

# CP violation in the neutrino sector: Recent long-baseline experiments results

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HEP seminar  
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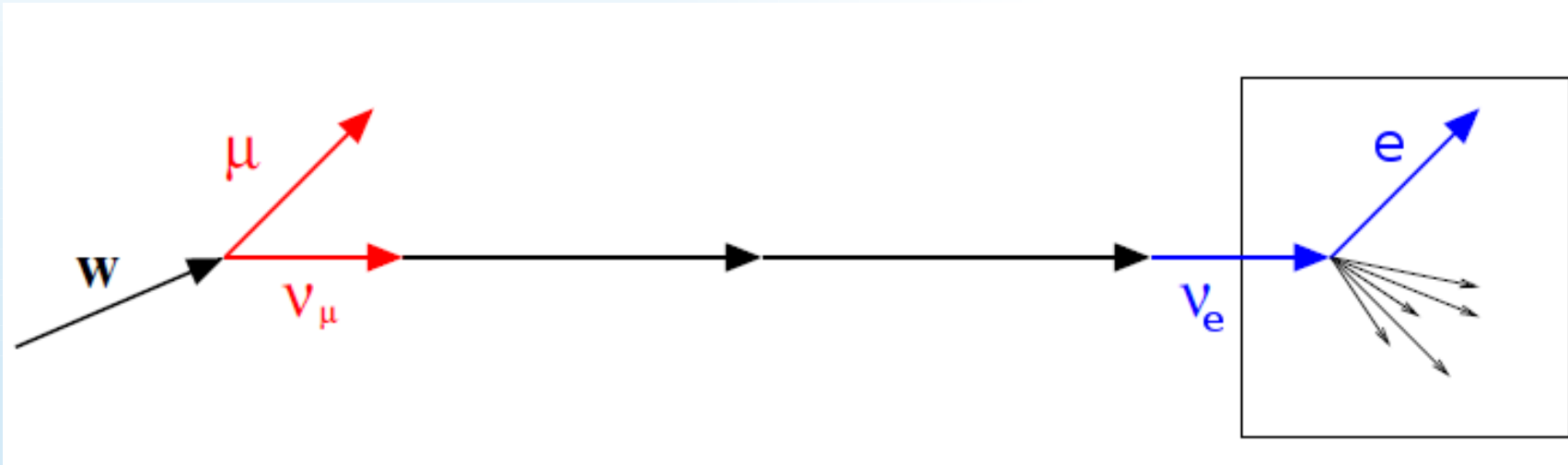
# Outline

- Introduction: CP violation, neutrino oscillations
- Current leading long-baseline experiments:  
T2K and NOvA
- Future experiments: DUNE and Hyper-Kamiokande
- Summary

# CP violation

- CP symmetry – combination of charge (C) and parity (P) symmetry.
- Violation of CP implies that there is a difference between particles and antiparticles.
- CP violation is one of Sakharov's conditions for an explanation of the observed imbalance of matter and antimatter abundance in the in the Universe.
  - Discovered in quark sector.
- In neutrino sector it may be manifested in different oscillation probabilities (for neutrinos and antineutrinos).

# Neutrino oscillations: basic idea



- The flavour states  $\nu_\alpha$ , are superposition of mass eigenstates  $\nu_i$ :

$$|\nu_\alpha\rangle = \sum_i U_{\alpha i} |\nu_i\rangle$$

- $\nu_i$  are eigenstates of Hamiltonian and propagate for a time  $t$  as:

$$|\nu_i(t)\rangle = e^{-iE_i t} |\nu_i(0)\rangle$$

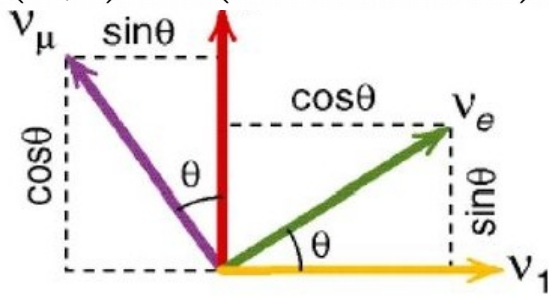
where  $E_i = \sqrt{p^2 + m_i^2}$

(for vacuum)

# Neutrino oscillations: two flavors approximation

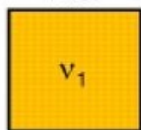
- For two flavor approximation the probability of flavor conservation may be expressed as:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

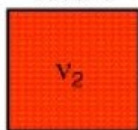


Mass states

First

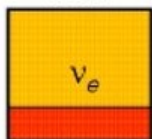


Second

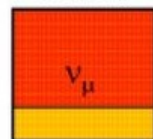


Weak states

First



Second



$$P(\nu_\mu \rightarrow \nu_\mu) = |\langle \nu_\mu(t) | \nu_\mu(0) \rangle|^2$$

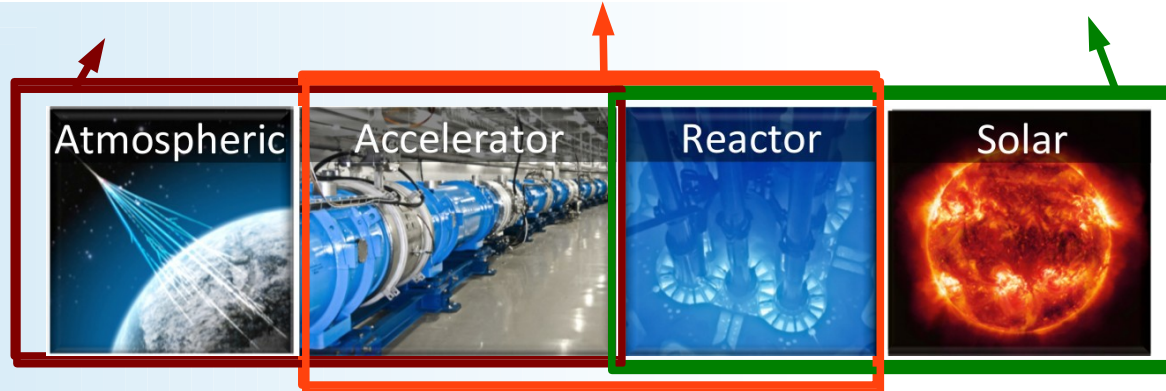
$$= 1 - \sin^2 2\theta \sin^2 \left( \frac{E_2 - E_1}{2} t \right)$$

$$\approx 1 - \sin^2 2\theta \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right)$$

# Neutrino oscillations: flavor-mass mixing

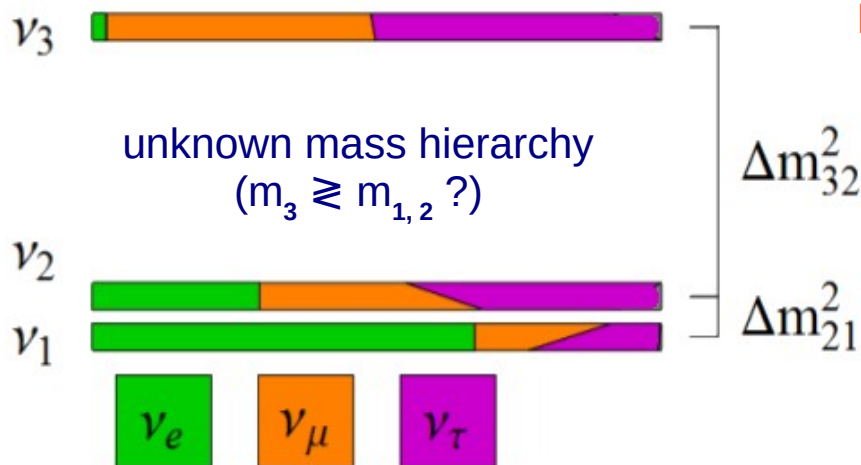
$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix}$$

Super-K, K2K,  
MINOS, OPERA  
NOvA, T2K



Super-K, SNO,  
KamLAND

DChooz, RENO, Daya  
Bay, NOvA, T2K



- $c_{ij}, s_{ij} - \cos\theta_{ij}, \sin\theta_{ij}$
- $\theta_{ij}$  – mixing angles,  
 $\delta_{CP}$  – CP violation (CPV) phase
- Long-baseline experiments are sensitive to  $\Delta m_{32}^2, \theta_{23}, \theta_{13}$  and  $\delta_{CP}$ .

# Three flavor $\nu_\mu \rightarrow \nu_e$ appearance probability

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) \approx & 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} \left( 1 + \frac{2a}{\Delta m_{31}^2} (1 - 2s_{13}^2) \right) && \text{Leading including matter effect} \\
 & + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta_{\text{CP}} - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} && \text{CP conserving} \\
 & - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta_{\text{CP}} \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} && \text{CP violating} \\
 & + 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta_{\text{CP}}) \sin^2 \Delta_{21} && \text{Solar} \\
 & - 8c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2) \frac{aL}{4E} \cos \Delta_{32} \sin \Delta_{31} && \text{Matter effect}
 \end{aligned}$$

$$c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$$

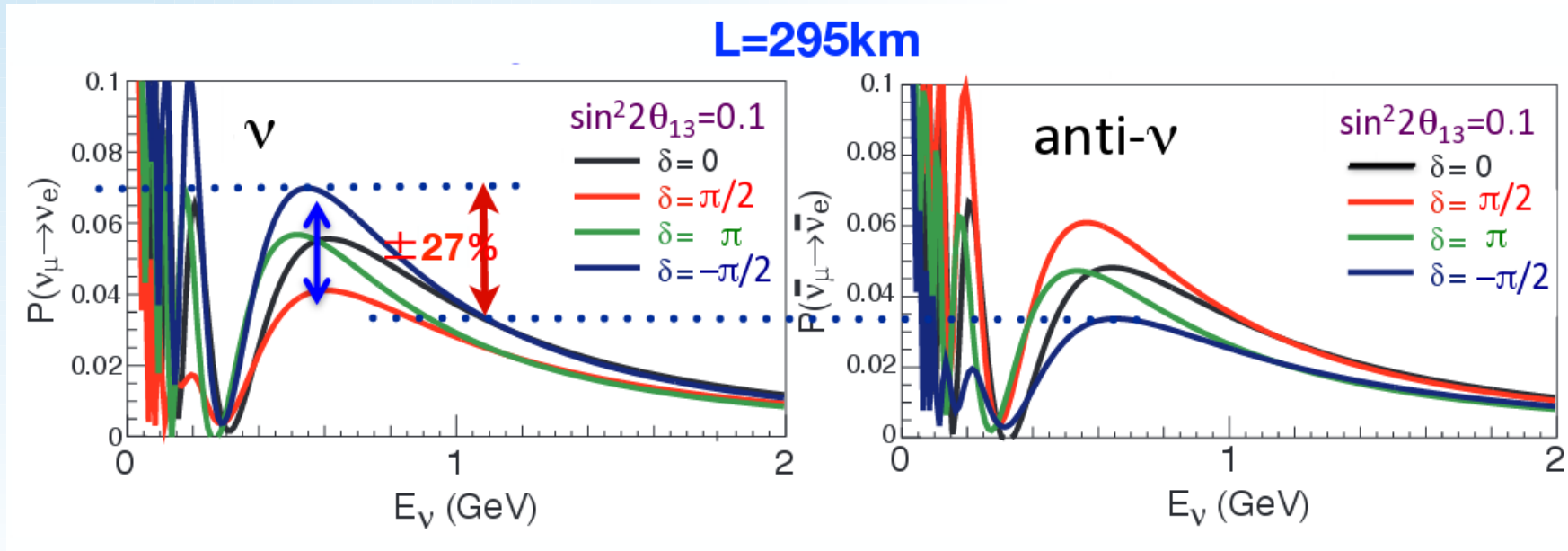
$$\Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_\nu}$$

$$a \equiv 2\sqrt{2}G_F n_e E = 7.56 \times 10^{-5} \text{ eV}^2 \frac{\rho}{\text{gcm}^{-3}} \frac{E}{\text{GeV}}$$

replace  $\delta_{\text{CP}}$  by  $-\delta_{\text{CP}}$  and  $a$  by  $-a$  for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

# The impact of CP violation

- If  $\delta_{CP} = 0$  or  $\pi$  then the CP symmetry is conserved.  
 $P(\nu_\mu \rightarrow \nu_e) = P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$  (in vacuum)
- If  $\delta_{CP} = -\pi/2$  then  $P(\nu_\mu \rightarrow \nu_e) > P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$
- If  $\delta_{CP} = +\pi/2$  then  $P(\nu_\mu \rightarrow \nu_e) < P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$



Matter effects included



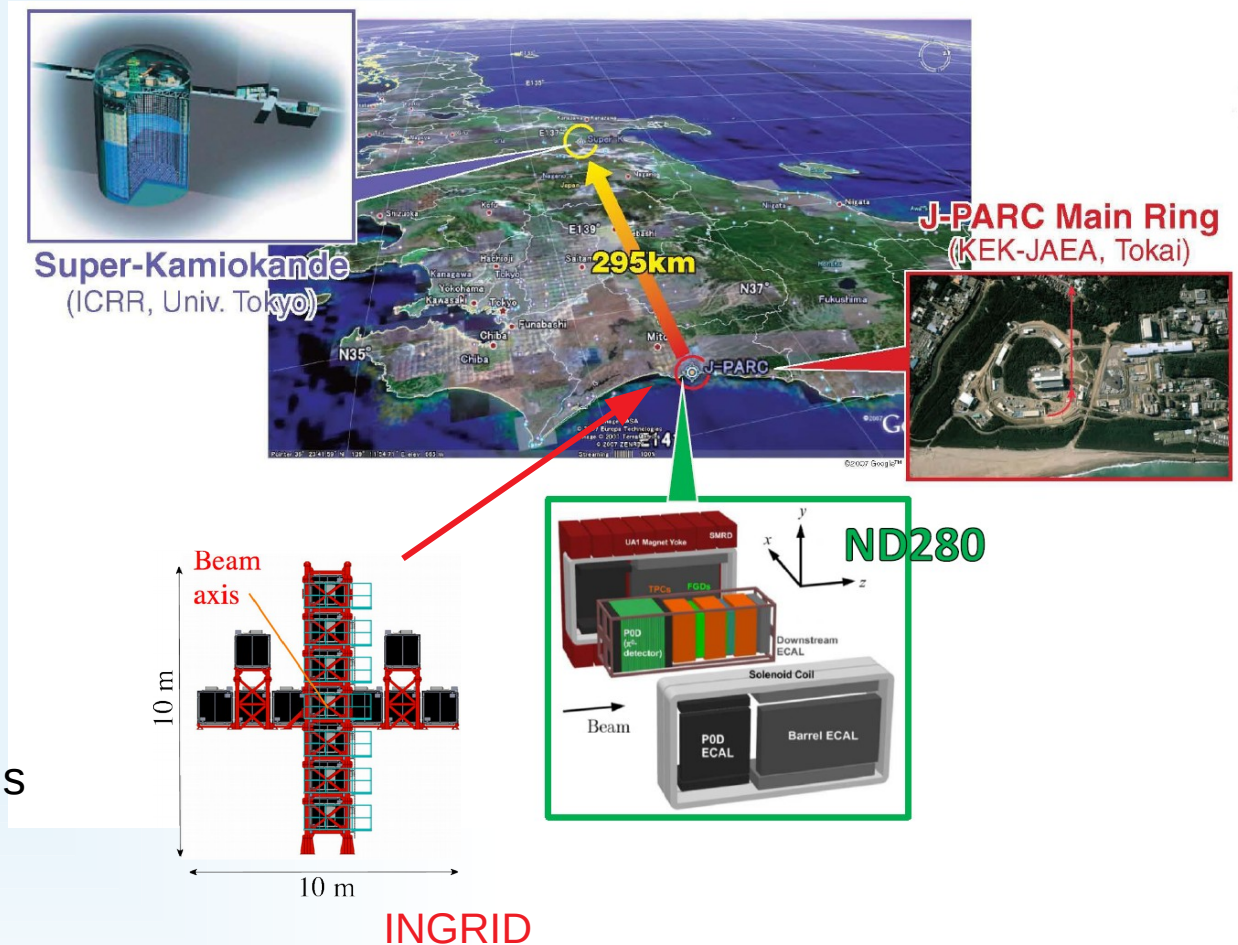
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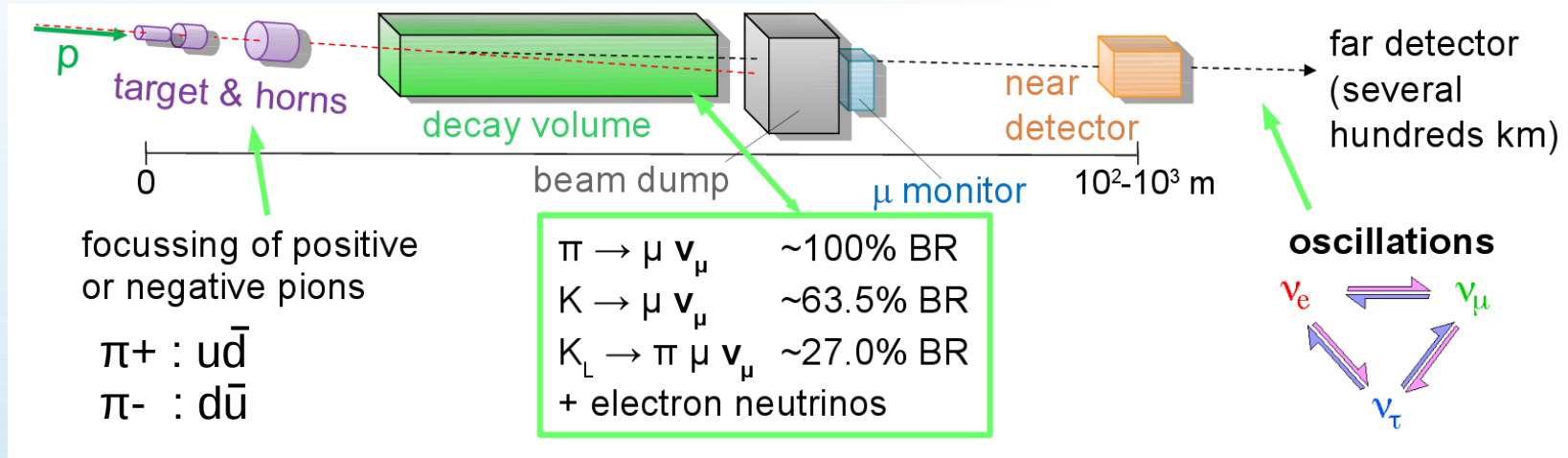
# T2K experiment



