



CHARGED-CURRENT ELECTRON NEUTRINOS IN MICROBOONE

ICHEP, NEUTRINO PHYSICS

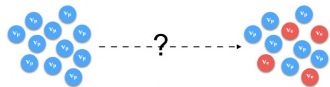
Wouter Van De Pontseele – On behalf of the MicroBooNE collaboration

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July 28, 2020

The measurement of the ν_e electron kinematics is crucial towards understanding the nature of the observed excess of low-energy electromagnetic-like events at MiniBooNE.

1. Cosmic rejection.
2. ν_e 's in MicroBooNE.
3. Electron identification.
4. ν_e CC Event selection.
5. Sideband results & near-future.



NEUTRINO BEAMS AT FERMILAB



Booster ν beam

MicroBooNE, SBN program

Booster

proton energy: 8 GeV

NuMI ν beam

NOvA, MINERvA, MINOS+

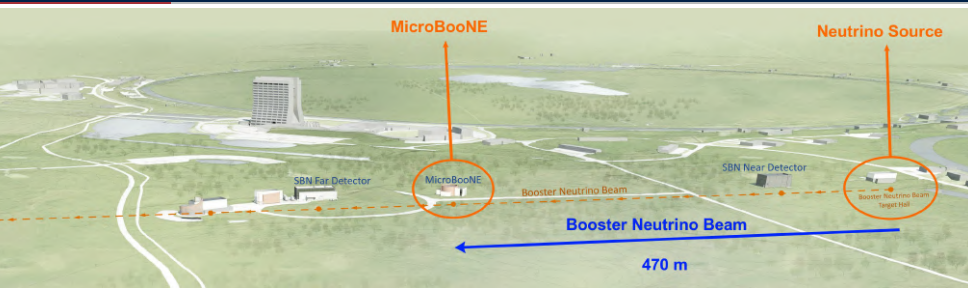
Main Injector

proton energy: 120 GeV

DUNE ν beam

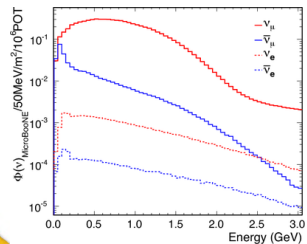
(planned)

THE MICROBOONE EXPERIMENT



Electron Neutrino Physics

- Electron identification in Liquid Argon Time Projection Chambers.
- Further investigate the **low-energy excess** observed by MiniBooNE [1].
- Measuring the $\approx 0.5\%$ ν_e component in a muon neutrino beam.
- **Cross-section** measurements on argon [2, 3].



Detector Understanding

- Signal processing [5, 6].
- Detector calibration [7].
- Pandora event reconstruction [8].

Systematic Uncertainties

- Neutrino flux from beam.
- Cross-section modelling (Genie) [9].
- Secondary Interactions (GEANT).
- Detector effects [10].

Background rejection

- Cosmic activity [11].
- Muon neutrino backgrounds.



Search for Electron neutrinos

- Particle identification:
 - Showers: e/γ
 - Tracks: p/μ
- Event topologies.
- Kinematics of the electron.

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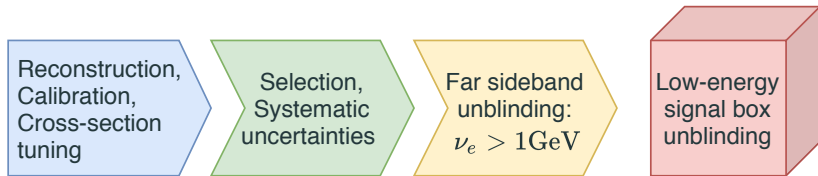
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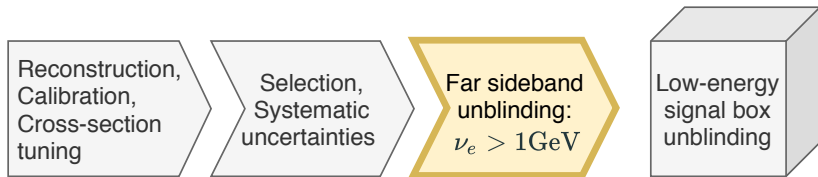
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Talk by Ralitsa Sharankova: The MicroBooNE Experiment, Operation, Performance and Upgrade of Present Detectors



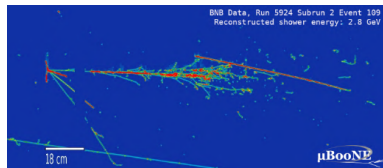
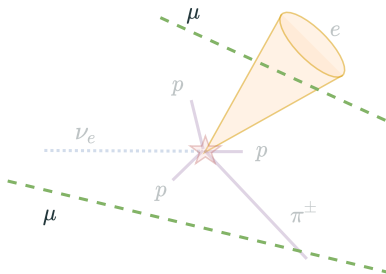
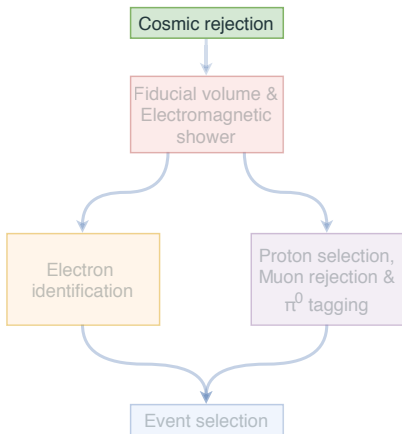
- MicroBooNE follows a **blind analysis** strategy to investigate the MiniBooNE result.
- Reconstruction and selection being developed on an unbiased sub-set corresponding to 5×10^{19} protons-on-target.
- **On the cusp of unblinding** a 3-year data-set, containing 7×10^{20} protons-on-target.



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Brand new results shown on sideband
containing electron neutrinos with a reconstructed energy above 1 GeV!

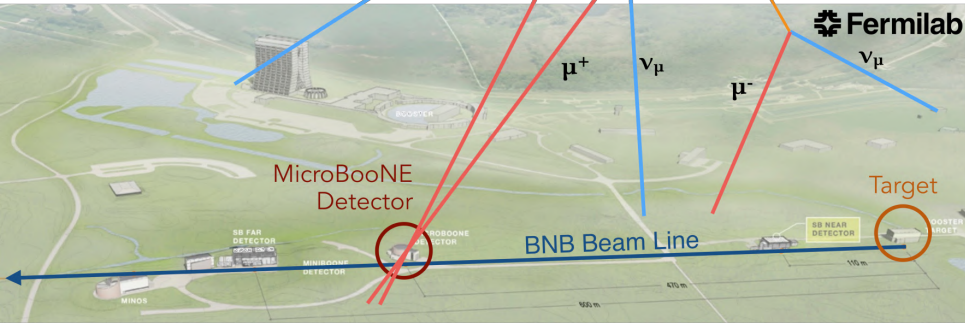
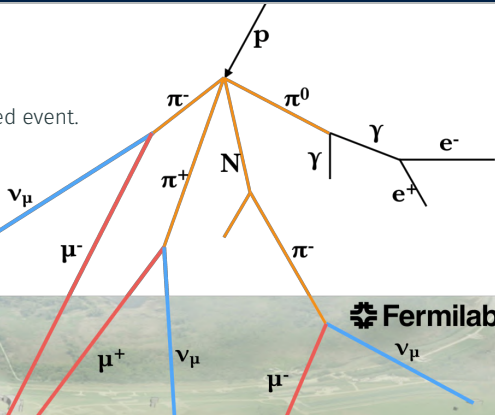
ELECTRON NEUTRINO SELECTION: OVERVIEW

