

Performance of the reconstruction and identification of high-momentum muons collected with CMS in 13 TeV data



Istituto Nazionale di Fisica Nucleare

C. Battilana (University and INFN Bologna)
on behalf of the CMS collaboration



[ICHEP2020: 40th International Conference on High Energy Physics 28/07 - 06/08 \(virtual conference\)](#)

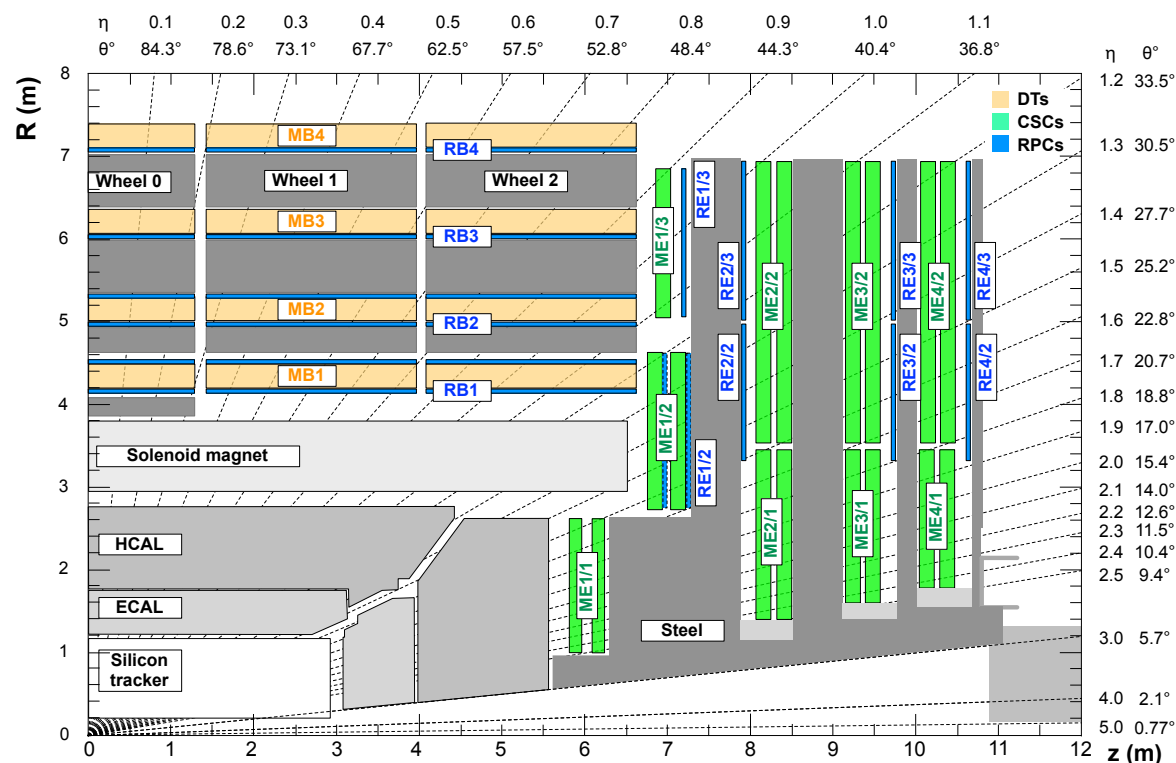
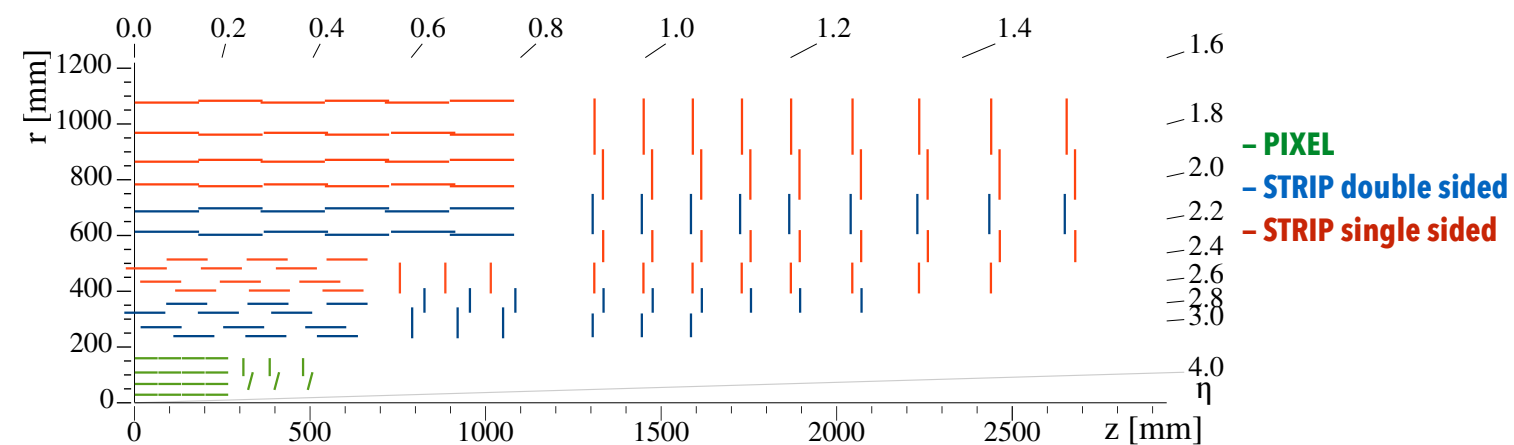
Detection of muons with the Compact Muon Solenoid (CMS)

- ▶ Muon in CMS are tracks with curved trajectory, bent in radial plane by a $B = 3.8$ T solenoidal field
- ▶ Muon tracking is performed with:

1. Silicon inner tracker:

- ▶ **PIXEL:** 4 layers (BPix) - 3 layers (FPix) ≥ 2017
3 layers (BPix) - 2 layers (FPix) ≤ 2016
- ▶ **STRIP:** 4 layers (TIB) - 6 layers (TOB) barrel
3 layers (TID) - 9 layers (TEC) endcap

Longitudinal view of a quarter of the inner tracker



2. Muon system (gaseous detectors):

- ▶ **Drift Tubes ($|\eta| < 1.2$)**
tracking detector with trigger capabilities
- ▶ **Cathode Strip Chambers ($0.9 < |\eta| < 2.4$)**
tracking detector with trigger capabilities
- ▶ **Resistive Plate Chambers ($|\eta| < 1.9$)**
mostly used in trigger

Longitudinal view of a quarter of the muon system

Importance and characteristics of high-momentum muons

Importance and characteristics of high-momentum muons

- ▶ Why was it critical to study high-energy muons over Run-2?

Importance and characteristics of high-momentum muons

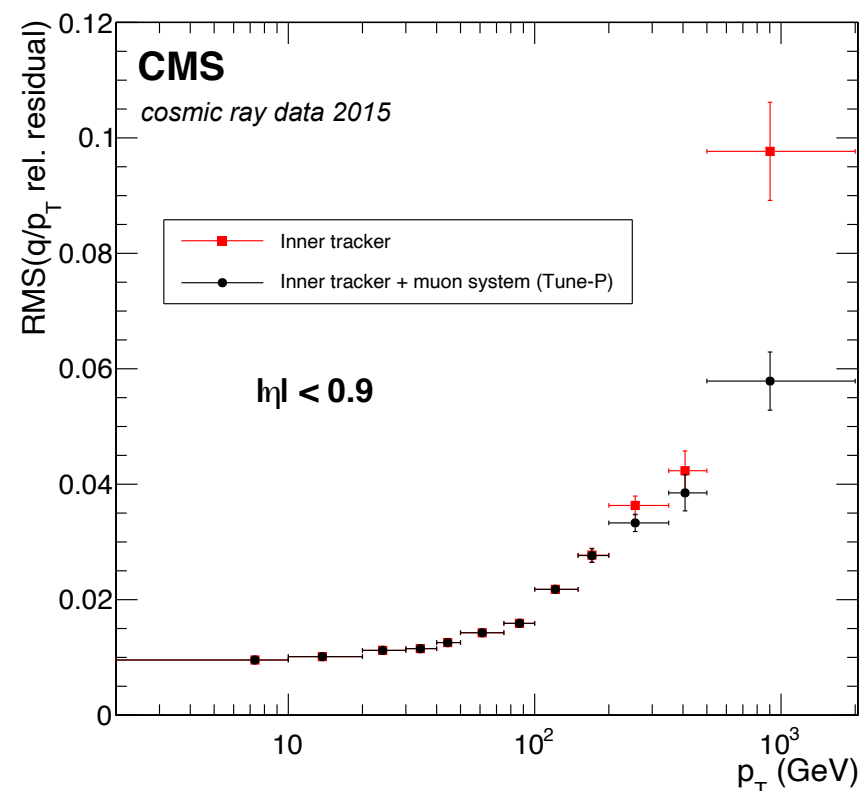
- ▶ Why was it critical to study high-energy muons over Run-2?
 - ▶ LHC \sqrt{s} from 8 TeV to 13 TeV: large increase in cross-section of high-invariant-mass resonances (e.g. Z' , W')
 - ▶ More than 140 fb^{-1} of collected data is "good for physics": an unprecedented statistics of high-momentum muons

Importance and characteristics of high-momentum muons

- ▶ Why was it critical to study high-energy muons over Run-2?
 - ▶ LHC \sqrt{s} from 8 TeV to 13 TeV: large increase in cross-section of high-invariant-mass resonances (e.g. Z' , W')
 - ▶ More than 140 fb^{-1} of collected data is "good for physics": an unprecedented statistics of high-momentum muons
- ▶ Also, how those muons differ from lower energy ($\approx 200 \text{ GeV}$) ones?

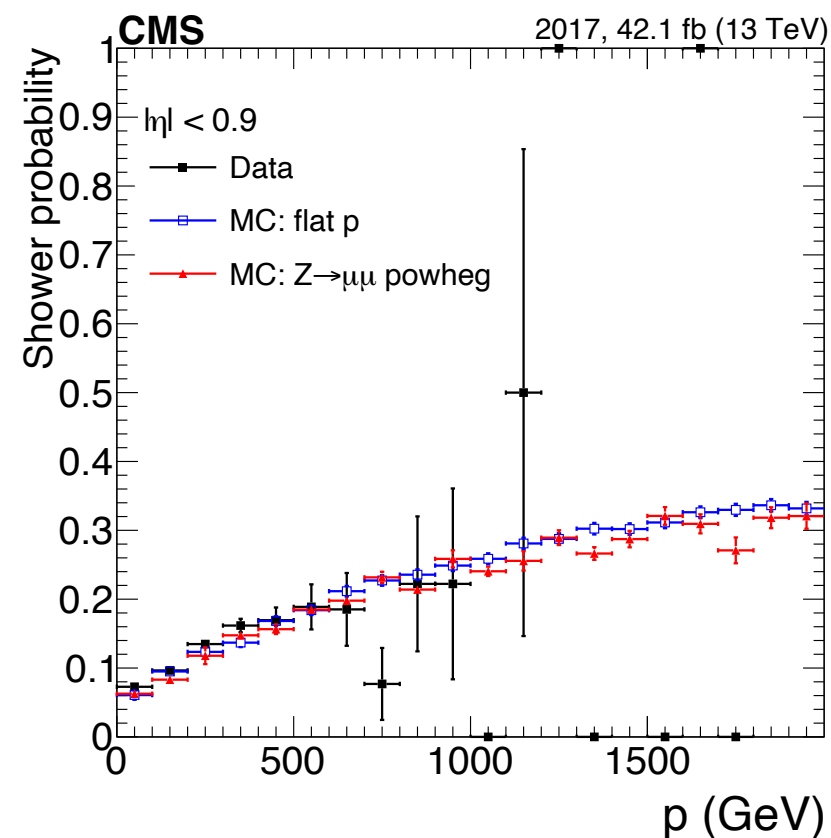
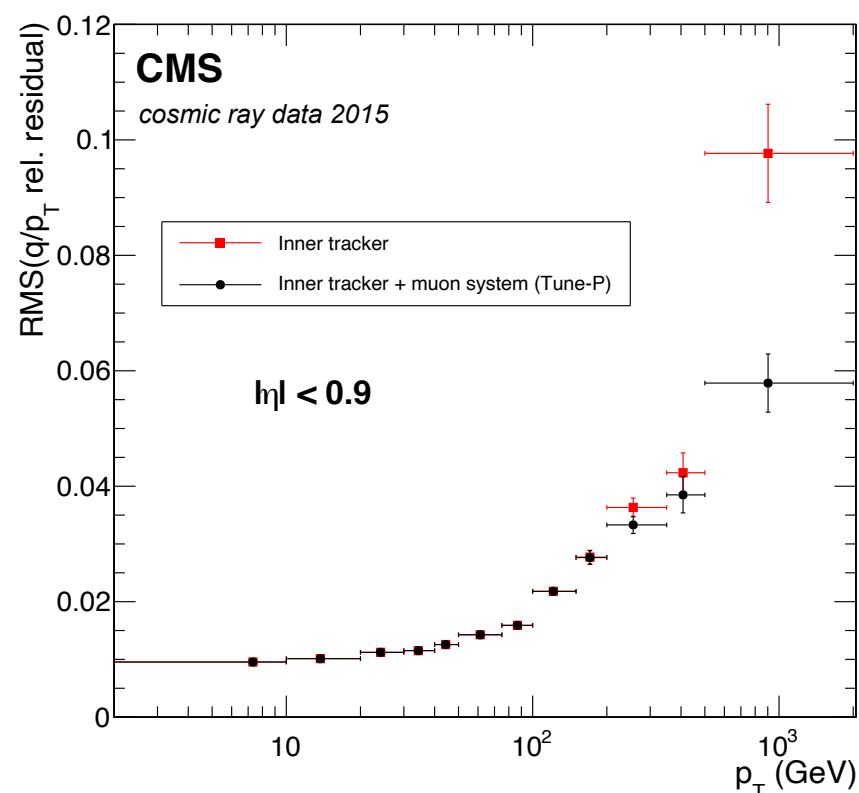
Importance and characteristics of high-momentum muons

- ▶ Why was it critical to study high-energy muons over Run-2?
 - ▶ **LHC \sqrt{s} from 8 TeV to 13 TeV**: large increase in cross-section of high-invariant-mass resonances (e.g. Z' , W')
 - ▶ **More than 140 fb^{-1} of collected data is "good for physics"**: an unprecedented statistics of high-momentum muons
- ▶ Also, how those muons differ from lower energy ($\approx 200 \text{ GeV}$) ones?
 1. **Muon curvature and multiple scattering along trajectory are reduced**: muon system contributes to the measure of p_T
 - ▶ Accurate knowledge of tracker and muon system alignment becomes critical



