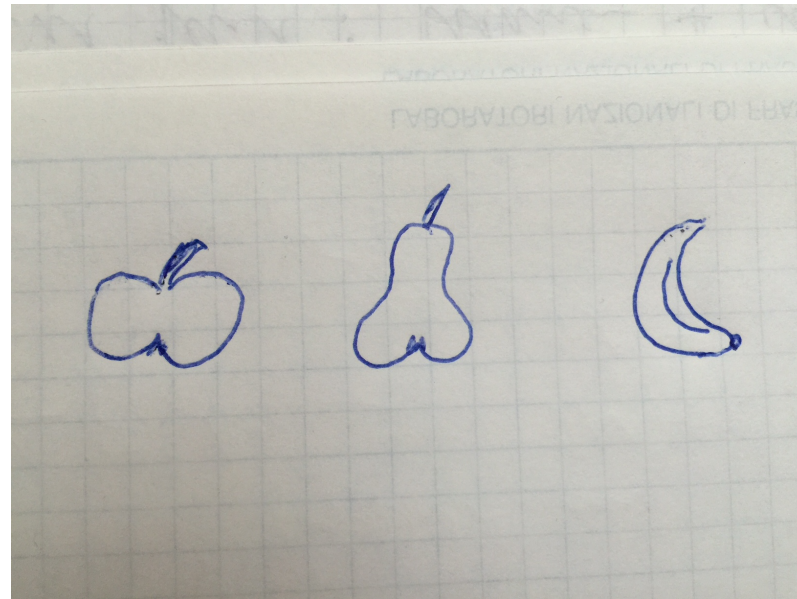


# **FLAVOR THEORY 2020 and OUTLOOK**

## **Having fun with leptons: B-anomalies and more**

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the same, yet not the same

Yukawa coupling  $Y$  to Higgs in  $\mathcal{L}_{SM} = -\bar{\psi}Y\psi H + \dots$  is a  $3 \times 3$  matrix.

$$Y_u \sim \begin{pmatrix} 10^{-5} & -0.002 & 0.008 + i 0.003 \\ 10^{-6} & 0.007 & -0.04 \\ 10^{-8} + i 10^{-7} & 0.0003 & 0.94 \end{pmatrix}$$

$$Y_d \sim \text{diag} (10^{-5}, 5 \cdot 10^{-4}, 0.025)$$

$$Y_e \sim \text{diag} (10^{-6}, 6 \cdot 10^{-4}, 0.01)$$

very peculiar structure versus universality in gauge interactions

$\psi \rightarrow \psi_i, i = 1, 2, 3$

..... the flavor puzzle starts into yet another season in 2020 plus. [Merlo](#)

- angular distributions  $b \rightarrow s\mu\mu$ ,  $b \rightarrow s\gamma$  "the global fit" Neshatpour
- $R_{K,K^*}$   $b \rightarrow s\mu\mu$  **VS**  $b \rightarrow see$
- $R_{D,D^*}$   $b \rightarrow c\tau\nu$  **VS**  $b \rightarrow c\mu\nu$
- $Br(K_L \rightarrow \pi^0\nu\bar{\nu})$  **Soni**
- $(g - 2)$  of muon and electron **Giusti**

common denominator: "something (in low energy measurements) with leptons"

"anomalies" require improved data – more statistics, cross checks, control measurements, e.g.  $b \rightarrow see$  angular distributions, more universality ratios  $R_{XYZ}$ .

from theory:

- SM background control; preciser hadronic matrix elements [Giusti, Witzel](#); preciser parametric input, CKM, masses [Bona](#)
- interpretation, model-independently (fits, SMEFT) [Neshatpour, Silva, Sumensari](#)
- BSM models, collider searches [Becirevic, Mukherjee](#)

test the SM

probe new physics models

progress towards/give feedback on flavor puzzle

the present flavor anomalies give directions for all of these.

