

LHCb Upgrades

Mark Tobin

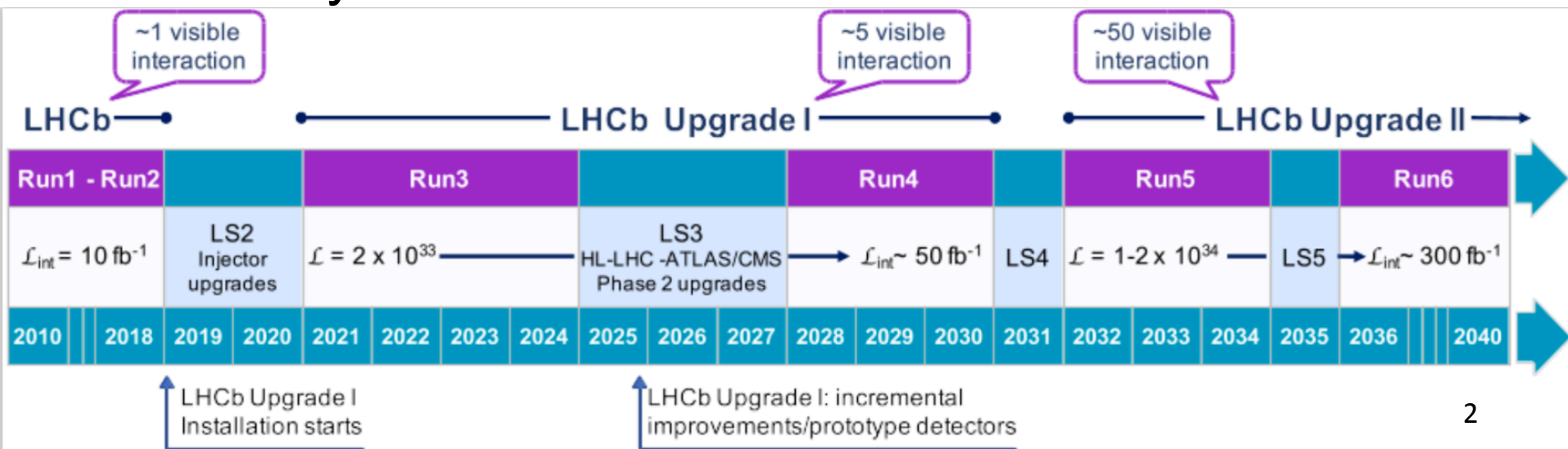
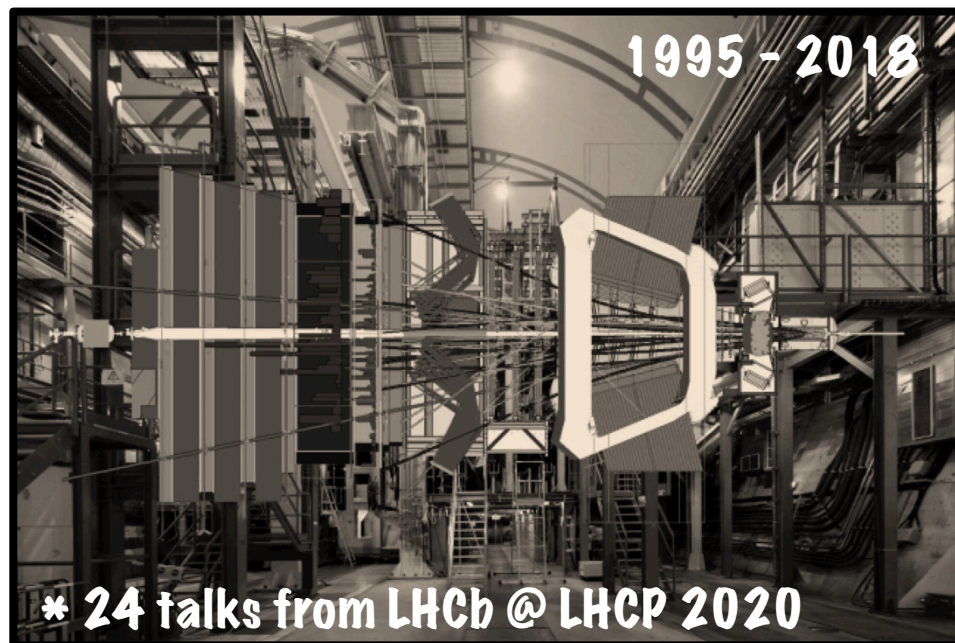
Institute of High Energy Physics

Chinese Academy of Sciences

On behalf of the LHCb collaboration

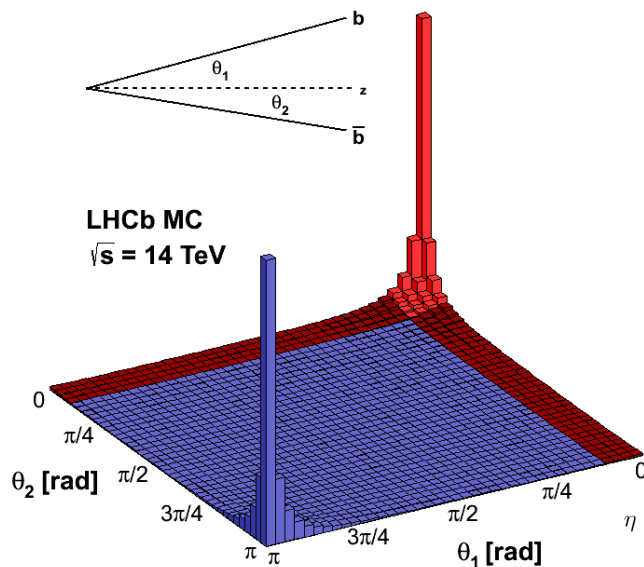
Overview

- Motivation.
 - Flavour physics @ HL-LHC.
- Upgrade I.
 - Status of installation.
- Upgrade Ib.
 - Consolidation plans.
- Upgrade II.
 - Plans & Design.
- Summary.

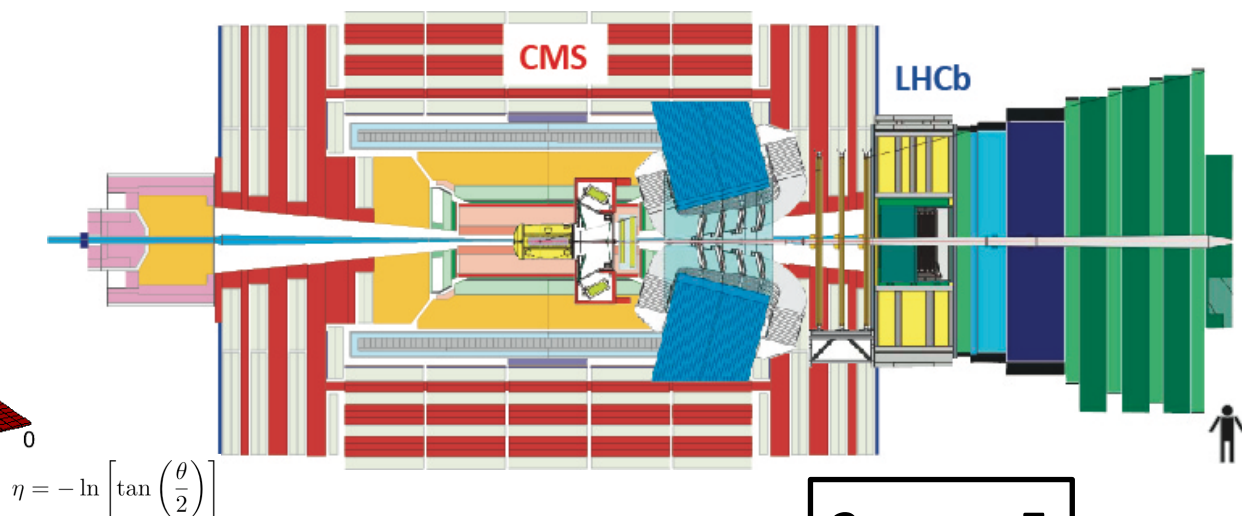


Why LHCb?

- Dedicated heavy flavour experiment at LHC.
 - Measure CP-violation in b -sector.
 - Study rare b - and c - hadron decays.
 - Exploit forward production of b -pairs with low angle.
- ✧ **Indirect searches for New Physics.**
- Physics program in Runs 1&2 was much much more.
 - Electroweak, QCD, direct searches, heavy ions.
- ✧ **General Purpose Detector in forward region.**



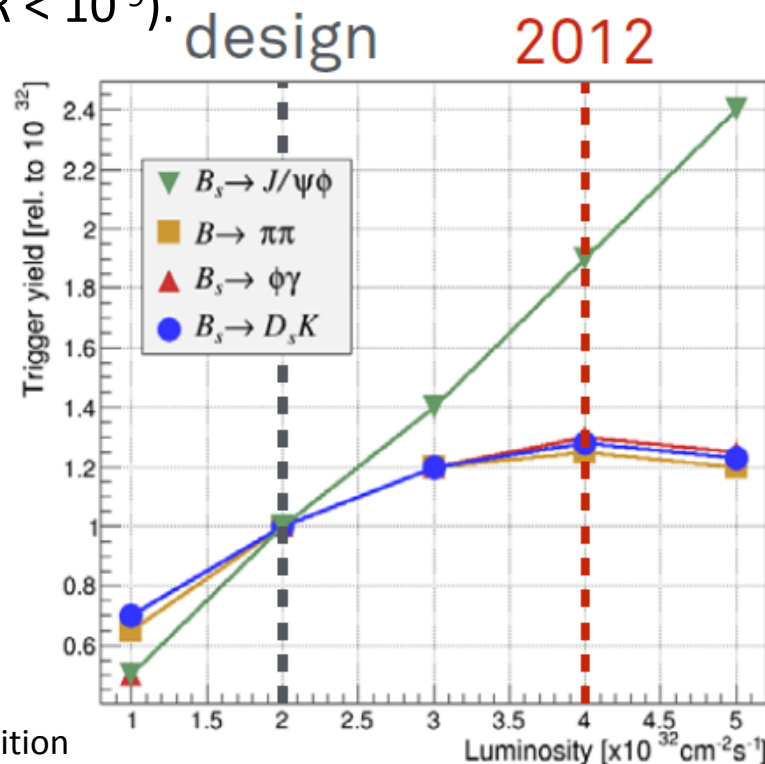
25th May 2014



LHCP2020, online edition

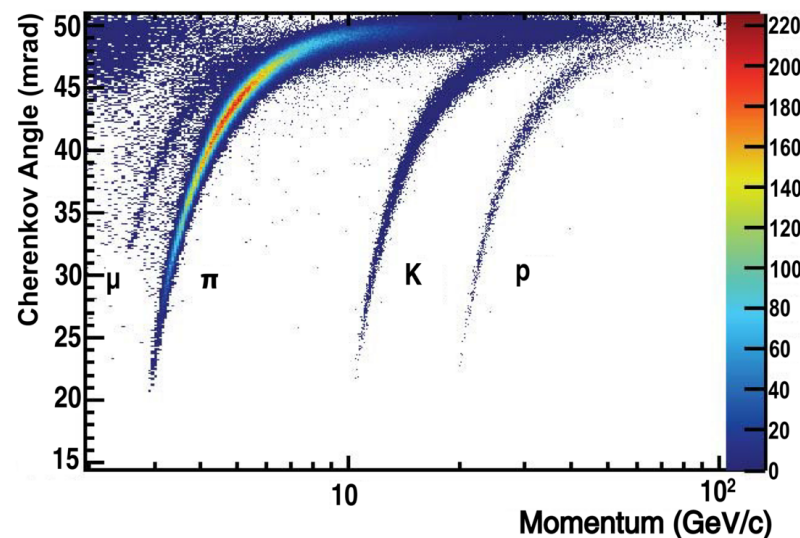
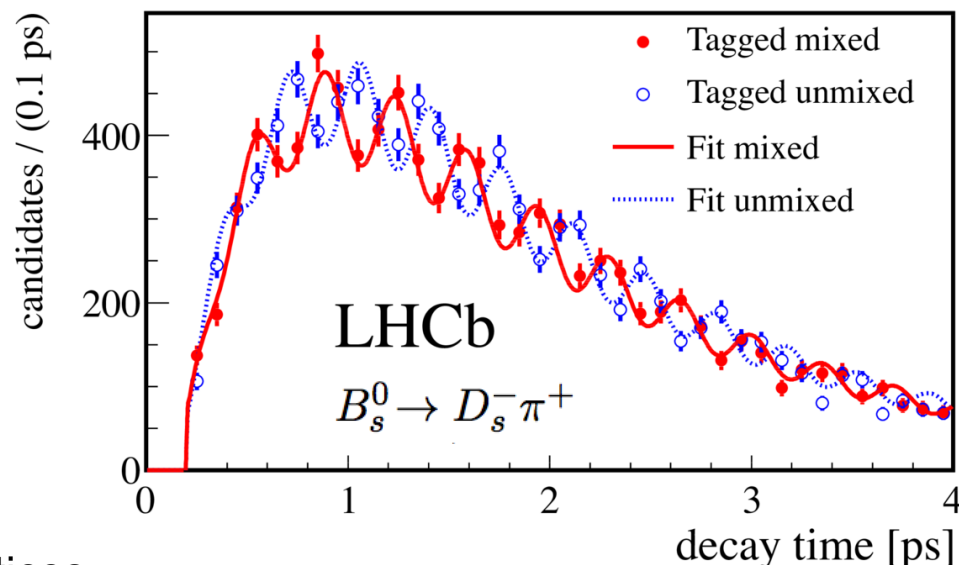
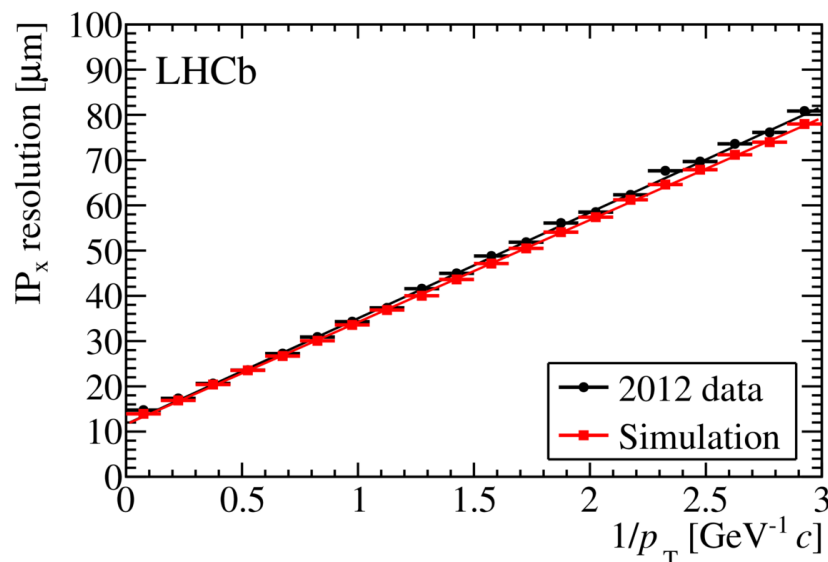
Why upgrade?

- Some hints of New Physics in LHC Runs 1 & 2.
 - Lepton flavour (non-)universality?
 - Semi-leptonic $B^0 \rightarrow D^{(*)-} l^+ \nu$ (tree level decay) – $R(D^*)$.
 - $b \rightarrow sl^+ l^-$ decays e.g. $B^0 \rightarrow K^{(*)0} l^+ l^-$ (FCNC decays) – $R(K)$, $R(K^*)$.
 - Angular analysis of $K^* \mu^+ \mu^-$.
 - No “discovery” but coherent set of discrepancies w.r.t. Standard Model.
- More data to further challenge theoretical predictions.
 - Precision tests with very rare decays ($BR < 10^{-9}$).
- Limited by Level-0 hardware trigger.
 - Maximum rate is 1.1 MHz.
- Higher luminosities:
 - Trigger yield saturates.
 - Harder cuts on E_T and p_T .
 - No real gain in statistics.
- Higher occupancy.
 - Degraded detector performance.
 - Radiation damage of detectors.





