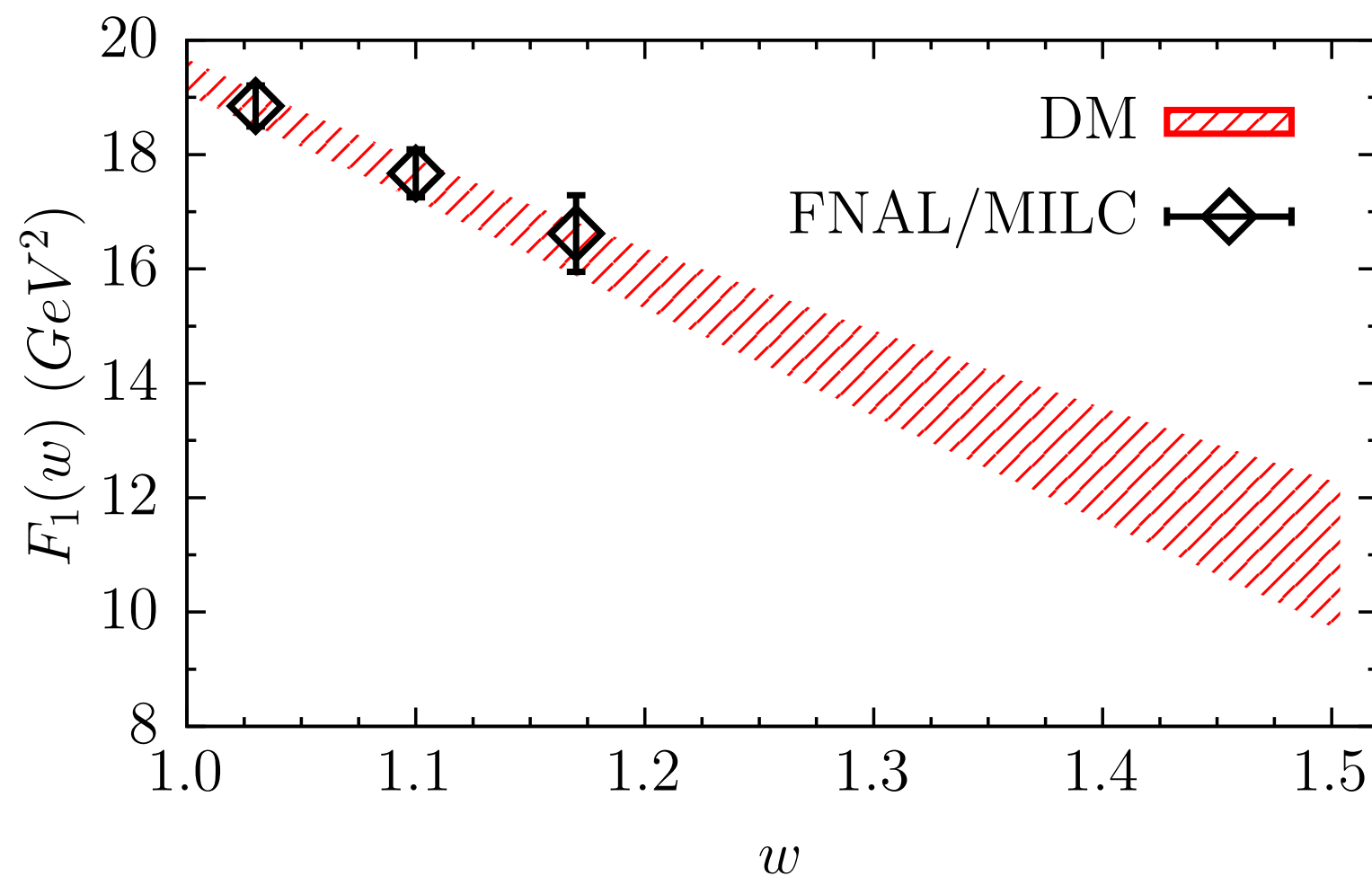
- \* lattice QCD form factors from **FNAL/MILC arXiv:2105.14019**: synthetic data points at 3 (small) values of the recoil  $w$
- \* nonperturbative susceptibilities from arXiv:2105.07851 (resonances from Bigi et al., arXiv:1707.09509)



unitarity + two kinematical constraints

$$w = 1 : \quad \mathcal{F}_1(1) = m_B(1-r)f(1)$$

$$w = w_{max} : \quad P_1(w_{max}) = \frac{\mathcal{F}_1(w_{max})}{m_B^2(1+w_{max})(1-r)\sqrt{r}}$$



extrapolation at maximum recoil

$$f(w_{max}) = 4.19 \pm 0.31 \text{ GeV} ,$$

$$g(w_{max}) = 0.180 \pm 0.023 \text{ GeV}^{-1} ,$$

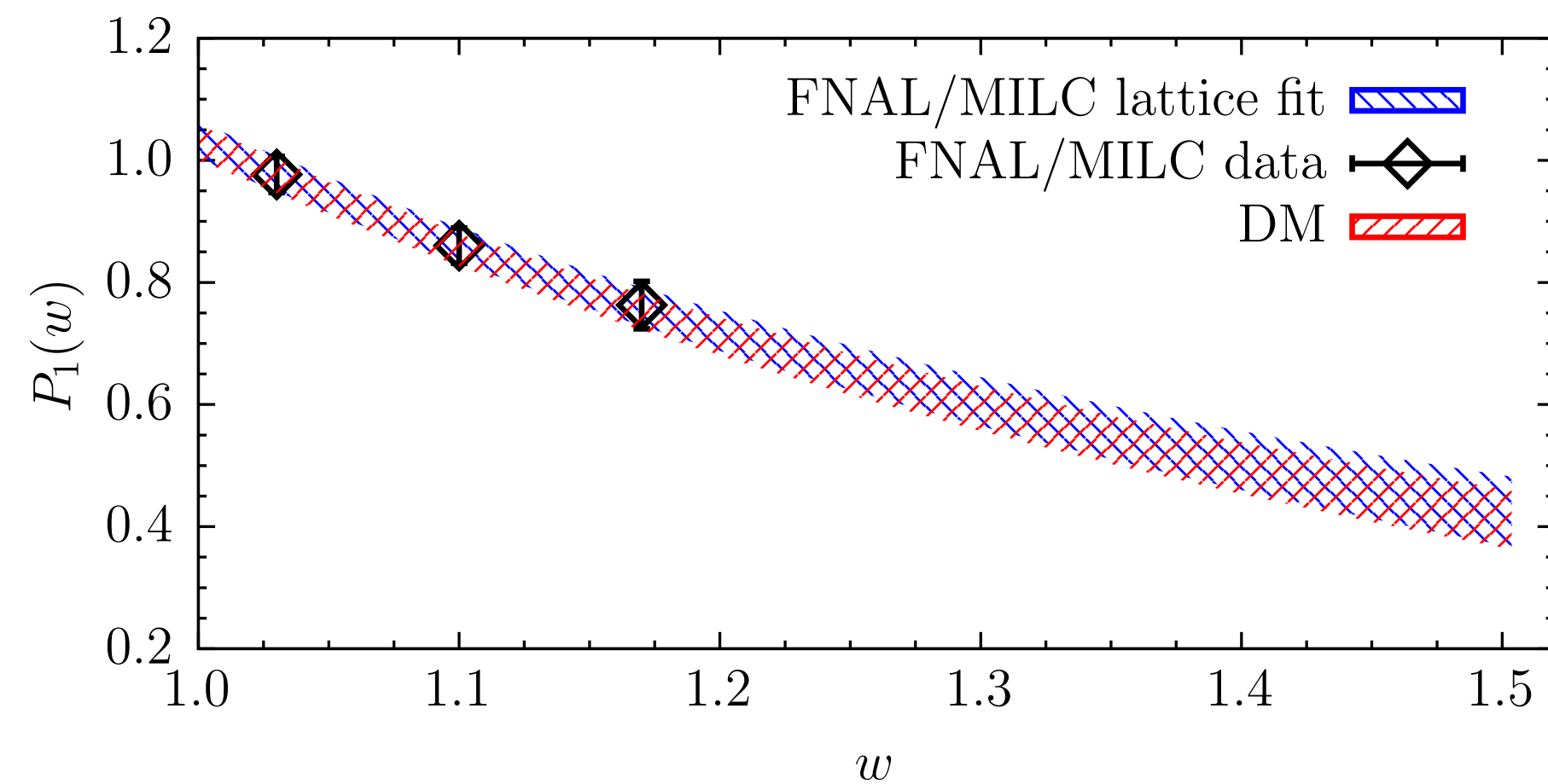
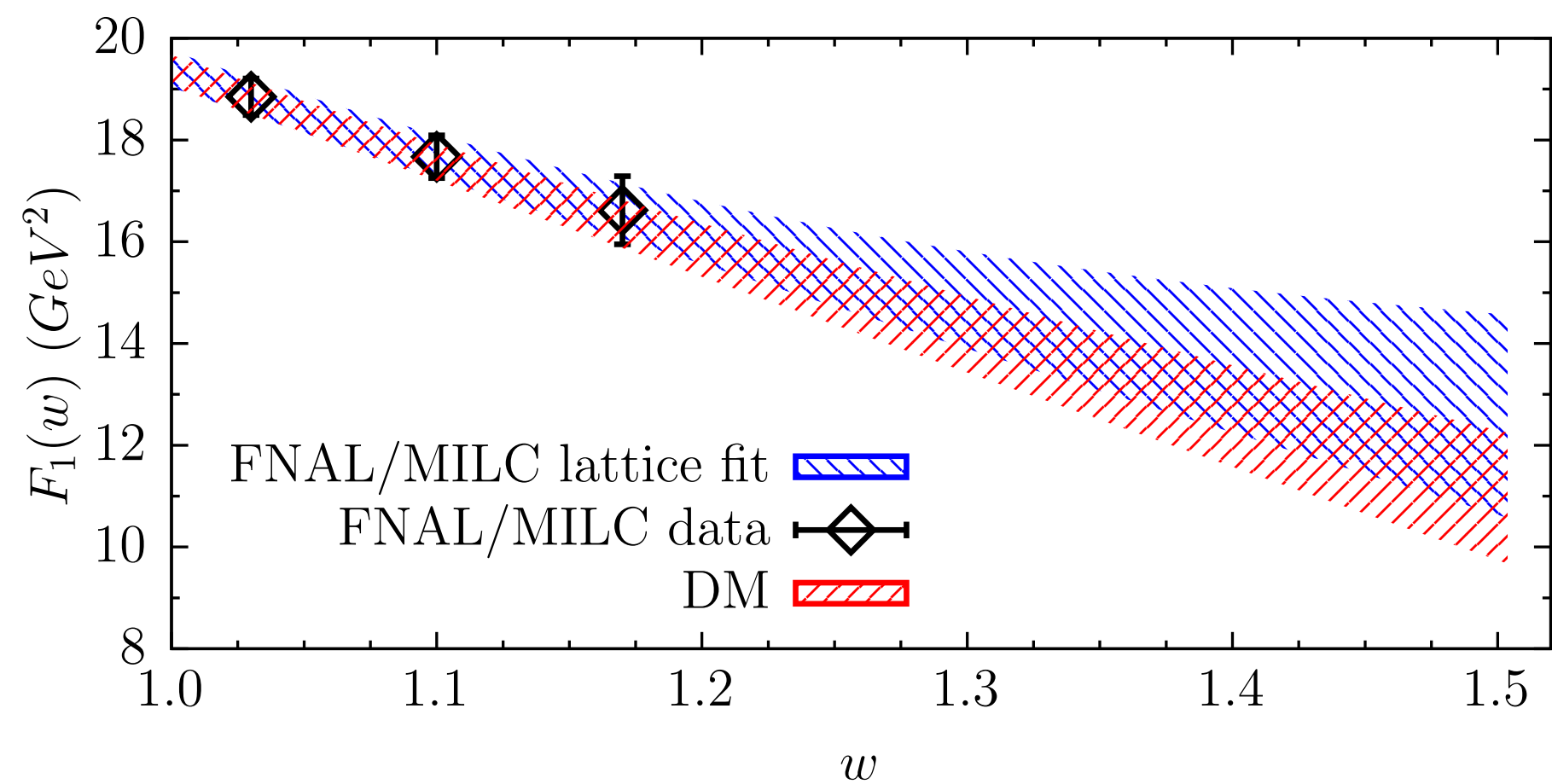
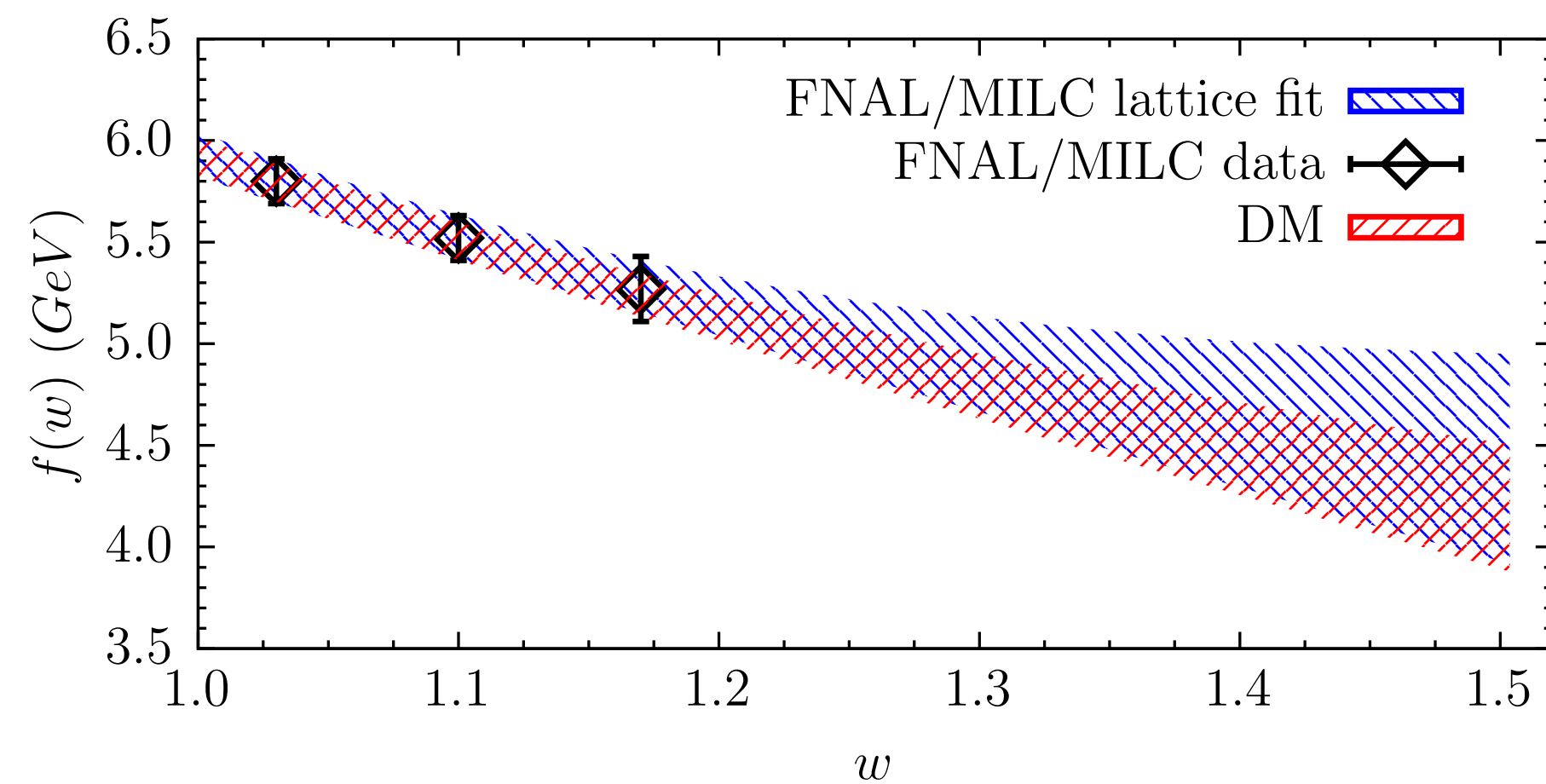
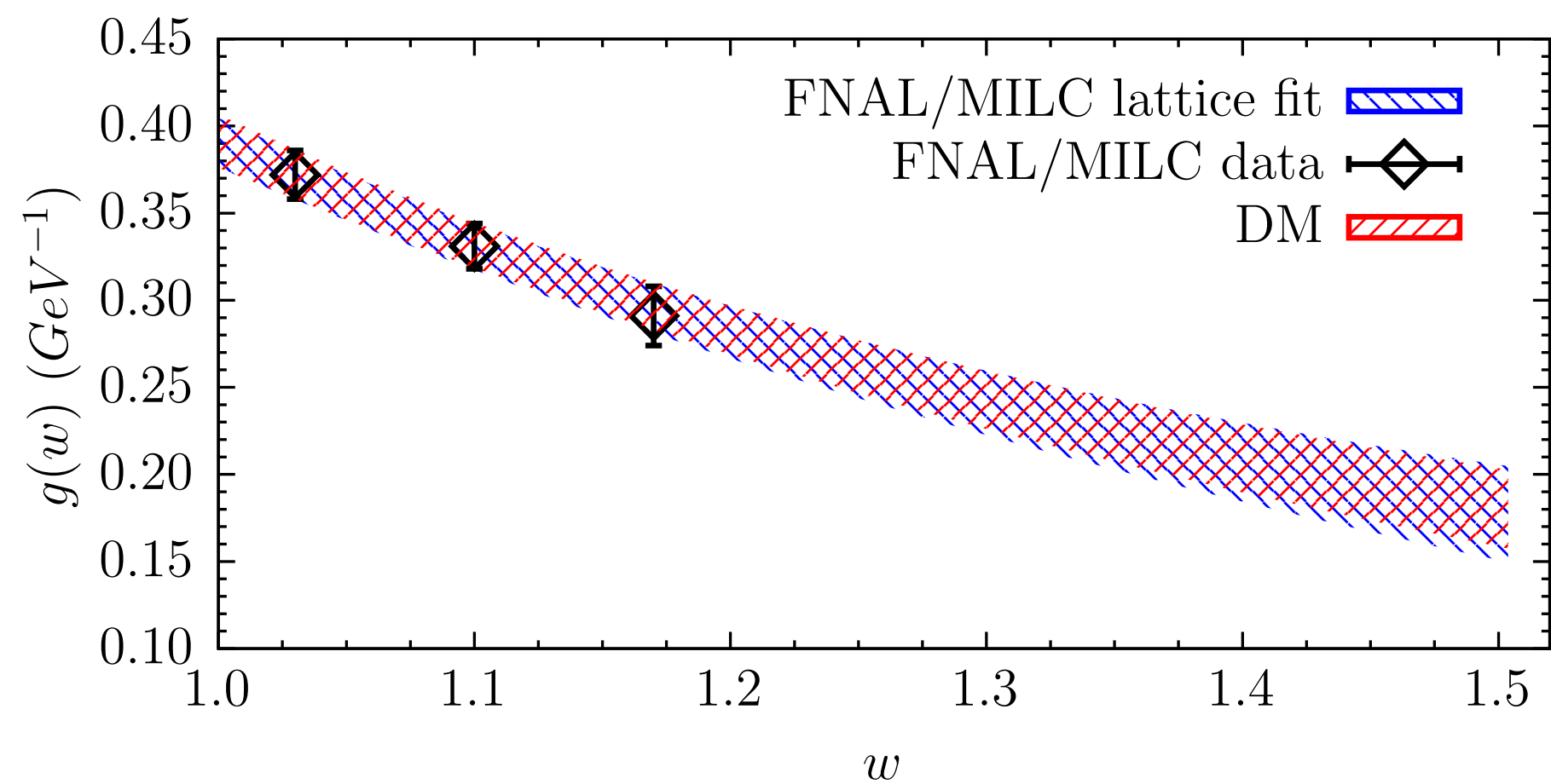
$$\mathcal{F}_1(w_{max}) = 11.0 \pm 1.3 \text{ GeV}^2 ,$$

$$P_1(w_{max}) = 0.411 \pm 0.048 .$$

three unitarity bounds:  $\chi_{1-}$  for  $g$ ,  $\chi_{1+}$  for  $f$  and  $\mathcal{F}_1$ ,  $\chi_{0-}$  for  $P_1$

LCSR:  $\mathcal{F}_1(w_{max}) = 16.0 \pm 2.1 \text{ GeV}^2$  (arXiv:1811.00983)

\* comparison with FNAL/MILC “lattice fit” from arXiv:2105.14019 → blue bands: quadratic BGL fit of LQCD points only



**blue bands**

$$\sum_i a_i^2 \leq 1 \quad 68\% \quad (g)$$

$$\sum_i (b_i^2 + c_i^2) \leq 1 \quad 94\% \quad (f + \mathcal{F}_1)$$

$$\sum_i d_i^2 \leq 1 \quad 67\% \quad (P_1)$$

43 % of events satisfy unitarity  
KC at w=1: OK

KC at w=w<sub>max</sub>: not applied

**red bands (DM)**

100 % of events satisfying unitarity  
KC at w=1: OK  
KC at w=w<sub>max</sub>: OK  
(after iterative procedure)

\* overall consistency, differences hidden in the correlations among the FFs at different values of w

\* some differences for  $\mathcal{F}_1(w_{max})$ : some impact on  $R(D^*)$

$$R(D^*) = 0.265 \pm 0.013$$

$$R(D^*) = 0.275 \pm 0.008$$

# extraction of $|V_{cb}|$ from $B \rightarrow D^* \ell \nu_\ell$ decays

[arXiv:2109.15248]

\*\*\* we do not mix theoretical calculations with experimental data to describe the shape of the FFs \*\*\*