# moving on with lepton universality tests and more

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- testing lepton universality and charged lepton flavor conservation with dineutrino modes
- explaining  $(g - 2)_{e,\mu}$  with lepton universal BSM

Leading semileptonic 4-fermion operators at scale above  $m_W$  (SMEFT) contributing to dineutrino modes  $q \rightarrow q' \nu \bar{\nu}$

$$\mathcal{L}_{\text{eff}} \supset \frac{C_{\ell q}^{(1)}}{v^2} \bar{Q} \gamma_\mu Q \bar{L} \gamma^\mu L + \frac{C_{\ell q}^{(3)}}{v^2} \bar{Q} \gamma_\mu \tau^a Q \bar{L} \gamma^\mu \tau^a L + \frac{C_{\ell u}}{v^2} \bar{U} \gamma_\mu U \bar{L} \gamma^\mu L + \frac{C_{\ell d}}{v^2} \bar{D} \gamma_\mu D \bar{L} \gamma^\mu L. \quad (1)$$

$SU(2)_L \times U(1)_Y$  gauge invariance links up and down quarks,  $Q = (u, d)$  and left-handed neutrinos and charged leptons  $L = (\nu, \ell)$ .

$$C_L^U = K_L^D = C_{\ell q}^{(1)} + C_{\ell q}^{(3)}, \quad C_R^U = K_R^U = C_{\ell u}, \quad C_L^D = K_L^U = C_{\ell q}^{(1)} - C_{\ell q}^{(3)}, \quad C_R^D = K_R^D = C_{\ell d}.$$

contribution to  $c \rightarrow u \nu \bar{\nu}$  ( $C_L^U$ ) identical to  $s \rightarrow d \ell \bar{\ell}$  ( $K_L^D$ ) etc

L,R denotes left or right handed quark currents; only SM-like neutrinos.

Since the neutrino flavors are not tagged, the branching ratio, say for charm, is obtained by an incoherent sum

$$\mathcal{B}(c \rightarrow u \nu \bar{\nu}) = \sum_{i,j} \mathcal{B}(c \rightarrow u \nu_i \bar{\nu}_j)$$

in terms of Wilson coefficients

$$\mathcal{B}(c \rightarrow u \nu \bar{\nu}) = \sum_{i,j} \mathcal{B}(c \rightarrow u \nu_i \bar{\nu}_j) \propto \sum_{i,j} |\mathcal{C}_L^{Uij}|^2 + |\mathcal{C}_R^{Uij}|^2$$

which can be written as a trace

$$\mathcal{B}(c \rightarrow u \nu \bar{\nu}) \propto \sum_{i,j} |\mathcal{C}_L^{Uij}|^2 + |\mathcal{C}_R^{Uij}|^2 = \text{tr} \left[ \mathcal{C}_L^U \mathcal{C}_L^{U\dagger} + \mathcal{C}_R^U \mathcal{C}_R^{U\dagger} \right]$$

and apparently PMNS rotations  $W$  between gauge and mass eigenstates drop out due to unitarity.

Using SMEFT  $SU(2)_L \times U(1)_Y$  gauge invariance follows

$$\mathcal{C}_L^U = W^\dagger \mathcal{K}_L^D W + \mathcal{O}(\lambda), \quad \mathcal{C}_R^U = W^\dagger \mathcal{K}_R^U W \quad \text{and}$$

$$\begin{aligned} \mathcal{B}(c \rightarrow u \nu \bar{\nu}) &\propto \sum_{\nu=i,j} \left( |\mathcal{C}_L^{Uij}|^2 + |\mathcal{C}_R^{Uij}|^2 \right) = \text{tr} \left[ \mathcal{C}_L^U \mathcal{C}_L^{U\dagger} + \mathcal{C}_R^U \mathcal{C}_R^{U\dagger} \right] \\ &= \text{tr} \left[ \mathcal{K}_L^D \mathcal{K}_L^{D\dagger} + \mathcal{K}_R^U \mathcal{K}_R^{U\dagger} \right] + \mathcal{O}(\lambda) = \sum_{\ell=i,j} \left( |\mathcal{K}_L^{Dij}|^2 + |\mathcal{K}_R^{Uij}|^2 \right) + \mathcal{O}(\lambda), \end{aligned}$$

