# 4D effective action from non-Abelian DBI action with magnetic flux background

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Based on the collaboration w/

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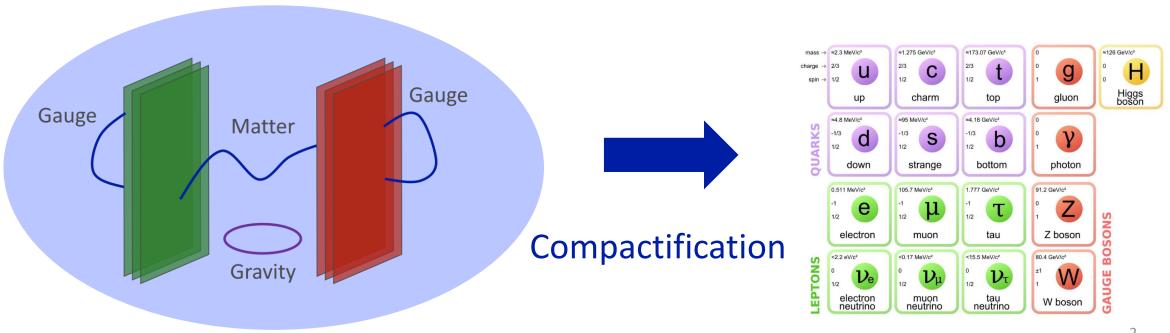
Rei Takahashi

arXiv:2107.11961 [hep-th]

# String theory as ultimate theory

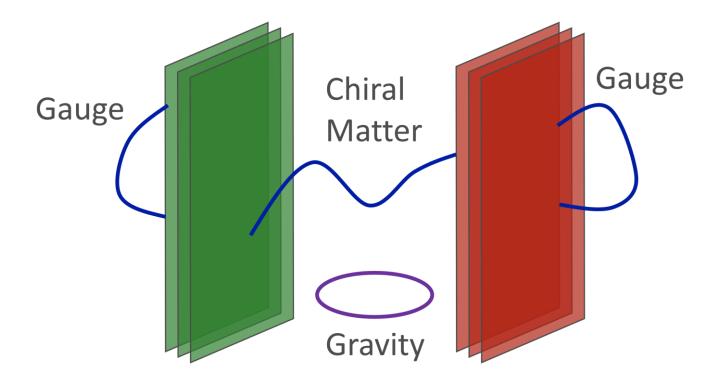
- String theory is an attractive candidate of the ultimate theory
- String theory → Standard Model w/

$$SU(3)_C \times SU(2)_L \times U(1)_Y$$
, chiral 3-generations and flavor structure + dark sectors



#### Magnetized D-branes

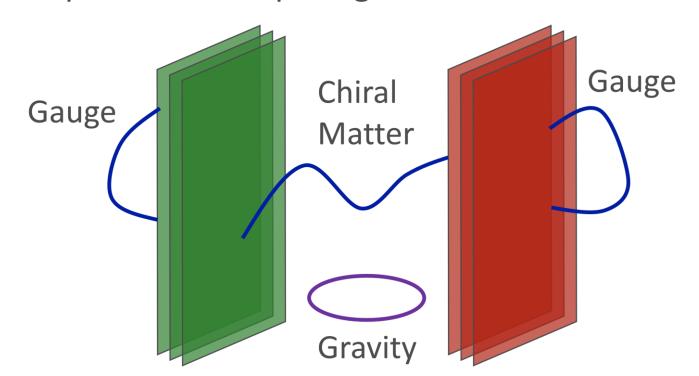
- Background magnetic flux
  - realizing chiral fermion via index theorem
  - Zero-mode degeneracy → generation number
- Leading terms of the QFT on the magnetized D-branes
  - → The Super Yang-Mills theory on the magnetized extra dimensions



#### This talk

#### What is the stringy corrections?

- $\rightarrow$  We derive 4D  $\mathcal{N}=1$  SUSY EFT from non-Abelian Dirac-Born-Infeld action
- We consistently treat not only the gauge couplings but also the matter Kähler metrices in  $\mathcal{O}(F^4)$
- Check the F-term potential comparing the SUGRA formula



#### Effective action for D-brane

• Dirac-Born-Infeld (DBI) action is an effective action of Dp-brane:

$$S_{\text{DBI}} = -T_p \int_{\mathcal{W}} d^{p+1} \xi e^{-\varphi} \sqrt{-\det_{p+1}(g + 2\pi\alpha' F)}$$