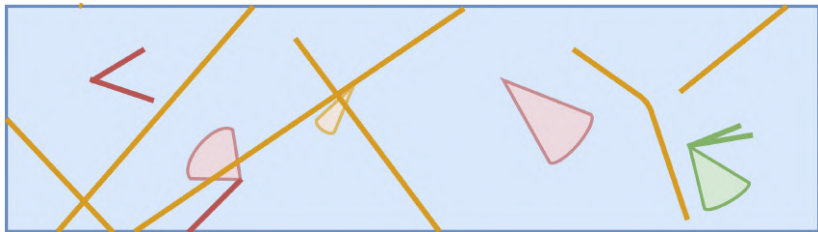


COSMIC ACTIVITY @ MICROBOONE

- MicroBooNE is a surface detector.
- 5 kHz cosmic muon rate.
- Approximately 24 muons per triggered event.
- Modelled using Off Beam data.

→ Cosmic rejection tools as first selection steps in all analyses!



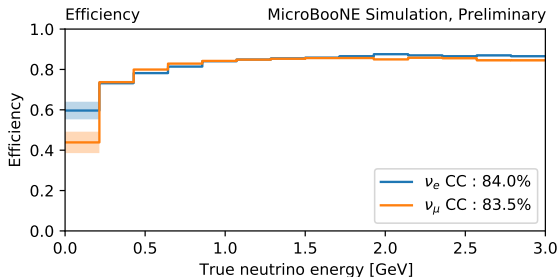


1. Require **light in-time** with the accelerator trigger.
2. Remove tracks **geometrically crossing** the detector.
3. Identify **muons stopping in the TPC** using calorimetry.



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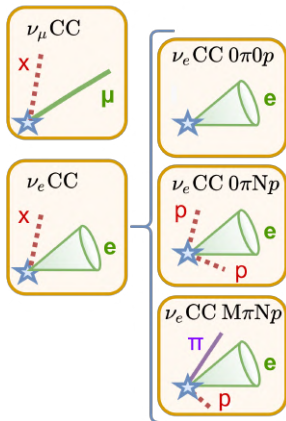
Cosmic rejection efficiency for charged-current interactions



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3. Identify **muons stopping in the TPC** using calorimetry.
4. Match the **light signal to TPC activity** in charge and position.

Neutrino efficiency of $\approx 84\%$ and cosmic rejection of 99.8% .

ELECTRON NEUTRINOS IN MICROBOONE: AN INCLUSIVE APPROACH



Talk by Raquel Castillo Fernandez:

Recent Cross-section Measurements from MicroBooNE.

⇒ Argon is complicated and cross-section modelling carries large uncertainties.

At the Booster Neutrino Beam, $\langle E(\nu_e) \rangle \approx 1$ GeV, A variety of final states are expected:

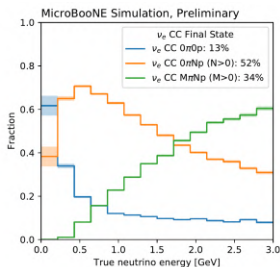
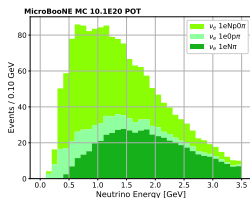
- $1e0p0\pi$: dominant below ≈ 0.3 GeV.
- $1eNp0\pi$
- $1eNpM\pi$: dominant above ≈ 2 GeV.

⇒ Important to perform an inclusive measurement to support observations in low-energy or exclusive channels.

⇒ Talk by David Caratelli

The status of the low-energy excess.

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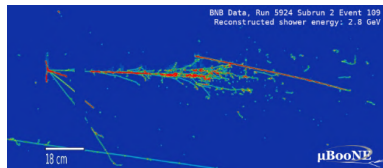
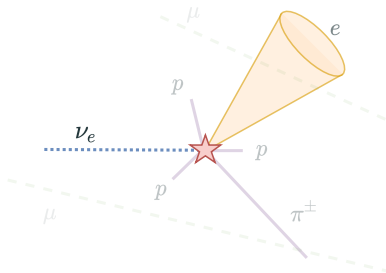
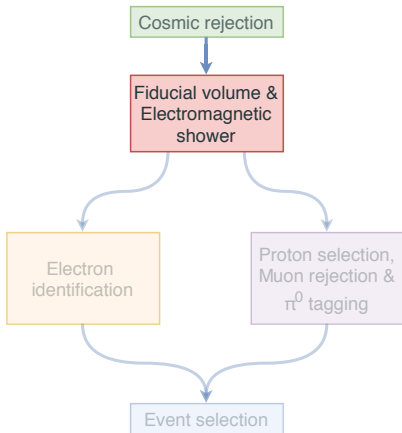
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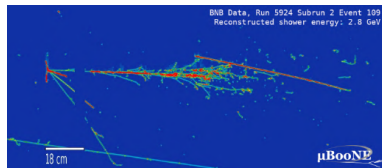
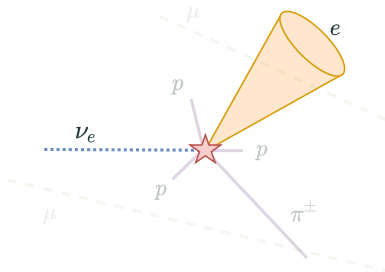
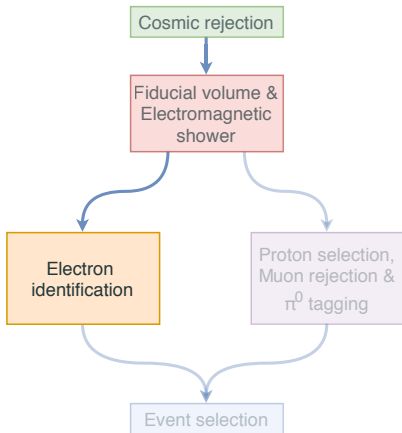
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The status of the low-energy excess.

