

**cH<sup>±</sup>arged 2010**

**Uppsala, 27-30 September 2010**

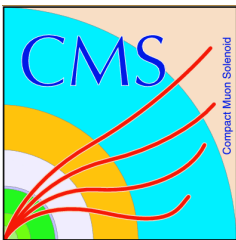


# **QCD backgrounds in charged Higgs searches**

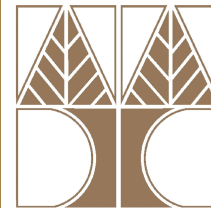
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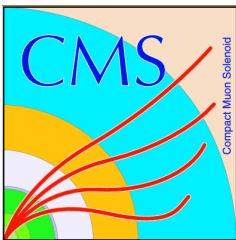
**for the CMS Collaboration**



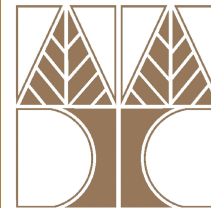
# Outline



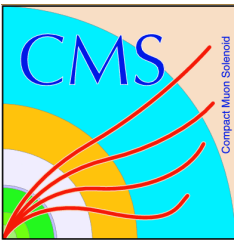
- Introduction
- Tau-Jet ID algorithms
  - **Efficiencies** (MC)
  - **Fake-Rates** (MC & Data)
- Data-driven methods for estimating QCD Multi-Jet background:
  - **Fake-Rate Application** in  $t\bar{t}$  "lepton+jets" channel
  - **Relative Isolation** variable ( $isol_{Rel}$ ) in  $t\bar{t}$  "lepton+jets" channel
  - **Template Fit** in  $t\bar{t}$  "lepton+jets" channel
  - **Kinematical variable  $\alpha_T$**  in SUSY Searches
  - **Fake-Rate Application** in  $H^\pm$  searches (fully hadronic)
- Summary



# Introduction



- QCD Multi-Jet production is:
    - of great importance in **H<sup>±</sup> searches** as it constitutes significant background  
⇒ Need Event Selection that **suppresses** QCD background
    - challenging to predict due to **large** & **poorly known** cross-section.
  - Suppression of **QCD backgrounds** not enough due to:
    - **fake Tau-jets** and..
    - **fake-MET**, resulting primarily from **jet resolution effects**  
which can allow QCD Events to pass the Signal Event selection.
- ⇒ Must control both **Fake Tau-jet Rates** and **MET tails**. To do this need:
- Data-driven methods to **estimate QCD background** in **signal region**

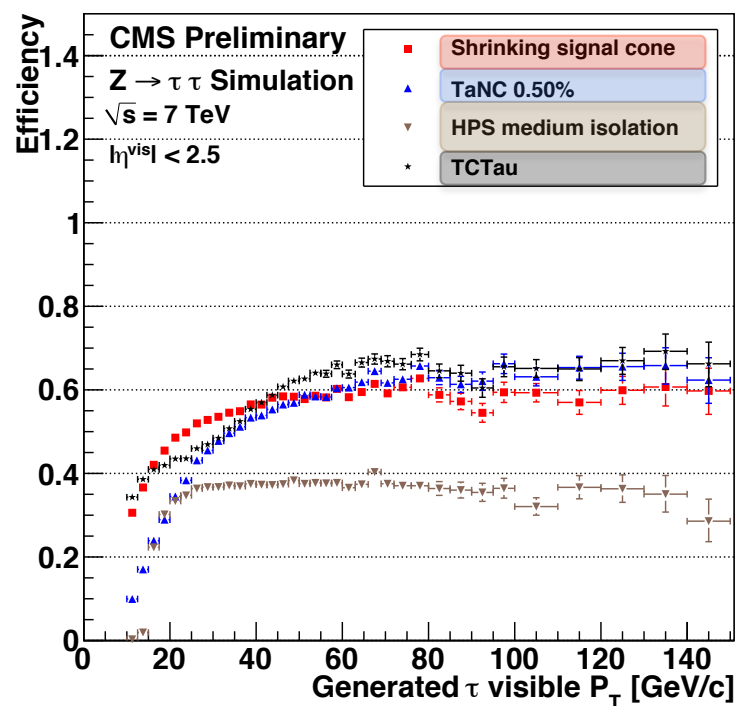
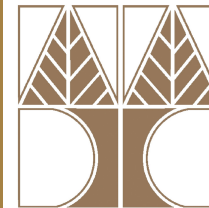


# $\tau$ -Jet ID algorithms



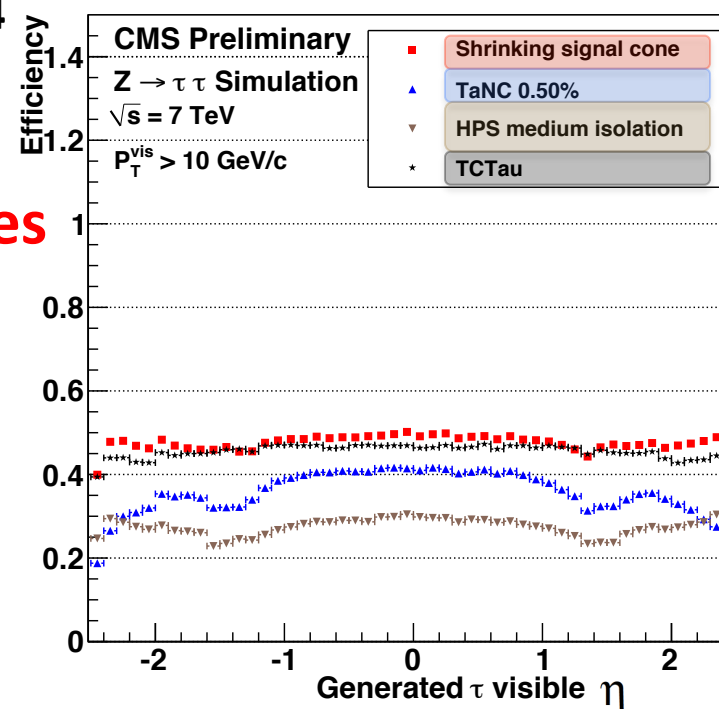
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- Commissioning of the algorithms for RECO and ID of  $\tau$ -Jets @ 7TeV :
  - **Efficiencies** using MC
  - **Fake-Rates** using MC & Data
- Algorithms studied:
  - **Simple algos** (cone-based) : **TCTau** , **PF Shrinking Cone**
  - **Complex algos** (constituent-analysing) : **TaNC** , **HPS**
- **TCTau**: a cut-based  $\tau$ -Jet algorithm that uses Tracker to correct Energy + direction from Calo.
- **PF Shrinking Cone**: uses a **signal cone** that shrinks as a function of jet  $E_T$  ( $\Delta R_{\text{signal}} = 5.0/E_T^{\text{PF-Jet}}$ )
- **TaNC**: A **multivariate** Tau-ID algorithm based on **Neural Networks (NN)**
- **HPS**: Based on charged hadrons and neutral EM objects (photons) & aims for optimised  $\pi^0$  reconstruction

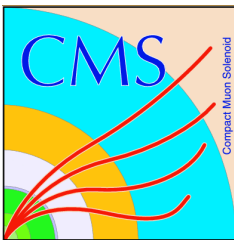


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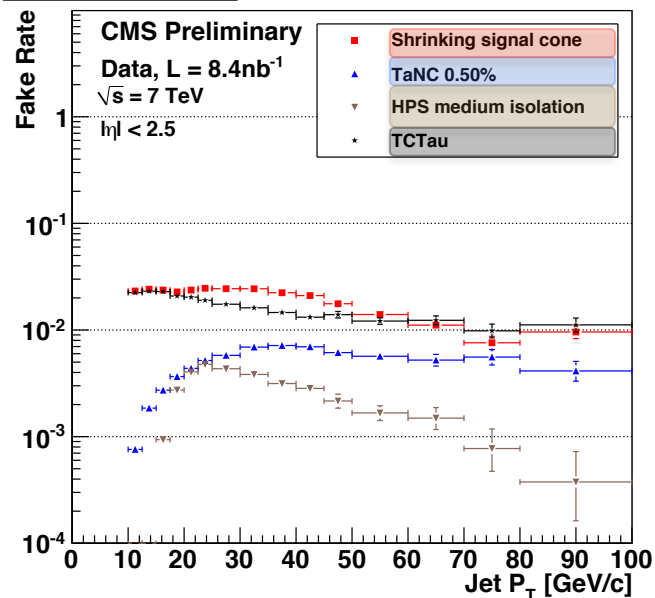
**MC Efficiencies  
@ 7TeV**



- **Efficiency** determined from  $\tau$ -leptons (decaying hadronically) selected at **GEN Level**:
  - using a sample of simulated  $Z \rightarrow \tau^+\tau^-$  events
- **strong  $p_T$  dependence**
  - Fast rise at **low  $p_T$** , reach plateau at **high  $p_T$**  (for both “classes” of algs)
- **flat in  $\eta$**  (efficiency slightly higher for **central jets**)
- Efficiency of all algorithms to be **investigated with data** and **compared** with all physics analyses ( $\sim 100$  pb $^{-1}$ )



# $\tau$ -Jet ID algorithms Fake-Rates

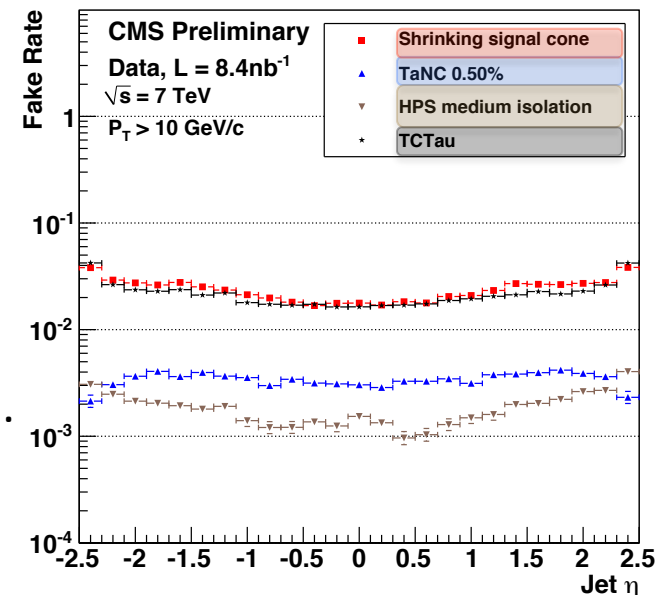


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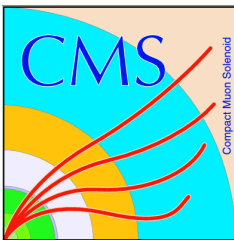
## Fake-Rates Data @ 7TeV

- QCD Multi-Jet data
- parametrized with jet  $p_T$  and  $\eta$ .
- Probability a jet "fakes"  $\tau$ -jet:

$$(P_{\text{fake-rate}})_{\text{bin}} = \left( \frac{N_{\text{jets passed } \tau\text{-ID}}}{N_{\text{jets}}} \right)_{\text{bin}}$$



