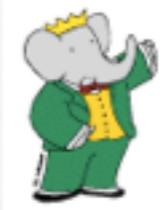


# Direct Searches for New Physics at $e^+e^-$ B-Factories



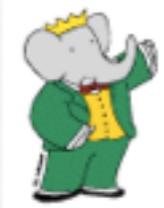
Alberto Cervelli  
Universita' Di Pisa





# Outline

- New Physics searches in  $B$  decays
- Search for LFV in  $\tau$  decays
- Search for LFV in  $\gamma$  decays
- Search for Higgs-Like Particle in  $\gamma$  decays



# Babar & Belle



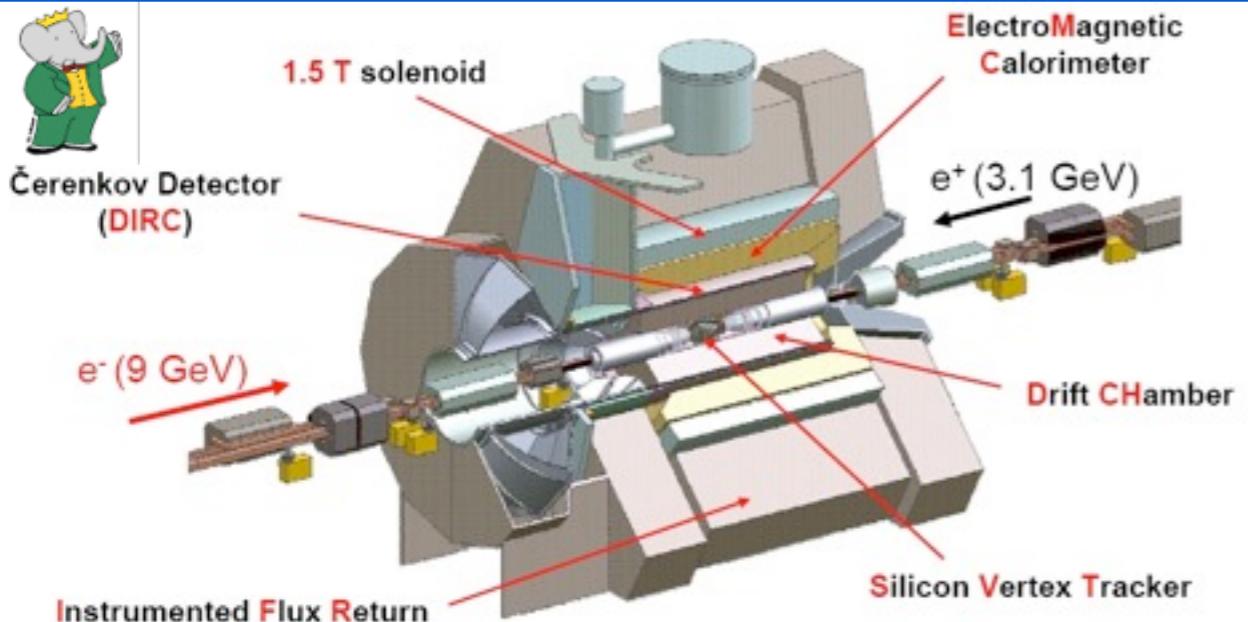
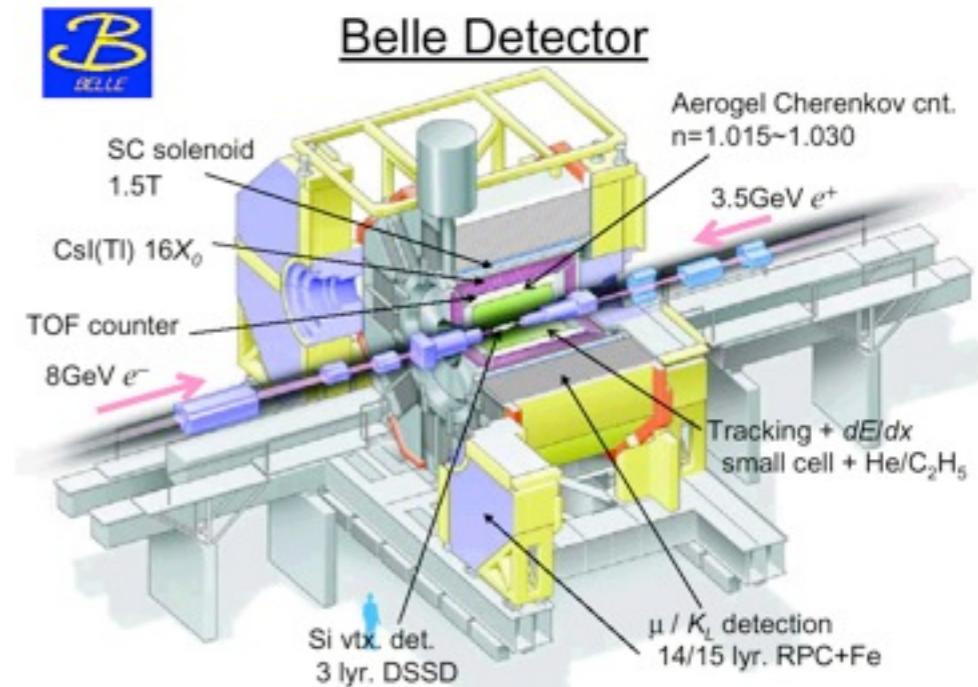
Belle @ KEK

•  $E_{e+} = 3.5 \text{ GeV}$     $E_{e-} = 8.0 \text{ GeV}$

•  $\Upsilon(4S)$  boost:  $\beta\gamma = 0.425$

• Data sample:

- $\Upsilon(4S) 711 \text{ fb}^{-1}$  off-peak  $87 \text{ fb}^{-1}$
- $\Upsilon(5S) 121 \text{ fb}^{-1}$



BaBar @ PeP-II

•  $E_{e+} = 3.1 \text{ GeV}$     $E_{e-} = 9.0 \text{ GeV}$

•  $\Upsilon(4S)$  boost:  $\beta\gamma = 0.425$

• Data sample:

- $\Upsilon(4S) 432 \text{ fb}^{-1}$  off-peak  $54 \text{ fb}^{-1}$
- $\Upsilon(3S) 30 \text{ fb}^{-1}$     $\Upsilon(2S) 14 \text{ fb}^{-1}$



# New Physics Searches in B decays

$$B \rightarrow K^{(\star)} \nu$$



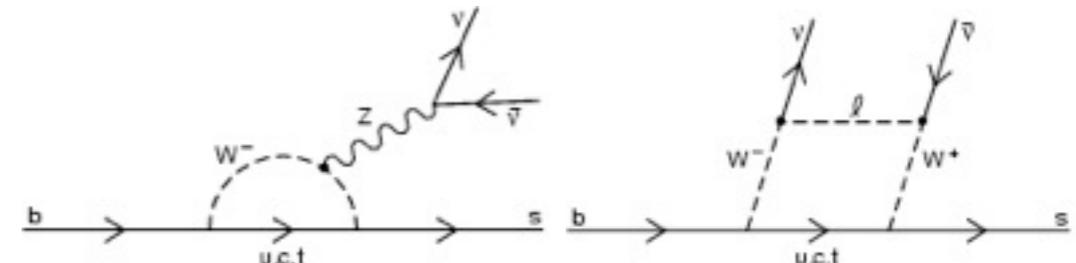


# Theoretical Overview

SM predicts  $B \rightarrow K^{(*)}\nu\nu$  decays

$$\mathcal{B}(B \rightarrow K^*\nu\nu) = (6.8^{+1.0}_{-1.1}) \times 10^{-6}$$

$$\mathcal{B}(B \rightarrow K\nu\nu) = (4.7 \pm 0.7) \times 10^{-6}$$

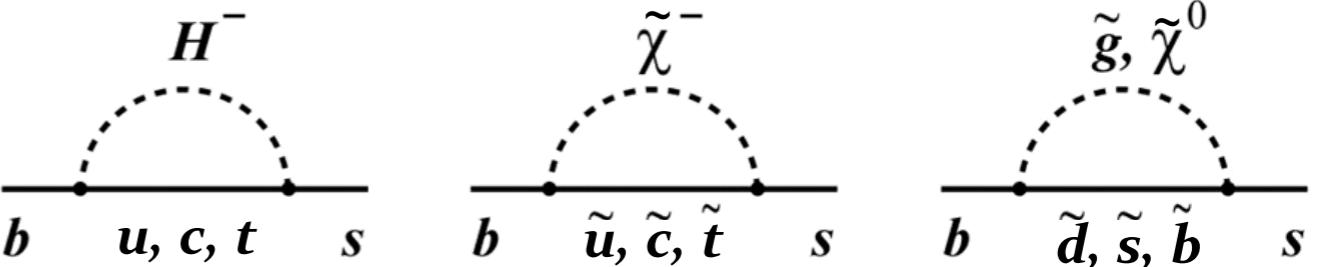


G.Altmannshofer et al., arXiv:0902.0160 [hep-ph]

New Physics Produces Visible Effects

## New Particles in Loops

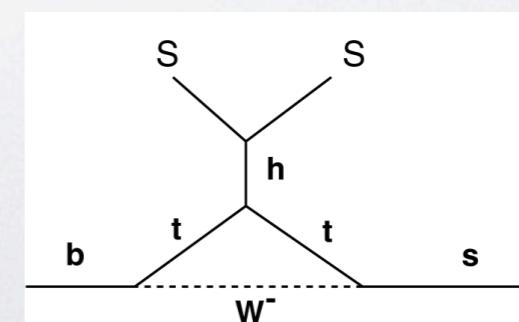
Higgs, chargino and squark , gluino or neutralino and squark in loops produces x5 enhancement in BR



G.Buchalla et al. Phys. Rev. D 63, 014015, 2000

## Dark Matter

Low mass singlet scalar WIMP (S) may be produced in B decays  
Particles with masses <2GeV may increase BR by a factor 10



Bird, PRL 93,  
201803 (2004)



