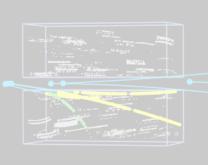
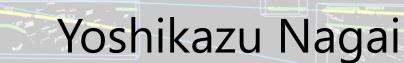
Hadron Production Measurements for Neutrino Experiments



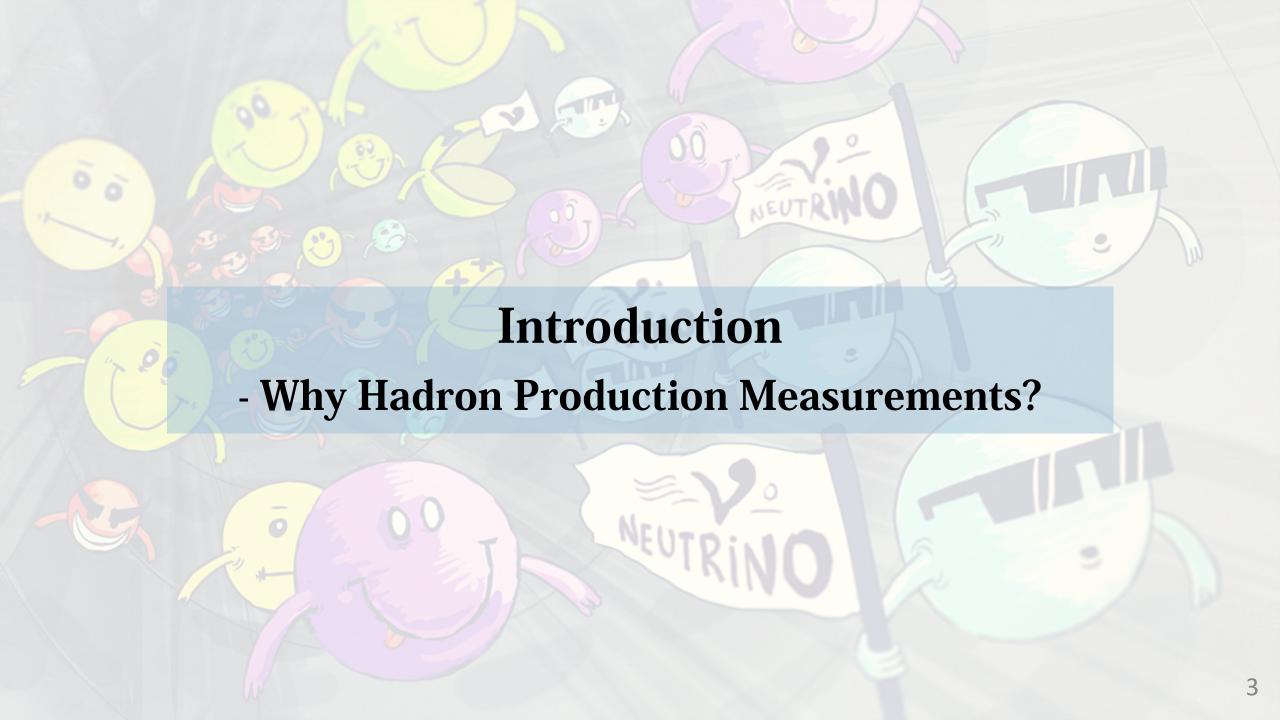




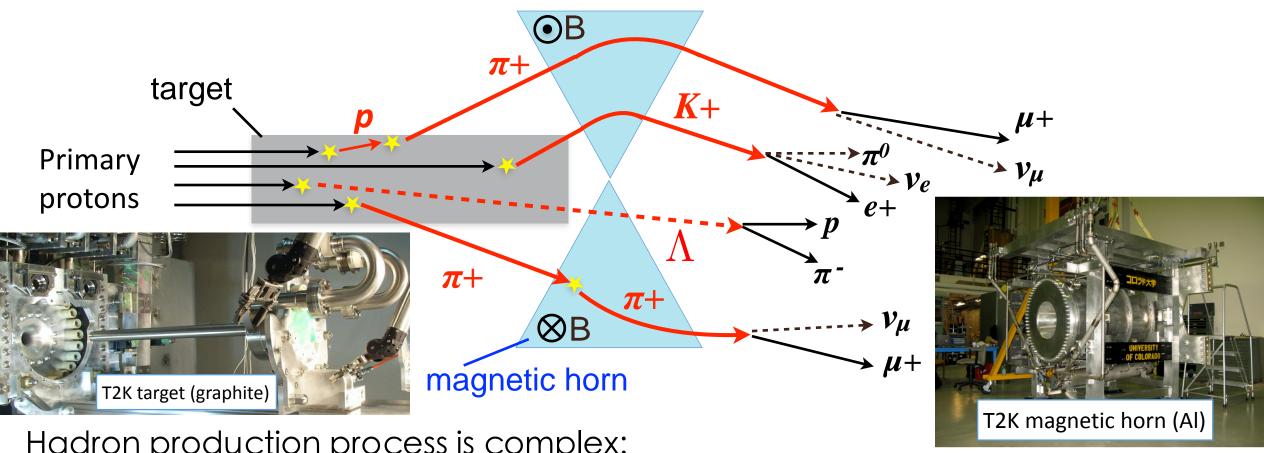
October 24-29, 2022, NuINT 2022 @Seoul, South Korea

CONTENTS

- Introduction: Why hadron production measurements?
- Strategy of Measurements
- Brief Overview of Available Datasets
- Recent Results from NA61/SHINE
- Future Prospects
- Summary



Accelerator Neutrino Production



Hadron production process is complex:

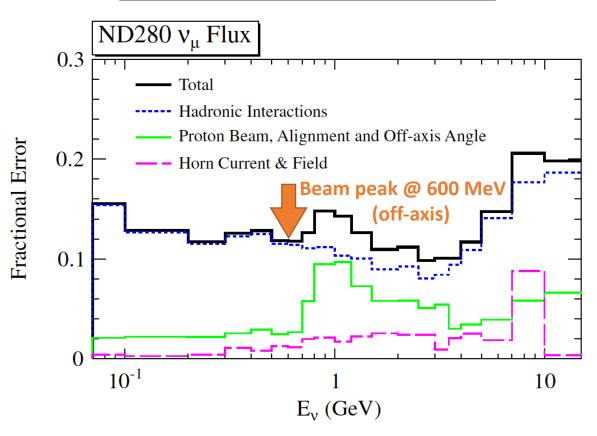
- Primary interactions in the target ($p + C \rightarrow \pi^{\pm}$ and K^{\pm}) -> Primary contribution to the neutrino flux
- Secondary interactions with beamline materials (hadrons + C/Be/AI/Ti/Fe/H2O/etc..)