- Fake-Rates are have **strong  $p_T$  dependence** & are **flat in jet  $\eta$**  :
  - The **PF Shrinking Cone** & **TCTau** have **better** performance at **high  $p_T$**
  - The **HPS** & **TaNC** rise steeply at **low  $p_T$** . **HPS** falls sharply at **high  $p_T$**
- The Fake-Rate & Efficiency of **complex algos** depend on working-point. "Medium tight selection criteria":
  - **HPS**  $\approx 0.2\%$  Fake-Rate for  $\approx 27\%$  efficiency
  - **TaNC**  $\approx 0.3\%$  Fake-Rate for  $\approx 36\%$  efficiency
- **Simple algos** have very similar Fake-Rates & Efficiencies:  $\approx 2.0\%$  Fake-Rate for  $\approx 45\%$  efficiency



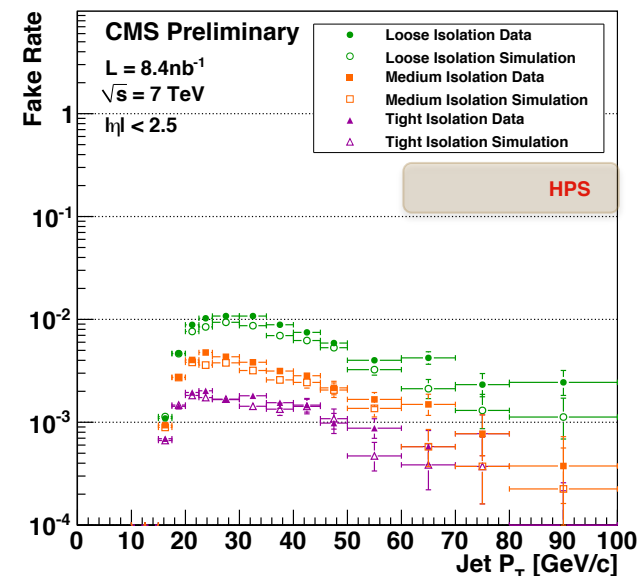
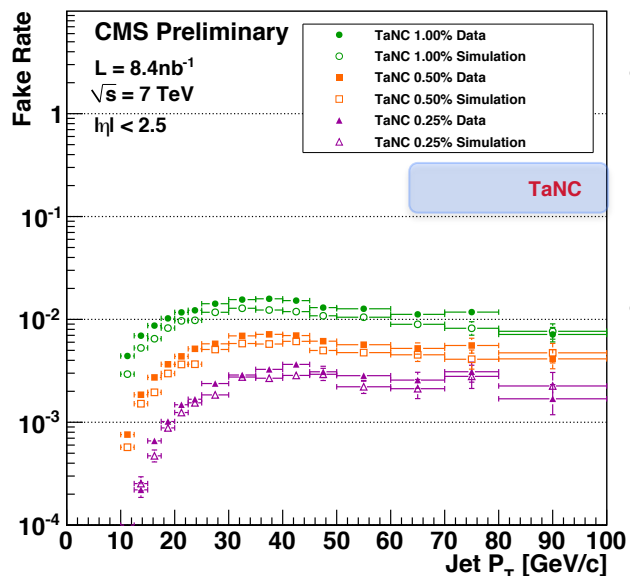
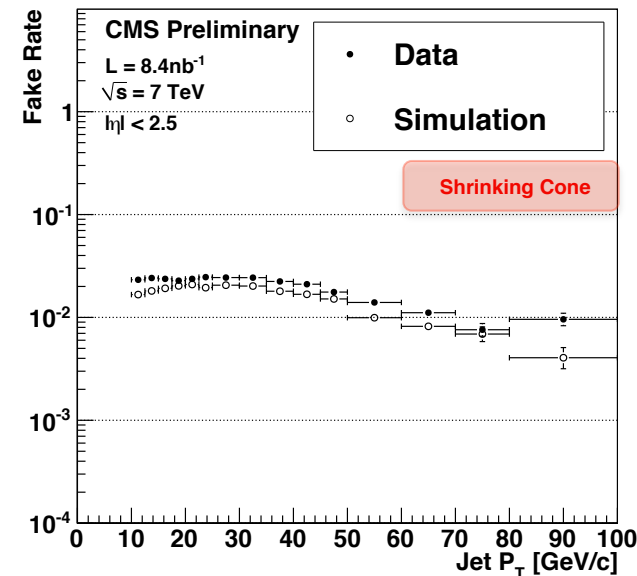
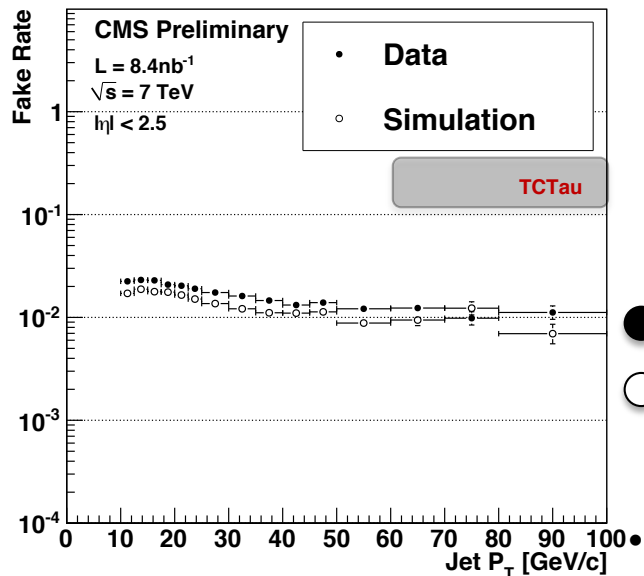
# $\tau$ -Jet ID algorithms Fake-Rates



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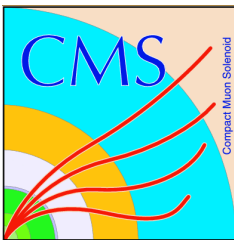
## Fake-Rates MC Vs Data @ 7TeV

● ■ ▲ = Data @ 8.4 nb<sup>-1</sup>  
○ □ △ = Simulation PYTHIA 8.135



MC predictions systematically **underestimate** the fake-rates

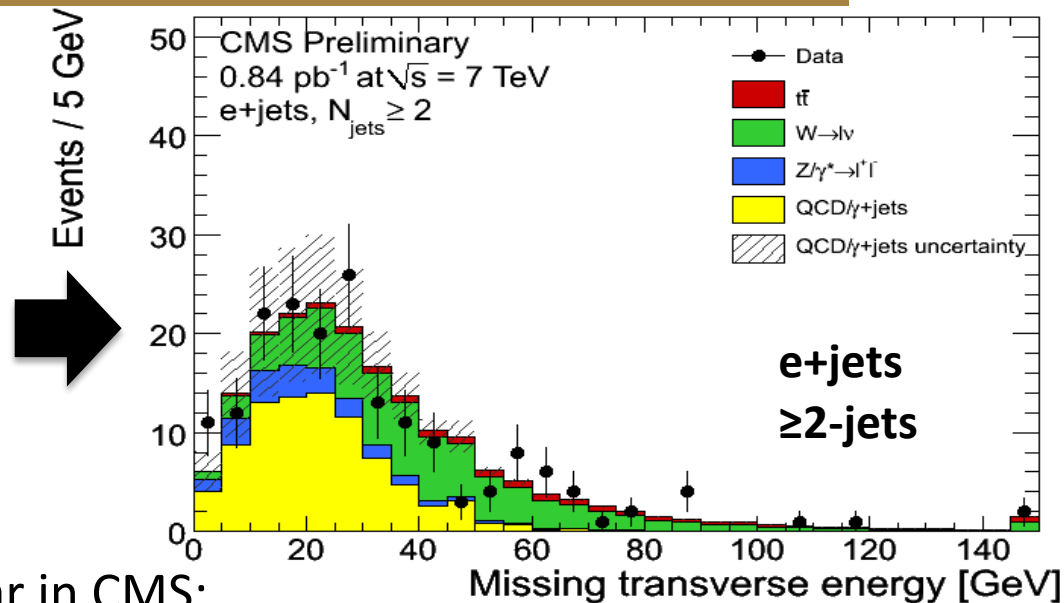
- Preliminary studies suggest “modelling of hadronisation processes in the MC generator” might be the reason.
- Under investigation to what extent different **PYTHIA** tunes affect the MC **Fake-Rates**.



# Data-driven methods for estimating QCD Multi-Jet background



- **Generic QCD bkg**s significant in  $TT\bar{b}$ :
  - Jets faking  $e^-$  in **e+jets final state**
  - muons in hadronic jets from heavy flavours in  **$\mu$ +jets final state**
- Expect similar contributions for **lepton +jets final state in  $H^\pm$  searches**
  - lepton +  $\geq 2$  jets starting point



Data-driven methods investigated so far in CMS:

- $TT\bar{b}$  “lepton+jets” studies (can be used in  $H^\pm$  by replacing lepton with the tau-Jet)
  - **Fake-Rate Application**
  - Extrapolation methods using **Relative Isolation**
  - Fits to discriminating variables using a **Template Fitting** method
- **SUSY** studies
  - Extrapolation methods using **kinematical variable  $\alpha_\tau$**

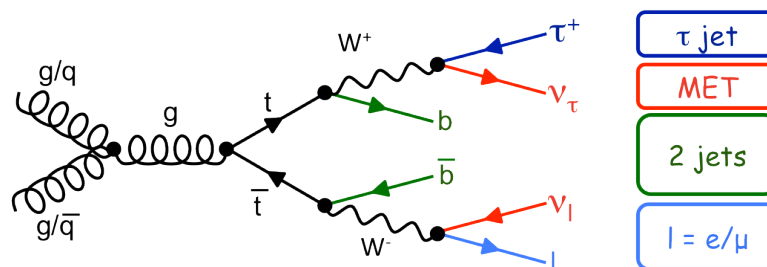


# Fake-Rate Application: $t\bar{t}$ "lepton+jets" channel

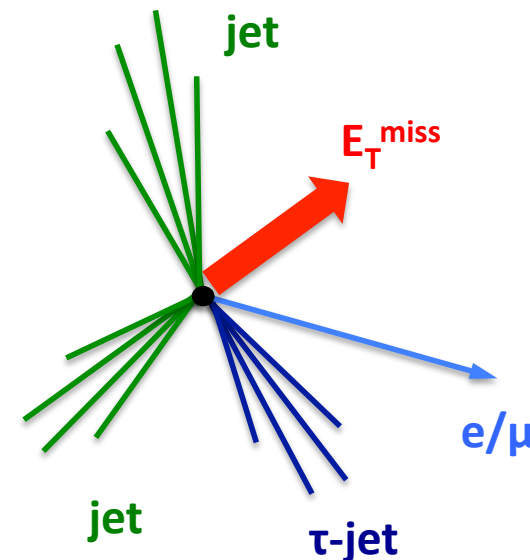
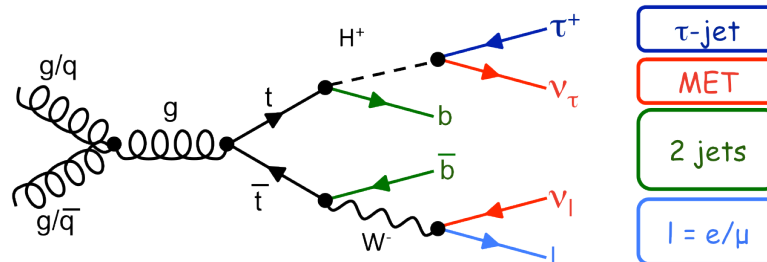


