Helicity Distributions and Orbital Angular Momentum at Small x

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Based on work done with Dan Pitonyak and Matt Sievert (2015-2018) and with Florian Cougoulic (2019).

Outline

- Goal: understanding the proton spin coming from helicities and OAM of small-x quarks and gluons.
- Quark Helicity ("simplify-evolve-solve" prescription):
	- Quark helicity distribution at small x
	- Small-x evolution equations for quark helicity
	- Small-x asymptotics of quark helicity
- Gluon Helicity:
	- Gluon helicity distribution at small x
	- Small-x evolution equations for gluon helicity
	- Small-x asymptotics of gluon helicity TMDs
- Quark and Gluon OAM at small x: results.
- Valence quark transversity at small x.
- **Conclusions**

Introduction and goals

Proton Spin Puzzle

\n- Helicity sum rule (Jaffe-Manohar form):
\n- $$
\frac{1}{2} = S_q + L_q + S_g + L_g
$$
\n with the net quark and gluon spin
\n- $$
S_q(Q^2) = \frac{1}{2} \int_0^1 dx \, \Delta \Sigma(x, Q^2)
$$
\n
\n

• The helicity parton distributions are

$$
\Delta f(x, Q^2) \equiv f^+(x, Q^2) - f^-(x, Q^2)
$$

with the net quark helicity distribution

$$
\Delta \Sigma \equiv \Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s}
$$

• L_q and L_g are the quark and gluon orbital angular momenta

Our goal

- The goal is to constrain theoretically the amount of proton spin and OAM coming from small x.
- Any existing and future experiment probes the helicity distributions and OAM down to some x_{\min} .

$$
S_q(Q^2) = \frac{1}{2} \int_0^1 dx \, \Delta \Sigma(x, Q^2) \qquad S_g(Q^2) = \int_0^1 dx \, \Delta G(x, Q^2)
$$

$$
L_{q+\bar{q}}(Q^2) = \int_0^1 dx \, L_{q+\bar{q}}(x, Q^2) \qquad L_G(Q^2) = \int_0^1 dx \, L_G(x, Q^2)
$$

• At very small x (for the proton), saturation sets in: that region likely carries a negligible amount of proton spin. But what happens at larger (but still small) x?

Our goal

- Ultimately the aim is to make predictions for helicity distributions at EIC.
- If the predictions are not too far off, one could extrapolate the theory curves down to x=0, getting a (hopefully) good estimate for quark and gluon spin coming from small x.
- For OAM the story is more complicated, but perhaps we may be able to constrain small-x OAM this way too.

Quark Helicity at Small x (flavor-singlet case)

Yu.K., M. Sievert, arXiv:1505.01176 [hep-ph] Yu.K., D. Pitonyak, M. Sievert, arXiv:1511.06737 [hep-ph], arXiv:1610.06197 [hep-ph], arXiv:1610.06188 [hep-ph], arXiv:1703.05809 [hep-ph], arXiv:1808.09010 [hep-ph]

Quark Helicity TMD

• We start with the definition of the quark helicity TMD with a futurepointing Wilson line staple.

$$
g_{1L}^q(x, k_T^2) = \frac{1}{(2\pi)^3} \frac{1}{2} \sum_{S_L} S_L \int d^2r \, dr^- \, e^{ik \cdot r} \langle p, S_L | \bar{\psi}(0) \, \mathcal{U}[0, r] \, \frac{\gamma^+ \gamma^5}{2} \, \psi(r) |p, S_L \rangle_{r^+ = 0}
$$

• At small-x, in anticipation of the shock-wave formalism, we rewrite the quark helicity TMD as (in $A=0$ gauge for the $+$ moving proton)

$$
g_{1L}^q(x,k_T^2) = \frac{2p^+}{(2\pi)^3} \int d^2\zeta \, d\zeta^- \, d^2\xi \, d\xi^- \, e^{ik\cdot(\zeta-\xi)} \left(\frac{1}{2}\gamma^+\gamma^5\right)_{\alpha\beta} \left\langle \bar{\psi}_{\alpha}(\xi) \, V_{\underline{\xi}}[\xi^-,\infty] \, V_{\underline{\zeta}}[\infty,\zeta^-] \, \psi_{\beta}(\zeta) \right\rangle
$$

where the fundamental light-cone Wilson line is

$$
V_{\underline{x}}[b^-,a^-]=\operatorname{P}\exp\left\{ig\int\limits_{a^-}^{b^-}dx^- \, A^+(x^-, \underline{x})\right\}
$$

• Only one diagram contributes, giving

$$
g_{1L}^q(x, k_T^2) = \frac{4N_c}{(2\pi)^6} \int d^2\zeta \, d^2w \, d^2y \, e^{-i\underline{k}\cdot(\underline{\zeta}-\underline{y})} \int\limits_{\Lambda^2/s}^1 \frac{dz}{\bar{z}} \, \frac{\underline{\zeta}-\underline{w}}{|\underline{\zeta}-\underline{w}|^2} \cdot \frac{\underline{y}-\underline{w}}{|\underline{y}-\underline{w}|^2} \, G_{\underline{w},\underline{\zeta}}(zs)
$$

where $\mathsf{G}_{\mathsf{w}\zeta}$ is the polarized dipole amplitude (defined on the next slide).

• Here s is the cms energy squared, Λ is some IR cutoff, underlining denotes transverse vectors, z = smallest longitudinal momentum fraction of the dipole momentum out of those carried by the quark and the antiquark

Polarized Dipole

• All flavor-singlet small-x helicity observables depend on one object, "polarized dipole amplitude":

$$
\big\langle\!\!\big\langle {\cal O} \big\rangle\!\!\big\rangle(z) \equiv zs \, \Big\langle {\cal O} \Big\rangle(z)
$$

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Polarized fundamental "Wilson line"

• To complete the definition of the polarized dipole amplitude, we need to construct the definition of the polarized "Wilson line" V^{pol}, which is the leading helicity-dependent contribution for the quark scattering amplitude on a longitudinally-polarized target proton.