- Neutral hadron decay $(p + C \rightarrow V^0 + X)$ Non-negligible contribution

to the neutrino flux

Flux Uncertainties of Accelerator-based Neutrinos

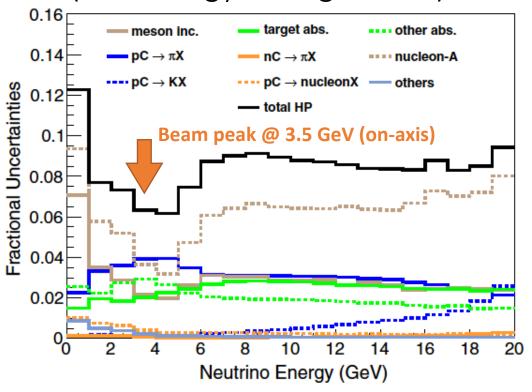
Hadron Production is the leading uncertainty source of flux predictions

J-PARC beamline (T2K flux)



T2K: Phys. Rev. D87, 012001 (2013)

NuMI beamline (MINERvA flux) (low energy configuration)



MINERvA: Phys. Rev. D94, 092005 (2016) (only hadron production-relating errors)

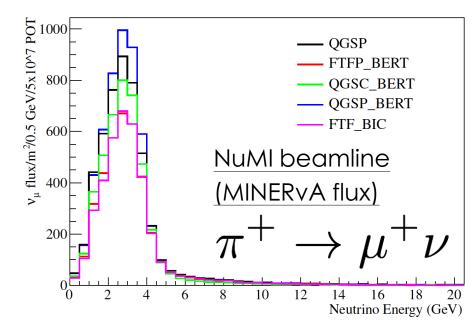
Flux Prediction with MC Simulation

- We rely on hadronic interaction models for the neutrino flux predictions
 - FLUKA (J-PARC/T2K), Geant4 FTFP_BERT (NuMI experiments)

However, prediction of hadron production is difficult...

