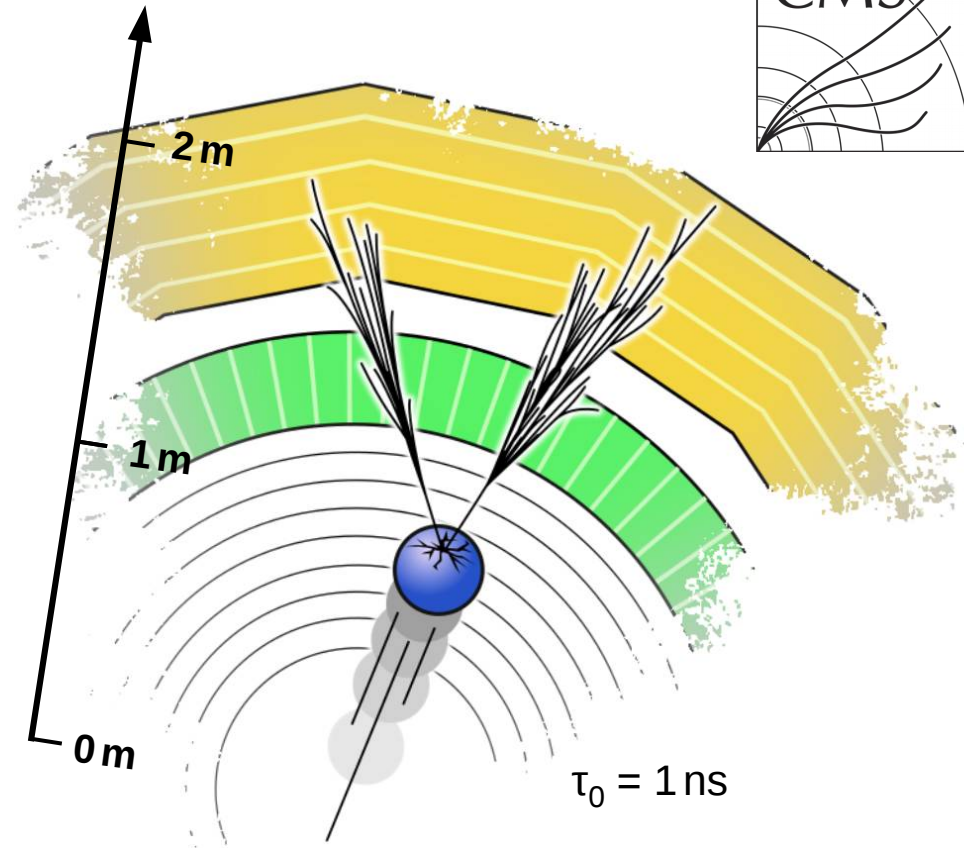




# Identification of new long-lived particle states using deep neural networks

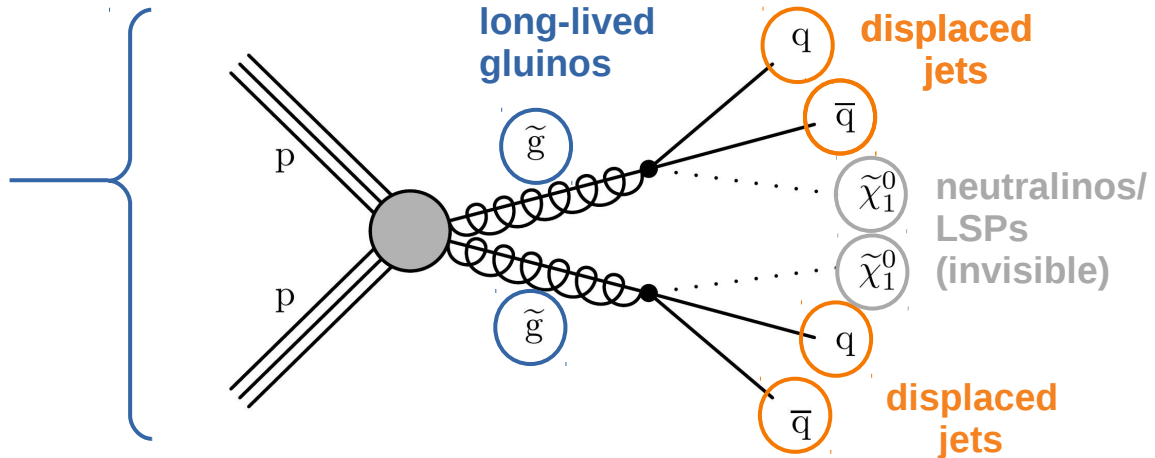


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**Matthias Komm**, Vilius Cepaitis,  
Rob Bainbridge, Alex Tapper, Oliver Buchmüller

# Motivation

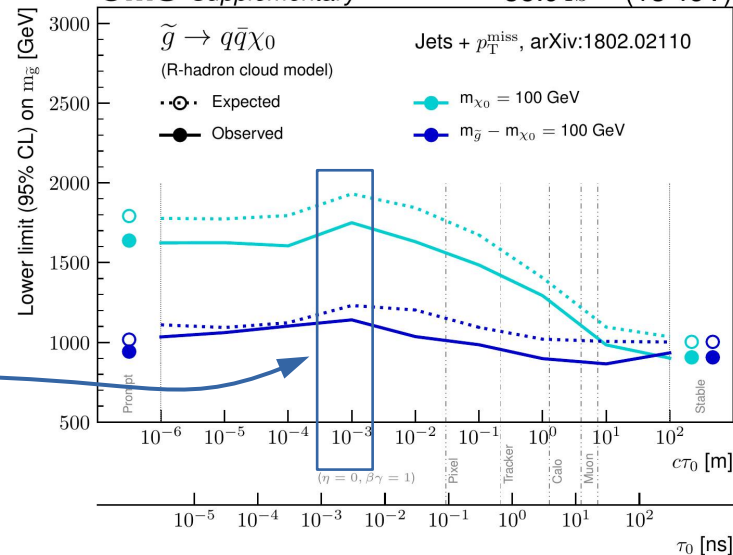
- long-lived particles
  - rich theoretical landscape: **split SUSY**, gauge-mediated SUSY breaking, R-parity violating SUSY, hidden valley, ...
  - typically includes dark matter candidate
  - large parameter space:
    - proper decay length ( $c\tau_0$ )
      - $\mathcal{O}(10 \mu\text{m}) \dots \mathcal{O}(10 \text{m})$
    - gluino ( $\tilde{g}$ ) mass & LSP ( $\tilde{\chi}_1^0$ ) mass
      - mass difference controls  $p_T$  of jets