Detector Performance



- Separation of primary and secondary vertices.
 - Impact parameter resolution: $(15 + 29/p_T[\text{GeV}]) \mu\text{m}$.
- Proper time resolution.
 - Decay time resolution: $\sim 45 \text{ fs}$ ($B_s \rightarrow J/\psi \phi$ & $B_s \rightarrow D_s \pi$).
- Excellent momentum resolution:
 - $\Delta p / p = 0.5\%$ ($< 20 \text{ GeV}$) to 1.0% (200 GeV).
- Particle Identification:
 - Separation between γ , e^\pm , μ^\pm , π , K , p .
- Trigger Selection:
 - Efficient trigger for leptonic and hadronic final states.
 - Fast reconstruction of primary and secondary vertices

Run 1&2 performance is benchmark for Upgrades

CKM Measurements

LHC-B

2019

LETTER OF INTENT

A Dedicated LHC Collider Beauty Experiment
for Precision Measurements of CP-Violation

1995

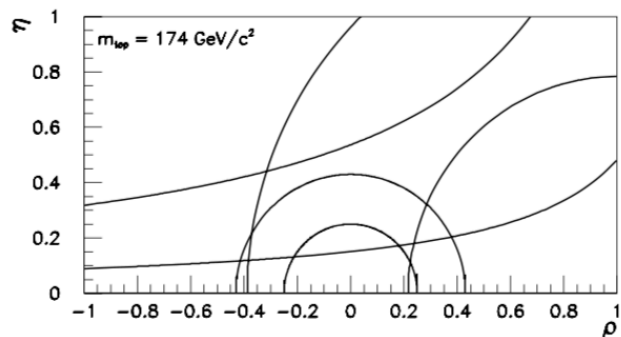
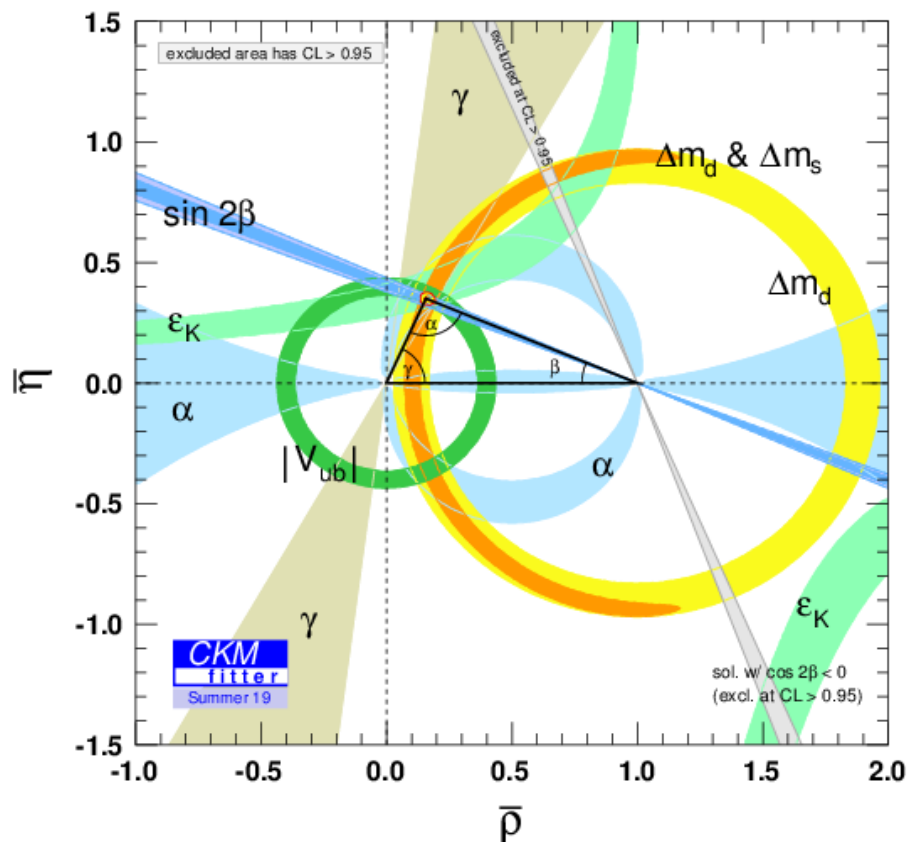


Figure 2.1: Limits on the CKM parameters (1σ) ρ and η for $m_t = 174$ GeV. The annular region cen-

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

Matrix is unitary: $V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$.

$$\alpha = \arg \left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*} \right), \quad \beta = \arg \left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*} \right), \quad \gamma \equiv \arg \left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \right).$$



CKM Measurements

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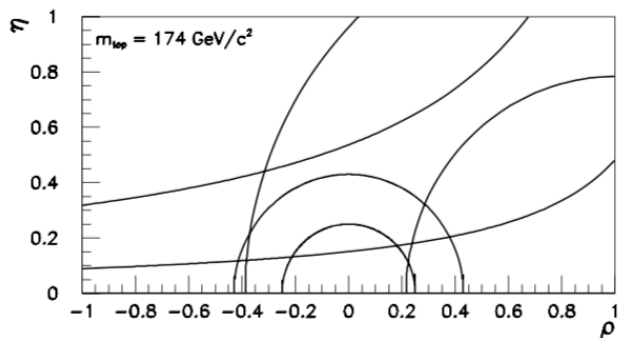
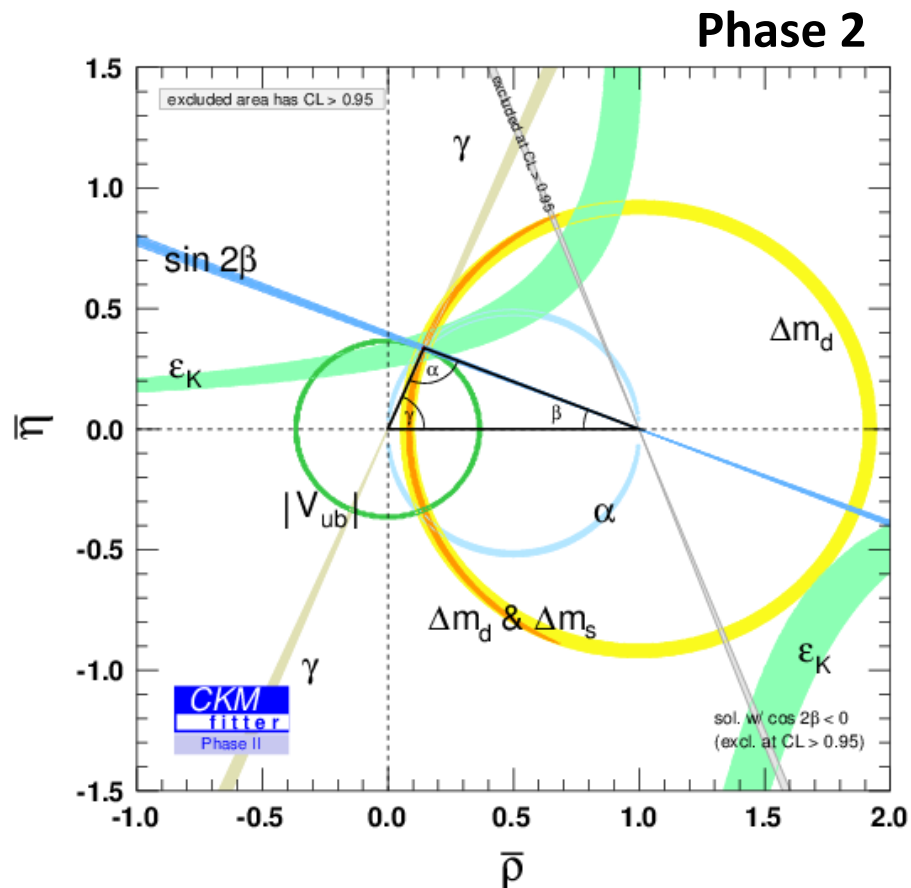


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LHCb (300 fb^{-1}), Belle-II (50 ab^{-1})
ATLAS & CMS (3000 fb^{-1})

$\sigma_\gamma \approx 5^\circ$ (2019) $\rightarrow 1^\circ$ (Phase 1) $\rightarrow 0.35^\circ$ (Phase 2)

Physics Reach

Observable	Current LHCb	LHCb 2025	Belle II	Upgrade II	ATLAS & CMS
EW Penguins					
$R_K (1 < q^2 < 6 \text{ GeV}^2 c^4)$	0.1	0.025	0.036	0.007	—
$R_{K^*} (1 < q^2 < 6 \text{ GeV}^2 c^4)$	0.1	0.031	0.032	0.008	—
R_ϕ, R_{pK}, R_π	—	0.08, 0.06, 0.18	—	0.02, 0.02, 0.05	—
CKM tests					
γ , with $B_s^0 \rightarrow D_s^+ K^-$	$(^{+17}_{-22})^\circ$	4°	—	1°	—
γ , all modes	$(^{+5.0}_{-5.8})^\circ$	1.5°	1.5°	0.35°	—
$\sin 2\beta$, with $B^0 \rightarrow J/\psi K_s^0$	0.04	0.011	0.005	0.003	—
ϕ_s , with $B_s^0 \rightarrow J/\psi \phi$	49 mrad	14 mrad	—	4 mrad	22 mrad
ϕ_s , with $B_s^0 \rightarrow D_s^+ D_s^-$	170 mrad	35 mrad	—	9 mrad	—
$\phi_s^{s\bar{s}s}$, with $B_s^0 \rightarrow \phi \phi$	154 mrad	39 mrad	—	11 mrad	Under study
a_{sl}^s	33×10^{-4}	10×10^{-4}	—	3×10^{-4}	—
$ V_{ub} / V_{cb} $	6%	3%	1%	1%	—
$B_s^0, B^0 \rightarrow \mu^+ \mu^-$					
$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$	90%	34%	—	10%	21%
$\tau_{B_s^0 \rightarrow \mu^+ \mu^-}$	22%	8%	—	2%	—
$S_{\mu\mu}$	—	—	—	0.2	—
$b \rightarrow c \ell^- \bar{\nu}_\ell$ LUV studies					
$R(D^*)$	0.026	0.0072	0.005	0.002	—
$R(J/\psi)$	0.24	0.071	—	0.02	—
Charm					
$\Delta A_{CP}(KK - \pi\pi)$	8.5×10^{-4}	1.7×10^{-4}	5.4×10^{-4}	3.0×10^{-5}	—
$A_\Gamma (\approx x \sin \phi)$	2.8×10^{-4}	4.3×10^{-5}	3.5×10^{-4}	1.0×10^{-5}	—
$x \sin \phi$ from $D^0 \rightarrow K^+ \pi^-$	13×10^{-4}	3.2×10^{-4}	4.6×10^{-4}	8.0×10^{-5}	—
$x \sin \phi$ from multibody decays	—	($K3\pi$) 4.0×10^{-5}	($K_s^0 \pi\pi$) 1.2×10^{-4}	($K3\pi$) 8.0×10^{-6}	—

* Taken from Physics case for an LHCb Upgrade II (CERN-LHCC-2018-027)



1. CERN-LHCC-2008-007
2. CERN-LHCC-2011-001
3. CERN-LHCC-2012-007
4. CERN-LHCC-2013-021
5. CERN-LHCC-2013-022
6. CERN-LHCC-2014-001
7. CERN-LHCC-2014-016
8. CERN-LHCC-2018-007
9. CERN-LHCC-2018-014
10. CERN-LHCC-2019-005

UPGRADE I

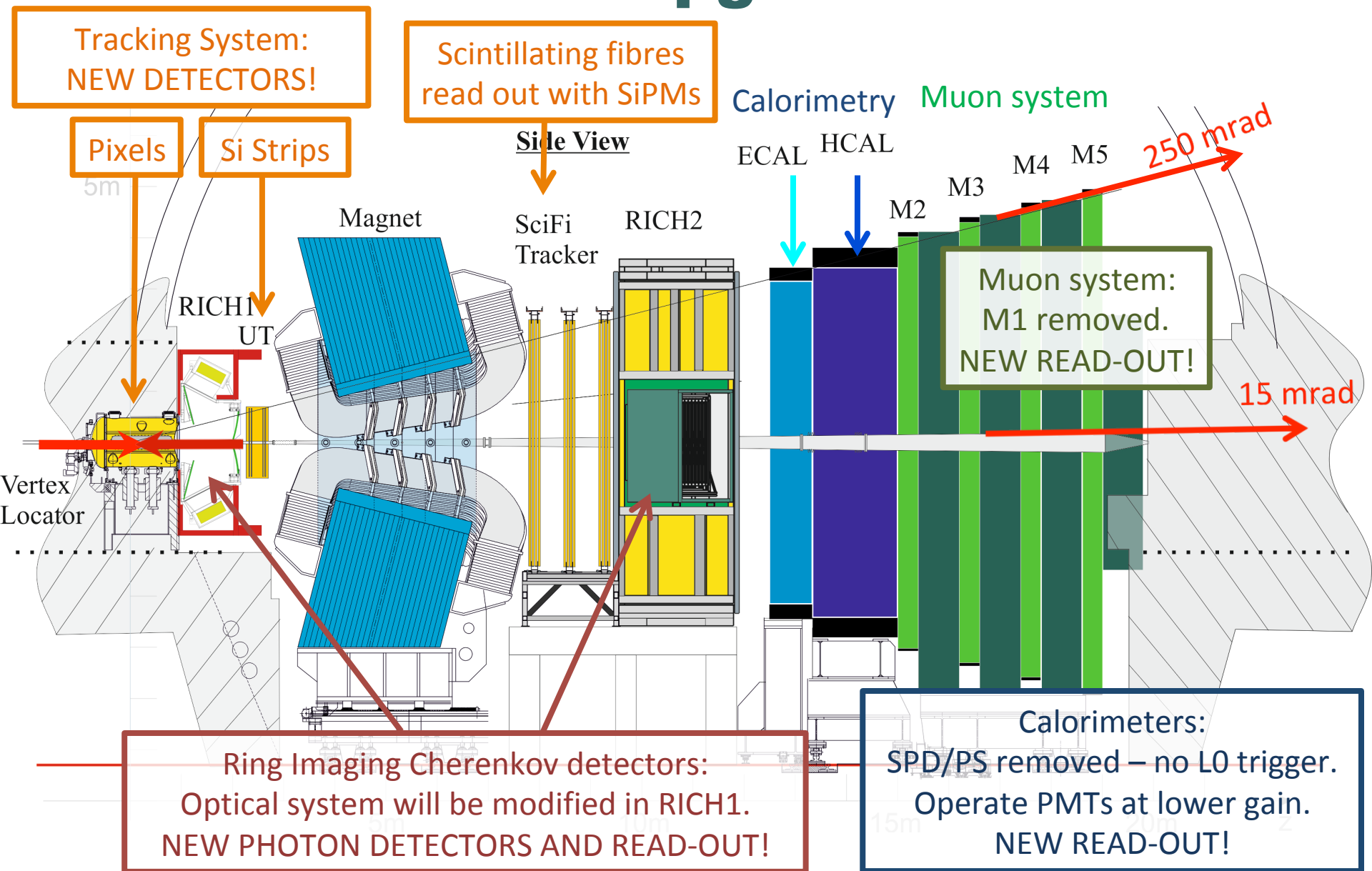
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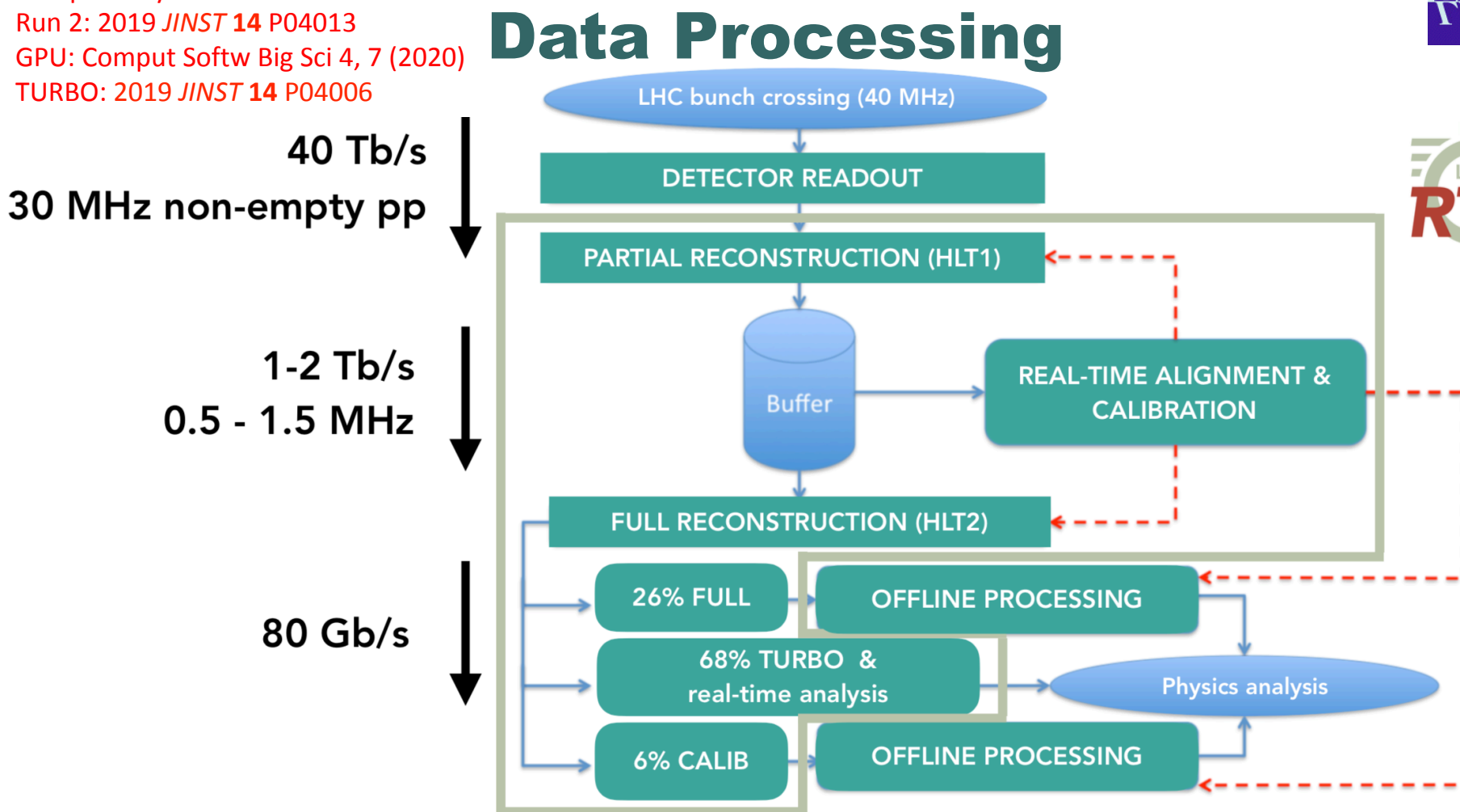
- Luminosity: $2 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$ (inst.), 50 fb^{-1} (int.)
- 5.2 visible interactions / crossing.

Challenge:

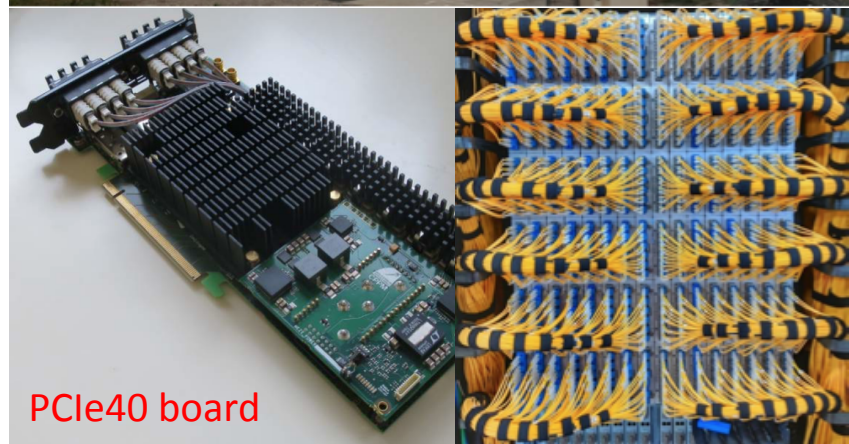
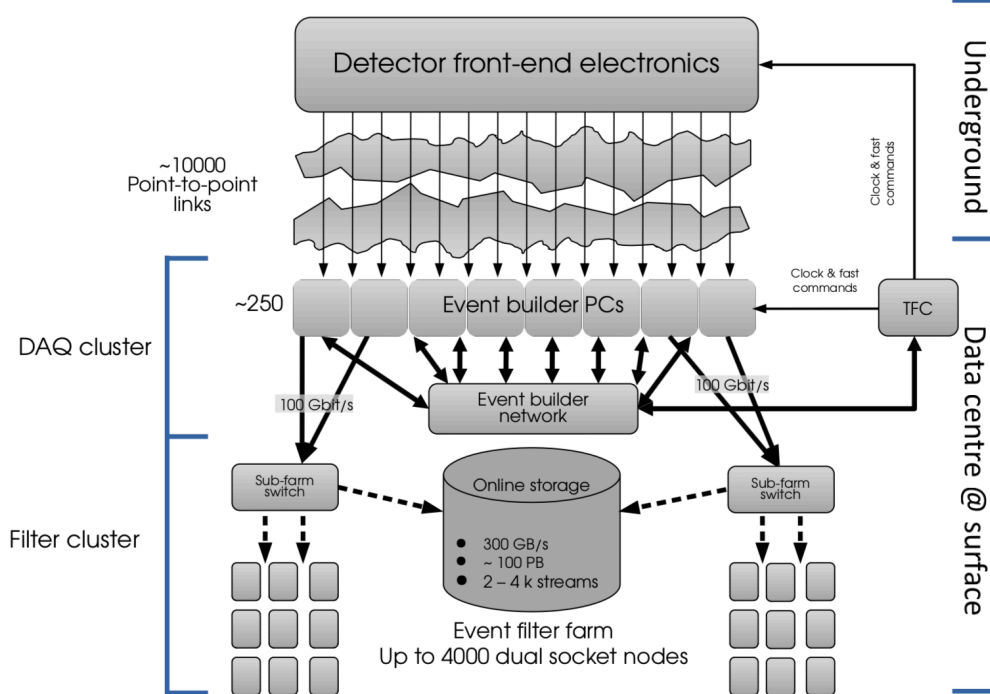
- Maintain current reconstruction performance in harsher environment.
- Read out the complete detector at 40 MHz.