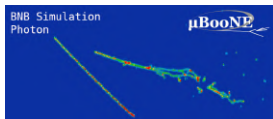
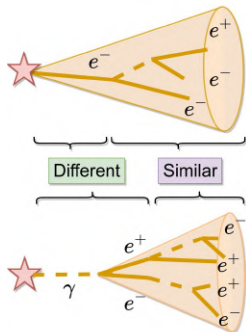
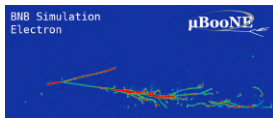
ELECTRON NEUTRINO SELECTION: PHOTONS VS ELECTRONS



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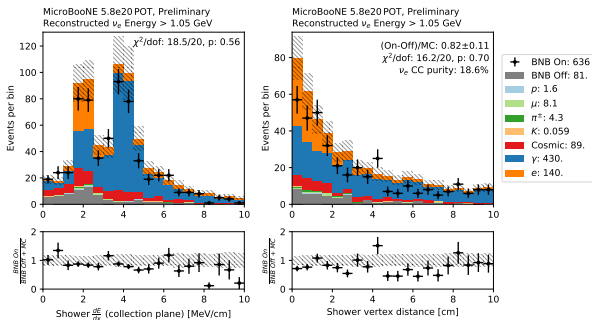


PARTICLE IDENTIFICATION: PHOTONS VS ELECTRONS

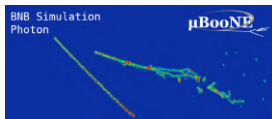
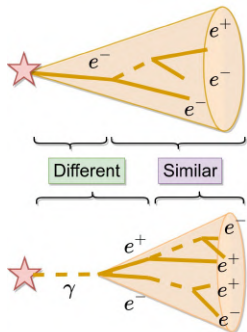
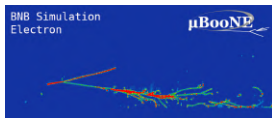


- e/γ separation enabled by **differences** in the **start** of the electromagnetic shower.
- Demonstrated using photons from π^0 decay [12].

1. dE/dx
2. Detached shower start point

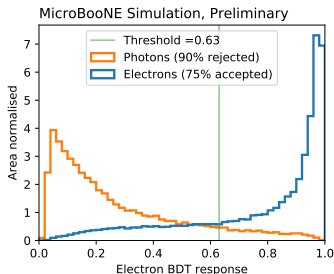


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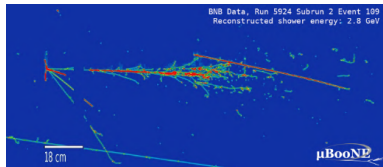
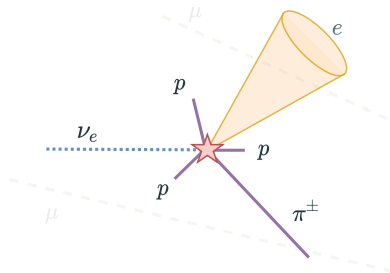
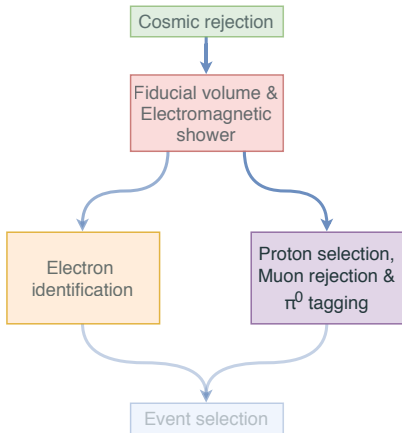


- e/γ separation enabled by **differences** in the **start** of the electromagnetic **shower**.
- Demonstrated using photons from π^0 decay [12].

Boosted decision tree used for shower classification:



ELECTRON NEUTRINO SELECTION: PROTONS VS MUONS



Identification the other objects in the event.
Are these tracks protons or muon?

PARTICLE IDENTIFICATION: PROTONS VS MUONS

Difference in energy losses due to particle mass (**Bethe-Bloch**)

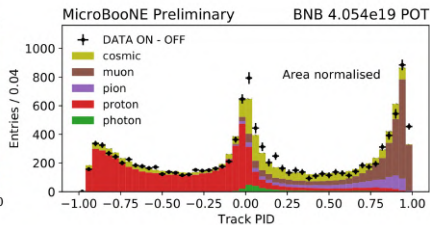
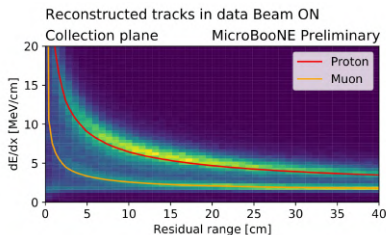
- **Muons**: Minimum ionising + short Bragg peak.
- **Protons**: Heavier and slower \rightarrow higher energy losses.
- **Detector anisotropies** complicate the picture.

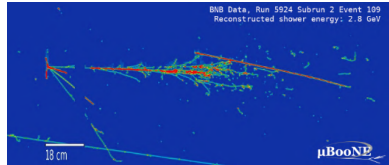
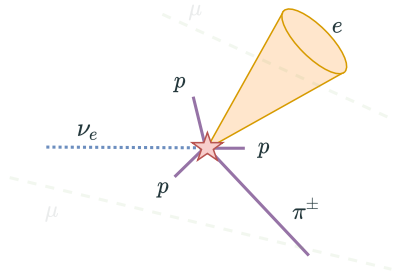
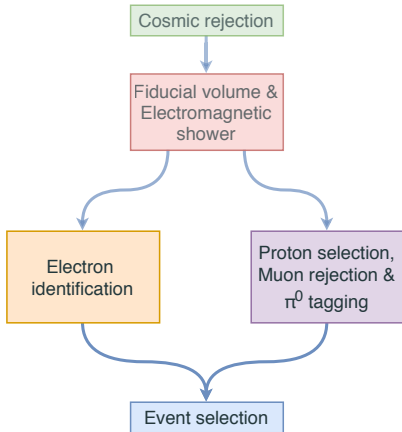
Likelihood ratio as test-statistic:

$$\mathcal{T}(dE/dx, \text{segment}, \theta) = \frac{\mathcal{L}(\text{muon} \mid dE/dx, \text{segment}, \theta)}{\mathcal{L}(\text{proton} \mid dE/dx, \text{segment}, \theta)}$$

Combines all **three wire planes**:

$$\mathcal{L}(U, V, Y) = \mathcal{L}(U) \times \mathcal{L}(V) \times \mathcal{L}(Y)$$

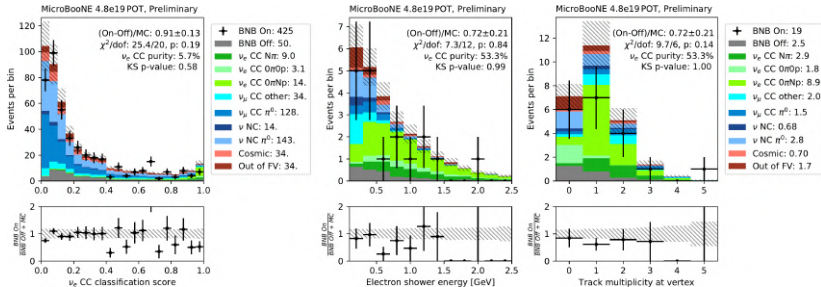




The final event selection builds on top of the identification of the different particles in the interactions.

- Low electron neutrino purity after pre-selection: $\mathcal{O}(5\%)$.
- Main backgrounds after pre-selection are muon neutrinos.
- Use **gradient boosted decision trees (XGBoost)**.
- Toughest background to reduce are **muon neutrinos with π^0** .

