



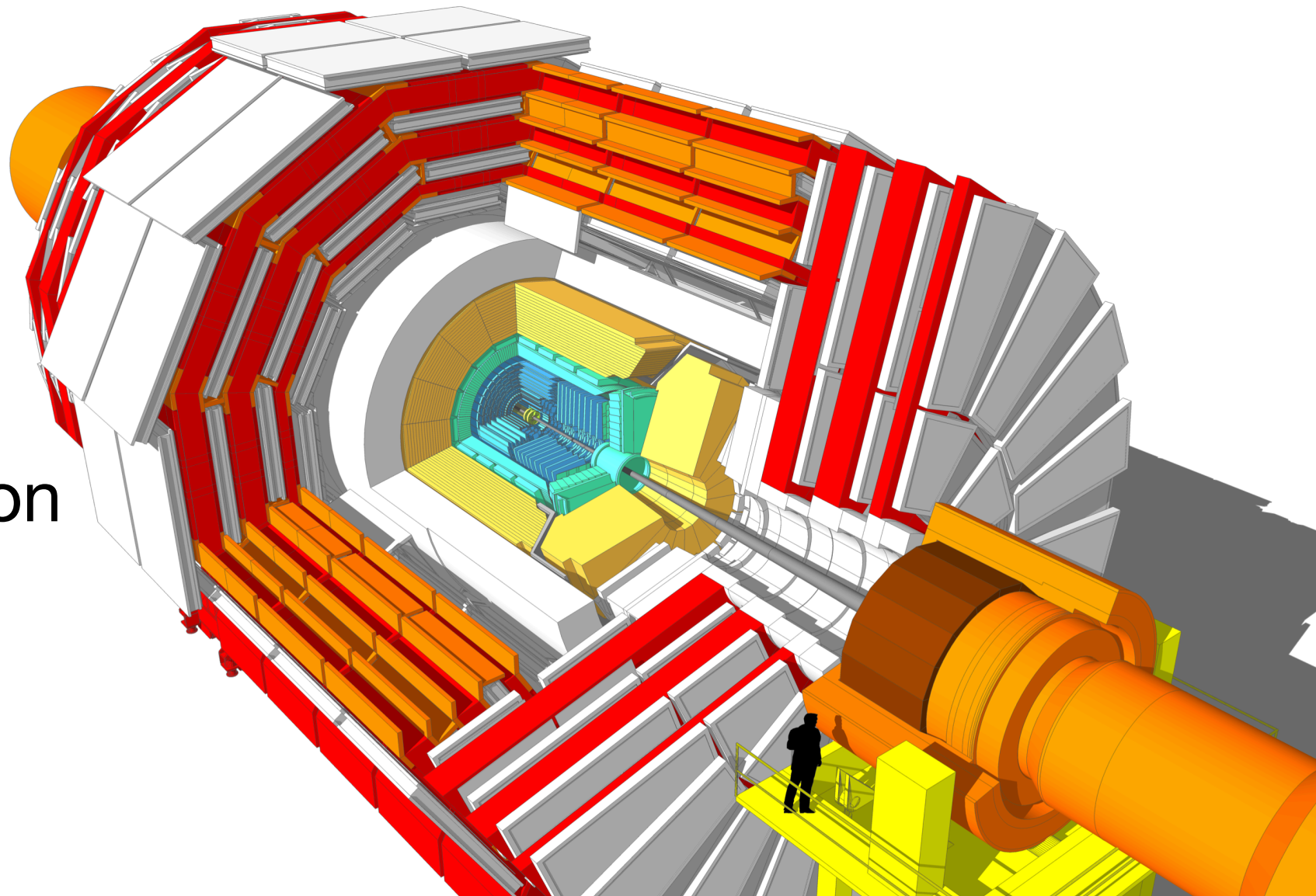
THE OHIO STATE UNIVERSITY



Searches for new physics with unconventional signatures at CMS

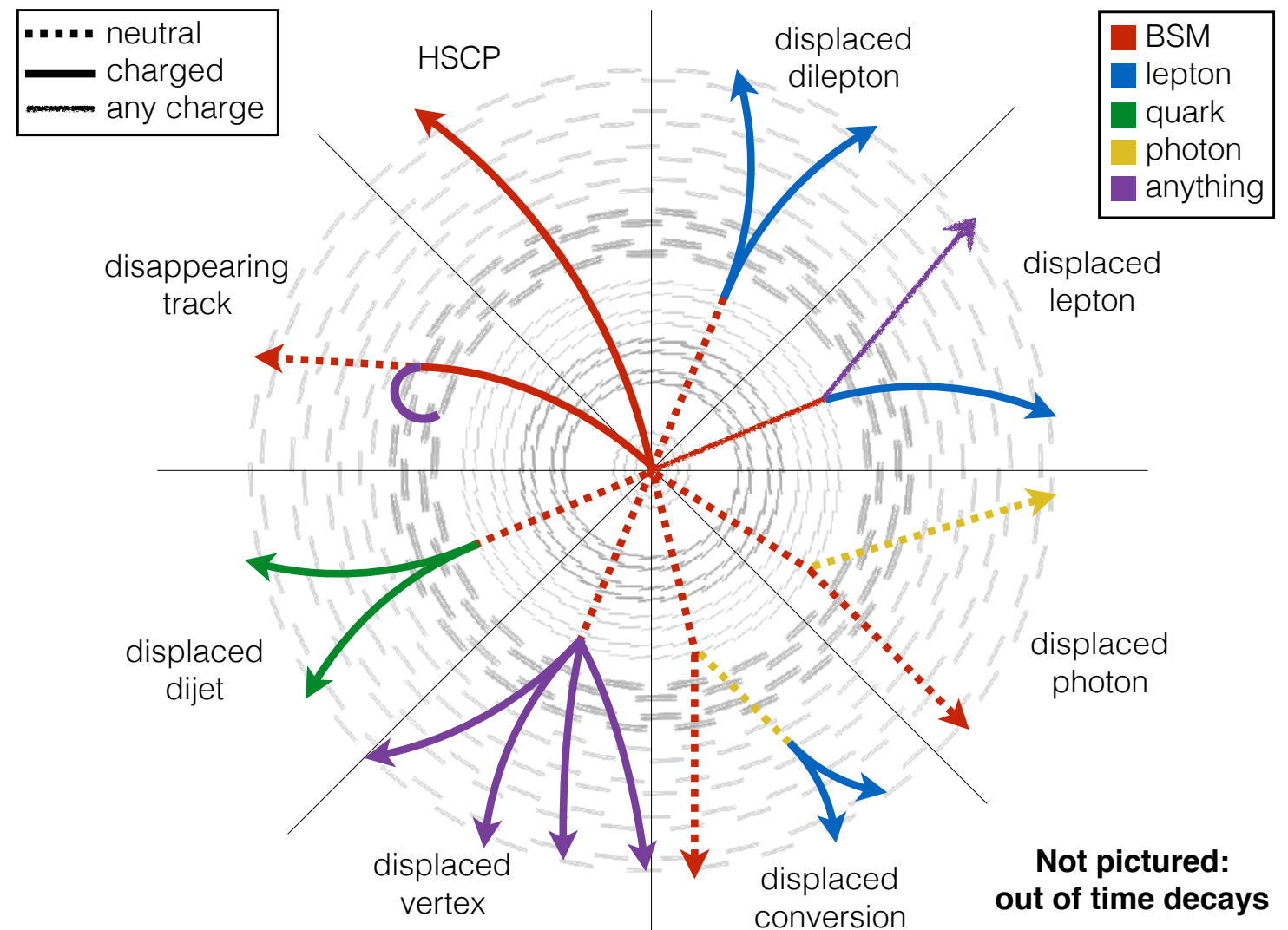
Brian Francis
for the CMS Collaboration

July 28th
ICHEP 2020



Unconventional: long-lived particles

Figure: J. Antonelli



- Wide variety of signatures depending on LLP

- Lifetime, boost
- Charge, interactions
- Mass
- Decay products

- Experimentally challenging:

- Often triggering is difficult
- Non-standard reconstruction techniques frequently needed
 - Large displacements
 - Atypical ionization
 - Out of time (time-of-flight)