- existing search by CMS (JHEP 05 (2018) 025)
  - generic search for natural & split SUSY
    - sensitivity to LLPs through b-tagging ( $c\tau_0 \approx 1 \text{mm}$ )

- idea: enhance sensitivity with generic displaced jet tagger

CMS Supplementary 35.9 fb<sup>-1</sup> (13 TeV)



# Labelling “displaced” jets

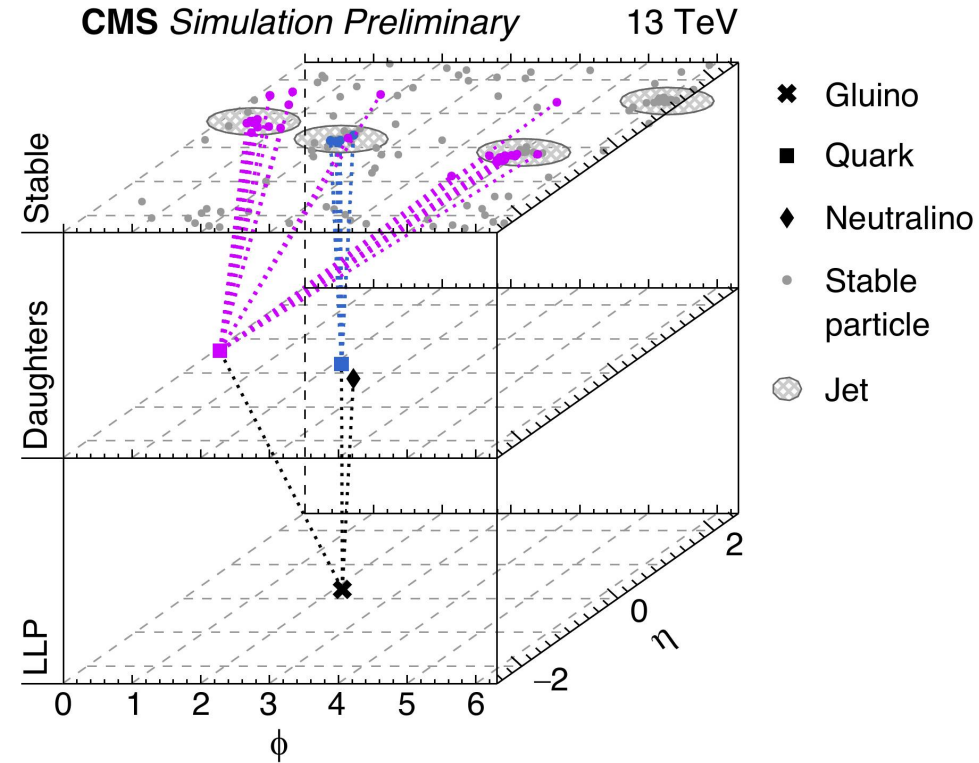
- problem: no definition in literature to be exploited
  - initial idea: “ghost” tagging as used for b, c jets
  - strong interactions between displaced quarks at the gluino decay vertex
    - ghost tagging cannot account for non-pointing jets or multiple jets from one parton

- solution

- define jet momentum fraction of generator-level jet carried by clustered particles  $j$  per vertex  $v$

$$f_v(\text{jet}) = \frac{(\sum_j \vec{p}_j | j \in \text{vertex } v) \cdot \vec{p}_{\text{jet}}}{p_{\text{jet}}^2}, \quad f_v(\text{jet}) \in [0; 1]$$

→ label jets ‘LLP’ where  $f_v = \max$

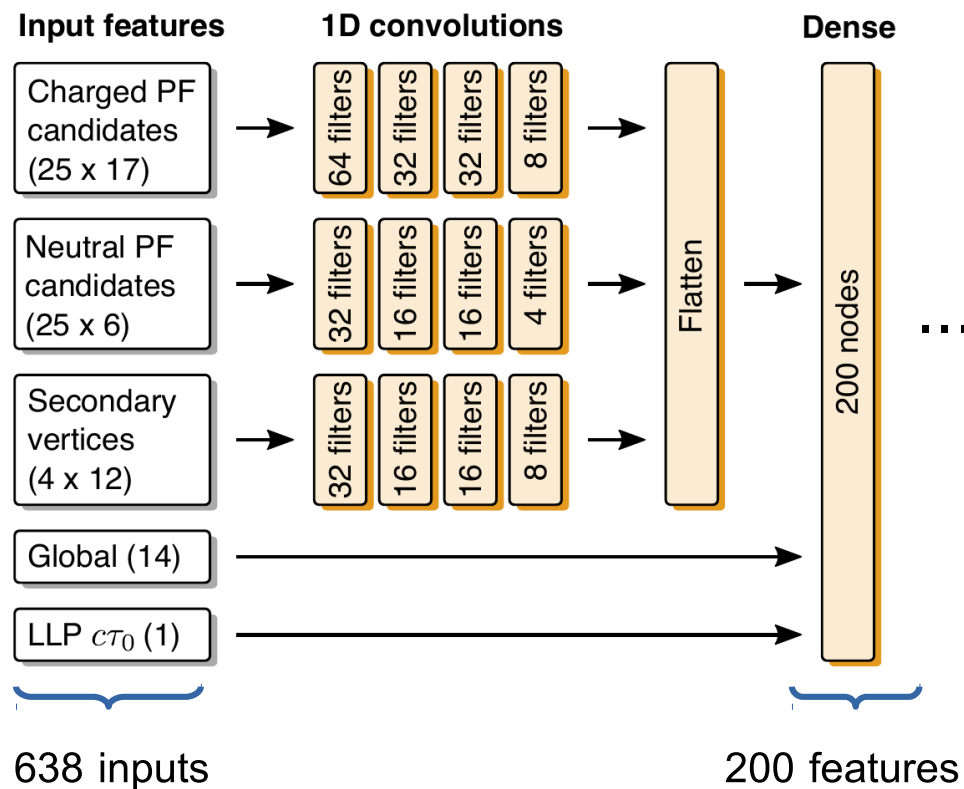


# Neural network architecture

- inspired by CMS DeepJet algorithm (latest b-tagging algorithm)
- parametrized network since importance of features changes with lifetime
- trained using jets from multijet,  $t\bar{t}$  & split SUSY samples to predict jet class: uds, g, b, c, LLP

