

# Higgs inflation, update

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5 Dec 2019



IBS-PNU Joint Workshop on Physics beyond the Standard Model

December 4-7, 2019 at the Paradise Hotel, Busan

# Reasons for BSM

- DM
- Matter genesis
- Inflation
- and whatever theoretical problems you like to solve

Observation: Our old good friend, **Higgs**, is even greater than we had expected.

**thoughts: Maybe, we don't need BSM.**

# Higgs inflation

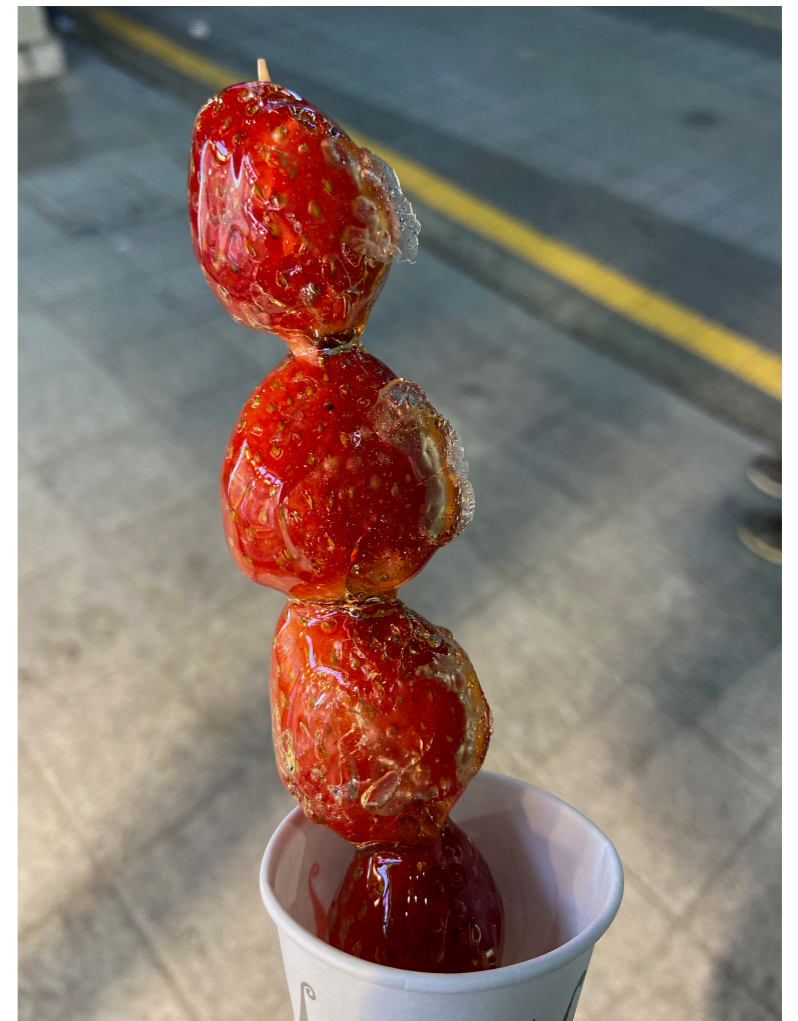
- Electroweak symmetry breaking (1965)
- Inflation (2010)





# Higgs full power

- Electroweak symmetry breaking (1965)
- Inflation (2010)
- Reheating & Preheating (2015, 2018, 2019)
- Dark matter by PBH (2018,2019)
- $\Lambda \geq M_p$  (2015, 2018)
- dS conjecture (2019)
- Baryogenesis (2020(?))



# References

- **Clockwork for Higgs inflation** SCP, C.S Shin EPJC 79 (2019) no.6, 529
- **On the violent preheating in the mixed Higgs- $R^2$  inflationary model,** M. He, R. Jinno, K. Kamada, SCP, A. Starobinsky, J. Yokoyama PLB791 (2019) 36-42
- **Higgs inflation in metric and Palatini formalisms,** R. Jinno, M. Kubota, K-y. Oda, SCP [arXiv:1904.05699](https://arxiv.org/abs/1904.05699)
- **Higgs Inflation and the Refined dS Conjecture** D. Y. Cheong, S. M. Lee, SCP PLB 789 (2019) 336-340
- **PBH in Higgs inflation,** D. Y. Cheong, S. M. Lee, SCP to appear
- **Leptogenesis in Higgs inflation,** Kuwahara, Omura, SCP to appear

# Outline

- Review: Higgs, Higgs+ $R^2$  inflation and  $f(R)$
- Discussions
  - Fine-tuning problem in Higgs inflation
  - Cut-off scale
  - PBH production
  - (more) Preheating, Palatini, Swampland conjecture...

# NM-inflation

slow-roll inflation with nm-coupling in Jordan frame

non-minimal coupling

$$S = \int d^4x \sqrt{-g} \left[ -\frac{M^2 + K(\phi)}{2} R + \frac{1}{2} (\partial\phi)^2 - V(\phi) \right]$$

**Weyl transformation:**  $g_{\mu\nu} = e^{-2\omega} g_{\mu\nu}^E, \quad e^{2\omega} := \frac{M^2 + K(\phi)}{M_{\text{Pl}}^2}.$

**In Einstein frame:**

$$U = \frac{M_{\text{Pl}}^4}{(M^2 + K(\phi))^2} V(\phi)$$

**Condition for large field inflation:**  $\partial_\phi K > 0, \partial_\phi V > 0 \quad \lim_{\phi \rightarrow \infty} \frac{V}{K^2} = \text{Const} > 0.$

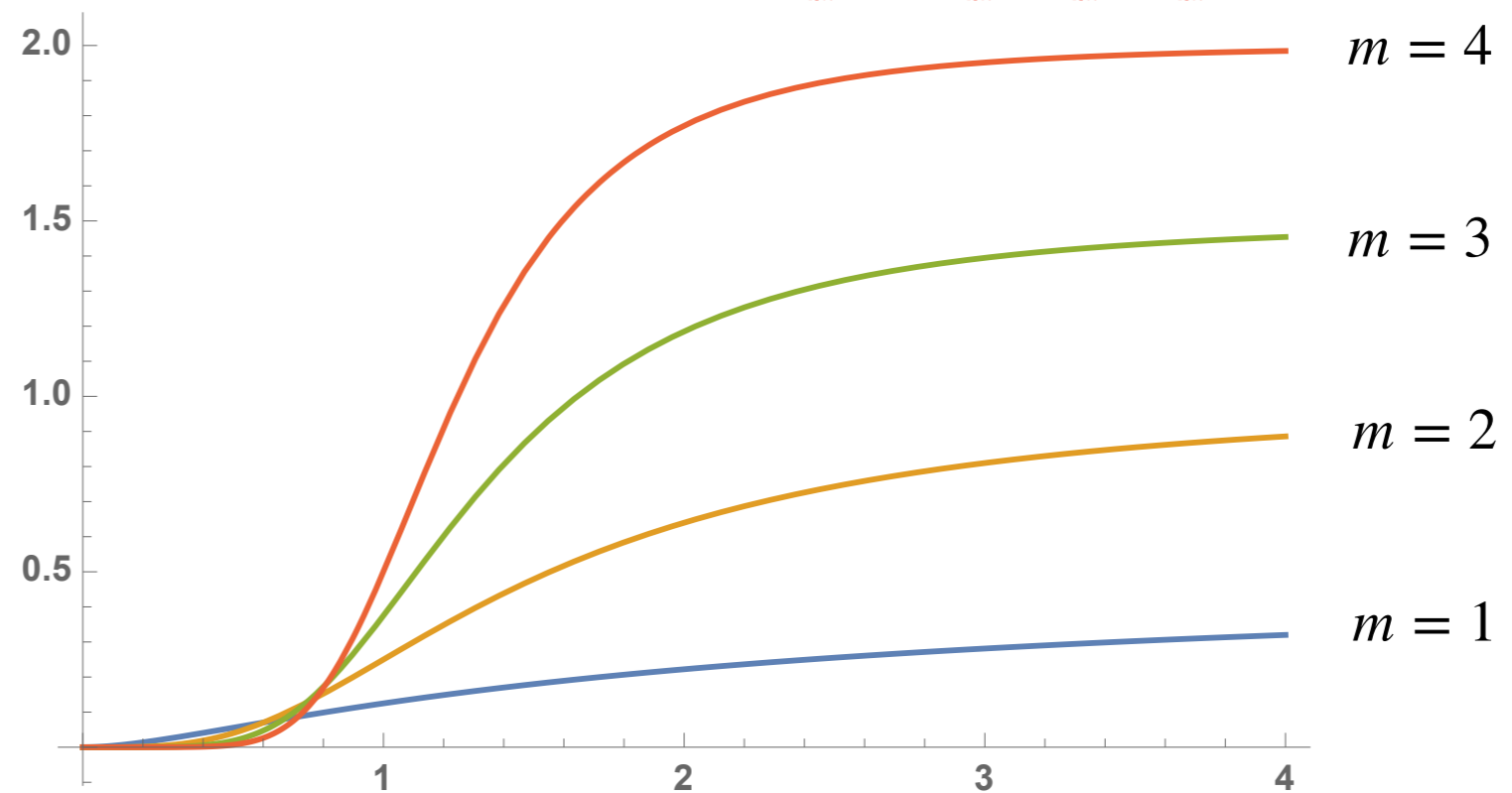
# NM-inflation

$$V(h) = \frac{\lambda}{2m} h^{2m}$$

$$K(h) = \xi h^m$$

$$U = \frac{M_{\text{Pl}}^4 \lambda}{2ma^2} \left( 1 + \frac{M^2}{a} \phi^{-m} \right)^{-2}$$

