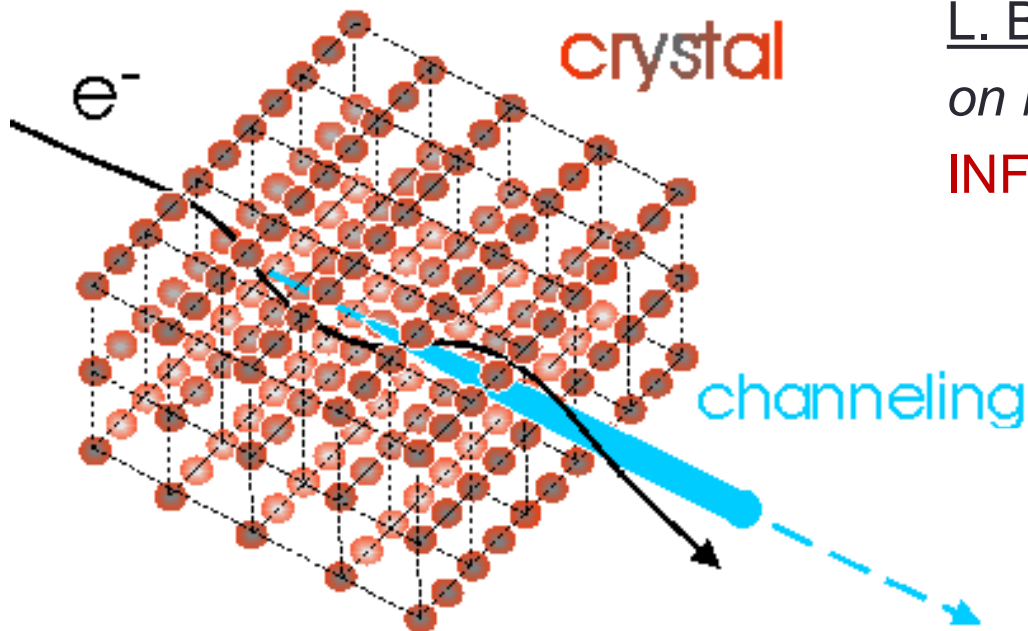


# Channeling radiation and related phenomena in straight and bent crystals as a tool for intense e.m. radiation generation



L. Bandiera

*on behalf of the ELIOT experiment*

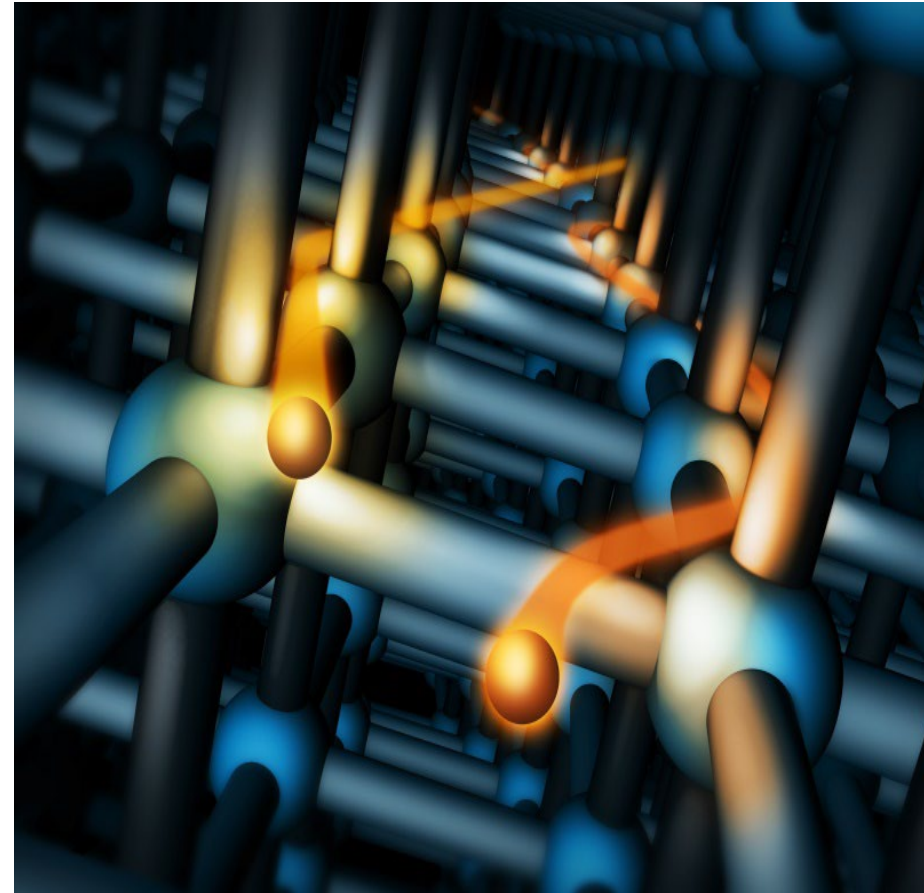
INFN- Sezione di Ferrara

ACN2020

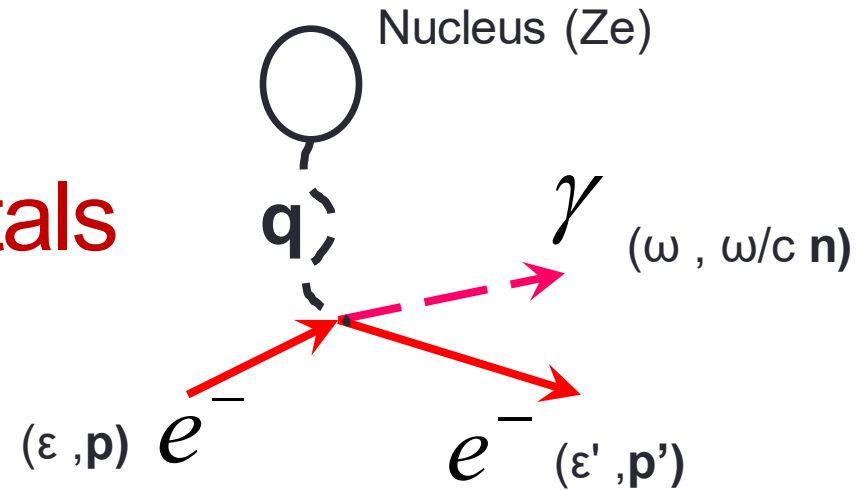
Lausanne, 10/03/2020

# Outlook

- Introduction to radiation emission processes in oriented crystals;
- Experiment at MAMI with 855 MeV electrons with bent Silicon and Germanium crystals;
- Monte Carlo simulation based on Baier Katkov quasiclassical method
- Conclusions.

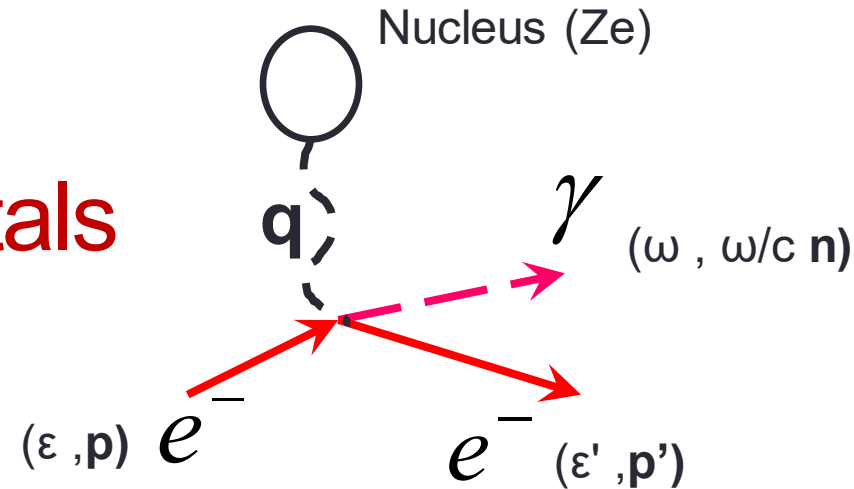


# Bremsstrahlung in crystals

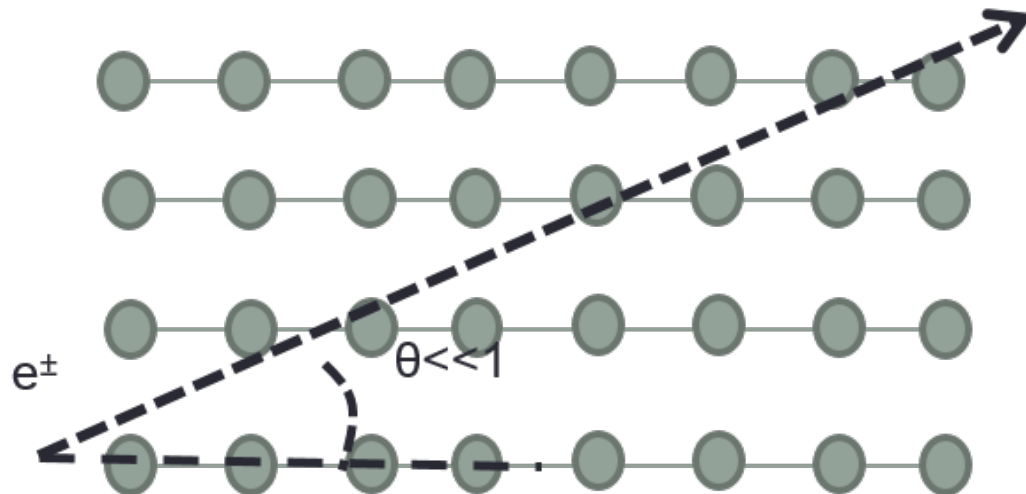


Does the crystal structure influence the process of bremsstrahlung?

# Bremsstrahlung in crystals



Does the crystal structure influence the process of bremsstrahlung?

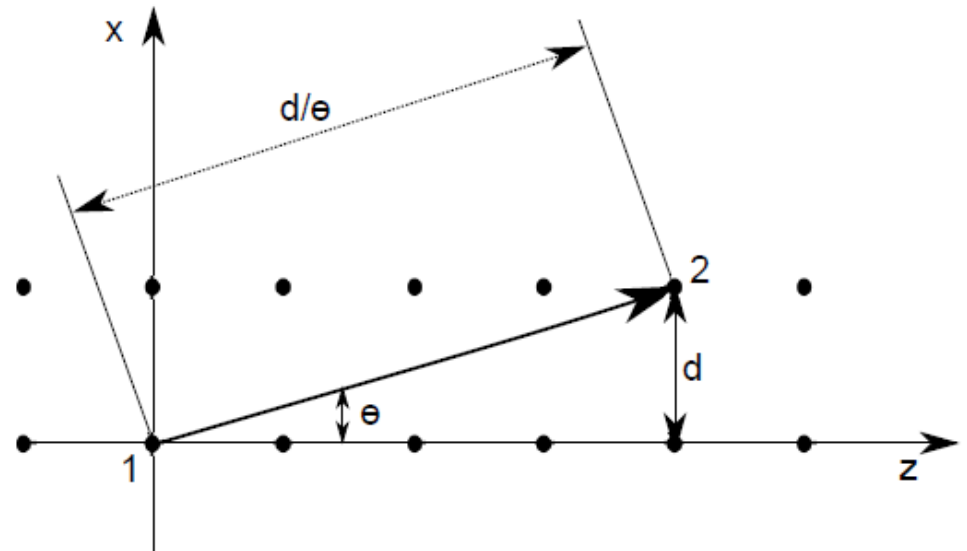


**Yes!**

In case of small incidence angle with some crystal lattice direction.

