

**ICHEP 2020**  
**Virtual Conference**  
**Prague**  
**July 29, 2020**



**New results from  
the DANSS experiment**

**Mikhail Danilov, LPI (Moscow)  
for the DANSS Collaboration**

# There are several indications of 4<sup>th</sup> neutrino

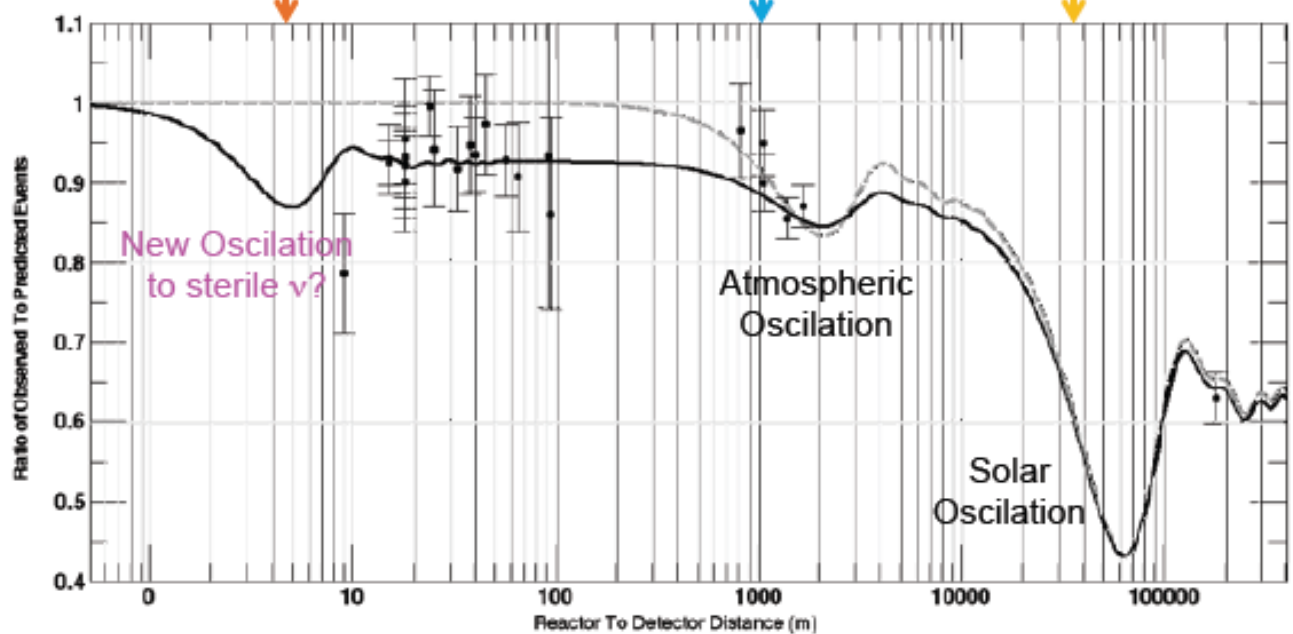
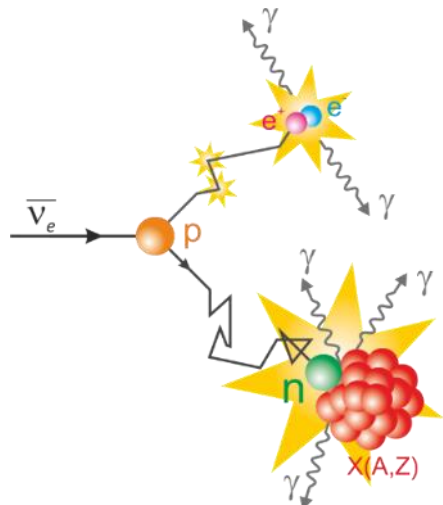
LSND, MiniBoone:  $\bar{\nu}_e$  appearance  
 SAGE and GALEX  $\nu_e$  deficit  
 Reactor  $\bar{\nu}_e$  deficit



Indication of a sterile neutrino  
 $\Delta m^2 \sim 1 \text{ eV}^2$   
 $\sin^2 2\theta_{14} \sim 0.1$   
 $\Rightarrow$  Short range neutrino oscillations

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 1 - \boxed{\sin^2 2\theta_{14} \sin^2 \left( 1.27 \frac{\Delta m_{41}^2 L}{E} \right)} - \boxed{c_{14}^4 \sin^2 2\theta_{13} \sin^2 \left( 1.27 \frac{\Delta m_{31}^2 L}{E} \right)} - \boxed{c_{14}^4 c_{13}^2 \sin^2 2\theta_{12} \sin^2 \left( 1.27 \frac{\Delta m_{21}^2 L}{E} \right)}$$

Inverse Beta Decay (IBD) process

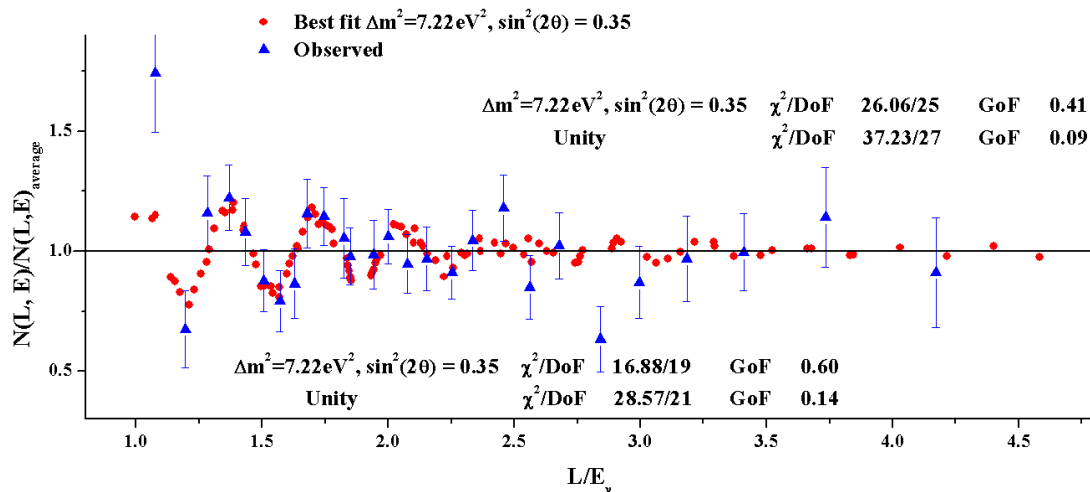


G. Mention et al. Phys Rev D 83 073006 (2011)

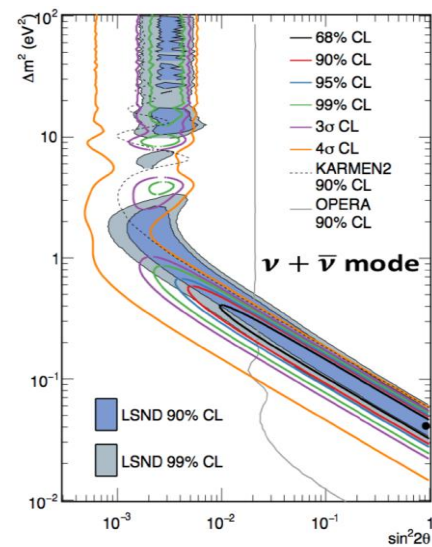
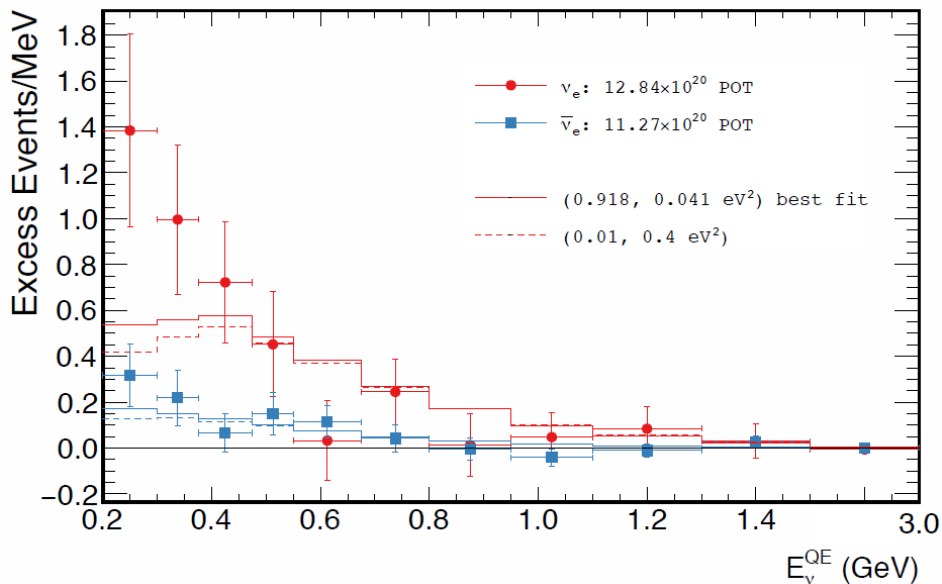
Reactor models do not describe well neutrino spectrum  
 Measurements at one distance are not sufficient!

# New (2018) indications of sterile neutrinos

NEUTRINO-4:  $\Delta m^2 \sim 7 \text{eV}^2$   $\sin^2 2\theta \sim 0.35!$  JETP Lett. 109 (2019) no.4, 213; Arxiv:2005.05301