$$\lim_{\phi \rightarrow \infty} \frac{V}{K^2} = \text{Const} > 0.$$

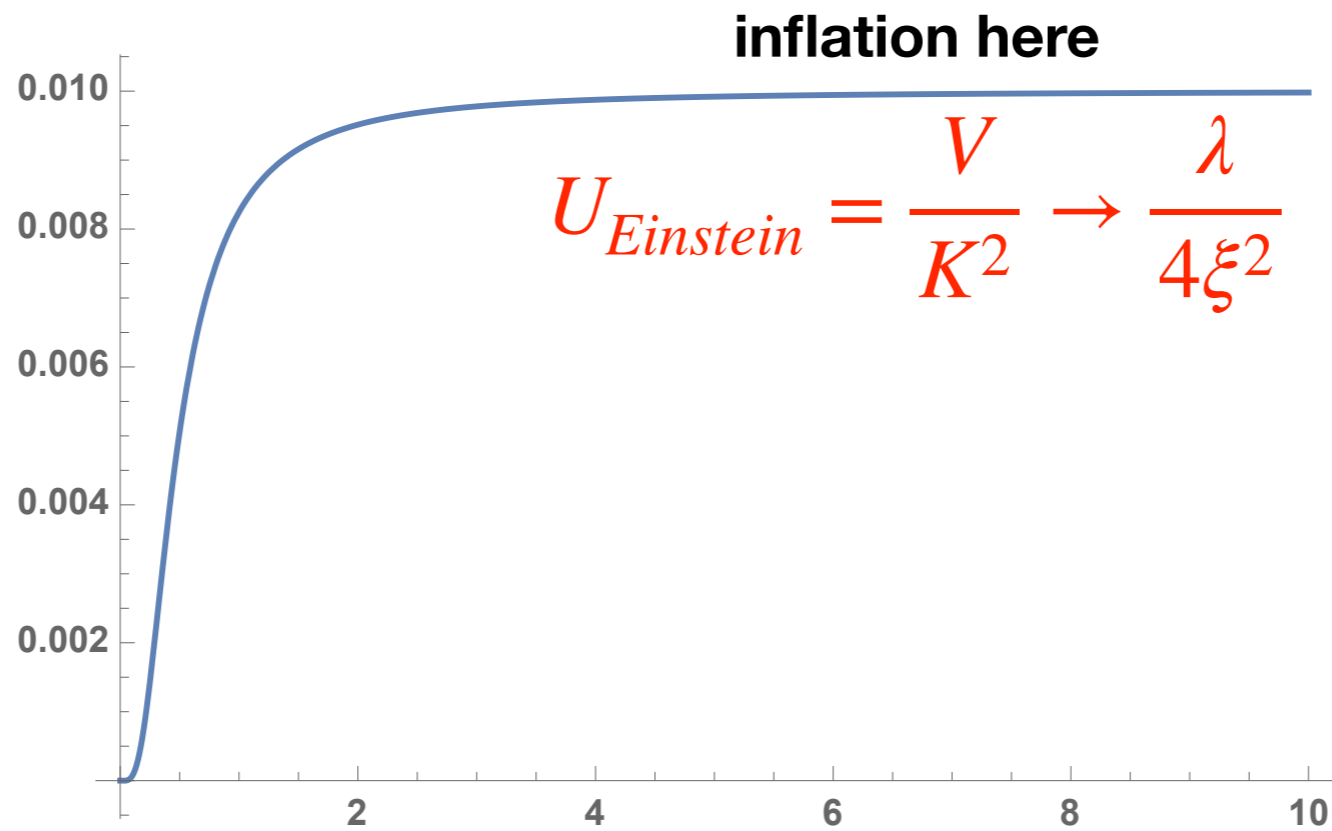


# 'Higgs' inflation

a particular case of NM inflation with  $m=2$

$$V(h) = \frac{\lambda}{4}(h^2 - v^2)^2$$

$$K(h) = \xi h^2$$

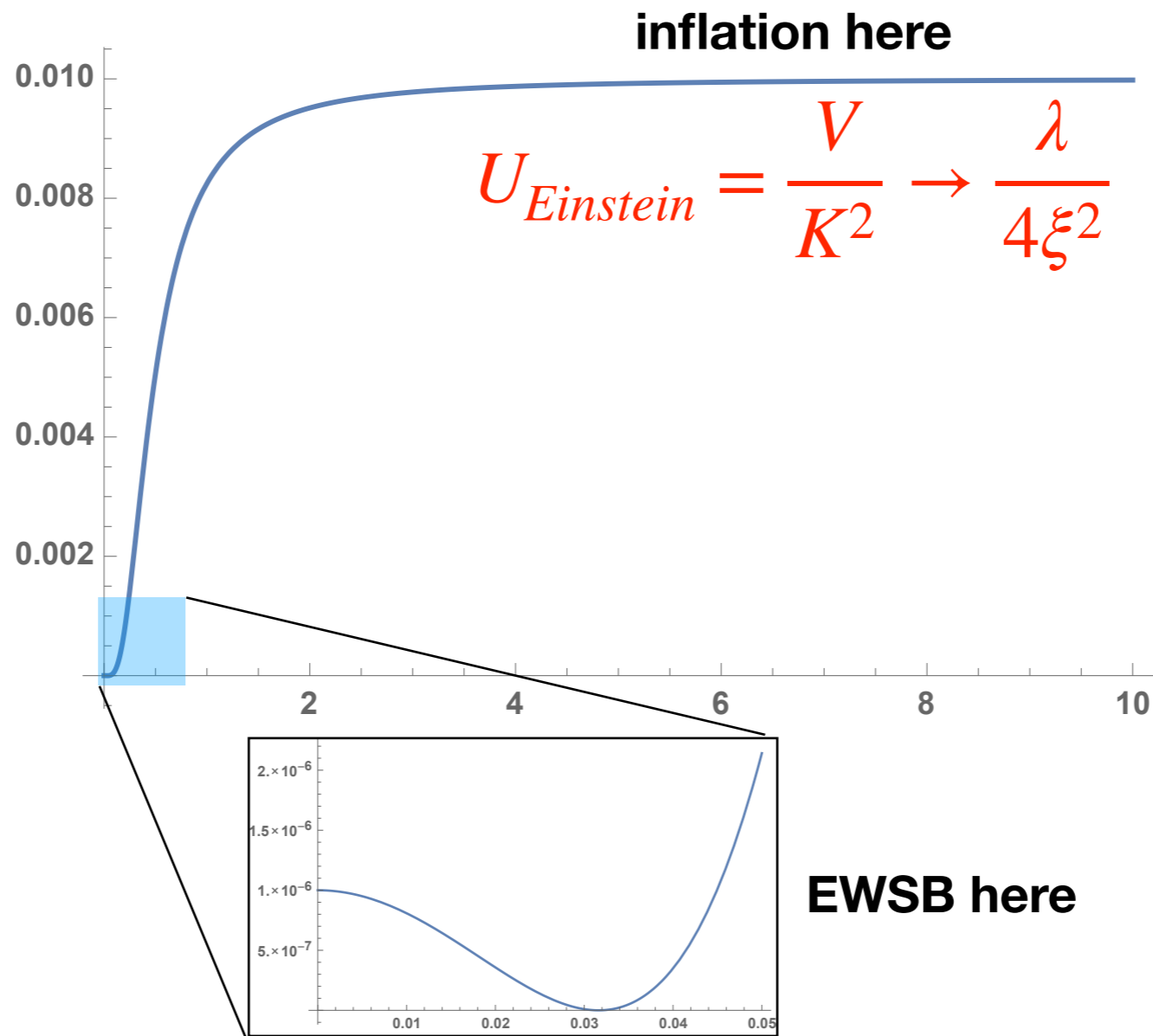


# 'Higgs' inflation

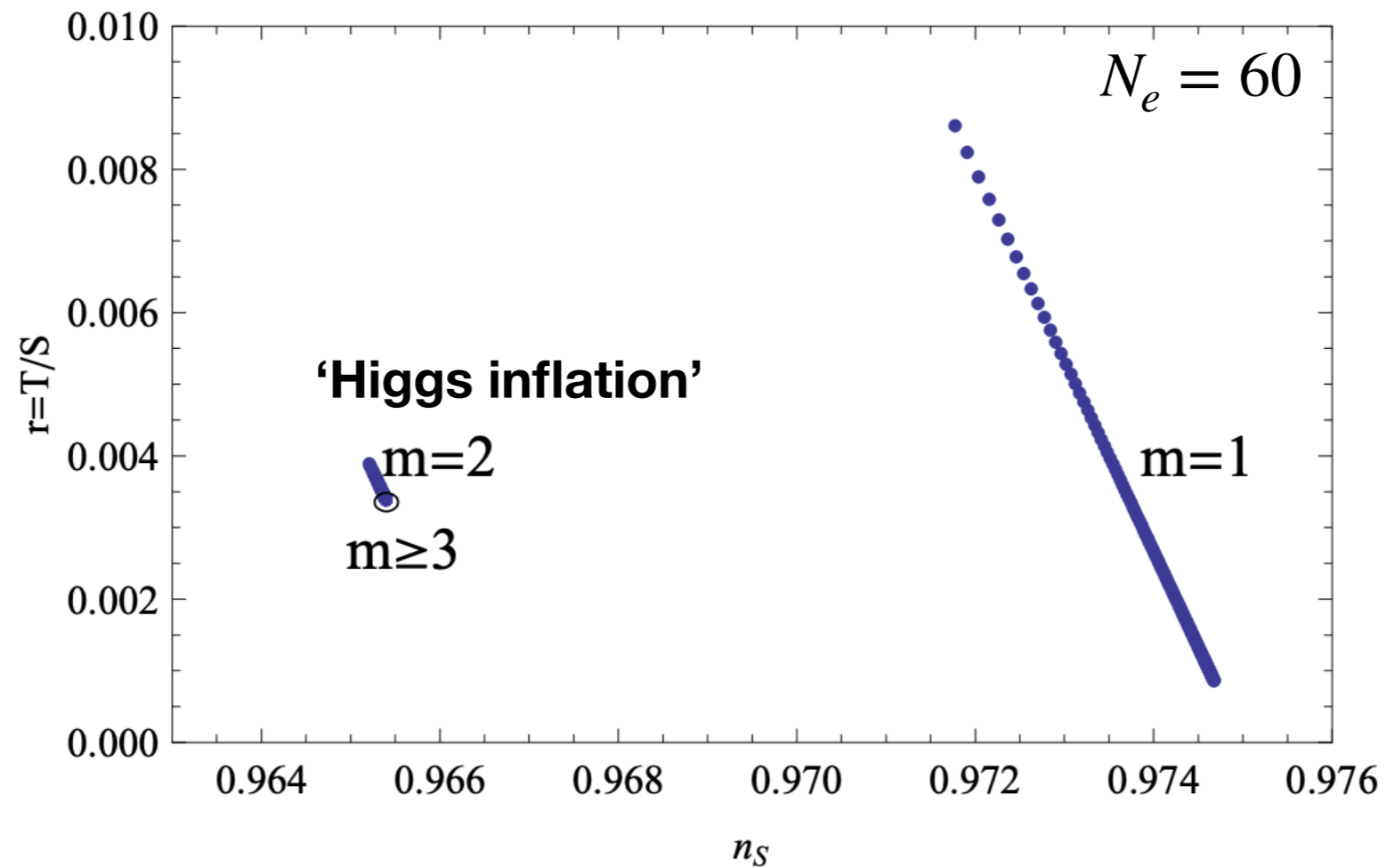
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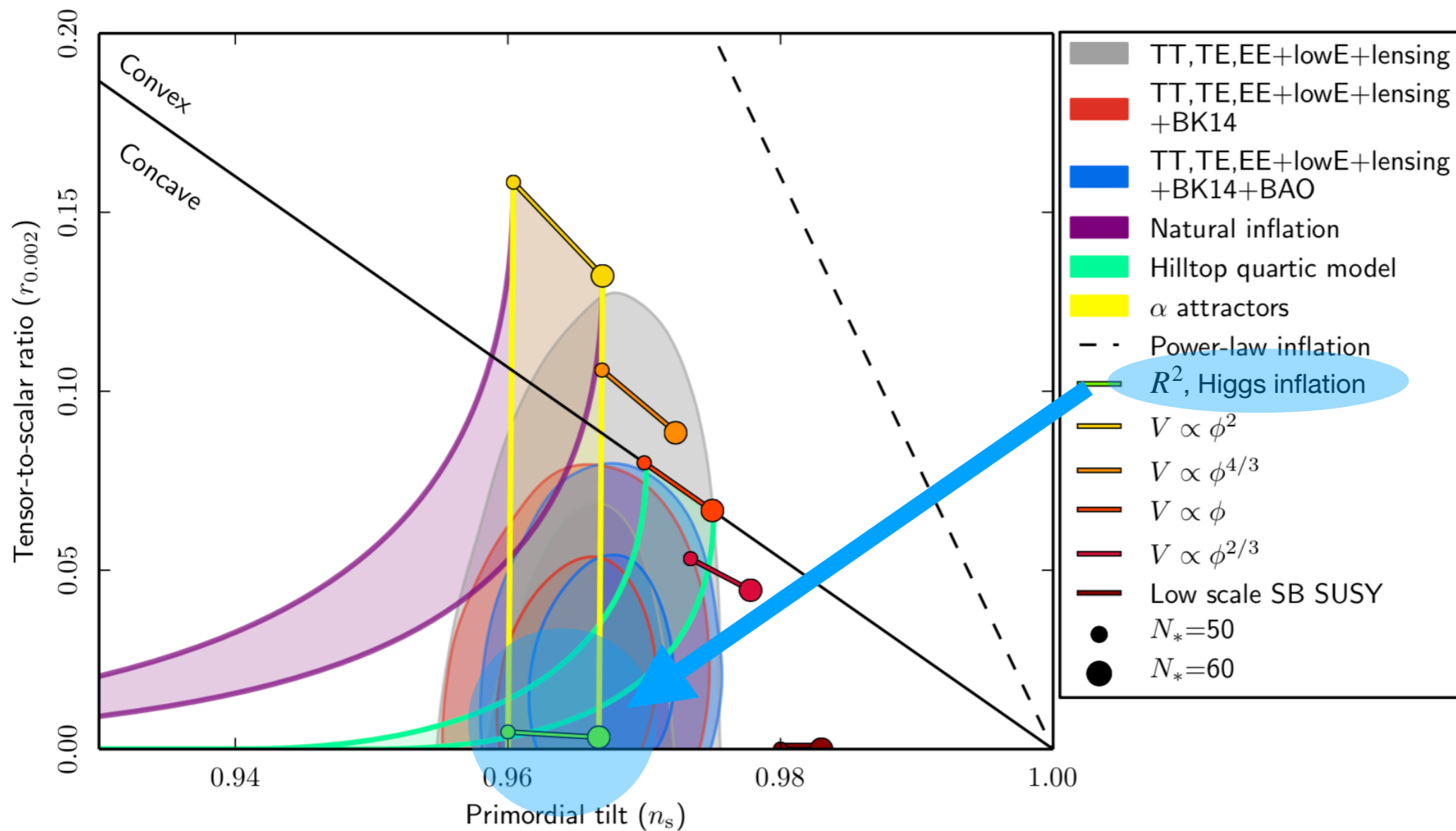