# Coherent Bremsstrahlung

- Crystal lattice constant **d**;
- **Electron impinges** onto a crystal with **velocity v** and with a **small angle  $\theta$**  with respect to a **crystal direction**.
- Bremsstrahlung radiation is emitted at point 1 at the instant  $t_0$ , while at point 2 at  $t_0 + d/(\theta v)$ ,  $v$  being the particle velocity.
- Since the first e.m. wave reaches the point 2 at the time instant  $t_0 + d/(\theta c)$ , the **constructive interference condition is:**



$$\frac{\omega d}{\theta} \left( \frac{1}{v} - \frac{1}{c} \right) = 2\pi n.$$

we use the units:  $\hbar = 1$

# Coherence (or formation) Length

The **minimal value of transferred momentum** along the direction of motion of the primary particle,  $q_{\parallel}$ , is:

$$\delta = \frac{\omega mc^2}{2\varepsilon\varepsilon'} mc$$

The **inverse of this value has a dimension of a length** (in classical case of  $\varepsilon \approx \varepsilon'$ ):

$$l_c = \frac{2\varepsilon^2}{\omega mc^2} \frac{1}{mc} \simeq \frac{c}{\omega(1 - v/c)}$$

**At high energy,  $l_c$  may become large enough to introduce the idea that the emission of a photon is not a sudden process, while instead is formed in certain distance along the electron trajectory.**

**In crystals, the lattice structure becomes important in the photon emission, when  $l_c \geq d$  (analogous to Bragg diffraction).**

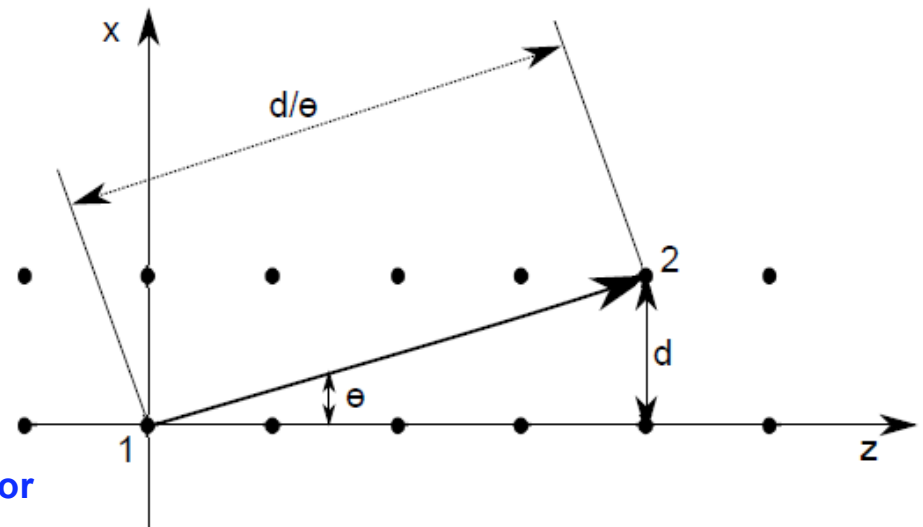
# Coherence Length and interference

Constructive interference condition:

$$\frac{\omega d}{\theta} \left( \frac{1}{v} - \frac{1}{c} \right) = 2\pi n.$$

$$\frac{\delta}{\theta} = n \left( \frac{2\pi}{d} \right)$$

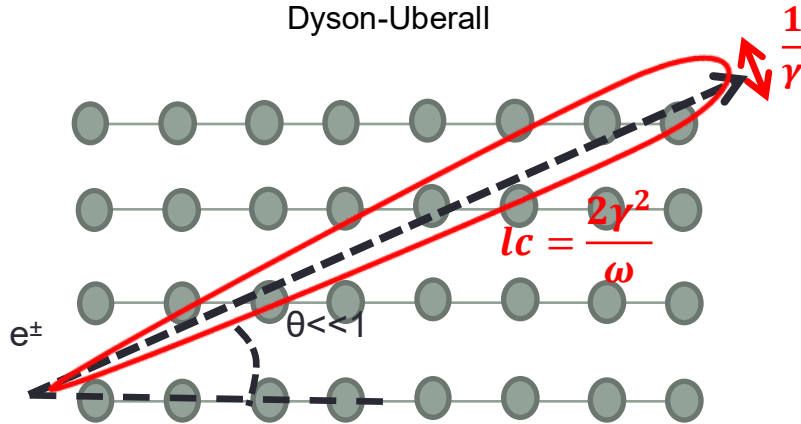
Reciprocal lattice vector



Factor  $2\pi/d$  that has the dimensions of a **reciprocal lattice vector** -> the **bremsstrahlung radiation** emitted in a crystal **increases** when the **momentum transferred from the particle to the atoms matches a reciprocal lattice vector**.

# Coherent Bremsstrahlung facilities

Coherent Bremsstrahlung  
(1950s) Ter-Mikaelian, Ferretti,  
Dyson-Uberall



Intense and monochromatic  
gamma source

Linearly polarized photons

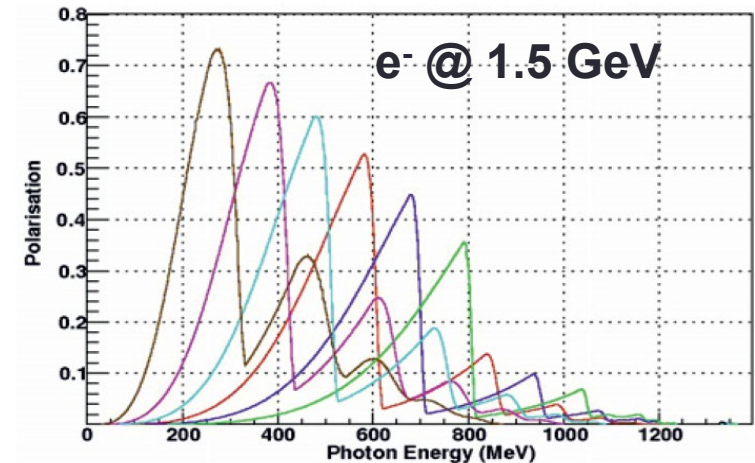
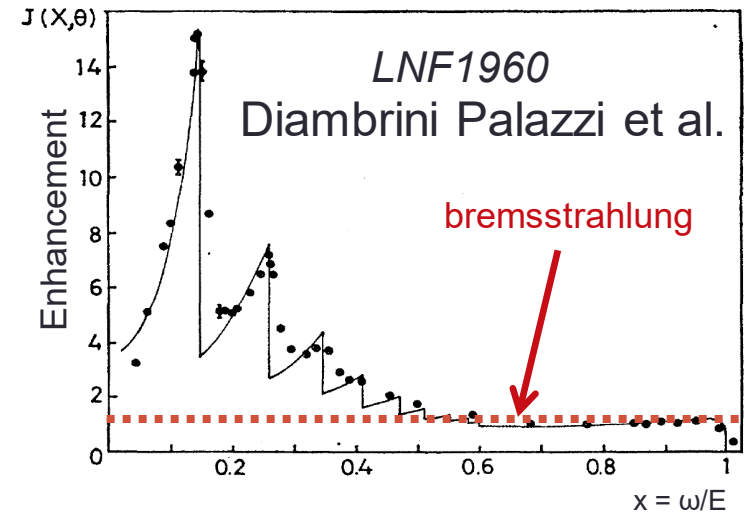
**MAMI – Germany**

**JLAB – USA**

**MAXLAB – Sweden**

**ELSA - Germany**

usually exploited  
for photonuclear researches



Degree of linear photon polarization achievable  
at MAMI in a number of diamond orientations.

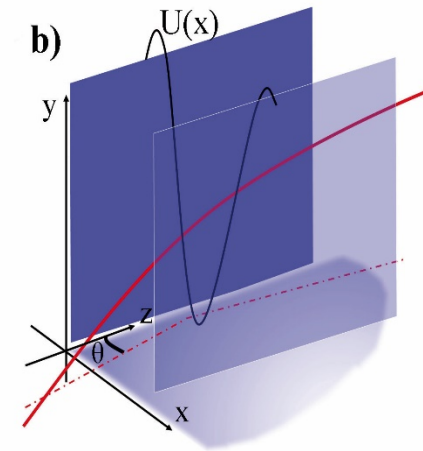
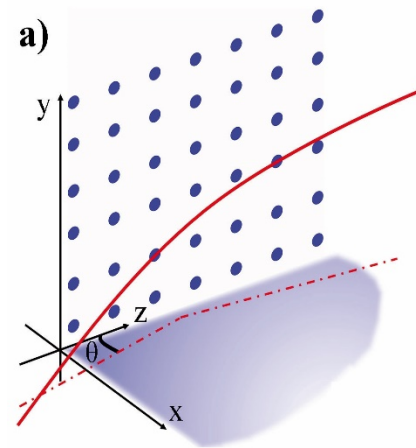


# Channeling and Continuous Potential

$$U_{pl}(x) = Nd_p \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} V(x, y, z) dy dz$$

$$V_{TF}(r) = \frac{Z_i Z e^2}{r} \Phi\left(\frac{r}{a_{TF}}\right)$$

is the particle-atom screened Coulomb potential



# Channeling and Continuous Potential

$$U_{pl}(x) = Nd_p \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} V(x, y, z) dy dz$$

$$V_{TF}(r) = \frac{Z_i Z e^2}{r} \Phi\left(\frac{r}{a_{TF}}\right)$$

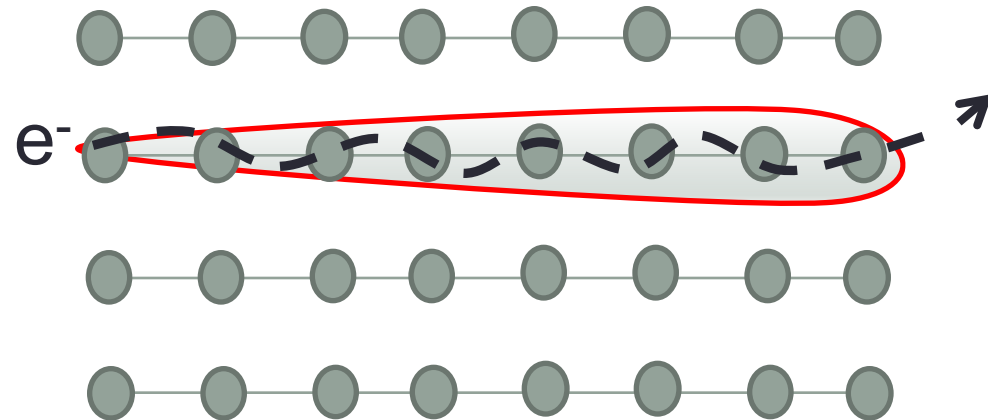
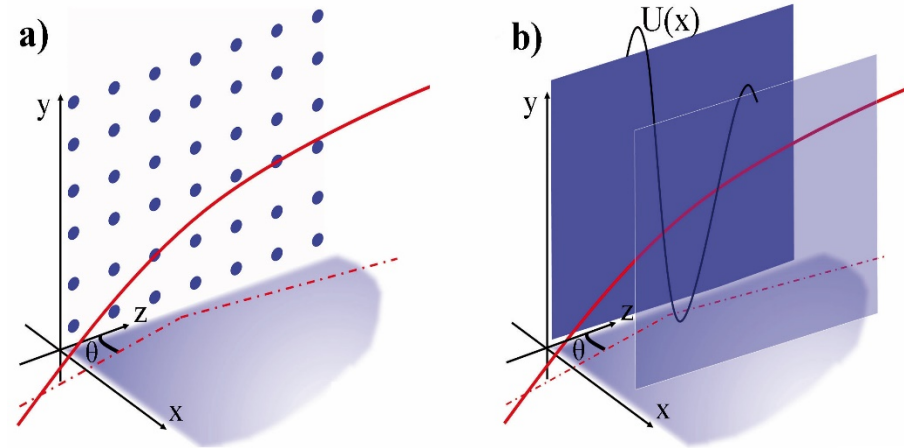
is the particle-atom screened Coulomb potential