• At the leading order we can either exchange one non-eikonal t-channel gluon (with quark-gluon vertices denoted by blobs above) to transfer polarization between the projectile and the target, or two t-channel quarks, as shown above.

Polarized fundamental "Wilson line"

• In the end one arrives at (KPS '17; YK, Sievert, '18; cf. Chirilli '18)

$$
V_{\underline{x}}^{pol} = \frac{igp_1^+}{s} \int_{-\infty}^{\infty} dx^- V_{\underline{x}}[+\infty, x^-] F^{12}(x^-, \underline{x}) V_{\underline{x}}[x^-, -\infty]
$$

$$
- \frac{g^2 p_1^+}{s} \int_{-\infty}^{\infty} dx_1^- \int_{x_1^-}^{\infty} dx_2^- V_{\underline{x}}[+\infty, x_2^-] t^b \psi_{\beta}(x_2^-, \underline{x}) U_{\underline{x}}^{ba}[x_2^-, x_1^-] \left[\frac{1}{2} \gamma^+ \gamma^5 \right]_{\alpha\beta} \bar{\psi}_{\alpha}(x_1^-, \underline{x}) t^a V_{\underline{x}}[x_1^-, -\infty].
$$

• We have employed an adjoint \overline{I} light-cone Wilson line

$$
U_{\underline{x}}[b^-, a^-] = \mathcal{P} \exp \left[ig \int_{a^-}^{b^-} dx^- \mathcal{A}^+(x^+ = 0, x^-, \underline{x}) \right]
$$

Note the simple physical meaning of the first term:

$$
-\vec{\mu}\cdot\vec{B}=-\mu_z\,B_z=\mu_z\,F^{12}
$$

Polarized Dipole Amplitude

• The polarized dipole amplitude is then defined by

$$
G_{10}(z) = \frac{1}{4N_c} \int_{-\infty}^{\infty} dx^{-} \left\langle \text{tr} \left[V_{\underline{0}}[\infty, -\infty] V_{\underline{1}}[-\infty, x^{-}] (-ig) \underline{\nabla} \times \underline{\tilde{A}}(x^{-}, \underline{x}) V_{\underline{1}}[x^{-}, \infty] \right] + \text{c.c.} \right\rangle(z)
$$
\nwith the standard light-cone
\nWilson line
\n
$$
V_{\underline{x}}[b^{-}, a^{-}] = \text{P} \exp \left\{ ig \int_{a^{-}}^{b^{-}} dx^{-} A^{+}(x^{-}, \underline{x}) \right\}
$$

proton

Polarized adjoint "Wilson line"

• Quarks mix with gluons. Therefore, we need to construct the adjoint polarized Wilson line --- the leading helicity-dependent part of the gluon scattering amplitude on the longitudinally polarized target.

• The calculation is similar to the quark scattering case. It yields (cf. Chirilli '18)

$$
(U_{\underline{x}}^{pol})^{ab} = \frac{2i g p_1^+}{s} \int_{-\infty}^{+\infty} dx^- \left(U_{\underline{x}}[+\infty, x^-] \mathcal{F}^{12}(x^+ = 0, x^-, \underline{x}) \right) U_{\underline{x}}[x^-, -\infty] \right)^{ab}
$$

$$
- \frac{g^2 p_1^+}{s} \int_{-\infty}^{\infty} dx_1^- \int_{x_1^-}^{\infty} dx_2^- U_{\underline{x}}^{aa'}[+\infty, x_2^-] \overline{\psi}(x_2^-, \underline{x}) t^{a'} V_{\underline{x}}[x_2^-, x_1^-] \frac{1}{2} \gamma^+ \gamma_5 t^{b'} \psi(x_1^-, \underline{x}) U_{\underline{x}}^{b'b}[x_1^-, -\infty] - \text{c.c.}
$$

One can construct an evolution equation for the polarized dipole:

Evolution for Polarized Quark Dipole

Polarized Dipole Evolution in the Large-N_c Limit

In the large-N_c limit the equations close, leading to a system of 2 equations:

"Neighbor" dipole

- There is a new object in the evolution equation **the neighbor dipole**.
- This is specific for the DLA evolution. Gluon emission may happen in one dipole, but, due to transverse distance ordering, may `know' about another dipole:

• We denote the evolution in the neighbor dipole 02 by

Resummation Parameter

• For helicity evolution the resummation parameter is different from BFKL, BK or JIMWLK, which resum powers of leading logarithms (LLA)

$$
\alpha_s\,\ln(1/x)
$$

• Helicity evolution resummation parameter is double-logarithmic (DLA):

$$
\alpha_s \, \ln^2 \frac{1}{x}
$$

- The second logarithm of x arises due to transverse momentum (or transverse coordinate) integration being logarithmic both in the UV and IR.
- This was known before: Kirschner and Lipatov '83; Kirschner '84; Bartels, Ermolaev, Ryskin '95, '96; Griffiths and Ross '99; Itakura et al '03; Bartels and Lublinsky '03.

• These equations can be solved both numerically and analytically. (KPS '16-'17)

• The small-x asymptotics of quark helicity is (at large N_c)

$$
\Delta q(x, Q^2) \sim \left(\frac{1}{x}\right)^{\alpha_h^q} \quad \text{with} \quad \alpha_h^q = \frac{4}{\sqrt{3}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 2.31 \sqrt{\frac{\alpha_s N_c}{2\pi}}
$$

Impact of our $\Delta\Sigma$ on the proton spin

• We have attached a $\Delta \tilde{\Sigma}(x,Q^2) = N x^{-\alpha_h}$ curve to the existing hPDF's fits at some ad hoc small value of x labeled x_0 :

Impact of our $\Delta\Sigma$ on the proton spin

• Defining $\Delta\Sigma^{[x_{min}]}(Q^2) \equiv \int_a^1 dx \Delta\Sigma(x,Q^2)$ we plot it for x_0 =0.03, 0.01, 0.001:

- We observe a moderate to significant enhancement of quark spin.
- More detailed phenomenology is needed in the future.