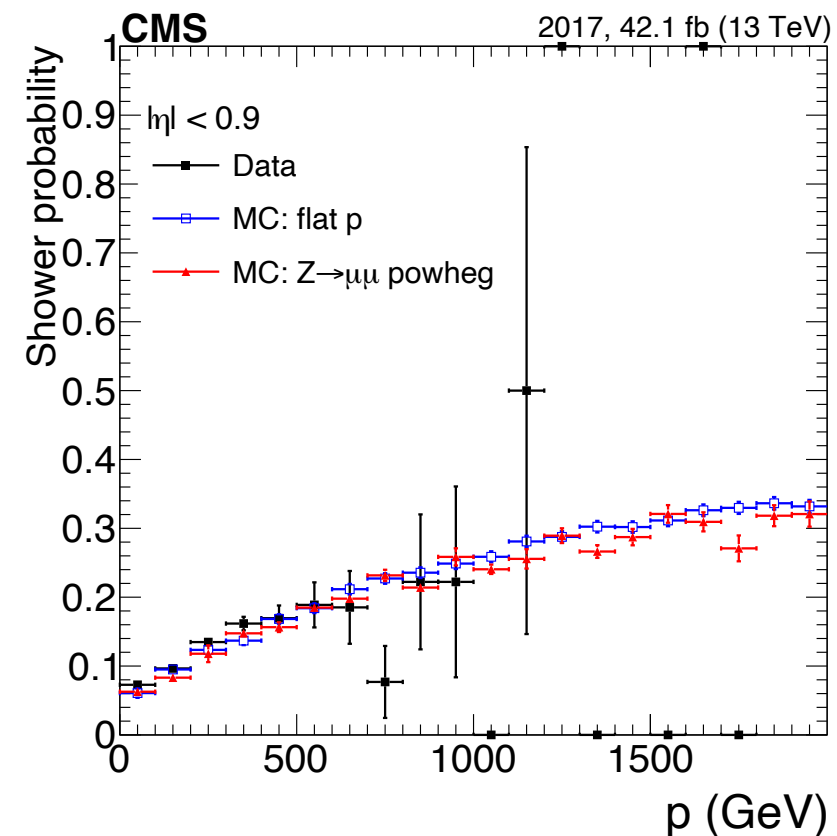
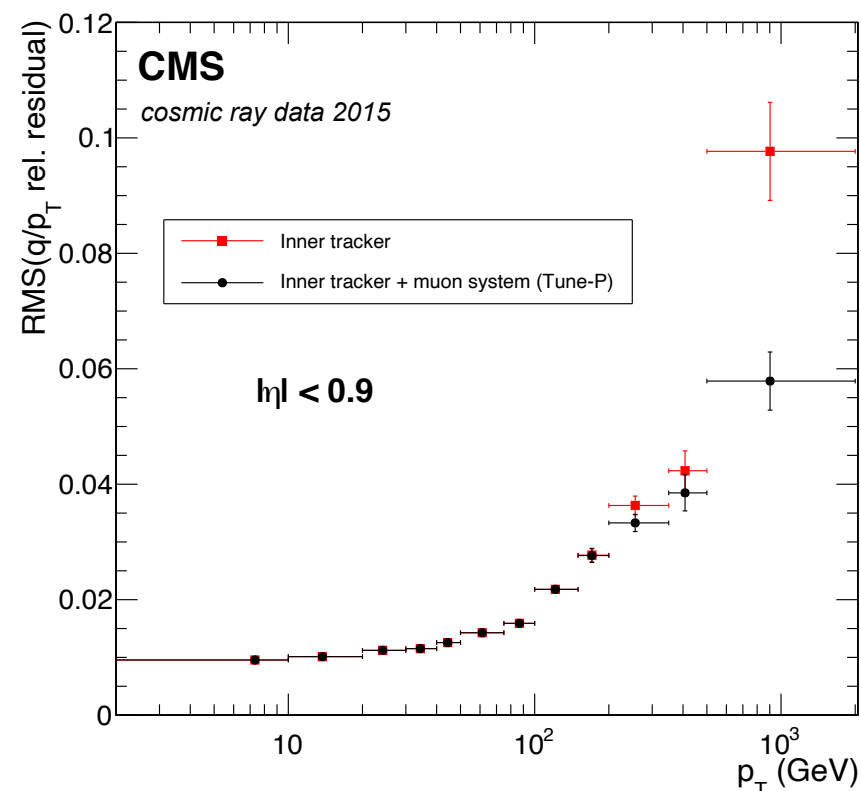
Importance and characteristics of high-momentum muons

- ▶ Why was it critical to study high-energy muons over Run-2?
 - ▶ **LHC \sqrt{s} from 8 TeV to 13 TeV**: large increase in cross-section of high-invariant-mass resonances (e.g. Z' , W')
 - ▶ **More than 140 fb^{-1} of collected data is "good for physics"**: an unprecedented statistics of high-momentum muons
- ▶ Also, how those muons differ from lower energy ($\approx 200 \text{ GeV}$) ones?
 1. **Muon curvature and multiple scattering along trajectory are reduced**: muon system contributes to the measure of p_T
 - ▶ Accurate knowledge of tracker and muon system alignment becomes critical
 2. **Radiative contributions to muon energy loss becomes sizable**: the critical energy of muons in iron $\sim 350 \text{ GeV}$
 - ▶ Methods to tag events with "showers" in the muon system, based on counting of hit or segment multiplicities, were developed



Importance and characteristics of high-momentum muons

- ▶ Why was it critical to study high-energy muons over Run-2?
 - ▶ **LHC \sqrt{s} from 8 TeV to 13 TeV**: large increase in cross-section of high-invariant-mass resonances (e.g. Z' , W')
 - ▶ **More than 140 fb^{-1} of collected data is "good for physics"**: an unprecedented statistics of high-momentum muons
- ▶ Also, how those muons differ from lower energy ($\approx 200 \text{ GeV}$) ones?
 1. **Muon curvature and multiple scattering along trajectory are reduced**: muon system contributes to the measure of p_T
 - ▶ Accurate knowledge of tracker and muon system alignment becomes critical
 2. **Radiative contributions to muon energy loss becomes sizable**: the critical energy of muons in iron $\sim 350 \text{ GeV}$
 - ▶ Methods to tag events with "showers" in the muon system, based on counting of hit or segment multiplicities, were developed

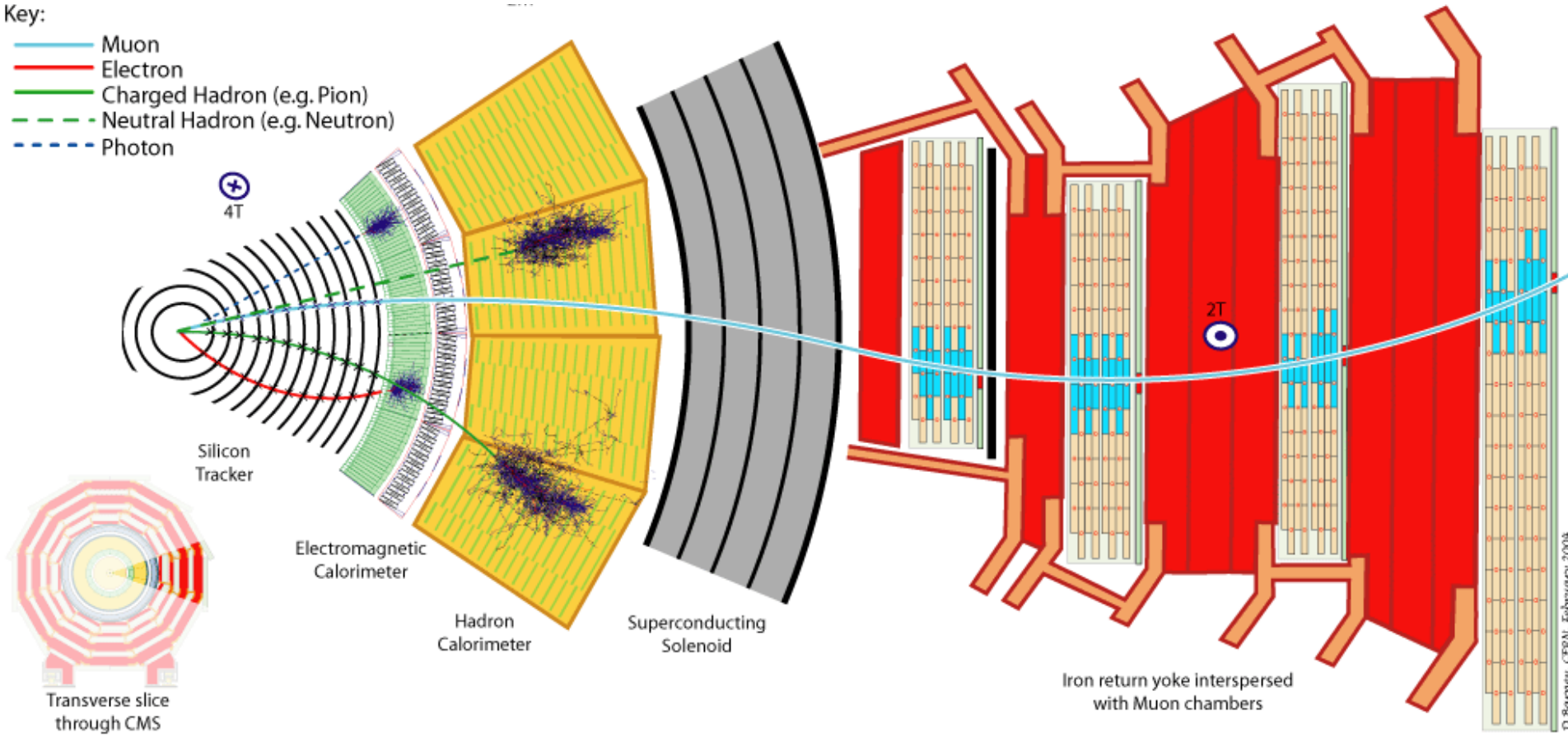


A complete study of high-energy muons with 2016 and 2017 data is documented in: [JINST 15 \(2020\) P02027](#)

Reconstruction of *high-momentum muons*

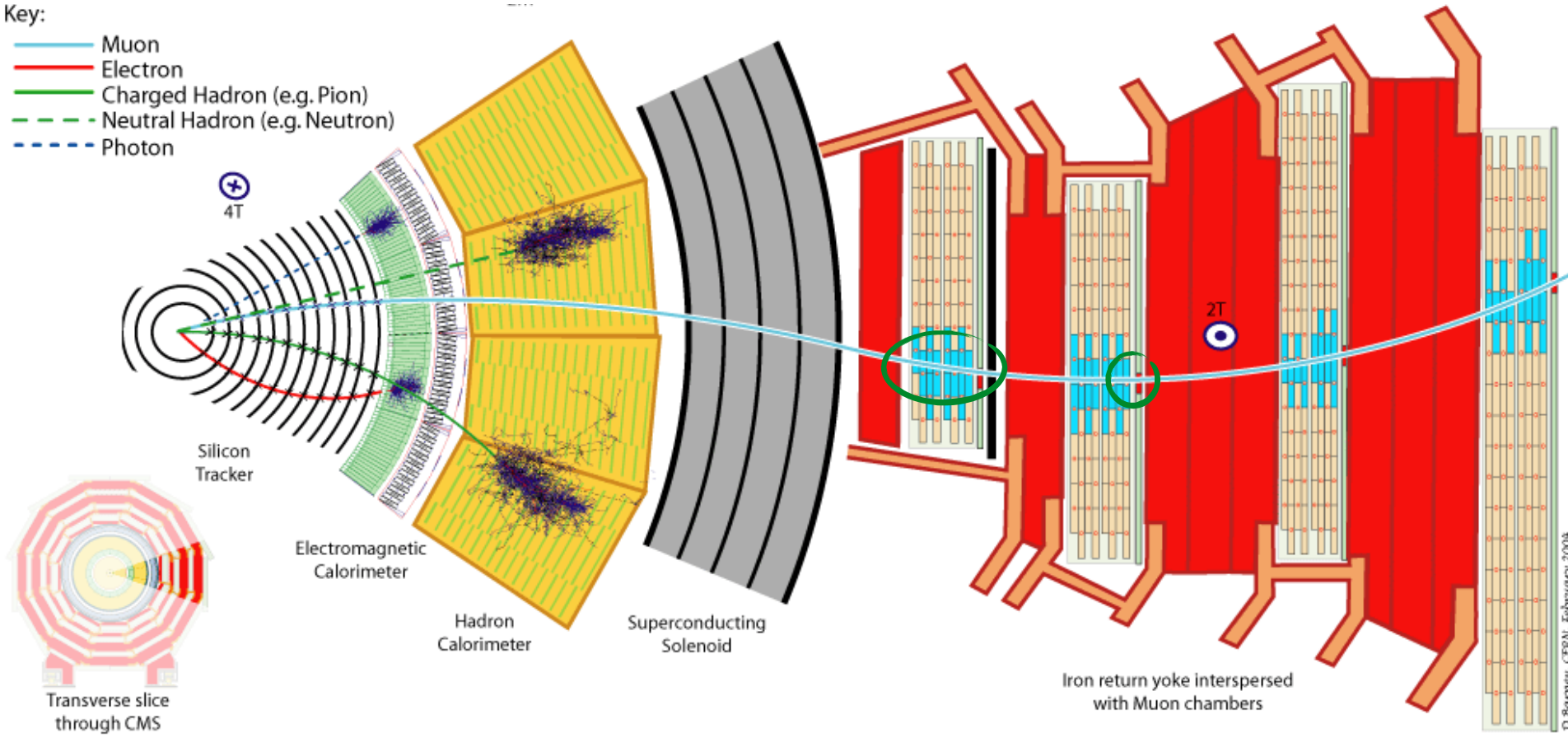
Muon object reconstruction overview

More details in: [JINST 13 \(2018\) P06015](#)



Muon object reconstruction overview

More details in: [JINST 13 \(2018\) P06015](#)



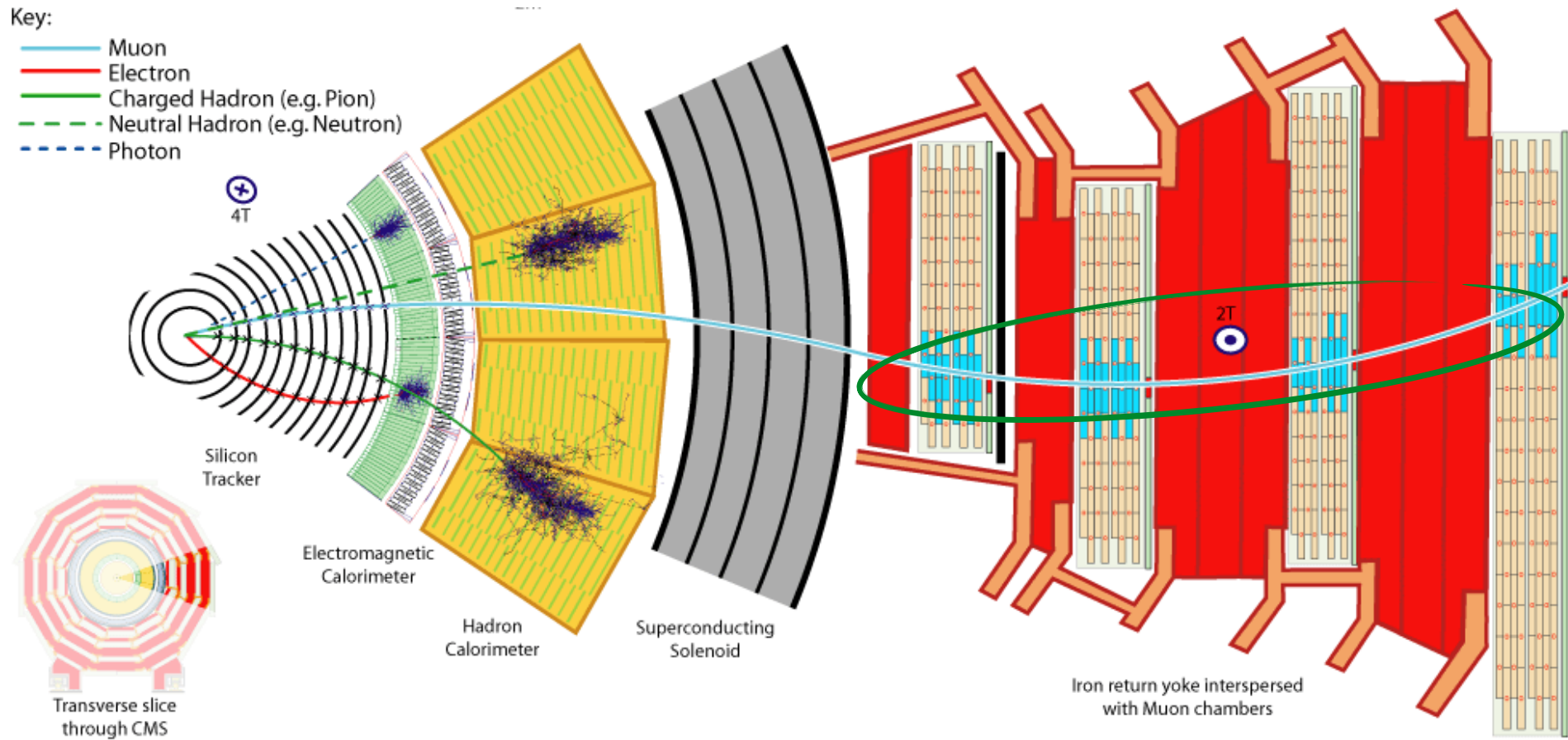
1. Local muon reconstruction:

- RPC hit clusters
- DT/CSC segments

Muon object reconstruction overview

More details in: [JINST 13 \(2018\) P06015](#)

2. Muon system standalone tracks

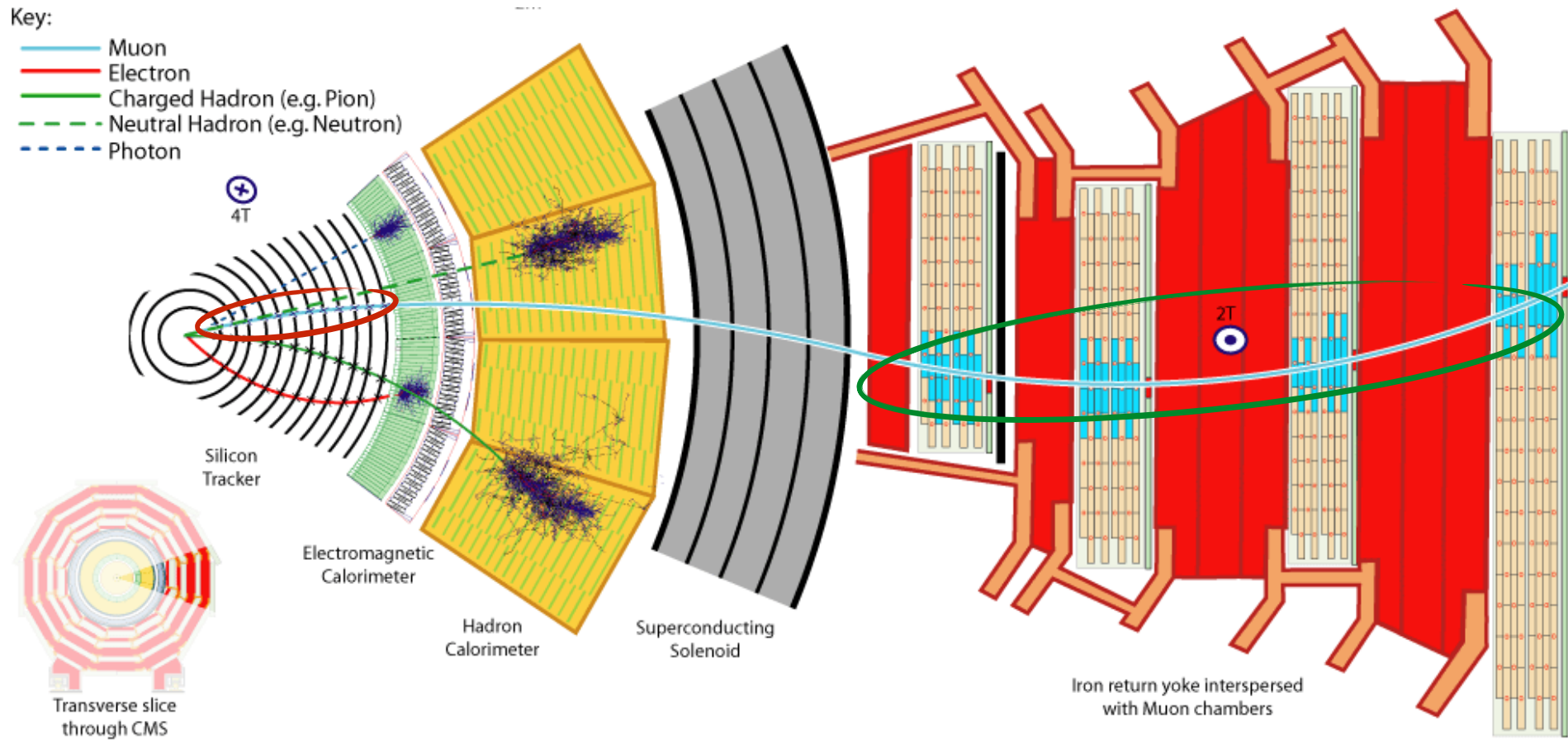


1. Local muon reconstruction:

- RPC hit clusters
- DT/CSC segments

3. Inner tracker tracks

2. Muon system standalone tracks

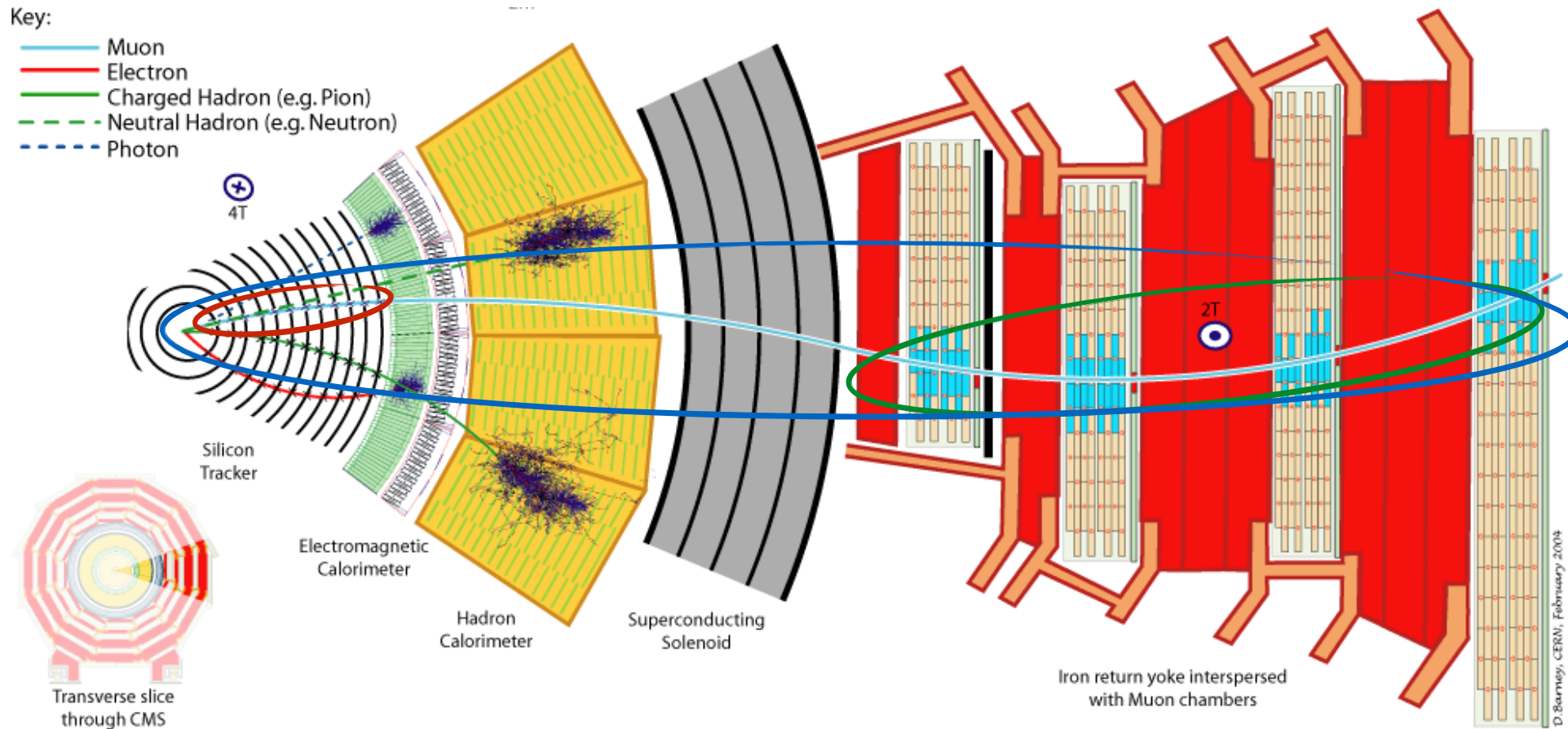


1. Local muon reconstruction:

- RPC hit clusters
- DT/CSC segments

3. Inner tracker tracks

2. Muon system standalone tracks



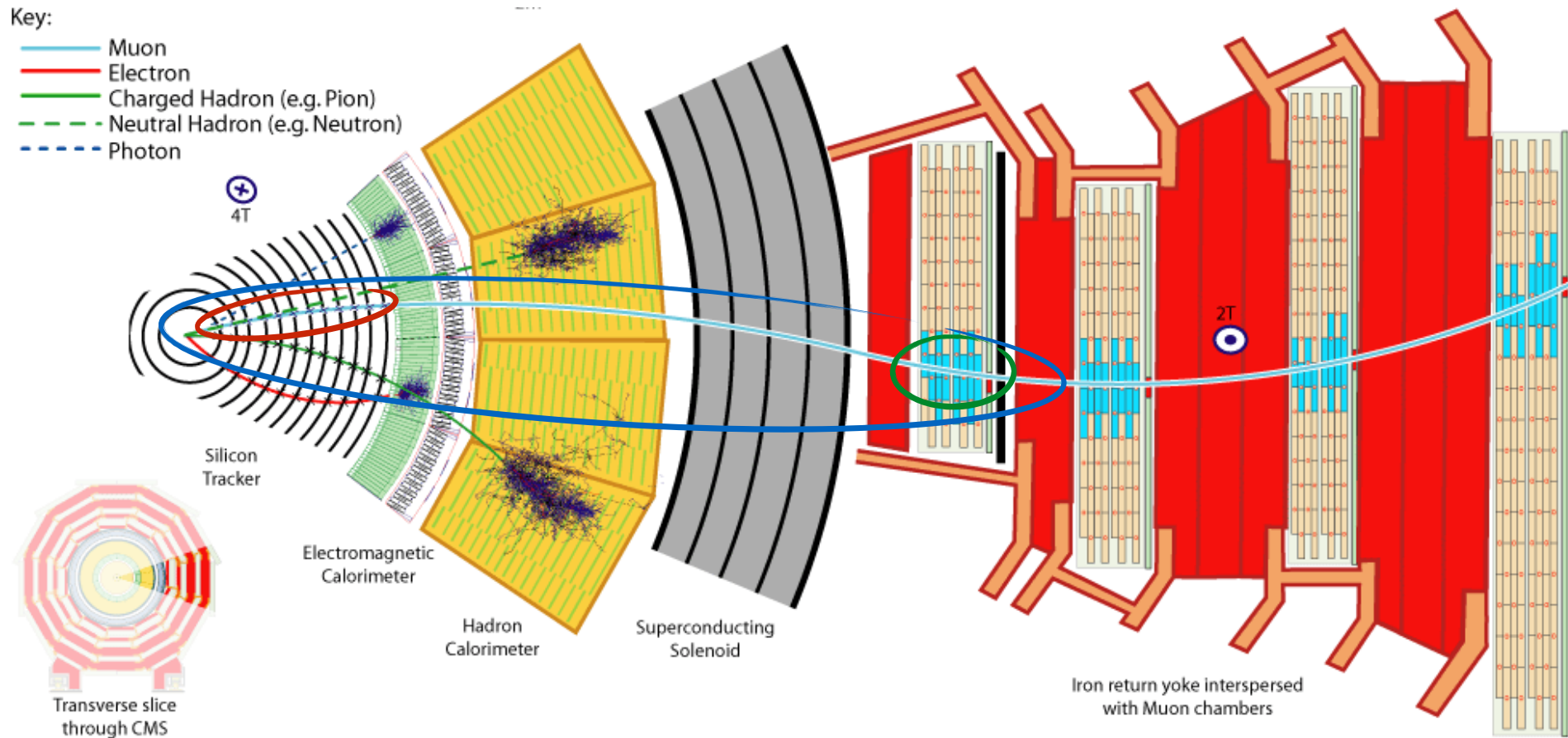
1. Local muon reconstruction:

- RPC hit clusters
- DT/CSC segments

4. Inner tracker + standalone tracks --> global muons (refitted)

3. Inner tracker tracks

2. Muon system standalone tracks



1. Local muon reconstruction:

- RPC hit clusters
- DT/CSC segments

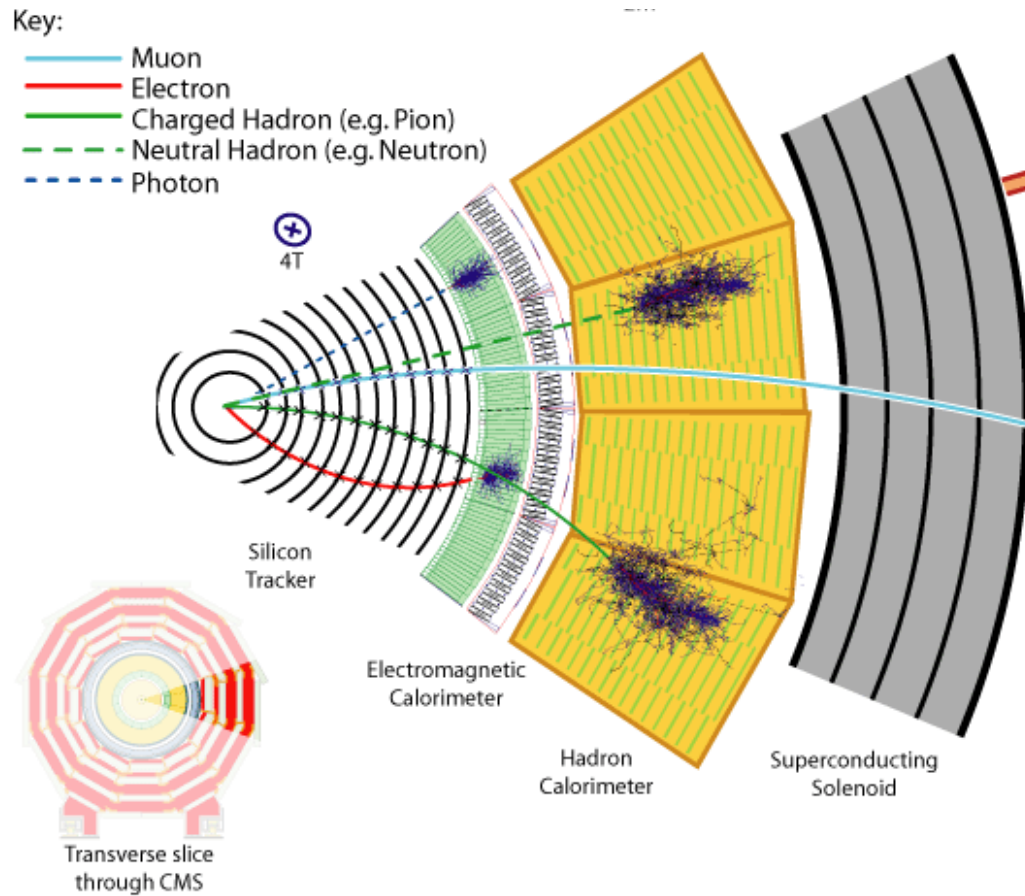
4. Inner tracker + standalone tracks --> global muons (refitted)

