

Charm Physics at BaBar and Belle



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XVIII International Workshop on Deep-Inelastic Scattering
and Related Subjects (DIS2010)

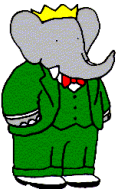
Florence, Italy

19th - 23rd April 2010

Outline

- ♦ Asymmetric B-factories
- ♦ Extraction of the decay constant f_{D_s}
- ♦ Charm mixing and CPV: SM predictions and NP
- ♦ D^0 Mixing searches
- ♦ CPV searches
- ♦ Conclusions

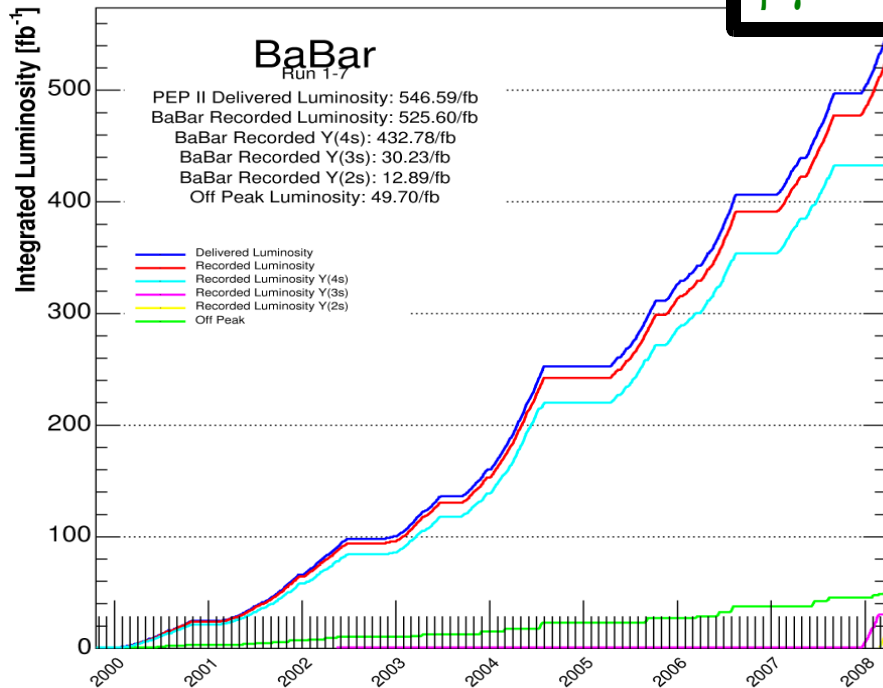
Asymmetric B-factories



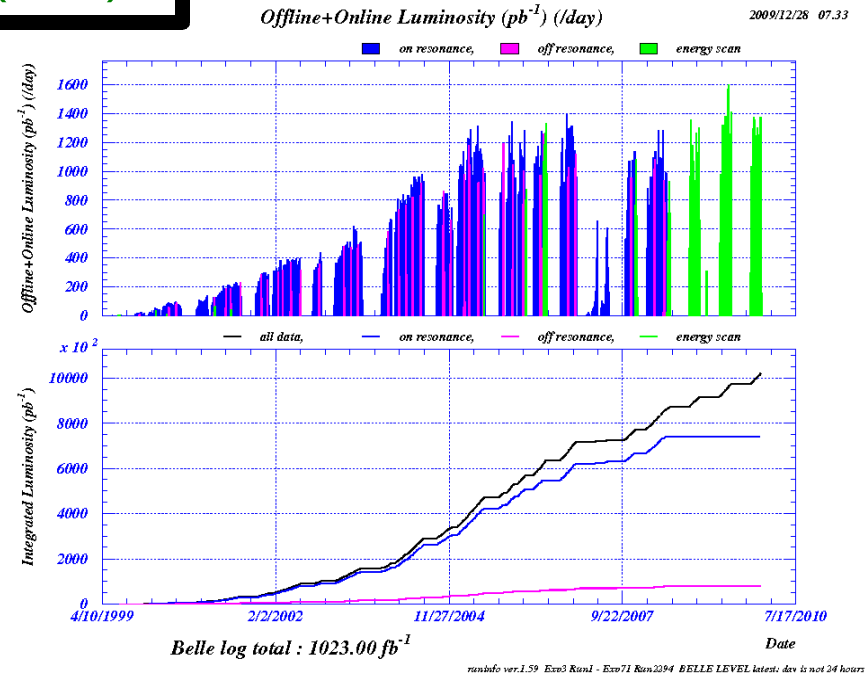
BaBar detector

Y(4S) 10.58 GeV
 $\beta\gamma = 0.55$ (PEP-II)
 $\beta\gamma = 0.425$ (KEK)

Belle detector



1999 - 2008
 $\sim 530 \text{ fb}^{-1}$
 $> 600\text{M } c\bar{c} \text{ events}$

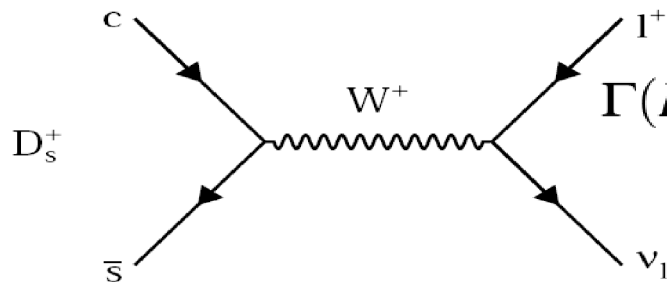


1999 - 2009
 $\sim 1.02 \text{ ab}^{-1}$
 $> 925\text{M } c\bar{c} \text{ events}$

$$\sigma(e^+e^- \rightarrow c\bar{c}) \sim 1.3\text{nb}$$

$$\sigma(e^+e^- \rightarrow b\bar{b}) \sim 1.1\text{nb}$$

Extraction of the decay constant f_{D_s}



$$\Gamma(D_s^+ \rightarrow l^+ \nu_l) = \frac{G_F^2}{8\pi} M_{D_s^+}^3 \left(\frac{m_l}{M_{D_s^+}} \right)^2 \left(1 - \frac{m_l^2}{M_{D_s^+}^2} \right)^2 |V_{cs}|^2 f_{D_s^+}^2$$

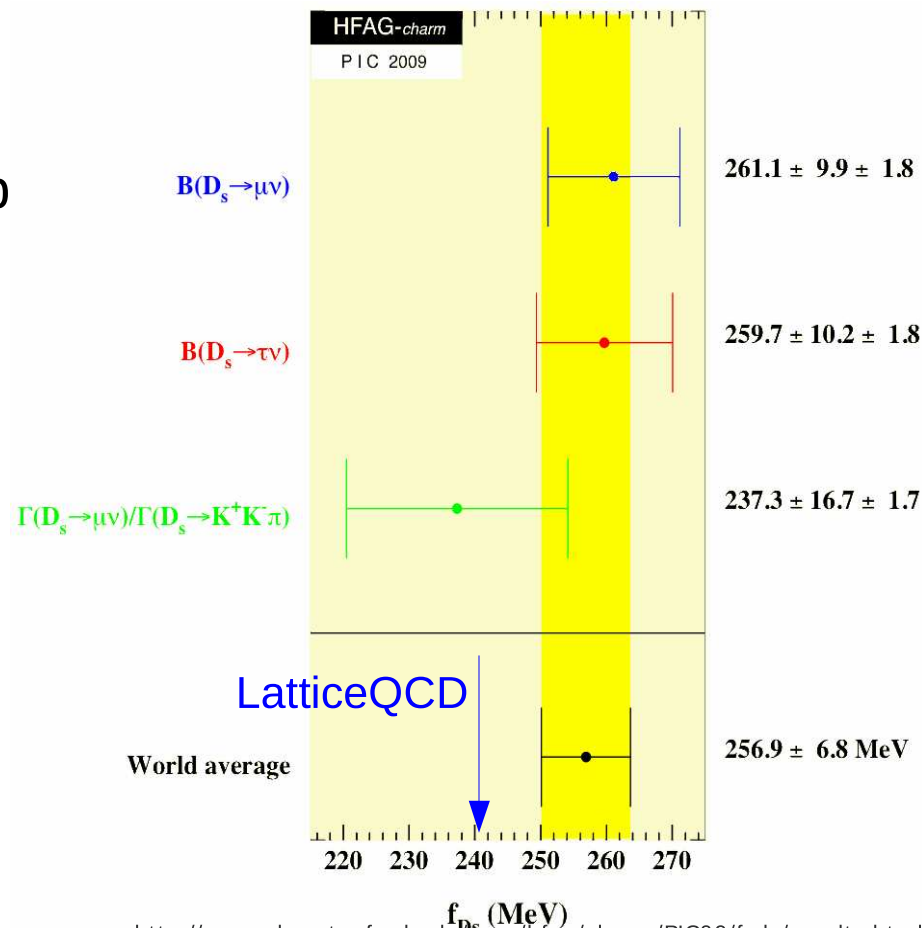
Helicity suppression Phase-space

- ✓ The decay constant contains the information on the probability for the quarks to have no separation (overlap of the wave functions for the light and heavy quarks)
- ✓ To be compared with Lattice QCD expectations. Currently 2.1σ discrepancy

$f_{D_s} = 241 \pm 3 \text{ MeV}$. Follana et.al.

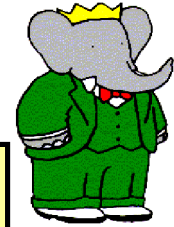
PRL100,062002(2008)

- ✓ Any difference can be a hint for NP, i.e. Charged Higgs, leptoquarks, SUSY, W' ,





f_{D_S} from $D_S^+ \rightarrow \tau^+ \nu_\tau, \quad \tau^+ \rightarrow e^+ \nu_e \bar{\nu}_\tau$



$L = 427 \text{ fb}^{-1}$
arXiv:1003.306v2

$$e^+ e^- \rightarrow c \bar{c} \rightarrow D_S^{*+} \bar{D}_{\text{TAG}} \bar{K} X$$

$$D_S^{*+} \rightarrow D_S^+ \gamma$$

$$D_S^+ \rightarrow l^+ \nu_l$$

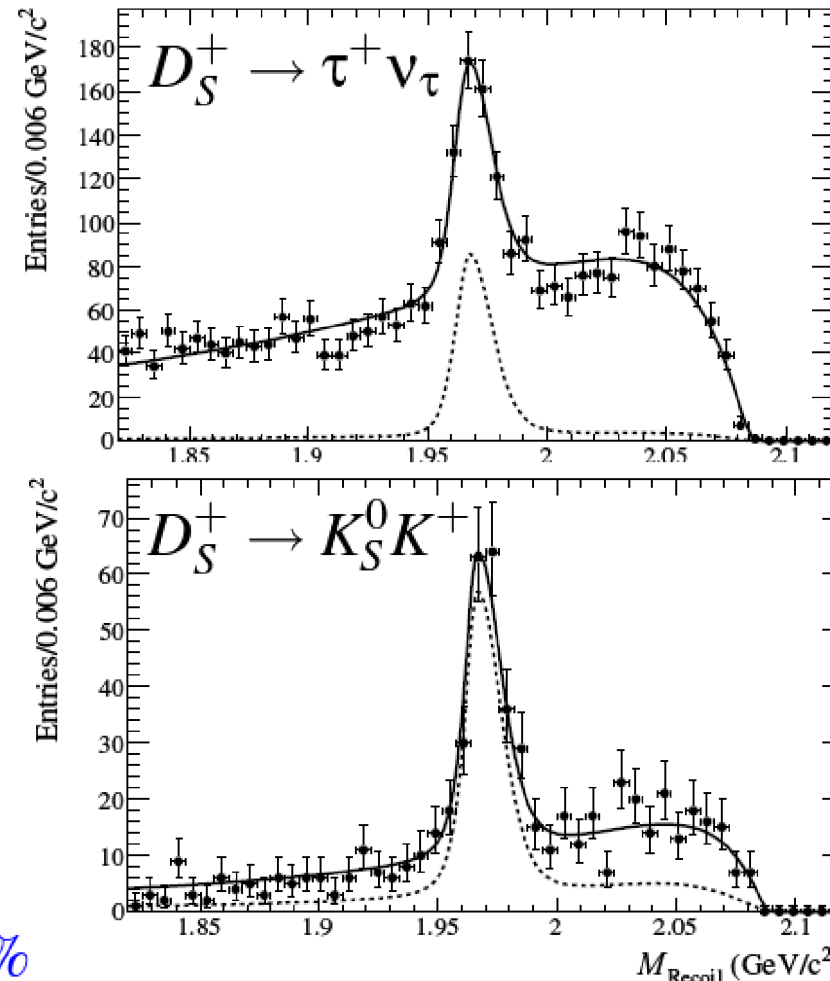
\bar{D}_{TAG} reconstruction in several hadronic modes, to suppress large background from non-charm continuum

\bar{K} is a single meson required to assure final state strangeness balance

Branching fraction extracted from the yields ratio with the normalization decay $D_S^+ \rightarrow K_S^0 K^+$

$$\mathcal{B}(D_S^+ \rightarrow \tau^+ \nu_\tau) = (4.5 \pm 0.5 \pm 0.4 \pm 0.3) \%$$

$$f_{D_S} = (233 \pm 13 \pm 10 \pm 7) \text{ MeV} \quad \text{Uncertainties from theoretical inputs}$$



Charm mixing and CPV

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$$

Physical states are a linear combination of flavor eigenstates. **Mixing can occur because flavor and mass eigenstates differ.**