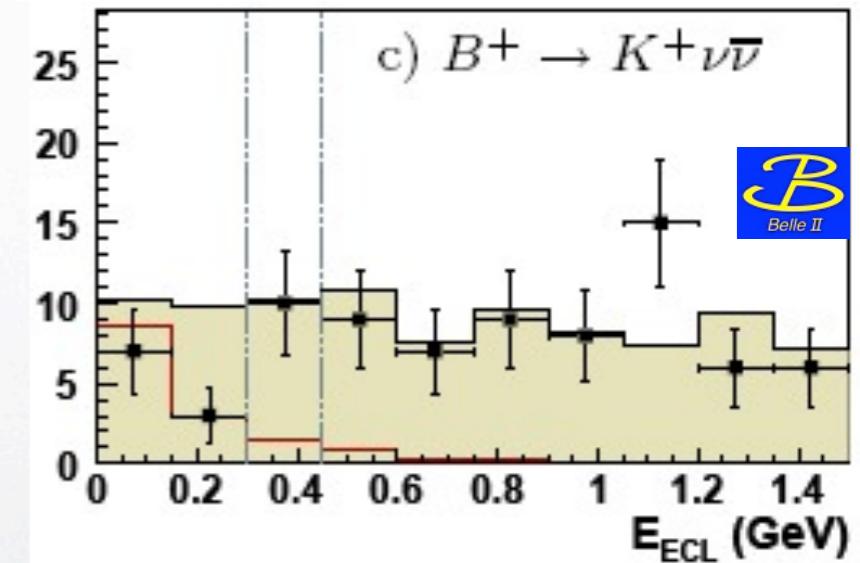
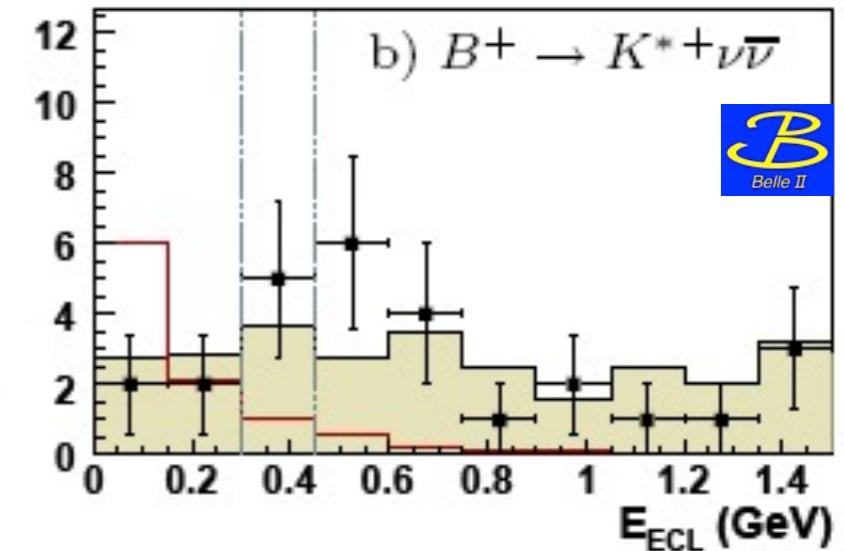
$B \rightarrow K^{(\star)} \nu \bar{\nu}$

492 fb<sup>-1</sup>

Phys.Rev.Lett 99:221802,2007

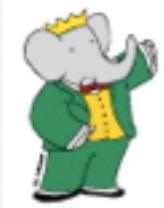
- Tag side: inclusive reconstruction of B in hadronic channel ( $B_{\text{tag}} \rightarrow D^{(*)} nK m\pi$ ).
- Rest of the event used to identify  $B_{\text{sig}} \rightarrow K^{(\star)} \nu \bar{\nu}$ :
  - PID applied on K or  $K^*$  daughters
  - Cut on number of tracks and  $\pi^0$
  - Cut on  $E_{\text{extra}}$
- Background rejection:
  - Main contribution from  $b \rightarrow c$  transition → cut on  $p_K$
  - Particles along the beam pipe → cut on missing momentum polar angle
  - Small contribution from  $q\bar{q}$

Mode	$N_{\text{obs}}$	$N_{\text{side}}$	$N_b$	$\epsilon (\times 10^{-5})$	U.L.
$K^{*0} \nu \bar{\nu}$	7	16	$4.2 \pm 1.4$	$5.1 \pm 0.3$	$< 3.4 \times 10^{-4}$
$K^{*+} \nu \bar{\nu}$	4	18	$5.6 \pm 1.8$	$5.8 \pm 0.7$	$< 1.4 \times 10^{-4}$
$\rightarrow K_S^0 \pi^+$	1	7	$2.3 \pm 1.2$	$2.8 \pm 0.3$	
$\rightarrow K^+ \pi^0$	3	11	$3.3 \pm 1.4$	$3.0 \pm 0.4$	
$K^+ \nu \bar{\nu}$	10	60	$20.0 \pm 4.0$	$26.7 \pm 2.9$	$< 1.4 \times 10^{-5}$
$K^0 \nu \bar{\nu}$	2	8	$2.0 \pm 0.9$	$5.0 \pm 0.3$	$< 1.6 \times 10^{-4}$



$$E_{ECL} = E_{\text{tot}} - E_{\text{rec}} \quad \text{in ECL}$$

Total energy in ECL      candidate recon energy



# Results from Babar

$B \rightarrow K^* \nu \bar{\nu}$

413 fb<sup>-1</sup>

PRD 78, 072007

Combined results from the SL and HAD recoil analyses:

- SL analysis
  - Selection optimized by maximizing Punzi figure of merit
  - Yield extraction: fit to  $E_{\text{extra}}$
- HAD analysis
  - Loose preselection: most discriminant variables used for NN
  - Yield extraction: fit to NN output distribution

First model independent analysis

$B \rightarrow K \nu \bar{\nu}$

413 fb<sup>-1</sup>

Preliminary Result

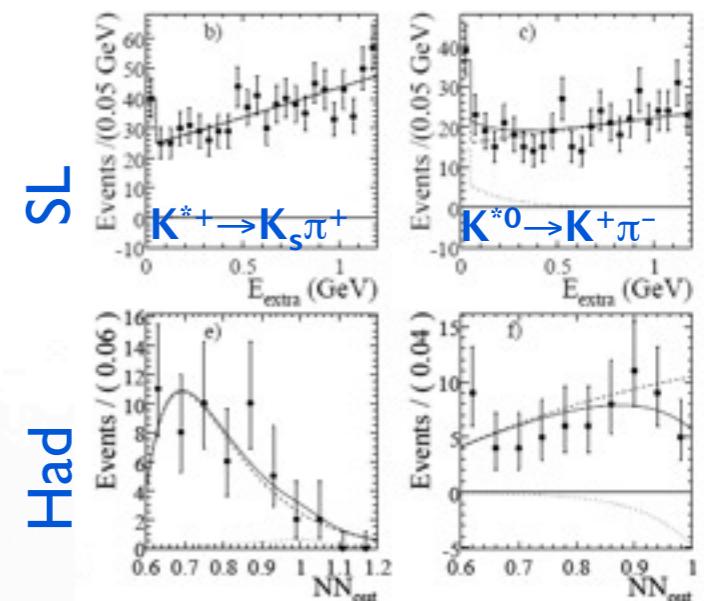
$K^+$  mode: made a **full BR measurement** and  
**partial BR measurement**:  $p_{\text{CM}}(K^+) < 1.5 \text{ GeV}$  and  $p_{\text{CM}}(K^+) > 1.5 \text{ GeV}$

BDT used to enhance the signal (26 variables for  $K^+$ , 38 for  $K_S$ )

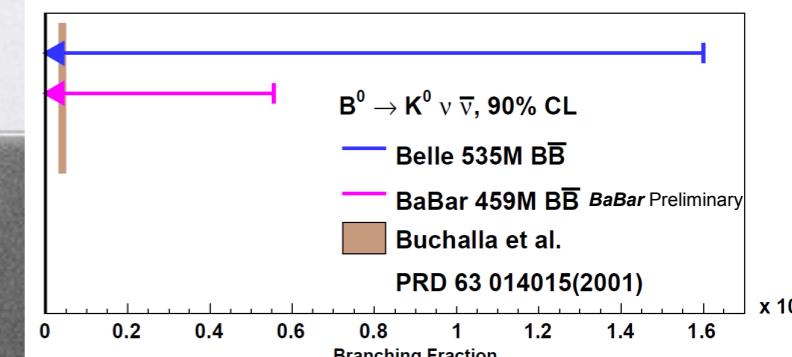
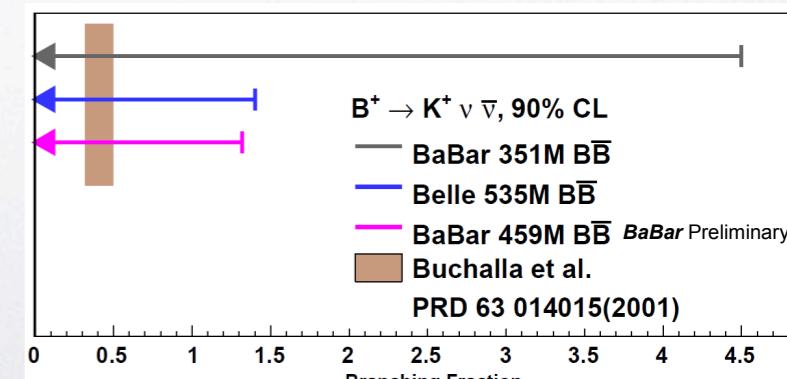
Find cut value for BDT output to maximize efficiency on MC

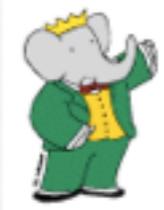
Number of predicted and observed bkg events used to evaluate BR

CL	$K^+$	$K^0$	$K^+ \& K^0$	For $p^*(K^+) < 1.5 \text{ GeV}/c$	For $p^*(K^+) > 1.5 \text{ GeV}/c$
90%	$1.3 \times 10^{-5}$	$5.6 \times 10^{-5}$	$1.4 \times 10^{-5}$	$3.1 \times 10^{-5}$	$0.89 \times 10^{-5}$
95%	$1.6 \times 10^{-5}$	$6.7 \times 10^{-5}$	$1.7 \times 10^{-5}$	$4.6 \times 10^{-5}$	$1.1 \times 10^{-5}$



	$B^+ \rightarrow K^+ \nu \bar{\nu}$	$B^0 \rightarrow K^0 \nu \bar{\nu}$	$B \rightarrow K^* \nu \bar{\nu}$
HAD	$21 \times 10^{-5}$	$11 \times 10^{-5}$	
SL	$9 \times 10^{-5}$	$18 \times 10^{-5}$	
Combined	$8 \times 10^{-5}$	$12 \times 10^{-5}$	$8 \times 10^{-5}$