5. Inner tracker tracks + DT/CSC segments --> tracker muons

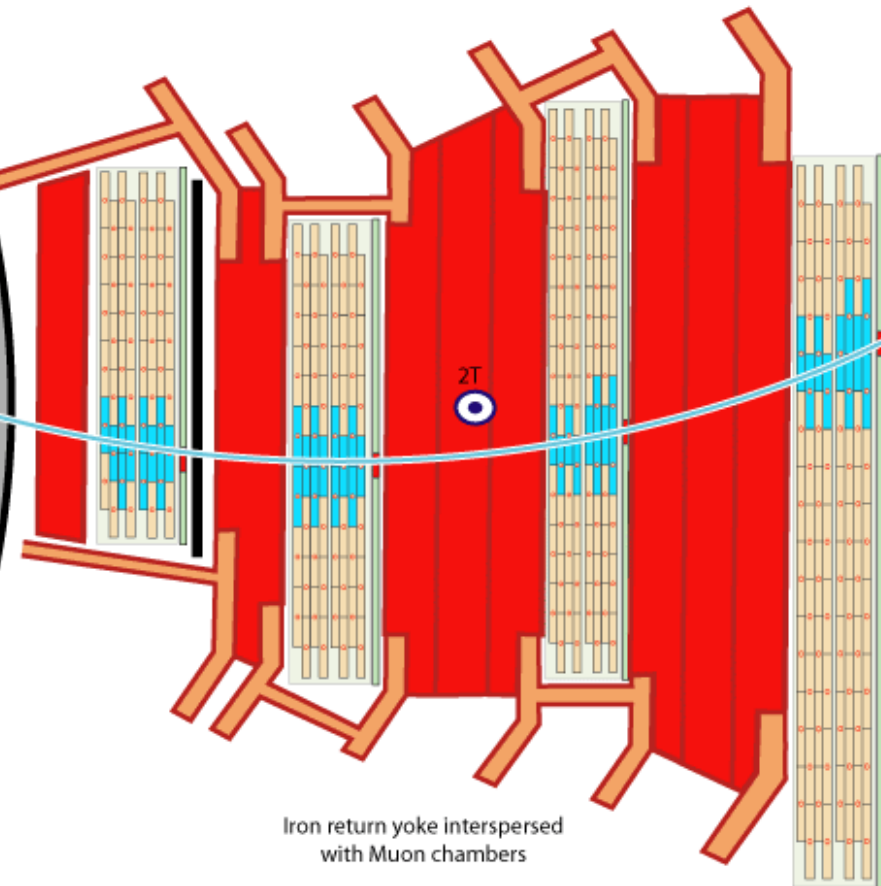
Muon object reconstruction overview

More details in: [JINST 13 \(2018\) P06015](#)

3. Inner tracker tracks



2. Muon system standalone tracks



1. Local muon reconstruction:

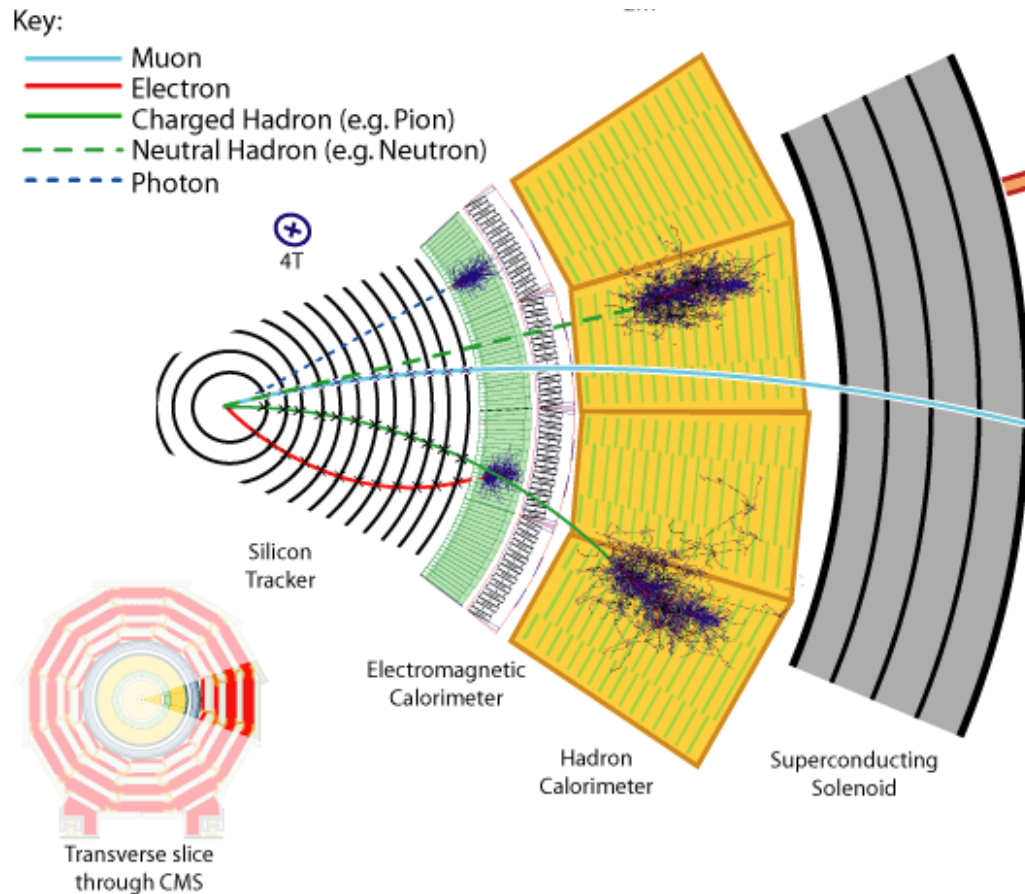
- RPC hit clusters
- DT/CSC segments

4. Inner tracker + standalone tracks \rightarrow global muons (refitted)

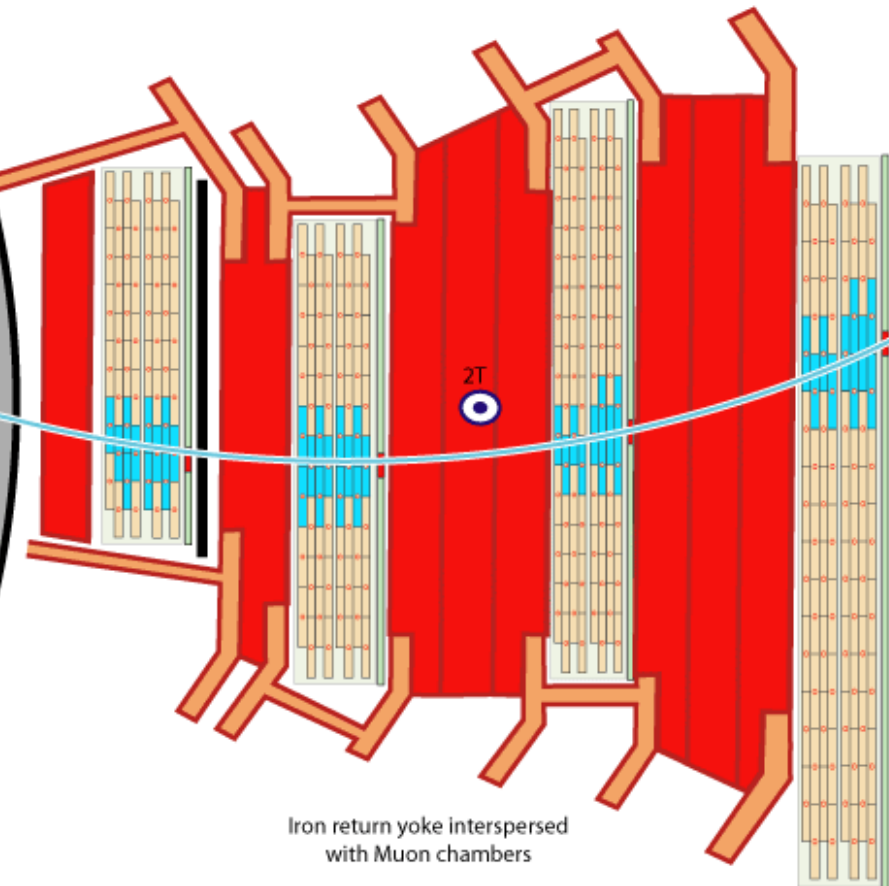
5. Inner tracker tracks + DT/CSC segments \rightarrow tracker muons

+ Dedicated high- p_T refits (more in next slide)

3. Inner tracker tracks



2. Muon system standalone tracks



1. Local muon reconstruction:

- RPC hit clusters
- DT/CSC segments

4. Inner tracker + standalone tracks --> global muons (refitted)

5. Inner tracker tracks + DT/CSC segments --> tracker muons

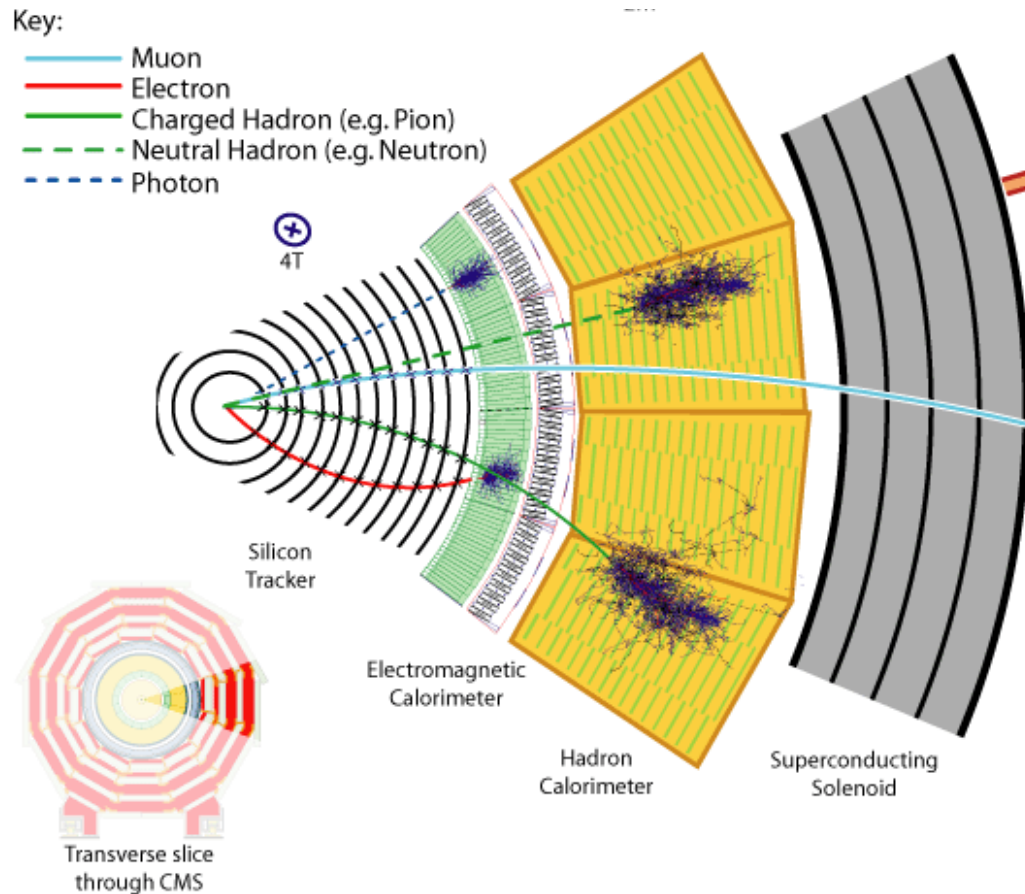
+ Dedicated high- p_T refits (more in next slide)

+ Muons included in the CMS Particle-Flow (PF) event reconstruction (not covered today)

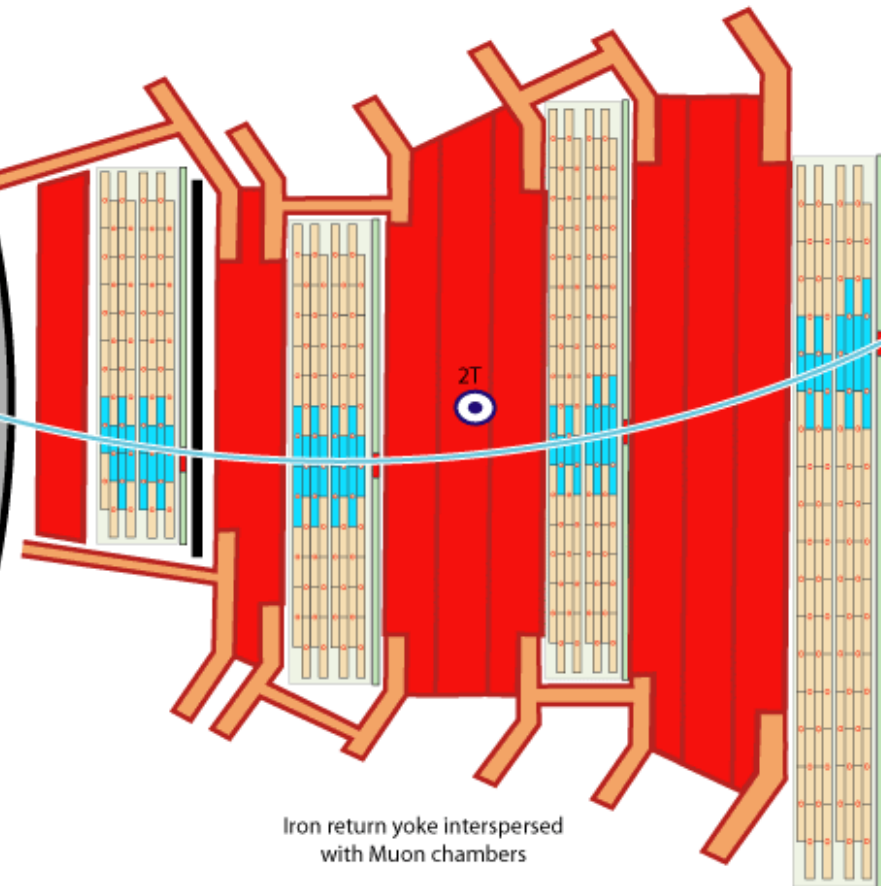
Muon object reconstruction overview

More details in: [JINST 13 \(2018\) P06015](#)