Mixing parameters

$$x = \frac{m_1 - m_2}{\Gamma}, \quad y = \frac{\Gamma_1 - \Gamma_2}{2\Gamma}$$

The decay amplitudes at $t=0$ are

$$A_f = \langle f | \mathcal{H} | D^0 \rangle, \quad \bar{A}_f = \langle f | \mathcal{H} | \bar{D}^0 \rangle$$

CPV in decay

$$\left| \frac{\bar{A}_f}{A_f} \right| \neq 1$$

CPV in mixing

$$\left| \frac{q}{p} \right| \neq 1$$

CPV in interference

$$\phi_f = \arg \left(\frac{q}{p} \frac{\bar{A}_f}{A_f} \right) \neq 0, \pi$$

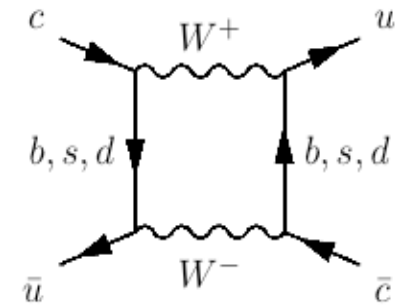
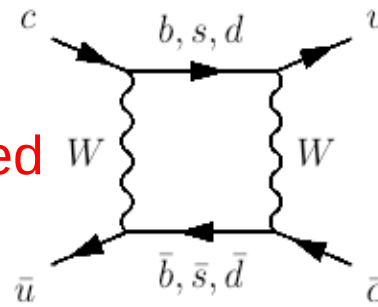
Predicted to be small in SM. **NP?**

Squared time-dependent amplitude, allowing for mixing and CPV

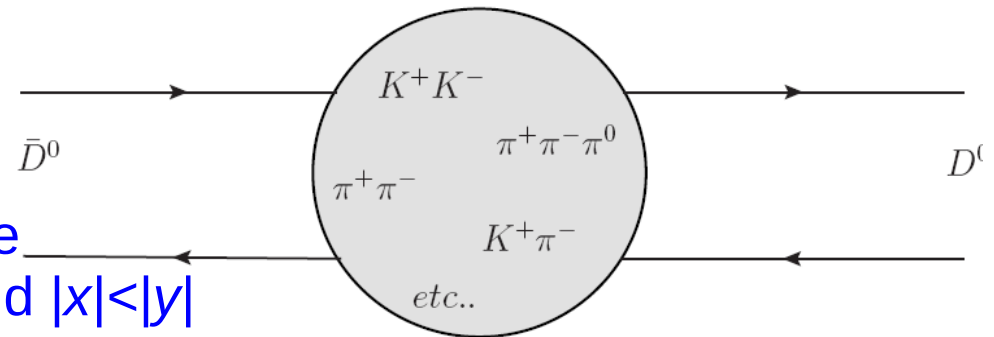
$$\begin{aligned} |A_f(t)|^2 = & \frac{1}{2} e^{-\Gamma t} \left\{ \left(|A_f|^2 + \left| \frac{q}{p} \right|^2 |\bar{A}_f|^2 \right) \cosh(-\Gamma y t) + 2 \operatorname{Re} \left(A_f^* \bar{A}_f \frac{q}{p} \right) \sinh(-\Gamma y t) \right. \\ & \left. + \left(|A_f|^2 - \left| \frac{q}{p} \right|^2 |\bar{A}_f|^2 \right) \cos(\Gamma x t) + 2 \operatorname{Im} \left(A_f^* \bar{A}_f \frac{q}{p} \right) \sin(\Gamma x t) \right\} \end{aligned}$$

SM predictions and NP

- ✓ Short-distance contributions
 - ✓ From mixing box diagrams
 - ✓ b quarks are CKM suppressed
 - ✓ s and d quarks are GIM suppressed
 - ✓ Contributes mainly to x
 - ✓ SM predicts mixing to be small



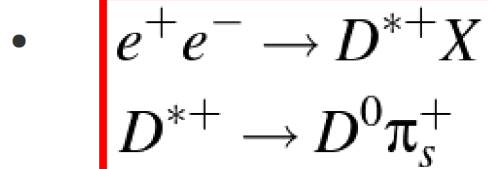
- ✓ Long-distance contributions
 - ✓ Via hadronic intermediate states
 - ✓ Expected to dominate
 - ✓ Non-perturbative and hard to calculate
 - ✓ Predictions for x,y range $(10^{-4}$ - $10^{-2})$ and $|x| < |y|$
 - ✓ Still a small effect



- ✓ New Physics
 - ✓ NP through new particles in the loops
 - ✓ If $|x| \gg |y|$ this could be a hint of NP
 - ✓ 2HDM, Heavy Down Quark, Tree level FCNC, SUSY, ...

D^0 production and selection

- D^0 production



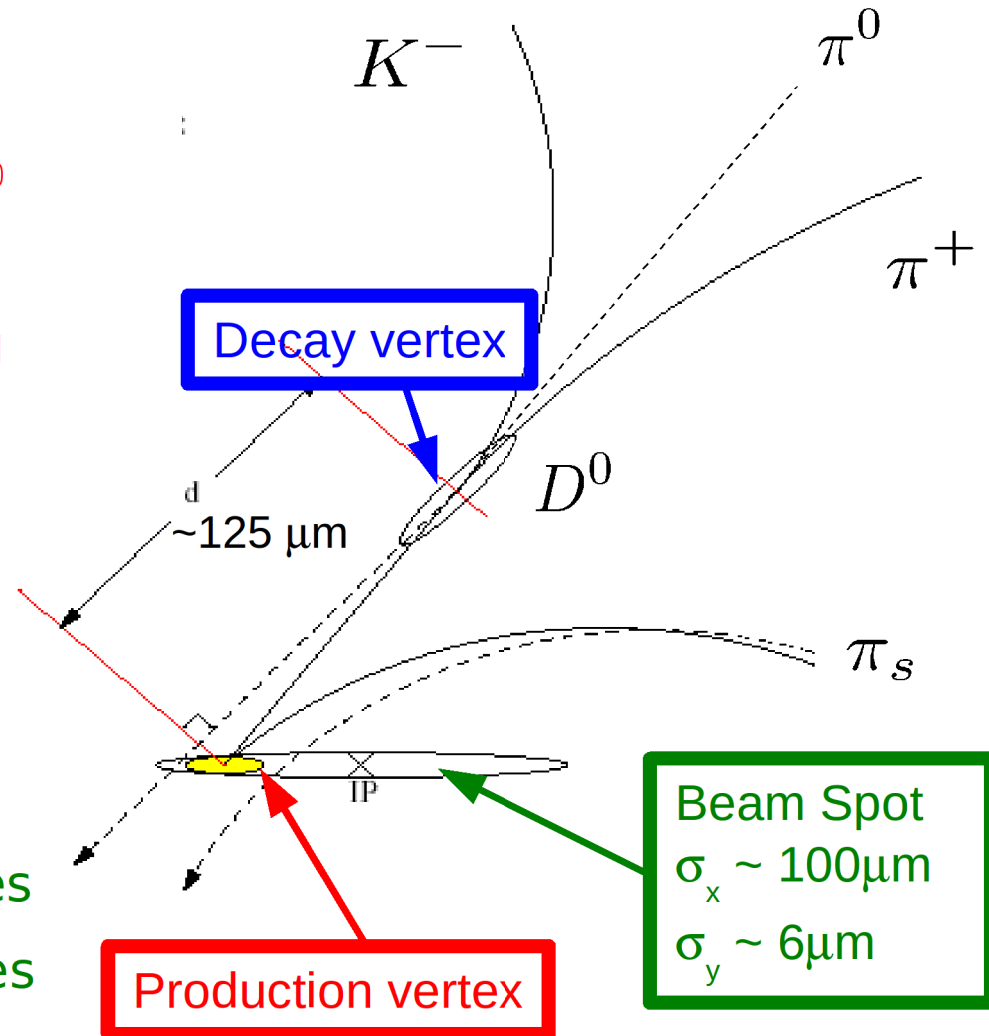
- Charge of π_s determines the D^0 flavor

- $p_{D^0}^* > 2.5\text{GeV}$ rejects BB bkg

- D^0 decay












- Cabibbo favored (CF), e.g. $K^-\pi^+\pi^0$ and Doubly Cabibbo suppressed (DCS), e.g. $K^+\pi^-\pi^0$ decay products

- Beam spot constraint determines proper time and σ_t , and improves resolution in m_D and Δm








Charm mixing and CPV searches

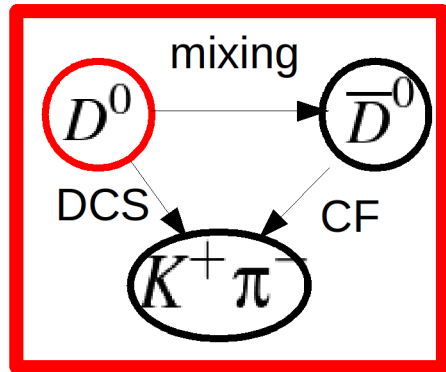
Mixing

$D^0 \rightarrow K^+ \pi^-$	WS decay	 	PRL 98 :211802,2007. L = 384 fb ⁻¹ PRL 96 :151801,2006. L = 400 fb ⁻¹ PRL 100 :121802,2008. L = 1.5 fb ⁻¹
$D^0 \rightarrow K^+ \pi^- \pi^0$	WS decay		PRL 103 :211801,2009. L = 384 fb ⁻¹
$D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$	Lifetime ratio tagged		PRD 78 ,011105(R),2008. L = 384fb ⁻¹ PRL 98 ,211803,2007. L = 540 fb ⁻¹
$D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$	Lifetime ratio untagged		PRD 80 ,071103(R),2009. L = 384 fb ⁻¹ 
$D^0 \rightarrow K^{(*)-} l^+ \nu$	Semileptonic		PRD 77 :112003,2008. L = 492 fb ⁻¹ PRD 76 :014018,2007. L = 344 fb ⁻¹
$D^0 \rightarrow K_S^0 K^+ K^-$	Lifetime ratio		PRD 80 :052006,2009. L = 673 fb ⁻¹
$D^0 \rightarrow K_S^0 \pi^+ \pi^-$	Dalitz-Plot analysis		PRL 98 :211803,2007. L = 540 fb ⁻¹
$D^0 \rightarrow K_S^0 h^+ h^-$	Dalitz-Plot analysis		Preliminary. L = 468 fb ⁻¹ 

CPV

$D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$	Time Integrated		PRL 100 :061803,2008. L = 385fb ⁻¹ PLB 670 :190-195,2008. L = 540 fb ⁻¹
$D^0 \rightarrow \pi^0 h^+ h^-$	Time Integrated		PRD 78 :051102,2008. L = 385 fb ⁻¹
$D^0 \rightarrow \pi^+ \pi^- \pi^0$	Time Integrated		PLB 662 :102-110,2008. L = 532 fb ⁻¹
$D^0 \rightarrow K^+ K^- \pi^+ \pi^-$	T-odd correlations		arXiv:1003.3397. L = 470fb ⁻¹ 

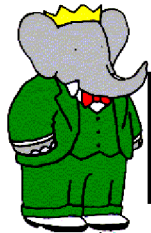
WS hadronic decays $D^0 \rightarrow K^+ \pi^-$



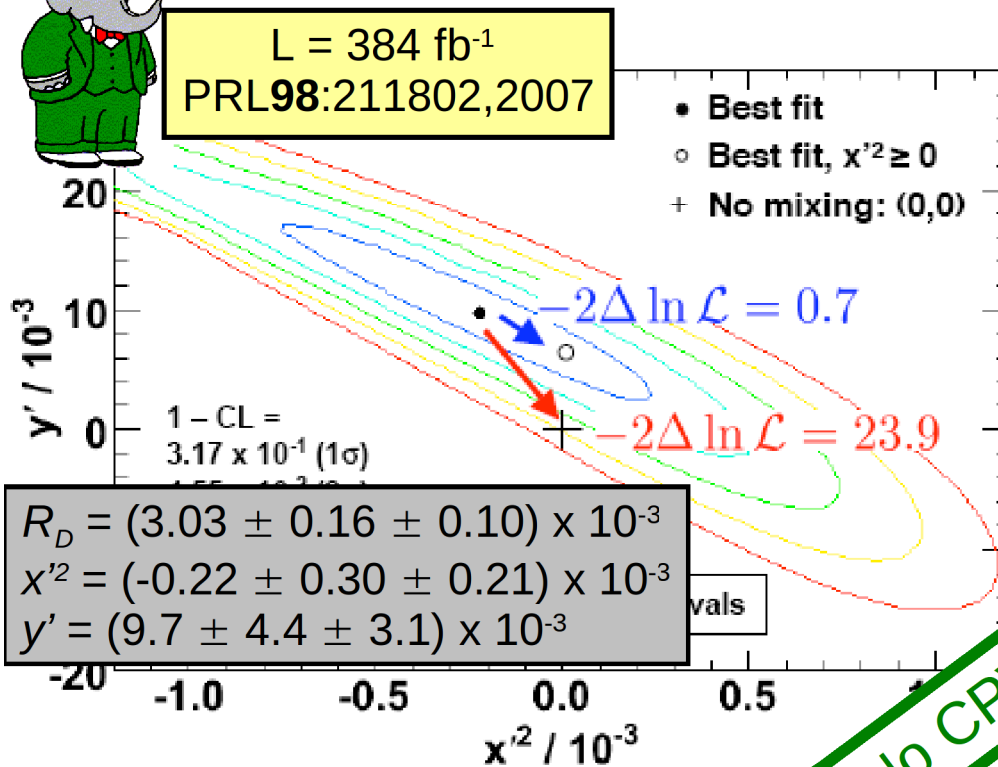
$$\Gamma_{WS}(t) = e^{-\Gamma t} \left(\underbrace{R_D}_{\text{DCS}} + \underbrace{y' \sqrt{R_D}}_{\text{Interference}} (\Gamma t) + \underbrace{\frac{x'^2 + y'^2}{4}}_{\text{Mixing}} (\Gamma t)^2 \right)$$

$$\begin{aligned} x' &= x \cos \delta_{K\pi} + y \sin \delta_{K\pi} \\ y' &= -x \sin \delta_{K\pi} + y \cos \delta_{K\pi} \end{aligned}$$

$$R_D = \left| \frac{A(D^0 \rightarrow K^+ \pi^-)}{A(\bar{D}^0 \rightarrow K^+ \pi^-)} \right|^2$$