Gluon Helicity at Small x

Yu.K., D. Pitonyak, M. Sievert, arXiv:1706.04236 [nucl-th]

Dipole Gluon Helicity TMD

- Now let us repeat the calculation for gluon helicity TMDs.
- We start with the definition of the gluon dipole helicity TMD:

 $g_1^G(x,k_T^2) = \frac{-2i S_L}{x P^+} \int \frac{d\xi^- d^2\xi}{(2\pi)^3} e^{ixP^+ \xi^- - i\underline{k}\cdot \underline{\xi}} \left\langle P, S_L | \epsilon_T^{ij} \operatorname{tr} \left[F^{+i}(0) \, \mathcal{U}^{[+] \dagger}[0,\xi] \, F^{+j}(\xi) \, \mathcal{U}^{[-]} [\xi,0] \right] | P, S_L \right\rangle_{\xi^+ = 0}$ U^{λ} [+] • Here $U^{[+]}$ and $U^{[-]}$ are future and past Wilson line staples (hence the name `dipole' TMD, F. Dominguez et al '11 – looks like a dipole scattering on a Z proton): U^{\prime} [-]

Dipole Gluon Helicity TMD

• At small x, the definition of dipole gluon helicity TMD can be massaged into

$$
g_1^{G \, dip}(x,k_T^2) = \frac{8i \, N_c \, S_L}{g^2 (2\pi)^3} \, \int d^2x_{10} \, e^{i\underline{k} \cdot \underline{x}_{10}} \, k_\perp^i \epsilon_T^{ij} \, \left[\int d^2b_{10} \, G_{10}^j (zs = \frac{Q^2}{x}) \right]
$$

• Here we obtain a new operator, which is a transverse vector (written here in $A=0$ gauge):

$$
G_{10}^{i}(z) \equiv \frac{1}{4N_c} \int_{-\infty}^{\infty} dx^{-} \left\langle \text{tr}\left[V_{\underline{0}}[\infty, -\infty]V_{\underline{1}}[-\infty, x^{-}] \left(-ig\right) \tilde{A}^{i}(x^{-}, \underline{x}) V_{\underline{1}}[x^{-}, \infty]\right] + \text{c.c.}\right\rangle(z)
$$

• Note that $k_+^i \epsilon_T^{ij}$ can be thought of

as a transverse curl acting on $G_{10}^{i}(z)$ and not just on $\tilde{A}^i(x^-, \underline{x})$ -- different

from the polarized dipole amplitude!

Dipole TMD vs dipole amplitude

• Note that the operator for the dipole gluon helicity TMD

$$
G_{10}^{i}(z) \equiv \frac{1}{4N_c} \int_{-\infty}^{\infty} dx^{-} \left\langle \text{tr}\left[V_{\underline{0}}[\infty, -\infty]V_{\underline{1}}[-\infty, x^{-}] (-ig) \tilde{A}^{i}(x^{-}, \underline{x}) V_{\underline{1}}[x^{-}, \infty]\right] + \text{c.c.}\right\rangle(z)
$$

is different from the polarized dipole amplitude

$$
G_{10}(z) \equiv \frac{1}{4N_c} \int_{-\infty}^{\infty} dx^- \left\langle \text{tr} \left[V_{\underline{0}}[\infty, -\infty] V_{\underline{1}}[-\infty, x^-] \left(-ig \right) \nabla \times \underline{\tilde{A}}(x^-, \underline{x}) V_{\underline{1}}[x^-, \infty] \right) + \text{c.c.} \right\rangle(z)
$$

- We conclude that the dipole gluon helicity TMD does not depend on the polarized dipole amplitude! (Hence the 'dipole' name may not even be valid for such TMDs.)
- This is different from the unpolarized gluon TMD case.

Evolution Equation

• To construct evolution equation for the operator $G^{\,i}$ governing the gluon helicity TMD we resum similar (to the quark case) diagrams:

Large-N_c Evolution: Equations

• This results in the following evolution equations:

$$
G_{10}^{i}(zs) = G_{10}^{i(0)}(zs) + \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{\Delta^{2}}{z}}^{z} \frac{dz'}{z'} \int d^{2}x_{2} \ln \frac{1}{x_{21}\Lambda} \frac{\epsilon_{T}^{ij}(x_{21})_{\perp}^{j}}{x_{21}^{2}} \left[\Gamma_{20,\,21}^{gen}(z's) + G_{21}(z's) \right]
$$

$$
- \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{\Delta^{2}}{z}}^{z} \frac{dz'}{z'} \int d^{2}x_{2} \ln \frac{1}{x_{21}\Lambda} \frac{\epsilon_{T}^{ij}(x_{20})_{\perp}^{j}}{x_{20}^{2}} \left[\Gamma_{20,\,21}^{gen}(z's) + \Gamma_{21,\,20}^{gen}(z's) \right]
$$

$$
+ \frac{\alpha_{s}N_{c}}{2\pi} \int_{\frac{1}{x_{10}^{2}}^{x}}^{z} \frac{dz'}{z'} \int_{\frac{1}{z's}}^{x_{10}^{2}} \frac{dx_{21}^{2}}{x_{21}^{2}} \left[G_{12}^{i}(z's) - \Gamma_{10,\,21}^{i}(z's) \right]
$$

$$
\Gamma_{10\,21}^{i}(z's) = G_{10}^{i\,(0)}(z's) + \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{\Delta^{2}}{z}}^{z''} \frac{dz''}{z''} \int d^{2}x_{3} \, \ln \frac{1}{x_{31}\Lambda} \frac{\epsilon_{T}^{ij}\,(x_{31})_{\perp}^{j}}{x_{31}^{2}} \left[\Gamma_{30\,31}^{gen}(z''s) + G_{31}(z''s) \right]
$$
\n
$$
- \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{\Delta^{2}}{s}}^{z'} \frac{dz''}{z''} \int d^{2}x_{3} \, \ln \frac{1}{x_{31}\Lambda} \frac{\epsilon_{T}^{ij}\,(x_{30})_{\perp}^{j}}{x_{30}^{2}} \left[\Gamma_{30\,31}^{gen}(z''s) + \Gamma_{31\,30}^{gen}(z''s) \right]
$$
\n
$$
+ \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{z'}{x_{10}s}}^{z'} \frac{dz''}{z''} \int_{\frac{z'}{x_{10}s}}^{min\left[x_{10}^{2}, x_{21}^{2} \frac{z'}{z''}\right]} \frac{dx_{31}^{2}}{x_{31}^{2}} \left[G_{13}^{i}(z''s) - \Gamma_{10\,31}^{i}(z''s) \right].
$$