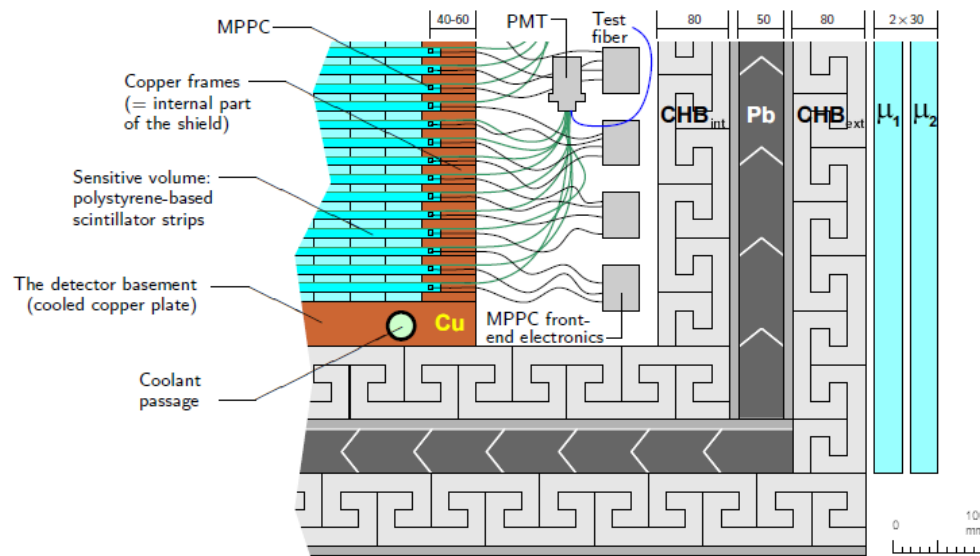
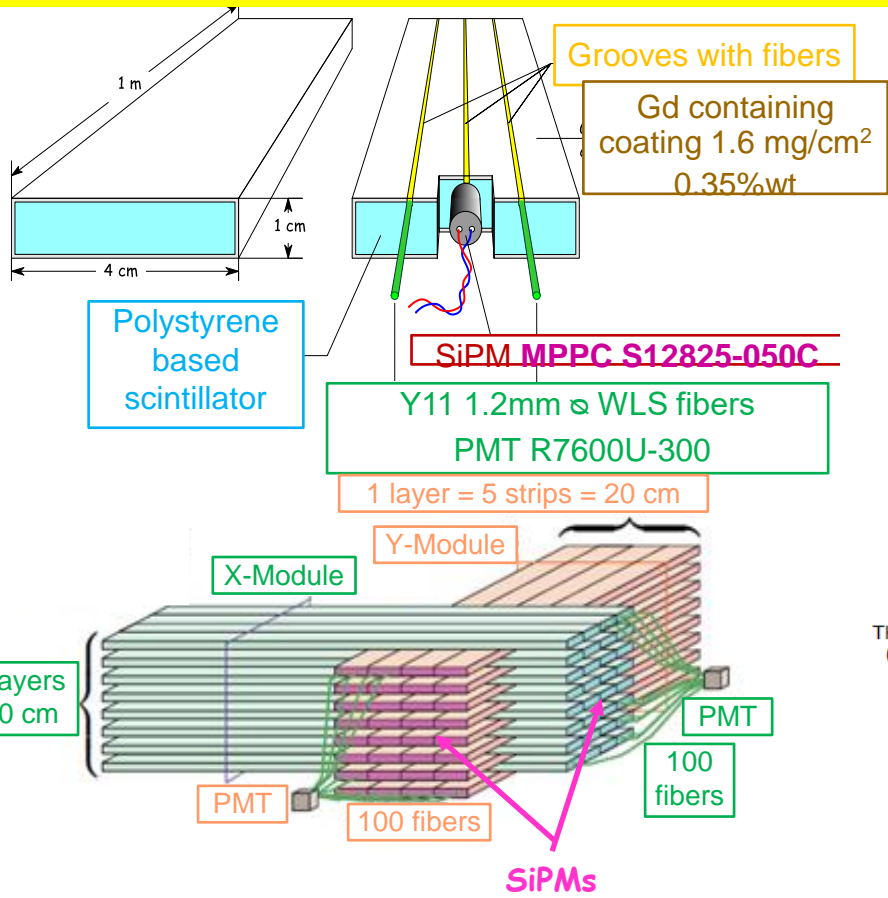
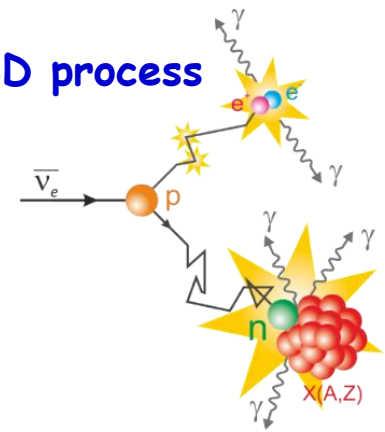


MiniBooNE  $\nu_e$  excess of  $4.8\sigma$  ( $6\sigma$  with LSND) Phys.Rev.Lett. 121 (2018) no.22, 221801



# DANSS Detector design ( ITEP-JINR Collaboration)

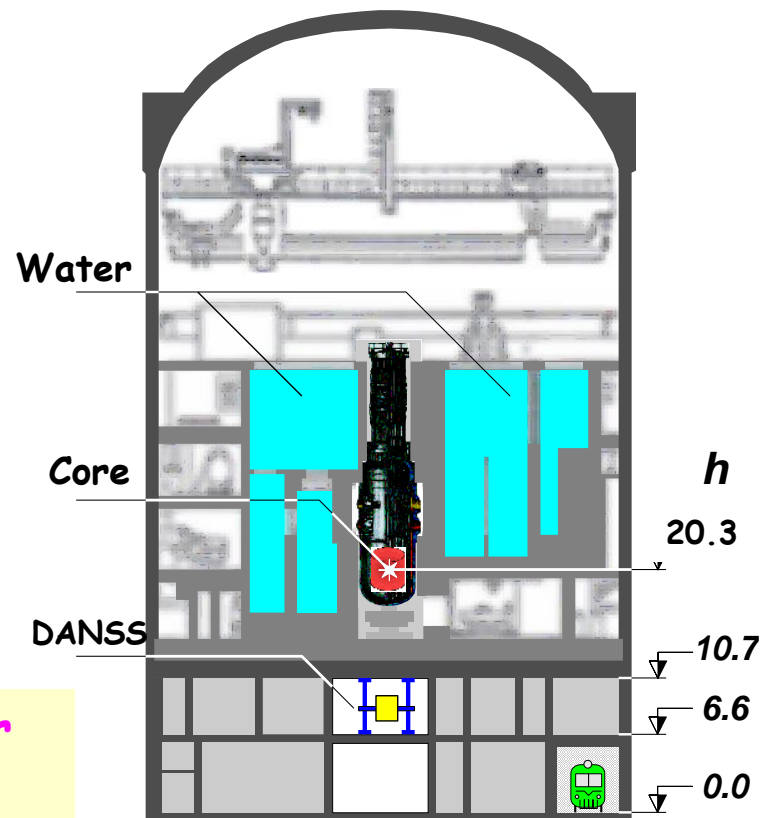
## IBD process



- 2500 scintillator strips with Gd containing coating for neutron capture
- Light collection with 3 WLS fibers
- Central fiber read out with individual SiPM
- Side fibers from 50 strips make a bunch of 100 on a PMT cathode = Module

- Two-coordinate detector with fine segmentation – spatial information
- Multilayer closed passive shielding: electrolytic copper frame ~5 cm, borated polyethylene 8 cm, lead 5 cm, borated polyethylene 8 cm
- 2-layer active  $\mu$ -veto on 5 sides

# DANSS at Kalinin Nuclear Power Plant



DANSS is installed on a movable platform under 3.1 GW WWER-1000 reactor

(Core:  $h=3.7\text{m}$ ,  $\varnothing=3.1\text{m}$ ) at Kalinin NPP.

~50 mwe shielding  $\Rightarrow$   $\mu$  flux reduction ~6!

No cosmic neutrons!

Detector distance from reactor core 10.9-12.9m (center to center) changed 2-3 times a week

Trigger:  $\Sigma E(\text{PMT}) > 0.5-0.7\text{MeV} \Rightarrow$  Read 2600 wave forms (125MHz), look for correlated pairs offline.

Fuel fission fractions: average start and end of campaign [%]

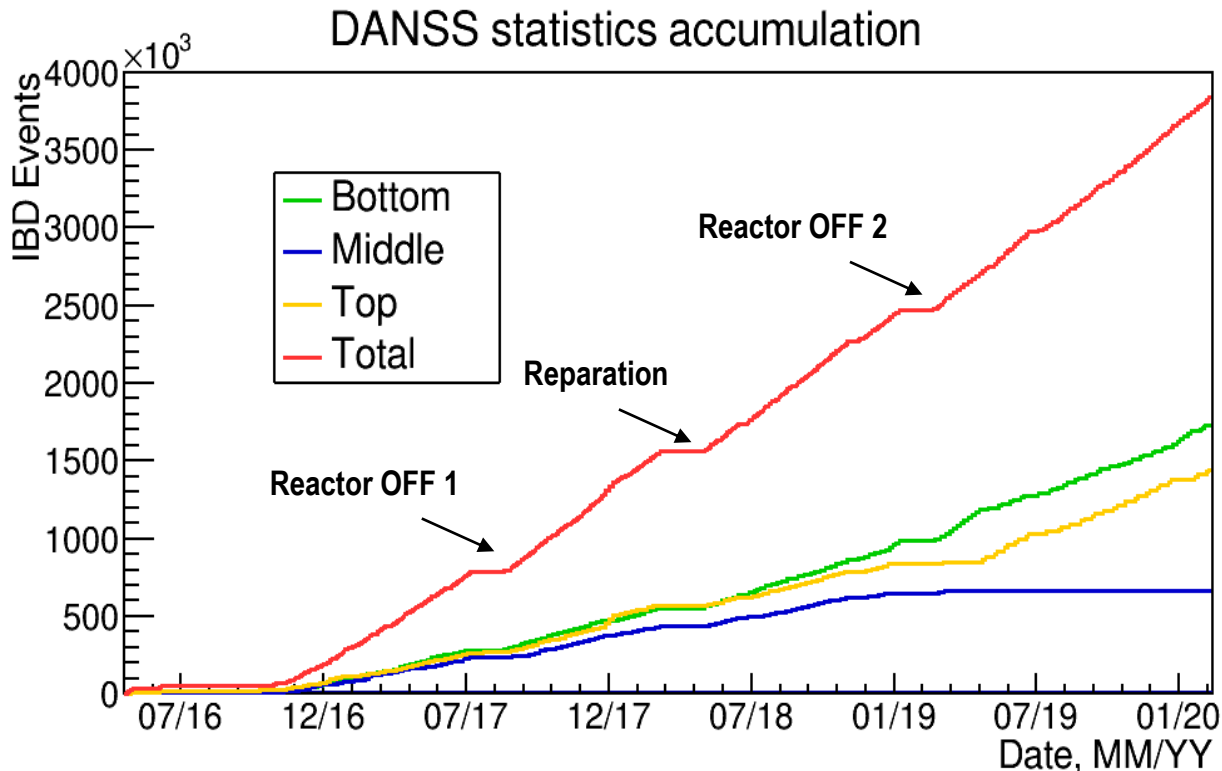
235U	54.1	63.7	44.7
239Pu	33.2	26.6	38.9
238U	7.3	6.8	7.5
241Pu	5.5	2.8	8.5

# Improvements in analysis\*

- ❖ Using STEREO datasets made on base of FIFRELIN library (arXiv: 1905.11967) to simulate neutron capture in Gd: essential improvement of neutron signal.
- ❖ Positron energy dependent distance and neutron energy cuts. This allowed to increase the total number of reconstructed IBD-events, especially in the high-energy part of spectra, more sensitive to the effect searched.
- ❖ Improved strip surface description corrected on real measurements.
- ❖ Corrected (on real data) signal models of SiPM (17.7 p.e./MeV and 0.37 X-talks) & PMT (15.9 p.e./MeV)
- ❖ More detailed study of systematics.
- ❖ Improvement of  $^{12}\text{B}$  energy calibration (reconstruction with higher statistics) used as main calibration for the DANSS spectrometer.
- ❖ Reconstruction of Michel electrons from decay of muons stopped at DANSS
- ❖ **Relative Rate + Shape analysis was implemented.**
- ❖ **3 detector positions in fit. Small gain in sensitivity but check for consistency**