# Predictions of NM-inflation





# The best fit model for Planck DATA



## Equivalence of Starobinsky & Higgs

$R^2 \Leftrightarrow$  Higgs

unimportant during slow-roll

$$S_{Higgs} = \int d^4x \sqrt{-g} \left[ \frac{1}{2} (M_P^2 + \xi \phi^2) R + \frac{1}{2} (\partial\phi)^2 - \frac{\lambda}{4} \phi^4 \right]$$

$$\delta\phi : \xi\phi R - \lambda\phi^3 = 0 \quad \Rightarrow \quad \phi^2 = \frac{\xi R}{\lambda}$$

$$S_{Starobinski} = \int d^4x \sqrt{-g} \frac{1}{2} \left( M_P^2 R + \frac{\xi^2}{4\lambda} R^2 \right)$$

Starobinsky (1980)

More generically

$f(R) \Leftrightarrow$  NM inflation

Note:

The theory with  $S = \int d^4x \sqrt{-g} f(R)$  is equivalent to a scalar theory  $\int d^4x \sqrt{-g} f(\phi) + f'(\phi)(R - \phi)$

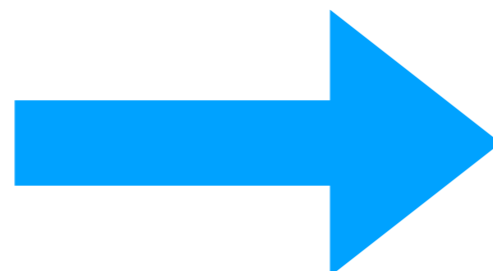
$\phi$  : auxiliary

$$\delta\phi : f''(\phi)(R - \phi) = 0$$

$$R = \phi$$

equivalent scalar theory

$f(R)$



$$V(\phi) = f'(\phi)\phi - f(\phi)$$

$$K(\phi) = f'(\phi)$$

Fine tuning

# A fine tuning problem

To fit  $\frac{\delta T}{T} \sim \frac{U^{3/2}}{HU'} \sim 10^{-5}$  or  $\frac{U}{\epsilon} \sim (0.027 M_P)^4$  (COBE)

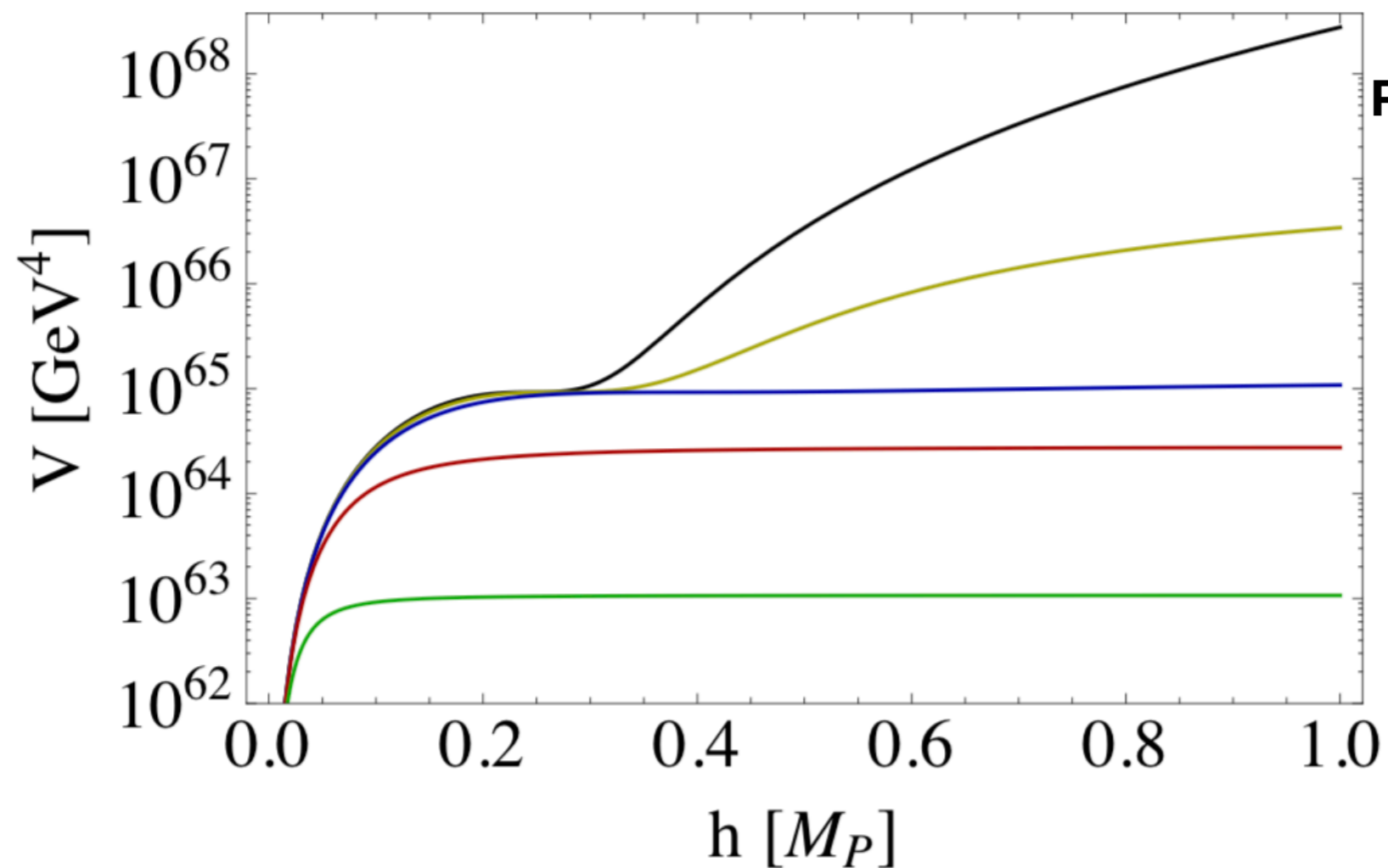
we need

$$\frac{\lambda}{4\xi^2} \sim 10^{-10}$$

**Q. Why so small?**

# Critical Higgs inflation

Y. Hamada, H. Kawai, K.-y. Oda, SCP PRL (2014), PRD(2015)



**Potential becomes flat  
due to quantum  
correction**

$$\lambda(\mu_{crit}), \lambda'(\mu_{crit}) \sim 0$$

$$m_t^{\text{pole}} \simeq 170 - 172 \text{ GeV}$$

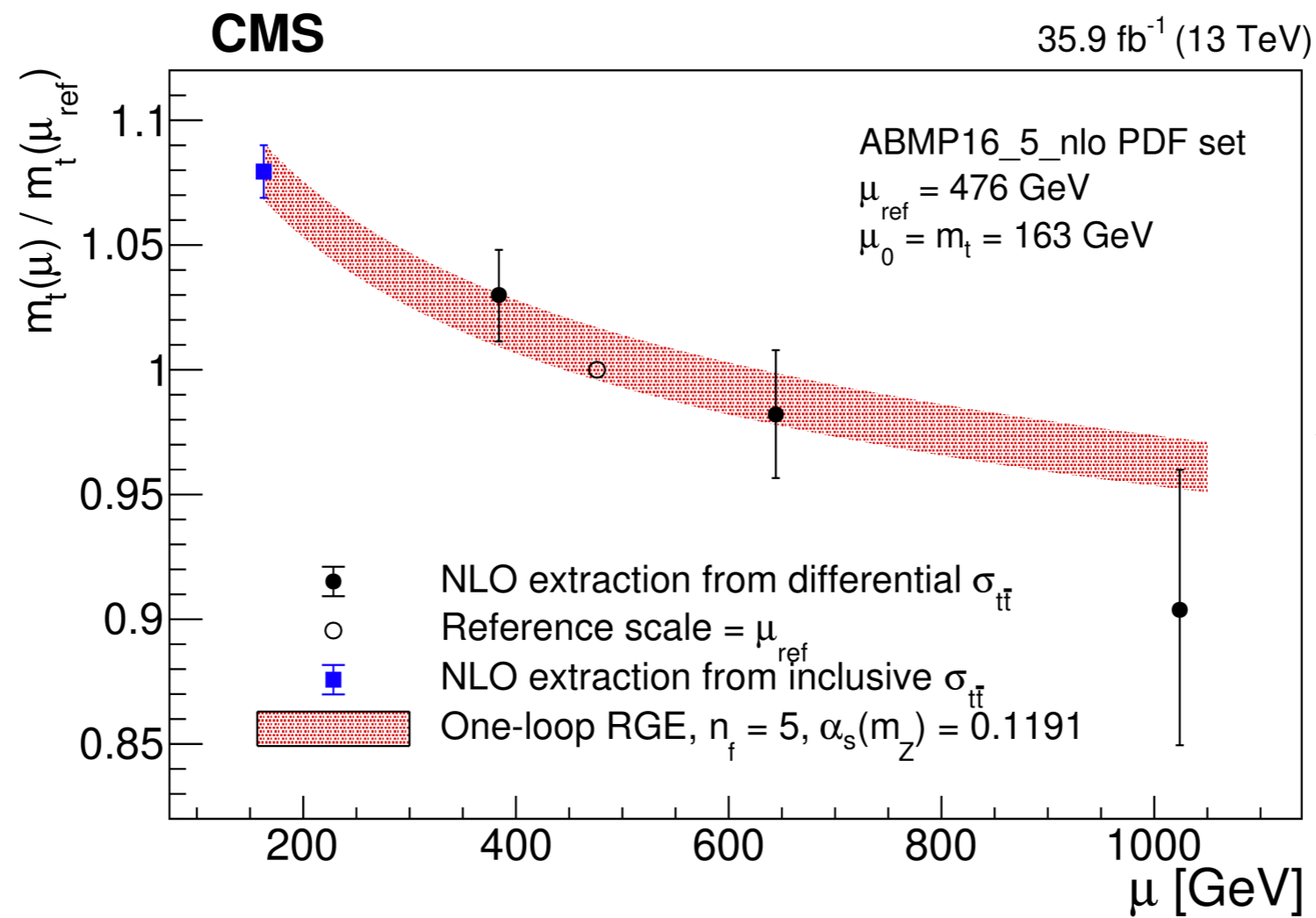
# Near criticality

$$\lambda(\mu_{crit}) \ll \lambda(\mu_{EW}) \sim \frac{1}{8}$$

$$\left. \frac{\lambda}{\xi^2} \right|_{\mu_{crit}} \ll \left. \frac{\lambda}{\xi^2} \right|_{\mu_{EW}}$$

**e.g.**  $\xi \sim \mathcal{O}(10 - 100)$ ,  $\lambda(\mu_{crit}) \sim \mathcal{O}(10^{-8} - 10^{-6})$

# Running top mass (measured for the first time)



~ consistent with the  
critical Higgs



# Clockwork Higgs inflation

SCP, C.S Shin EPJC (2019)

$$S_J = \int d^4x \sqrt{-g} \left( \frac{M_P^2}{2} (1 + K(\phi)) R - \frac{1}{2} \sum_i (\partial\phi_i)^2 - V_{CW} - V_{inf} \right)$$

**CW structure:**

$$K = \sum_{i=1}^{N+1} \xi_i \phi_i^2 \quad V_{CW} = \sum_{i=1}^N \frac{m^2}{2} (\phi_{i+1} - q\phi_i)^2 \quad V_{inf} = \frac{\lambda}{4} \phi_1^4$$

$$\xi_i \sim 1$$

no fine-tuning here

$$q \sim 1$$

this breaks the CW shift symmetry

$$\delta\phi_i : \phi_{i+1} \sim q\phi_i \Rightarrow \phi_{N+1} \sim q\phi_N \sim q^2\phi_{N-1} \dots \sim q^N\phi_1$$

$$\phi_1 \sim \frac{1}{q^N} \phi_{(0)}$$

zero mode  
(inflaton)

$$\frac{\lambda_{eff}}{\xi^2} \sim 10^{-10}$$



$$\lambda_{eff} \sim \frac{\lambda}{q^{4N}} \sim \frac{\lambda}{10^{10}}$$



Cutoff scale

# Pure Higgs inflation

- Naïve cutoff scale from the expansion of  $U(h)$  near  $h = 0 + \delta h$  (or EW vacuum)

- $V(h) = \lambda h^4 - \frac{\lambda}{\Lambda^2} h^6 + \dots$ ,  $\Lambda \sim M_P / \xi$  [Burguess et. al. (2010)]

- Note: This is NOT a problem for inflationary dynamics when  $h = h_{inf} + \delta h$ .