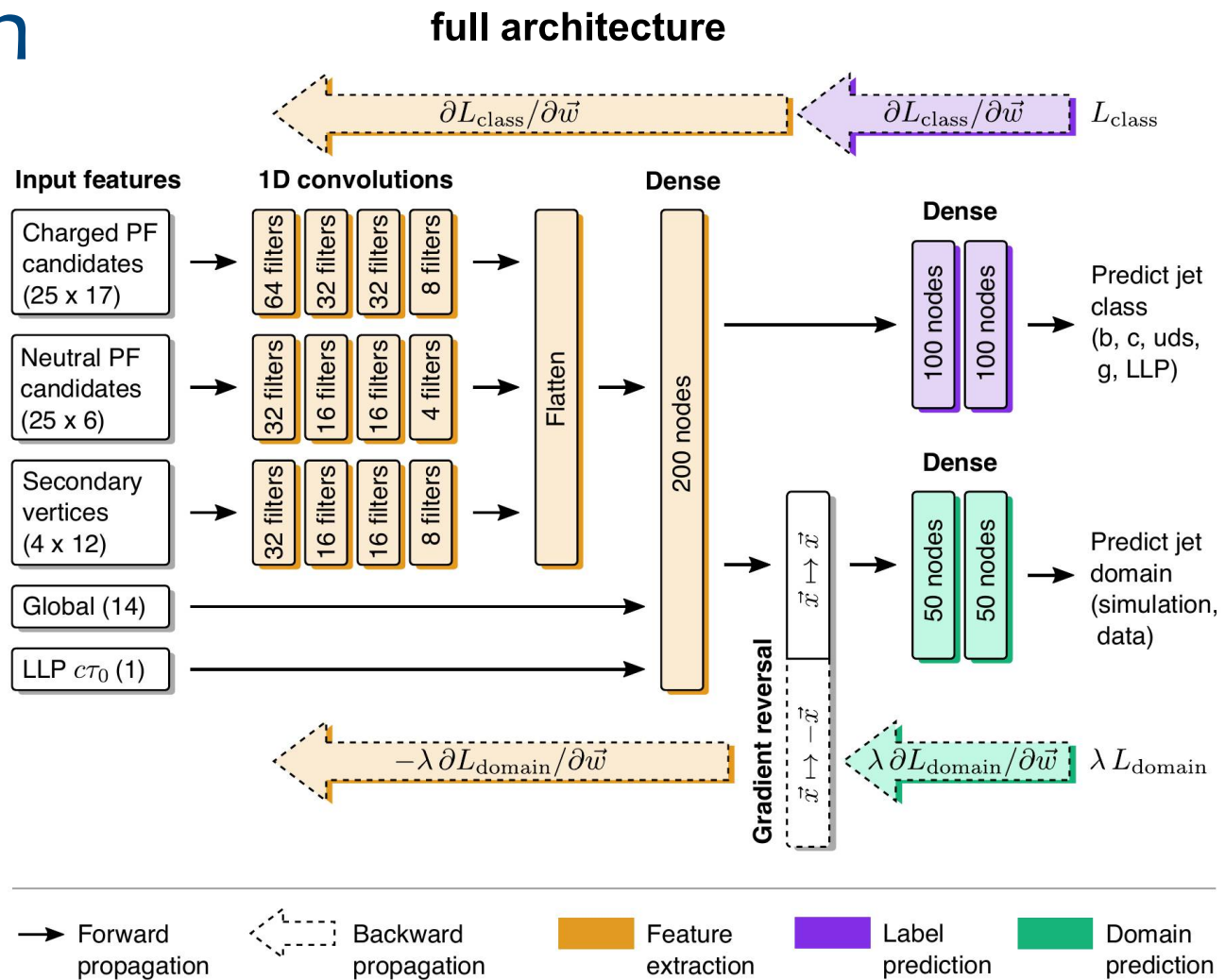
## ➤ feature extraction

- 1d convolutions with kernel size of 1  
→ compresses features per constituent
- result combined with global features & lifetime  
→ 200 highly discriminating features from 638 inputs



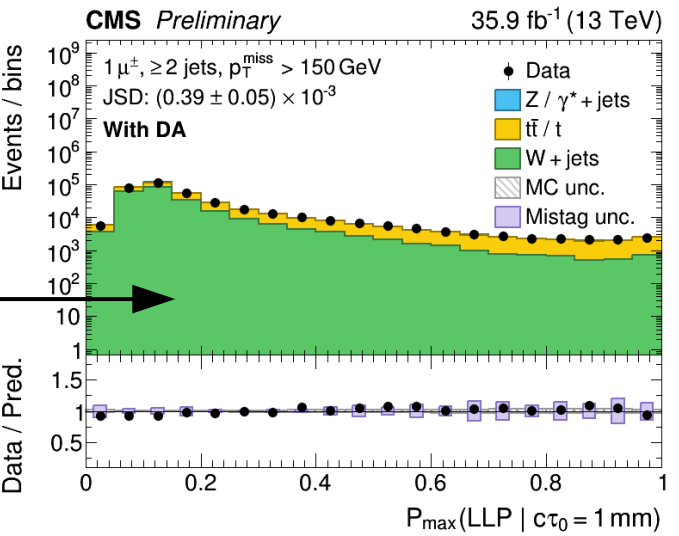
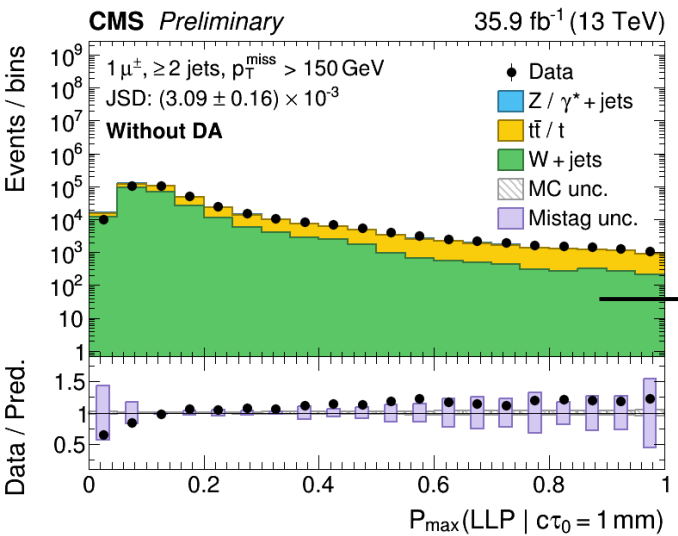
# Domain adaptation