\*) in comparison with our previous presentations in 2019

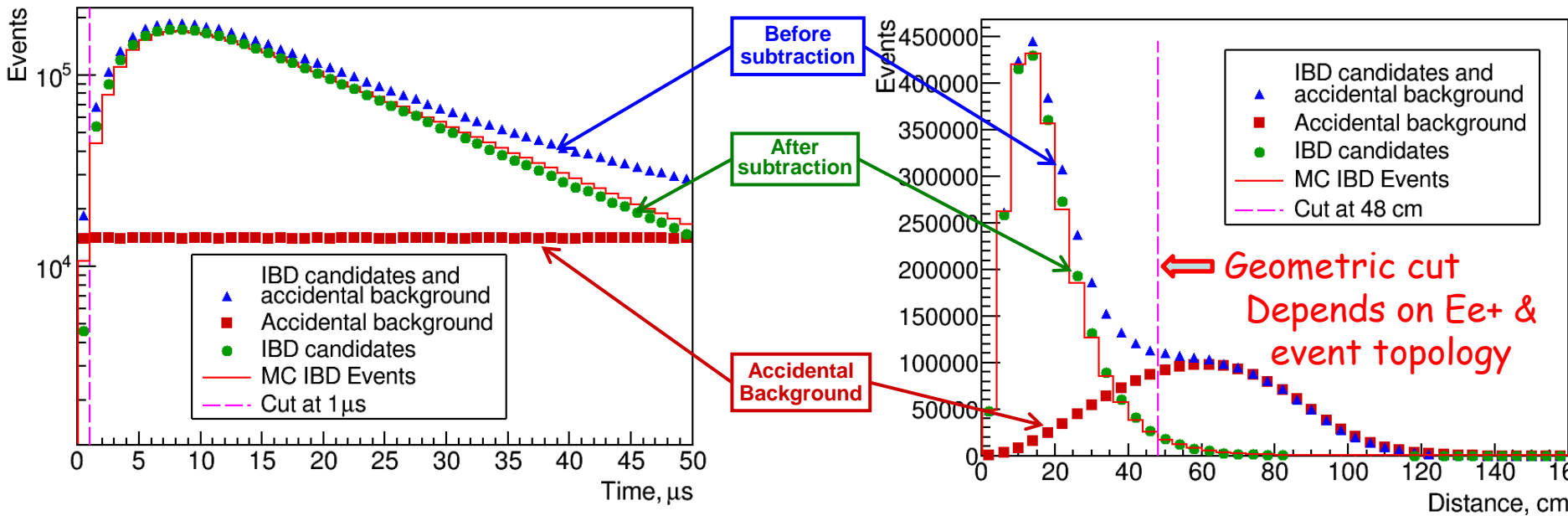
# Statistics accumulated



❖ Total statistics accumulated is close to **4M IBD-events**, including **1.4M/1.5M** events in **Top/Bottom** position

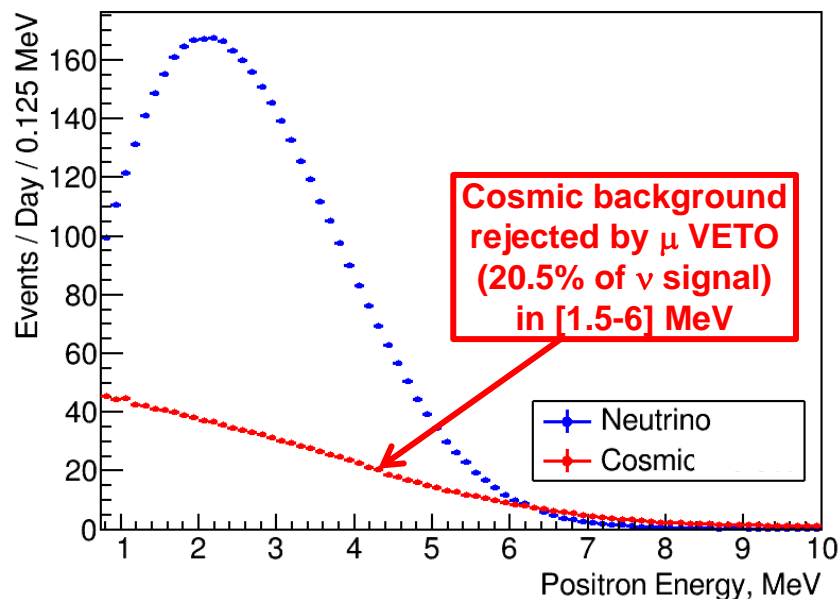
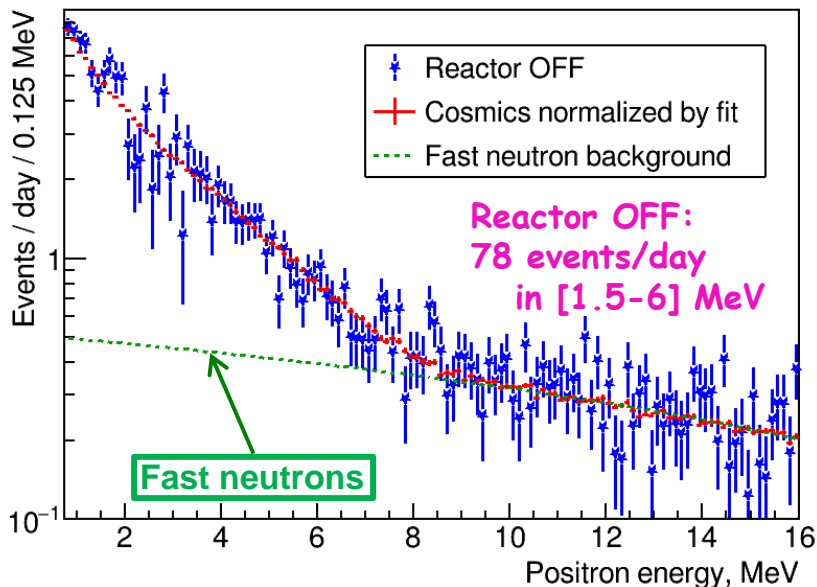
❖ Sensitivity of experiment was improved by a factor  **$\sim 2$**  in **1 eV<sup>2</sup>** region

# Accidental coincidence background



- ❖ Accidental coincidence of 2 uncorrelated signals ( $e^+$ -like and neutron-like) in an IBD window  $[1-50] \mu\text{s}$   $\rightarrow$  **accidental coincidence background (ACB)**
- ❖ ACB spectrum is constructed directly from data applying the same physics cuts as for IBD signal **except coincidence time taken outside IBD time window  $[1-50] \mu\text{s}$**  in numerous non-overlapping intervals (large statistics is essential to decrease statistical errors of subtraction)  $\rightarrow$  **No systematic errors**
- ❖ **ACB rate is 13.8% of IBD rate (Top detector position in  $[1-50] \mu\text{s}$ ,  $E_{e^+}$ : 1.5-6 MeV).**
- ❖ Selection of cuts (e.g. geometric) to reduce ACB  $\Rightarrow$  smaller statistical errors

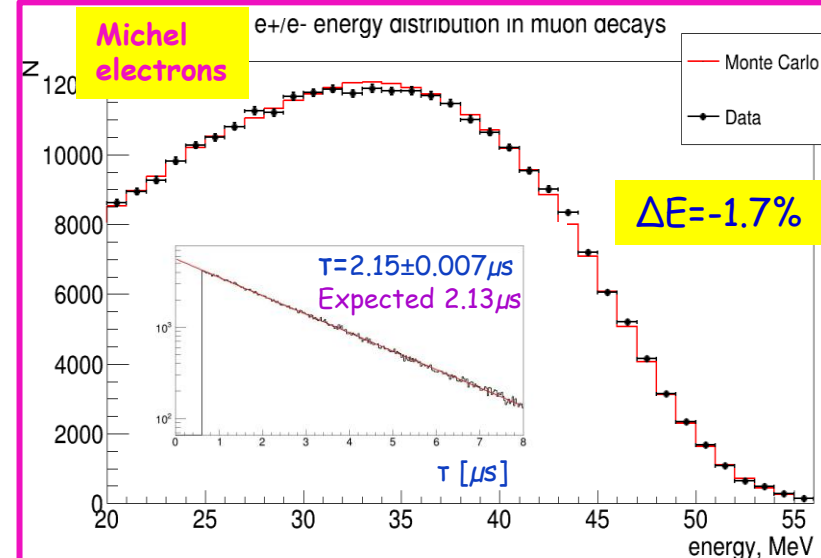
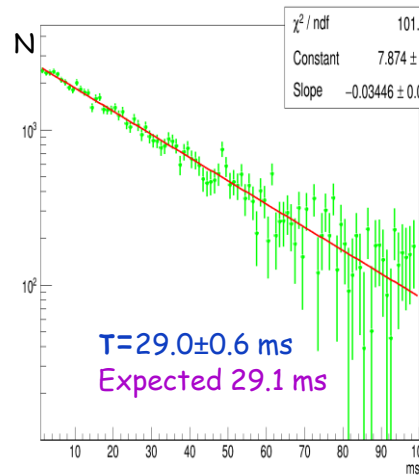
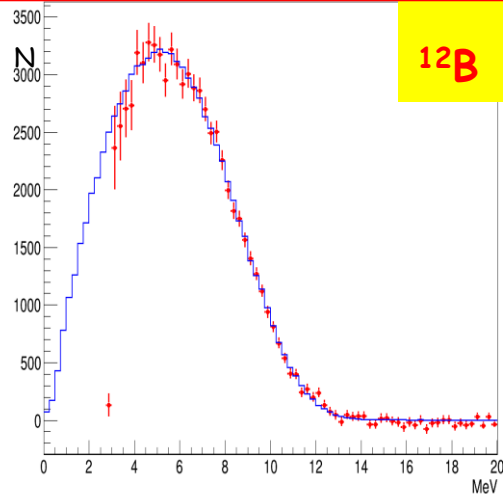
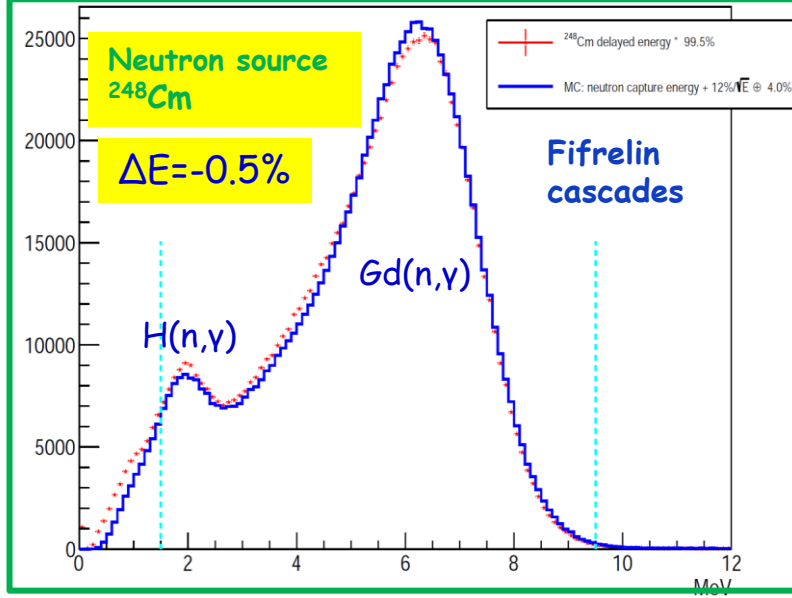
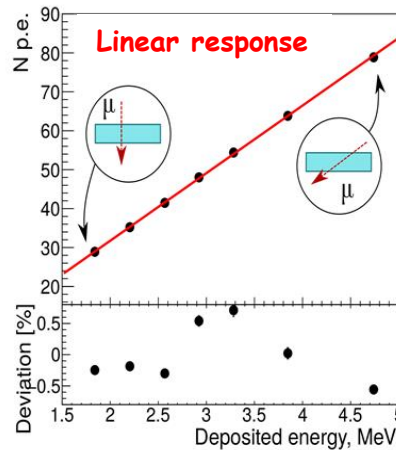
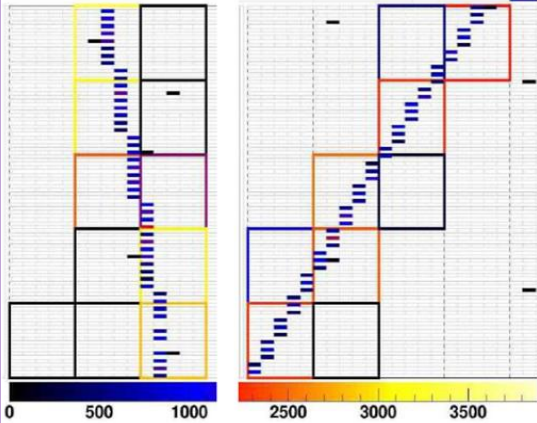
# Subtraction of residual backgrounds