- DBI action in the non-abelian gauge group is non-trivial.
  - → Non-abelian DBI action

[Tseytlin '97,...]

$$S_{\text{NDBI}} = -T_p \int d^{p+1} \xi \, e^{-\varphi} \operatorname{str} \sqrt{-\det(g + 2\pi\alpha' F)}$$

Symmetrized trace prescription

$$\operatorname{str}(M_1 \cdots M_n) = \frac{1}{n!} \operatorname{tr}(M_1 \cdots M_n + \operatorname{permutations})$$

#### NDBI action on torus

- We will consider the space-filling D9-brane  $\times$  3 with magnetized flux
- For the simplicity, the extra six-dimensional space is factorized torus
- The background fluxes are Abelian

$$\int_{\mathbb{T}^2} \hat{F}_i = 2\pi M^{(i)} = 2\pi \begin{pmatrix} M_a^{(i)} & & \\ & M_b^{(i)} & \\ & & M_c^{(i)} \end{pmatrix}$$

gauge symmetry

$$U(3) \rightarrow U(1)_a \times U(1)_b \times U(1)_c$$

SUSY condition

$$\left(\sum_{i=1}^{3} \frac{M_{\alpha}^{(i)}}{\mathcal{A}^{(i)}} = 0, \quad \alpha = a, b, c\right)$$

#### Wave function of extra dimensions

• Dirac equations:  $(\partial_x + i\partial_y - iq\pi My)\psi_+ = 0$ 

normalizable or unnormalizable

$$(\partial_x - i\partial_y - iq\pi My)\psi_- = 0$$

 Solution of Dirac eq. w/ background flux gives the profile of the wavefunction

$$\Theta^{j,M}(z) = \left(\frac{2\tau_I|M|}{\mathcal{A}^2}\right)^{1/4} \exp\left[i\pi M \frac{\operatorname{Im} z}{\tau_I}z\right] \vartheta\left[\frac{j}{M}\right] (Mz, M\tau)$$
 Normalization

Periodicity

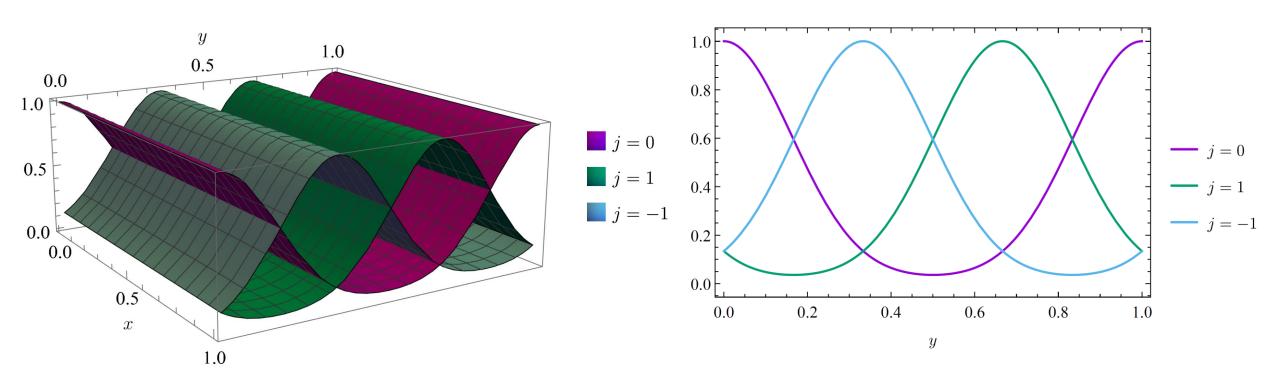
of wavefunction 
$$\int_{\mathbb{T}^2} d^2y \sqrt{g_{\mathbb{T}^2}} \overline{\Theta^{i,M}(z)} \Theta^{j,M}(z) = \delta_{i,j}$$
 Gaussian factor 
$$\Theta(z) \sim \frac{1}{\sqrt{\mathcal{A}}}$$

$$j = 0, 1, \dots, |M| - 1$$

#### Wave function of extra dimensions

• Profiles of  $\left|\Theta^{j,M}(z)\right|^2$ 

$$M=3, \quad \tau=i$$



Overlap integral of wavefunctions gives the Yukawa coupling

# Strategy

• Expand the NDBI action  $\mathcal{O}(F^4)$  and use the background field method

