EFT at FASERν: An experiment to probe them all

NuFact 2021

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Virginia Tech

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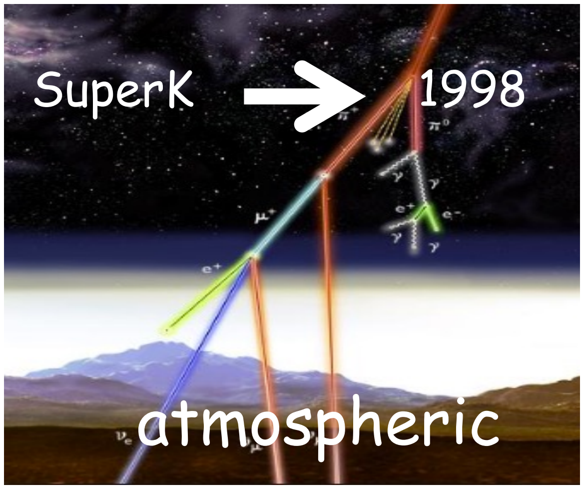
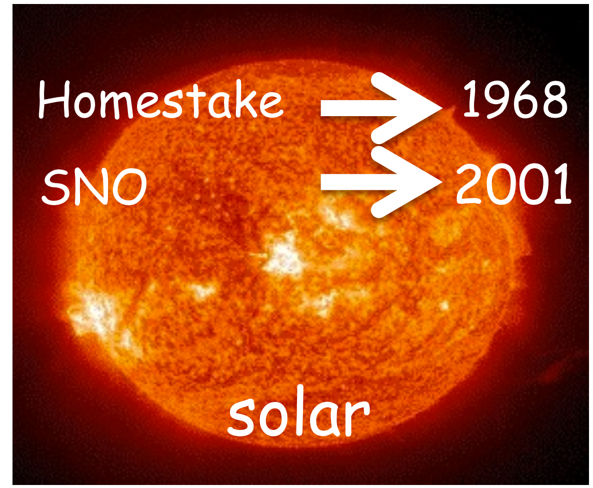
VIRGINIA TECH

“EFT at FASERν”,
A. Falkowski, M. Gonzalez-Alonso, J. Kopp, Y. Soreq, Z. Tabrizi,
[arXiv: 2105.12136 [hep-ph]]

Neutrinos are massless in the SM!

However in nature.....

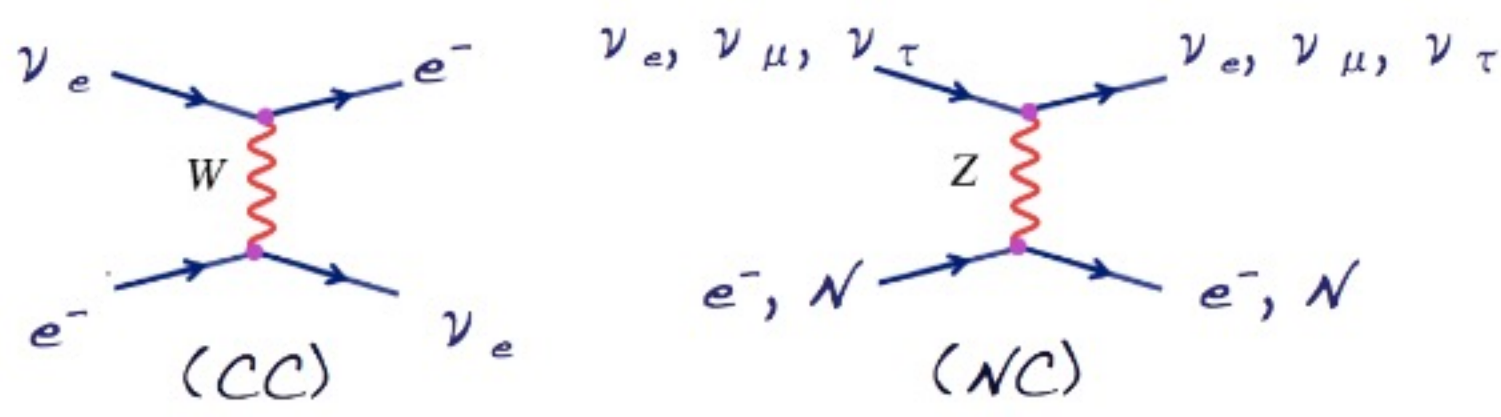




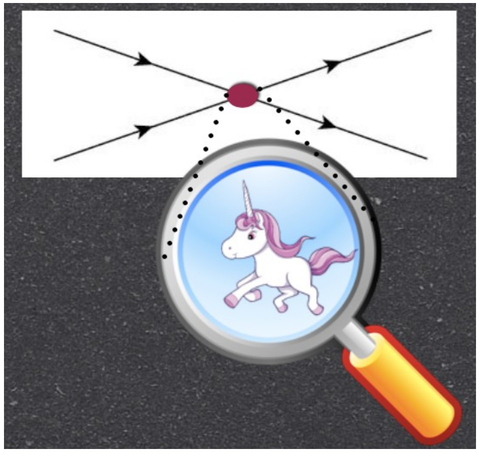
Neutrino oscillation needs masses and mixing!

Oscillation experiments are sensitive not only to neutrino masses and mixing, but also to how neutrinos interact with matter.

- Coherent CC and NC forward scattering of neutrinos



New effective 4-fermion interactions between leptons and quarks may give observable effects in neutrino production, propagation, and detection.



How to use EFT language to "systematically" explore new physics beyond the neutrino masses and mixing in neutrino experiments?

Why EFT?

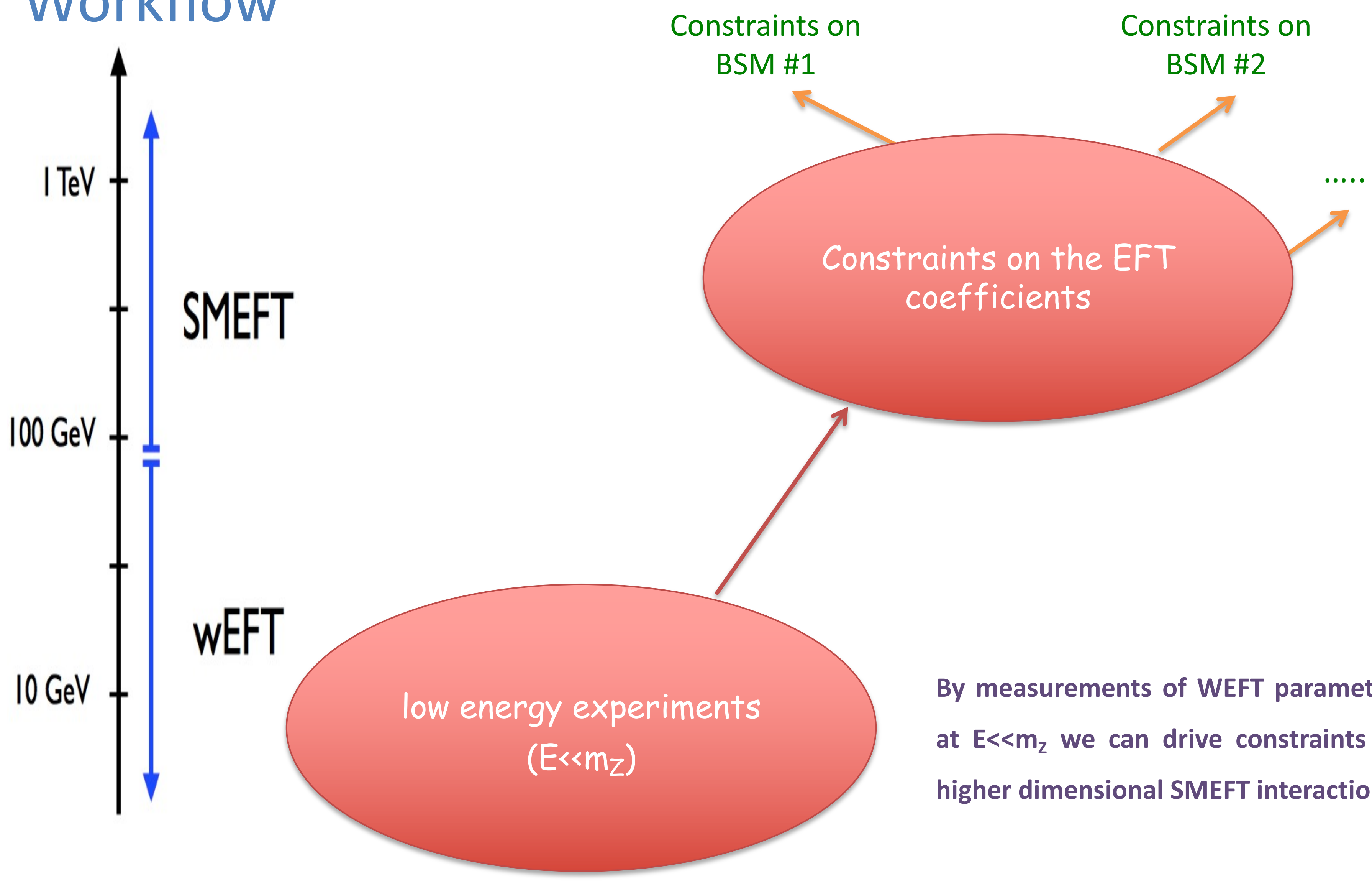
- Wealth of low-energy observables probing different aspects of particle interactions are described within one consistent framework.

- Constraints from different observables can be meaningfully compared.

- Results obtained in the language of EFT can be translated into constraints on particular new physics models.

The point is that one can probe very heavy particles, often beyond the reach of present colliders, by precisely measuring low-energy observables.

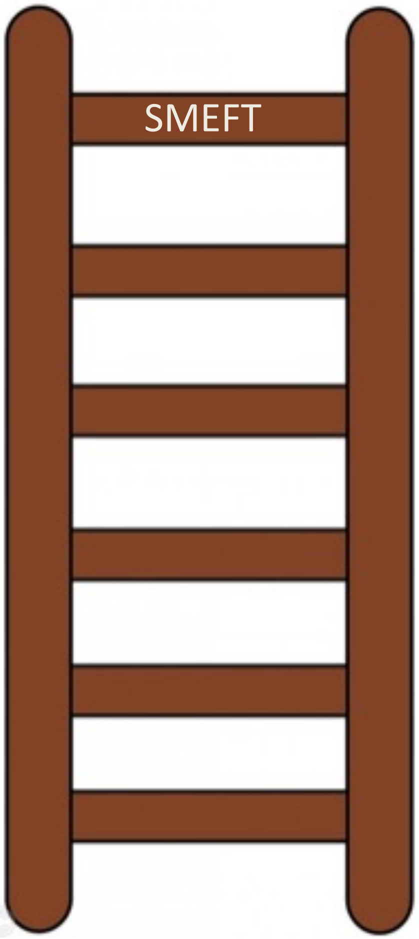
Workflow



By measurements of WEFT parameters at E<<m_Z we can drive constraints on higher dimensional SMEFT interactions.

