

Latest PMNS oscillation results from NOvA

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Physics Goals

- Observe:

$$\nu_\mu \rightarrow \nu_\mu \quad \nu_\mu \rightarrow \nu_e$$

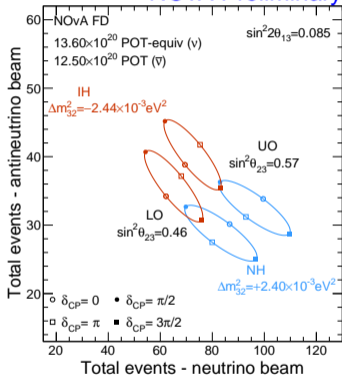
$$\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

- θ_{23} — is it maximal? (ν_3 has equal ν_μ, ν_τ)
- Octant of θ_{23} if not maximal (more ν_μ or ν_τ ?)
- Sign of Δm_{32}^2 — mass ordering (hierarchy)



- Discover or constrain CP violation in neutrino sector

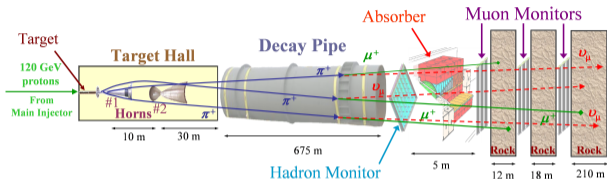
NOvA Preliminary



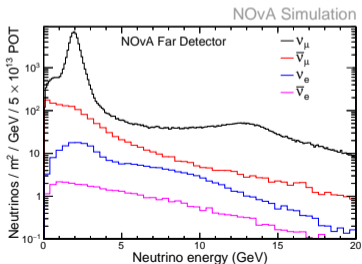
Matter effect enhances (suppresses) ν_e appearance
 for normal (inverted) ordering

Design Overview

- Fermilab's NuMI beam



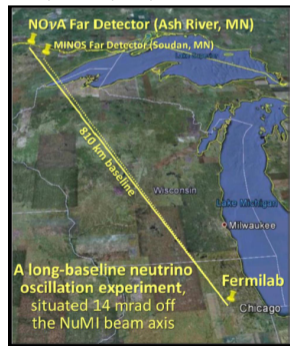
- Off-axis \rightarrow narrow spectrum at 2 GeV
- 1st oscillation maximum at 810 km



- Near detector
 - Observe unoscillated beam composition, energy
- Far detector observes:

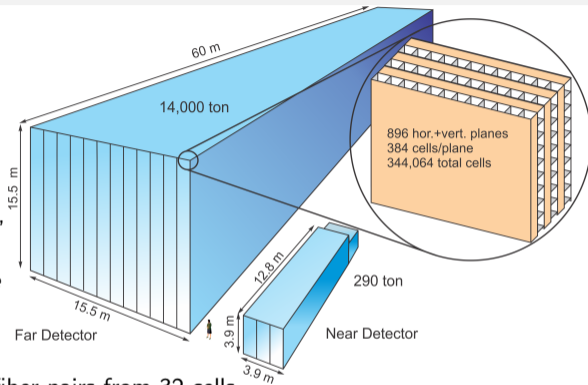
$$\nu_\mu \rightarrow \nu_\mu \quad \nu_\mu \rightarrow \nu_e$$

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

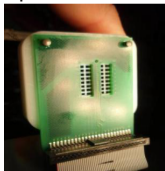


Detector Technology

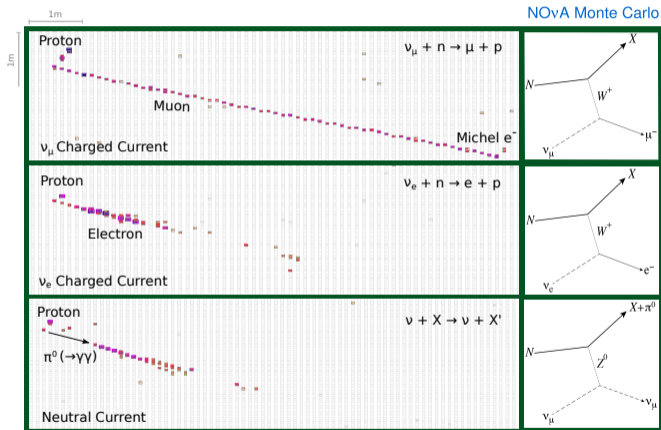
- Two functionally identical detectors
- Segmented plastic and scintillator tracking calorimeter
- 63% active
- APD readout
- Near detector is 300 t, underground, 1 km from NuMI target
- Far detector is 14 kt, on the *surface*