# Lepton Flavor Violation

in  $\tau$  Decays



and  $\Upsilon(2-3S)$  Decays



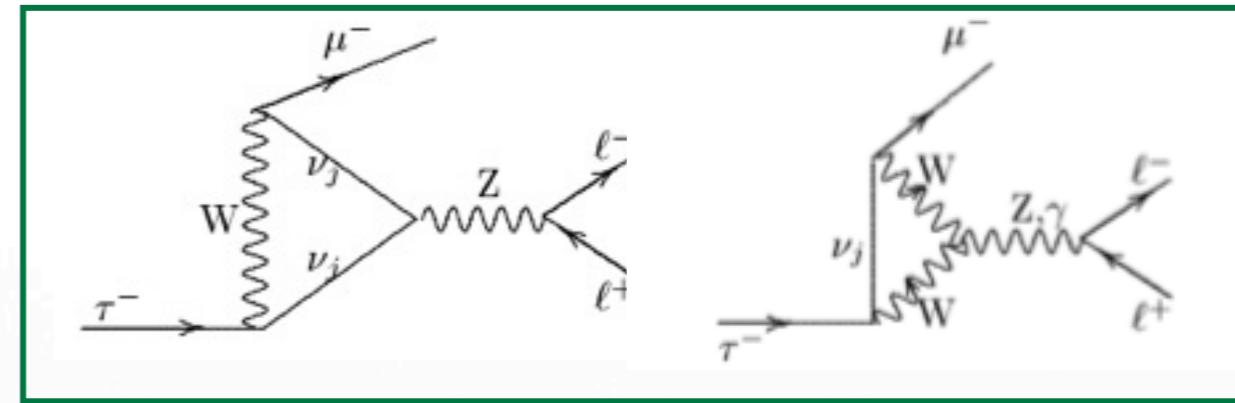
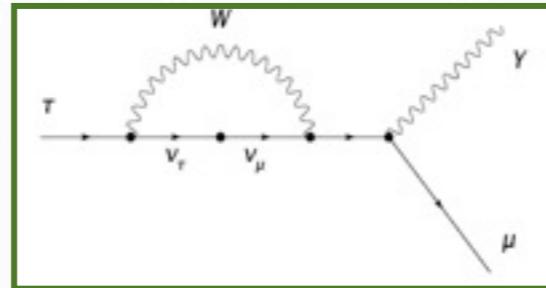


# LFV in $\tau$ decays theory

SM allows LFV: observed in neutral sector.

In charged sector may happen via loops with small expected BR (e.g.  $BR_{SM}(\tau \rightarrow \mu\gamma) < 10^{-54}$ ).

Even less in  $\tau \rightarrow 3l$

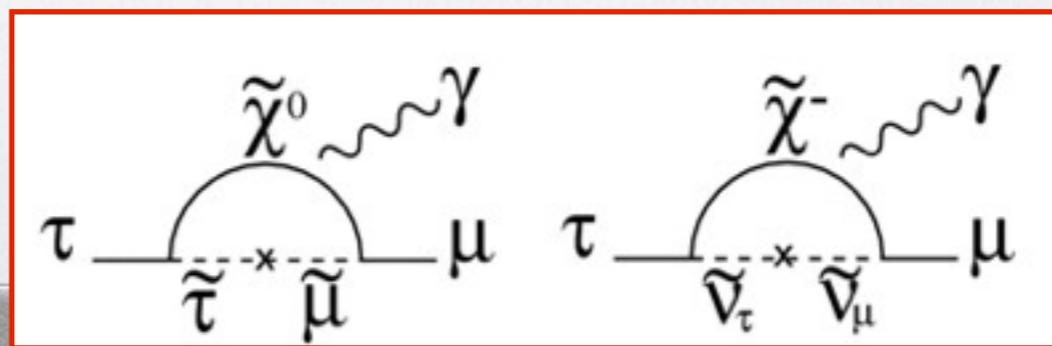
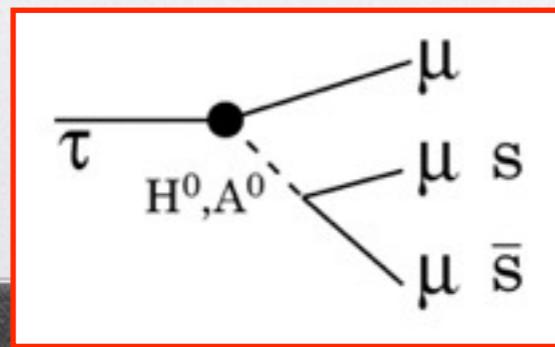


If detected, LFV would imply New Physics with present (and near future) luminosities.

Many New Physics models predict  $\tau$  LFV BR up to [ $O(10^{-8})$ ].

If detected in more than one channel it provides

Useful information on NP flavor structure, by looking at LFV BF Ratios. [arxiv:hep-ph/0610344v3]





# Analysis strategy

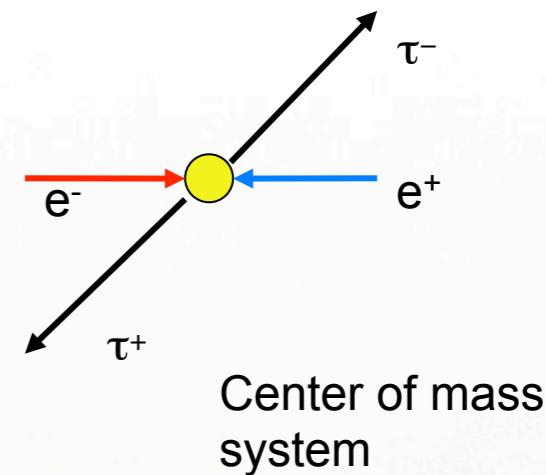
Low multiplicity events selected and event space divided in two hemispheres using thrust.

- **Signal side:** tracks and neutrals coming from LFV decay
- **Tag side:** standard 1-prong decay (also 3-prong in  $\tau \rightarrow \mu\gamma$ )

Blind analysis performed

Background reduced using PID, kinematical informations, multivariate algorithms ( $\tau \rightarrow \mu\gamma$ ) optimization different for each channel:

- BaBar: optimizes for Best UL
- Belle: optimizes for best discovery significance



Number of expected background events estimated from non blinded sidebands. UL estimated using frequentist approach including systematics errors.