L = 384 fb⁻¹
PRL98:211802,2007

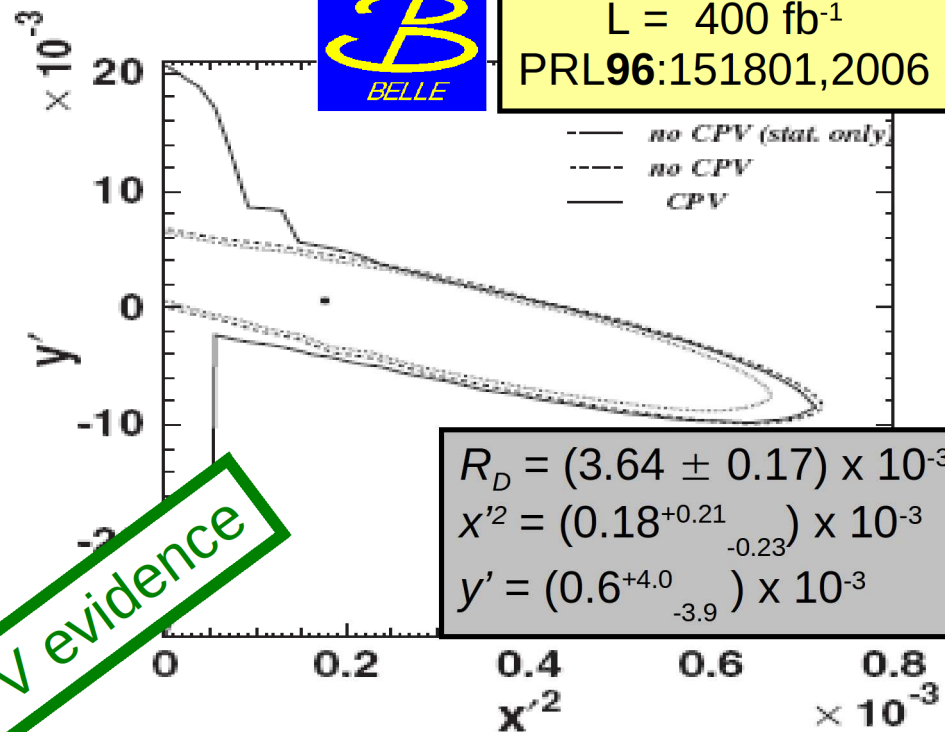


$$\begin{aligned} R_D &= (3.03 \pm 0.16 \pm 0.10) \times 10^{-3} \\ x'^2 &= (-0.22 \pm 0.30 \pm 0.21) \times 10^{-3} \\ y' &= (9.7 \pm 4.4 \pm 3.1) \times 10^{-3} \end{aligned}$$

Mixing @ 3.9σ



L = 400 fb⁻¹
PRL96:151801,2006



$$\begin{aligned} R_D &= (3.64 \pm 0.17) \times 10^{-3} \\ x'^2 &= (0.18^{+0.21}_{-0.23}) \times 10^{-3} \\ y' &= (0.6^{+4.0}_{-3.9}) \times 10^{-3} \end{aligned}$$

Mixing @ 2σ

No CPV evidence

Lifetime ratio $D^0 \rightarrow h^+ h^-$ vs. $D^0 \rightarrow K^- \pi^+$

- ✓ Mixing and CPV alter decay time distributions of CP eigenstates.
- ✓ ~ exponential distributions with effective lifetimes τ_{hh}^\pm

Mixing and CP observables

$$y_{CP} = y \cos \phi_f = \frac{\tau_{K\pi}}{\langle \tau_{hh} \rangle} - 1$$

$$\Delta Y = -A_\Gamma = x \sin \phi_f = \frac{\tau_{K\pi}}{\langle \tau_{hh} \rangle} A_\tau$$

$$\langle \tau_{hh} \rangle = \frac{\tau_{hh}^+ + \tau_{hh}^-}{2}$$

$$A_\tau = \frac{\tau_{hh}^+ - \tau_{hh}^-}{\tau_{hh}^+ + \tau_{hh}^-}$$

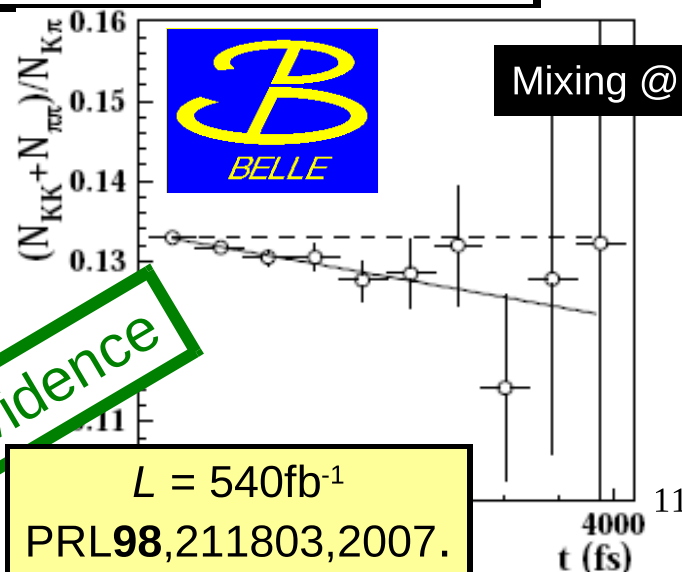
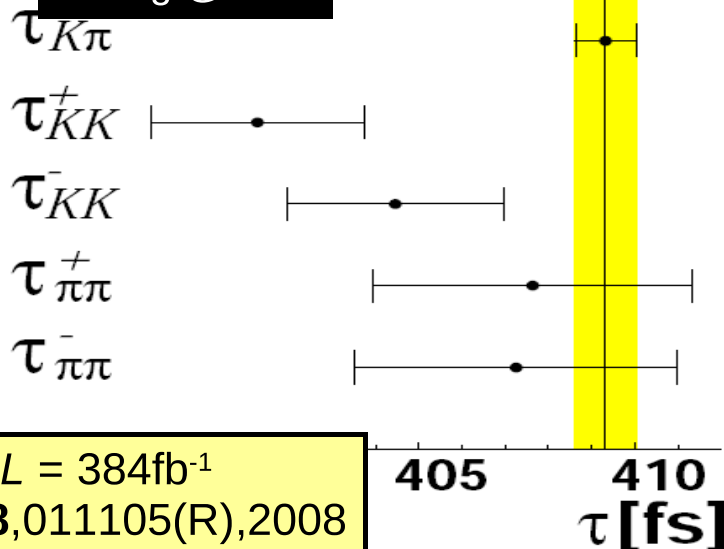
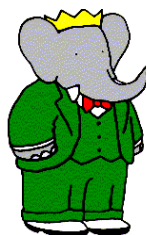
$$\tau_{hh}^+ = \tau(D^0 \rightarrow h^+ h^-)$$

$$\tau_{hh}^- = \tau(\bar{D}^0 \rightarrow h^+ h^-)$$

$$\tau_{K\pi} = \tau(D^0 \rightarrow K^- \pi^+)$$

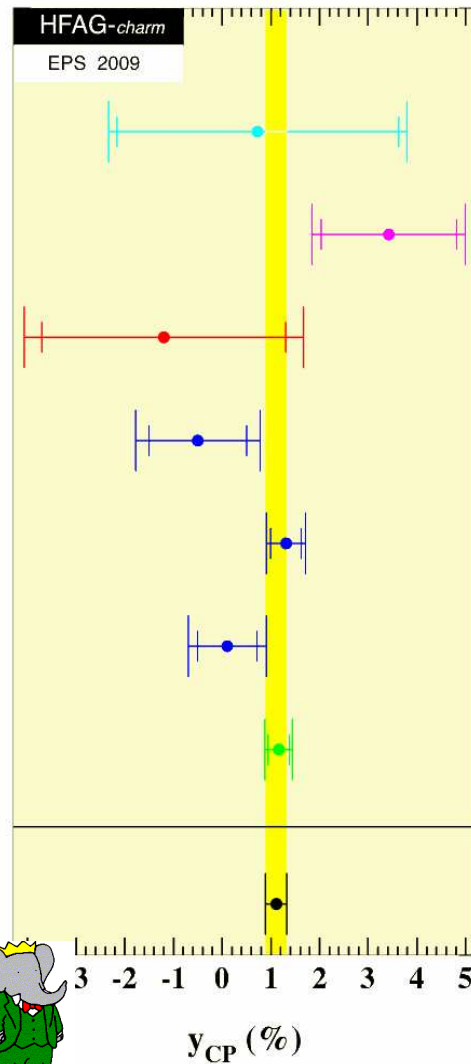
$y_{CP} = y$ and $\Delta Y = 0$ if CP conserved, $y_{CP} = 0$ if no mixing

Mixing @ 3σ



No CPV evidence

Lifetime ratio $D^0 \rightarrow h^+ h^-$ vs. $D^0 \rightarrow K^- \pi^+$



$0.732 \pm 2.890 \pm 1.030 \%$

$3.420 \pm 1.390 \pm 0.740 \%$

$-1.200 \pm 2.500 \pm 1.400 \%$

$-0.500 \pm 1.000 \pm 0.800 \%$

$1.310 \pm 0.320 \pm 0.250 \%$

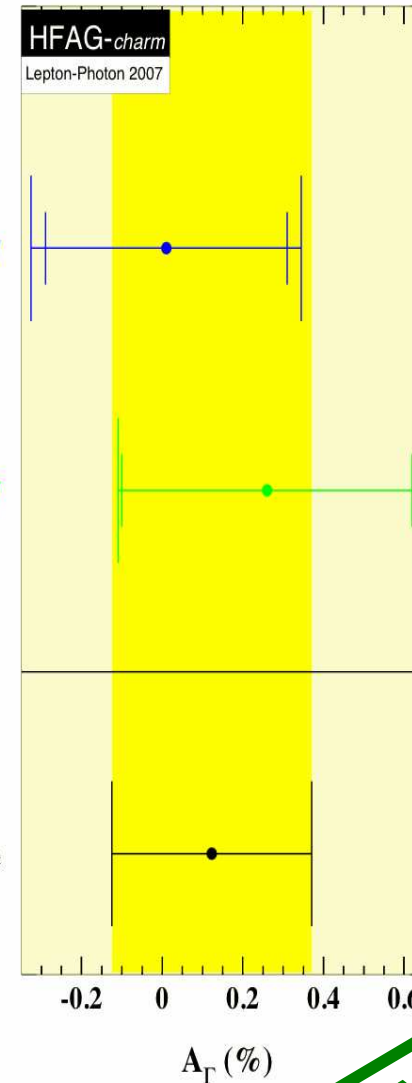
$0.110 \pm 0.610 \pm 0.520 \%$

$1.160 \pm 0.220 \pm 0.180 \%$

$1.107 \pm 0.217 \%$

World average

$y_{CP} (\%)$



$0.010 \pm 0.300 \pm 0.150 \%$

$0.260 \pm 0.360 \pm 0.080 \%$

$0.123 \pm 0.248 \%$

World average

$A_T (\%)$



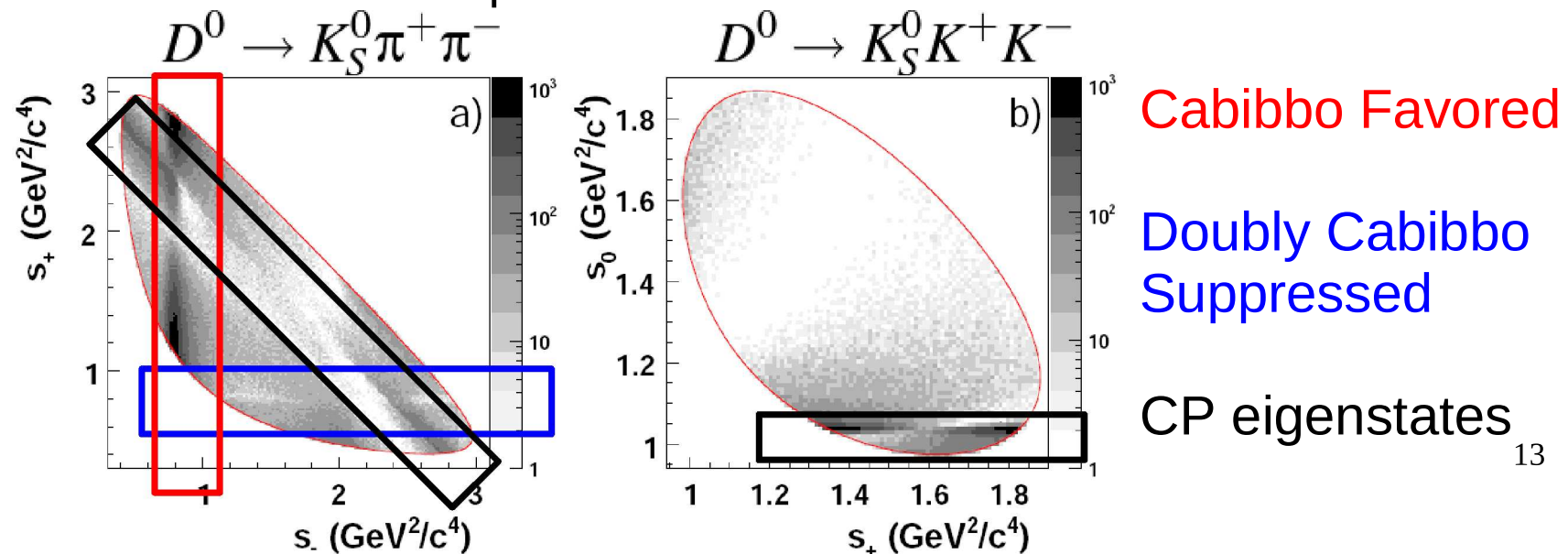
$L = 384 \text{ fb}^{-1}$
PRD80,071103(R),2009.

