

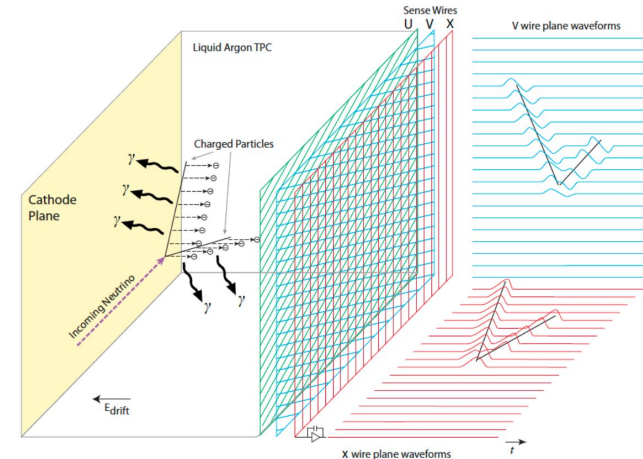
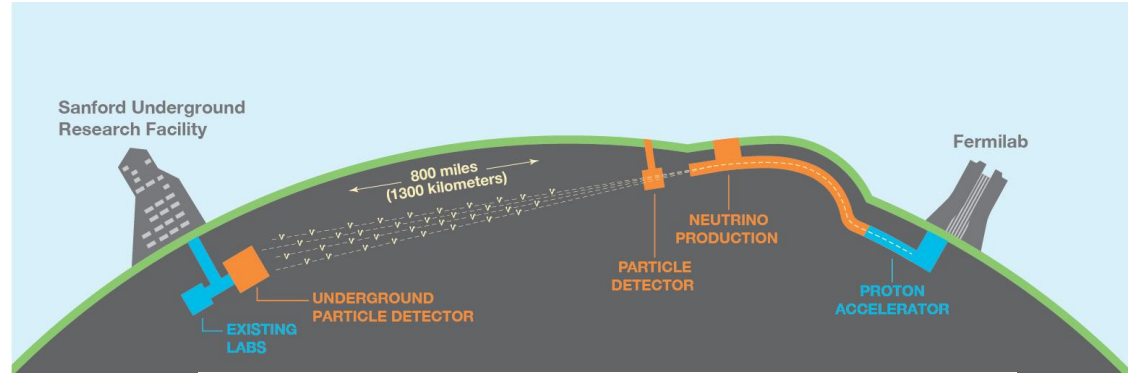


# Deep Learning Event Reconstruction at DUNE

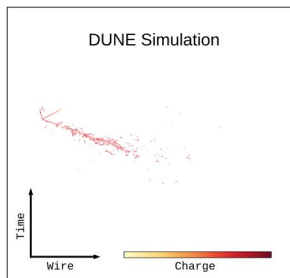
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For the DUNE Collaboration

# DUNE

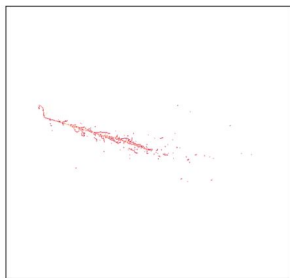
- DUNE is a long baseline neutrino oscillation experiment
- DUNE's goal is to measure neutrino oscillation parameters
- Good energy resolution and event classification efficiency is needed to accurately measure these parameters
- Neutrino events in DUNE's LarTPC are projected into 3 planes (2 induction, one collection plane)



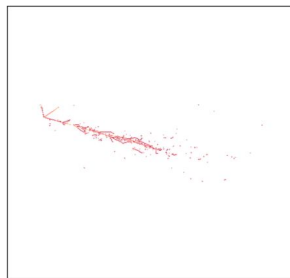
# Convolutional Neural Networks



(a) View 0: Induction Plane.