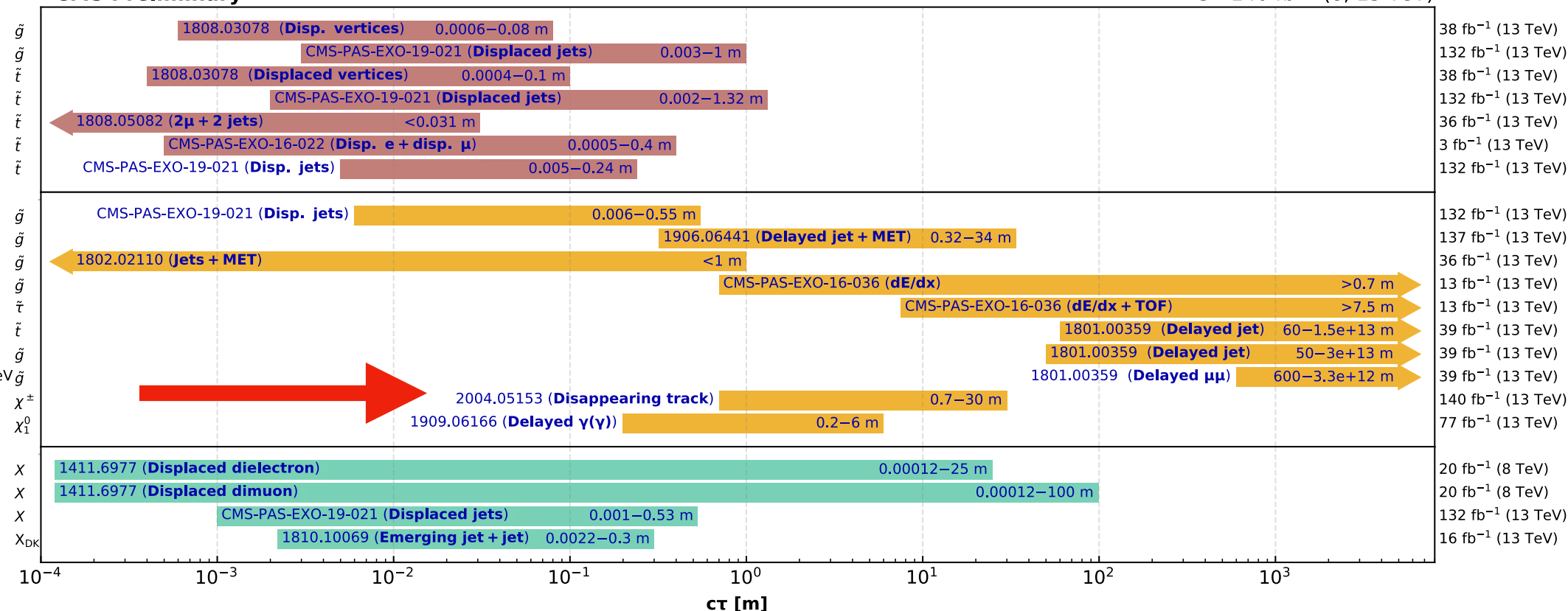
- Challenging backgrounds, often estimated from data
 - Detector noise or reconstruction failures, cosmic rays...

Searches for LLPs

Overview of CMS long-lived particle searches

CMS Preliminary

3 - 140 fb⁻¹ (8, 13 TeV)



Selection of observed exclusion limits at 95% C.L. (theory uncertainties are not included). The y-axis tick labels indicate the studied long-lived particle.

LHCP 2020

- Broad program of searches, but here focus on recent, particularly unconventional results at 13 TeV:
 - Strongly interacting massive particles (SIMPs) with trackless jets — 2016: 16/fb
 - Brand new result!
 - Disappearing tracks — 2017-8: 101/fb (plus 2015-6 results: 140/fb)

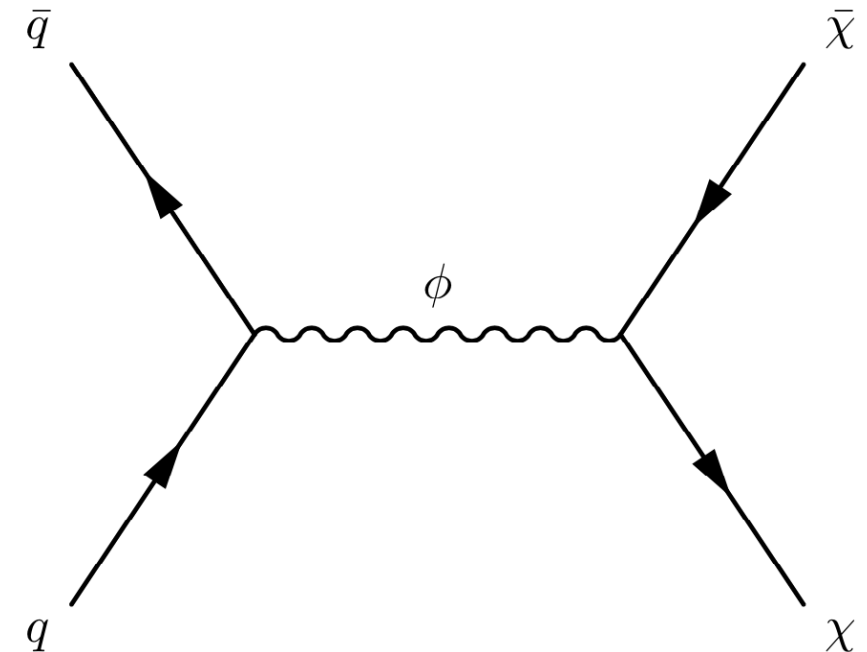
Strongly Interacting Massive Particles with Trackless Jets

CMS-PAS-EXO-17-010

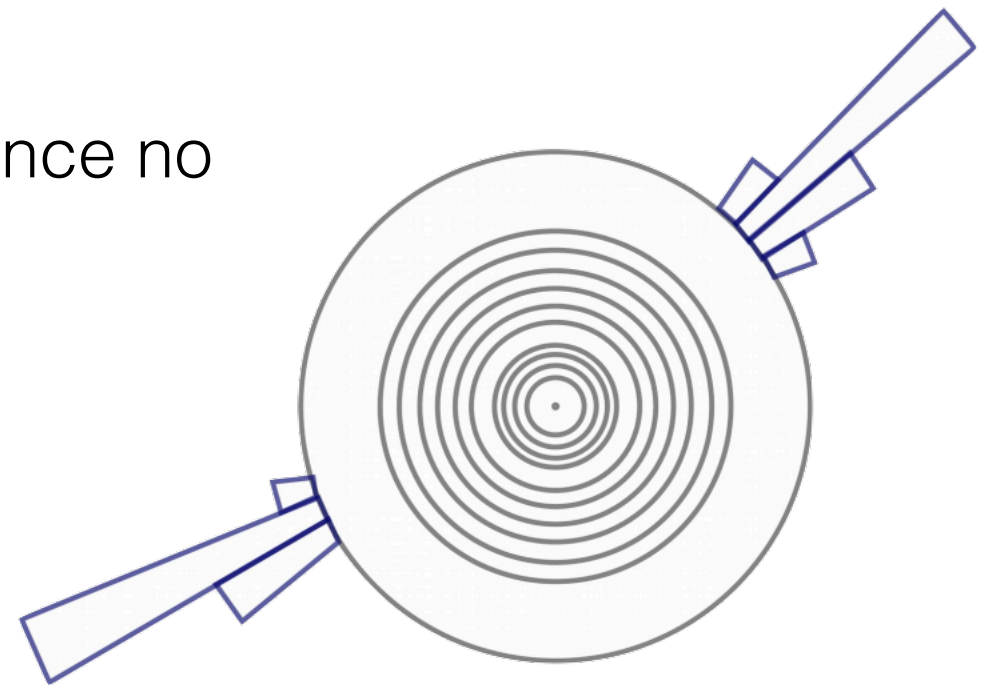
Brand new!

Search for SIMPs with Trackless Jets

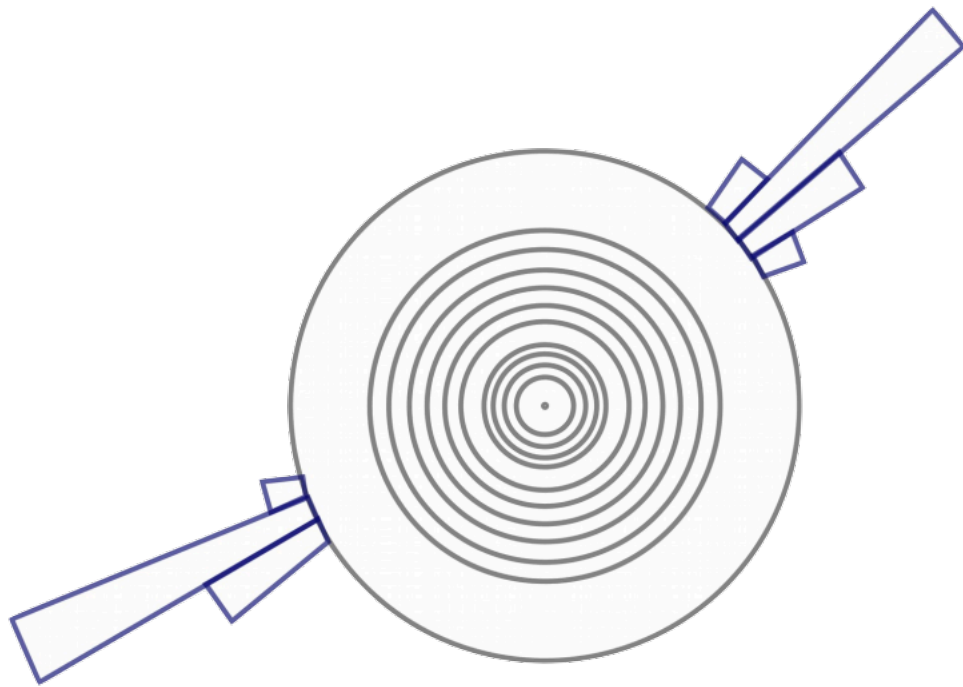
- **Strongly** — large interaction cross section
- **Interacting** — new light mediator
- **Massive** — dark matter candidate
- **Particle** — fermionic, asymmetric dark matter
- The signature is a **pair of neutral jets**
 - Narrow jets from high-pt, single particle source
 - Target interaction cross sections: showers are contained in the hadronic calorimeter
 - Very little **charged energy fraction (ChF)** since no hadronization of SIMP occurs