Combined tagged + untagged,
mixing significance of 4.1σ

No CPV evidence

Dalitz-Plot analysis of $D^0 \rightarrow K_S^0 h^+ h^-$ ($h=K, \pi$)

- ✓ Sensitivity to mixing arises from variation of the Dalitz Plot distribution with the proper time.
- ✓ Gives access to x and y directly without ambiguities
 - ✓ Sensitivity comes from the interference between CF and DCS, and CP eigenstates
 - ✓ D^0 and \bar{D}^0 decays fall into the same Dalitz plot (assuming CP conserved in the decay) $\bar{A}(m_{K_S^0 h^+}^2, m_{K_S^0 h^-}^2) = A(m_{K_S^0 h^+}^2, m_{K_S^0 h^+}^2)$
- ✓ D^0 decay amplitudes depend on the Dalitz Plot position
- ✓ A detailed study of the Dalitz model is needed
- ✓ Extraction of the mixing parameters along with proper time parameters and the D^0 Dalitz-Plot amplitude



Dalitz-Plot analysis of $D^0 \rightarrow K_S h^+ h^-$ ($h=K, \pi$)

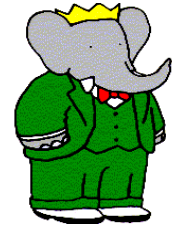


$L = 540\text{fb}^{-1}$
PRL98:211803(2007)

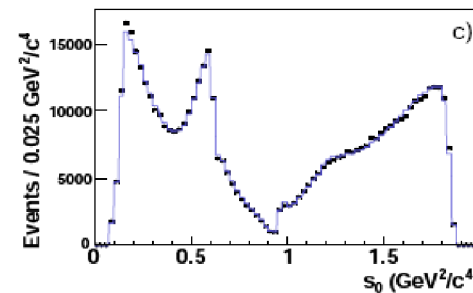
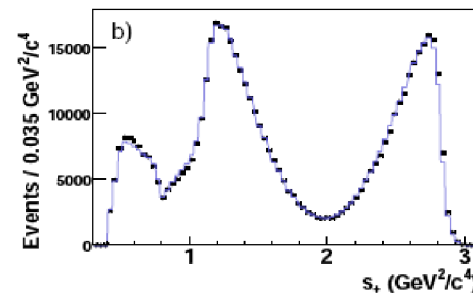
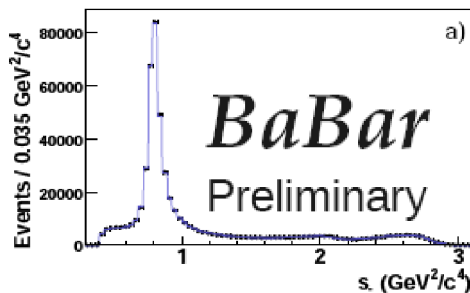
- $K_S \pi^+ \pi^-$ only
- All resonances parametrized using BW-like distributions plus a NR term.
- Mixing fit in the CP conserving case and allowing for CPV



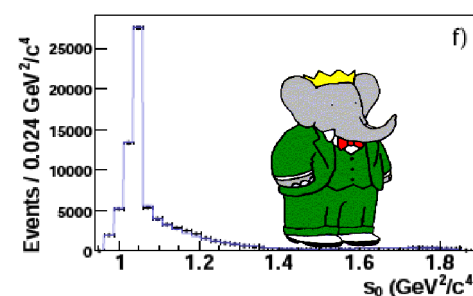
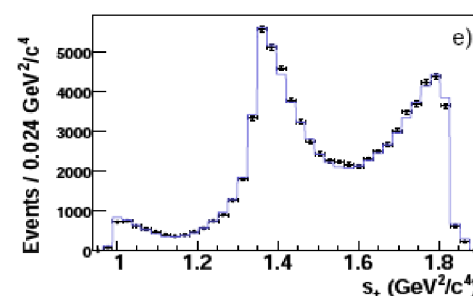
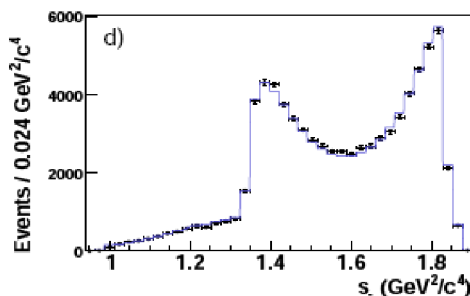
$L = 468\text{fb}^{-1}$
Preliminary



- Combined $K_S \pi^+ \pi^-$ and $K_S K^+ K^-$
- K-matrix formalism implemented to describe $\pi\pi$ and $K\pi$ S-waves
PRD78:034023(2008).
- Assumes CP conservation (CPV test against mixing has been done w/o CPV evidence)



$$D^0 \rightarrow K_S^0 \pi^+ \pi^-$$



$$D^0 \rightarrow K_S^0 K^+ K^-$$

Dalitz-Plot analysis of $D^0 \rightarrow K_S h^+ h^-$ ($h=K, \pi$)



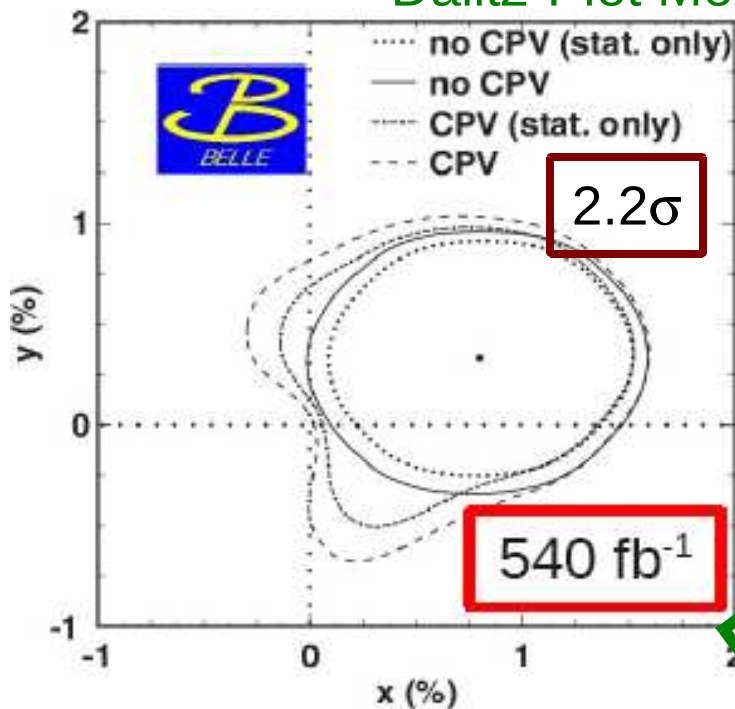
$$x = (0.80 \pm 0.29^{+0.09+0.10}_{-0.07-0.14}) \cdot 10^{-2}$$

$$y = (0.33 \pm 0.24^{+0.08+0.06}_{-0.12-0.08}) \cdot 10^{-2}$$

$$|q/p| = 0.86^{+0.30+0.06}_{-0.29-0.03} \pm 0.08$$

$$\phi_f = -14^{+16+6-2}_{-18-3-4}^\circ$$

Dalitz-Plot Model



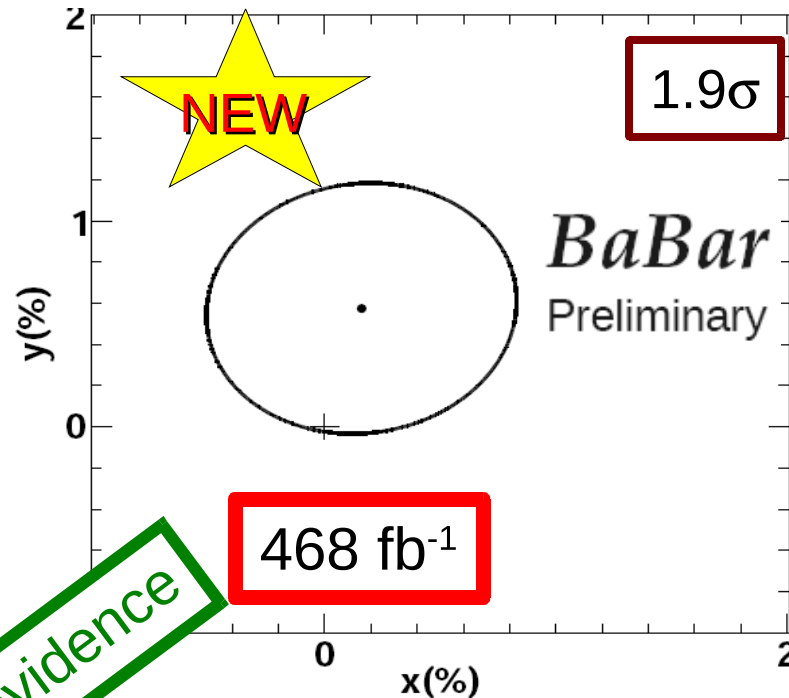
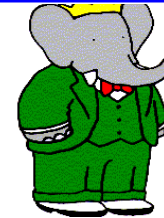
$$x = (0.16 \pm 0.23 \text{ (stat)} \pm 0.12 \text{ (exp)} \pm 0.08 \text{ (mod)}) \cdot 10^{-2} \text{ BaBar}$$

$$y = (0.57 \pm 0.20 \text{ (stat)} \pm 0.13 \text{ (exp)} \pm 0.07 \text{ (mod)}) \cdot 10^{-2} \text{ Preliminary}$$

Signal yield (purity > 98%)