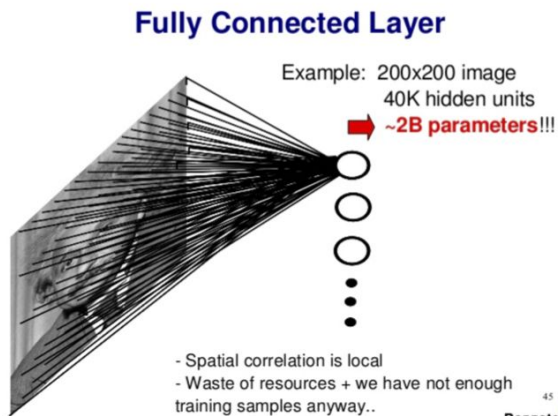


(b) View 1: Induction Plane.

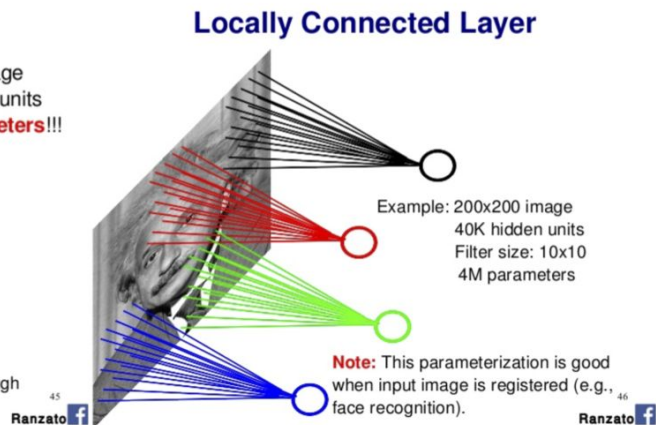


(c) View 2: Collection Plane.

- We then have 3 “images” of each event
- CNNs are neural networks specialized to taking images, using a set of translationally invariant filters
- This serves as an ideal application of deep learning techniques



Traditional artificial neural network



Convolutional neural network

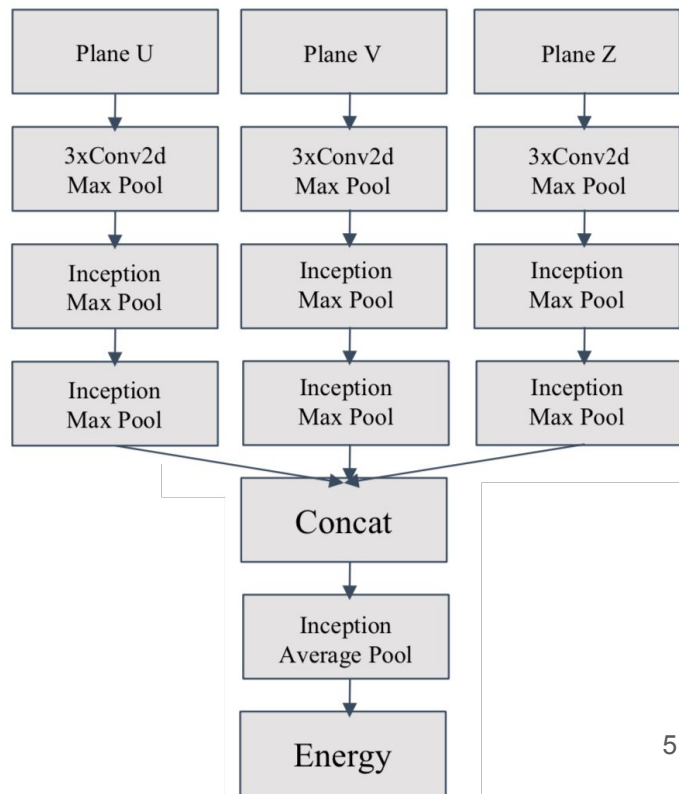
# What to Do with CNNs?