# Cutoff scale of Higgs-R<sup>2</sup>

Unitary gauge  $f(R) = R + R^2$

$$S_J = \int d^4x \sqrt{-g} \left[ \frac{M_P^2 + \xi h^2}{2} R + \frac{M_P^2}{12m_s^2} R^2 - \frac{1}{2} g^{\mu\nu} \partial_\mu h \partial_\nu h - \frac{g^2}{4} g^{\mu\nu} W_\mu^+ W_\nu^- (h + v)^2 + \dots - \frac{\lambda}{4} h^4 + \mathcal{L} \right].$$

**'Trick' for**  
 $f(R)$

$$S_J = \int d^4x \sqrt{-g} \left[ \frac{M_P^2 + \xi h^2}{2} \chi + \frac{M_P^2}{12m_s^2} \chi^2 + \left( \frac{M_P^2 + \xi h^2}{2} + \frac{M_P^2}{6\mu^2} \chi \right) (R - \chi) - \frac{1}{2} g^{\mu\nu} \partial_\mu h \partial_\nu h - \frac{g^2}{4} g^{\mu\nu} W_\mu^+ W_\nu^- (h + v)^2 + \dots - \frac{\lambda}{4} h^4 + \mathcal{L} \right]$$

$$= \int d^4x \sqrt{-g} \left[ \underbrace{\left( \frac{M_P^2 + \xi h^2}{2} + \frac{M_P^2}{6m_s^2} \chi \right)}_{(*)} R - \frac{M_P^2}{12\mu^2} \chi^2 - \frac{1}{2} g^{\mu\nu} \partial_\mu h \partial_\nu h - \frac{g^2}{4} g^{\mu\nu} W_\mu^+ W_\nu^- (h + v)^2 + \dots - \frac{\lambda}{4} h^4 + \mathcal{L} \right],$$

**New scalar potential**

# Higgs-Scaloron

$$(*) = \frac{M_P^2}{2} \Omega^2(S),$$

New NM coupling

$$\Omega^2 \equiv 1 + \xi \frac{h^2}{M_P^2} + \frac{\chi}{3m_s^2} \equiv e^{\sqrt{\frac{2}{3}} \frac{s}{M_P}},$$

**s: Scaloron**

**Einstein Action**  $g_{\mu\nu}^E = \Omega^2 g_{\mu\nu}, \quad g_E = \Omega^8 g.$



$$S = \int d^4x \sqrt{-g_E} \left[ \frac{M_P^2}{2} R_E - \frac{1}{2} (\partial_\mu s)^2 - \frac{1}{2} \Omega^{-2} (\partial_\mu h)^2 - V(h, s) + \dots \right]$$

**(Higgs- $R^2$ ) is equivalent to (Higgs-Scaloron) theory!**

# Higgs-Scalaron potential

$$V(h, s) = \frac{\lambda}{4} \Omega^{-4} h^4 + \frac{3}{4} m_s^2 M_P^2 \left( 1 - \left( 1 + \frac{\xi h^2}{M_P^2} \right) \Omega^{-2} \right)^2$$

$$\begin{aligned} V(h, s) &= \frac{\lambda}{4} \sum_{k=0}^{\infty} \frac{(-2)^k}{k!} \left( \frac{\sqrt{2/3}}{M_P} \right)^k s^k h^4 + \frac{3}{4} m_s^2 M_P^2 \left( 1 - \left( 1 + \frac{\xi h^2}{M_P^2} \right) \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \left( \frac{\sqrt{2/3}}{M_P} \right)^k s^k \right)^2 \\ &= \frac{\lambda}{4} h^4 + \frac{3\xi^2 m_s^2}{4M_P^2} h^4 + \frac{1}{2} m_s^2 s^2 - \frac{m_s^3}{\sqrt{6}M_P} s^3 + \frac{7m_s^2}{36M_P^2} s^4 - \frac{\sqrt{\frac{3}{2}} \xi m_s^2}{M_P^2} s h^2 + \frac{3\xi m_s^2}{2M_P^2} h^2 s^2 \\ &\quad - \frac{\lambda}{\sqrt{6}M_P} s h^4 - \frac{m_s^2}{6\sqrt{6}M_P^3} s^5 + \left( \frac{\lambda}{3M_P^2} + \frac{\xi^2 m_s^2}{M_P^4} \right) h^4 s^2 + \frac{31m_s^2}{1620M_P^4} s^6 + \dots, \end{aligned}$$

**NOTE: expansion near  $(h,s)=(0,0)$  (cf)  $(h_{\text{inf}}, S_{\text{inf}})$**

# Cut-off scale

$$\Lambda_{h^4 s^{k+j}} \sim \left[ \frac{4 M_P^2}{3 \xi^2 m_s^2} \frac{1}{\sum C_k \sum C_j} \right]^{\frac{1}{k+j}} M_P \gtrsim M_P, \quad (k+j = 1, 2, \dots)$$

$$\Lambda_{h^4 s^k} \sim \left[ \frac{4}{\lambda \sum_k (2)^k C_k} \right]^{\frac{1}{k}} M_P \gtrsim M_P, \quad (k = 0, 1, 2, \dots)$$

$$\Lambda_{s^{k+j>4}} \sim \left[ \frac{3}{4 \sum_k C_k \sum_j C_j} \frac{M_P^2}{m_s^2} \right]^{\frac{1}{k+j-4}} M_P \gtrsim M_P, \quad (k+j = 4, 5, \dots)$$

$$\Lambda_{s^{k>4}} \sim \left[ \frac{3}{2 \sum_k C_k} \frac{M_P^2}{m_s^2} \right]^{\frac{1}{k-4}} M_P \gtrsim M_P, \quad (k = 5, 6, \dots)$$


where  $C_k = \frac{(-1)^k \sqrt{2/3}}{k!}$ ,  $k = 0, 1, 2, \dots$ .

$$\Lambda \sim \mathcal{O} \left( \frac{M_P^2}{\xi^2 m_s^2} \right) M_P$$

# 'light' scalaron erases the low-cutoff issue

(Just as the Higgs does for the SM)

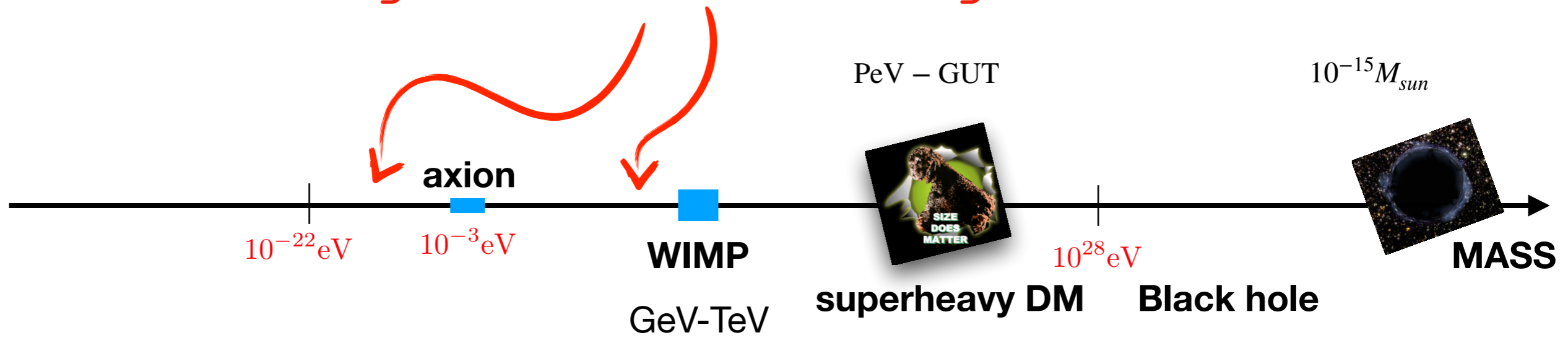
$$\Lambda \sim \mathcal{O}\left(\frac{M_P^2}{\xi^2 m_s^2}\right) M_P > M_P$$