LHCb Upgrade I





- RTA is integral part of DAQ chain in upgrade data processing.
 - Offline reconstruction in HLT2 à la Run 2.
- TURBO model for exclusive selections.
 - High-level physics objects directly from the HLT → small fraction of raw event size.
- HLT1 reconstruction will run on GPUs.



- Data centre on surface.
 - Event Filter Farm and Event Builder network.
- Long distance optical fibres.
 - 19008 fibers installed (0.25% broken).
- Common read-out boards (PCIe40).
 - Large FPGA with 1.15M cells.
 - 48 bi-directional links (10 Gbit/s).
 - Three flavours of firmware.
- GPUs in event builder PCs.

25th May 2014

Opto-power
boards

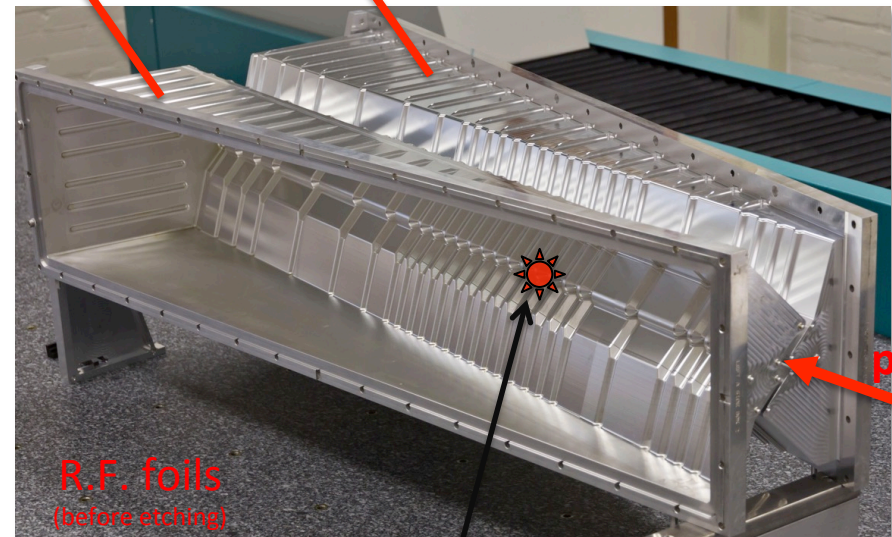
VERtex LOCator II

Data tapes

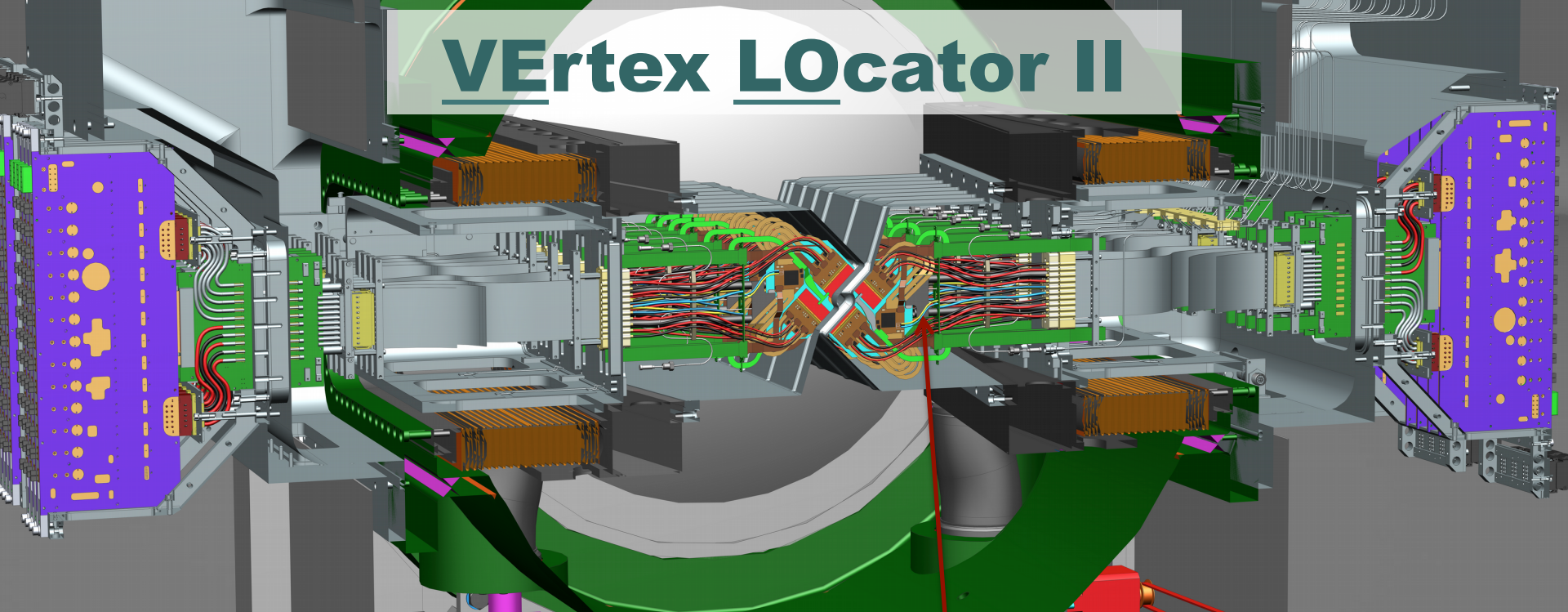
Module

Vacuum
feed-throughs

- Two retractable halves
 - 3.5 mm from beam when closed.
 - First measurement at 5.1 mm.
- Operates in secondary vacuum.
 - Aluminium R.F. foils separate detector from beam vacuum.
 - Milled to 250 μm thick then chemically etched to 150 μm .
- 52 hybrid-pixel modules.
 - 41M pixels covering total area $\sim 1.2 \text{ m}^2$.



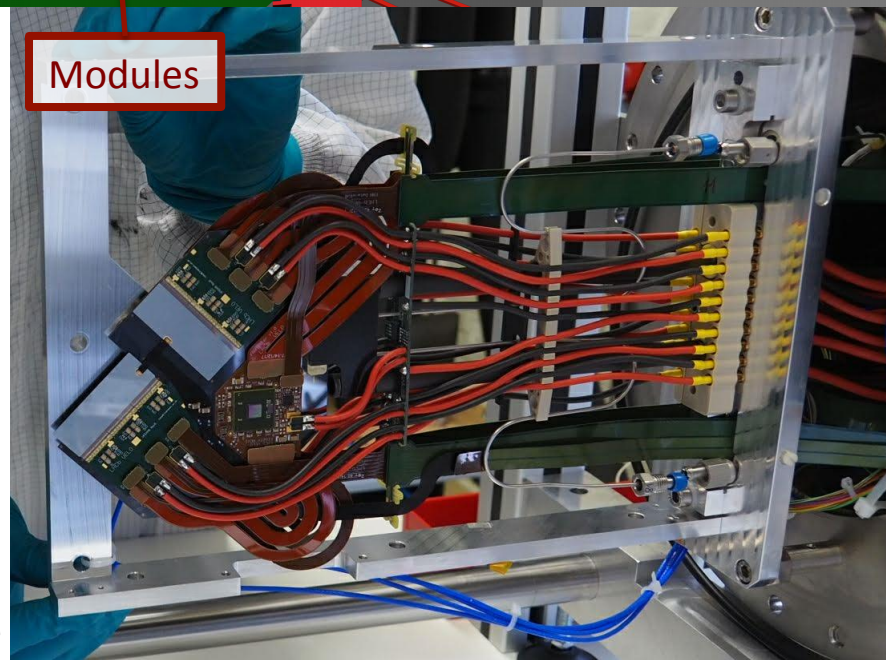
VERtex LOCator II



- Hybrid pixel detector.
 - 200 μm n-on-p sensor tiles.
- New read-out ASIC (VeloPix).
 - 256x256 pixel array (55 μm x 55 μm)
 - 12 per module.
- Evaporative CO₂ cooling in silicon micro-channel substrates ($T < -20^\circ\text{C}$).
- High bandwidth:
 - 20 Gbit/s in hottest ASICs with ~ 3 Tbit/s overall.
- Non-uniform irradiation:
 - $8 \times 10^{15} n_{\text{eq}} / \text{cm}^2$ which falls as $\sim r^{-2.1}$.

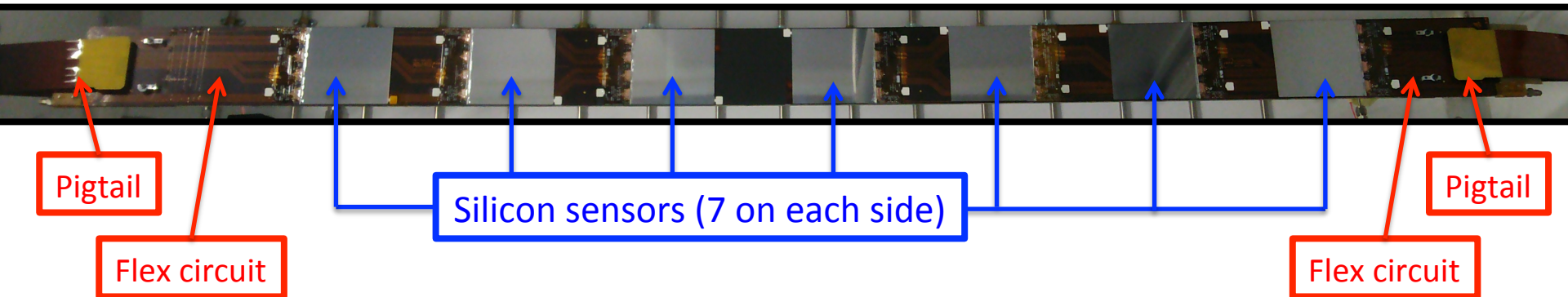
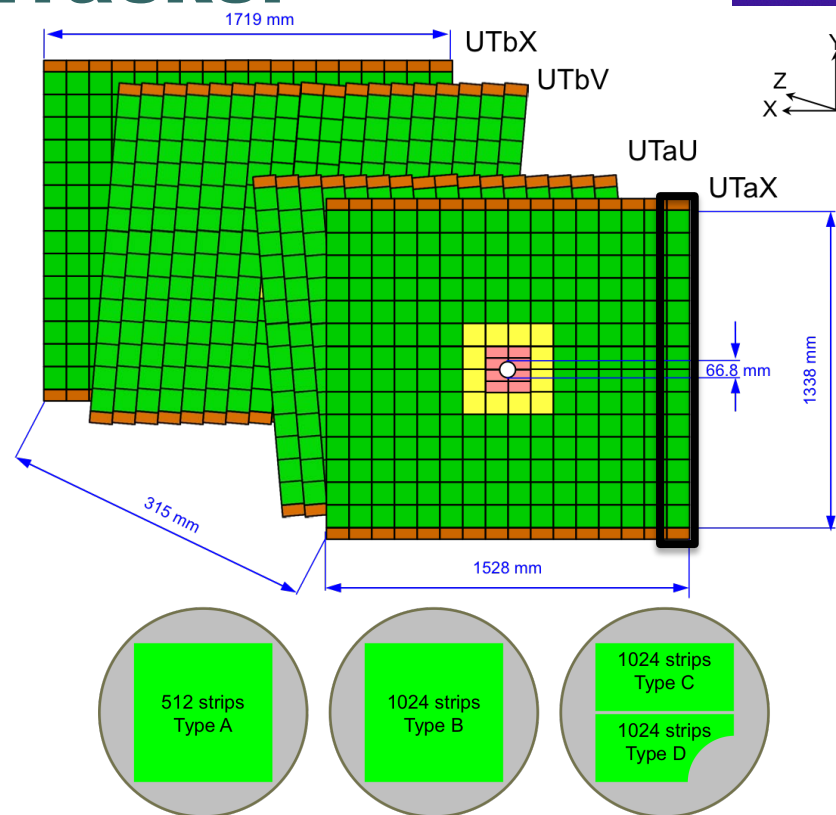
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LHCP2020, online



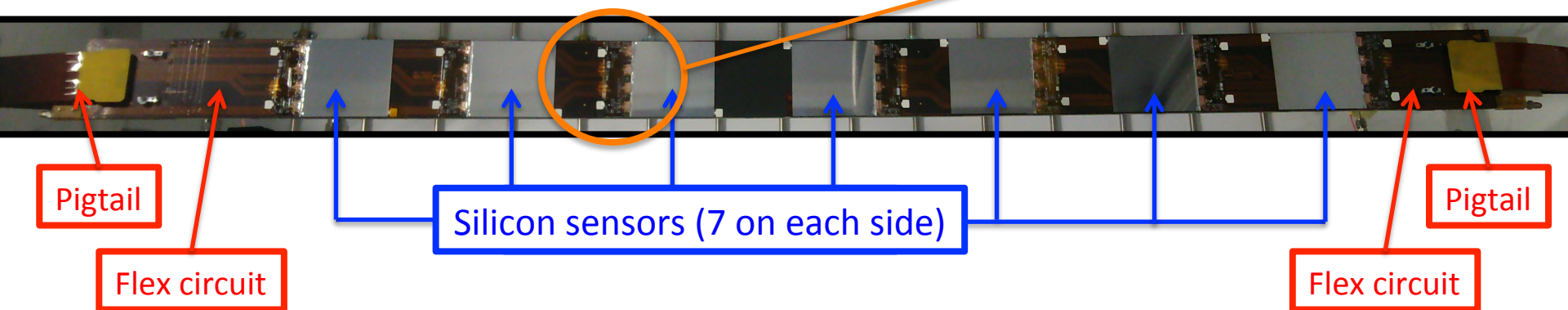
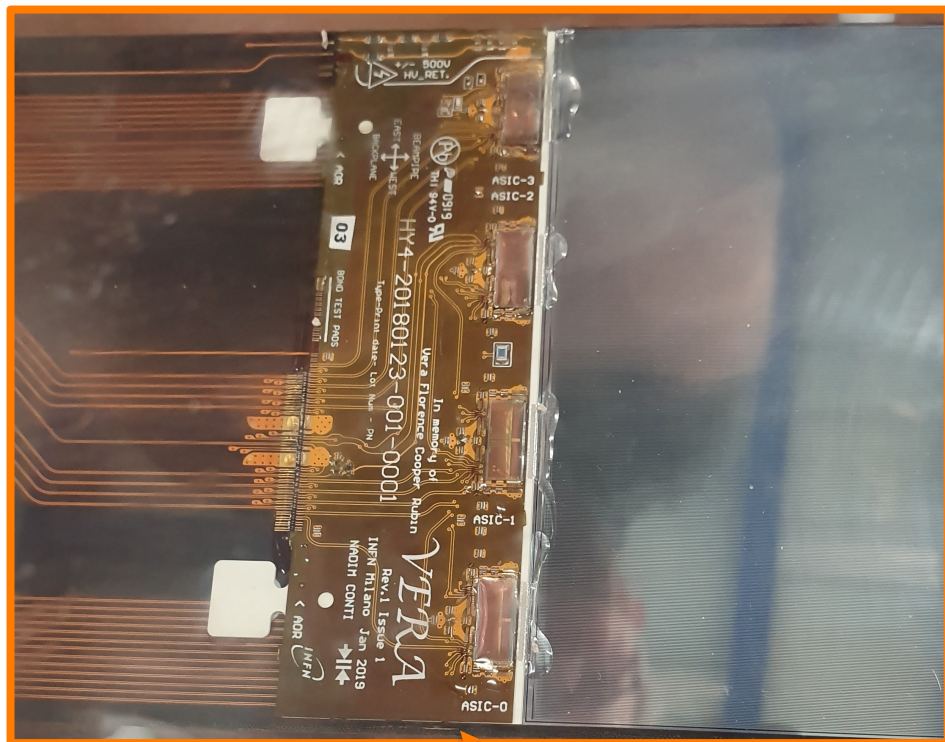
Upstream Tracker

- Silicon micro-strip detector.
 - Four layers (x, u, v, x) upstream of magnet.
 - Finer granularity, closer to beam.
- Four types of sensors.
 - n- and p-type with 512 or 1024 strips.
 - 320/250 μm thick; 190/95 μm pitch.
- Modules mounted on double-sided staves.
 - 68 staves / 968 sensors.
 - Bi-phase CO_2 cooling pipe integrated in stave.
- New read-out ASIC (SALT).
 - 128 channels with 6-bit ADC.
 - Pedestal & common-mode subtraction, zero-suppression.
 - Output up to 6 SLVS e-links per ASIC.
 - 1048 4-asic read-out sectors = 4192 ASICs.
- Read-out electronics mounted on detector frame.



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Scintillating Fibre Tracker

Scintillating fibre
modules

- Scintillating fibres read out with SiPMs.
 - 2.4 m long, 250 μm diameter, 6 layers of fibres in module.
 - 12 detection planes – $3 \times (x, u, v, x)$.
- SiPMs outside acceptance.
 - 128 channels with width 250 μm
 - Require cooling to -40°C (neutron radiation).
- New ASIC for read-out (PACIFIC).
 - 64 channels, 130 nm CMOS (TSMC).
 - ADC with three hardware thresholds.
- Clustering on FPGA board in front-end box.