Large-N_c Evolution Equations: Solution

• These equations can be solved in the asymptotic high-energy region yielding the small-x gluon helicity intercept

$$
\alpha_h^G = \frac{13}{4\sqrt{3}}\,\sqrt{\frac{\alpha_s\,N_c}{2\pi}} \approx 1.88\,\sqrt{\frac{\alpha_s\,N_c}{2\pi}}
$$

• We obtain the small-x asymptotics of the gluon helicity distributions:

$$
\Delta G(x, Q^2) \sim g_{1L}^{G \, dip}(x, k_T^2) \sim \left(\frac{1}{x}\right)^{\frac{13}{4\sqrt{3}}\sqrt{\frac{\alpha_s N_c}{2\pi}}}
$$

Impact of our ΔG on the proton spin

• We have attached a $\Delta \tilde{G}(x,Q^2) = N x^{-\alpha_h^G}$ curve to the existing hPDF's fits at some ad hoc small value of x labeled x_0 :

[&]quot;ballpark" phenomenology

Impact of our ΔG on the proton spin

• Defining $S_G^{[x_{min}]}(Q^2) \equiv \int dx \, \Delta G(x,Q^2)$ we plot it for x_0 =0.08, 0.05, 0.001:

- We observe a moderate enhancement of gluon spin.
- More detailed phenomenology is needed in the future.

EIC & Spin Puzzle

- Parton helicity distributions are sensitive to low-x physics.
- EIC would have an unprecedented low-x reach for a polarized DIS experiment, allowing to pinpoint the values of quark and gluon contributions to proton's spin:

Outlook

- To go beyond the large-N_c and large-N_c&N_f limits need to write a helicity analogue of JIMWLK evolution.
- This has been done recently (F. Cougoulic, YK, arXiv:1910.04268 [hep-ph]):

$$
W_\tau[\alpha,\beta,\psi,\bar{\psi}] = W_\tau^{(0)}[\alpha,\beta,\psi,\bar{\psi}] + \int d^3\tau' \; {\cal K}_h[\tau,\tau'] \cdot W_{\tau'}[\alpha,\beta,\psi,\bar{\psi}]
$$

with the kernel

$$
\mathcal{K}_{h}[\tau,\tau'] = \frac{\alpha_{s}}{\pi^{2}} \int d^{2}w_{\perp} \frac{X'}{X'^{2}Y'^{2}} \theta^{(3)}(\tau-\tau')\theta\left(z'-\frac{\Lambda^{2}}{s}\right) \theta\left(X'^{2}-\frac{1}{z's}\right) \theta\left(Y'^{2}-\frac{1}{z's}\right) \times \left\{U_{w}^{ba}D_{x,a,<}^{+}D_{y,b,>}^{+} - \frac{1}{2}\left(D_{x,a,<}^{+}D_{y,a,<}^{+} + D_{x,a,>}^{+}D_{y,a,>}^{+}\right) + \frac{1}{2}U_{w}^{pol,ba}(D_{x,a,<}^{+}D_{y,b,>}^{+} + D_{x,a,<}^{+}D_{y,b,>}^{+}) + \left(\frac{1}{2}\gamma^{5}\gamma^{-}\right)_{\beta\alpha} \frac{1}{2}\left((V_{w}^{pol})_{ij}D_{x,j,\alpha,<}^{\bar{\psi}}D_{y,i,\beta,+}^{\psi} + (V_{w}^{pol\,\dagger})_{ij}D_{x,j,\alpha,<}^{\bar{\psi}}D_{y,i,\beta,<}^{\psi}\right)\right\}
$$

Quark and Gluon OAM at Small x

Quark OAM: Definition

• We begin by writing the (Jaffe-Manohar) quark OAM in terms of the Wigner distribution as

$$
L_z = \int \frac{d^2 b_{\perp} db^- d^2 k_{\perp} dk^+}{(2\pi)^3} \, (b \times k)_z \, W(k, b)
$$

with the quark SIDIS Wigner distribution

$$
W^{q, SIDIS}(k, b) = 2 \sum_{X} \int d^2r \, dr^- \, e^{ik \cdot r} \left\langle \bar{\psi}_{\alpha} \left(b - \frac{1}{2}r \right) \, V_{\underline{b} - \frac{1}{2} \underline{r}} \left[b^- - \frac{1}{2}r^- , \infty \right] \left| X \right\rangle \left(\frac{1}{2} \gamma^+ \right)_{\alpha \beta} \right.
$$

$$
\times \left\langle X \right| V_{\underline{b} + \frac{1}{2} \underline{r}} \left[\infty, b^- + \frac{1}{2}r^- \right] \, \psi_{\beta} \left(b + \frac{1}{2}r \right) \right\rangle
$$

• Here, and above, the angle brackets denote "CGC averaging" in the (polarized) proton target:

$$
\left\langle \hat{\mathcal{O}}(b,r) \right\rangle = \frac{1}{2P^+} \int \frac{d^2 \Delta \, d\Delta^+}{(2\pi)^3} \, e^{ib\cdot\Delta} \left\langle P + \frac{\Delta}{2} \right| \hat{\mathcal{O}}(0,r) \left| P - \frac{\Delta}{2} \right\rangle
$$

Quark OAM: small-x simplifications

• The resulting quark OAM "PDF" is

$$
L_q(x, Q^2) = \frac{2P^+}{(2\pi)^3} \sum_X \int d^2k_\perp d^2\zeta \, d\zeta \, d^2\xi \, d\xi \, e^{ik\cdot(\zeta-\xi)} \left(\frac{\zeta+\xi}{2} \times \underline{k}\right) \left\langle \bar{\psi}_\alpha(\xi) V_{\underline{\xi}}[\xi^-,\infty] \, |X\rangle \right. \left(\frac{1}{2}\gamma^+\right)_{\alpha\beta} \times \langle X | V_{\zeta}[\infty,\zeta^-] \, \psi_\beta(\zeta) \right\rangle
$$