- CNNs can be used for either regression or classification tasks
- Regression:
  - Outputs any real number or a list of real numbers
  - Fitting for particle energy, event energy, or event vertex
- Classification:
  - Outputs a number between 0 and 1, for binary classification
  - Also can output many numbers between 0 and 1, for classification into an arbitrary number of classes
  - For things like particle ID or event ID
- First I'll focus on energy regression, then on event classification
- Lastly, we will look at some novel methods

# Event Energy Regression

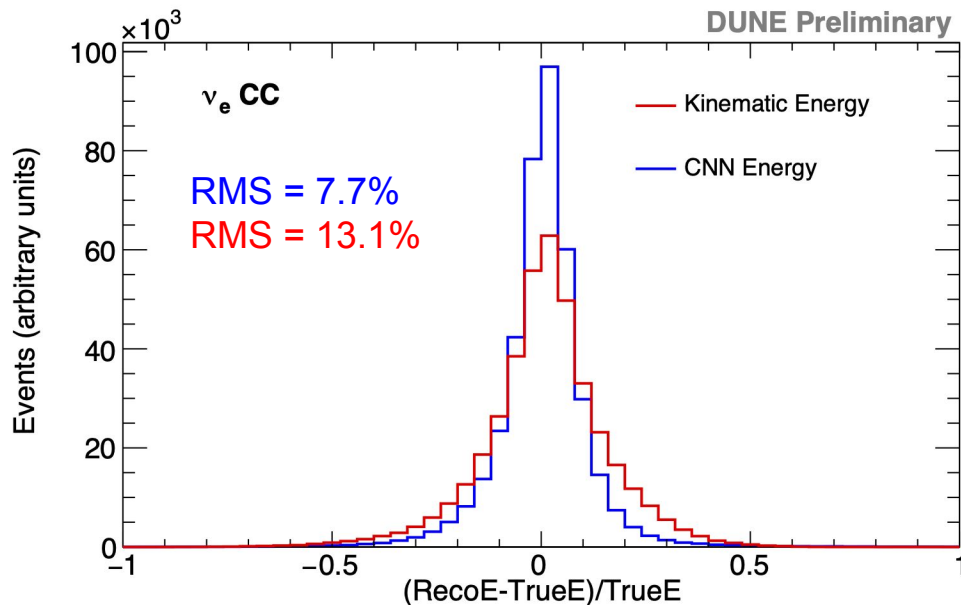
- We feed each plane image to a CNN, then concatenate the outputs which outputs an estimate of event energies
- We use mean absolute percent error as the “loss function”, which tells the CNN how close it is during training
- We use this instead of a sum of squares for robustness against outliers
- We “weight” events by energy, so network is equally likely to guess any energy

$$L(\mathbf{W}, \{\mathbf{x}_i, y_i\}_{i=1}^n) = \frac{1}{n} \sum_{i=1}^n \left| \frac{f_{\mathbf{W}}(\mathbf{x}_i) - y_i}{y_i} \right|$$