- ❖ 25  $\nu$  events/day from neighbor reactors were subtracted
- ❖ **Fast neutrons**: linearly extrapolate from high energy region and subtract separately from positron and visible cosmic spectra, CR (fast neutron) = 16 events/day (in 1.5-6 MeV range)
- ❖ **Visible cosmic background (CB)** has been **directly rejected** by VETO, it is 20.5% of neutrino signal (for top position in [1.5-6 MeV] range)
- ❖ CB of ~1% at Top position due to VETO inefficiency, which was found to be ~5% from reactor OFF data, was subtracted (41 events/day).
- ❖ Additional 19 events/day at low energies observed in reactor off data were subtracted
- ❖ **Total background subtracted background is 1.7% for the top detector position. S/B>50.**

# Calibrations

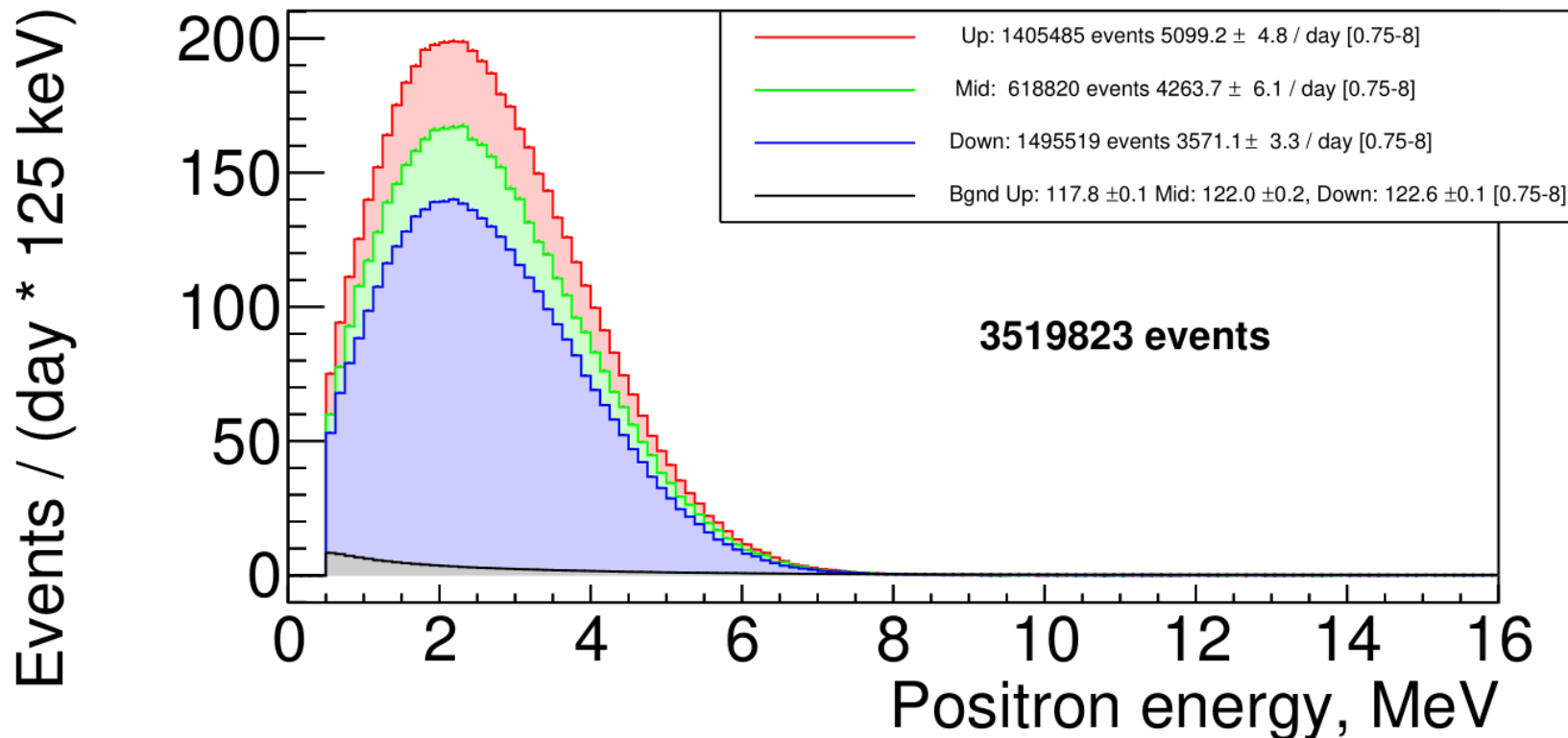
Cosmic muons



- ❖ Energy scale has been fixed using  $\beta$ -spectrum of  $^{12}\text{B}$ , which is similar to positron signal
- ❖ Systematic error on E scale of  $\pm 2\%$  was added due to source response uncertainties
- ❖ Energy resolution for calibration sources is still worse than in MC and additional smearing of  $12\%/\sqrt{E} \oplus 4\%$  has been added to MC

# Positron spectrum of IBD-signal

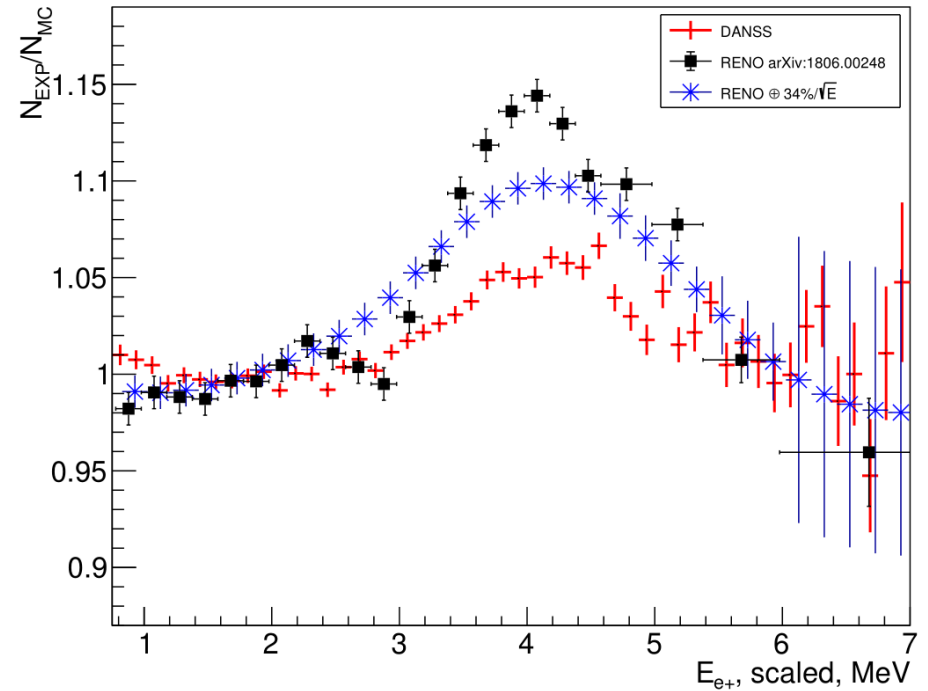
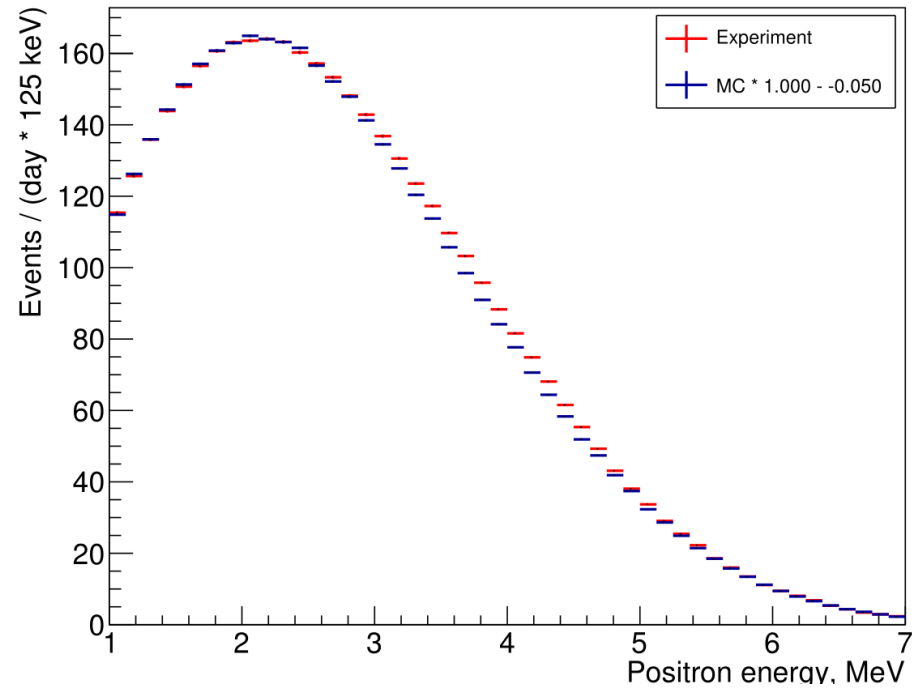
Oct 16 - Feb 20, no long down @March19,Jan20 (mainA)



- ❖ Positron kinetic energy spectra (**no annihilation photons**) at 3 detector positions
- ❖ **~5000 events/day** (~4000 ev/d in previous analysis) in detector fiducial volume (78% of full volume) at 'Top' position (closest to the reactor).
- ❖ Cosmic background ~1.7% (Top position, E: 1.5-6MeV). **Signal/Background >50!**