$\mathcal{K}_L^{Dij}$  mass eigenstates charged leptons in  $s \rightarrow d \ell^{i+} \ell^{j-}$

$\mathcal{K}_R^{Uij}$  mass eigenstates charged leptons in  $c \rightarrow u \ell^{i+} \ell^{j-}$

lhs: neutrino branching ratio, rhs: couplings to charged leptons

$$\begin{aligned} \mathcal{B}(c \rightarrow u \nu \bar{\nu}) &\propto \sum_{\nu=i,j} \left( |\mathcal{C}_L^{Uij}|^2 + |\mathcal{C}_R^{Uij}|^2 \right) = \text{tr} \left[ \mathcal{C}_L^U \mathcal{C}_L^{U\dagger} + \mathcal{C}_R^U \mathcal{C}_R^{U\dagger} \right] \\ &= \text{tr} \left[ \mathcal{K}_L^D \mathcal{K}_L^{D\dagger} + \mathcal{K}_R^U \mathcal{K}_R^{U\dagger} \right] + \mathcal{O}(\lambda) = \sum_{\ell=i,j} \left( |\mathcal{K}_L^{Dij}|^2 + |\mathcal{K}_R^{Uij}|^2 \right) + \mathcal{O}(\lambda), \end{aligned}$$

we obtain upper limits on dineutrino branching ratios from upper limits of charged dilepton modes [2007.05001](#) depending on scenarios

- i)  $\mathcal{K}_{L,R}^{ij} \propto \delta_{ij}$ , that is, *lepton-universality* (LU).
- ii)  $\mathcal{K}_{L,R}^{ij}$  are diagonal, that is, *charged lepton flavor conservation* (cLFC)
- iii)  $\mathcal{K}_{L,R}^{ij}$  arbitrary.

# universality tests with $q \rightarrow q' \nu \bar{\nu}$

		$ee$	$\mu\mu$	$\tau\tau$	$e\mu$	$e\tau$	$\mu\tau$
$s \rightarrow d$	$ \bar{\mathcal{K}}_L^{D\ell\ell'} $	3.5	1.9	6.7	1.8	5.0	5.3
$c \rightarrow u$	$ \bar{\mathcal{K}}_R^{U\ell\ell'} $	2.9	1.6	5.6	1.5	4.2	4.3

Upper limits on  $|\Delta s| = |\Delta d| = 1$  and  $|\Delta c| = |\Delta u| = 1$  leptonic couplings  $\bar{\mathcal{K}}_{L,R}$  from high- $p_T$  Fuentes-Martin et al 2020 ,Angelescu et al 2020. LFV-bounds are quoted as charge-averaged,  $\sqrt{|\bar{\mathcal{K}}^{\ell+\ell'-}|^2 + |\bar{\mathcal{K}}^{\ell-\ell'+}|^2}$ .

$$\mathcal{B}(c \rightarrow u \nu \bar{\nu}) \propto 3 R^{\mu\mu} \lesssim 18, \quad (\text{LU}) \quad (2)$$

$$\mathcal{B}(c \rightarrow u \nu \bar{\nu}) \propto R^{ee} + R^{\mu\mu} + R^{\tau\tau} \lesssim 103, \quad (\text{cLFC}) \quad (3)$$

$$\mathcal{B}(c \rightarrow u \nu \bar{\nu}) \propto R^{ee} + R^{\mu\mu} + R^{\tau\tau} + 2(R^{e\mu} + R^{e\tau} + R^{\mu\tau}) \lesssim 294. \quad (4)$$

dimuons are the most stringent ones and provide the LU-limit. Lepton flavor limit is violated if measured branching ratio is too large! Upper limits data-driven, and evolve with charged lepton data.