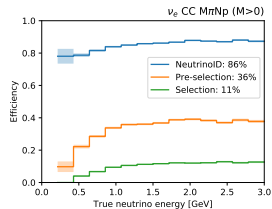
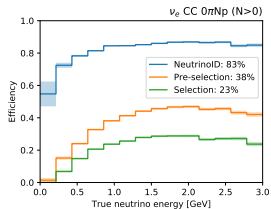
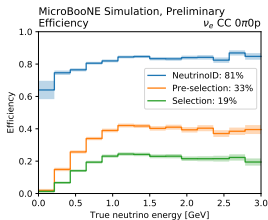
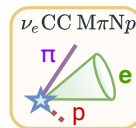
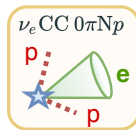
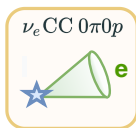
Final selection with a ν_e CC purity of 50%+
and a wide variety of shower energies and vertex multiplicities.



SELECTION EFFICIENCY AND ELECTRON KINEMATICS

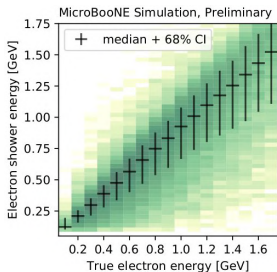
- ν_e CC efficiency of 18 %.

We select events in all three categories, but not tailored for low-energy search.

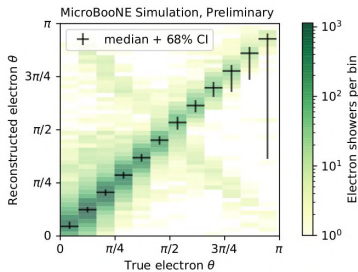


SELECTION EFFICIENCY AND ELECTRON KINEMATICS

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- Resolution of electrons kinematics.



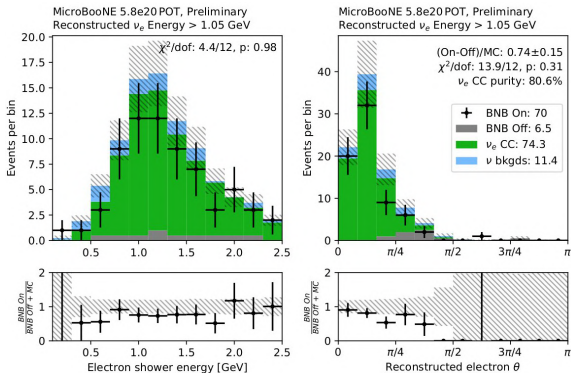
Electron energy
reconstructed within $\approx 20\%$



$\approx 3^\circ$ degree resolution
on the angle with respect to
the beam direction.

SELECTION EFFICIENCY AND ELECTRON KINEMATICS

- ν_e CC efficiency of 18 %.
We select events in all three categories, but not tailored for low-energy search.
- Resolution of electrons kinematics.
- Sideband results. ν_e -pure high-energy sample demonstrates good agreement with high statistics. The energy threshold is lowered gradually towards full unblinding.



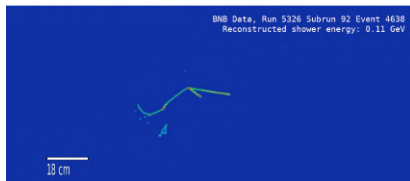
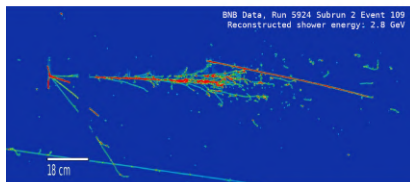
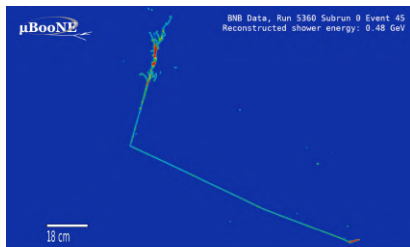
Electron neutrinos in MicroBooNE

- Fully automatic ν_e reconstruction and selection in a LArTPC.
- Shower (e/γ) and track (p/μ) identification.
- Efficiency of 18% with purity of 50%+ for ν_e CC interactions.
- Wide variety of final states and electron kinematics.

More results soon!

- Concurrent effort ongoing with the NuMI beam [13].
- Progress towards **measurements** of the ν_e content in the BNB beam and the ν_e cross-section on Argon.

MICROBOONE-NOTE-1085-PUB



THANK YOU!
& QUESTIONS

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-  James E. Hill. “An Alternative Analysis of the LSND Neutrino Oscillation Search Data on $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ ”. In: *Phys. Rev. Lett.* 75 (1995), pp. 2654–2657. DOI: [10.1103/PhysRevLett.75.2654](https://doi.org/10.1103/PhysRevLett.75.2654).



MicroBooNE Collaboration. “Deep neural network for pixel-level electromagnetic particle identification in the MicroBooNE liquid argon time projection chamber”. In: *Phys. Rev. D* 99 (2019), p. 092001. doi: [10.1103/PhysRevD.99.092001](https://doi.org/10.1103/PhysRevD.99.092001). arXiv: [1808.07269](https://arxiv.org/abs/1808.07269).

- Mixing between neutrino flavour and mass eigenstates: PMNS matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U(\theta_{12}, \theta_{23}, \theta_{13}, \delta_{CP}) = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

NEUTRINO OSCILLATIONS: THE CURRENT PICTURE

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$$|\nu_i(L)\rangle \approx e^{-i \frac{m_i^2 L}{2E}} |\nu_i(0)\rangle.$$

NEUTRINO OSCILLATIONS: THE CURRENT PICTURE

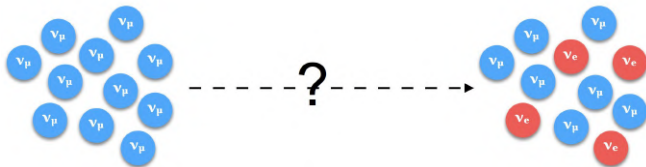
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$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U(\theta_{12}, \theta_{23}, \theta_{13}, \delta_{CP}) \approx \begin{pmatrix} 0.8 & 0.5 & 0.1 \\ 0.3 & 0.7 & 0.6 \\ 0.4 & 0.5 & 0.8 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

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The combination leads to neutrino flavour oscillations!



NEUTRINO OSCILLATIONS & THE STERILE NEUTRINO HYPOTHESIS

- Let's add a sterile fourth neutrino to the game!

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

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- If we are only sensitive to electron and muon flavours in the detector:

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$$P(\nu_e \rightarrow \nu_e) = 1 - 4(1 - |U_{e4}|^2) |U_{e4}|^2 \sin^2(1.27 \Delta m_{41}^2 \frac{L}{E}) \quad (\nu_e \text{ disappearance})$$

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - 4(1 - |U_{\mu4}|^2) |U_{\mu4}|^2 \sin^2(1.27 \Delta m_{41}^2 \frac{L}{E}) \quad (\nu_\mu \text{ disappearance})$$

$$P(\nu_\mu \rightarrow \nu_e) = 4 |U_{e4}|^2 |U_{\mu4}|^2 \sin^2(1.27 \Delta m_{41}^2 \frac{L}{E}) \quad (\nu_e \text{ appearance})$$

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Appearance and disappearance signals are related!