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- Recent  $T\bar{T}$  studies have investigated strategies to estimate backgrounds due to:
  - QCD,  $W$ +Jets,  $T\bar{T}$  with  $W \rightarrow l\nu$ ,  $W \rightarrow qq$
- Fake-Rates** have been used to estimate **Multi-Jet Backgrounds** for  $T\bar{T}$  "lepton+jets" Events:  $t\bar{t} \rightarrow (l\nu)(\tau\nu_\tau) b\bar{b}$ , ( $l = e/\mu$ )



- This channel is of particular interest for  $H^\pm$  searches:

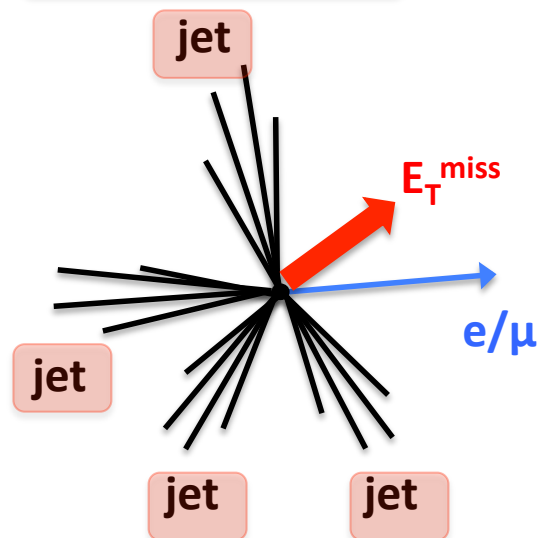


- if  $m_{H^\pm} < m_t$  exists, expect **anomalous**  $\tau$ -lepton production due to  $t\bar{t} \rightarrow W^\mp b H^\pm b$   
 $\Rightarrow$  Same strategies can be used for  $H^\pm$  Searches in the **"lepton+jets" final state**



- Estimated the number of expected Events in **Multi-Jet** and  **$\gamma$ +Jets** samples.
- The **QCD Multi-Jets** and  **$\gamma$ +jets** samples were **normalised** to:  $\int \mathcal{L} dt = 100 \text{ pb}^{-1}$
- Method used:

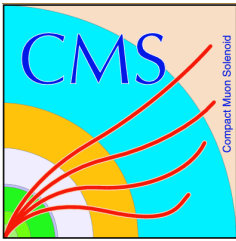
1. Calculate  **$\tau$ -Jet Fake-Rate** & parametrise with **jet  $p_T$** :  $(p^{\text{fake}})_{\text{bin}} = \left( \frac{N_{\text{jets}}^{\tau\text{-ID}}}{N_{\text{jets}}} \right)_{\text{bin}}$
2. Apply **Fake-Rate** to each jet in Event & sum up to get an **Event Weight**



$$N_{\text{fake}}^{\text{Evts}} = \sum_j^{\text{All Evts}} p_j^{\text{fake}}$$

$$p_j^{\text{fake}} = \sum_i^{\text{All jets}} p_i(p_T, \eta)_j$$

3. Number Bkg Events is found by **summing** all **Weighted Events**
4. **Compare** to Number of Events expected from MC



# Fake-Rate Application: $t\bar{t}$ "lepton+jets" channel



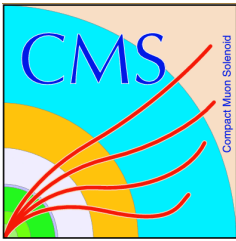
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- The **QCD Multi-Jets sample** was divided to 3 sub-sets (**correlated**)
  - Ldg Jets, Next-To-Ldg Jets, Back-To-Back Jets ( $\Delta\phi > 2.4$ )

| Sample         | Method             | $\tau$ -fakes |              |
|----------------|--------------------|---------------|--------------|
|                |                    | "data"        | MC           |
| QCD Multi-Jets | "all" Jets         | $542 \pm 9$   | $438 \pm 20$ |
| QCD Multi-Jets | Ldg-Jets           | $639 \pm 10$  |              |
| QCD Multi-Jets | "Next-To-Ldg Jets  | $498 \pm 9$   |              |
| QCD Multi-Jets | "Back-To-Back Jets | $577 \pm 10$  |              |
| $\gamma$ +Jets | "all" Jets         | $523 \pm 8$   |              |

## Main Features:

- **Average** of the two samples used is 20% away from MC - underestimate
- Method **strongly depended** on the **sample composition & jet-selection** :
  - **QCD sub-sets** have up to **25% discrepancies** ("Ldg" – "Next-to-Ldg" Jets)
    - Indicative of biases introduced by the various methods



# Relative Isolation: $t\bar{t}$ "lepton+jets" channel



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- **Relative Isolation** quantifies the degree of isolation of a lepton:

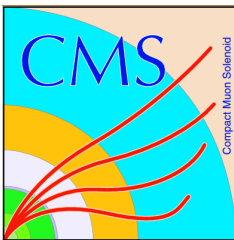
$$\text{isol}_{\text{Rel}}^{\mu/e} = \frac{\sum^{\Delta R^{\text{cone}} < 0.3} p_{\text{T}}^{\text{trk}} + \sum^{\Delta R^{\text{cone}} < 0.3} E_{\text{T}}^{\text{ECAL}} + \sum^{\Delta R^{\text{cone}} < 0.3} E_{\text{T}}^{\text{HCAL}}}{p_{\text{T}}^{\mu/e}}$$

- **Non-isolated** (large isolation values) leptons come mostly from **QCD generic jets**
- Whereas,  $\mu/e$  candidates from W-decays are:

- **isolated** from other Event activity (**small** isolation values)
- consistent with originating from the I.P. (**small** impact parameter  $d_0$ )

⇒ The **signal region** is characterised by **small** values of **Relative Isolation** ( $\text{isol}_{\text{Rel}}$ )

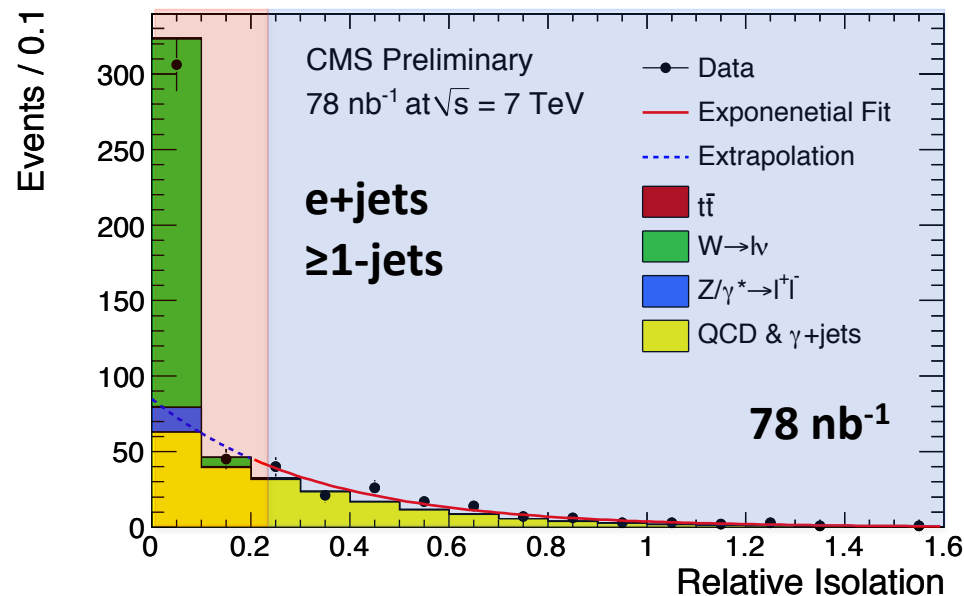
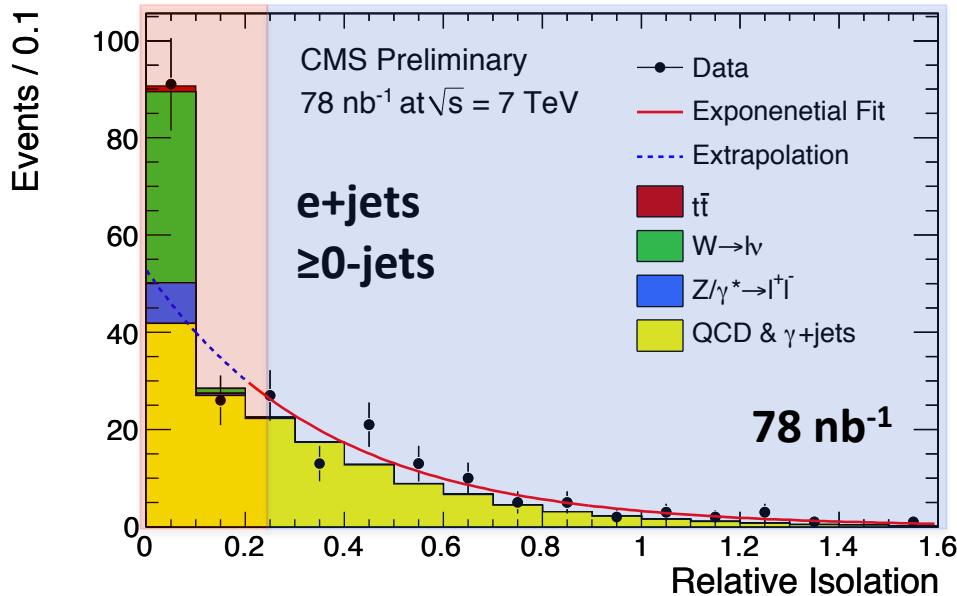
- To estimate the QCD contributions in the **signal region**, one can:
  - define a **control region** (large  $\text{isol}_{\text{Re}}$  values), dominated by **QCD Multi-Jet Events**
  - Fit  $\text{isol}_{\text{Rel}}$  to a function in the **control region** and **extrapolate** in the **signal region**  
e.g.  **$0.1 \leq \text{isol}_{\text{Rel}} \leq 1.0$**  and  **$0.0 \leq \text{isol}_{\text{Rel}} \leq 0.1$**
- Get estimate of number of **QCD Multi-Jet Events** in **signal region**