**Channeling occurs as the trajectory of particles forms an angle lower than the critical angle:**

$$\theta_c = \sqrt{\frac{2U_0}{pv}}$$

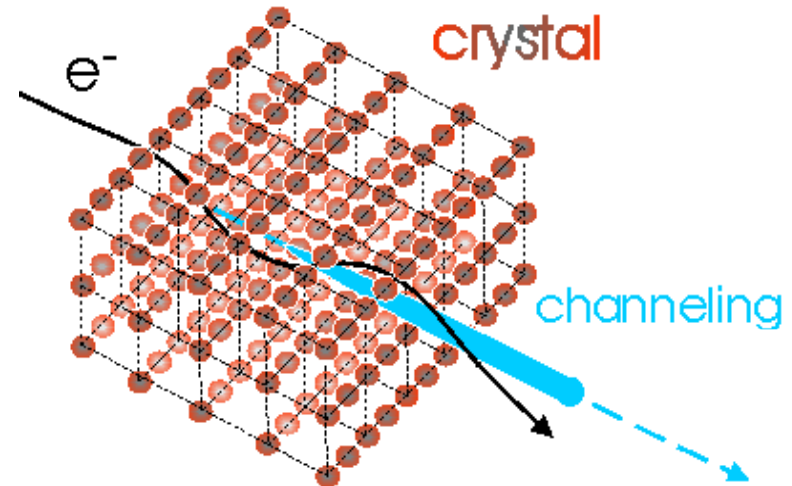
max of  $U(x)$

momentum  
velocity



$U_0 = 22.7 \text{ eV}$  for (110) Si planes  
 $\theta_c \approx 250 \mu\text{rad}$  at  $E \sim 0.5 \text{ GeV}$

# Channeling radiation

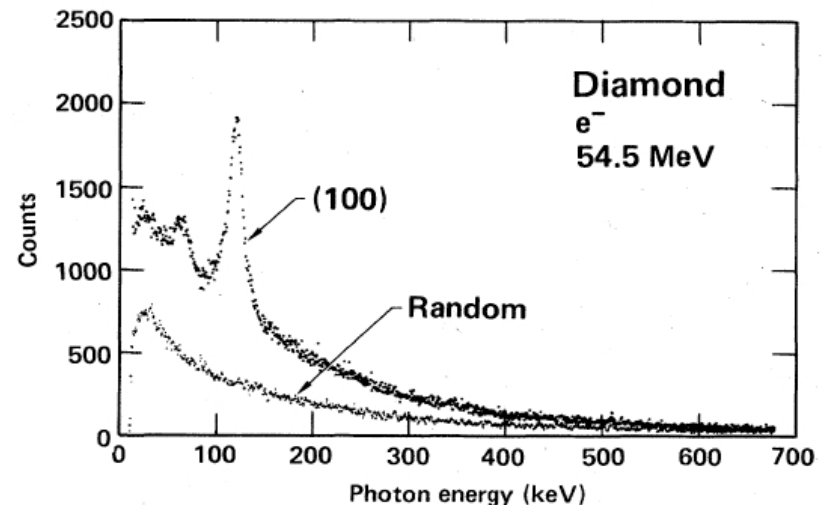


## Polarized & forward emission – analogous to undulator

Radiation frequency in the forward direction:

$$\omega \approx 2\gamma^2\omega_0 = \frac{4}{d_p} \sqrt{\frac{2U_0}{m}} \gamma^{3/2}$$

$\omega_0 = 2\pi/\lambda$  – frequency of motion



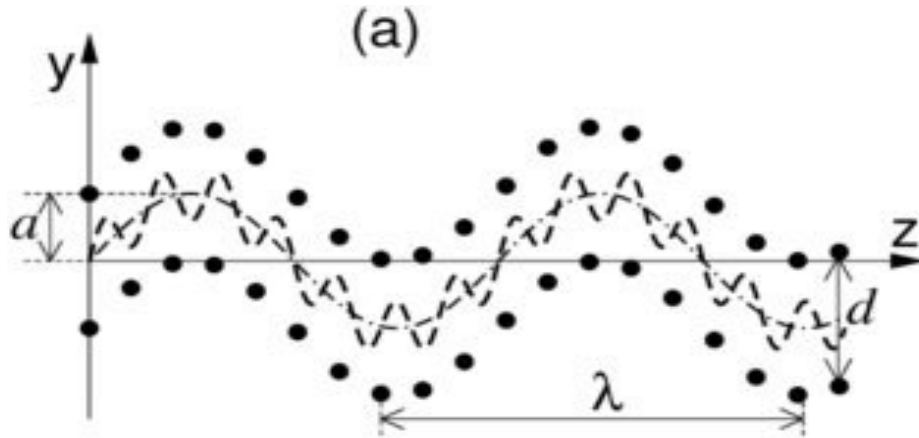
M. Kumakhov, Physics Letters A 57, 17 (1976).

# Motivation of this work: study of radiation emitted by sub-GeV electrons in bent crystals



- A lot of attention is devoted to channeling effects of **electron around GeV** :
  - Interest for alternatives x-ray sources
  - Relatively large availability of accelerators
- Study of the influence of the curvature on Channeling Radiation (CR) and Coherent Bremsstrahlung (CB). This experimental knowledge may be exploited to **determine with more accuracy the CR contribution to crystalline undulators**;
- Steering of sub-GeV electron trajectories through channeling in bent crystals was not possible before due to the lack of thin-enough bent crystals.

# Crystalline undulator as an innovative hard x- and gamma-ray source

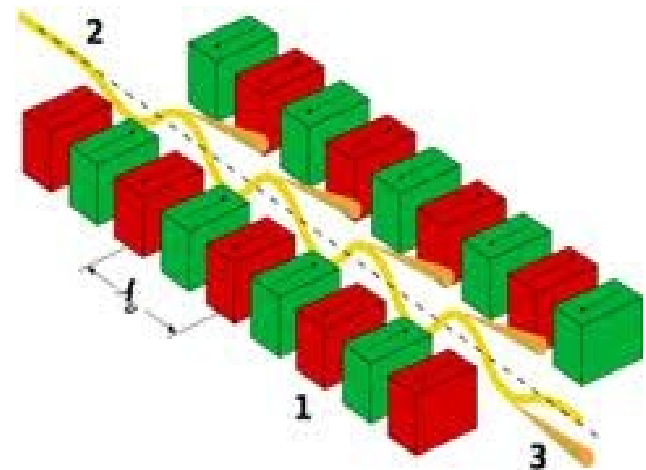


Mehdi Tabrizi et al., Phys. Rev. Lett. 98, 164801 (2007)

**Basic idea** - Korol, Solov'yov, Greiner, J.Phys.G, v.24, L45 (1998); PRL, 98, 164801, (2007)

**reviews:** International Journal of Modern Physics E, v.8, p.49-100 (1999); v.13, p.867-916 (2004); Monograph, Second Edition, Springer-Verlag, Berlin, Heidelberg (2014)

An operating CU could produce nearly monochromatic X- and  $\gamma$ -ray beams with higher monochromaticity than Channeling and higher intensity than CB



H2020-MSCA-RISE PEARL (2016-2019) & N-Light (2020-2023)

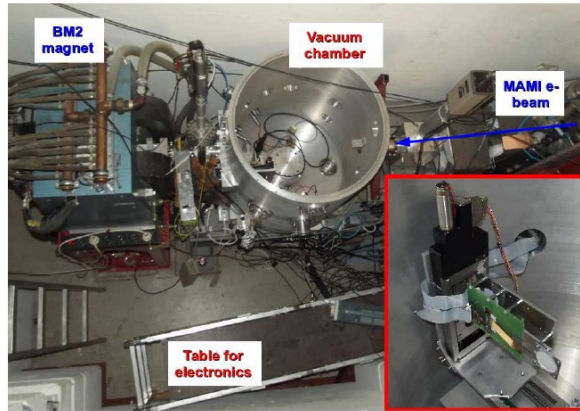
(consortium with several institute that work in the subject of radiation in oriented crystals such as MBN Center, ESRF, MAMI, INP MINSK, AARHUS, INFN, UNIFE)

See talk of **A. Solov'yov** (coordinator of EU RISE projects)



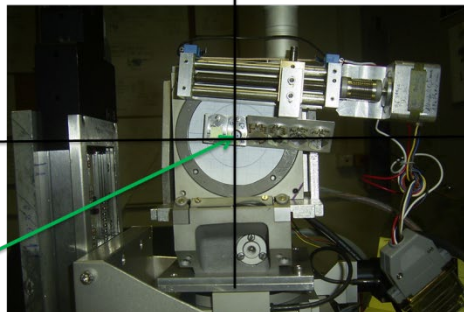
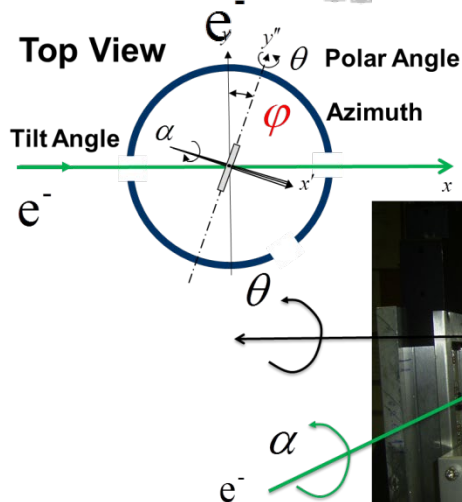


# Experimental setup at the MAInzer Mikrotron



Si-detector  
for electrons

Si Target mounted on  
goniometers  
(3 rotations)



Ionization  
Chamber

Camera

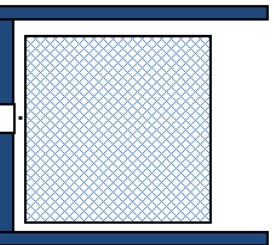
ZnS  
Screen

Beam Dump

Shielding

**NaI Detector**

(10"  $\varnothing$   $\times$  10" length)  
Rad. Length  $X_0=10$



Pb 100 mm  
 $\varnothing$  40mm

**855 MeV electron beam** characteristics:

Beam-Spot size  $\sigma_{\text{hor}} = 200 \mu\text{m}$ ,

Beam Divergence  $\sigma'_{\text{hor}} = 70 \mu\text{rad}$

Beam-Spot size  $\sigma_{\text{vert}} = 70 \mu\text{m}$ ,

Beam Divergence  $\sigma'_{\text{vert}} = 30 \mu\text{rad}$

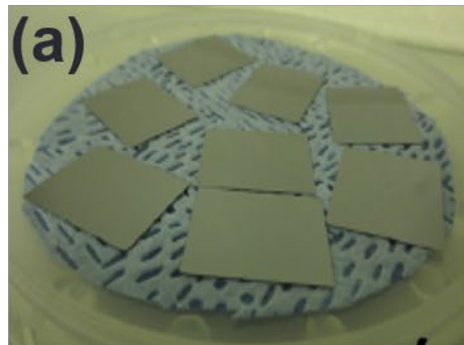
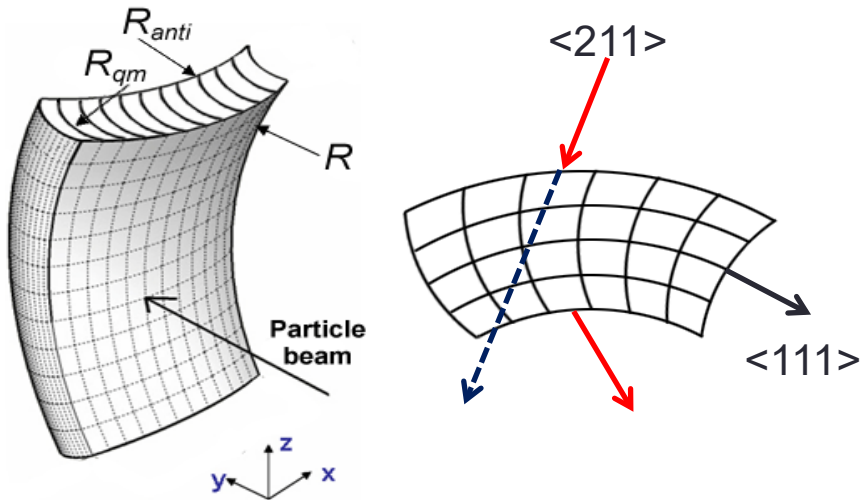