4D fields are parametrized by

$$a_{\mu} = \begin{pmatrix} a_{\mu}^{a}(x) & & \\ & a_{\mu}^{b}(x) & \\ & & a_{\mu}^{c}(x) \end{pmatrix} \quad a_{z_{i}} = \begin{pmatrix} & A_{i}(x)\underline{\phi_{i}^{ab}(y)}\delta_{i1} \\ & & B_{i}(x)\underline{\phi_{i}^{bc}(y)}\delta_{i2} \end{pmatrix}$$

- The flux is chosen so that these bi-fundamental scalar arise
- Closed string moduli are defined by

[Cremades-Ibanez-Marchesano '04]

$$s = e^{-\varphi} \operatorname{Vol}(\mathbb{T}^6), \quad t_i = e^{-\varphi} \operatorname{Vol}(\mathbb{T}^2_i), \quad U_i = i\tau^{(i)}$$
$$K^{(0)} = -\log(S + \overline{S}) - \sum_{i=1}^3 \log(T_i + \overline{T_i}) - \sum_{i=1}^3 \log(U_i + \overline{U_i})$$

# Strategy

• Expansion of the NDBI action

$$ds_{10}^2 = e^{2\Phi} ds_4^2 + \ell_s^2 \sum_{i=1}^3 e^{2\sigma_i} |dx_i + \tau^{(i)} dy_i|^2, \quad e^{2\Phi} = \frac{e^{\varphi}}{\prod_{r=1}^3 \text{Vol}(\mathbb{T}_r^2)}$$

$$S_{\text{NDBI}} \approx -T_9 \ell_s^6 \int d^{10} X \sqrt{-\det g_4} e^{4\Phi} \left( \prod_{r=1}^3 \int_{\mathbb{T}_r^2} d^2 y_r \sqrt{g_r} \right) e^{-\varphi} \frac{(2\pi\alpha')^2}{4} \operatorname{tr} \left[ F_{MN} F_{MN} - \frac{(2\pi\alpha')^2}{3} \left( F_{KL} F_{LM} F_{NK} F_{MN} + \frac{1}{2} F_{KL} F_{LM} F_{MN} F_{NK} - \frac{1}{4} F_{KL} F_{KL} F_{MN} F_{MN} - \frac{1}{8} F_{KL} F_{MN} F_{KL} F_{MN} \right) + \mathcal{O}(F^6) \right]$$

Background field method

$$A_M = \hat{A}_M \delta_{Mm} + a_M, \quad F_{MN} = \hat{F}_{MN} + f_{MN}$$

Field strength of the fluctuations

$$f_{\mu\nu} = \partial_{\mu} a_{\nu} - \partial_{\nu} a_{\mu}, \quad f_{\mu i} = \partial_{\mu} a_{z_{i}} + i[a_{\mu}, a_{z_{i}}],$$
  
$$f_{ij} = i[a_{i}, a_{j}], \quad f_{i\bar{j}} = i[a_{i}, a_{\bar{j}}]$$

# Gauge coupling

Gauge couplings ~ volume of the extra dimension including fluxes

$$2\pi S_{\text{NDBI}} \sim -\frac{1}{4} \int_{M_4} d^4 x \, \text{tr} \left[ e^{-\varphi} \left( \int_{\mathbb{T}^6} d^6 y \sqrt{g_6} \left[ 1 + \hat{F}_{i\bar{j}} \hat{F}^{\bar{j}i} \right] \right) f_{\mu\nu} f^{\mu\nu} \right]$$

Gauge coupling

• Gauge coupling for  $U(1)_a$ 

[Lust-Mayr-Richter Stieberger '04, Blumenhagen-Kors-Lust-Stieberger '07]

$$\left(\frac{1}{g_a^2} = s - t_1 M_a^{(2)} M_a^{(3)} - t_2 M_a^{(1)} M_a^{(3)} - t_3 M_a^{(1)} M_a^{(2)}\right)$$

10D dilaton  $\times$  total Volume

Flux^2 × Volume

#### Matter Kähler metric

Read the kinetic term of bi-fundamental scalar

$$\int d^6y \sqrt{g_6} e^{2\Phi - \varphi} \operatorname{tr} \left( f_{\mu i} f^{\mu i} + \mathsf{c} \hat{F}_{j\bar{k}} \bar{F}^{\bar{k}j} f_{\mu i} f^{\mu i} \right) \sim \frac{2u_i}{t_i \operatorname{Vol}(\mathbb{T}^6)} (1 + \mathsf{c} m^2) |\partial_{\mu} A_i|^2$$