**This new result is the first of its kind
at colliders for this phase space!**

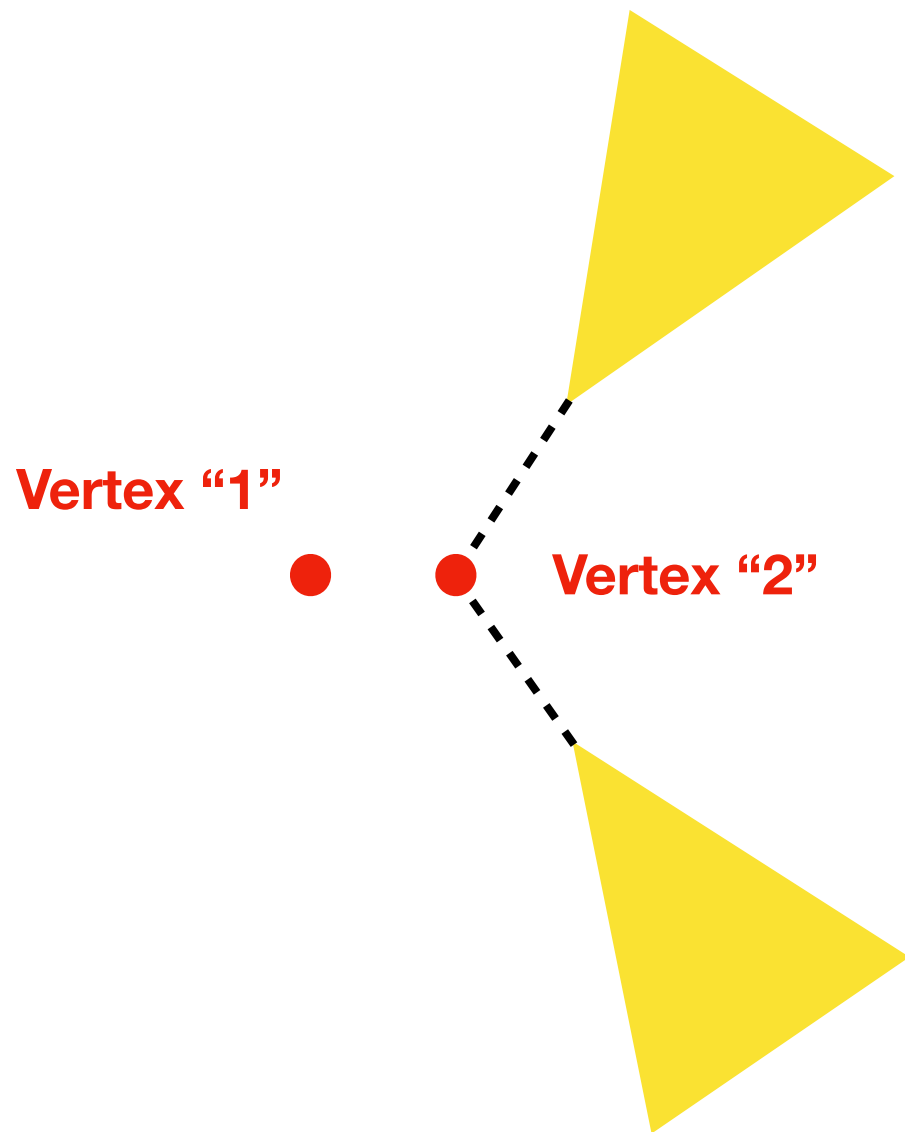


Search Overview, Selection



- Trigger strategy — ≥ 1 jet having $p_t > 450$ GeV
- Select events with **two back-to-back jets** with **low ChF**
 - $p_t > 550$ GeV, $|\eta| < 2.0$
 - $\Delta\phi(\text{lead jet, sub-lead jet}) > 2$
 - Reject jets reconstructed as photons
 - Neutral EM energy fraction < 0.9
 - Reject events with > 2 jets having $p_t > 30$ GeV, $|\eta| < 5$
 - ≥ 2 reconstructed vertices
- Background: QCD multijet events
 - Typically have **large ChF**, several jets

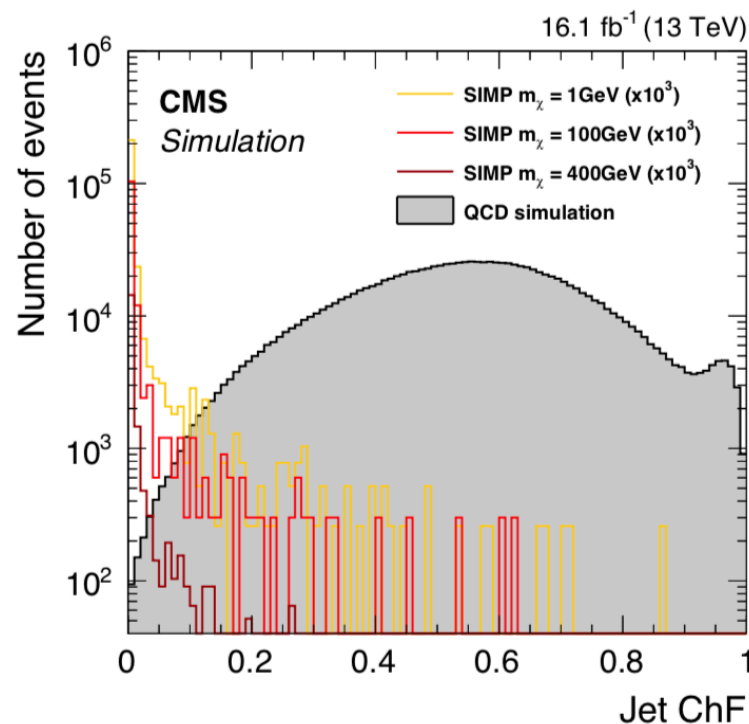
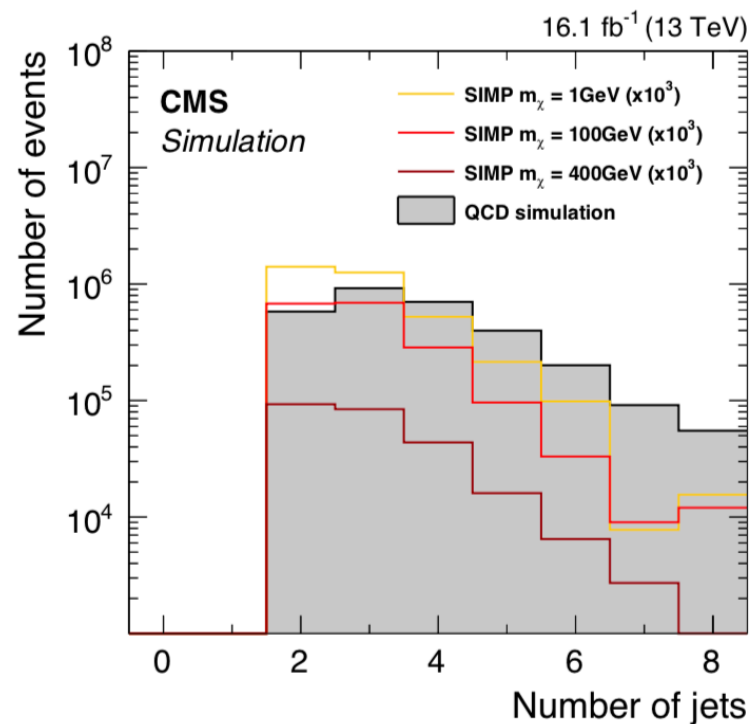
Reconstruction



**Incorrect vertex assignment
artificially decreases ChF**

- Typical primary vertex (PV): one with highest sum of assigned physics-object p_t^2
 - All others deemed pileup, and associated tracks are **removed** in ChF calculations
- Possible to assign incorrectly
 - **Artificially lowers ChF** in QCD multijet events — **significant** source of background
 - Often in this case, the second vertex is the true primary
- Solution: reconstruct jets using **both** the first and second vertex
 - Signal has **low ChF** in both versions
 - Background has **large ChF** in one or the other