# Analysis strategy

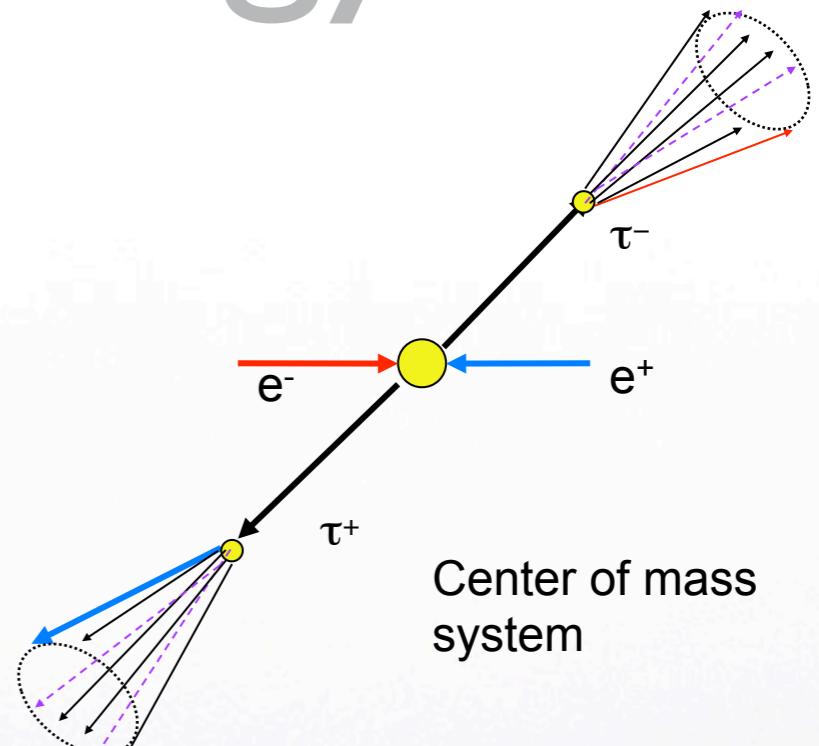
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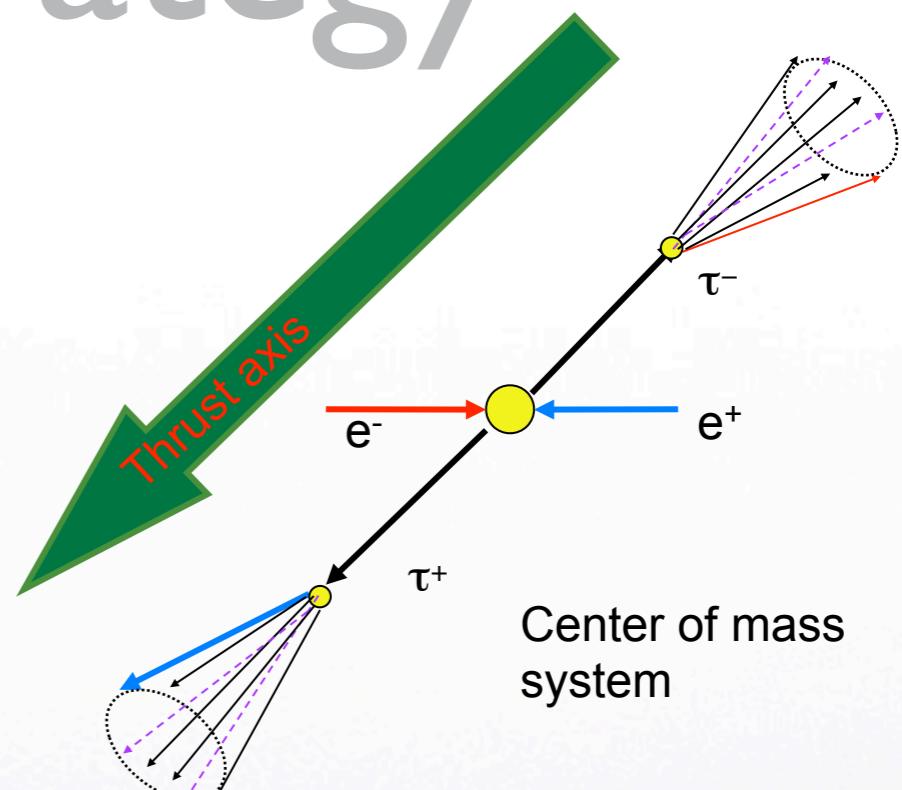
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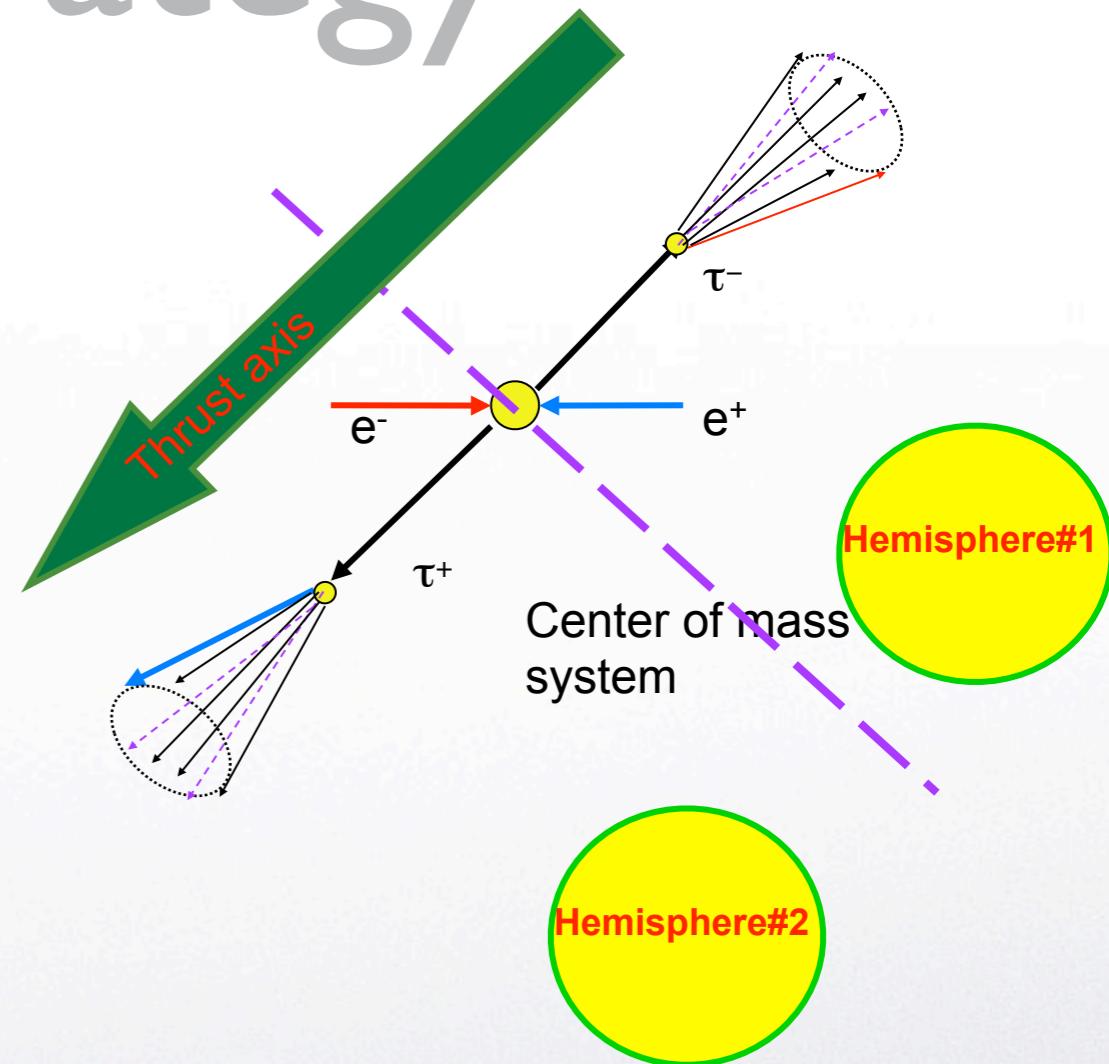
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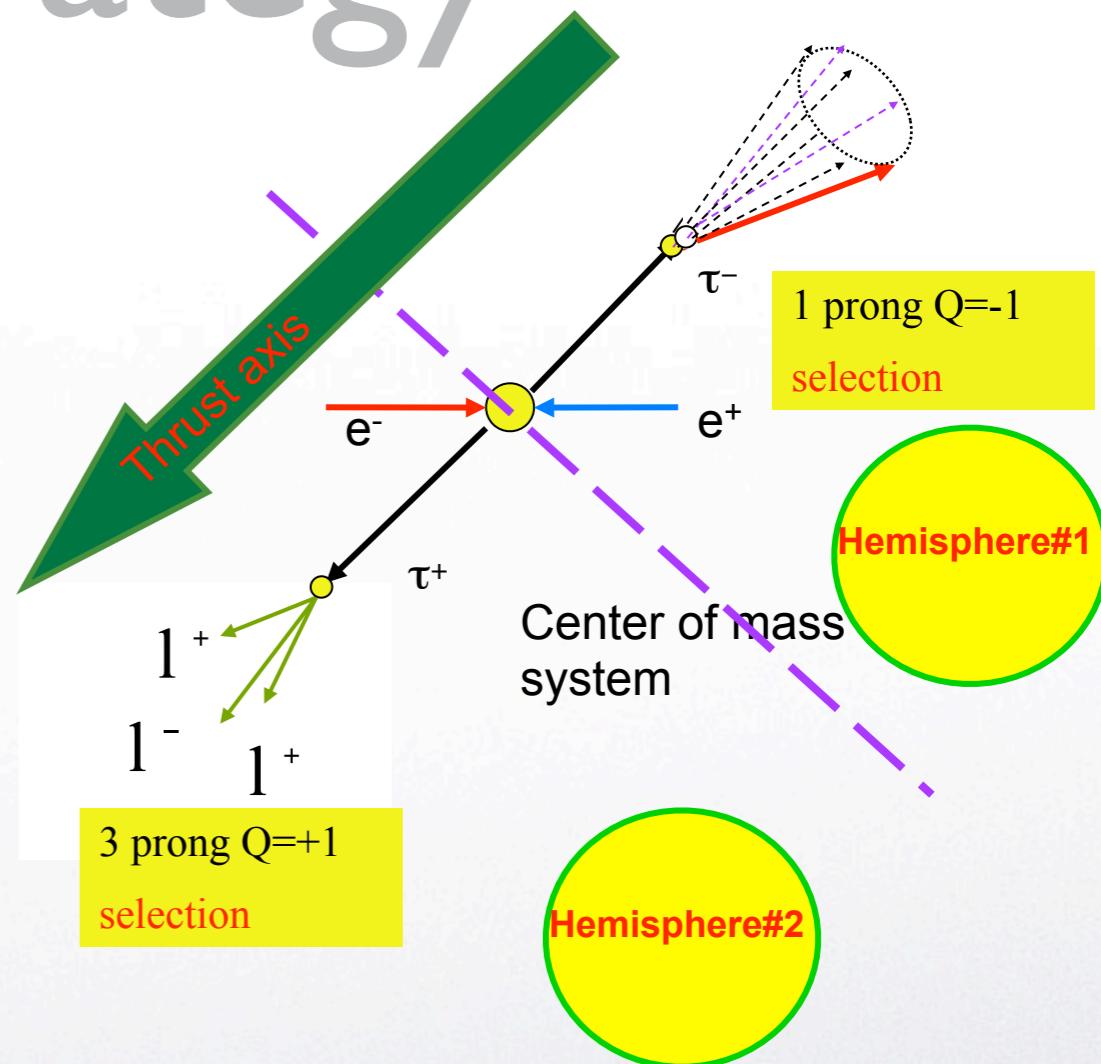
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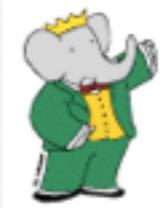
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Number of expected background events estimated from non blinded sidebands. UL estimated using frequentist approach including systematics errors.



# Results from Babar

$\tau \rightarrow \ell\gamma, \ell = \mu, e$

480M  $\tau$ -pairs

PRL104, 021802(2010)

Full BaBar dataset ( $\Upsilon(nS) + \text{off-peak}$ ) used

NN used to reduce backgrounds

Both 1-prong and 3-prong tags used

$$\mathcal{B} (\tau^\pm \rightarrow e^\pm \gamma) < 3.3 \times 10^{-8}$$

$$\mathcal{B} (\tau^\pm \rightarrow \mu^\pm \gamma) < 4.4 \times 10^{-8}$$

$\tau \rightarrow \ell\ell\ell, \ell = \mu, e$

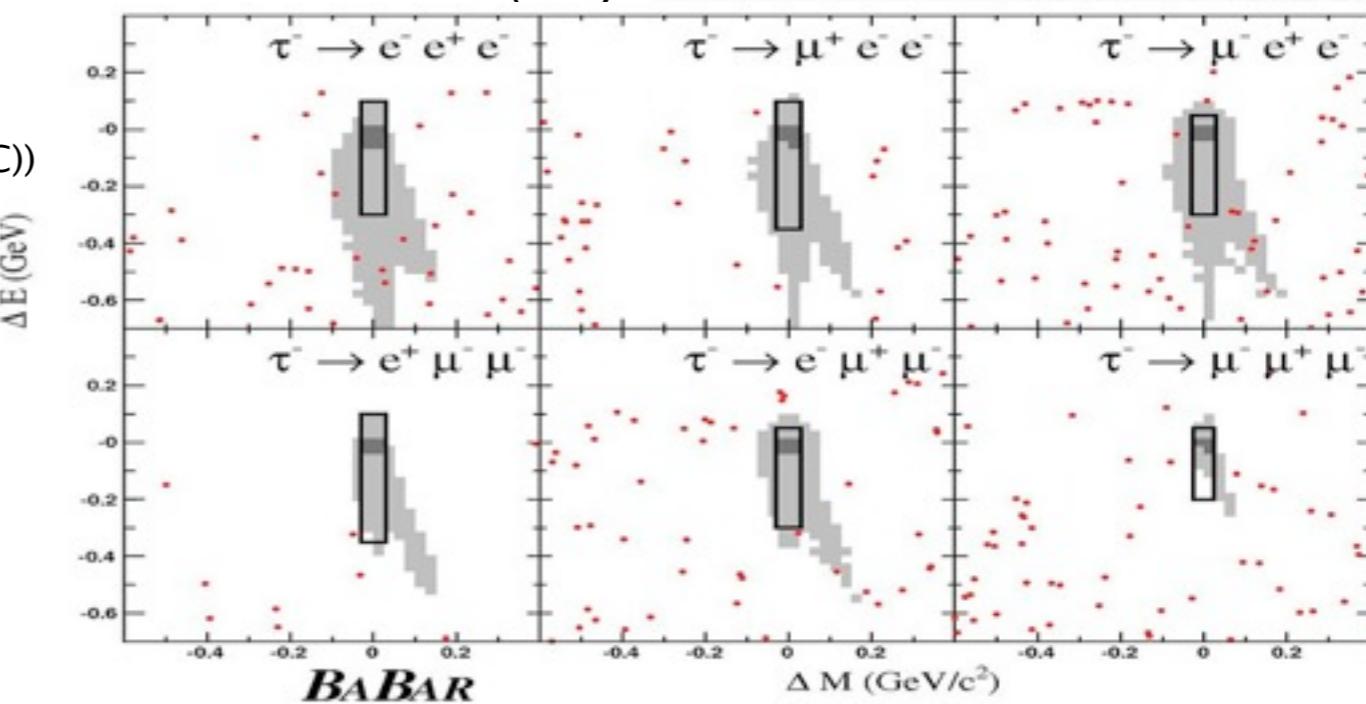
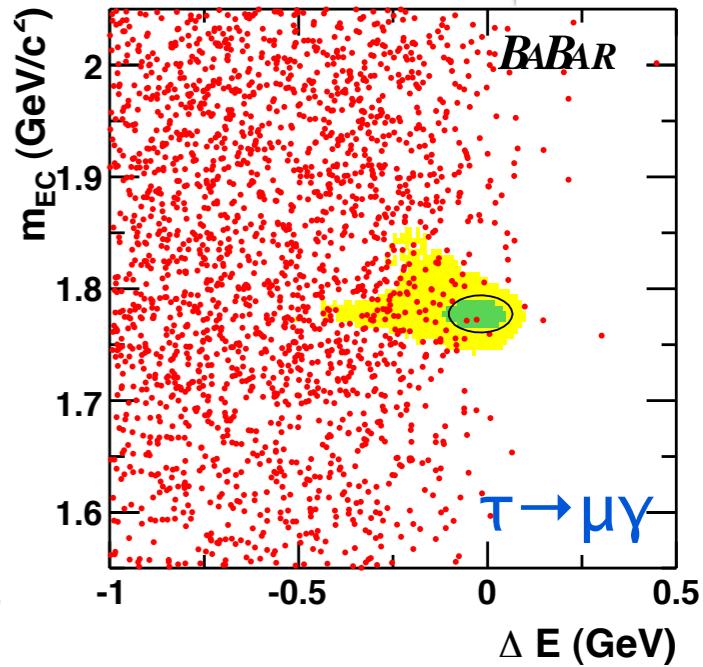
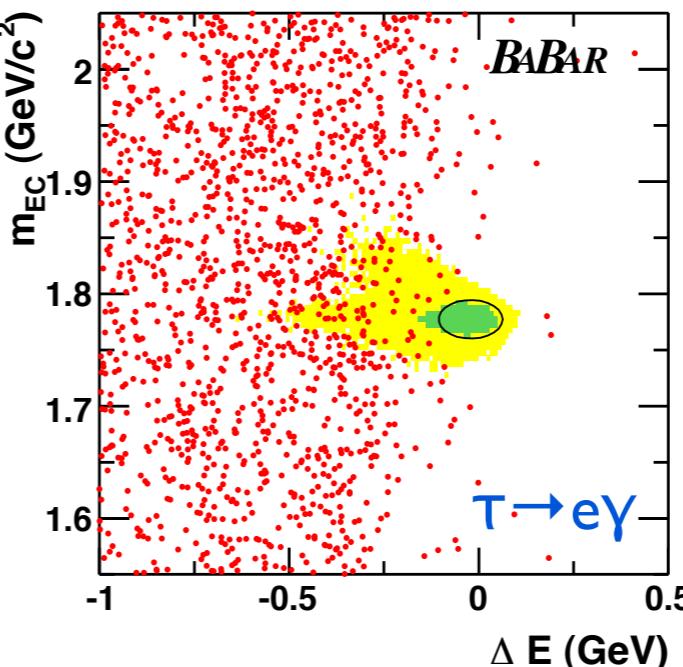
430M  $\tau$ -pairs