• In addition, let us rescale  $: A_i \mapsto \alpha_{ab}^{(i)} A_i$ 

[Abe-Kobayashi-Ohki-Sumita '12]

$$\alpha_{ab}^{(i)} = \frac{1}{\sqrt{2^2 u_i}} \frac{\sqrt{\text{Vol}(\mathbb{T}^6)}}{(2^3 u_1 u_2 u_3)^{1/4}} \left(\frac{I_{ab}^{(i)}}{\prod_{r \neq i} |I_{ab}^{(r)}|}\right)^{1/4}$$

Matter Kähler

$$Z_{ab}^{i} = Z_{ab}^{i} \times \left[ 1 - \frac{t_{i}}{6s} \left( 2M_{a}^{(j)} M_{a}^{(k)} + 2M_{b}^{(j)} M_{b}^{(k)} + M_{a}^{(j)} M_{b}^{(k)} + M_{b}^{(j)} M_{a}^{(k)} \right) \right]$$

$$Z_{ab}^{i} = \frac{1}{2t_{i}} \left( \prod_{k=1}^{3} \frac{1}{2u_{k}} \right) \sqrt{\frac{|I_{ab}^{(i)}|}{\prod_{j \neq i} |I_{ab}^{(j)}|}}$$

# Gauge couplings and matter Kähler metrices

Results

$$\frac{1}{g_a^2} = s - t_1 M_a^{(2)} M_a^{(3)} - t_2 M_a^{(1)} M_a^{(3)} - t_3 M_a^{(1)} M_a^{(2)}$$

$$\mathcal{Z}_{ab}^{i} = Z_{ab}^{i} \times \left[ 1 - \frac{t_{i}}{6s} \left( 2M_{a}^{(j)} M_{a}^{(k)} + 2M_{b}^{(j)} M_{b}^{(k)} + M_{a}^{(j)} M_{b}^{(k)} + M_{b}^{(j)} M_{a}^{(k)} \right) \right]$$

- Conditions for a good description of an EFT
  - → Existence of the upper bound on the flux
  - ~ If the flux is too large, the current expansion is not valid

$$s > t_i |M^{(j)}M^{(k)}|$$

#### F-term scalar potential

- Is the matter Kähler metric is consistent with SUGRA?
  - → We will check this via F-term scalar potential derived from NDBI

Flux contributions in the F-term scalar potential

$$V_{F} \ni 2\frac{e^{3\varphi}}{(\text{Vol}(\mathbb{T}^{6}))^{2}} \frac{1}{g_{1\bar{1}}g_{2\bar{2}}} \left[ 1 + \frac{1}{6} \left( 2\frac{M_{a}^{(1)}}{\mathcal{A}^{(1)}} \frac{M_{a}^{(2)}}{\mathcal{A}^{(2)}} + 2\frac{M_{a}^{(1)}}{\mathcal{A}^{(1)}} \frac{M_{c}^{(2)}}{\mathcal{A}^{(2)}} + \frac{M_{a}^{(1)}}{\mathcal{A}^{(1)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}} + \frac{M_{c}^{(1)}}{\mathcal{A}^{(1)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}} + \frac{M_{c}^{(1)}}{\mathcal{A}^{(2)}} + \frac{M_{c}^{(2)}}{\mathcal{A}^{(1)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}} + \frac{M_{c}^{(2)}}{\mathcal{A}^{(1)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}} + \frac{M_{c}^{(2)}}{\mathcal{A}^{(1)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}} + \frac{M_{c}^{(2)}}{\mathcal{A}^{(1)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}} + \frac{M_{c}^{(2)}}{\mathcal{A}^{(2)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}} + \frac{M_{c}^{(2)}}{\mathcal{A}^{(2)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}} + \frac{M_{c}^{(2)}}{\mathcal{A}^{(2)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}} + \frac{M_{c}^{(2)}}{\mathcal{A}^{(2)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}} \frac{M^{(2)}}{\mathcal{A}^{(2)}}$$

$$=A_1^{\mathbb{A}}B_2^{\mathbb{B}}\overline{A_1^{\mathbb{A}'}B_2^{\mathbb{B}'}}\times \boxed{2Z_{ca}^3}\times \frac{e^{3\varphi}}{(\operatorname{Vol}(\mathbb{T}^6))^2}\frac{1}{g_{1\bar{1}}g_{2\bar{2}}}\big(\alpha_{ab}^{(1)}\big)^2\big(\alpha_{bc}^{(2)}\big)^2\bigg(\int d^6y\sqrt{g_6}\phi_1^{\mathbb{A},ab}\phi_2^{\mathbb{B},bc}\overline{\phi_1^{\mathbb{A}',ab}\phi_2^{\mathbb{B}',bc}}\bigg)$$