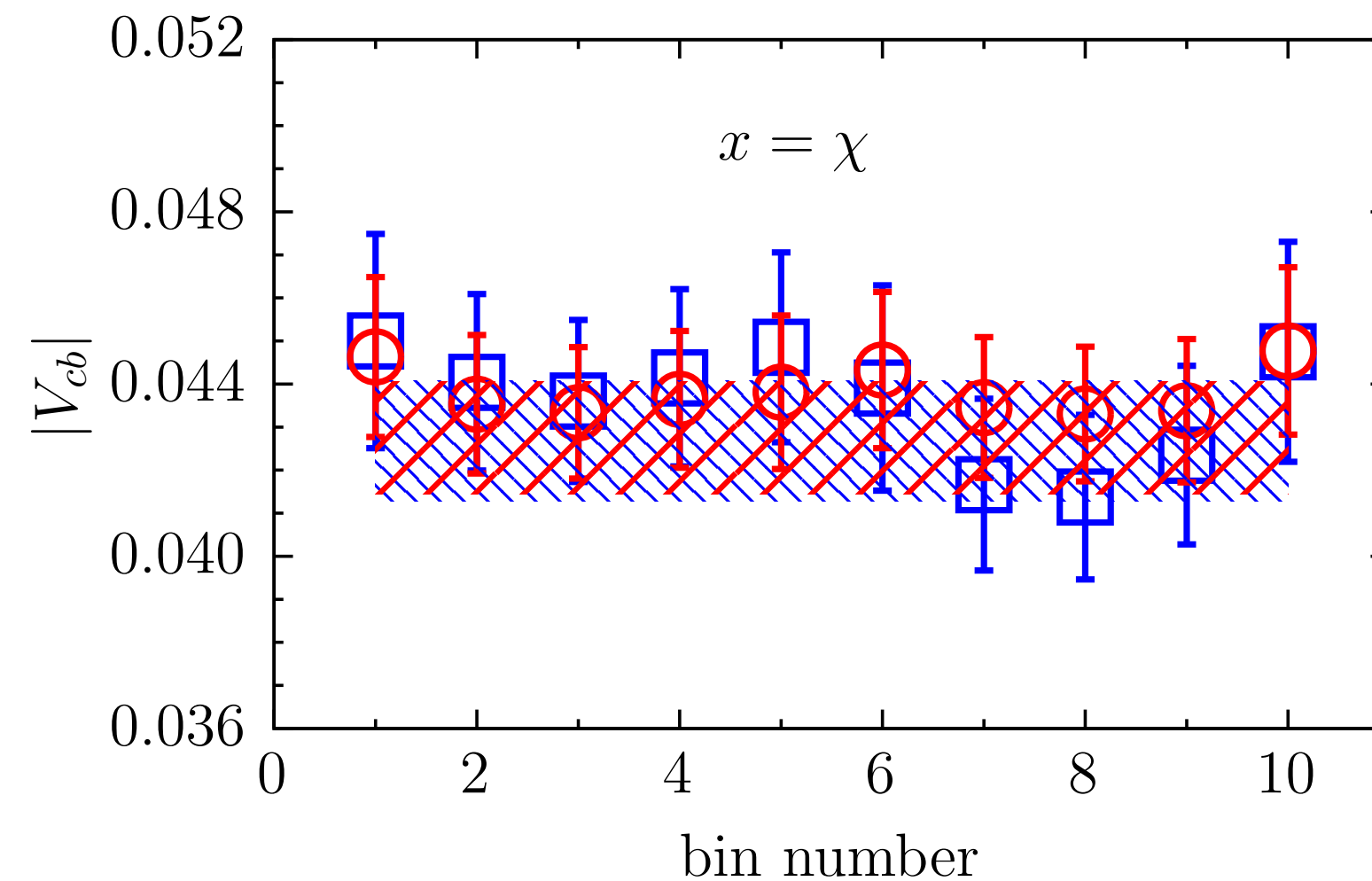
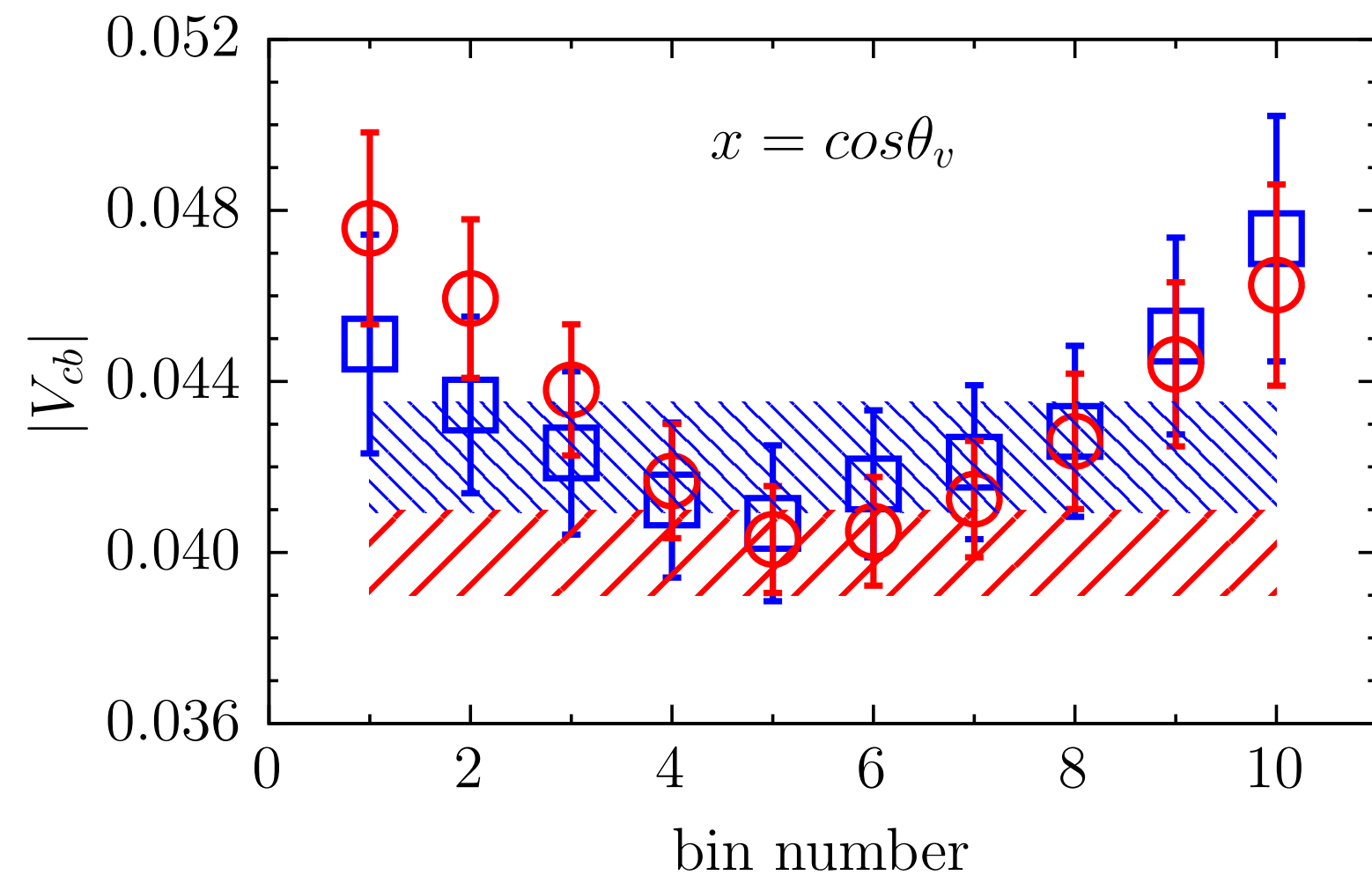
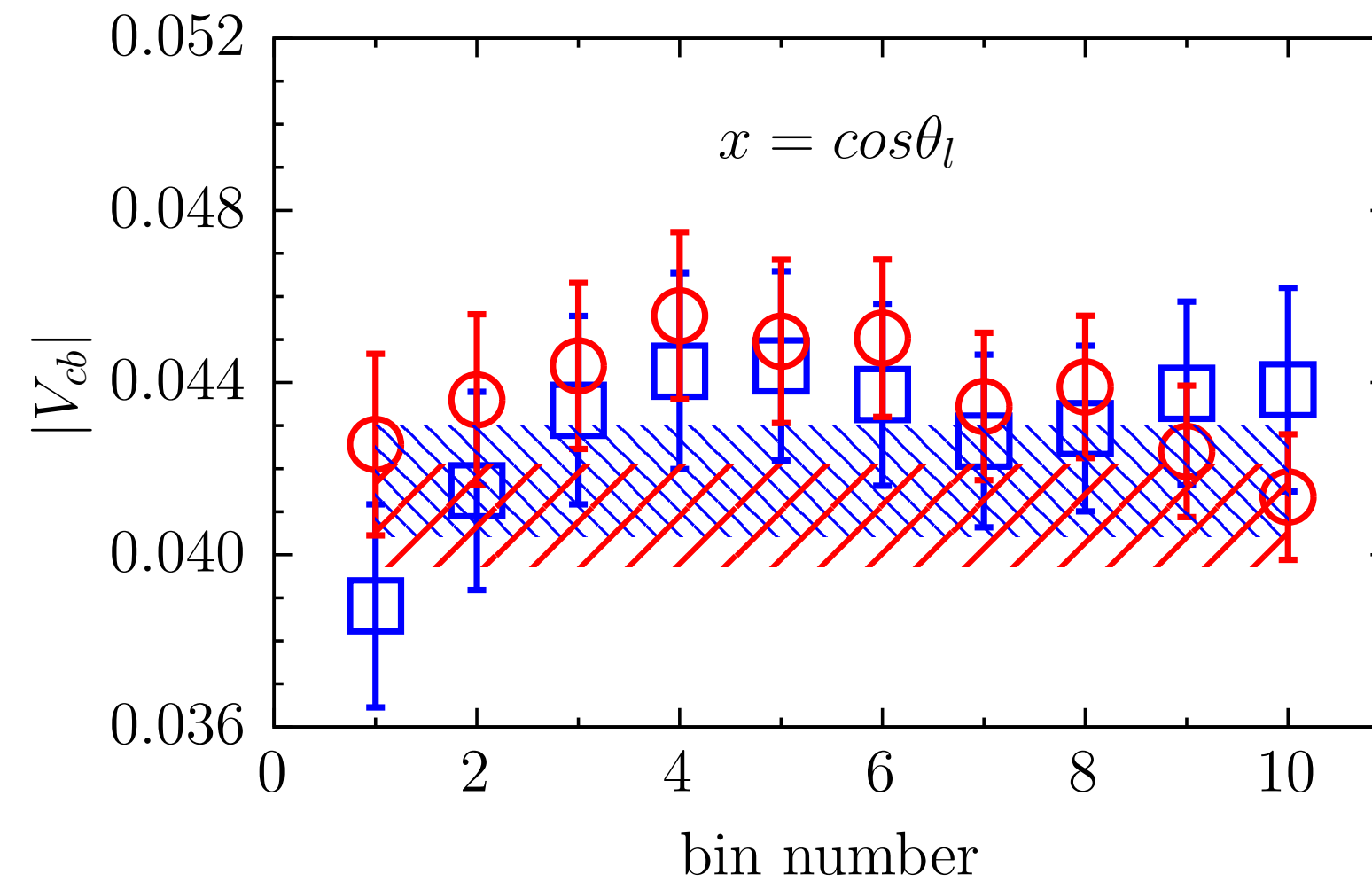
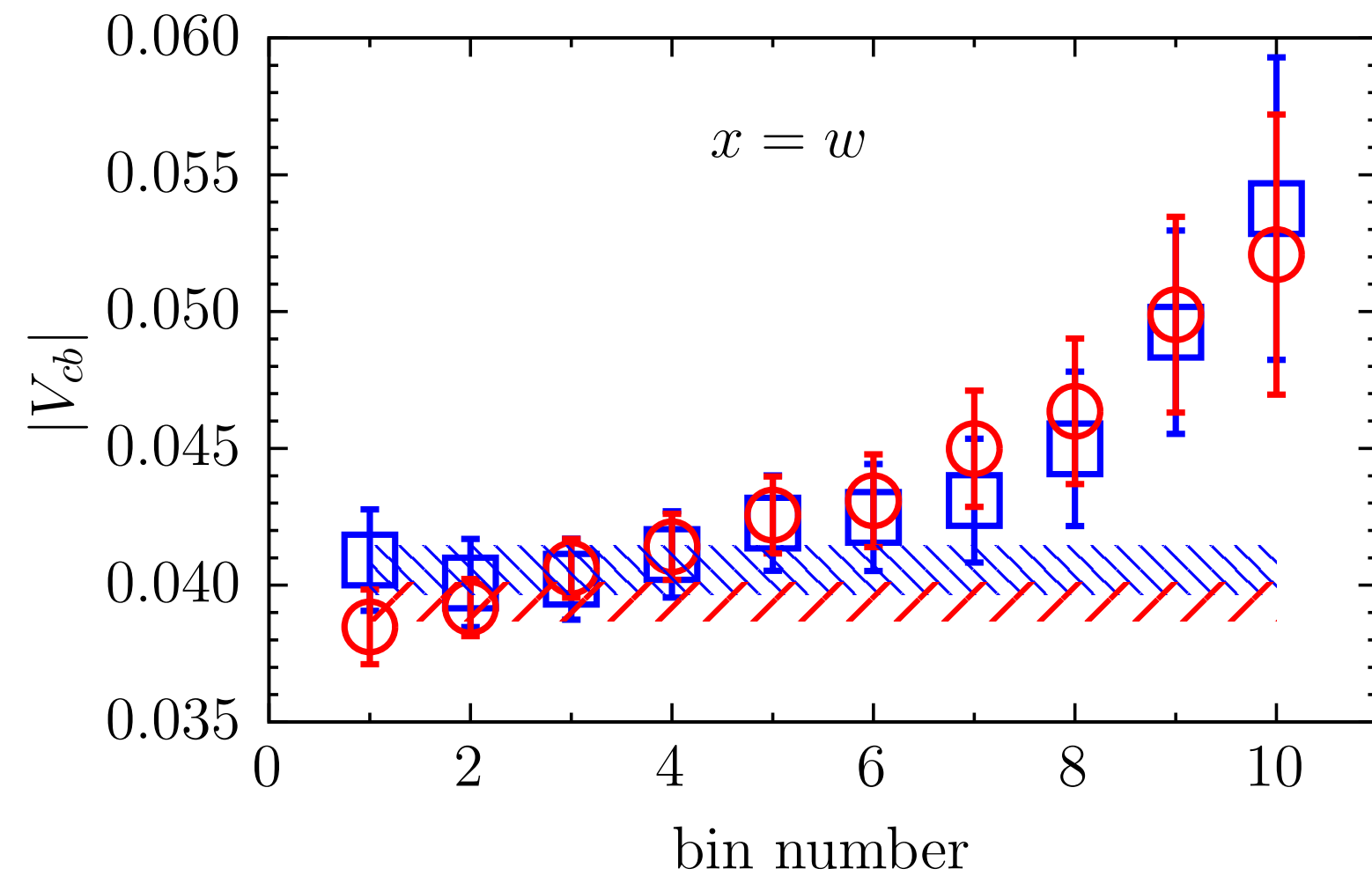
$$|V_{cb}|_i \equiv \sqrt{\frac{(d\Gamma/dx)_i^{exp}}{(d\Gamma/dx)_i^{th}}} \quad i = 1, \dots, N_{bins}$$

four different differential decay rates  
 $d\Gamma/dx$  where  $x = \{w, \cos\theta_\nu, \cos\theta_\ell, \chi\}$ :

- 10 bins for each variable
- total of 80 data points

blue data: Belle 1702.01521

red data: Belle 1809.03290



bands are (correlated) weighted averages

$$|V_{cb}| = \frac{\sum_{i,j=1}^{10} (\mathbf{C}^{-1})_{ij} |V_{cb}|_j}{\sum_{i,j=1}^{10} (\mathbf{C}^{-1})_{ij}},$$

$$\sigma_{|V_{cb}|}^2 = \frac{1}{\sum_{i,j=1}^{10} (\mathbf{C}^{-1})_{ij}},$$

Belle 1702.01521

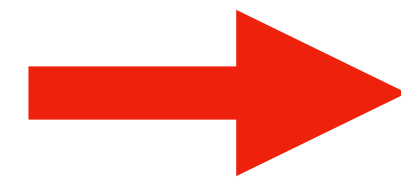
Belle 1809.03290

experiment	$ V_{cb} (x = w)$	$ V_{cb} (x = \cos\theta_l)$	$ V_{cb} (x = \cos\theta_v)$	$ V_{cb} (x = \chi)$
Ref. [11]	0.0398 (9)	0.0422 (13)	0.0421 (13)	0.0426 (14)
Ref. [12]	0.0395 (7)	0.0405 (11)	0.0402 (10)	0.0430 (13)

averaging procedure

$$\mu_x = \frac{1}{N} \sum_{k=1}^N x_k ,$$

$$\sigma_x^2 = \frac{1}{N} \sum_{k=1}^N \sigma_k^2 + \frac{1}{N} \sum_{k=1}^N (x_k - \mu_x)^2 ,$$

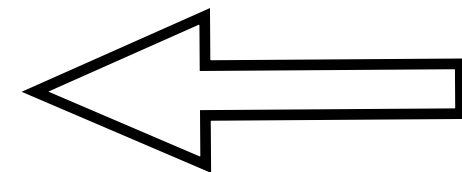


$$|V_{cb}|_{excl.} \cdot 10^3 = 41.3 \pm 1.7$$

$$|V_{cb}|_{incl.} \cdot 10^3 = 42.16 \pm 0.50 \quad (\text{Bordone et al: arXiv:2107.00604})$$

exclusive/inclusive tension reduced to less than 1σ

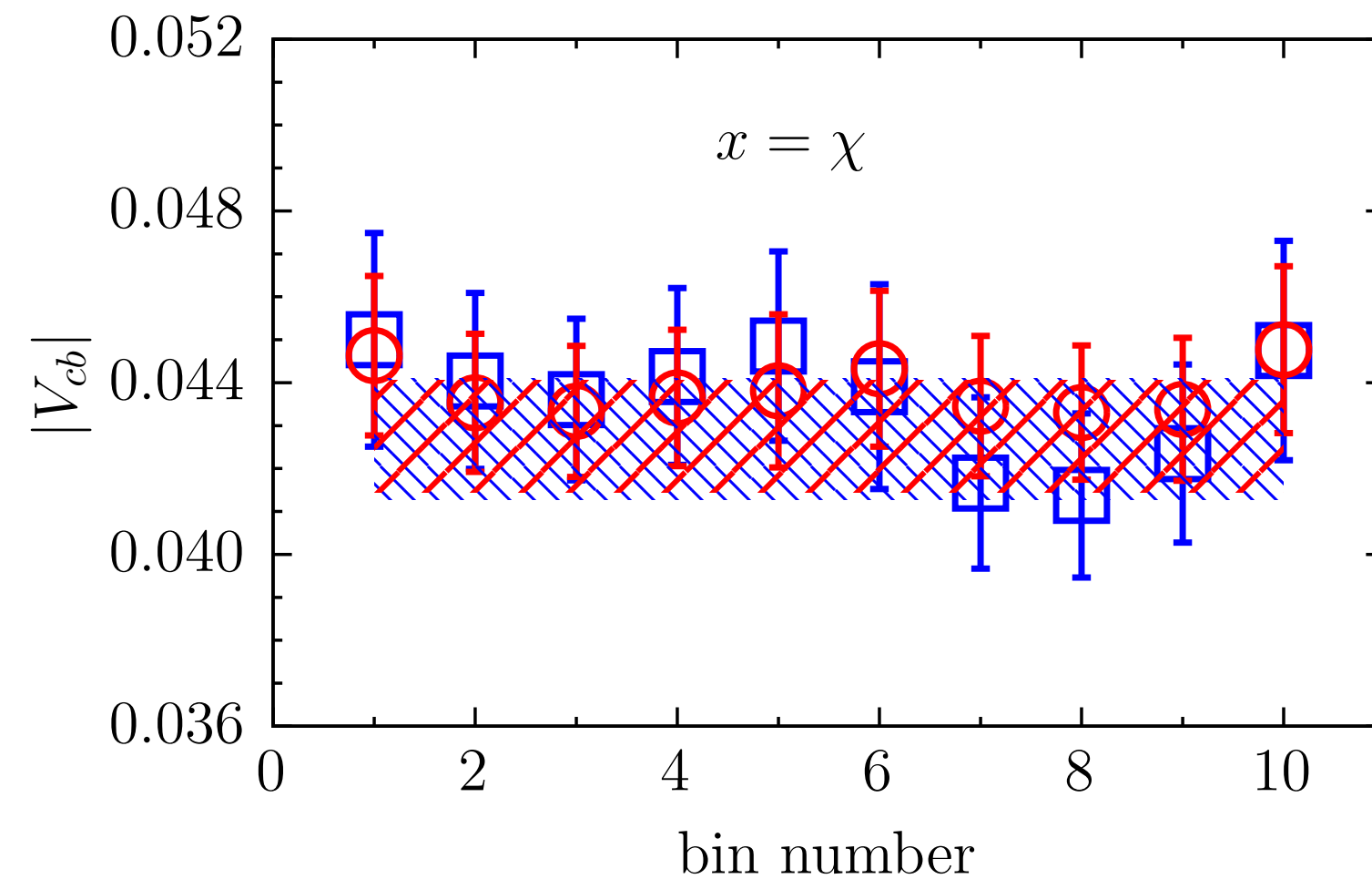
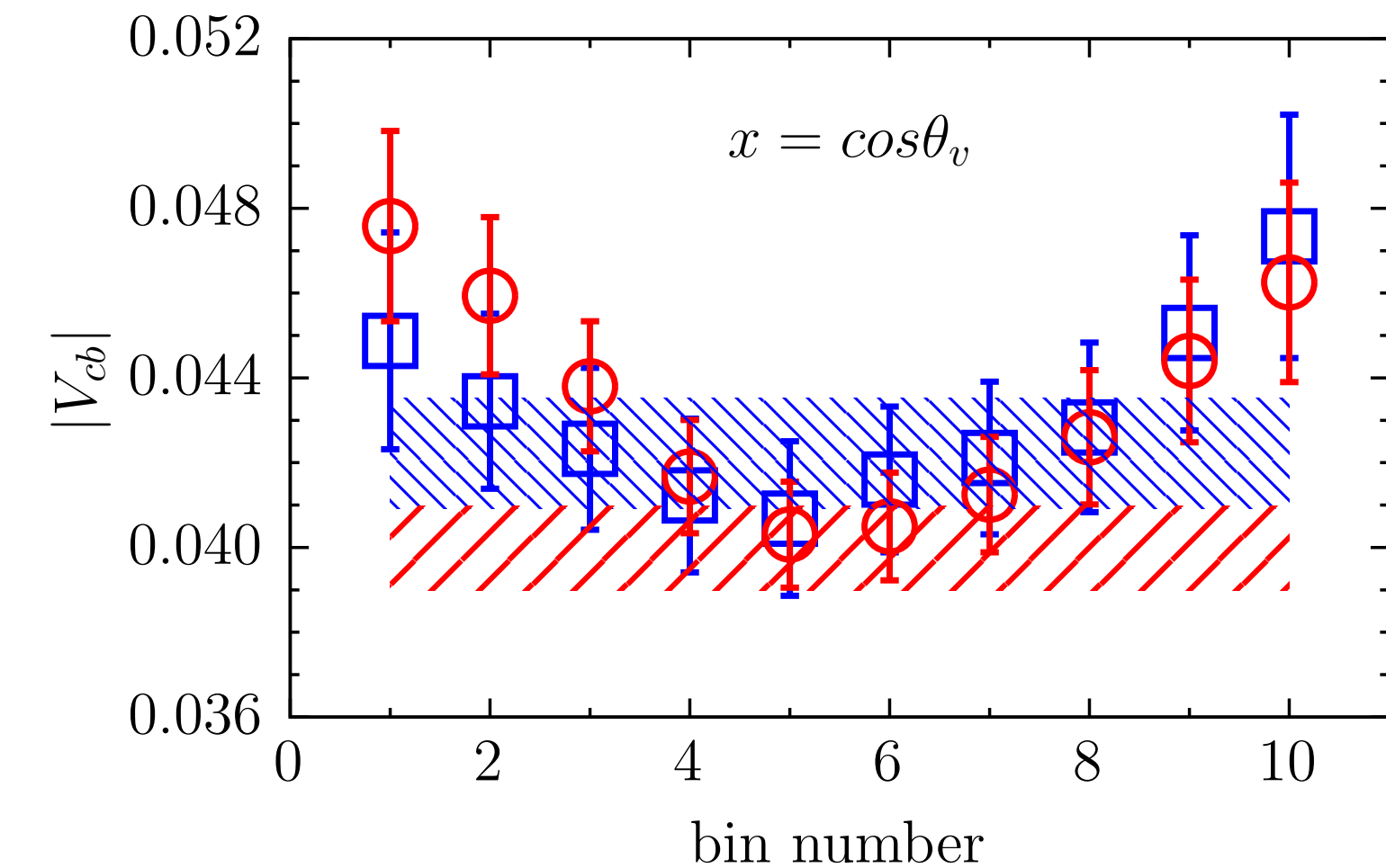
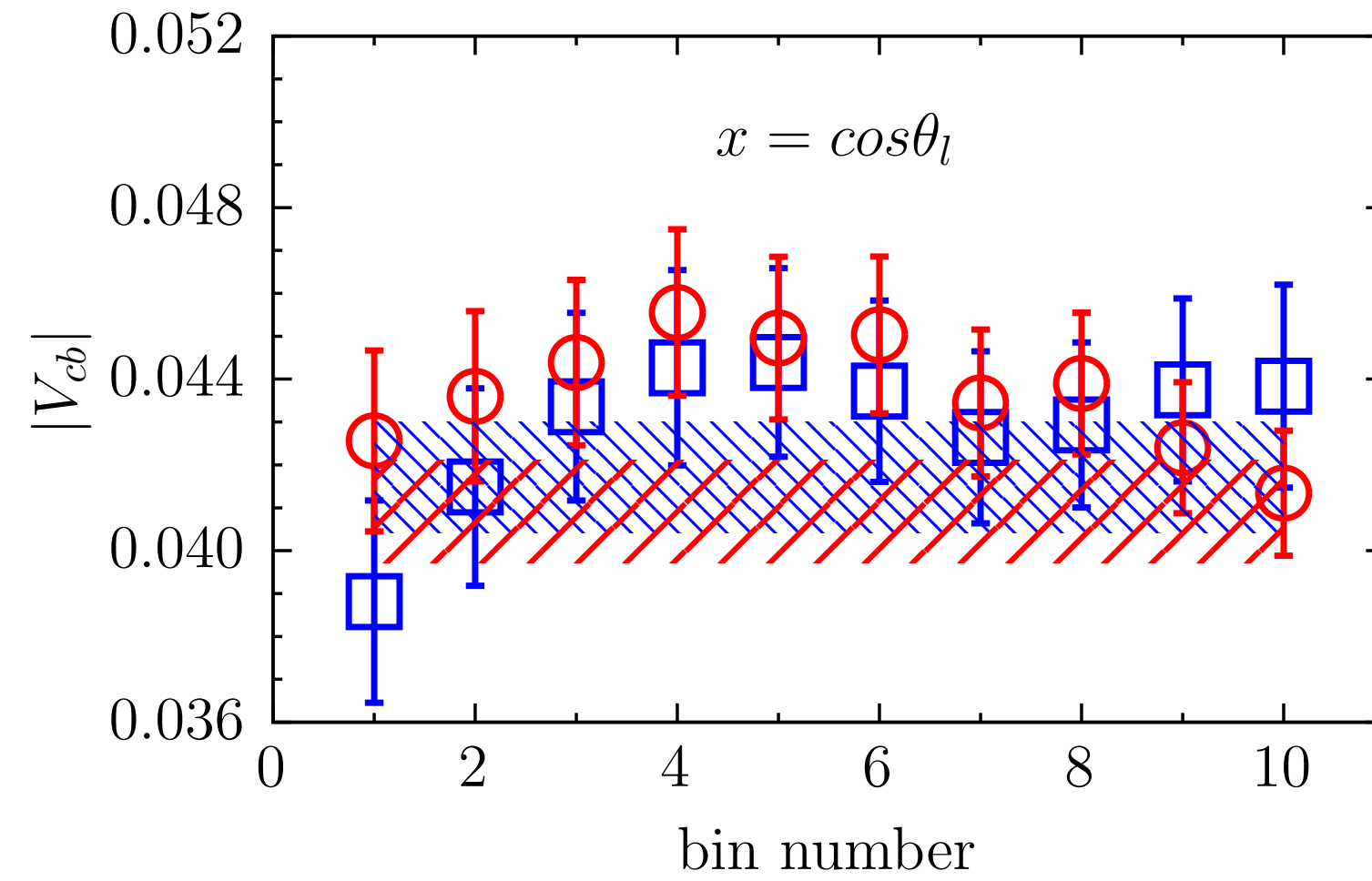
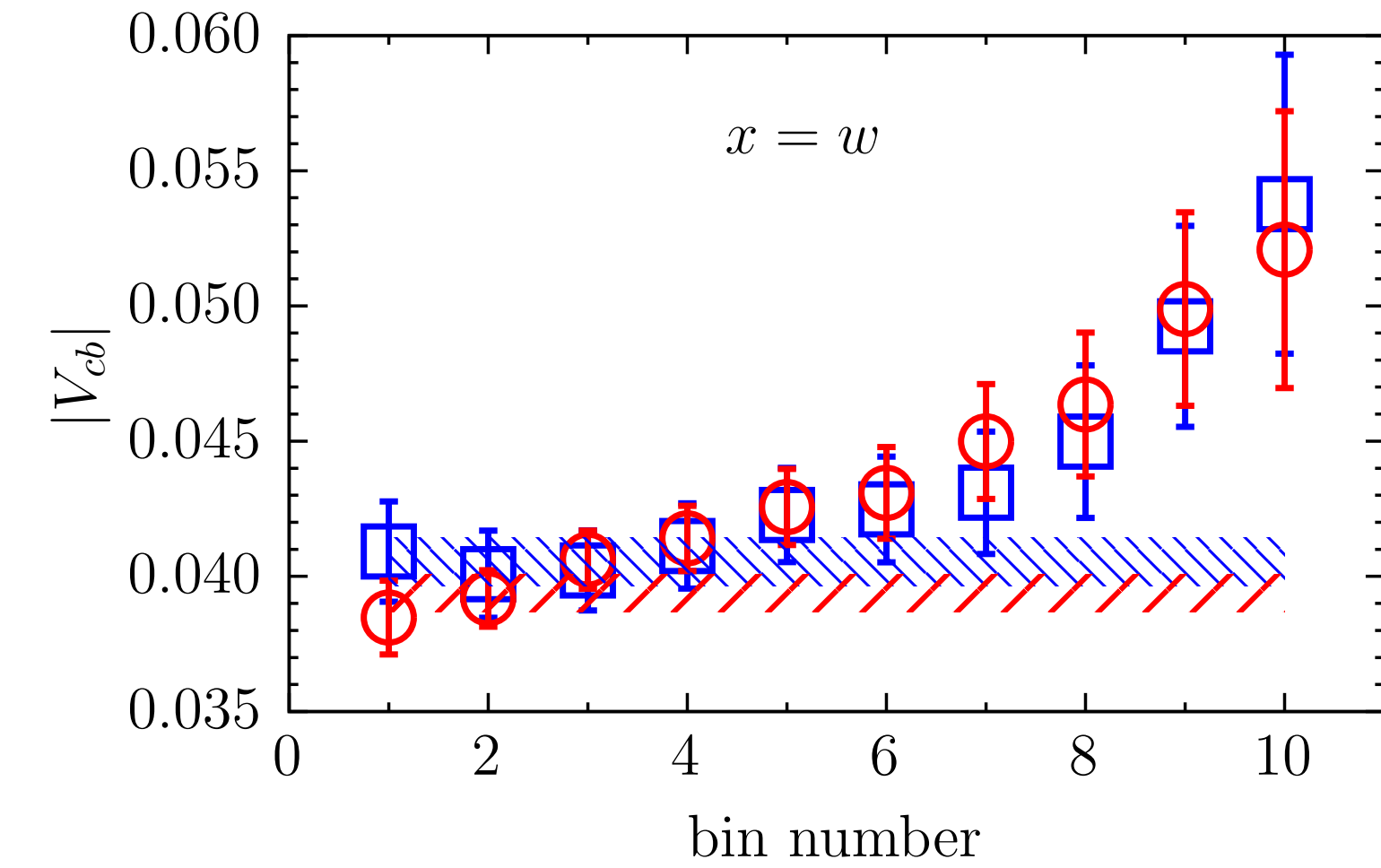
the use of exp. data to describe the shape of the FFs leads to smaller errors, but it produces a bias on the extracted value of  $|V_{cb}|$



$$|V_{cb}|_{excl.} \cdot 10^3 = 39.6_{-1.0}^{+1.1} \quad \text{Gambino et al., arXiv:1905.08209}$$

$$|V_{cb}|_{excl.} \cdot 10^3 = 39.56_{-1.06}^{+1.04} \quad \text{Jaiswal et al., arXiv:2002.05726}$$

$$|V_{cb}|_{excl.} \cdot 10^3 = 38.86 \pm 0.88 \quad \text{FLAG '21, arXiv:2111.09849}$$



### Remark 1

The value of  $|V_{cb}|$  exhibits some dependence on the specific  $w$ -bin. The value obtained adopting a constant fit is dominated by the bins at small values of the recoil  $w$ , where direct lattice data are available and the length of the momentum extrapolation is limited.