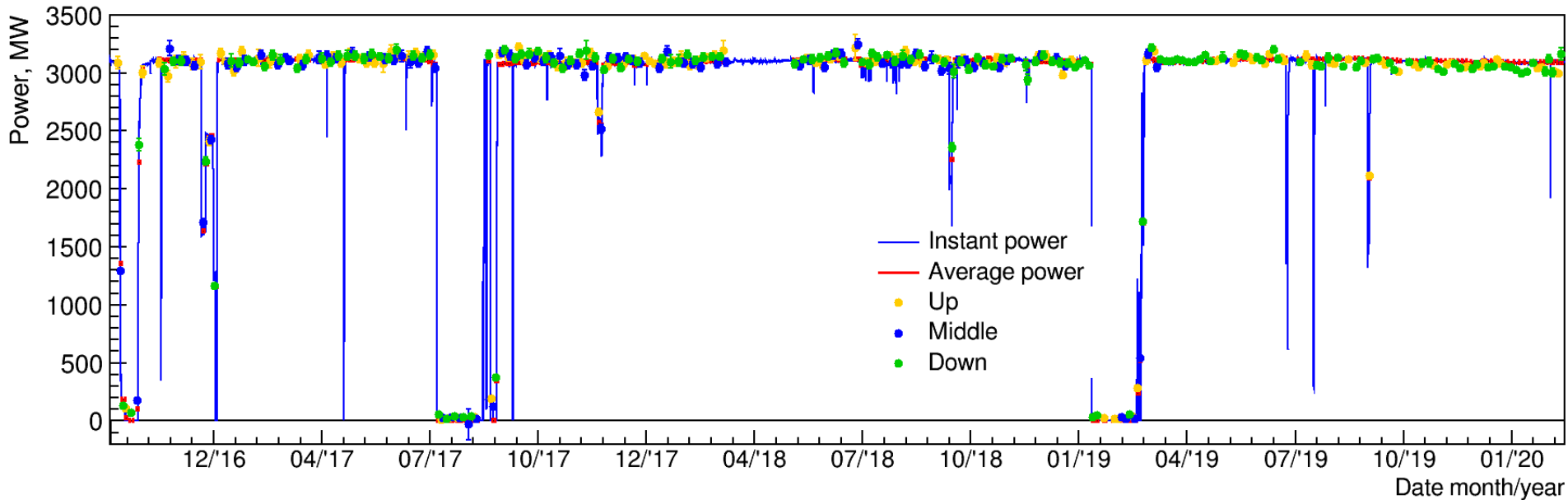
# Positron spectrum: experiment vs. H-M Model

Experiment to MC ratio

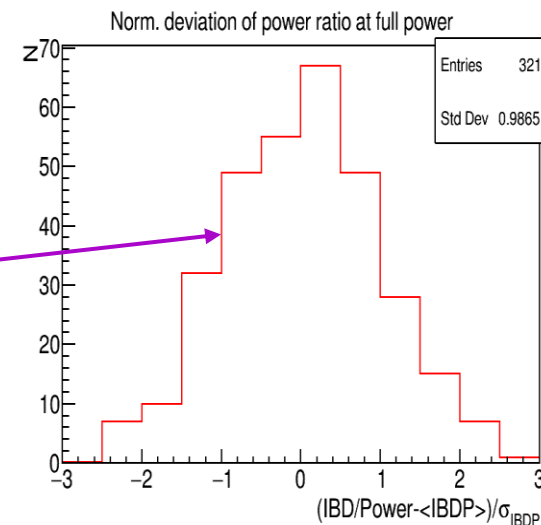


- ❖ In order to reach best agreement with H-M model in 1-3 MeV region our MC spectrum was shifted on +50 keV w.r.t. experimental data.  
The nature of this shift (if it exists!) is still under investigation.
- ❖ With such a shift we see a bump in  $e^+$  spectrum similar to other experiments.
- ❖ However, we can not claim its existence yet because of high sensitivity of the shape to energy scale and shift.

# Reactor power monitoring



- ❖ DANSS points after all corrections (all backgrounds including adjacent reactor fluxes (0.6%), fuel composition using H-M model, etc.) and free overall normalization agree with reactor power measured with several methods
- ❖ Reactor power is measured by the DANSS with neutrino flux with 1.5% accuracy in 2 days during 3+ years,
- ❖ Consistent with statistical fluctuations.
- ❖ ➡ Changes in absolute detector efficiency are known with accuracy better than ~ 1% during 3.5 years!
- ❖ Relative efficiency is even more stable (<0.2%) because of frequent changes of detector positions



# Test statistics

$$\chi^2 = \min_{\eta, k} \sum_{i=1}^N \begin{pmatrix} Z_{1i} & Z_{2i} \end{pmatrix} \cdot W^{-1} \cdot \begin{pmatrix} Z_{1i} \\ Z_{2i} \end{pmatrix} + \sum_{i=1}^N \frac{Z_{1i}^2}{\sigma_{1i}^2} + \sum_{j=1,2} \frac{(k_j - k_j^0)^2}{\sigma_{kj}^2} + \sum_l \frac{(\eta_l - \eta_l^0)^2}{\sigma_{\eta l}^2}$$

3 position data

2 position data

Nuisance parameters  
(systematics and efficiency)

$i$  – energy bin (36 total) in range 1.5–6 MeV;

$Z_j = R_j^{\text{obs}} - k_j \times R_j^{\text{pre}}(\Delta m^2, \sin^2 2\theta, \eta)$  for each energy bin,

$R_1 = \text{Bottom}/\text{Top}$ ,  $R_2 = \text{Middle}/\sqrt{\text{Bottom} \cdot \text{Top}}$ , where

$\text{Top}$ ,  $\text{Middle}$ ,  $\text{Bottom}$  – absolute count rates per day for each detector position,

$k$  – relative efficiency,

$\eta$  – nuisance parameters;

$W$  – covariance matrix;

$k^0=1$   $\eta^0=0$

Difference in  $\chi^2$  between  
4v and 3v hypotheses

Red -  $\chi^2(4\nu) < \chi^2(3\nu)$ ,

Blue -  $\chi^2(4\nu) > \chi^2(3\nu)$ ,

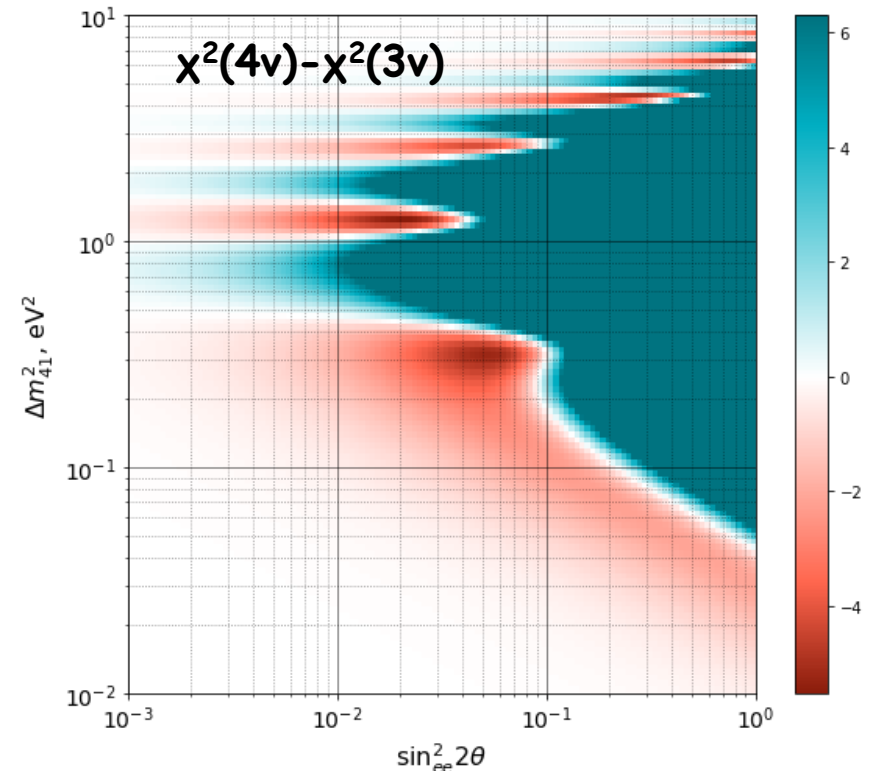
Dark blue region is excluded at  $3\sigma$  CL

in case of  $\chi^2$  distribution with 2 DoF

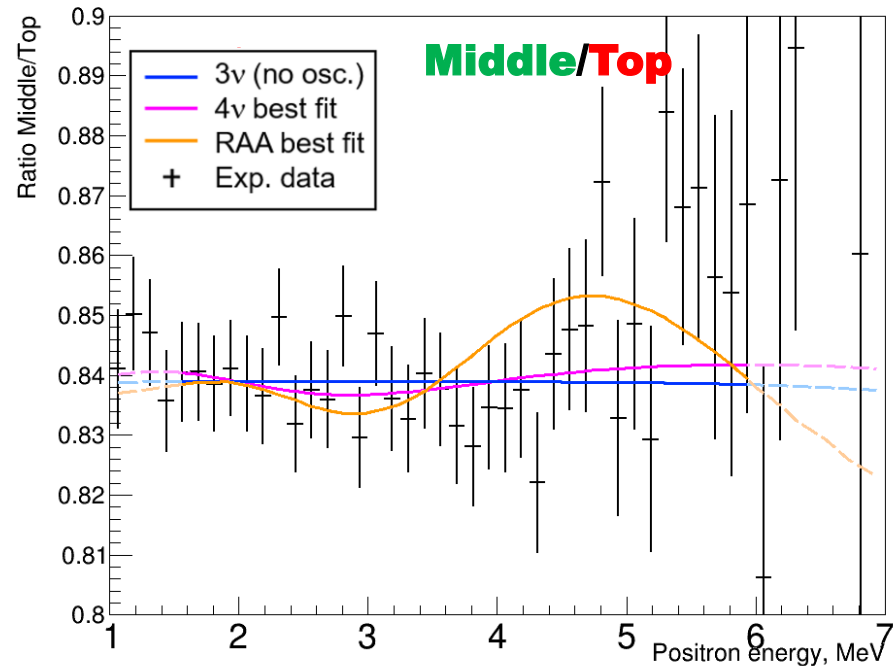
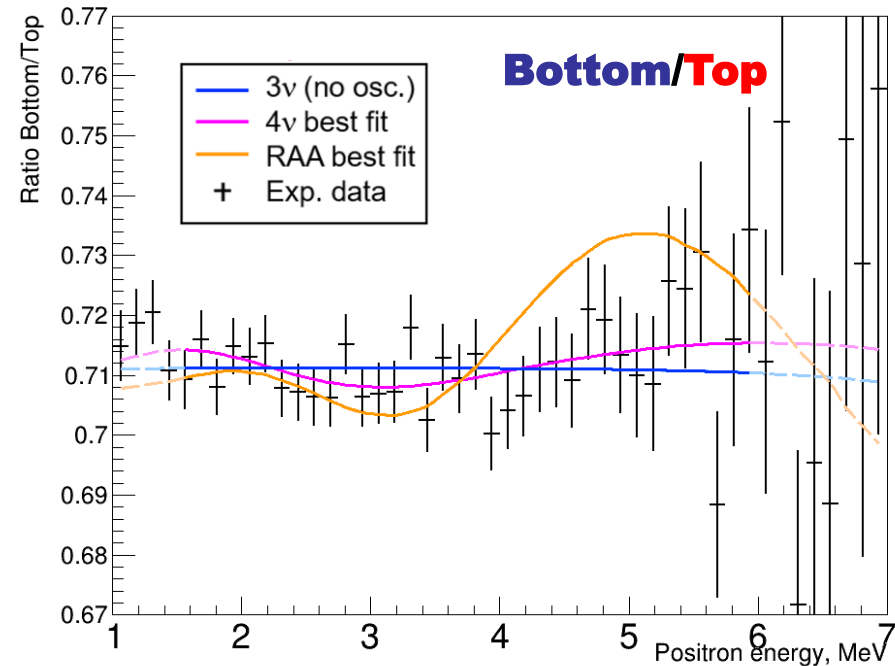
$(\chi^2(4\nu) - \chi^2_{\text{min}}) = 11.83$