Approach:

$E > m_Z$

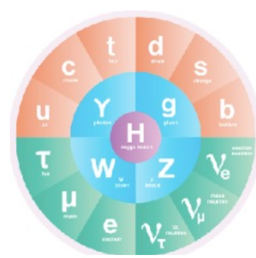


- If BSM particles are much heavier than the Z boson mass and the EWSB is linearly realized, then the relevant effective theory above the weak scale is the so-called SMEFT.

- It has the same particle content and local symmetry as the SM, but differs by the presence of higher-dimensional (non-renormalizable) interactions in the Lagrangian.

$$\mathcal{L}_{\mathrm{SM\ EFT}} = \mathcal{L}_{\mathrm{SM}} + \frac{1}{\Lambda_L}\mathcal{L}^{D=5} + \boxed{\frac{1}{\Lambda^2}\mathcal{L}^{D=6}}$$

- The SMEFT framework allows one to describe effects of new physics beyond the SM in a model independent way



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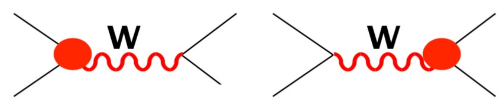
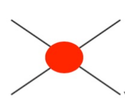
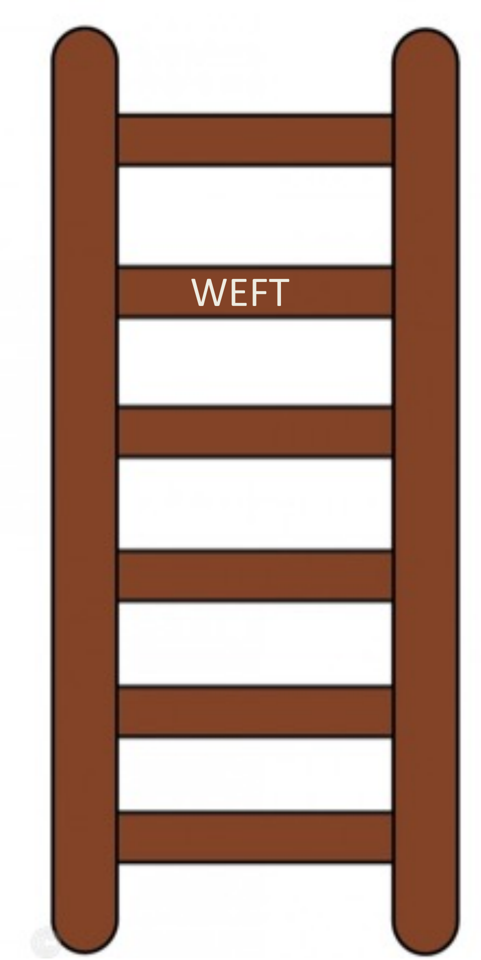




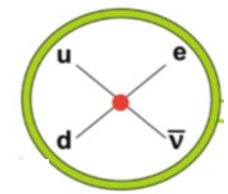
$$O_{lq}^{(3)} = (\bar{l}\gamma^\mu\sigma^a l)(\bar{q}\gamma_\mu\sigma^a q)$$
$$O_{qde} = (\bar{l}e)(\bar{d}q) + \mathrm{h.c.}$$
$$O_{lq} = (\bar{l}_a e)\epsilon^{ab}(\bar{q}_b u) + \mathrm{h.c.}$$
$$O_{lq}^t = (\bar{l}_a\sigma^{\mu\nu}e)\epsilon^{ab}(\bar{q}_b\sigma_{\mu\nu}u) + \mathrm{h.c.}$$

Approach:

$E \ll m_Z$



- In particular, considering the CC interactions of neutrinos.



- At this scale heavy particles such as W and Z bosons, Higgs and top can be integrated out from the SMEFT, leading to Weak EFT (WEFT).

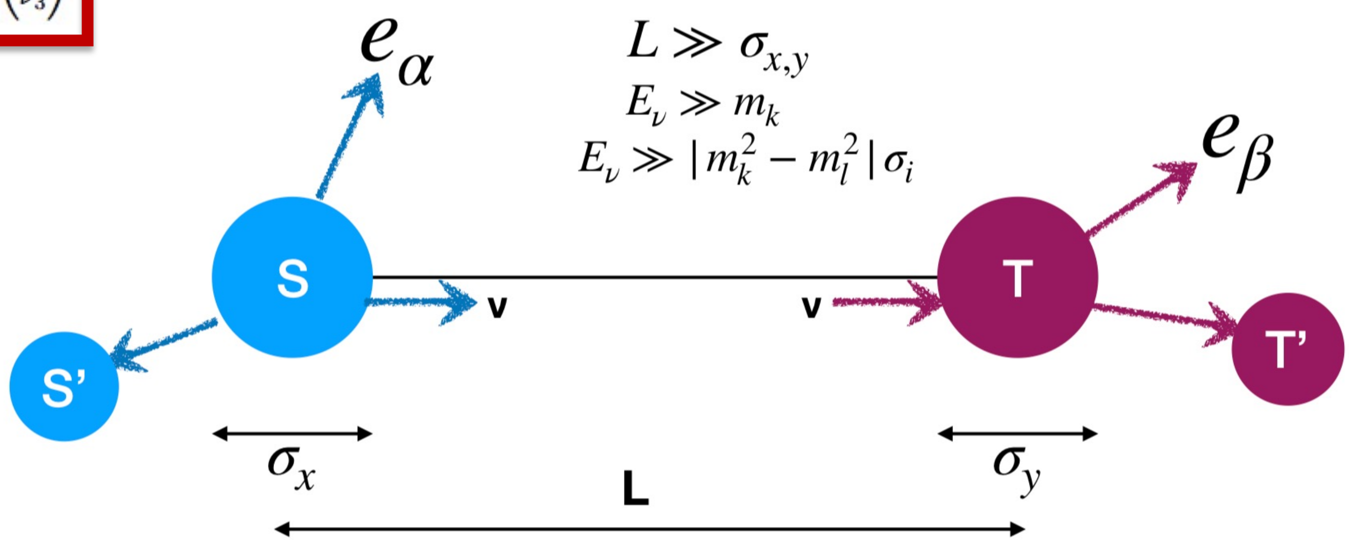
$$
\begin{aligned}
\mathcal{L}_{\text{WEFT}} \supset & -\frac{2V_{ud}}{v^2}\{ [\mathbf{1} + \epsilon_L]_{\alpha\beta} (\bar{u}\gamma^\mu P_L d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \\
& + [\epsilon_R]_{\alpha\beta} (\bar{u}\gamma^\mu P_R d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \\
& + \frac{1}{2}[\epsilon_S]_{\alpha\beta} (\bar{u} d)(\bar{\ell}_\alpha P_L \nu_\beta) - \frac{1}{2}[\epsilon_P]_{\alpha\beta} (\bar{u}\gamma_5 d)(\bar{\ell}_\alpha P_L \nu_\beta) \\
& + \frac{1}{4}[\hat{\epsilon}_T]_{\alpha\beta} (\bar{u}\sigma^{\mu\nu} P_L d)(\bar{\ell}_\alpha \sigma_{\mu\nu} P_L \nu_\beta) + \text{h.c.} \}
\end{aligned}
$$

- Apart from the SM-like V-A interactions ($1+\varepsilon_L$), right-handed (ε_R), scalar (ε_S), pseudoscalar (ε_P), and tensor (ε_T) interactions are allowed.

QFT Description

$$\begin{pmatrix}\nu_e\\ \nu_\mu\\ \nu_\tau\end{pmatrix} = U_{\rm PMNS}\begin{pmatrix}\nu_1\\ \nu_2\\ \nu_3\end{pmatrix}$$

A. Falkowski, M. González-Alonso, ZT
arXiv: 1910.02971



$$-\frac{2V_{ud}}{v^2}\Big[\big[1+\epsilon_L\big]_{\alpha\beta}\bar{e}_\alpha\gamma_\mu P_L\nu_\beta\cdot\bar{u}_L\gamma^\mu d_L$$
$$+\big[\epsilon_R\big]_{\alpha\beta}\bar{e}_\alpha\gamma_\mu P_L\nu_\beta\cdot\bar{u}_R\gamma^\mu d_R$$
$$+\frac{1}{2}\bar{e}_\alpha P_L\nu_\beta\cdot\bar{u}\big[\epsilon_S-\epsilon_P\gamma_5\big]_{\alpha\beta}d$$
$$+\frac{1}{4}\big[\epsilon_T\big]_{\alpha\beta}\bar{e}_\alpha\sigma_{\mu\nu}P_L\nu_\beta\cdot\bar{u}_R\sigma^{\mu\nu}d_L\Big]+\text{h.c.}$$

$$\mathcal{M}^P_{\alpha k} = U^*_{\alpha k}A^P_L + \sum_X [\epsilon_X U]^*_{\alpha k}A^P_X$$
$$\mathcal{M}^D_{\beta k} = U_{\beta k}A^D_L + \sum_X [\epsilon_X U]_{\beta k}A^D_X$$

depends on the kinematic and spin variables

QFT Description

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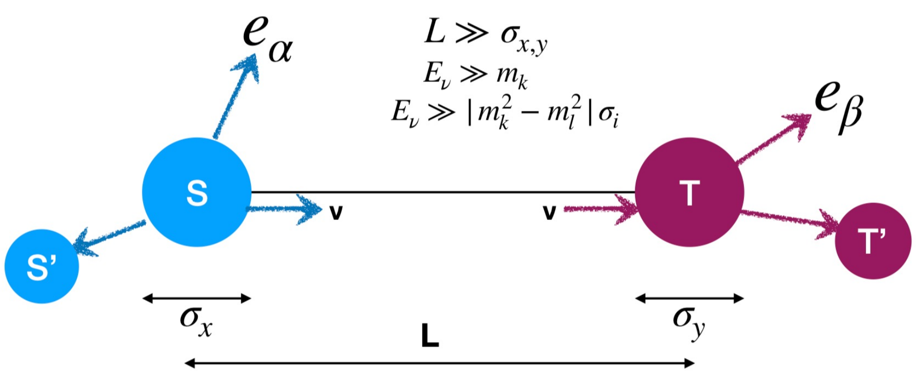
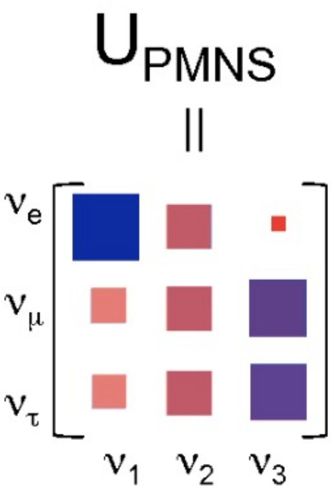
arXiv: 1910.02971

Observable: **rate of detected events**

~(flux)×(det. cross section)×(oscillation)



EFT at Oscillation Experiments:

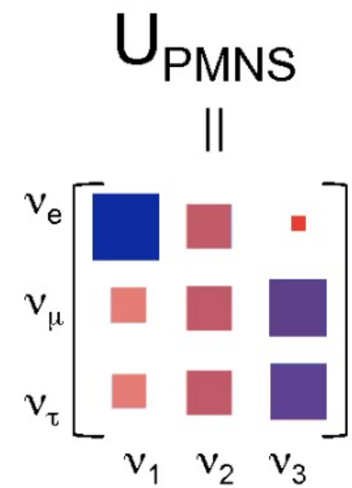


A. Falkowski, M. González-Alonso, ZT
arXiv: 1910.02971, JHEP (2020)…

SM

$$R_{\alpha\beta}^{\rm SM} = \Phi_\alpha^{\rm SM}\sigma_\beta^{\rm SM}\sum_{k,l} e^{-i\frac{L\Delta m_{kl}^2}{2E_\nu}} U_{\alpha k}^* U_{\alpha l} U_{\beta k} U_{\beta l}^*$$

EFT at Oscillation Experiments:



A. Falkowski, M. González-Alonso, ZT
arXiv: 1910.02971, JHEP (2020)…

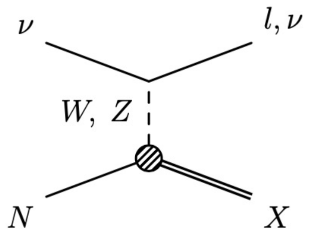
$$R_{\alpha\beta} = \Phi_\alpha^{\rm SM}\sigma_\beta^{\rm SM}\sum_{k,l} e^{-i\frac{L\Delta m^2_{kl}}{2E_\nu}}$$
$$\times \left[U^*_{\alpha k}U_{\alpha l} + p_{XL}(\epsilon_X U)^*_{\alpha k}U_{\alpha l} + p^*_{XL}U^*_{\alpha k}(\epsilon_X U)_{\alpha l} + p_{XY}(\epsilon_X U)^*_{\alpha k}(\epsilon_Y U)_{\alpha l}\right]$$
$$\times \left[U_{\beta k}U^*_{\beta l} + d_{XL}(\epsilon_X U)_{\beta k}U^*_{\beta l} + d^*_{XL}U_{\beta k}(\epsilon_X U)^*_{\beta l} + d_{XY}(\epsilon_X U)_{\beta k}(\epsilon_Y U)^*_{\beta l}\right]$$

Production and detection coefficients, depend on amplitudes

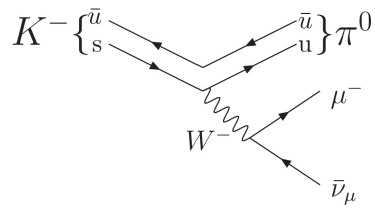
One needs to calculate these coefficients for different production and detection processes.

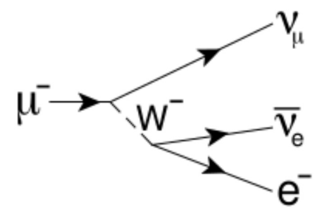
$$p_{XY} \equiv \frac{\int d\Pi_{P'} A_X^P \bar{A}_Y^P}{\int d\Pi_{P'} |A_L^P|^2}, \quad d_{XY} \equiv \frac{\int d\Pi_D A_X^D \bar{A}_Y^D}{\int d\Pi_D |A_L^D|^2}.$$

DIS: FASERν



Kaon/Muon decay: ISODAR, KDAR





Solar neutrinos: Borexino

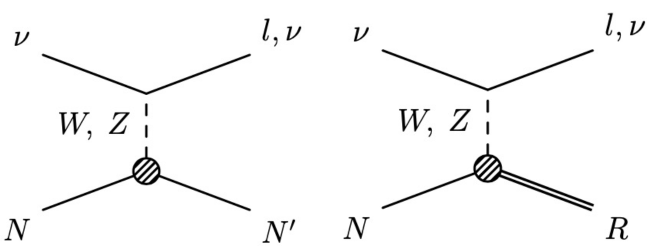
^{7}**Be** $\quad {}^7\mathrm{Be} + e^- \to {}^7\mathrm{Li} + \nu_e$

${}^3\mathrm{He} + p \to {}^4\mathrm{He} + e^+ + \nu_e$ **hep**

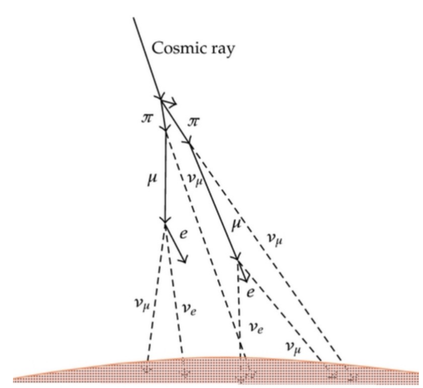
(hep)

$p + e^- + p \to {}^2\mathrm{H} + \nu_e$ ***pep***

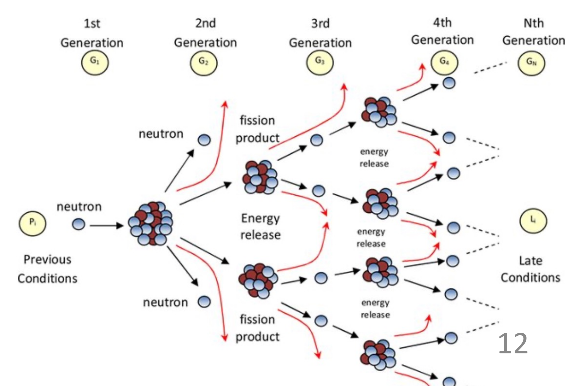
QE, Resonances: MINOS, NOvA, DUNE



Atmospheric Neutrinos: IceCube



Beta decay and IBD: Reactor Experiments

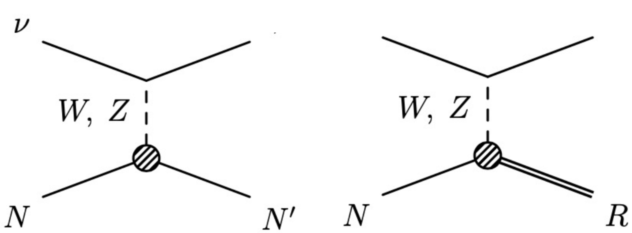


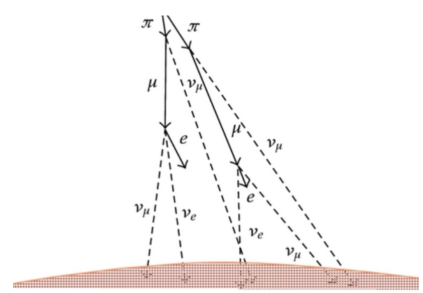
DIS: FASERν

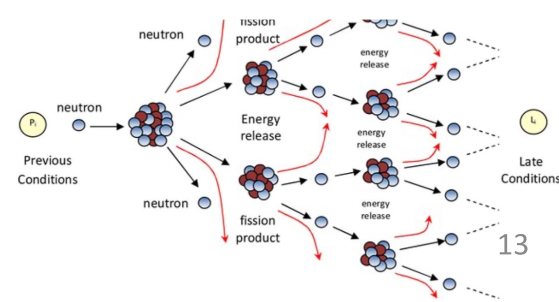
Kaon/Muon decay:

Solar neutrinos:

Well…

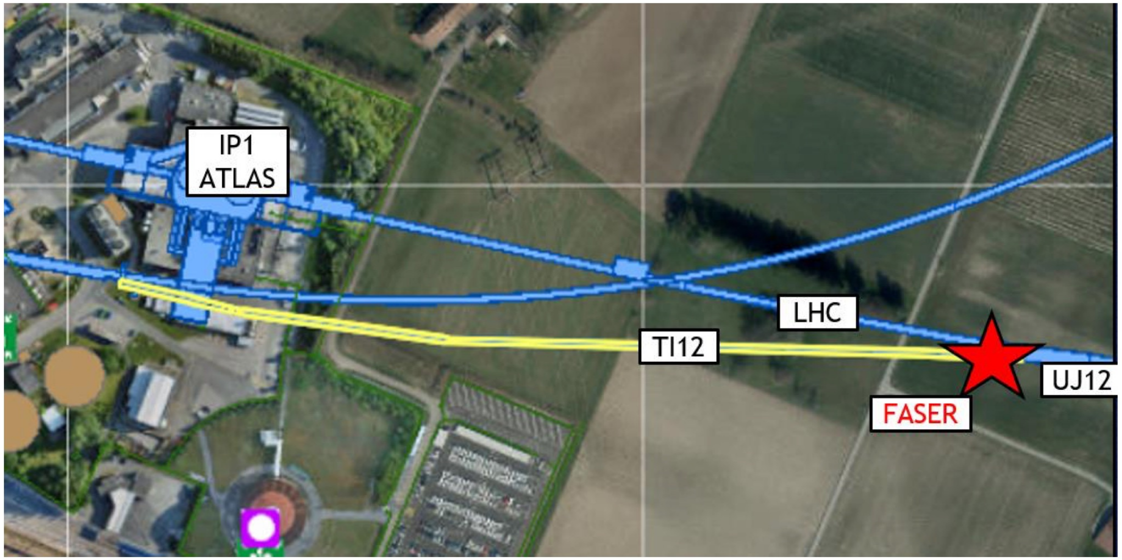


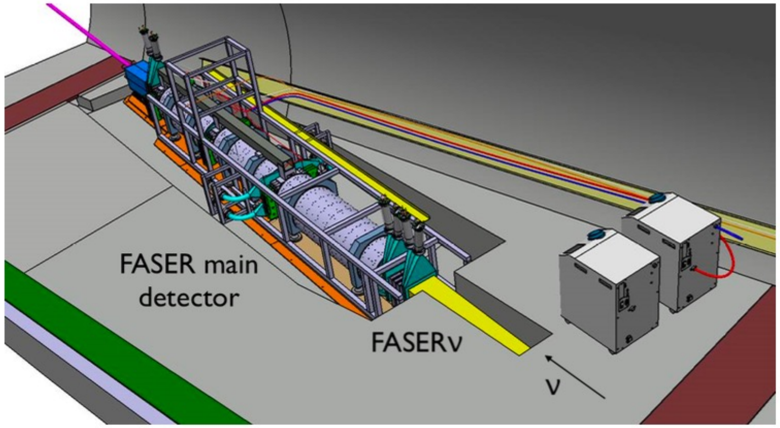




EFT at FASERν

- will be located downstream of the ATLAS interaction point at a distance of 480 m.
- Ideal for detecting high-energy neutrinos produced at LHC.
- 1.2-t of tungsten material.