# Manufacturing of ultra-short bent silicon crystals

Channeled negative particles are dechanneled faster than positive ones due to higher probability to scatter on nuclei;

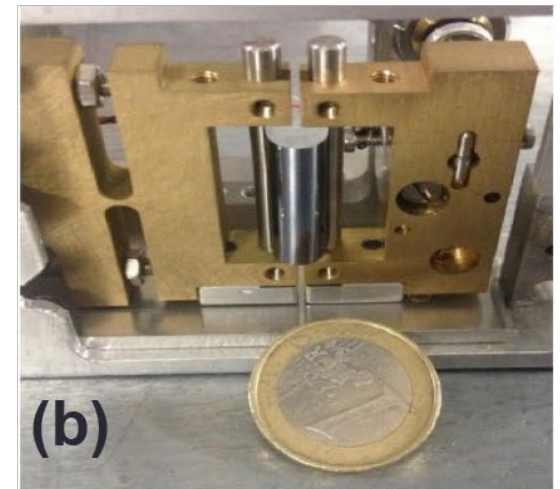


Ultra thin bent crystals are required for efficient deflection of negative particles

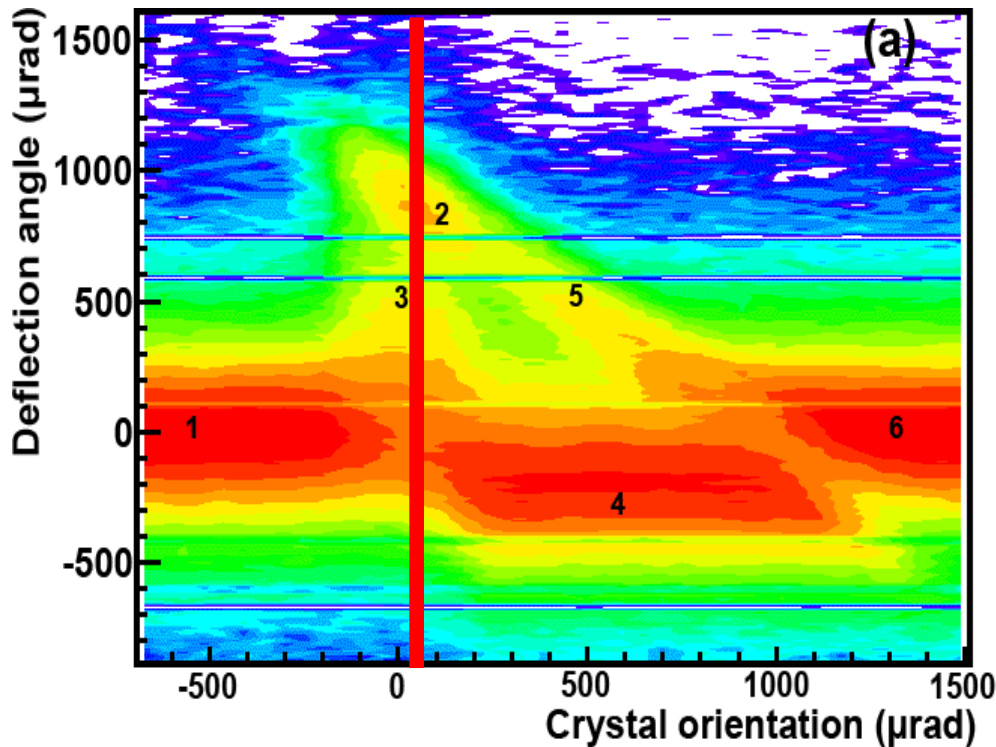


Realization of **tens micron Si membranes** (a) and their bending (b):

- determine the **dechanneling length** and deflection capability
- study **channeling radiation** in the **sub-GeV energy range**

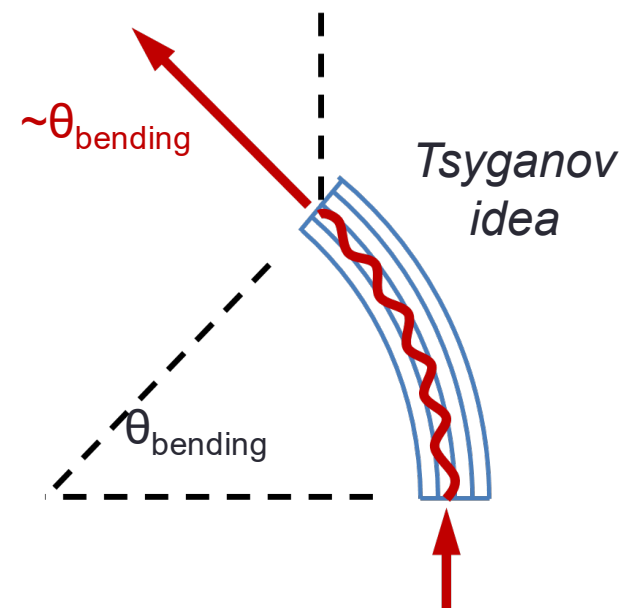
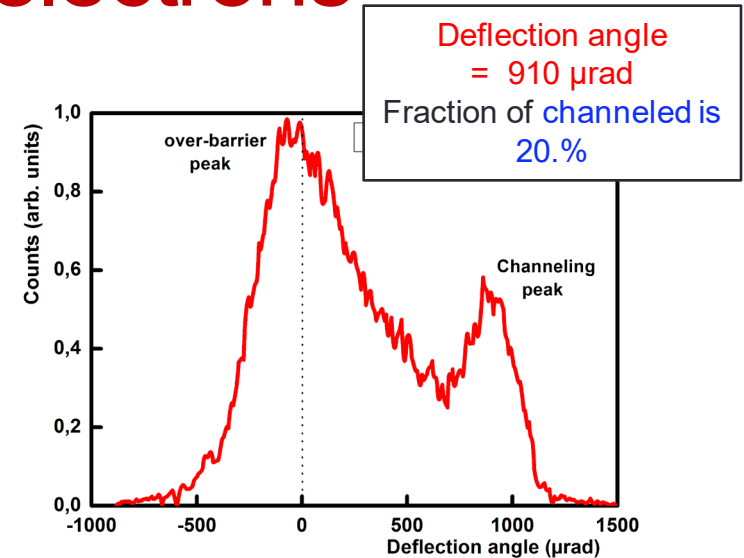


# Experimental results on beam steering with 0.855 GeV electrons



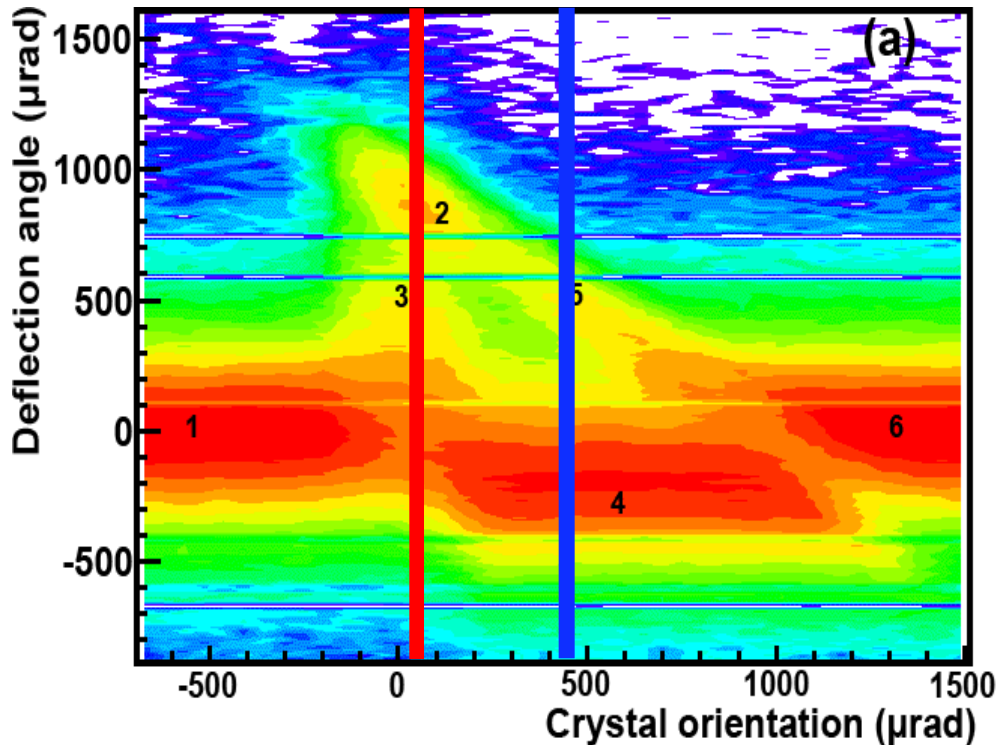
First experimental observation of **channeling of negative particles** in the sub-GeV energy range

The **Si bent crystal** was 30  $\mu\text{m}$  thick with 0.9 mrad bending  $\rightarrow$  1.5 times the dechanneling length for 0.855 GeV  $e^-$ , limiting the deflection efficiency



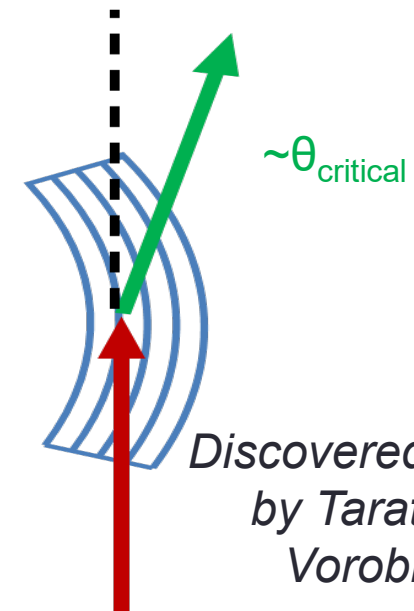
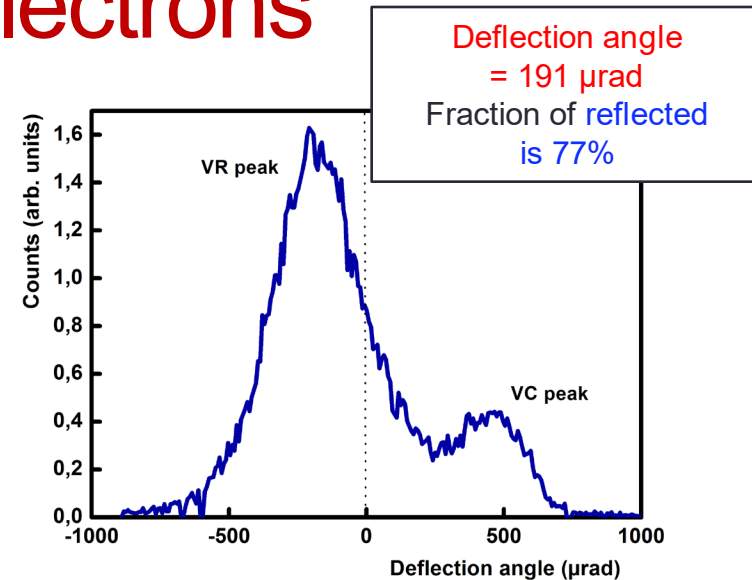