Cold
boxes

C-Frame

Front-end boxes

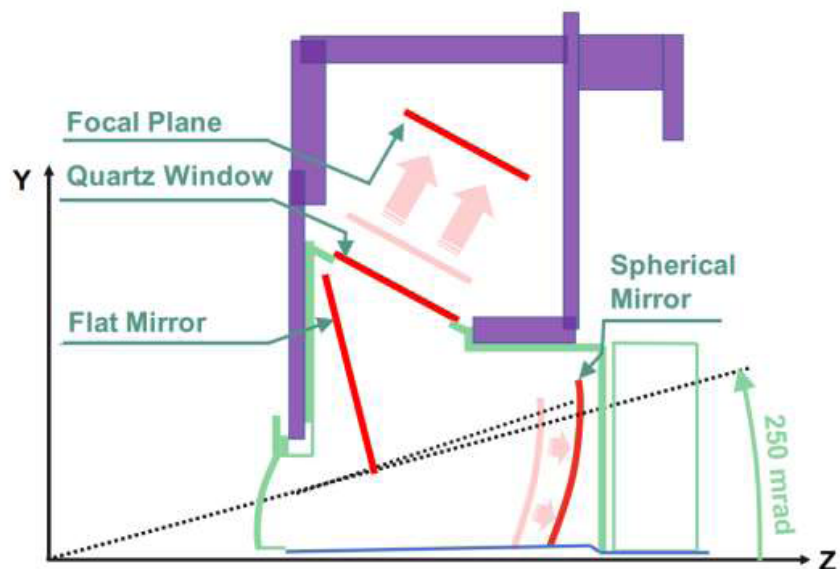
Particle ID

Cherenkov detectors:

- RICH 1: C_4F_{10} (10 – 65 GeV/c).
– Replace everything (mirrors, gas enclosure, quartz windows).
- RICH 2: CF_4 (15 – 100 GeV/c).
- Replace Hybrid Photon Detectors (HPDs) with Multi Anode Photomultiplier Tubes (MaPMTs).
- New 8-channel read-out ASIC (CLARO).

Calorimeters & Muon System

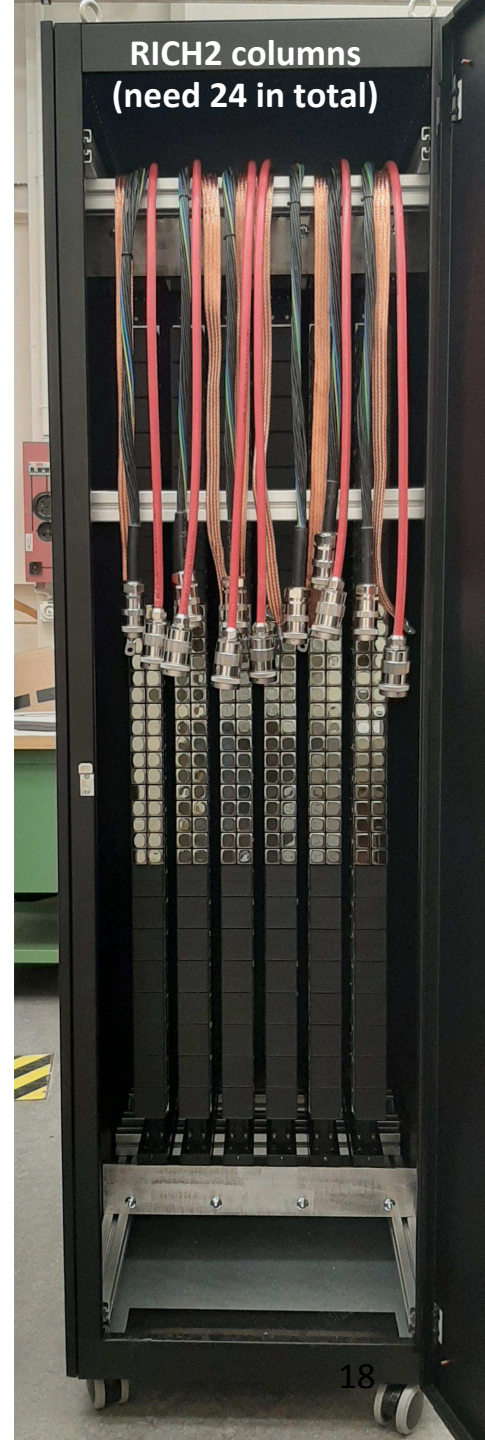
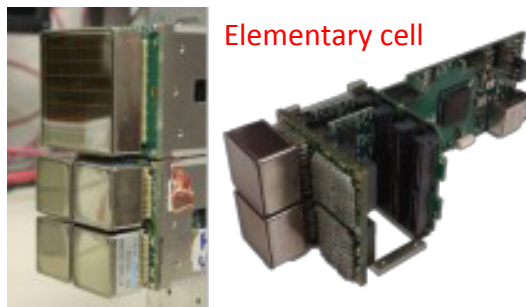
- Remove unnecessary detectors.
- Replace read-out electronics.

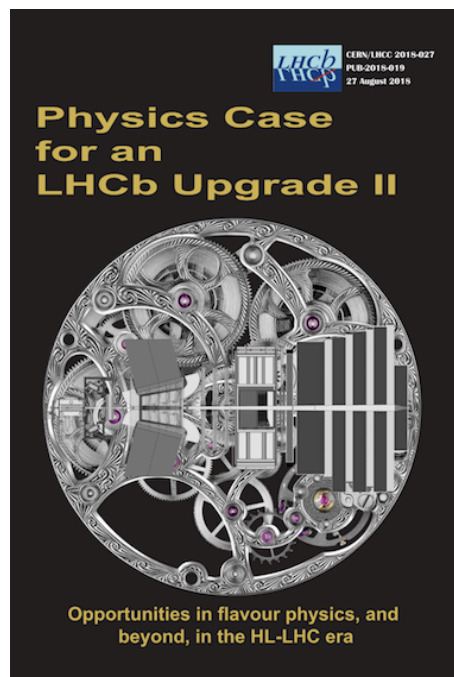
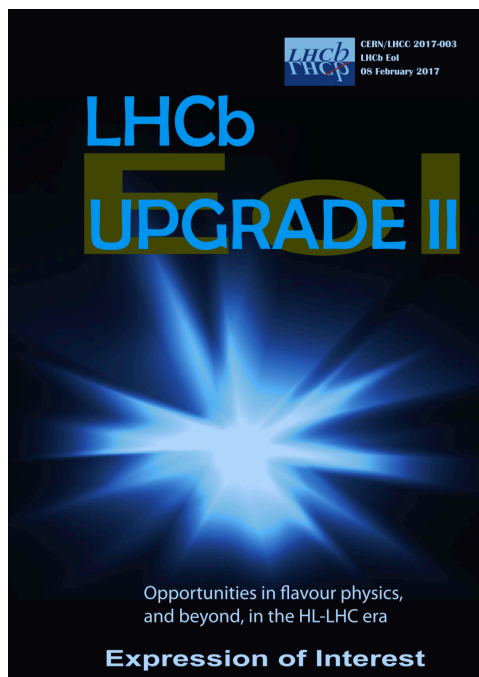


MaPMTs (Hamamatsu)

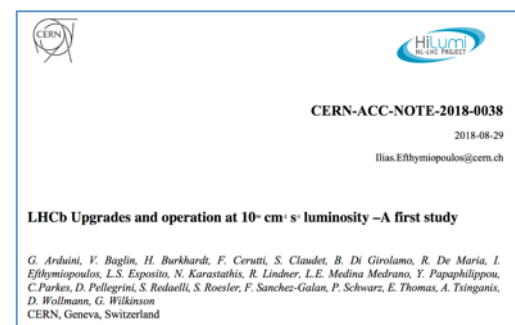


Elementary cell





1. CERN-LHCC-2017-003
2. CERN-LHCC-2018-027



UPGRADE II

Conditions:

- Luminosity: $1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ (inst.), 300 fb^{-1} (int.)
- 40 visible interactions / crossing.

Challenge:

- Maintain current reconstruction performance in much, much harsher environment.
- Develop detectors with timing information for tracking & Particle ID.

LHCb Upgrade II

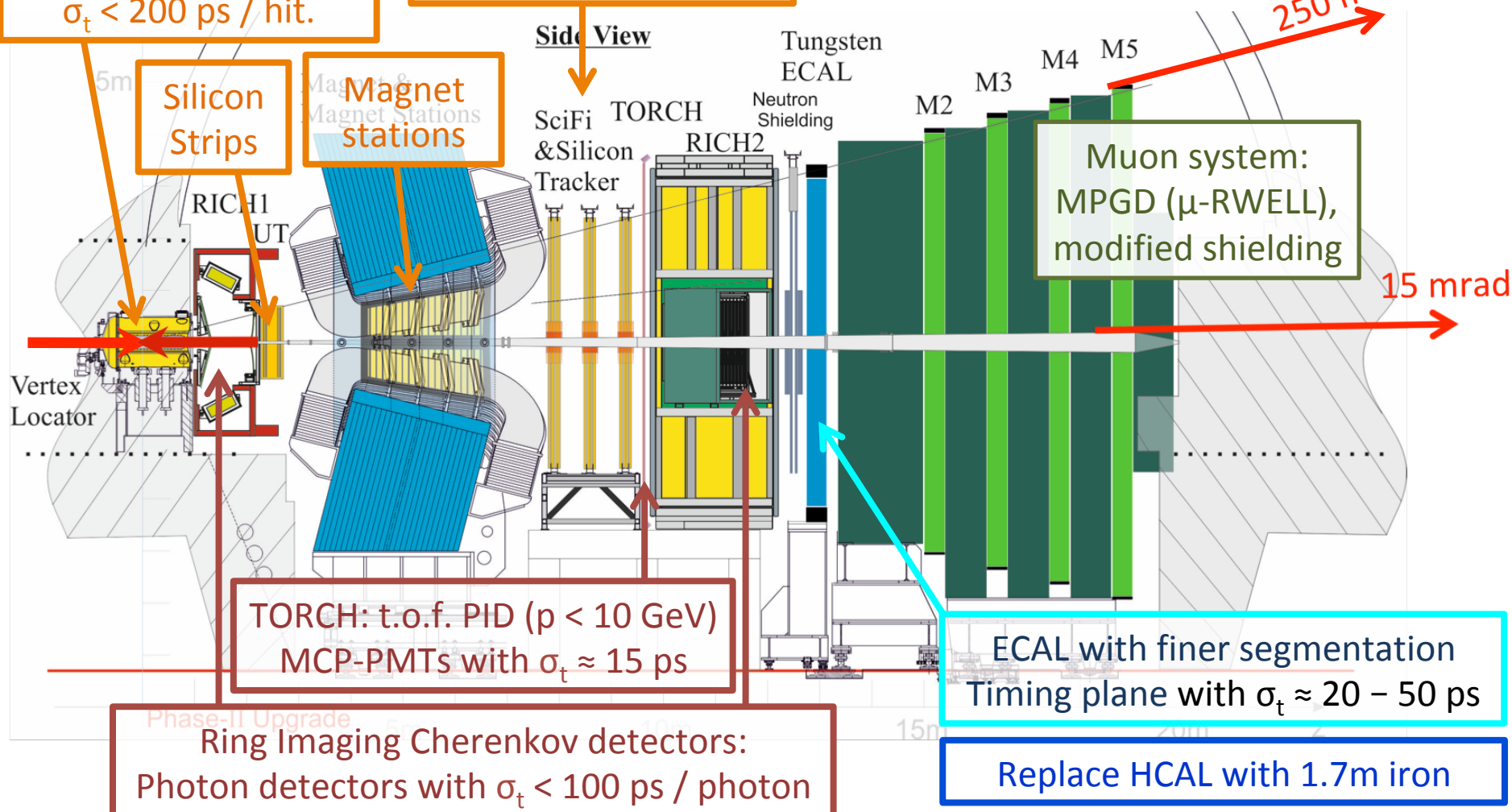
Tracking System:
NEW DETECTORS!

Smaller pixels,
thinner sensors.
 $\sigma_t < 200$ ps / hit.

SciFi Tracker
+ Silicon detectors in
central region

+ Accelerators for online reconstruction

Calorimetry Muon system

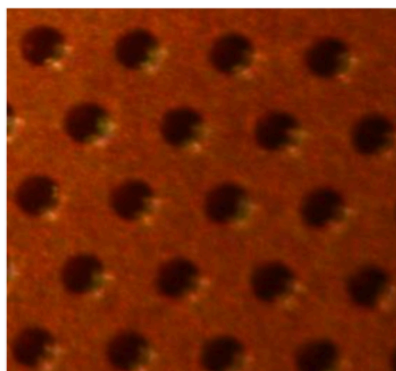
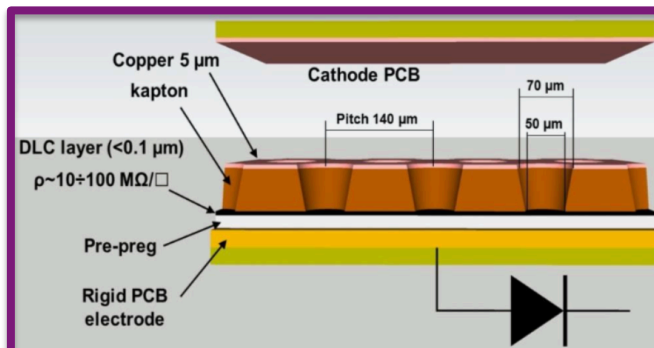
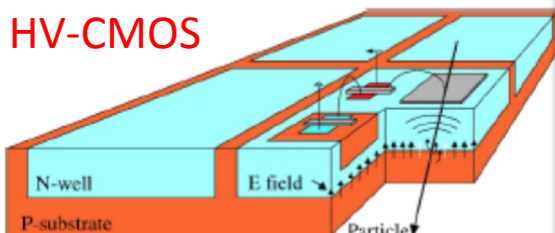


Detector Technologies

Lots of R&D across collaboration.

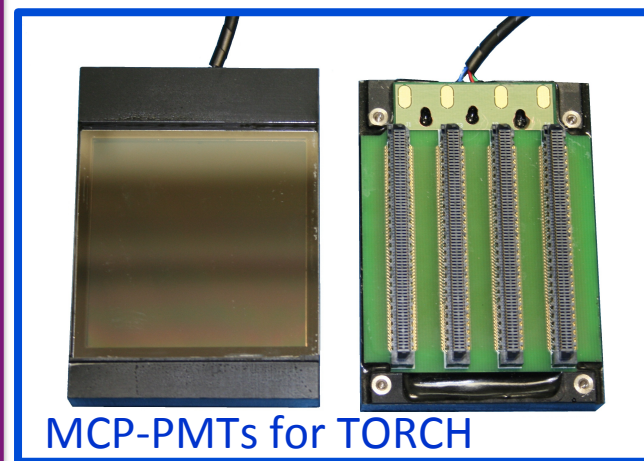
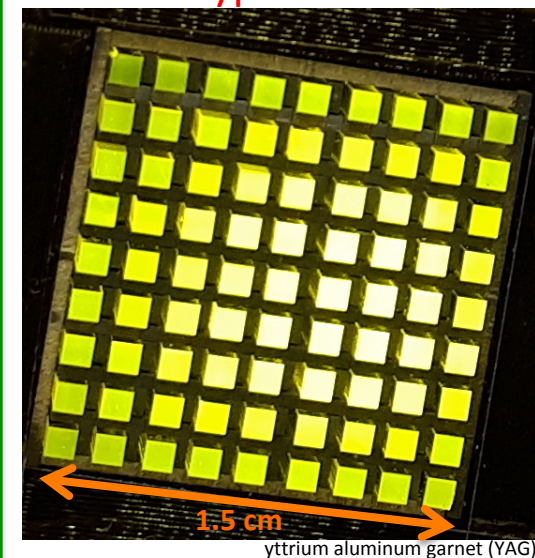
- SPACAL with crystal fibres.
- CMOS tracker chip in design.
- Silicon with timing capabilities.
- Photon sensors with timing .
- New MPGDs for high-rate muon detection.

HV-CMOS



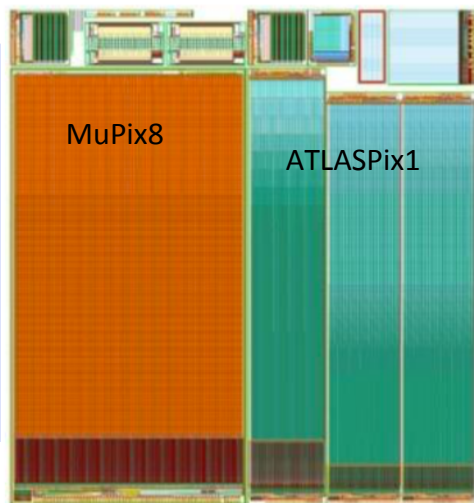
The μ -RWELL amplification stage

Prototype CALO cell



MCP-PMTs for TORCH

arXiv:2002.07253v1



Summary

CONCLUSIONS

Summary

Upgrade I (2008 – now):

- Significant progress made by all sub-detectors.
 - Installation is underway!
 - All sub-detectors (were) in full production mode.
- Schedule is (increasingly) challenging.
 - Impact of COVID-19 continuously being assessed.

Upgrade II (2017 – ??):

- Lots of active R&D across collaboration.
- Sub-detectors developing baseline designs.
- Framework TDR expected in 2021.



Merci à tous!