  
 $M_P / \xi > m_s$

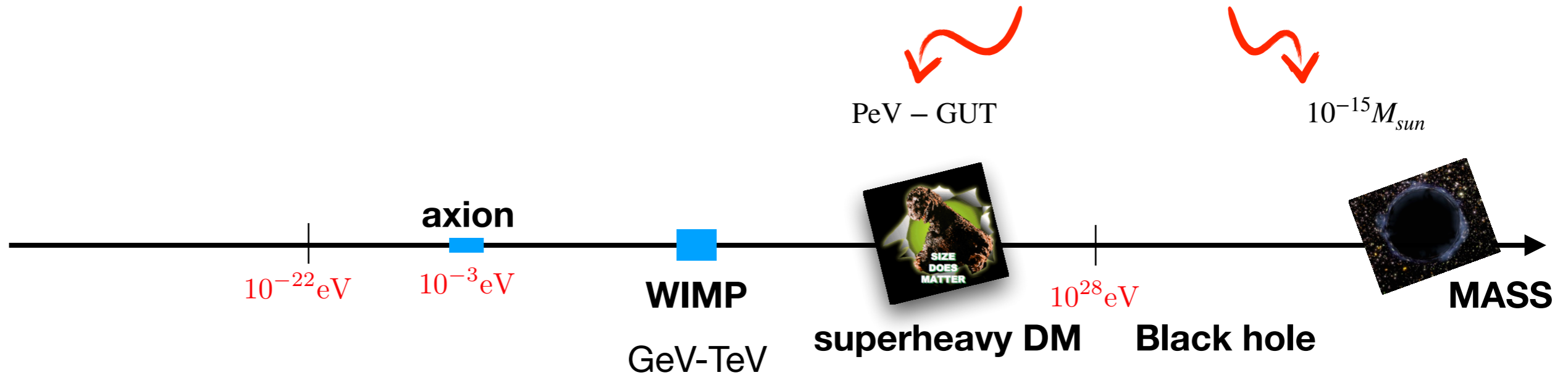


PBH

light & ultralight



# SUPERHEAVY DM



# PBH DM

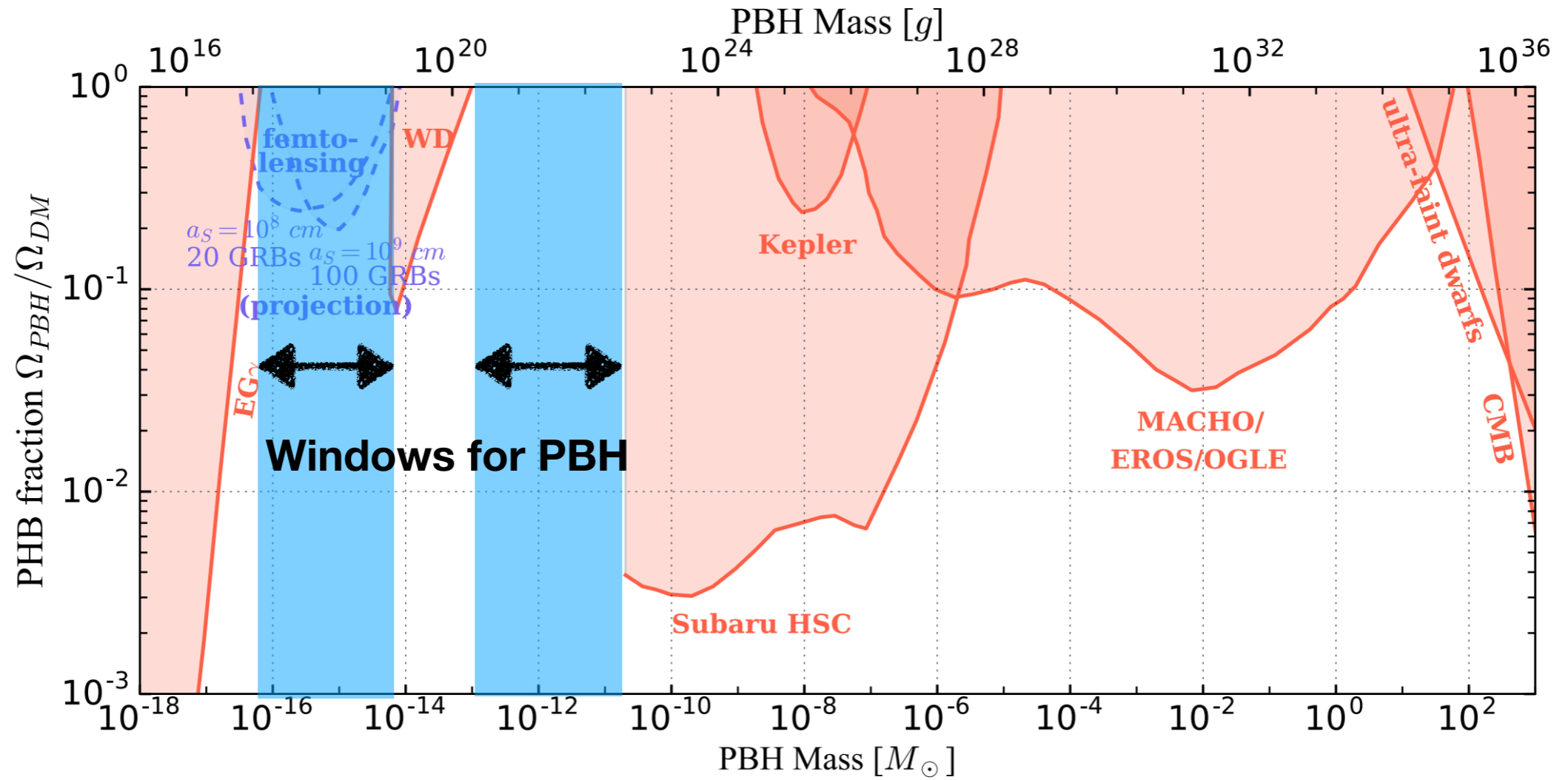


Figure from 1807.11495

# PBH in Higgs-R<sup>2</sup> inflation

$$S_J = \int d^4x \sqrt{-g_J} \left[ \frac{M_P^2}{2} \left( R_J + \frac{\xi h^2}{M_P^2} R_J + \frac{R_J^2}{6M^2} \right) - \frac{1}{2} g^{\mu\nu} \nabla_\mu h \nabla_\nu h - \frac{\lambda(\mu)}{4} h^4 \right]$$

↙ nonminimal coupling      ↘ R<sup>2</sup> term      ↓ Higgs potential

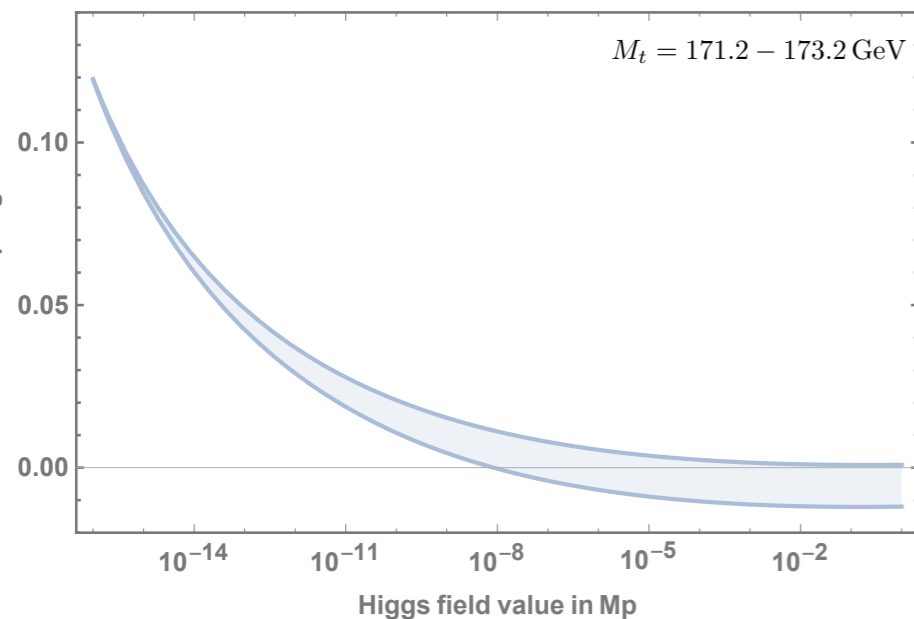
$$\lambda(\mu) = \lambda_{\min} + \frac{\beta_2}{(16\pi^2)^2} \ln^2 \left( \frac{\mu}{\mu_{\min}} \right)$$

## Einstein Action

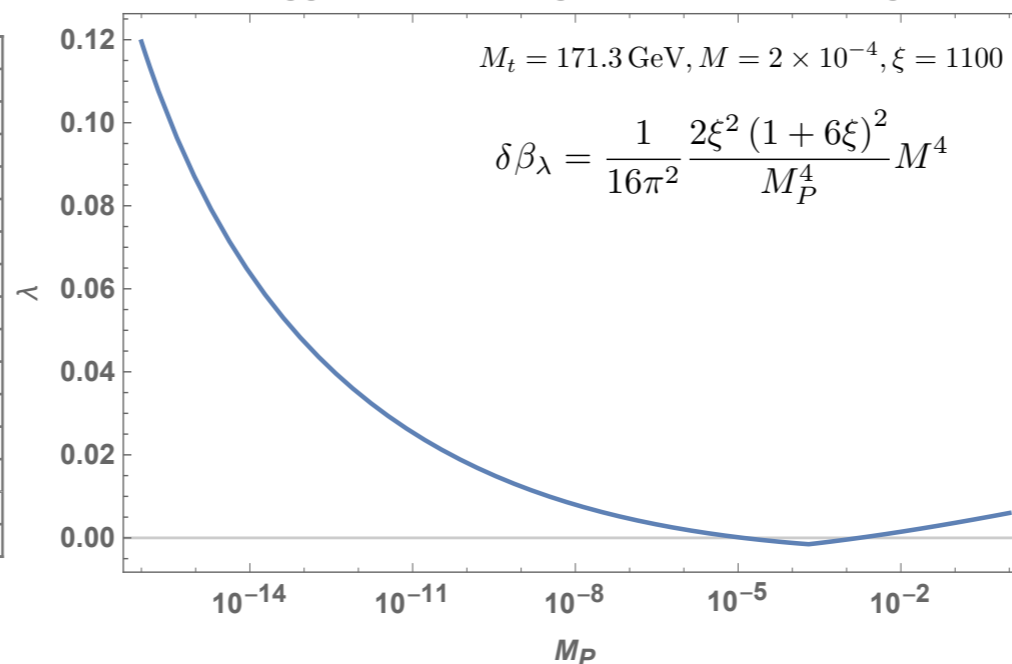
$$S = \int d^4x \sqrt{-g} \left[ \frac{M_P^2}{2} R - \frac{1}{2} g^{\mu\nu} \nabla_\mu s \nabla_\nu s - \frac{1}{2} e^{-\omega(s)} g^{\mu\nu} \nabla_\mu h \nabla_\nu h - U(s, h) \right]$$

$$U(s, h) \equiv e^{-2\omega(s)} \left\{ \frac{3}{4} M_P^2 M^2 \left( e^{\omega(s)} - 1 - \frac{\xi h^2}{M_P^2} \right)^2 + \frac{\lambda(\mu)}{4} h^4 \right\}.$$

Higgs Self Coupling Running



Higgs Self Coupling - Scalaron Running



## Scaloron effect on $\lambda$ -running

[D.Gorbunov, A.Tokareva  
Phys.Lett. B788 (2019) 37-41]

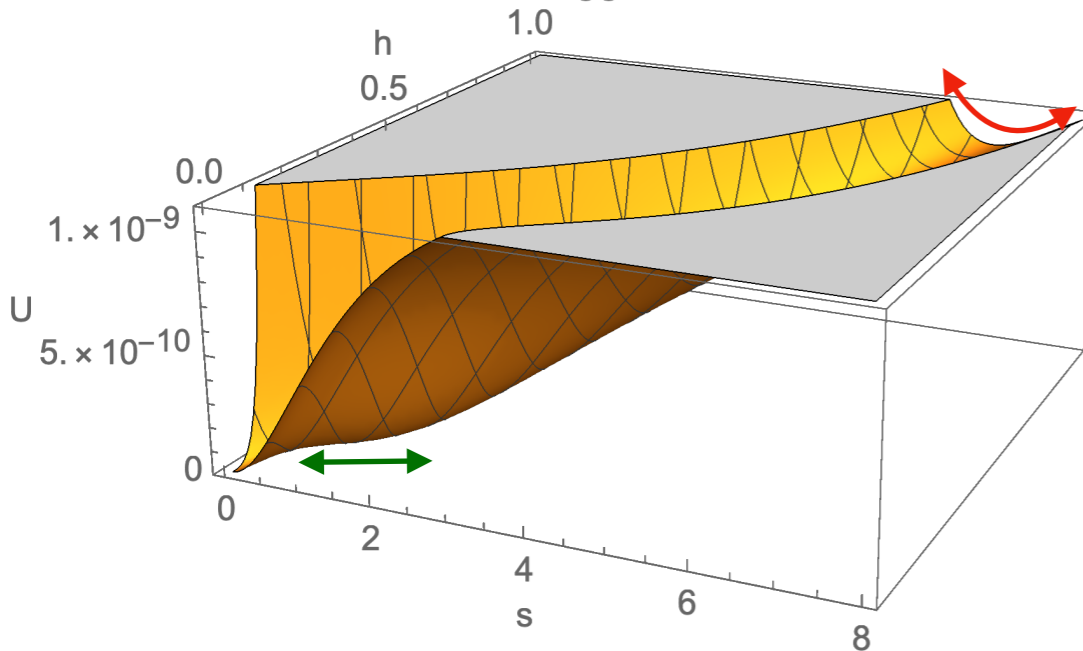
Our parameters  
indicate  $\mu = h$

# PBH in Higgs- $R^2$ inflation

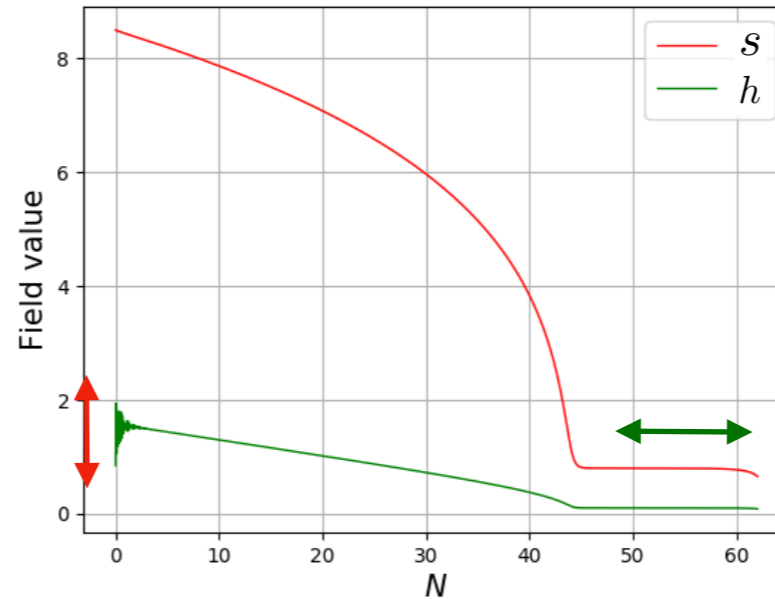
## Benchmark Parameters

$$M = 4.2 \times 10^{-5} M_P, \quad \xi = 79, \quad \lambda_{\min} = 4.10514 \times 10^{-6}, \quad \beta_2 = 0.5, \quad h_{\min} = 0.15$$