The flux dependence in the F-term potential

→ controlled by the matter Kähler metric

#### F-term scalar potential

Let us introduce the holomorphic Yukawa coupling by

[Cremades-Ibanez-Marchesano '04, Abe-Kobayashi-Ohki-Sumita '12]

$$W_{\mathbb{ABC}} = \sqrt{2}e^{-K^{(0)}/2}e^{3\Phi - \varphi} \frac{\alpha_{ab}^{(1)}\alpha_{bc}^{(2)}\alpha_{ca}^{(3)}}{\sqrt{g_{1\bar{1}}g_{2\bar{2}}g_{3\bar{3}}}} \int_{\mathbb{T}^6} d^6y \sqrt{g_6}\phi_1^{\mathbb{A},ab}\phi_2^{\mathbb{B},bc}\phi_3^{\mathbb{C},ca}$$

this can be rewritten as the product of Jacobi theta function

Using this coupling, the F-term potential becomes

$$V_F \ni \frac{e^{K_{(0)}}}{\mathcal{Z}_{ca}^3} \times A_1^{\mathbb{A}} B_2^{\mathbb{B}} \overline{A_1^{\mathbb{A}'} B_2^{\mathbb{B}'}} \times \sum_{\mathbb{C}} W_{\mathbb{A}\mathbb{B}\mathbb{C}} \overline{W_{\mathbb{A}'\mathbb{B}'\mathbb{C}}}$$

This is consistent with the SUGRA w/ following superpotetial

# Yukawa coupling

• Quartic couplings in the bosonic sector + holomorphy
 → Yukawa couplings can be read

Canonical normalization gives the physical Yukawa couplings

$$A_i \mapsto A_i / \sqrt{\mathcal{Z}_{ab}^i}$$

$$\hat{Y}_{\mathbb{ABC}} = \frac{W_{\mathbb{ABC}}}{\sqrt{\mathcal{Z}_{ab}^1 \mathcal{Z}_{bc}^2 \mathcal{Z}_{ca}^3}}$$

• Flux dependence on the Kähler metric may give the difference of the quark/lepton Yukawa couplings

#### Summary

 We use the non-abelian DBI action as the effective action of the D-brane and derive the higher-order flux contributions to the EFT

 The gauge coupling constants, the matter Kähler metrices, and the F-term scalar potential are directly read from the expansion of NDBI action

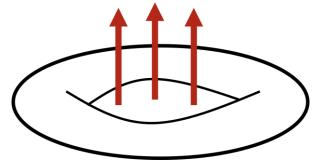
- Comparing with SUGRA formulae and it is confirmed that NDBI results are consistent
- → horomorphy is important in the F-term and flux corrections are controlled by matter Kähler metrices

# Back up

# Magnetized torus

[Cremades-Ibanez-Marchesano '04,...]

- $\mathcal{N}=1$  SYM on the magnetized torus
- Background flux is quantized



$$\int_{\mathbb{T}^2} \hat{F}_2 = 2\pi M$$

- Dimensional reduction:
- Diffierisional reduction

$$(\partial_x + i\partial_y - iq\pi My)\psi_+ = 0$$

$$(\partial_x - i\partial_y - iq\pi My)\psi_- = 0$$

$$\lambda(x^{\mu}, y^{m}) = \sum_{n} \chi_{n}(x^{\mu}) \otimes \psi_{n}(y_{m}), \quad \psi = \begin{pmatrix} \psi_{+} \\ \psi_{-} \end{pmatrix}$$

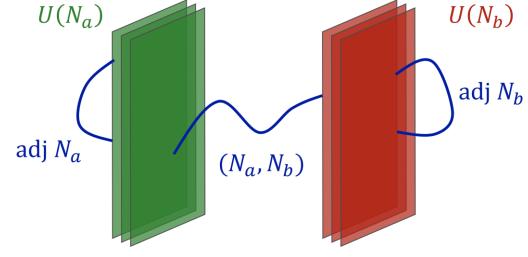
We can get the chiral fermion as the normalizable solution of Dirac eq. due to the background flux