$h_c \rightarrow F$	$\mathcal{B}_{\text{LU}}^{\text{max}} [10^{-7}]$	$\mathcal{B}_{\text{CLFC}}^{\text{max}} [10^{-6}]$	$\mathcal{B}^{\text{max}} [10^{-6}]$
$D^0 \rightarrow \pi^0$	3.2	1.8	5.2
$D^+ \rightarrow \pi^+$	13	7.4	21
$D_s^+ \rightarrow K^+$	2.4	1.4	3.9
$D^0 \rightarrow \pi^0 \pi^0$	1.5	0.9	2.5
$D^0 \rightarrow \pi^+ \pi^-$	1.5	0.9	2.4
$D^0 \rightarrow K^+ K^-$	0.02	0.01	0.03
$\Lambda_c^+ \rightarrow p^+$	9.7	5.6	16
$\Xi_c^+ \rightarrow \Sigma^+$	19	11	31
$D^0 \rightarrow X_u$	6.3	3.6	10
$D^+ \rightarrow X_u$	16	9.2	26
$D_s^+ \rightarrow X_u$	7.7	4.4	13

Model-independent upper limits on  $\mathcal{B}_{\text{LU}}^{\text{max}}$ ,  $\mathcal{B}_{\text{CLFC}}^{\text{max}}$ ,  $\mathcal{B}^{\text{max}}$  from (2), (3) and (4), respectively. [2007.05001](#)

In reach of Belle II, BES III and high-luminosity  $Z$ -factories.

# universality tests in $c \rightarrow ul^+l^-$

branching ratio	$D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$	$D^0 \rightarrow K^+K^-\mu^+\mu^-$	$D^0 \rightarrow \pi^+\pi^-e^+e^-$	$D^0 \rightarrow K^+K^-e^+e^-$
LHCb 17	$(9.64 \pm 1.20) \times 10^{-7}$	$(1.54 \pm 0.33) \times 10^{-7}$	-	-
BESIII 18	-	-	$< 0.7 \times 10^{-5}$	$< 1.1 \times 10^{-5}$
resonant	$\sim 1 \times 10^{-6}$	$\sim 1 \times 10^{-7}$	$\sim 10^{-6}$	$\sim 10^{-7}$
non-resonant	$10^{-10} - 10^{-9}$	$\mathcal{O}(10^{-10})$	$10^{-10} - 10^{-9}$	$\mathcal{O}(10^{-10})$

$$R_{P_1 P_2}^D = \frac{\int_{q_{\min}^2}^{q_{\max}^2} d\mathcal{B}/dq^2(D \rightarrow P_1 P_2 \mu^+ \mu^-)}{\int_{q_{\min}^2}^{q_{\max}^2} d\mathcal{B}/dq^2(D \rightarrow P_1 P_2 e^+ e^-)} \quad \text{with same cuts } q_{\min}^2 \geq 4m_\mu^2$$

full $q^2$	SM			BSM		LQ		hi $q^2$		lo $q^2$	
	SM	BSM	LQ	hi $q^2$ SM	LQs	lo $q^2$ SM	BSM	SM	BSM		
$R_{\pi\pi}^D$	$1.00 \pm \mathcal{O}(\%)$	0.85 ...0.99	SM-like	$1.00 \pm \mathcal{O}(\%)$	0.7 ...4.4	$0.83 \pm \mathcal{O}(\%)$	0.60..0.87				
$R_{KK}^D$	$1.00 \pm \mathcal{O}(\%)$	SM-like	SM-like	NA	NA						

O(1)BSM effects in  $R_{\pi\pi}^D$  above  $\Phi$ ; small BSM effects in  $R_{KK}^D$  below  $\eta$ .