This assumption is not valid  $\rightarrow$  we use

Gaussian CLs method to get limits



# Ratio of positron spectra



- ❖ **Fit in 1.5-6 MeV range (to be conservative)**
- ❖ **Using current statistics 2016-2020 (~3.5 million IBD events)**  
**we see no statistically significant indication of 4v signal:**  
 $\Delta\chi^2 = -5.5$  ( $\sim 1.5 \sigma$ ) for 4v hypothesis best point  $\Delta m^2 = 1.3 \text{ eV}^2$ ,  $\sin^2 2\theta = 0.02$
- ❖ **RAA has been excluded with  $\Delta\chi^2 = 67.9$ .**
- ❖ **RAA was excluded by DANSS with more than  $5\sigma$  already in 2018**  
**(arXiv:1804.04046v1)**

# The DANSS results

❖ Exclusion region was calculated using Gaussian CLs method (for  $e^+$  in 1.5-6 MeV to be conservative), which is also more conservative than usual CI method.

❖  $\sigma$ 's for nuisance parameters

relative detector efficiencies - 0.2%

additional smearing in energy resolution - 25%

energy scale - 2%

energy shift - 50 keV

distance to fuel burning profile center - 5 cm

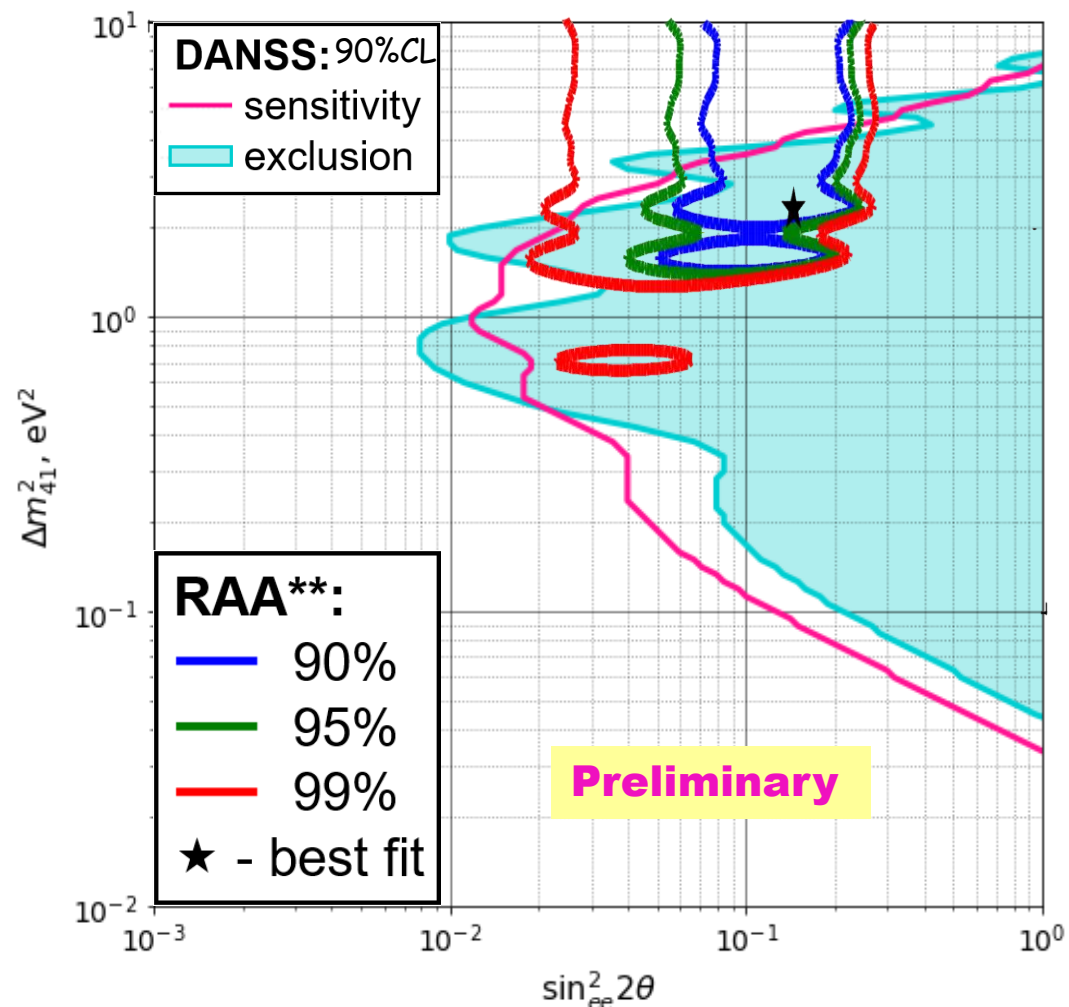
cosmic background - 25%

fast neutron background - 30%

❖ New data allowed to extend excluded area of  $4\nu$  phase space in comparison with previous results shown in 2018 and 2019.

❖ The most stringent limit reaches  $\sin^2 2\theta < 8 \times 10^{-3}$  level.

❖ The most probable point of RAA+GA is excluded at  $5\sigma$  confidence level



\*\* - G.Mention J.Phys.:Conf.Ser. 408 (2013) 012025

# The DANSS upgrade

**Main goal:** to reach resolution  $13\%/√E$   
w.r.t. current  $34\%/√E$ .

**New geometry:**

**Strips:**  $2 \times 5 \times 120$  cm, 2-side 4SiPM readout

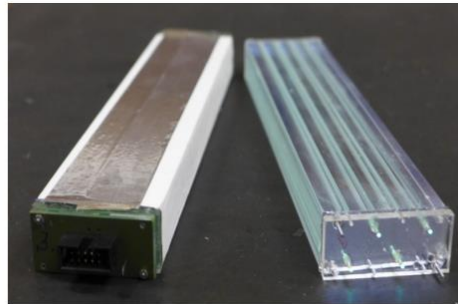
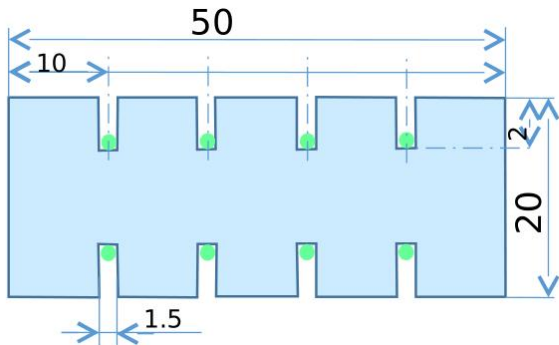
**Structure:** 60 layers  $\times$  24 strips:  $1.7 \text{ m}^3$

Setup uses the same shielding and moving platform.

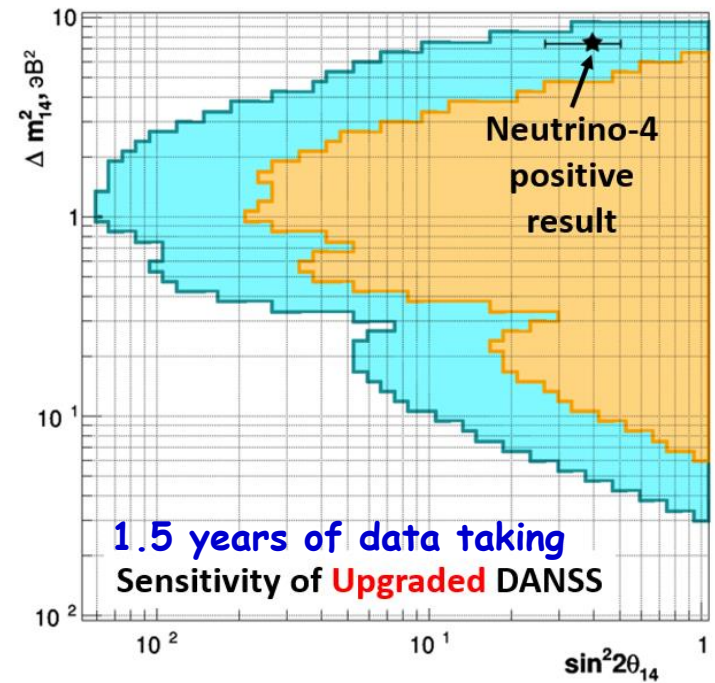
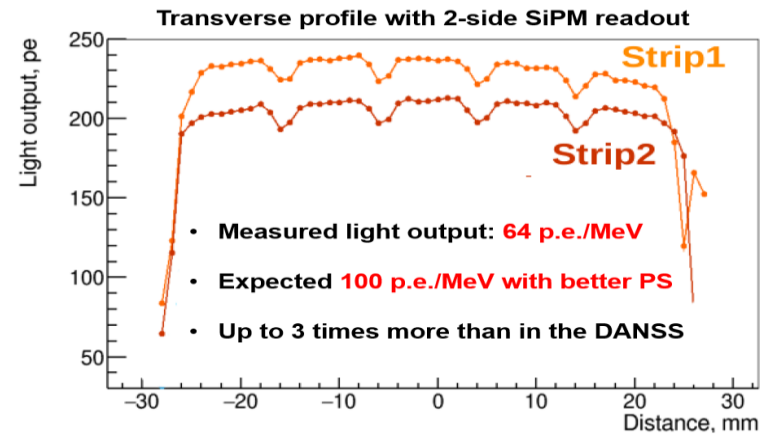
Gd is in foils between layers.