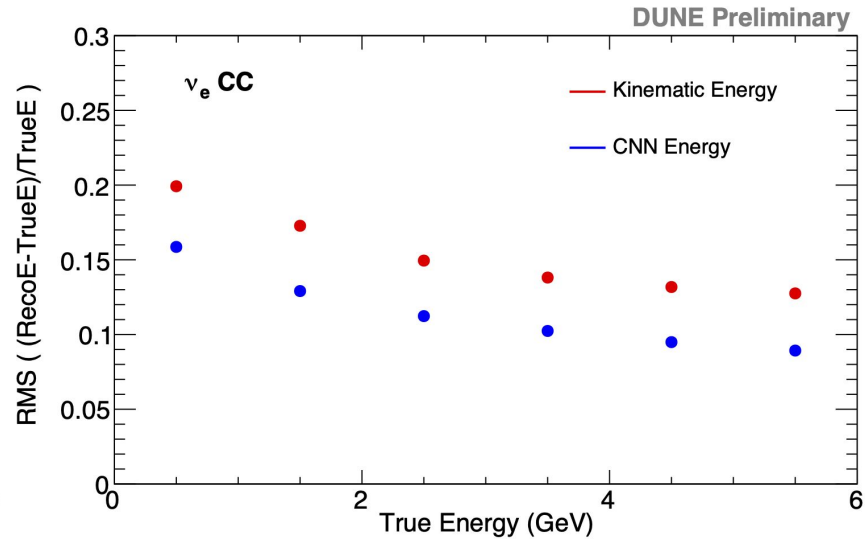
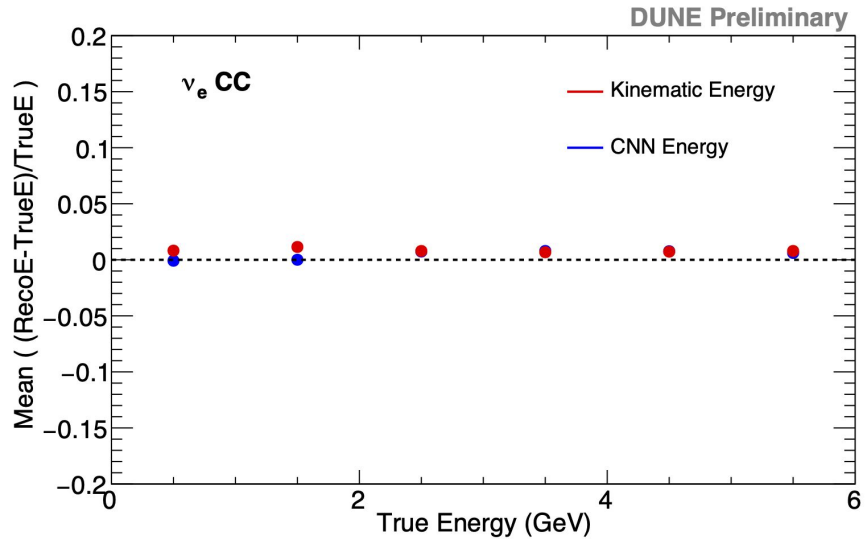
# $\nu_e$ CC Event Energy

- Here is the resolutions applying our CNN's resolution to the traditional method for  $\nu_e$  CC events
- The traditional kinematic method is found by adding leptonic and hadronic energy, individually calibrated after adding up corresponding hit energies



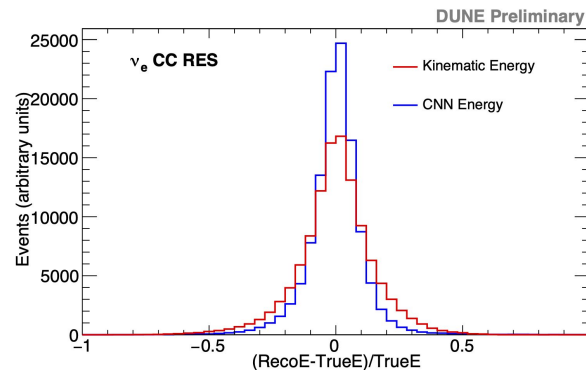
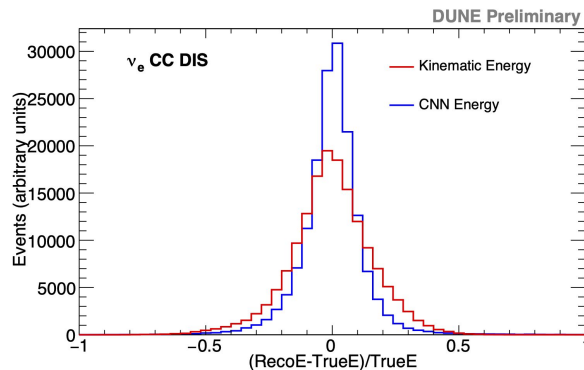
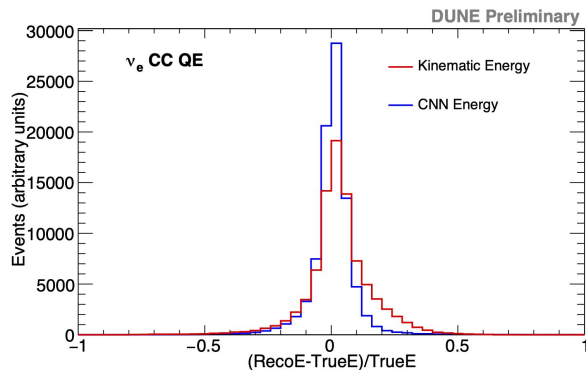
# $\nu_e$ CC Event Energy