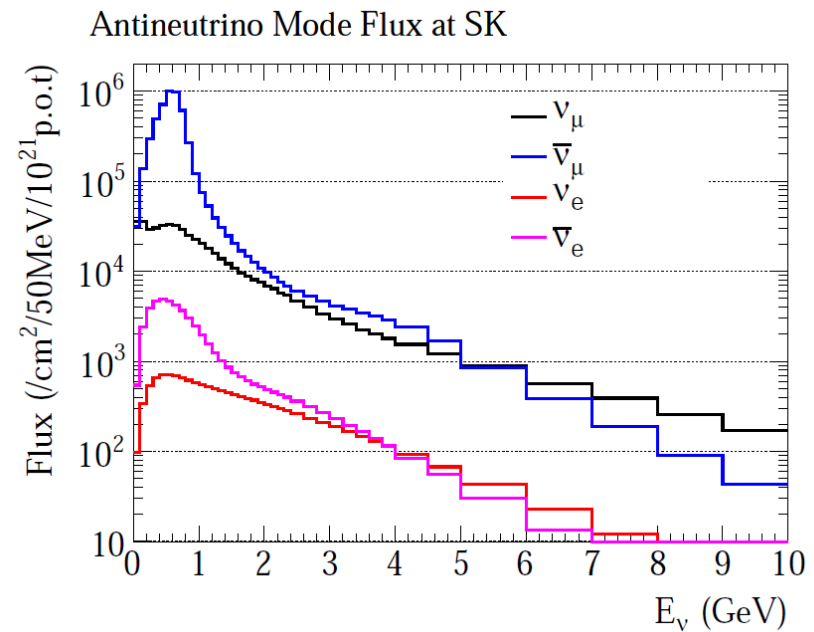
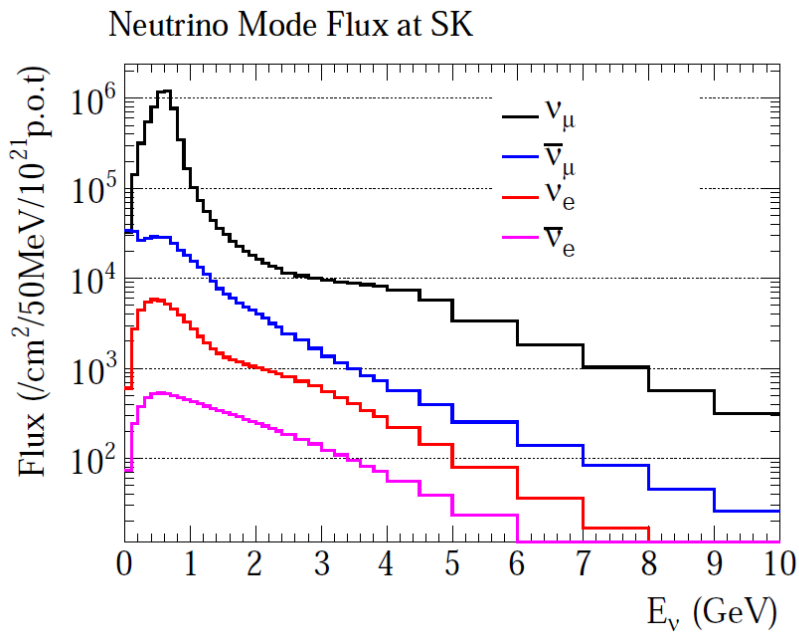
- T2K is a long-baseline neutrino experiment. Two near detectors (INGRID, ND280) are used to study beam ~280 m from the source in J-PARC.
- Super-Kamiokande is used as the far detector.
- Started taking data in 2010,  $\nu_e$  appearance discovered in 2013.
- Active contribution of Warsaw Neutrino Group (NCBJ, UW & PW):
  - Cross-section measurements
  - ND280 upgrade
  - Data taking and detector expert shifts
  - ND280 input to oscillation analysis
  - Reflectivity measurements at the PMTs in Super-K
  - Studies on oscillation analysis – expanding Super-K FV



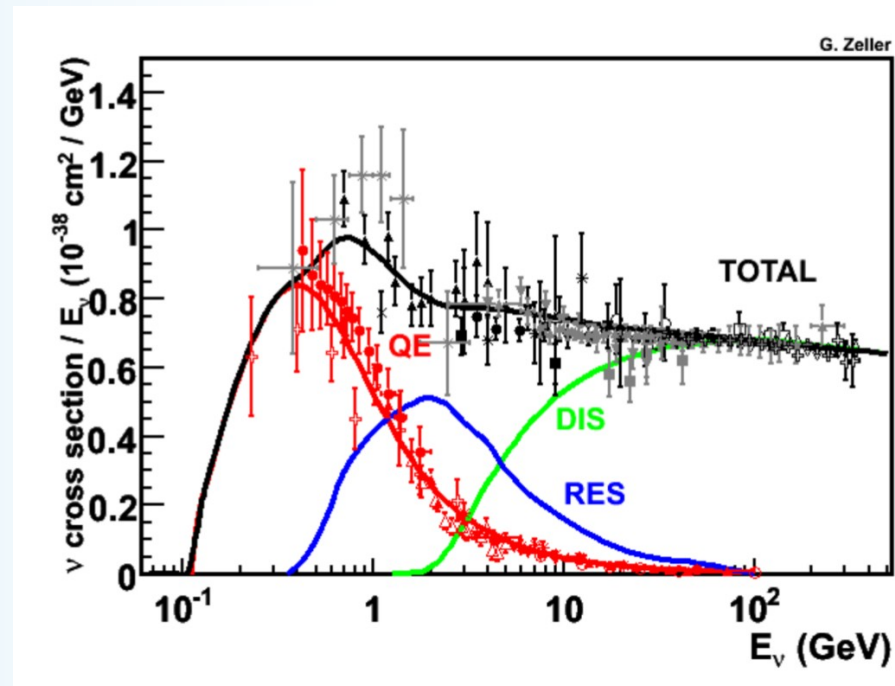
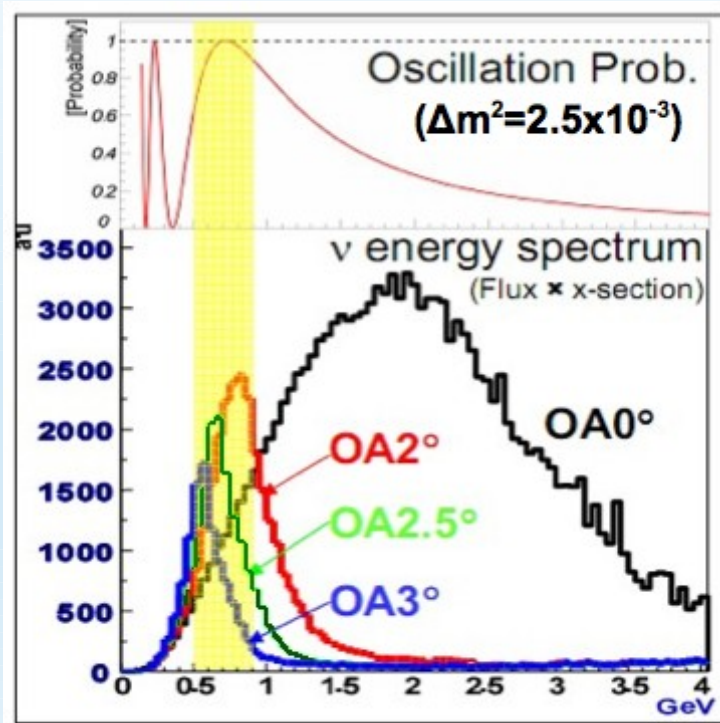
# T2K beam



Beam may be used in FHC (neutrino) mode or RHC (anti-neutrino) mode.

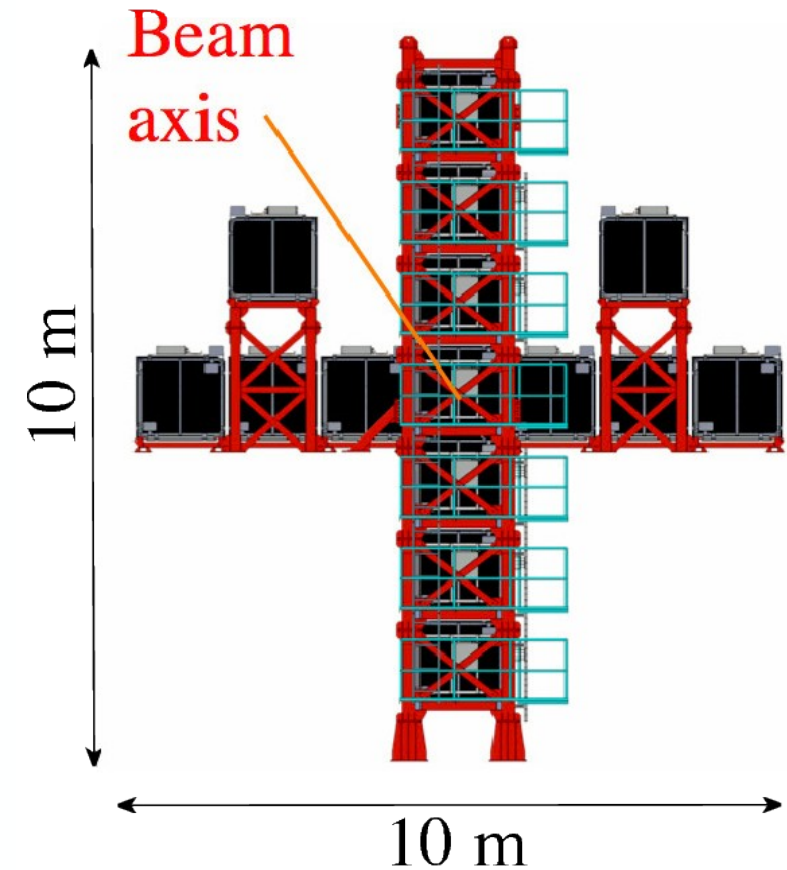
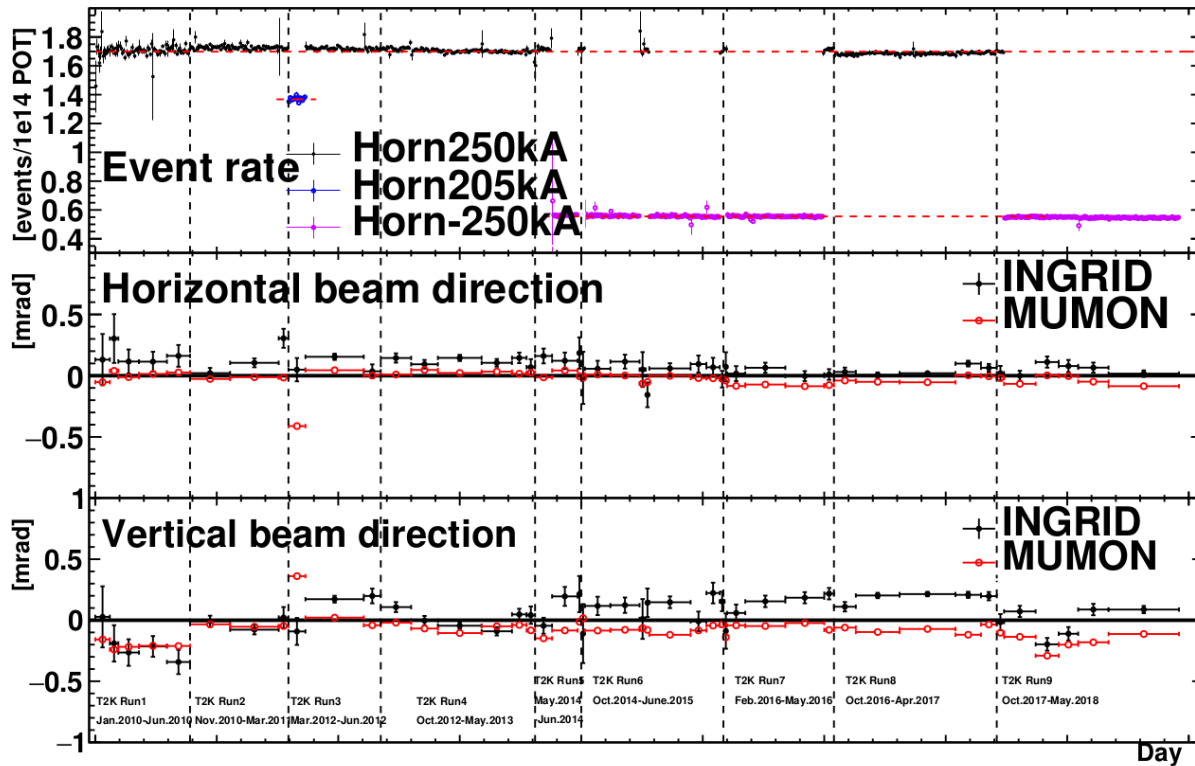


# Neutrino charged current interactions at T2K



- Off-axis strategy enhances oscillation effect and CCQE interactions.
- Around T2K beam peak ( $\sim 600$  MeV), mostly CCQE and resonant reactions occur.
- $\delta OA \sim 1 \text{ mrad}$  ( $0.057^\circ$ )  $\rightarrow \delta E/E \sim 2\%$  at far detector

# On-axis near detector: INGRID

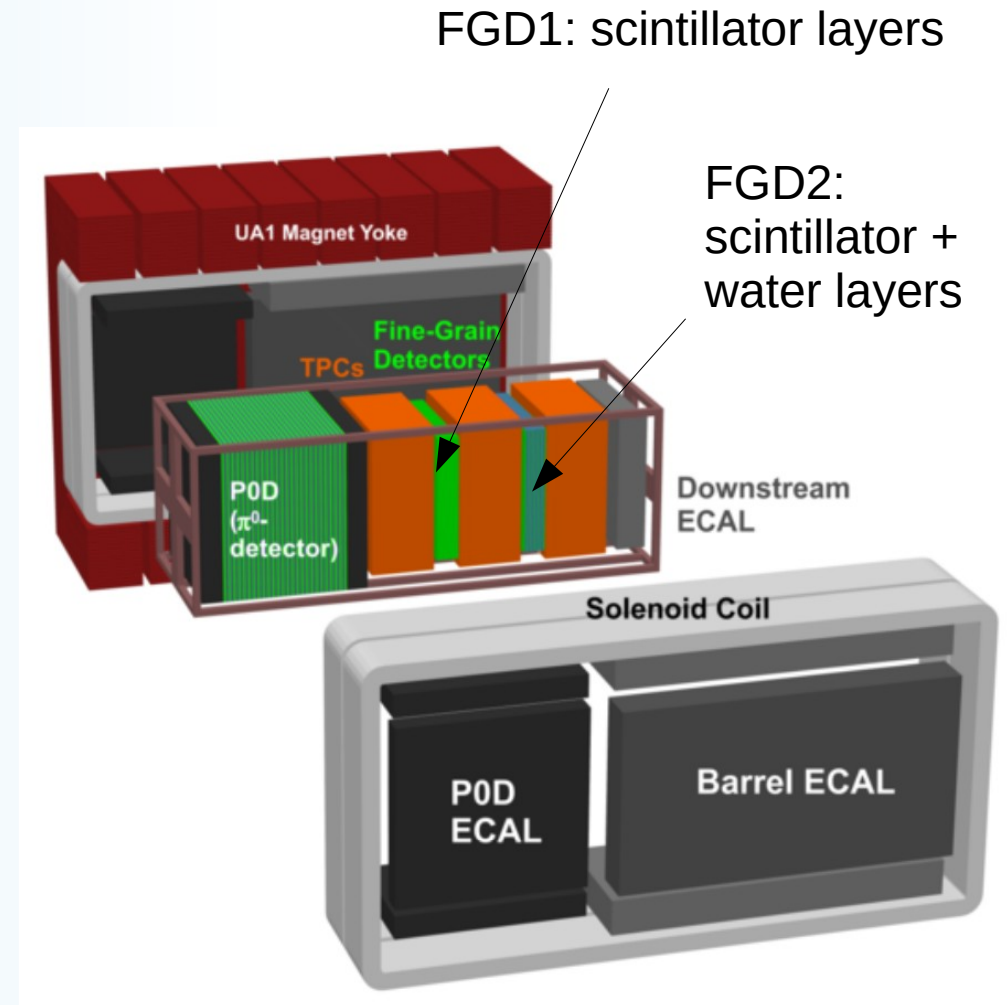


- Cross-shaped detector composed of 16 Fe/scintillator and 1 scintillator modules.
- Monitors beam's direction, profile and intensity.



# Off-axis near detector: ND280

- ND280 is a multipurpose detector used to constrain the off-axis flux and neutrino interaction models used in the oscillation analysis.
- CC interactions are measured in the tracker, made of two FGDs (fine grained detectors – scintillators) and three gaseous TPCs.
- FGDs serve as targets and provide good vertex and track resolution.
- Magnetic field allows for charge and momentum measurement.
- Energy loss in the TPCs allows for particle identification.

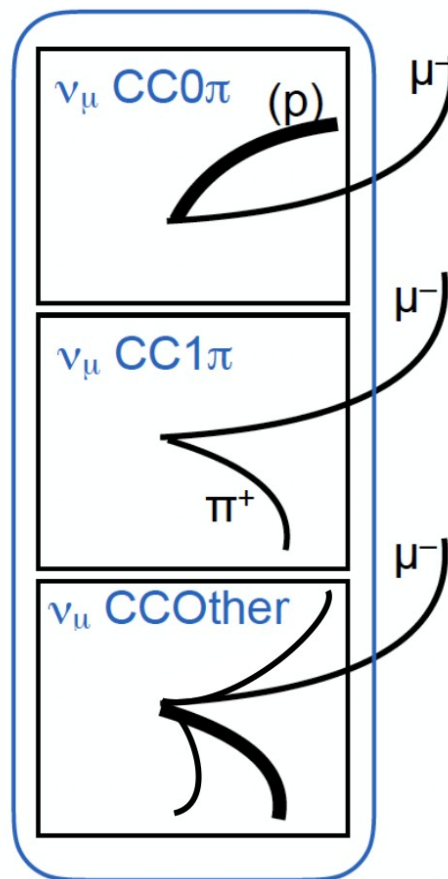


# ND280 data fitting

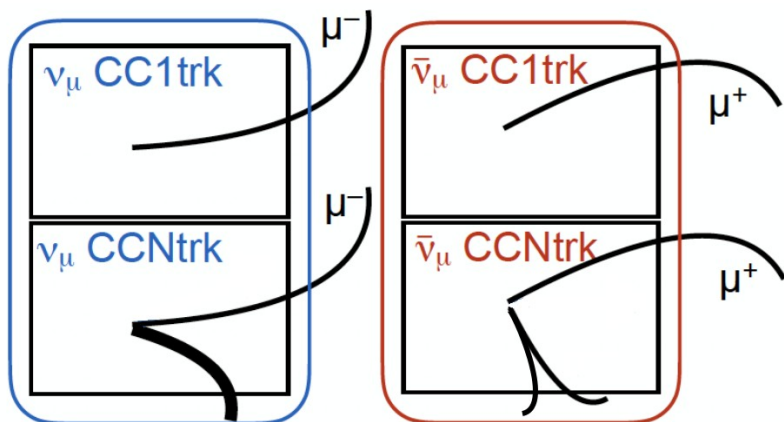
- Several samples of events:

- For neutrino and antineutrino modes
- Different reaction types (pion/trk multiplicity)
- C and O target nuclei

## Neutrino mode

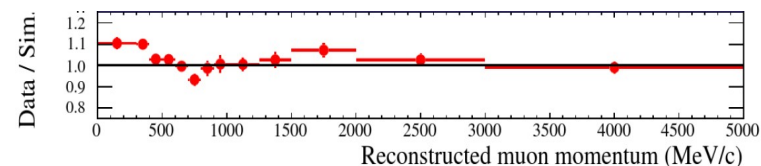


## Antineutrino mode

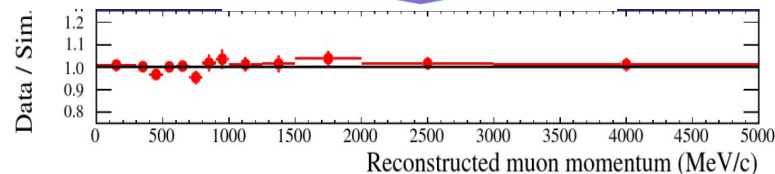


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Data/MC ratio distribution in ND280 prior and after the data fit.