Upgrade timescale:  $\sim 2$  years

**Upgraded strip:**



## Strip prototypes tests on $\pi$ -beam



# Summary

- ❑ With improved analysis DANSS records about 5 thousand antineutrino events per day with cosmic background  $\sim 1.7\%$ ,  $S/B > 50$
- ❑ Reactor power was measured using anti-rate with statistical error of  $\sim 1.5\%$  in two days during 3.5 years of operation, dependence on fuel composition is clearly observed.
- ❑ Indication of 5MeV bump, but not conclusive
- ❑ Preliminary DANSS analysis based on 3.5 million IBD events excludes a large and the most interesting fraction of available parameter space for sterile neutrino using only ratio of  $e^+$  spectra at 3 distances (with no dependence on  $\nu$  spectrum and detector absolute efficiency!)
- ❖ RAA was excluded by DANSS with more than 5s already in 2018 ([arXiv:1804.04046v1](https://arxiv.org/abs/1804.04046v1))



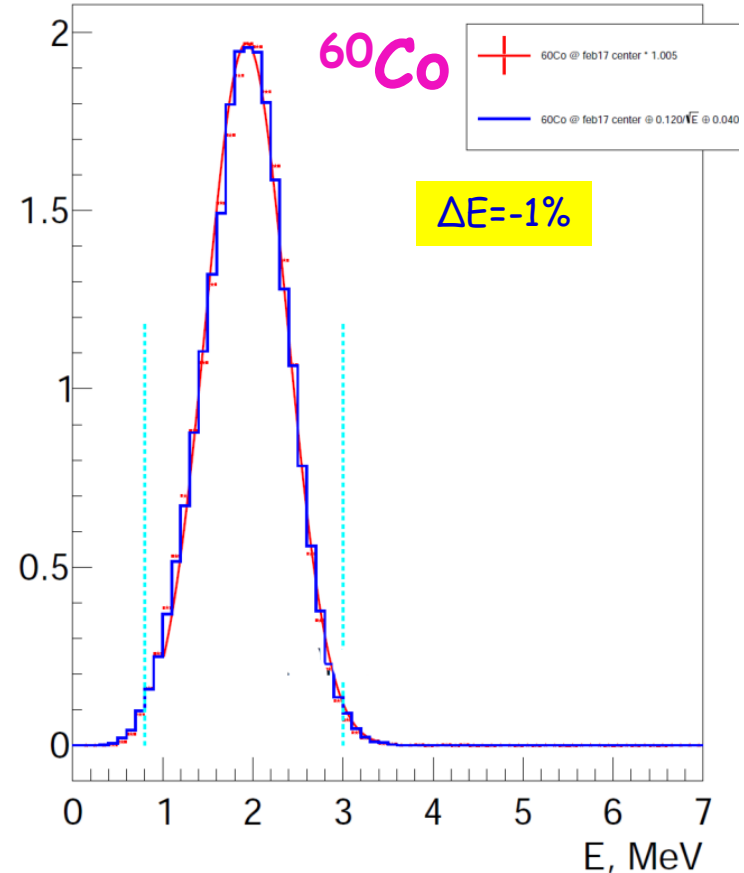
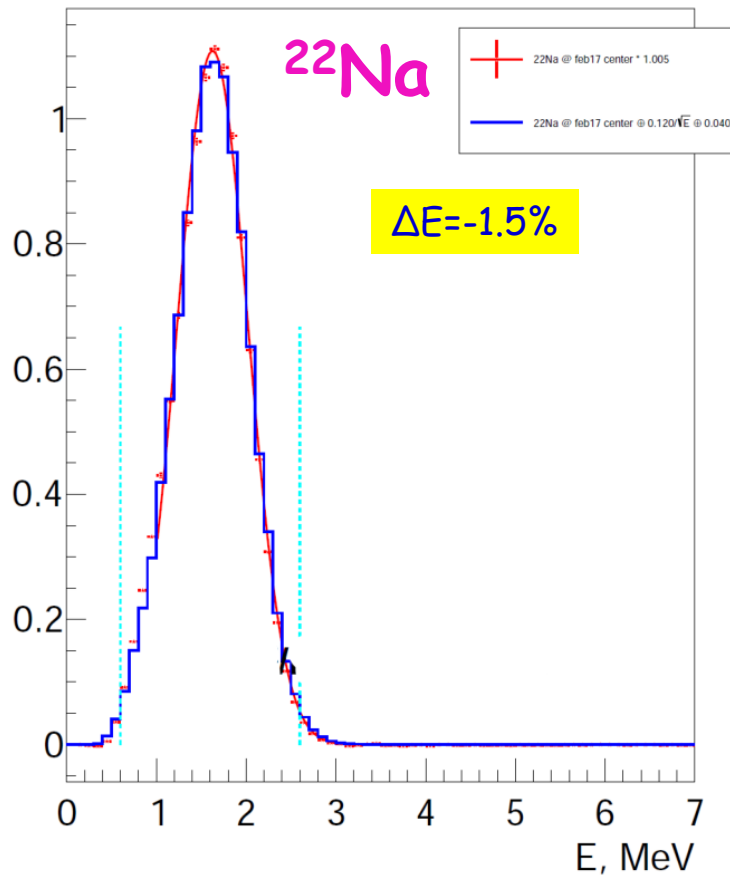
We plan:

- To upgrade detector
- To improve MC for perfect description of detector response
- To refine detector calibration and energy scale determination
- To compare  $e^+$  spectrum with Daya Bay

**Thank you !**

Backup slides

# Calibrations: radioactive sources

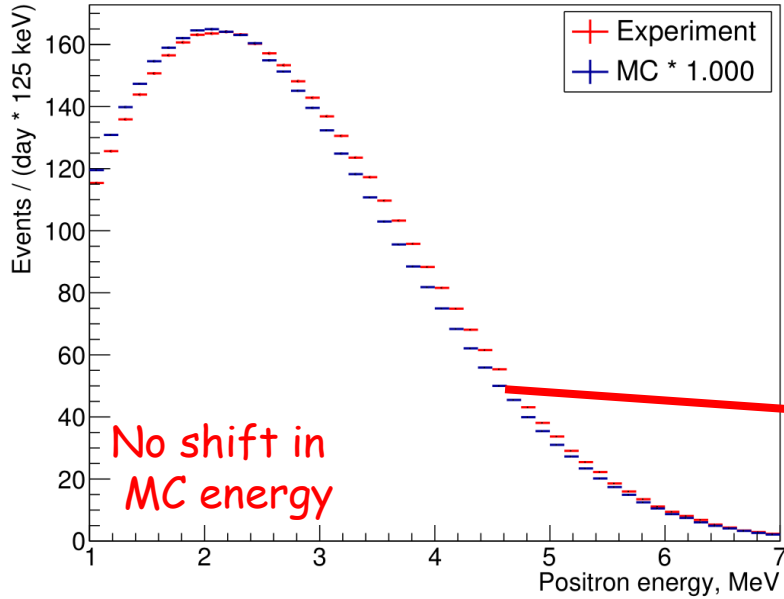


Most probably shifts in  $E$  are due to nonperfect description of Birks effect for many soft electrons from radioactive sources.  $^{22}\text{Na}$  has more soft electrons. We **DO NOT** fit data to obtain better description

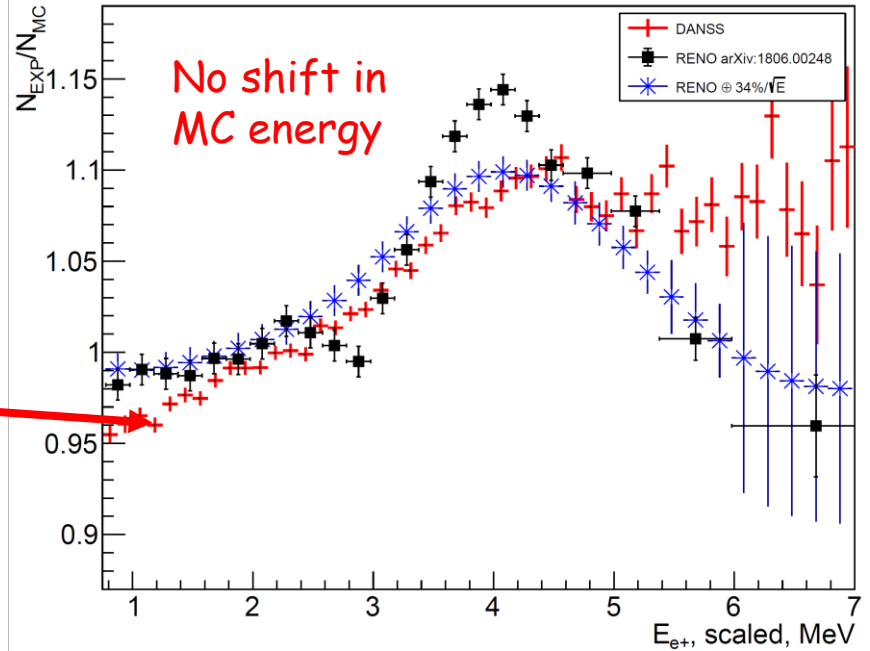
Effect is not important for IBD positrons since we don't use annihilation  $\gamma$ 's  
No correction for energy scale is required

# Positron spectrum vs H-M Model

Positron spectrum Oct 16 - Feb 20 (main)



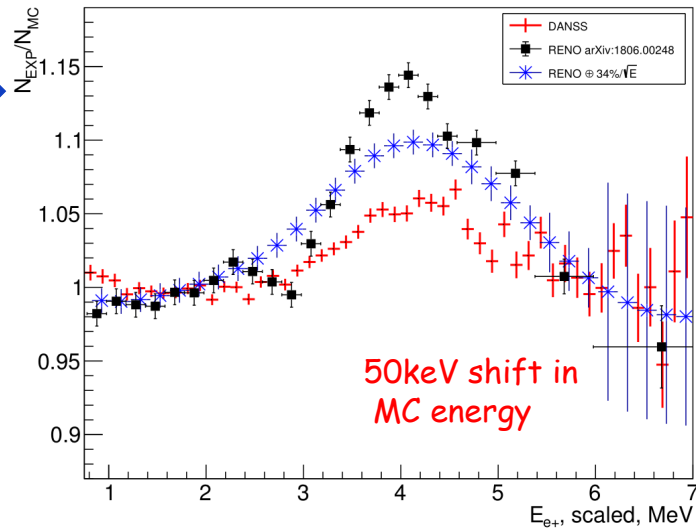
Experiment to MC ratio



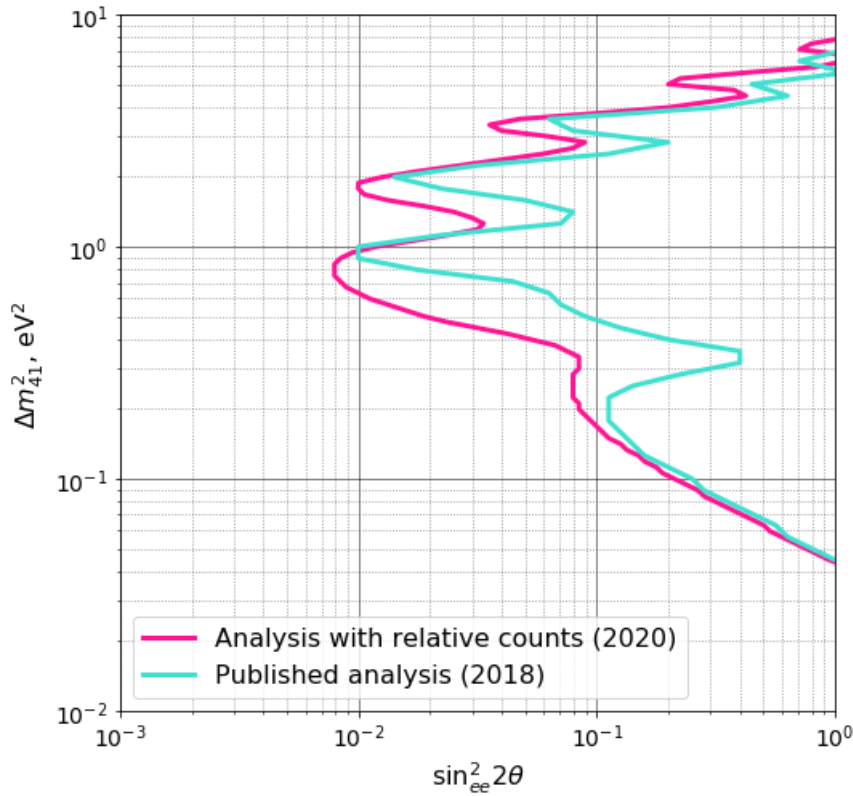
❖ High sensitivity to energy scale and shift →