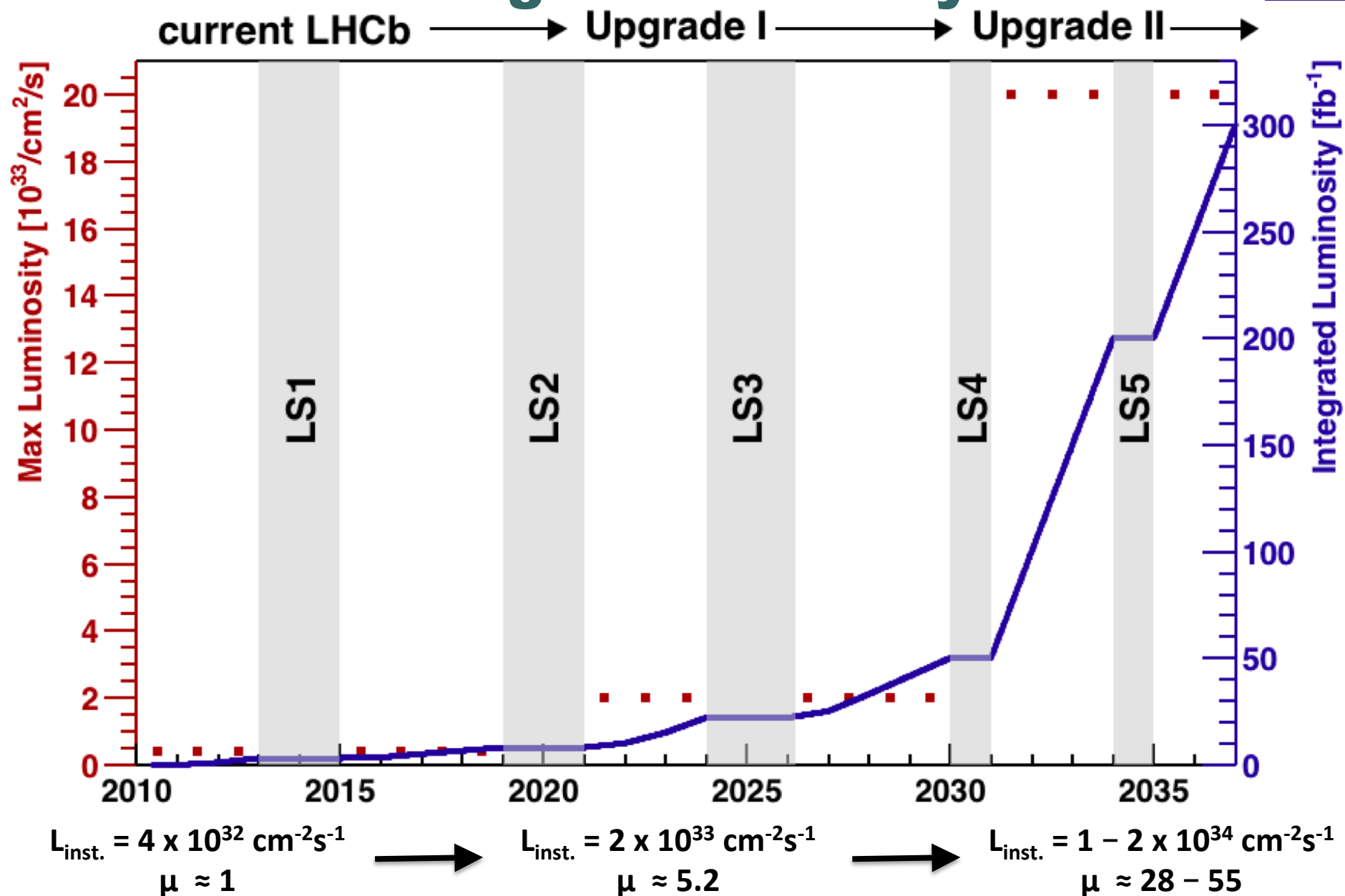


MORE?

BACK UP

UPGRADE 1

Target Luminosity



* μ is average number of visible pp interactions per bunch crossing.

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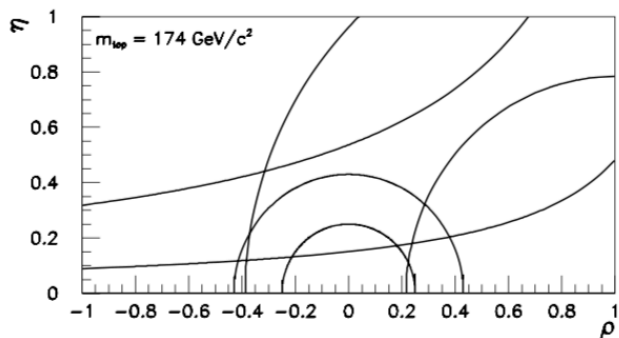
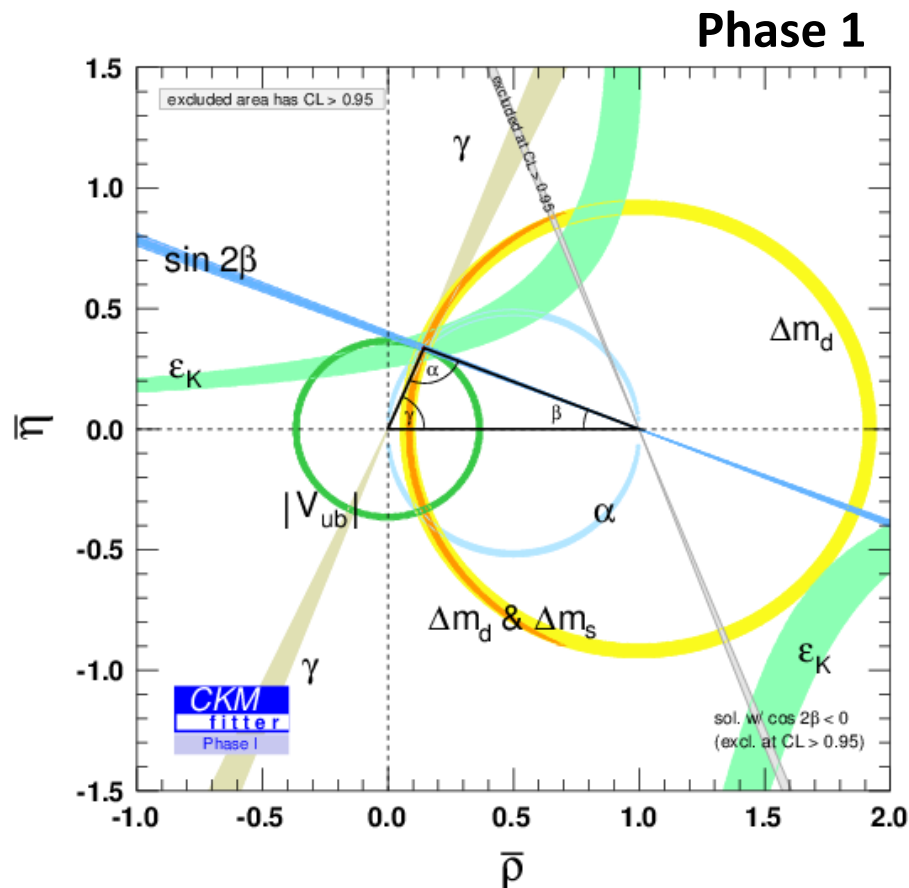


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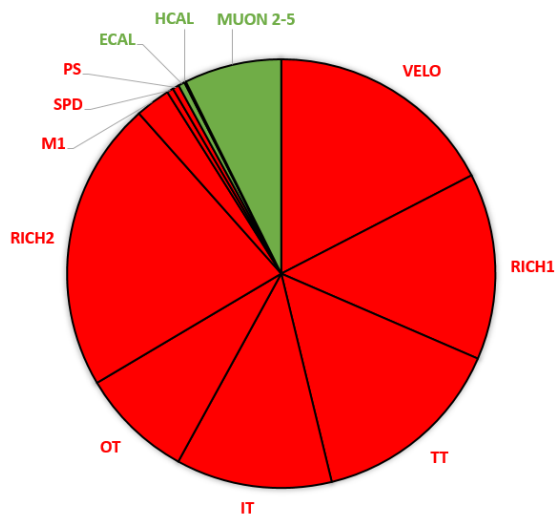


LHCb (23fb^{-1}), Belle-II (50ab^{-1})
ATLAS & CMS (300fb^{-1})

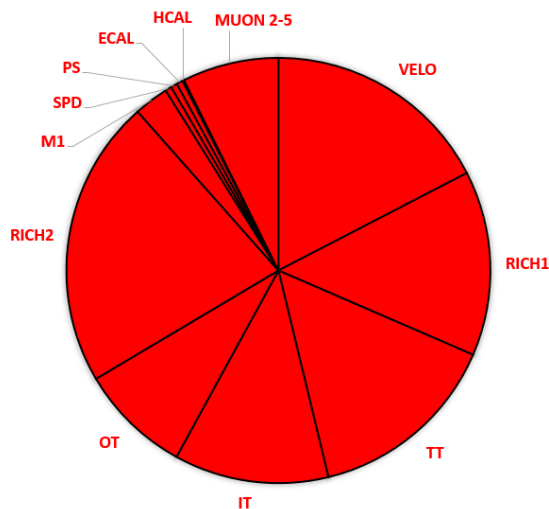
$\sigma_\gamma \approx 5^\circ$ (2019) $\rightarrow 1^\circ$ (Phase 1) $\rightarrow 0.35^\circ$ (Phase 2)

Upgraded LHCb Detector

Detector Channels



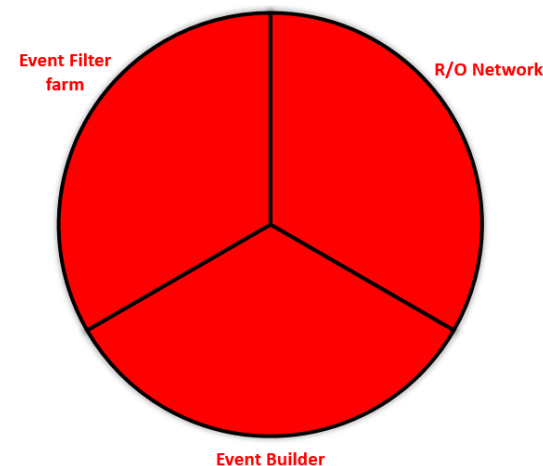
R/O Electronics



To be UPGRADED

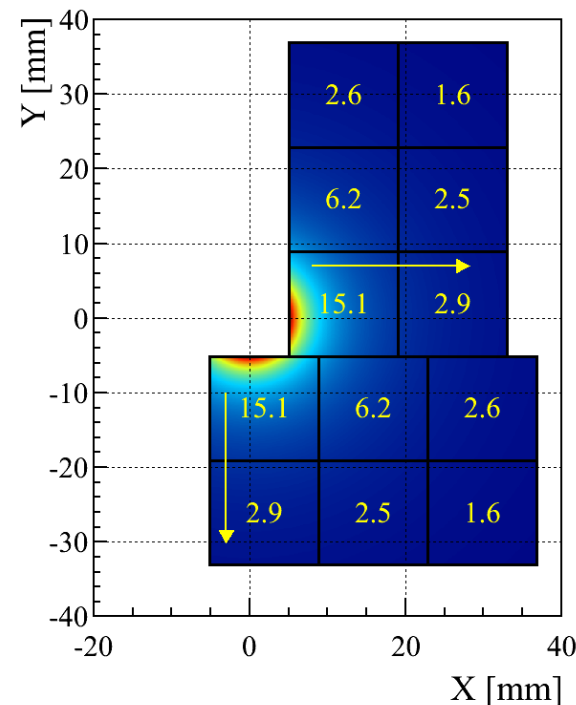
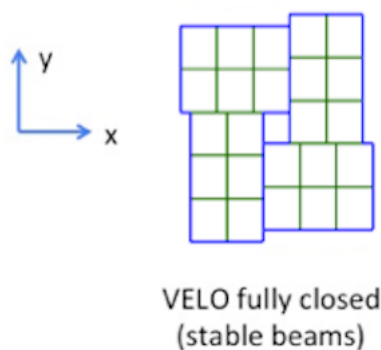
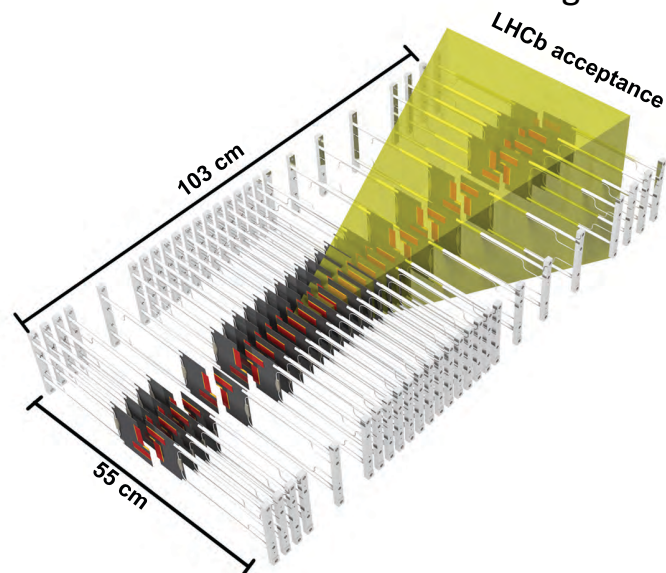
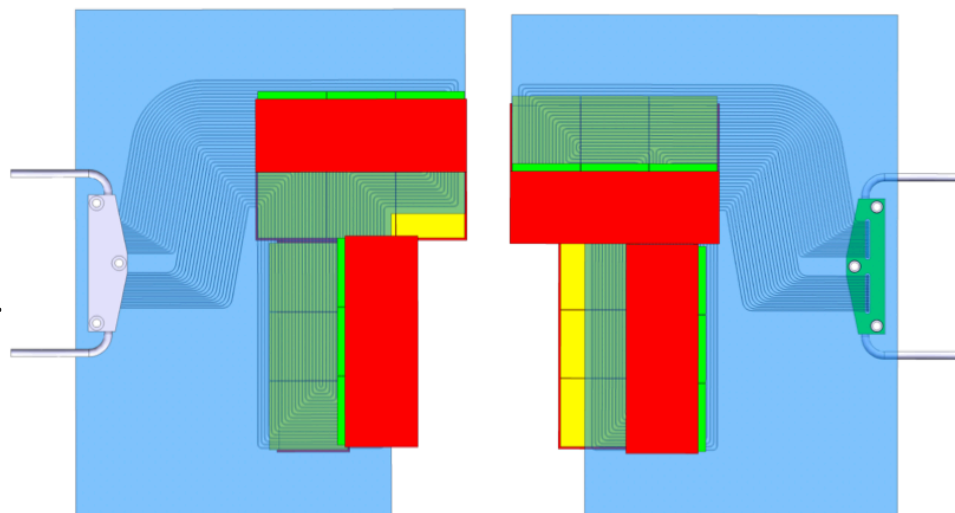
To be kept

DAQ



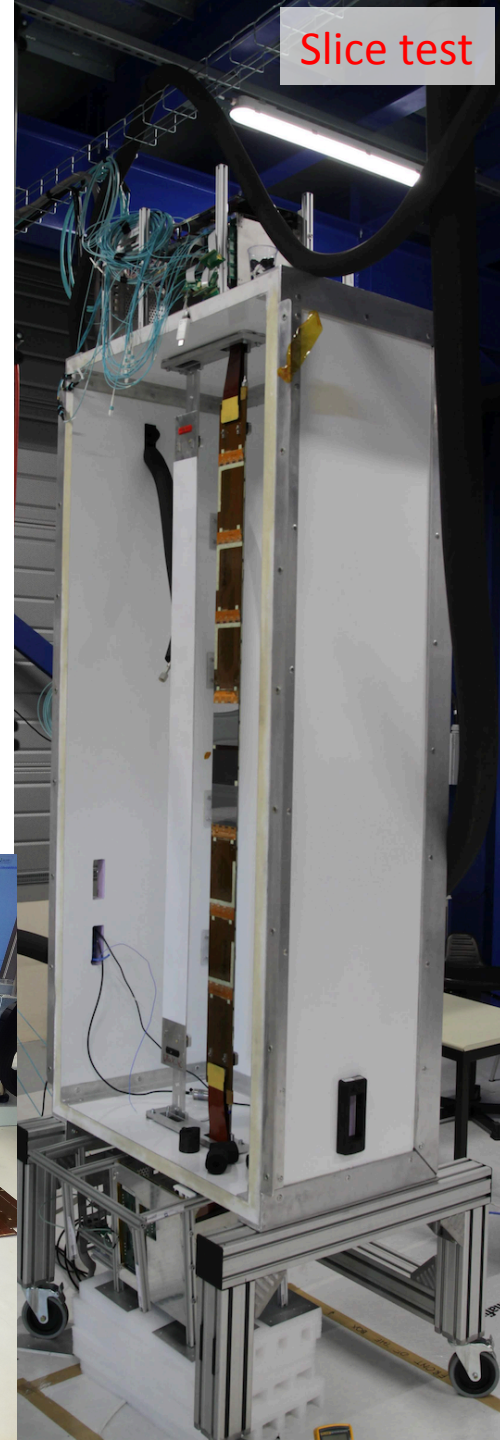
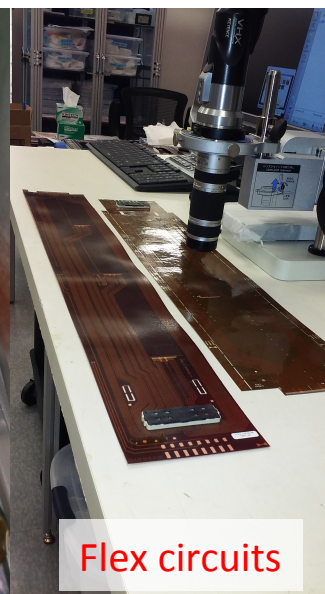
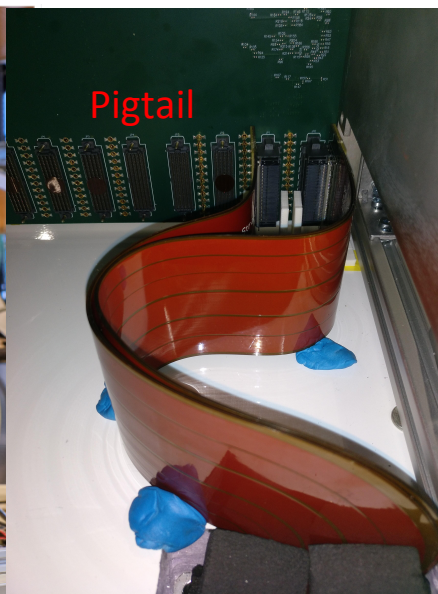
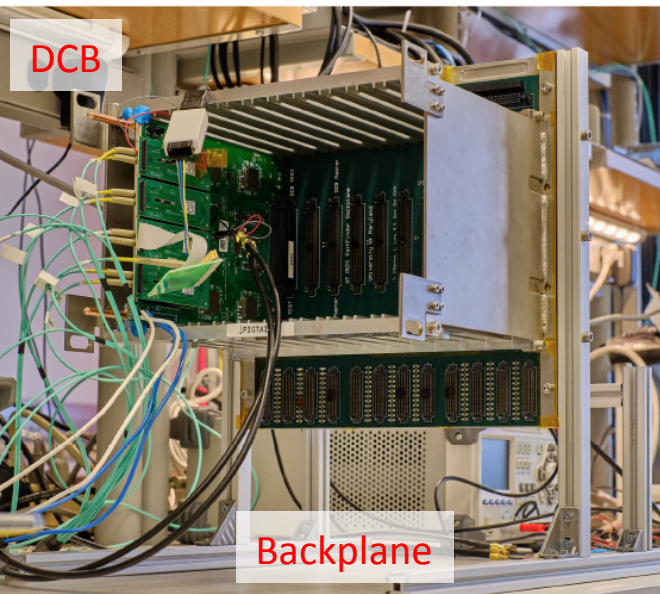
- Remove Level-0 hardware trigger.
 - Read out every bunch crossing (40 MHz).
 - Replace all front-end electronics.
- Trigger-less read-out system.
 - Full software trigger for every 25 ns bunch crossing.
- Higher occupancy.
 - Redesign and replace tracking detectors.
- Installation during Long Shutdown 2 (on-going).