Background Estimation

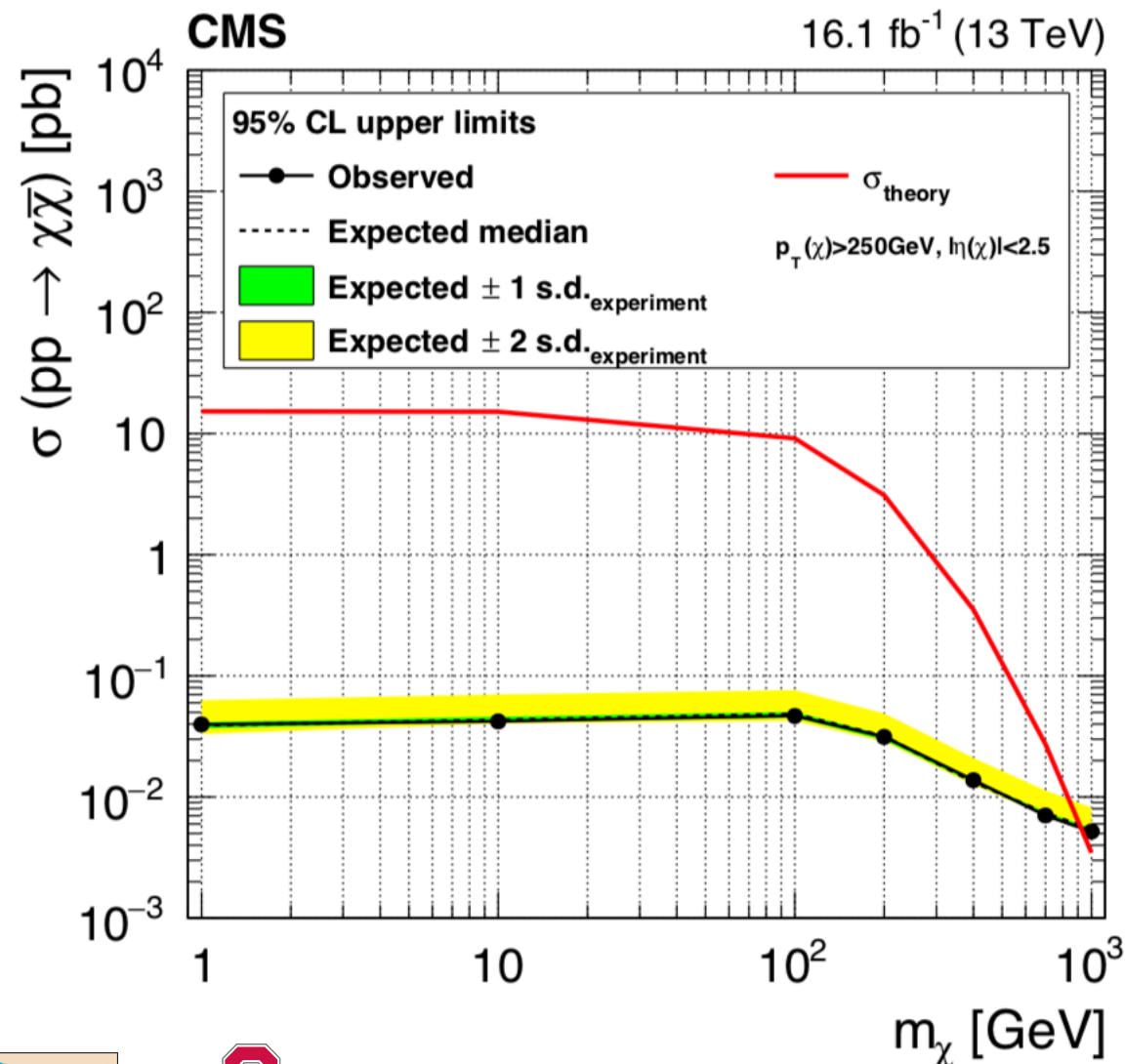


- **Control region:**
 - ChF of one jet > 0.25
 - Using default (first) vertex
- **Signal region:**
 - ChF of both jets < 0.05
 - Using **both** first and second vertices

- Data-driven estimation of QCD:
 - In control region, measure the probability for the *other* jet to have ChF < 0.05
 - Binning probability in jet pt, η
 - **Normalize** events by these probabilities:
 - 1-leg: one low-ChF jet, normalize for the other
 - 2-leg: neither jet have low ChF, normalize both
 - Both methods agree well; 2-leg is used for the final estimate

Results

| ChF selection criterion | data prediction | observed | SIMP signal [m_χ] | |
|----------------------------|----------------------------------------------------|----------|--------------------------|-----------------|
| | | | 1 GeV | 1000 GeV |
| < 0.2 | 898 ± 30 (stat.) ± 33 (syst.) | 969 | 1300 ± 58 | 2.25 ± 0.07 |
| < 0.15 | 209 ± 10 (stat.) ± 17 (syst.) | 229 | 1269 ± 57 | 2.18 ± 0.07 |
| < 0.1 | 26.6 ± 2.2 (stat.) ± 9.3 (syst.) | 30 | 1197 ± 56 | 2.09 ± 0.07 |
| < 0.07 | 5.1 ± 0.6 (stat.) ± 4.1 (syst.) | 4 | 1153 ± 55 | 2.00 ± 0.07 |
| < 0.05 | 1.28 ± 0.22 (stat.) $^{+3.40}_{-1.28}$ (syst.) | 0 | 1101 ± 53 | 1.90 ± 0.06 |



- Model-independent limit using the ChF < 0.05 requirement
 - $\sigma^{95\%}_{\text{obs}} = \mathbf{0.18 \text{ fb}}$ (0.18 fb expected)
- SIMP limits (left):
 - Exclude SIMP masses up to 900 GeV
- First limits in this phase space at colliders**

Disappearing Tracks

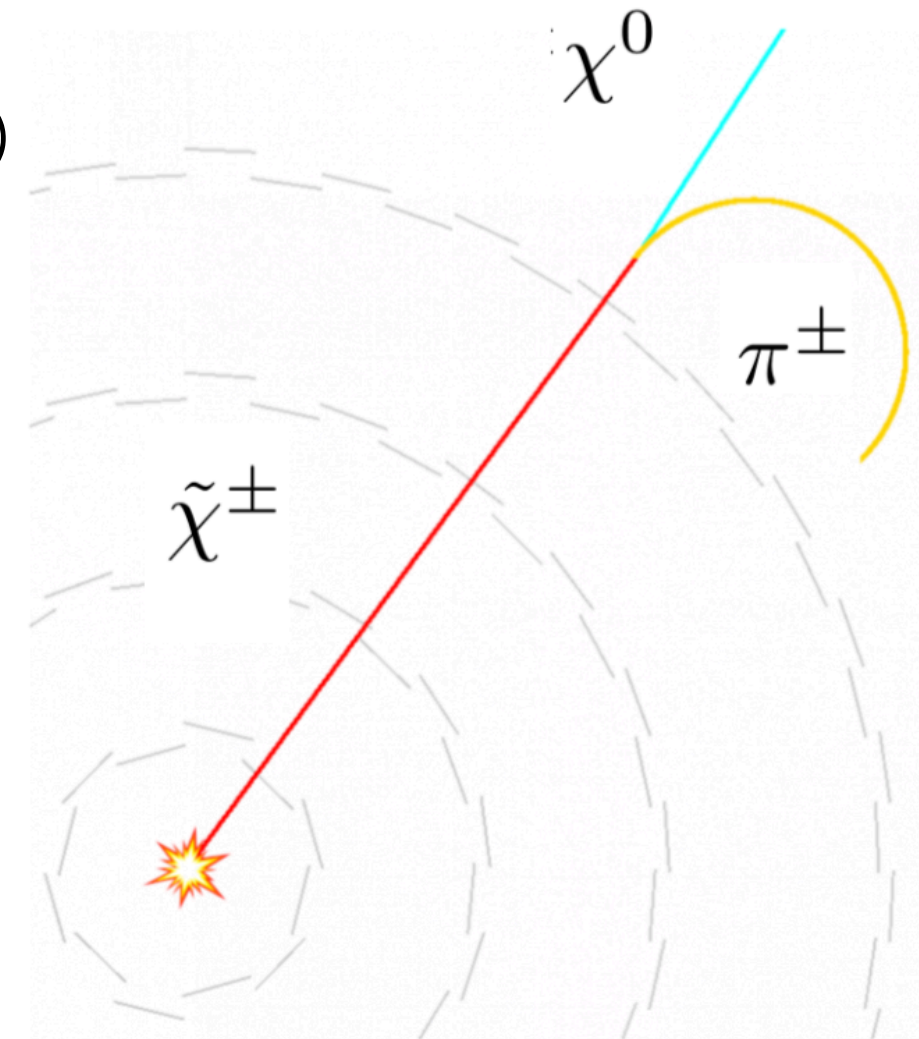
Phys. Lett. B 806 (2020) 135502

Search for Disappearing Tracks

- Signature-driven search for **long-lived charged particles** decaying within the silicon tracker
- Many models introduce this signature, for example anomaly-mediated supersymmetry breaking (AMSB)