3. Inner tracker tracks



2. Muon system standalone tracks



1. Local muon reconstruction:

- RPC hit clusters
- DT/CSC segments

4. Inner tracker + standalone tracks \rightarrow global muons (refitted)

5. Inner tracker tracks + DT/CSC segments \rightarrow tracker muons

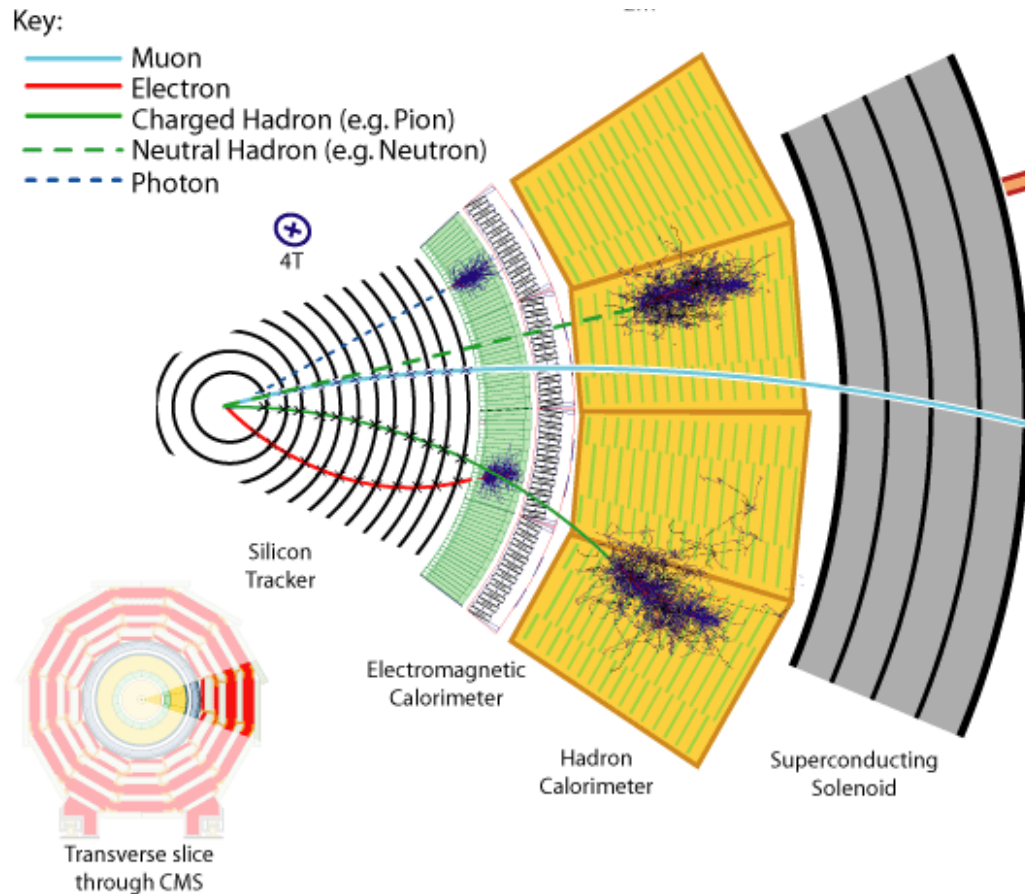
+ Dedicated high- p_T refits (more in next slide)

+ Muons included in the CMS Particle-Flow (PF) event reconstruction (not covered today)

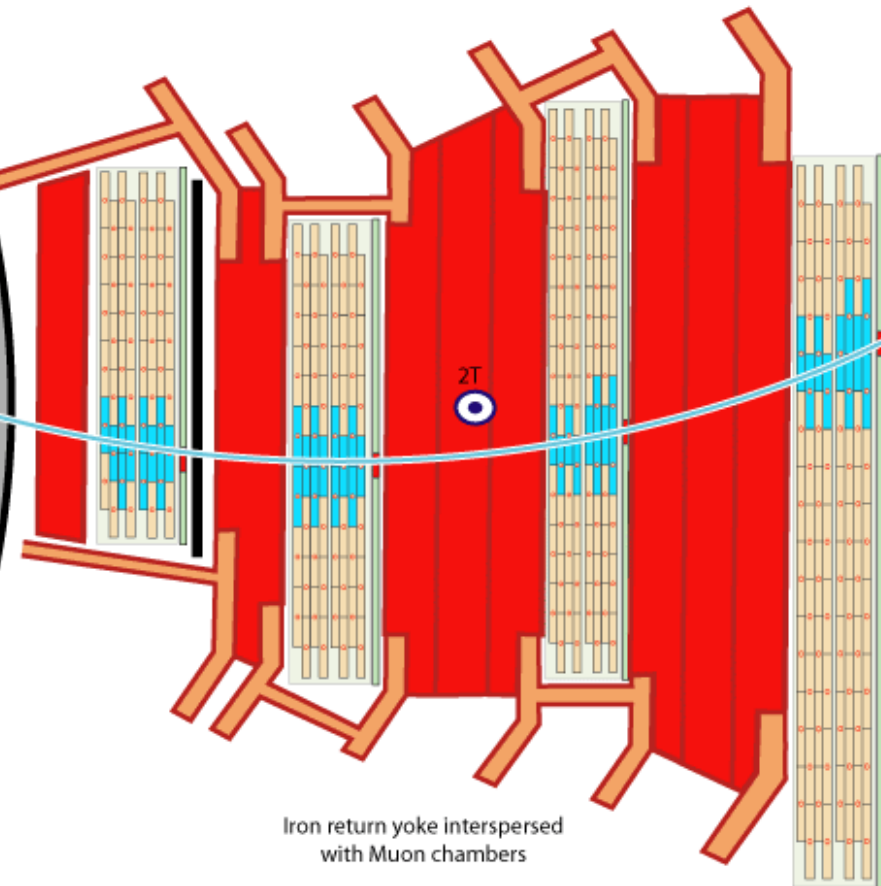
+ Muon identification:

- based on muon track variables (e.g. # of hits in inner track)
- using "external" quantities (e.g. proximity to primary vertex)

3. Inner tracker tracks



2. Muon system standalone tracks



1. Local muon reconstruction:

- RPC hit clusters
- DT/CSC segments

4. Inner tracker + standalone tracks \rightarrow global muons (refitted)

5. Inner tracker tracks + DT/CSC segments \rightarrow tracker muons

+ Dedicated high- p_T refits (more in next slide)

+ Muons included in the CMS Particle-Flow (PF) event reconstruction (not covered today)

+ Muon identification:

- based on muon track variables (e.g. # of hits in inner track)
- using "external" quantities (e.g. proximity to primary vertex)

+ Muon isolation:

- counting energy deposits in tracker and calorimeters
- based on PF quantities (charged/neutral hadrons, photons)

High-momentum muon dedicated refits and Tune-P

High-momentum muon dedicated refits and Tune-P

- ▶ For muons of at least few hundreds of GeV, muon chambers contribute to the p_T measurement
- ▶ But in some cases (e.g. in presence of showers) out-of-the-box p_T from global muons may be suboptimal

High-momentum muon dedicated refits and Tune-P

- ▶ For muons of at least few hundreds of GeV, muon chambers contribute to the p_T measurement
- ▶ But in some cases (e.g. in presence of showers) out-of-the-box p_T from global muons may be suboptimal
- ▶ Different track-fit strategies were developed to achieve optimal performance:

High-momentum muon dedicated refits and Tune-P

- ▶ For muons of at least few hundreds of GeV, muon chambers contribute to the p_T measurement
- ▶ But in some cases (e.g. in presence of showers) out-of-the-box p_T from global muons may be suboptimal
- ▶ Different track-fit strategies were developed to achieve optimal performance:
 - ▶ **Inner-track fit:**
use only information from the inner tracker

High-momentum muon dedicated refits and Tune-P

- ▶ For muons of at least few hundreds of GeV, muon chambers contribute to the p_T measurement
- ▶ But in some cases (e.g. in presence of showers) out-of-the-box p_T from global muons may be suboptimal
- ▶ Different track-fit strategies were developed to achieve optimal performance:
 - ▶ **Inner-track fit:**
use only information from the inner tracker
 - ▶ **Track plus first muon station (TPFMS):**
combined fit of tracker track and hits from innermost muon station

High-momentum muon dedicated refits and Tune-P

- ▶ For muons of at least few hundreds of GeV, muon chambers contribute to the p_T measurement
- ▶ But in some cases (e.g. in presence of showers) out-of-the-box p_T from global muons may be suboptimal
- ▶ Different track-fit strategies were developed to achieve optimal performance:
 - ▶ **Inner-track fit:**
use only information from the inner tracker
 - ▶ **Track plus first muon station (TPFMS):**
combined fit of tracker track and hits from innermost muon station
 - ▶ **Picky Fit:**
starting from a global muon, in chambers with many hits/layer, reject ones less compatible with the muon track fit

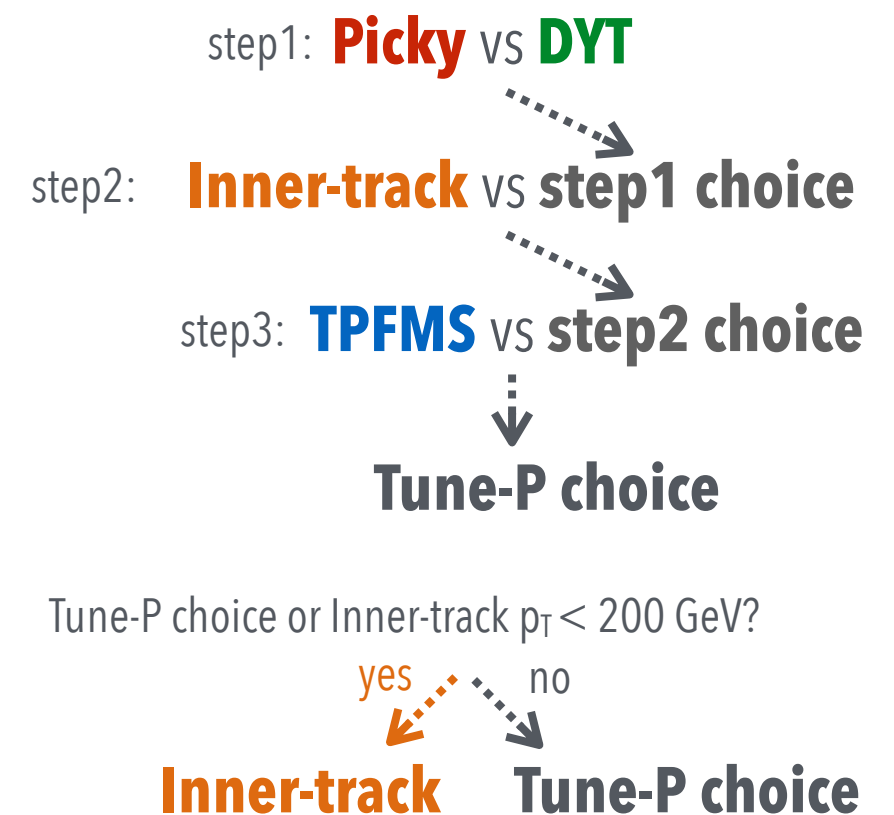
High-momentum muon dedicated refits and Tune-P

- ▶ For muons of at least few hundreds of GeV, muon chambers contribute to the p_T measurement
- ▶ But in some cases (e.g. in presence of showers) out-of-the-box p_T from global muons may be suboptimal
- ▶ Different track-fit strategies were developed to achieve optimal performance:
 - ▶ **Inner-track fit:**
use only information from the inner tracker
 - ▶ **Track plus first muon station (TPFMS):**
combined fit of tracker track and hits from innermost muon station
 - ▶ **Picky Fit:**
starting from a global muon, in chambers with many hits/layer, reject ones less compatible with the muon track fit
 - ▶ **Dynamic Truncation (DYT):**
starting from the inner track, truncate fit if extrapolated track falls "far" from segments in muon stations (i.e. because of large energy loss in iron)

High-momentum muon dedicated refits and Tune-P

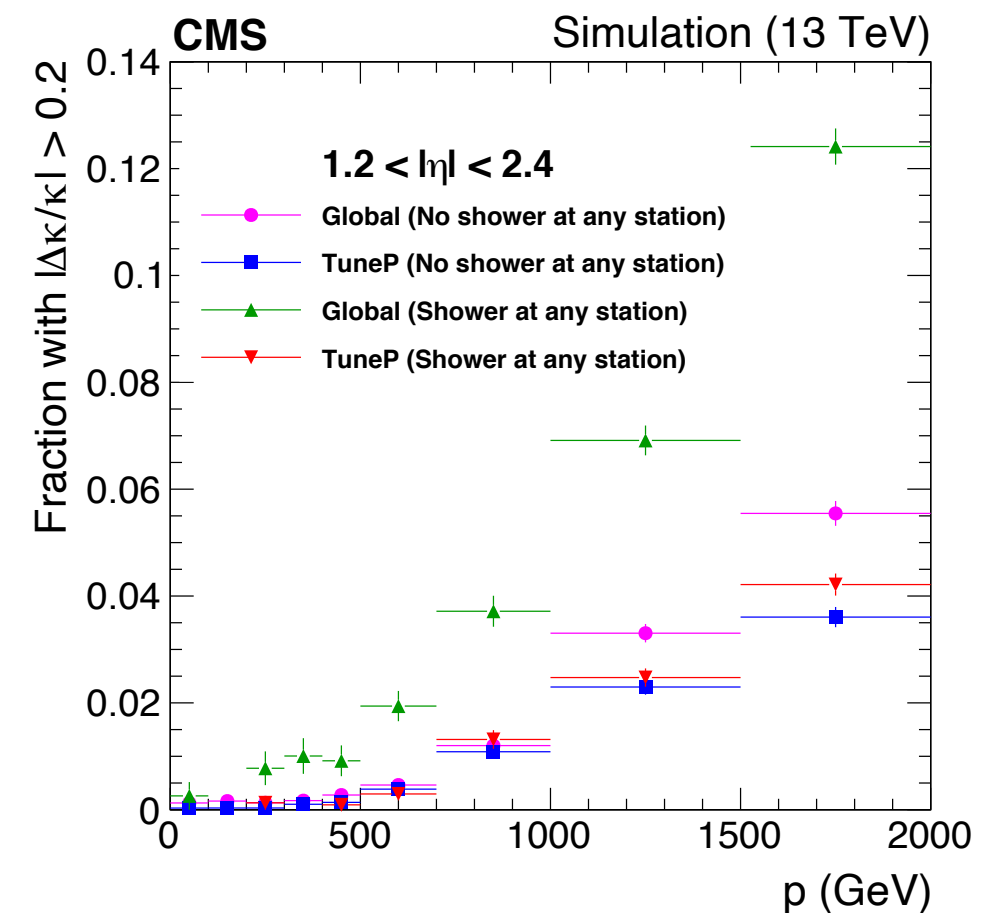
- ▶ For muons of at least few hundreds of GeV, muon chambers contribute to the p_T measurement
- ▶ But in some cases (e.g. in presence of showers) out-of-the-box p_T from global muons may be suboptimal
- ▶ Different track-fit strategies were developed to achieve optimal performance:
 - ▶ **Inner-track fit:**
use only information from the inner tracker
 - ▶ **Track plus first muon station (TPFMS):**
combined fit of tracker track and hits from innermost muon station
 - ▶ **Picky Fit:**
starting from a global muon, in chambers with many hits/layer, reject ones less compatible with the muon track fit
 - ▶ **Dynamic Truncation (DYT):**
starting from the inner track, truncate fit if extrapolated track falls "far" from segments in muon stations (i.e. because of large energy loss in iron)
- ▶ Final track-fit type chosen by **Tune-P** algorithm:
 - ▶ Highly favours inner-track fit at low momentum ($p_T < 200$ GeV)
 - ▶ At high p_T , selects among different refits based on track quality parameters (i.e. $\chi^2/\#$ d.o.f and $\sigma(p_T)/p_T$)

Tune-P decision strategy



High-momentum muon dedicated refits and Tune-P

- ▶ For muons of at least few hundreds of GeV, muon chambers contribute to the p_T measurement
- ▶ But in some cases (e.g. in presence of showers) out-of-the-box p_T from global muons may be suboptimal
- ▶ Different track-fit strategies were developed to achieve optimal performance:
 - ▶ **Inner-track fit:**
use only information from the inner tracker
 - ▶ **Track plus first muon station (TPFMS):**
combined fit of tracker track and hits from innermost muon station
 - ▶ **Picky Fit:**
starting from a global muon, in chambers with many hits/layer, reject ones less compatible with the muon track fit
 - ▶ **Dynamic Truncation (DYT):**
starting from the inner track, truncate fit if extrapolated track falls "far" from segments in muon stations (i.e. because of large energy loss in iron)
- ▶ Final track-fit type chosen by **Tune-P** algorithm:
 - ▶ Highly favours inner-track fit at low momentum ($p_T < 200$ GeV)
 - ▶ At high p_T , selects among different refits based on track quality parameters (i.e. $\chi^2/\#$ d.o.f and $\sigma(p_T)/p_T$)