- e.g. Five interaction models in Geant 4
 - —> neutrino flux predictions differ by
 - ~40% at the focusing peak

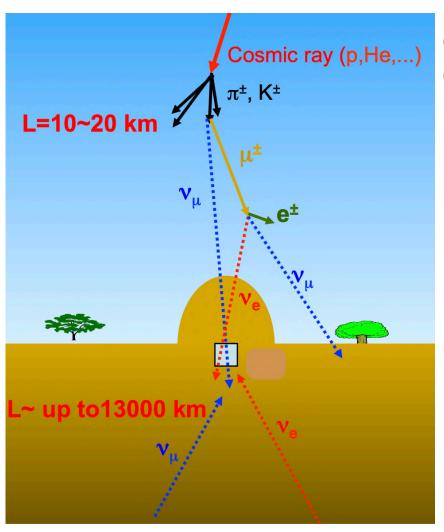
Need to constrain neutrino flux uncertainty coming from hadron production



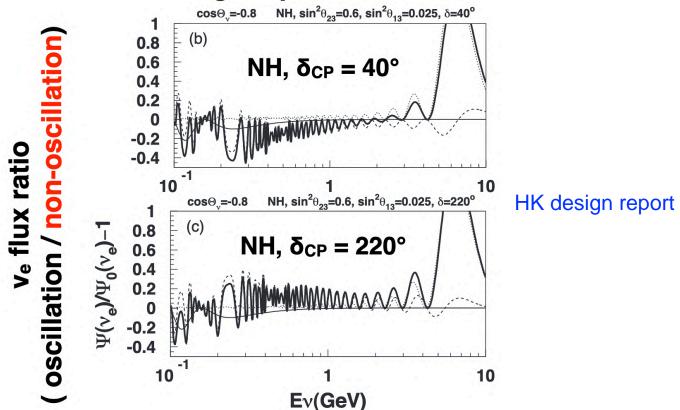
Leonidas Aliaga (Ph.D Thesis, 2016)



Atmospheric Neutrino Production

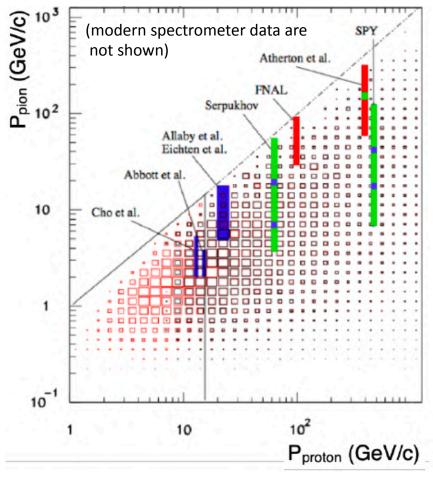


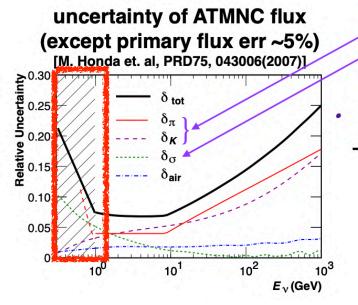
- Primary interactions in atmosphere $(p + N \rightarrow \pi)$
- Neutrino flux ranges below 100 MeV to above 10 TeV
 - 0.1-1.0 GeV (sub-GeV): sensitive to CP phase
 - 1.0-10 GeV (multi-GeV): sensitive to mass hierarchy



Flux Uncertainties of Atmospheric Neutrinos

- Hadron production data is sparse
 - Hadron Production is the leading uncertainty source of flux predictions





hadron production hadronic cross-section

- hadronic interactions in air shower
- → dominant!
 - Hadronic Model
 - JAM (E<31GeV)
 - dpmJet3 (otherwise)