A PUZZLING COLLECTION OF ANOMALIES

Radiochemical Experiments

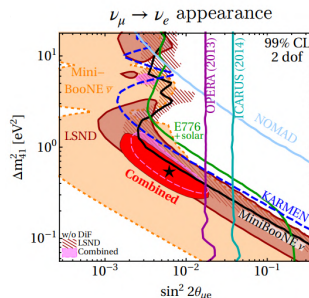
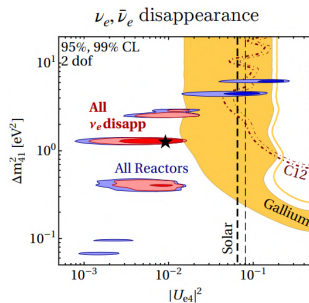
- The SAGE and GALLEX experiments both observed a deficit of electron neutrinos with radioactive isotope sources.

Reactor Experiments

- 3.5% deficit of electron anti-neutrinos in several reactor experiments.

Accelerator Experiments

- Excess of electron neutrinos and anti-neutrinos in the LSND and MiniBooNE experiments.



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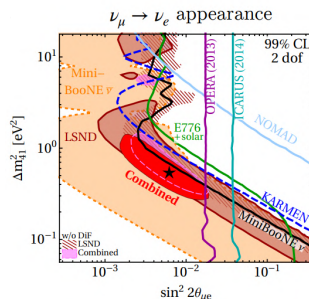
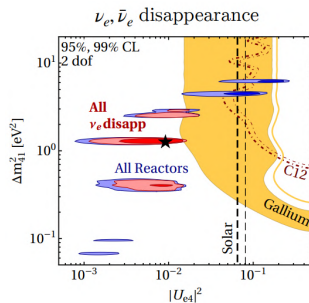
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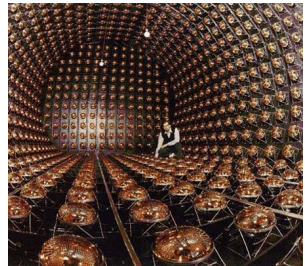
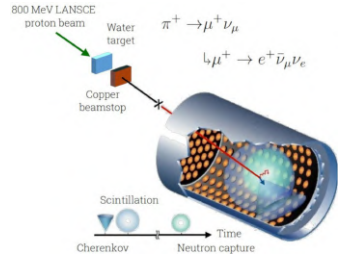
- Excess of electron neutrinos and anti-neutrinos in the LSND and MiniBooNE experiments.

Hints towards sterile neutrino,
but tension in global fits remains.



ANOMALIES IN ACCELERATOR EXPERIMENTS

1. LSND sees $\bar{\nu}_e$ appearance from a well understood $\bar{\nu}_\mu$ neutrino source [14].



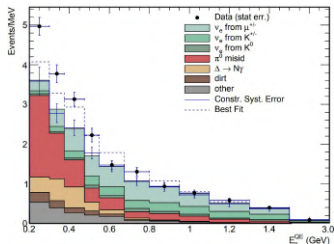
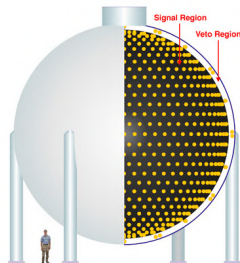
ANOMALIES IN ACCELERATOR EXPERIMENTS

1. LSND sees $\bar{\nu}_e$ appearance from a well understood $\bar{\nu}_\mu$ neutrino source [14].
2. MiniBooNE has different L, E , but similar $L/E \sim \text{LSND } \mathcal{O}(1 \text{ m MeV}^{-1})$.

The MiniBooNE Low-Energy Excess [1]

- In Fermilab's Booster Neutrino Beam, since 2002.
- Mineral Oil Cherenkov detector.
- Doubled statistics in 2018.
- Excess of events observed, as in LSND.

MiniBooNE Detector



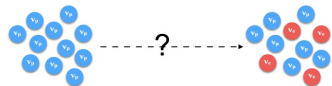
ANOMALIES IN ACCELERATOR EXPERIMENTS

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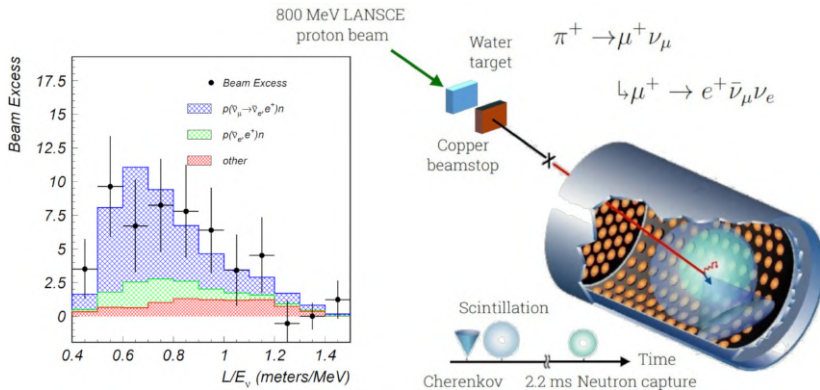
3. **MicroBooNE**: same L, E with different technology.



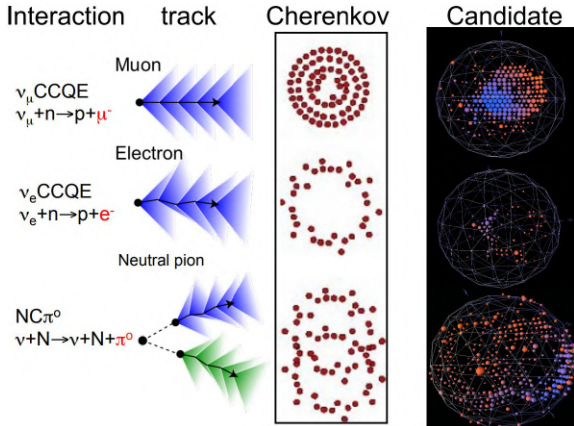
A STEP BACK IN TIME: THE LSND EXPERIMENT

Liquid Scintillator Neutrino Detector at Los Alamos

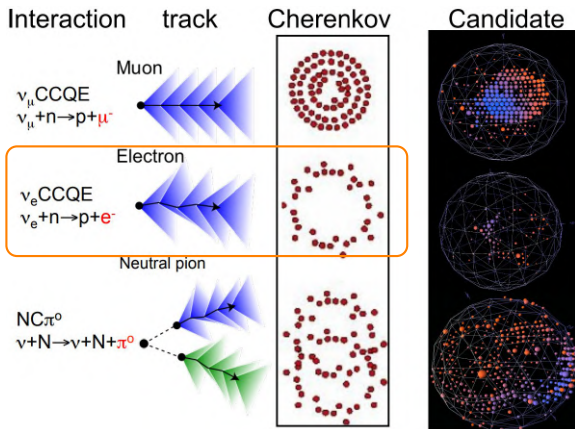
- Data-taking 1993-1998.
- $\bar{\nu}_\mu$ from μ^+ Decay at rest.
- 3.8σ excess consistent with ν_e appearance ($\Delta m \approx 1\text{eV}^2$).