arXiv: 1002.4550 (sub. PRD(RC))

Systematic uncertainties dominated by PID

Efficiencies 6-13% depending on channel

Channel	Efficiency (%)	$N_{bgd}$	Exp. UL	$N_{obs}$	UL
$e^+e^-e^+$	$8.6 \pm 0.2$	$0.12 \pm 0.02$	$3.4 \times 10^{-8}$	0	$2.9 \times 10^{-8}$
$e^+e^-\mu^+$	$8.8 \pm 0.5$	$0.64 \pm 0.19$	$3.7 \times 10^{-8}$	0	$2.2 \times 10^{-8}$
$e^+e^+\mu^-$	$12.6 \pm 0.7$	$0.34 \pm 0.12$	$2.2 \times 10^{-8}$	0	$1.8 \times 10^{-8}$
$e^+\mu^-\mu^+$	$6.4 \pm 0.4$	$0.54 \pm 0.14$	$4.6 \times 10^{-8}$	0	$3.2 \times 10^{-8}$
$e^-\mu^+\mu^+$	$10.2 \pm 0.6$	$0.03 \pm 0.02$	$2.8 \times 10^{-8}$	0	$2.6 \times 10^{-8}$
$\mu^+\mu^-\mu^+$	$6.6 \pm 0.6$	$0.44 \pm 0.17$	$4.0 \times 10^{-8}$	0	$3.3 \times 10^{-8}$



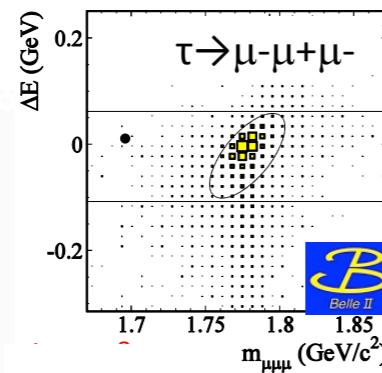
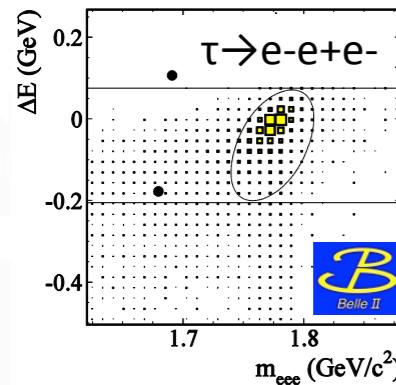


# Results from Belle

$\tau \rightarrow \ell\ell\ell, \ell = \mu, e$

790M  $\tau$ -pairs

arXiv:1001.3221 (sub. PLB)



Improved sensitivities  
along integrated  
luminosity

Mode	$\varepsilon$ (%)	$N_{BG}^{EXP}$	$\sigma_{syst}$ (%)	UL ( $\times 10^{-8}$ )
$e^-e^+e^-$	6.0	0.21+-0.15	9.8	2.7
$\mu^-\mu^+\mu^-$	7.6	0.13+-0.06	7.4	2.1
$e^-\mu^+\mu^-$	6.1	0.10+-0.04	9.5	2.7
$\mu^-e^+e^-$	9.3	0.04+-0.04	7.8	1.8
$\mu^-e^+\mu^-$	10.1	0.02+-0.02	7.6	1.7
$e^-\mu^+e^-$	11.5	0.01+-0.01	7.7	1.5