### Remark 2

The value of  $|V_{cb}|$  deviates from a constant fit for  $x = \cos(\theta_v)$ . If we try a quadratic fit of the form

$$|V_{cb}| [1 + \delta B \cos^2(\theta_v)]$$

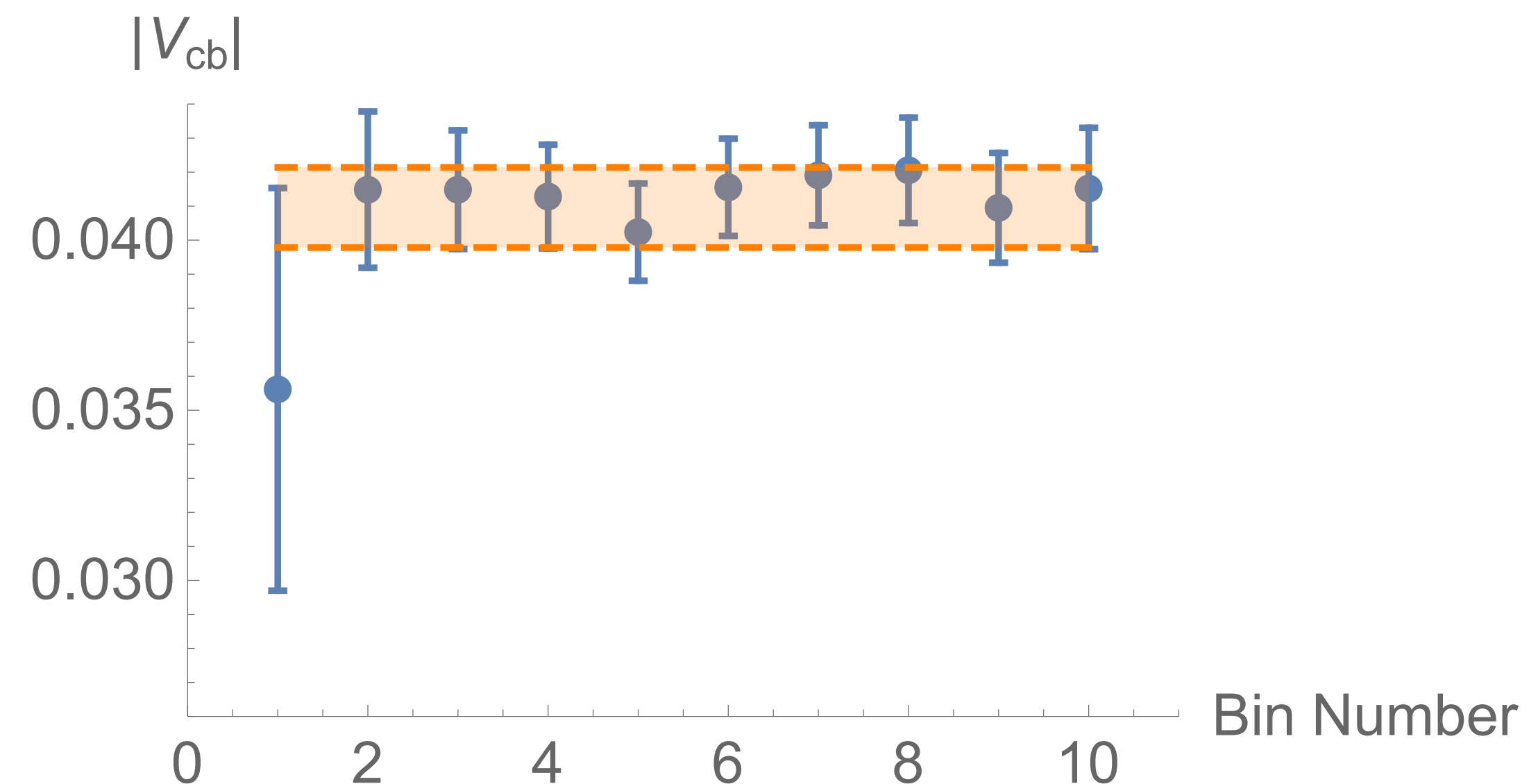
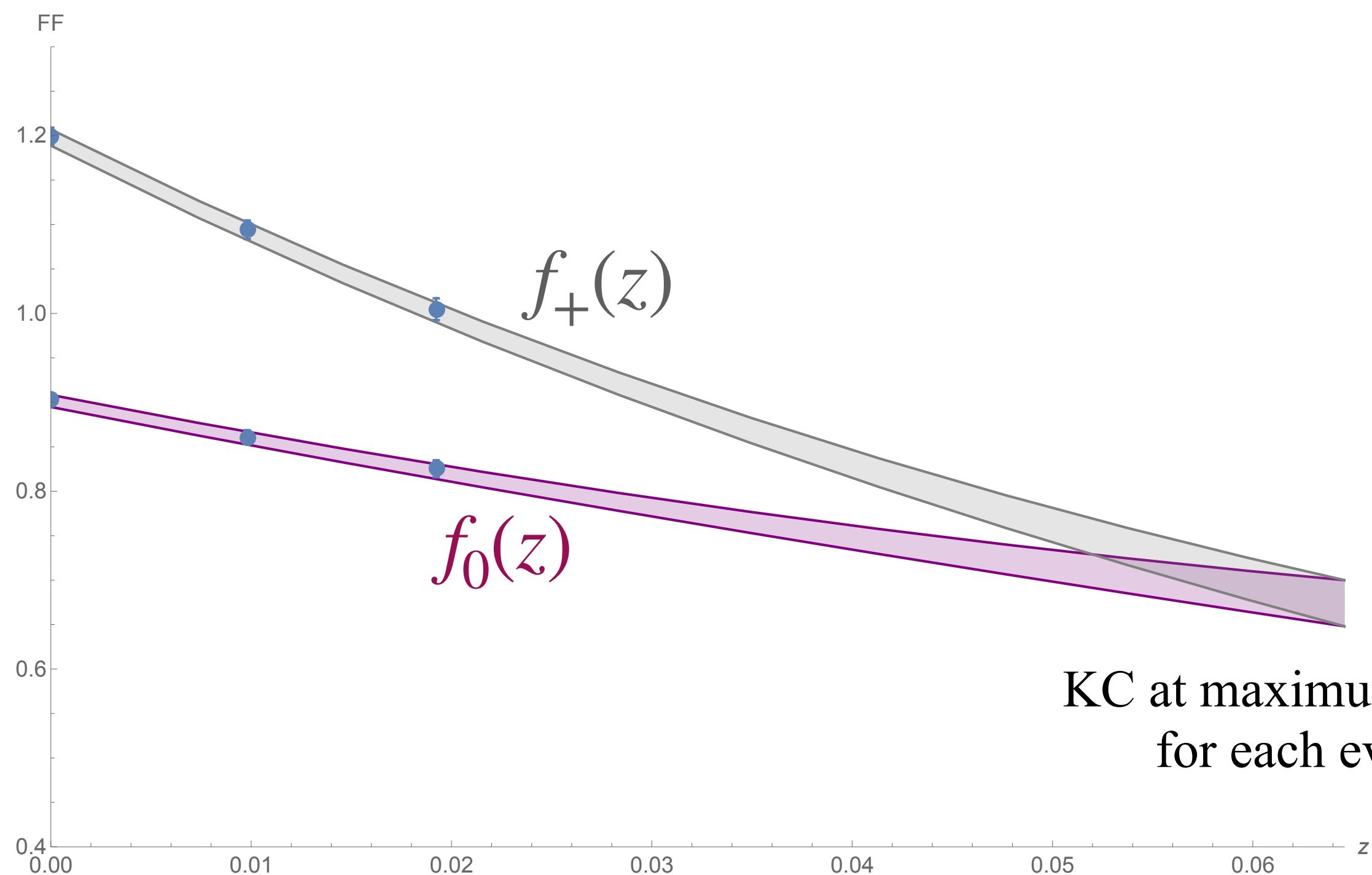
we get  $\delta B \neq 0$  (2-3 $\sigma$  level) and  $|V_{cb}|$  more consistent between the two sets of Belle data, but still in agreement with the value of  $|V_{cb}|$  obtained with a constant fit

Both remarks appear to be related to a different  $w$ -slope of the theoretical FFs based on the lattice results from FNAL/MILC with respect to the Belle experimental data. This crucial issue (a kind of *slope puzzle*) needs to be further investigated by forthcoming calculations of the FFs at non-zero recoil expected from the JLQCD Collaboration (see Kaneko's talk) as well as by future improvements of the precision of the experimental data.

# extraction of $|V_{cb}|$ from $B \rightarrow D\ell\nu_\ell$ decays

[arXiv:2105.08674]

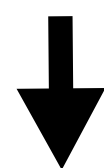
- \* lattice QCD form factors from **FNAL/MILC (arXiv:1503.07237)**: synthetic data points at 3 (small) values of the recoil
- \* experimental data from Belle collaboration in 10 bins (arXiv:1510.03657)



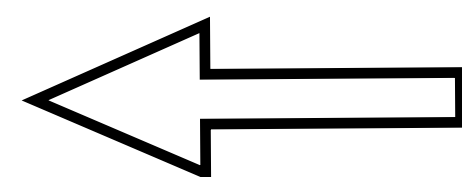
$$|V_{cb}|_{excl.} \cdot 10^3 = 41.0 \pm 1.2$$

nice consistency with  $|V_{cb}|$  from  $B \rightarrow D^*$

no tension between exp and theory shapes



no bias on the extracted value of  $|V_{cb}|$



$$|V_{cb}|_{excl.} \cdot 10^3 = 40.49 \pm 0.97$$

Gambino et al., arXiv:1606.08030

$$|V_{cb}|_{excl.} \cdot 10^3 = 41.0 \pm 1.1$$

Jaiswal et al., arXiv:1707.09977

$$|V_{cb}|_{excl.} \cdot 10^3 = 40.0 \pm 1.0$$

FLAG '21, arXiv:2111.09849

## $R(D)$ , $R(D^*)$ and polarization observables

\* pure theoretical and parameterization-independent determinations within the DM approach

observable	DM	experiment	difference
$R(D)$	0.296 (8)	0.339 (26) (14)	$\simeq 1.4 \sigma$
$R(D^*)$	0.275 (8)	0.295 (10) (10)	$\simeq 1.2 \sigma$
$P_\tau(D^*)$	-0.52 (1)	-0.38 (51) ( $^{+21}_{-16}$ )	
$F_L(D^*)$	0.42 (1)	0.60 (8) (4)	$\simeq 2.0 \sigma$

\*\*\* exp/SM tension significantly reduced for  $R(D^*)$  \*\*\*

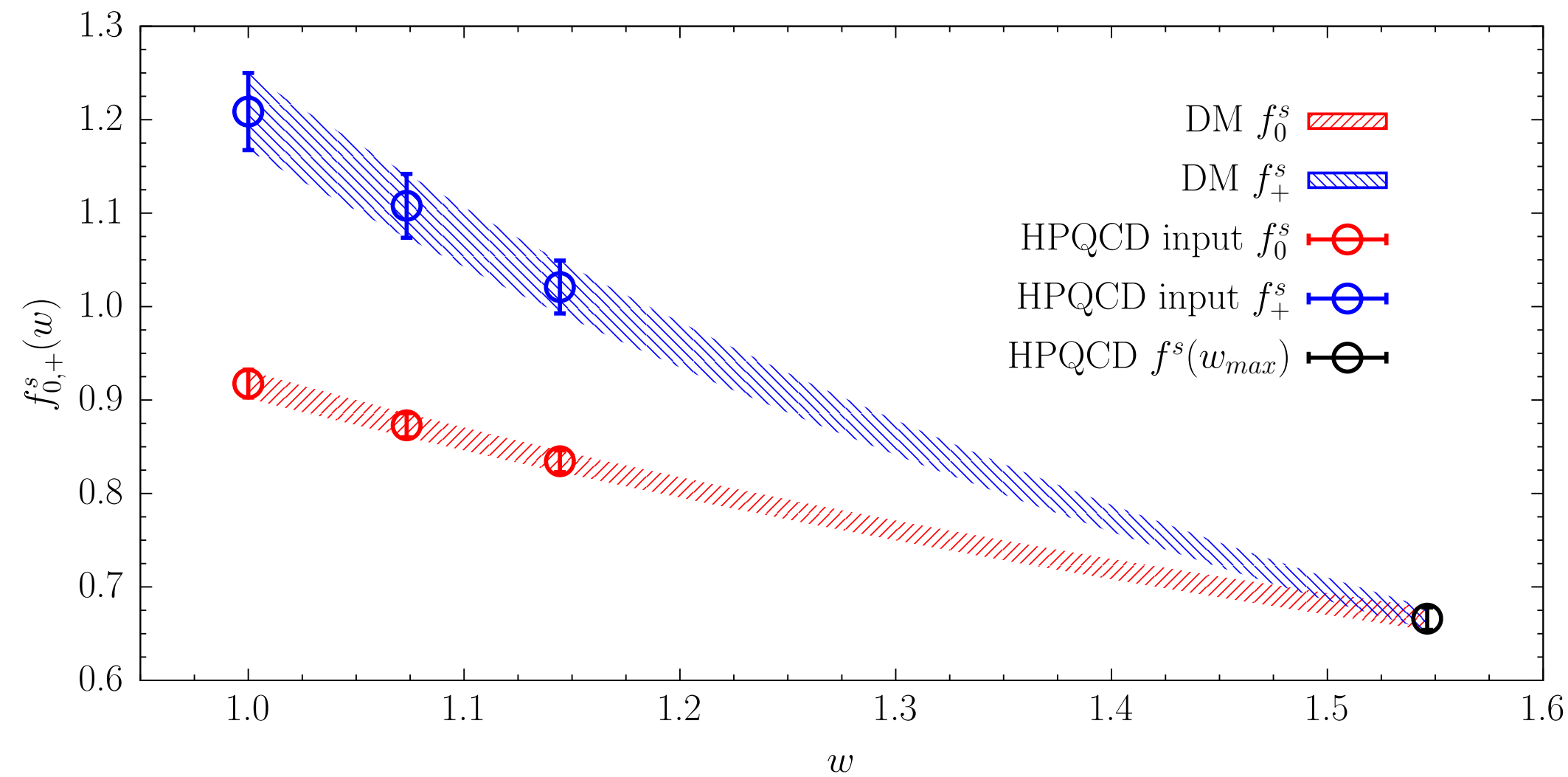
# form factors for $B_s \rightarrow D_s^{(*)} \ell \nu_\ell$ decays

[arXiv:2204.05925]

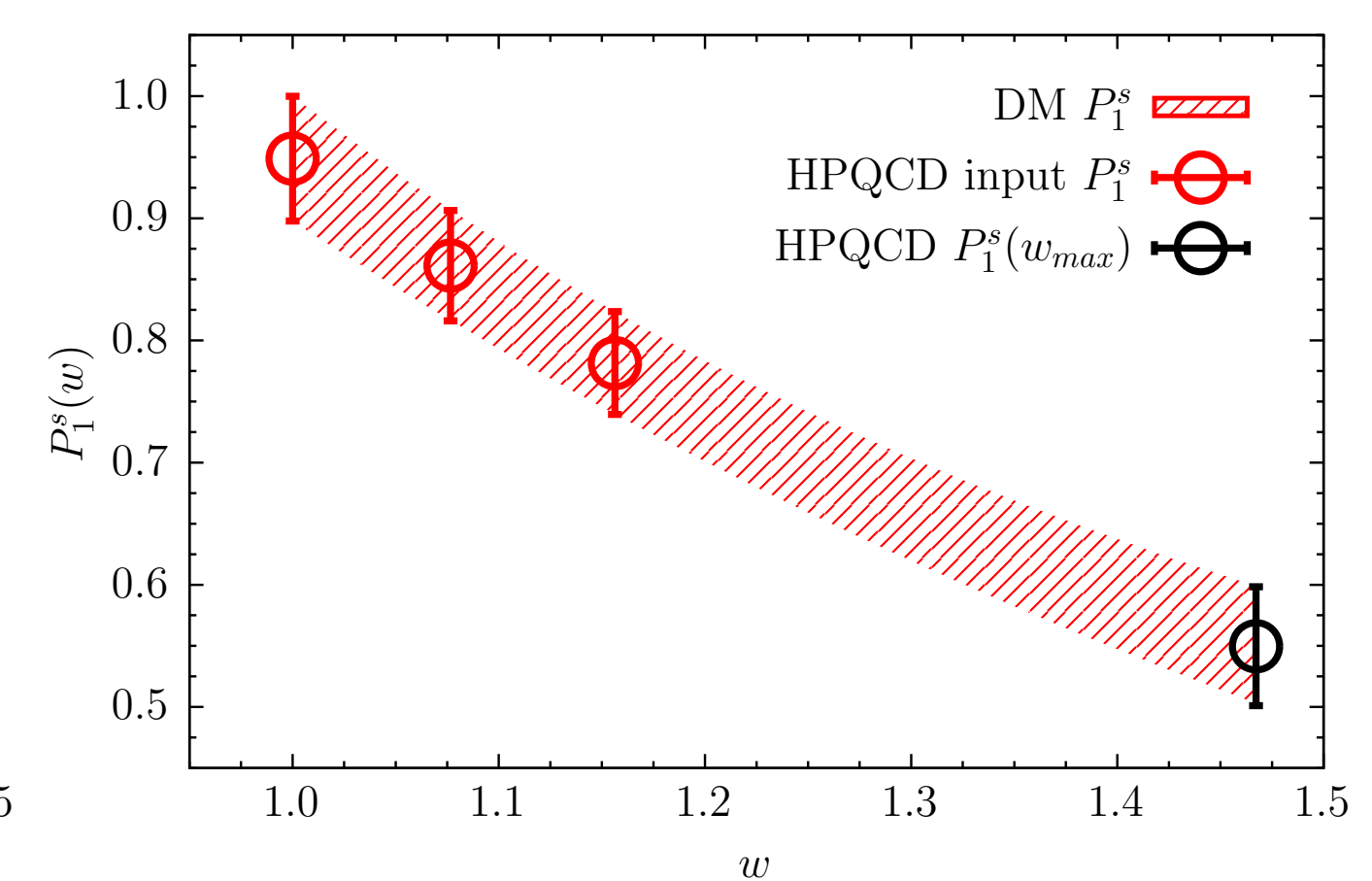
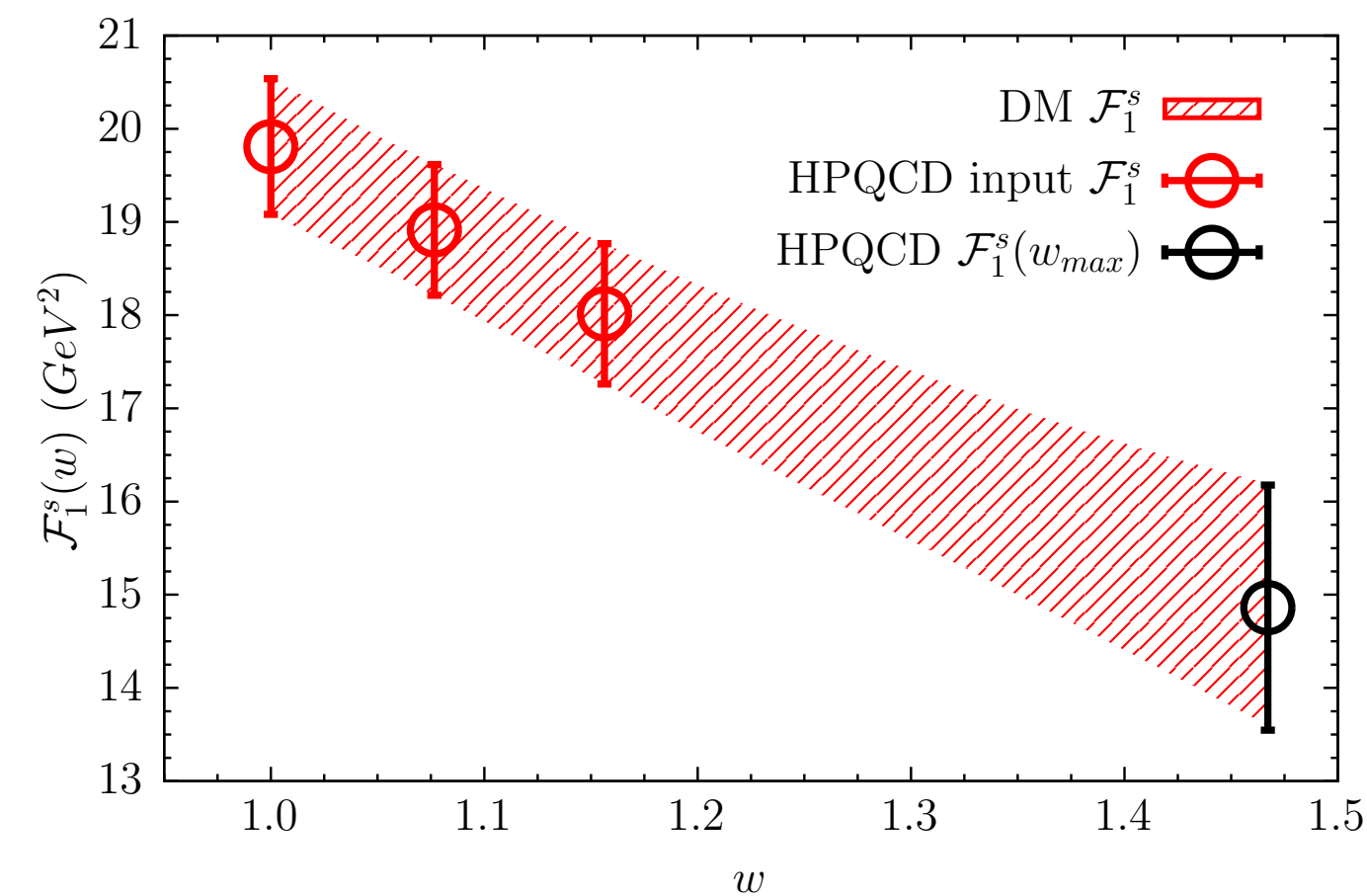
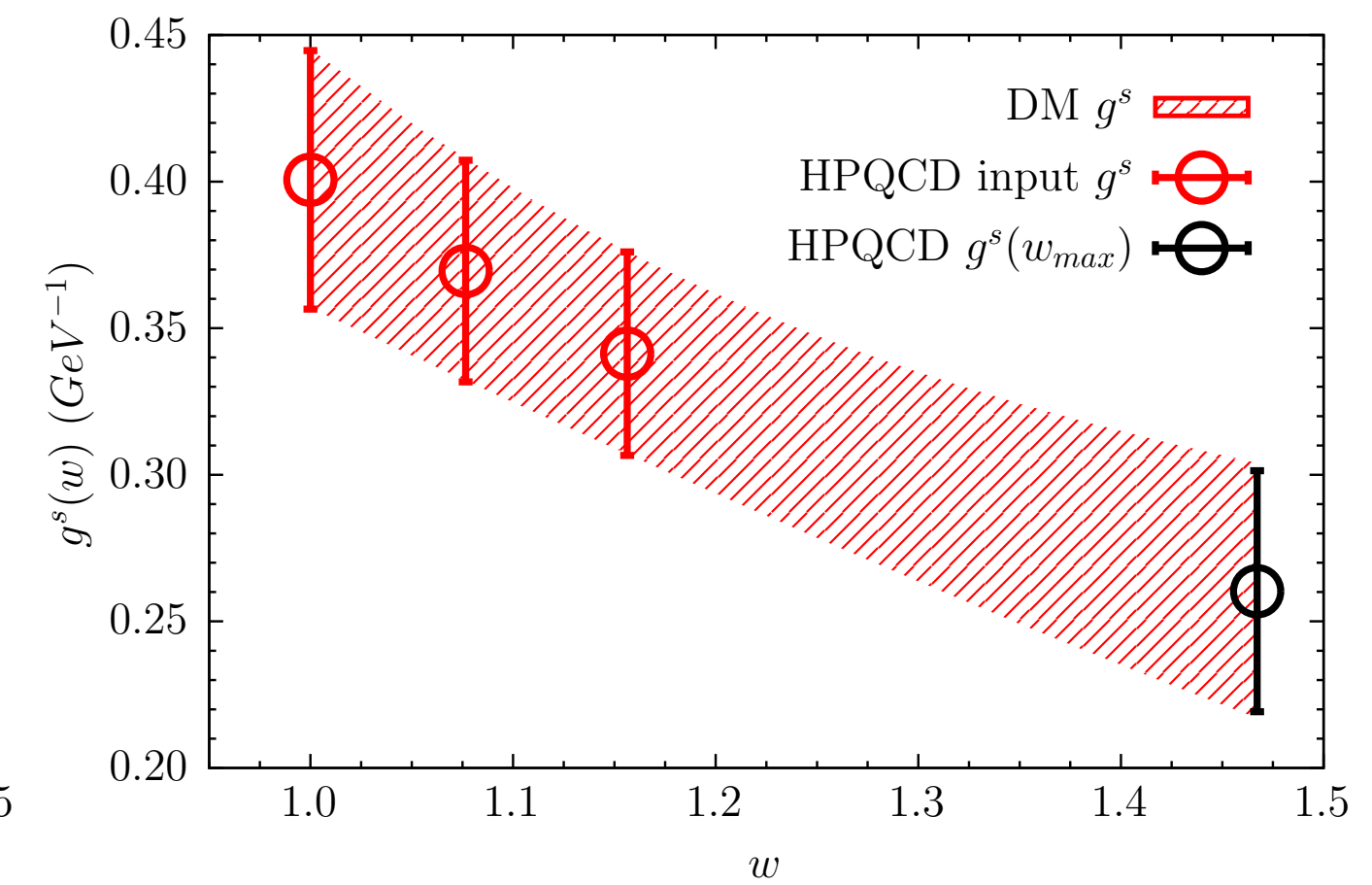
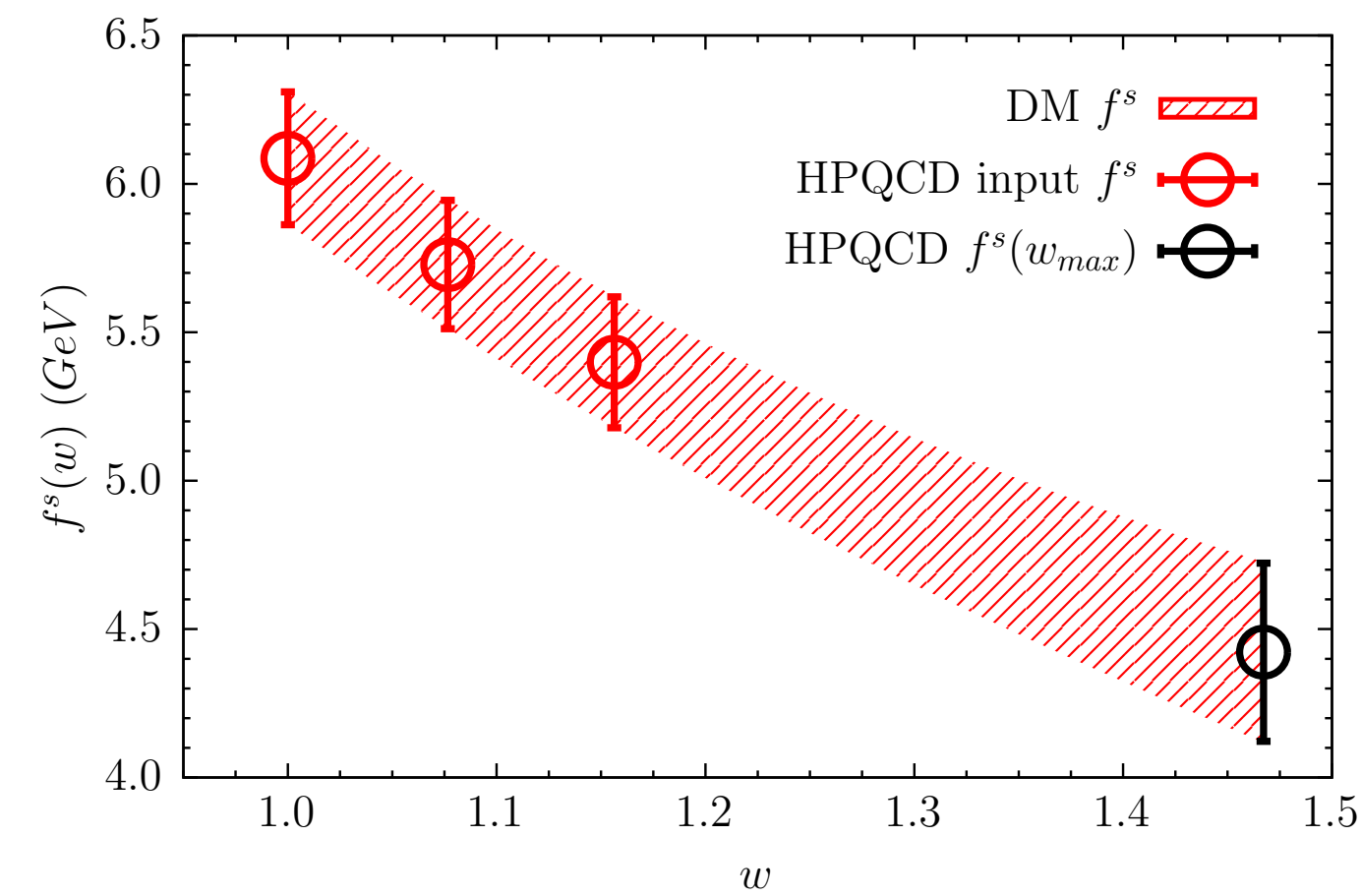
- \* lattice QCD form factors from **HPQCD arXiv:1906.00701** ( $B_s \rightarrow D_s$ ) and **arXiv:2105.11433** ( $B_s \rightarrow D_s^*$ ) in the form of BCL fits in the whole kinematical range
- \* we extract 3 data points for the FFs at small values of the recoil and we apply the DM approach