Data fit



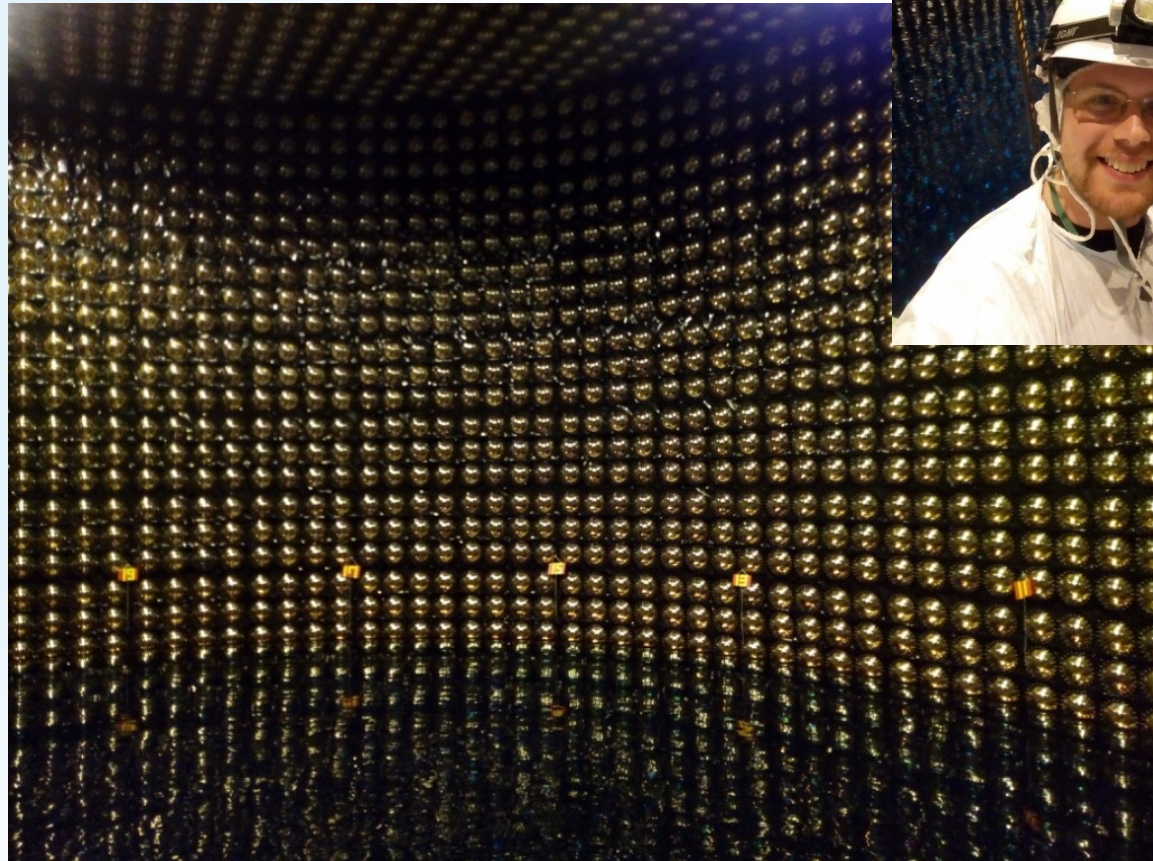
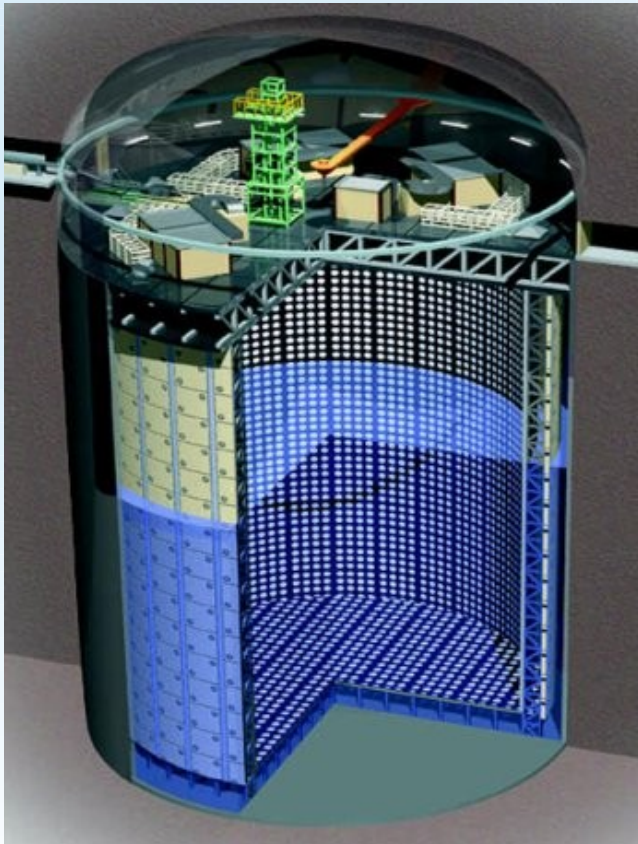
Systematic uncertainty on the predicted SK event rate w/o and with ND280 fit.

Selection	Total syst. error (%)	
	Pre	Post
$\nu$ -mode		
1-ring- $\mu$	15	5
1-ring- $e$	17	9
1-ring- $e + 1\pi^+$	22	18
$\bar{\nu}$ -mode		
1-ring- $\mu$	13	4
1-ring- $e$	14	7



# Far detector: Super-Kamiokande

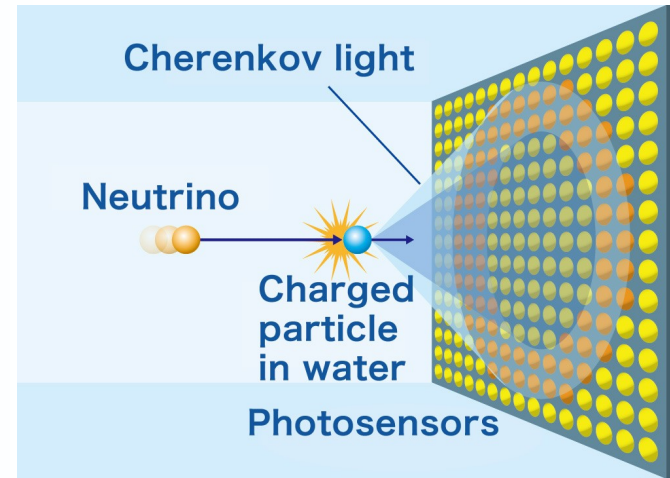
- 50 kton water Cherenkov detector
  - 39 m in diameter, 41 m in height
- Over 10000 PMTs measure the Cherenkov light inside the tank.



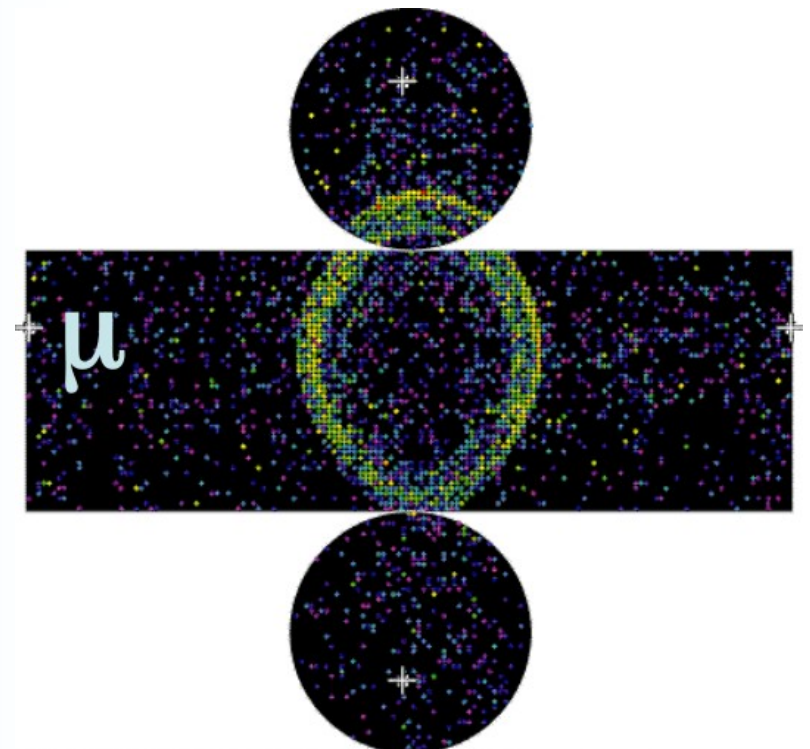
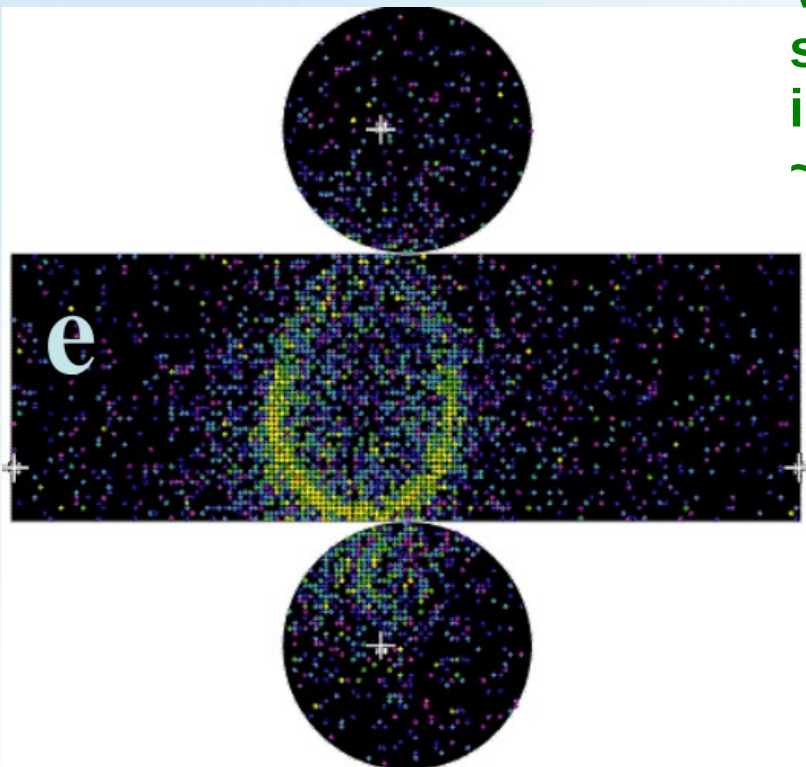


# Far detector: Super-Kamiokande

- Cherenkov radiation appears when charged particle propagates with velocity  $v > c/n$
- For T2K energy scale most nucleons are under Cherenkov threshold
- Single ring – signature of CCQE interaction



Very good  $e/\mu$  separation in Super-K!  
~1% mis-id



# Far detector: Super-Kamiokande

- Neutrino beam mode: searching for  $\nu_\mu/\nu_e$  CCQE (1-ring- $\mu/e$ )



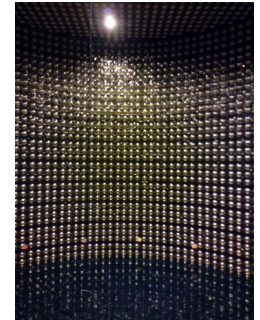
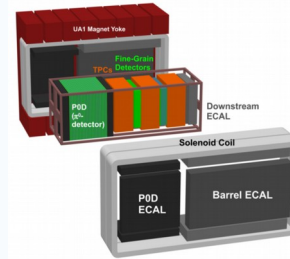
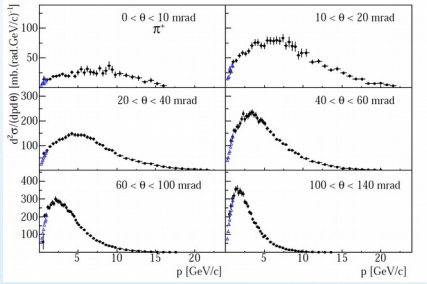
- or  $\nu_e$  appearance with single pion production (1-ring-e +  $1\pi^+$ ).



- Antineutrino beam mode: searching for  $\bar{\nu}_\mu/\bar{\nu}_e$  CCQE (1-ring- $\mu/e$ ).

