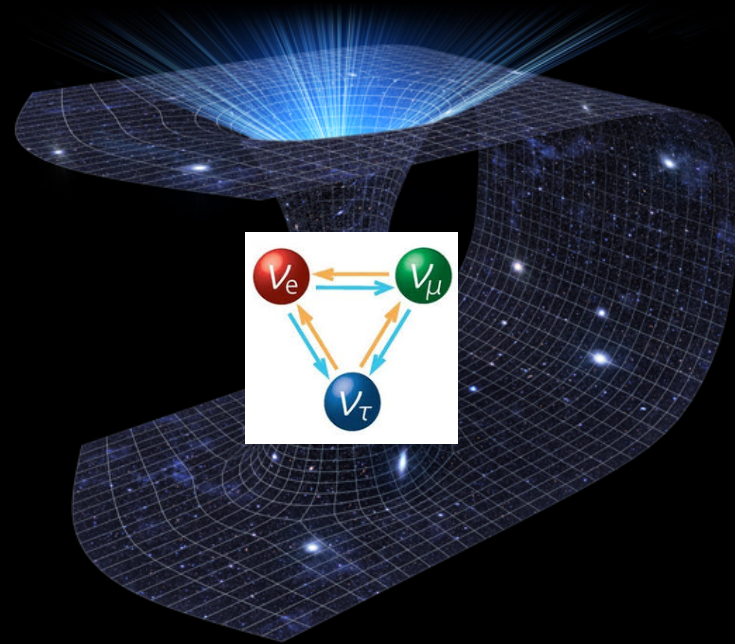


# Gravitational Origin for Neutrino Masses

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# Introduction:

- Open fundamental questions unexplained in Standard Model (SM):
  - Neutrino masses,  $m_\nu \lesssim 0.1$  eV, implied by neutrino flavor oscillation
  - Dark matter (DM)
- DM: only gravitational evidence, many possibilities at present
- Neutrino masses: a few ideas
  - One interesting idea is seesaw mechanism
    - Ultra heavy “right-handed neutrinos”  $\nu_R$ , as heavy as  $\sim 10^{14}$  GeV
    - $\nu_R$ , uncharged under SM, largely inaccessible to experiments
    - Seesaw: Majorana  $m_\nu \rightarrow$  rare  $0\nu\beta\beta$  decay, yet to be observed
    - Alternatively, Dirac neutrino masses: very small Yukawa couplings  $\lesssim 10^{-12}$

# This Talk:

- Tiny  $m_\nu$ : zero by global symmetry  $U(1)_g$ 
  - Spontaneously broken, but only gravitationally mediated
- Quantum gravity expected to violate global symmetries explicitly
  - Black holes destroy global charges
  - Wormholes transport global charges “elsewhere”
  - More generally “gravitational instantons” corresponding to action  $S$
  - Axion from spontaneous broken  $U(1)_g$  gets mass from gravitational instantons
- Right-handed neutrinos, possibly from entirely different sector
  - All fields could be coupled through gravitational interactions
- Organizing principles:
  - $U(1)_g$  preserving operators possibly suppressed by powers of  $M_{\text{Pl}} \approx 1.2 \times 10^{19}$  GeV
  - Transition between vacua with charge difference  $\Delta Q$  suppressed by  $\propto e^{-\Delta Q S}$

[Abbott, Wise, 1989](#); [Kalosh, Linde, Linde, Susskind, 1995](#)

## Caveats & Comments:

- Definite models require knowledge of quantum gravity
- Qualitative inferences from string theory, semi-classical treatments
- Our work has some elements in common with:
  - Froggatt-Nielsen models of quark masses (1979)
  - Majoron models, to explain  $\nu_R$  masses with a broken global symmetry  $\rightarrow$  axion

Chikashige, Mohapatra, 1981

- Gravitational global symmetry breaking has been considered in Majoron models
  - Often only powers of  $M_{\text{Pl}}$  considered *E.g. Rothstein, Babu, Seckel, 1993*
  - We require suppression by  $e^{-\Delta Q S}$ , and possible Planck suppression
- Arguments based on typical string constructions yield:

$$S \sim 2\pi/\alpha_G$$

- $\alpha_G$  of order grand unified gauge coupling
- We take:  $1/30 \lesssim \alpha_G \lesssim 1/20 \Rightarrow e^{-S} \sim 10^{-82} - 10^{-55}$

Hui, Ostriker, Tremaine, Witten, 2016

## A Minimal Model:

- To generate Dirac masses, introduce scalar  $\Phi$
- $U(1)_g$  charges:  $(Q_g(\Phi), Q_g(L), Q_g(\nu_R)) = (1, -2, -3)$
- We can then write down gravitational coupling, assuming  $\mathcal{O}(1)$  coefficient

$$O_5 \sim \frac{\Phi H^* \bar{L} \nu_R}{M_{\text{Pl}}}$$

- To get  $m_\nu \sim 0.1$  eV we then need  $\langle \Phi \rangle = \phi_0 / \sqrt{2} \sim 10^7$  GeV with  $\Phi = \frac{\phi + \phi_0}{\sqrt{2}} e^{ia/\phi_0}$
- Gravitational “instantons” generate potential for axion  $a$

$$V_a \sim -e^{-S} M_{\text{Pl}}^4 \cos \frac{a}{\phi_0} \Rightarrow m_a^2 \sim e^{-S} \frac{M_{\text{Pl}}^4}{\phi_0^2} \Rightarrow \boxed{10^{-10} \text{ GeV} \lesssim m_a \lesssim 3 \times 10^3 \text{ GeV}}$$