$K_S \pi^+ \pi^-$ 540789 evts

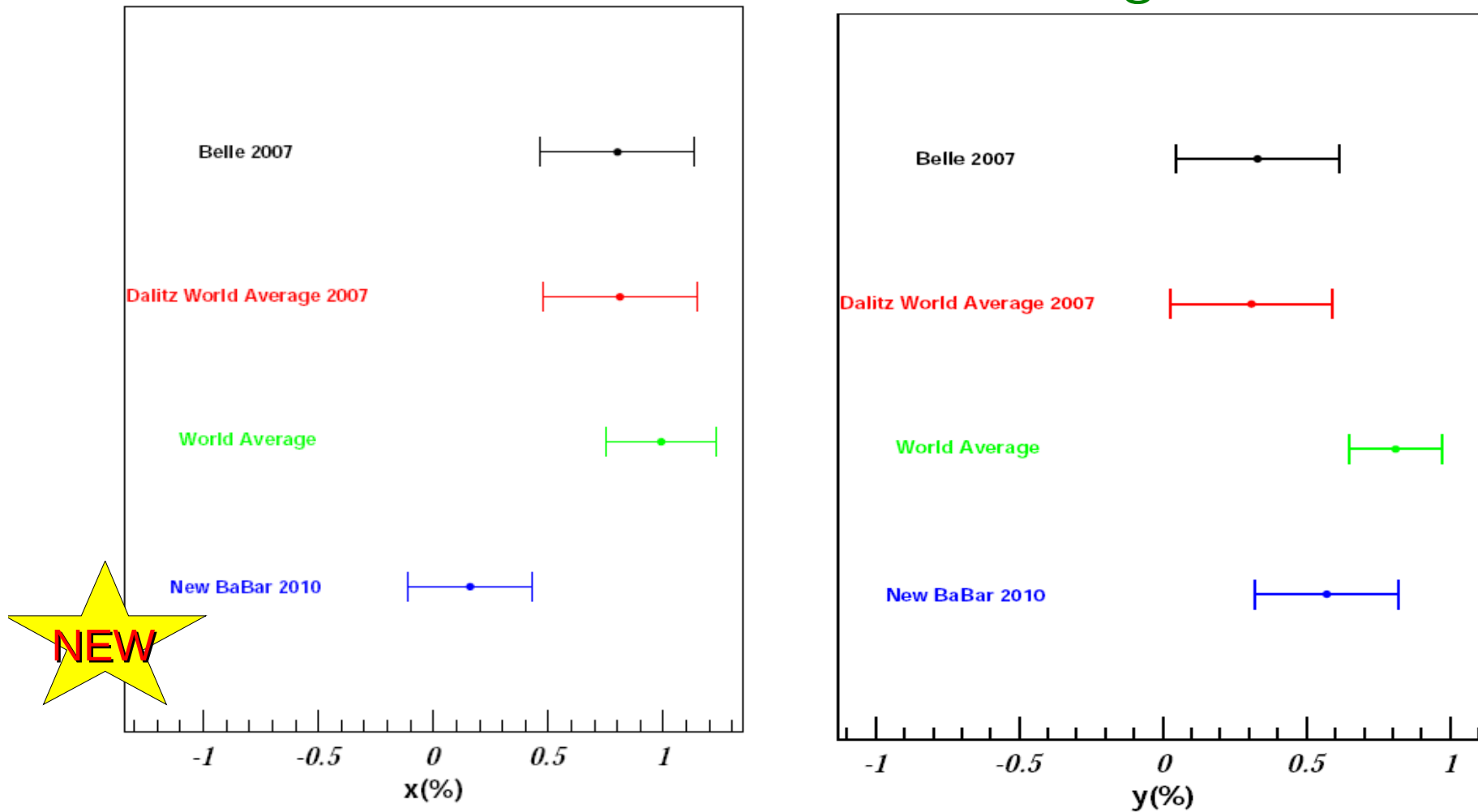
$K_S K^+ K^-$ 79908 evts



No CPV evidence

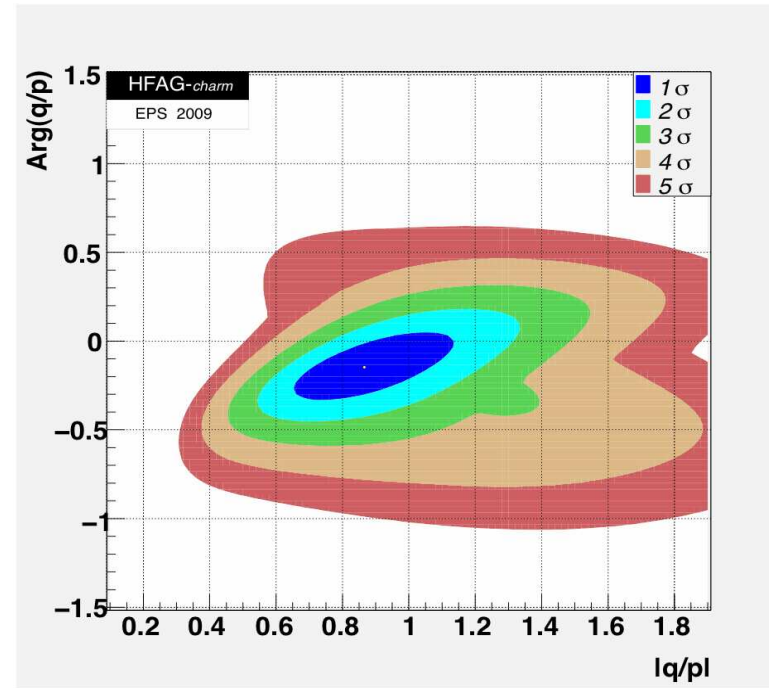
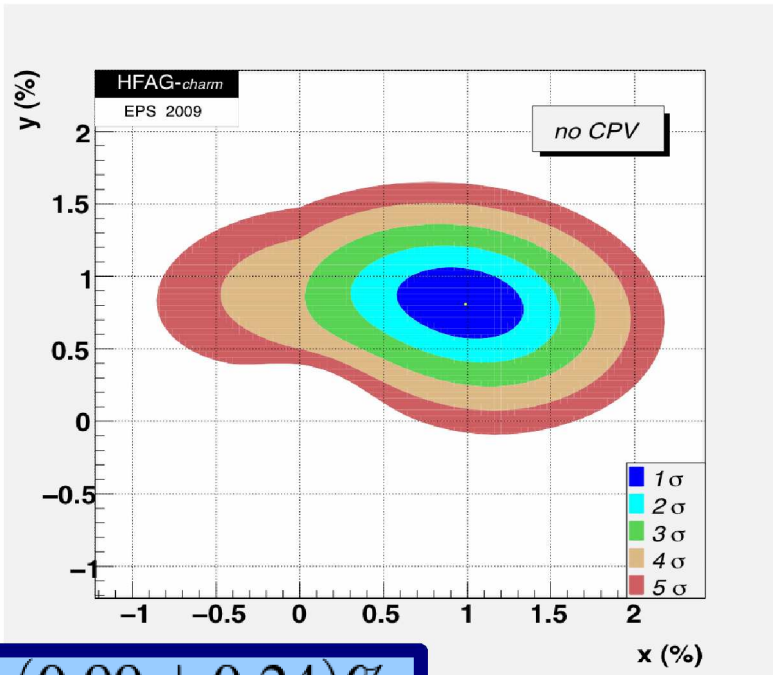
Most precise single direct measurement of x and y

Dalitz-Plot analysis of $D^0 \rightarrow K_S h^+ h^-$ ($h=K, \pi$)



- Preliminary BaBar result favors lower value for x than for y and the central values move toward SM range

HFAG global average



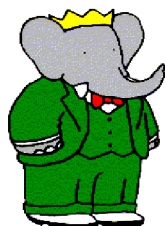
$$x = (0.99 \pm 0.24)\%$$
$$y = (0.81 \pm 0.16)\%$$

- Preliminary BaBar Dalitz-Plot result not included yet
- All available mixing and CPV results are compatible
- No single mixing measurement exceeds 5σ . A quest for future experiments like LHCb and the Super B-factories
- Combined HFAG mixing significance 10.2σ .
- No CPV evidence (time-dependent analysis) in decay, mixing or interference

Time-Integrated CPV $D^0 \rightarrow K^+K^-(\pi^0), \pi^+\pi^-(\pi^0)$

- CP-even final states. Single-Cabibbo-suppressed (SCS) modes.
- CPV in these modes is predicted to be $\sim 10^{-4}$ - 10^{-5} in SM.
- Measurement of the asymmetries of the partial decay widths.
- Asymmetry includes the 3 possible CP violation sources: mixing, decay and interference

$$a_{CP}^{hh} = \frac{\Gamma(D^0 \rightarrow h^- h^+) - \Gamma(\bar{D}^0 \rightarrow h^+ h^-)}{\Gamma(D^0 \rightarrow h^- h^+) + \Gamma(\bar{D}^0 \rightarrow h^+ h^-)}$$



Data sample 385fb⁻¹
PRL**100**:061803(2008)

$$a_{CP}^{KK} = (0.00 \pm 0.34 \text{ (stat.)} \pm 0.13 \text{ (syst.)})\%$$

$$a_{CP}^{\pi\pi} = (-0.24 \pm 0.52 \text{ (stat.)} \pm 0.22 \text{ (syst.)})\%$$

Data sample 385fb⁻¹
PRD**78**:051102(2008)

$$a_{CP}^{\pi^+\pi^-\pi^0} = (-0.31 \pm 0.41 \pm 0.17)\%$$

$$a_{CP}^{K^+K^-\pi^0} = (1.00 \pm 1.67 \pm 0.25)\%$$



Data sample 532 fb⁻¹
PLB**662**:102-110,2008

$$a_{CP}^{\pi\pi\pi^0} = (+0.43 \pm 1.30)\%$$

Data sample 540 fb⁻¹
PLB**670**:190-195,2008

$$a_{CP}^{KK} = (-0.43 \pm 0.30 \pm 0.11)\%$$

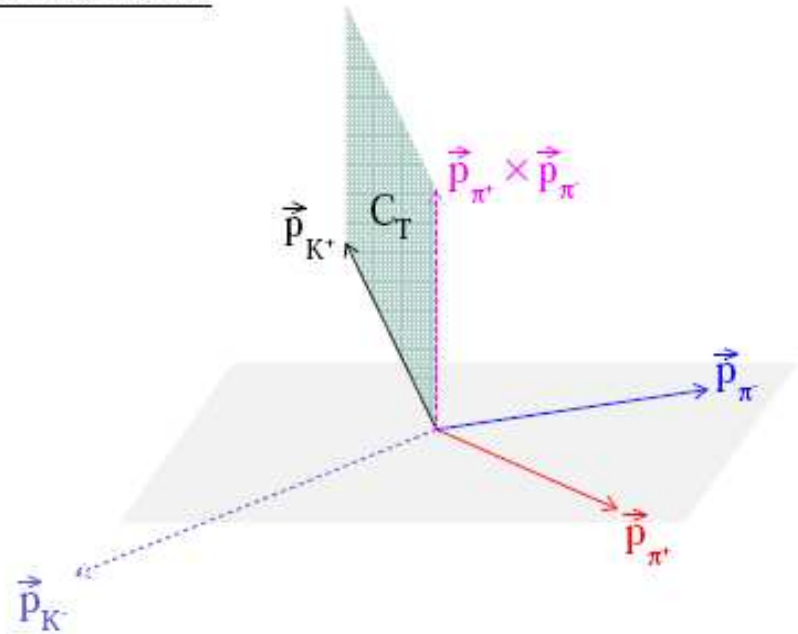
$$a_{CP}^{\pi\pi} = (+0.43 \pm 0.52 \pm 0.12)\%$$

No CPV evidence
at the level of 10^{-2}

CPV using T-odd correlations in $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$

Assuming CPT theorem, T-violation is a signal of CPV

D^0 rest frame



T-odd triple product

$$C_T \equiv \vec{p}_{K^+} \cdot (\vec{p}_{\pi^+} \times \vec{p}_{\pi^-})$$

$$A_T \equiv \frac{\Gamma(D^0, C_T > 0) - \Gamma(D^0, C_T < 0)}{\Gamma(D^0, C_T > 0) + \Gamma(D^0, C_T < 0)},$$

$$\bar{A}_T \equiv \frac{\Gamma(\bar{D}^0, -\bar{C}_T > 0) - \Gamma(\bar{D}^0, -\bar{C}_T < 0)}{\Gamma(\bar{D}^0, -\bar{C}_T > 0) + \Gamma(\bar{D}^0, -\bar{C}_T < 0)}.$$

T-violation if

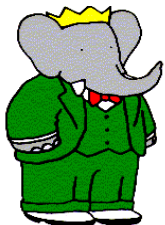
$$\mathcal{A}_T = \frac{1}{2}(A_T - \bar{A}_T) \neq 0$$



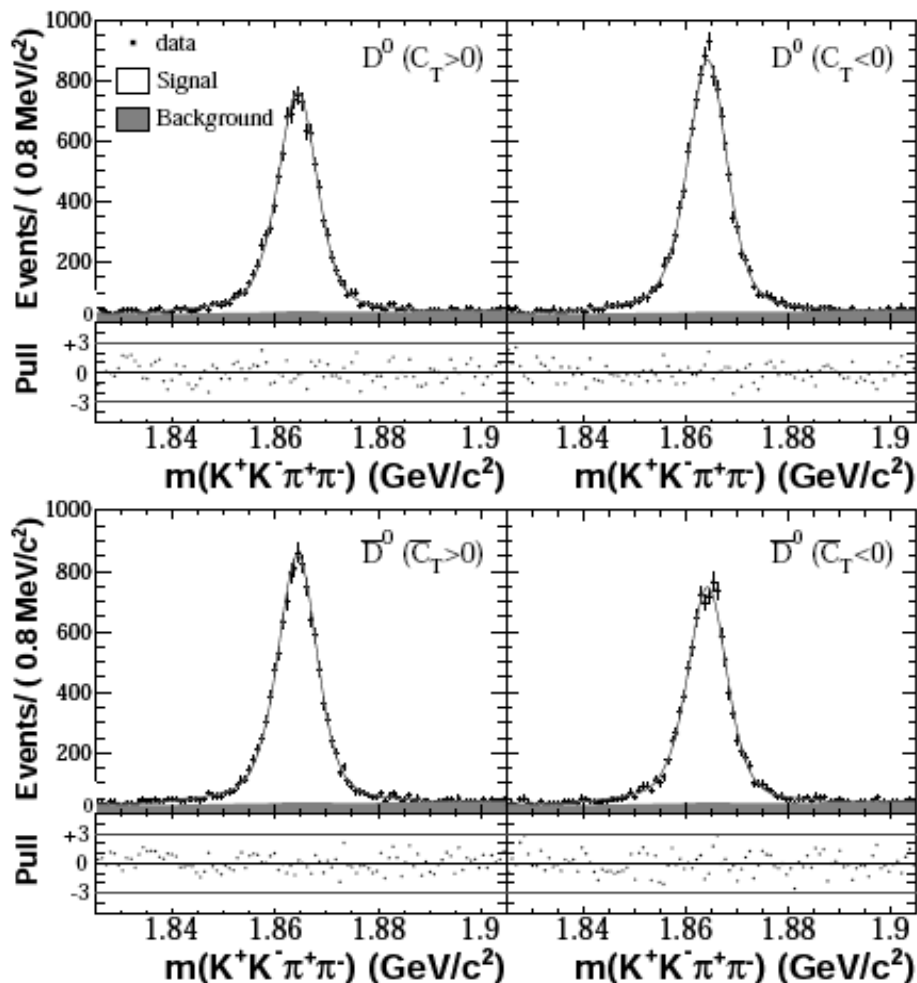
882 $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$ events
PLB622:239(2005)

$$\mathcal{A}_T = 0.010 \pm 0.057(stat) \pm 0.037(syst)$$

CPV using T-odd correlations in $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$



Data sample 470 fb⁻¹
arXiv:1003.3397



Subsample	Events
(a) $D^0, C_T > 0$	10974 ± 117
(b) $D^0, C_T < 0$	12587 ± 125
(c) $\bar{D}^0, \bar{C}_T > 0$	10749 ± 116
(d) $\bar{D}^0, \bar{C}_T < 0$	12380 ± 124

$$A_T = (-68.5 \pm 7.3_{\text{stat}} \pm 4.5_{\text{syst}}) \times 10^{-3},$$

$$\bar{A}_T = (-70.5 \pm 7.3_{\text{stat}} \pm 3.9_{\text{syst}}) \times 10^{-3}.$$

$$\mathcal{A}_T = (1.0 \pm 5.1_{\text{stat}} \pm 4.4_{\text{syst}}) \times 10^{-3}$$

Particle ID, the largest systematic effect

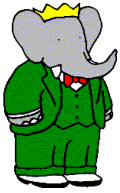
Improves the precision in one order
of magnitude compared with
previous FOCUS result

No T-violation
evidence

Conclusions

- New measurements from BaBar help to disentangle the f_{D^s} puzzle. Other analyses with increased sensitivity are ongoing.
- D-mixing well-established from combination of measurements. No evidence for CPV.
- Recent BaBar lifetime ratio result using tagged and untagged events gives mixing significance of 4.1σ .
- New BaBar $D^0 \rightarrow K_S \pi^+ \pi^-$ and $D^0 \rightarrow K_S K^+ K^-$ combined analysis gives most precise direct measurement of x and y mixing parameters.
 - Favors lower values for x (and y)
 - It gives a mixing significance of 1.9σ
 - Good agreement with $D^0 \rightarrow K_S \pi^+ \pi^-$ Belle result.
- CPV searches in BaBar using T-odd correlated asymmetries with $D^0 \rightarrow \pi^+ \pi^- K^+ K^-$, improve the resolution by one order of magnitude with respect to the FOCUS measurement. No signal for T-violation has been found.