Can not claim bump existence

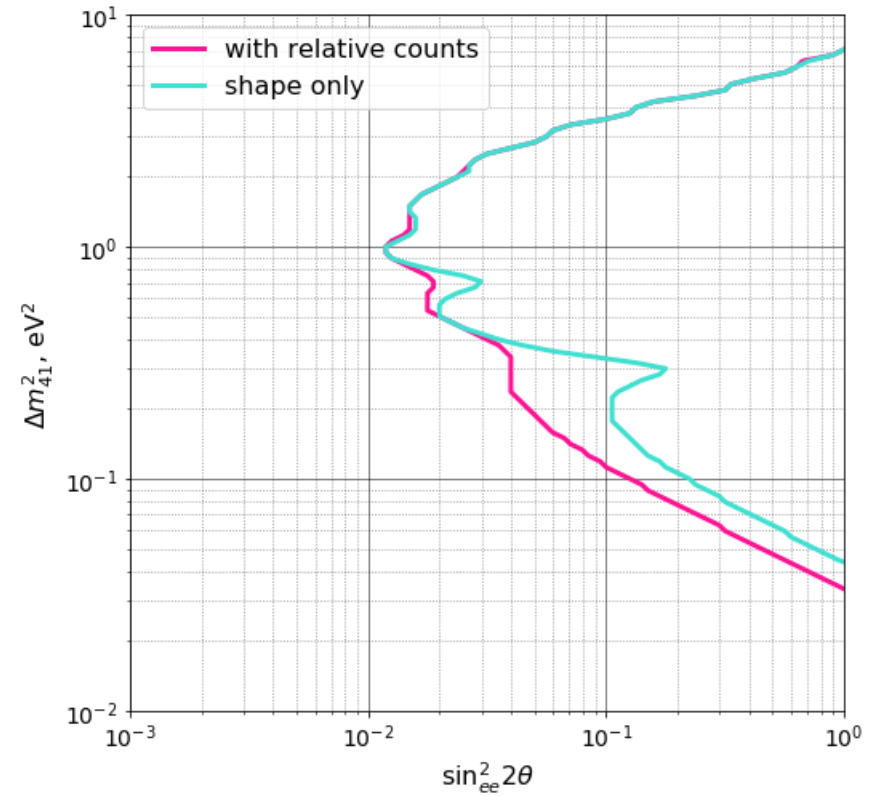
Experiment to MC ratio



# Comparison of results

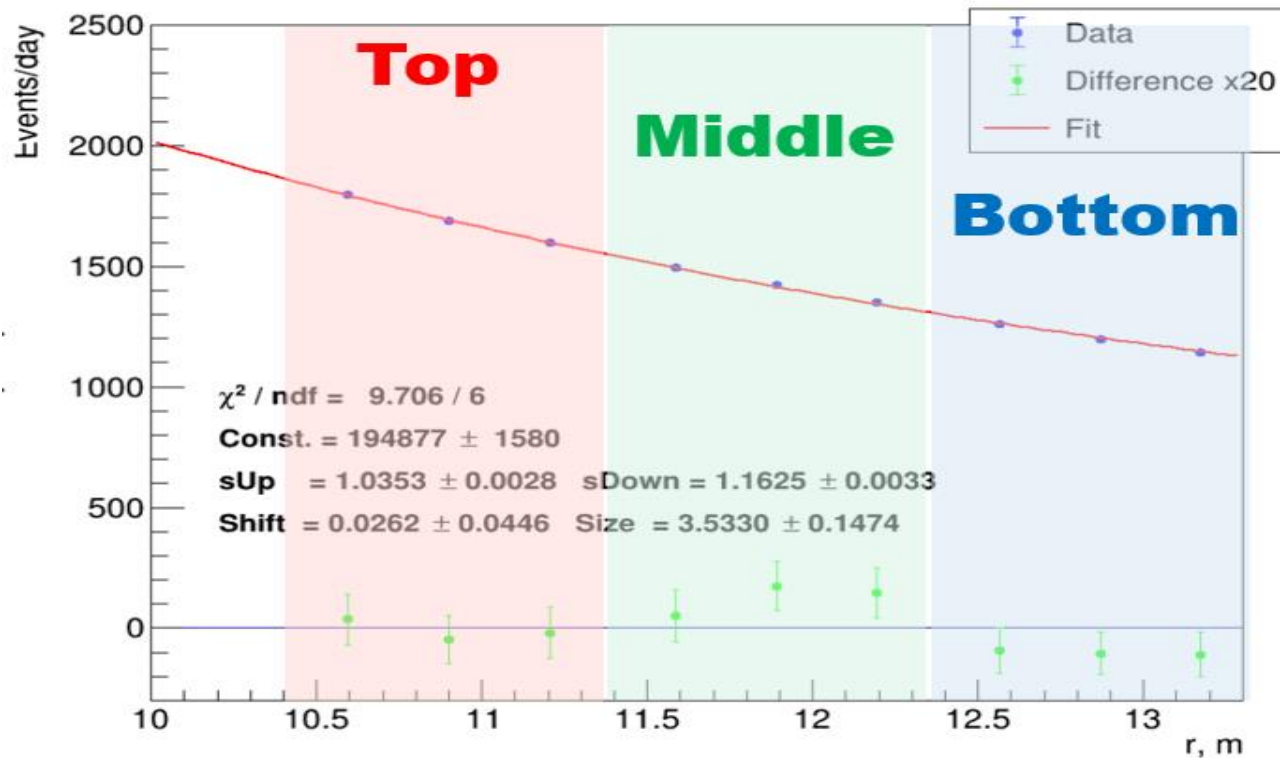


- ❖ Large progress in 90% CL exclusion area since published results (Phys.Lett. B787 (2018) 56)



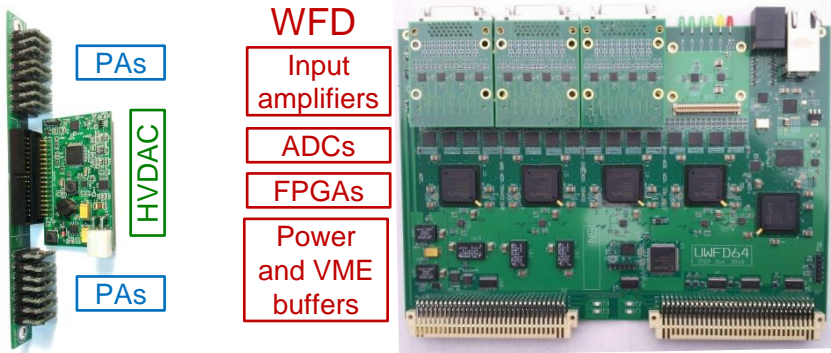
- ❖ Sensitivity plots: relative rate analysis contributes mostly at low mass region

# IBD total rate vs. effective distance

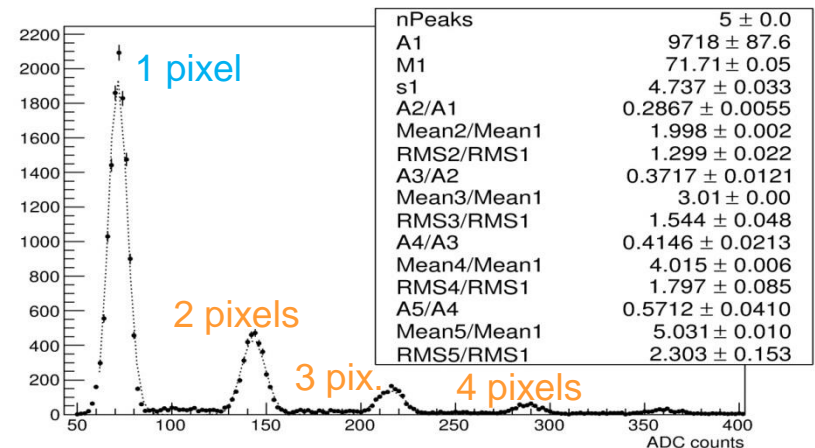
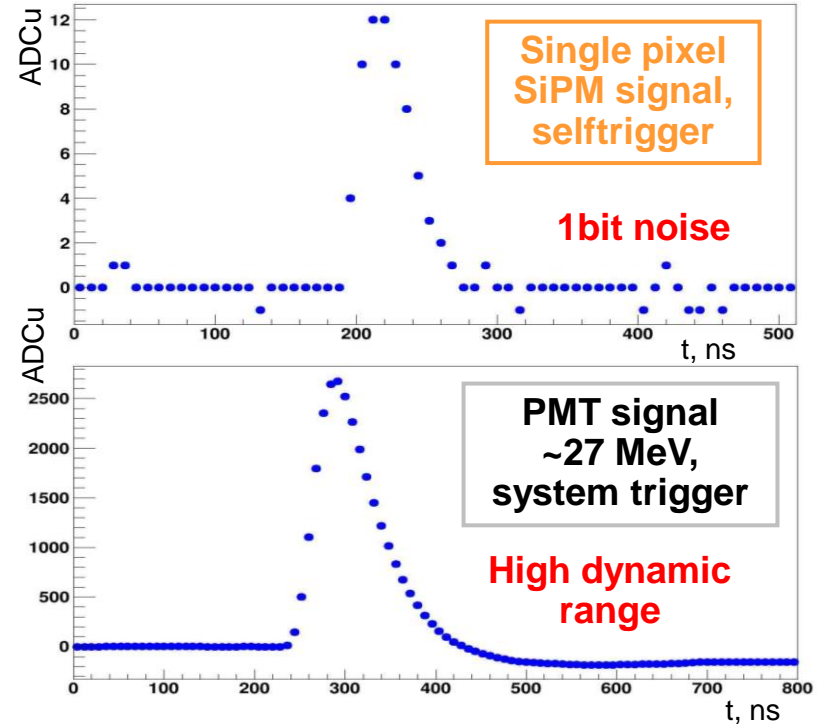


- ❖ IBD intensity follows reasonably the  $1 / L^2$  dependence.
- ❖ Detector was divided on 3 parts in each position.

# Data acquisition system



- Preamplifiers PA in groups of 15 and SiPM power supplies HVDAC for each group inside shielding, current and temperature sensing
- Total 46 Waveform Digitisers WFD in 4 VME crates on the platform
- WFD: 64 channels, 125 MHz, 12 bit dynamic range, signal sum and trigger generation and distribution (no additional hardware)
- 2 dedicated WFDs for PMTs and  $\mu$ -veto for trigger production
- Each channel low threshold selftrigger on SiPM noise for gain calibration
- Exceptionally low analog noise  $\sim 1/12$  p.e.



# Sensitivity to fuel evolution

Top – Middle – Bottom data  
with and without fuel evolution correction