PARTICLE IDENTIFICATION IN MINIBOONE

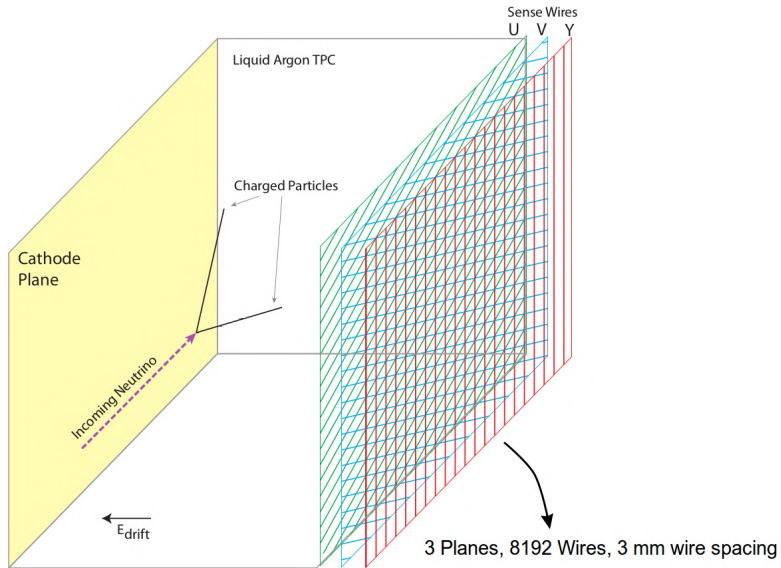


PARTICLE IDENTIFICATION IN MINIBOOONE

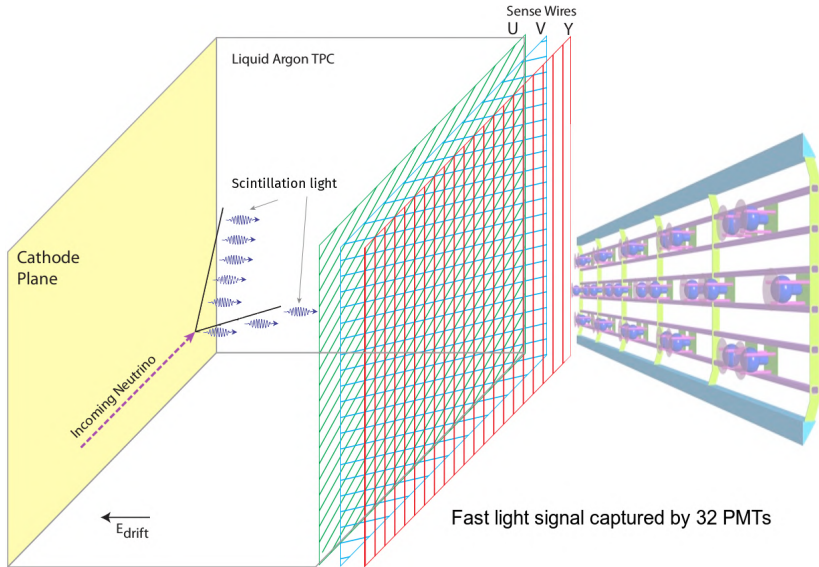


MiniBooNE sees an excess of low energetic electromagnetic events.
No discrimination between a single photon and an electron + insensitive to protons.
The origin of the excess remains unclear.