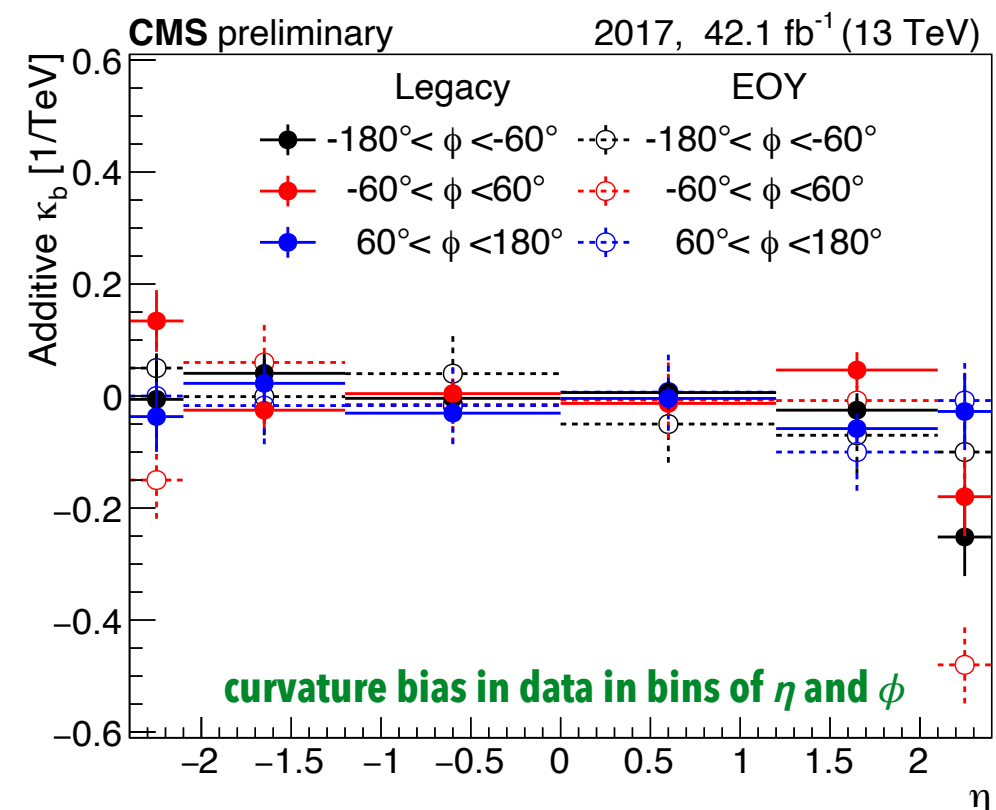
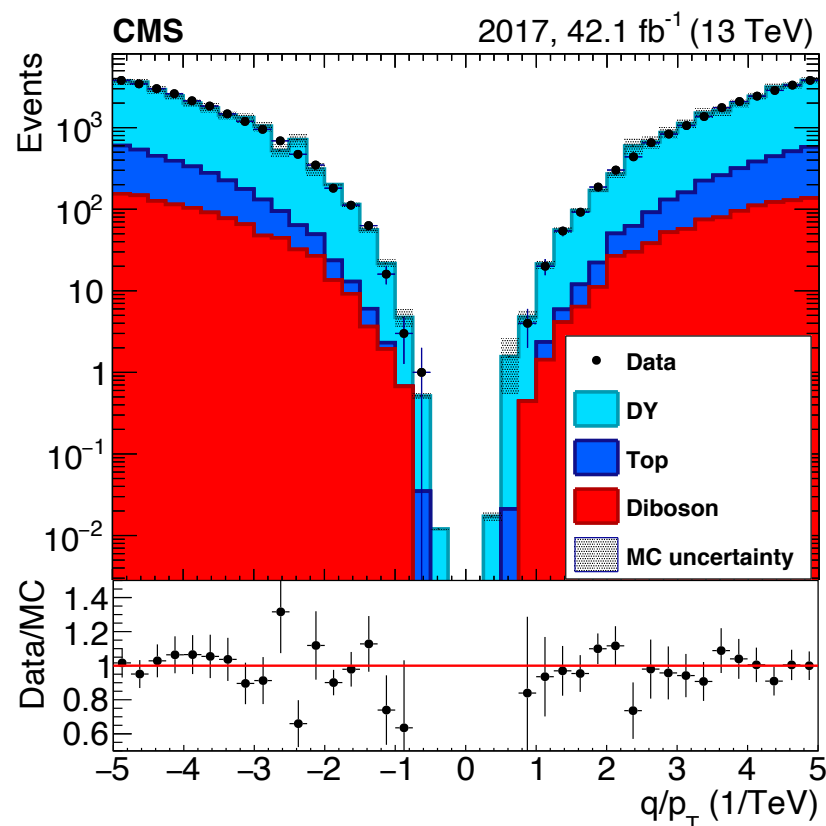


Physics object performance: *scale and resolution*

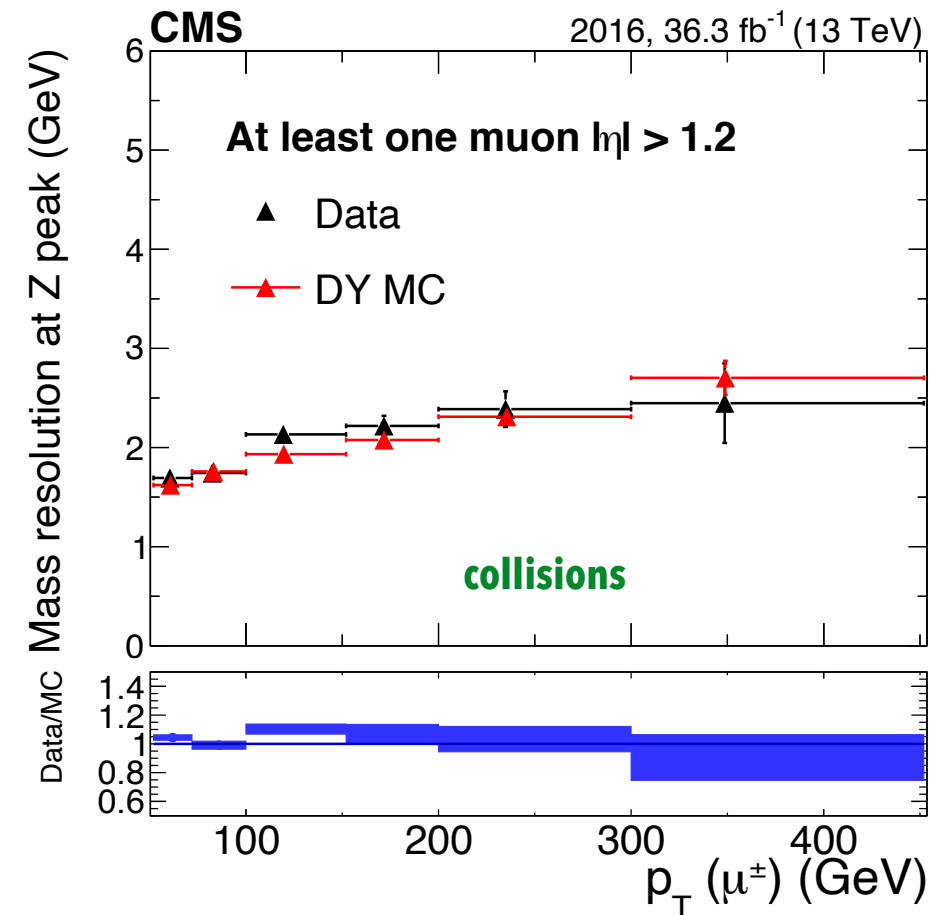
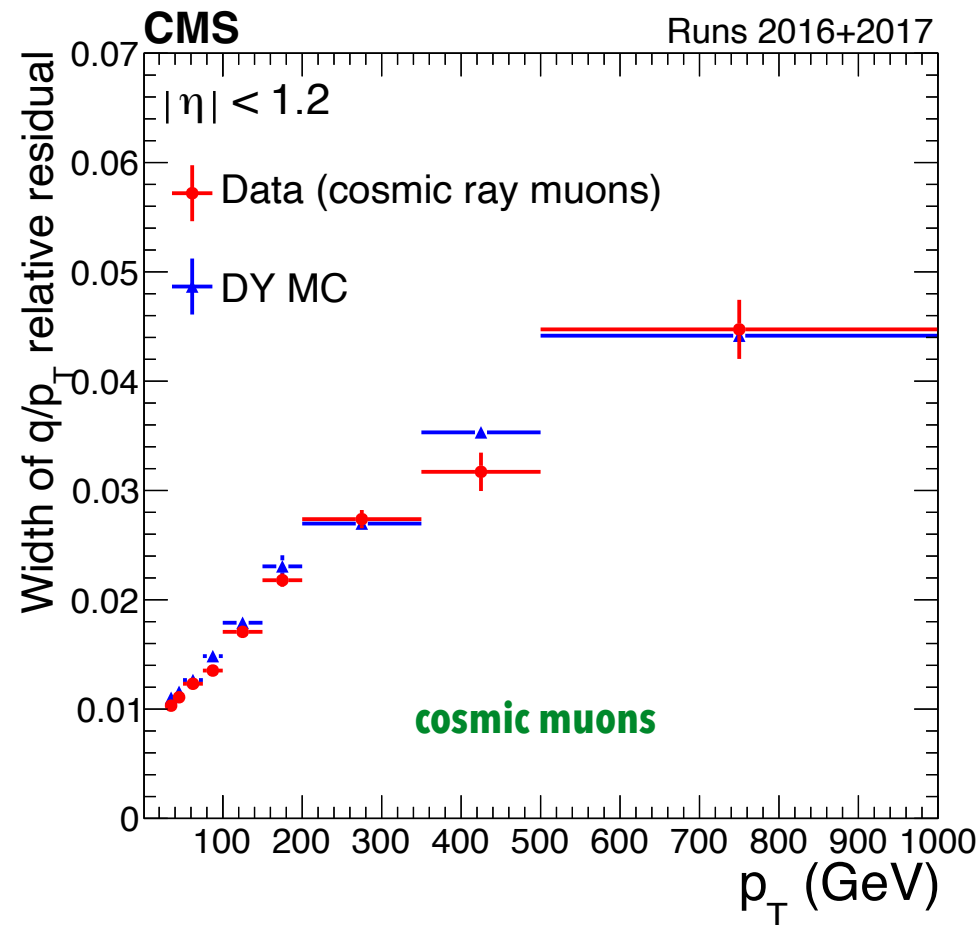
Measurement of biases in the muon p_T scale

[*] CMS DP-2020/040

- ▶ High- p_T muons have a small curvature (k) \rightarrow little distortions in the estimation of k can largely bias p_T
 - ▶ At high energy, biases are **mostly due by constant shifts of k** due to residual misalignments of tracker and muon system
- ▶ Scale consistency between data and MC is measured with the **Generalized Endpoint Method**:
 1. The $k = q/p_T$ distribution of muons (from dimuon events) with $p_T > 200$ GeV is plotted for data and simulation
 2. For simulation (which has no scale bias), an artificial curvature bias k_b is injected "in steps" to the original q/p_T distribution
 3. For each injected k_b step a binned χ^2 test is performed to compare the data and (distorted) simulation q/p_T distributions
 4. The **curvature bias in data** is selected as the **value minimizing the χ^2 distribution** as function of k_b
- ▶ Bias overall less than 10% (mostly consistent with 0), with the exception of the high $|\eta|$ region



Muon momentum resolution

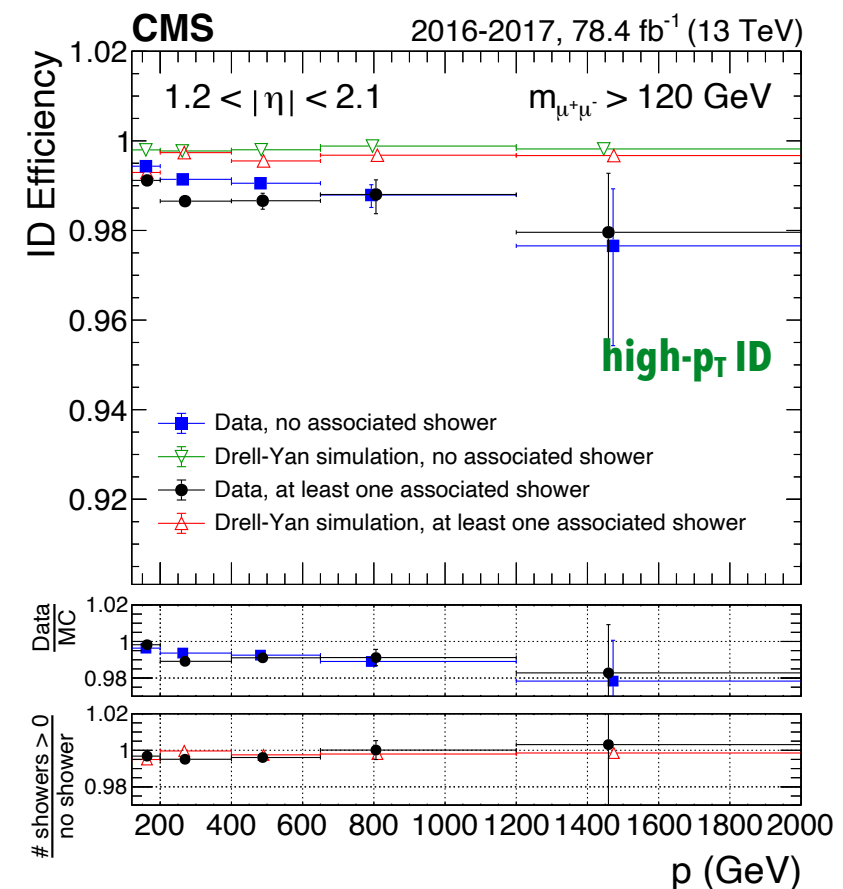
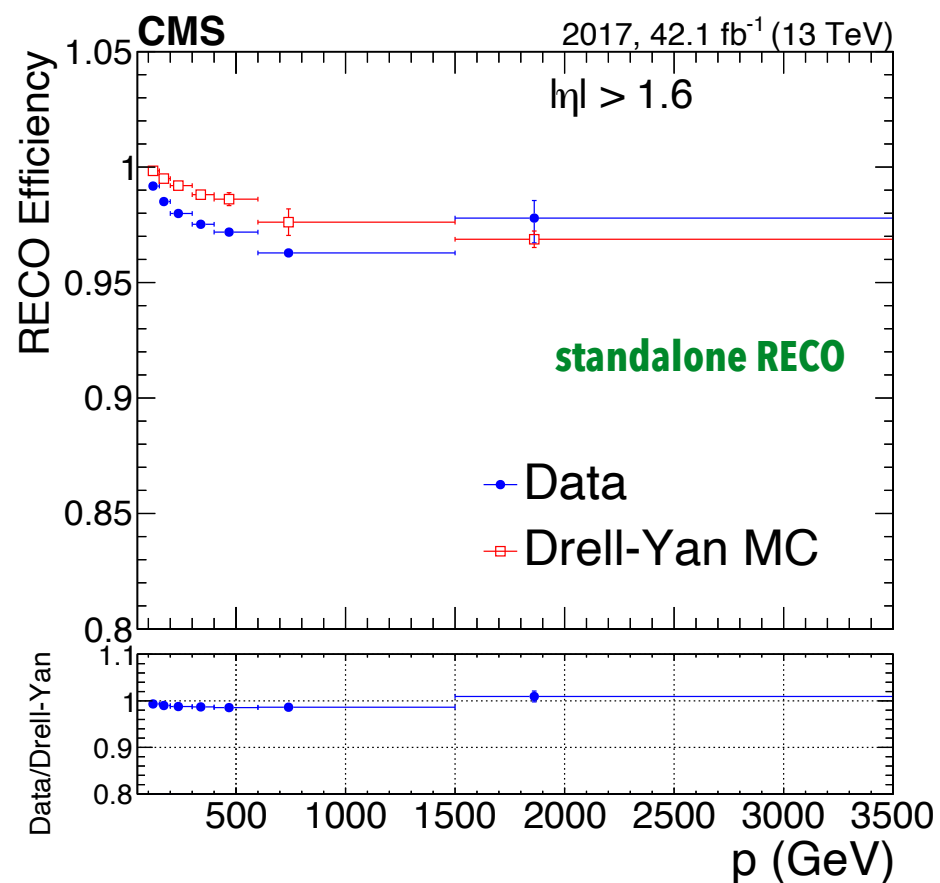