- Resolution is not only better overall, but also over different ranges of true event energy
- Bias is also better or comparable everywhere



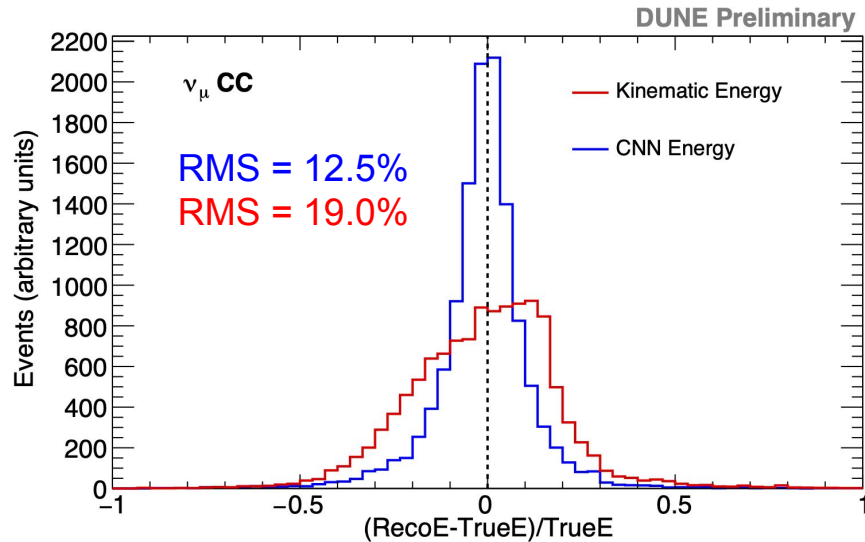
# $\nu_e$ CC Event Energy

- CNN also robust to different types of neutrino interactions (quasi-elastic, deep inelastic scattering, resonance)
- CNNs having a high number of degrees of freedom to allow this





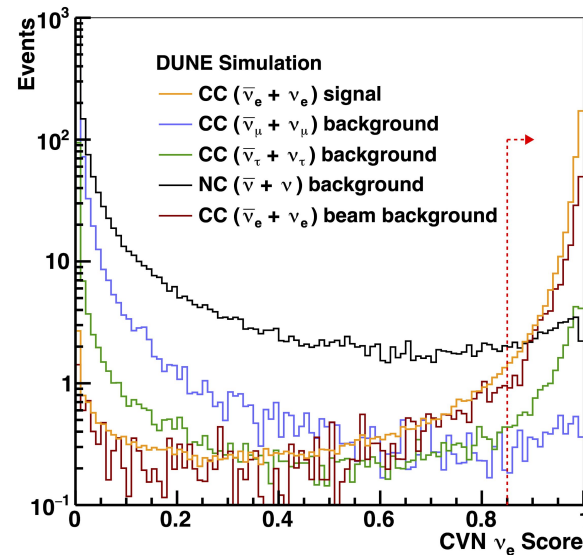
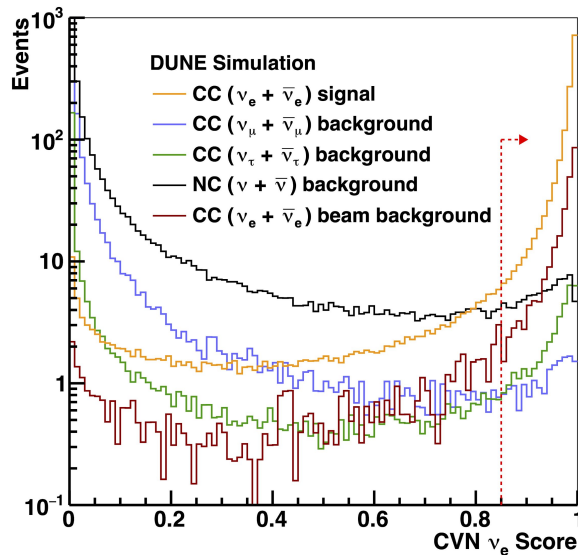
# $\nu_{\mu}$ CC Event Energy