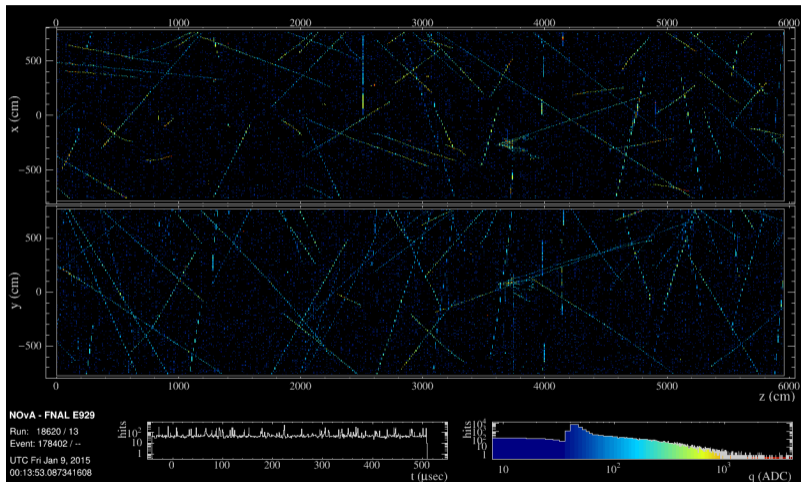
32 pixel APD sees fiber pairs from 32 cells

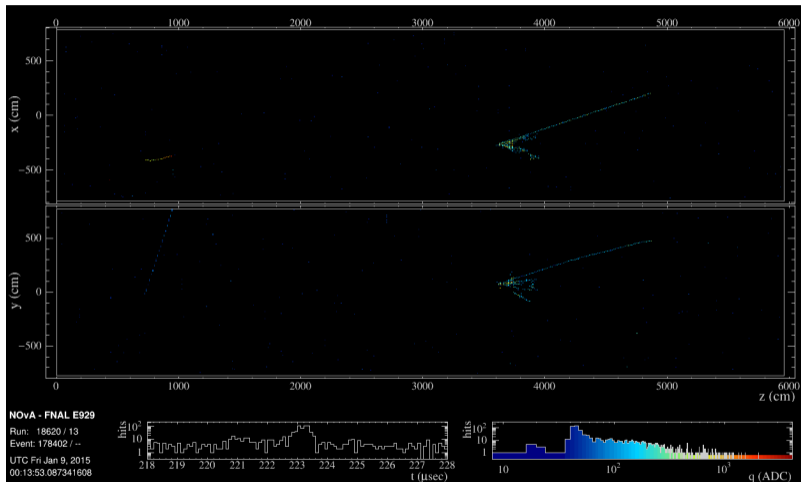


Event Topologies

Beam
→

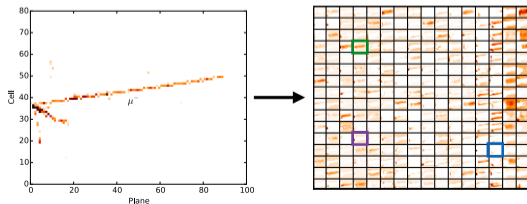
- Optimized for EM showers
- ~ 6 samples per X_0
- Muon energy measured by range
- All other energy from calorimetry