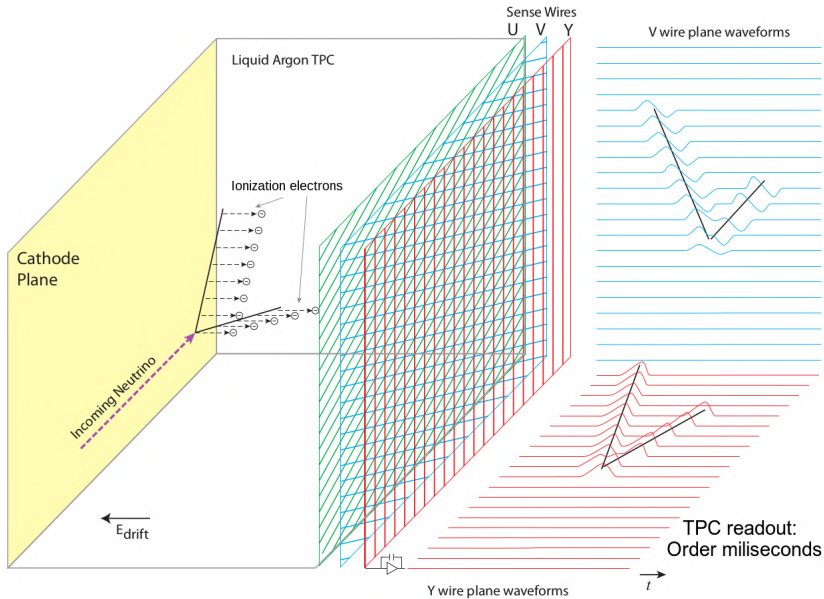
LIQUID ARGON TIME PROJECTION CHAMBER



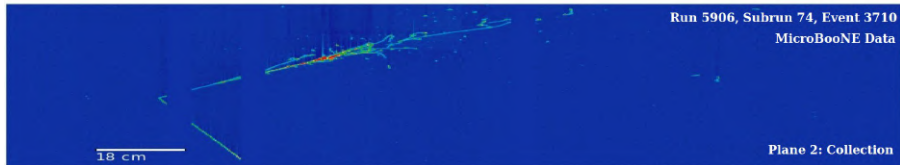
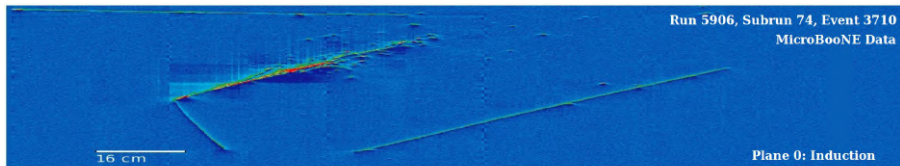
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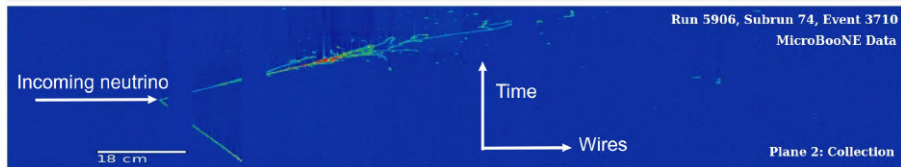
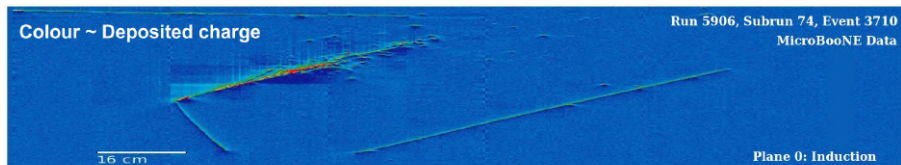
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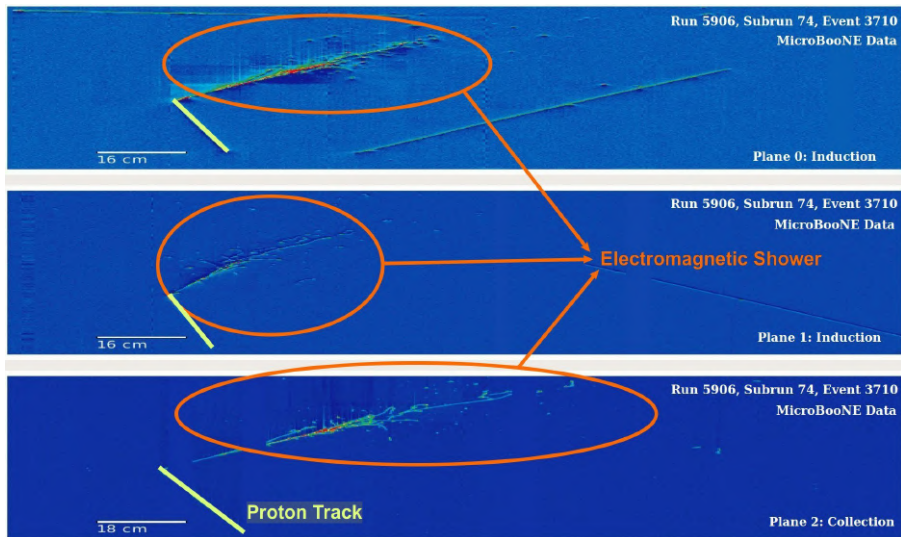
MICROBOONE DATA EVENT



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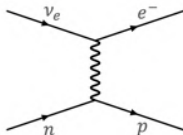
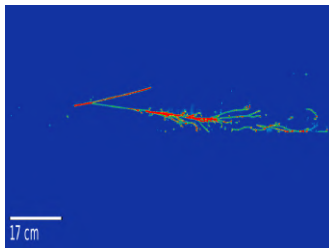
MICROBOONE DATA EVENT



TWO POSSIBLE MODELS TO EXPLAIN THE LOW-ENERGY EXCESS

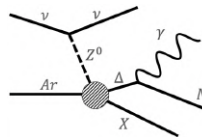
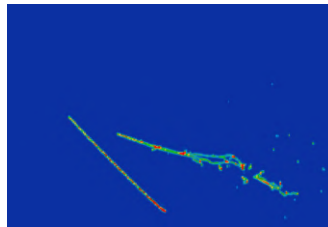
Electron-like Search

Electron neutrinos from oscillation



Photon-like Search

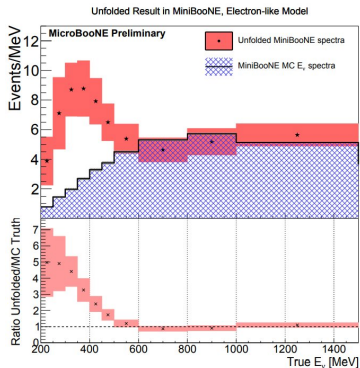
Neutral current $\Delta \rightarrow N\gamma$



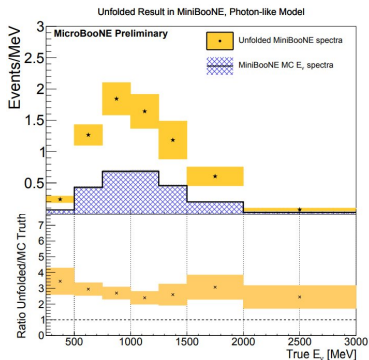
TWO POSSIBLE MODELS TO EXPLAIN THE LOW-ENERGY EXCESS

Unfolding the MiniBooNE excess (MICROBOONE-NOTE-1043-PUB)

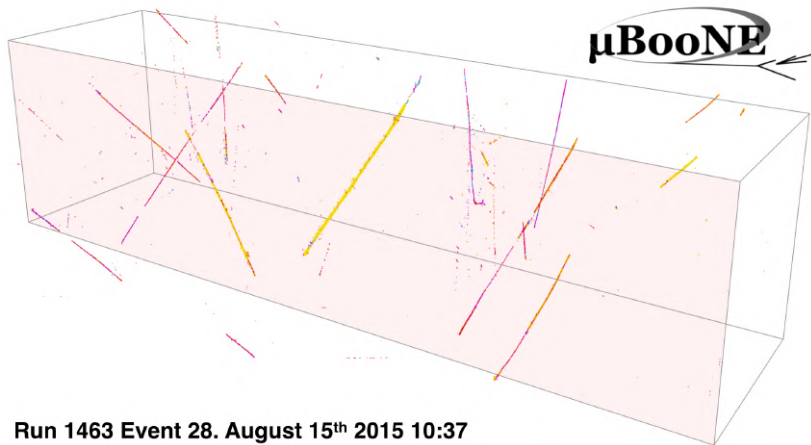
Electron-like Search



Photon-like Search



EVENTS CONTAIN A LOT OF COSMIC CHARGE DEPOSITS



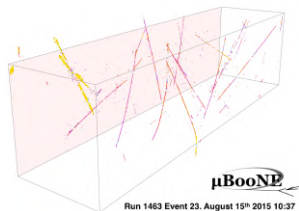
Run 1463 Event 28. August 15th 2015 10:37

DETECTOR UNDERSTANDING: LAR EVENT RECONSTRUCTION TECHNIQUES

Three different reconstruction approaches in MicroBooNE:

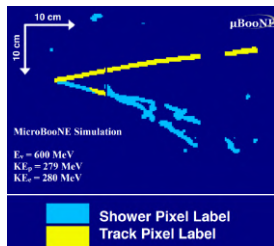
- First time **fully automatic** event reconstruction used in LArTPC.
- Serve to **cross-check** each other in parallel efforts.
- Essential build-up of **expertise** for DUNE, SBND and ICARUS.