# Relative Isolation: $t\bar{t}$ "lepton+jets" channel



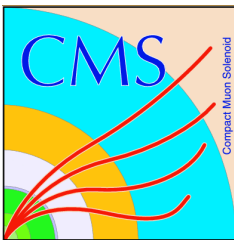
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- **Shaded histograms denote:**
  - Expected Signal & Bkg contributions, Normalized to  $78 \text{ nb}^{-1}$
- **QCD composition:**
  - electrons from b/c-decays in jets and...
  - electrons originating from  $\gamma$ -conversions in Si Tracker
- **Exponential Function Fit**
- **50%** uncertainty assigned to predicted QCD Events
- Good agreement with MC ( $\sim 10\%$  discrepancies)

|               |                |
|---------------|----------------|
| extrapolate   | fit            |
| signal region | control region |

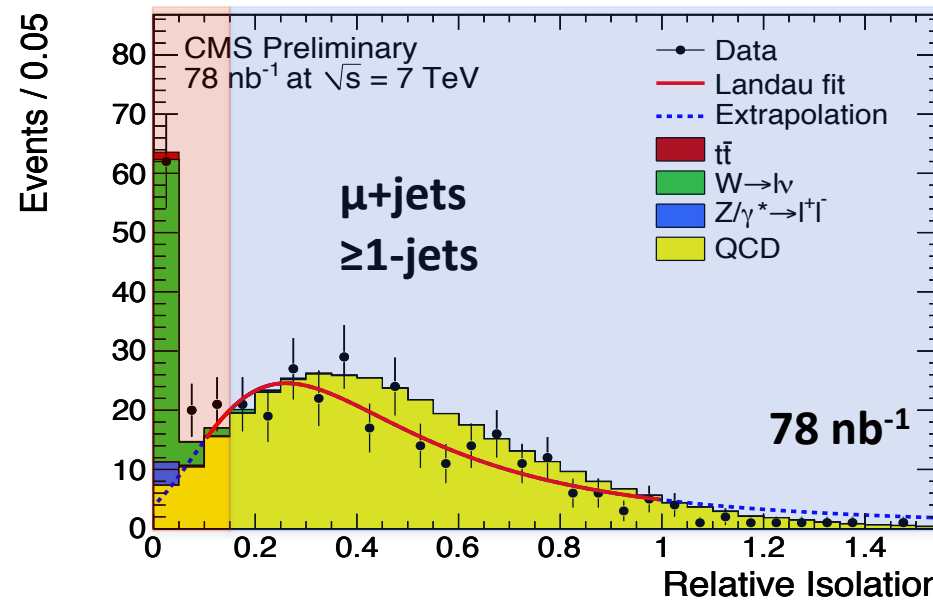
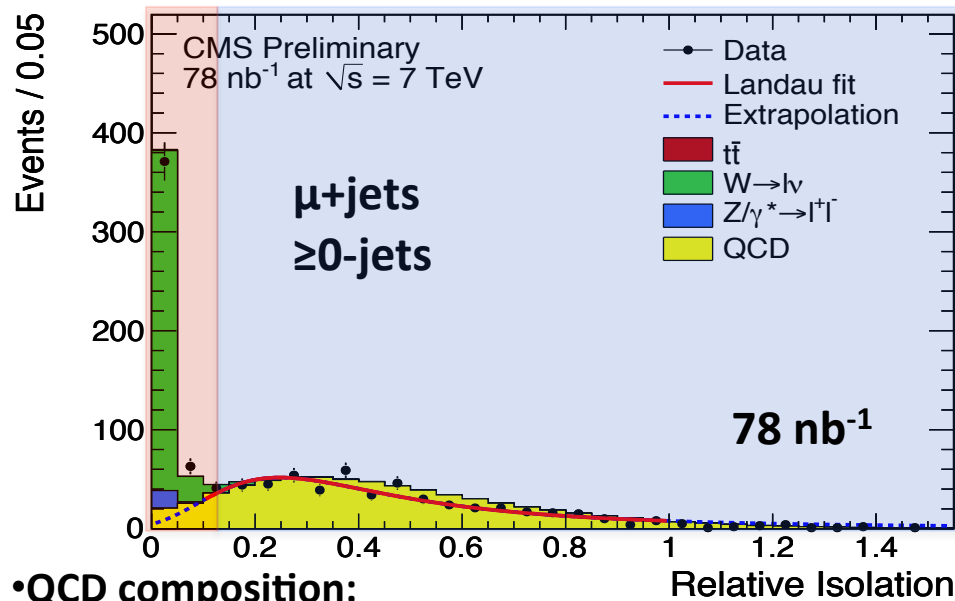
| isol <sub>rel</sub> extrapolation method (e + jets) | method (e + jets) |             |
|---|-------------------|-------------|
|   | ≥ 0-jet           | ≥ 1-jet     |
| Averaged $N_{\text{QCD}}^{\text{est.}}$             | $70 \pm 35$       | $44 \pm 22$ |
| Prediction $N_{\text{QCD}}^{\text{MC}}$             | $63 \pm 7$        | $42 \pm 6$  |



# Relative Isolation: $t\bar{t}$ "lepton+jets" channel



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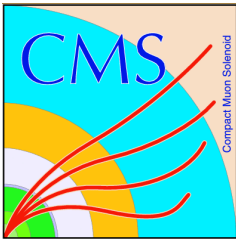


•QCD composition:

- muons from b/c-decays in jets
- decays-in-flight of hadrons
- hadronic showers reaching muon systems
- **Landau Fit** (QCD background composition different)
- **50%** uncertainty assigned to predicted QCD Events
- Poor agreement with MC for  $\geq 0$ -jets ( $\sim 300\%$ )
- Better agreement with MC for  $\geq 1$ -jets ( $\sim 15\%$ )



| isol <sub>rel</sub> extrapolation method ( $\mu + \text{jets}$ ) |               |               |
|--|---------------|---------------|
|  | $\geq 0$ -jet | $\geq 1$ -jet |
| Averaged $N_{\text{QCD}}^{\text{est.}}$                          | $7 \pm 4$     | $6 \pm 3$     |
| Prediction $N_{\text{QCD}}^{\text{MC}}$                          | $21 \pm 2$    | $7 \pm 2$     |



# Template Fit: $t\bar{t}$ "lepton+jets" channel



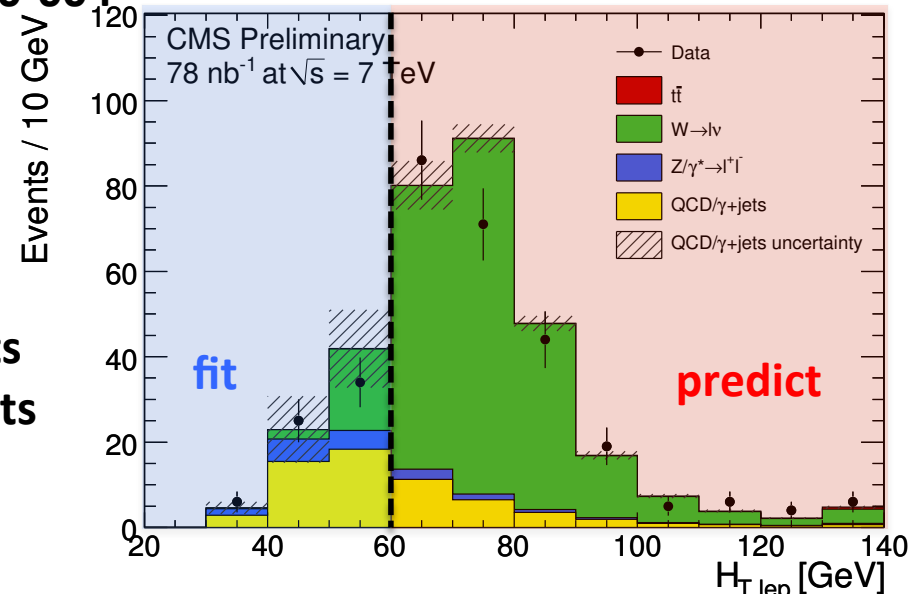
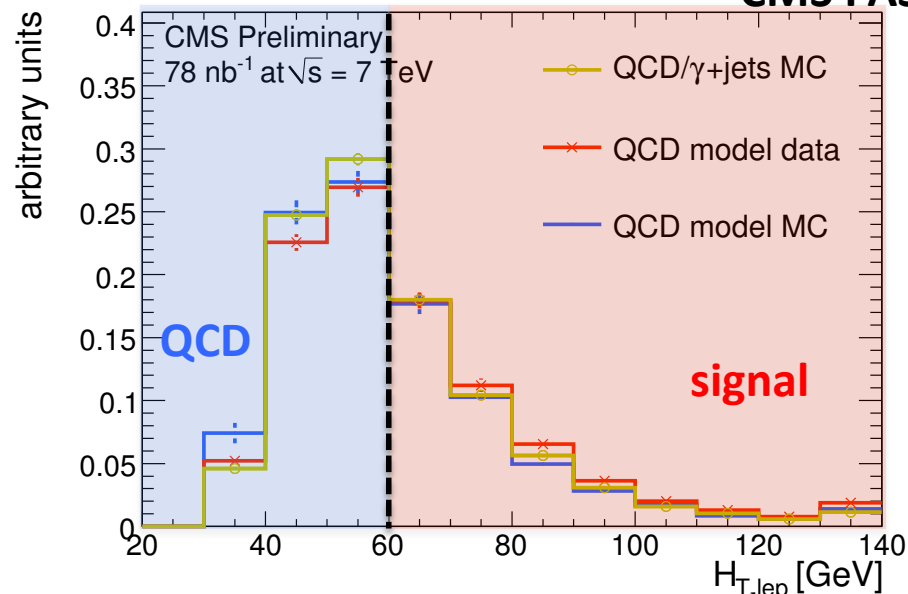
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- Use discriminating **Kinematical Variables** to separate **QCD Multi-Jet** from **signal Events**
  - Missing Transverse Energy ( $E_T^{\text{miss}}$ )
  - Scalar Sum of  $E_T^{\text{miss}}$  and the lepton transverse Energy:  $H_T^{\text{lep}} = E_T^{\text{miss}} + E_T^{\text{lep}}$
- Construct **QCD shapes** from Events with **near-miss  $e^-$**  and **large EM Fraction jets**:
  - **"Background Electrons Template"**: Any e-candidate **failing** any of 3 quality cuts:
    - $\text{isol}_{\text{Rel}} < 0.1$ ,
    - electron-ID
    - $d_0 < 200 \mu\text{m}$  (wrt average beam spot)
  - **"Jet-Electrons Template"**:
    - No e-candidate passed Event Selection
    - 1 Jet with  $E > 30 \text{ GeV}$ ,  $|\eta| < 2.5$ , **EMFraction**  $> 0.9$
    - Use these Jets **as electrons**
- According to simulation, both selections yield a **QCD purity** approx. 99%
- **QCD Model used for estimation**: Normalised mean of the **two templates** (**bkg-e** + **jet-e**)