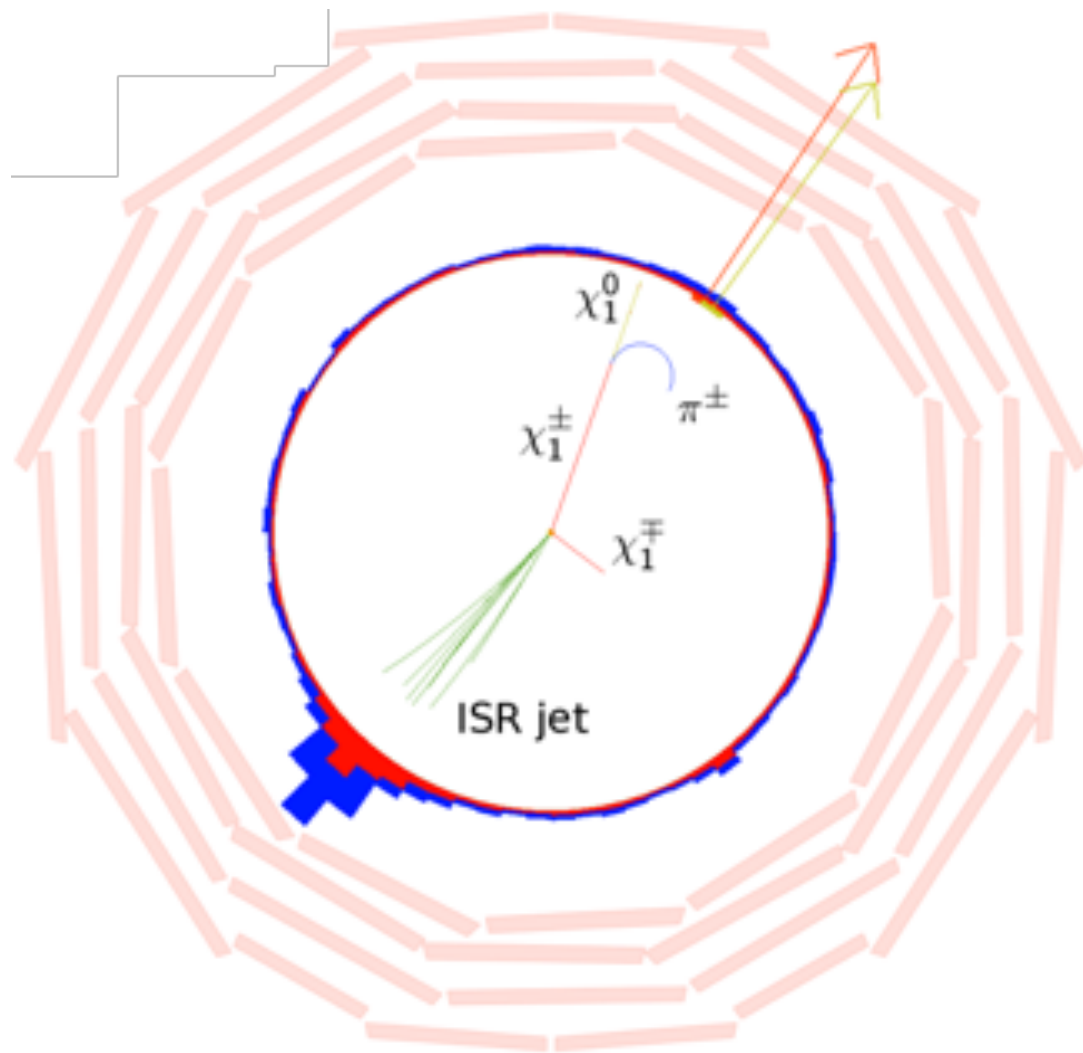
$$\tilde{\chi}^{\pm} \rightarrow \pi^{\pm} \chi^0$$

- With a **small mass splitting** between chargino ($\tilde{\chi}^{\pm}$) and neutralino ($\tilde{\chi}^0$):
 - Chargino is long-lived, O(1) ns
 - Neutralino interacts only weakly, and pion is too soft to be reconstructed
- With an its decay products either unobserved or unreconstructed, the chargino track “**disappears**”
- Search uses 13 TeV from **2017-8** corresponding to **101/fb**



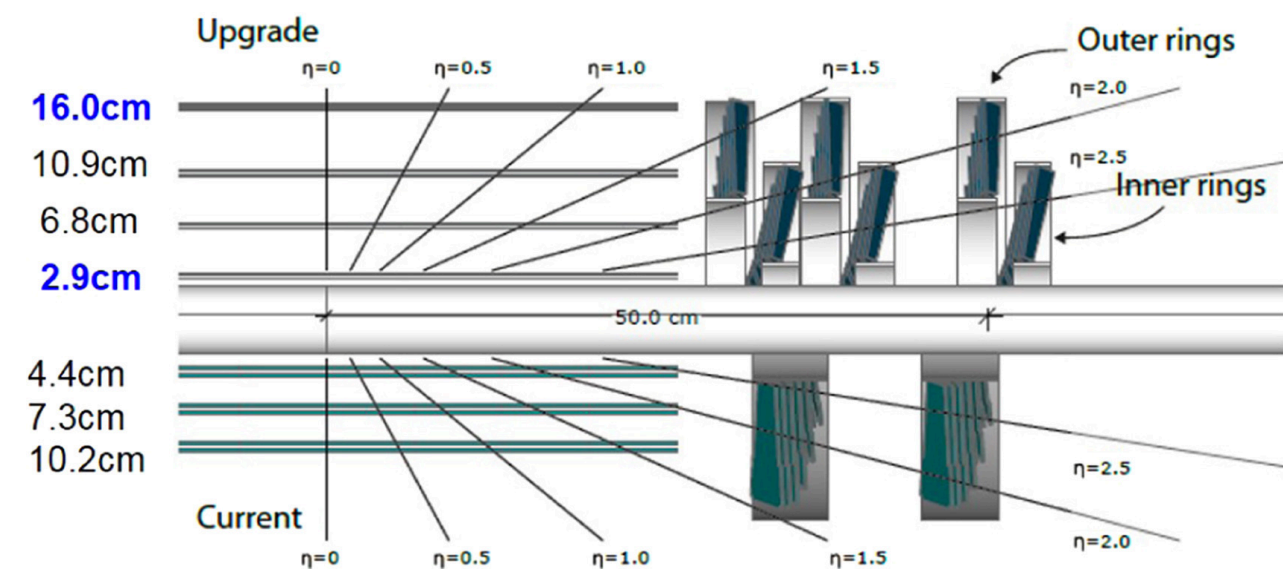
Search Overview

Simulation



- Trigger strategy — **large MET** from ISR jet at L1, **isolated track** at HLT
- Select events with an isolated track having:
 - Missing outer hits
 - Little associated calorimeter energy
 - No hits in the muon detectors
- Remaining backgrounds are rare:
 - **Isolated, charged leptons** not correctly reconstructed
 - **Spurious tracks** from pattern recognition errors

Event Selection



**Four layer Phase 1
pixel upgrade (top)**

- ISR jet ($p_t > 110$ GeV), $MET > 120$ GeV
- ≥ 1 track:
 - $p_t > 55$ GeV, $|\eta| < 2.1$
 - **≥ 4 pixel hits ***
 - Pass fiducial selections: reject gaps in detector coverage, regions of low lepton reco. efficiency
 - Isolated from jets and reconstructed leptons
 - Zero missing inner/middle silicon hits — minimize spurious tracks

- “Disappearing” criteria:
 - Sum of calorimeter deposits in $\Delta R < 0.5$ less than 10 GeV
 - ≥ 3 missing outer hits
- Separate into three signal categories
 - Number of tracker layers with hits: **=4, =5 ***, ≥ 6