$$B_s \rightarrow D_s^* \ell \nu_\ell$$

$$B_s \rightarrow D_s \ell \nu_\ell$$



\* nice agreement in the whole kinematical range





# extraction of $|V_{cb}|$ from $B_s \rightarrow D_s^{(*)} \ell \nu_\ell$ decays

\* two sets of experimental data from LHCb collaboration: arXiv:2001.03225 and arXiv:2003.08453

two different runs at LHC

\* first analysis: ratios of branching ratios [2001.03225]

$$\frac{\mathcal{B}(B_s \rightarrow D_s \mu \nu_\mu)}{\mathcal{B}(B \rightarrow D \mu \nu_\mu)} = 1.09 \pm 0.05_{stat} \pm 0.06_{syst} \pm 0.05_{inputs} = 1.09 \pm 0.09$$

$$\frac{\mathcal{B}(B_s \rightarrow D_s^* \mu \nu_\mu)}{\mathcal{B}(B \rightarrow D^* \mu \nu_\mu)} = 1.06 \pm 0.05_{stat} \pm 0.07_{syst} \pm 0.05_{inputs} = 1.06 \pm 0.10$$

- using the PDG values for  $\mathcal{B}(B \rightarrow D^{(*)} \mu \nu_\mu)$  and the  $B_s$ -meson lifetime one gets

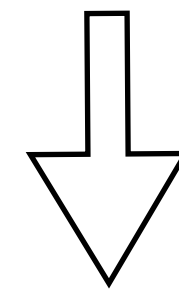
$$\Gamma^{\text{LHCb}}(B_s \rightarrow D_s \mu \nu_\mu) = (1.08 \pm 0.10) \cdot 10^{-14} \text{ GeV}$$

$$\Gamma^{\text{LHCb}}(B_s \rightarrow D_s^* \mu \nu_\mu) = (2.34 \pm 0.26) \cdot 10^{-14} \text{ GeV}$$

to be compared with

$$\Gamma^{\text{DM}}(B_s \rightarrow D_s \mu \nu_\mu) / |V_{cb}|^2 = (6.04 \pm 0.23) \cdot 10^{-12} \text{ GeV}$$

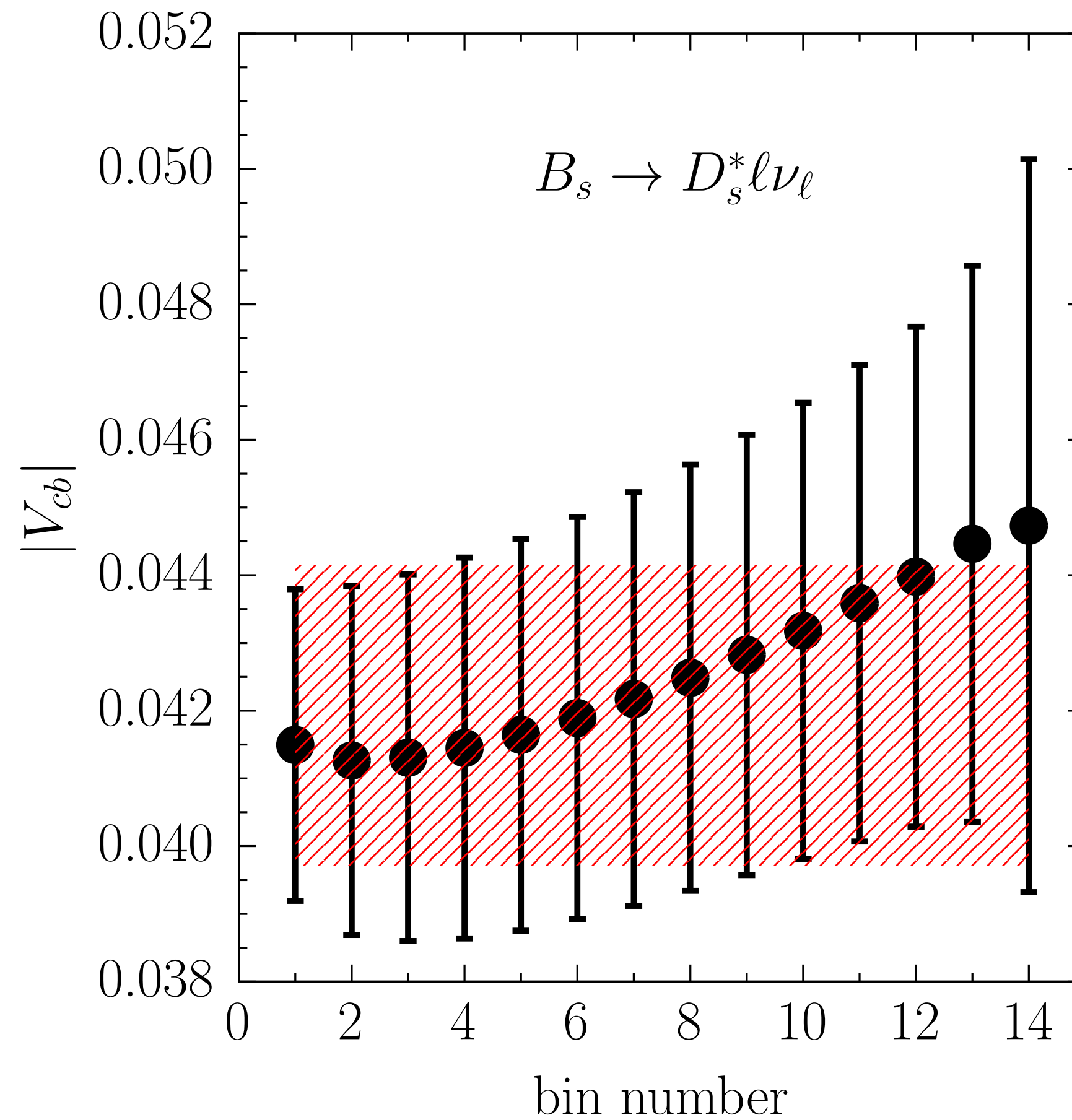
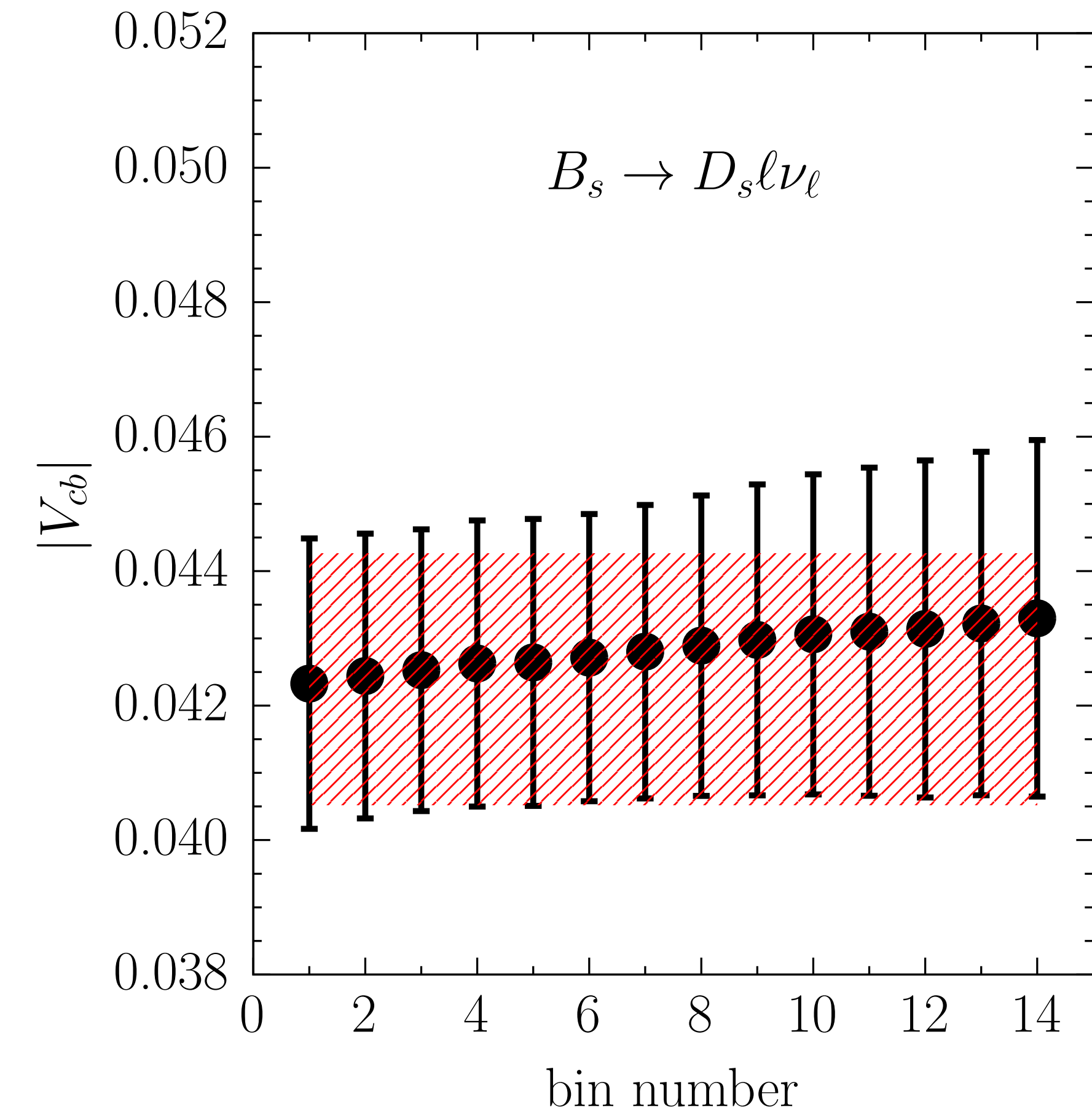
$$\Gamma^{\text{DM}}(B_s \rightarrow D_s^* \mu \nu_\mu) / |V_{cb}|^2 = (1.39 \pm 0.11) \cdot 10^{-11} \text{ GeV}$$



decays	$ V_{cb} ^{\text{DM}} \cdot 10^3$
$B_s \rightarrow D_s \ell \nu_\ell$	$42.3 \pm 2.1$
$B_s \rightarrow D_s^* \ell \nu_\ell$	$41.0 \pm 2.8$

\* second analysis: differential decay rates reconstructed from the LHCb fits of  $p_{\perp}$  distributions (BGL/CLN parameterizations for the FFs) carried out in arXiv:2001.03225

bin-per-bin analysis:  $|V_{cb}|_j \equiv \sqrt{\frac{d\Gamma^{\text{LHCb}}/dw_j}{d\Gamma^{\text{DM}}/dw_j}} \quad j = 1, \dots, N_{bins} \quad \text{we adopted } N_{bins} = 14 \text{ w-bins}$



correlated weighted averages

$$|V_{cb}| = \frac{\sum_{i,j=1}^{N_{bins}} (\mathbf{C}^{-1})_{ij} |V_{cb}|_j}{\sum_{i,j=1}^{N_{bins}} (\mathbf{C}^{-1})_{ij}}$$

$$\sigma_{|V_{cb}|}^2 = \frac{1}{\sum_{i,j=1}^{N_{bins}} (\mathbf{C}^{-1})_{ij}}$$

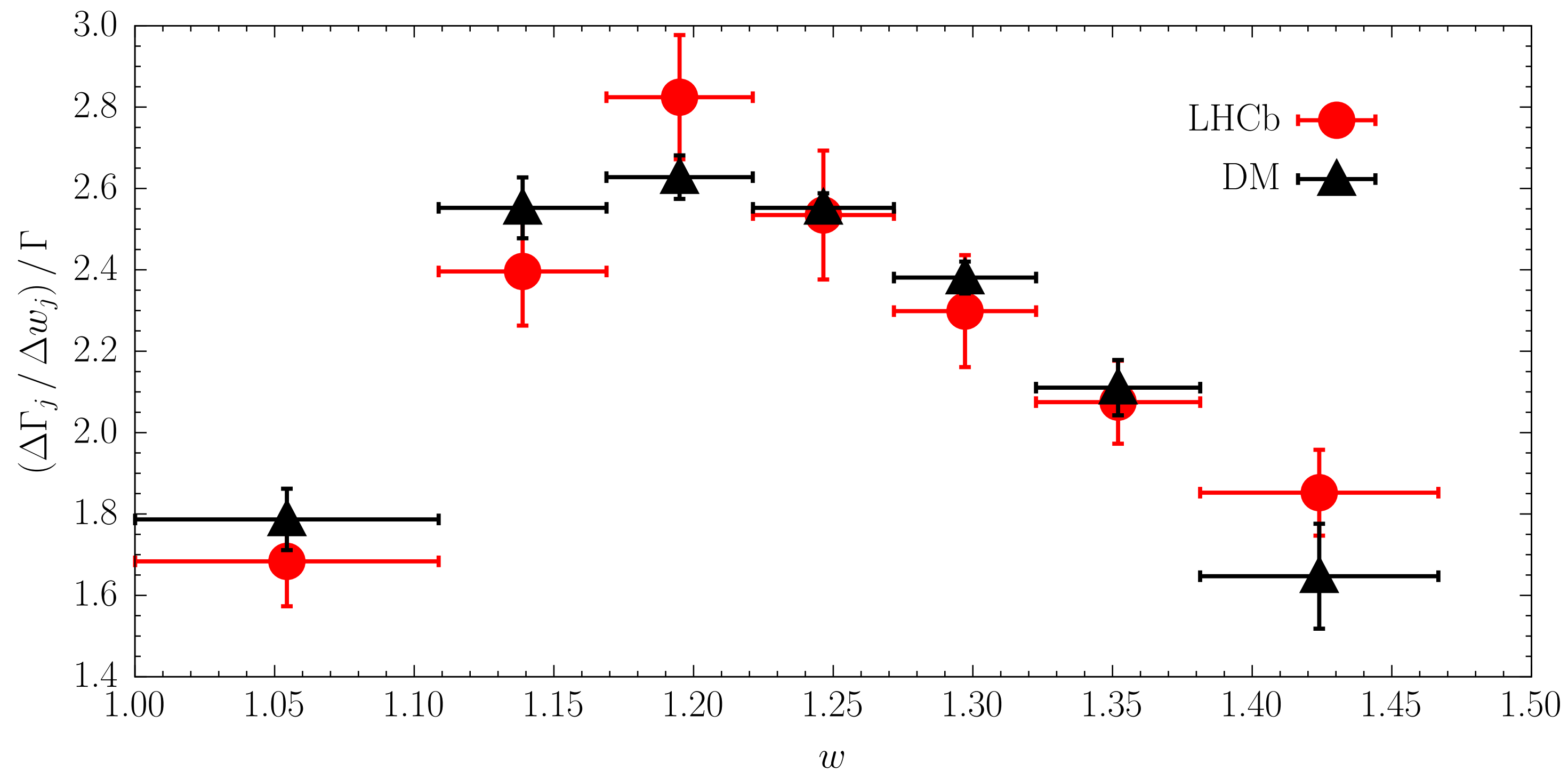
decays	$ V_{cb} ^{\text{DM}} \cdot 10^3$
$B_s \rightarrow D_s \ell \nu_{\ell}$	$42.4 \pm 1.9$
$B_s \rightarrow D_s^* \ell \nu_{\ell}$	$41.9 \pm 2.2$

$$|V_{cb}|^{\text{LHCb}} \cdot 10^3 = 42.3 \pm 1.7$$

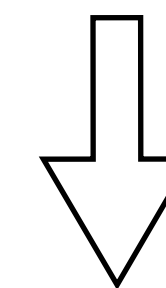
\* third analysis: LHCb ratios from arXiv:2003.08453

$$\Delta r_j = \frac{\Delta\Gamma_j(B_s \rightarrow D_s^* \mu \nu_\mu)}{\Gamma(B_s \rightarrow D_s^* \mu \nu_\mu)} \quad j = 1, \dots, 7$$

$j$	1	2	3	4	5	6	7
$w$ -bin	1.000 - 1.1087	1.1087 - 1.1688	1.1688 - 1.2212	1.2212 - 1.2717	1.2717 - 1.3226	1.3226 - 1.3814	1.3814 - 1.4667
$\Delta w_j$	0.1087	0.0601	0.0524	0.0505	0.0509	0.0588	0.0853
$\Delta r_j^{\text{LHCb}}$	0.183(12)	0.144(8)	0.148(8)	0.128(8)	0.117(7)	0.122(6)	0.158(9)
$\Delta r_j^{\text{DM}}$	0.1942(82)	0.1534(45)	0.1377(28)	0.1289(18)	0.1212(20)	0.1241(40)	0.1405(110)



consistency within  $\sim 1\sigma$



shape of theoretical FFs is consistent with the one of the experimental data

\* to determine  $|V_{cb}|$  we evaluate the integrated differential decay rates for each bin

$$\Delta\Gamma_j^{\text{exp}} = \Delta r_j^{\text{LHCb}} \cdot \Gamma^{\text{LHCb}}(B_s \rightarrow D_s^* \mu \nu_\mu) \quad j = 1, \dots, 7$$

and the covariance matrix:  $\Gamma_{ij}^{\text{exp}} = R_{ij}^{\text{LHCb}} \left[ \bar{\Gamma}^2 + \sigma_{\bar{\Gamma}}^2 \right] + \Delta r_i^{\text{LHCb}} \Delta r_j^{\text{LHCb}} \sigma_{\bar{\Gamma}}^2$

