

Effective actions from string field theory

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in collaboration with

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Objectives

1. Deriving tree-level effective actions from (string) field theory
 - **low-energy classical physics** of superstring backgrounds
 - a useful handle on constructing certain **classical solutions** (marginal deformations, barely-relevant deformations)

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- strongly homotopy matrix/Lie algebras (A_∞ / L_∞)
- inherited from the parent UV theory via **homotopy transfer** \implies all-order results for effective physics

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3. Evaluation of effective SFT vertices

- computing (generally off-shell) superstring amplitudes
- simplification for superstring with global worldsheet $\mathcal{N} = 2$ superconformal symmetry \implies localization

Outline

- 1 Introduction to SFT and homotopy algebras
- 2 Effective physics from homotopy transfer

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An **off-shell formulation of string theory** such that on-shell its Feynman diagram expansion reproduces the first-quantized string S-matrix.

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What is it good for?

- consistent treatment of string perturbation theory [Sen et al.: 2015 – present]
- classical SFT solutions provide non-perturbative string backgrounds (open SFT \implies D-branes) [Sen: hep-th/9911116]
- dynamics of non-perturbative phenomena (vacuum instabilities and decay) [Sen: hep-th/0203211]

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Algebraic structure:

- open string: (cyclic/quantum) A_∞ algebras [Gaberdiel, Zwiebach: hep-th/9705038]
- closed string: (cyclic/quantum) L_∞ algebras [Zwiebach: hep-th/9206084]

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Analytic structure: vertices given by **2d worldsheet matter + ghost CFT correlators** integrated over the moduli space of **Riemann surfaces** with **punctures** (string insertions), **boundaries** (open string ends) and **genus** (loops)

A_∞ algebras

[Stasheff: 1963]

Vector space: \mathcal{H} graded by **degree** $d : \mathcal{H} \rightarrow \mathbb{Z}$.

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A_∞ relations (for $A_1, A_2, \dots \in \mathcal{H}$)

$$0 = m_1(m_1(A_1)),$$

$$0 = m_1(m_2(A_1, A_2)) + m_2(m_1(A_1), A_2) + (-1)^{d(A_1)} m_2(A_1, m_1(A_2)),$$

$$0 = m_1(m_3(A_1, A_2, A_3)) + m_2(m_2(A_1, A_2), A_3) + (-1)^{d(A_1)} m_2(A_1, m_2(A_2, A_3)) + m_3(m_1(A_1), A_2, A_3) + (-1)^{d(A_1)} m_3(A_1, m_1(A_2), A_3) + (-1)^{d(A_1)+d(A_2)} m_3(A_1, A_2, m_1(A_3)),$$

\vdots

or, more compactly,

$$\sum_{l=1}^k m_l m_{k+1-l} = 0$$

A_∞ algebras

Symplectic form: $\omega : \mathcal{H}^{\otimes 2} \rightarrow \mathbb{C}$

$$\omega(A_1, A_2) = -(-1)^{d(A_1)d(A_2)}\omega(A_2, A_1)$$

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Cyclicity:

$$\omega(A_1, m_k(A_2, \dots, A_{k+1})) = -(-1)^{d(A_1)}\omega(m_k(A_1, \dots, A_k), A_{k+1})$$

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A_∞ action: for Φ degree even

$$S(\Phi) = \frac{1}{2}\omega(\Phi, m_1(\Phi)) + \frac{1}{3}\omega(\Phi, m_2(\Phi, \Phi)) + \frac{1}{4}\omega(\Phi, m_3(\Phi, \Phi, \Phi)) + \dots$$

→ **equation of motion**

$$0 = \text{EOM}(\Phi) \equiv m_1(\Phi) + m_2(\Phi, \Phi) + m_3(\Phi, \Phi, \Phi) + \dots$$

→ **linearized gauge symmetry**

$$\delta\Phi = m_1(\Lambda) + m_2(\Lambda, \Phi) + m_2(\Phi, \Lambda) + \dots$$

L_∞ algebras

[Lada, Stasheff: hep-th/9209099]

Products: $l_k : \mathcal{H}^{\wedge k} \rightarrow \mathcal{H}$ are **graded-symmetric**

$$l_k(A_{\sigma(1)}, \dots, A_{\sigma(k)}) = (-1)^\sigma l_k(A_1, \dots, A_k)$$

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$$0 = l_1(l_3(A_1, A_2, A_3)) + l_2(l_2(A_1, A_2), A_3) +$$

$$+ (-1)^{d(A_1)(d(A_2)+d(A_3))} l_2(l_2(A_2, A_3), A_1) +$$

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L_∞ action: for Φ degree-even

$$S(\Phi) = \frac{1}{2!} \omega(\Phi, Q\Phi) + \frac{1}{3!} \omega(\Phi, l_2(\Phi, \Phi)) + \frac{1}{4!} \omega(\Phi, l_3(\Phi, \Phi, \Phi)) + \dots$$