Naive ratios  $\bar{R}_{\pi^+\pi^-}^{D exp} \gtrsim 0.1$ ,  $\bar{R}_{K^+K^-}^{D exp} \gtrsim 0.01$  based on different cuts and about one order of magnitude away from SM, are model-dependent.

The benefits of doing the same with different leptons

## Anomalous magnetic moments of electron and muon simultaneously

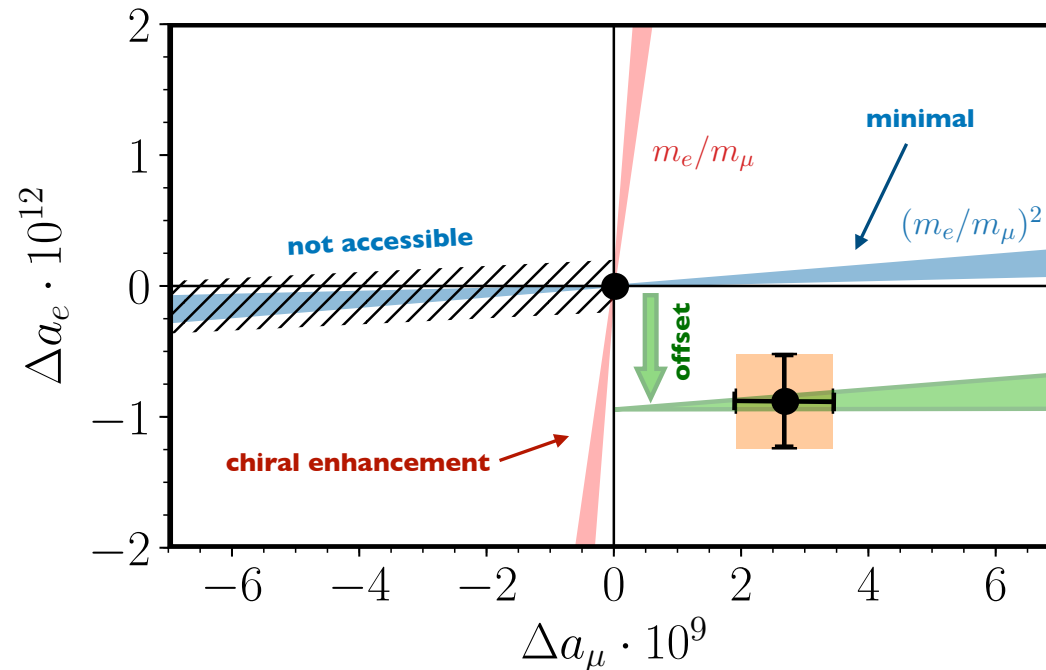
Marciano, Davoudiasl, Hoferichter, Crivellin, Gardner, ...

GH, Hormigos-Feliu, Litim, Steudtner

$$\Delta a_\mu \equiv a_\mu^{\text{exp}} - a_\mu^{\text{SM}} = 268(63)(43) \cdot 10^{-11} \quad (3.5\sigma)$$

$$\Delta a_e \equiv a_e^{\text{exp}} - a_e^{\text{SM}} = -88(28)(23) \cdot 10^{-14} \quad (2.4\sigma)$$

$\frac{\Delta a_e}{\Delta a_\mu} \neq \frac{m_e^2}{m_\mu^2}$  neither sign nor size, flavor interesting!

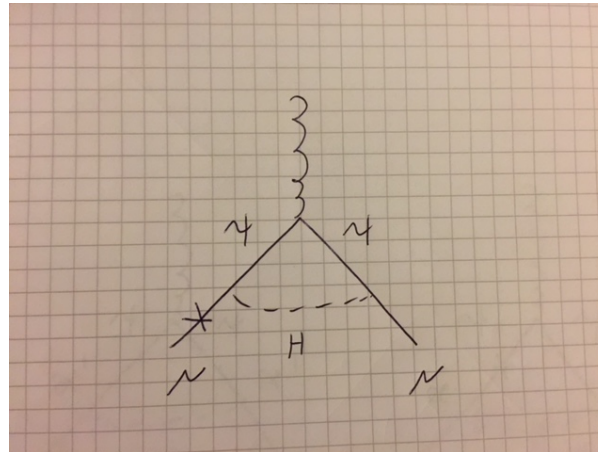


Leading contributions to  $\Delta a_{e,\mu}$  from MFV (blue band) and chiral enhancement (red band), which, in combination (green band), explain the electron and muon data (cross) simultaneously.