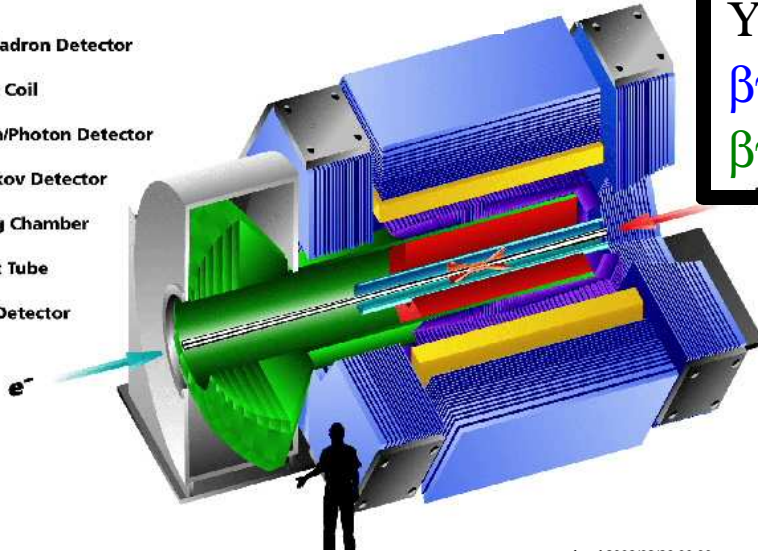
BACK UP SLIDES



Asymmetric B-factories

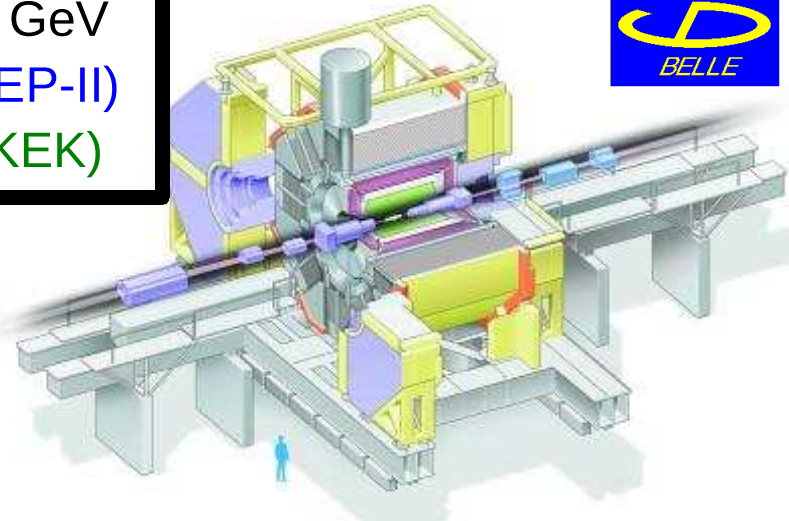
BaBar detector

- Muon/Hadron Detector
- Magnet Coil
- Electron/Photon Detector
- Cherenkov Detector
- Tracking Chamber
- Support Tube
- Vertex Detector

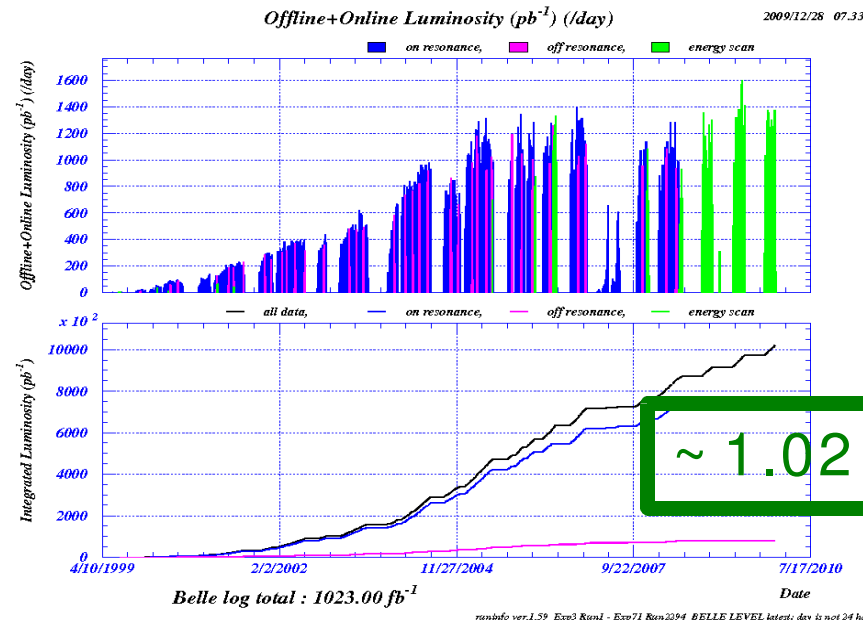
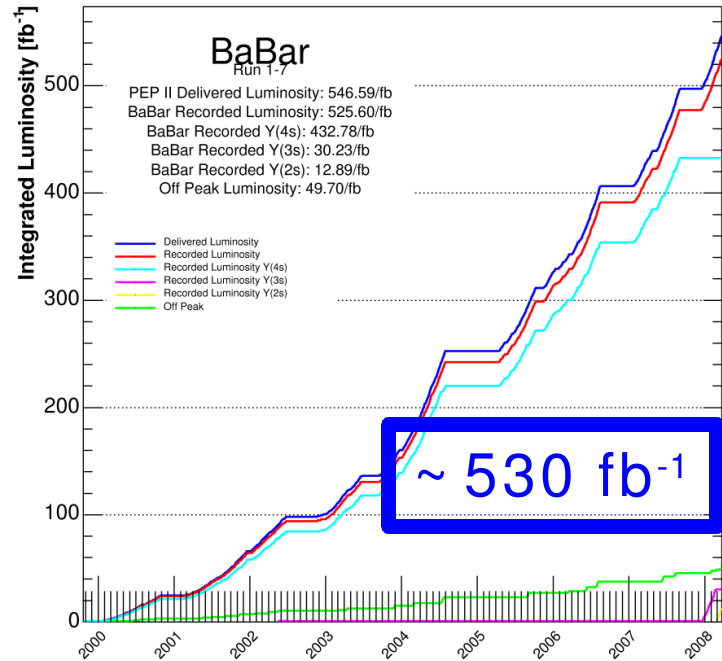


As of 2008/03/26 00:00

Belle detector



Y(4S) 10.58 GeV
 $\beta\gamma = 0.55$ (PEP-II)
 $\beta\gamma = 0.425$ (KEK)



Charm mixing and CPV

Schrödinger's equation
Effective Hamiltonian which
mediates time evolution

$$i \frac{\partial}{\partial t} \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix} = (\mathbf{M} - \frac{i}{2}\mathbf{\Gamma}) \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix}$$

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$$

Physical states are a linear combination of flavor eigenstates. **Mixing can occur because flavor and mass eigenstates differ.**

Time evolution of physical states

$$|D_{1,2}(t)\rangle = \exp \left\{ -i \left(m_{1,2} - i \frac{\Gamma_{1,2}}{2} \right) \right\} |D_{1,2}\rangle$$

Mixing parameters

$$x = \frac{m_1 - m_2}{\Gamma}, \quad y = \frac{\Gamma_1 - \Gamma_2}{2\Gamma},$$

$$\Gamma = \frac{\Gamma_1 + \Gamma_2}{2} = \frac{1}{\tau}$$

Charm mixing and CPV

Squared time dependent amplitude, allowing for mixing and CPV

$$|A_f(t)|^2 = \frac{1}{2}e^{-\Gamma t} \left\{ \left(|A_f|^2 + \left| \frac{q}{p} \right|^2 |\bar{A}_f|^2 \right) \cosh(-\Gamma y t) + 2\mathcal{R}e \left(A_f^* \bar{A}_f \frac{q}{p} \right) \sinh(-\Gamma y t) \right. \\ \left. + \left(|A_f|^2 - \left| \frac{q}{p} \right|^2 |\bar{A}_f|^2 \right) \cos(\Gamma x t) + 2\mathcal{I}m \left(A_f^* \bar{A}_f \frac{q}{p} \right) \sin(\Gamma x t) \right\}$$

The amplitudes at $t=0$ are $A_f = \langle f | \mathcal{H} | D^0 \rangle$, $\bar{A}_f = \langle f | \mathcal{H} | \bar{D}^0 \rangle$

CPV in the decay

$$\left| \frac{\bar{A}_f}{A_f} \right| \neq 1$$

CPV in the mixing

$$\left| \frac{q}{p} \right|^2 \neq 1$$

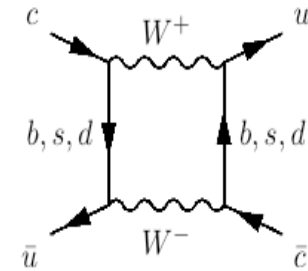
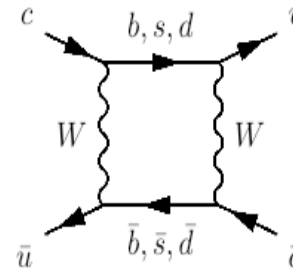
CPV in the interference

$$\arg \left(\frac{q}{p} \frac{\bar{A}_f}{A_f} \right) \neq 0, \pi$$

SM predictions and NP

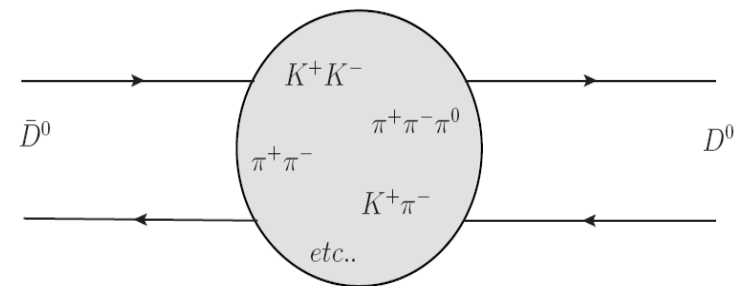
➤ Short-distance contributions

- From mixing box diagrams
- SM predicts mixing to be small
- b quarks are CKM suppressed
- s and d quarks are GIM suppressed
- Contributes mainly to x



➤ Long-distance contributions

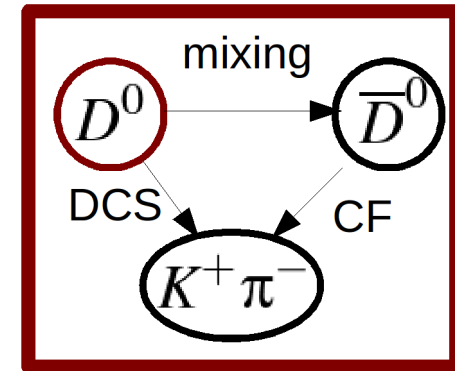
- Via hadronic intermediate states
- Expected to dominate
- Still a small effect
- Non-perturbative and hard to estimate
- Predictions give x, y in $(0.001-0.01)$ and $|x| < |y|$



- NP through new particles in the loops
- If $x \gg y$, this could be a hint of NP
- No CPV expected in SM with current sensitivity, however it would be a sign of NP since SM predicts CPV to be < 0.001

WS hadronic decays $D^0 \rightarrow K^+ \pi^-$

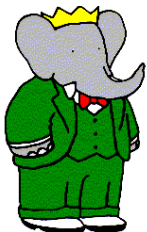
- Three main contributions
- DCS decay
- Mixing + CF decay
- Interference
- Contributions are disentangled thanks to different behaviour with time evolution in the $x, y \ll 1$ limit



$$\Gamma_{WS}(t) = e^{-\Gamma t} \left(\underbrace{R_D}_{\text{DCS}} + \underbrace{y' \sqrt{R_D}}_{\text{Interference}} (\Gamma t) + \underbrace{\frac{x'^2 + y'^2}{4}}_{\text{Mixing}} (\Gamma t)^2 \right)$$