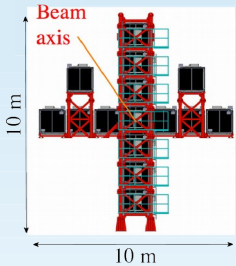


# Analysis strategy



NA61/SHINE external data

INGRID & Beam monitor data



Neutrino flux model

ND280 detector model

ND280 data

Super-K detector model

ND280 Fit systematics ~2x smaller

Super-K data

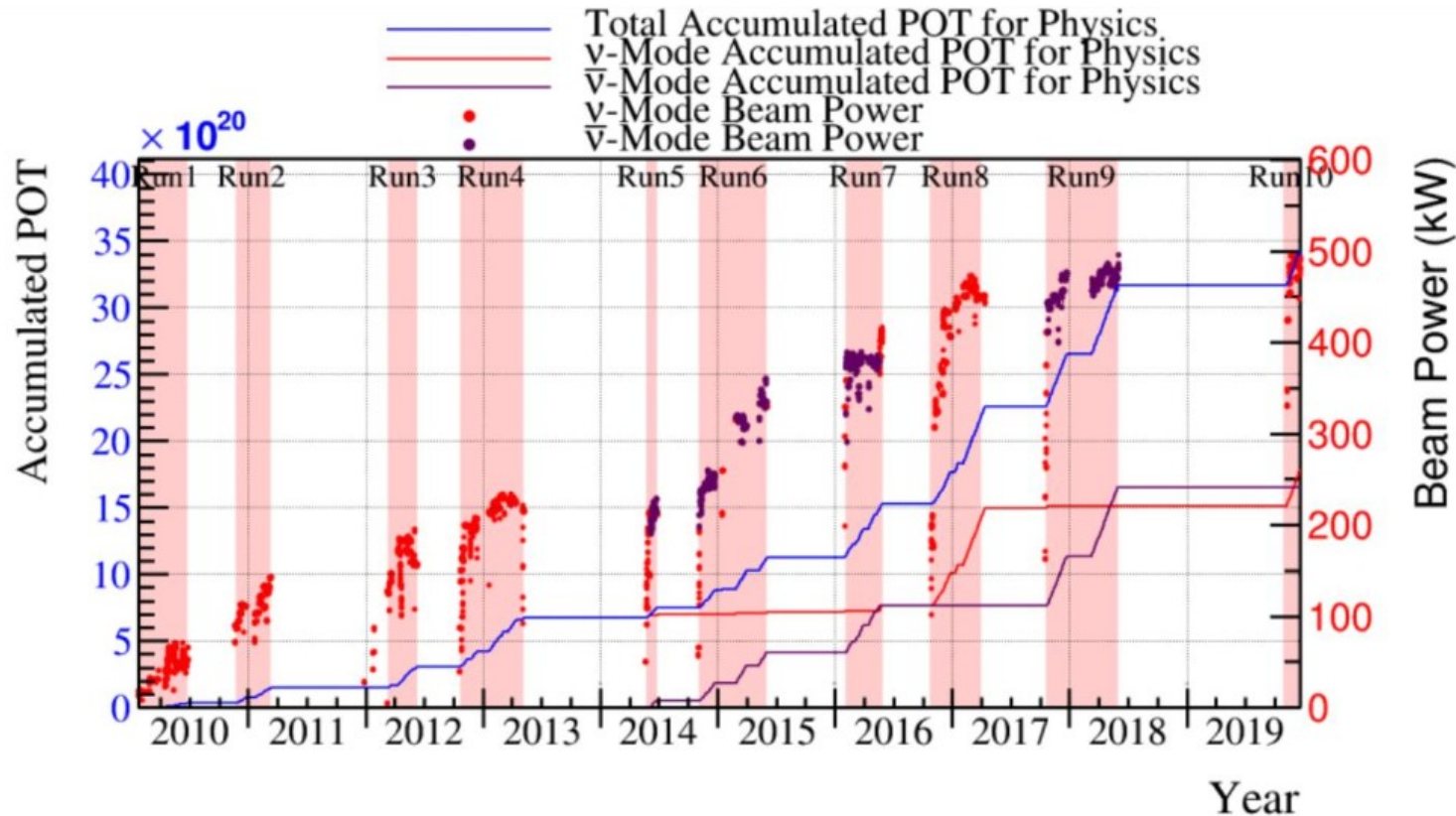
Cross-section model

Oscillation Fit

External cross-section data

Oscillation parameters

# T2K collected statistics

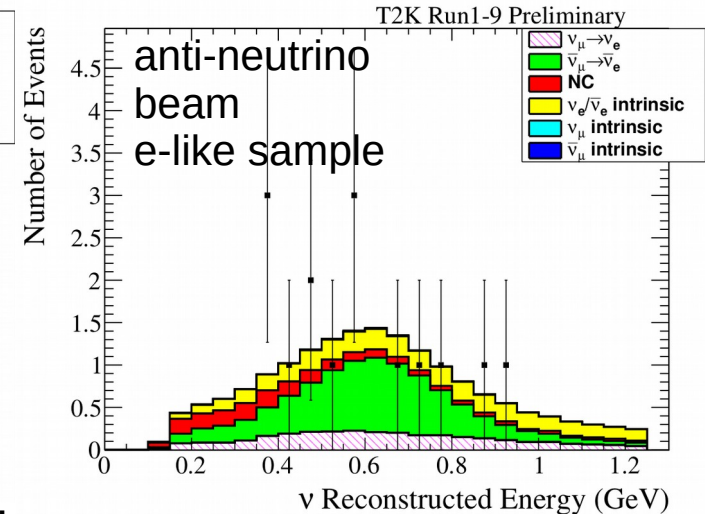
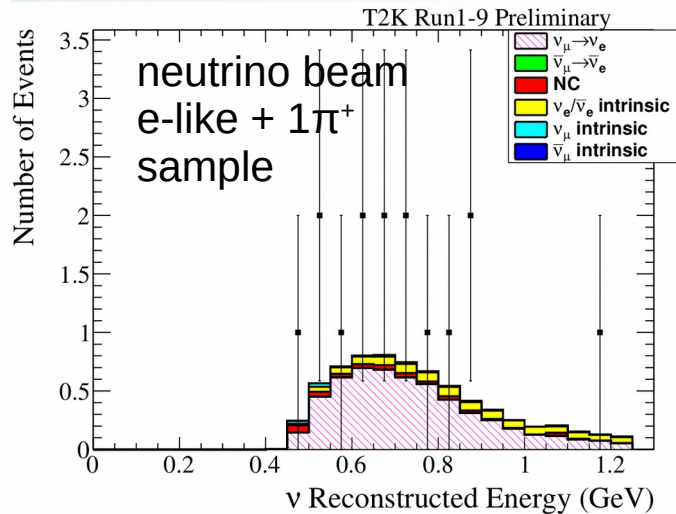
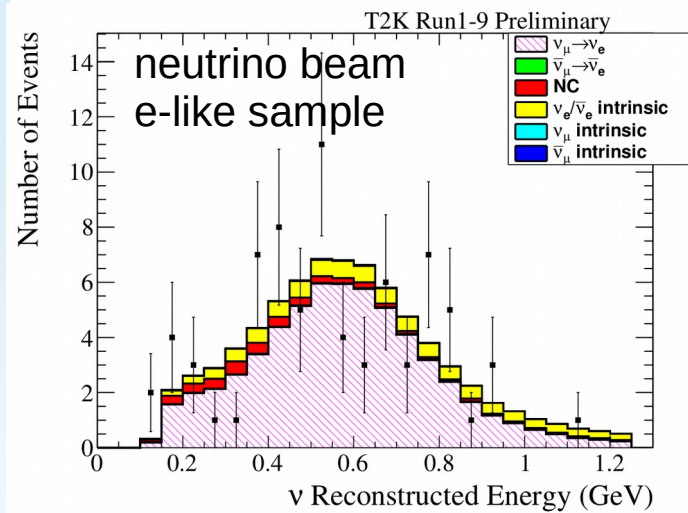
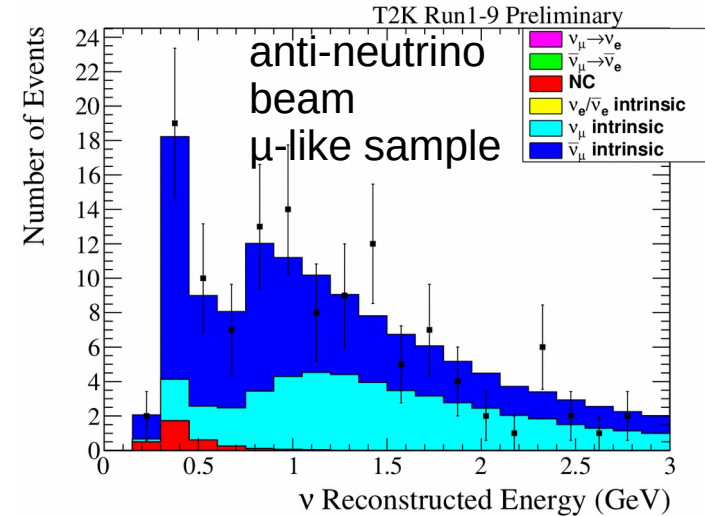
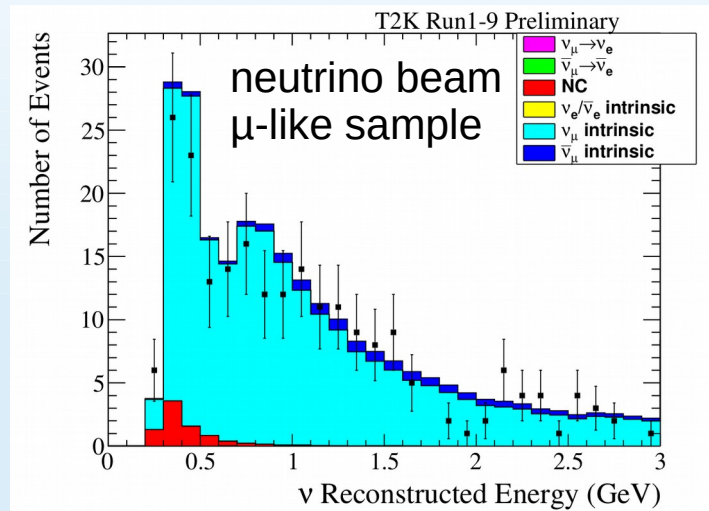


- $3.43 \times 10^{21}$  Protons On Target (POT) collected so far.
  - $1.78 \times 10^{21}$  for neutrino,  $1.65 \times 10^{21}$  for anti-neutrino beam mode.
- Oscillation results based on  $3.13 \times 10^{21}$  POT.
  - $1.49 \times 10^{21}$  for neutrino,  $1.63 \times 10^{21}$  for anti-neutrino beam mode.



# Super-K fit to data

- Fit simultaneously 5 samples.
- Oscillation and systematic parameters are shared between them.



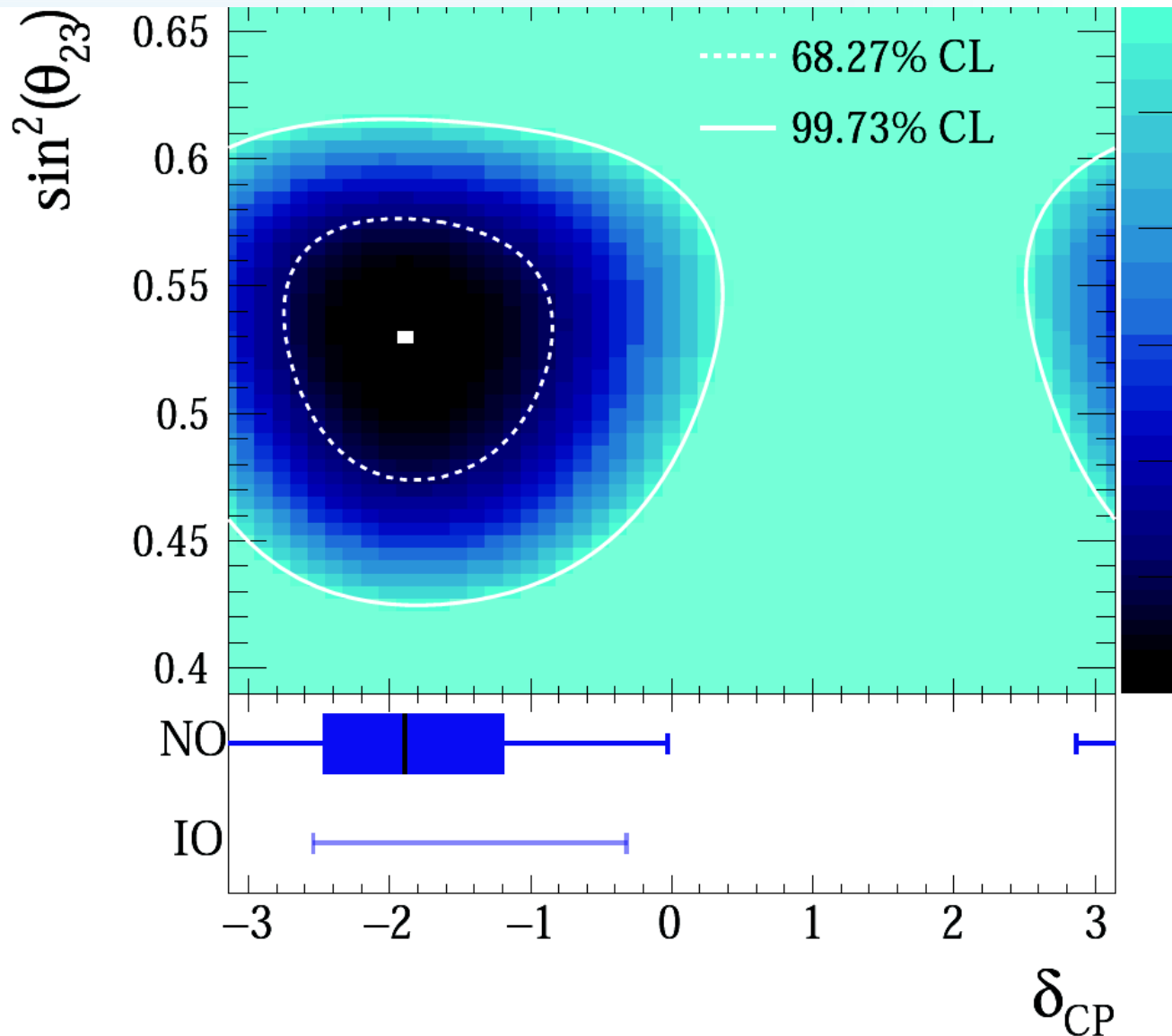
For the MC distributions above:

Normal Hierarchy,  $\delta = -\pi/2$ ,  $\sin^2\Theta_{23} = 0.528$ ,  $\sin^2\Theta_{13} = 0.0212$

# Super-K predicted/observed events

Sample	Predicted				Observed	Systematic uncertainty for prediction
	$\delta_{CP} = -\pi/2$	$\delta_{CP} = 0$	$\delta_{CP} = +\pi/2$	$\delta_{CP} = \pi$		
$\nu$ mode $\mu$ -like	272.4	272.0	272.4	272.8	243	5.1%
$\bar{\nu}$ mode $\mu$ -like	139.5	139.2	139.5	139.9	140	4.5%
$\nu$ mode e-like	74.4	62.2	50.6	62.7	75	8.8%
$\bar{\nu}$ mode e-like	17.1	19.4	21.7	19.3	15	7.1%
$\nu$ mode e-like + $1\pi^+$	7.0	6.1	4.9	5.9	15	18.4%

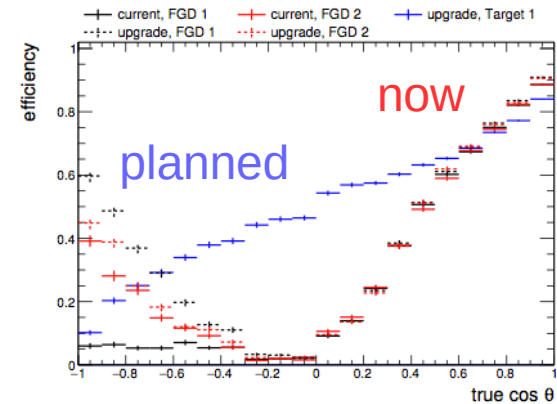
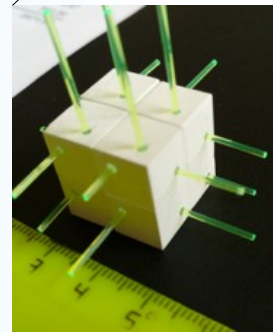
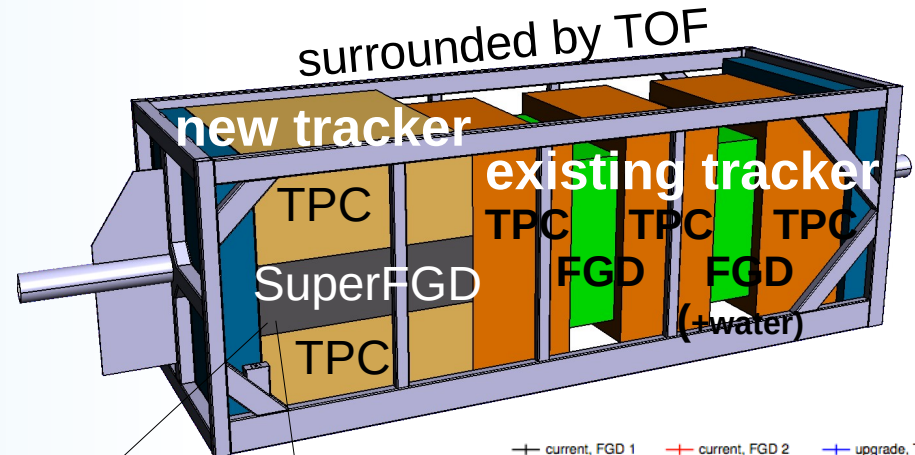
# Results: $\sin^2(\Theta_{23})$ vs $\delta_{CP}$



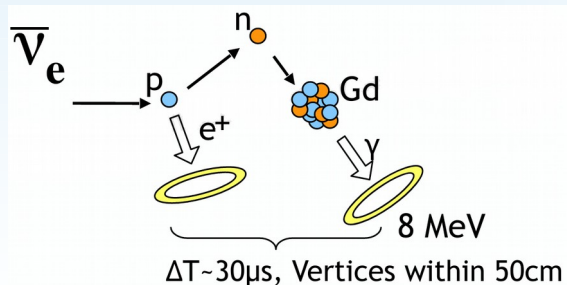
- CP-conserving values excluded at  $2\sigma$  level
  - $\delta_{CP} = 0$  excluded at  $3\sigma$  level
- Normal Hierarchy preferred (89%)
  - Best fit:  
 $\delta_{CP} = -1.885$  ( $-0.6\pi$ )  
for NH

# T2K's future

- Upgrade of ND280 for T2K Phase-II (2021-2026)
  - SuperFGD
  - High angle TPCs
  - Reduction of systematic errors to ~4%
- Upgrade of Super-K
  - Dissolving gadolinium
  - Enhance neutron detection capability
  - Improve antineutrino detection



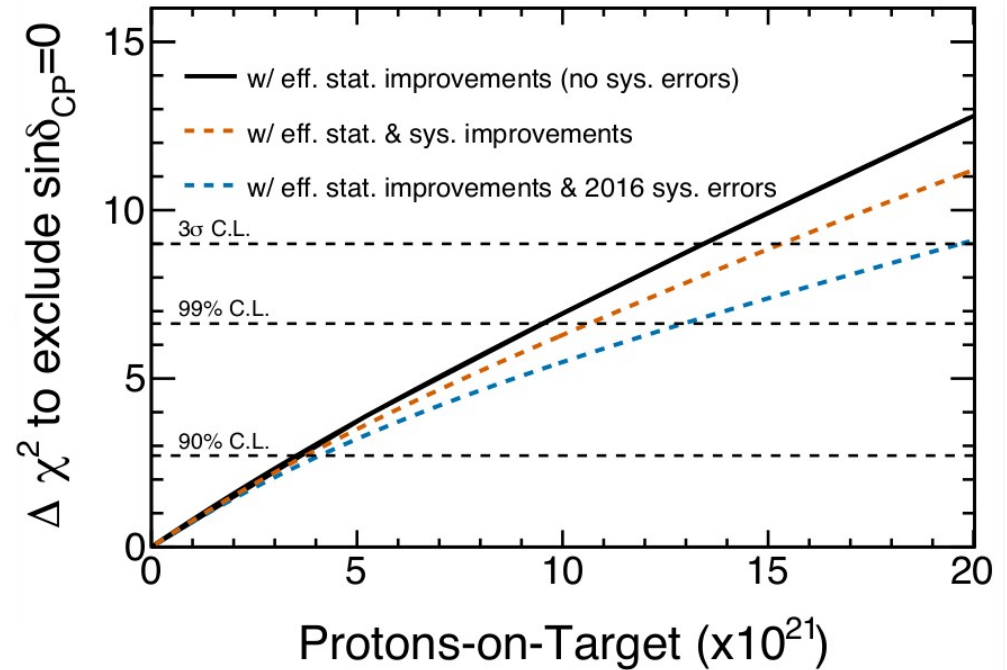
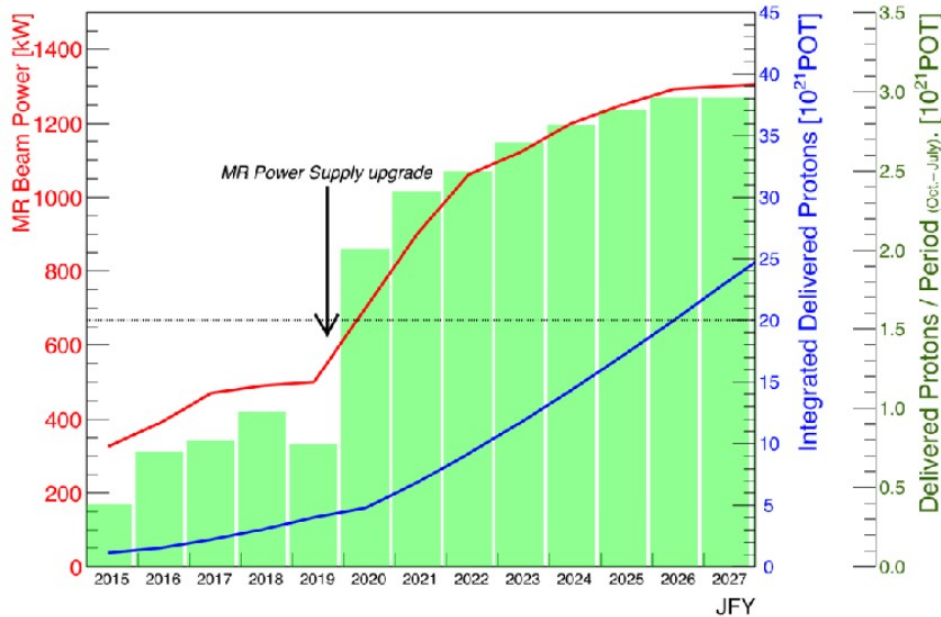
angular efficiency





# T2K's future

T2K-II Protons-On-Target Request

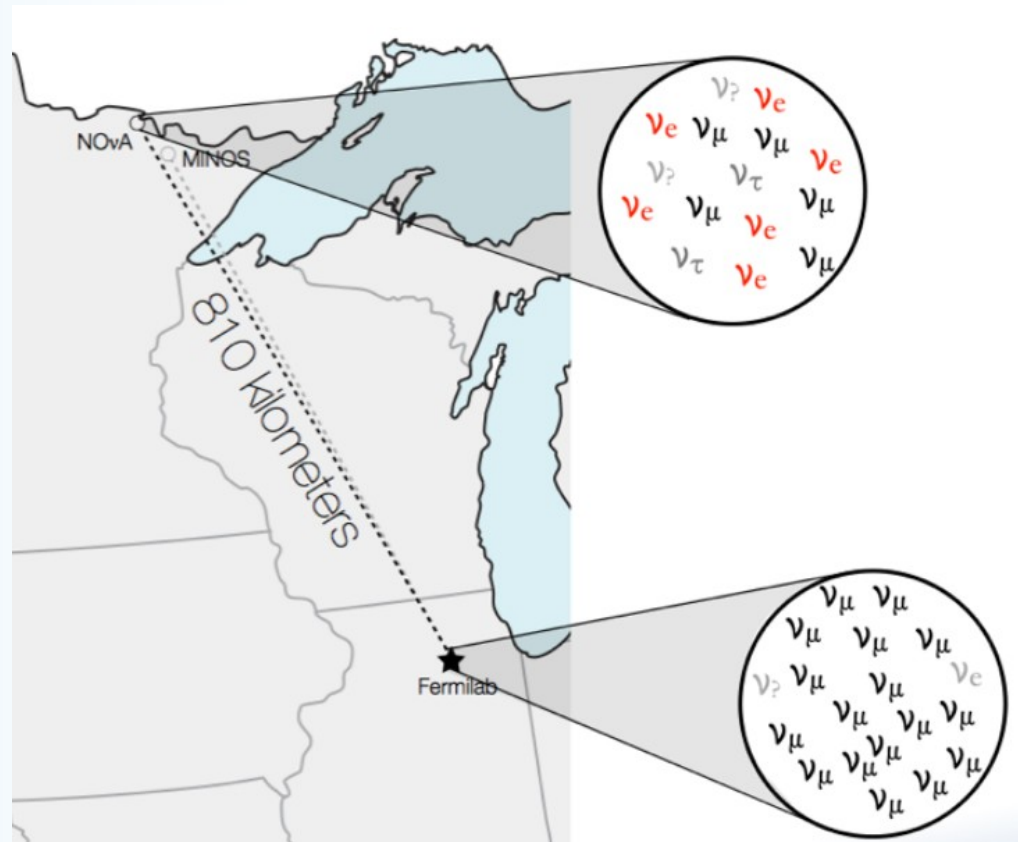


- Plan to double Collected statistics till the 2021 (up to 7.8 E21 POT).
- Plan to improve the analysis:
  - New samples
  - Joint fit beam + atmospheric neutrinos
- Aiming for 20 E21 POT in 2026 (T2K Phase-II)