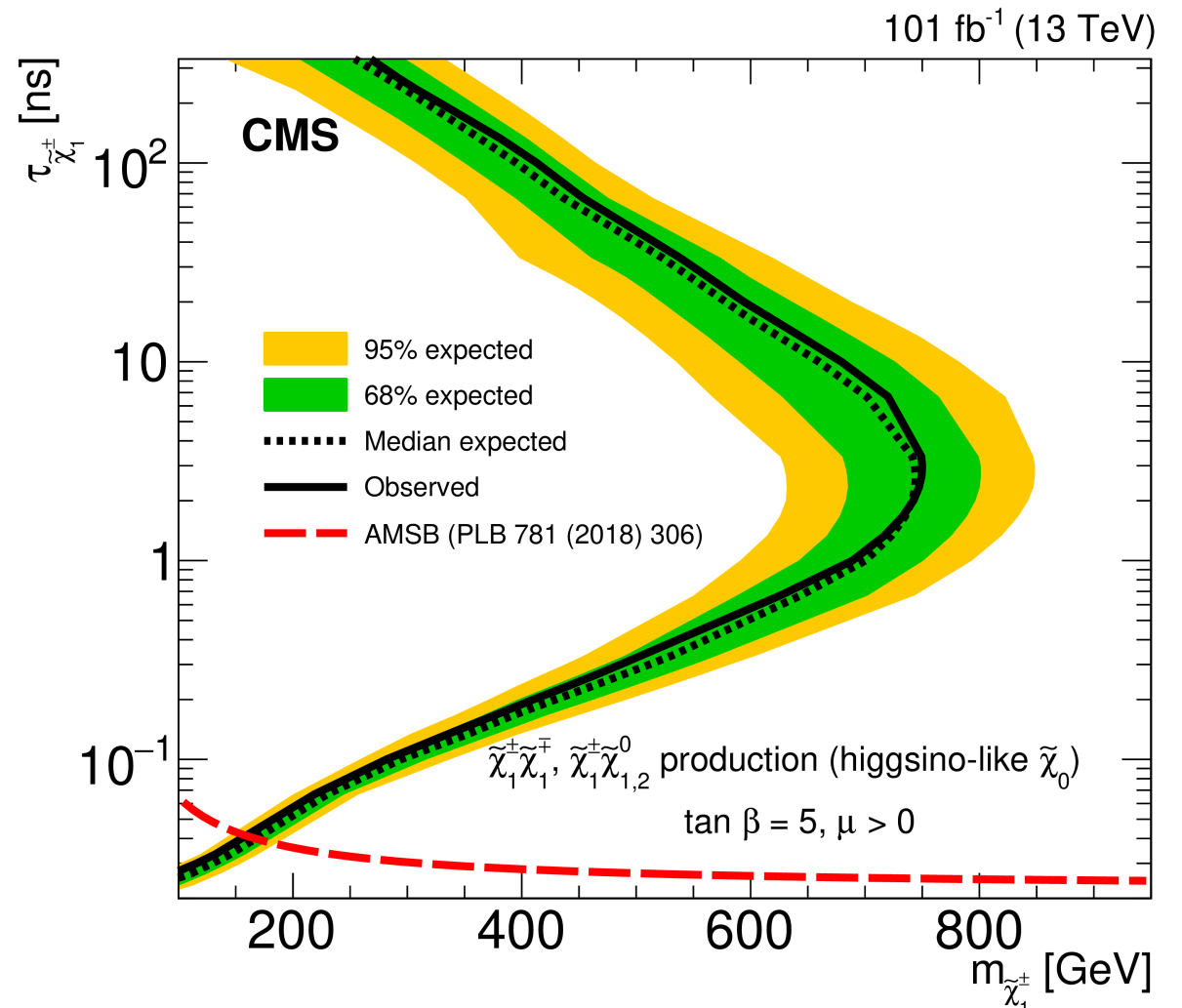
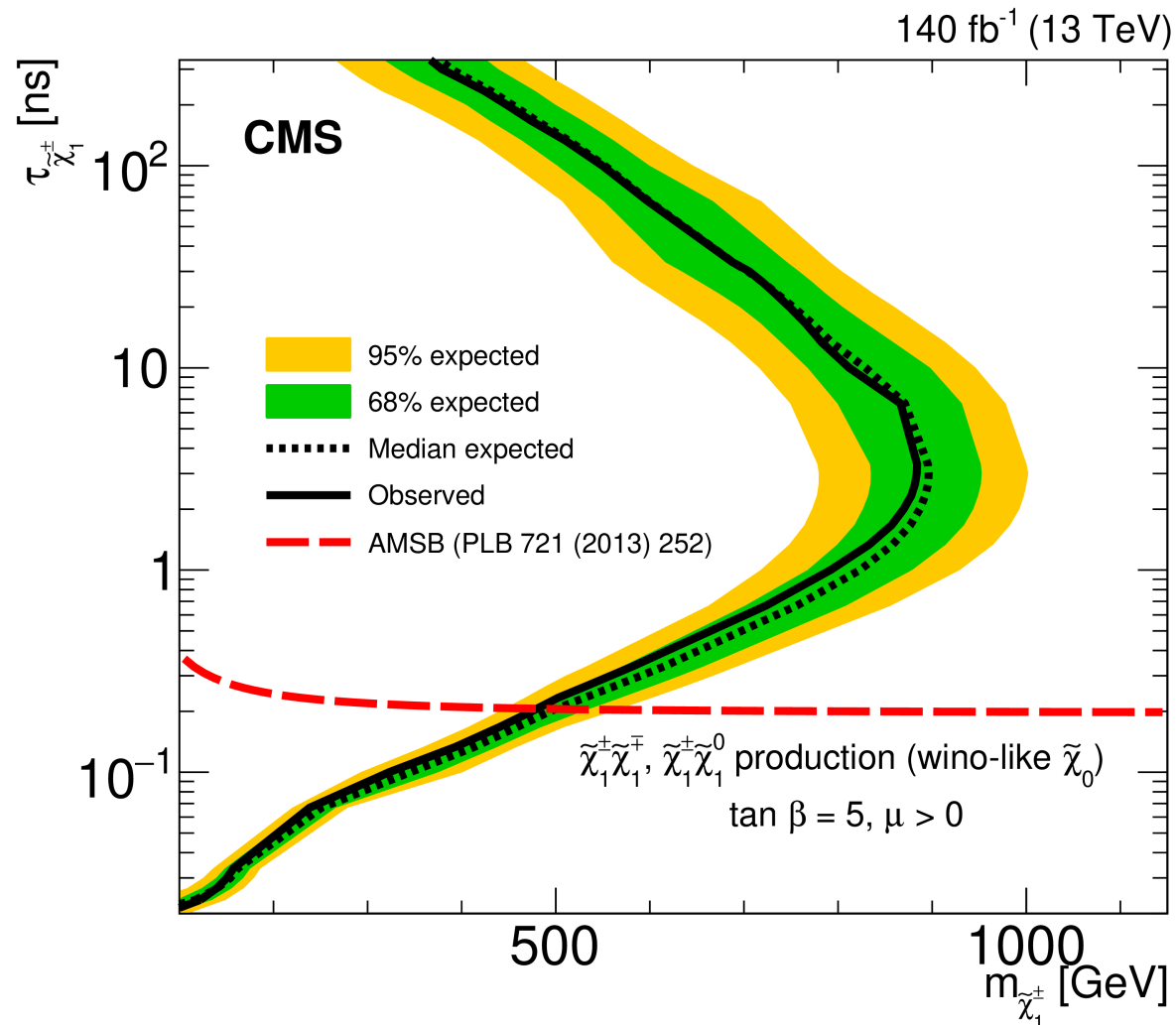
*** Newly possibly since
Phase I upgrades!**

Background Estimation

- Entirely data-driven approach
- **Leptons**: calculate the probability for each flavor (e, μ , τ_h) to survive each step of the selection
 - Normalize single-lepton control regions to this probability
- **Spurious tracks**: estimate event-by-event probability for such a track in Z + track events, both Z- $\rightarrow\mu\mu$ and Z- $\rightarrow ee$
 - Select tracks in displaced sideband
- Total background expected is **47.8 $^{+2.7}_{-2.3}$ (stat) ± 8.1 (syst) events** — observed **48 events**

| Data-taking period | n_{lay} | Expected backgrounds | | | Observation |
|--------------------|------------------|-----------------------------|---------------------------------|------------------------------|-------------|
| | | Leptons | Spurious tracks | Total | |
| 2017 | 4 | $1.4 \pm 0.9 \pm 0.2$ | $10.9 \pm 0.7 \pm 4.7$ | $12.2 \pm 1.1 \pm 4.7$ | 17 |
| | 5 | $1.1 \pm 0.4 \pm 0.1$ | $1.0 \pm 0.2 \pm 0.6$ | $2.1 \pm 0.4 \pm 0.6$ | 4 |
| | ≥ 6 | $6.7 \pm 1.1 \pm 0.7$ | $0.04 \pm 0.04^{+0.08}_{-0.04}$ | $6.7 \pm 1.1 \pm 0.7$ | 6 |
| 2018 A | 4 | $1.1^{+1.0}_{-0.6} \pm 0.1$ | $6.2 \pm 0.5 \pm 3.5$ | $7.3^{+1.1}_{-0.8} \pm 3.5$ | 5 |
| | 5 | $0.2^{+0.6}_{-0.2} \pm 0.0$ | $0.5 \pm 0.1 \pm 0.3$ | $0.6^{+0.6}_{-0.2} \pm 0.3$ | 0 |
| | ≥ 6 | $1.8^{+0.6}_{-0.5} \pm 0.2$ | $0.04 \pm 0.04^{+0.06}_{-0.04}$ | $1.8^{+0.6}_{-0.5} \pm 0.2$ | 2 |
| 2018 B | 4 | $0.0^{+0.8}_{-0.0} \pm 0.0$ | $10.3 \pm 0.6 \pm 5.4$ | $10.3^{+1.0}_{-0.6} \pm 5.4$ | 11 |
| | 5 | $0.4^{+0.7}_{-0.3} \pm 0.1$ | $0.6 \pm 0.2 \pm 0.3$ | $1.0^{+0.7}_{-0.3} \pm 0.3$ | 2 |
| | ≥ 6 | $5.7^{+1.2}_{-1.1} \pm 0.6$ | $0.00^{+0.04}_{-0.00} \pm 0.00$ | $5.7^{+1.2}_{-1.1} \pm 0.6$ | 1 |

Results



- Combined with 2015-6 results for a purely wino-like neutralino in AMSB — **best limits to date!**
 - Left: exclude chargino masses up to 884 (474) GeV for a lifetime of 3 (0.2) ns
- First limits** for purely higgsino-like neutralino in AMSB
 - Right: exclude chargino masses up to 750 (175) GeV for a lifetime of 3 (0.05) ns

Conclusions

- CMS is pursuing a broad program of searches for long-lived particles
 - Many signatures considered are quite unconventional, requiring novel triggering or reconstruction techniques, data-driven background estimations
- Recently published results for several such searches:
 - **Search for Strongly Interacting Massive Particles with Trackless Jets**
 - CMS-PAS-EXO-17-010
 - **First results in this phase space at colliders**
 - Model-independent cross section upper limit: $\sigma^{95\%_{\text{obs}}} = 0.18 \text{ fb}$
 - Exclude SIMP masses up to 900 GeV
 - **Search for disappearing tracks**
 - Phys. Lett. B 806 (2020) 135502
 - Wino-like neutralino AMSB: improve chargino mass reach by $>150 \text{ GeV}$ — **strongest limits to date**
 - Higgsino-like neutralino AMSB: **first limits** in AMSB using this signature
- Many more exciting results coming soon!