# Template Fit: $t\bar{t}$ "lepton+jets" channel



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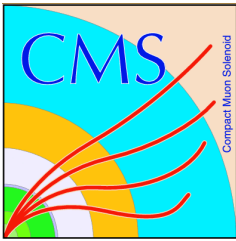


- **Model Bias:** Shift to larger values of  $H_T^{\text{lep}}$  for QCD model data
- **Template Fit Method:**
  - Divide **model distributions** to **QCD region** and **signal region**
  - Fit in **QCD region** ( $0 \leq H_T^{\text{lep}} \leq 60$ ) & extrapolate in **signal region**
- $N_{\text{QCD}}^{\text{nest.}}$  is the integral of the **QCD template** in the **signal region**
- **For  $\geq 0$ -jets:** 50% away from MC predictions
- **For  $\geq 1$ -jets:** 16% away from MC predictions

| Template Fit method ( $\geq 0$ -jet) |                                 |                              |
|--------------------------------------|---------------------------------|------------------------------|
| Variable                             | $N_{\text{QCD}}^{\text{nest.}}$ | $N_{\text{QCD}}^{\text{MC}}$ |
| $\cancel{E}_T > 25$ GeV              | $19 \pm 7$                      | $12.2 \pm 0.2$               |
| $H_T^{\text{lep}} > 60$ GeV          | $39 \pm 11$                     | $26.0 \pm 0.3$               |

| Template Fit method ( $\geq 1$ -jet) |                                 |                              |
|--------------------------------------|---------------------------------|------------------------------|
| Variable                             | $N_{\text{QCD}}^{\text{nest.}}$ | $N_{\text{QCD}}^{\text{MC}}$ |
| $\cancel{E}_T > 30$ GeV              | $8 \pm 5$                       | $5.3 \pm 0.1$                |
| $H_T^{\text{lep}} > 70$ GeV          | $10 \pm 4$                      | $12.4 \pm 0.2$               |





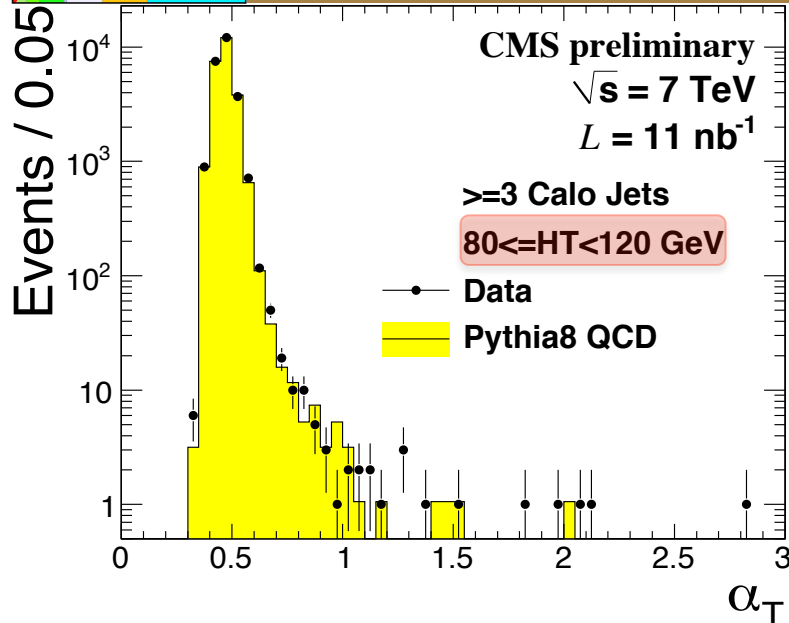
# Kinematical Variable $\alpha_T$ : SUSY Searches



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- The kinematical variable  $\alpha_T$  characterises the  $\mathbf{p}_T$  imbalance of an event.
- Like MET, can be a **powerful discriminator** against **QCD background**.
- For **multi-jets Events (N-jets system)**, group the jets into **2 pseudo-jets** and define:
  - Total Transverse Energy:  $H_T = \sum_i^{\text{jets}} p_{T_i}$
  - Difference in pseudo-jets  $H_T$ :  $\Delta H_T = p_T^{\text{pseudo-jet1}} - p_T^{\text{pseudo-jet2}}$
  - Missing  $H_T$ :  $MHT = \left| \sum_i^{\text{jets}} -\vec{p}_{T_i} \right|$  (“Hadronic” MET)
    - $\rightarrow$  MHT is dependent on jet thresholds
- The **unique configuration** of the **2 pseudo-jets** is the one that **minimises  $\Delta H_T$** .
- For an **N-jets system** the variable  $\alpha_T$  is:  $\alpha_T = \frac{1}{2} \frac{H_T - \Delta H_T}{\sqrt{H_T^2 - (MHT)^2}}$
- For a **perfectly balanced** multi-jet event with no real MET:  $\alpha_T \rightarrow 0.5$ 
  - **QCD Events** largely confined in the region:  $\alpha_T \leq 0.5$
- **SUSY &  $H^\pm$  searches**: extend to well above 0.5 (effect **increases** with  $m_{H^\pm}$ )

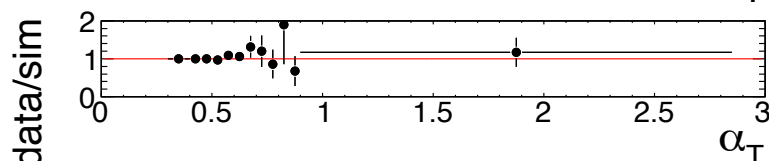
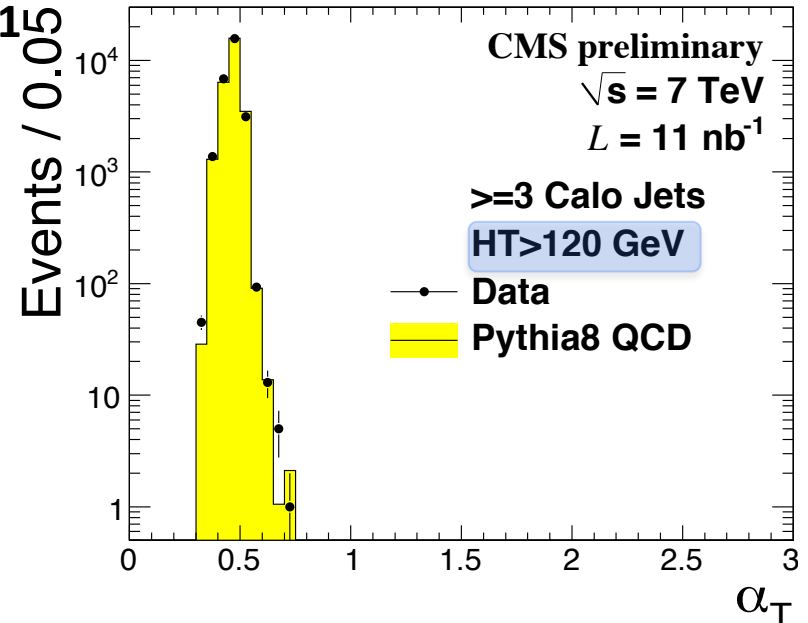
# Kinematical Variable $\alpha_T$ : SUSY Searches



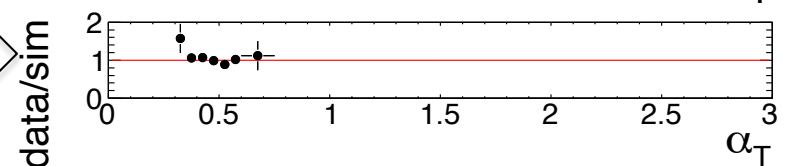
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## MC Vs Data

- Data:  $12 \text{ nb}^{-1}$  sample
- Trigger:
  - $\geq 1 \text{ jet}$
  - $p_T > 15 \text{ GeV}$



← Data/MC →



• Good agreement between MC and Data.

• Most QCD Events have  $\alpha_T \leq 0.5$ .

• Significant tail for slice  $80 \leq H_T \leq 120 \text{ GeV}$  with  $\alpha_T > 0.5$  (both MC and Data)

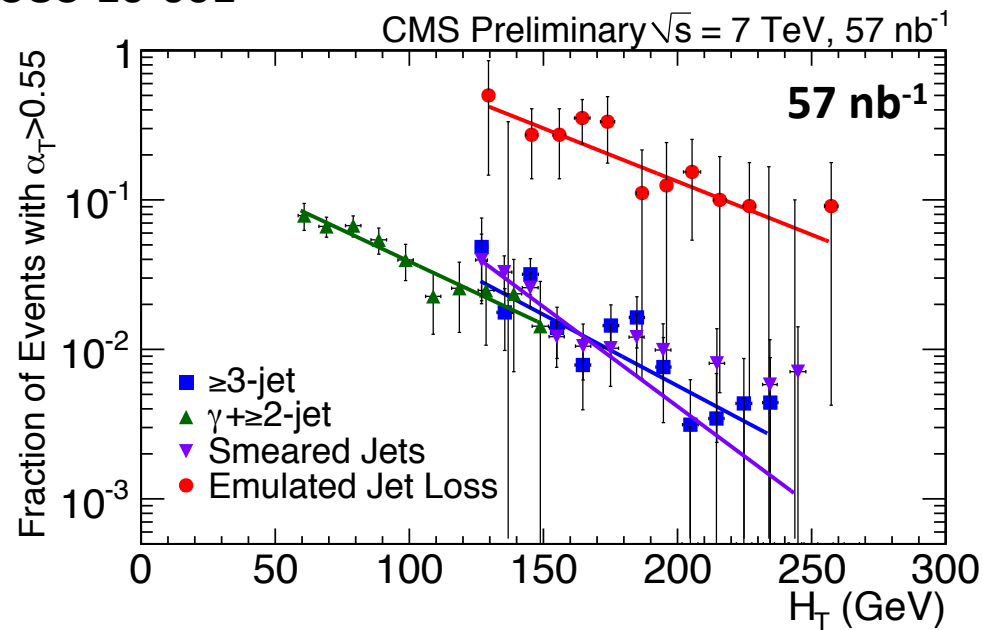
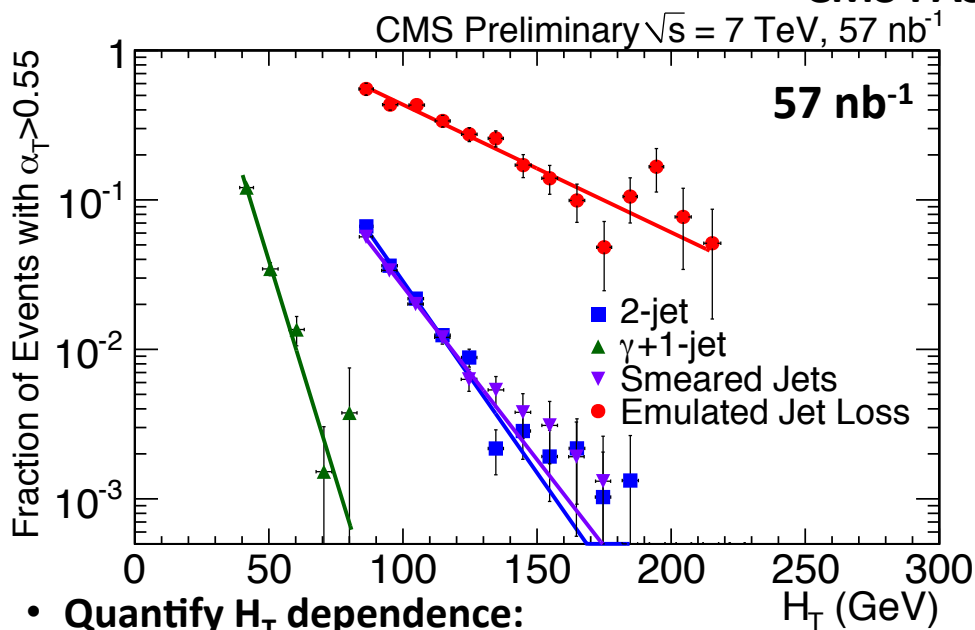
•  $\alpha_T$  tail of QCD Events **reduced dramatically** by **increasing  $H_T$**  in Event:

- Jet resolution effects become **less significant**  $\Rightarrow$  balance of pseudo-jets “easier”

• For  $H^\pm$  fully hadronic final state we require:  $H_T > 110 \text{ GeV}$



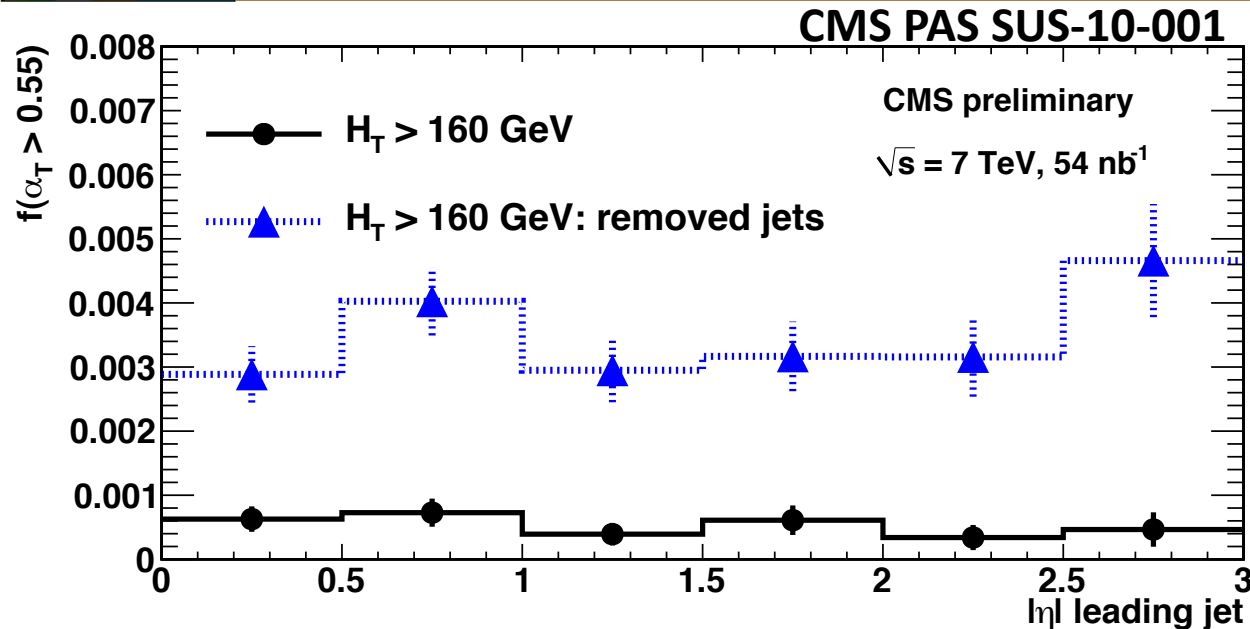
## CMS PAS SUS-10-001



- Quantify  $H_T$  dependence:

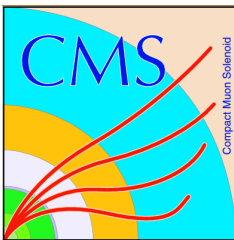
- Compute fraction of Events that pass the  $\alpha_T \geq 0.55$  cut as a function of  $H_T$
- ~Exponential decrease of surviving events with increasing  $H_T$  observed (for both 2-jet and  $\geq 3$ -jets)
- Same behaviour even if:
  - A photon is treated as a jet
  - Jet energies are smeared
  - A jet is randomly removed from Event

⇒ Rejection power of  $\alpha_T$  gets better with increasing  $H_T$ , even with mis-measurement biases



Fraction of Events passing the  $\alpha_T > 0.55$  cut, as a function of Leading Jet  $|\eta|$

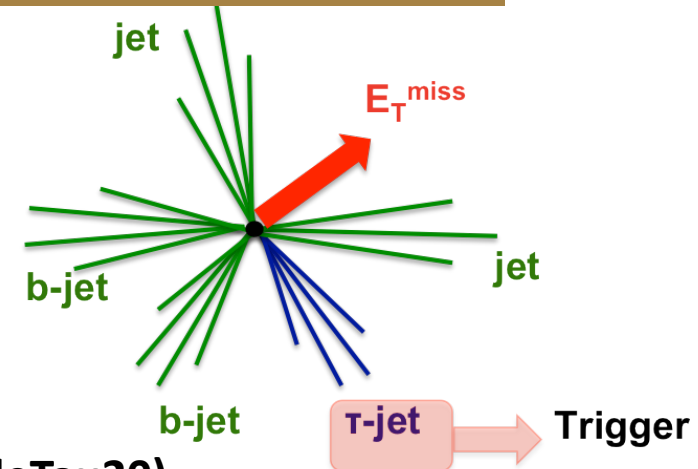
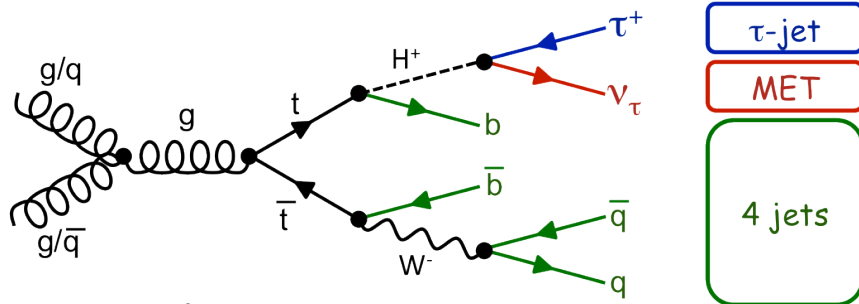
- QCD Events with  $\alpha_T > 0.55$  show:
  - **no dependence** on the **Leading Jet  $|\eta|$**
  - Simulating **large lost MET** (by randomly removing a jet from the Event) has **no effect**
- **SUSY** Events expected to be **more central** than QCD Events.
- **Estimate QCD background** by use of signal-depleted **control sample** at high  $|\eta|$
- **Extrapolate QCD background** in the SUSY **signal region** (low  $|\eta|$ )
- Under investigation whether the **same method** can be used for  **$H^\pm$  searches**



# Fake-Rate Application: $H^\pm$ searches (fully hadronic)



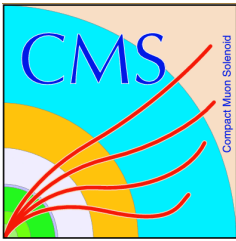
$$t\bar{t} \rightarrow W^\mp b H^\pm b \rightarrow (q\bar{q}') (\tau\nu_\tau) bb$$



Event selection:

- **HLT\_IsoTau20\_Trk15 + HLT\_MET20** (seeded by L1SingleTau20)
- **1  $\tau$ -jet** which must match HLT Tau object ( $E_\tau > 40$  GeV ,  $p_{\tau}^{\text{LdgTrk}} > 20$  GeV/c ,  $|\eta| < 2.4$  )
- $N_{\text{jets}} \geq 3$  ( $E_\tau > 30$  GeV ,  $|\eta| < 2.4$  )
- **$E_\tau^{\text{miss}} > 60$  GeV**
- **Other possible cuts:** 1 (or two) b-tagged jets, hadronic top reconstruction,  $M_\tau$  reco of  $H^\pm$
- Plans for **Tau-Jet Fake-Rates** measurement using data:
  - Use **Single Jet Trigger**
  - Select **Multi-Jet Events** ( $\geq 3$  jets with  $E_\tau > 30$  GeV and  $|\eta| < 2.4$  )
  - Apply the tau-ID to jets that pass the **HLT\_IsoTau20\_Trk15** trigger:

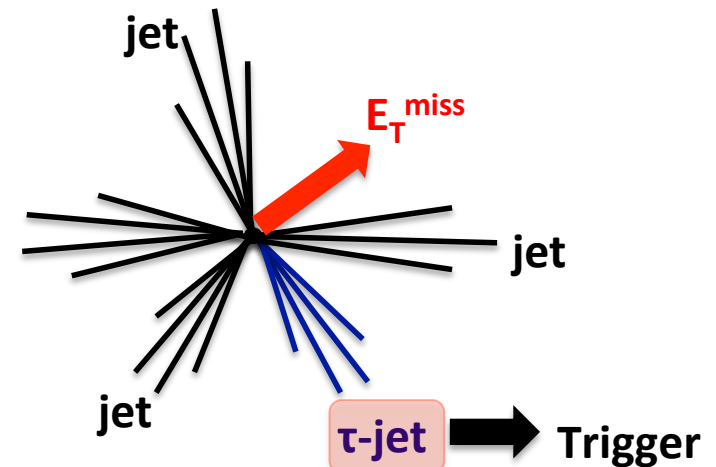
$$p^{\text{fake}} = \left( \frac{N_{\text{jets}}^{\tau\text{-ID}}}{N_{\text{jets}}^{\text{HLT } \tau\text{-ID}}} \right)$$

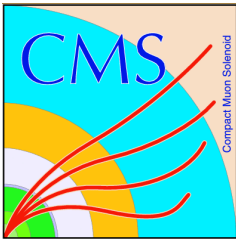


## Fake-Rate Application: $H^\pm$ searches (fully hadronic)



- Measurement strategy for **QCD Multi-Jets**:
  - **Fake-Rate Application** to estimate Number of QCD Events in signal region
- **Event selection**:
  - **HLT\_IsoTau20\_Trk15 + HLT\_MET20** (seeded by L1SingleTau20)
  - Get **QCD dominated** sample (TTbar & W+jets assumed negligible)
- **Apply Fake-Rate** to offline tau (matched to **HLT  $\tau$ -Jet**) to get **Event Weight**
- Apply a **QCD-efficiency factor ( $\epsilon_{\text{QCD}}$ )** as determined by data  
(fraction of QCD events that survives all selection cuts **apart** from tau-ID)
- Number of surviving events gives **Number of estimated QCD Events** in signal region
- **Systematic Uncertainties** expected for this method:
  - **Purity** of QCD sample - **Check bias with MC**
  - Accuracy of Fake-Rates estimations.
  - Correlation between  $\epsilon_{\text{QCD}}$  and  $\tau$ -ID efficiencies?
    - Possibility to **under-estimate QCD Events**