no lepton universality breaking or flavor violation *required*

Consider vector-like leptons with mixed Yukawas for  $\Delta a_\mu$ :  $\kappa \bar{L} H \psi$

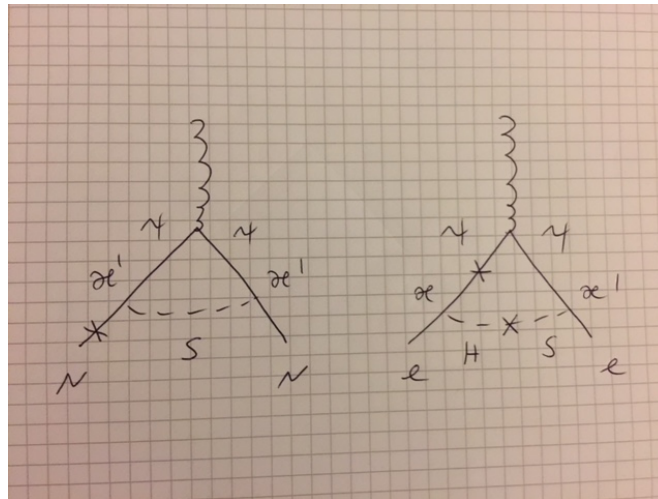
Giudice, Wise, Ligeti, ..



problems:  $\Delta a_e$  unaccounted,  $Zll$  bounds and LFV (fermions mix)

