

# Probing axion-like particles with reactor neutrino experiments

**Dimitrios K. Papoulias**

University of Ioannina, Greece  
NuFACT 2021, 5-11 September 2021, Cagliari Italy

Based on: [JHEP 03 \(2021\) 294](#)  
in collab. with **D. Aristizabal**, **V. De Romeri** & **L.J. Flores**



Ευρωπαϊκή Ένωση  
European Social Fund

Operational Programme  
**Human Resources Development,  
Education and Lifelong Learning**

Co-financed by Greece and the European Union



- 1 Introduction
- 2 ALP production at reactor experiments
  - Photon flux
  - Production mechanisms and ALP flux
- 3 ALP detection
  - detection cross section: Primakoff, Compton, Axioelectric effect
  - ALP decays
- 4 Sensitivities
  - probing the ALP-photon, -electron and -nucleon couplings
  - comparison with other experimental probes and astrophysical results
- 5 Summary

# ALP motivations

**Axions:** *Nambu-Goldstone bosons from the breaking of a color anomalous global chiral  $U(1)$  symmetry which is spontaneously broken in the vacuum.*

[Peccei & Quinn, PRL 38 (1977) 1440], [Weinberg, PRL 40 (1978) 223], [Wilczek, PRL 40 (1978) 279]

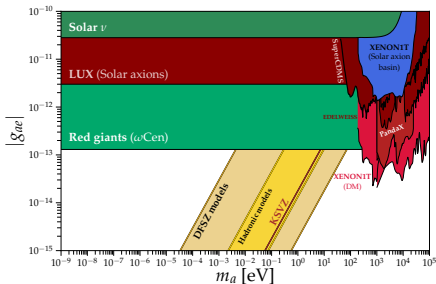
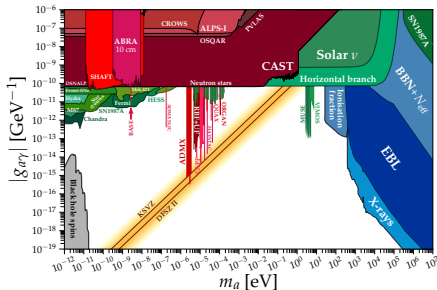
- solution to the strong CP problem
- dark matter candidate
- $m_a$  and  $f_a$  are related  $\rightarrow m_a = 5.7 (10^{12} \text{GeV}/f_a) \mu\text{eV}$

**Axion-like particles (ALPs):** *Pseudo Nambu-Goldstone bosons of spontaneously broken global symmetries.*

- Lepton symmetry: Majoron [Chikashige et. al, PLB 98 (1981) 2651981]
- Family symmetry: Familon [Wilczek, PRL 49 (1982) 1549]
- Flavor symmetry: Flavon
- $m_a$  and  $f_a$  are not related  $\rightarrow$  mass does not arise from QCD effects

# Current status of ALP-related experimental searches

- **helioscopes** (CAST) & **haloscopes** (Abracadabra, ADMX, CASPER...)
- **interferometry** (ADBC, DANCE) & **polarization expts** (PVLAS)
- **beam dump & fixed target** experiments (FASER, LDMX, NA62, NA64..)
- **colliders & dark matter DD** experiments (XENON, LUX, CDMS..)
- **astrophysical observations** (Stellar energy-losses)



plots from: C. O'Hare (<https://github.com/cajohare/AxionLimits>) 10.5281/zenodo.3932430 and references therein

**Our goal:** Explore ALPs in view of **reactor neutrino experiments** via nuclear and electron recoil measurements [Dent et al. PRL. 124 (2020) 21, 211804]

# ALP production @ nuclear reactors

# Nuclear reactors utilized as a high intensity photon flux

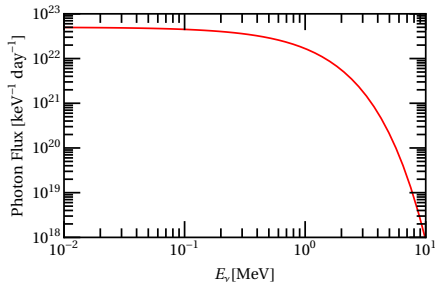
## Photon flux

$$\frac{d\Phi_{\gamma'}}{dE_{\gamma'}} = \frac{5.8 \times 10^{17}}{\text{MeV} \cdot \text{sec}} \left( \frac{P}{\text{MW}} \right) e^{-1.1 E_{\gamma'}/\text{MeV}}$$