- apply domain adaptation by backpropagation to improve agreement between data/MC in control region ([1505.07818](#))
- 200 extracted features are used to predict jet class & domain
- the summed loss is minimized:  $L_{\text{class}} + \lambda L_{\text{domain}}$  ( $\lambda$  = hyperparameter)
- gradient reversal layer leads to maximization of weights wrt. domain loss in feature extraction layers
- extracted features invariant wrt. domain; i.e. expect similar distribution & performance

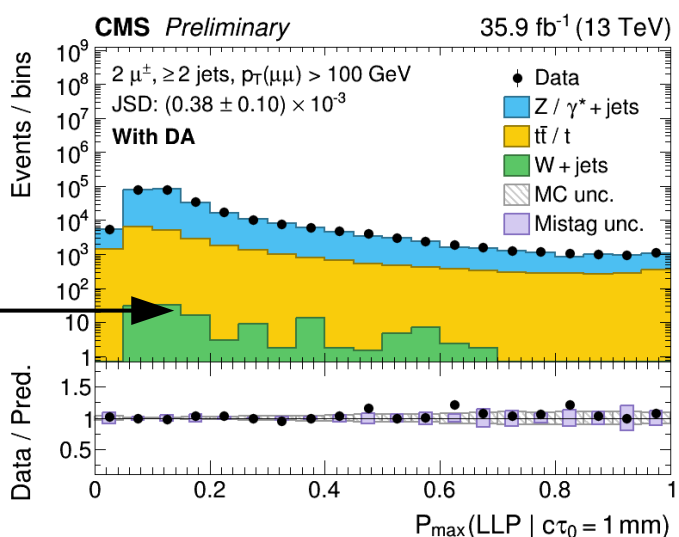
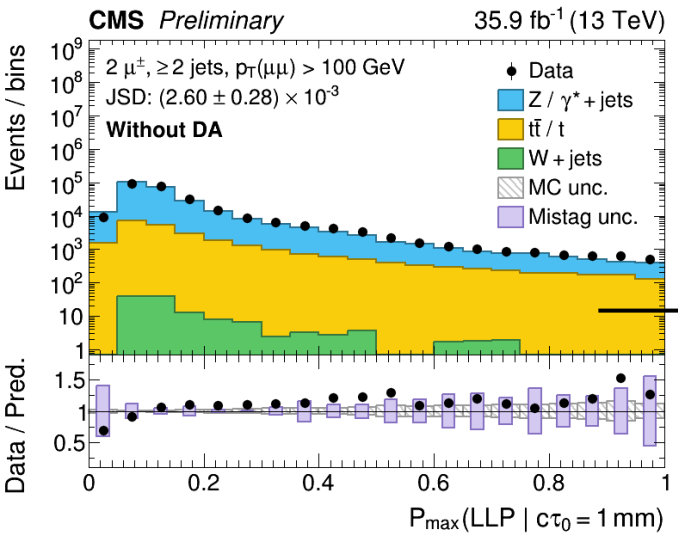


# Validation

- domain adaptation uses simulated and real data jets from single muon control region
- improvement validated in dimuon control region
- deviations up to  $\pm 50\%$  w/o DA reduced to  $\pm 10\%$

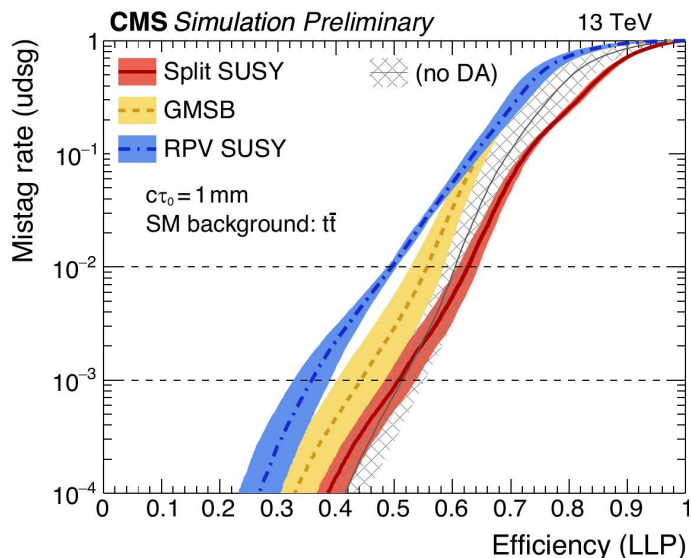


- mistag uncertainty derived in bins of  $P(LLP|c\tau_0)$  from independent control region to cover for residual differences