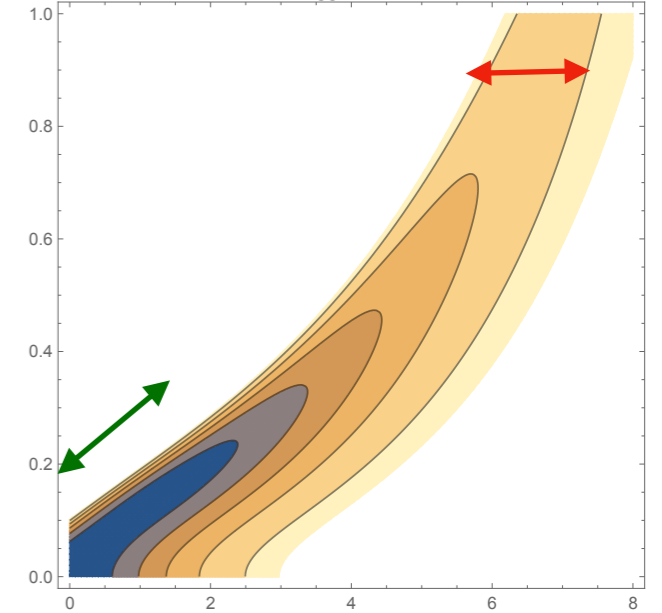
Critical Higgs -  $R^2$  Inflation



Background field evolution



Critical Higgs -  $R^2$  Inflation



- Valley structure, quasi-single-field inflation

- Near inflection point (local min) in the tangential direction, USR  $\partial_\sigma U \approx 0$  &  $\partial_{\sigma\sigma} U \approx 0$

$$\frac{U(\phi_{\text{CMB}})}{U(\phi_{\text{PBH}})} \approx 10 \quad \Delta N_e \approx 10 \text{ e-folds}$$

Simple SR analysis fails  $\longrightarrow$  USR crucial in  $\mathcal{P}_{\mathcal{R}}(k)$

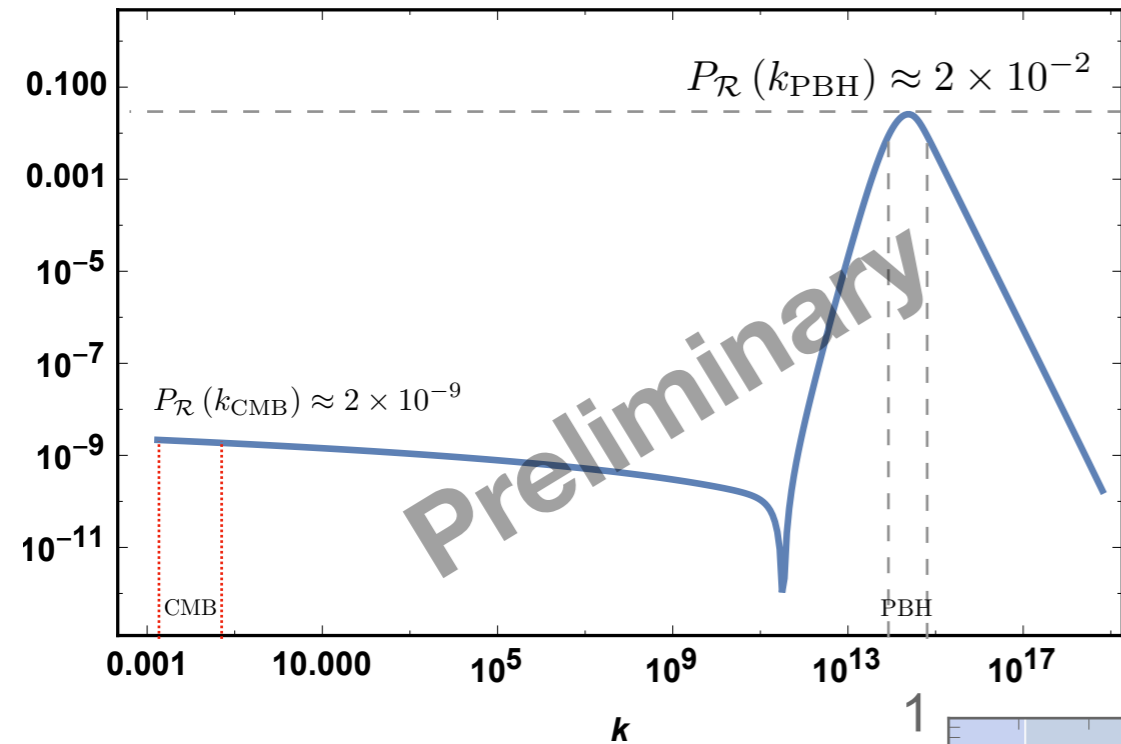
**Power spectrum needs to take full orders into account**

**Calculate power spectrum using  $\delta N$**

$$\mathcal{P}_{\mathcal{R}}(k) = \frac{k^3}{2\pi^2} \frac{\partial N}{\partial \phi^I} \frac{\partial N}{\partial \phi^J} \langle \delta \phi^I \delta \phi^J \rangle \Big|_{k=aH}$$

# PBH in Higgs-R<sup>2</sup> inflation

Power spectrum



$n_s = 0.958$  at benchmark parameters.

$$\frac{P_{\mathcal{R}}(k_{\text{PBH}})}{P_{\mathcal{R}}(k_{\text{CMB}})} \approx 10^7$$

$\delta_c = 0.4 - 0.5$  in RD

$f_{\text{PBH}} = \mathcal{O}(0.1 - 1)$  with  $M_{\text{PBH}} \approx 1.01 \times 10^{-16} M_{\odot}$