- P: reactor power in MW

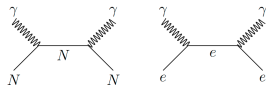
[Bechteler et al., Technical Report, Inst. fuer Kernphysik (1984)]

Reactor Power P=1 GW



## SM processes

[<https://physics.nist.gov/PhysRefData/Xcom/html/xcom1.html>]



Rayleigh

Compton

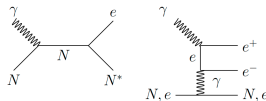
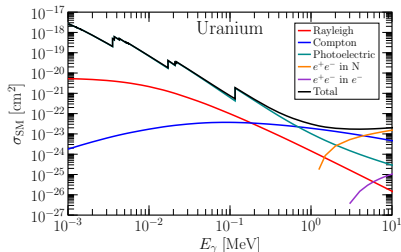


Photo-electric absorption

e-pair production

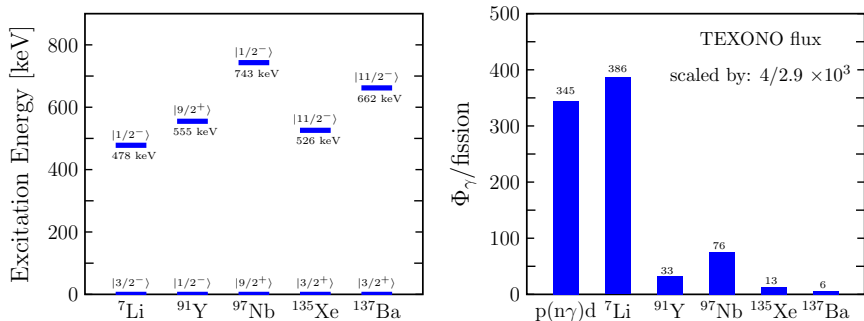


a fraction of these photons can be converted into ALPs

# Nuclear reactors utilized as a high intensity photon flux

## Photon flux from nuclear transitions

TEXONO Collab., Phys. Rev. D 75 (2007) 052004



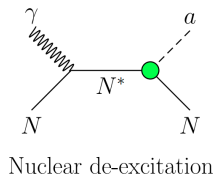
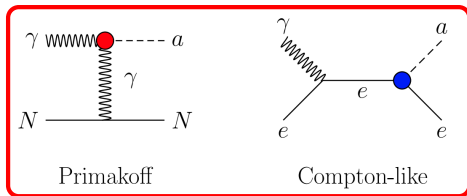
- (mainly) M1 transition from the excited state to the ground state of  ${}^7\text{Li}$
- M4 transitions from the excited to the ground state of  ${}^{91}\text{Y}$ ,  ${}^{97}\text{Nb}$ ,  ${}^{135}\text{Xe}$  and  ${}^{137}\text{Ba}$
- thermal neutron capture on proton in the cooling water,  $p + n \rightarrow d + \gamma$ . The deuteron ground state has magnetic dipole and electric quadrupole moments, the emitted  $\gamma$  is therefore mainly M1

**a fraction of these photons can be converted into ALPs**

# ALP production mechanisms

Phenomenological parametrization via dim-5 effective operators

$$\mathcal{L} = -\frac{1}{4} g_{a\gamma\gamma} a F_{\mu\nu} \tilde{F}^{\mu\nu} - i g_{aee} a \bar{e} \gamma_5 e - ia \bar{n} \gamma_5 \left( g_{ann}^{(0)} + \tau_3 g_{ann}^{(1)} \right) n$$