# Experimental results on beam steering with 0.855 GeV electrons



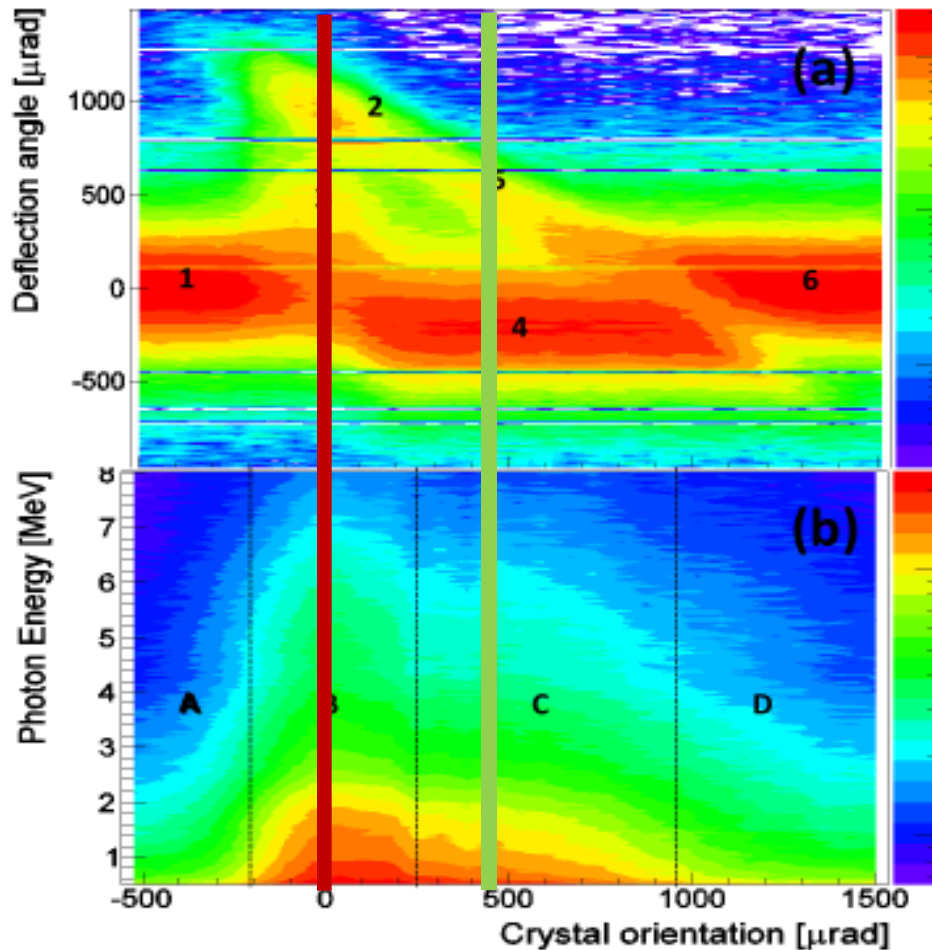
## VOLUME REFLECTION

Higher deflection efficiency and angular acceptance ( $\sim \theta_{\text{bending}}$ ) than Channeling, but smaller deflection angle

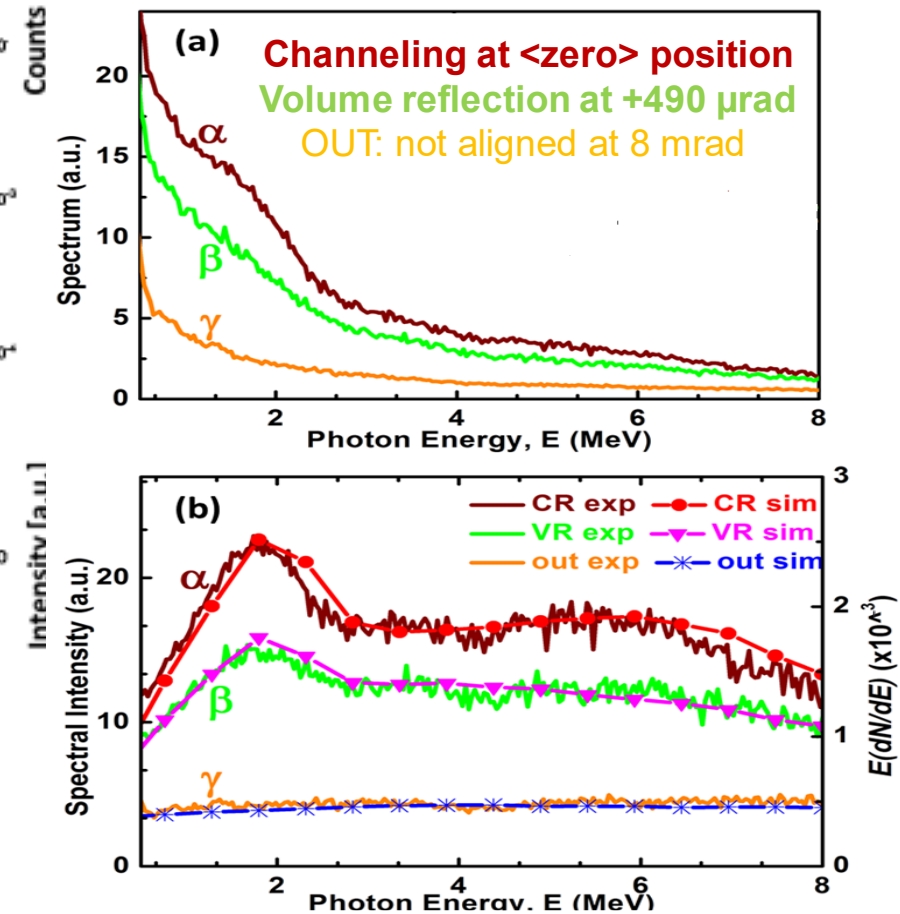


*Discovered in MC  
by Taratin &  
Vorobiev*

# Experimental results on radiation emission

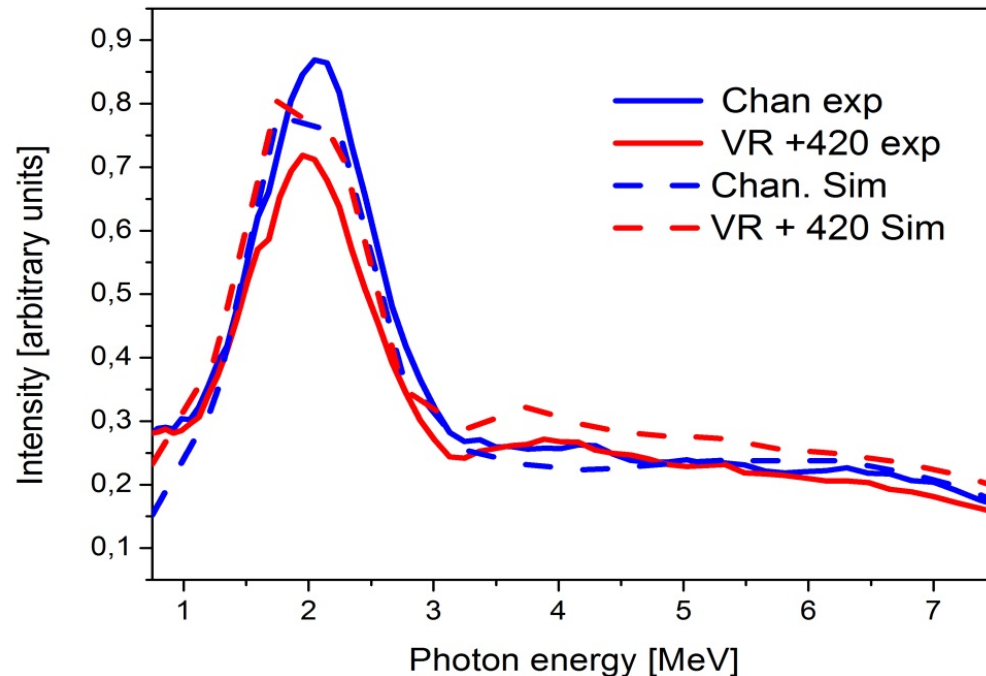


in VR orientation, emitted radiation seems to remain soft and intense as for channeling with a much higher angular acceptance



Channeling at <zero> position  
Volume reflection at +490  $\mu\text{rad}$   
OUT: not aligned at 8 mrad

# Smaller collimator aperture: 4 mm

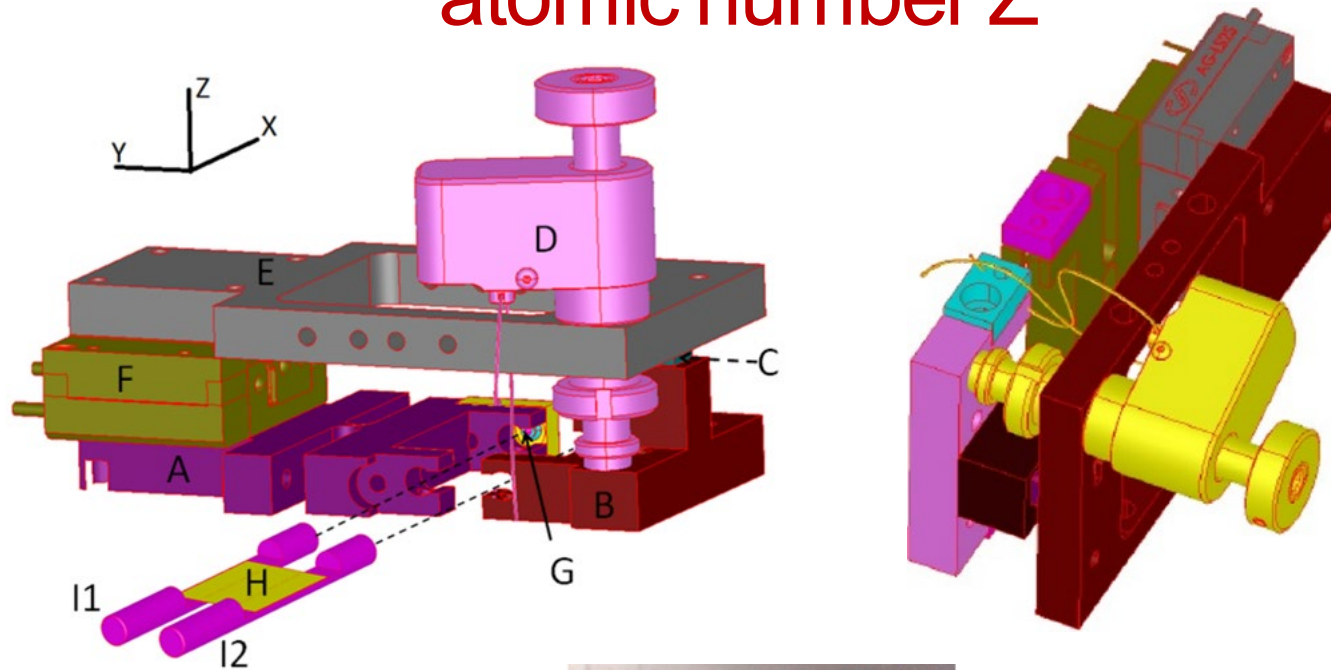


Peak at  $E_\gamma \sim 2$  MeV  
for 855 MeV electrons  
in (111) Si bent planes

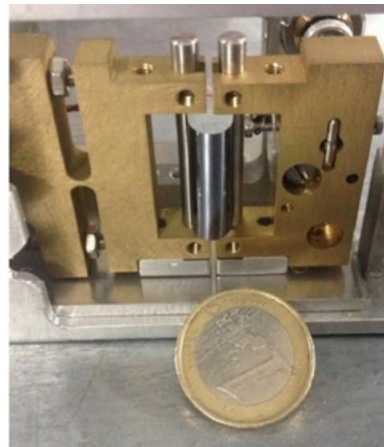
**Collimator aperture of about 500  $\mu$ rad (instead of 5 mrad)**

For straight crystals, the radiation intensity falls off very rapidly out of the channeling region, which is as large as  $2\theta_{\text{critical}} = 440 \mu\text{rad}$ . **The strongest intensity for VR as compared to CB, that makes this kind of radiation more similar to CR**