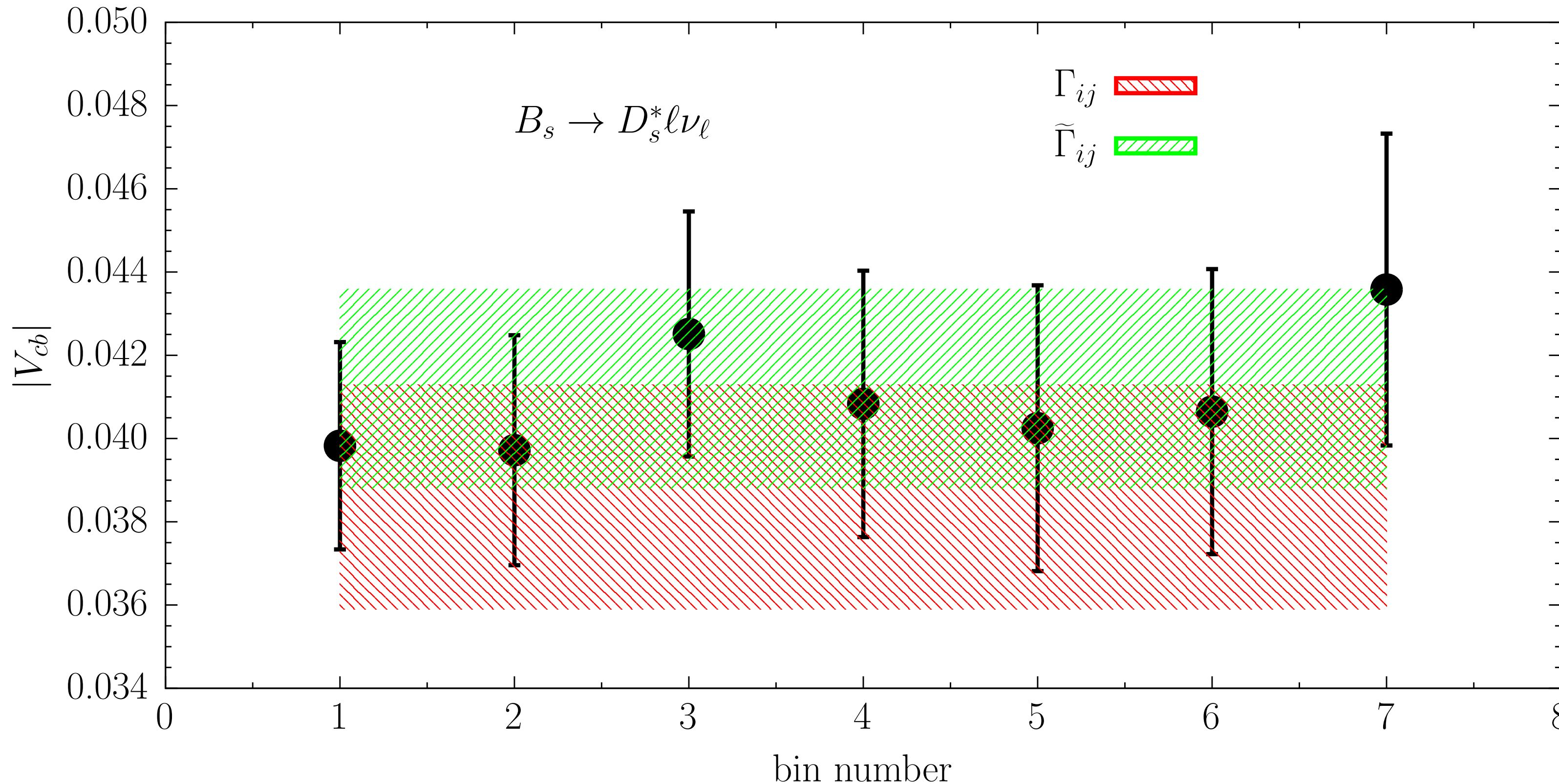
general property:  $\sum_{i,j=1}^{N_{\text{bins}}} \Gamma_{ij}^{\text{exp}} = \sigma_{\bar{\Gamma}}^2$   $\longleftrightarrow$   $\sum_{i=1}^{N_{\text{bins}}} \Delta r_i^{\text{LHCb}} = 1$  and  $\sum_{i,j=1}^{N_{\text{bins}}} R_{ij}^{\text{LHCb}} = 0$

$\Gamma^{\text{LHCb}}(B_s \rightarrow D_s^* \mu \nu_\mu)$  from arXiv:2001.03225

$$\bar{\Gamma} \pm \sigma_{\bar{\Gamma}} = (2.34 \pm 0.26) \cdot 10^{-14} \text{ GeV}$$

\*\*\* uncorrelated with  $\Delta r_j^{\text{LHCb}}$  \*\*\*

- D'Agostini effect (NIMA '94): negative bias on constant fits to data affected by an overall normalization uncertainty;  
it depends upon  $\sigma_{\bar{\Gamma}}$  and  $\Delta r_i^{\text{LHCb}} \neq \Delta r_j^{\text{LHCb}}$



modified covariance matrix

$$\tilde{\Gamma}_{ij}^{\text{exp}} = R_{ij}^{\text{LHCb}} \left[ \bar{\Gamma}^2 + \sigma_{\bar{\Gamma}}^2 \right] + \sigma_{\bar{\Gamma}}^2 / N_{\text{bins}}^2$$

$$\sum_{i,j=1}^{N_{\text{bins}}} \tilde{\Gamma}_{ij}^{\text{exp}} = \sum_{i,j=1}^{N_{\text{bins}}} \Gamma_{ij}^{\text{exp}} = \sigma_{\bar{\Gamma}}^2$$

correlated weighted averages

$$|V_{cb}| \cdot 10^3 = 38.6 \pm 2.7$$

$$|V_{cb}| \cdot 10^3 = 41.2 \pm 2.4$$

$|V_{cb}|^{\text{DM}} \cdot 10^3$  from  $B_s \rightarrow D_s^{(*)} \ell \nu_\ell$

analysis	$B_s \rightarrow D_s$	$B_s \rightarrow D_s^*$
first	$42.3 \pm 2.1$	$41.0 \pm 2.8$
second	$42.4 \pm 1.9$	$41.9 \pm 2.2$
third		$41.2 \pm 2.4$
average	$42.4 \pm 2.0$	$41.4 \pm 2.6$

$$x = \sum_{k=1}^N \omega_k x_k$$

$$\sigma^2 = \sum_{k=1}^N \omega_k [\sigma_k^2 + (x_k - x)^2]$$

$$\omega_k = (1/\sigma_k^2) / \sum_{j=1}^N (1/\sigma_j^2)$$

summary of  $|V_{cb}|^{\text{DM}}$  from  $B_{(s)} \rightarrow D_{(s)}^{(*)} \ell \nu_\ell$

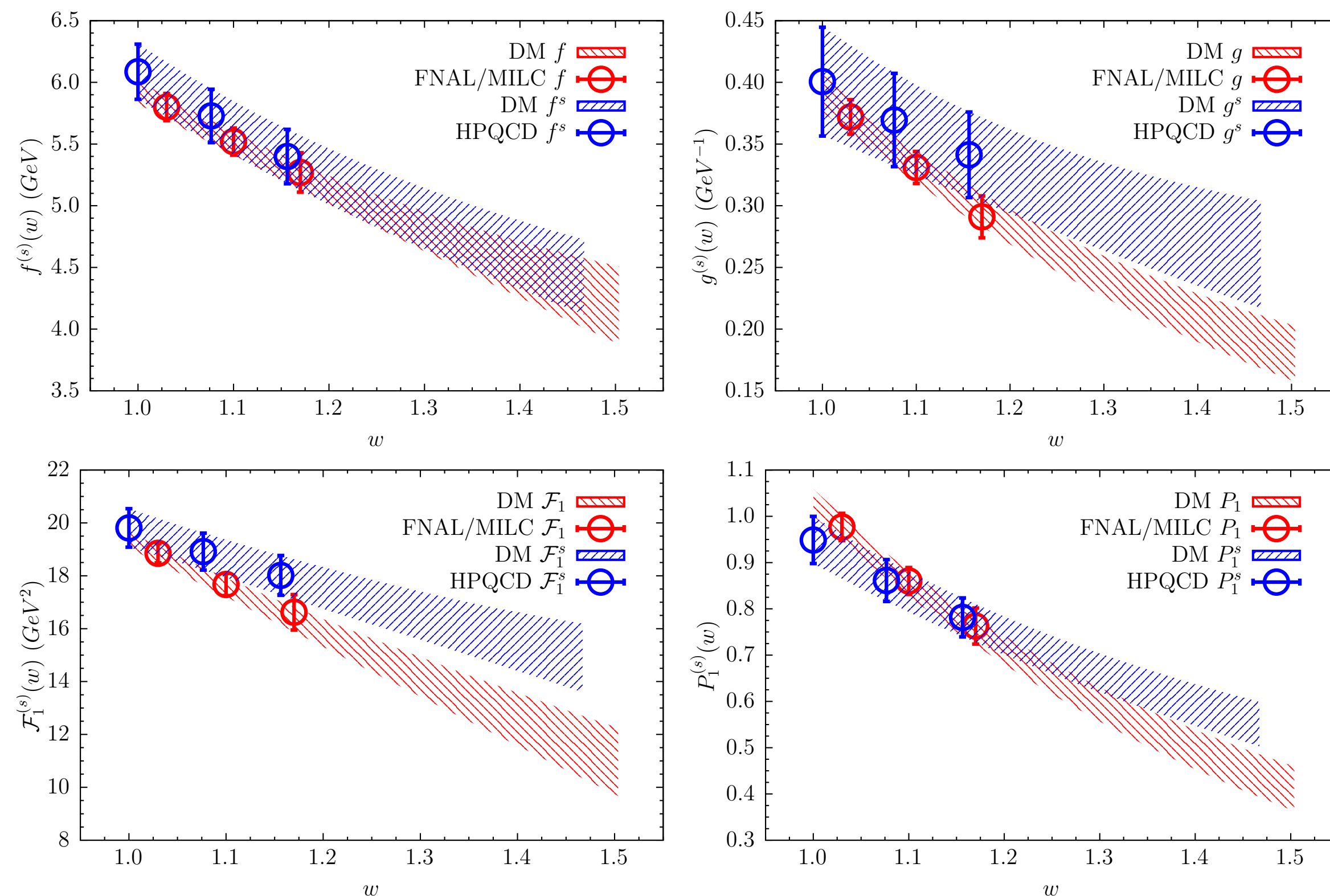
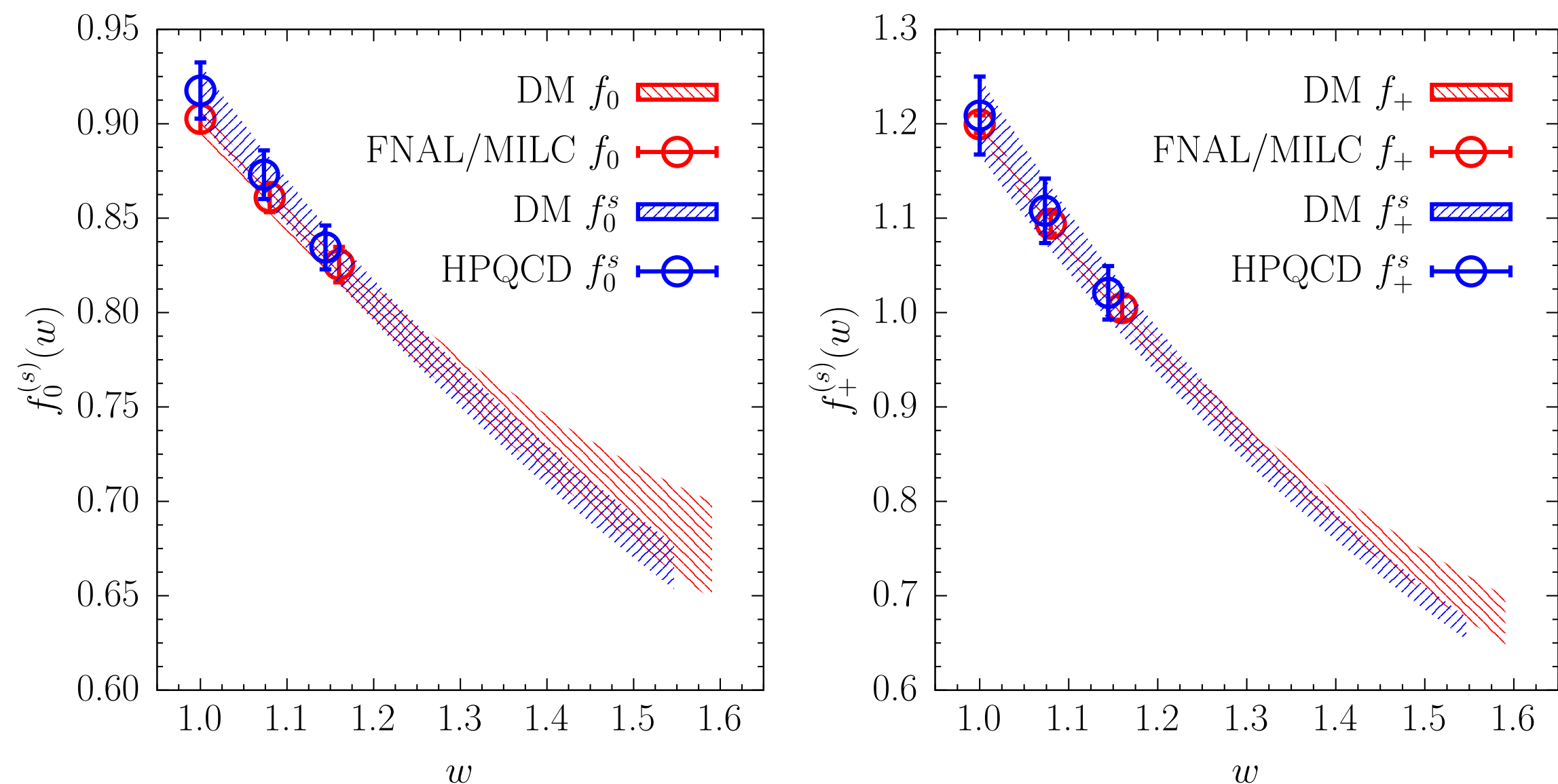
decay	$ V_{cb} ^{\text{DM}} \cdot 10^3$	inclusive	exclusive
		[2107.00604]	[FLAG 21]
$B \rightarrow D$	$41.0 \pm 1.2$		
$B \rightarrow D^*$	$41.3 \pm 1.7$		
$B_s \rightarrow D_s$	$42.4 \pm 2.0$		
$B_s \rightarrow D_s^*$	$41.4 \pm 2.6$		
<b>average</b>	<b><math>41.4 \pm 0.8</math></b>	<b><math>42.16 \pm 0.50</math></b>	<b><math>39.36 \pm 0.68</math></b>
<b>difference</b>		<b><math>\simeq 0.8 \sigma</math></b>	<b><math>\simeq 1.9 \sigma</math></b>

summary of  $R(D_{(s)})$ ,  $R(D_{(s)}^*)$  and polarization observables

observable	DM		observable	DM	experiment	difference
$R(D_s)$	0.298 (5)		$R(D)$	0.296 (8)	0.339 (27) (14)	$\simeq 1.4 \sigma$
$R(D_s^*)$	0.250 (6)	$\longleftrightarrow$	$R(D^*)$	0.275 (8)	0.295 (10) (10)	$\simeq 1.2 \sigma$
$P_\tau(D_s^*)$	-0.520 (12)	<b>SU(3)<sub>F</sub> breaking ?</b>	$P_\tau(D^*)$	-0.52 (1)	-0.38 (51) (+21/-16)	
$F_L(D_s^*)$	0.440 (16)		$F_L(D^*)$	0.42 (1)	0.60 (8) (4)	$\simeq 2.0 \sigma$

$$B_{(s)} \rightarrow D_{(s)} \ell \nu_\ell$$

$$B_{(s)} \rightarrow D_{(s)}^* \ell \nu_\ell$$



red: u/d spectator quark  
blue: strange spectator quark

ratios of branching ratios

$$\left. \frac{\mathcal{B}(B_s \rightarrow D_s \mu \nu_\mu)}{\mathcal{B}(B \rightarrow D \mu \nu_\mu)} \right|_{\text{LHCb}} = 1.09 \pm 0.09$$

$$\left. \frac{\mathcal{B}(B_s \rightarrow D_s \mu \nu_\mu)}{\mathcal{B}(B \rightarrow D \mu \nu_\mu)} \right|_{\text{DM}} = 1.02 \pm 0.06$$

$$\left. \frac{\mathcal{B}(B_s \rightarrow D_s^* \mu \nu_\mu)}{\mathcal{B}(B \rightarrow D^* \mu \nu_\mu)} \right|_{\text{LHCb}} = 1.06 \pm 0.10$$

$$\left. \frac{\mathcal{B}(B_s \rightarrow D_s^* \mu \nu_\mu)}{\mathcal{B}(B \rightarrow D^* \mu \nu_\mu)} \right|_{\text{DM}} = 1.19 \pm 0.11$$

- no SU(3)<sub>F</sub> breaking effects in  $B_{(s)} \rightarrow PS$   
- some SU(3)<sub>F</sub> breaking effects in  $B_{(s)} \rightarrow V$

need of more precise exp. and theo. data

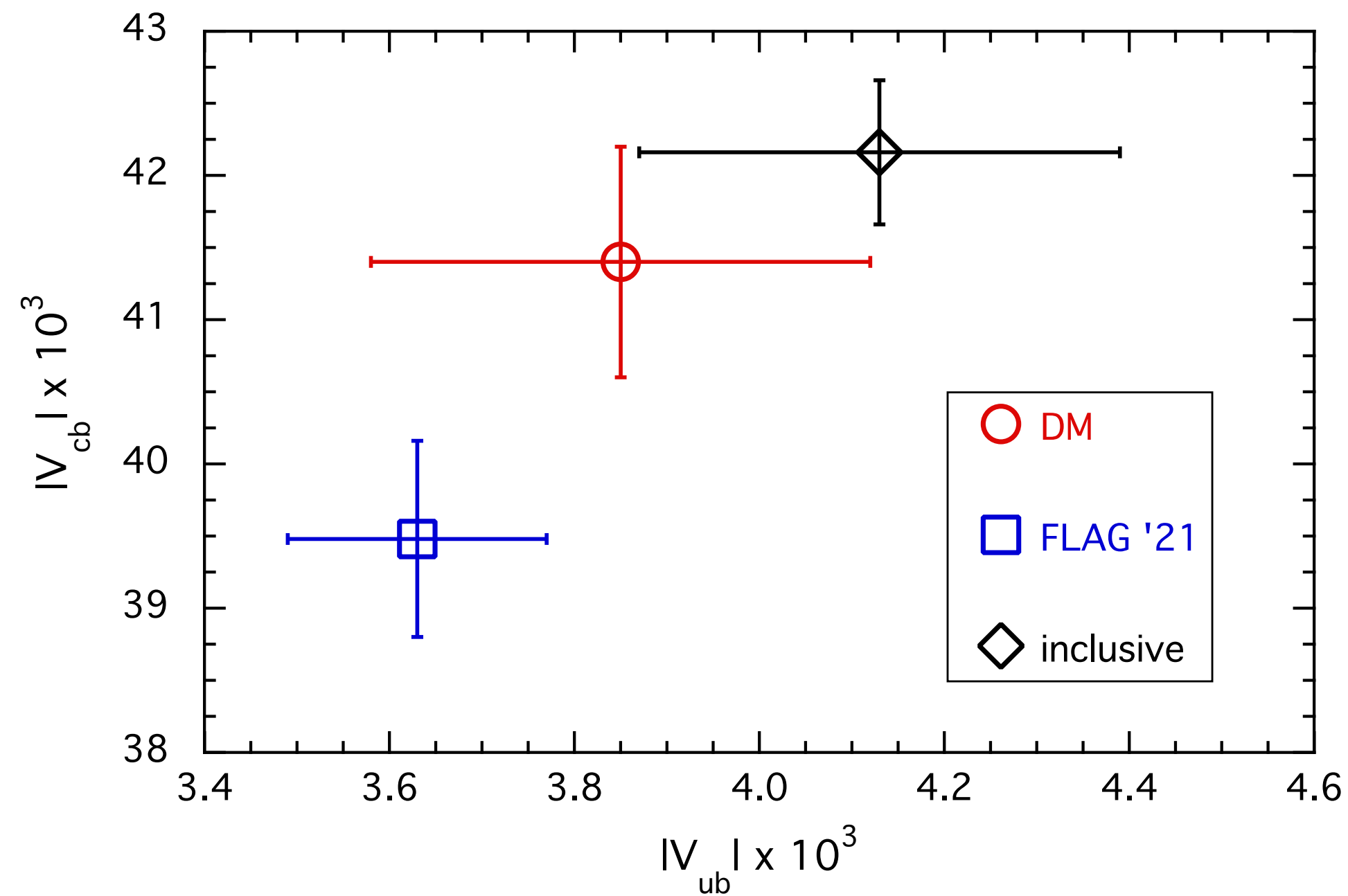
# Conclusions

\* the Dispersion Matrix approach is an attractive tool to implement unitarity and lattice QCD calculations in the analysis of exclusive semileptonic decays of hadrons. The main features are:

- it does not rely on any assumption about the momentum dependence of the hadronic form factors
- it can be based entirely on first principles using lattice determinations both of the relevant form factors and of the dispersive bounds (the susceptibilities) from appropriate 2-point and 3-point (Euclidean) correlation functions
- it allows to implement unitarity and kinematical constraints in a rigorous and parameterization-independent way
- it predicts band of values that are equivalent to the infinite number of BGL fits satisfying unitarity and KCs and reproducing exactly a given set of data points
- it can be applied to any exclusive semileptonic decay of hadrons

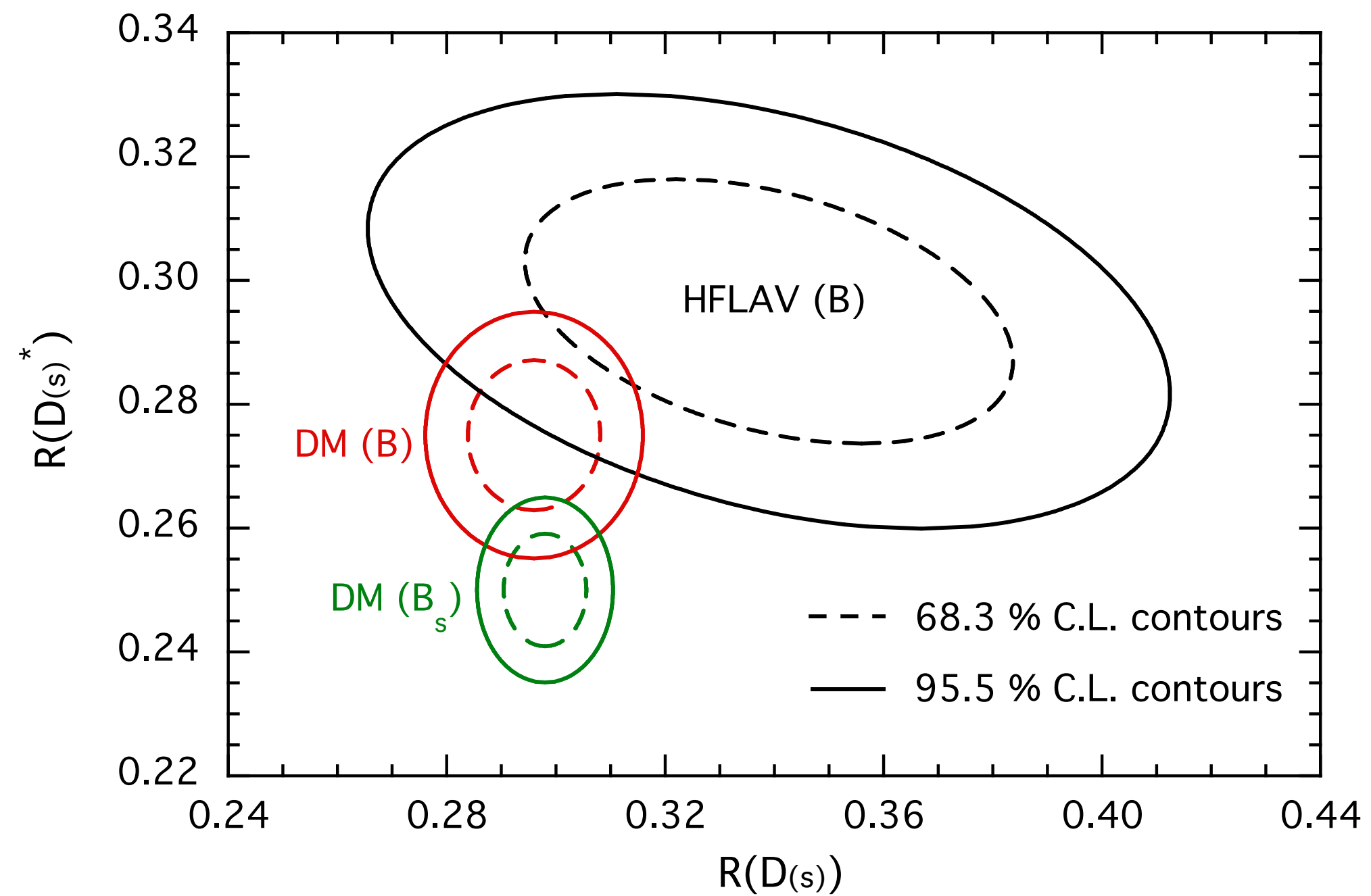
\* results for  $B_{(s)} \rightarrow D_{(s)}^{(*)} \ell \nu_\ell$  decays: extraction of  $|V_{cb}|$  and theoretical determination of  $R(D_{(s)}^{(*)})$  using LQCD results for the FFs (from FNAL/MILC and HPQCD) [2105.08674, 2109.15248, 2204.05925]

decay	$ V_{cb} ^{\text{DM}} \cdot 10^3$	inclusive	exclusive	observable	DM	experiment	difference
		[2107.00604]	[FLAG 21]	$R(D)$	0.296 (8)	0.340 (27) (13)	$\simeq 1.4 \sigma$
$B \rightarrow D$	$41.0 \pm 1.2$			$R(D^*)$	0.275 (8)	0.295 (11) (8)	$\simeq 1.3 \sigma$
$B \rightarrow D^*$	$41.3 \pm 1.7$			$R(D_s)$	0.298 (5)		
$B_s \rightarrow D_s$	$42.4 \pm 2.0$			$R(D_s^*)$	0.250 (6)		
$B_s \rightarrow D_s^*$	$41.4 \pm 2.6$						
average	$41.4 \pm 0.8$	$42.16 \pm 0.50$	$39.36 \pm 0.68$				
difference		$\simeq 0.8 \sigma$	$\simeq 1.9 \sigma$				



	decays	DM	FLAG '21	inclusive
$ V_{cb}  \cdot 10^3$	$B_{(s)} \rightarrow D_{(s)}^{(*)}$	41.4 (8)	39.48 (68)	42.16 (50)
$ V_{ub}  \cdot 10^3$	$B_{(s)} \rightarrow \pi, K$	3.85 (27)	3.63 (14)	4.13 (26)

see Ludovico's slides in the discussion session



	DM	HFLAV '21
$R(D)$	0.296 (8)	0.339 (26) (14)
$R(D^*)$	0.275 (8)	0.295 (10) (10)
$R(D_s)$	0.298 (5)	
$R(D_s^*)$	0.250 (6)	