## Continuous ALP flux

$$\frac{d\Phi_a^P}{dE_a} = \mathcal{P}_{\text{Surv}} \int_{E_{\gamma', \text{min}}}^{E_{\gamma', \text{max}}} \frac{1}{\sigma_{\text{Tot}}} \frac{d\sigma_{\text{ALP}}^{\text{prod}}}{dE_a}(E_{\gamma'}, E_a) \frac{d\Phi_{\gamma'}}{dE_{\gamma'}} dE_{\gamma'}, \quad \text{with } \sigma_{\text{Tot}} = \sigma_{\text{SM}} + \sigma_{\text{ALP}}^{\text{prod}}$$

[Dent et al. PRL. 124 (2020) 21, 211804]

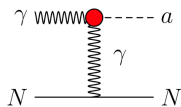
- Survival probability, assuring that the ALP flux reaches the detector:  $\mathcal{P}_{\text{Surv}} = e^{-LE_a/|\vec{p}_a|\tau}$
- $L$ : distance between the reactor and detector
- $\tau$ : ALP lifetime in the fixed target frame



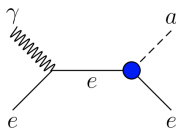
# ALP production mechanisms

Phenomenological parametrization via dim-5 effective operators

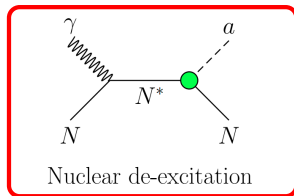
$$\mathcal{L} = -\frac{1}{4} g_{a\gamma\gamma} a F_{\mu\nu} \tilde{F}^{\mu\nu} - i g_{aee} a \bar{e} \gamma_5 e - i a \bar{n} \gamma_5 \left( g_{ann}^{(0)} + \tau_3 g_{ann}^{(1)} \right) n$$



Primakoff



Compton-like



Nuclear de-excitation

## Monochromatic ALP flux for the $i$ -th transition

$$\left( \frac{d\Phi_a^{\text{MT}}}{dE_a} \right)_i = \phi_a^i \delta(E_{\gamma'} - E_a) = R_f \Phi_\gamma^i \left( \frac{\Gamma_a}{\Gamma_\gamma} \right)_i \mathcal{P}_{\text{surv}} \delta(E_{\gamma'} - E_a) \quad (i = p(n, \gamma)d, \text{ MJ}),$$

- fission rate:  $R_f$
- photon flux per fission:  $\Phi_\gamma^i$
- branching ratio of ALP to photon emission in the nuclear transitions:  $\left( \frac{\Gamma_a}{\Gamma_\gamma} \right)_i$

## $g_{a\gamma\gamma}$ coupling

- **Primakoff scattering:**  $\gamma + N \rightarrow a + N$  [Aloni et al. PRL 123 (2019) 7, 071801]

$$\frac{d\sigma_{\text{Prim}}^{\text{prod}}}{dt} = 2\alpha Z^2 F^2(t) g_{a\gamma\gamma}^2 \frac{M_N^4}{t^2(M_N^2 - s)^2(t - 4M_N^2)^2} \left\{ m_a^2 t(M_N^2 + s) - m_a^4 M_N^2 - t[(M_N^2 - s)^2 + st] \right\}$$

Primakoff scattering:  $E_\gamma \simeq E_a$  photon energy is coherently converted into ALP energy

## $g_{aee}$ coupling

- **Compton-like scattering:**  $\gamma + e^- \rightarrow a + e^-$  [Brodsky et al. PRL 56 (1986) 1763]

$$\frac{d\sigma_{\text{Compt}}^{\text{prod}}}{dE_a} = \frac{Z\pi g_{aee}^2 \alpha x}{4\pi(s - m_e^2)(1 - x)E_{\gamma'}} \left[ x - \frac{2m_a^2 s}{(s - m_e^2)^2} + \frac{2m_a^2}{(s - m_e^2)^2} \left( \frac{m_e^2}{1 - x} + \frac{m_a^2}{x} \right) \right],$$

where  $x = 1 - \frac{E_a}{E_{\gamma'}} + \frac{m_a^2}{2E_{\gamma'} m_e}$ .