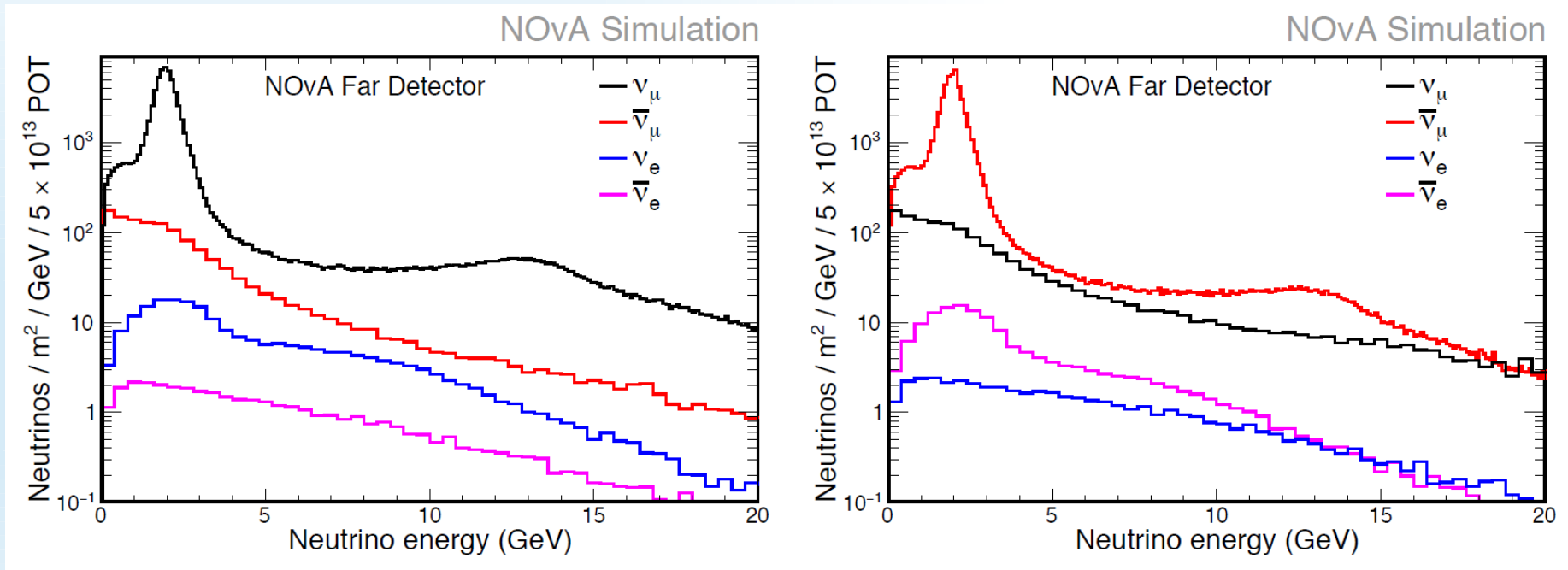
# NOvA experiment



- NOvA is a long-baseline neutrino oscillation experiment in the United States. It started taking data in 2014.
- Neutrino beam is produced at Fermilab.
- Near and Far detector are functionally identical and situated 810 km apart, both 14 mrad off-axis.

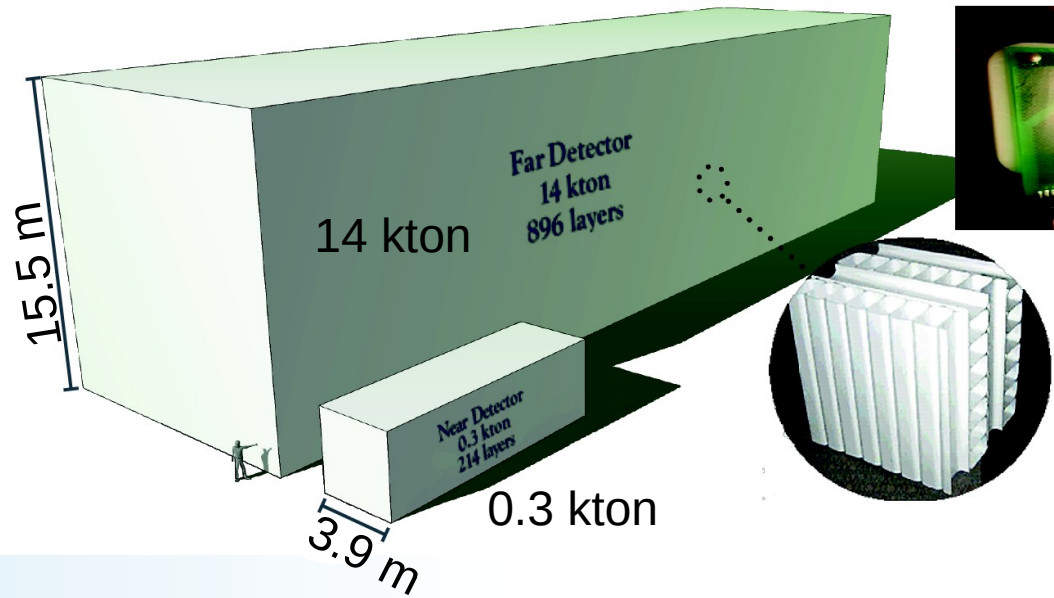


# NuMI beam



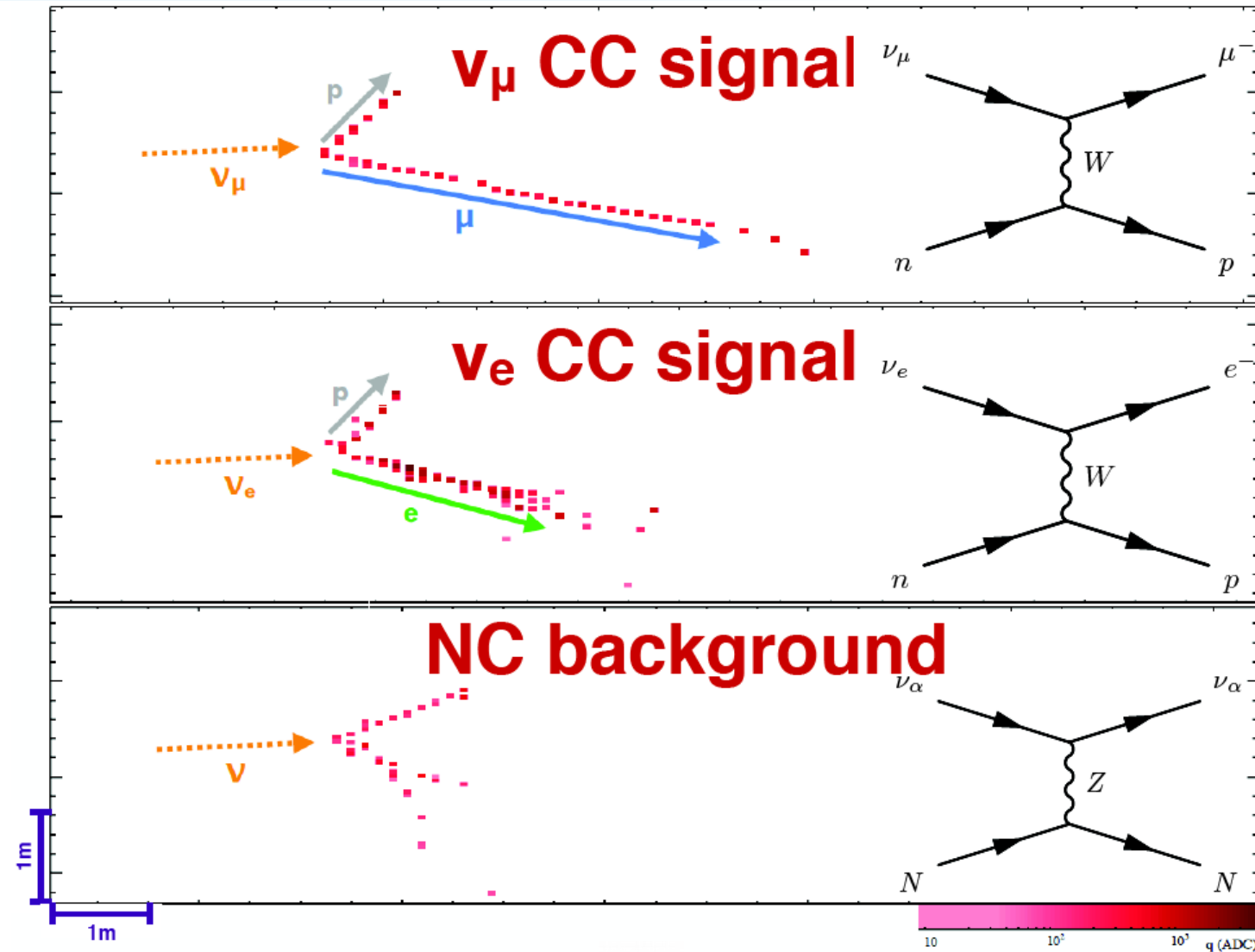
- Fermilab's Neutrinos at the Main Injector (NuMI) beam.
- Beam may be used in neutrino or antineutrino mode.

# NOvA detectors



- Both detectors are based on the same detection technique.
- Layers of plastic cells with alternating horizontal and vertical orientation with liquid scintillator inside.

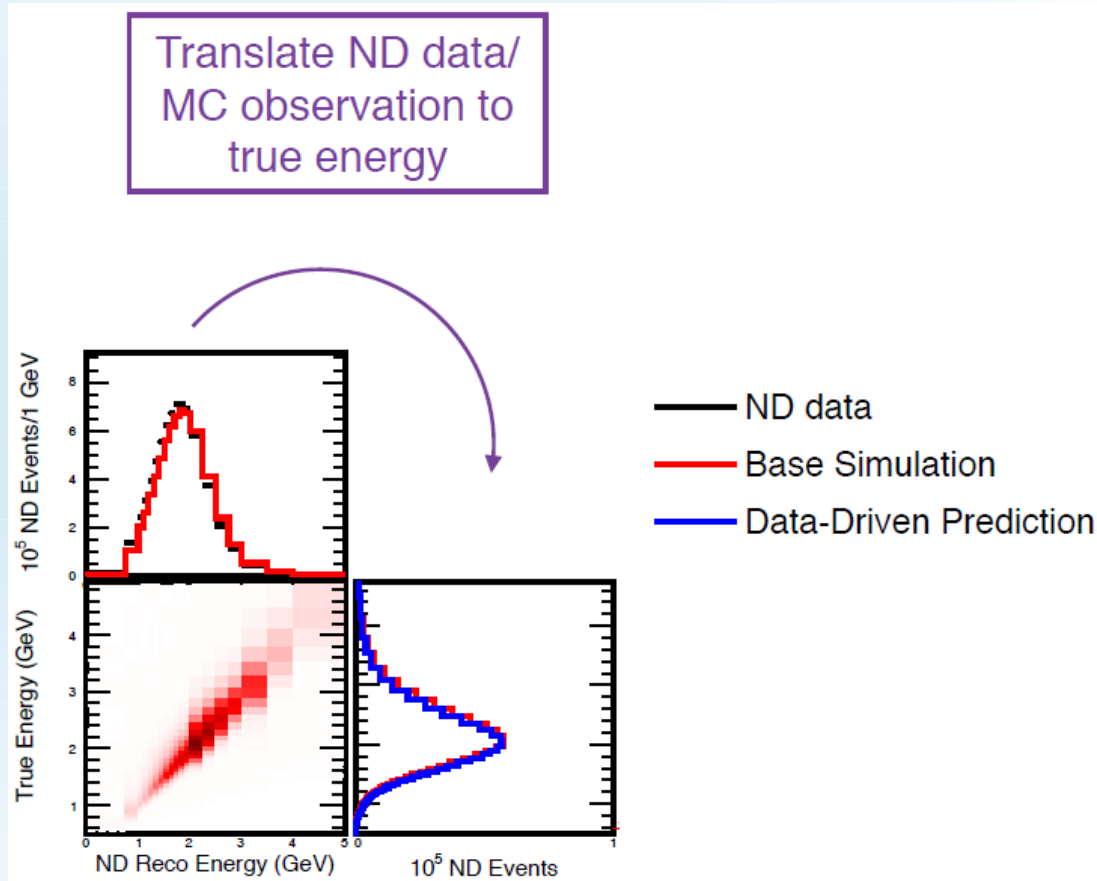
# NOvA event topologies



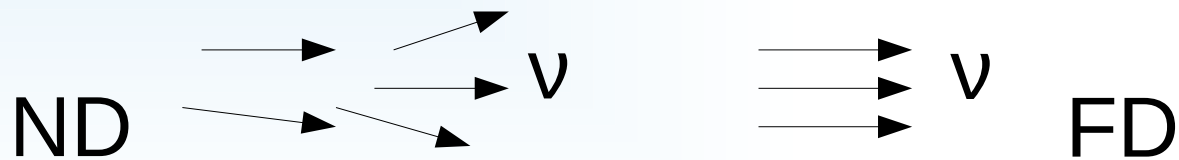
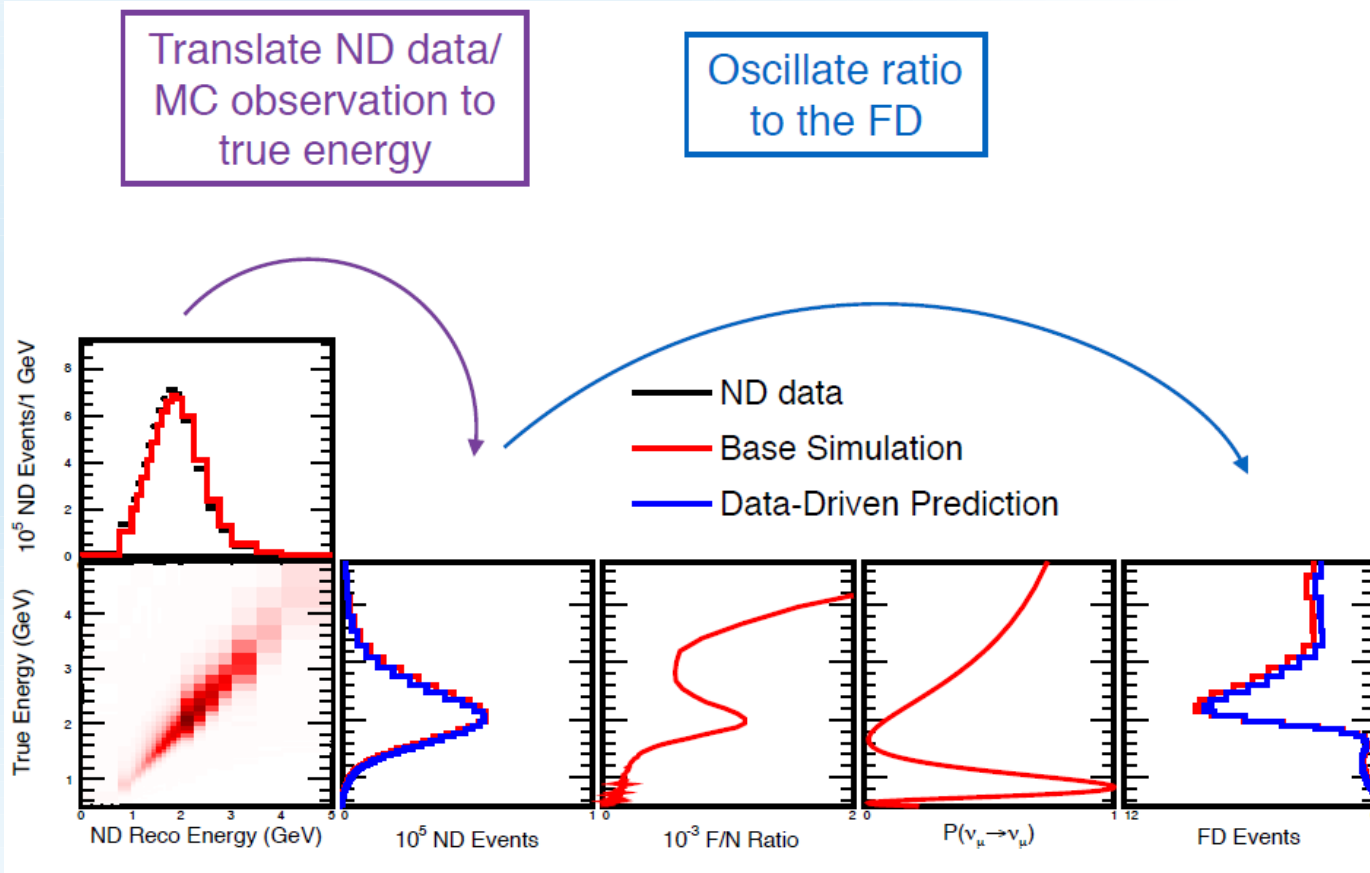
L. Cremonesi (UCL)