#### Magnetized Extra Dimensions

Background flux breaks the gauge group

$$U(N_a)$$





 $U(N = N_a + N_b) \rightarrow U(N_a) \times U(N_b)$ 

Representation of the field contents

$$\lambda = \begin{pmatrix} \lambda^{aa} & \lambda^{ab} \\ \lambda^{ba} & \lambda^{bb} \end{pmatrix}, \quad A_M = \begin{pmatrix} A_M^{aa} & A_M^{ab} \\ A_M^{ba} & A_M^{bb} \end{pmatrix}$$

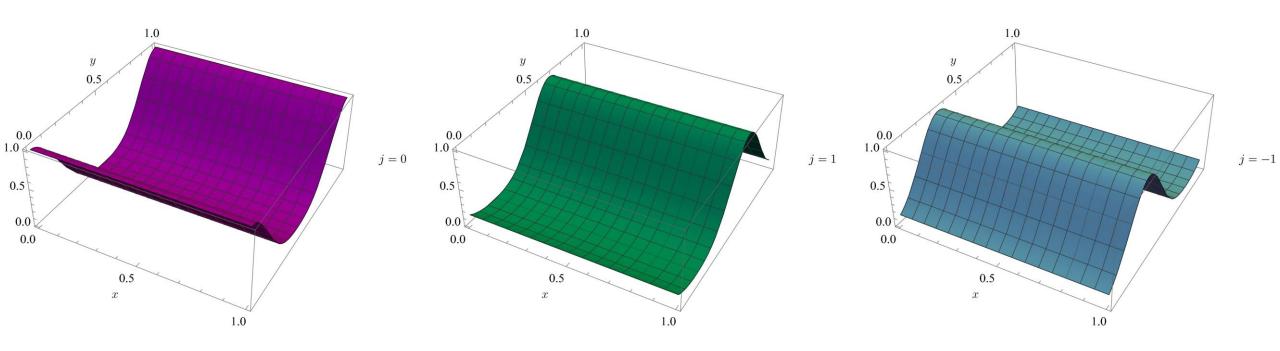
- (a, a) and (b, b) are adj. fields of unbroken gauge
- (a, b) and (b, a) are bi-fundamental matters

$$\operatorname{adj} N_a$$
,  $\operatorname{adj} N_b$ 

#### Wave function of extra dimensions

• 
$$\left|\Theta^{j,M}(z)\right|^2$$

$$M=3, \quad \tau=i$$



# Closed string zero modes

Dimensional reduction

$$S_{\text{gravity}} = \frac{1}{(2\pi)^7 \alpha'^4} \int d^{10} \sqrt{-g_{10}} e^{-2\varphi} \left[ \mathcal{R}_{(10)} + 4(\partial_M \varphi)^2 \right]$$
$$= M_P^2 \int d^4 x \sqrt{-g_4} \left[ \frac{1}{2} \mathcal{R}_{(4)} - \frac{(\partial s)^2}{4s^2} - \sum_k \left( \frac{(\partial t_k)^2}{4t_k^2} + \frac{|\partial U_k|^2}{(U_k + \overline{U_k})^2} \right) \right]$$

Closed string moduli

$$s = e^{-\varphi} \operatorname{Vol}(\mathbb{T}^6), \quad t_k = e^{-\varphi} \mathcal{A}^{(k)}, \quad U_k = i \overline{\tau^{(k)}} = u_k + i \operatorname{Im}(U_k)$$

$$Vol(\mathbb{T}^6) = \prod_{i=1}^3 \mathcal{A}^{(i)}$$

 $\tau^{(k)}$ : complex structure of k-the torus

#### Wavefunctions of NDBI expansion

The wavefunctions of the bi-fundamental scalars

$$\phi_{1}^{\mathbb{A},ab} = \phi_{1}^{\mathbb{A},I_{ab}} = \Theta^{A^{(1)},I_{ab}^{(1)}}(z_{1}) \otimes \overline{\Theta^{A^{(2)},|I_{ab}^{(2)}|}(z_{2})} \otimes \overline{\Theta^{A^{(3)},|I_{ab}^{(3)}|}(z_{3})$$