## $g_{ann}$ coupling

- neutron capture isovector  $M1$  transitions ( $pn \rightarrow d\gamma$ ) depend only on kinematics

$$\left(\frac{\Gamma_a}{\Gamma_\gamma}\right)_{pn} = \frac{1}{2\pi\alpha} \left(\frac{|\vec{p}_a|}{|\vec{p}_\gamma|}\right)^3 \left(\frac{g_{ann}^{(1)}}{\mu_1}\right)^2,$$

[Barroso, Mukhopadhyay, PRC C24 (1981) 2382]

- $MJ$  transitions are nuclear structure dependent

$$\left(\frac{\Gamma_a}{\Gamma_\gamma}\right)_{MJ} = \frac{1}{\pi\alpha} \left(\frac{1}{1+\delta^2}\right) \left(\frac{J}{J+1}\right) \left(\frac{|\vec{p}_a|}{|\vec{p}_\gamma|}\right)^{2J+1} \left(\frac{g_{ann}^{(0)}\kappa + g_{ann}^{(1)}}{(\mu_0 - 1/2)\kappa + (\mu_1 + \eta)}\right)^2.$$

- Isvector magnetic moment:  $\mu_1 = \mu_p - \mu_n = 4.71 \mu_N$
- Isosinglet magnetic moment:  $\mu_0 = \mu_p + \mu_n = 0.88 \mu_N$
- $\delta, \eta, \kappa$  are nuclear structure dependent

[TEXONO collab., PRD 75 (2007) 052004]

[Avignone III et al., PRD 35 (1987) 2752]

# Typical ALP fluxes from a nuclear reactor

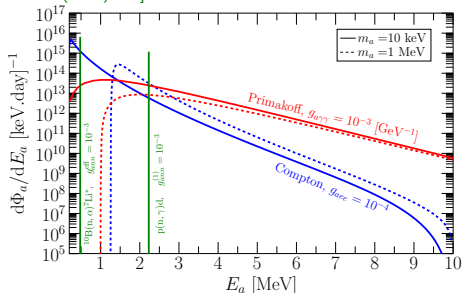
Experiment	Nuclear Reactor	Power [GW]
TEXONO [41]	Kuo-Sheng Nuclear Power Station	2.9
CONUS [37]	Brokdorf	3.9
$\nu$ GeN [72]	Kalinin Nuclear Power Plant	$\sim 1$
MINER [36]	TRIGA 1	$10^{-3}$
$\nu$ CLEUS [38]	FRM2	4
Ricochet [39]	Chooz Nuclear Power Plant	8.54
RED-100 [40]	Kalinin Nuclear Power Plant	$\sim 1$
SBC [73]	ININ (or Laguna Verde)	$10^{-3}$ (2)
CONNIE [74]	Angra 2	3.8
$\nu$ IOLETA [75]	Atucha II	2
SoLid [76]	BR2	$(0.4, 1) \times 10^{-1}$
NEON [77]	Hanbit Nuclear Power Plant	2.8

Detector	Experiment	Material	$m_{\text{det}}$ [kg]	$L$ [m]
Semiconductor detectors (ionization)	TEXONO [41]	Ge	1.06	28
	CONUS [37]	Ge	1	17.1
	$\nu$ GeN [72]	Ge	1.6-5	10-12
Low temperature bolometers	MINER [36]	Ge, Si	4	1-2.5
	$\nu$ CLEUS [38]	CaWO <sub>4</sub> , Al <sub>2</sub> O <sub>3</sub>	$10^{-2}$	15-100
	Ricochet [39]	Ge, Zn	10	355/469
Liquid noble-gas detectors (TPC)	RED-100 [40]	Xe	100	19
	SBC [73]	LAr, Xe	10	3/30
CCD	CONNIE [74]	Si	$\sim 0.05$	30
	$\nu$ IOLETA [75]	Si	1	12
Scintillators	SoLid [76]	<sup>6</sup> LiF : ZnS(Ag)	1600	$\sim 7.6$
	NEON [77]	Nal[ <sup>215</sup> ]	3.3-10	24

[Aristizabal, De Romeri, Flores, DKP, JHEP 03 (2021) 294]

## Typical reactor

- $P = 4$  GW
- $L = 10$  m



# ALP detection

## $g_{a\gamma\gamma}$ coupling

- **inverse Primakoff scattering:**  $a + N \rightarrow \gamma + N$   
same as the production cross section but a factor 2 larger due to spin