- ▶ Either evaluated using cosmic muons:
 - ▶ Muons traversing CMS close to the beamline get reconstructed separately in the *upper* and *lower* halves of CMS
 - ▶ The q/p_T relative residual between the *upper* and *lower* reconstructed muons is a measure of the momentum resolution
- ▶ Either by using pp collision events:
 - ▶ Selecting dimuons from boosted Z decays and fitting the Z invariant mass distribution
- ▶ Data/MC agreement generally good; main exception: a discrepancy around $\sim 15\%$ for $|\eta| > 1.6$ (2017 dataset)

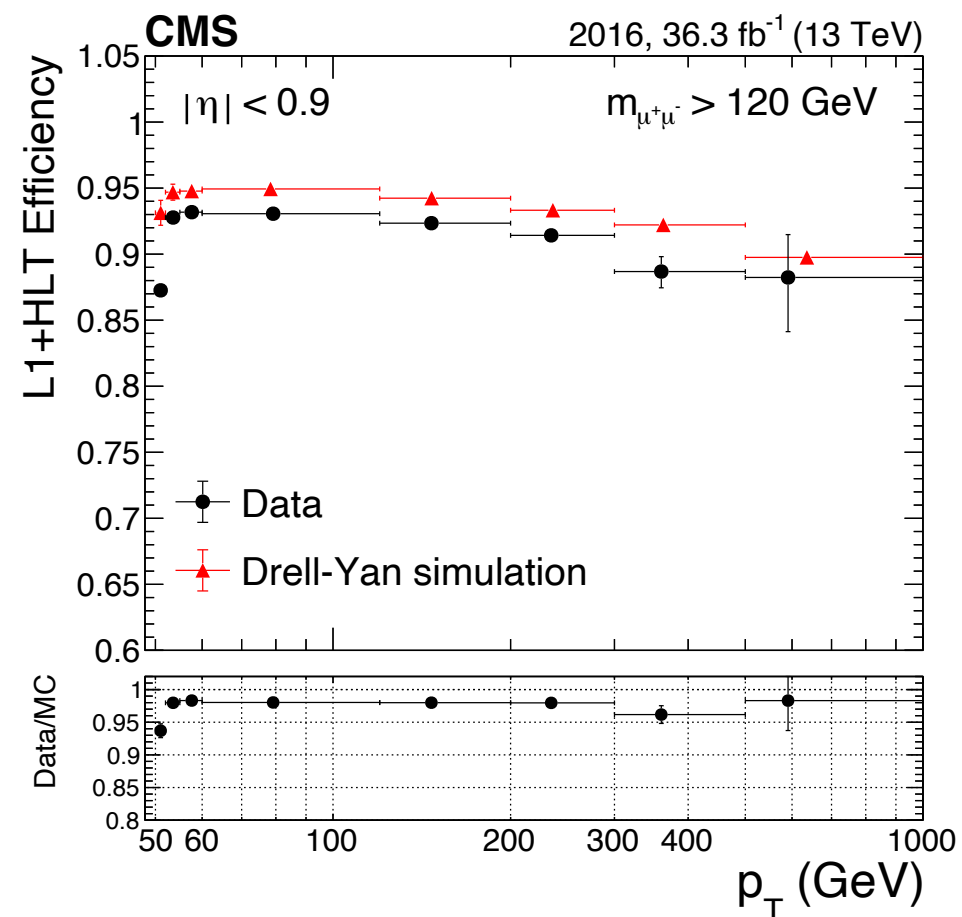
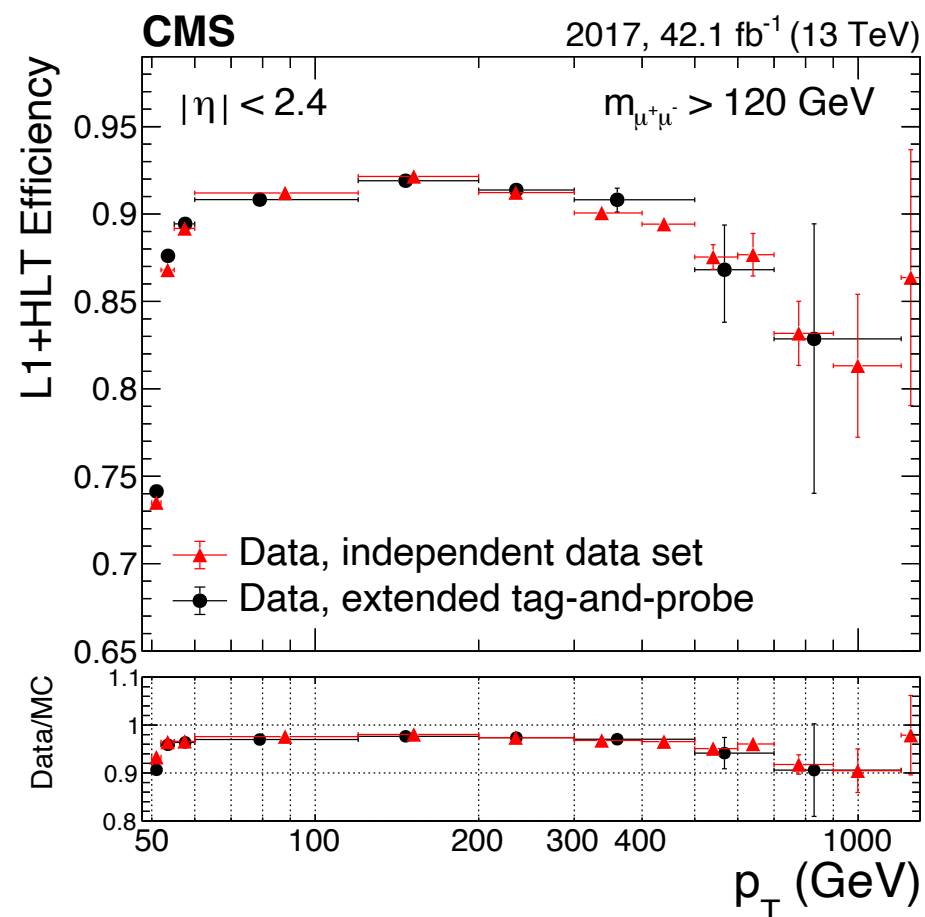
Physics object performance: *efficiencies*

Muon reconstruction and identification efficiencies

- ▶ Efficiencies are measured with a *cut and count extended tag-and-probe technique*:
 - ▶ **TAG**: muon satisfying high- p_T ID criteria and very tight isolation cut, matched with single muon trigger
 - ▶ **PROBE**: tracker muon, with very tight isolation cut (+ potentially cuts on the inner track, e.g. # of layers, vertex proximity)
 - ▶ **PAIR**: no upper cut on on invariant mass, plus add cuts (e.g. p_T balance) to select non resonant DY events
- ▶ The muon component of the reconstruction efficiency and the full high- p_T ID selection are probed
 - ▶ **RECO**: p_T dependent inefficiency for $|\eta| > 1.6$ as large as 3% - efficiency in barrel is flat vs p_T , with a data/MC SF $\sim 99\%$
 - ▶ **ID**: efficiency is $\sim 98\%$ (data) with a $\sim 1\%$ data/MC discrepancy - flat as function of p_T both in barrel and endcaps



Trigger efficiency



- ▶ The trigger efficiency is measured exploiting two different strategies:
 1. With the *extended tag-and-probe method*
 2. Using datasets collected with *non-muonic* triggers (e.g. e-gamma triggers)
- ▶ Overall efficiency varies with p_T between ~92% to ~82% data/MC SFs vary between ~98% and ~90%
 - ▶ Inefficiency, data/MC SF and p_T dependence mostly due by Level-1 trigger
 - ▶ Results computed with different approaches agree well with each other

Summary

- ▶ High-momentum muons have peculiarities that make them differ from lower energy ones
 - ▶ To maximize physics performance at high p_T , dedicated algorithms are implemented in the CMS muon reconstruction
- ▶ The LHC Run-2 provided ideal conditions to probe the high-energy regime
 - ▶ Because of the \sqrt{s} increase to 13 TeV, as well as because of the increased statistics
- ▶ Using Run-2 data, high-momentum muons in CMS were studied with unprecedented level of detail
 - ▶ The outcome of such studies is documented in [JINST 15 \(2020\) P02027](#)
- ▶ Overall very good performance was observed:
 - ▶ Efficiencies are generally high, with small p_T dependence in all the probed momentum range (but for Level-1 trigger)
 - ▶ Up to rather large $|\eta|$, no significant scale biases are observed
 - ▶ Using muon information in the p_T measurement improves the resolution w.r.t. a tracker-only measurement
 - ▶ Resolution is probed both using comics and collision data, the disagreement from simulation is 15% or (generally) less
 - ▶ Empirical methods to tag showers recorded in the muon system were developed
 - ▶ Shower tagging methods show reasonable data/MC agreement and were used to parametrize all performance figures
- ▶ All this work also highlighted areas where things can be further improved → **we are working hard to prepare for Run-3 and achieve even better performance!**

Thank you for your attention!

Questions?

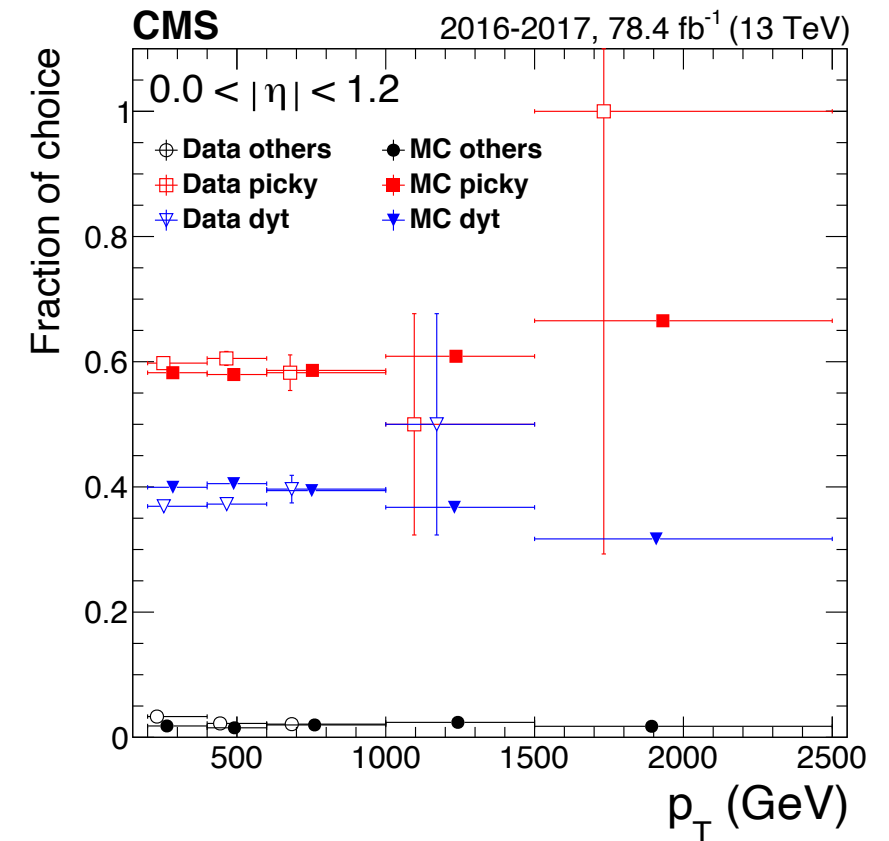
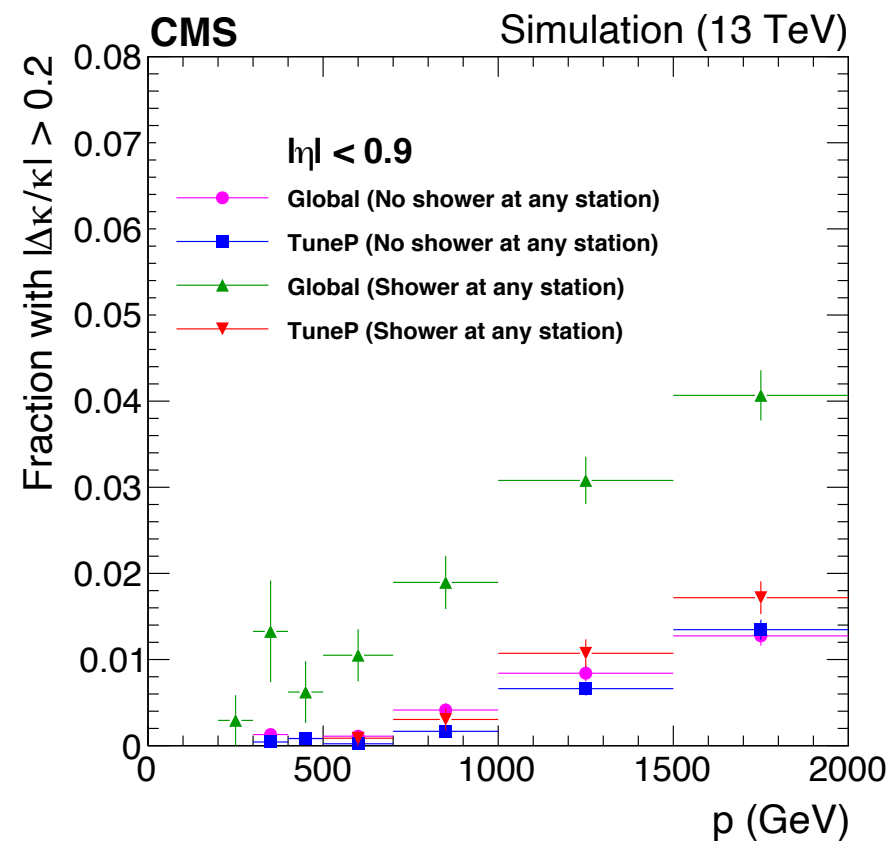
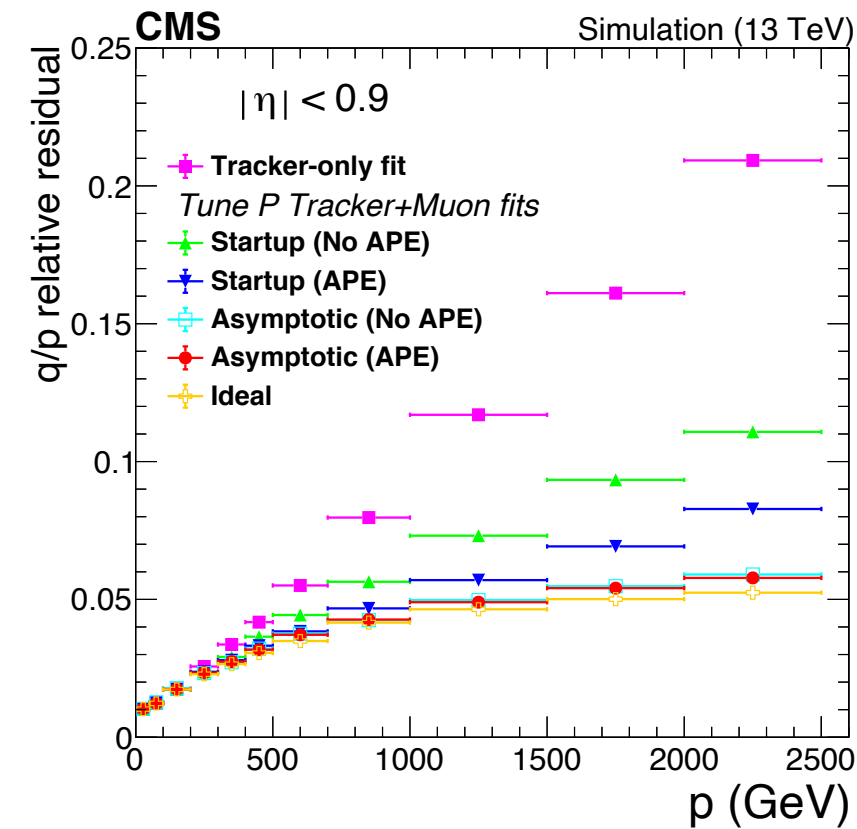
also offline at: carlo.battilana@cern.ch