- This CNN technology can also be used for  $\nu_{\mu}$  CC event energy
- The CNN has better resolution than traditional method, again based on adding up hadronic and leptonic parts
- Traditional energy of muon tracks based on track length

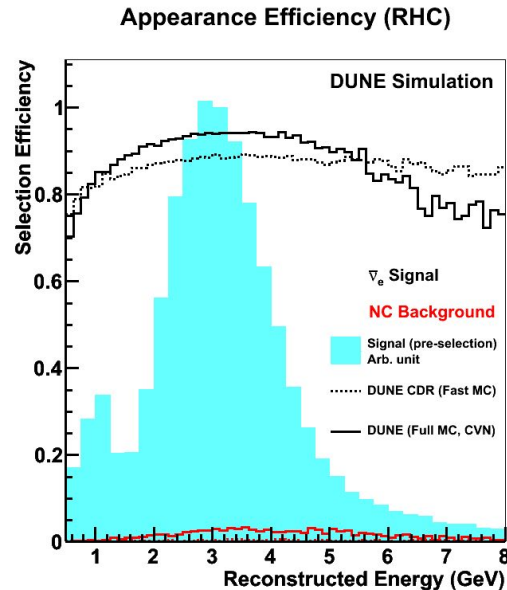
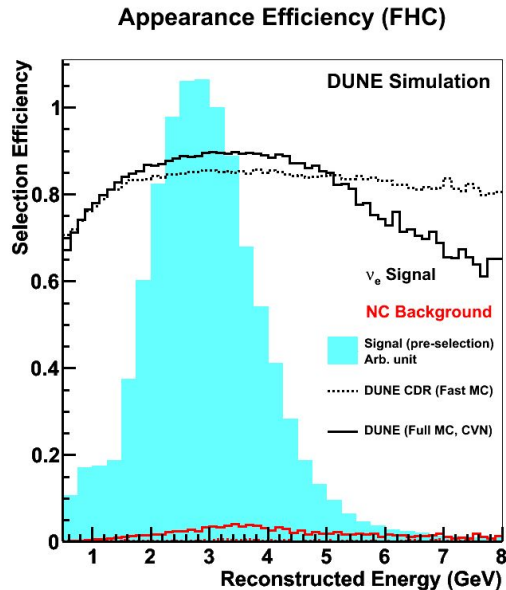
# $\nu_e$ CC Interaction Classification

- A classification CNN for  $\nu_e$  CC event classification was also developed ([arXiv:2006.15052](https://arxiv.org/abs/2006.15052))
- Here we show results for neutrino beam (left) and antineutrino beam (right)
- A number closer to 1 shows an event more likely to be  $\nu_e$  CC
- An event with classifier  $> 0.85$  is chosen as a  $\nu_e$  CC event