## $g_{aee}$ coupling

- **inverse Compton-like scattering:**  $a + e^- \rightarrow \gamma + e^-$  [Avignone et al. PRD 37 (1988) 618-630]

$$\frac{d\sigma_{\text{Compt}}^{\text{det}}}{dE_\gamma} = \frac{Zg_{aee}^2\alpha E_\gamma}{4m_e^2|\vec{p}_a|} \left| \frac{2(E_a + m_e - |\vec{p}_a|\cos\theta)^2}{|\vec{p}_a|y} \right| \times \left( 1 + \frac{4m_e^2 E_\gamma^2}{y^2} - \frac{4m_e E_\gamma}{y} - \frac{4m_a^2 |\vec{p}_a|^2 m_e E_\gamma (1 - \cos^2\theta)}{y^3} \right), \quad y = 2m_e E_a + m_a^2$$

- **axio-electric cross section:**  $a + e^- + Z \rightarrow e^- + Z$  [Derevianko et al. PRD 82 (2010) 065006]

$$\sigma_{\text{axioel}}^{\text{det}} = \frac{g_{aee}^2}{\beta} \frac{3E_a^2}{16\pi\alpha m_e^2} \left( 1 - \frac{\beta^{2/3}}{3} \right) \sigma_{\text{PE}}, \quad \beta = |\vec{p}_a|/E_a$$

## $g_{a\gamma\gamma}$ coupling

- ALP diphoton decay:

$$\Gamma_{a \rightarrow 2\gamma} \equiv \Gamma(a \rightarrow \gamma\gamma) = \frac{g_{a\gamma\gamma}^2 m_a^3}{64\pi}$$

## $g_{aee}$ coupling

- ALP decay to electron pair:

$$\Gamma_{a \rightarrow e^+e^-} = \frac{g_{aee}^2 m_a}{8\pi} \sqrt{1 - 4 \frac{m_e^2}{m_a^2}}$$

# Number of events

## scattering processes

$$\frac{d\mathcal{N}_X^{\text{scatt}}}{dE_a} = m_{\text{det}} \frac{N_T \Delta t}{4\pi L^2} \int \frac{d\Phi_a}{dE_a} \frac{d\sigma_X^{\text{det}}}{dE_\gamma} dE_\gamma, \quad X = \{\text{Prim.}, \text{Compt.}\}$$

$$\frac{d\mathcal{N}_{\text{axioel}}}{dE_a} = m_{\text{det}} \frac{N_T \Delta t}{4\pi L^2} \frac{d\Phi_a}{dE_a} \sigma_{\text{axioel}}^{\text{det}}(E_\gamma, E_a)$$

## decay processes

$$\frac{d\mathcal{N}_X^{\text{decay}}}{dE_a} = \frac{\mathcal{A} \Delta t}{4\pi L^2} \frac{d\Phi_a}{dE_a} \mathcal{P}_{\text{decay}}^X, \quad X = \{\text{Prim.}, \text{Compt.}\}$$

- $\mathcal{P}_{\text{decay}}$ : probability that the decay occurs within the detector

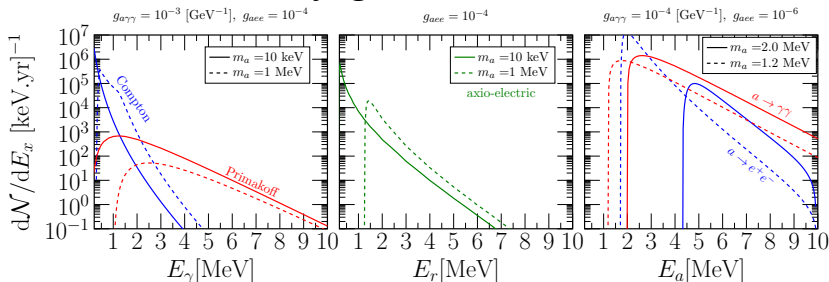
$$\mathcal{P}_{\text{decay}}^X = 1 - e^{-L_{\text{det}} E_a / |\vec{p}_a| \tau_X}$$

- $\mathcal{A} = L_{\text{det}}^2$  denotes the detector transverse area.