L_∞ from A_∞

Symmetrization: for (cyclic) A_∞ products m_k define

$$l_k(A_1, \dots, A_k) \equiv \sum_{\sigma \in S_k} (-1)^{\epsilon(\sigma)} m_k(A_{\sigma(1)}, \dots, A_{\sigma(k)}),$$

that is

$$\begin{aligned} l_1(A_1) &= m_1(A_1), \\ l_2(A_1, A_2) &= m_2(A_1, A_2) + (-1)^{d(A_1)d(A_2)} m_2(A_2, A_1), \\ &\vdots \end{aligned}$$

→ l_k satisfy an L_∞ algebra

→ A_∞ action defined using m_k becomes an L_∞ action written in terms of l_k
⇒ all A_∞ field theories are automatically L_∞

General field theories

One can express a **general classical field theory** in an L_∞ form
[Hohm, Zwiebach: 1701.08824]

$$\mathcal{H} = \dots \oplus \mathcal{H}_{-1} \oplus \mathcal{H}_0 \oplus \mathcal{H}_{+1} \oplus \dots$$

\mathcal{H}_{+1} ... gauge parameters (can be empty)

\mathcal{H}_0 ... dynamical fields

\mathcal{H}_{-1} ... field equations

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Examples:

→ **massless scalar ϕ** : L_∞ structure $l_1 = \square$, l_2, l_3, \dots (Taylor exp. of $V(\phi)$)

→ **Chern-Simons theory**: A_2 structure $m_1 = d$, $m_2 = \wedge$

→ Yang-Mills, Einstein-Hilbert, ...

→ **Witten's cubic open SFT**: A_2 structure $m_1 = Q$, $m_2 = *$

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Projectors and propagators

[Kajiura: [hep-th/0112228](https://arxiv.org/abs/hep-th/0112228); Berkovits, Schnabl: [hep-th/0307019](https://arxiv.org/abs/hep-th/0307019)]

BPZ-even projector P (denote $\bar{P} \equiv 1 - P$)

$$\omega(A_1, PA_2) = \omega(PA_1, A_2)$$

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Splitting the string field:

$$\Phi = \phi + R$$

$\phi \equiv P\Phi$... modes which we want to keep

$R \equiv \bar{P}\Phi$... modes we are integrating out

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Propagator $h : \mathcal{H} \rightarrow \mathcal{H}$ for R (with gauge-fixing condition $hR = 0$) satisfies the (abstract) **Hodge-Kodaira decomposition**

$$hm_1 + m_1h = 1 - P$$

as well as (consistency) **annihilation conditions**

$$h^2 = hP = Ph = 0$$

Classical integration-out

Recursion relation: solving the R -equation of motion

$$\text{EOM}_R(\psi, R) \equiv \bar{P} \text{EOM}(\psi + R)$$

for ϕ , we obtain

$$R(\psi) = -h[m_2(\Psi, \Psi) + m_3(\Psi, \Psi, \Psi) + \dots] \Big|_{\Psi=\psi+R(\psi)}$$

so that denoting $\mathcal{G}(A) = -hm_2(A, A) - hm_3(A, A, A)$, we can iterate to find

$$\begin{aligned} \Psi(\psi) &\equiv \psi + R(\psi) \\ &= \psi + \mathcal{G}(\psi + \mathcal{G}(\psi + \mathcal{G}(\psi + \dots))) \\ &= \psi - hm_2(\psi, \psi) - hm_3(\psi, \psi, \psi) + \\ &\quad + hm_2(hm_2(\psi, \psi), \psi) + hm_2(\psi, hm_2(\psi, \psi)) + \dots \end{aligned}$$

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Gauge-constraint trivialization: (denoting $\text{EOM}_\psi(\psi, R) \equiv P \text{EOM}(\psi + R)$)

$$\text{EOM}_\psi(\psi^*, R(\psi^*)) = 0 \quad \implies \quad \text{EOM}(\psi^*, \Psi(\psi^*)) = 0$$

→ no additional independent constraints apart from the e.o.m. for ψ

[Erbin, Maccaferri, Schnabl, JV: 2006.16270]