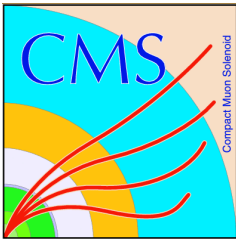




# Summary



- **Generic QCD** is expected to be important background in  **$H^\pm$  searches**.
- **Data-driven techniques to estimate QCD background** are in place:
  - **“lepton+jets” final state:**
    - Fake-Rate Application recipe, as used in  **$TTbar$  “lepton+jets”**
  - **Fully Hadronic final state:**
    - Fake-Rate Application using a  $\tau$ -Jet + MET Trigger and a QCD-efficiency factor.
    - Methods used for  **$TTbar$  “lepton+jets”** channel  
(by replacing the lepton with the tau-Jet)
    - Possibility of using  $\alpha_\tau$  under investigation
- Stay tuned for **updates & first results**

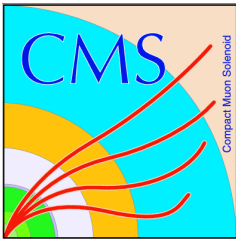


# References



- “ *Study of tau reconstruction algorithms using pp collisions data collected at  $\sqrt{s} = 7$  TeV* ”, CMS Physics Analysis Summary: **CMS PAS PFT-10-004**
- “ *Towards the measurement of the  $t\bar{t}$  cross section in the e-tau and mu-tau dilepton channels in pp collisions at  $\sqrt{s} = 14$  TeV* ”, CMS Physics Analysis Summary: **CMS PAS TOP-08-004**
- “ *Selection of Top-Like Events in the Dilepton and Lepton-plus-Jets Channels in Early 7 TeV Data* ”, CMS Physics Analysis Summary: **CMS PAS TOP-10-004**
- “ *Performance of Methods for Data-Driven Background Estimation in SUSY Searches* ”, CMS Physics Analysis Summary: **CMS PAS SUS-10-001**





# QCD backgrounds in charged Higgs searches



## BACK-UP SLIDES

# Track Corrected Tau (TCTau)



CMS PAS PFT-10-004

- A robust, cut-based algo that uses Tracker to correct Energy + direction:
  - Uses jets reconstucted from **tracks** and **E-deposits** in ECAL + HCAL

- **Takes reconstructed tracks:**

- within a cone with  $\Delta R_{\text{match}} = 0.1$  around jet axis:
- with  $p_T > 0.5 \text{ GeVc}^{-1}$

- **Ldg Trk of jet** ( $p_T^{\text{LdgTrk}} > 5 \text{ GeVc}^{-1}$ ) is:

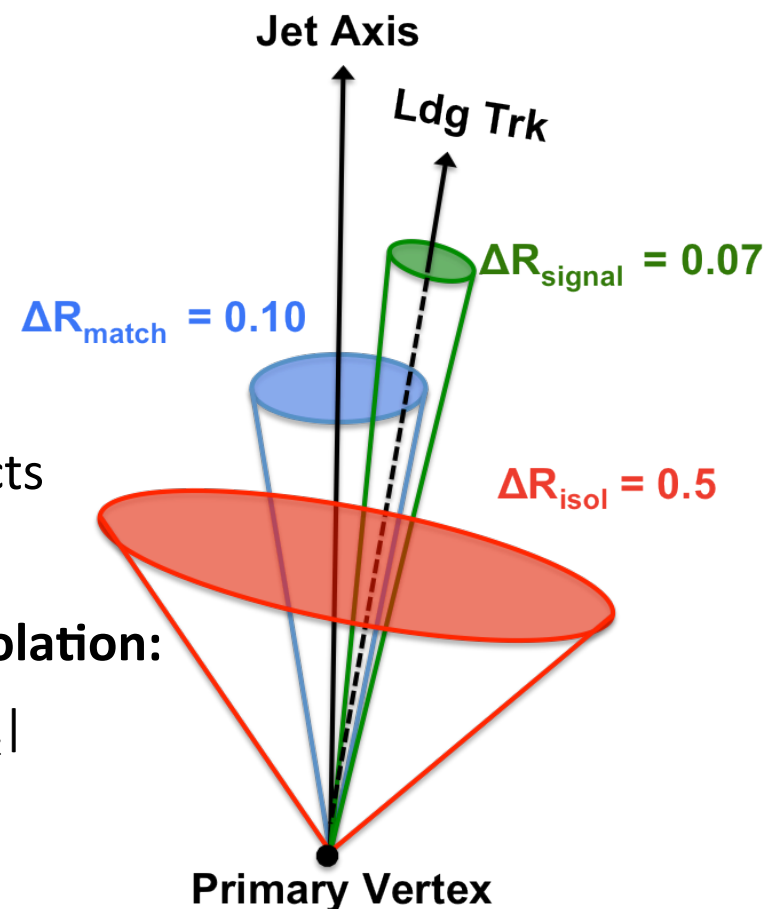
- highest  $p_T$  track within cone with  $d_0 < 0.1 \text{ mm}$

- **All Trks** within **signal cone** considered as tau products

$$(d_{0,z} < 10\text{mm})$$

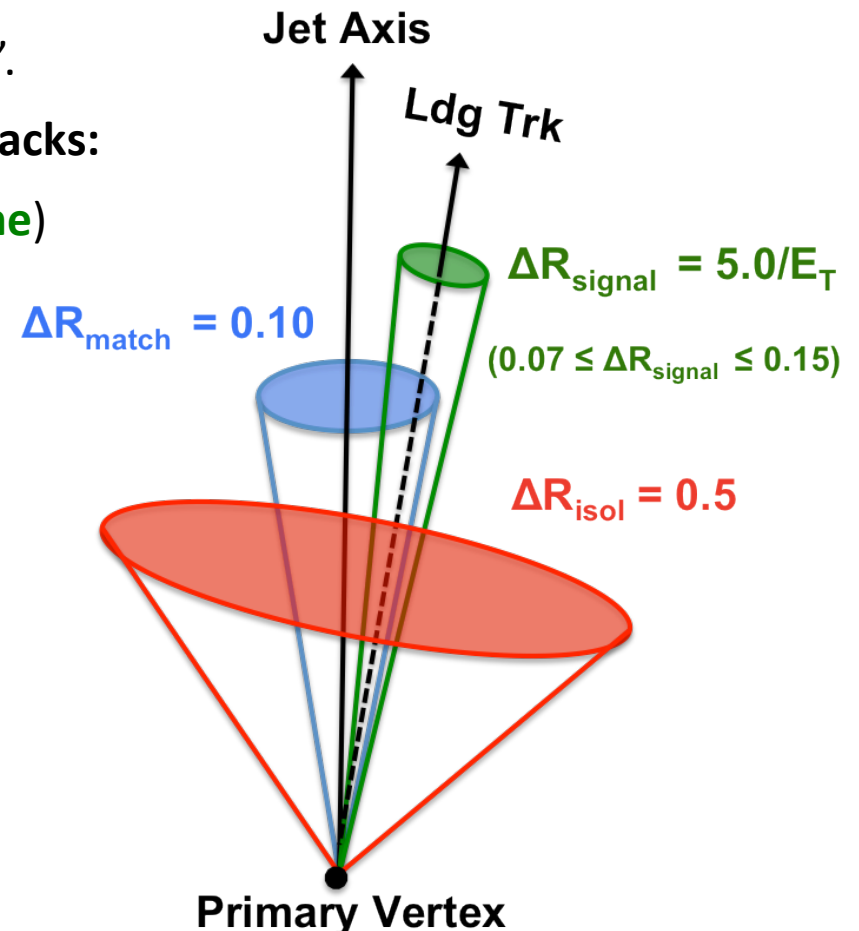
- **All Trks** in **isolation annulus** considered for **Track isolation:**

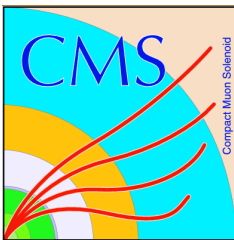
- no Trk with  $p_T > 1.0 \text{ GeVc}^{-1}$  **OR**  $\Delta d_{0,z} = |d_{0,z}^{\text{LdgTrk}} - d_{0,z}|$





- The aim PF algo is to Reco and ID all stable particles within an Events using all sub-detectors :
  - $e, \mu, \gamma$ , hadrons (charged and neutral)
 and make them all available in an "Event particle-list".
- **PF Tau 4-Momentum reconstructed using Sum of Tracks:**
  - around direction of the Ldg  $p_T$  (within **signal cone**)
  - with  $p_T > 0.5 \text{ GeVc}^{-1}$
  - within a **signal cone**  $\Delta R = 5.0/E_T$
- **Ldg Trk of jet** ( $p_T^{\text{LdgTrk}} > 5 \text{ GeVc}^{-1}$ ) is:
  - The highest  $p_T$  track within **matching cone**
- **Isolation Requirement**
  - No **charged hadrons** or  $\gamma$  with  $p_T > 1.5 \text{ GeVc}^{-1}$
- Compared to **PF Fixed Signal Cone**
  - Has **Higher Efficiency** but **Larger Fake-Rate**





# Tau Neural Classifier (TaNC)



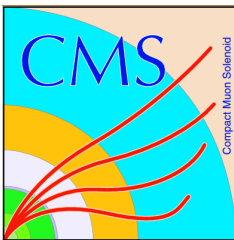
CMS PAS PFT-10-004

- A **multivariate** Tau-ID algorithm based on **Neural Networks (NN)**
- Considers **individual** Tau hadronic decay modes
- Looks for **intermediate resonances** ( $\rho^\pm$ ,  $\alpha^{\pm 1}$ ) using:
  - a) **method** to reconstruct decay mode
  - b) **NN** classifiers to identify each decay mode

## Decay modes considered

| Decay Mode                                      | Resonance     | BR (%) |
|---|---------------|--------|
| $\tau^- \rightarrow h^- \nu_\tau$               |               | 11.6%  |
| $\tau^- \rightarrow h^- \pi^0 \nu_\tau$         | $\rho^-$      | 26.0%  |
| $\tau^- \rightarrow h^- \pi^0 \pi^0 \nu_\tau$   | $\alpha^{1-}$ | 10.8%  |
| $\tau^- \rightarrow h^- h^+ h^- \nu_\tau$       | $\alpha^{1-}$ | 9.8%   |
| $\tau^- \rightarrow h^- h^+ h^- \pi^0 \nu_\tau$ |               | 4.8%   |
| Total   |               | 63.1%  |
| Other hadronic modes                            |               | 1.7%   |