Cosmic Rejection — 550 μs exposure of the Far Detector — 150 kHz of cosmics

Cosmic Rejection — Zoomed into 10 μ s NuMI beam pulse: 10^5 cosmic rejection

Selection

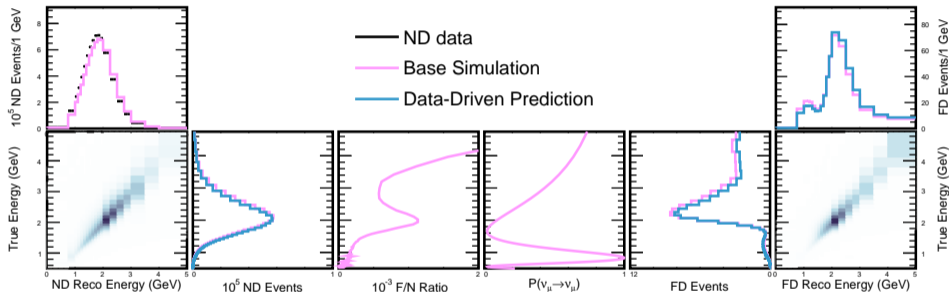
- Additional factor of 10^7 cosmic rejection needed + must classify neutrino interactions.
Single technique for both.
- Novel selection inspired by computer vision techniques: No explicit reconstruction
- Matrices of hit information are fed into a convolutional neural network (CNN)
- Abstract features extracted automatically
- Scores events on hypotheses of ν_e CC, ν_μ CC, NC and cosmic



“A Convolutional Neural Network Neutrino Event Classifier”, A. Aurisano et al, **JINST 11 (2016) 09, P09001**, [1604.01444](#)

Predicting the Far Detector Spectrum

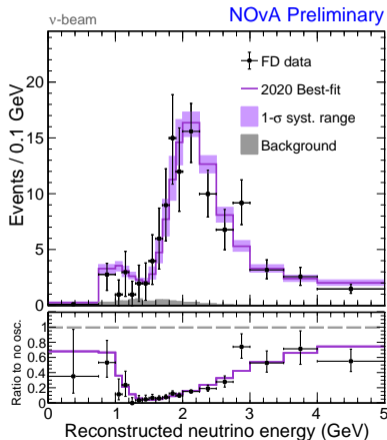
- Near Detector data used to predict Far Detector data given any oscillation parameters



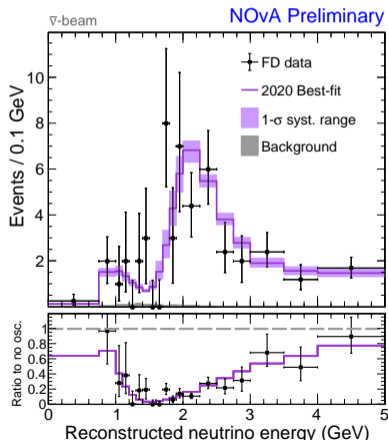
- Uncertainties in flux, cross section and efficiency largely cancel: similar detectors in same beam
- Now extrapolate in bins of p_T : helps account for Near/Far acceptance differences
- But at second order rely on simulation for reco-to-true matrices, Far/Near flux ratio, etc.
 - See “Modelling issues for NOvA” at 17:12 today, WG1+WG2

Far Detector Data: 2013–2020 — ν_μ disappearance

- Neutrino mode: 13.6×10^{20} POT
- 211 events selected
- Background: 9.2 events



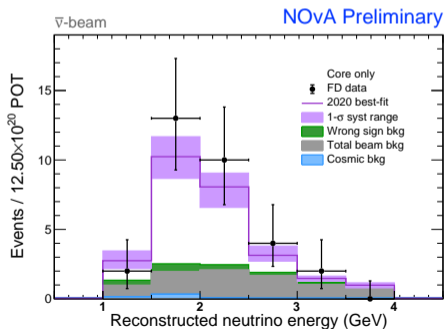
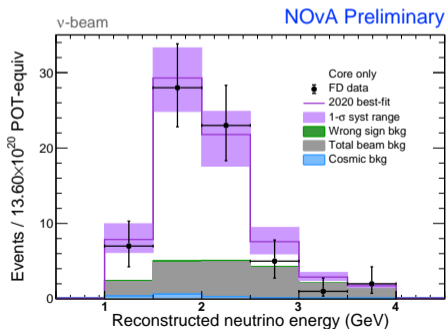
- **Anti-neutrino** mode: 12.5×10^{20} POT
- 105 events selected
- Background: 2.1 events