Full list of CMS Exotica results: [PhysicsResultsEXO](#)

Additional Material

SIMP Phase Space

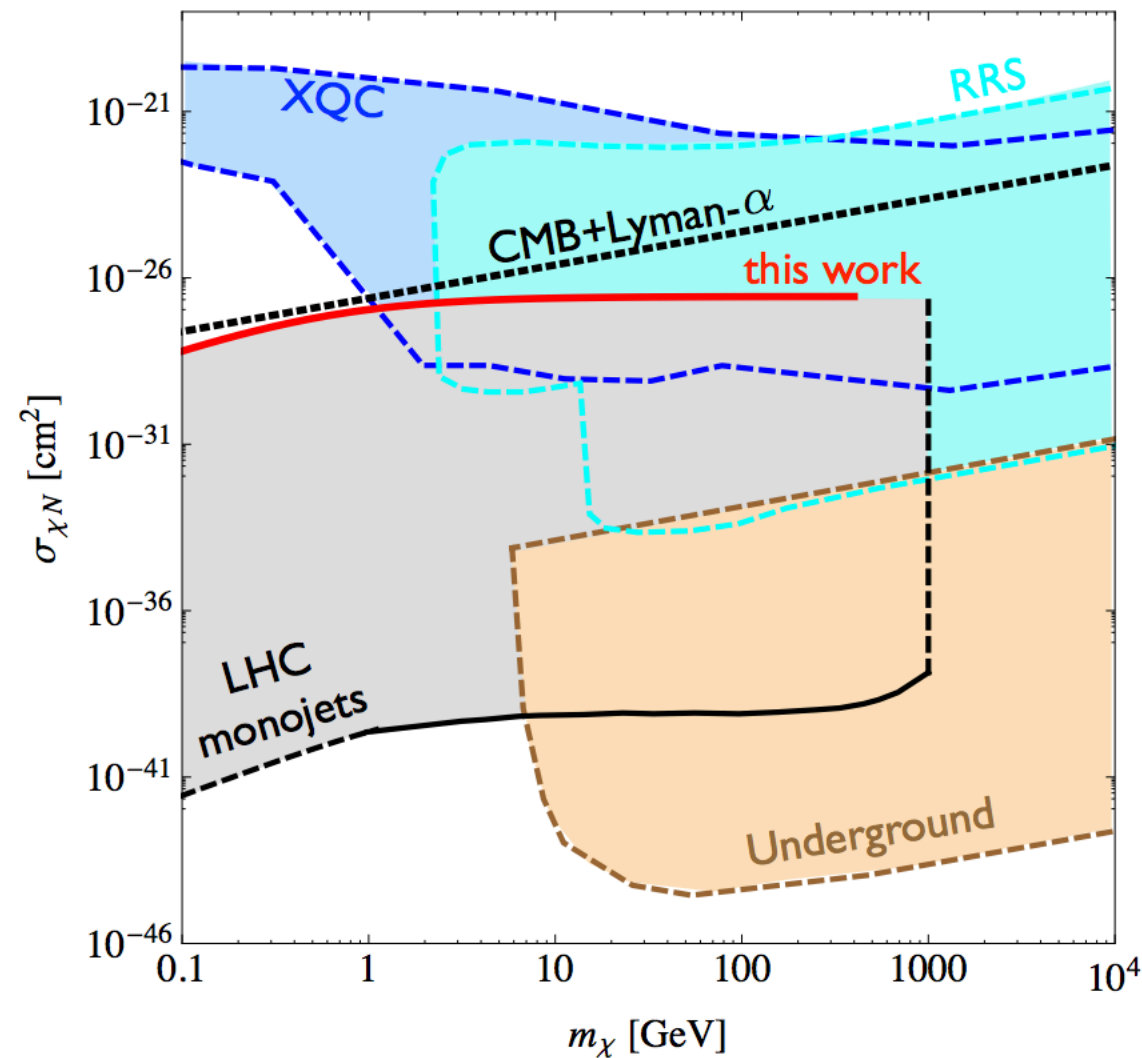
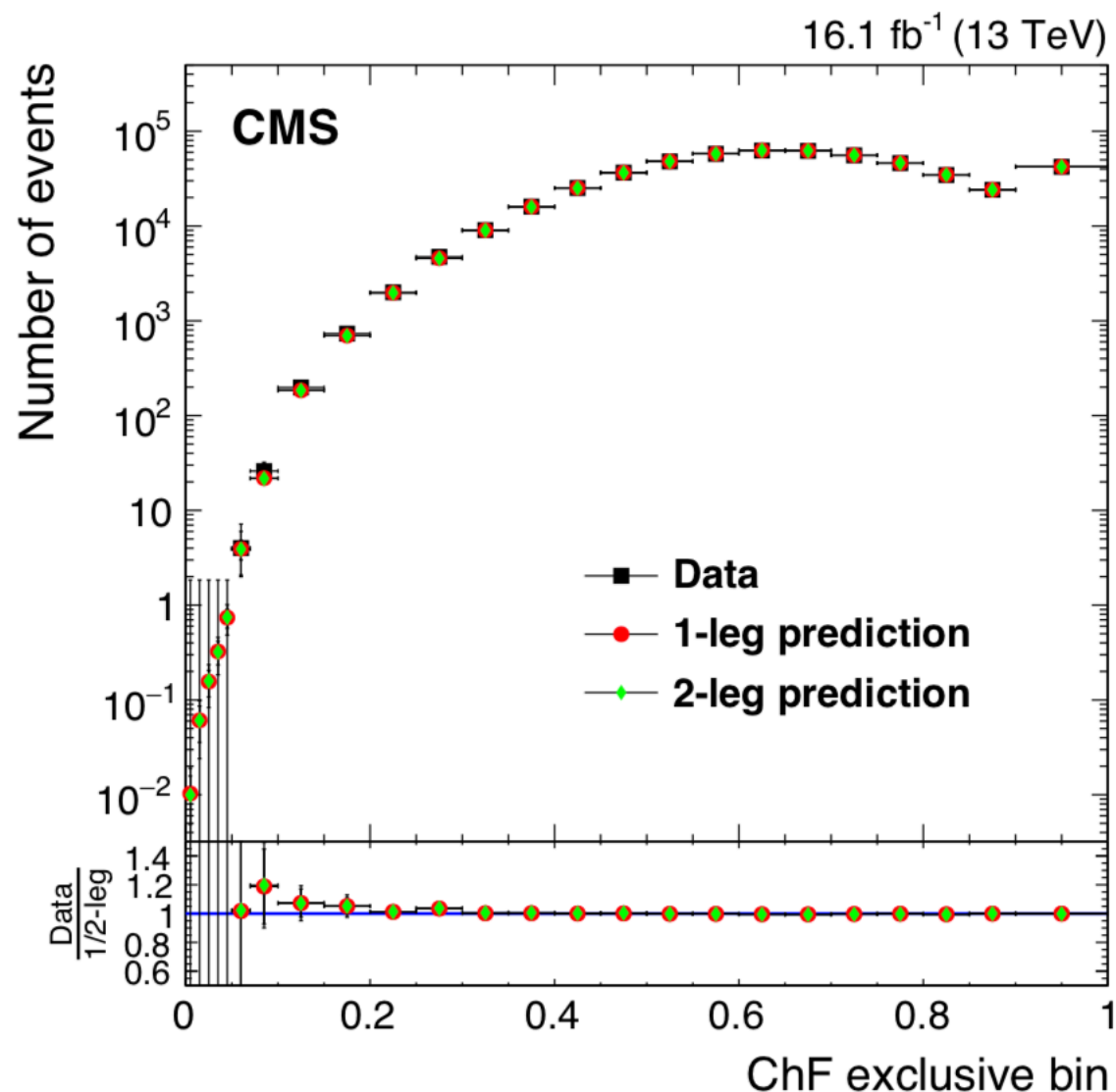
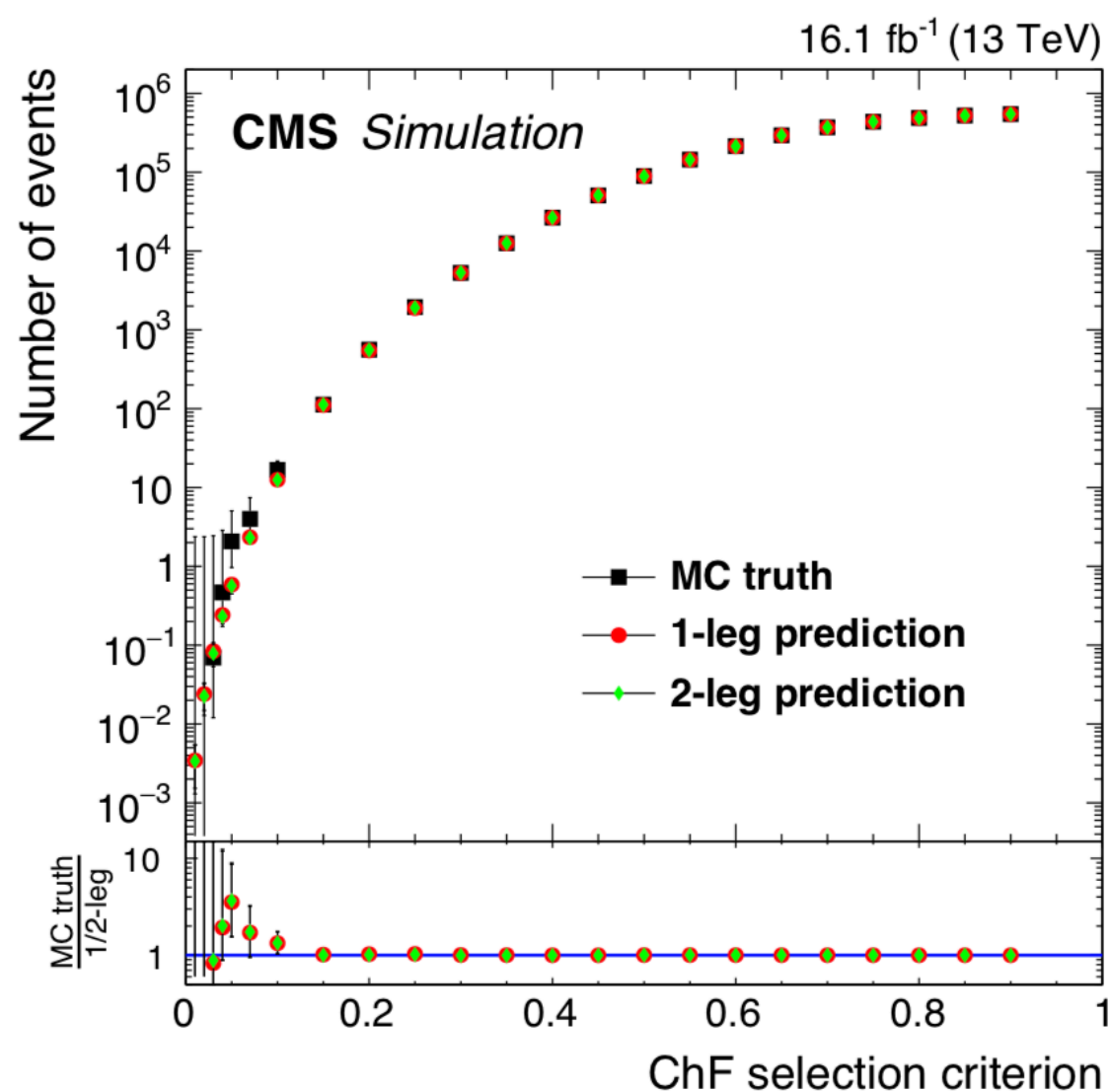