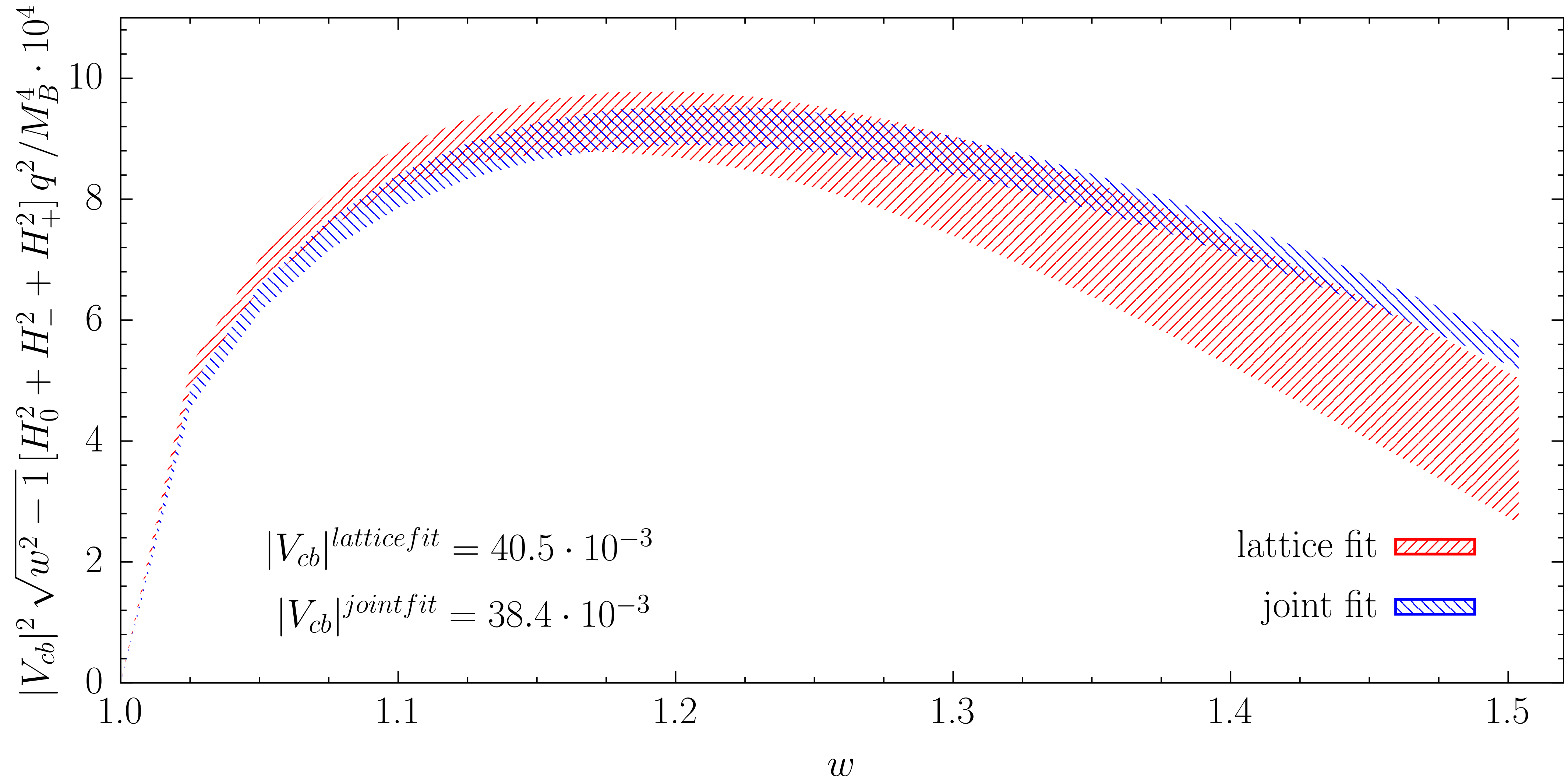


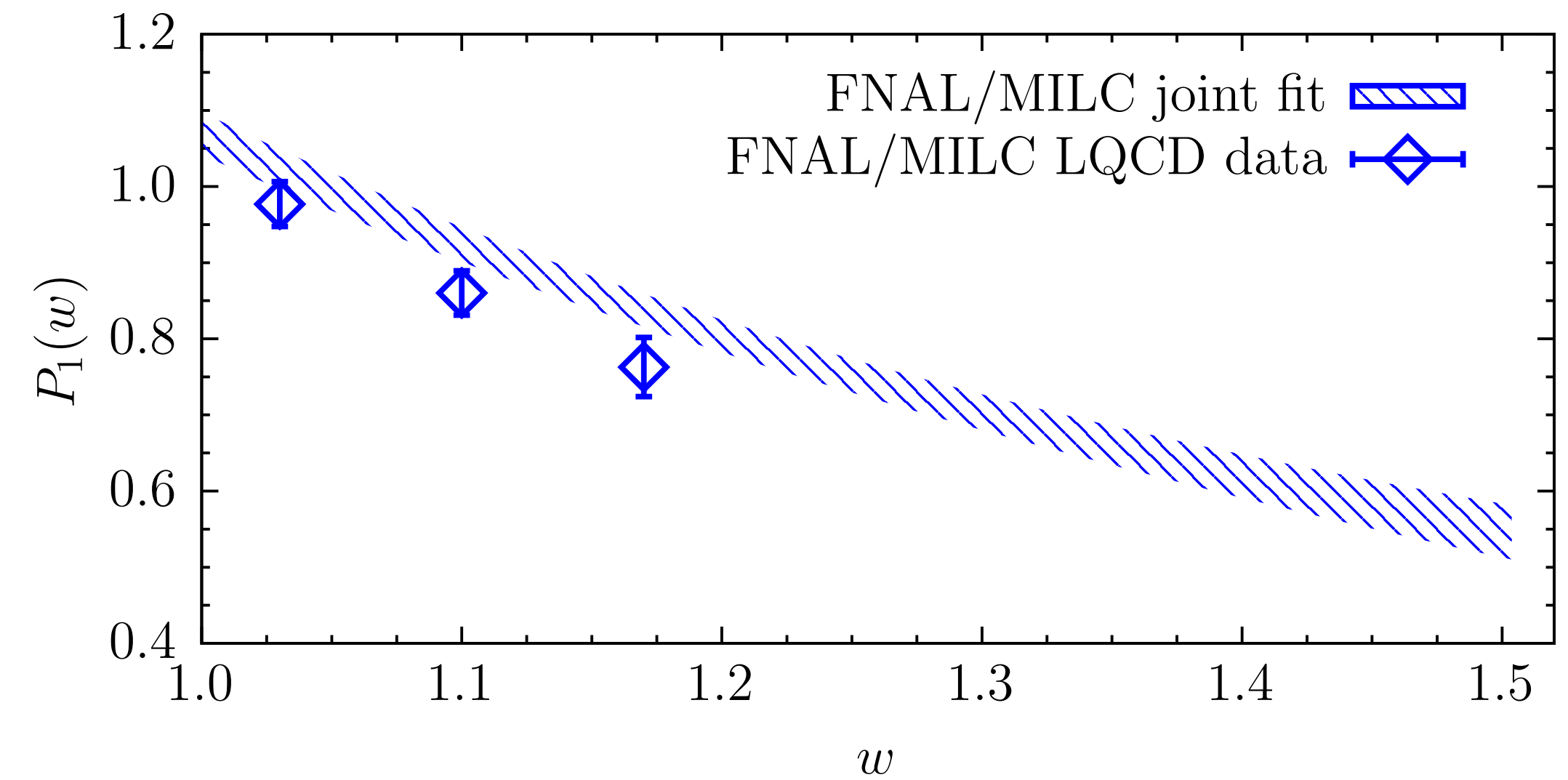
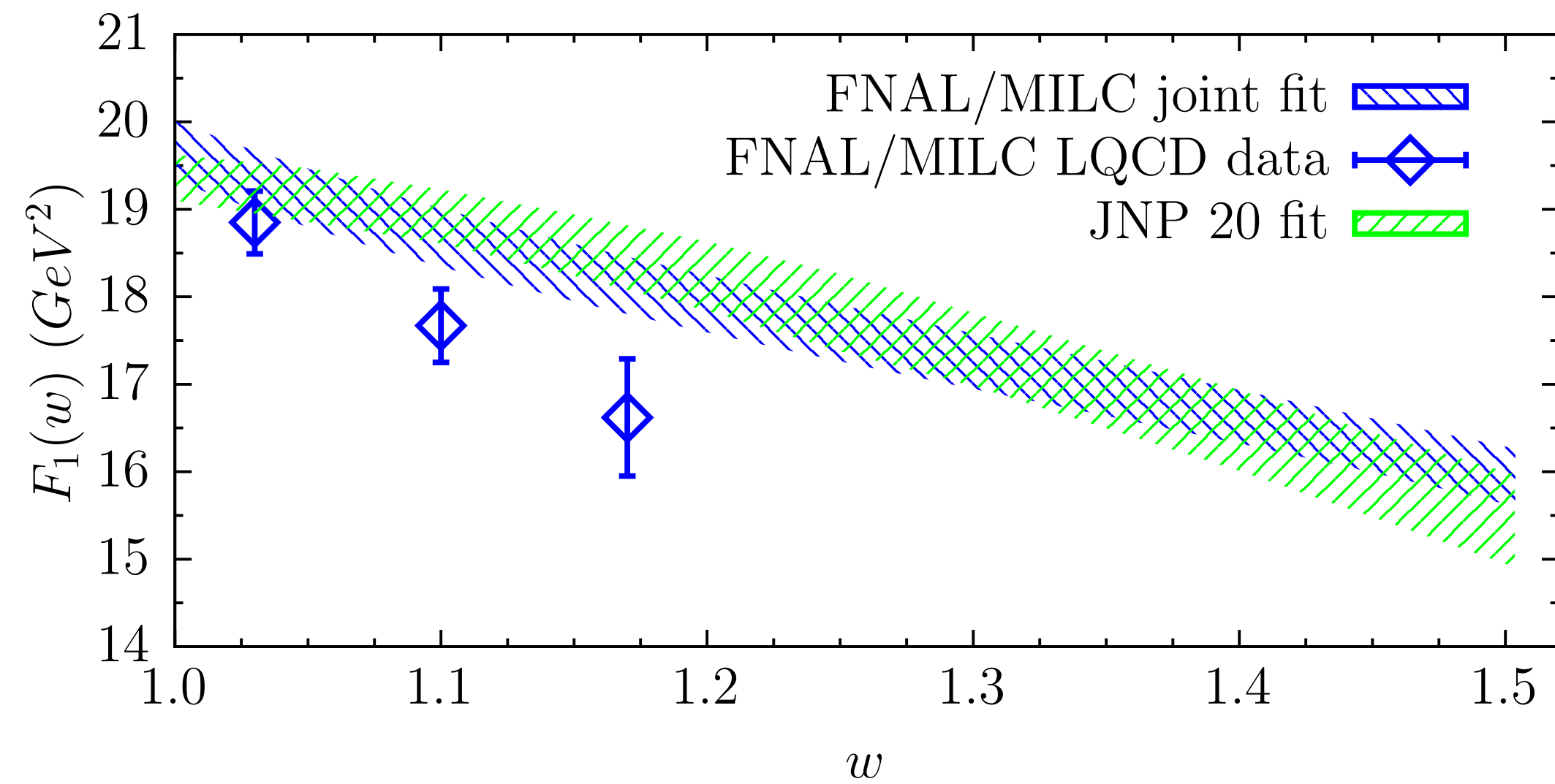
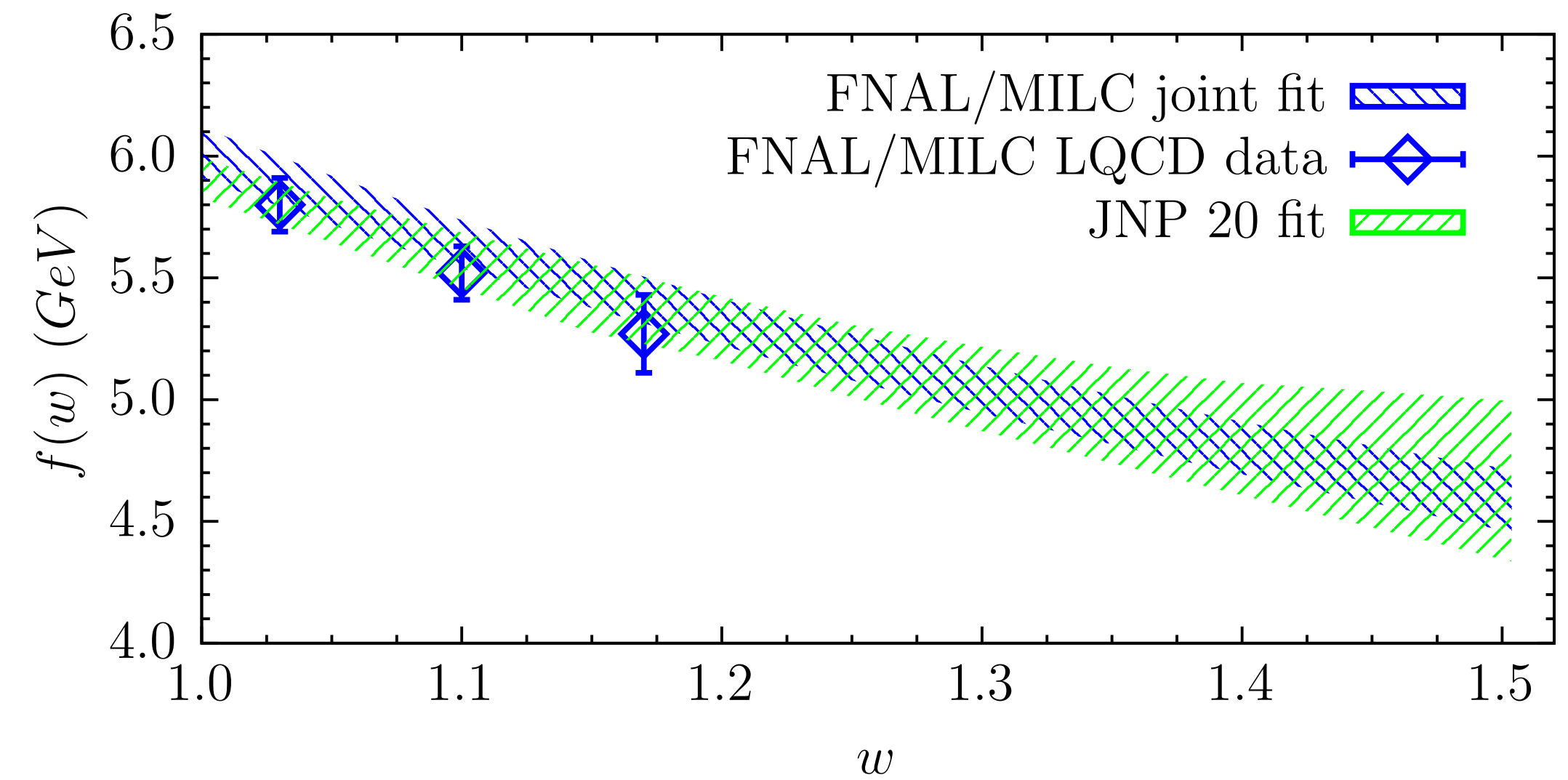
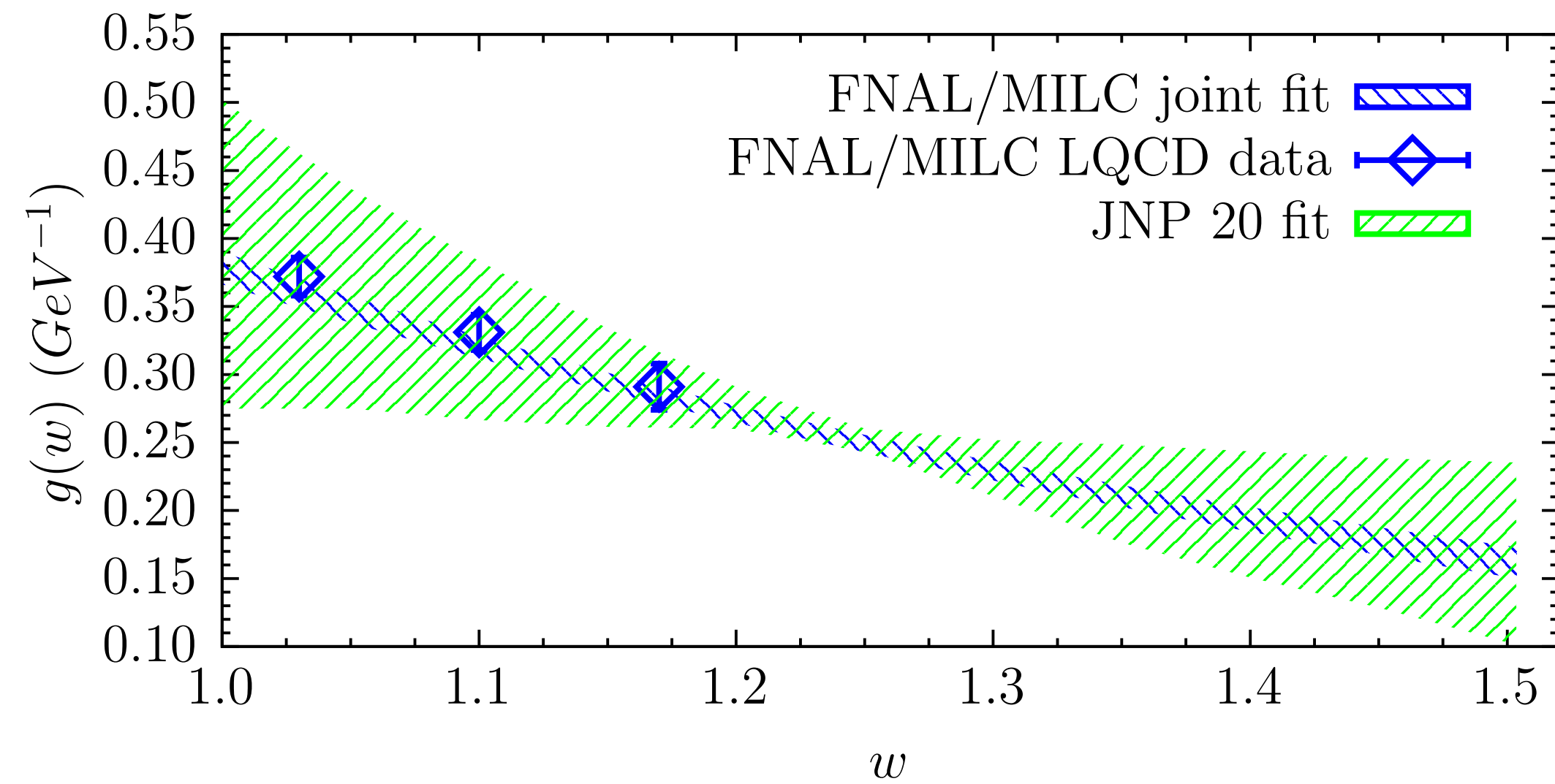
reduced tensions in both  $|V_{cb}|$ ,  $|V_{ub}|$  and  $R(D^*)$  when theory and experiments are not fitted simultaneously