La Thuile 2019

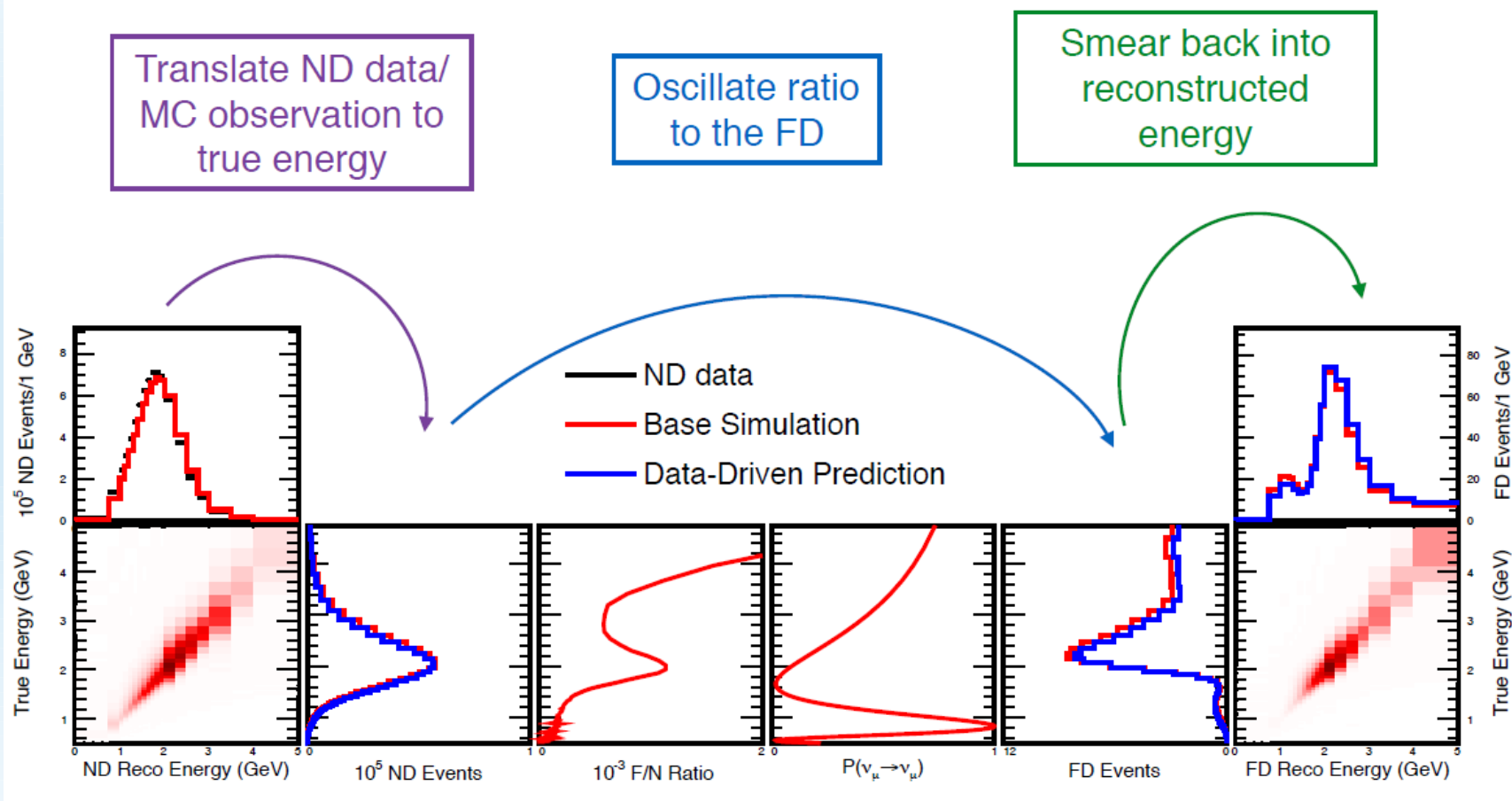
# Extrapolation to Far Detector



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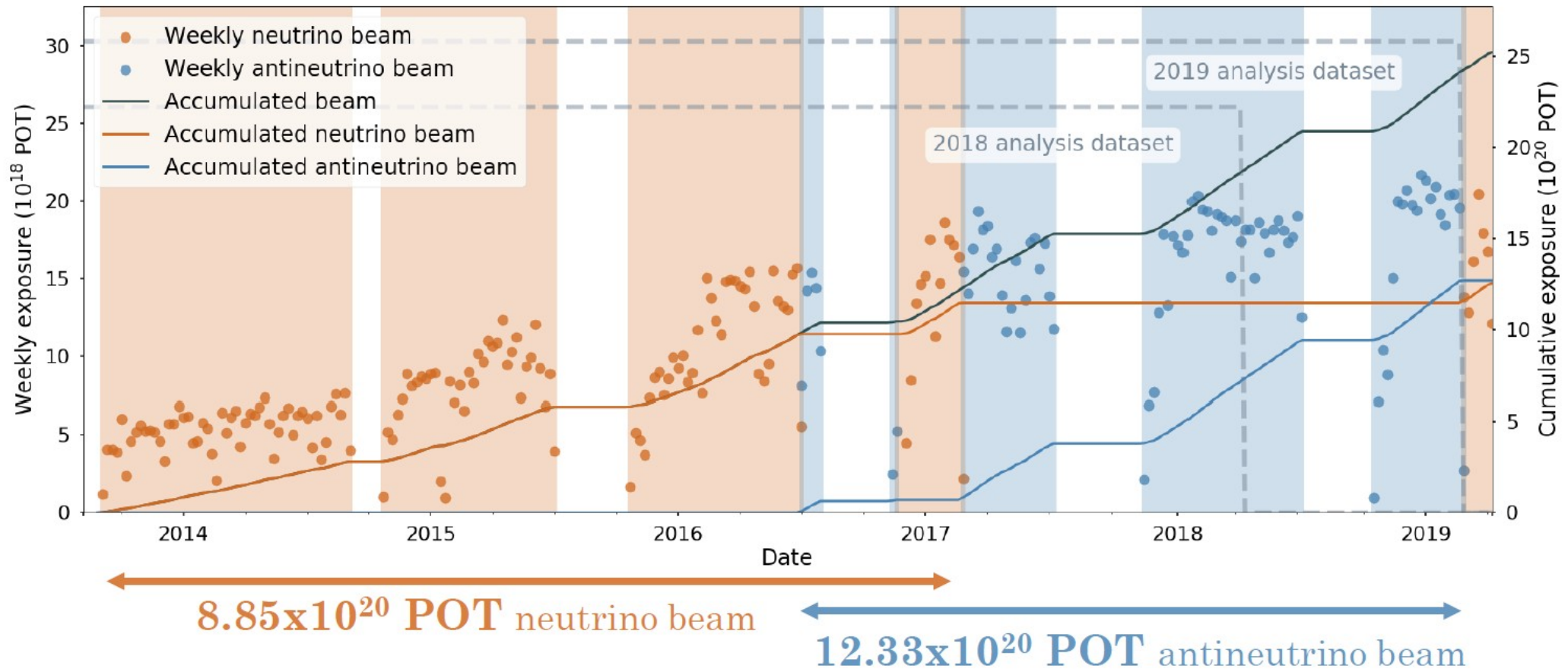


# Extrapolation to Far Detector

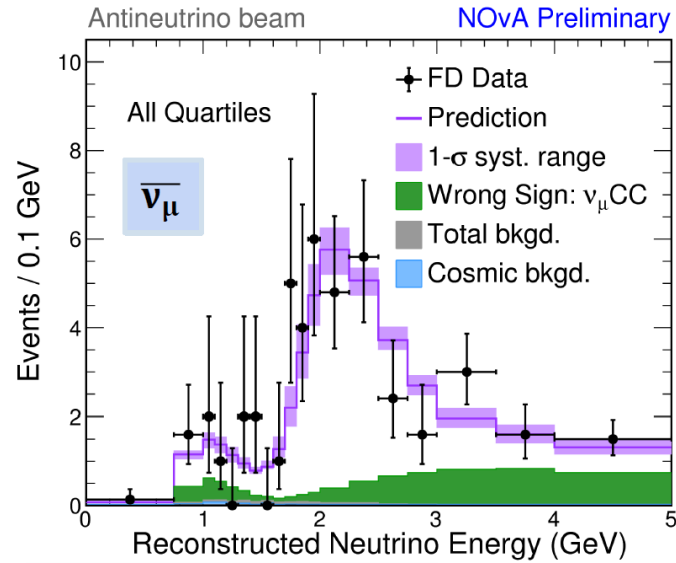
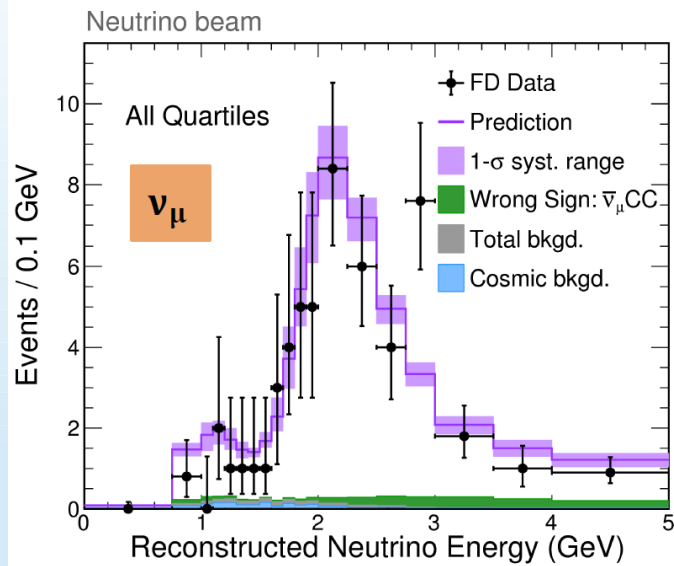




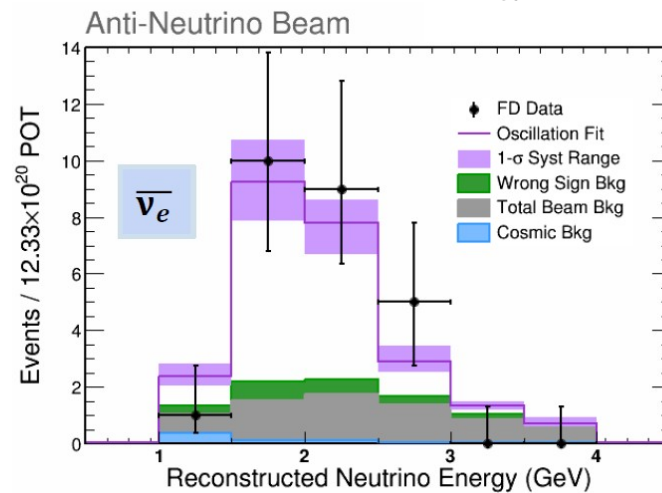
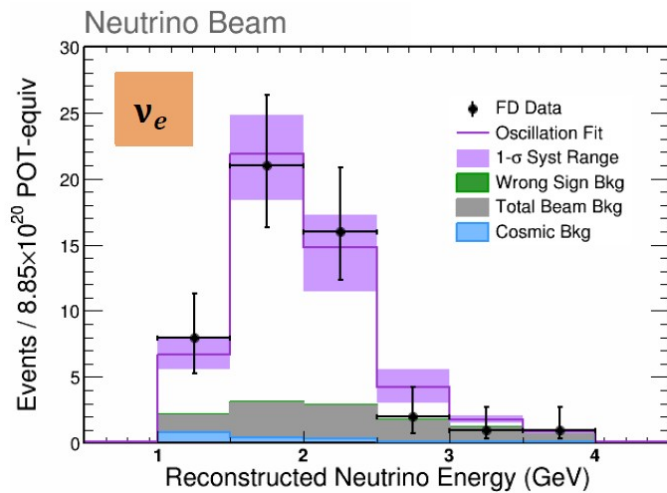
# NOvA collected statistics



# Far detector fit to data



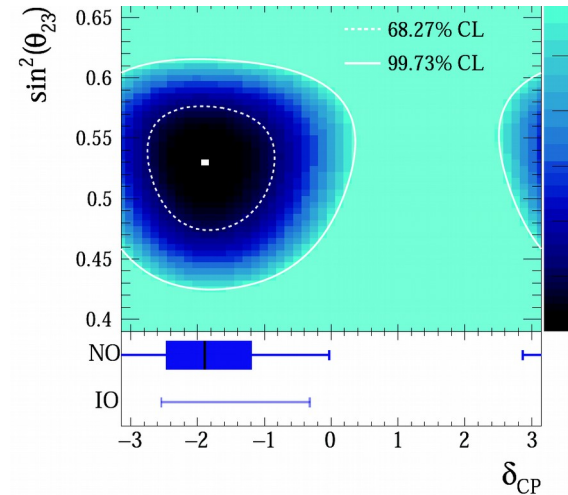
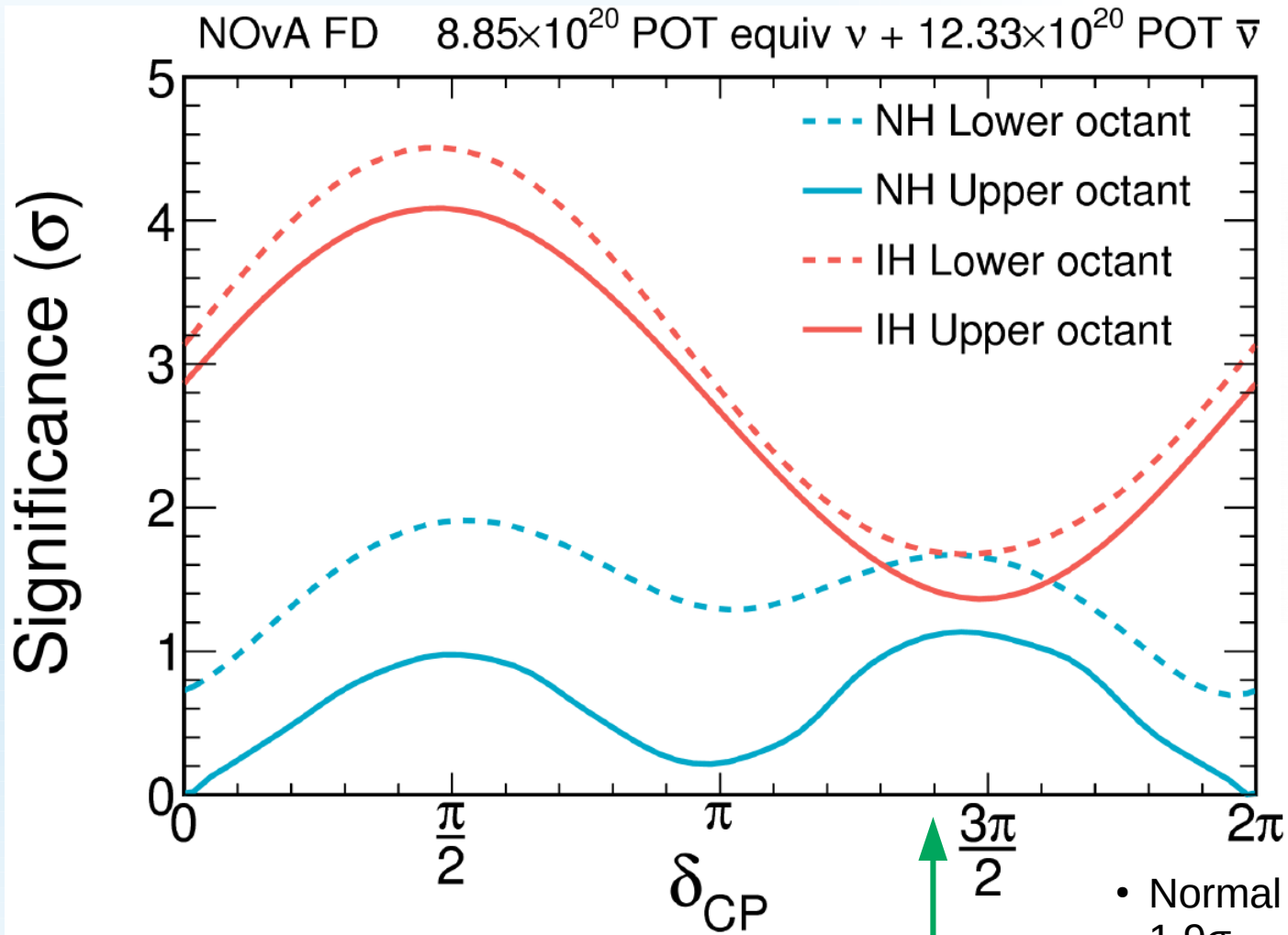
$\nu_{\mu} / \bar{\nu}_{\mu}$  disappearance



$\nu_e / \bar{\nu}_e$  appearance

4.4  $\sigma$  evidence of electron anti-neutrino appearance

# Allowed $\delta_{CP}$ values

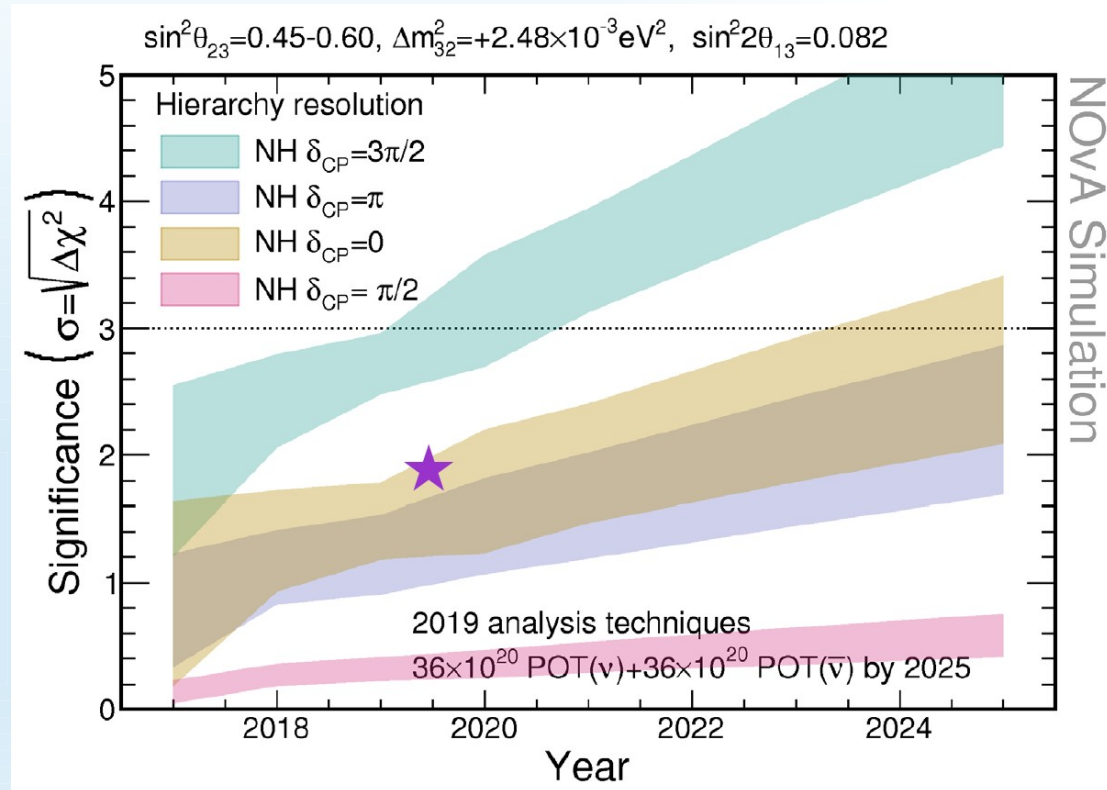


T2K result

T2K best fit for NH

- Normal Hierarchy preferred by  $1.9\sigma$
- NOvA best fit:
  - $\delta_{CP} = 0.0_{-0.4}^{+1.3} \pi$
  - All values of  $\delta_{CP}$  allowed at  $1.1\sigma$  (NH, UO)

# NOvA's future



- Running until  $\sim 2025$
- $>3\sigma$  sensitivity to mass hierarchy (in case of NH and maximal CP violation) for allowed range of  $\theta_{23}$  by 2025.
- $>2\sigma$  sensitivity to CP violation in both hierarchies (in case of maximal CP violation) by 2025.