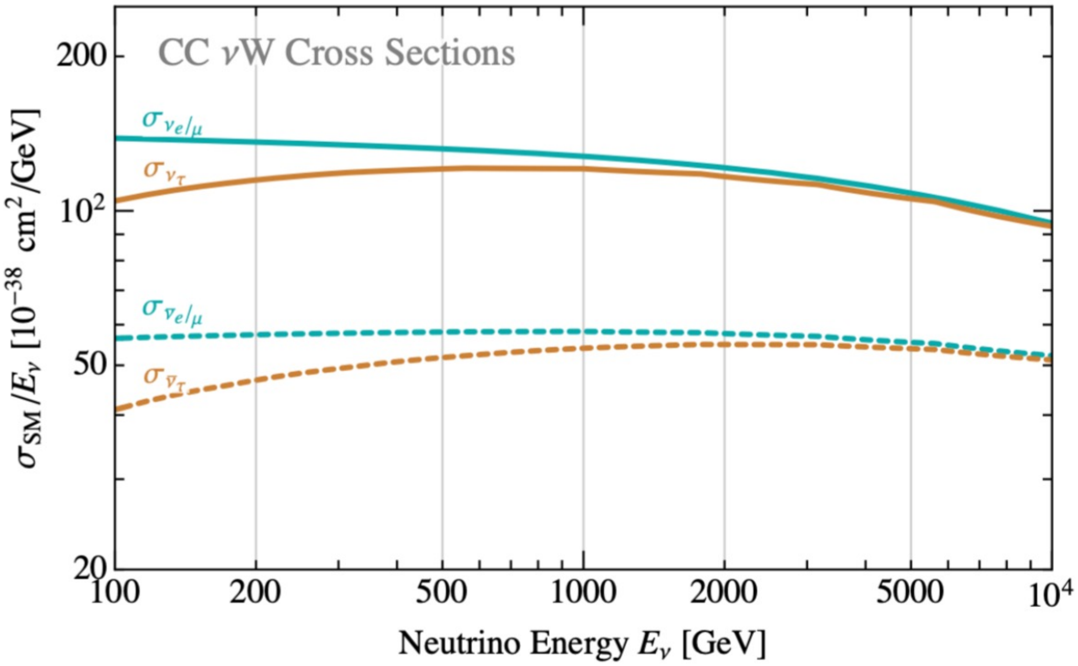


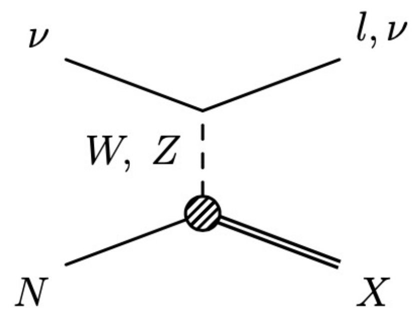


EFT at FASERν

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

Why FASERν?





DIS detection, easy to include NP
(compared with QE and Resonances)

EFT at FASERν

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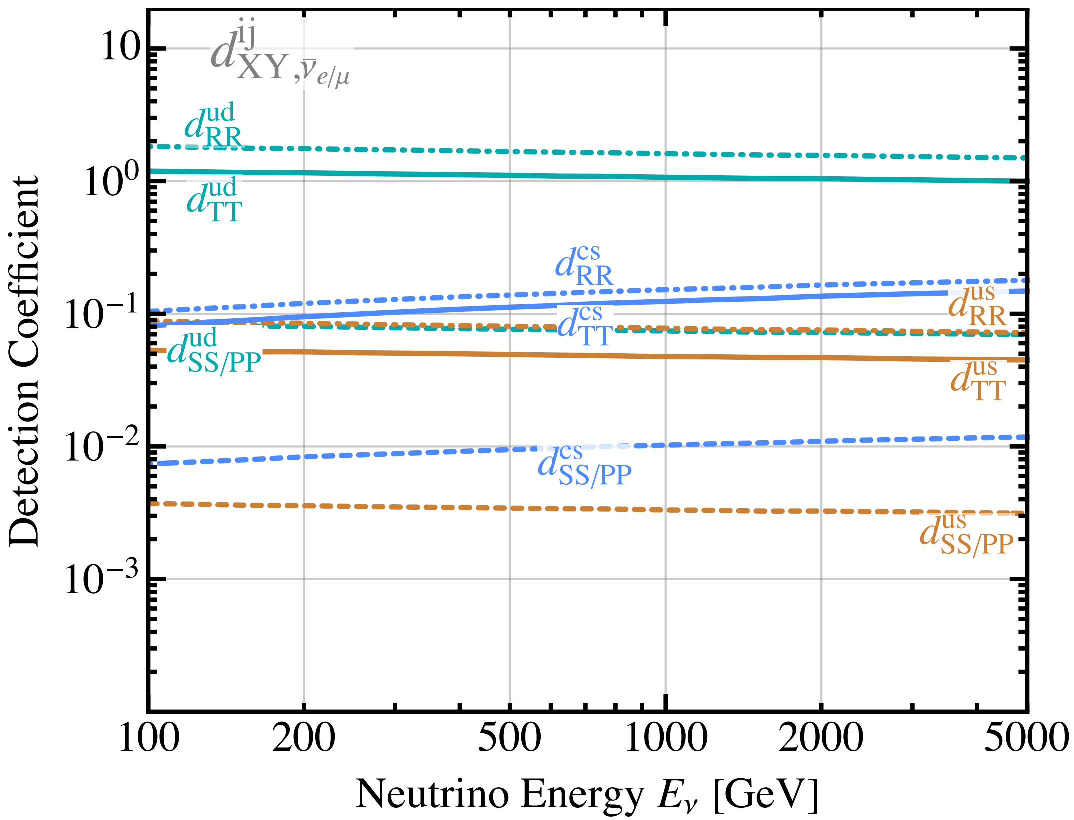
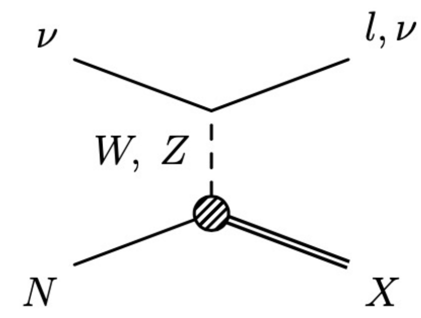




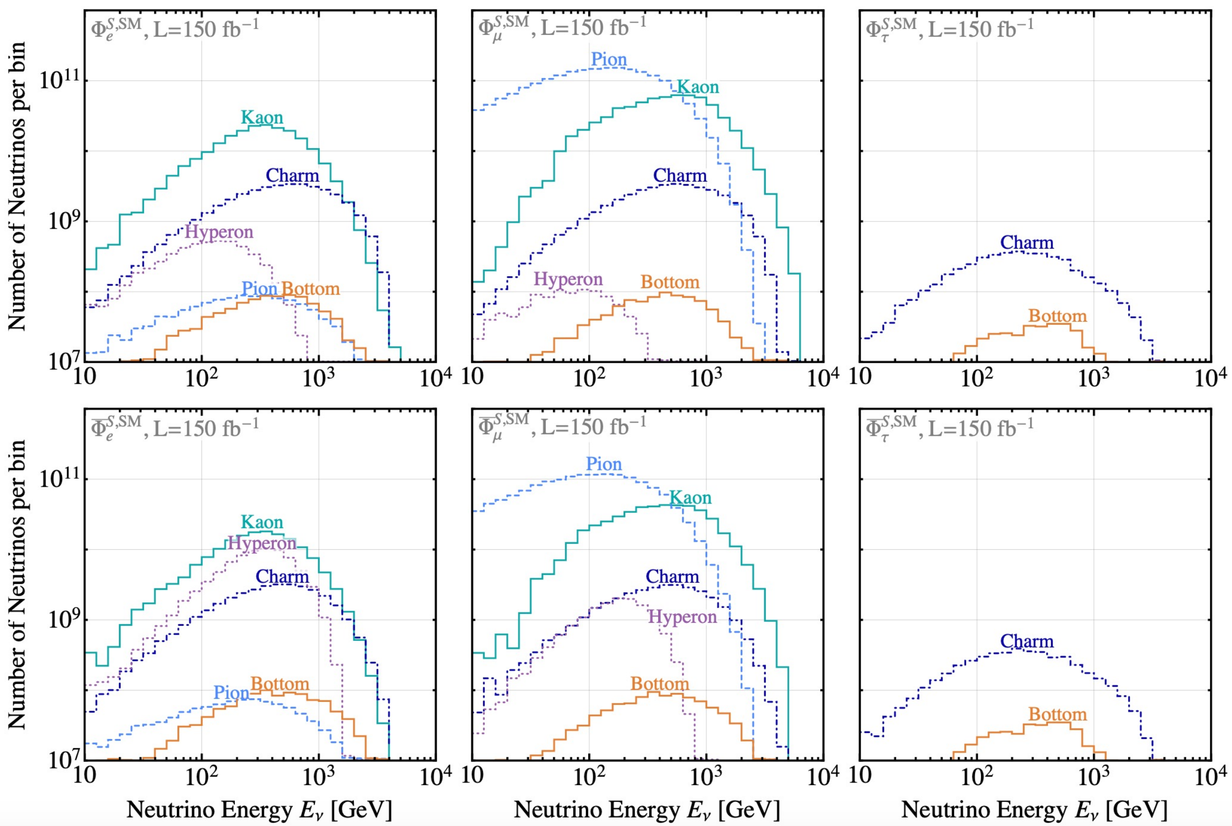
- No new physics at the linear order!
- Good sensitivity to the right handed and tensor interactions.

EFT at FASERν

Thanks to Felix, also based on arXiv:2105.08270

Why FASERν?

- Several production modes
- Pion and Kaon decays are the dominant ones
- All (anti)neutrino flavors are available



Generators		FASERν		
light hadrons	heavy hadrons	$\nu_e + \bar{\nu}_e$	$\nu_\mu + \bar{\nu}_\mu$	$\nu_\tau + \bar{\nu}_\tau$
SIBYLL	SIBYLL	1343	6072	21.2
DPMJET	DPMJET	4614	9198	131
EPOSLHC	Pythia8 (Hard)	2109	7763	48.9
QGSJET	Pythia8 (Soft)	1437	7162	24.5

Leptonic Pion Decay:

A. Falkowski, M. González-Alonso, ZT
arXiv: 1910.02971

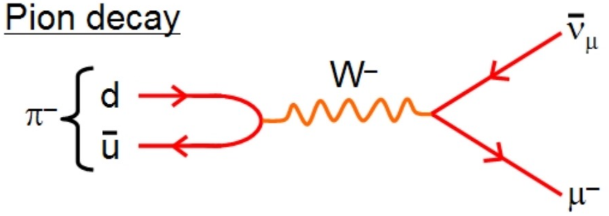
Due to the pseudoscalar nature of the pion, it is sensitive only to axial (ε_L-ε_R) and pseudo-scalar (ε_P) interactions.

$$p_{LL} = -p_{RL} = 1, \quad p_{PL} = -p_{PR} = -\frac{m_\pi^2}{m_\mu(m_u + m_d)},$$

$$p_{RR} = 1, \quad p_{PP} = \frac{m_\pi^4}{m_\mu^2(m_u + m_d)^2}.$$

~-27

Pion decay



$\pi^-(d\bar{u}) \to \mu^- + \bar{\nu}_\mu$

- Larger $P_{XY} \Longrightarrow$ smaller ϵ!