FIG. 4. Summary plot showing all the most important applicable constraints. Our results are shown in the upper solid red line (“this work”), which corresponds to the green line of Figure 3 (left). In black solid/dashed (lower lines), the monojet constraints are shown. The other constraints are: atmospheric XQC and RRS experiments (blue and cyan, respectively), underground experiments (brown dashed), and CMB+Lyman- α (black dashed).

<https://arxiv.org/abs/1503.05505>

SIMP Background Closure



| source | uncertainty |
|------------------------|-------------|
| jet energy corrections | 2.2 - 5.4% |
| integrated luminosity | 2.5% |
| trigger inefficiency | 2% |

**Systematic uncertain
on signal efficiencies**

Disappearing Track Lepton Backgrounds

| Data-taking period | n_{lay} | P_{veto} | | |
|--------------------|------------------|--------------------------------------|---------------------------------------|--------------------------------------|
| | | Electrons | Muons | τ_h |
| 2017 | 4 | $(8.2 \pm 5.2) \times 10^{-4}$ | $(0.0^{+3.9}_{-0.0}) \times 10^{-3}$ | $(6.9^{+8.3}_{-5.1}) \times 10^{-2}$ |
| | 5 | $(2.2 \pm 0.9) \times 10^{-4}$ | $(3.2 \pm 1.3) \times 10^{-2}$ | $(6.5^{+2.9}_{-2.7}) \times 10^{-2}$ |
| | ≥ 6 | $(2.7 \pm 0.5) \times 10^{-5}$ | $(1.2 \pm 0.5) \times 10^{-6}$ | $(1.0 \pm 0.4) \times 10^{-3}$ |
| 2018 A | 4 | $(1.3 \pm 0.7) \times 10^{-3}$ | $(1.0 \pm 1.0) \times 10^{-1}$ | $(7.1^{+5.5}_{-3.8}) \times 10^{-2}$ |
| | 5 | $(0.9^{+1.5}_{-0.9}) \times 10^{-4}$ | $(7.4 \pm 4.2) \times 10^{-2}$ | $(4.4^{+5.5}_{-4.4}) \times 10^{-2}$ |
| | ≥ 6 | $(1.6 \pm 0.6) \times 10^{-5}$ | $(1.9 \pm 0.8) \times 10^{-6}$ | $(0.0^{+7.3}_{-0.0}) \times 10^{-4}$ |
| 2018 B | 4 | $(0.0^{+1.1}_{-0.0}) \times 10^{-4}$ | $(4.0^{+15.0}_{-4.0}) \times 10^{-2}$ | $(5.6^{+6.5}_{-5.0}) \times 10^{-2}$ |
| | 5 | $(1.4 \pm 1.1) \times 10^{-4}$ | $(5.8 \pm 3.8) \times 10^{-2}$ | $(5.1^{+4.5}_{-3.7}) \times 10^{-2}$ |
| | ≥ 6 | $(3.3 \pm 0.7) \times 10^{-5}$ | $(1.5 \pm 0.6) \times 10^{-6}$ | $(2.3 \pm 1.0) \times 10^{-3}$ |

- $P(\text{veto})$: probability for each flavor (e , μ , τ_h) to survive the veto against reconstructed leptons of that flavor
- Measured with Z events using tag-and-probe techniques

Disappearing Track Systematics

| Background | Source | Uncertainty | | |
|-----------------|----------------------------|----------------------|----------------------|-------------------------|
| | | $n_{\text{lay}} = 4$ | $n_{\text{lay}} = 5$ | $n_{\text{lay}} \geq 6$ |
| Spurious tracks | Control sample | $\pm 19\%$ | $\pm 29\%$ | $\pm 116\%$ |
| | ζ | $\pm 47\%$ | $\pm 47\%$ | $\pm 47\%$ |
| Electrons | Visible calorimeter energy | $\pm 14\%$ | $\pm 14\%$ | $\pm 13\%$ |
| Muons | P_{off} | $+7\%$ | $+7\%$ | — |
| | P_{trig} | $+8\%$ | $+2\%$ | — |
| τ_h | Visible calorimeter energy | $\pm 19\%$ | $\pm 19\%$ | $\pm 19\%$ |
| | P_{off} | $+7\%$ | $+7\%$ | — |
| | P_{trig} | $+8\%$ | $+2\%$ | — |

**Systematic uncertain
on background estimates**

| Source | Uncertainty | | |
|--------------------------------------|----------------------|----------------------|-------------------------|
| | $n_{\text{lay}} = 4$ | $n_{\text{lay}} = 5$ | $n_{\text{lay}} \geq 6$ |
| Pileup | 3.0% | 3.3% | 2.8% |
| ISR | 13% | 13% | 13% |
| Trigger efficiency | 1.1% | 0.8% | 0.4% |
| Jet energy scale | 0.6% | 0.7% | 1.6% |
| Jet energy resolution | 0.5% | 0.5% | 1.3% |
| $p_{\text{T}}^{\text{miss}}$ | 0.3% | 0.3% | 0.4% |
| $E_{\text{calo}}^{\Delta R < 0.5}$ | 0.7% | 0.7% | 0.7% |
| Missing inner hits | 2.3% | 1.0% | 0.3% |
| Missing middle hits | 3.9% | 5.1% | 4.4% |
| Missing outer hits | — | — | 0.2% |
| Reconstructed lepton veto efficiency | 0.1% | 0.1% | — |
| Track reconstruction efficiency | 2.3% | 2.3% | 2.3% |
| Total | 14% | 15% | 14% |

**Systematic uncertain
on signal efficiencies**

Disappearing Track Results