Effective dynamics

Effective equation of motion:

$$\text{EOM}_\psi(\psi, R(\psi)) = \tilde{m}_1(\psi) + \tilde{m}_2(\psi, \psi) + \tilde{m}_3(\psi, \psi, \psi) + \dots$$

where we introduce **effective A_∞ products** [Kajiura: hep-th/0112228]

$$\tilde{m}_1(A_1) = Pm_1(A_1),$$

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→ **tree-level Feynman diagram expansion** of effective vertices

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Cyclicity: effective products \tilde{m}_k cyclic whenever [Kajiura: math/0306332]

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Effective action:

$$\tilde{S}(\psi) \equiv S(\Psi(\psi)) = \frac{1}{2} \omega(\psi, \tilde{m}_1(\psi)) + \frac{1}{3} \omega(\psi, \tilde{m}_2(\psi, \psi)) + \frac{1}{4} \omega(\psi, \tilde{m}_3(\psi, \psi, \psi)) + \dots$$

Strong deformation retract

Chain complex:

$$(V, d_V) \begin{array}{c} \xleftarrow{\pi} \\ \xrightarrow{\iota} \end{array} (W, d_W)$$

quasi-isomorphisms π, ι such that $d_W \pi = \pi d_V, \iota d_W = d_V \iota$

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Hodge-Kodaira decomposition: contracting homotopy $\eta : V \rightarrow V$ such that

$$\iota \pi - 1_V = d_V \eta + \eta d_V$$

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Retract & annihilation conditions:

$$\pi \iota = 1_W, \quad \pi \eta = \eta^2 = \eta \iota = 0$$

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\implies SDR:

$$\begin{array}{c} \circlearrowleft \\ \eta \end{array} (V, d_V) \begin{array}{c} \xleftarrow{\pi} \\ \xrightarrow{\iota} \end{array} (W, d_W)$$

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Example: decomposing $P = \Pi I$, we have

$$\mathcal{C} \circlearrowleft (-h) (\mathcal{H}, m_1) \begin{array}{c} \xleftarrow{\Pi} \\ \xrightarrow{I} \end{array} (P\mathcal{H}, \Pi m_1 I),$$

Homological perturbation lemma

[see e.g. Crainic: [math/0403266](#)]

Perturbation: $\delta_V : V \rightarrow V$ of d_V such that

$$(d_W)^2 \equiv (d_V + \delta_V)^2 = 0.$$

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New SDR:

$$\mathcal{C} \ni \tilde{\eta}(V, \tilde{d}_V) \begin{array}{c} \xleftarrow{\tilde{\pi}} \\ \xrightarrow{\tilde{\iota}} \end{array} (W, \tilde{d}_W).$$

with **perturbed data**

$$\begin{aligned} \delta_W &= \pi \delta_V \frac{1}{1_V - \eta \delta_V} \iota, \\ \tilde{\iota} &= \iota + \eta \delta_V \frac{1}{1_V - \eta \delta_V} \iota, \\ \tilde{\pi} &= \pi + \pi \delta_V \frac{1}{1_V - \eta \delta_V} \eta, \\ \tilde{\eta} &= \eta + \eta \delta_V \frac{1}{1_V - \eta \delta_V} \eta, \end{aligned}$$

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Starting with $d_V = m_1$, we would like to **view δ_V as adding higher products (interactions) “ $m_2 + m_3 + \dots$ ”**

Tensor coalgebra language

Tensor product space: [Gaberdiel, Zwiebach: hep-th/9705038]

$$T\mathcal{H} = \mathcal{H}^{\otimes 0} \oplus \mathcal{H}^{\otimes 1} \oplus \mathcal{H}^{\otimes 2} \oplus \dots$$

with deconcatenation coproduct $\Delta \implies$ **tensor coalgebra** $(T\mathcal{H}, \Delta)$

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Uplift of various products on \mathcal{H} to maps $T\mathcal{H} \longrightarrow T\mathcal{H}$ (coderivations, cohomomorphisms, ...)

$$m_k, h, P, \Pi, I, \quad \longrightarrow \quad \mathbf{m}_k, \mathbf{h}, \mathbf{P}, \mathbf{\Pi}, \mathbf{I}$$

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$$m_k, h, P, \Pi, I, \quad \longrightarrow \quad \mathbf{m}_k, \mathbf{h}, \mathbf{P}, \mathbf{\Pi}, \mathbf{I}$$

Examples:

$\rightarrow \mathbf{m}_k$ acts on $\mathcal{H}^{\otimes N}$ for $N < k$ trivially, and, for $N \geq k$ we have

$$\mathbf{m}_k = \sum_{n=0}^{N-k} [(1_{\mathcal{H}})^{\otimes N-k-n} \otimes m_k \otimes (1_{\mathcal{H}})^{\otimes n}]$$