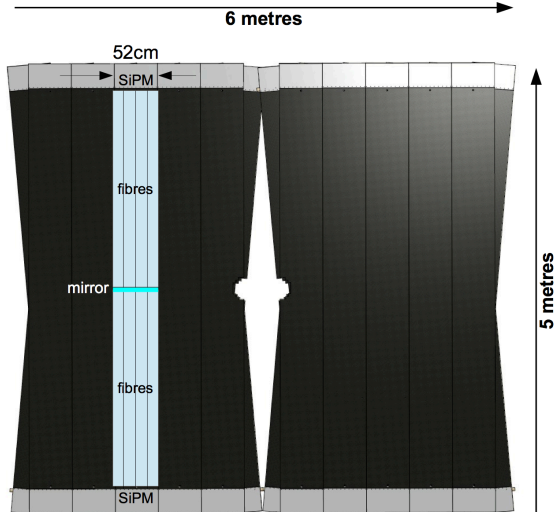
- Hybrid pixel detector.
 - Easier pattern recognition.
 - Thinner sensors ($300\ \mu\text{m} \rightarrow 200\ \mu\text{m}$).
- Move closer to beam
 - First measurement: $8.13\ \text{mm} \rightarrow 5.1\ \text{mm}$.
- New RF foil.
 - Reduce material before first measurement.
- New ASIC (VeloPix)
 - Based on Medipix/TimePix.
 - 256×256 ($55\ \mu\text{m} \times 55\ \mu\text{m}$)
 - 12 per module.
- Non-uniform irradiation.
 - Extremely high data rates.
 - Micro-channel cooling in substrate.



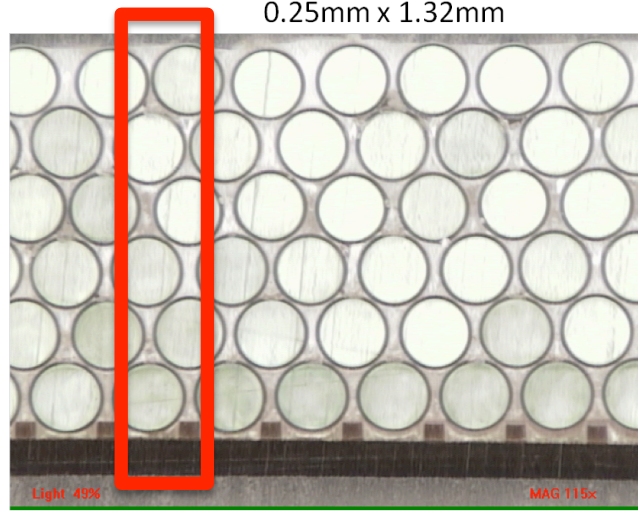
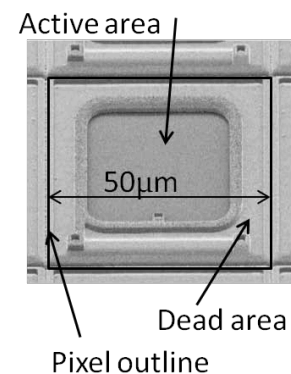
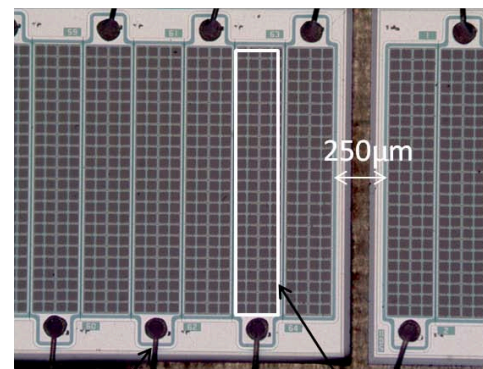
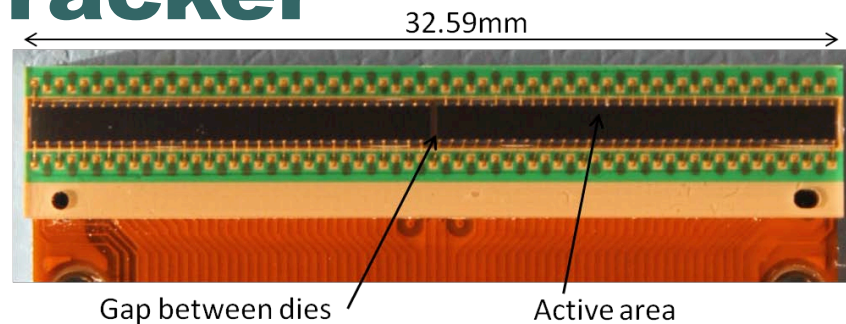
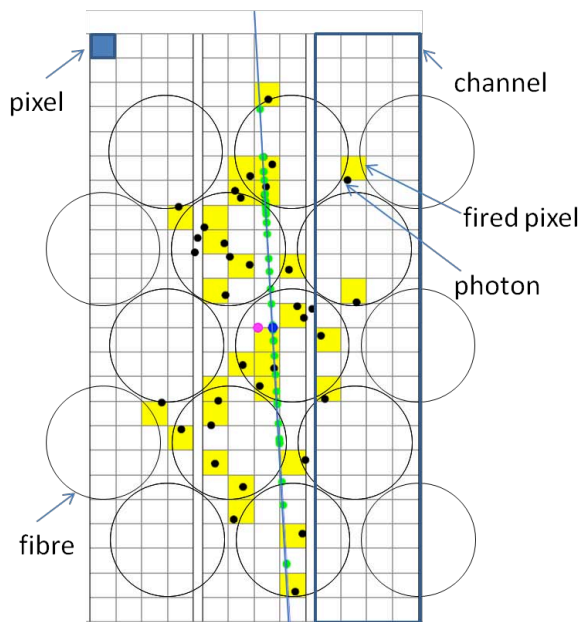
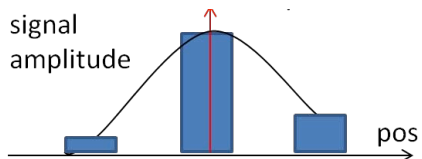
Upstream Tracker

- Near-detector electronics outside acceptance.
 - Distributes TFC&ECS signals.
 - Collects serial data from ASICs (320 Mbps).
 - Transmits optical serial data via GBTx/VTTx (~4.8 Gbps).
 - Connected to stave via pigtail flex cables.
- Two versions of read-out ASIC (SALT).
 - Problems found in previous iterations have been solved.
 - Analogue power issues, 40 MHz oscillations.
 - 4- (8-) ASIC modules assembled with SALT v3.5 (v3.8).
- Full read-out chain validated in system test.
 - First tests with final powering and grounding scheme.
 - CO₂ cooling tests at -30°C.





SciFi Tracker



RICH

RICH1:

Change everything but the magnetic shielding

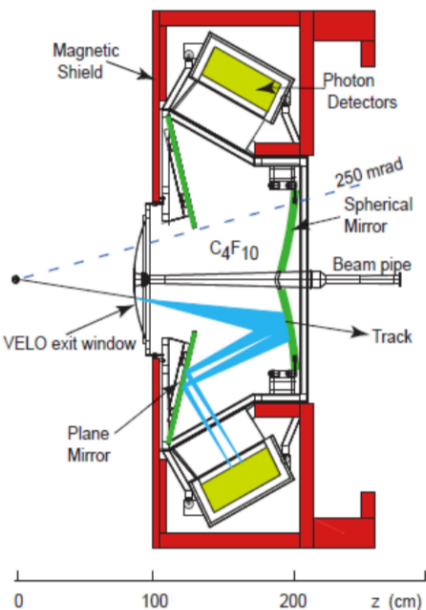
- mirrors, gas enclosure, quartz windows
- Photon detectors, electronics, detector mechanics
=> 22 columns

RICH2:

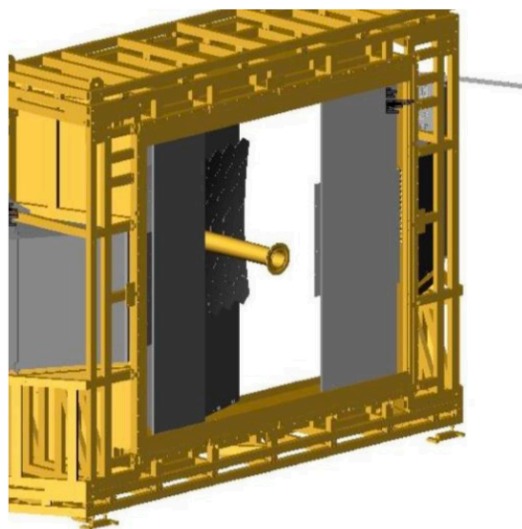
Change only detectors

- Photon detectors, electronics, detector mechanics

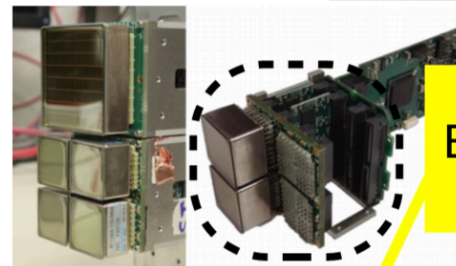
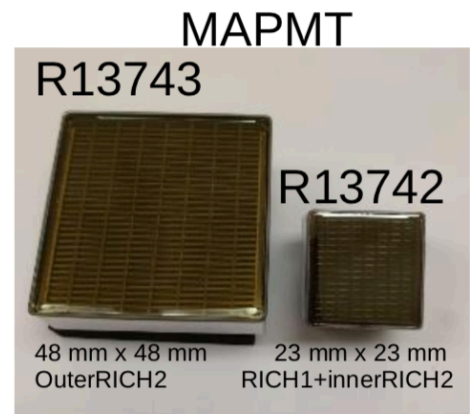
=> 24 columns



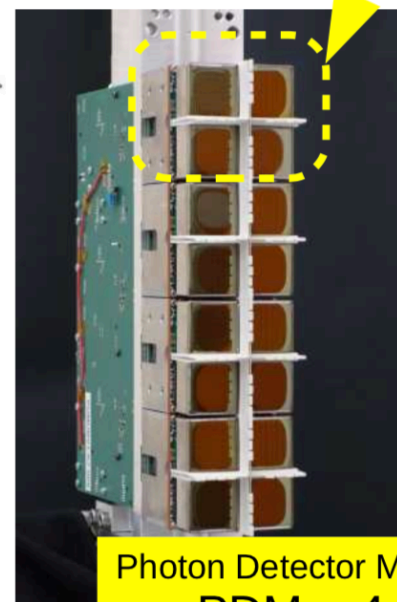
25th May 2014



LHCP2020, online edition

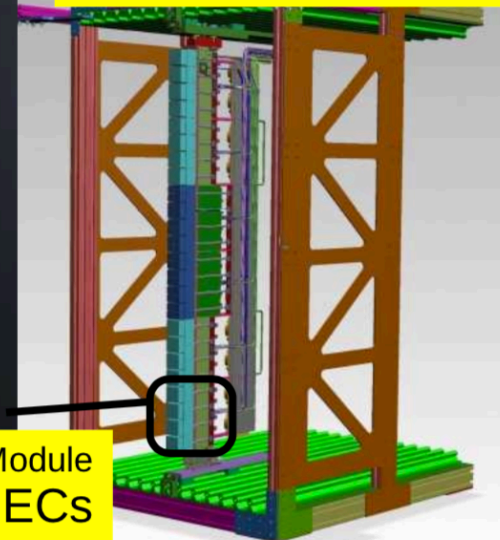


Elementary Cell
EC = 4 or 1 xMAPMT
+Baseboards



Photon Detector Module
PDM = 4 ECs

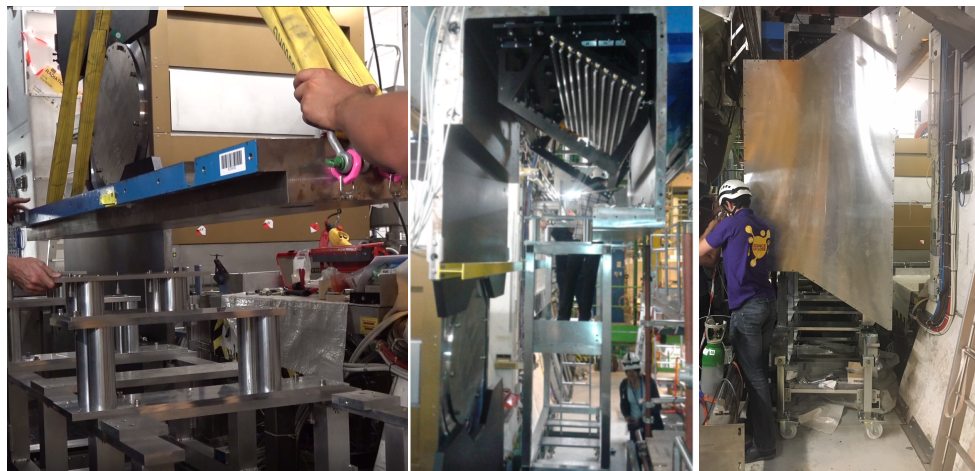
A column = 6 PDMs
(on a "cold bar")



33

Installation

RICH 1



RICH 1 mechanics installed:

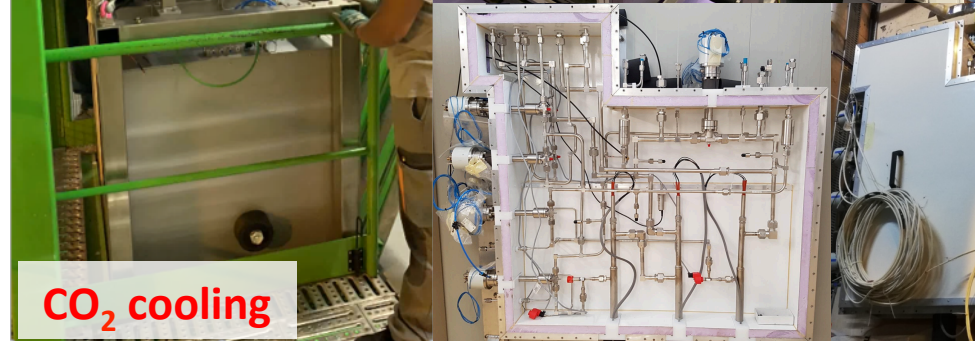
- Magnetic shielding shelves, MaPMT supports, gas enclosure.

Detector Services:

- 100% of long distance copper cables installed.
- 100% of optical fibres installed & tested.
 - Few fibres with power loss > threshold.
- 100% of long distance pipes installed.

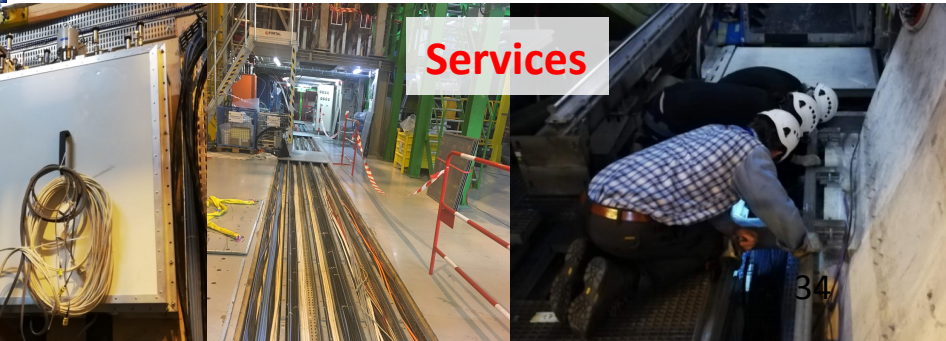
CO₂ cooling plants (VELO & UT):

- CO₂ cooling plants and distribution boxes installed.
- Connections and first tests performed.
- Cleaning required to remove “oil”.