$$R_{\alpha\beta} = \Phi_\alpha^{\rm SM} \sigma_\beta^{\rm SM} \sum_{k,l} e^{-i\frac{L\Delta m_{kl}^2}{2E_\nu}}$$
$$\times \left[U_{\alpha k}^* U_{\alpha l} + p_{XL}(\epsilon_X U)_{\alpha k}^* U_{\alpha l} + p_{XL}^* U_{\alpha k}^* (\epsilon_X U)_{\alpha l} + p_{XY}(\epsilon_X U)_{\alpha k}^* (\epsilon_Y U)_{\alpha l}\right]$$
$$\times \left[U_{\beta k} U_{\beta l}^* + d_{XL}(\epsilon_X U)_{\beta k} U_{\beta l}^* + d_{XL}^* U_{\beta k} (\epsilon_X U)_{\beta l}^* + d_{XY}(\epsilon_X U)_{\beta k} (\epsilon_Y U)_{\beta l}^*\right]$$

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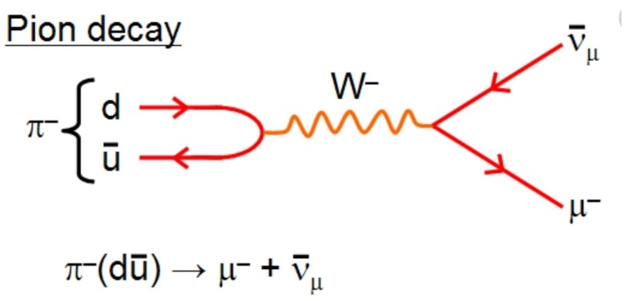


$$p_{LL} = -p_{RL} = 1, \quad p_{PL} = -p_{PR} = -\frac{m_\pi^2}{m_\mu(m_u + m_d)},$$

$$p_{RR} = 1, \quad p_{PP} = \frac{m_\pi^4}{m_\mu^2(m_u + m_d)^2}.$$

~700!

We will have a great chiral enhancement for the pseudoscalar NP!

Kaon Decay:

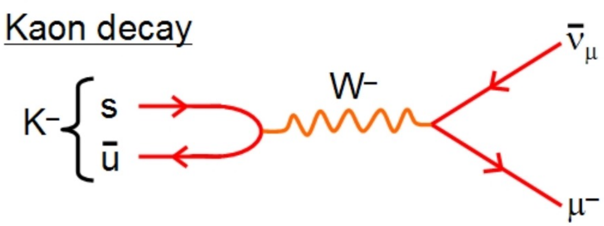
A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

Both 2-body and 3-body kaon decays contribute:

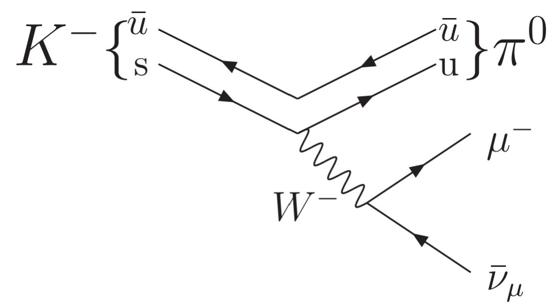
$$p^{S,jk}_{XY,\alpha} \equiv \frac{\int dE_S \frac{\phi_S(E_S)}{E_S} \sum_i \beta_i^S(E_S) \int d\Pi_{P'_i} A^{S_i,jk}_{X,\alpha} A^{S_i,jk*}_{Y,\alpha}}{\int dE_S \frac{\phi_S(E_S)}{E_S} \sum_{i'j'k'} \beta_{i'}^S(E_S) \int d\Pi_{P'_{i'}} |A^{S_i,j'k'}_{L,\alpha}|^2}$$

Energy distribution of $K^{\pm}$, K_L or K_S

Thanks to Felix Kling



$K^-(s\bar{u}) \rightarrow \mu^- + \bar{\nu}_\mu$



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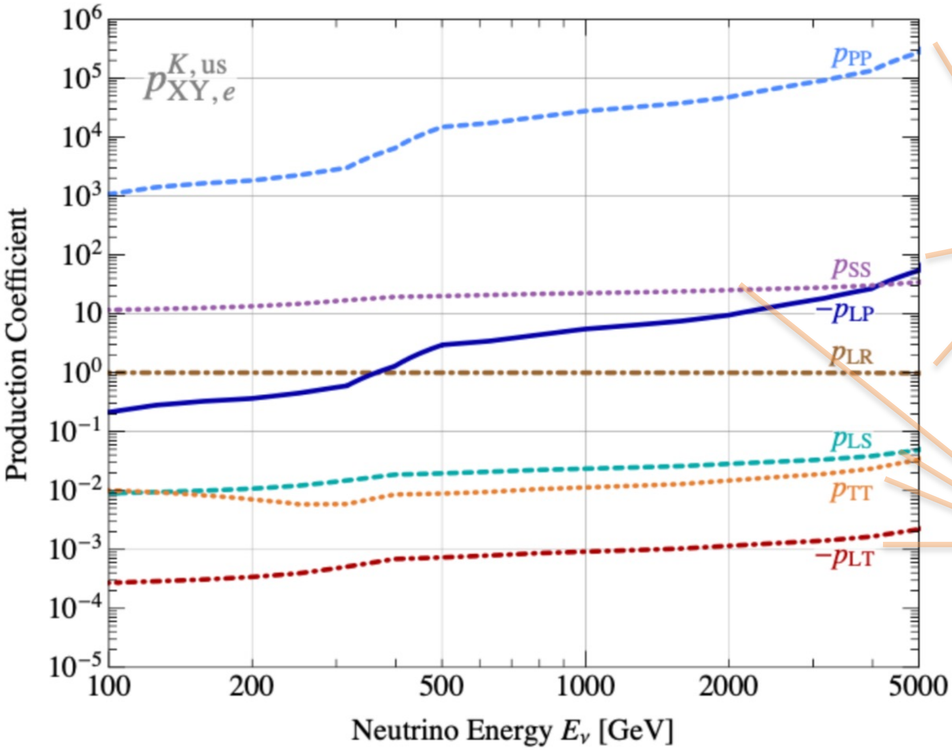
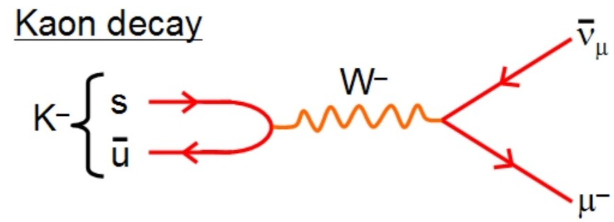
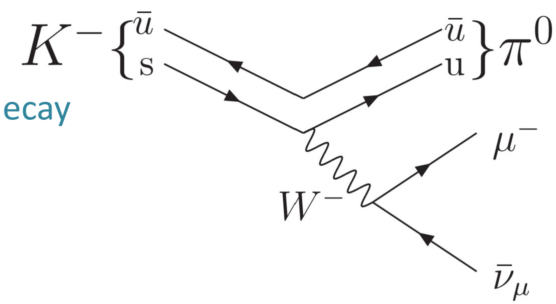


From 2-b decay

From 3-b decay



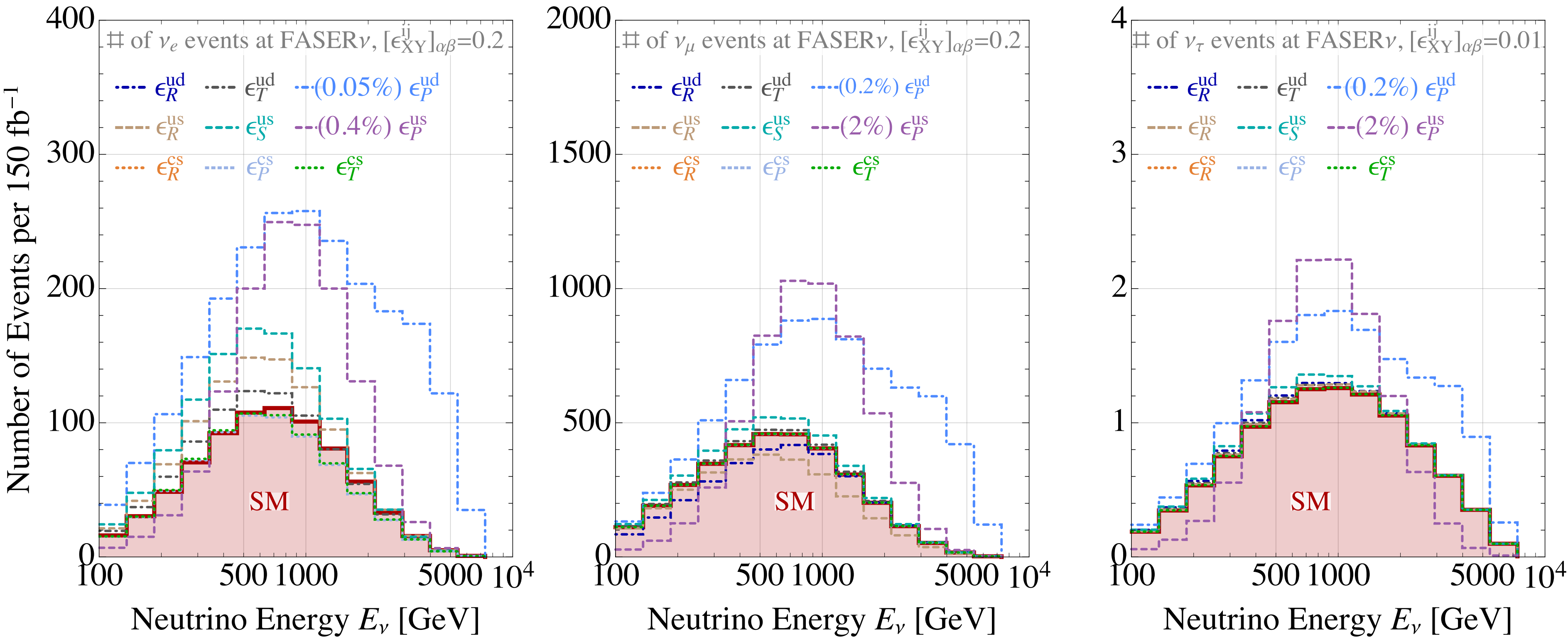
$K^-(s\bar{u}) \rightarrow \mu^- + \bar{\nu}_\mu$



We see ``more’’ chiral-enhancement for the decay into electrons!!!