$$\phi_{2}^{\mathbb{B},bc} = \phi_{2}^{\mathbb{B},I_{bc}} = \overline{\Theta^{B^{(1)},|I_{bc}^{(1)}|}(z_{1})} \otimes \overline{\Theta^{B^{(2)},I_{bc}^{(2)}}(z_{2})} \otimes \overline{\Theta^{B^{(3)},|I_{bc}^{(3)}|}(z_{3})$$

$$\phi_{3}^{\mathbb{C},ca} = \phi_{3}^{\mathbb{C},I_{ca}} = \overline{\Theta^{C^{(1)},|I_{ca}^{(1)}|}(z_{1})} \otimes \overline{\Theta^{C^{(2)},|I_{ca}^{(2)}|}(z_{2})} \otimes \overline{\Theta^{C^{(3)},I_{ca}^{(3)}}(z_{3})}$$

Choice of the intersection number

$$I_{ab}^{(1)} > 0, \quad I_{ab}^{(2),(3)} < 0$$
 $I_{bc}^{(2)} > 0, \quad I_{bc}^{(1),(3)} < 0$ 
 $I_{ca}^{(3)} > 0, \quad I_{ca}^{(1),(2)} < 0$ 
 $I_{ca}^{(3)} > 0, \quad I_{ca}^{(1),(2)} < 0$ 
 $I_{ca}^{(1)} > 0, \quad I_{ca}^{(1),(2)} < 0$ 
 $I_{ca}^{(1)} > 0, \quad I_{ca}^{(1),(2)} < 0$ 

# Holomorphic Yukawa coupling = Jacobi theta function

$$W_{\mathbb{ABC}} = \sqrt{2}e^{-K_0/2}\alpha_{ab}^{(1)}\alpha_{bc}^{(2)}\alpha_{ca}^{(3)} \frac{e^{3\Phi-\varphi}}{\sqrt{g_{1\bar{1}}g_{2\bar{2}}g_{3\bar{3}}}} \int_{\mathbb{T}^6} d^6y\sqrt{g_6}\phi_1^{\mathbb{A},ab}\phi_2^{\mathbb{B},bc}\phi^{\mathbb{C},ca}$$

$$=2\prod_{r=1}^{3}W_{A^{(r)}B^{(r)}C^{(4)}}$$

$$W_{A^{(1)}B^{(1)}C^{(1)}} = \vartheta \left[ \frac{B^{(1)}|I_{ca}^{(1)}| - C^{(1)}|I_{bc}^{(1)}| + m^{(1)}I_{bc}^{(1)}I_{ca}^{(1)}}{|I_{ab}^{(1)}I_{bc}^{(1)}|} \right] (0, i\overline{U_1}|I_{ab}^{(1)}I_{bc}^{(1)}I_{ca}^{(1)}|)$$

$$W_{A^{(2)}B^{(2)}C^{(2)}} = \vartheta \left[ \frac{C^{(2)}|I_{ab}^{(2)}| - A^{(2)}|I_{ca}^{(2)}| + m^{(2)}I_{ab}^{(2)}I_{ca}^{(2)}}{|I_{ab}^{(2)}I_{bc}^{(2)}|} \right] (0, i\overline{U_2}|I_{ab}^{(2)}I_{bc}^{(2)}I_{ca}^{(2)}|)$$

$$W_{A^{(3)}B^{(3)}C^{(3)}} = \overline{\vartheta \left[ \frac{A^{(3)}|I_{bc}^{(3)}| - B^{(3)}|I_{ab}^{(3)}| + m^{(3)}I_{ab}^{(3)}I_{bc}^{(3)}}{|I_{ab}^{(3)}I_{bc}^{(3)}|} \right] \left( 0, i\overline{U_3}|I_{ab}^{(3)}I_{bc}^{(3)}|I_{ca}^{(3)}| \right)}$$

$$A^{(1)} = B^{(1)} + C^{(1)} + m^{(1)} |I_{bc}^{(1)}|, \ m^{(1)} = 0, 1 \dots, I_{ab}^{(1)} - 1$$

$$B^{(2)} = A^{(2)} + C^{(2)} + m^{(2)} |I_{ca}^{(2)}|, \ m^{(2)} = 0, 1 \dots, I_{ca}^{(1)} - 1$$

$$C^{(3)} = A^{(3)} + B^{(3)} + m^{(3)} |I_{ab}^{(3)}|, \ m^{(3)} = 0, 1 \dots, I_{ca}^{(3)} - 1$$