$\tau \rightarrow \ell K_S, \tau \rightarrow \ell K_S K_S$

610M  $\tau$ -pairs

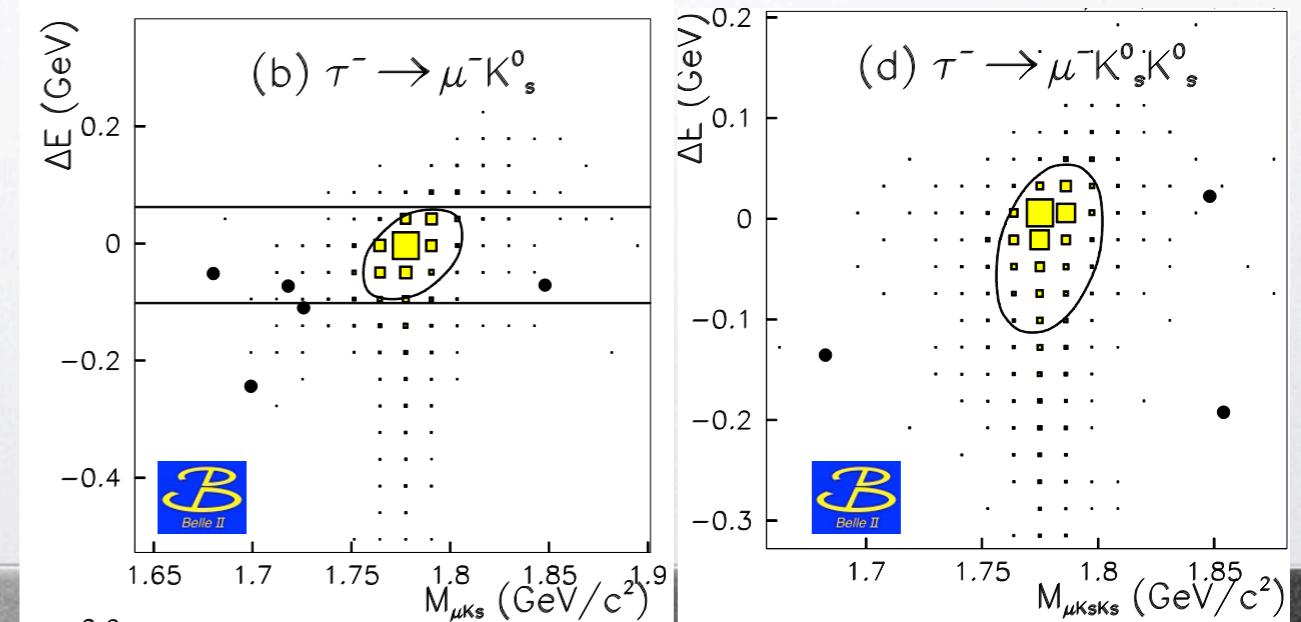
arXiv:1003.1183v1

Main bkg contribution from fake lepton

+ real  $K_S$  coming from uds events

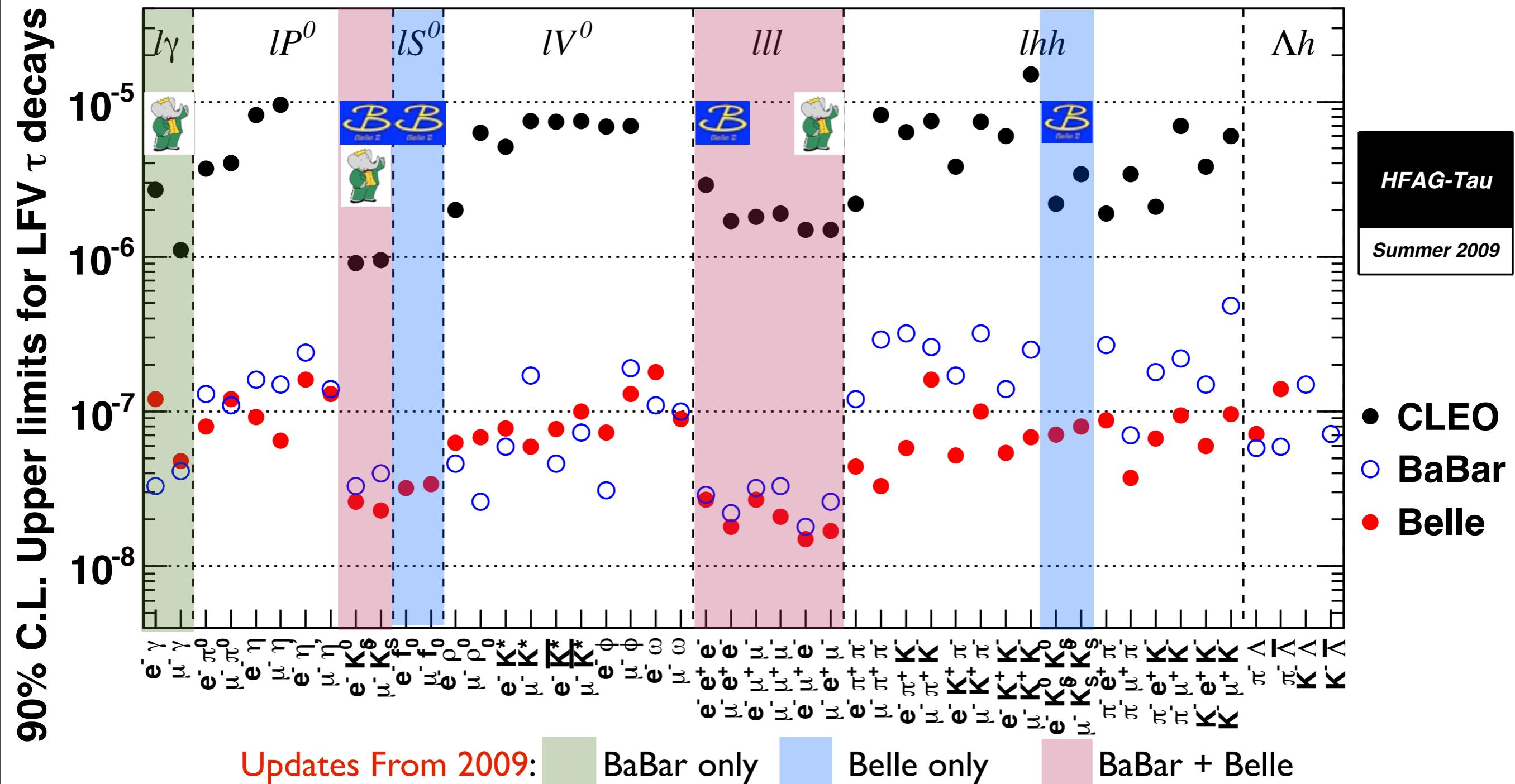
Dominant systematics:  $K_S$  reconstruction

Mode	$\varepsilon$ (%)	$N_{BG}$	$\sigma_{syst}$ (%)	$N_{obs}$	$s_{90}$	$\mathcal{B} (\times 10^{-8})$
$\tau^- \rightarrow e^- K_S^0$	10.2	$0.18 \pm 0.18$	6.6	0	2.25	2.6
$\tau^- \rightarrow \mu^- K_S^0$	10.7	$0.35 \pm 0.21$	6.8	0	2.10	2.3
$\tau^- \rightarrow e^- K_S^0 K_S^0$	5.82	$0.07 \pm 0.07$	11.2	0	2.44	7.1
$\tau^- \rightarrow \mu^- K_S^0 K_S^0$	5.08	$0.12 \pm 0.08$	11.3	0	2.40	8.0





# $\tau$ -LFV searches





# LFV in $\Upsilon$ decays - strategy

BaBar collected a large sample at  $\Upsilon(3S)$  and  $\Upsilon(2S) \Rightarrow$  good sensitivity to  $\Upsilon(nS) \rightarrow ll'$  processes

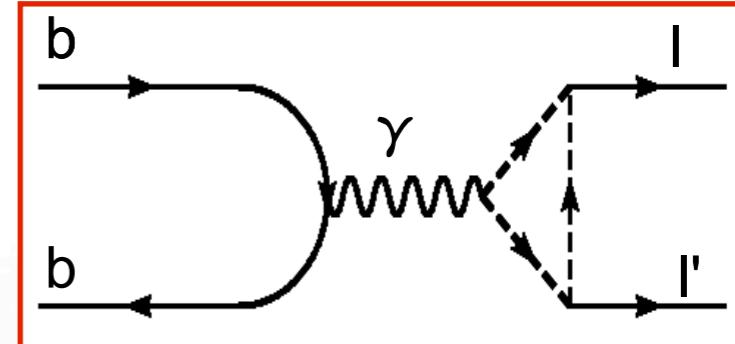
Four channels studied:

$\Upsilon(2S) \rightarrow \mu\tau, \Upsilon(2S) \rightarrow e\tau$   
 $\Upsilon(3S) \rightarrow \mu\tau, \Upsilon(3S) \rightarrow e\tau$

Signature:

- 1 primary lepton
- 1  $\tau$  detected through leptonic ( $e$  or  $\mu$ ) or hadronic ( $\pi^\pm + \pi^0 (+ \pi^0)$ ) decays

Process	$\tau$ decay	channel
$\Upsilon(3,2S) \rightarrow e\tau$	$\tau \rightarrow \mu\nu\bar{\nu}$	leptonic $e\tau$
$\Upsilon(3,2S) \rightarrow e\tau$	$\tau \rightarrow \pi^\pm\pi^0\nu / \pi^\pm\pi^0\pi^0\nu$	hadronic $e\tau$
$\Upsilon(3,2S) \rightarrow \mu\tau$	$\tau \rightarrow e\nu\bar{\nu}$	leptonic $\mu\tau$
$\Upsilon(3,2S) \rightarrow \mu\tau$	$\tau \rightarrow \pi^\pm\pi^0\nu / \pi^\pm\pi^0\pi^0\nu$	hadronic $\mu\tau$



- Dominant background events:
  - Bhabha and  $\mu$ -pair (through particle mis-ID)
  - $\tau$ -pairs ( $e^+e^- \rightarrow \tau^+\tau^-$ )
  - Multiple  $\pi$  and additional  $\gamma$

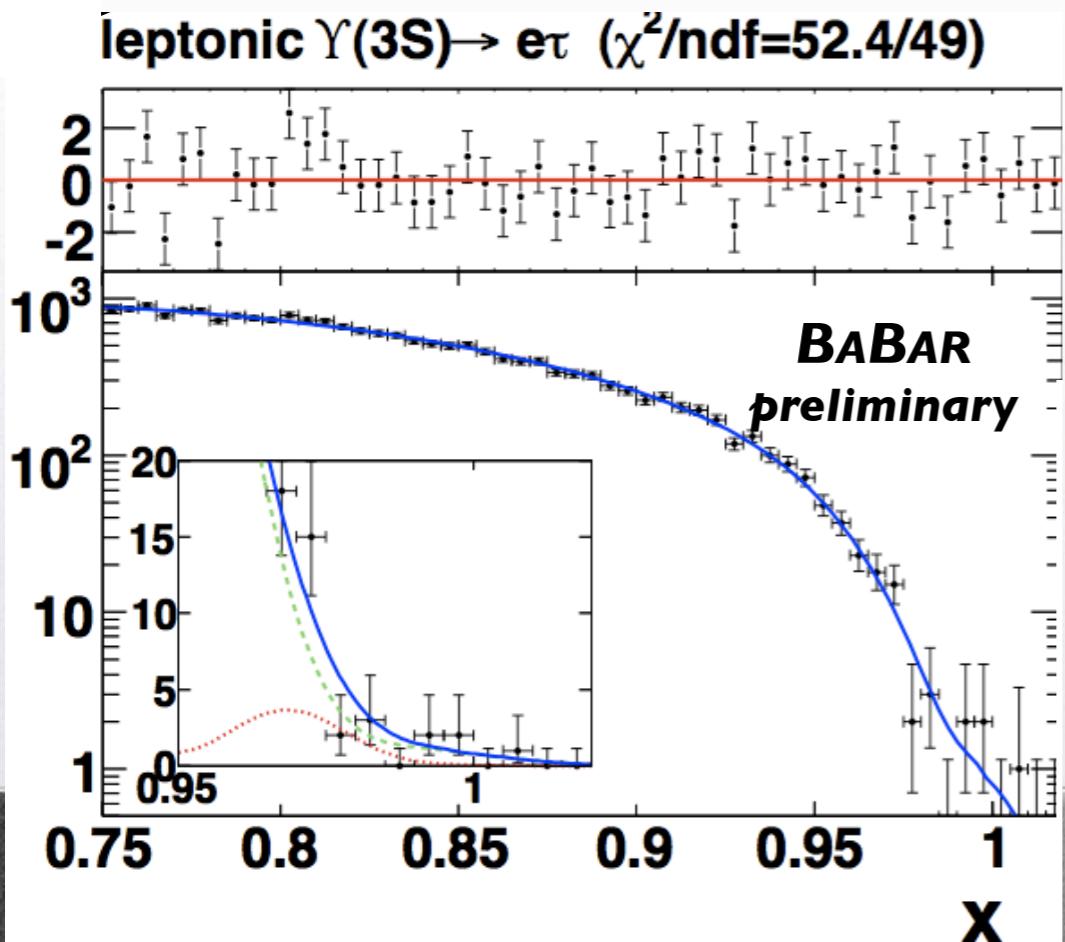
- Selection partially common to the 4 channels, partly specific (PID,  $\tau$ -daughters kinematics)



# LFV in $\Upsilon$ decays - Results



- Discriminating variable:  $x = \text{primary lepton momentum} / \text{beam energy}$
- Unbinned extended maximum likelihood fit to determine signal and background yields
- PDF chosen for all backgrounds:
  - signal (peaks at  $x = x_{\text{MAX}} \sim 0.97$ )
  - T-pairs (smooth, end-point at  $x_{\text{MAX}}$ )
  - Bhabha/ $\mu$ -pairs (peaks  $x \sim 1$ )
  - hadrons (smooth, end-point at  $x_{\text{MAX}}$ )



- Bhabha/ $\mu$ -pairs (peaks  $x \sim 1$ )
- hadrons (smooth, end-point at  $x_{\text{MAX}}$ )



BR calculated from signal and bkg yields

$$\mathcal{B} = N_{SIG} / (\epsilon_{SIG} \times N_{\Upsilon(nS)})$$

Systematics mainly from PDF shapes  
choice, errors accounted in UL

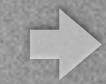
	$\mathcal{B}(10^{-6})$	UL ( $10^{-6}$ )	Improvement
$\mathcal{B}(\Upsilon(2S) \rightarrow e^\pm \tau^\mp)$	$0.6^{+1.5+0.5}_{-1.4-0.6}$	< 3.2	First
$\mathcal{B}(\Upsilon(2S) \rightarrow \mu^\pm \tau^\mp)$	$0.2^{+1.5+1.0}_{-1.3-1.2}$	< 3.3	$\times 5.5$
$\mathcal{B}(\Upsilon(3S) \rightarrow e^\pm \tau^\mp)$	$1.8^{+1.7+0.8}_{-1.4-0.7}$	< 3.2	First
$\mathcal{B}(\Upsilon(3S) \rightarrow \mu^\pm \tau^\mp)$	$-0.80.2^{+1.5+1.4}_{-1.5-1.3}$	< 3.3	$\times 3.7$