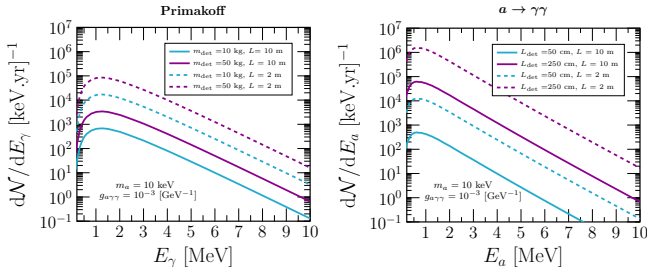
# Number of events

## varying the ALP mass



[Aristizabal, De Romeri, Flores, DKP, JHEP 03 (2021) 294]

## varying the detector specifications

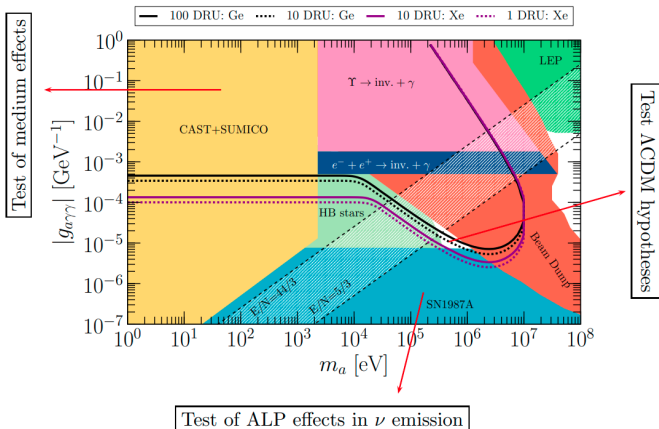


# Sensitivities

# Probing the $g_{a\gamma\gamma}$ coupling

## Assumed detector specifications: current vs. future

P[GW]	PM	TM	$m_{\text{det}}$ [kg]	$L$ [m]	$L_{\text{det}}$ [cm]	bkg [1/keV/day/kg]
4	$^{235}\text{U}$	Ge	10	10	50	10–100
8	$^{235}\text{U}$	Xe	$10^3$	10	140	1–10

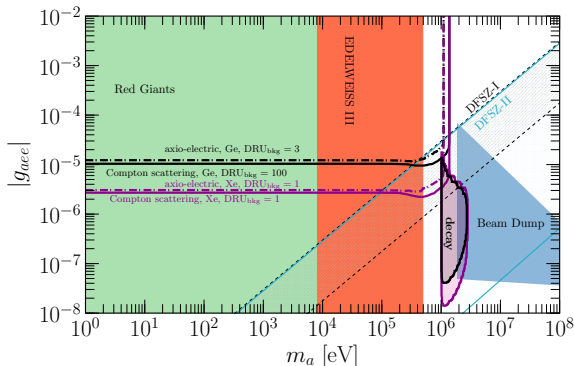


# Probing the $g_{aee}$ coupling

## Assumed detector specifications: current vs. future

P[GW]	PM	TM	$m_{\text{det}}$ [kg]	$L$ [m]	$L_{\text{det}}$ [cm]	bkg [1/keV/day/kg]
4	$^{235}\text{U}$	Ge	10	10	50	10–100
8	$^{235}\text{U}$	Xe	$10^3$	10	140	1–10

## Testing: environmental effects in Red Giants, unexplored regions

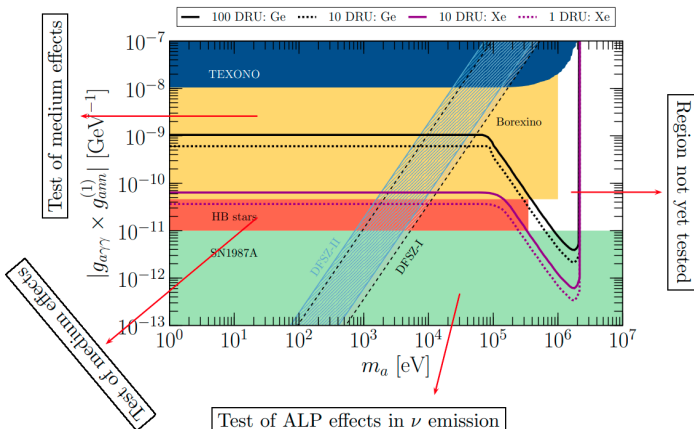


[Aristizabal, De Romeri, Flores, DKP, JHEP 03 (2021) 294]