- **Major task:** Determine number of  $\pi^0$ 's in **signal cone**: ( $\pi^0 \rightarrow \gamma\gamma$ )
  - Examine all photons in **signal cone** with Inv. Mass  $< 0.2 \text{ GeV}c^2$
  - Tag best pairs as  $\pi^0$ 's
- Reconstruct decay mode of tau candidate
- Feed Tau candidate to an ensemble of NN, each dedicated to a specific  $\tau$ -hadronic decay
- Get output of NN according to «chosen working point»
- There are 3 “**working-points**”, based on NN output cuts yield:
  - Loose, medium and tight (1.0%, 0.5% and 0.25% **fake-rates**)



# Hadron Plus Strips (HPS)



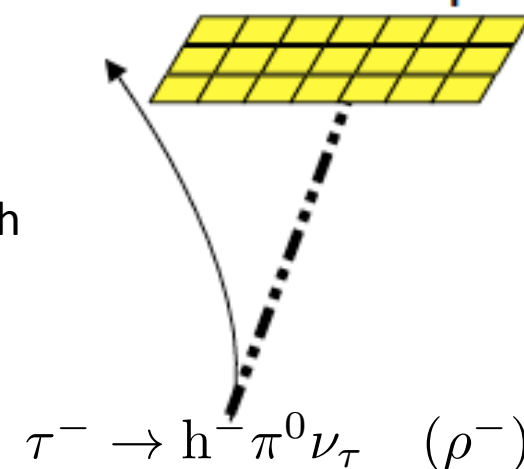
CMS PAS PFT-10-004

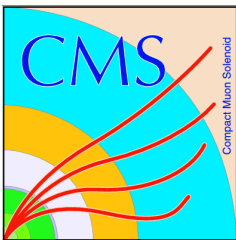
- Starts from PF jet and searches for Tau hadronic decay products
- Reconstruct photons in “strips”, built from EM objects
- Start from **most energetic** PF EM particle within jet
  - Search for other PF EM particles within  
 $\Delta\eta^{\text{strip centre}} = 0.05$  ,  $\Delta\phi^{\text{strip centre}} = 0.20$
  - Associated most energetic particle with a strip
  - **Re-Calculate** strip position
  - Search for **next most energetic** PF EM particle
- **Combine strips** with charged hadrons ( $p_T^{\text{strip}} > 1 \text{ GeVc}^{-1}$ )
- **Reconstruct** Tau 4-Vector using **Hadrons + Strips**
- Three “Working Points”. No charged Hadrons within  $\Delta R_{\text{isol}} = 0.5$  with
  - $p_T^{\text{Ch.Hadr.}} > 1.0 \text{ GeVc}^{-1}$  ,  $E_T^\gamma > 1.5 \text{ GeV}$  - **Loose Isolation**
  - $p_T^{\text{Ch.Hadr.}} > 0.8 \text{ GeVc}^{-1}$  ,  $E_T^\gamma > 0.8 \text{ GeV}$  - **Medium Isolation**
  - $p_T^{\text{Ch.Hadr.}} > 0.5 \text{ GeVc}^{-1}$  ,  $E_T^\gamma > 0.5 \text{ GeV}$  - **Tight Isolation**

## Decay modes considered

| Decay Mode                                      | Resonance     | BR (%) |
|---|---------------|--------|
| $\tau^- \rightarrow h^- \nu_\tau$               |               | 11.6%  |
| $\tau^- \rightarrow h^- \pi^0 \nu_\tau$         | $\rho^-$      | 26.0%  |
| $\tau^- \rightarrow h^- \pi^0 \pi^0 \nu_\tau$   | $\alpha^{1-}$ | 10.8%  |
| $\tau^- \rightarrow h^- h^+ h^- \nu_\tau$       | $\alpha^{1-}$ | 9.8%   |
| $\tau^- \rightarrow h^- h^+ h^- \pi^0 \nu_\tau$ |               | 4.8%   |
| Total   |               | 63.1%  |
| Other hadronic modes                            |               | 1.7%   |

## Hadron + Strip





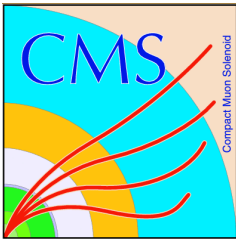
# Fake-Rates of $\tau$ -Jet algorithms



PAS CMS PFT-10-004

- **Data Sample & Event Selection:**

- Integrated Luminosity of **8.4 nb<sup>-1</sup> @ 7 TeV**
- Based on **JetMETTau SD** , **MinBias PD**
- Events required to pass **HLT\_Jet15U** (single jet trigger,  $E_T > 15$  GeV)  
(=>dominated by **QCD multi-jet events**)
- **Jets considered:**  $p_T^{\text{jet}} > 10 \text{ GeV}c^{-1}$  ,  $|\eta^{\text{jet}}| < 2.5$
- Data compared to **QCD Multi-Jet MC** samples using **PYTHIA 8.135**



# Fake-Rate Application: $t\bar{t}$ "lepton+jets" channel

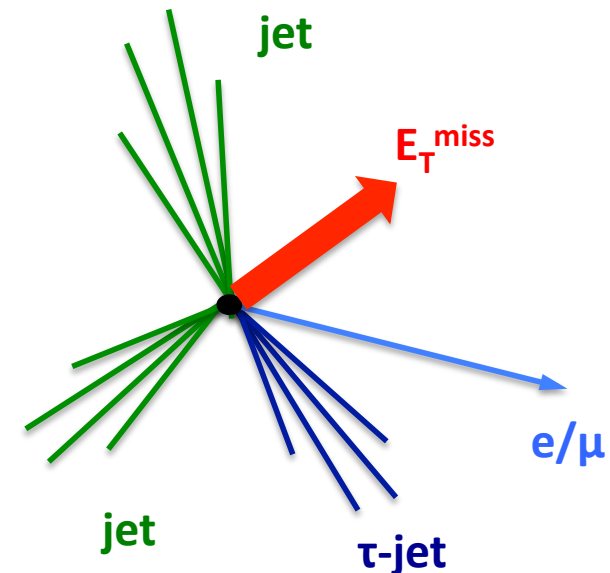


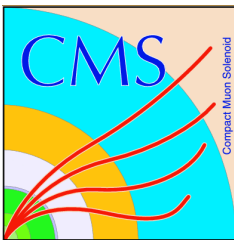
CMS PAS TOP-08-004

**TTbar "lepton+jets" Events ( $e/\mu + \tau$ -Jet):**  $t\bar{t} \rightarrow (l\nu) (\tau\nu_\tau) b\bar{b}$  ,  $(l = e/\mu)$

Event-Selection for the **signal channel**:

- HLT1MuonNonIso **OR** HLT1Muon **OR** HLT1ElectronRelaxed **OR** HLT1Electron **OR** HLT1jet
  - a)  $\geq 1$  **isolated lepton** ( $e/\mu$  with  $p_T > 20$  GeV/c and  $|\eta| < 2.4$ )
  - b)  $\geq 2$  **jets** with  $p_T > 30$  GeV/c ,  $|\eta| < 2.4$
  - c) One  **$\tau$ -Jet** with  $p_T^{\text{LdgTrk}} > 20$  GeV/c ,  $|\eta| < 2.4$
  - d)  **$E_T^{\text{miss}} > 60$  GeV**





# Fake-Rate Application: $t\bar{t}$ "lepton+jets" channel

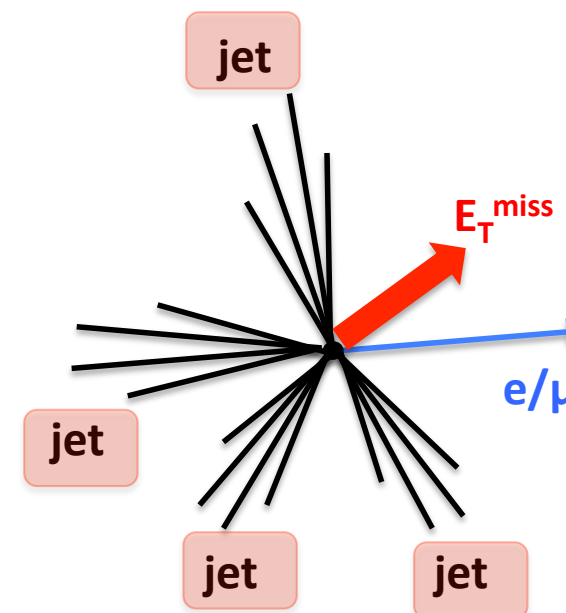


CMS PAS TOP-08-004

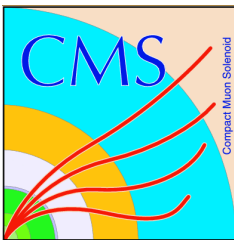
**TTbar "lepton+jets" Events (e/ $\mu$  +  $\tau$ -Jet):**  $t\bar{t} \rightarrow (l\nu) (\tau\nu_\tau) b\bar{b}$  , (l = e/ $\mu$ )

Event-Selection for **QCD Estimation** using **Fake-Rate Application**:

- Use **Multi-Jet** and  **$\gamma$ +Jets** samples & select:
  - a)  $\geq 1$  **lepton** (e/ $\mu$  with  $p_T > 20$  GeV/c and  $|\eta| < 2.4$ )
  - b)  $N_{\text{jets}} \geq 2$  ( $p_T > 30$  GeV/c ,  $|\eta| < 2.4$ )
  - c)  $N_{\text{jets}} \geq 1$  ( $p_T > 10$  GeV/c ,  $|\eta| < 2.4$ ) – **due to Tau**
  - d)  $E_T^{\text{miss}} > 60$  GeV







# Fake-Rate Application: $t\bar{t}$ "lepton+jets" channel



CMS PAS TOP-08-004

- **Fake-Rates** estimated for Jets with :
  - $p_T^{\text{Ldg Trk}} > 20 \text{ GeV/c}$
  - $p_T^{\text{Ldg Trk}} > 20 \text{ GeV/c} + \text{ISO}_{\text{Trk}}$
- For 1pr or 3pr Jets:
  - $p_T^{\text{Ldg Trk}} > 20 \text{ GeV/c} + \text{ISO}_{\text{Trk}} + \text{ISO}_{\text{ECAL}}$
- For 1pr Jets:
  - $p_T^{\text{Ldg Trk}} > 20 \text{ GeV/c} + \text{ISO}_{\text{Trk}} + \text{ISO}_{\text{ECAL}}$
- Main features of **Fake-Rate** curves:
  - approx. no jet- $\eta$  dependence
  - strong jet- $p_T$  dependence
    - rise steeply at low  $p_T$ ,
    - asymptotic-like behaviour at high  $p_T$
  - Tighter Isolation yields lower **Fake-Rates**