EFT at FASERν

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136



RESULTS

Turning on one interaction at a time: Pseudo-Scalar

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

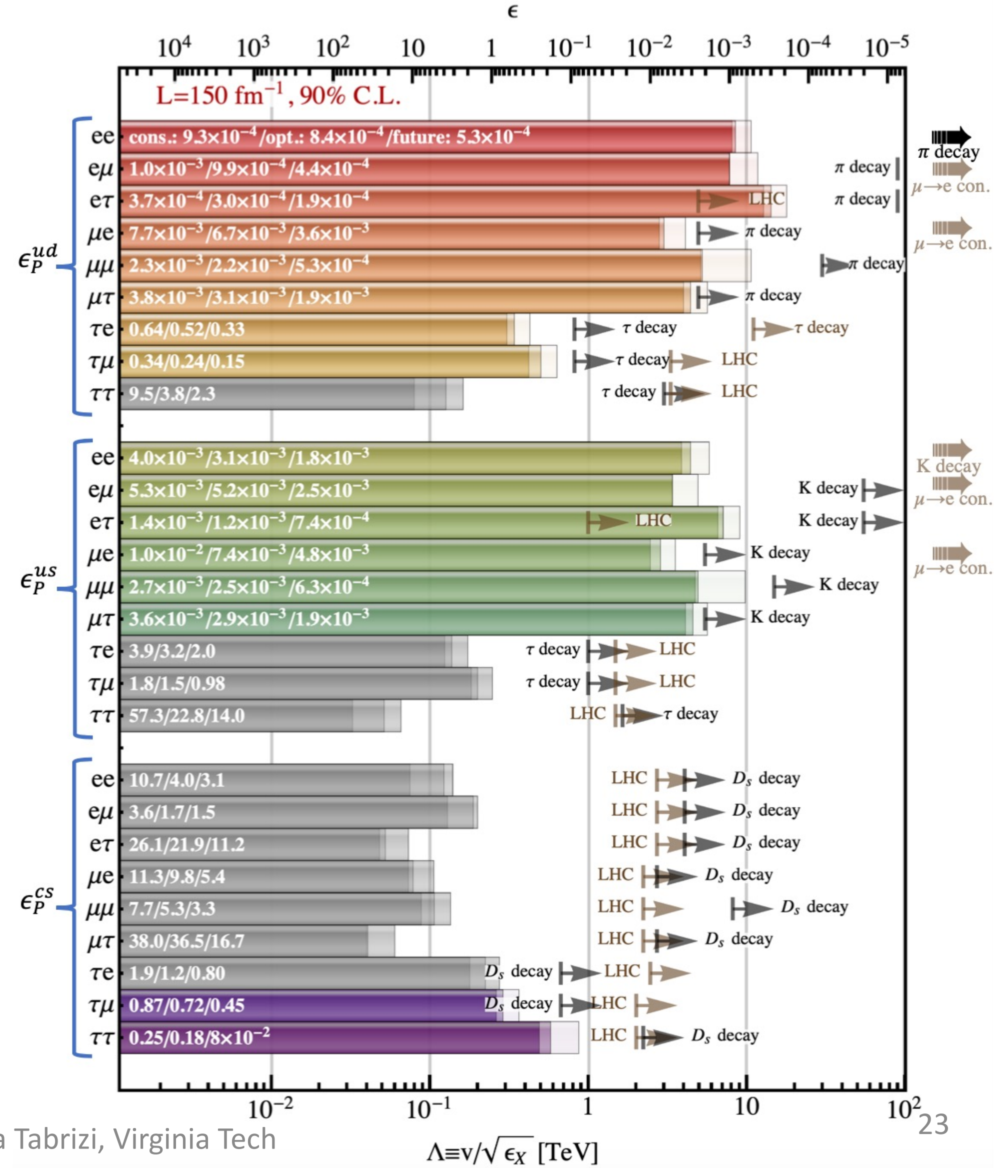
Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

- The rates scale linearly wrt volume:

  diagonal $\varepsilon \sim (V_2/V_1)^{1/2}$

  off-diagonal $\varepsilon \sim (V_2/V_1)^{1/4}$

- 20 times larger lum. gives ~ 4 (2) times better sensitivity for (off-)diagonal elements

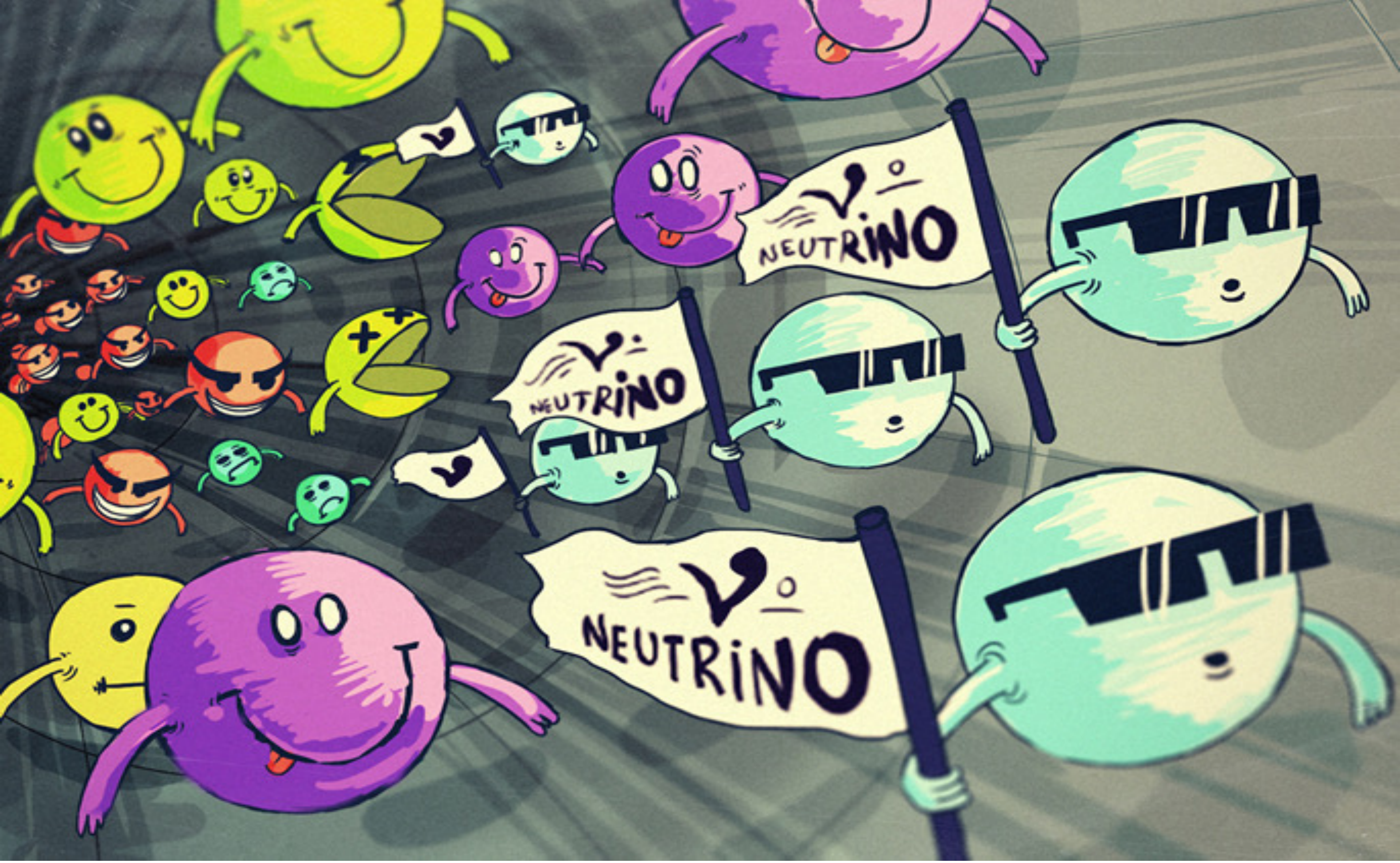


Conclusion:

- We have proposed a systematic approach to neutrino experiments in the SMEFT framework.

- We applied the formalism to FASERν experiment, however the formalism can be readily extended to other types of neutrino experiments.

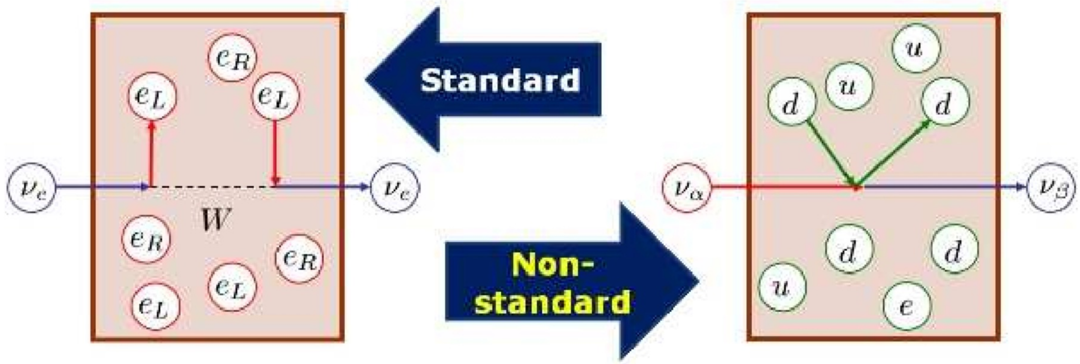
- Constraints of the order of 10^{-3} (10 TeV) can be derived for pseudo-scalar interaction at FASERν. In total 81 different operators can be probed at FASERν.



Thanks for your attention

QM-NSI Description

Neutrinos are not pure flavor states:



Standard NSI approach

NSI parameters

$$|\nu_\alpha^s\rangle = \frac{1}{N_\alpha^s}\left[|\nu_\alpha\rangle + \sum_{\gamma=e,\mu,\tau} \epsilon^s_{\alpha\gamma}|\nu_\gamma\rangle\right]$$

Rotation of flavor states at the source

$$\langle\nu_\beta^d| = \frac{1}{N_\beta^d}\left[\langle\nu_\beta| + \sum_{\gamma=e,\mu,\tau} \langle\nu_\gamma|\epsilon^d_{\gamma\beta}\right]$$

Rotation of flavor states at the detector

Normalization

QM-NSI Description

Neutrinos are not pure flavor states:

$$|\nu_\alpha^s\rangle = \frac{(1+\epsilon^s)_{\alpha\gamma}}{N_\alpha^s}|\nu_\gamma\rangle \ , \quad \langle\nu_\beta^d| = \langle\nu_\gamma|\frac{(1+\epsilon^d)_{\gamma\beta}}{N_\beta^d}$$

Observable: **rate of detected events**

~(flux)×(det. cross section)×(oscillation)

$$R_{\alpha\beta}^{\rm QM} = \Phi_\alpha^{\rm SM}\sigma_\beta^{\rm SM}\sum_{k,l} e^{-i\frac{L\Delta m_{kl}^2}{2E_\nu}}[x_s]_{\alpha k}[x_s]_{\alpha l}^*[x_d]_{\beta k}[x_d]_{\beta l}^*$$

$$x_s \equiv (1+\epsilon^s)U^* \ \& \ x_d \equiv (1+\epsilon^d)^T U$$

QFT vs QM-NSI

- Can one “validate” QM-NSI approach from the QFT results?
- If yes, relation between NSI parameters and Lagrangian (EFT) parameters?
- Does the matching hold at all orders in perturbation?