# Probing the $g_{a\gamma\gamma}$ and $g_{ann}$ couplings

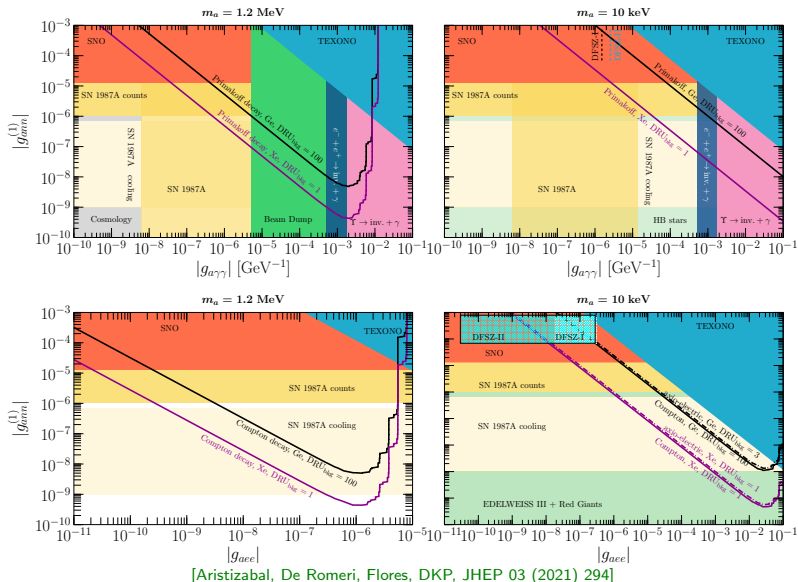
## Assumed detector specifications: current vs. future

P[GW]	PM	TM	$m_{det}$ [kg]	$L$ [m]	$L_{det}$ [cm]	bkg [1/keV/day/kg]
4	$^{235}\text{U}$	Ge	10	10	50	10–100
8	$^{235}\text{U}$	Xe	$10^3$	10	140	1–10



[Aristizabal, De Romeri, Flores, DKP, JHEP 03 (2021) 294]

# Combined analyses



*complementary laboratory probes especially in the regions currently tested only by astrophysics*

## Summary ALP production and detection mechanisms considered

Scattering processes				
Process		Coupling	Prod	Det
Primakoff	$\gamma + N \leftrightarrow a + N$	$g_{a\gamma\gamma}$	✓	✓
Compton-like	$\gamma + e^- \leftrightarrow a + e^-$	$g_{aee}$	✓	✓
Nuclear de-excitation	$\gamma + N \leftrightarrow N^* \rightarrow a + N$	$g_{ann}$	✓	✓
Axio-electric	$a + e^- + Z \rightarrow e^- + Z$	$g_{aee}$	✗	✓
$e$ -pair production in $N$	$a + N \rightarrow e^- + e^- + N$	$g_{aee}$	✗	✓
$e$ -pair production in $e$	$a + e^- \rightarrow e^- + e^+ + e^-$	$g_{aee}$	✗	✓
Decay processes				
Process		Coupling	Prod	Det
$\gamma$ -pair final state	$a \rightarrow \gamma + \gamma$	$g_{a\gamma\gamma}$	✗	✓
$e$ -pair final state	$a \rightarrow e^- + e^+$	$g_{aee}$	✗	✓
$n$ -pair final state	$a \rightarrow n + n$	$g_{ann}$	✗	✗

### Reactor experiments can be used as ALP factories:

- extend the physics reach of reactor neutrino programmes
- probe ALPs with  $m_a \leq 10$  MeV utilizing their intense photon flux
- complementary information on ALPs in the low-energy frontier

Thank you for your attention !