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$$\mathbf{h} = \sum_{l=0}^{N-1} [(1_{\mathcal{H}})^{\otimes l} \otimes h \otimes P^{\otimes (N-1-l)}] \quad \implies \quad \begin{aligned} \mathbf{m}_1 \mathbf{h} + \mathbf{h} \mathbf{m}_1 &= \mathbf{1}_{T\mathcal{H}} - \mathbf{P} \\ \mathbf{h} \mathbf{I} &= \mathbf{h}^2 = \mathbf{P} \mathbf{h} = 0 \end{aligned}$$

Tensor coalgebra language

Tensor product space: [Gaberdiel, Zwiebach: hep-th/9705038]

$$T\mathcal{H} = \mathcal{H}^{\otimes 0} \oplus \mathcal{H}^{\otimes 1} \oplus \mathcal{H}^{\otimes 2} \oplus \dots$$

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A_{∞} relations: introducing the total coderivation $\mathbf{m} = \mathbf{m}_1 + \mathbf{m}_2 + \dots$ we have (cf. classical BV master equation)

$$[\mathbf{m}, \mathbf{m}]^2 = 0$$

Effective physics as homotopy transfer

Free SDR:

$$\mathbb{C}(-\mathbf{h})(T\mathcal{H}, \mathbf{m}_1) \begin{array}{c} \xleftarrow{\Pi} \\ \xrightarrow{\mathbf{I}} \end{array} (TP\mathcal{H}, \Pi\mathbf{m}_1\mathbf{I})$$

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Perturbation: deform \mathbf{m}_1 by adding interactions $\mathbf{m}_1 \rightarrow \mathbf{m} \equiv \mathbf{m}_1 + \delta\mathbf{m}$ where

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Interacting SDR:

$$\mathcal{C}(-\tilde{\mathbf{h}})(T\mathcal{H}, \mathbf{m}) \xleftarrow[\tilde{\mathbf{I}}]{\tilde{\mathbf{\Pi}}} (TP\mathcal{H}, \tilde{\mathbf{m}})$$

where in particular

$$\tilde{\mathbf{m}} = \mathbf{\Pi m} \frac{1}{\mathbf{1}_{T\mathcal{H}} + \mathbf{h}\delta\mathbf{m}} \mathbf{I} = \tilde{\mathbf{m}}_1 + \tilde{\mathbf{m}}_2 + \tilde{\mathbf{m}}_3 + \dots$$

→ homological perturbation lemma automatically takes care of the tree-level Feynman diagram expansion!

[Costello, Gwilliam: 2009; Konopka: 1507.08250; Erbin, Maccaferri, Schnabl, JV: 2006.16270; Arvanitakis, Hohm, Hull, Lekeu: 2007.07942]

Horizontal composition

Sequential integrating-out:

→ first integrate out DOFs given by $\mathbf{P}^{(1)}$ using propagator $\mathbf{h}^{(1)}$

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Example: open SFT on a stack of Dp -branes (\implies non-Abelian YM + $\mathcal{O}(\alpha')$)

1. Integrate out **massive modes** using **Siegel gauge propagator** $h^{(1)} = (b_0/L_0)\bar{P}_0$
2. Integrate out the (auxiliary) **Nakanishi-Lautrup field** using **algebraic propagator** $h^{(2)} = (1/2)b_1b_{-1}c_0P_0$ to obtain gauge-invariant effective action for gluons only

→ composite propagator (see also [Sen: 2002.04043])

$$h^{(12)} = \frac{b_0}{L_0}\bar{P}_0 + \frac{1}{2}b_1b_{-1}P_0$$

$\mathcal{N} = 2$ localization

Evaluation of effective couplings:

- generally need to compute off-shell tree-level string amplitudes \implies hard!!
- focus on effective couplings of **massless modes** at **zero momentum**
 \implies **moduli space** for the background at hand
- further simplification for superstring backgrounds with global worldsheet $\mathcal{N} = 2$ **superconformal symmetry** such that all marginal operators carry $U(1)$ R -charge ± 1

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Conjecture: computation of tree-level zero-momentum effective superstring couplings for backgrounds with global $\mathcal{N} = 2$ worldsheet SCA **localizes** on the component of the boundary of the bosonic worldsheet moduli space given by **one-propagator degeneration**

Verifications:

- quartic effective potential in open SFT \implies generalized ADHM constraints
[Maccaferri, Merlano: 1801.07607, 1905.04958; JV: 1910.00538]
- quartic effective potential in heterotic SFT \implies no need for the bosonic closed string 3-product [Erbin, Maccaferri, JV: 1912.05463]
- certain 5-point couplings in open SFT [Maccaferri, JV: in progress]

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Thank you!