- Axion coupling to neutrinos

$$g_a a \bar{\nu} \gamma_5 \nu = \frac{\langle H \rangle}{\sqrt{2} M_{\text{Pl}}} a \bar{\nu} \gamma_5 \nu = \frac{m_\nu}{\phi_0} a \bar{\nu} \gamma_5 \nu$$

- Axion lifetime

$$\tau = \frac{8\pi}{g_a^2 m_a} \sim 10^{13} \text{ s} \left( \frac{20 \text{ MeV}}{m_a} \right) \left( \frac{10^{-17}}{g_a} \right)^2$$

$$t_{\text{CMB}} \sim 10^{13} \text{ s}$$

# Cosmological Constraints:

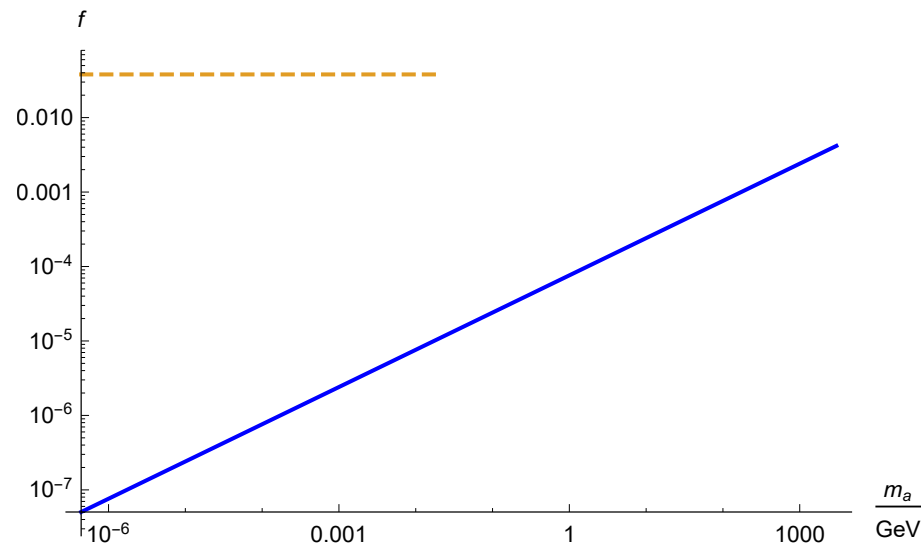
- If fraction of DM  $f$  with lifetime  $t_{CMB} \lesssim \tau \lesssim H_0^{-1}$  decays into dark radiation:

$$\text{CMB and matter power spectra} \Rightarrow f \lesssim 0.038 \quad (95\% \text{ CL})$$

Poulin, Serpico, Lesgourgues, 2016

- Axion initial energy density  $\sim m_a^2 a_i^2$ ;  $a_i$  initial amplitude of oscillations
- Fraction  $f$  of DM in unstable axion by  $T_{\text{eq}} \sim 1$  eV (radiation-matter equality)
  - Oscillation commences when  $m_a$  is of order Hubble parameter

$$f \sim a_i^2 \left( \frac{g_*}{M_{\text{Pl}}^2} \right)^{3/4} \left( \frac{\sqrt{m_a}}{T_{\text{eq}}} \right)$$



Values above dashed line excluded (95% C.L.);  $a_i = \phi_0$  and  $m_a \gtrsim 20$  MeV corresponds to  $t \lesssim t_{CMB}$

- Neutrino flux from  $a \rightarrow \nu\bar{\nu}$ :

$$F_0^\nu \sim f \rho_{DM}/m_a$$

– For  $\tau \gtrsim t_{CMB}$  (i.e.  $m_a \lesssim 20$  MeV)  $\Rightarrow F_0^\nu \gtrsim 20 \text{ cm}^{-2} \text{ s}^{-1}$ .

- Typical neutrino energy

$$E_0^\nu \sim \frac{m_a}{2} \left( \frac{\tau}{t_U} \right)^{2/3}$$

– For  $m_a \lesssim 20$  MeV  $\Rightarrow E_0^\nu \lesssim 10$  keV (challenging to detect)

- CMB and local measurements of present Hubble parameter disagree

– Potentially at  $\gtrsim 4\sigma$  [Riess, Casertano, Yuan, Macri, Scolnic, 2019](#)

– Perhaps systematic effects, but could be new physics

- A decaying DM component could help address the tension

[E.g., Berezhiani, Dolgov, Tkachev, 2015](#)

– Minimal model example:

- $f \ll 1$  [larger  $\phi_0$  (smaller dim-5 coefficient) can enhance  $f$ ]

- Requires another, sufficiently stable, DM component

- Expanded models (with more global symmetries) could have broader phenomenology and more accessible signals [H.D., 2003.04908](#).

# Concluding Remarks

- Small neutrino masses may be due to a global  $U(1)$  symmetry and weak gravitational (Planck-suppressed) coupling
- Global symmetries are generically expected to be broken by non-perturbative gravitational processes: microscopic black holes, wormholes, instantons, . . .
- Possible that “right-handed neutrinos” separate from SM sector
  - However, gravity mediates interactions among all types of fields
  - Violation of global symmetry with suppressed instanton amplitude
- Generic feature: axions
  - Gravitational instantons expected to generate axion mass
- Axions decaying into neutrinos a typical expectation
- Could leave an imprint on cosmology (possibly address Hubble tension)
- Extensions: could invoke more than one global  $U(1)$