$$f = \Omega_{\text{PBH}}/\Omega_{\text{DM}}$$

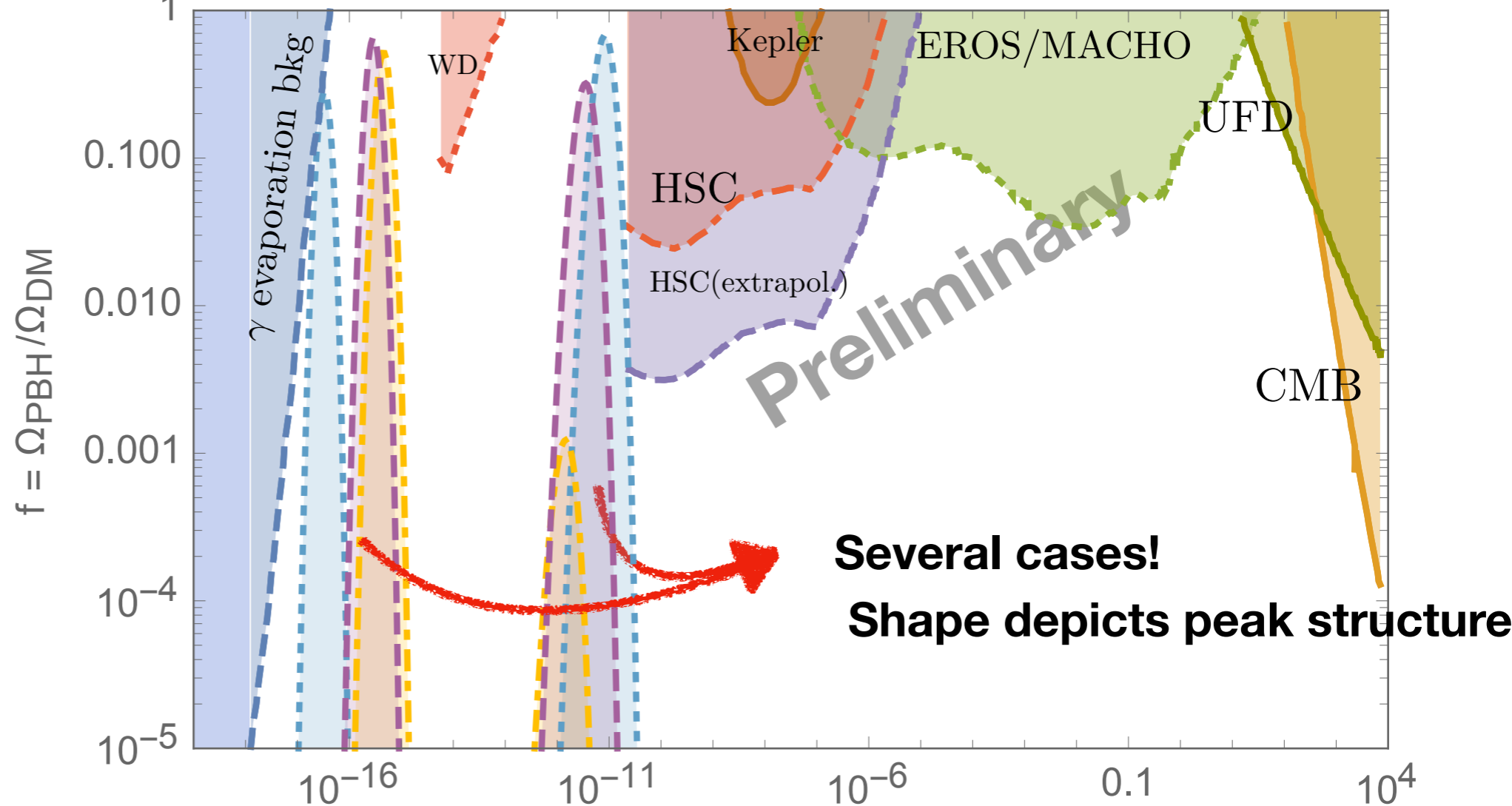
## Parameter region

$$M \sim \mathcal{O}(10^{-5}) M_{\odot}$$

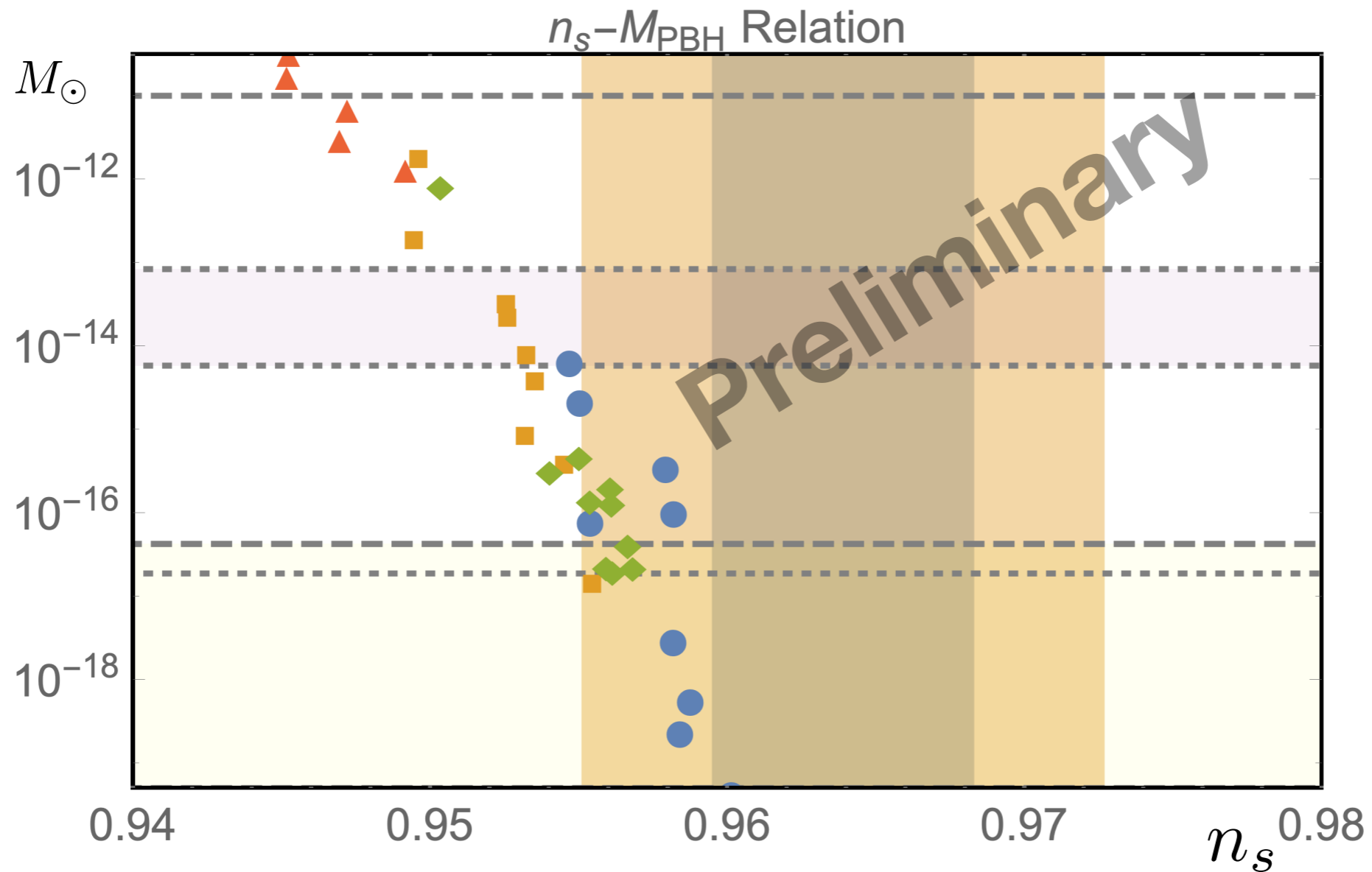
$$\xi \sim \mathcal{O}(10 - 100)$$

$$\beta_2 = 0.5$$

$$h_{\text{min}} \sim \mathcal{O}(0.1) M_P$$



# PBH in Higgs-R<sup>2</sup> inflation



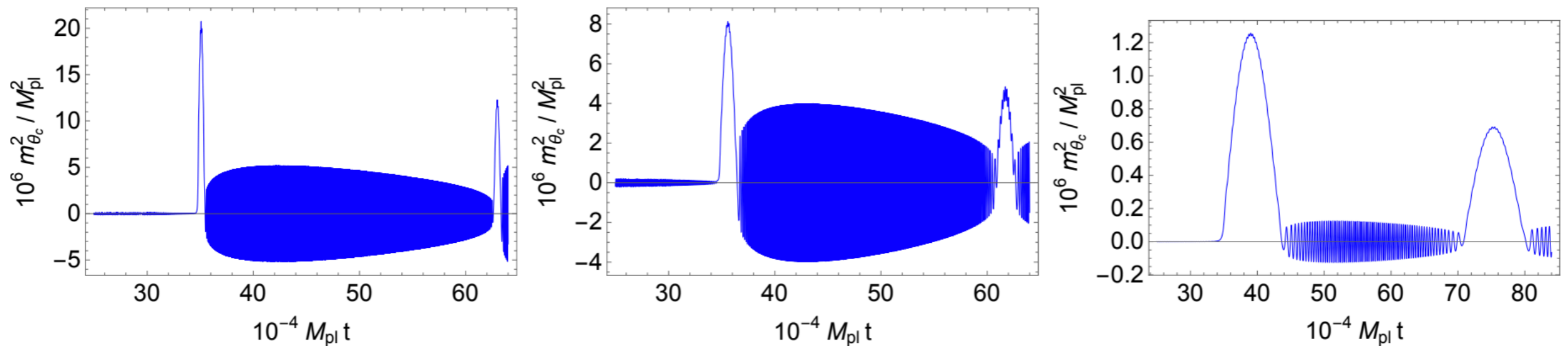
At least  $2\sigma$  consistency with Planck, desired PBH mass achievable.

Further constrainable through future CMB observations (CMB-S4, Litebird, etc) and femtolensing, GW observations.



More

# Preheating of Higgs-R<sup>2</sup> inflation

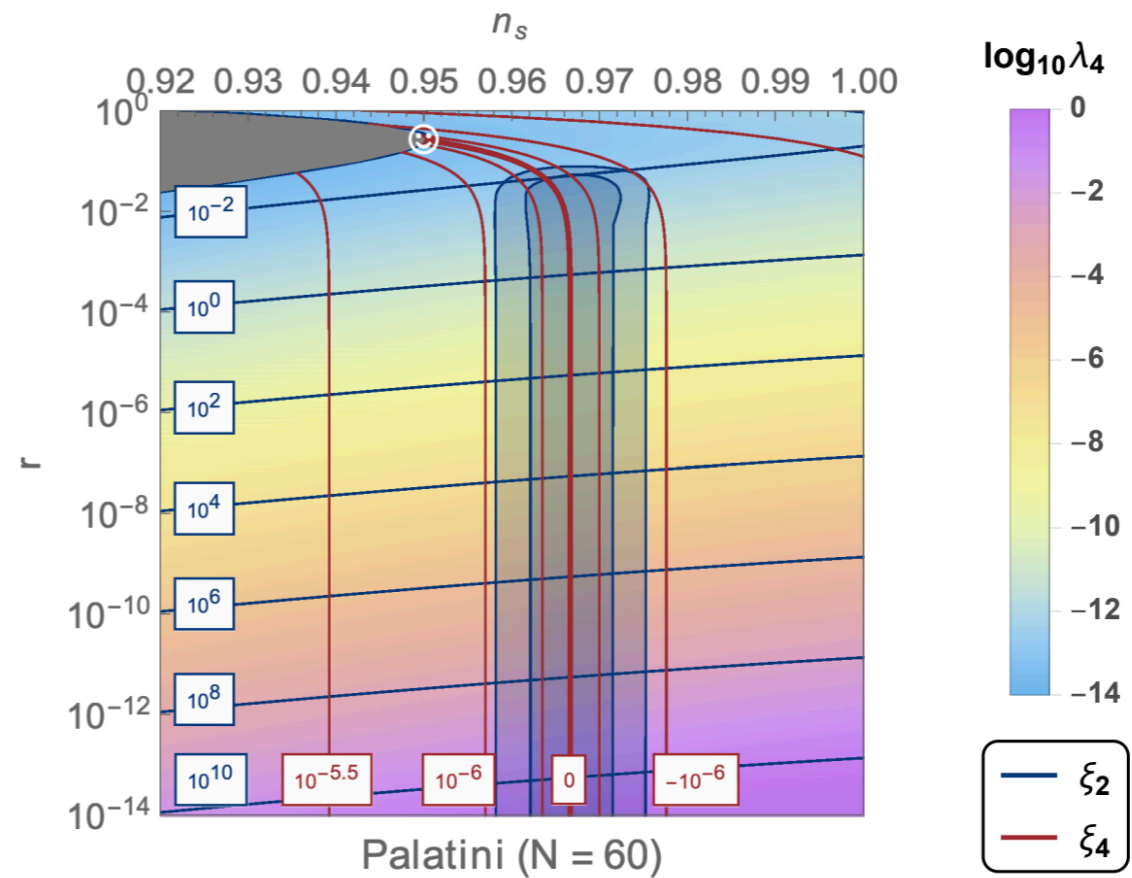
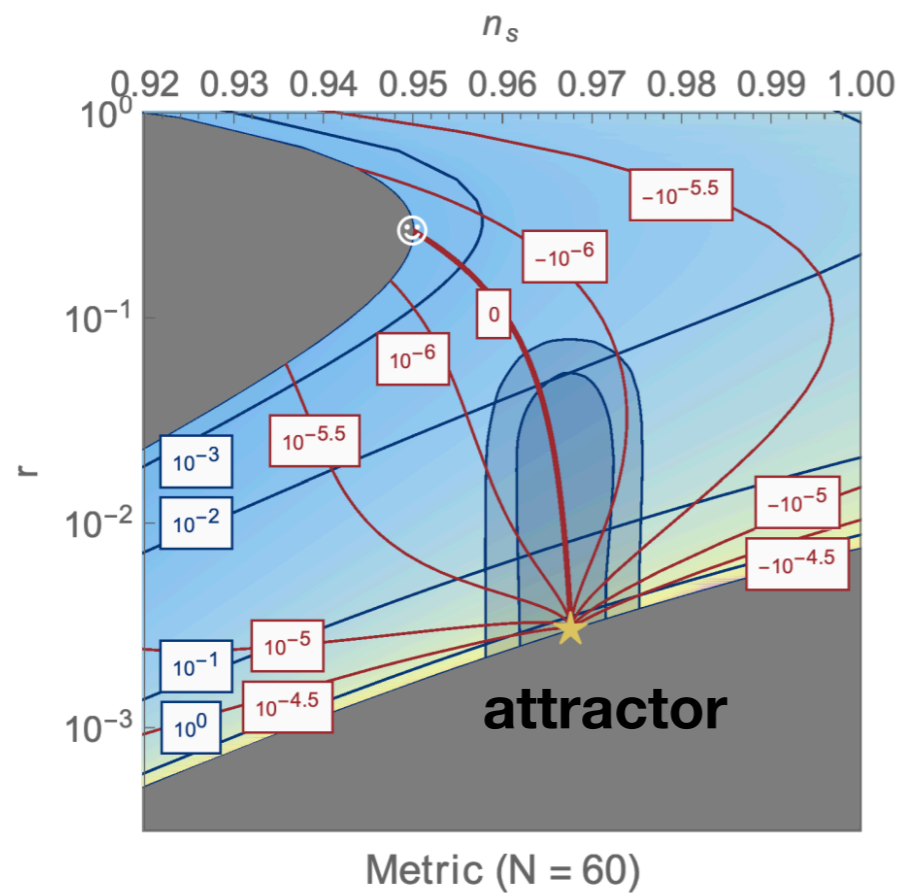


**‘peak’ showed up! but not too efficient**

**$T_{RH} > 10^{12} \text{GeV}$  : fully consistent with e.g. Leptogenesis**

# Einstein vs Palatini

$$R_J = \begin{cases} \Omega^2 \left[ R + 3\Box \ln \Omega^2 - \frac{3}{2}(\partial \ln \Omega^2)^2 \right] & \text{(metric),} \\ \Omega^2 R(\Gamma) & \text{(Palatini),} \end{cases}$$