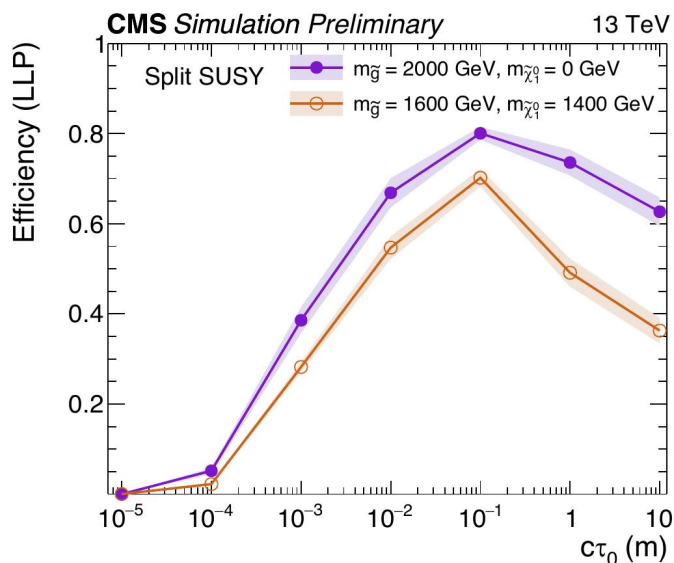


# Performance

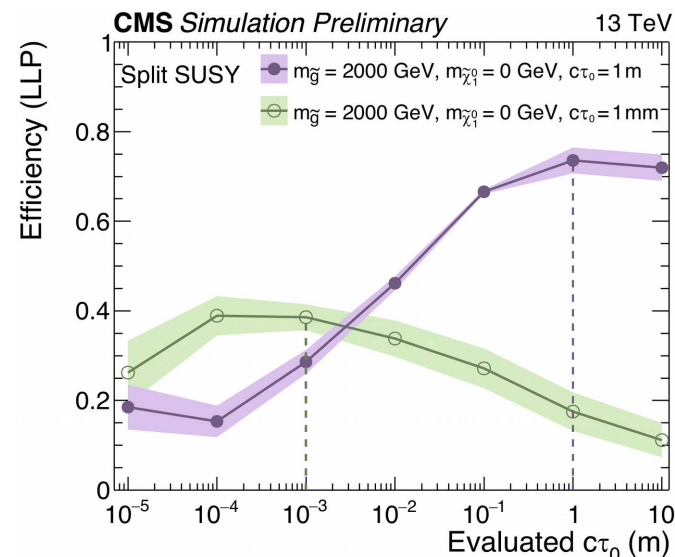
ROC curve  $c\tau_0 = 1$  mm



eff. @ fixed bkg. rate of 0.01%



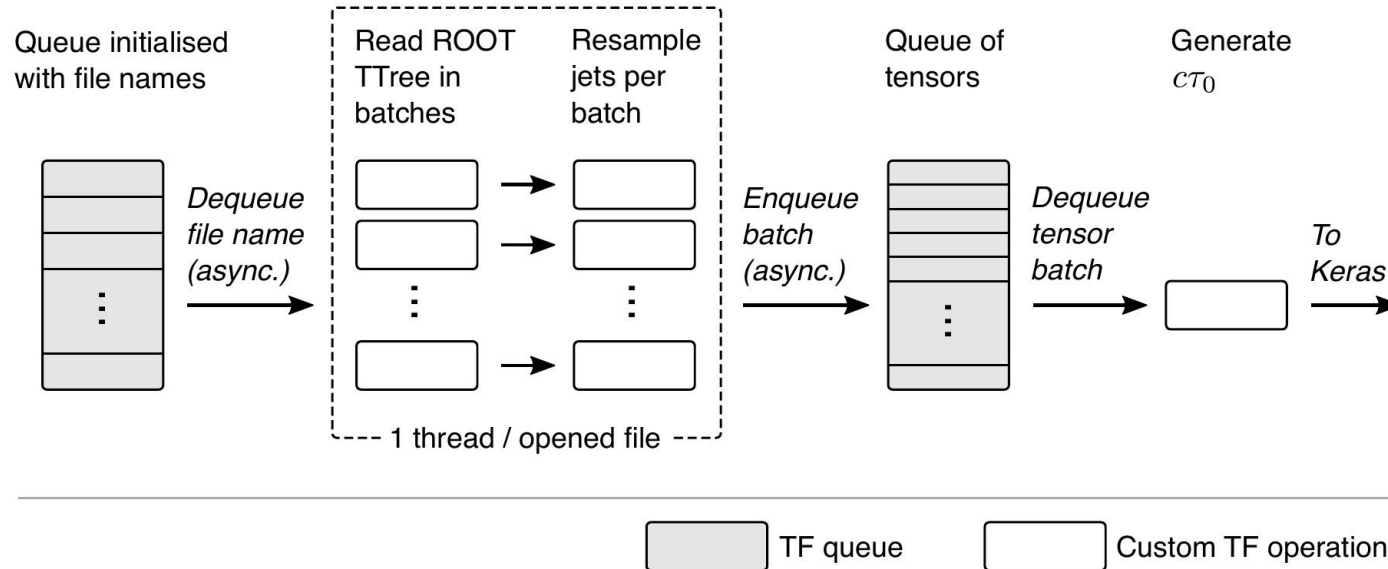
evaluate for wrong  $c\tau_0$



- good performance for GMSB ( $\tilde{g} \rightarrow \tilde{G}g$ ) & RPV ( $\tilde{t} \rightarrow b\ell$ ) models despite that the tagger was trained only with split SUSY sample
- lower discrimination power for  $\mathcal{O}(10 \mu\text{m})$  lifetimes ( $\sim$  within primary vertex resolution)
- evaluating at wrong lifetime results in degradation of performance  
 → potential for estimating the lifetime of an unknown signal in data

# Technical implementation

- training performed using keras & tensorflow packages
- developed custom preprocessing pipeline build on top of tensorflow (v1) queue system



- data is directly read & preprocessed from ROOT TTree asynchronously in CPU threads
  - jets are resampled on-the-fly to achieve same  $p_T, \eta$  distribution for all jet classes
  - a fake lifetime is generated for background jets by sampling from signal  $c_{T_0}$  distribution per batch
- a demo will be released soon as well