# Channeling and VR radiation vs. bending R and atomic number Z



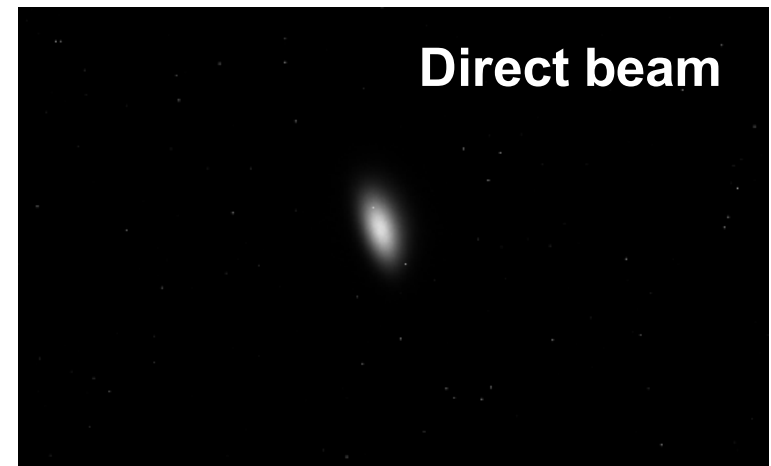
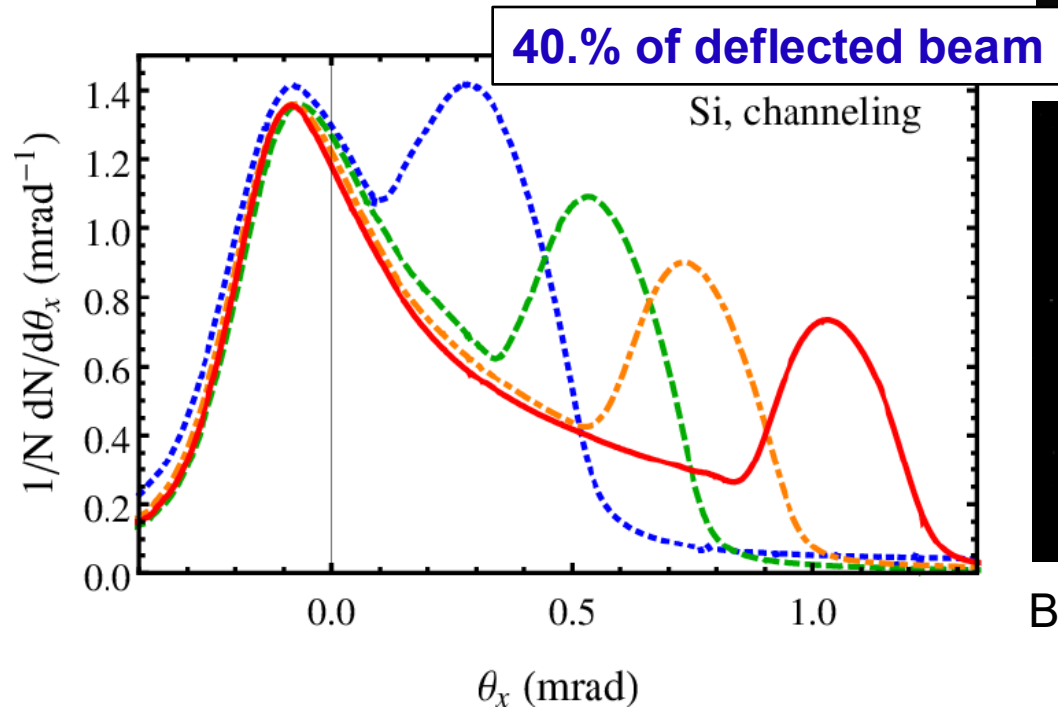
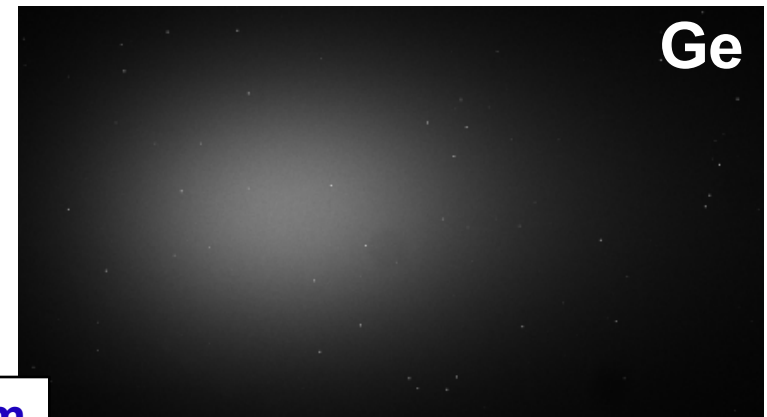
- **Si**
  - Crystal length: **15  $\mu\text{m}$**
  - Bending angles:
    - 315  $\mu\text{rad}$
    - 550  $\mu\text{rad}$
    - 750  $\mu\text{rad}$
    - 1080  $\mu\text{rad}$



- **Ge**
  - Crystal length: **15  $\mu\text{m}$**
  - Bending angles:
    - 820  $\mu\text{rad}$
    - 1200  $\mu\text{rad}$
    - 1430  $\mu\text{rad}$

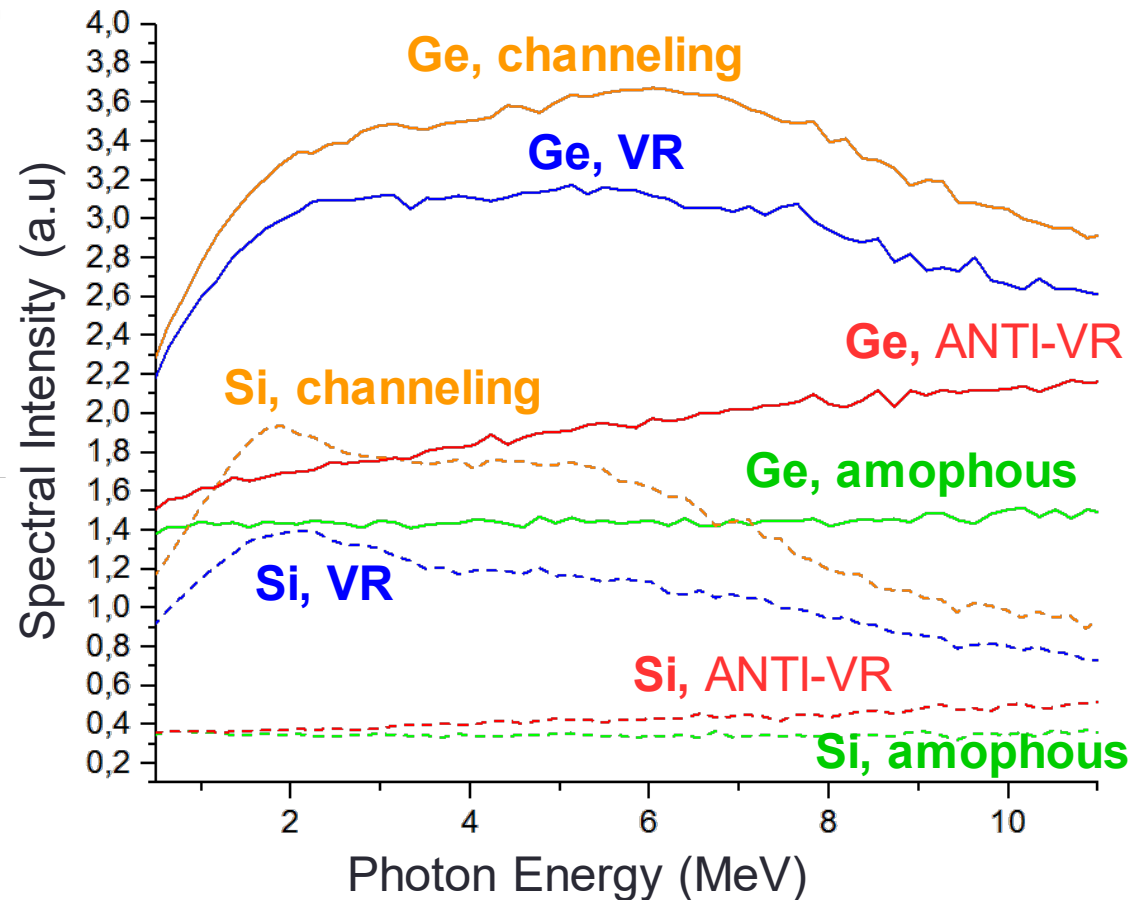
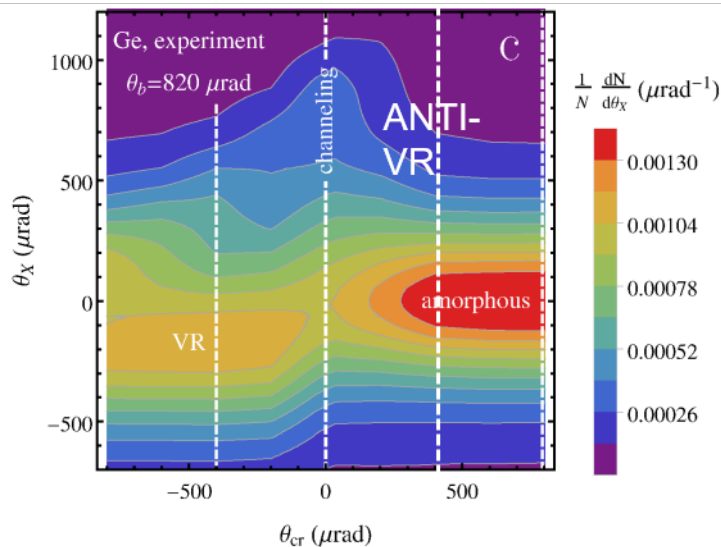
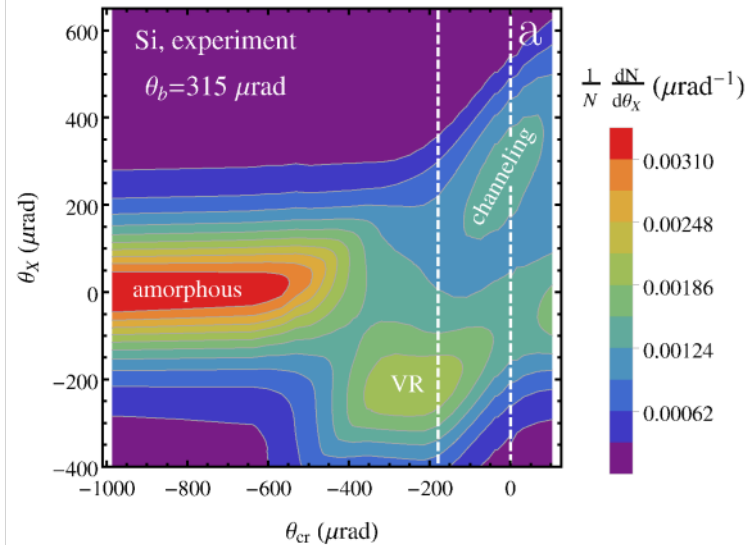
**D. De Salvador et al. JINST 13, C04006 (2018))**