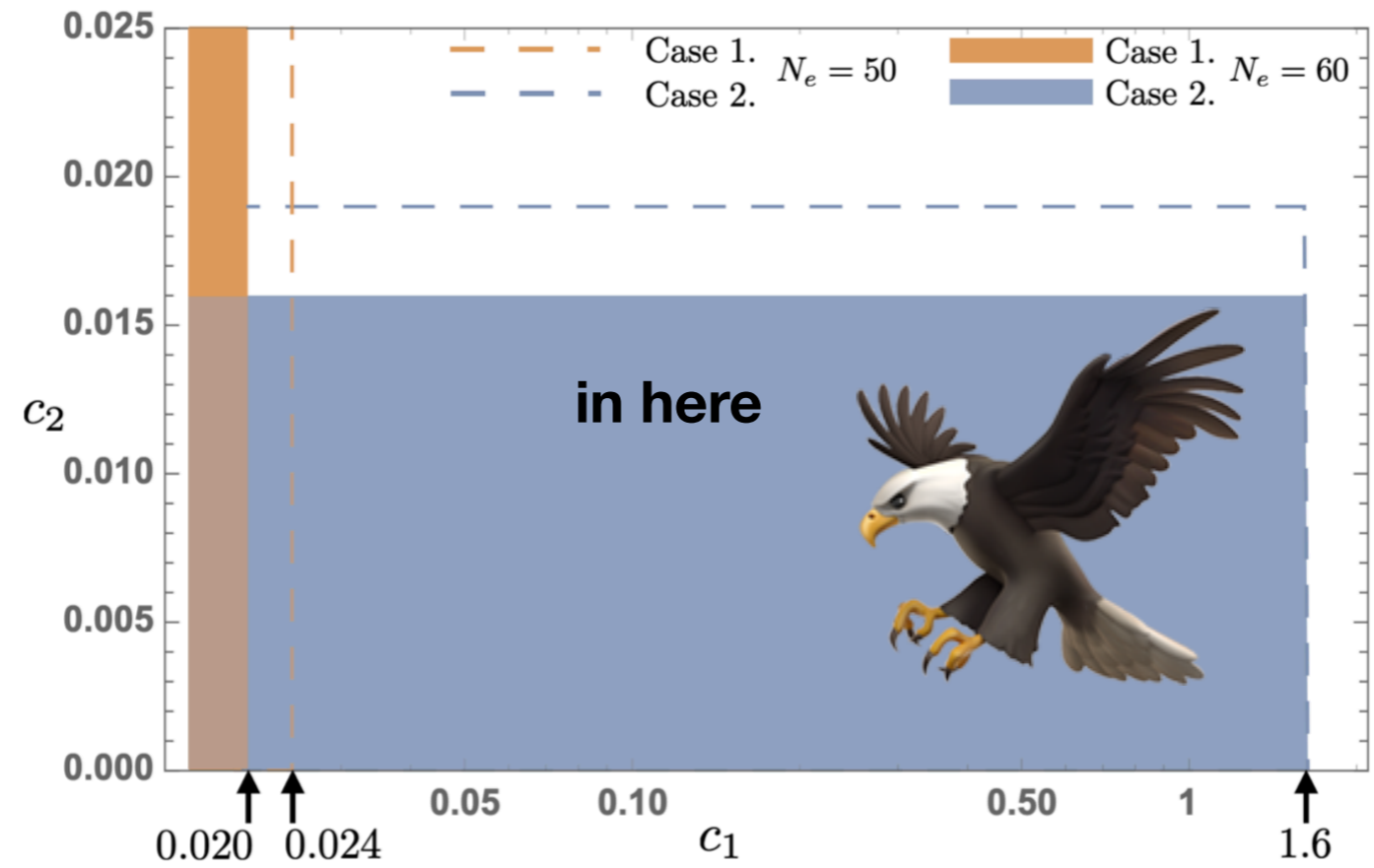


So, this is the result

$$|\nabla V| \geq c_1 \frac{V}{M_{\text{Pl}}}$$

$$\nabla_i \nabla_j V \leq -c_2 \frac{V}{M_{\text{Pl}}^2}$$

$c_1, c_2 \sim O(1)$ , positive



# Summary

- Higgs inflation is a theoretical framework providing cosmological inflation, dark matter (PBH) and EWSB.
- Various theoretical issues have been examined recently e.g. unitarity, violent preheating, fine-tuning, quantum gravity & , PBH dark matter...
- Higgs inflation still remains the best fitting, attractive and successful model of inflation based on particle physics (it lives in Landscape rather than Swampland)

Our old good friend, Higgs, is even greater  
than we had expected.

**Maybe, we don't need BSM**

**backups**

# Refined Swampland Conjecture

Ooguri, Palti, Shiu and Vafa arXiv:1810.05506

S. K. Garg and C. Krishnan arXiv:1807.05193

Any scalar potential  $V(\phi)$  for scalar fields in a low energy effective theory of a consistent quantum gravity must satisfy **at least one of the following conditions:**

$$\begin{aligned} |\nabla V| &\geq c_1 \frac{V}{M_{\text{Pl}}} \\ \nabla_i \nabla_j V &\leq -c_2 \frac{V}{M_{\text{Pl}}^2} \\ c_1, c_2 &\sim O(1), \text{ positive} \end{aligned}$$

**Q. 0.1 is O(1)? 0.01 is O(1)?**



# String Landscape

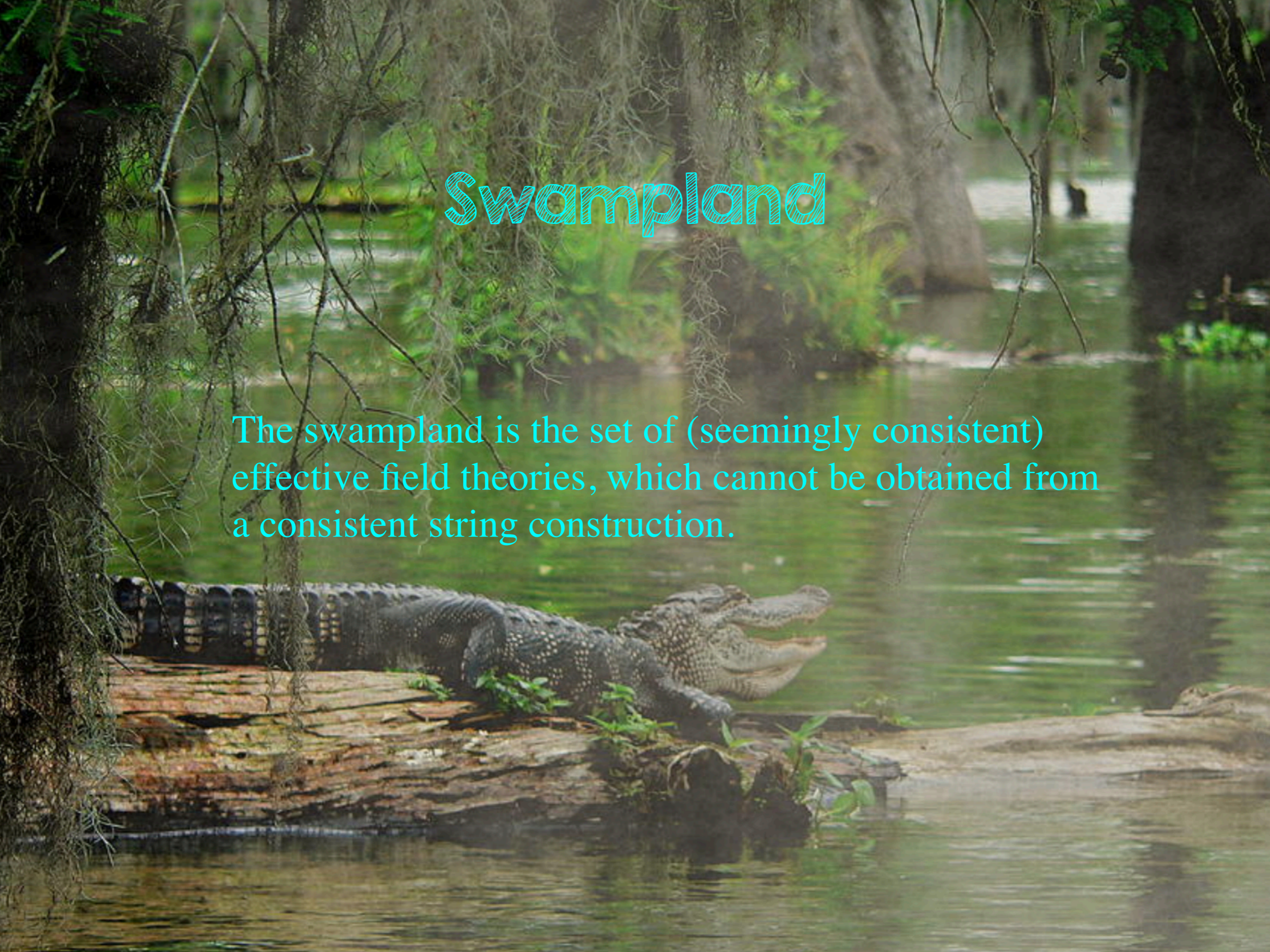
Low energy theories which has UV-completion that is consistent with Quantum gravity (string theory)





# Swampland

The swampland is the set of (seemingly consistent) effective field theories, which cannot be obtained from a consistent string construction.





# Eagle or Crocodile?



**A theory living in Landscape  
consistent with Quantum Gravity**



**A theory living in Swampland**

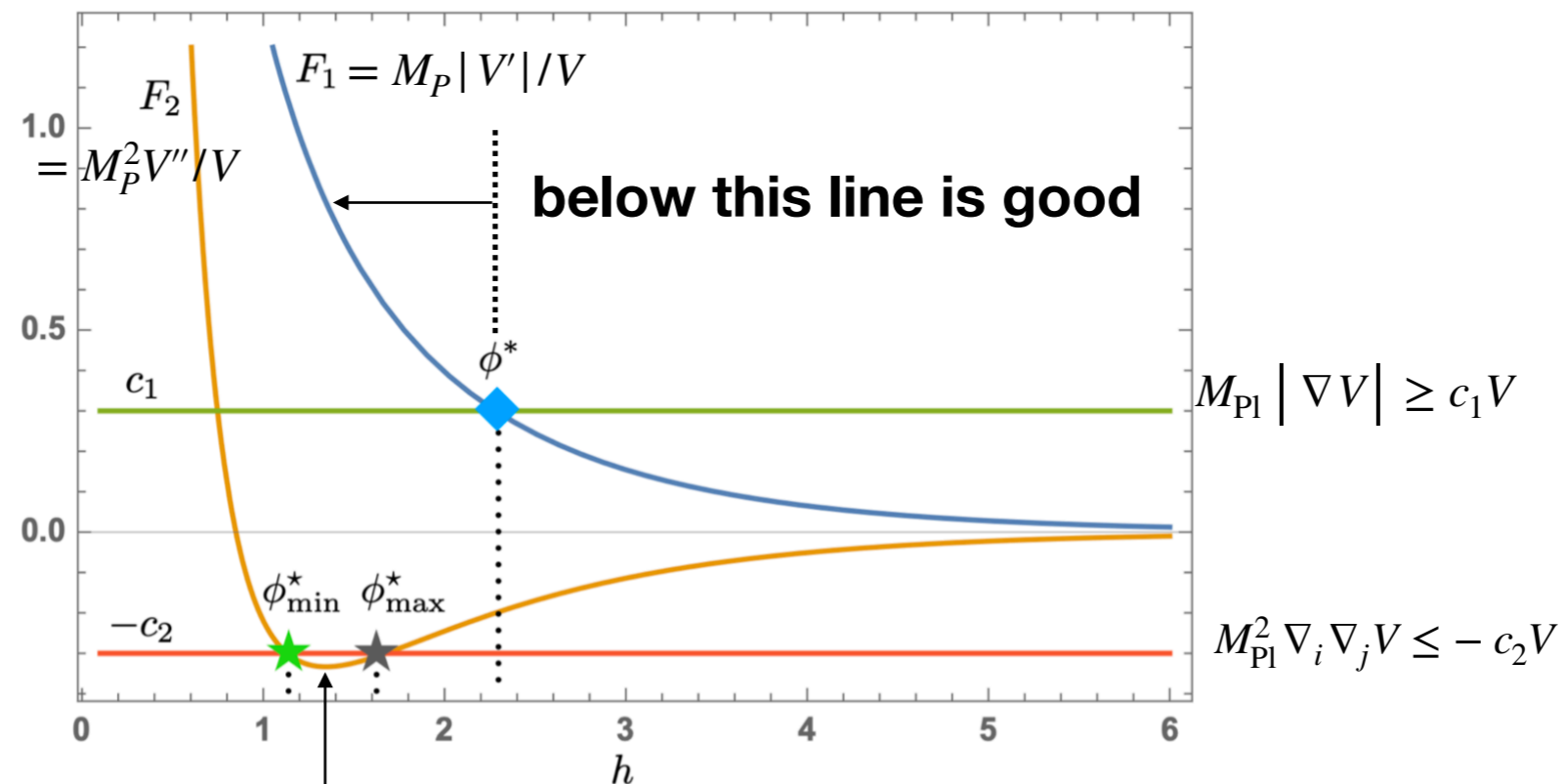


# Is Higgs an eagle or Crocodile?

$$|\nabla V| \geq c_1 \frac{V}{M_{\text{Pl}}}$$

$$\nabla_i \nabla_j V \leq -c_2 \frac{V}{M_{\text{Pl}}^2}$$

$c_1, c_2 \sim O(1), \text{positive}$



only this part is good

therefore Eagle if  $\phi^* \lesssim \phi_{\text{min}}^* < \phi_{\text{max}}^*$