# Showcase search for split SUSY

## ➤ strategy

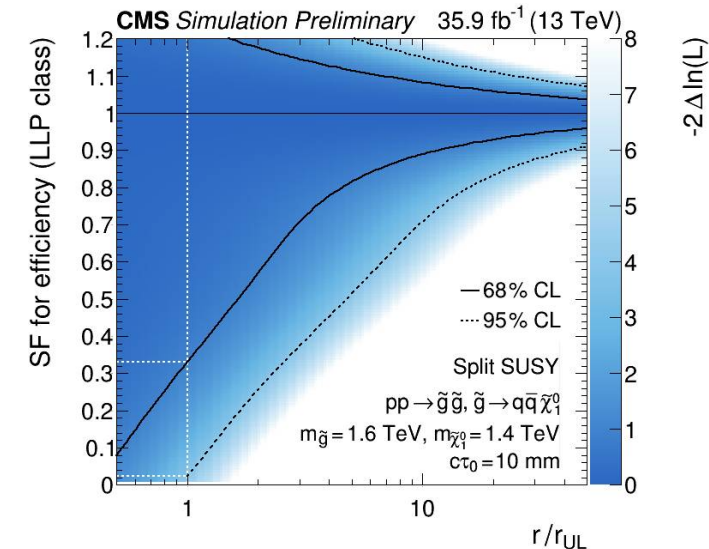
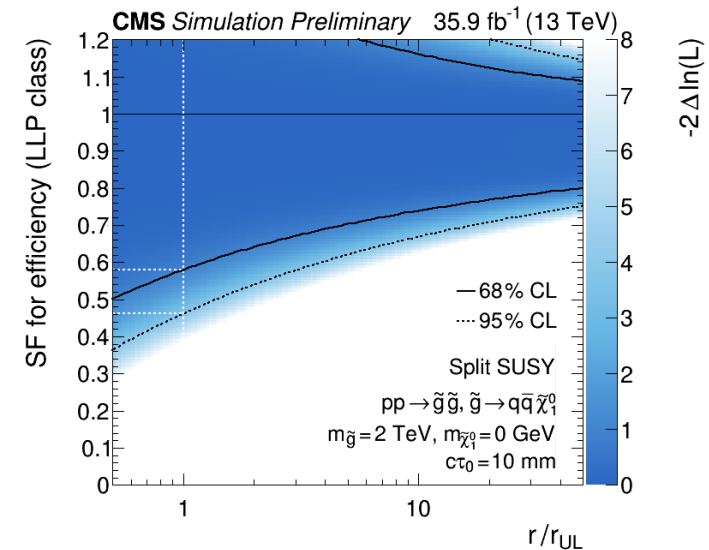
- select events with at least 3 jets ( $p_T > 30$  GeV,  $|\eta| < 2.4$ )  
 $H_T^{\text{miss}} > 300$  GeV,  $H_T^{\text{miss}}/p_T^{\text{miss}} < 1.25$ , veto  $e^\pm/\mu^\pm$
- classify events depending on  $H_T$ , #jets, #tags

## ➤ signal efficiency

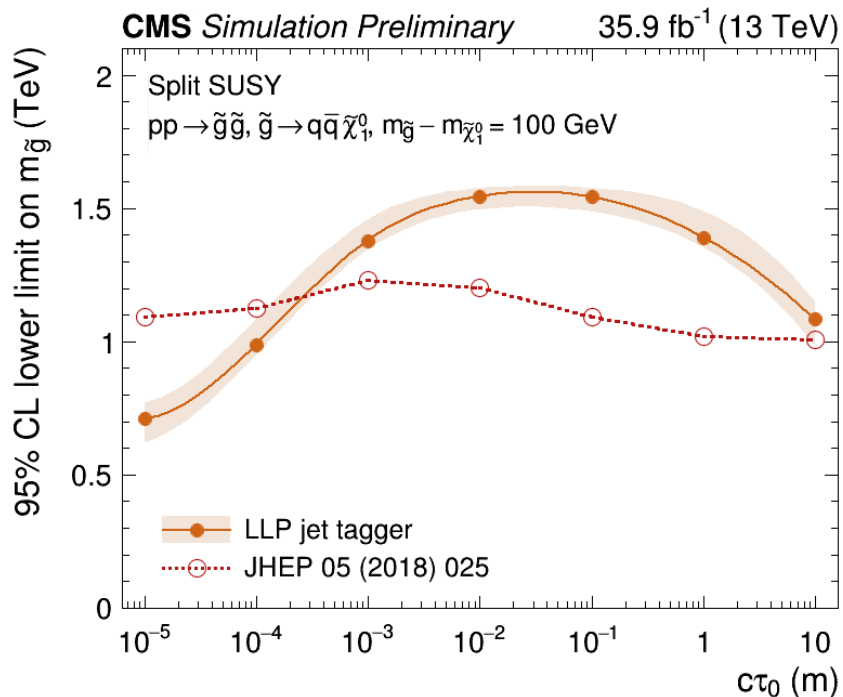
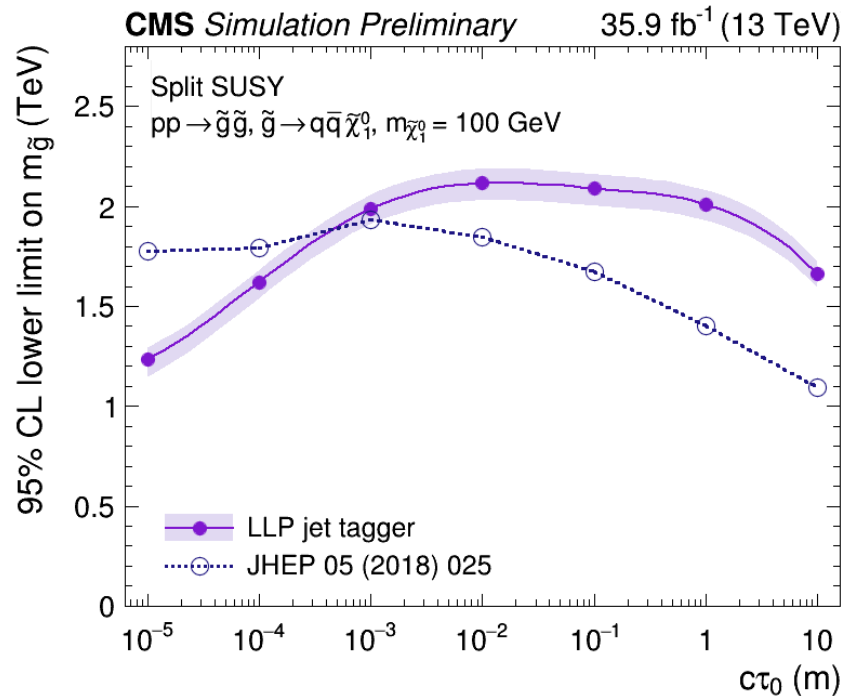
- differences in signal efficiency between data/MC a priori unknown
- idea: incorporate unknown signal efficiency as nuisance parameter in statistical model through event weight

$$w = \left( \frac{1 - \text{SF} \epsilon_{\text{MC}}}{1 - \epsilon_{\text{MC}}} \right)^{(N_{\text{jet}} - N_{\text{tag}})} \times \text{SF}^{N_{\text{tag}}}$$

→ scale factor (SF) can be constrained in-situ with the chosen categorization of events