Preliminary



# Search for Higgs-Like Particle in $\Upsilon(2-3S)$ Decays





# Theoretical Motivations



Higgs mechanism lead to EW breaking

BUT Higgs mass unstable after radiative corrections  $\Rightarrow$

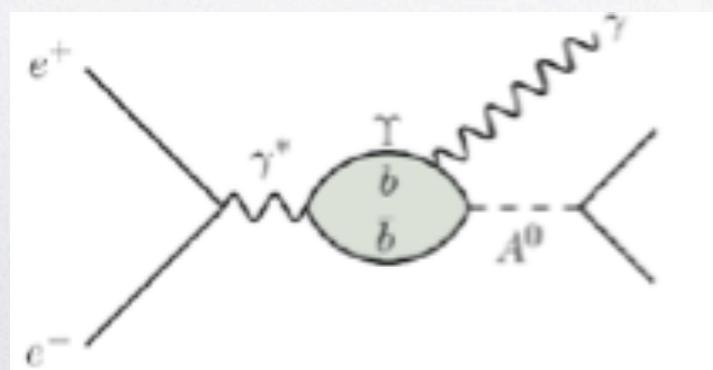
A solution: MSSM with two Higgs doublets

Need Fine tuning of EW scale

Solution: Higgs singlet (NMSSM)  $\rightarrow$  Mixing of singlet with MSSM Higgs produces CP-odd  $A^0$  PRD 76, 051105(2007)

Another interpretation is  $A^0$  is an Axion like particle arXiv: 0810.5397[hep-ex]

Possible solution for both Dark Matter puzzle AND Higgs sector

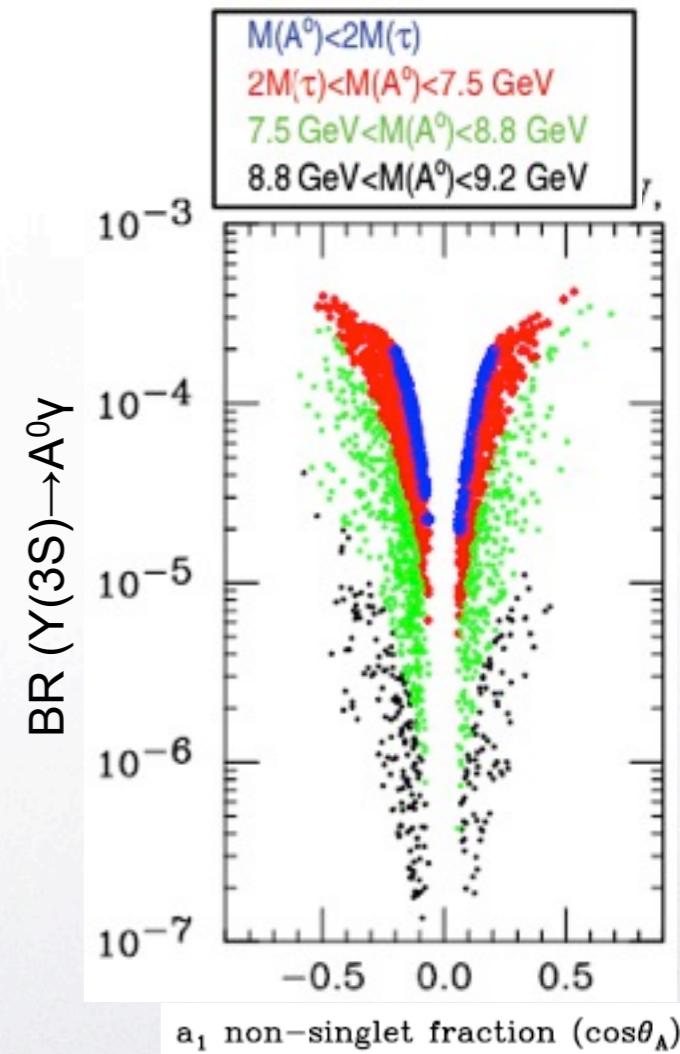


Channels studied

$\Upsilon(3S,2S) \rightarrow \gamma A^0$   $A^0 \rightarrow \mu^+ \mu^-$

$\Upsilon(3S) \rightarrow \gamma A^0$   $A^0 \rightarrow \tau^+ \tau^-$

$\Upsilon(3S) \rightarrow \gamma A^0$   $A^0 \rightarrow \text{invisible}$





# $\Upsilon(3S,2S) \rightarrow \gamma A^0, A^0 \rightarrow \mu^+ \mu^-$

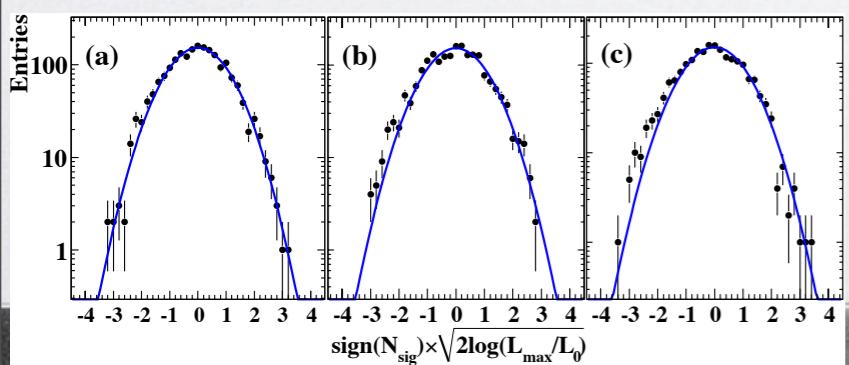


Signature:

- two charged tracks, one identified as  $\mu$
- 1 energetic photon  $E_\gamma > 200\text{MeV}$
- Kinematic fit of  $\gamma \mu \mu$  vertex

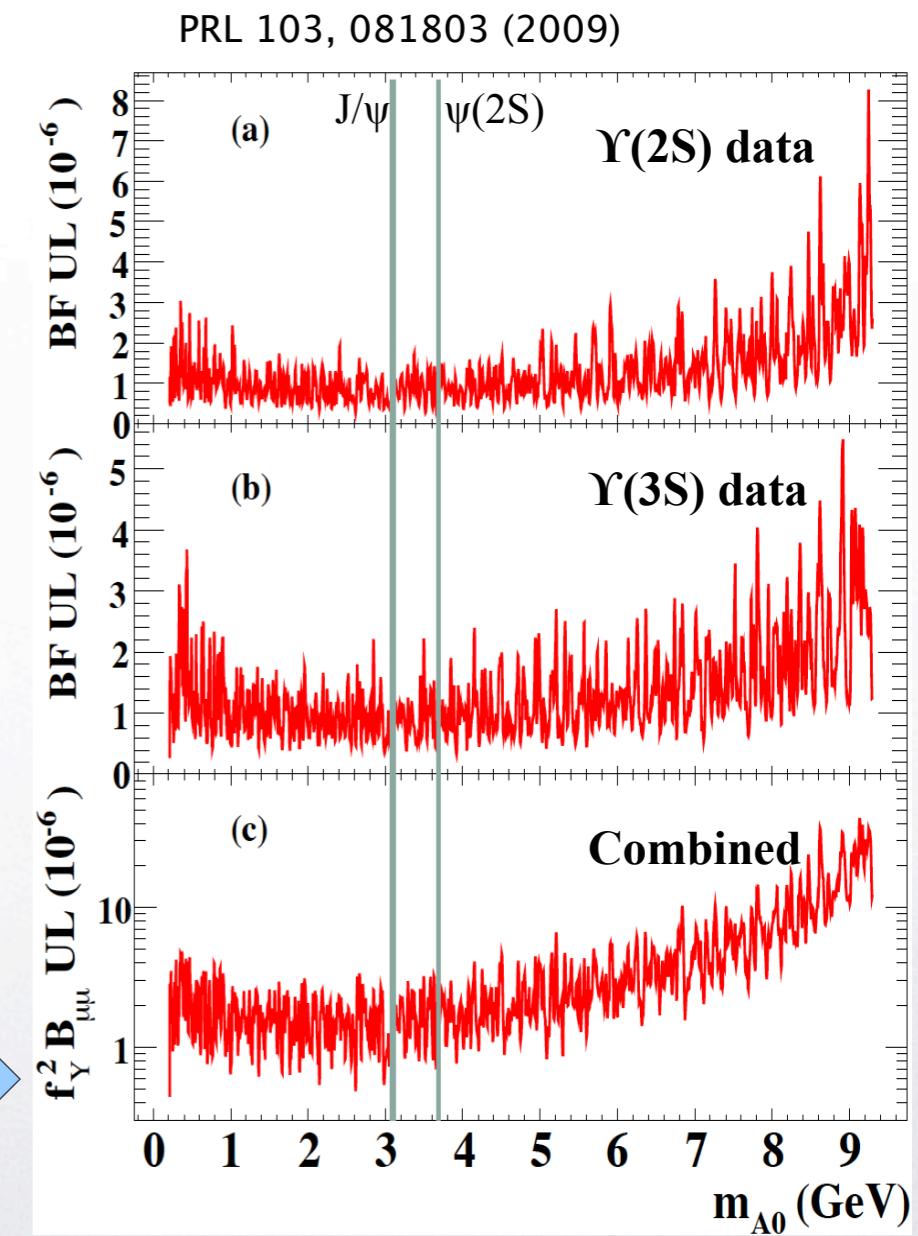
Analysis Method:

- Scan  $\mu \mu$  invariant mass for  $A^0$  peak evidence
- Background shapes taken from data accounting for known resonances
- Fit in 300 MeV window in 2-5 MeV steps (1951 points)
- Scan range  $0.212\text{ GeV} < m_{A^0} < 9.3\text{ GeV}$
- Fluctuation observed (max =  $3.1\sigma$ ) consistent with expected statistical fluctuations



Effective Yukawa coupling of  $A^0$  to bound state b-quark

$$\frac{\mathcal{B}(\Upsilon(nS) \rightarrow \gamma A^0)}{\mathcal{B}(\Upsilon(nS) \rightarrow l^+ l^-)} = \frac{f_Y^2}{2\pi\alpha} \left( 1 - \frac{m_{A^0}^2}{m_{\Upsilon(nS)}^2} \right)$$