QFT vs QM-NSI

- Can one "validate" QM-NSI approach from the QFT results? Yes…
- If yes, relation between NSI parameters and Lagrangian (EFT) parameters?
- Does the matching hold at all orders in perturbation? No…

Observable is the same, we can match the two

(only at the linear level)

$$\epsilon^s_{\alpha\beta} = \sum_X p_{XL} [\epsilon_X]^*_{\alpha\beta}, \quad \epsilon^d_{\beta\alpha} = \sum_X d_{XL} [\epsilon_X]_{\alpha\beta}$$

A. Falkowski, M. González-Alonso, ZT
arXiv: 1910.02971

Comparing QM and QFT

At the linear order we have:

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Neutrino Process	NSI Matching with EFT
ν_e produced in beta decay	$\epsilon^s_{e\beta} = [\epsilon_L]^*_{e\beta} - [\epsilon_R]^*_{e\beta} - \frac{g_T}{g_A}\frac{m_e}{f_T(E_\nu)}[\epsilon_T]^*_{e\beta}$
ν_e detected in inverse beta decay	$\epsilon^d_{\beta e} = [\epsilon_L]_{e\beta} + \frac{1-3g_A^2}{1+3g_A^2}[\epsilon_R]_{e\beta} - \frac{m_e}{E_\nu - \Delta}\left(\frac{g_S}{1+3g_A^2}[\epsilon_S]_{e\beta} - \frac{3g_A g_T}{1+3g_A^2}[\epsilon_T]_{e\beta}\right)$
ν_μ produced in pion decay	$\epsilon^s_{\mu\beta} = [\epsilon_L]^*_{\mu\beta} - [\epsilon_R]^*_{\mu\beta} - \frac{m_\pi^2}{m_\mu(m_u+m_d)}[\epsilon_P]^*_{\mu\beta}$

- Different NP interactions appear at the source or detection simultaneously.
- Some of the p/d coefficients depend on the neutrino energy.
- There are chiral enhancements in some cases.

These correlations, energy dependence etc. cannot be seen in the traditional QM approach.

Comparing QM and QFT

Beyond the linear order in new physics parameters, the NSI formula matches the (correct) one derived in the EFT
only if the consistency condition is satisfied

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$$p_{XL} p^*_{YL} = p_{XY}, \quad d_{XL} d^*_{YL} = d_{XY}$$

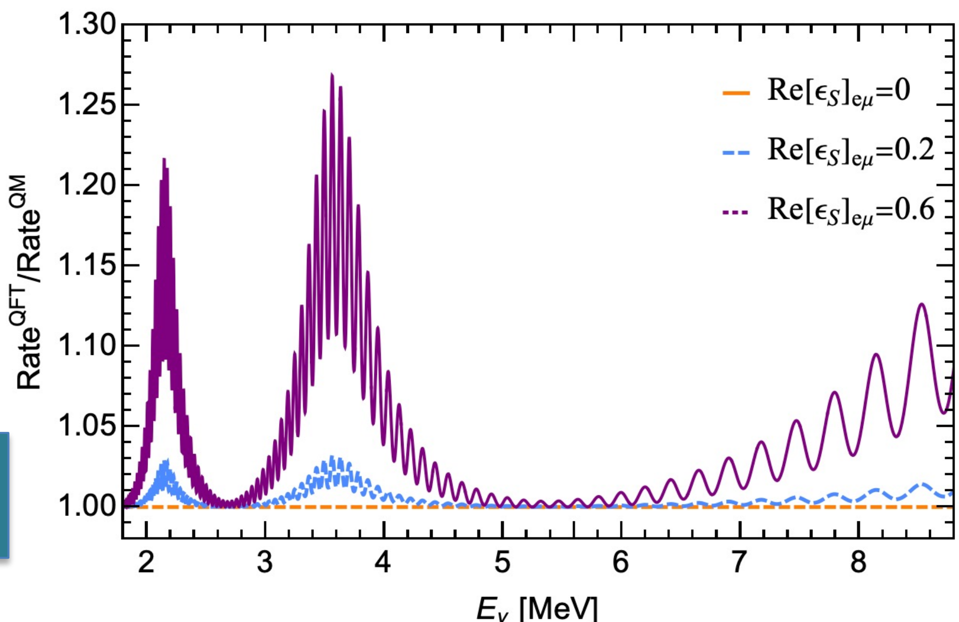
This is always satisfied for new physics correcting V-A interactions only as $p_{LL} = d_{LL} = 1$ by definition

However for non-V-A new physics the consistency condition is not satisfied in general

We can compare the QFT and QM rates at all orders.

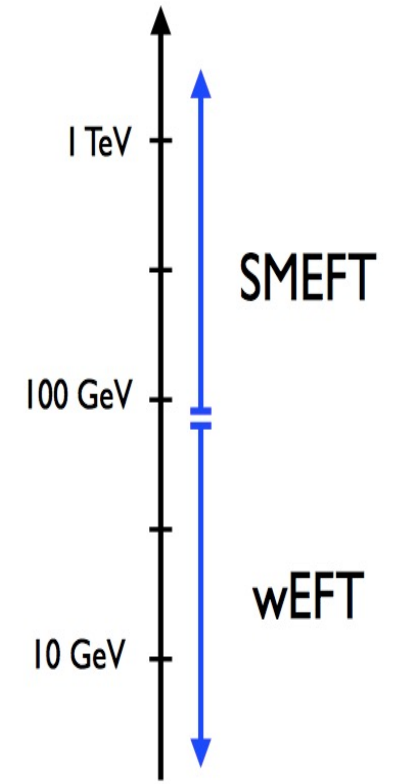
e.g. at KamLAND experiment

$$p_{XY} \equiv \frac{\int d\Pi_{P'} A^P_X \bar{A}^P_Y}{\int d\Pi_{P'} |A^P_L|^2}, \quad d_{XY} \equiv \frac{\int d\Pi_D A^D_X \bar{A}^D_Y}{\int d\Pi_D |A^D_L|^2}.$$



Matching WEFT and SMEFT parameters:

$$
\begin{aligned}
[\epsilon_L]_{\alpha\beta} &\approx \frac{v^2}{\Lambda^2 V_{ud}}\left(V_{ud}[c^{(3)}_{Hl}]_{\alpha\beta} + V_{jd}[c^{(3)}_{Hq}]_{1j}\delta_{\alpha\beta} - V_{jd}[c^{(3)}_{lq}]_{\alpha\beta 1j}\right) \\
[\epsilon_R]_{\alpha\beta} &\approx \frac{v^2}{2\Lambda^2 V_{ud}}[c_{Hud}]_{11}\delta_{\alpha\beta}, \\
[\epsilon_S]_{\alpha\beta} &\approx -\frac{v^2}{2\Lambda^2 V_{ud}}\left(V_{jd}[c^{(1)}_{lequ}]^*_{\beta\alpha j1} + [c_{ledq}]^*_{\beta\alpha 11}\right), \\
[\epsilon_P]_{\alpha\beta} &\approx -\frac{v^2}{2\Lambda^2 V_{ud}}\left(V_{jd}[c^{(1)}_{lequ}]^*_{\beta\alpha j1} - [c_{ledq}]^*_{\beta\alpha 11}\right), \\
[\hat{\epsilon}_T]_{\alpha\beta} &\approx -\frac{2v^2}{\Lambda^2 V_{ud}}V_{jd}[c^{(3)}_{lequ}]^*_{\beta\alpha j1},
\end{aligned}
$$



- All ε_X arise at $O(\Lambda^{-2})$ in the SMEFT, thus they are equally important.
- No off-diagonal right handed interactions in SMEFT.

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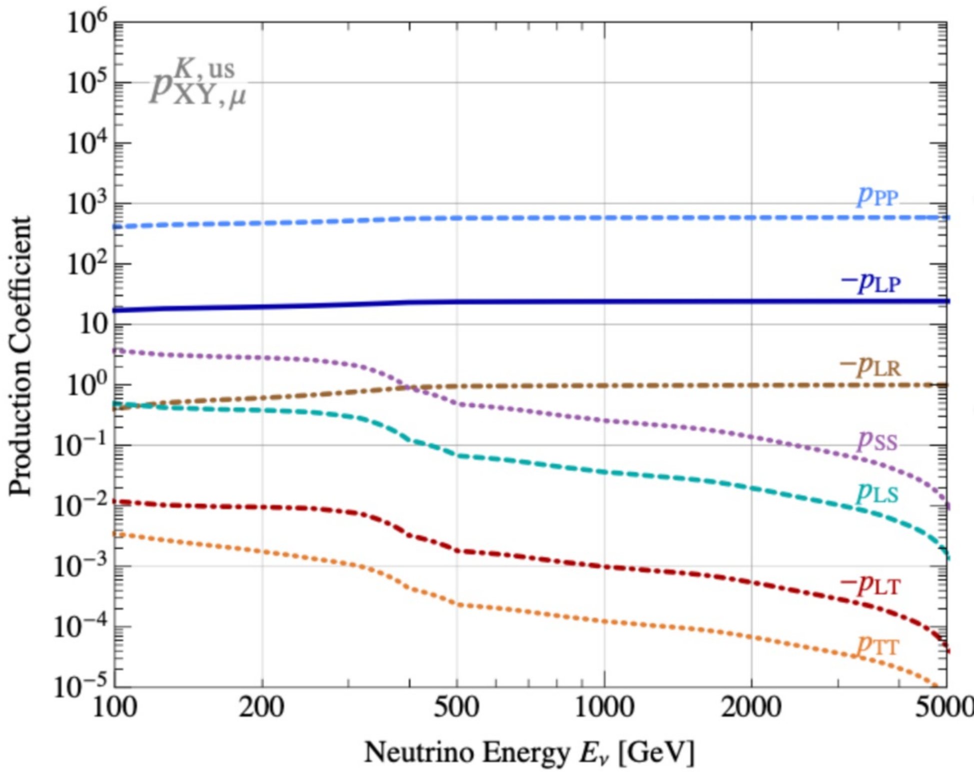
Kaon Decay:

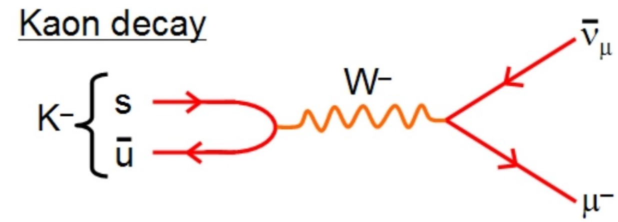
A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

Both 2-body and 3-body kaon decays contribute:

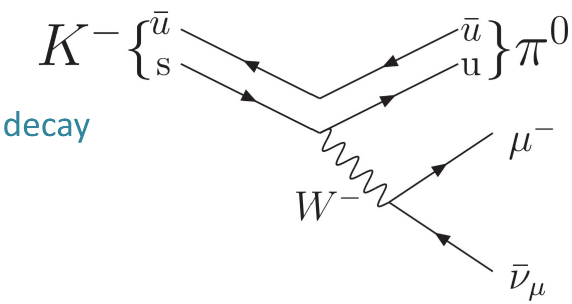


From 2-b decay

From 3-b decay



$K^-(s\bar{u}) \rightarrow \mu^- + \bar{\nu}_\mu$



We see chiral-enhancement for the decay into muons!