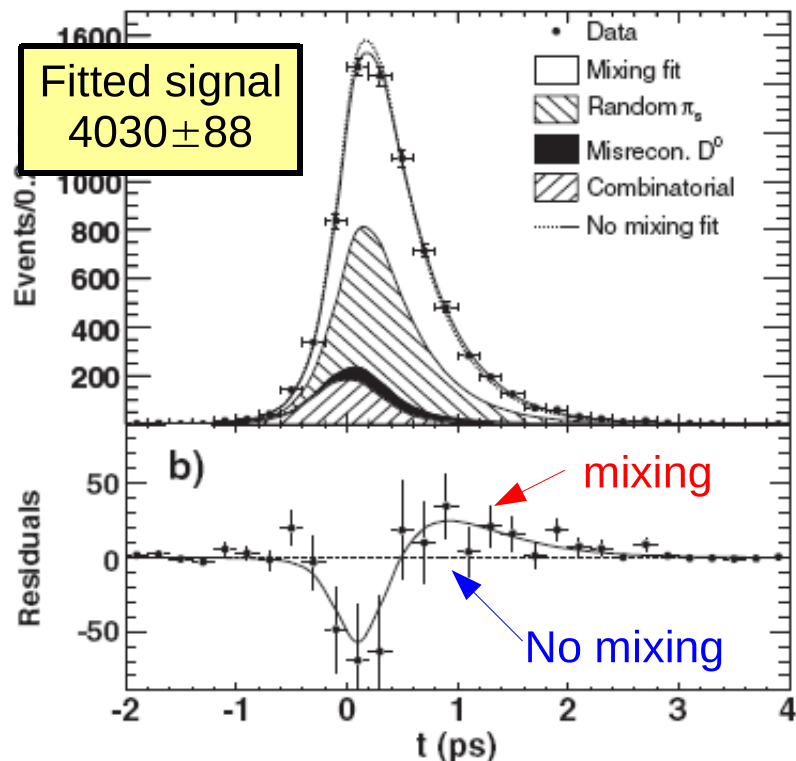
$$\begin{aligned} x' &= x \cos \delta_{K\pi} + y \sin \delta_{K\pi} \\ y' &= -x \sin \delta_{K\pi} + y \cos \delta_{K\pi} \end{aligned}$$

$$R_D = \left| \frac{A(D^0 \rightarrow K^+ \pi^-)}{A(\bar{D}^0 \rightarrow K^+ \pi^-)} \right|^2 = \left| \frac{A_f}{\bar{A}_f} \right|^2$$



WS hadronic decay $D^0 \rightarrow K^+ \pi^-$

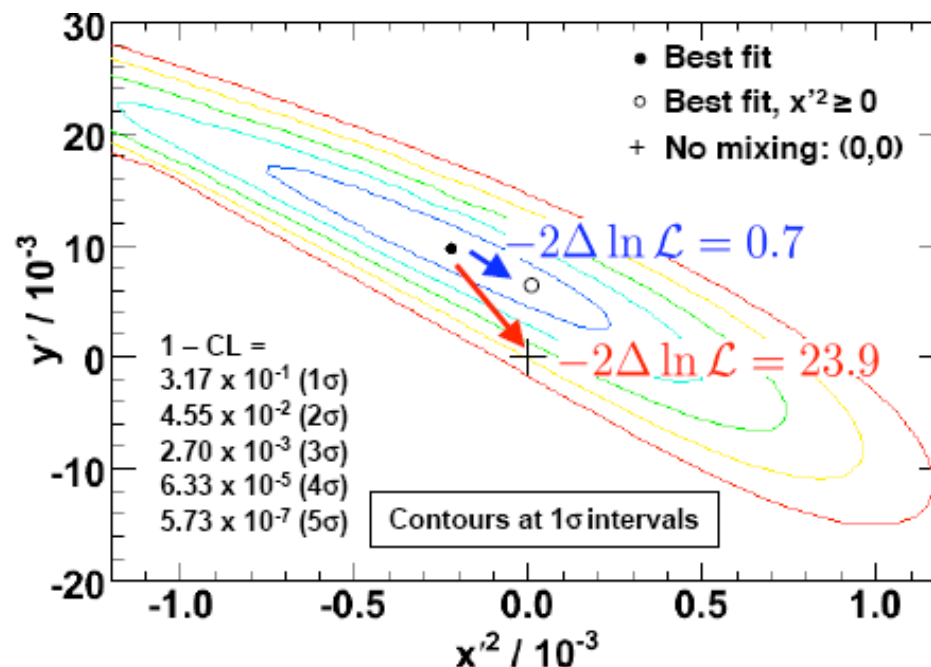
384 fb⁻¹ ~ 500M cc events
PRL98:211802,2007



$$R_D = (3.03 \pm 0.16 \pm 0.10) \times 10^{-3}$$

$$x'^2 = (-0.22 \pm 0.30 \pm 0.21) \times 10^{-3}$$

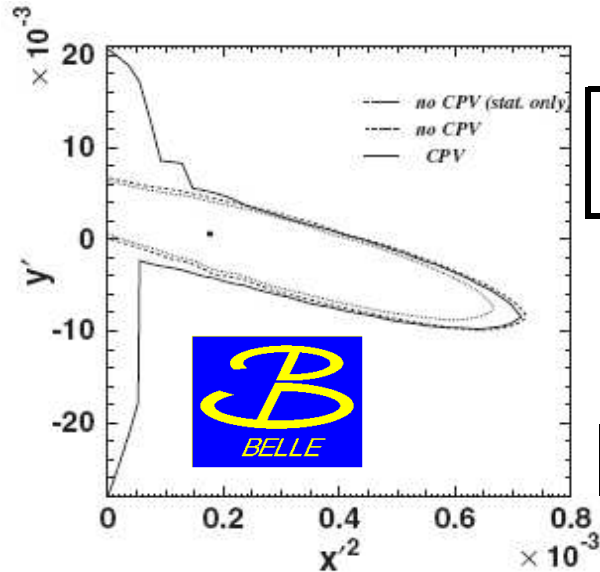
$$y' = (9.7 \pm 4.4 \pm 3.1) \times 10^{-3}$$



- Principal systematic modeling long decay time component in the signal region
- Difference between no mixing and mixing fits is observed in the residual
- Best fit point is in the non physical region $x'^2 < 0$
- **Mixing evidence @ 3.9 σ**
- **CP conserving case**

No CPV evidence

WS hadronic decay $D^0 \rightarrow K^+ \pi^-$

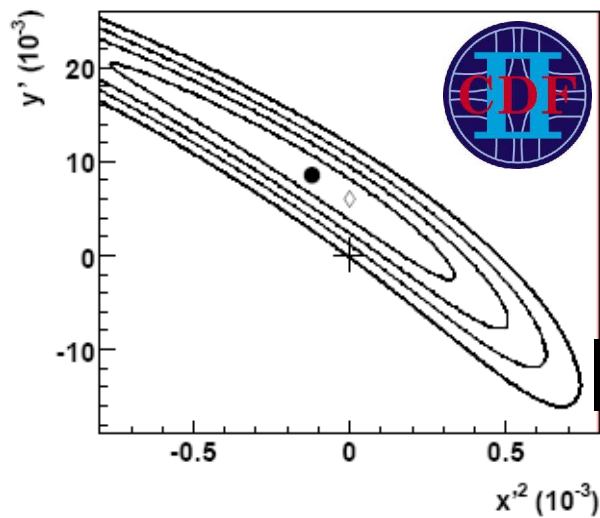


Data sample 400 fb⁻¹
PRL96:151801,2006

Fitted signal
4024 ± 88

Mixing @ 2σ

Fit case	Parameter	Fit result ($\times 10^{-3}$)	95% C.L. interval ($\times 10^{-3}$)
No CPV	R_D	3.64 ± 0.17	(3.3, 4.0)
	χ^2	$0.18^{+0.21}_{-0.23}$	<0.72
	y'	$0.6^{+4.0}_{-3.9}$	(-9.9, 6.8)
	R_M	...	(0.63×10^{-5} , 0.40)
CPV	A_D	23 ± 47	(-76, 107)
	A_M	670 ± 1200	(-995, 1000)
	χ^2	...	<0.72
	y'	...	(-28, 21)
	R_M	...	<0.40
No mixing	R_D	$3.77 \pm 0.08(\text{stat.}) \pm 0.05(\text{syst.})$	



Data sample 1.5 fb⁻¹
PRL100:121802,2008

Fitted signal
(12.7 ± 0.3)K

Mixing evidence @ 3.8σ

Fit type	$R_D(10^{-3})$	$y'(10^{-3})$	$x'^2(10^{-3})$	$\chi^2 / \text{d.o.f.}$
Unconstrained	3.04 ± 0.55	8.5 ± 7.6	-0.12 ± 0.35	19.2 / 17
Physically				
allowed	3.22 ± 0.23	6.0 ± 1.4	0	19.3 / 18
No mixing	4.15 ± 0.10	0	0	36.8 / 19

Lifetime ratio $D^0 \rightarrow h^+ h^-$ (KK, $\pi\pi$) vs. $D^0 \rightarrow K^- \pi^+$

Mixing and CPV alter decay time distributions of CP eigenstates. A good approximation are exponential distributions with effective lifetimes τ_{hh}^\pm

$$\tau_{hh}^+ = \tau_{K\pi} \left[1 + \left| \frac{q}{p} \right| (y \cos \phi_f - x \sin \phi_f) \right]^{-1}$$

$$\tau_{hh}^- = \tau_{K\pi} \left[1 + \left| \frac{p}{q} \right| (y \cos \phi_f + x \sin \phi_f) \right]^{-1}$$

$$\phi_f = \arg \left(\frac{q}{p} \frac{\bar{A}_f}{A_f} \right)$$

Measured quantities

$$\tau_{hh}^+ = \tau(D^0 \rightarrow h^+ h^-)$$

$$\tau_{hh}^- = \tau(\bar{D}^0 \rightarrow h^+ h^-)$$

$$\tau_{K\pi} = \tau(D^0 \rightarrow K^- \pi^+)$$

The mixing and CP observables are:

$$y_{CP} = y \cos \phi_f = \frac{\tau_{K\pi}}{\langle \tau_{hh} \rangle} - 1$$

$$\Delta Y = x \sin \phi_f = \frac{\tau_{K\pi}}{\langle \tau_{hh} \rangle} A_\tau$$

$$\langle \tau_{hh} \rangle = \frac{\tau_{hh}^+ + \tau_{hh}^-}{2}$$

$$A_\tau = \frac{\tau_{hh}^+ - \tau_{hh}^-}{\tau_{hh}^+ + \tau_{hh}^-}$$

$y_{CP}=y$ and $\Delta Y=0$ if CP conserved, $y_{CP}=0$ and $\Delta Y=0$ if no mixing ³⁰

Lifetime ratio $D^0 \rightarrow h^+ h^-$ (KK, $\pi\pi$) vs. $D^0 \rightarrow K^- \pi^+$

PRD 78, 011105(R) (2008)

Tagged:

$$y_{CP} = (1.03 \pm 0.33 \text{ (stat)} \pm 0.19 \text{ (syst)}) \cdot 10^{-2}$$

$$\Delta y = (-0.26 \pm 0.36 \text{ (stat)} \pm 0.08 \text{ (syst)}) \cdot 10^{-2}$$

Mixing evidence at 3σ

PRD 80, 071103(R) (2009)

Combined tagged + untagged:

$$y_{CP} = (1.13 \pm 0.22 \text{ (stat)} \pm 0.18 \text{ (syst)}) \cdot 10^{-2}$$

NEW

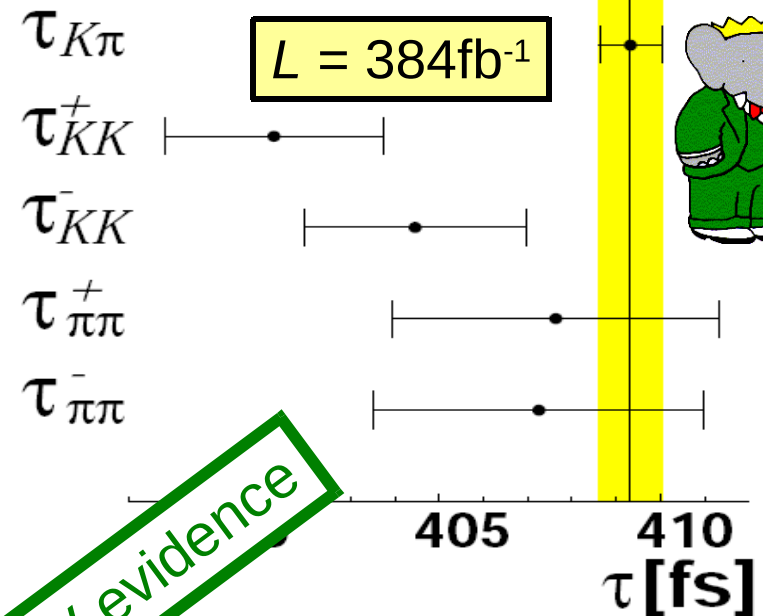
Mixing evidence at 4.1σ

PRL 98, 211803 (2007)