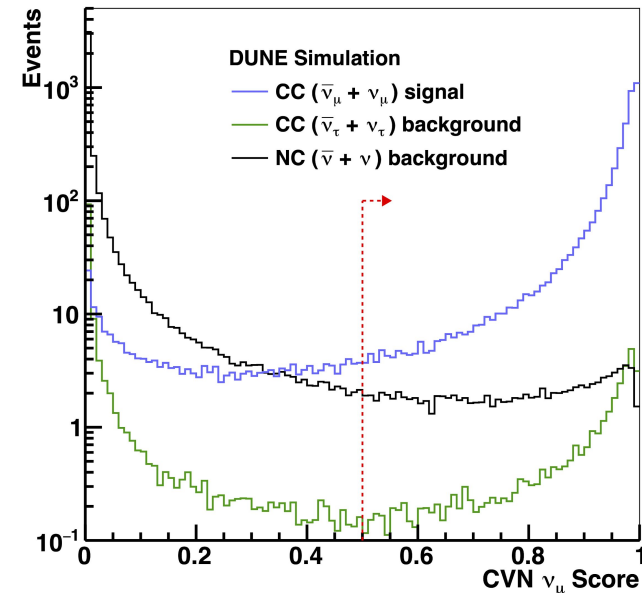
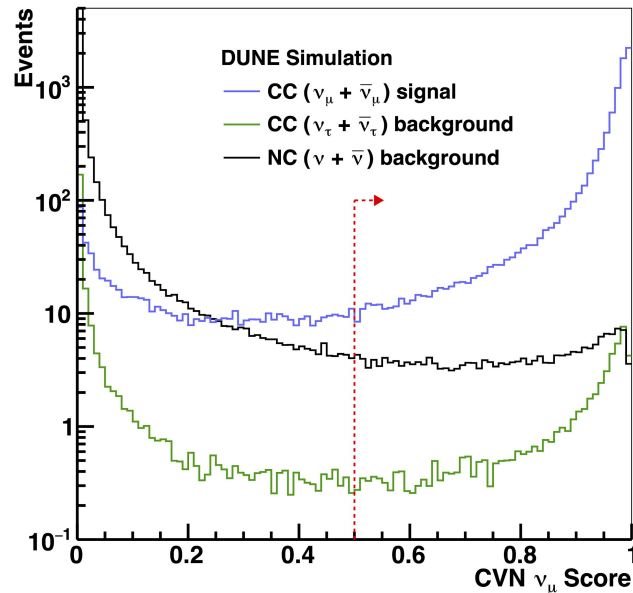
# $\nu_e$ CC Interaction Classification

- Here we see selection efficiency over range of reconstructed event energy for neutrinos
- We see a maximum efficiency of around 90% near the flux peak
- Slightly better efficiency in antineutrino beam