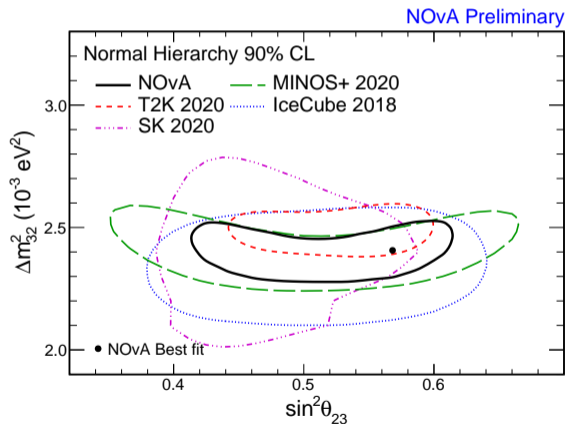
Far Detector Data — ν_e appearance

- 82 events in ν mode
- Background: 26.8 events
 - 1.0 wrong-sign (appearing $\bar{\nu}_e$)
 - 22.7 other beam (intrinsic ν_e , ν_μ , ν_τ , neutral current)
 - 3.1 cosmic

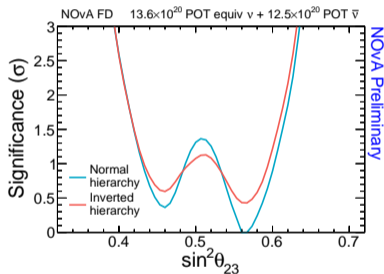
- 33 events in $\bar{\nu}$ mode
- Background: 14.0 events
 - 2.3 wrong-sign
 - 10.2 other beam
 - 1.6 cosmic
- **> 4 σ electron anti-neutrino appearance**



Combined Appearance/Disappearance Results — θ_{23} , Δm_{32}^2

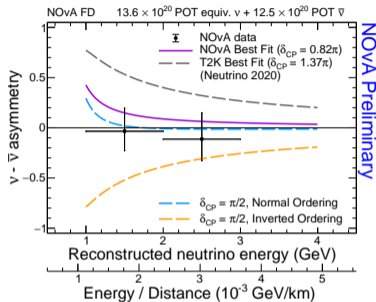
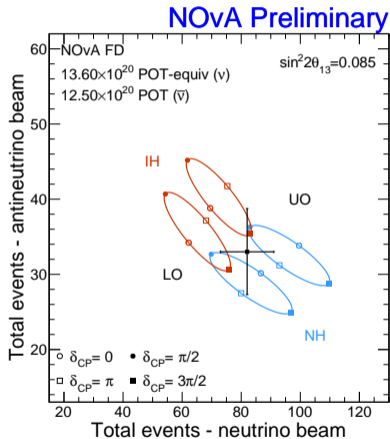


- $\Delta m_{32}^2 = (2.41 \pm 0.07) \times 10^{-3} \text{ eV}^2$
(normal hierarchy)



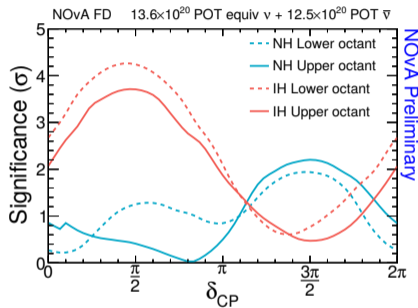
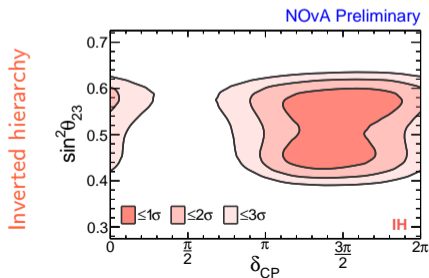
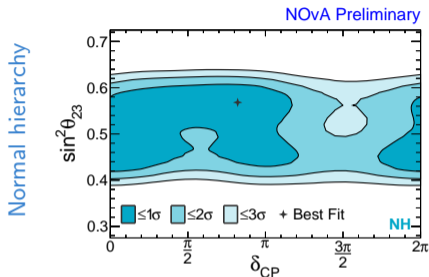
- Slight preference for upper octant, normal hierarchy
- $\sin^2 \theta_{23} = 0.57^{+0.03}_{-0.04}$