# Expected limits on $pp \rightarrow \tilde{g}\tilde{g}, \tilde{g} \rightarrow q\bar{q}\chi_1^0$



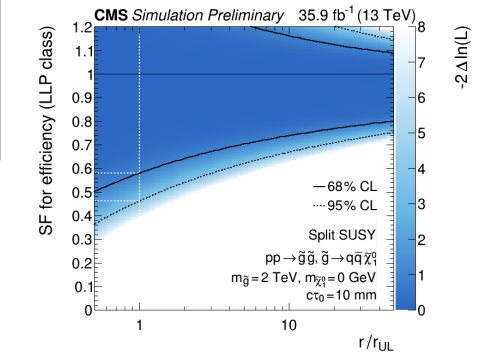
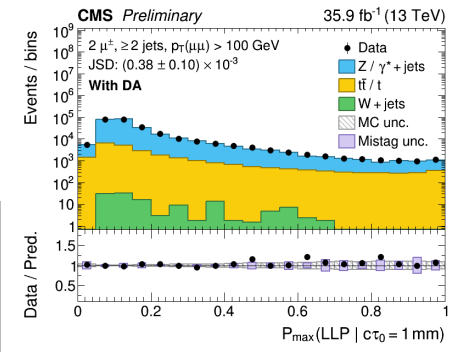
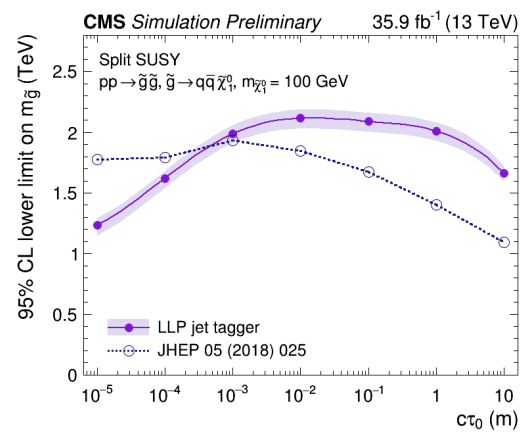
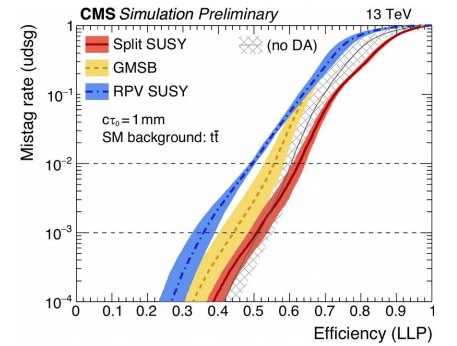
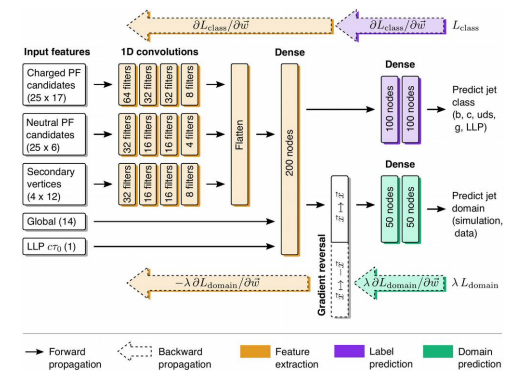
- competitive (expected) limits obtained using simple binning scheme
- largest uncertainty from finite simulation sample size
- clear gain for lifetimes  $c\tau_0 \geq 1$ mm over previous search based on b-tagging
- less sensitive at lower lifetimes since event kinematics were not (yet) explored

# Summary

- jet tagger for generic displaced jets
  - displaced jets definition
  - **parametrized** neural network
  - **domain adaptation** to improve data/MC modeling in control regions
  - good performance also for models not in training
  - custom input pipeline for preprocessing which reads ROOT TTree directly

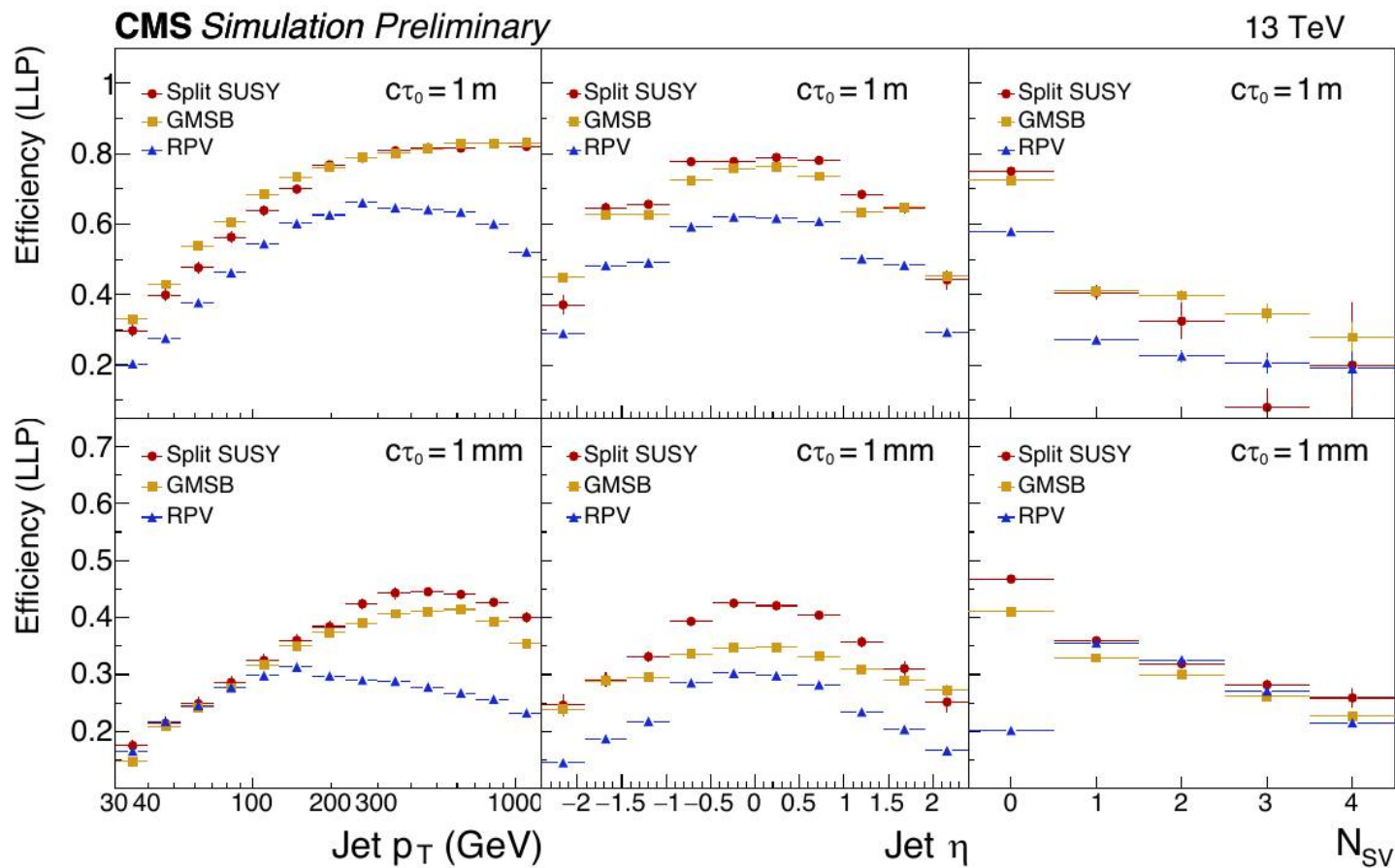
- showcase application
  - signal model: split SUSY
  - simple event categorization ( $H_T, \#\text{jets}, \#\text{tags}$ )
  - in-situ constraint of unknown signal efficiency
  - competitive expected limits obtained for  $c\tau_0 \geq 1\text{mm}$