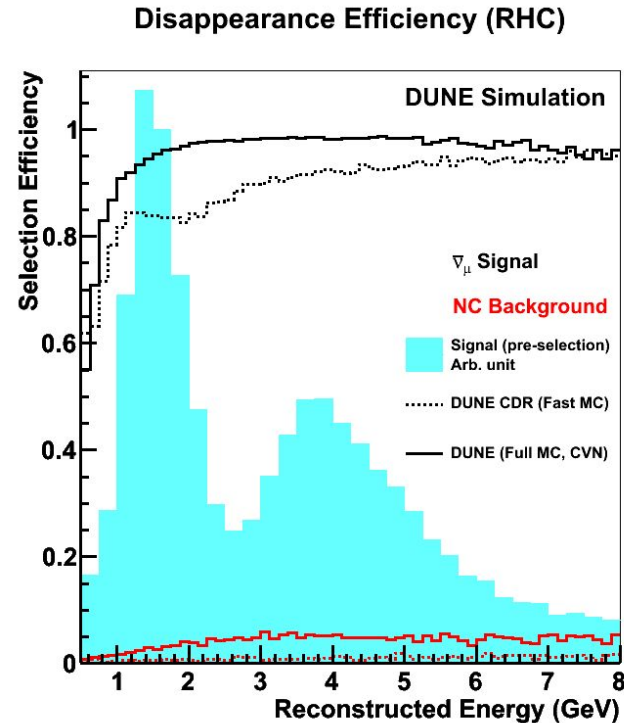
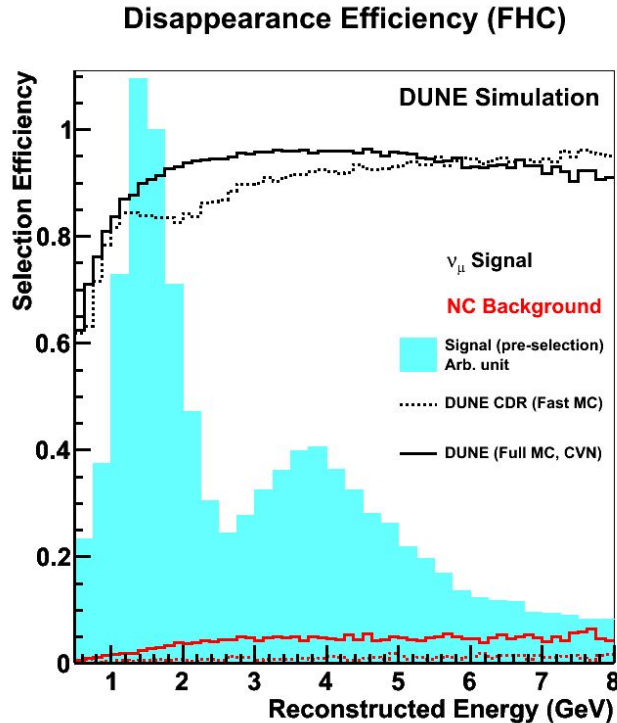
# $\nu_\mu$ CC Interaction Classification

- We can do the same for  $\nu_\mu$  CC event classification
- Again, this is neutrinos beam (left) and antineutrino beam (right)
- If an event has a classifier  $> 0.5$ , we interpret it as a  $\nu_\mu$  CC event



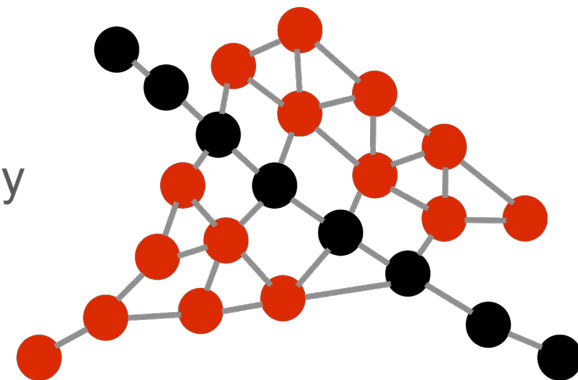
# $\nu_\mu$ CC Interaction Classification

- Here is the selection efficiency over range of reconstructed event energy
- The efficiency is greater than 90% at maximum



# Other Methods Being Developed

- Sparse CNNs for Semantic Segmentation
  - Takes advantage of sparseness of hits in 3D pixelmaps
  - Has shown promise for identifying individual pixels as part of tracks or showers
- Graph Neural Networks
  - Breaks up hits into “graph” comprised as connected nodes with information such as geometry and energy composition
  - Feeds these graphs to a NN which labels individual nodes
  - Has shown promise in ProtoDUNE



# Summary

- CNN based energy regression has better performance for both  $\nu_e$  CC and  $\nu_\mu$  CC events
- CNN based event classifiers have been shown to have very good efficiency, greater than 90% for both  $\nu_e$  CC and  $\nu_\mu$  CC events in FHC and RHC beam configurations
- GNNs and Sparse CNNs have shown promise in reconstructing tracks and showers
- Better energy resolution and event selection efficiency will give us better measurements of the oscillation parameters, and help us get the most out of our data