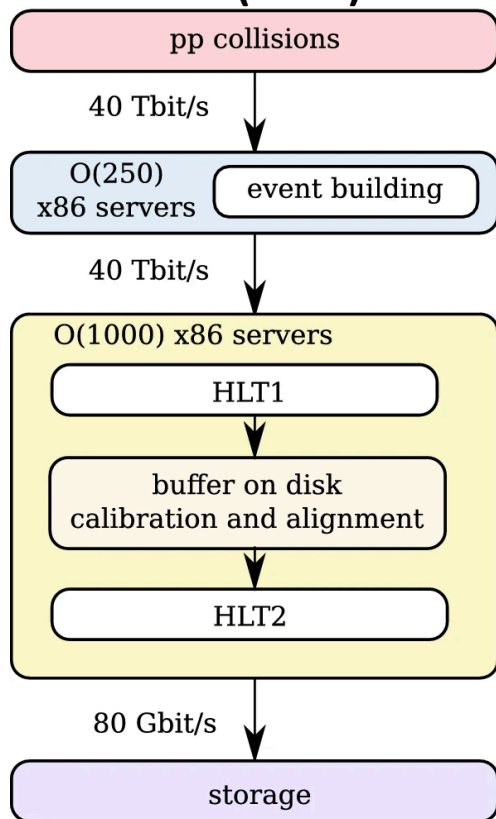
CO₂ cooling

Services

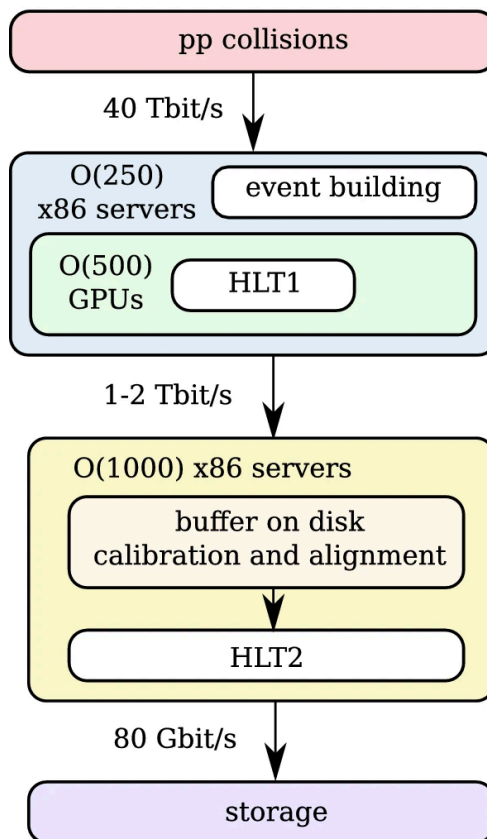


HLT1 on GPUs

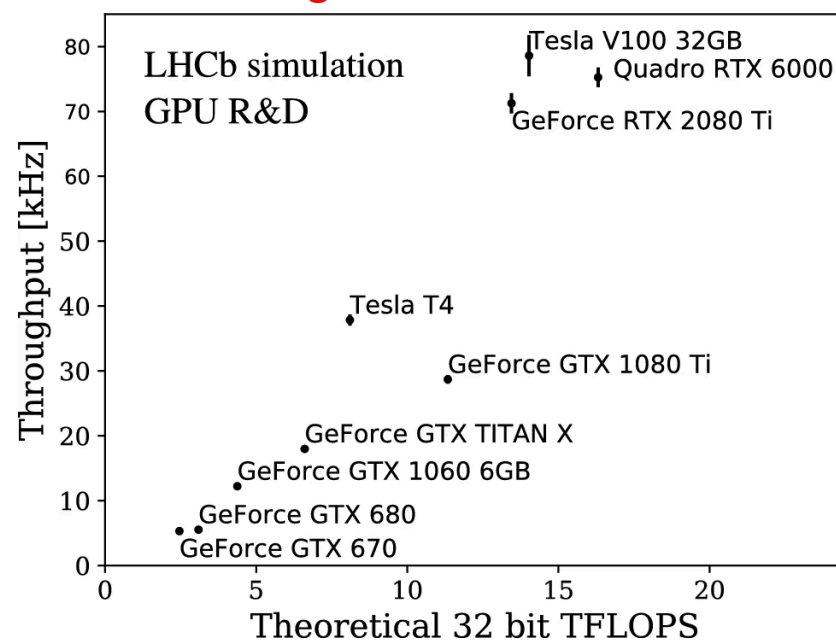
TDR (2014)



2020



Three good GPU candidates



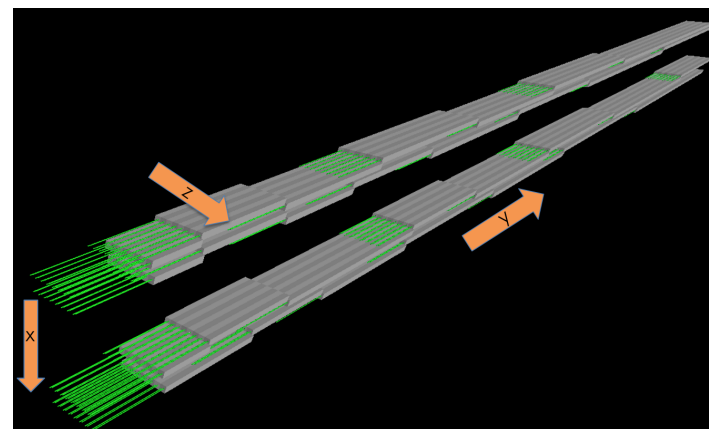
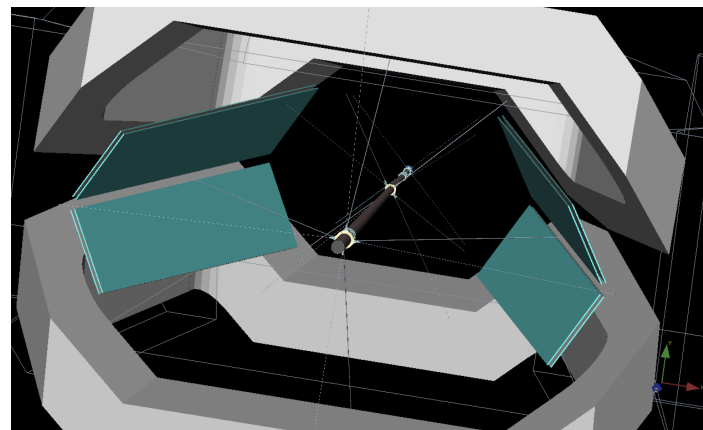
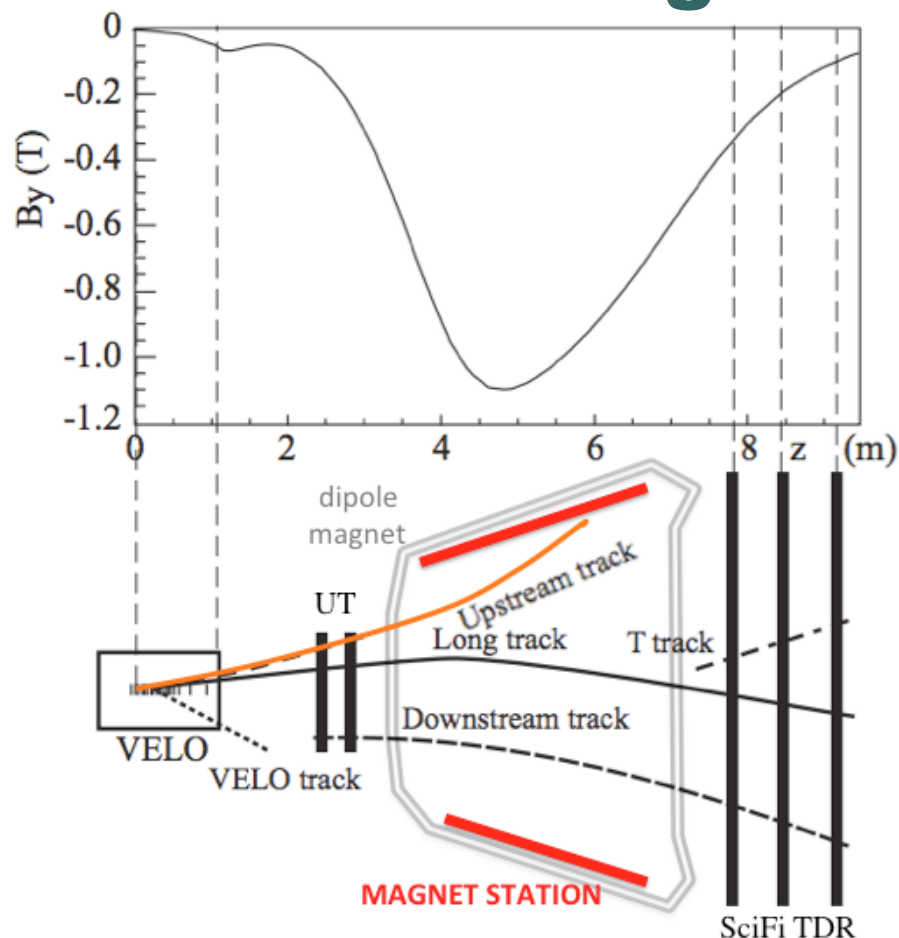
- Each event builder server has two GPU slots = 500 GPUs.
- HLT1 **must** run at visible collision rate (30 MHz).
 - Minimum throughput rate per GPU is 60 kHz.
- See presentation by Dorothea Vom Bruch for more details (27/5).

Magnet tracking stations

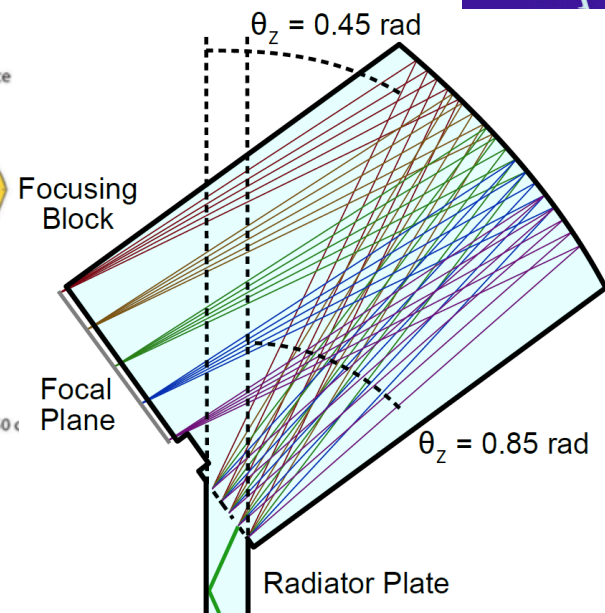
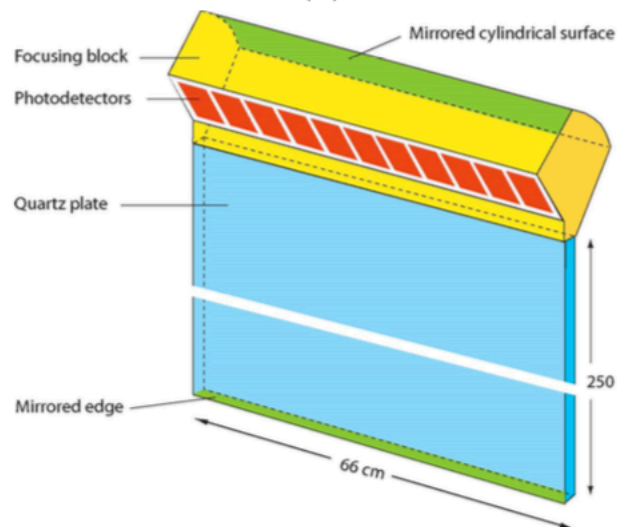
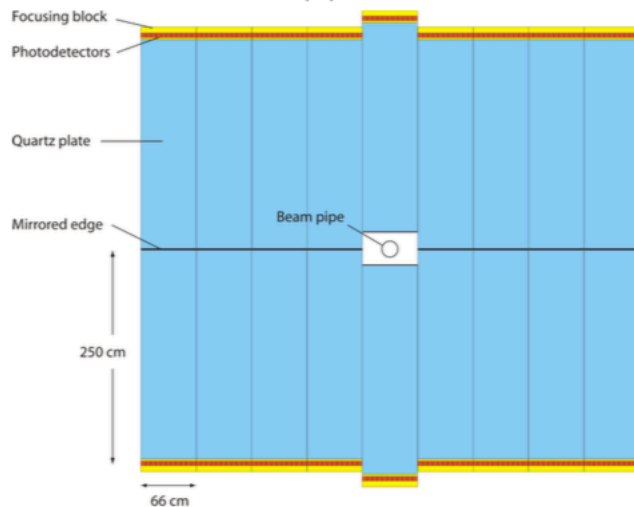
Time **O**f internally **R**eflected **CH**erenkov light (TORCH).

UPGRADE 1B

Magnet Stations



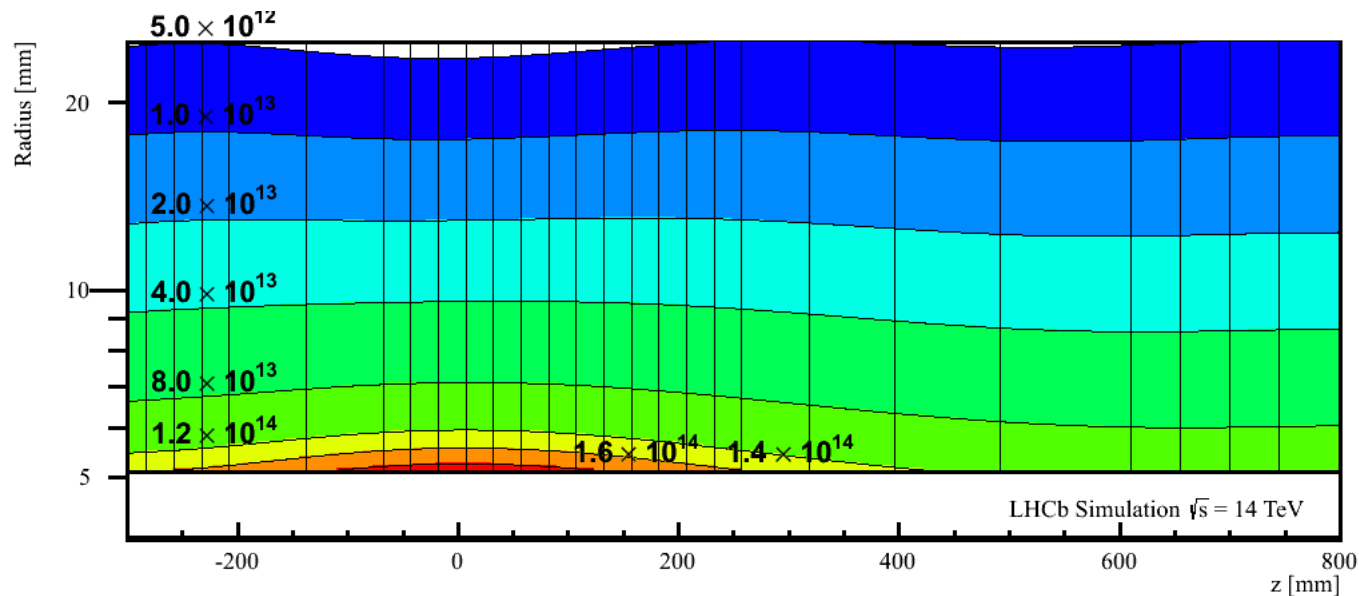
- Tracking stations on internal walls of magnet.
 - Reconstruction low momentum tracks.
- Scintillator bars read out with SiPMs outside acceptance.
 - Re-use existing SciFi Tracker electronics (ASIC, read-out boards, etc).



- Time Of internally Reflected Cherenkov light.
 - Large area time-of-flight detector.
 - Provide PID in momentum range 1 – 10 GeV/c.
- Cherenkov light produced in quartz plates.
 - Photons travel to detector plane via total internal reflection.
- Focusing block focuses image on detection plane.
- Multichannel plate PMTs with 35 ps time resolution.
 - Resolutions of 88 – 130 ps achieved in test beams.
- Possible installation in Upgrade 1b.

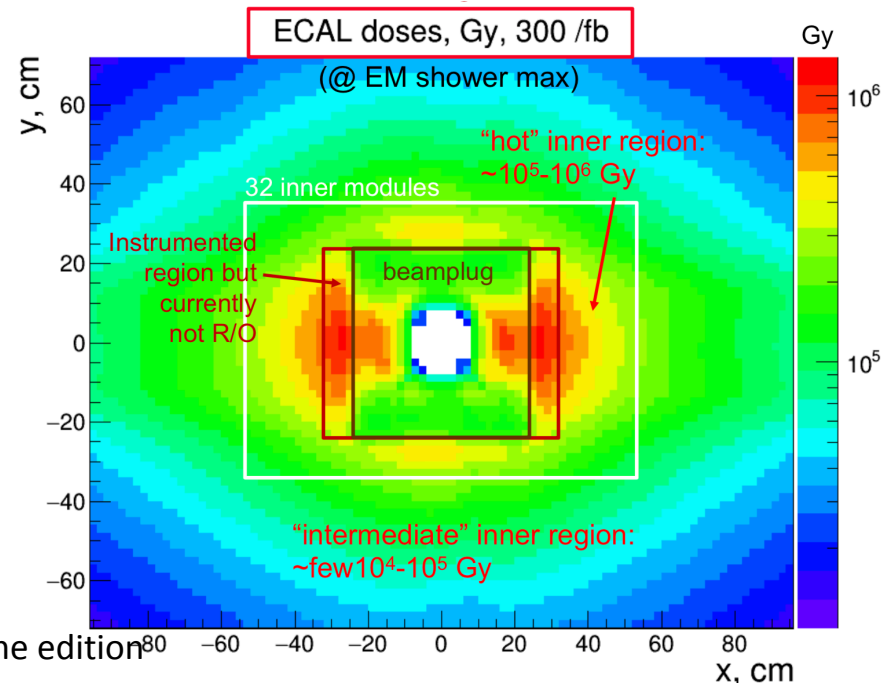


UPGRADE 2

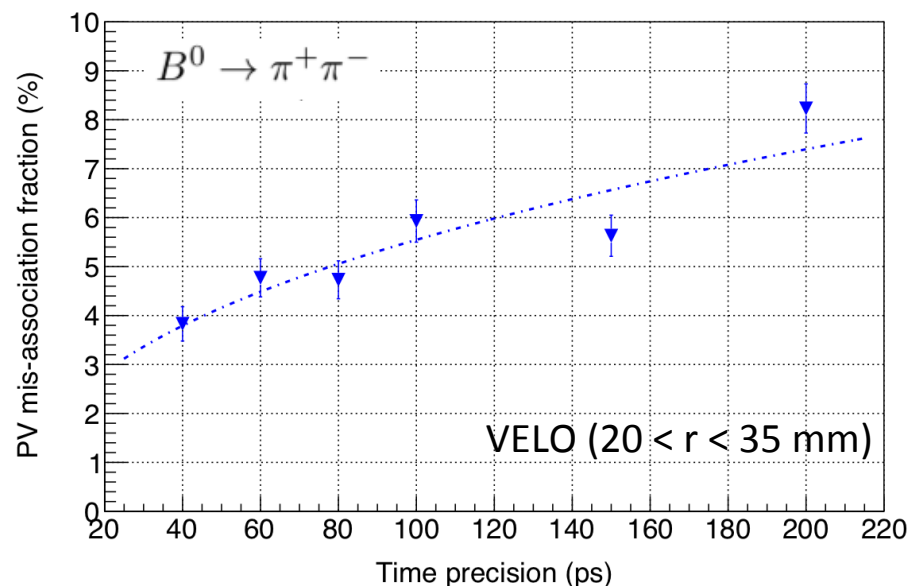
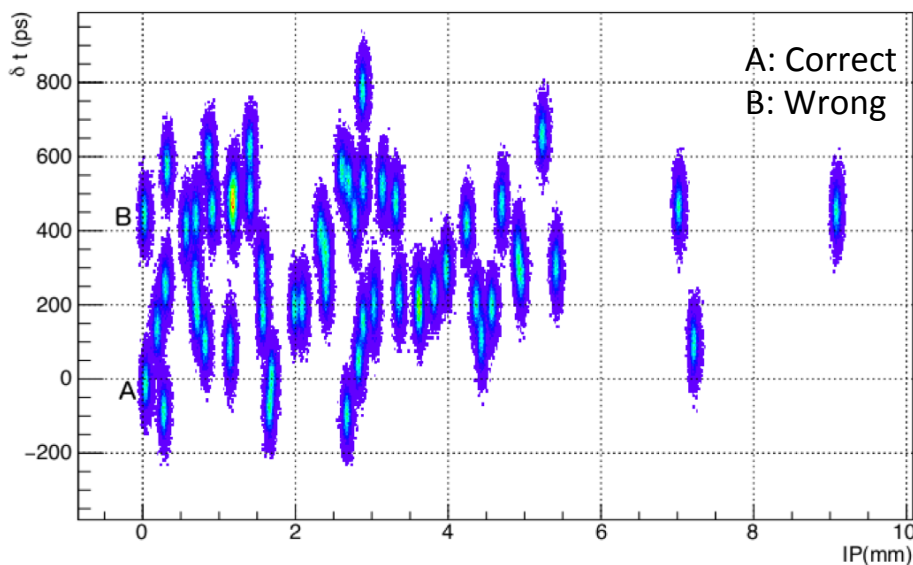


VELO, Upgrade 1
Fluence per fb⁻¹
(1 MeV n_{eq}/cm²)

- Expected dose / fluence:
 - VELO: 5×10^{16} n_{eq}/cm².
 - ECAL: **1 MGy**; $\leq 5 \times 10^{15}$ n_{eq}/cm².
 - Tracker: 3×10^{14} n_{eq}/cm² (silicon).
 - SiPMs: **13.2×10^{11}** n_{eq}/cm² (run 3&4).
- Non-uniform irradiation profile.
- Need radiation hard detectors and/or extreme cooling solutions.
- Make hot-swappable detectors!