• This can be compared to quark helicity,

$$
g_{1L}^q(x,k_T^2) = \frac{2p^+}{(2\pi)^3} \int d^2\zeta \, d\zeta^- \, d^2\xi \, d\xi^- \, e^{ik\cdot(\zeta-\xi)} \left(\frac{1}{2}\gamma^+\gamma^5\right)_{\alpha\beta} \left\langle \bar{\psi}_{\alpha}(\xi) \, V_{\underline{\xi}}[\xi^-,\infty] \, V_{\underline{\zeta}}[\infty,\zeta^-] \, \psi_{\beta}(\zeta) \right\rangle
$$

• The operators are different, but the structure is similar. The quark OAM can be evaluated in the same way as the quark helicity operator: only diagram B survives.

Quark OAM: small-x expression

• After some algebra we arrive at the following small-x expression for quark OAM:

$$
L_{q+\bar{q}}(x,Q^2) = \frac{8N_c}{(2\pi)^5} \int d^2k_{\perp} d^2x_{10} d^2x_{1} e^{i\underline{k}\cdot x_{10}} \frac{x_{10}}{x_{10}^2} \times \frac{\underline{k}}{\underline{k}^2} \frac{x_1}{x_1} \times \underline{k} \int_{\Lambda^2/s}^1 \frac{dz}{z} G_{10}(zs) - \sum_f [\Delta q^f(x,Q^2) + \Delta \bar{q}^f(x,Q^2)]
$$

- The result is written in terms of the polarized dipole amplitude G_{10} (z). It seems we are done, right?
- This is almost correct. The remaining minor technicality is that the above quark OAM depends on the "first moment" of the polarized dipole amplitude

$$
I^k(\underline{x}_{10},zs) = \int d^2x_1 x_1^k G_{10}(zs)
$$

while all our earlier results for the quark helicity were derived for the "zeroth moment", the impact-parameter integrated polarized dipole amplitude

$$
G(x_{10}^2, zs) = \int d^2x_1 \, G_{10}(zs)
$$

Quark OAM: small-x asymptotics

• It turns out that the "first moment" of the polarized amplitude is subleading. It grows with energy as a smaller power of energy

$$
I^k(\underline{x}_{10},zs) \sim (z s x_{10}^2)^{2\sqrt{\frac{\alpha_s N_c}{2\pi}}}
$$

than the flavor-singlet quark helicity distribution

$$
\Delta\Sigma(x,Q^2) = \sum_f [\Delta q^f(x,Q^2) + \Delta \bar{q}^f(x,Q^2)] \sim \left(\frac{1}{x}\right)^{\alpha_h^q} = \left(\frac{1}{x}\right)^{\frac{4}{\sqrt{3}}\sqrt{\frac{\alpha_s N_c}{2\pi}}} \approx \left(\frac{1}{x}\right)^{2.31\sqrt{\frac{\alpha_s N_c}{2\pi}}}
$$

• Since 2.31 > 2, we get (cf. Y. Hatta & D.-J. Yang, 2018)

$$
L_{q+\overline{q}}(x, Q^2) = -\Delta \Sigma(x, Q^2) \sim \left(\frac{1}{x}\right)^{\frac{4}{\sqrt{3}}\sqrt{\frac{\alpha_s N_c}{2\pi}}}
$$

• Note that this is not a complete cancellation, the contribution to the proton spin is

$$
\frac{1}{2}\,\Delta\Sigma(x,Q^2) + L_{q+\bar{q}}(x,Q^2) = -\frac{1}{2}\,\Delta\Sigma(x,Q^2)
$$

Gluon OAM: definition

• The gluon OAM story is similar. We start with the Wigner distribution definition

$$
L_z = \int \frac{d^2b_\perp db^- d^2k_\perp dk^+}{(2\pi)^3} \left(\underline{b} \times \underline{k}\right)_{z} W(k, b)
$$

with the dipole Wigner distribution for gluons

$$
W^{G \, dip}(k,b) = \frac{4}{xP^+} \int d\xi^- d^2\xi_{\perp} e^{ixP^+ \xi^- - i\underline{k} \cdot \underline{\xi}} \times \left\langle \text{tr} \left[F^{+i} (b - \frac{1}{2}\xi) \mathcal{U}^{[+]} [b - \frac{1}{2}\xi, b + \frac{1}{2}\xi] F^{+i} (b + \frac{1}{2}\xi) \mathcal{U}^{[-]} [b + \frac{1}{2}\xi, b - \frac{1}{2}\xi] \right] \right\rangle
$$

• We obtain the following expression for the gluon OAM "PDF" (cf. Hatta et al, 2016)

$$
L_G(x, Q^2) = \frac{4}{(2\pi)^3 x} \int d^2b_\perp db^- d^2k_\perp d\xi^- d^2\xi_\perp \quad (b \times k) \quad e^{ixP^+ \xi^- - i\underline{k} \cdot \xi}
$$

$$
\times \left\langle \text{tr}\left[F^{+i}(b - \frac{1}{2}\xi) \mathcal{U}^{[+]}[b - \frac{1}{2}\xi, b + \frac{1}{2}\xi] F^{+i}(b + \frac{1}{2}\xi) \mathcal{U}^{[-]}[b + \frac{1}{2}\xi, b - \frac{1}{2}\xi] \right] \right\rangle
$$

Gluon OAM: small-x expression

- Gluon OAM at small x can (similarly to the quark OAM) be rewritten in terms of the "moment" of the polarized dipole amplitude G_{10} for the gluon helicity TMD. This object is different from the polarized amplitude for the quark.
- We get

$$
L_G(x, Q^2) = -\frac{8iN_c}{g^2 (2\pi)^3} \int d^2x_{10} d^2k_{\perp} e^{i\underline{k}\cdot \underline{x}_{10}} (\underline{k}\cdot \underline{x}_{10}) G_5 \left(x_{10}^2, z s = \frac{Q^2}{x} \right)
$$

where

$$
\int d^2x_1 \; x_1^j \nabla_{10}^i G_{10}^i(zs) = x_{10}^j \, G_4(x_{10}^2, zs) + \epsilon^{jk} x_{10}^k \, G_5(x_{10}^2, zs)
$$

• We write down and solve the equations for G_5 .