- further information
  - CMS Physics Analysis Summary, EXO-19-011, [cds.cern.ch/record/2698267](https://cds.cern.ch/record/2698267)



# Backup

# Performance



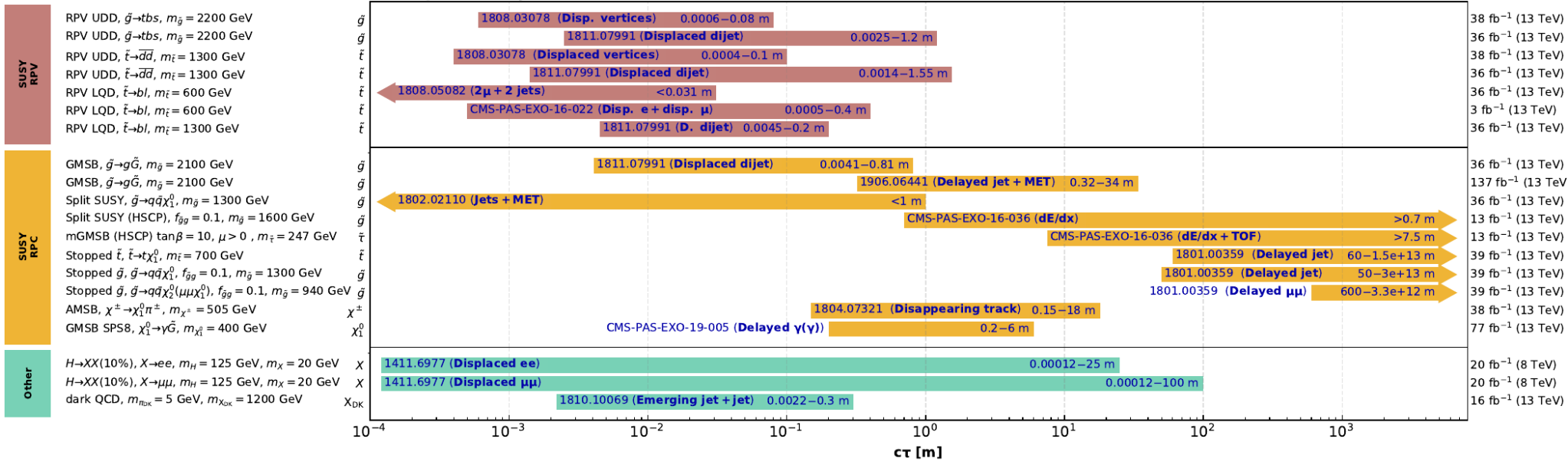
# Yield table

$H_T$ (GeV)	300–800	300–800	300–800	>800	>800	>800
$(N_{\text{jet}}, N_{\text{tag}})$	(3–4, $\geq 2$ )	(5, $\geq 2$ )	( $\geq 6$ , $\geq 3$ )	(3–4, $\geq 2$ )	(5, $\geq 2$ )	( $\geq 6$ , $\geq 3$ )
$Z^0(\rightarrow \nu\bar{\nu})+\text{jets}$	$40.7 \pm 39.2$	$6.5 \pm 5.8$	$0.6 \pm 0.4$	$3.3 \pm 2.8$	$1.6 \pm 1.2$	$0.1 \pm 0.1$
$W(\rightarrow \ell\nu)+\text{jets}$	$56.3 \pm 44.1$	$11.6 \pm 5.1$	$1.5 \pm 0.5$	$3.6 \pm 2.5$	$1.2 \pm 3.0$	$< 0.1$
$t\bar{t}$	$39.6 \pm 36.1$	$17.9 \pm 15.7$	$1.9 \pm 1.1$	$2.1 \pm 1.3$	$3.2 \pm 2.4$	$3.0 \pm 2.1$
Single top	$5.7 \pm 5.2$	$2.6 \pm 2.2$	$0.3 \pm 0.2$	$0.6 \pm 0.4$	$0.5 \pm 0.3$	$0.4 \pm 0.3$
Total SM	$142 \pm 69$	$38.5 \pm 17.6$	$4.3 \pm 1.3$	$9.7 \pm 4.0$	$6.5 \pm 4.1$	$3.5 \pm 2.5$
Uncompressed	$< 0.1$	$< 0.1$	$< 0.1$	$3.0 \pm 2.9$	$3.8 \pm 3.7$	$5.7 \pm 5.5$
Compressed	$5.4 \pm 5.0$	$4.2 \pm 3.8$	$2.8 \pm 2.5$	$1.1 \pm 0.9$	$2.5 \pm 2.2$	$4.5 \pm 4.1$

– signal scenarios:

- uncompressed:  $c\tau_0 = 1$  mm,  $m_{\tilde{g}} = 2$  TeV,  $m_{\tilde{\chi}_1^0} = 0$  TeV
- compressed:  $c\tau_0 = 1$  mm,  $m_{\tilde{g}} = 1.6$  TeV,  $m_{\tilde{\chi}_1^0} = 1.4$  TeV

# CMS limits overview



Selection of observed exclusion limits at 95% C.L. (theory uncertainties are not included). The y-axis tick labels indicate the studied long-lived particle.

July 2019

# Limits