WireCell Tomographic Imaging



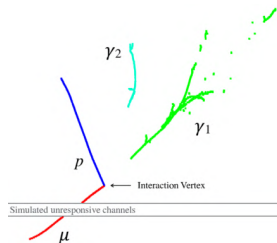
MICROBOONE-NOTE-1040-PUB

Deep-Learning



Phys. Rev. D 99, 092001 [15]

Pandora Multi-Algorithm



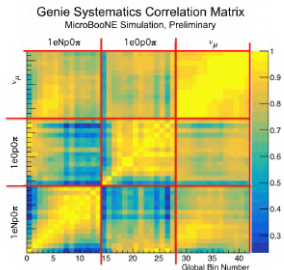
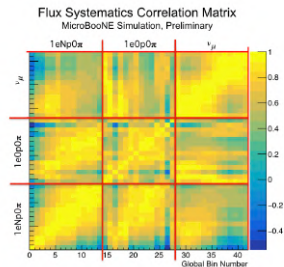
Eur. Phys. J. C. 2019. [8]

CONSTRAINING THE UNCERTAINTIES WITH MUON NEUTRINOS

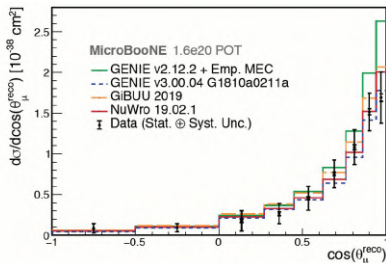
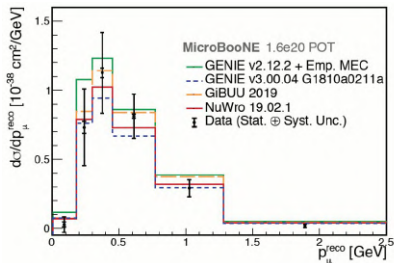
ν_μ and ν_e have much in common:

- **Flux:** both species of neutrinos come from the same beam, from decays of the same populations of hadrons.
- **Cross-Section:** both neutrinos interact with argon nuclei.
- **Detector:** systematic detector effects affect different channels in the same way.

Strong correlation between the ν_μ and ν_e cross-section at low energies.

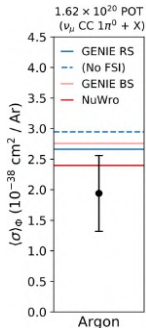


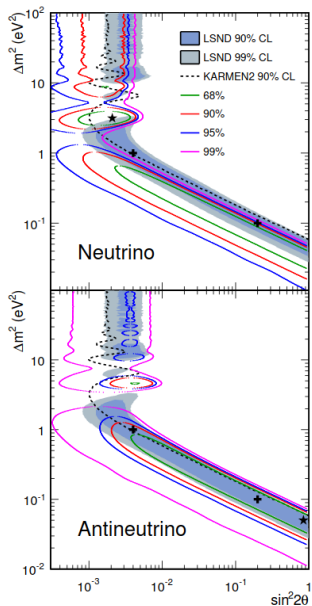
NEUTRINO-ARGON INTERACTIONS: PUBLISHED MICROBOONE RESULTS



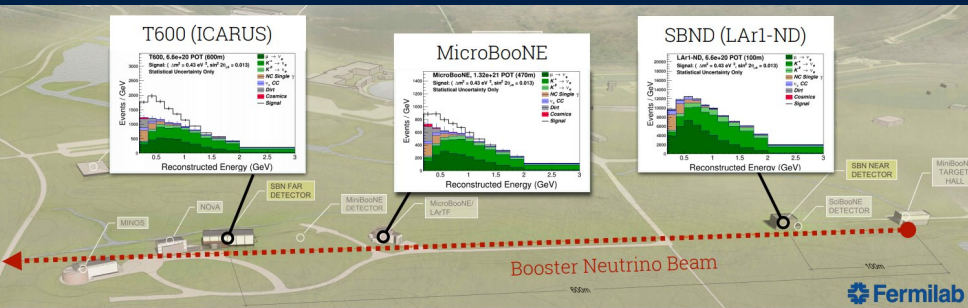
First Cross-section results from MicroBooNE

- Using Run 1 data-set, $\approx 13\%$ of total POT collected.
- Measurement of Inclusive Muon Neutrino Charged-Current Differential Cross Sections on Argon [2]
- Measurement of ν_{μ} Charged-Current π^0 Production on Argon [3]

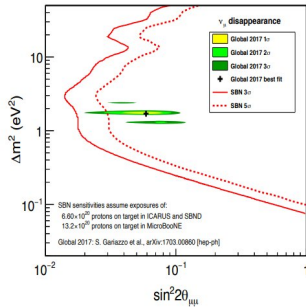
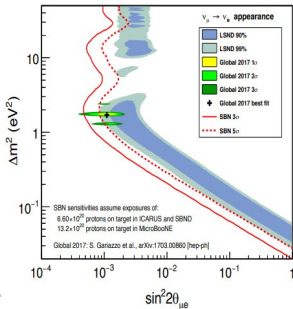




THE SHORT BASELINE PROGRAMME (SBN) AT FERMILAB [4]



Sensitivity to the short-baseline anomaly



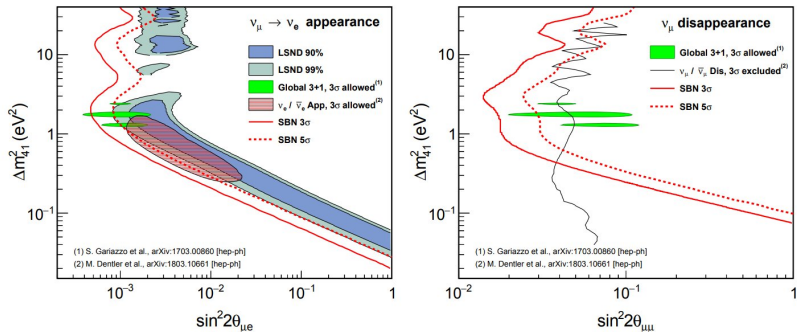


Figure 7

SBN 3σ (solid red line) and 5σ (dotted red line) sensitivities to a light sterile neutrino in the $\nu_\mu \rightarrow \nu_e$ appearance channel (*left*) and $\nu_\mu \rightarrow \nu_\mu$ disappearance channel (*right*). For comparison, the LSND preferred region at 90% C.L. (shaded blue) and 99% C.L. (shaded gray) is presented (19). Moreover, the global ν_e appearance (shaded red) and global ν_μ disappearance (black line) 3σ regions from Ref. (33) are also included. Finally, the 3σ global best fit regions from Ref. (35) are shown in green. The sensitivities are reproduced from the SBN proposal (15).

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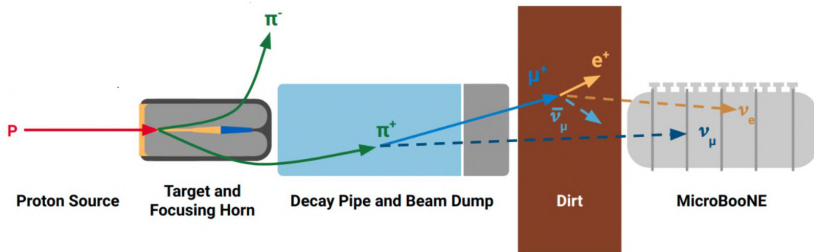
Dominant production modes:

$$\nu_\mu : \pi^+ \rightarrow \mu^+ \nu_\mu \quad 94\%$$

$$\nu_e : \mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu \quad 52\%$$

Other sources of systematic uncertainty:

- **Cross-Section**: both neutrinos interact with argon nuclei.
- **Detector**: systematic detector effects affect different channels in the same way.



MUON NEUTRINOS TO CONSTRAIN THE BOOSTER NEUTRINO BEAM FLUX

- ν_μ flux peaks at ≈ 0.8 GeV.
- Small ν_e component: $\approx 0.57\%$.

→ ν_e 's from Kaons at lowest energies can be constrained by high energy ν_μ 's.