Combined Appearance/Disappearance Results — Mass ordering, θ_{23} , δ_{CP}



- Combined with ν_{μ} disappearance data, ν_e appearance favors:
- **Normal hierarchy**, weakly
- Neither octant significantly
- δ around $\pi/2$ for **normal**
- δ around $3\pi/2$ for **inverted**

Combined Appearance/Disappearance Results — Mass ordering, θ_{23} , δ_{CP}

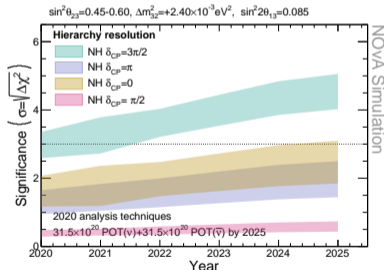


- All values of δ allowed
- Prefer **normal hierarchy** by 1.0σ
- Prefer **upper octant** by 1.2σ
- Exclude $\delta = \pi/2$ in IH at $> 3\sigma$

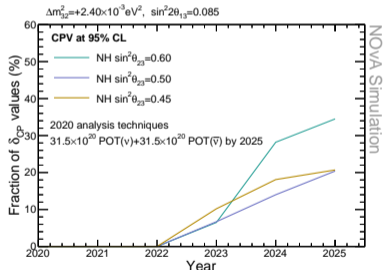
Outlook

Sensitivity assuming:

- 50% neutrino mode, 50% antineutrino mode for future running
- 63×10^{20} POT total by 2026 given projected accelerator improvements
- Current analysis techniques (conservative)

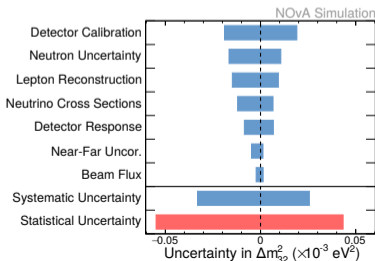
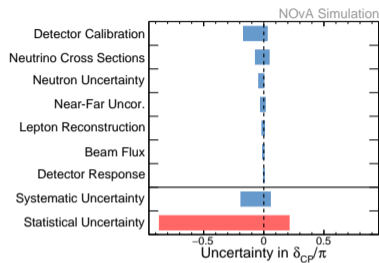
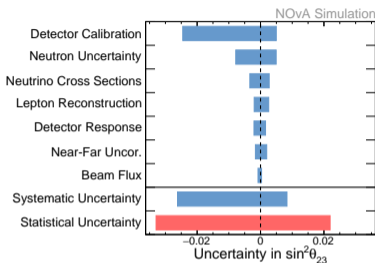


- 3σ hierarchy determination for 30–50% of true δ values (**normal hierarchy**)



- 95% C.L. CP-violation for 20–35% of true δ values

Systematics



- **Statistics dominated**
- But **systematic uncertainties** are important
- Working to reduce all major systematics:
 - Calibration — to be improved by **test beam**, better modeling
 - Neutron response uncertainty — important for $\bar{\nu}$
 - Neutrino cross sections

Conclusions

- Appearance of $\bar{\nu}_e$ in a $\bar{\nu}_\mu$ beam at $> 4\sigma$
- Excluded $> 3\sigma$: **Inverted hierarchy**, $\delta \approx \pi/2$
- $\Delta m_{32}^2 = (2.41 \pm 0.07) \times 10^{-3} \text{ eV}^2$
- $\sin^2 \theta_{23} = 0.57_{-0.04}^{+0.03}$
- [2108.08219](#)



- **More NOvA talks coming up**
- Jeremy Hewes: **sterile neutrino search**, WG5 today 17:00
- Greg Pawloski: **cross-section modeling**, WG1+WG2 today 17:12
- Leonidas Aliaga Soplin: **ν_μ cross sections**, WG2 tomorrow 16:20
- Bryan Ramson: **interactions with EM showers in the final state**, WG2 tomorrow 16:40