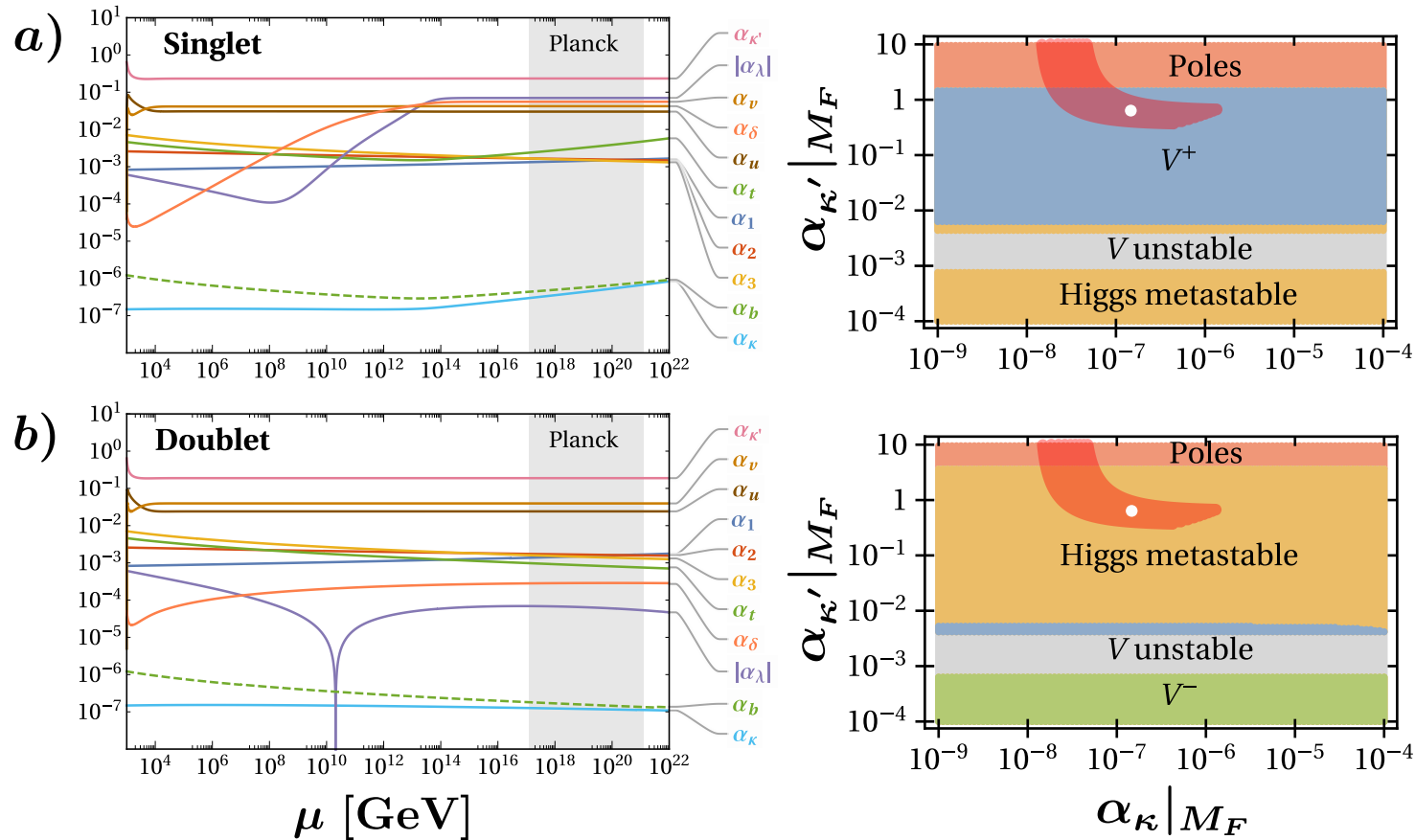
Straight-forward explanation with enlarged BSM-flavor sector (VLLs and scalar singlets with Higgs portal)  $\kappa \bar{L} H \psi + \kappa' E S \psi + \delta S^\dagger S H^\dagger H$

$$\Delta a_\mu \sim \frac{m_\mu^2}{M_\psi^2} \frac{\kappa'^2}{16\pi^2} \text{ (quadratic)}, \quad \Delta a_e \sim \frac{m_e}{M_\psi} \frac{\kappa \kappa' \delta}{16\pi^2} \text{ (linear)}$$



Flavor scalar sector instrumental – appears "for free" in asymptotically safe model building, where couplings stay safe (no poles, instabilities) up to the Planck scale and beyond [1910.14062](#) and [wip](#)

# model building with Planck safety



right plots: TeV-BSM parameters with Planck features and red banana:  $g - 2$ ,  $V^+$ : universal groundstate,  $V^-$ : vacuum singles out electrons— spontaneous breakdown of universality

- Current anomalies  $R_K, R_{K^*}, R_D, R_{D^*}$  in semileptonic  $B$ -meson decays hint at violation of lepton-universality – and therefore breakdown of standard model.  
Huge impact on model-building already (leptoquarks,  $Z'$ )
- Future data – LNU updates and others  $R_{\Phi}, R_{X_s \dots}, B \rightarrow K^* ee$  – from LHCb and from Belle II are eagerly awaited.
- LNU tests in charm decays are already happening ( $R_{\pi\pi}^D \gtrsim 0.1$ ). Tests with  $D \rightarrow \pi ll$  promising, huge BSM effects possible.
- New ideas for LNU and cLFC tests with dineutrino modes suitable for Belle II, BES III and  $Z$ -factory.
- SM deviations in AMMs of electron ( $2.4 \sigma$ ) and muon ( $3.5 \sigma$ ) suggest flavor and Higgs portal. Explanation with enlarged BSM-flavor sector for free in asymptotically safe model building.
- Flavor broader and more exciting than ever –stay tuned