Gluon OAM: small-x asymptotics

• We arrive at the following relation

$$
L_G(x, Q^2) = \left(\frac{\alpha_h^q}{4} \ln \frac{Q^2}{\Lambda^2}\right) \Delta G(x, Q^2)
$$

where

$$
\alpha_h^q = \frac{4}{\sqrt{3}} \sqrt{\frac{\alpha_s N_c}{2\pi}}
$$

 $\sqrt{ }$

• We conclude that

$$
L_G(x,Q^2) \sim \Delta G(x,Q^2) \sim \left(\frac{1}{x}\right)^{\alpha_h^G} \sim \left(\frac{1}{x}\right)^{\frac{13}{4\sqrt{3}}\sqrt{\frac{\alpha_s N_c}{2\pi}}} \sim \left(\frac{1}{x}\right)^{1.88\sqrt{\frac{\alpha_s N_c}{2\pi}}}
$$

• Note that with the DLA accuracy we could also simply conclude that

 $|L_G| \ll |\Delta G|$

Valence Quark Transversity at Small x

Yu.K., M. Sievert, **[arXiv:1808.10354](http://arxiv.org/abs/arXiv:1808.10354) [hep-ph]**

Small-x Asymptotics of Quark Transversity

- Solution of the transversity evolution equation is straightforward.
- The resulting small-x asymptotics is (cf. Kirschner et al, 1996)

$$
h_{1T}^{NS}(x,k_T^2) \sim h_{1T}^{\perp NS}(x,k_T^2) \sim \left(\frac{1}{x}\right)^{\alpha_t^q} \quad \text{with} \quad \alpha_t^q = -1 + 2\sqrt{\frac{\alpha_s C_F}{\pi}}
$$

- Note the suppression by x^2 compared to the unpolarized quark TMDs.
- For $\alpha_s = 0.3$ we get

$$
h_{1T}^{NS}(x, k_T^2) \sim h_{1T}^{\perp NS}(x, k_T^2) \sim x^{0.243}
$$

• This certainly satisfies the Soffer bound, but is not likely to produce much tensor charge from small x. $\mathbf{1}$

$$
\delta q(Q^2) = \int\limits_0^1 dx \, h_1(x, Q^2)
$$

Conclusions

• At large N_c we have obtained the following small-x asymptotics:

$$
\Delta q(x, Q^2) \sim \left(\frac{1}{x}\right)^{\alpha_h^q} \quad \text{with} \quad \alpha_h^q = \frac{4}{\sqrt{3}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 2.31 \sqrt{\frac{\alpha_s N_c}{2\pi}}
$$

$$
\Delta G(x, Q^2) \sim \left(\frac{1}{x}\right)^{\alpha_h^G} \quad \text{with} \quad \alpha_h^G = \frac{13}{4\sqrt{3}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 1.88 \sqrt{\frac{\alpha_s N_c}{2\pi}}
$$

$$
L_{q+\bar{q}}(x, Q^2) = -\Delta \Sigma(x, Q^2) \sim \left(\frac{1}{x}\right)^{\frac{4}{\sqrt{3}} \sqrt{\frac{\alpha_s N_c}{2\pi}}},
$$

$$
L_G(x, Q^2) \sim \Delta G(x, Q^2) \sim \left(\frac{1}{x}\right)^{\frac{13}{4\sqrt{3}} \sqrt{\frac{\alpha_s N_c}{2\pi}}}
$$

- Future helicity and OAM work will involve solving the large- $N_c \& N_f$ equations + including running coupling corrections + LLA corrections + phenomenology to constrain the spin+OAM coming from small-x quarks and gluons.
- EIC should be able to measure helicity TMDs with high precision and down to fairly small x. We may also be able to learn something about OAM.

$$
h_{1T}^{NS}(x, k_T^2) \sim h_{1T}^{\perp NS}(x, k_T^2) \sim \left(\frac{1}{x}\right)^{\alpha_t^q} \quad \text{with} \quad \alpha_t^q = -1 + 2\sqrt{\frac{\alpha_s C_F}{\pi}}
$$

Stan Brodsky's impact on my work

Happy Birthday, Stan!

- Light-front perturbation theory: I have had the appendix of the original Brodsky-Lepage paper with the LFPT rules since I started graduate research in 1995. I still have that copy and consult it regularly.
- Al Mueller's dipole model and my derivation of the BK equation were constructed using LFPT rules.
- First time I understood what nuclear shadowing was: walking with Stan from ECT* in Trento back to town for dinner (1998).
- Brodsky-Lepage-Mackenzie (BLM) scale fixing for the strong coupling: we employed it to construct rcBK and rcJIMWLK.
- Transverse single spin asymmetry: groundbreaking work by Stan with Hwang and Schmidt. Clarified single spin asymmetry to all of us, myself including.

Backup Slides

Proton Spin Puzzle $s_q(Q^2) = \frac{1}{2} \int dx \Delta \Sigma(x,Q^2)$

• The spin puzzle began when the EMC collaboration measured the proton g_1 structure function ca 1988. Their data resulted in

 $\Delta\Sigma \approx 0.1 \div 0.2$

- It appeared quarks do not carry all of the proton spin (which would have corresponded to $\Delta\Sigma=1$).
- $\frac{1}{2} = S_q + L_q + S_g + L_g$ • Missing spin can be – Carried by gluons – In the orbital angular momenta of quarks and gluons – At small x (both helicity and OAM): $S_q(Q^2) = \frac{1}{2} \int_0^1 dx \, \Delta \Sigma(x, Q^2)$ $S_g(Q^2) = \int_0^1 dx \, \Delta G(x, Q^2)$

Can't integrate down to zero, use x_{\min} instead!

– Or all of the above!