backup slides

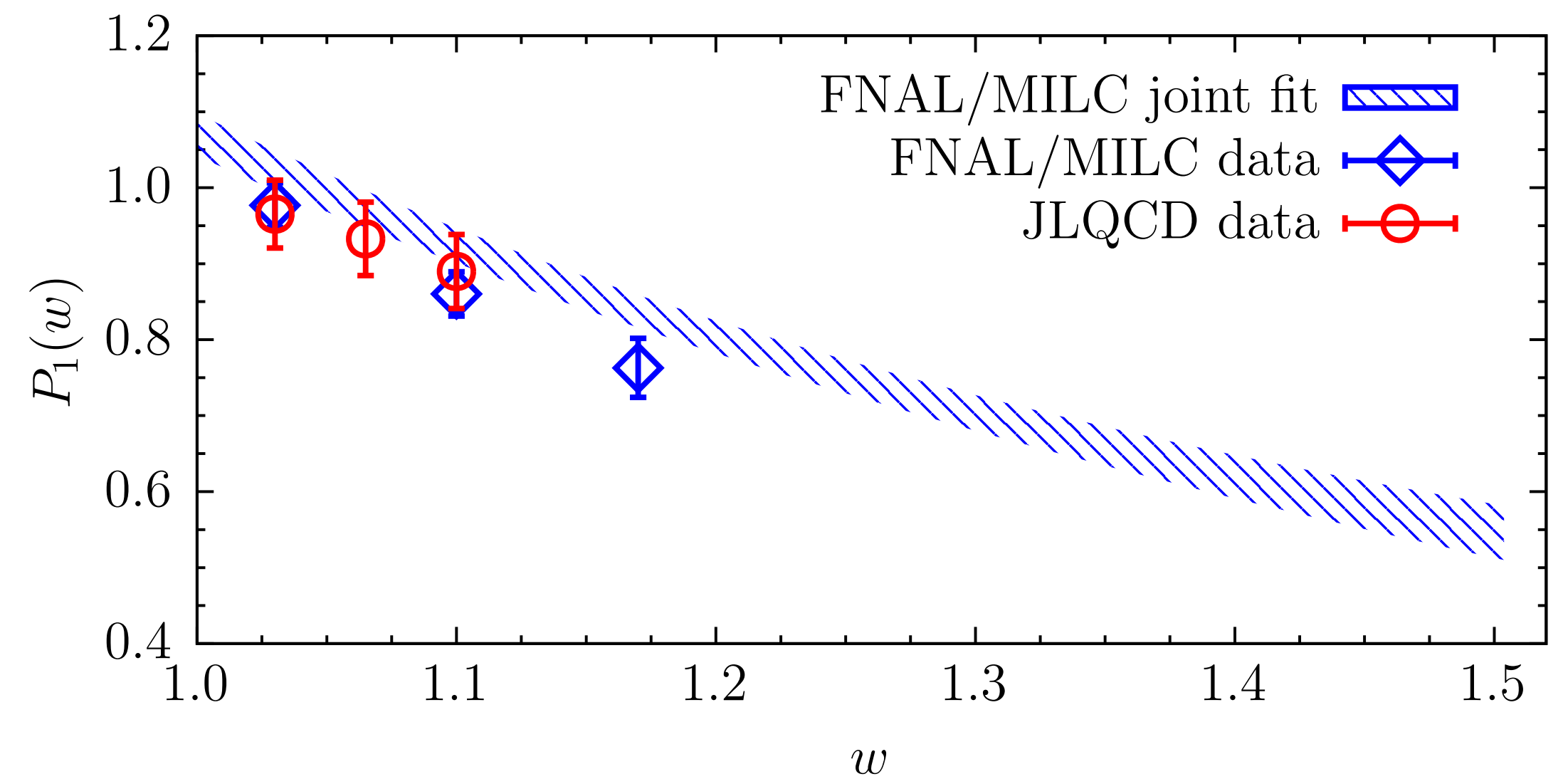
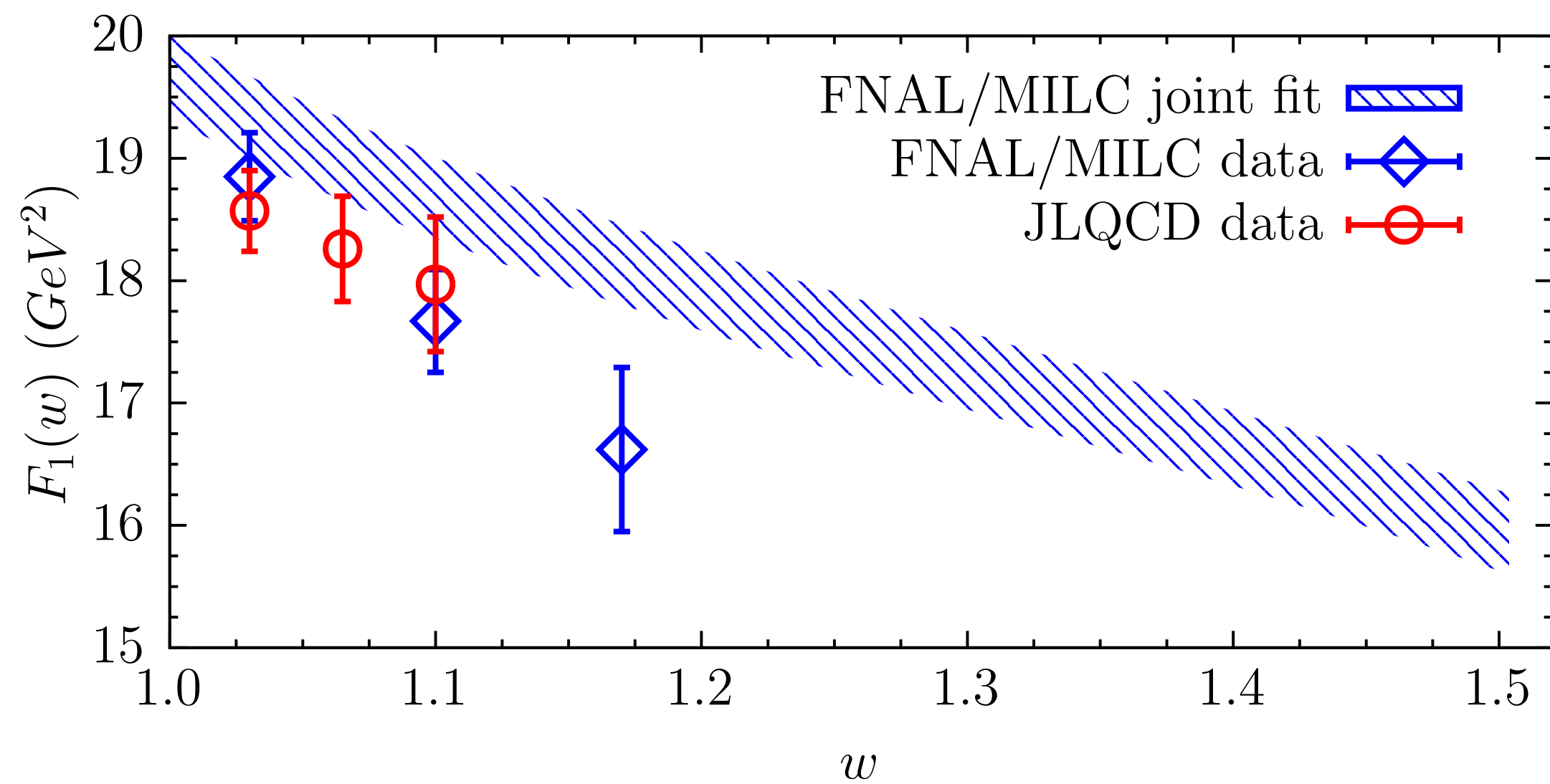
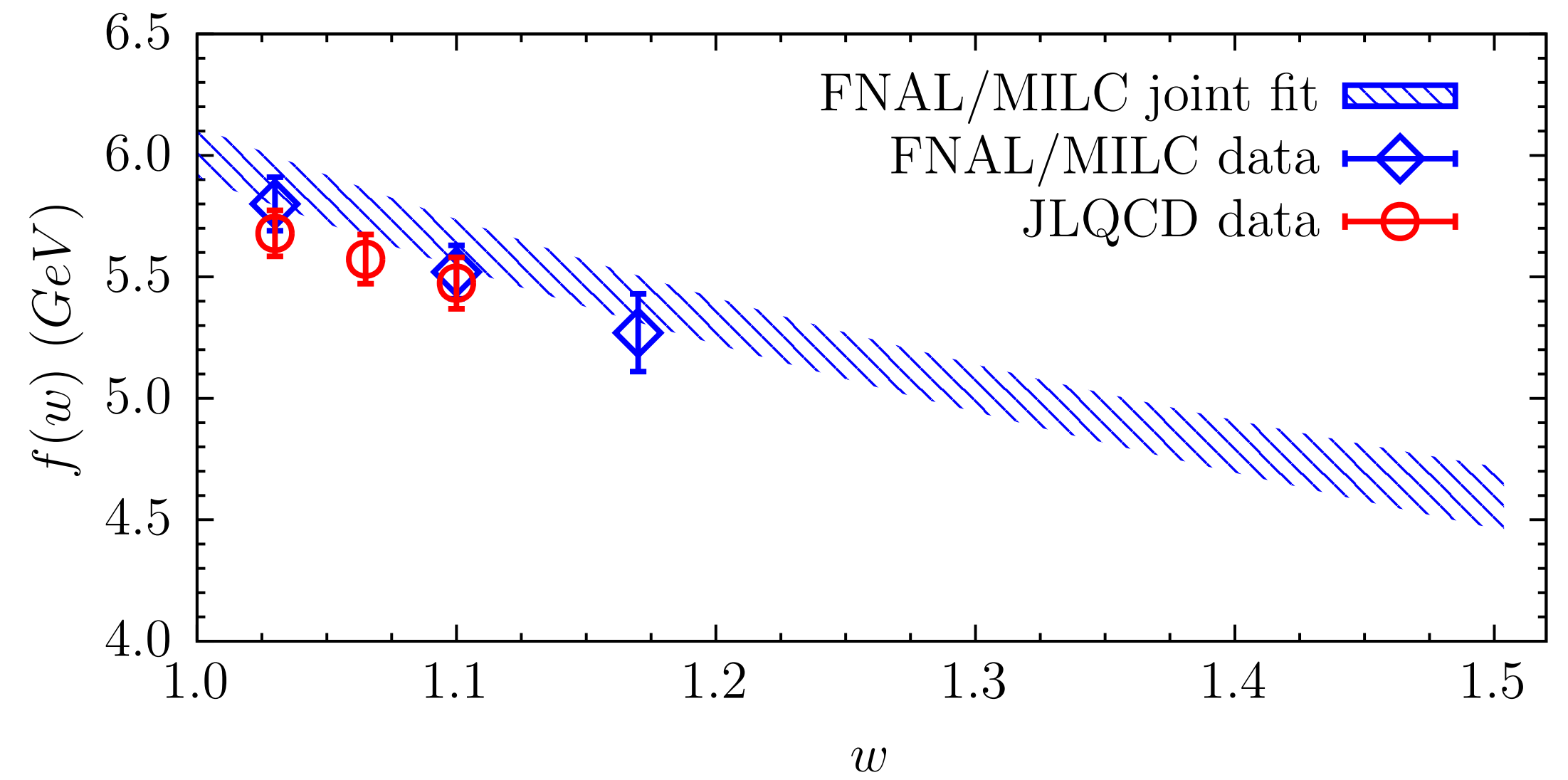
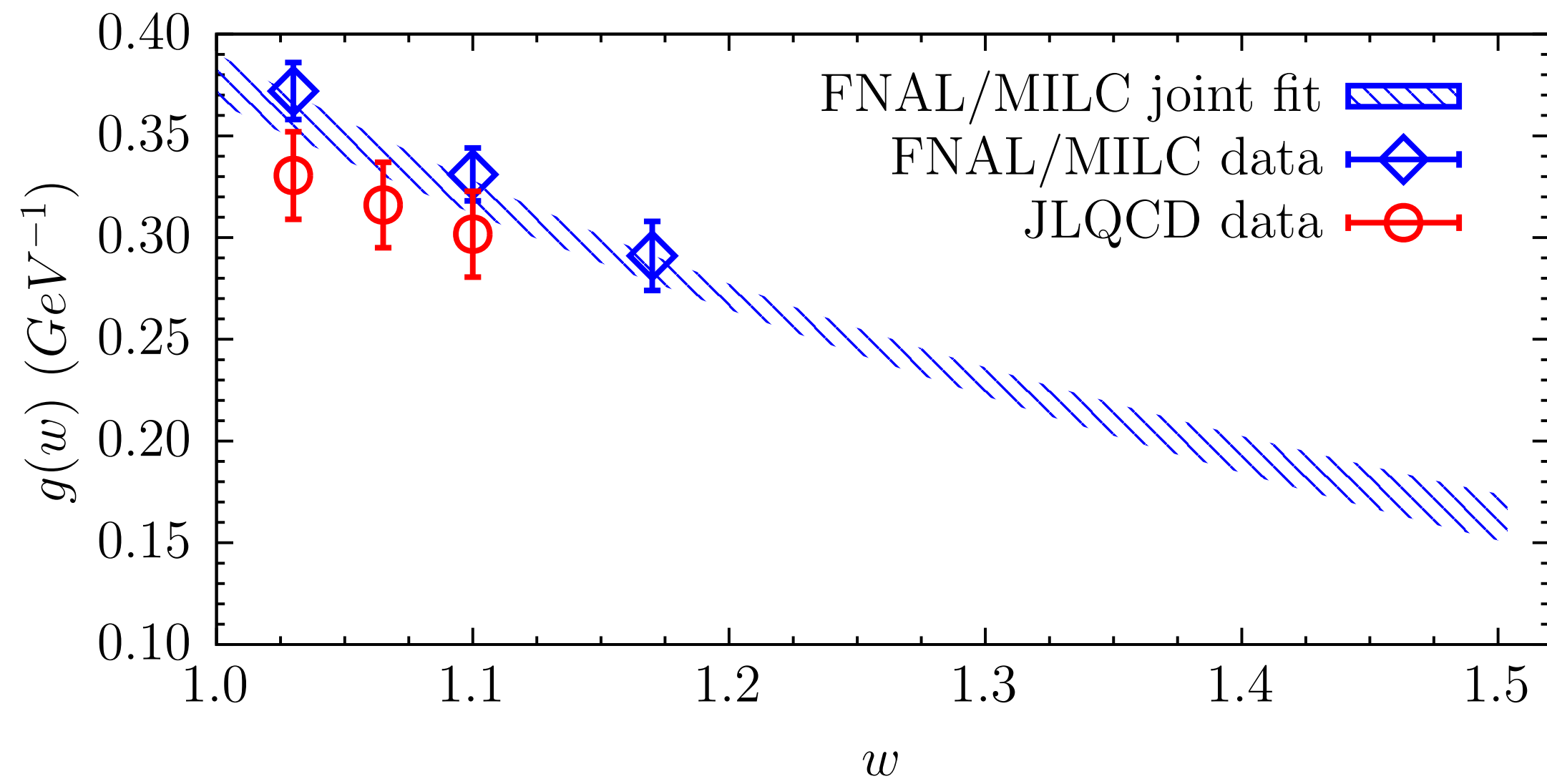
$$\frac{d\Gamma}{dw} \propto |V_{cb}|^2 \sqrt{w^2 - 1} \frac{q^2}{M_B^4} [H_0^2(w) + H_-^2(w) + H_+^2(w)] = |V_{cb}|^2 \sqrt{w^2 - 1} \left\{ \left( \frac{\mathcal{F}_1(w)}{M_B^2} \right)^2 + 2 \frac{q^2}{M_B^2} \left[ \left( \frac{f(w)}{M_B} \right)^2 + r^2 (w^2 - 1) m_B^2 g^2(w) \right] \right\} \quad m_\ell = 0$$





FNAL/MILC joint fit (arXiv:2105.14019) uses Belle+BaBar data and new FNAL/MILC LQCD points

JNP 20 fit (Jaiswal et al. JHEP '20) uses Belle data + old FNAL/MILC LQCD point  $h_{A_1}(1)$



FNAL/MILC data from arXiv:2105.14019

JLQCD data from slides of T. Kaneko at CKM '21

## FNAL/MILC fit to lattice points (arXiv:2105.14019)

TABLE XI. Results of linear, quadratic, and unitarity-constrained cubic  $z$  expansions using only lattice-QCD data.

	Linear	Quadratic	Cubic
$a_0$	0.0330(12)	0.0330(12)	0.0330(12)
$a_1$	-0.157(52)	-0.155(55)	-0.155(55)
$a_2$		-0.12(98)	-0.12(98)
$a_3$			-0.004(1.000)
$b_0$	0.01229(23)	0.01229(24)	0.01229(23)
$b_1$	-0.002(10)	-0.003(12)	-0.003(12)
$b_2$		0.07(53)	0.05(55)
$b_3$			-0.01(1.00)
$c_1$	-0.0057(22)	-0.0058(25)	-0.0057(25)
$c_2$		-0.013(91)	-0.02(10)
$c_3$			0.10(95)
$d_0$	0.0508(15)	0.0509(15)	0.0509(15)
$d_1$	-0.317(59)	-0.327(67)	-0.327(67)
$d_2$		-0.03(96)	-0.02(96)
$d_3$			-0.0006(1.0000)
$\chi^2/\text{dof}$	0.83/5	0.64/3	0.64/3
$\sum_i^N a_i^2$	0.026(16)	0.04(24)	0.04(24)
$\sum_i^N (b_i^2 + c_i^2)$	0.000193(69)	0.005(70)	0.01(18)
$\sum_i^N d_i^2$	0.103(37)	0.110(61)	0.110(52)

quadratic fit

$$\sum_{i=1}^2 a_i^2 = 0.04 \pm \boxed{0.24} \quad ???$$

indeed:  $a_2 = -0.12 \pm 0.98$

with  $1\sigma$  one has  $|a_2| > 1$  !!!

what's going on ?

linearization of the error

$$(a_2 + \delta a_2)^2 - a_2^2 \rightarrow 2|a_2|\delta a_2 \approx 0.24$$

wrong when  $\delta a_2 \gg |a_2|$

- start from a set of input data  $\{f_i\}$  with a given covariance matrix  $C_{ij}$  and a (eventually correlated) susceptibility  $\chi$
- generate a multivariate distribution of  $N_{boot}$  events
- for each event  $k = 1, 2, \dots, N_{boot}$  evaluate the lower  $f_{lo}^k(t)$  and upper  $f_{up}^k(t)$  values of the form factor at a given  $t$

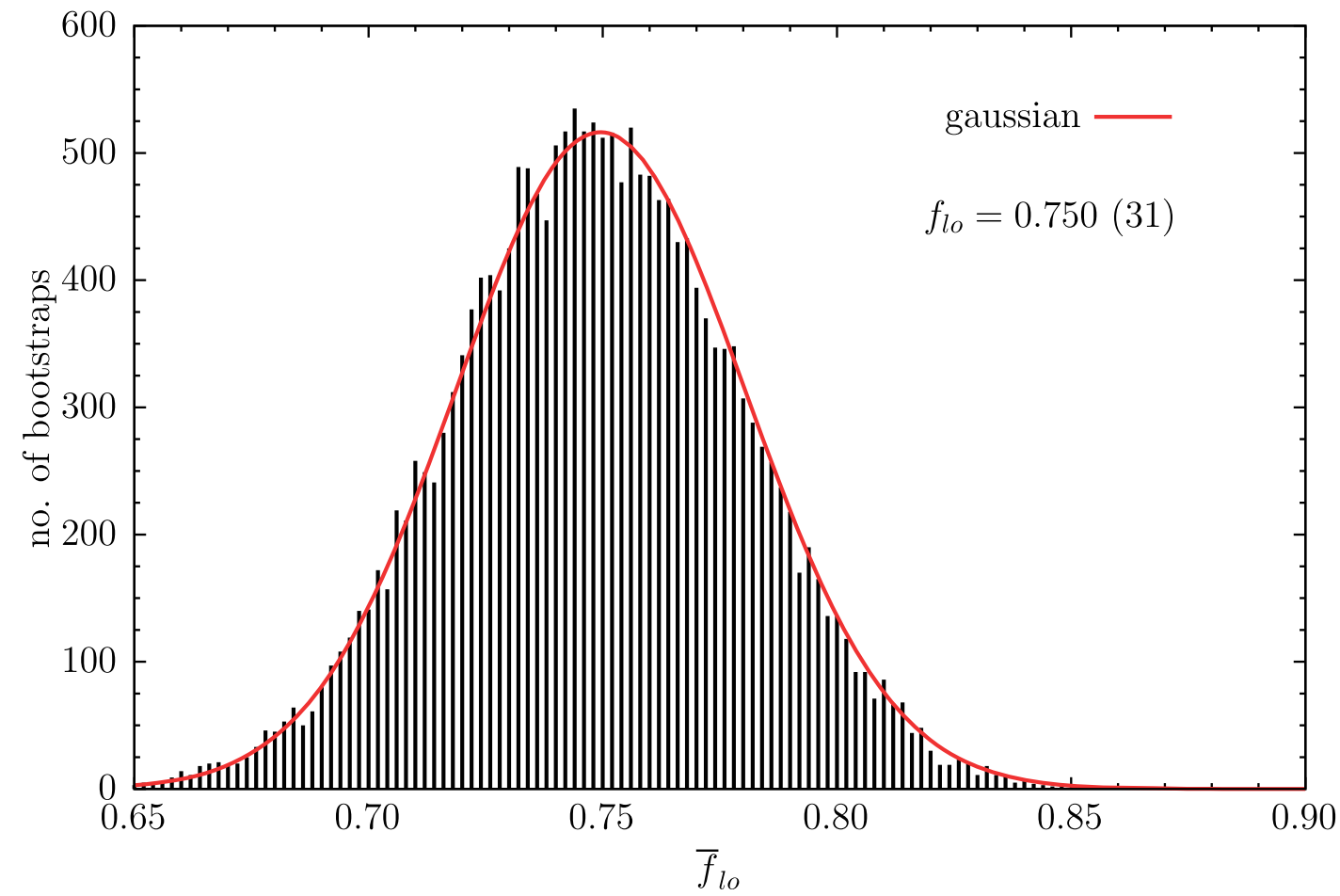
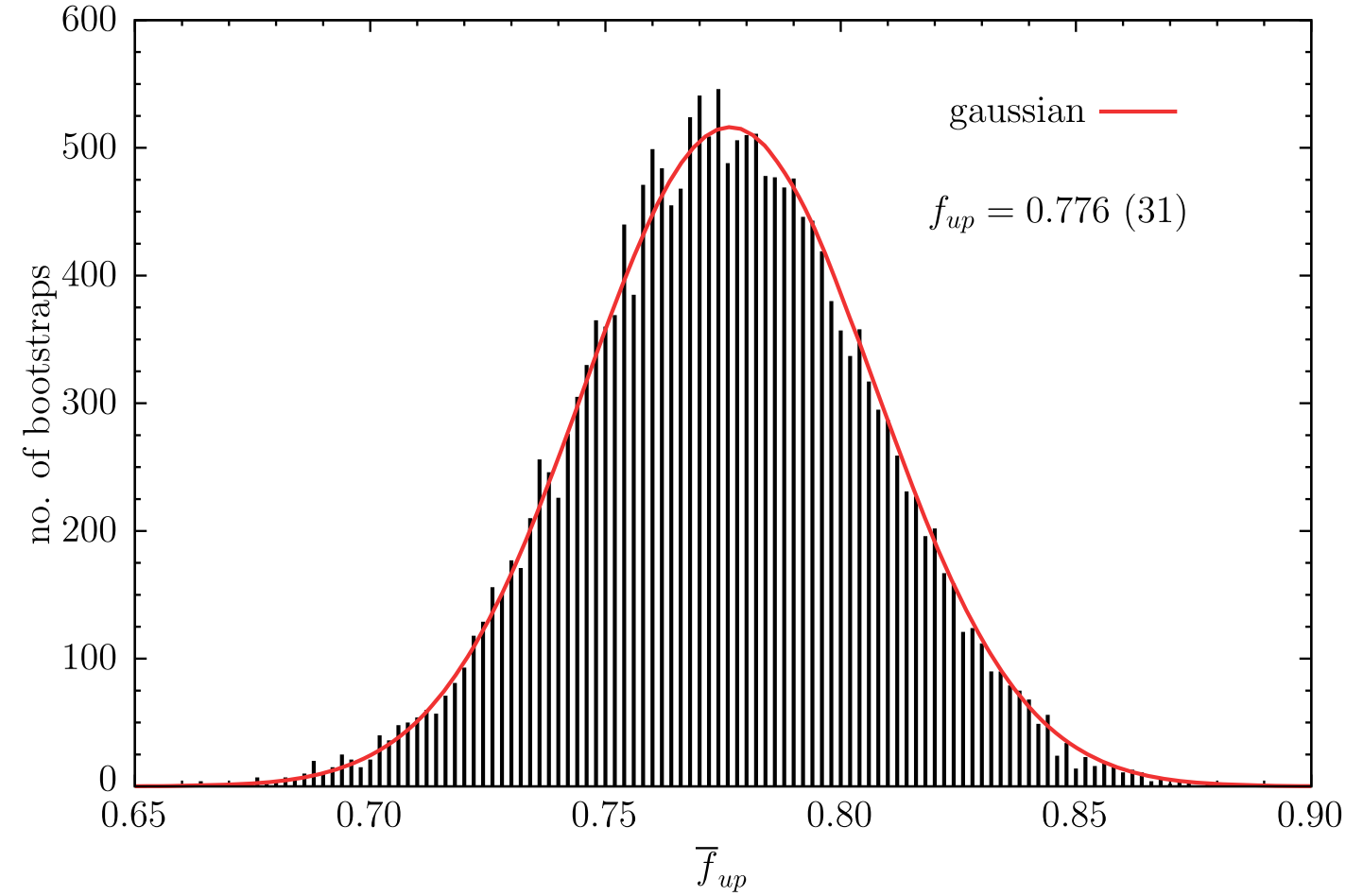


FIG. 1. Histograms of the values of  $\bar{f}_{up}$  (upper panel) and  $\bar{f}_{lo}$  (lower panel) for the bootstrap events that pass the unitarity filter in the case of the vector form factor  $f_+(t = 0 \text{ GeV}^2)$  of the  $D \rightarrow K$  transition.

$$\text{averages: } \bar{f}_{lo(up)}(t) = \frac{1}{N_{boot}} \sum_{k=1}^{N_{boot}} f_{lo(up)}^k(t)$$

$$\text{covariance: } C_{L(U),L(U)} \equiv \frac{1}{N_{boot} - 1} \sum_{k=1}^{N_{boot}} \left[ f_{lo(up)}^k(t) - \bar{f}_{lo(up)}(t) \right] \left[ f_{lo(up)}^k(t) - \bar{f}_{lo(up)}(t) \right]$$

$$\text{correlated bivariate: } P_{LU}(f_L, f_U) = \frac{\sqrt{\det(C^{-1})}}{2\pi} e^{-\frac{1}{2} \left[ C_{LL}^{-1}(f_L - \bar{f}_{lo})^2 + 2C_{LU}^{-1}(f_L - \bar{f}_{lo})(f_U - \bar{f}_{up}) + C_{UU}^{-1}(f_U - \bar{f}_{up})^2 \right]}$$

$$\text{uniform distribution: } P(f) = \frac{1}{f_U - f_L} \theta(f - f_L) \theta(f_U - f)$$

$$\text{final average: } f(t) \equiv \frac{\bar{f}_{lo}(t) + \bar{f}_{up}(t)}{2}$$

$$\text{final variance: } \sigma_f^2(t) \equiv \frac{1}{12} \left[ \bar{f}_{lo}(t) - \bar{f}_{up}(t) \right]^2 + \frac{1}{3} \left[ C_{LL}(t) + C_{UU}(t) + C_{LU}(t) \right]$$

\* kinematical constraint:  $f_+(0) = f_0(0)$

for each event  $k = 1, 2, \dots, N_{boot}$  :  $f(0)|_{lo} \leq f(0) \leq f(0)|_{up}$

$$f_0(0)|_{lo} = \max(f_0(0)|_{lo}, f_+(0)|_{lo})$$

$$f_0(0)|_{up} = \min(f_0(0)|_{up}, f_+(0)|_{up})$$

addition of one (common) point at  $q^2 = 0$  in the dispersion matrices of  $f_0$  and  $f_+$  uniformly distributed in  $[f(0)|_{lo}, f(0)|_{up}]$

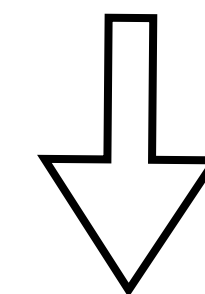
\* when the percentage of events satisfying the unitarity and/or kinematical constraints is too low, the reliability of the DM bands may become questionable and we apply a procedure to recover a larger percentage of events passing the filters

skeptical procedure (from D'Agostini, arXiv: 2001.03466)

1. modify the standard deviations  $\sigma_i$  of the input data by a factor  $r_i$  while keeping fixed the averages  $f_i$  (a common value  $r$  is typically enough)
2. enlarge the number of bootstraps by extracting  $Nr$  values of  $r$  distributed according to an exponential distribution
3. select the events passing the filters and compute their average value  $r^*$
4. select the event with  $r$  closest to  $r^*$

iterative procedure [[arXiv:2109.15248](https://arxiv.org/abs/2109.15248)]

1. recalculate the mean values and the covariance matrix of the subset of input data passing the filters
2. generate a new multivariate distribution
3. check unitarity and kinematical constraints
4. repeat steps 1-3 until convergence of the percentage of events passing the filters is reached



simpler and more effective procedure

# hadronic form factors in semileptonic $B \rightarrow D^{(*)}\ell\nu_\ell$ decays

$$\frac{d\Gamma(B \rightarrow D\ell\nu_\ell)}{dq^2} = \frac{G_F^2}{24\pi^3} \eta_{EW}^2 |V_{cb}|^2 p_D^3 f_+^2(q^2) \quad \text{for massless leptons}$$

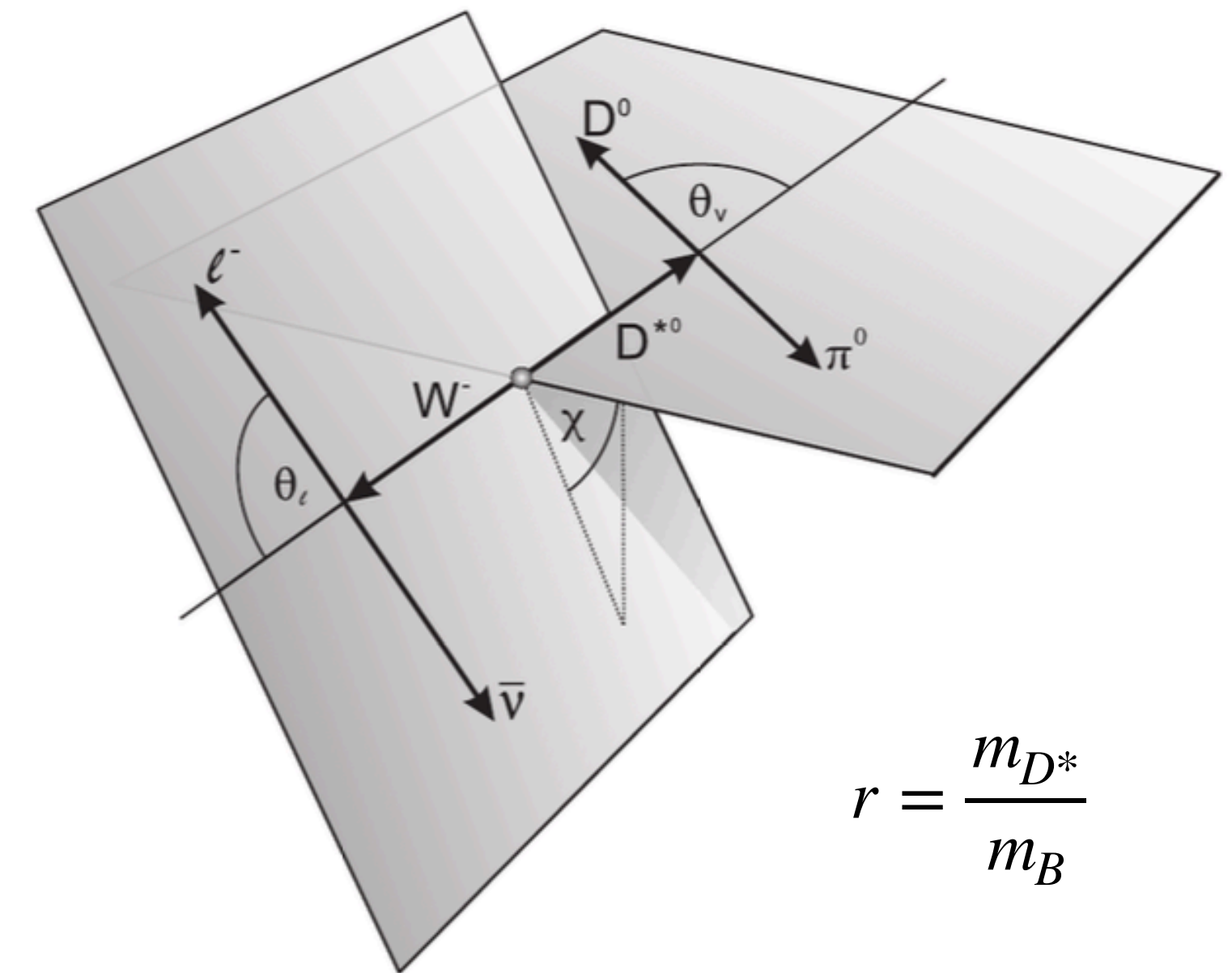
$$\begin{aligned} \frac{d^4\Gamma(B \rightarrow D^*\ell\nu_\ell)}{dw d\cos\theta_\nu d\cos\theta_\ell d\chi} = & \frac{3}{4} \frac{G_F^2}{(4\pi)^4} \eta_{EW}^2 |V_{cb}|^2 m_B^3 r^2 \sqrt{w^2 - 1} (1 + r^2 - 2rw) \\ & \cdot \left\{ H_+^2(w) \sin^2\theta_\nu (1 - \cos\theta_\ell)^2 + H_-^2(w) \sin^2\theta_\nu (1 + \cos\theta_\ell)^2 \right. \\ & + 4 H_0^2(w) \cos^2\theta_\nu \sin^2\theta_\ell - 2 H_-(w) H_+(w) \sin^2\theta_\nu \sin^2\theta_\ell \cos 2\chi \\ & - 2 H_+(w) H_0(w) \cos 2\theta_\nu \sin\theta_\ell (1 - \cos\theta_\ell) \cos\chi \\ & \left. + 2 H_-(w) H_0(w) \cos 2\theta_\nu \sin\theta_\ell (1 + \cos\theta_\ell) \cos\chi \right\} \end{aligned}$$

$$g(w) = \frac{1}{r\sqrt{w^2 - 1}} \frac{H_+(w) - H_-(w)}{2m_B^2}$$

$$f(w) = \frac{H_+(w) + H_-(w)}{2}$$

$$F_1(w) = m_B \sqrt{1 - 2rw + r^2} H_0(w)$$

for massive leptons one should add  $f_0(q^2)$  for  $B \rightarrow D$  and  $P_1(w)$  for  $B \rightarrow D^*$



$$r = \frac{m_{D^*}}{m_B}$$



# experimental data for $B \rightarrow D^* \ell \nu_\ell$ decays

- two sets of data from Belle collaboration arXiv:1702.01521 and arXiv:1809.03290
  - four different differential decay rates  $d\Gamma/dx$  where  $x = \{w, \cos\theta_\nu, \cos\theta_\ell, \chi\}$ : 10 bins for each variable
- total of 80 data points