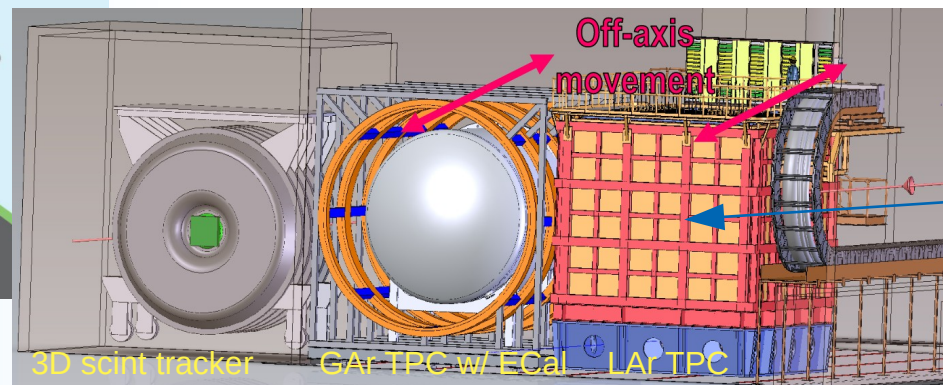
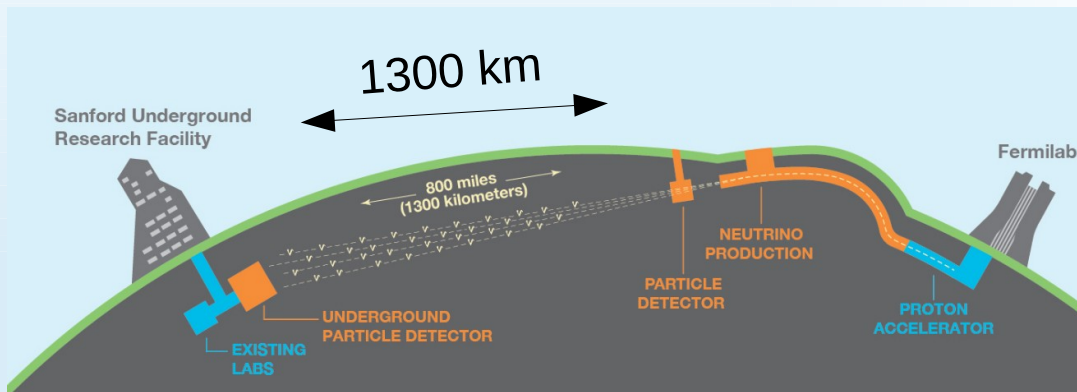
# Outline

- Introduction: CP violation, neutrino oscillations
- Current leading long-baseline experiments:  
T2K and NOvA
- Future experiments: DUNE and Hyper-Kamiokande
- Summary

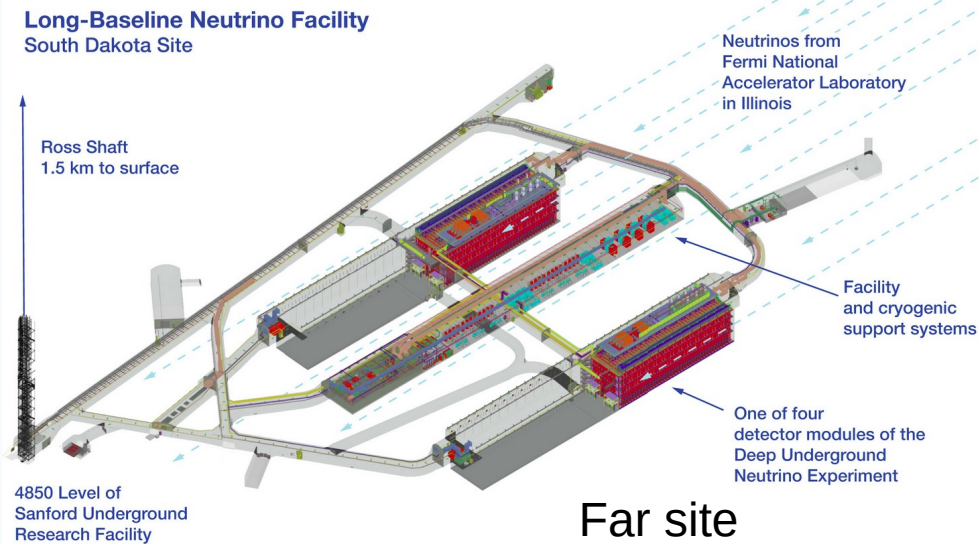




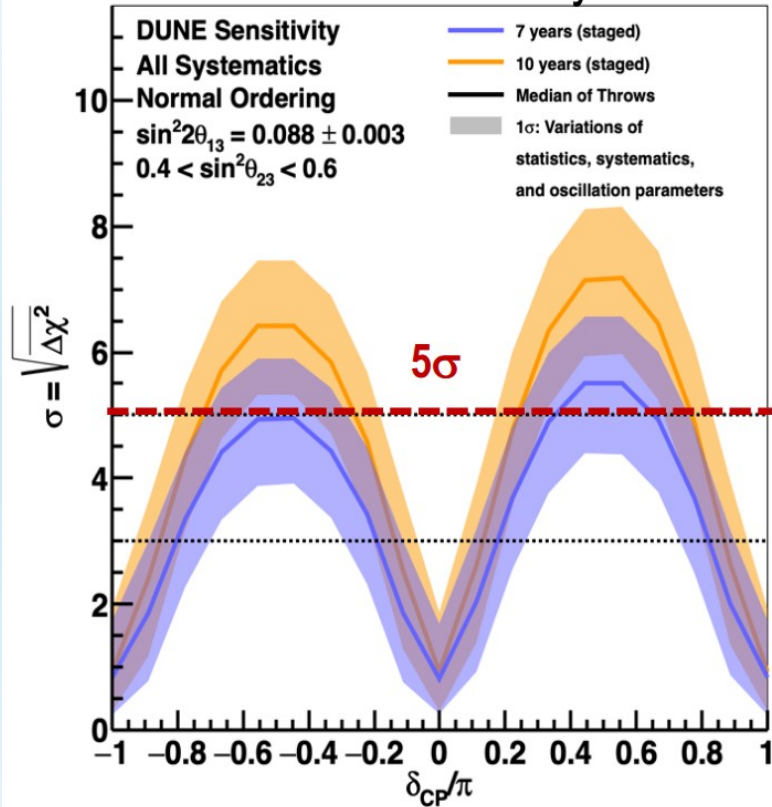
# DEEP UNDERGROUND NEUTRINO EXPERIMENT



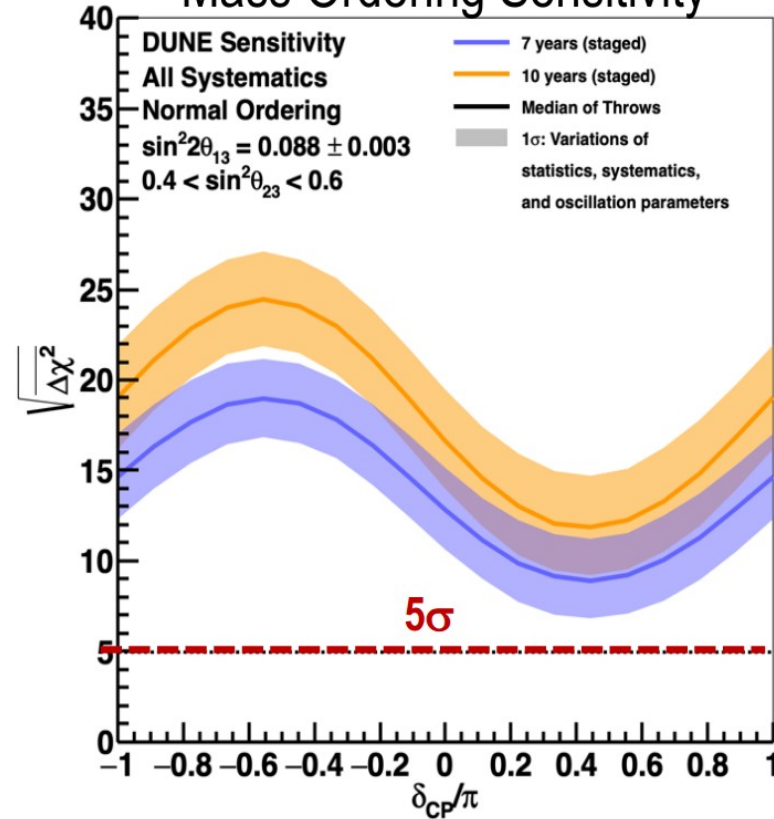
- A high-performance neutrino detector and beamline measurement system a few hundred meters downstream of the neutrino source. On-axis experiment.
  - Unprecedentedly large sample of neutrino interactions.
- A massive liquid argon time-projection chambers located almost a mile underground at the far site.
  - Four 17 000 tons modules.



## CPV Sensitivity



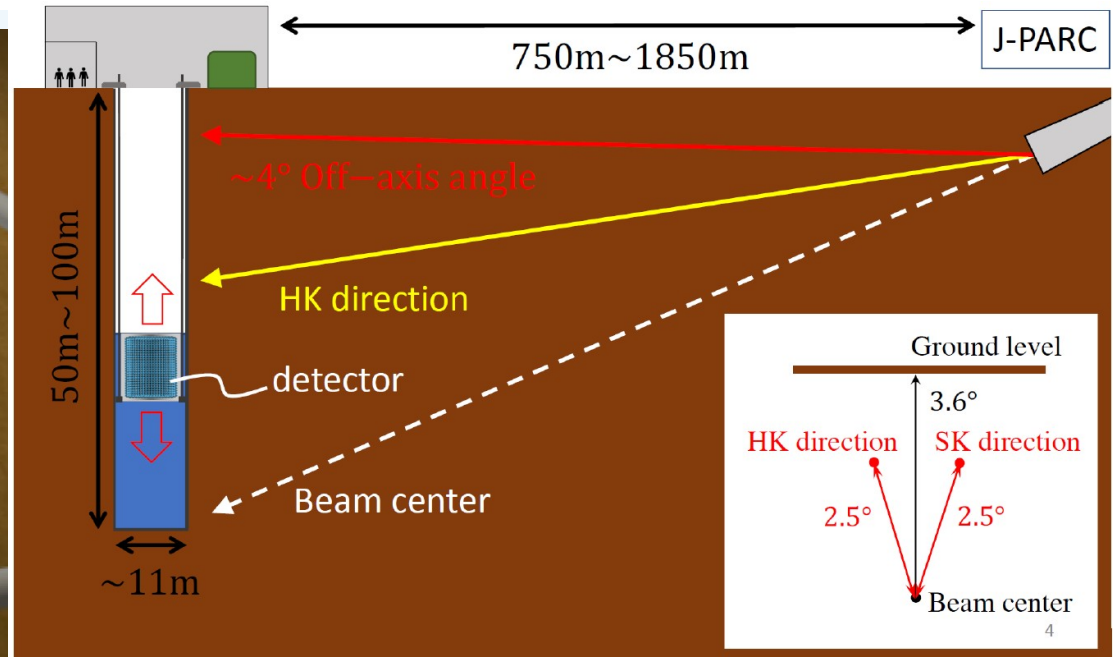
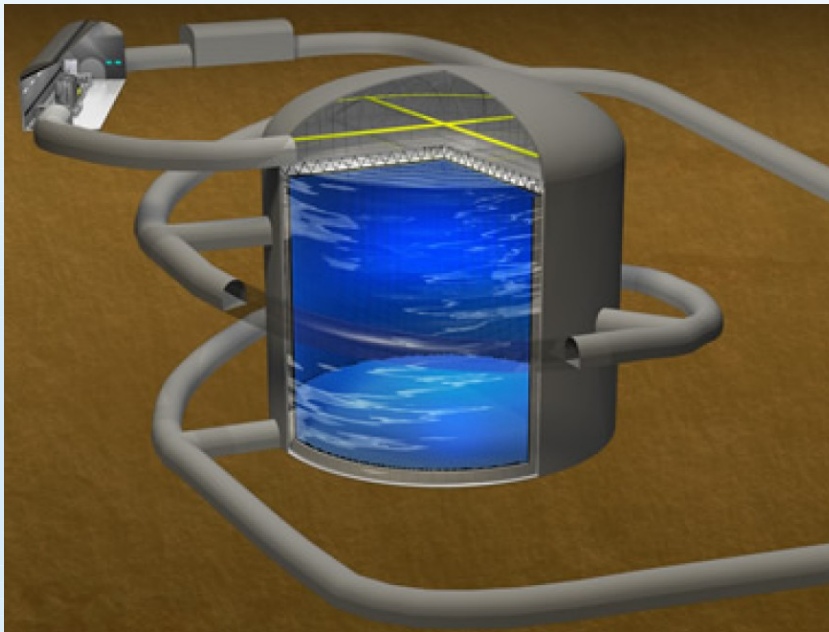
## Mass Ordering Sensitivity



- $>5\sigma$  sensitivity to CPV over a wide range of  $\delta_{CP}$
- Definitive determination of mass hierarchy



# Hyper-Kamiokande



- Next generation underground water Cherenkov detector. It will serve as a far detector of a long baseline experiment (with the same baseline of 295 km) for the upgraded J-PARC beam .
  - 260 kton
  - 74 m in diameter, 60 m in height
  - High sensitivity PMTs

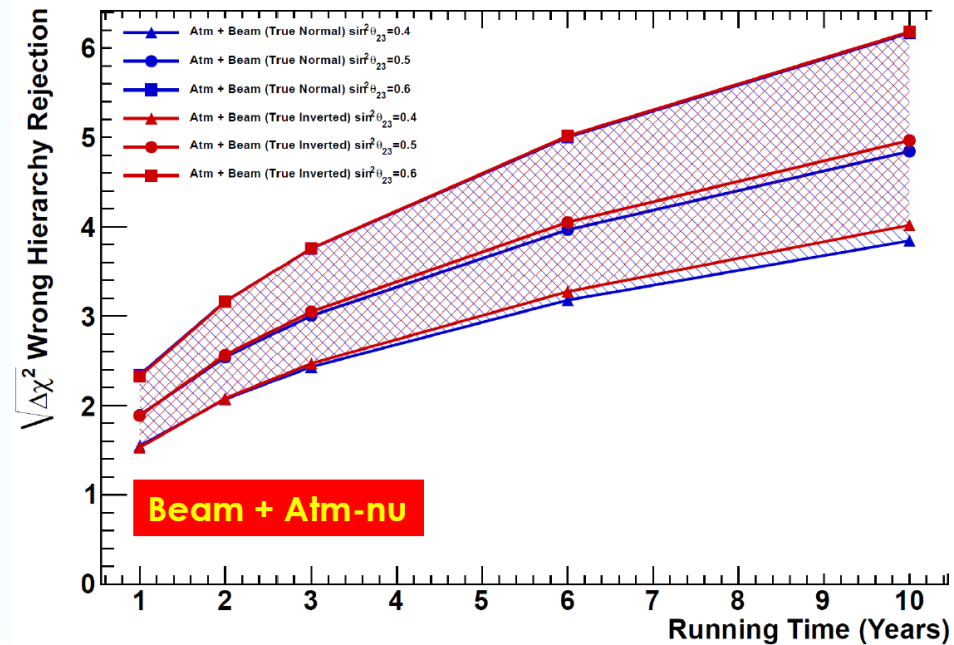
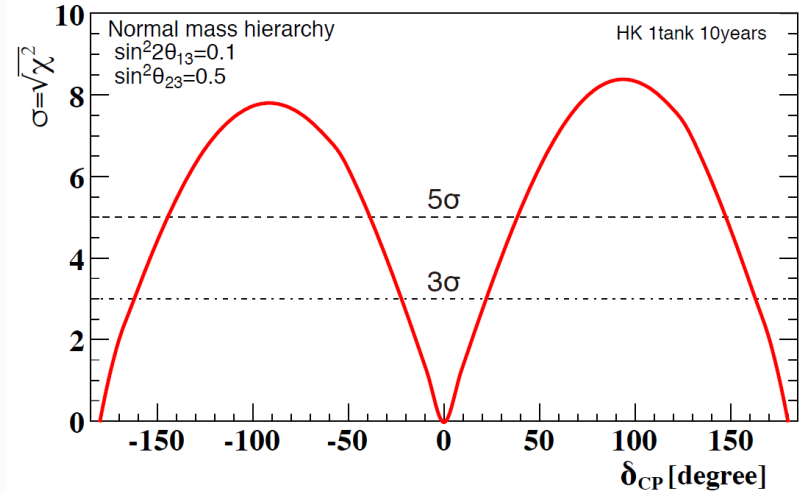
- Intermediate Water Cherenkov Detector ~km from the beam source
- Aims to measure neutrino interactions at many off-axis angles.
- Water in the tank will be enhanced with Gd to tag neutrons.





# Hyper-Kamiokande

- Neutrino oscillation physics
  - $5\sigma$  sensitivity to CPV for broad range of  $\delta_{CP}$
  - $\sim 4\sigma$  sensitivity to mass hierarchy by combination of beam and atm-nu
- Both DUNE and Hyper-K will have broad physics program (MeV to TeV scale)
  - Nucleon decay
  - Precision measurement of solar neutrinos
  - Measurement of SN neutrinos