# Beam deflection at different bending



Beam angular divergence: **21.4  $\mu\text{rad}$**

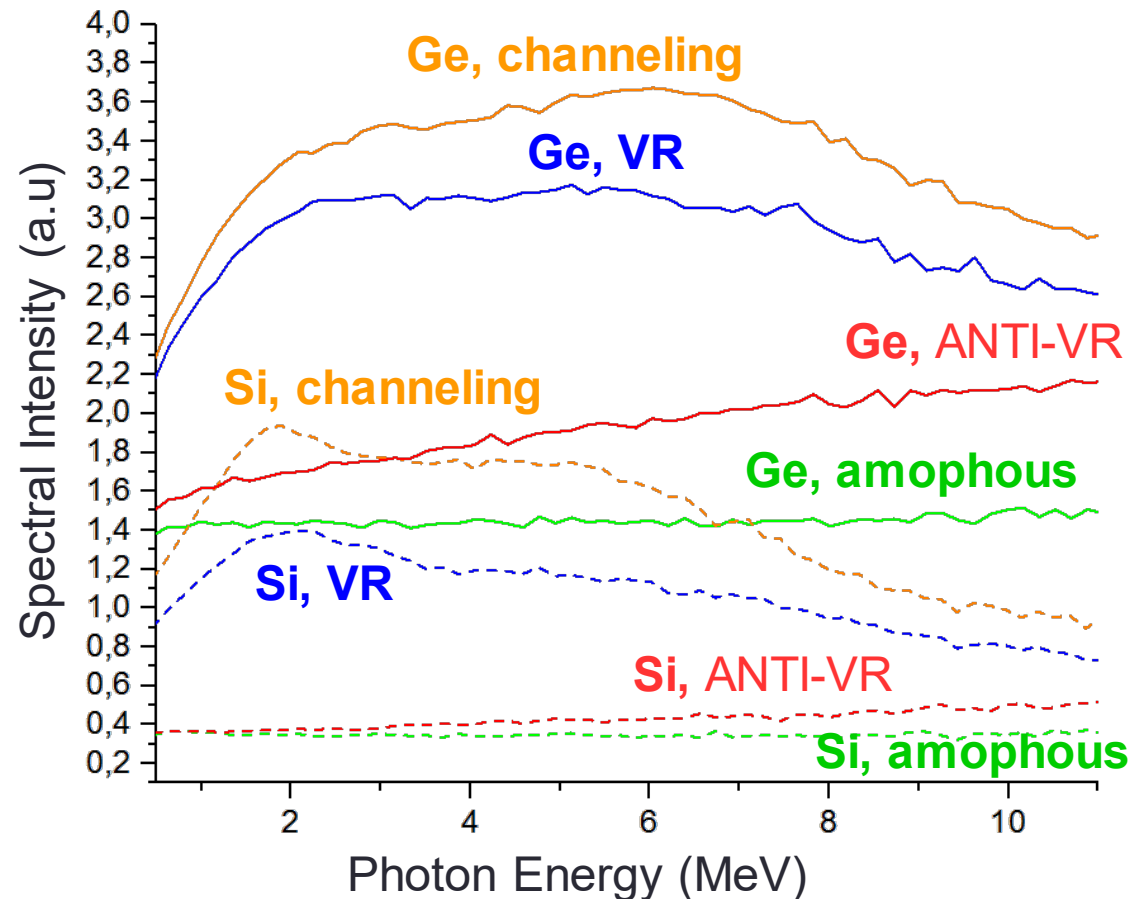
# Investigation on Channeling & VR radiation vs. atomic number Z





# Investigation on Channeling & VR radiation vs. atomic number $Z$

- In germanium crystal the increase in the radiation production rate is evident, while the channeling peak is less pronounced and seems to occur at higher energy than for silicon;



# Possible applications

- **The radiation accompanying Volume Reflection (VR) possesses an adjustable and broad angular acceptance**, which can be used for high-intensity radiation generation with poor emittance beams:
  - Since VR is less sensitive to crystalline defects as compared to channeling → higher-Z materials such as Ge and W, which usually cannot be grown with the same perfection as a Si crystal, can be used.
- Information on coherent radiation in bent crystals may be useful for the design of a **crystalline undulator**.



# An algorithm for computation of radiation emission in oriented crystals

Based on the Baier Katkov general method for calculation of radiation generated by  $e^\pm$  in an external field

The electromagnetic radiated energy is evaluated with the BK formula:

$$\frac{dE}{d^3k} = \omega \frac{dN}{d^3k} \frac{\alpha}{4\pi^2} \iint dt_1 dt_2 \frac{[(E^2 + E'^2)(v_1 v_2 - 1) + \omega^2/\gamma^2]}{2E'^2} e^{-ik'(x_1 - x_2)} \quad (1)$$

where the integration is made over the classical trajectory.

The generality of the Baier-Katkov operator method permits to simulate the electromagnetic radiation emitted by  $e^\pm$  in very different cases, e. g., straight, bent and periodically bent crystals, and for different beam energy range, from sub-GeV to TeV.

# Baier-Katkov quasiclassical operator method (1967-1968)

General method for calculation of radiation generated by  $e^\pm$  in an external field

The electromagnetic radiated energy is evaluated with the BK formula:

$$\frac{dE}{d^3k} = \omega \frac{dN}{d^3k} \frac{\alpha}{4\pi^2} \iint dt_1 dt_2 \frac{[(E^2 + E'^2)(v_1 v_2 - 1) + \omega^2/\gamma^2]}{2E'^2} e^{-ik'(x_1 - x_2)} \quad (1)$$

where the integration is made over the classical trajectory.

Why classical trajectory?

2 types of quantum effects :

- the quantization of particle motion  $\sim \hbar\omega_0/E$   
In crystals: **nearly negligible for electron/positron energy >10-100 MeV**
- the **quantum recoil** of the particle when it radiates a photon with energy  $\hbar\omega \sim E$   
**NOT negligible for electron/positron energy >50 GeV**

# An algorithm for radiation in crystals

## Integration of the BK formula

**SMALL ANGLE APPROXIMATION:** Since the angle between particle trajectories and crystal planes or axes is small and at ultrarelativistic energies the radiation angle  $1/\gamma$  is much smaller than unity the particle velocity  $\mathbf{v}$  and photon momentum  $\mathbf{k}$  can be represented in the form :

$$\begin{aligned}\mathbf{v}(t) &\simeq \mathbf{v}_{\perp}(t) + \mathbf{e}_z [1 - 1/2\gamma^2 - v_{\perp}^2(t)/2], \\ \mathbf{k} = \mathbf{n}\omega &\simeq \mathbf{e}_{\perp}\omega\theta + \mathbf{e}_z\omega(1 - \theta^2/2),\end{aligned}$$

where the angle  $\theta \ll 1$  represents the radiation angle. The formula (1) can be rewritten as:

$$\frac{dE}{d^3k} \sim \frac{\alpha}{8\pi^2} \frac{\varepsilon^2 + \varepsilon'^2}{\varepsilon'^2} \omega^2 C, \quad (2)$$

$$\text{where } C = |\mathbf{I}_{\perp}|^2 + \gamma^{-2} \frac{\omega^2}{\varepsilon^2 + \varepsilon'^2} |J|^2 \quad (3)$$

# An algorithm for radiation in crystals

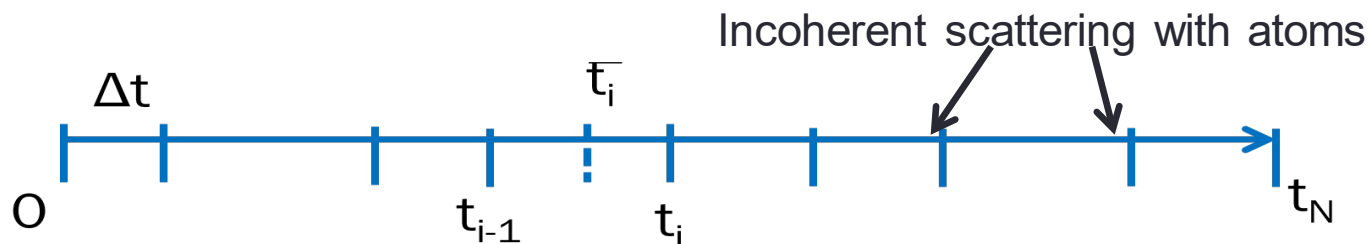
## Integration of the BK formula

**SMALL ANGLE APPROXIMATION:** the integrals of eq. (1) can be represented as follows:

$$\frac{J}{I_{\perp}} = \int_{-\infty}^{+\infty} dt (v_{\perp} - \theta) e^{-i\phi(t)} \quad (4)$$

being  $\phi(t) = \frac{\omega'}{2} \int_{-\infty}^t dt' [\gamma^{-2} + (v_{\perp}(t') - \theta)^2]$  and  $\omega' = \omega \varepsilon / \varepsilon'$ .

## ACCOUNT OF INCOHERENT SCATTERING:



The **particle trajectory** is then divided in **N small steps**, within which the particle trajectory is calculated through the integration of equation of motion in the continuous potential. **At the end of each step the scattering by nuclei and electrons is sampled** and the transverse velocity for the i-step becomes

$$\mathbf{v}_{\perp,i} \rightarrow \mathbf{v}_{\perp,i} + \theta_{s,i}$$

The integration over  $\theta$  leads to the radiation spectral intensity,  $\omega dN/d\omega$ .

# MC code based on BK

The algorithm for direct integration of the BK formula (RADCHARM++) [1,2] has been implemented in a MC program that simulate the particle trajectory in oriented crystals [2,3].

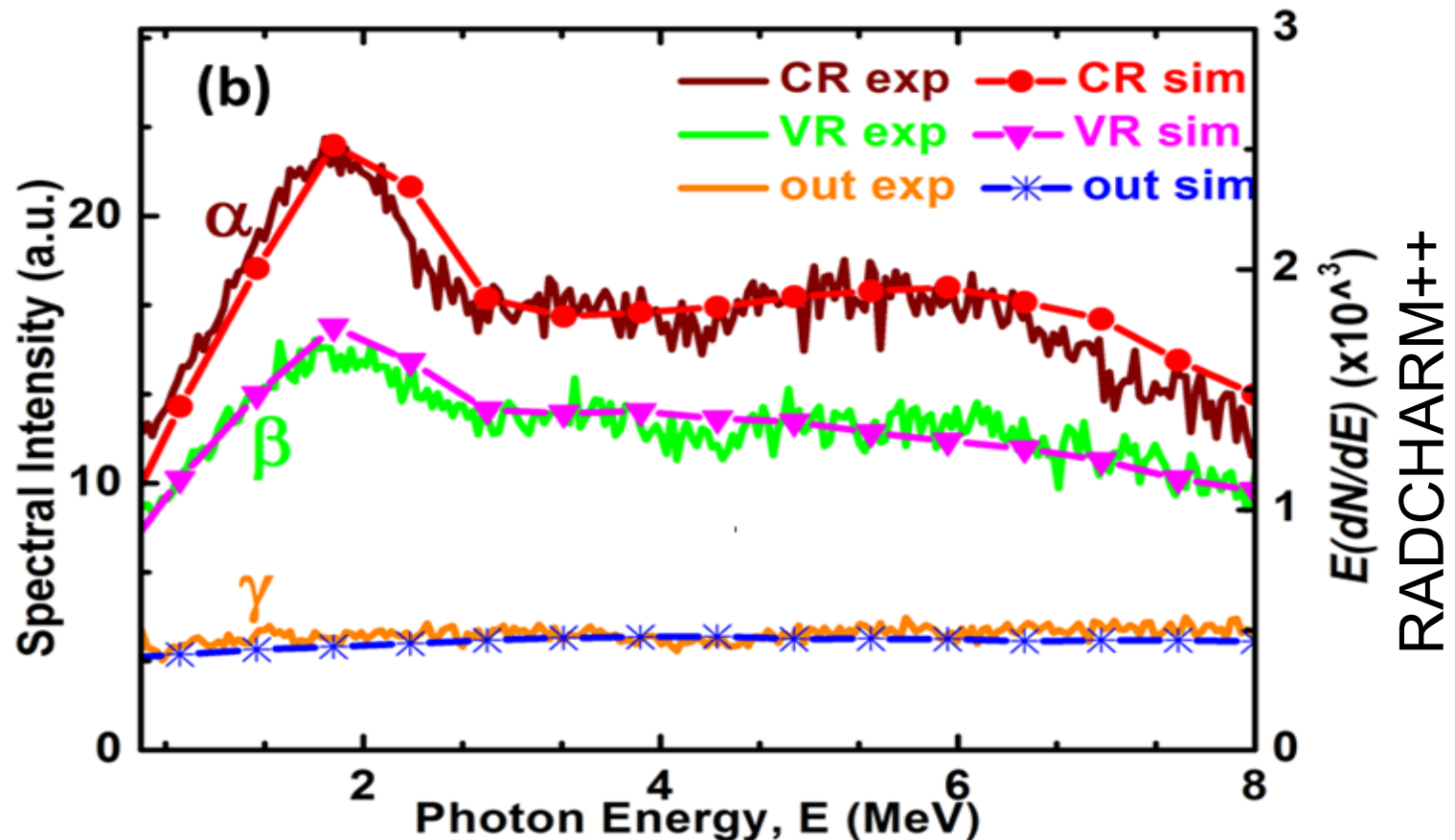
- The electrical characteristic of the crystal are evaluated by using the atomic form factors from x-ray diffraction data;
- Numerical integration of the classical equation of motion of particle trajectories under the continuum potential approximation;
- At the end of each step the multiple and single scattering by nuclei and electrons is sampled.