• Dominance of diagram B can also be obtained by applying crossing symmetry to the SIDIS process (KS '15):

• Compare the last line to the diagram B: reflecting the cc amplitude into the amplitude reduces the above diagram to the one on the right.

• At high energy/small-x the proton is a shock wave, and we have the following contributions to the SIDIS quark helicity TMD:

 $g_{1L}^q(x,k_T^2) = \frac{2p^+}{(2\pi)^3} \sum_{\mathbf{v}} \int d^2\zeta \, d\zeta^- \, d^2\xi \, d\xi^- \, e^{ik\cdot(\zeta-\xi)} \left(\frac{1}{2}\gamma^+\gamma^5\right)_{\alpha\beta} \left\langle \bar{\psi}_{\alpha}(\xi) \, V_{\underline{\xi}}[\xi^-,\infty] \, |X\rangle \right. \\ \left.\left.\langle X \, | \, V_{\underline{\zeta}}[\infty,\zeta^-] \, \psi_{\beta}(\zeta) \right\rangle \right\rangle$

- Diagram D does not transfer spin information from the target. Diagram C is canceled as we move t-channel quarks across the cut.
- Diagram F is energy-suppressed, since the gluon should have no time to be emitted and absorbed inside the shock wave.
- Diagrams of the types A and E++ can be shown to cancel each other at the leading (DLA) order (Ward identity).
- We are left with the diagram B.

Helicity Evolution Ingredients

• Unlike the unpolarized evolution, in one step of helicity evolution we may emit a soft gluon or a soft quark (all in A⁺=0 LC gauge of the projectile):

• When emitting gluons, one gluon is eikonal, while another one is soft, but non-eikonal, as is needed to transfer polarization down the cascade/ladder.

Helicity Evolution: Ladders

• To get an idea of how the helicity evolution works let us try iterating the splitting kernels by considering ladder diagrams (circles denote non-eikonal gluon vertices):

• To get the leading-energy asymptotics we need to order the longitudinal momentum fractions of the quarks and gluons (just like in the unpolarized evolution case) $1 \gg z_1 \gg z_2 \gg z_3 \gg \ldots$ evolution case)

obtaining a nested integral

$$
\alpha_s^3 \int_{z_i}^1 \frac{dz_1}{z_1} \int_{z_i}^{z_1} \frac{dz_2}{z_2} \int_{z_i}^{z_2} \frac{dz_3}{z_3} z_3 \otimes \frac{1}{z_3 s} \sim \frac{1}{s} \alpha_s^3 \ln^3 s
$$

Helicity Evolution: Ladders

- However, these are not all the logs of energy one can get here. Transverse momentum (or distance) integrals have UV and IR divergences, which lead to logs of energy as well.
- If we order transverse momenta / distances as (Sudakov- β ordering)

$$
\frac{k_1^2}{z_1} \ll \frac{k_2^2}{z_2} \ll \frac{k_3^2}{z_3} \ll \dots
$$

we would get integrals like

also generating logs of energy.

$$
z_1 \underline{x}_1^2 \gg z_2 \underline{x}_2^2 \gg z_3 \underline{x}_3^2 \gg \dots
$$

$$
\int\limits_{1/(z_n\,s)}^{x_{n-1,\,\perp}^2\,z_{n-1}/z_n}\!\frac{dx_{n,\,\perp}^2}{x_{n,\,\perp}^2}
$$

Helicity Evolution: Ladders

• To summarize, the above ladder diagrams are parametrically of the order

$$
\frac{1}{s} \alpha_s^3 \, \ln^6 s
$$

- Note two features:
	- 1/s suppression due to non-eikonal exchange
	- two logs of energy per each power of the coupling!

Large-N_c Evolution

• In the strict DLA limit (S=1) and at large N_c we get (here Γ is an auxiliary function we call the 'neighbor dipole amplitude') (KPS '15)

$$
G(x_{10}^2, z) = G^{(0)}(x_{10}^2, z) + \frac{\alpha_s N_c}{2\pi} \int_{\frac{1}{x_{10}^2}}^z \frac{dz'}{z'} \int_{\frac{1}{x_{21}^2}}^{\frac{x_{10}^2}{x_{21}^2}} \left[\Gamma(x_{10}^2, x_{21}^2, z') + 3 G(x_{21}^2, z') \right]
$$

$$
\frac{1}{x_{10}^2 s} \int_{\frac{1}{x_s^2 s}}^{\frac{x_{10}^2}{x_{21}^2}} \frac{dz'}{z'} \frac{\min\{x_{10}^2, x_{21}^2, z'\}}{\int_{\frac{x_{10}^2}{x_{10}^2}}^{\frac{x_{10}^2}{x_{21}^2}} \left[\Gamma(x_{10}^2, x_{32}^2, z'') + 3 G(x_{32}^2, z'') \right]
$$

• The initial conditions are given by the Born-level graphs

Non-Ladder Diagrams

• Ladder diagrams are not the whole story. The non-ladder diagrams below are also leading-order (that is, DLA).

• Non-ladder soft quark emissions cancel for flavor-singlet observables we

Large-N_c Evolution: Equations

• Here

 $\Gamma_{20.21}^{gen}(z's) = \theta(x_{20} - x_{21}) \Gamma_{20,21}(z's) + \theta(x_{21} - x_{20}) G_{20}(z's)$

is an object which we know from the quark helicity evolution, as the latter gives us G and Γ .