4-D Tracking

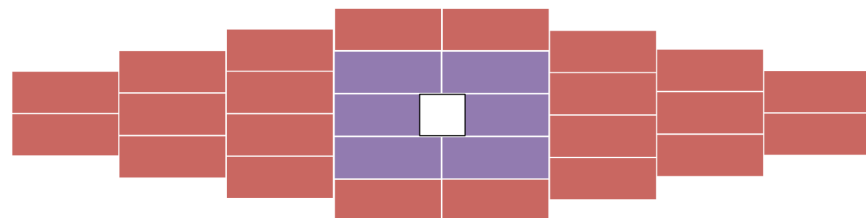
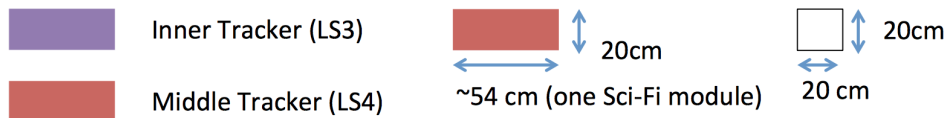
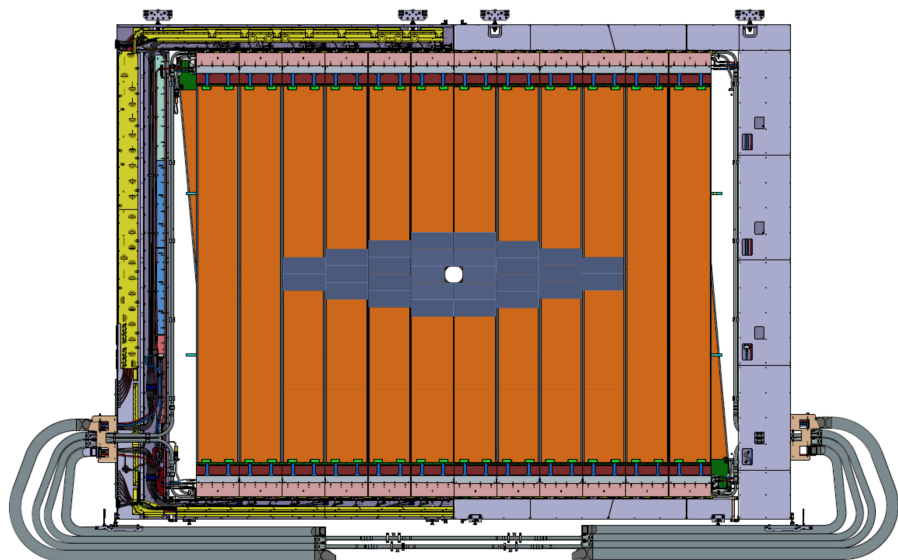


- Reconstruction of PV critical for LHCb.
 - 40 visible interactions / crossing @ $1.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$.
- Add timing information.
 - Reduces combinatorics and minimises PV mis-association.
- PV mis-association rate $\sim 20\%$ without timing.
 - Reduce to $\sim 5\%$ with timing precision between 50 – 100 ps.

VELO III

- Silicon sensors with timing capability.
 - Aim for 50 ps per hit \rightarrow 15 ps per track.
 - Several candidates: LGAD, 3D, MAPS.
- Higher occupancy:
 - Reduce pixel size
 - $55 \times 55 \mu\text{m}^2 \rightarrow 27.5 \times 27.5 \mu\text{m}^2$.
- Minimise material.
 - Thinner sensors.
 - Remove r.f. foil and operate in primary vacuum.
- Fluence $\sim 8 \times 10^{16} n_{\text{eq}} / \text{cm}^2$.
 - Require radiation hard sensors.

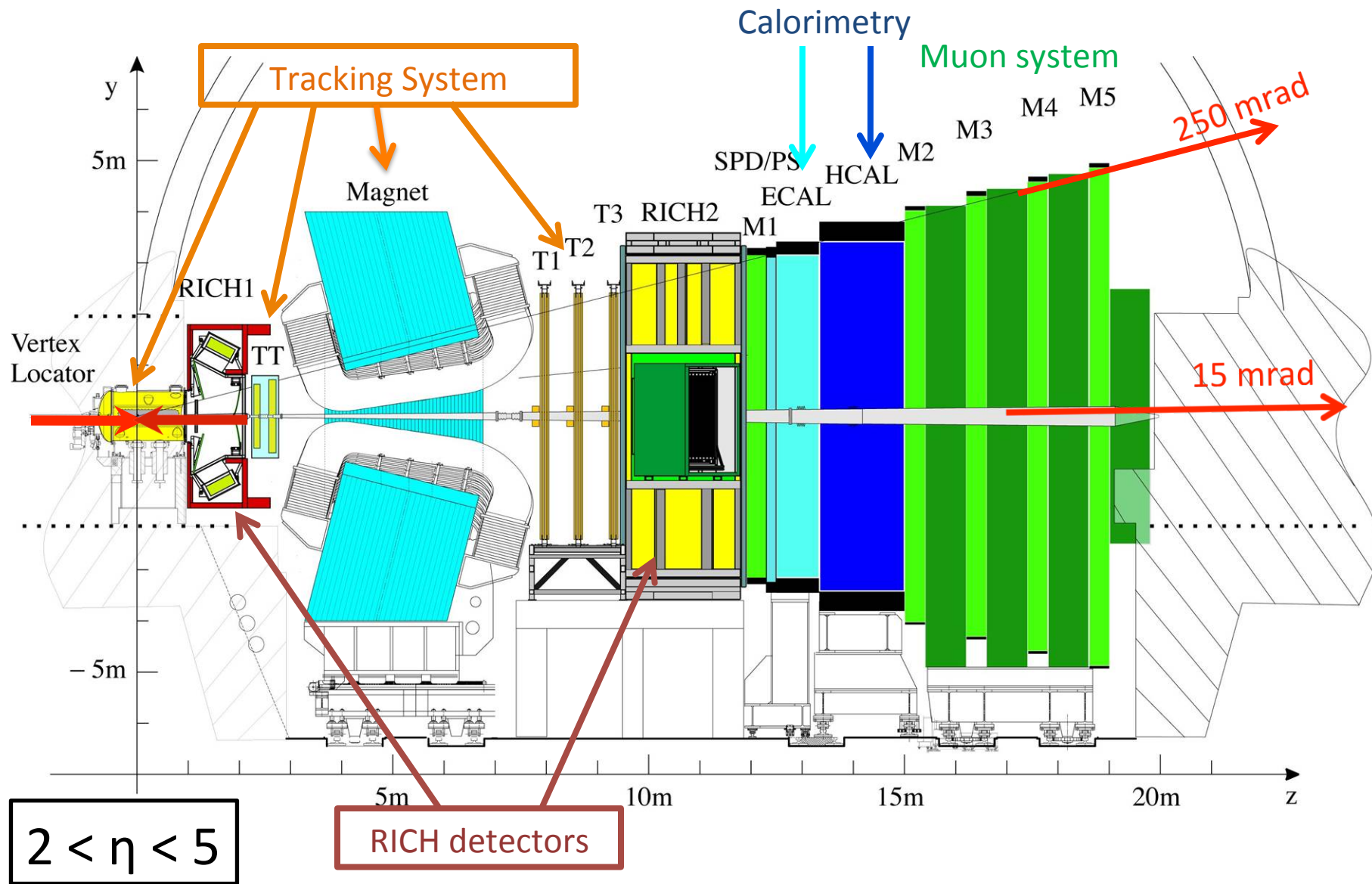
Downstream Tracking



- Add silicon detectors in central region.
 - Segmentation in y-direction → reduce ghost rate.
- Replace SciFi modules around beam-pipe in LS3.
 - Hybrid modules with shorter fibres.
 - Silicon sensors around beam-pipe (HV-CMOS).
- New radiation hard fibres being studied.
- Cryogenic cooling proposed for SiPMs (-80°C).

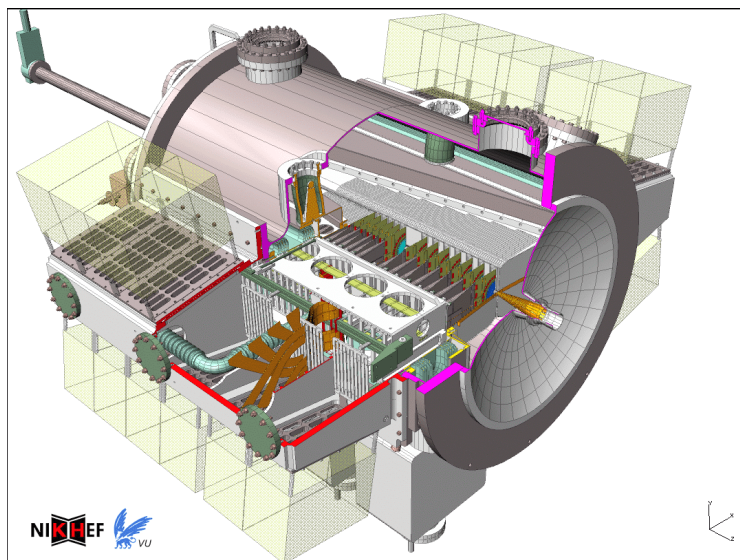
LHCB I

LHCb detector

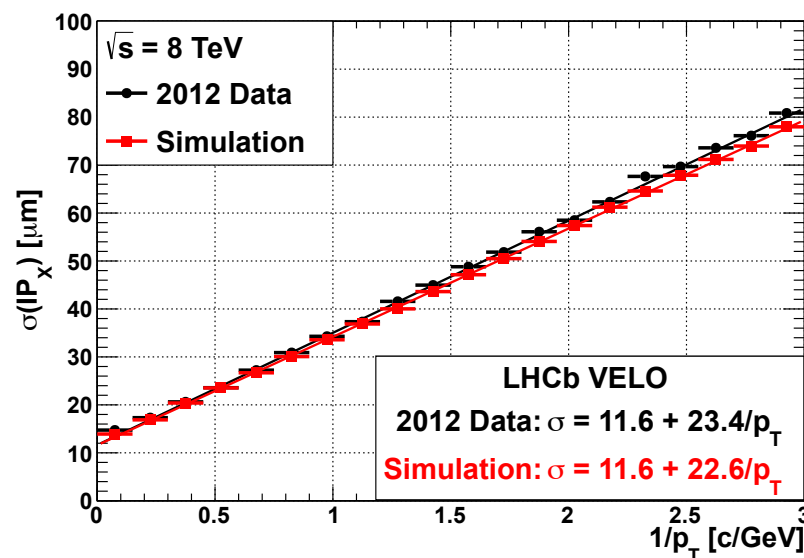
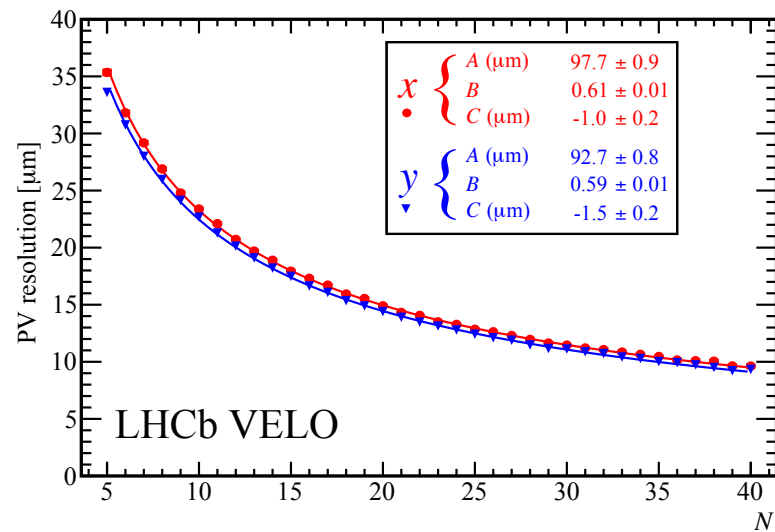


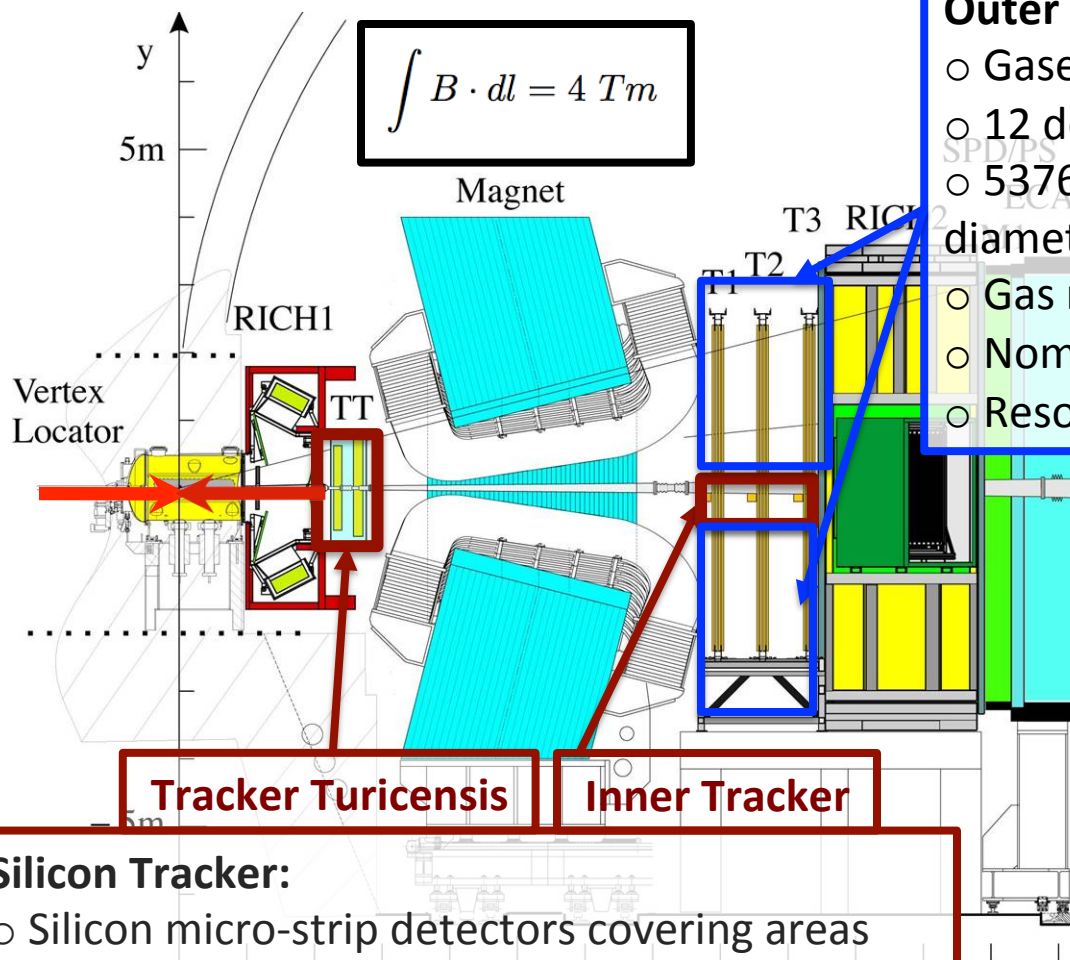


LHCb VErteX LOcator I (VELO)



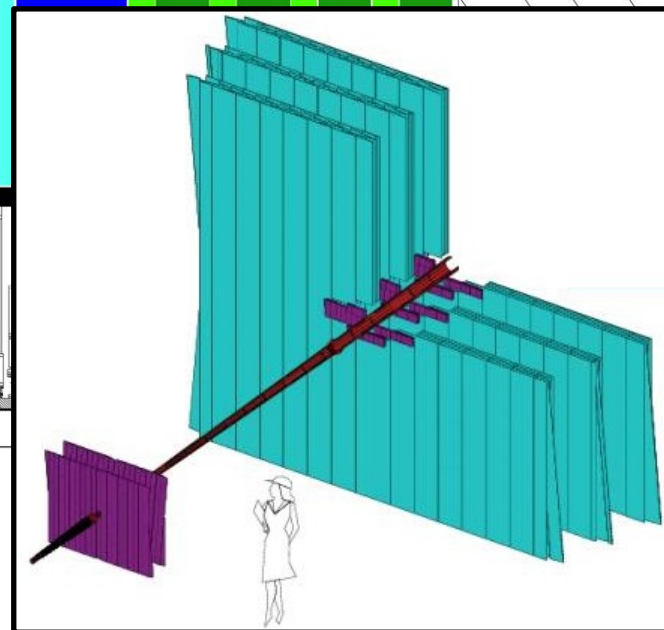
- Two retractable halves
 - 5 mm from beam when closed.
 - 30 mm during injection.
 - First measurement at 8.13 mm.
- Operates in secondary vacuum.
 - 300 μm aluminium foils separates detector from beam vacuum.
- 21 R- Φ modules per half.
 - Silicon microstrip sensors.
 - Pitch: 38 – 101 μm .
- Best resolution: 4 μm !





Outer Tracker:

- Gaseous straw tube detector.
- 12 detection layers ($\sim 4 \times 6 \text{ m}^2$).
- 53760 straw tubes (2.4 m long, 4.9 mm diameter).
- Gas mixture: Ar/CO₂/O₂ (70%/28.5%/1.5%).
- Nominal operating voltage is 1550 V.
- Resolution $\approx 200 \mu\text{m}$.



Silicon Tracker:

- Silicon micro-strip detectors covering areas closest to the beam pipe.
- Pitch: $183 \mu\text{m}$ (TT), $198 \mu\text{m}$ (IT).
- Thickness: $500 \mu\text{m}$ (TT), $320/410 \mu\text{m}$ (IT)
- Strips up to 37 cm long.
- Resolution $\approx 50 \mu\text{m}$.