**We also started the implementation of the electromagnetic processes enhancement in crystals in the GEANT4 toolkit [4].**

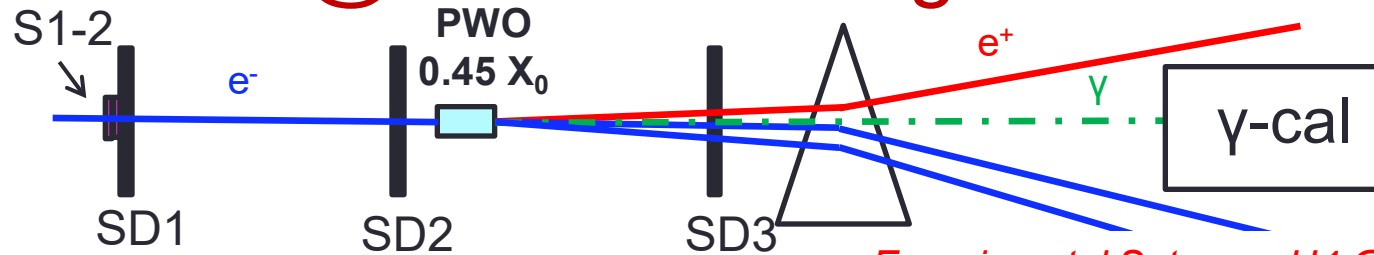
- [1] L. Bandiera, et al., Nucl. Instrum. Methods Phys. Res. B 355, 44 (2015).  
[2] V. Guidi, L. Bandiera, V. Tikhomirov, Phys. Rev. A 86 (2012) 042903]  
[3] A. I. Sytov, V. V. Tikhomirov, and L. Bandiera, Phys. Rev. Accel. Beams 22, 064601 (2019).  
[4] L. Bandiera, V.V.Haurylavets, V. Tikhomirov Nucl. Instrum. Methods Phys. Res. A 936 (2019) 124.

# Comparison with MAMI experiments

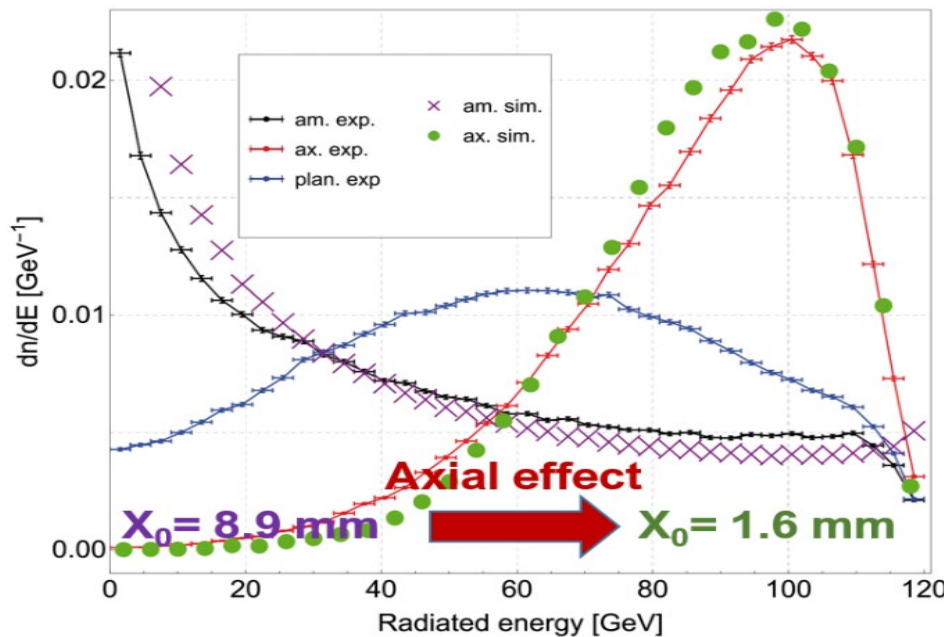
Comparison with experiment performed at the Mainzer Mikrotron with 855 MeV electrons interacting with a 30.5  $\mu\text{m}$  bent Si crystal along the (111) planes



# Comparison with experiments @ 120 GeV energies



Experimental Setup on H4 @CERN SPS

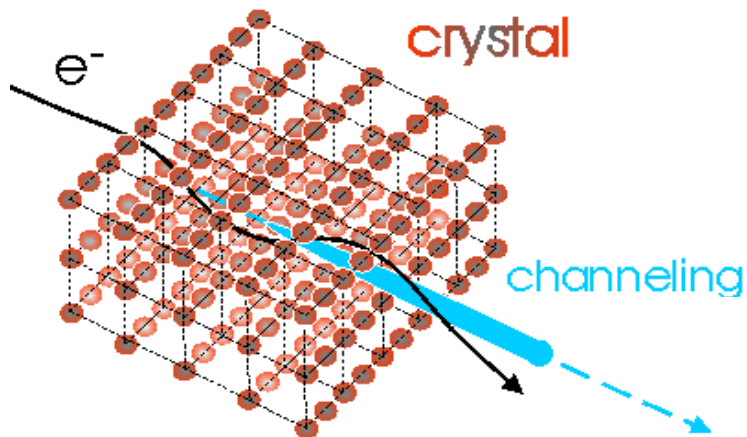


The **radiation length** in the oriented **scintillator crystal** (PWO, which is the material of the CMS ECAL) is **reduced by a factor 5** in comparison to the random orientation.

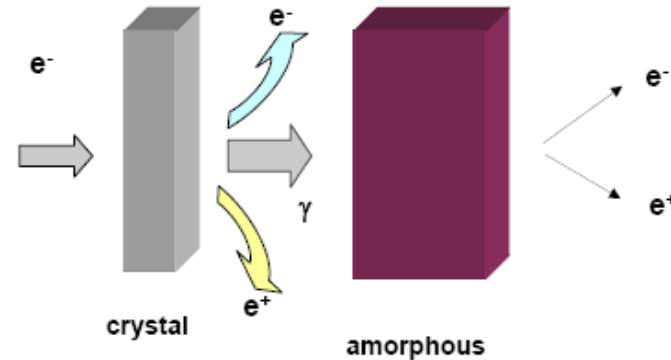


**Possible application for compact forward e.m. calorimeters**

# ...Applications

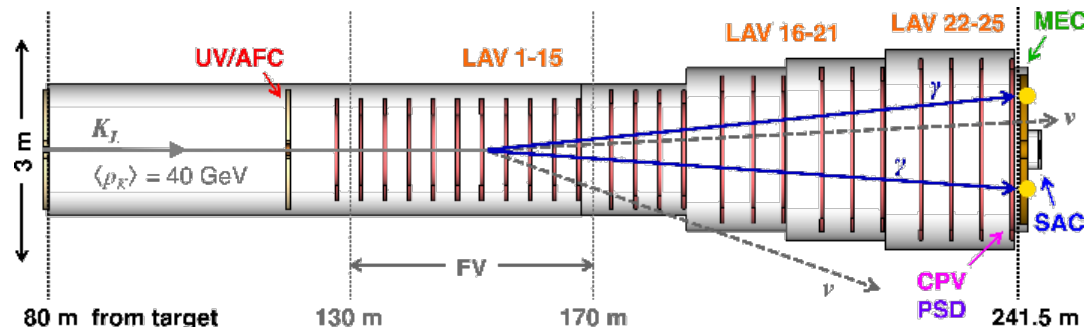


**Crystal-based gamma source**



**Crystal-based positron source**

*new collaboration with the IJCLab/IN2P3/CNRS team  
(see I. Chaikovska talk tomorrow).*



**Oriented crystal based Converter/ Small Angle E.M. Calorimeter**

*Ongoing collaboration with NA62/KLEVER @CERN SPS*



# Conclusions and perspectives

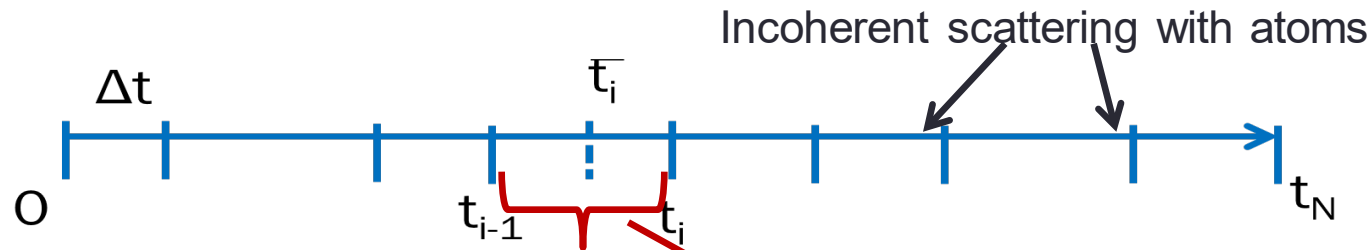
- ✓ **Experiments on Channeling and Volume Reflection radiation emitted by 855 MeV electrons in a 15-30  $\mu\text{m}$  Si and Ge bent crystals have been carried on at the Mainzer Mikrotron:**
  - ✓ First results on electron beam steering in sub-GeV energy range. For the first time a deflection efficiency of about 40% was achieved for sub-GeV electrons;
  - ✓ Possible applications of radiation emission in bent crystals have been highlighted.
- **An algorithm to compute of radiation emitted by relativistic  $e^\pm$  in crystals based on the Baier-Katkov method has been realized:**
  - Comparison with experiments show a very good agreement in a wide energy range (from 1 GeV to 100 GeV);
  - Wide range of applications of the BK algorithm in HEP and applied physics.

THANK YOU FOR THE  
ATTENTION!

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# An algorithm for radiation in crystals

## Integration of the BK formula



In order to improve the convergence of its integration over  $t$  and  $\theta$  (photon emission angle), the integrals of eq. 4 are computed as follows after an integration by parts:

$$J \approx i \sum_{i=1}^N \left\{ \exp[i\phi(t_i)] \left[ \frac{1}{\dot{\phi}_{t_i+0}} - \frac{1}{\dot{\phi}_{t_i-0}} \right] - \exp[i\phi(\bar{t}_i)] \left[ \frac{2\ddot{\phi}}{\dot{\phi}^3} \right]_{t_i} \sin([\phi(t_i - 0) - \phi(t_{i-1} + 0)]/2) \right\}$$

If incoherent scattering is switched off, it is go to zero.

$$\dot{\phi}(t < t_i) = \frac{\omega'}{2} [1/\gamma^2 + (\mathbf{v}_{\perp}(t) - \boldsymbol{\theta})^2],$$

$$\ddot{\phi}(t) = \omega' (\mathbf{v}_{\perp}(t) - \boldsymbol{\theta}) \cdot \dot{\mathbf{v}}_{\perp}(t),$$

$$\dot{\phi}(t_i + 0) = \frac{\omega'}{2} [1/\gamma^2 + (\mathbf{v}_{\perp}(t) + \boldsymbol{\theta}_{s,i} - \boldsymbol{\theta})^2], \quad \dot{\mathbf{v}}_{\perp} = -\frac{1}{\varepsilon} \frac{\partial U(\mathbf{r})}{\partial \mathbf{r}_{\perp}}, \quad U(\mathbf{r}) \text{ being the continuous potential.}$$

The contributions of the trajectory ends are not taken into account, thus neglecting the soft contribution of transition radiation.

The integration over  $\theta$  leads to the radiation spectral intensity,  $\omega dN/d\omega$ .