• Note that our evolution equations mix the gluon (Gⁱ) and quark (G) small-x helicity evolution operators:

$$
G_{10}^{i}(zs) = G_{10}^{i(0)}(zs) + \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{\Delta^{2}}{s}}^{z} \frac{dz'}{z'} \int d^{2}x_{2} \ln \frac{1}{x_{21}\Lambda} \frac{\epsilon_{T}^{ij}(x_{21})_{\perp}^{j}}{x_{21}^{2}} \left[\Gamma_{20,21}^{gen}(z's) + G_{21}(z's) \right]
$$

$$
- \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{\Delta^{2}}{s}}^{z} \frac{dz'}{z'} \int d^{2}x_{2} \ln \frac{1}{x_{21}\Lambda} \frac{\epsilon_{T}^{ij}(x_{20})_{\perp}^{j}}{x_{20}^{2}} \left[\Gamma_{20,21}^{gen}(z's) + \Gamma_{21,20}^{gen}(z's) \right]
$$

$$
+ \frac{\alpha_{s}N_{c}}{2\pi} \int_{\frac{1}{x_{10}^{2s}}}^{z} \frac{dz'}{z'} \int_{\frac{1}{x_{21}^{2}}}^{x_{10}^{2}} \frac{dx_{21}^{2}}{x_{21}^{2}} \left[G_{12}^{i}(z's) - \Gamma_{10,21}^{i}(z's) \right]
$$

Initial Conditions

• Initial conditions for this evolution are given by the lowest order t-channel gluon exchanges: Ω 0

• Note that these initial conditions have no ln s, unlike the initial conditions for the quark evolution:

$$
\int d^2 b_{10} G_{10}^{(0)}(zs) = \int d^2 b_{10} \Gamma_{10,21}^{(0)}(zs) = -\frac{\alpha_s^2 C_F}{N_c} \pi \ln(zs x_{10}^2)
$$

Small-x Evolution at large N_c

• At large N_c the evolution is gluon-driven. We will evolve a gluon dipole, remembering that at large N_c the relation between the adjoint and fundamental longitudinally-polarized gluon dipoles is

$$
G^{adj}_{10}(z) = 4\,G_{10}(z)
$$

(Note that the factor is 4, not 2 like in the unpolarized dipole case.)

Small-x Evolution at large N_c

• We need to sum the following diagrams (box denotes the polarized "Wilson lines"):

Small-x Evolution at large $N_c \& N_f$

- At large $N_c \& N_f$ there is no simple relation between the adjoint and fundamental polarized dipole amplitudes. We need to construct coupled evolution equations mixing them with each other.
- Here's the adjoint dipole evolution:

Small-x Evolution at large $N_c \& N_f$

- At large $N_c \& N_f$ there is no simple relation between the adjoint and fundamental polarized dipole amplitudes. We need to construct coupled evolution equations mixing them with each other.
- Here's the fundamental dipole evolution:

Small-x Evolution at large $N_c & N_f$

• The resulting equations are

$$
\begin{split} Q_{10}(zs) &= Q_{10}^{(0)}(zs) + \frac{\alpha_s N_c}{2\pi} \int\limits_{\frac{\Delta_s}{2}}^z \frac{dz'}{z'} \int\limits_{1/(z's)}^{z_{10}^2} \frac{dz_{21}^2}{x_{21}^2} \left\{ \frac{1}{2} \Gamma_{02,21}^{adj}(z') + \frac{1}{2} G_{21}^{adj}(z') + Q_{12}(z') - \bar{\Gamma}_{01,21}(z') \right\} \\ &\qquad + \frac{\alpha_s N_c}{4\pi} \int\limits_{\frac{\Delta_s'}{2}}^z \frac{dz'}{z'} \int\limits_{1/(z's)}^{z_{10}^2 z_{21}^2} \frac{dz_{21}^2}{x_{21}^2} \left\{ Q_{21}(z'), \end{split}
$$

$$
\begin{split} G_{10}^{adj}(z) &= G_{10}^{adj}(0)(z) + \frac{\alpha_s N_c}{2\pi} \int\limits_{\max\{\Lambda^2, 1/x_{10}^2\}/s}^z \frac{dz'}{z'} \int\limits_{1/(z's)}^{z_{10}^2} \frac{dx_{21}^2}{x_{21}^2} \left[\Gamma_{10,21}^{adj}(z') + 3 G_{21}^{adj}(z') \right] \\ &\qquad - \frac{\alpha_s N_f}{2\pi} \int\limits_{\Lambda^2/s}^z \frac{dz'}{z'} \int\limits_{1/(z's)}^{z_{10}^2 z_{21}^2} \frac{dx_2^2}{x_{21}^2} \bar{\Gamma}_{02;21}(z'), \end{split}
$$
 TheSE are yet to be solved.
$$
\begin{split} \Gamma_{0021}^{adj}(z') &= \Gamma_{0021}^{adj}(z') + \frac{\alpha_s N_c}{2\pi} \int\limits_{\max\{\Lambda^2, 1/x_{10}^2\}/s}^{z'} \frac{dz'}{z'} \frac{dx''}{z''} \frac{\sin(z''_2,z'z'z'')}{z''_2} \frac{dx_2^2}{x_{22}^2} \left[\Gamma_{10,22}^{adj}(z'') + 3 G_{21}^{adj}(z'') \right] \\ &\qquad - \frac{\alpha_s N_f}{2\pi} \int\limits_{\Lambda^2/s}^z \frac{dz'}{z''} \int\limits_{1/(z's)}^{z''
$$

Comparison with BER

To better understand BER work, we (KPS) tried calculating one (real) step of DLA helicity evolution for the qq->qq scattering (ca 2016).

It appears that we have identified the k_2 >> k_1 and k_1 >> k_2 regimes in which diagrams A, B, C, D, E, I are DLA, which were possibly not considered by BER for B, C, … I. (Clarification to follow.)

Diagrams B and C: neither ladders nor bremsstrahlung gluons

• Consider now diagrams B and C in the opposite kinematics, k2 << k1.

• There are 2 softest gluons k2. But it does not look like a ladder. If we apply IREE prescription, we split the diagram in two, but the upper part does not appear to look like a ladder.

Diagram C

- At this order it is OK. One can redefine how the ladder goes (now thick lines describe helicity flow, circles = non-eikonal helicity-dependent vertices).
- Also, $C1 = C2$.
- This is based on the observation by Boussarie, Hatta and Yuan '19.
- This is all one needs for NNLO anomalous dimension.
- `Regular' ladders look like this:

Higher orders?

- I do not see how this iterates to higher orders.
- How do we define the ladder in diagrams like this one? (if this is DLA)

Higher orders

• Iterating further, one arrives at diagrams like the ones in our shock wave approach:

• If q are the softest 2 gluons, how do these graphs arise from IREE? I know for sure these are DLA in our shock wave calculation.