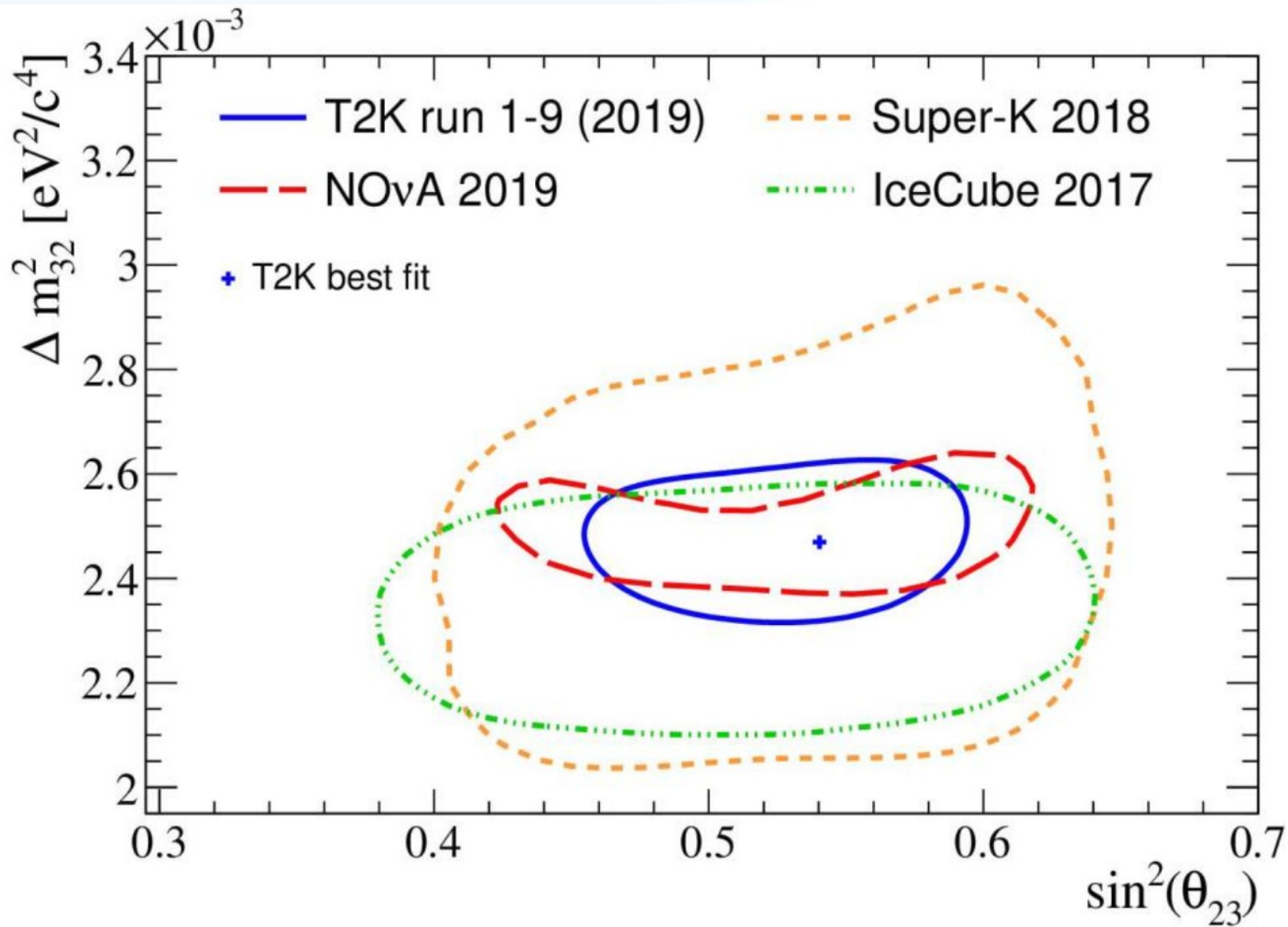


# Comparison of current and future experiments

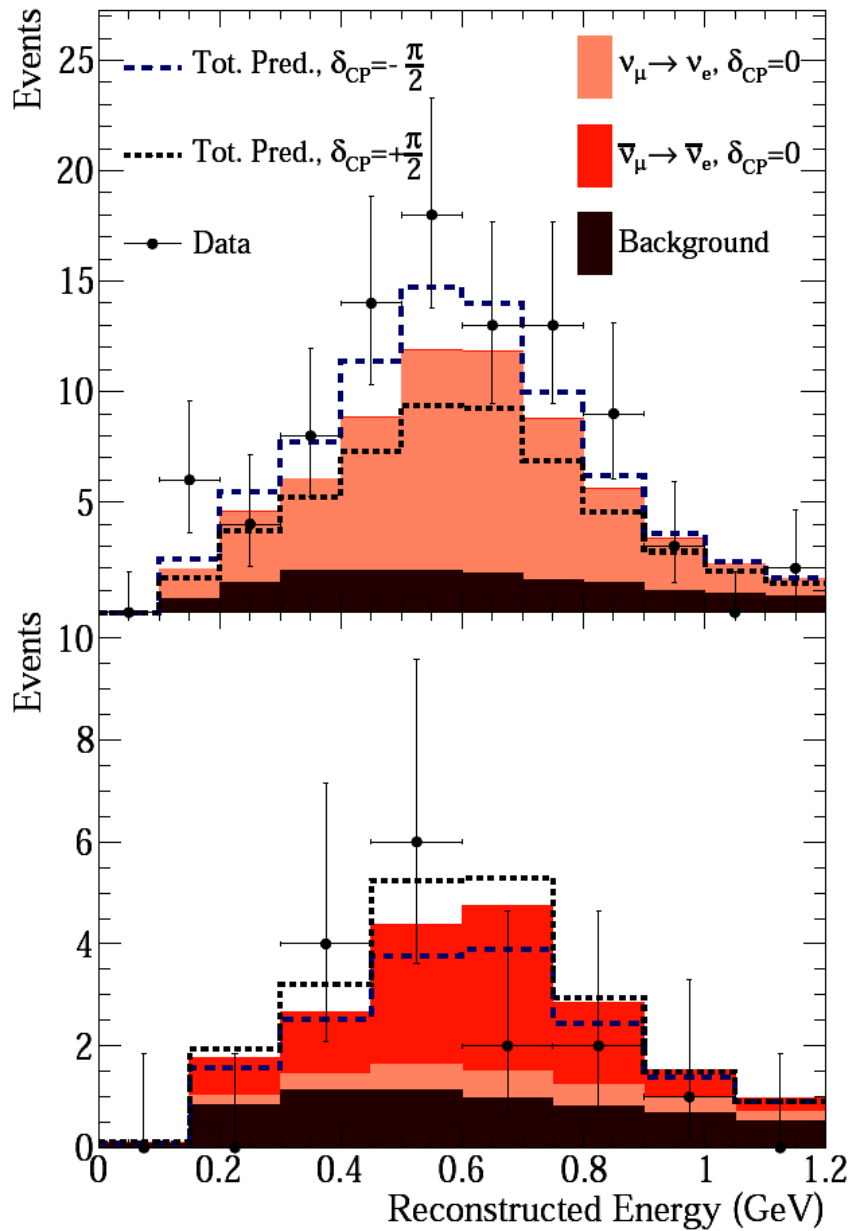
	T2K	NOvA	DUNE	Hyper-K
Baseline [km]	295	810	1300	295
Beam energy peak [GeV]	0.6	2	2.5-3	0.6
setup	off-axis	off-axis	on-axis	off-axis
Near Detector	Multi-purpose magnetized (FGD, TPC, ECal)	Extruded plastic cells filled with liquid scintillator	Multi-purpose (LAr TPC, magnetized HPGAr TPC w/ ECal, scint tracker)	Multi-purpose magnetized (SuperFGD, TPC, ECal) + Intermediate
Far Detector	Water Cherenkov 50 kton	Extruded plastic cells filled with liquid scintillator 14 kton	Liquid Argon TPC 4 × 17 kton	Water Cherenkov 260 kton
Expected sensitivity to CPV	will reach $>3\sigma$	will reach $>2\sigma$	will reach $>5\sigma$	will reach $>5\sigma$
timescale	2010-2026	2014-~2025	~2025-	~2027-

# Summary

- The CP symmetry in neutrino sector can be studied by comparing the oscillation probabilities for neutrinos and antineutrinos.
- Current T2K data indicates CP violation at the  $2\sigma$  confidence level, while NOvA results are consistent with CP conservation. Normal Hierarchy is favored.
  - New oscillation results at Neutrino 2020?
- The T2K collaboration is preparing for T2K phase-II (2021-2026). NOvA will be operational till ~2025.
- DUNE and Hyper-Kamiokande are expected to be operational in the mid 2020s and reach  $5\sigma$  sensitivity to CPV after a few years of data taking.



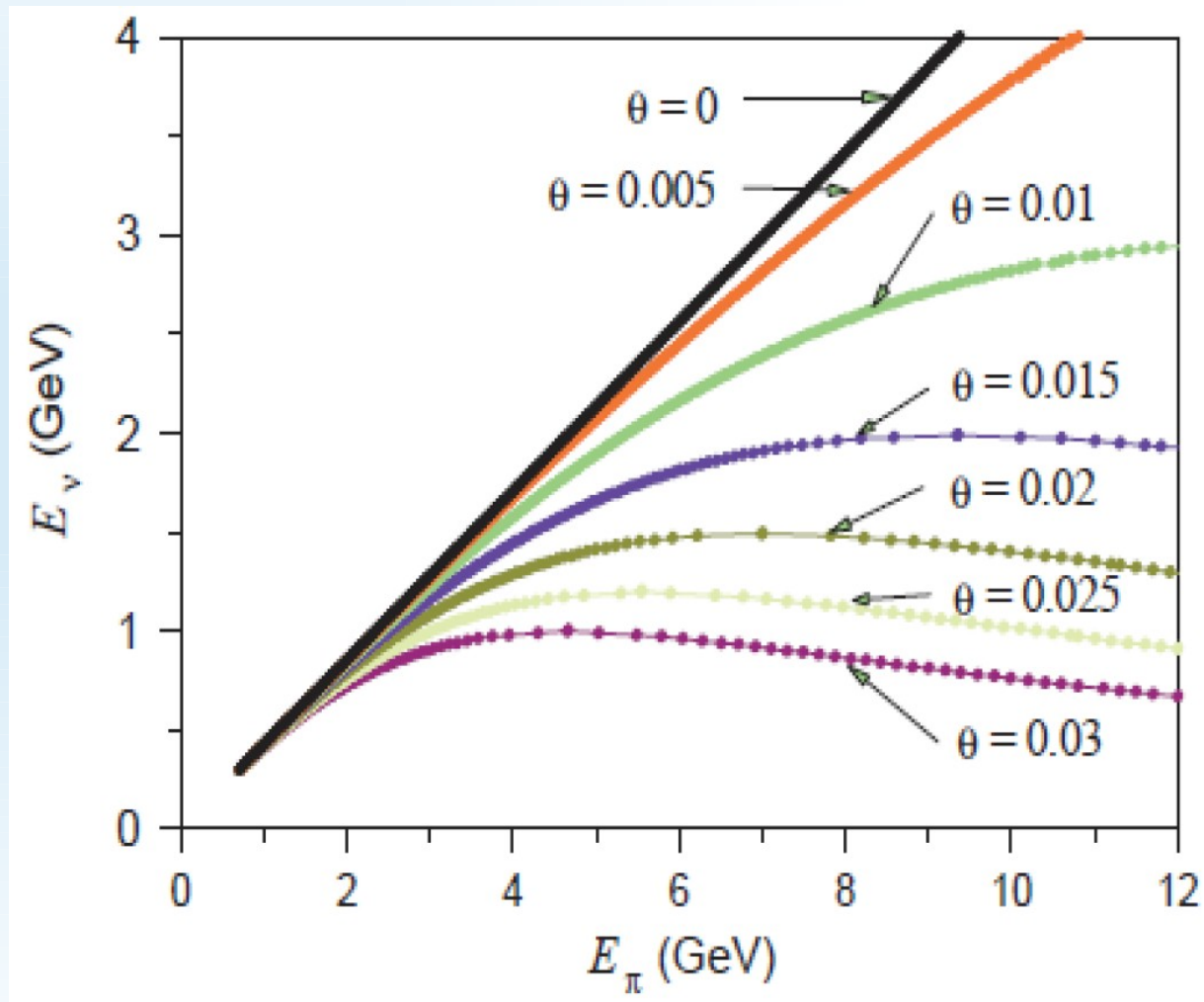
# Super-K fit to data



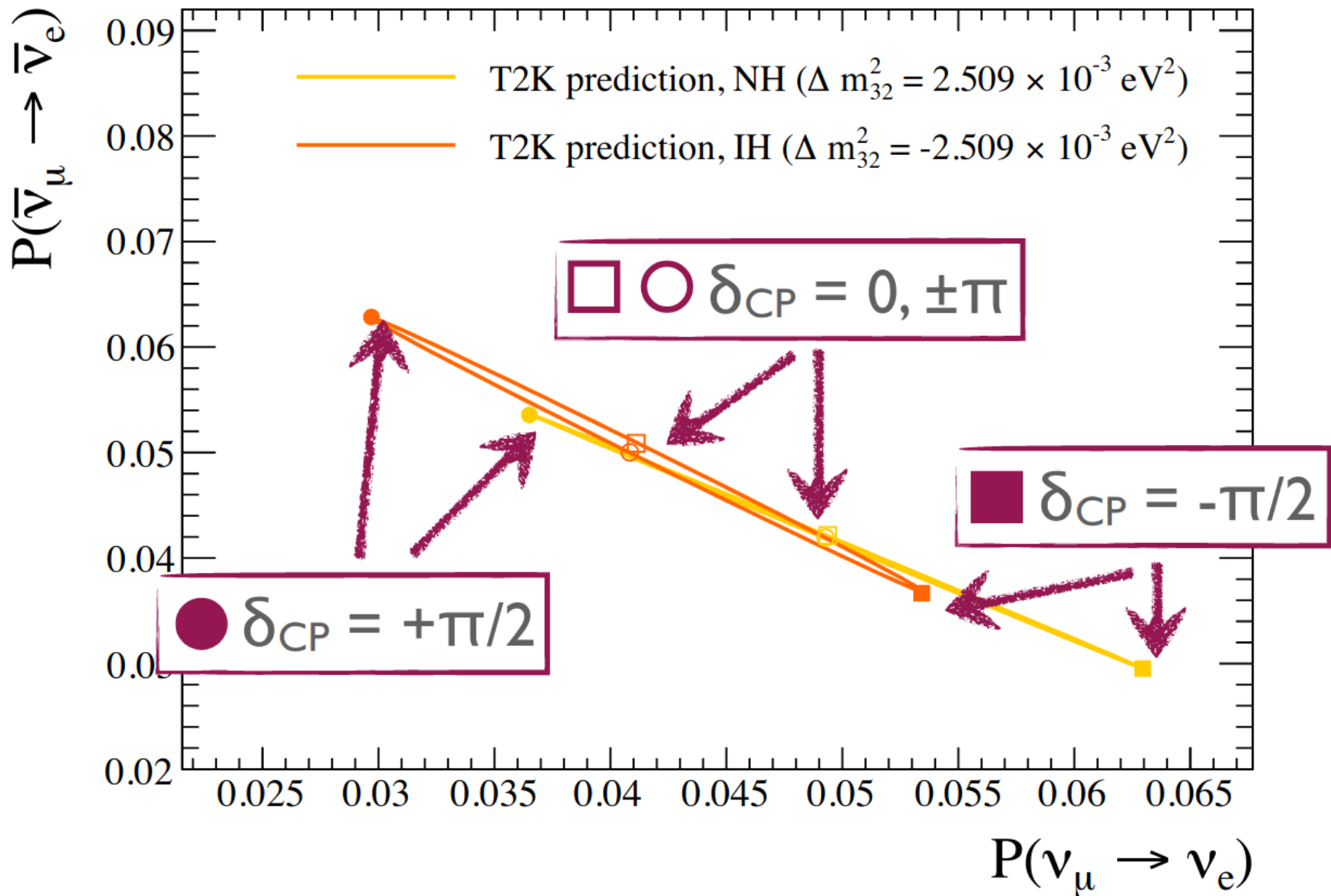
neutrino beam mode

anti-neutrino beam mode

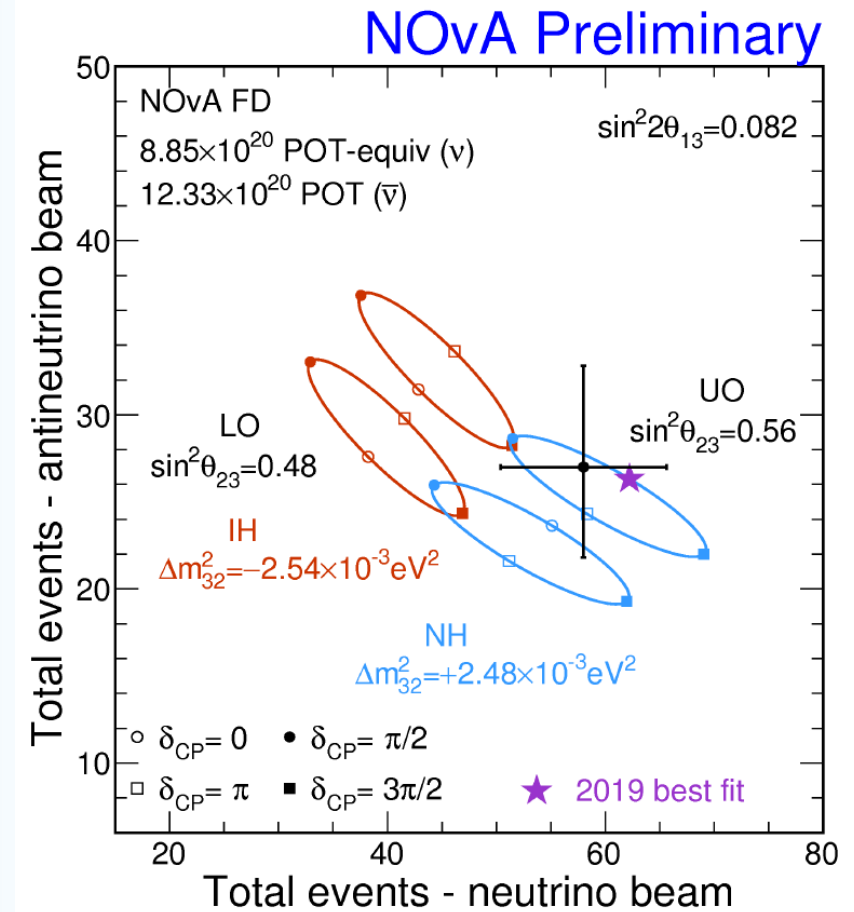
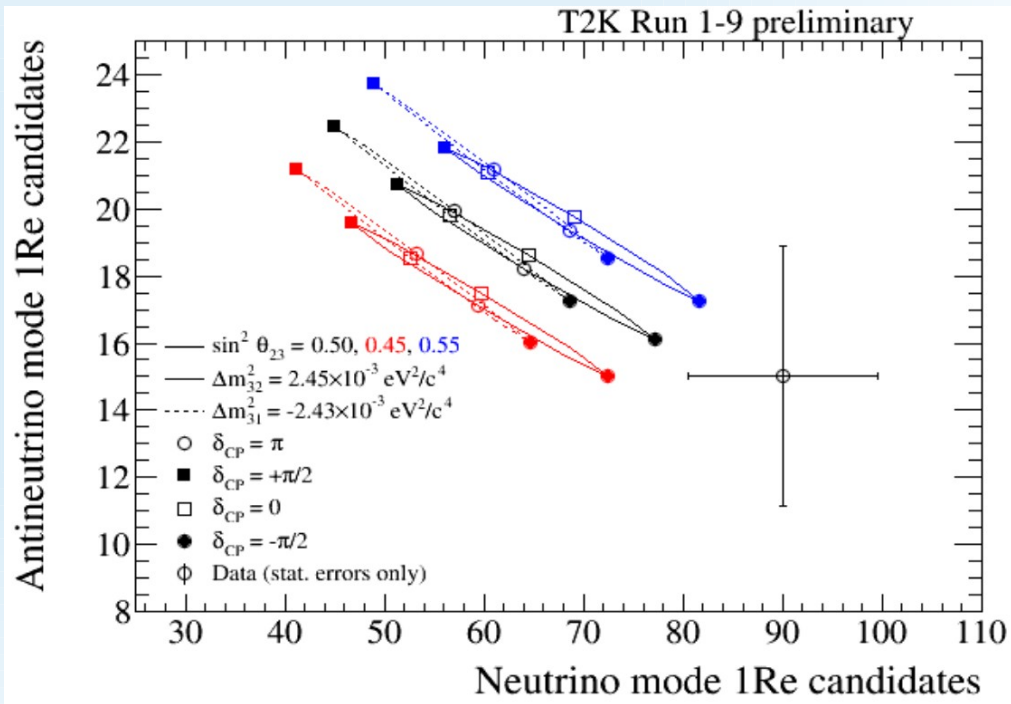
# Off-axis beam kinematics



# Bi-probability plots



# Bi-probability results





# Decay channels of neutrino parents

Tabela 2.1: Kanały rozpadów cząstek-rodziców neutrin, rozpady dla cząstek ujemnych są symetryczne ładunkowo [7].

Kanał rozpadu	Prawdopodobieństwo rozpadu (%)
$\pi^+ \rightarrow \mu^+ \nu_\mu$	99.9877
$\pi^+ \rightarrow e^+ \nu_e$	$1.23 \cdot 10^{-4}$
$K^+ \rightarrow \mu^+ \nu_\mu$	63.55
$K^+ \rightarrow \pi^0 \mu^+ \nu_\mu$	3.353
$K^+ \rightarrow \pi^0 e^+ \nu_e$	5.07
$K_L^0 \rightarrow \pi^- \mu^+ \nu_\mu$	27.04
$K_L^0 \rightarrow \pi^- e^+ \nu_e$	40.55
$\mu^+ \rightarrow e^+ \bar{\nu}_\mu \nu_e$	100

# What happens when matter appears?

- The additional term in the Hamiltonian is a result of different scattering of  $\nu_e$  and  $\nu_{\mu,\tau}$  neutrinos (and antineutrinos).
- $\nu_e$  may scatter via CC and NC interaction
- $\nu_{\mu,\tau}$  may scatter only via NC interaction