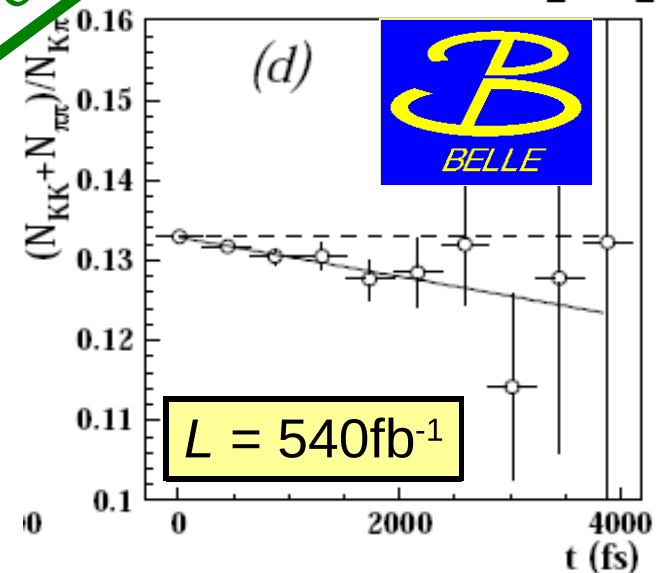
$$y_{CP} = (1.31 \pm 0.32 \text{ (stat)} \pm 0.25 \text{ (syst)}) \cdot 10^{-2}$$

$$A_{\tau} = (0.01 \pm 0.30 \text{ (stat)} \pm 0.15 \text{ (syst)}) \cdot 10^{-2}$$

Mixing evidence at 3.2σ



No CPV evidence



Dalitz Plot analysis of $D^0 \rightarrow K_S h^+ h^-$ ($h=K, \pi$)



$$x = (0.16 \pm 0.23 \text{ (stat)} \pm 0.12 \text{ (exp)} \pm 0.08 \text{ (mod)}) \cdot 10^{-2} \text{ BaBar}$$

$$y = (0.57 \pm 0.20 \text{ (stat)} \pm 0.13 \text{ (exp)} \pm 0.07 \text{ (mod)}) \cdot 10^{-2} \text{ Preliminary}$$

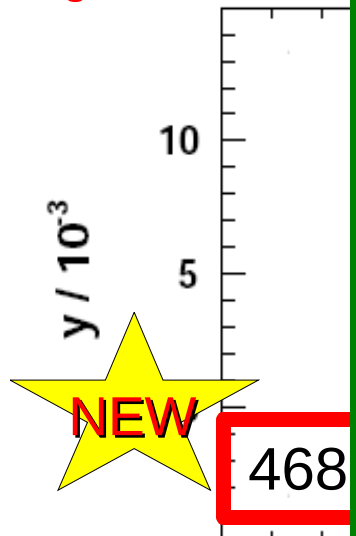
$$x = (0.80 \pm 0.29^{+0.09+0.10}_{-0.07-0.14}) \cdot 10^{-2}$$

$$y = (0.24 \pm 0.08^{+0.06+0.06}_{-0.12-0.08}) \cdot 10^{-2}$$

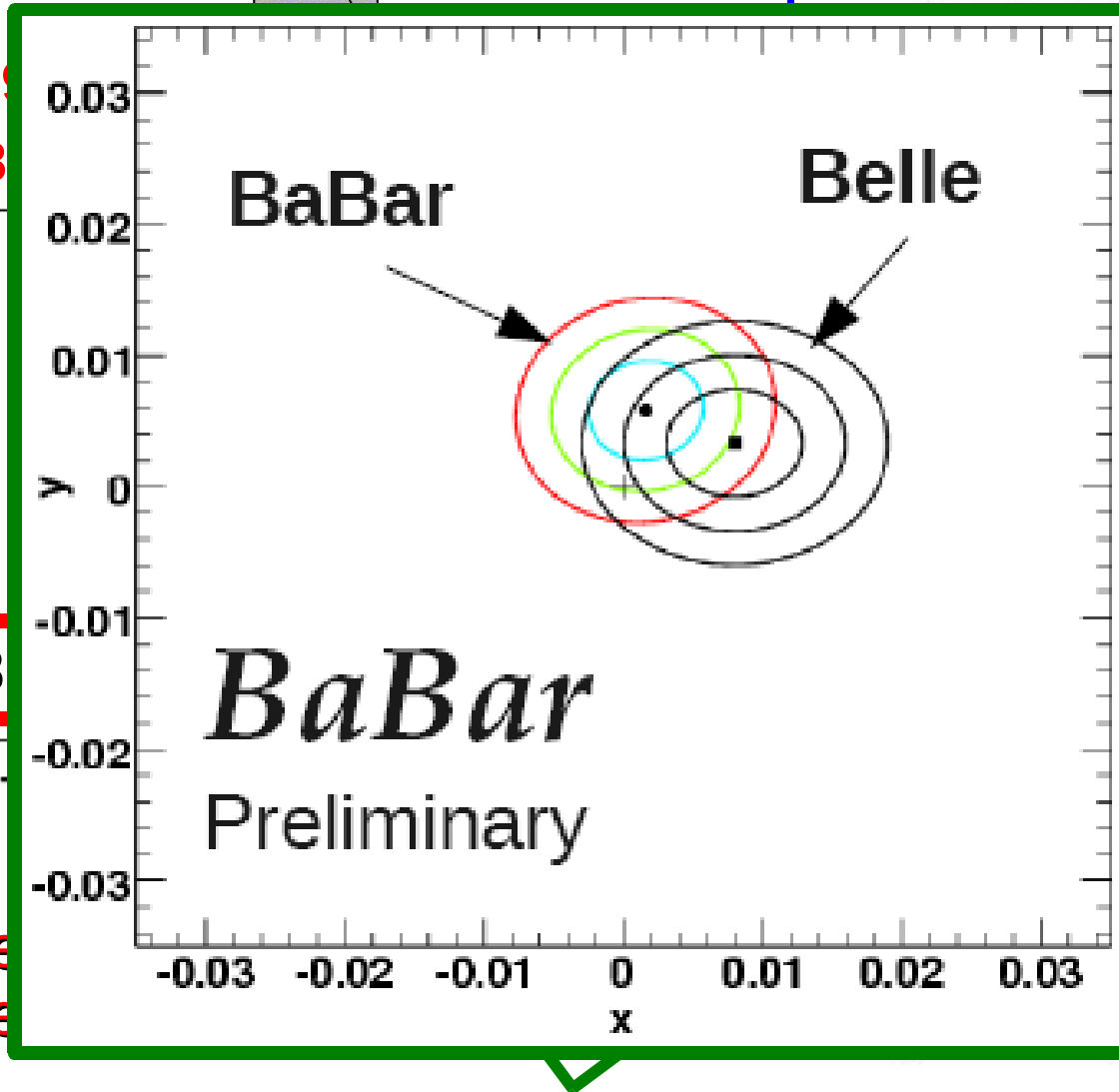
Signal yield

$K_S \pi^+ \pi^-$ 54078

$K_S K^+ K^-$ 79908

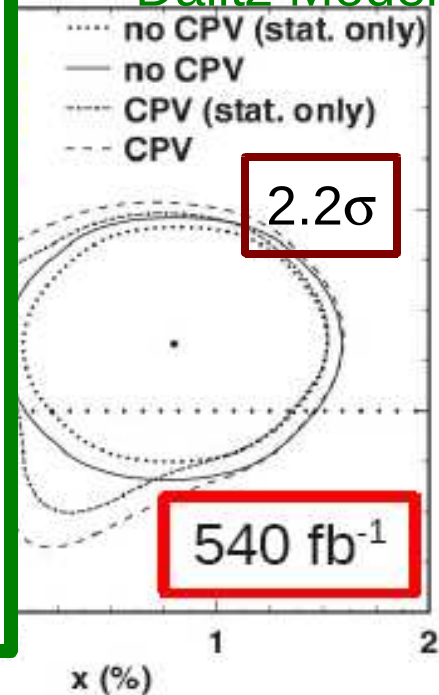


Most precise
measurement



$$x = (0.30 \pm 0.06^{+0.30+0.06}_{-0.29-0.03}) \pm 0.08$$

Dalitz Model



TI CPV searches $D^0 \rightarrow K^+ K^- (\pi^0), \pi^+ \pi^- (\pi^0)$

- CP-even final states. Single-Cabibbo-suppressed (SCS) modes
- CPV in these modes is predicted to be $\sim 10^{-4}$ - 10^{-5} in SM. Evidence of CPV with current experimental sensitivity is sign of physics beyond SM

F. Bucella et al., Phys. Rev. **D51**, 3478 (1995)
S. Bianco et al., Riv. Nuovo Cim. 26N7, 1(2003)
Y. Grossman et al., Phys. Rev. **D75**, 036008 (2007)

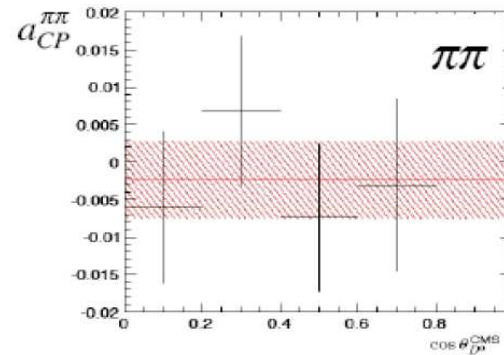
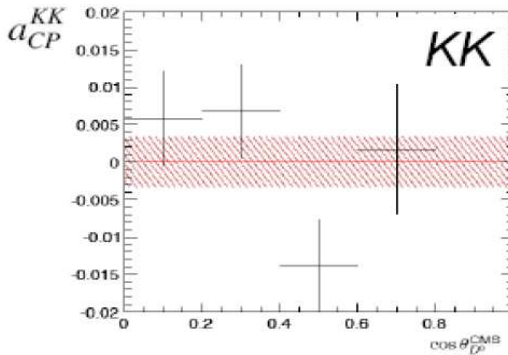
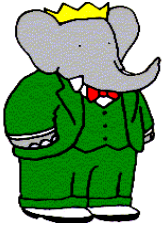
- Measurement of the asymmetries of the partial decay widths.
- Asymmetry includes the 3 possible CP violation sources: mixing, decay and interference

$$a_{CP}^{hh} = \frac{\Gamma(D^0 \rightarrow h^- h^+) - \Gamma(\bar{D}^0 \rightarrow h^+ h^-)}{\Gamma(D^0 \rightarrow h^- h^+) + \Gamma(\bar{D}^0 \rightarrow h^+ h^-)}$$

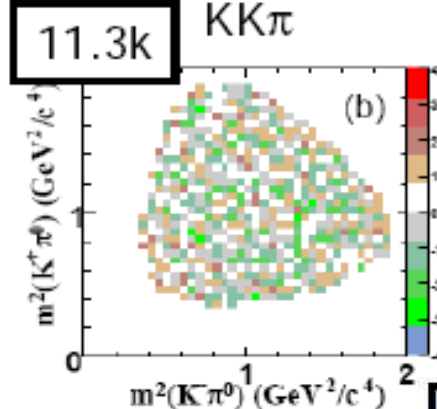
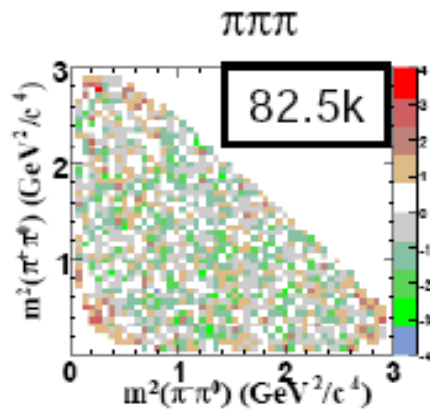
- Precise D^0 flavor tag is the main experimental concern.
 - $D^0 \rightarrow K^- \pi^+$ is used for this purpose
- Forward-Backward asymmetry in charm pairs production \sim few%.

Time-Integrated CPV $D^0 \rightarrow K^+K^-(\pi^0), \pi^+\pi^-(\pi^0)$

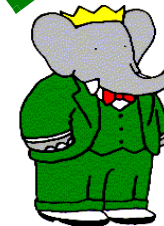
Data sample 385fb⁻¹
PRL100:061803(2008)



No CPV evidence
at the level of 10⁻²

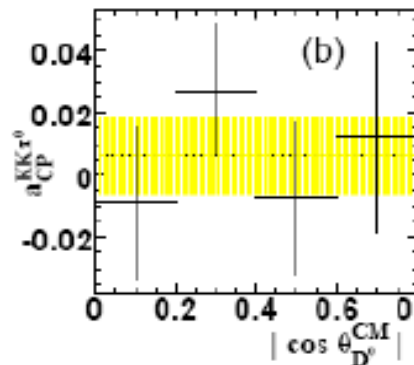
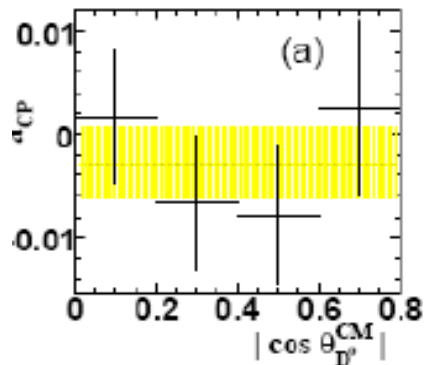


Data sample 385fb⁻¹
PRD78:051102(2008)



$$a_{CP}^{\pi^+\pi^-\pi^0} = (-0.31 \pm 0.41 \pm 0.17) \%$$

$$a_{CP}^{K^+K^-\pi^0} = (1.00 \pm 1.67 \pm 0.25) \%$$



Data sample 532fb⁻¹
PLB662:102-110(2008)

$$A_{CP}(D^0 \rightarrow \pi^+\pi^-\pi^0) = (0.43 \pm 1.30) \%$$

$$\frac{B(D^0 \rightarrow \pi^+\pi^-\pi^0)}{B(D^0 \rightarrow K^-\pi^+\pi^0)} = 0.1012 \pm 0.0004 \pm 0.0018$$