# $\Upsilon(3S) \rightarrow \gamma A^0, A^0 \rightarrow \tau^+ \tau^-$



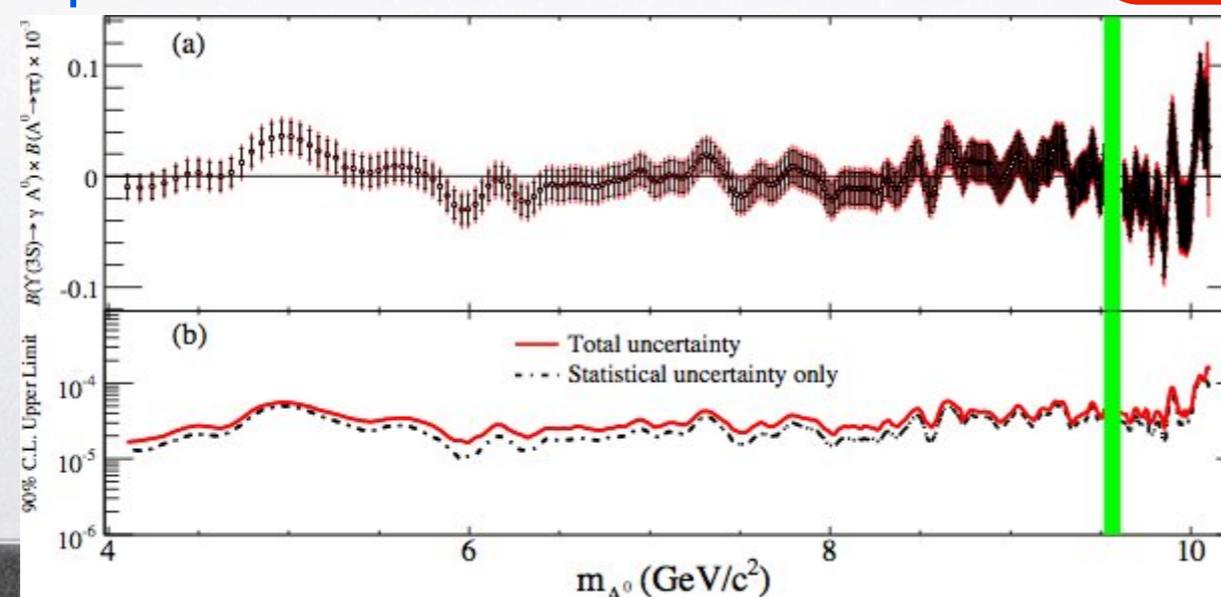
## Signature:

- Looking for  $\tau^+ \rightarrow e^+ \nu \nu$  and  $\tau^+ \rightarrow \mu^+ \nu \nu$
- $E_\gamma > 100$  MeV
- Exactly 2 tracks identified as leptons
- Missing energy precludes kinematic fit  $\rightarrow A^0$  mass obtained from  $E_\gamma$  and known CM energy
- Bkg suppression provided by 8 kinematic and angular variables, optimized in 5 ranges of  $E_\gamma$
- Bkg mostly due to radiative  $\tau$ -pair production and 2 photons processes

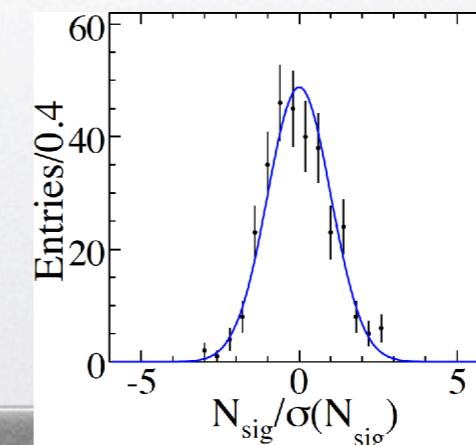
## Method:

- Scans for peaks in  $E_\gamma$  spectrum in the range  $4.03$  GeV  $< m_{A^0} < 10.10$  GeV (307 points)
  - signal represented as peaking contribution of known width
  - simultaneous fit to ee $\gamma$ ,  $\mu\mu\gamma$ , and  $e\mu\gamma$

$$\mathcal{B}(\Upsilon(3S) \rightarrow \gamma A^0) \times \mathcal{B}(A^0 \rightarrow \tau^+ \tau^-) \\ < (1.5 - 16) \times 10^{-5} (90\% CL)$$



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# $\Upsilon(3S) \rightarrow \gamma A^0, A^0 \rightarrow \text{invisible}$



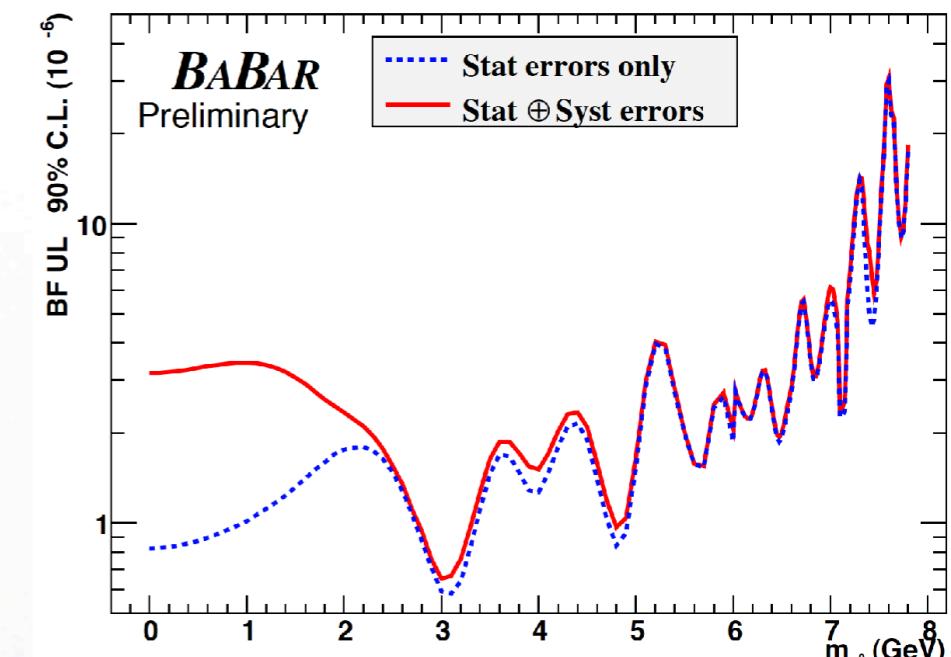
Dominant channel in scenarios with light LSP through  $A^0 \rightarrow X^0 X^0$

Selection focused on search for monoenergetic peak from photon:

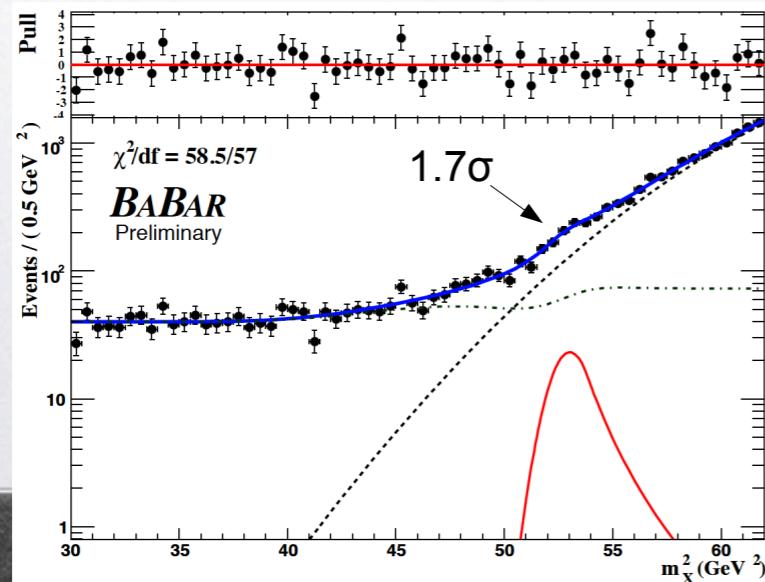
- photon fiducial and quality requirement
- requires single photon trigger, naturally split in low and high energy trigger (different bkgs:  $e e \rightarrow \gamma \gamma$  and  $e e \rightarrow \gamma (e e)$ )

Signal extracted from unbinned maximum likelihood fit to  $m_X^2$  in steps of 0.1 GeV

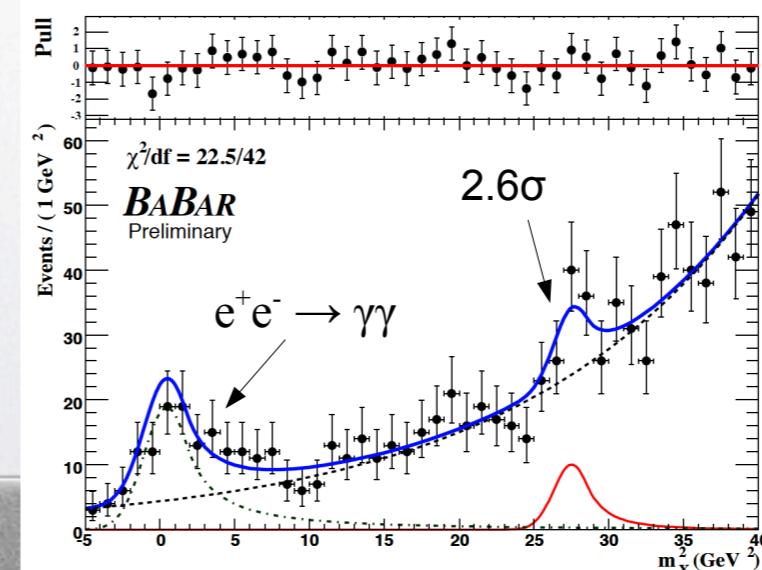
$$\mathcal{B}(\Upsilon(3S) \rightarrow \gamma A^0) \times \mathcal{B}(A^0 \rightarrow \text{invisible}) < (0.7 - 31) \times 10^{-6} (90\% CL) \quad m_{A^0} \leq 7.8 \text{ GeV}$$



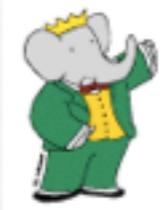
High mass region



Low mass region

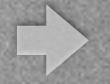
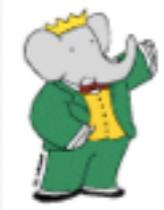


arXiv:0808.0017 preliminary



# Conclusions

- B-Factories have proven to be versatile machines for the search for new physics in over a decade
- New physics may be looked for through different processes ranging from B physics,  $\tau$  decays and  $\Upsilon(nS)$  decays
- Thanks to the high luminosity achieved and the constant development of new analysis techniques results have greatly improved over the years
- Many bounds on NP models parameters were set thanks to B-Factories
- Many new results were presented in the last year and many more are incoming



*Thanks for your  
attention*



# Back up