\*\*\* we do not mix theoretical calculations with experimental data to describe the shape of the FFs \*\*\*

$$|V_{cb}|_i \equiv \sqrt{\frac{(d\Gamma/dx)_i^{exp}}{(d\Gamma/dx)_i^{th}}} \quad i = 1, \dots, N_{bins}$$

\* issue with the covariance matrix  $C_{ij}^{exp}$  of the Belle data:  $\Gamma^{exp} \equiv \sum_{i=1}^{10} \left(\frac{d\Gamma}{dx}\right)_i^{exp}$  should be the same for all the variables x

- we recover the above property by evaluating the correlation matrix of the experimental ratios

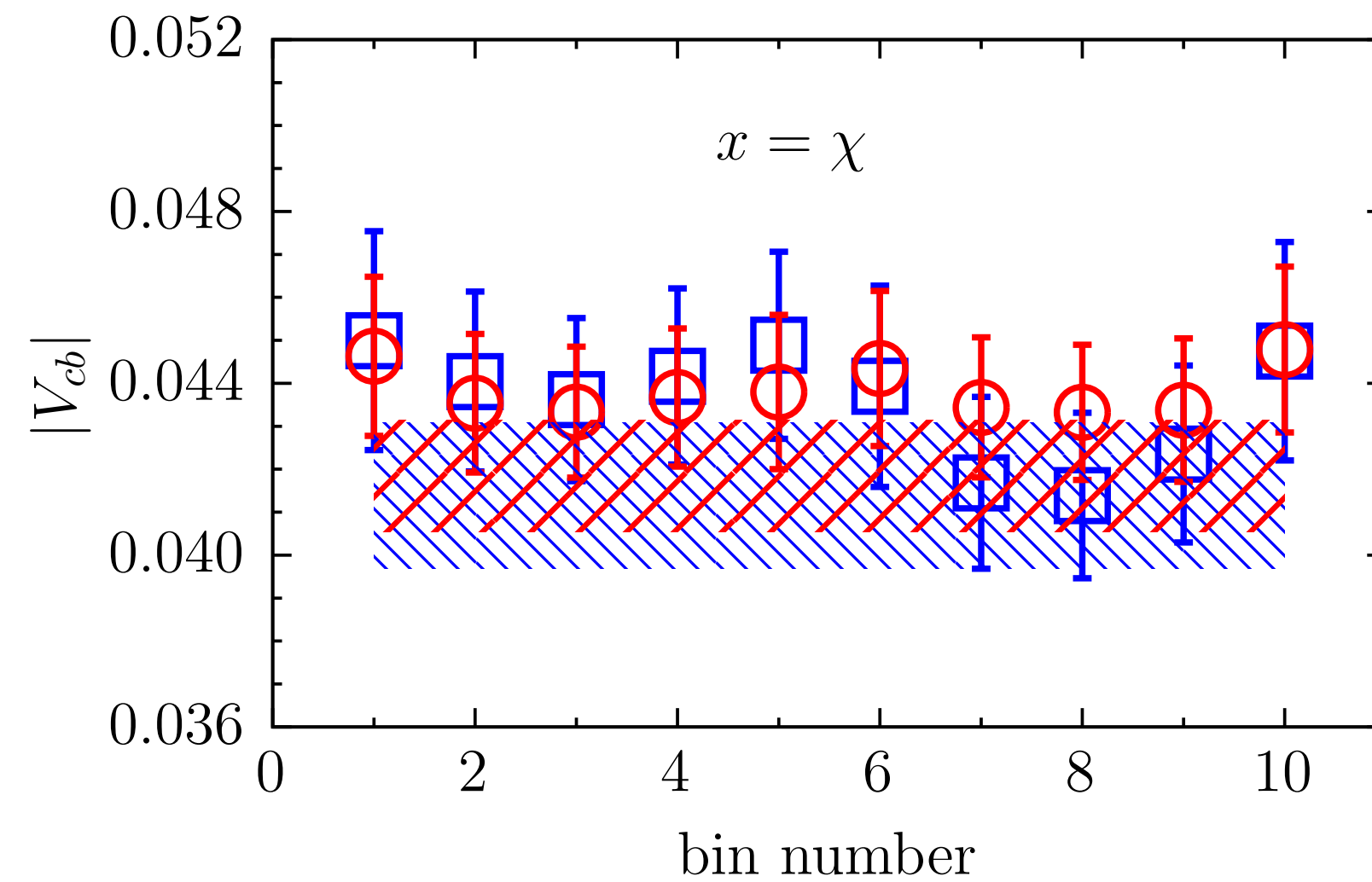
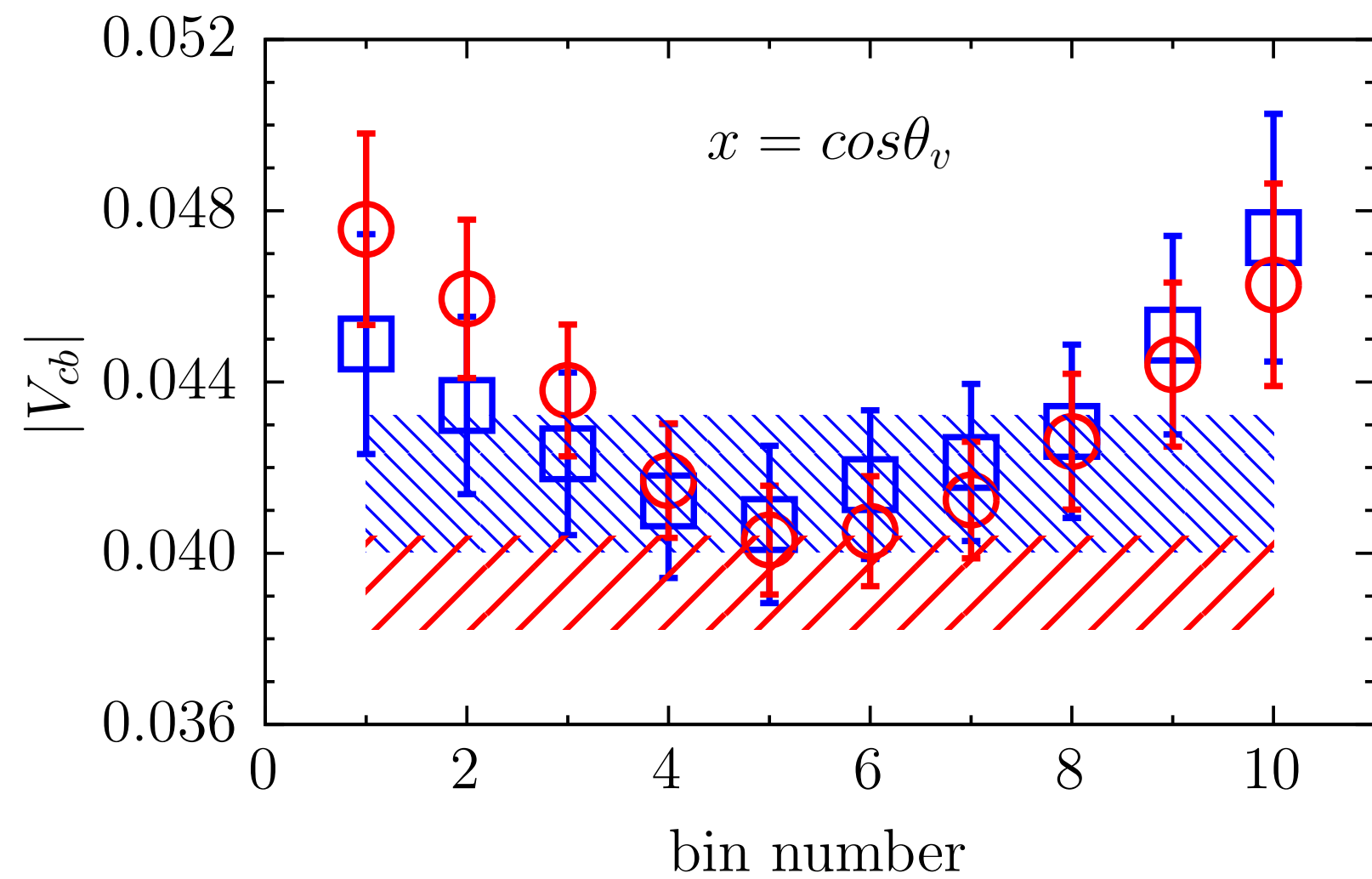
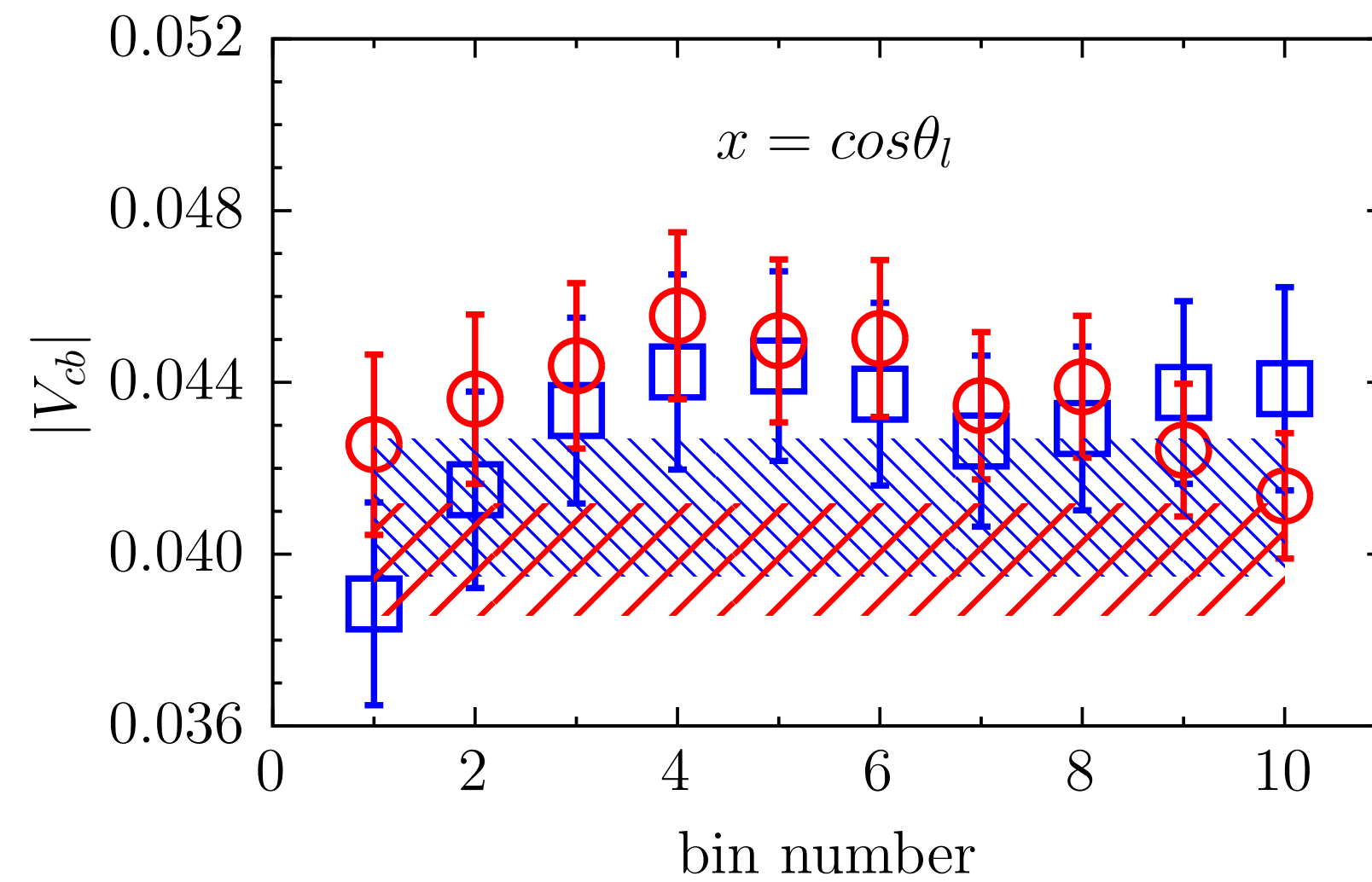
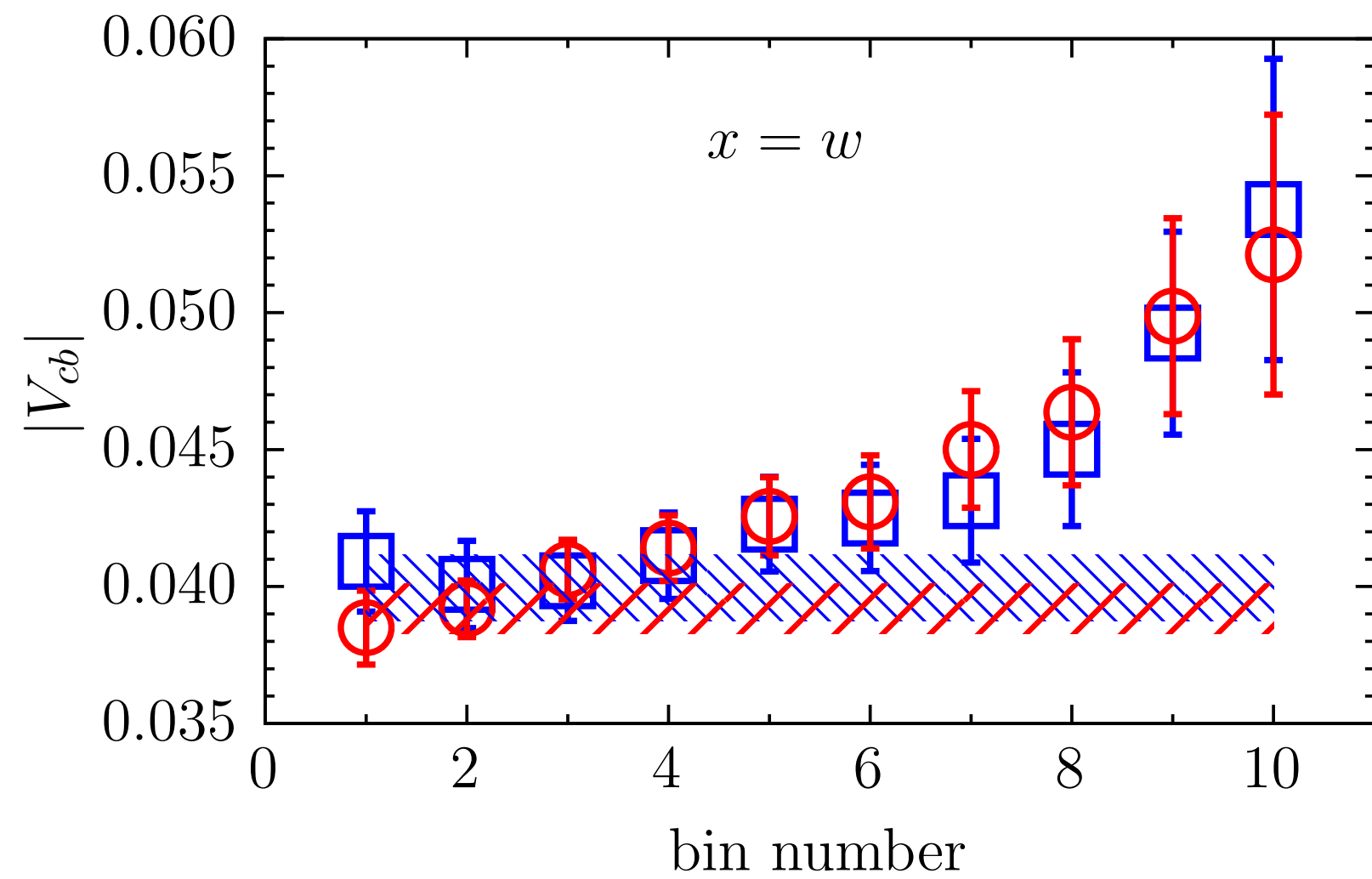
$$\frac{1}{\Gamma^{exp}} \left(\frac{d\Gamma}{dx}\right)_i^{exp}$$

and by considering the new covariance matrix of the experimental data given by (see arXiv:2105.08674)

$$\widetilde{C}_{ij}^{exp} \rightarrow \rho_{ij}^{ratios} \sqrt{C_{ii}^{exp} \cdot C_{jj}^{exp}}$$

# extraction of $|V_{cb}|$ from $B \rightarrow D^* \ell \nu_\ell$ decays

original covariance matrix of Belle data



blue data: Belle 1702.01521

red data: Belle 1809.03290

bands are (correlated) weighted averages

$$|V_{cb}| = \frac{\sum_{i,j=1}^{10} (\mathbf{C}^{-1})_{ij} |V_{cb}|_j}{\sum_{i,j=1}^{10} (\mathbf{C}^{-1})_{ij}},$$

$$\sigma_{|V_{cb}|}^2 = \frac{1}{\sum_{i,j=1}^{10} (\mathbf{C}^{-1})_{ij}},$$

$$|V_{cb}| \cdot 10^3 = 40.5 \pm 1.7$$

# BGL approach

(Boyd, Grinstein and Lebed '95-'97)

\* the hadronic form factors corresponding to definite spin-parity can be represented as an expansion, originating from unitarity, analyticity and crossing symmetry, in terms of the conformal variable  $z$  ( $|z| \leq 1$ )

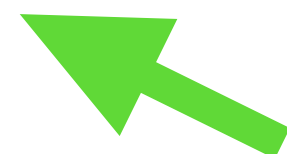
$$f_+(q^2) = \sqrt{\frac{n_I}{\chi_{1-}(q_0^2)}} \frac{1}{\phi_+(z(q^2), q_0^2) P_+(z(q^2))} \sum_{n=0}^{\infty} a_n z^n(q^2) \quad z(t) \equiv \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_0}} \quad \begin{array}{l} t_0 \rightarrow t_- \\ t_{\pm} \equiv (m_B \pm m_D)^2 \end{array}$$

$\phi_+(z(q^2), q_0^2)$  = kinematical function ( $q_0^2$  = auxiliary quantity)

$P_+(z(q^2))$  = Blaschke factor including resonances below the pair-production threshold  $t_+$

$n_I$  = factor counting the number of spectator quarks

$$\chi_{1-}(q_0^2) = \text{transverse vector susceptibility} \equiv \frac{1}{2} \frac{\partial^2}{\partial (q_0^2)^2} [q_0^2 \Pi_{1-}(q_0^2)] = \frac{1}{\pi} \int_0^{\infty} ds \frac{s \text{Im}\Pi_{1-}(s)}{(s - q_0^2)^3}$$



calculable nonperturbatively from appropriate 2-point lattice correlators (see arXiv:2105.07851)

unitarity constraint:  $\sum_{n=0}^{\infty} a_n^2 \leq 1$

$\Gamma_i$  : mean values  $\bar{\Gamma}_i$  and covariance matrix  $C_{ij}$   $i, j = 1, \dots, N$

$$\Gamma = \sum_{i=1}^N \Gamma_i$$

mean value  $\bar{\Gamma} = \sum_{i=1}^N \bar{\Gamma}_i$  and variance  $\sigma_{\Gamma}^2 = \sum_{i,j=1}^N C_{ij}$

$$\sum_{i,j=1}^N C_{ij} = \sum_{i,j=1}^N \langle (\Gamma_i - \bar{\Gamma}_i)(\Gamma_j - \bar{\Gamma}_j) \rangle = \left\langle \left[ \sum_{i=1}^N (\Gamma_i - \bar{\Gamma}_i) \right]^2 \right\rangle = \langle (\Gamma - \bar{\Gamma})^2 \rangle \equiv \sigma_{\Gamma}^2$$

$$\Gamma_i = r_i \cdot \Gamma \quad i = 1, \dots, N$$

$r_i$  : mean values  $\bar{r}_i$  and covariance matrix  $R_{ij}$

$\Gamma$  : mean value  $\bar{\Gamma}$  and variance  $\sigma_\Gamma^2$  uncorrelated with all the  $r_i$ 's

$\Gamma_i$  : mean values  $\bar{\Gamma}_i = \bar{r}_i \cdot \bar{\Gamma}$  and covariance matrix  $C_{ij} = R_{ij} \cdot [\bar{\Gamma}^2 + \sigma_\Gamma^2] + \bar{r}_i \bar{r}_j \sigma_\Gamma^2$

$$r_i = \bar{r}_i + \sqrt{R_{ii}} \sum_{k=1}^N U_{ik}^T \sqrt{\lambda_k} \cdot \xi_k$$

$$R_{ij} = \sqrt{R_{ii} R_{jj}} \sum_{k=1}^N U_{ik}^T \lambda_k U_{kj}$$

$\xi_k$  : uncorrelated variables

$$\langle \xi_k \rangle = 0 \text{ and } \langle \xi_k \xi_{k'} \rangle = \delta_{kk'}$$

$$\Gamma = \bar{\Gamma} + \sigma_\Gamma \cdot \xi_\Gamma$$

$\xi_\Gamma$  : uncorrelated variable with all the  $\xi_k$  variables

$$\langle \xi_\Gamma \rangle = 0 \text{ and } \langle \xi_\Gamma^2 \rangle = 1, \langle \xi_\Gamma \xi_k \rangle = 0$$

$$C_{ij} = \langle (r_i \cdot \Gamma - \bar{r}_i \cdot \bar{\Gamma})(r_j \cdot \Gamma - \bar{r}_j \cdot \bar{\Gamma}) \rangle = \sqrt{R_{ii} R_{jj}} \sum_{k=1}^N U_{ik}^T \lambda_k U_{jk}^T [\bar{\Gamma}^2 + \sigma_\Gamma^2] + \bar{r}_i \bar{r}_j \sigma_\Gamma^2 = R_{ij} [\bar{\Gamma}^2 + \sigma_\Gamma^2] + \bar{r}_i \bar{r}_j \sigma_\Gamma^2$$

\* LHCb ratios from arXiv:2003.08453

$$\Delta r_j = \frac{\Delta\Gamma_j(B_s \rightarrow D_s^* \mu \nu_\mu)}{\Gamma(B_s \rightarrow D_s^* \mu \nu_\mu)} \quad j = 1, \dots, 7$$

- constrain  $\sum_{j=1}^7 \Delta r_j = 1 \quad \Rightarrow$

- 1) one null eigenvalue of the covariance matrix  $R_{ij}^{\text{LHCb}}$  (six independent ratios)
- 2)  $\sum_{i,j} R_{ij}^{\text{LHCb}} = 0$  (null variance for the sum)

- experimental covariance matrix  $R_{ij}^{\text{LHCb}}$  :

eigenvalues  $\lambda_j = \{0.072, 0.21, 0.33, 0.53, 0.73, 1.03, 2.33\} \cdot 10^{-4}$  and  $\sum_{i,j} R_{ij}^{\text{LHCb}} = 1.45 \cdot 10^{-3}$

$\sim 3.8\%$  to be added (in quadrature) to the error of the total decay rate

- modified covariance matrix  $\widetilde{R}_{ij}^{\text{LHCb}}$  :  $\widetilde{\Delta r}_j = \Delta r_j / \sum_{k=1}^7 \Delta r_k$

eigenvalues  $\widetilde{\lambda}_j = \{0.0, 0.073, 0.22, 0.34, 0.53, 0.74, 1.14\} \cdot 10^{-4}$  and  $\sum_{i,j} \widetilde{R}_{ij}^{\text{LHCb}} = 0$