Backup

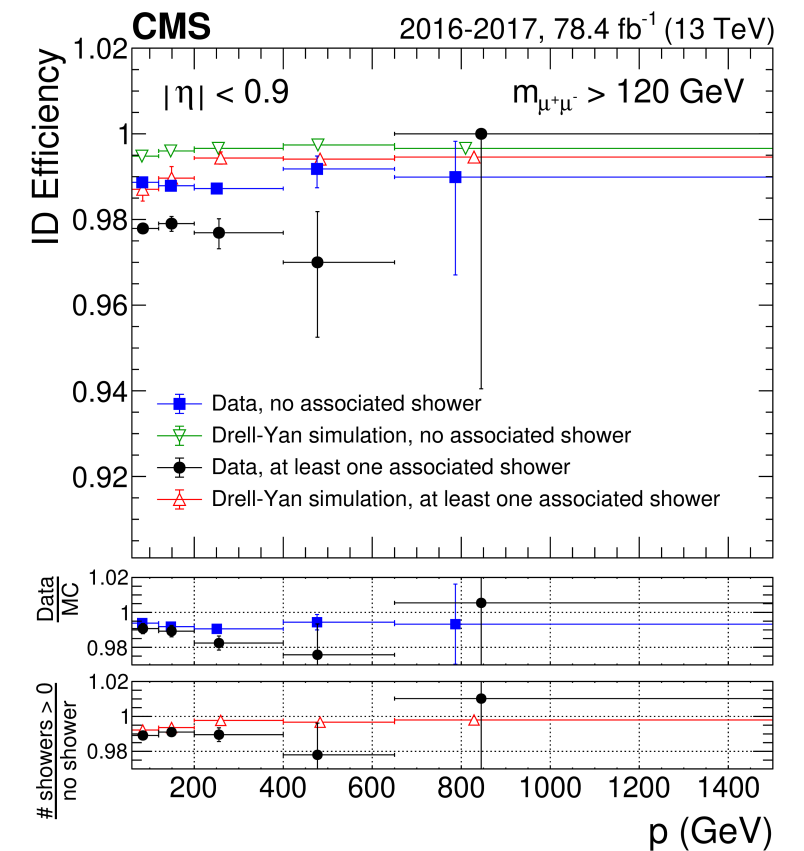
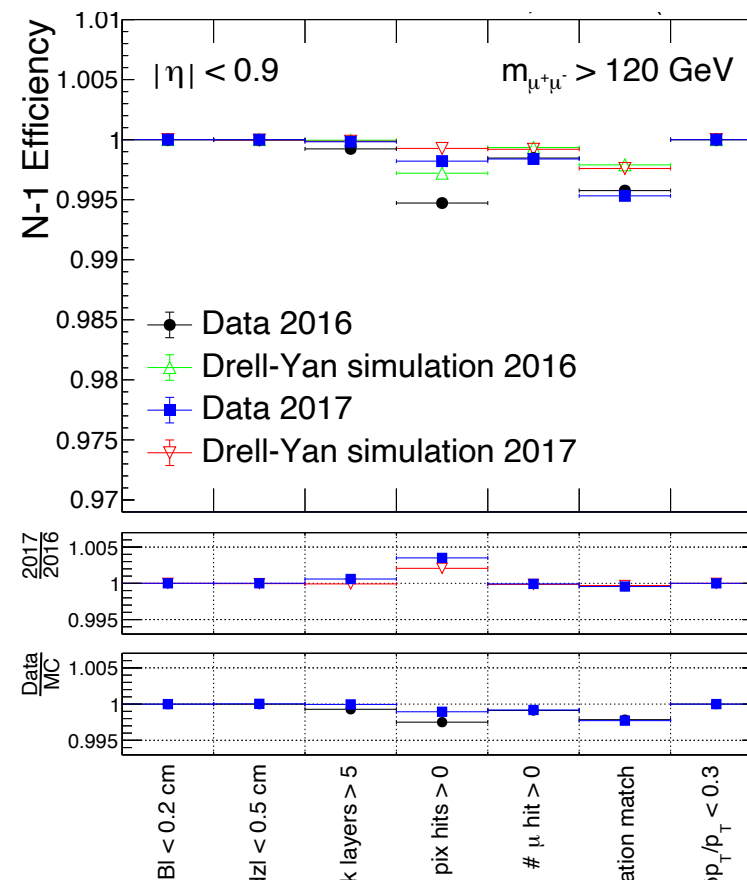
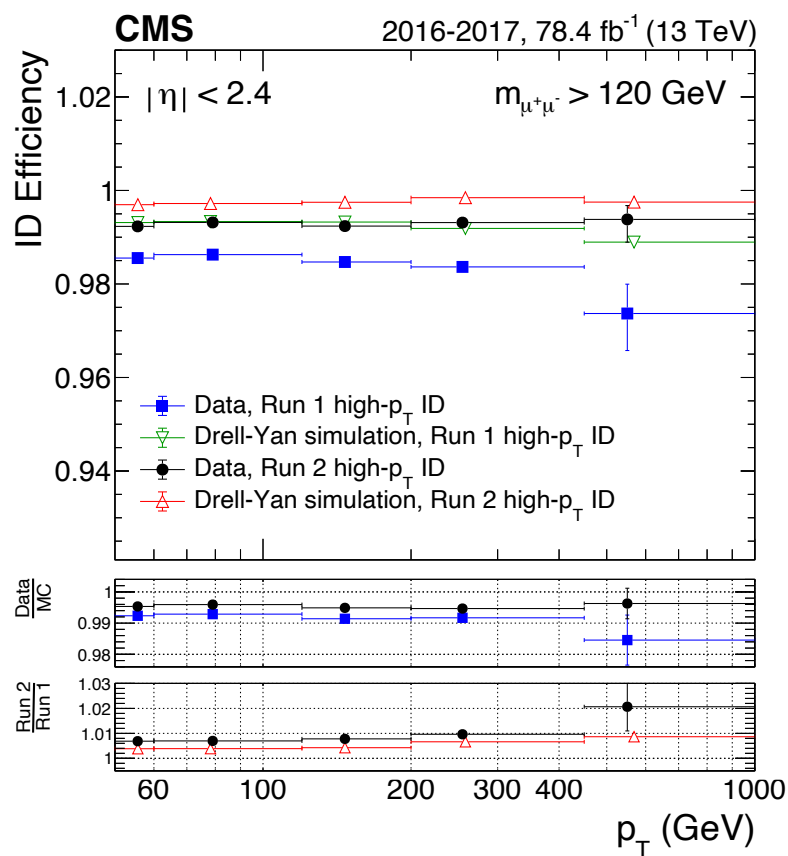
Tune-P performance in simulated samples and real data

Results shown for the muon system barrel as an example



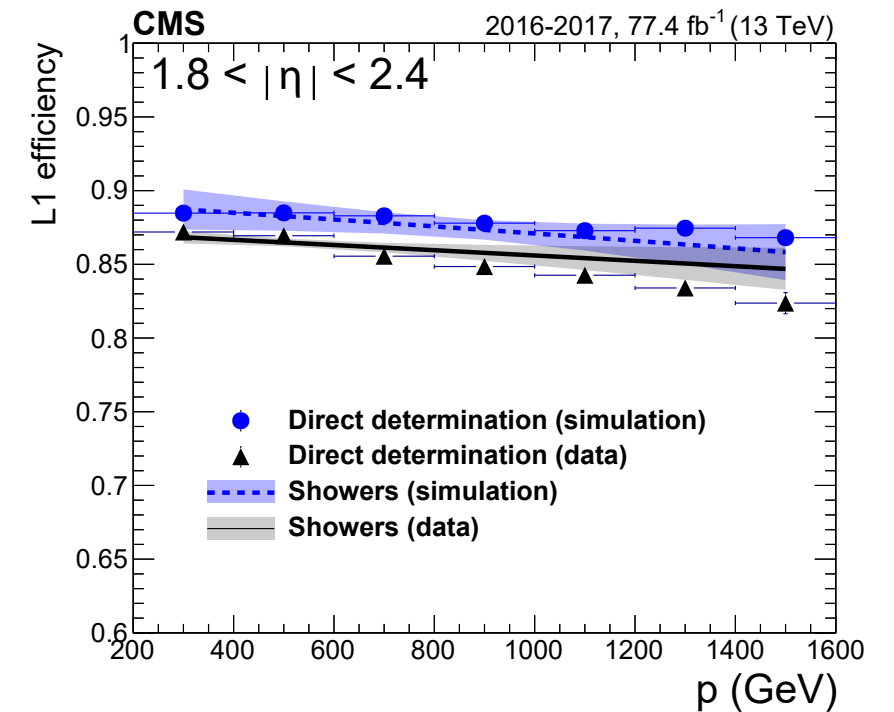
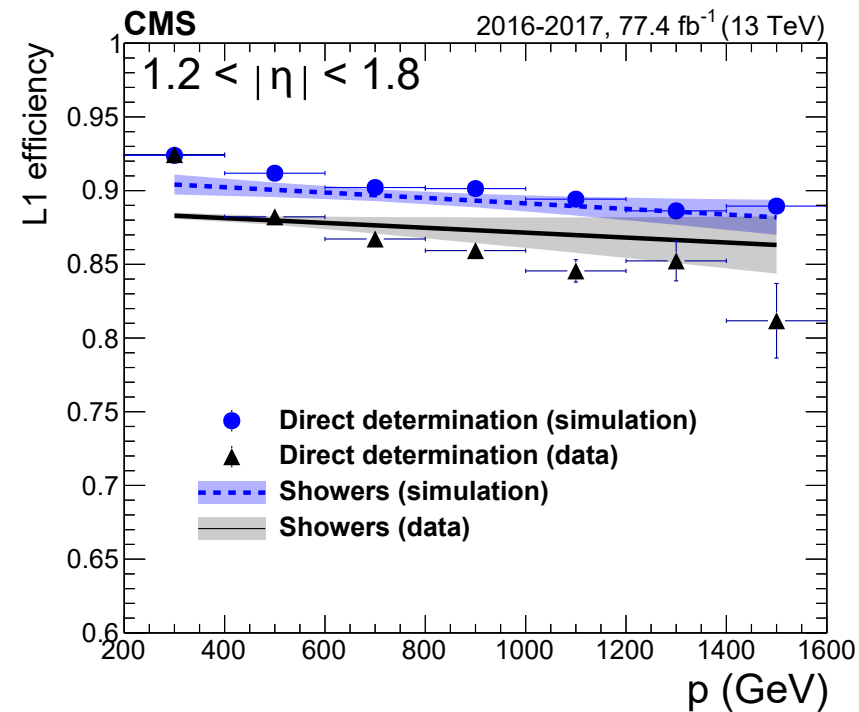
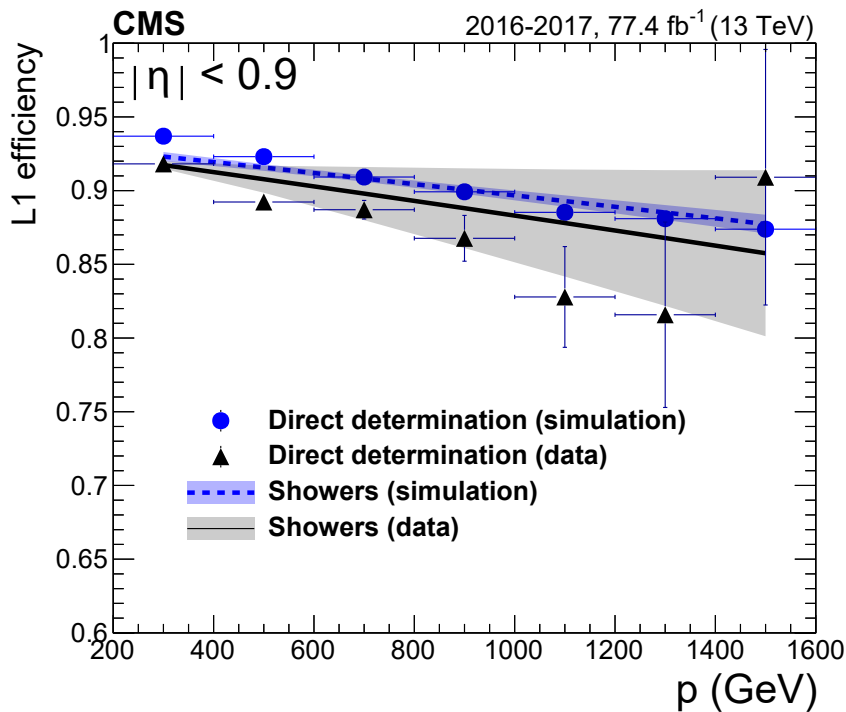
- ▶ Tune-P is optimized (and its performance is studied) with simulations:
 - ▶ Considering different alignment scenarios (and alignment errors - APE) of tracker and muon system
 - ▶ Comparing its performance with the one of different refits (e.g. inner-tracker or global), also in events "with showers"
- ▶ The consistency of the Tune-P decision choice in data and simulation is then validated
 - ▶ Using dimuon events from data which satisfy dedicated ID criteria (high- p_T ID) and have a $p_T > 200$ GeV
 - ▶ Comparing with MC events (DY, diboson, $t\bar{t}$, single-top MC) satisfying the same selection as for data

Muon identification efficiency (more details)



- ▶ The high- p_T selection criteria was retuned over Run-2 to feature a $\sim 1.5\%$ (1%) increase in data (MC)
- ▶ Its efficiency was measured, with the *extended tag-and-probe method*, w.r.t. reconstructed global muons
 - ▶ Including N-1 measurement of the efficiency of single cuts
 - ▶ Studying performance in events with showers
- ▶ The selection efficiency is $\sim 98\%$ (data) with a $\sim 1\%$ data/MC discrepancy
 - ▶ In presence of showers, efficiency degrades of $\sim 1\%$ in barrel, and data/MC agreement worsens
 - ▶ No significant p_T dependence is observed (incl. events with showers)

Level-1 trigger efficiency (shower-based measurement)



- ▶ Trigger efficiency is measured exploiting two different strategies:
 1. With the *extended tag-and-probe method*
 2. Using datasets collected with *non-muonic* triggers (e.g. e-gamma triggers)
- ▶ In case 2., the Level-1 trigger efficiency is also computed:
 - ▶ Assuming that p dependence is only due to the increased probability of generating showers at higher momentum
 - ▶ Computing the efficiency as function of # showers and convoluting it with the shower probability vs p to get " ϵ vs p"