EFT at FASERν

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

(pseudo)probability:

$$\tilde{P}_{\alpha\beta}\big|_{L=0} \simeq \Big(1 + 2 \sum_{X,j,k} p^{jk}_{XL,\alpha} |\epsilon^{jk}_{X,\alpha\beta}| \cos\phi^{jk}_{X,\alpha\beta}\Big)\delta_{\alpha\beta} + \sum_{X,Y,j,k} |\epsilon^{jk}_{X,\alpha\beta}|^2 p^{jk}_{XY,\alpha} + \sum_{X,Y,r,s} |\epsilon^{rs}_{X,\beta\alpha}|^2 d^{rs}_{XY,\beta}\,,$$

Only the diagonal elements at the linear order

Off diagonal elements at the quadratic order

No oscillation, only zero-distance effect!

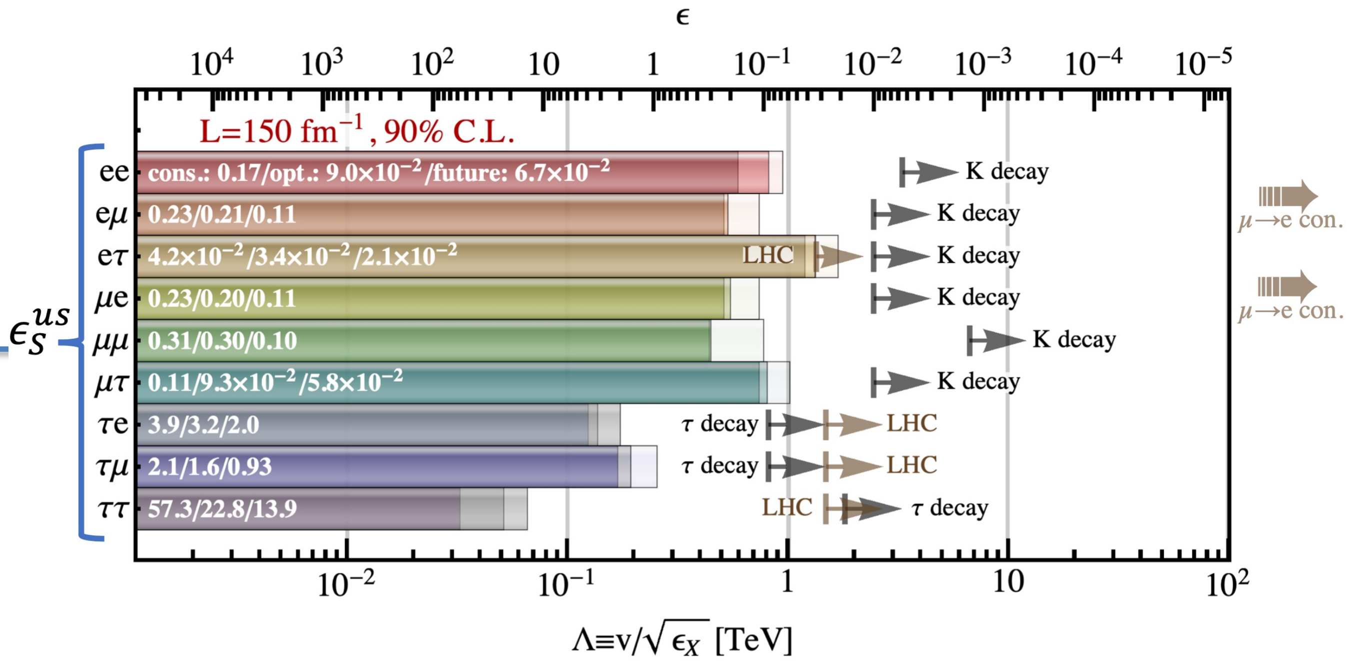
RESULTS

Turning on one interaction at a time: Scalar

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

From kaon decay



RESULTS

Turning on one interaction at a time: Right handed

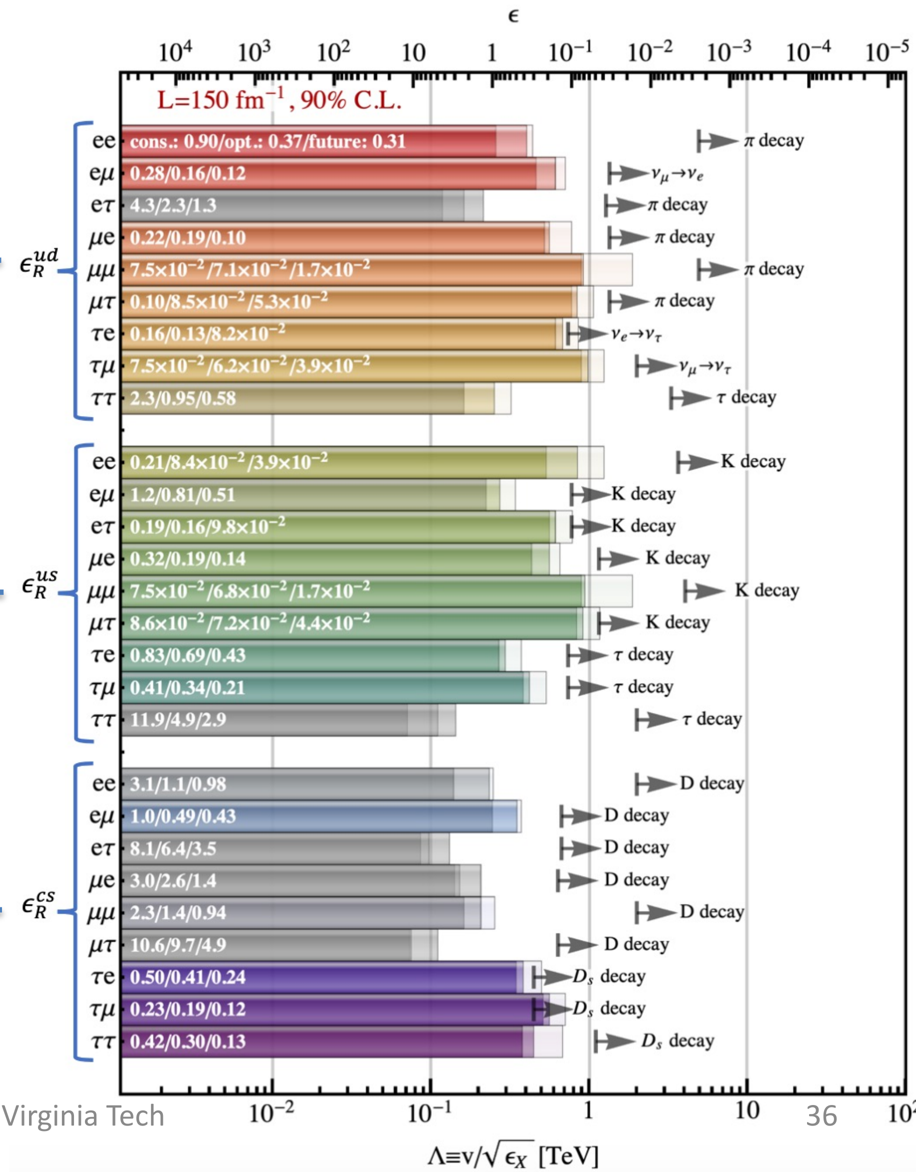
A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

From pion decay and DIS

From kaon decay and DIS

From charm decay and DIS



RESULTS

Turning on one interaction at a time: Pseudo-Scalar

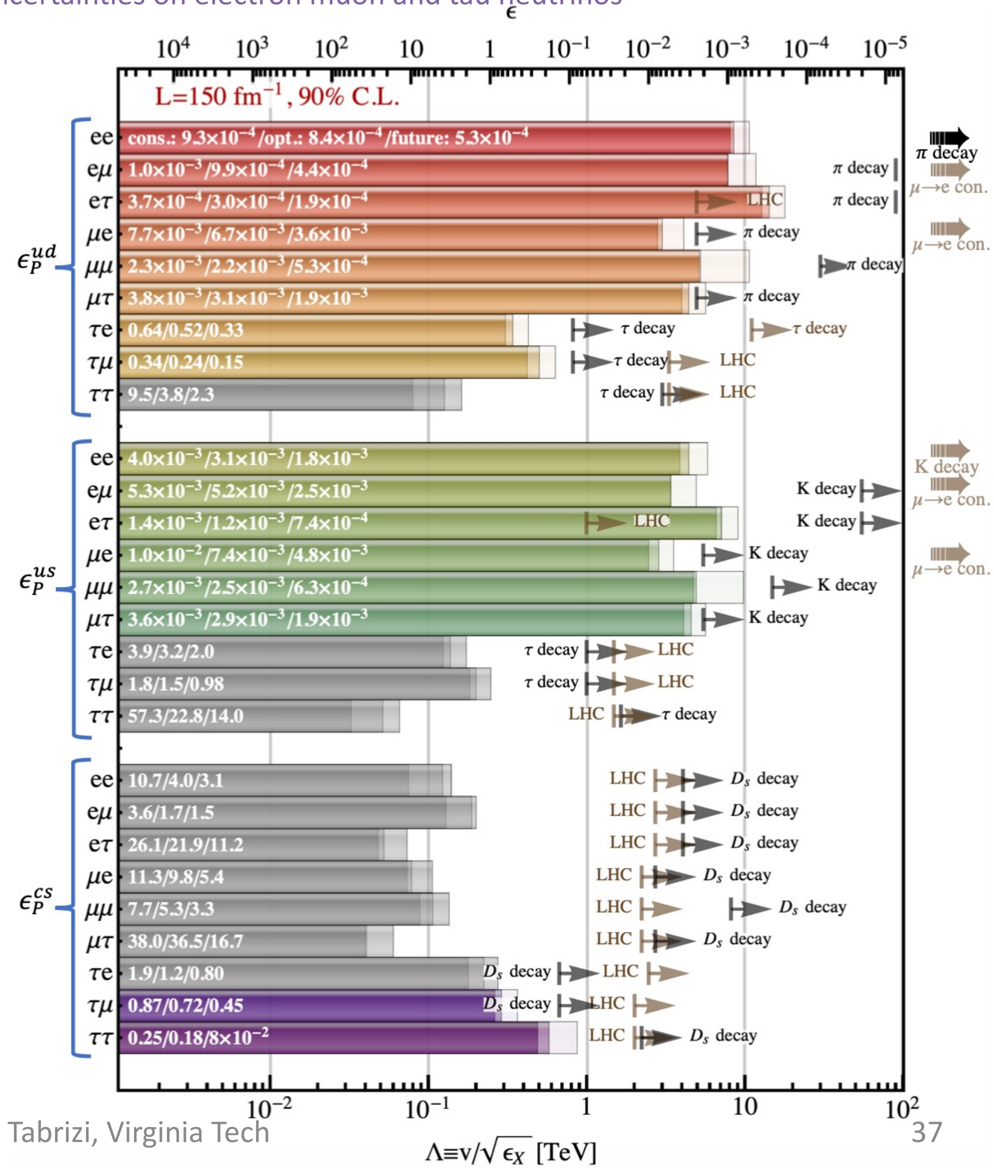
A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

From pion decay

From kaon decay

From charm decay



RESULTS

Turning on one interaction at a time: Tensor

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

From DIS

From charm decay and DIS