K. Sato, talk at the "NA61/SHINE at Low Energy workshop" (2020)

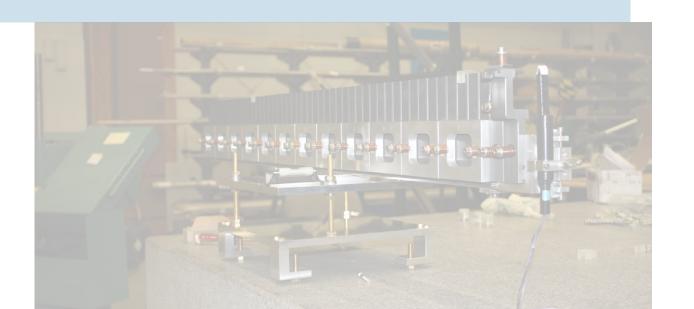


More hadron production data are necessary



Strategy of Measurements





Hadron Production Data Needs

- In situ hadron production measurement is too difficult
 - Accelerators: needs to tolerate O(100 kW) beams, or even MW beams near future
 - Atmosphere: hadron production at high altitude
 - In either case, external hadron production data will help to model hadron interactions
- What data are necessary for the precise prediction of neutrino fluxes?
 - Total production cross section: constrain interaction probabilities of hadrons
 - Particle production multiplicity: tune production multiplicity of hadrons
 - Hadron production experiments typically report in bins of hadrons (p, θ) or (x_F, p_T)
- Also, the proper format of error report is crucial

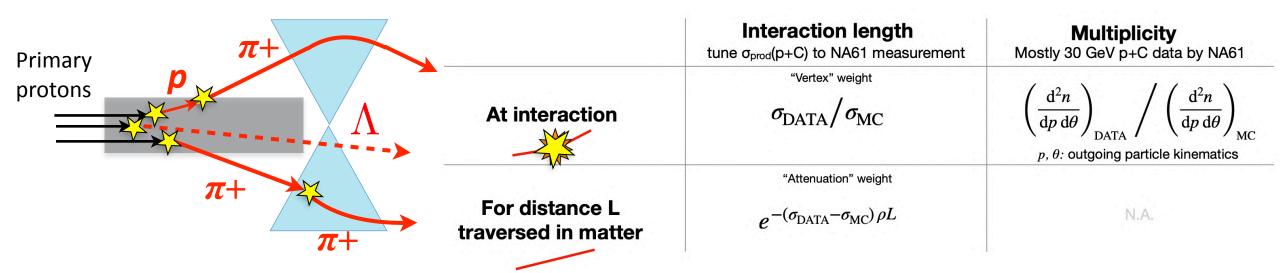
 $x_F = 2p_L^* / \sqrt{s}$

- Stat. and systematic uncertainties separately
- Correlation of systematic uncertainty sources (covariance matrix)
 - Old data often lack this information

How to Improve Flux Model with External Data

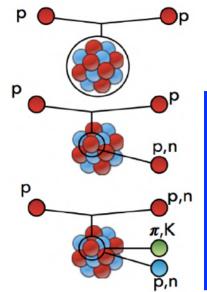
Two corrections to constrain model ambiguity

- Interaction length: Tune production cross-section to external measurement
- Multiplicity: Tune differential hadron multiplicity (differential cross section) to external measurement



Note: Notation of Production Cross Section

Not all experiments use the same definition for the production cross section



Coherent elastic process: interaction on the nucleus

Quasi-elastic process: interaction on bound nucleons —> $\sigma_{
m qe}$ p,n Production process: interaction with new hadron production \rightarrow $\sigma_{
m prod}$

Inelastic process $\sigma_{
m inel}$

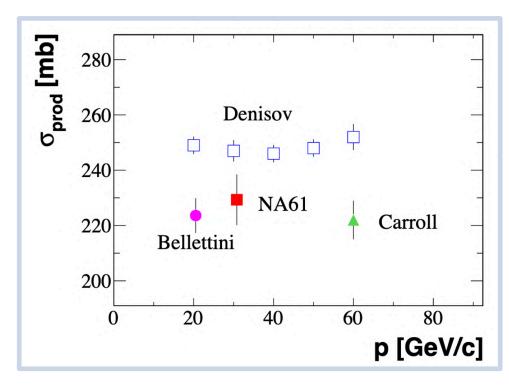
$$\sigma_{
m inel} = \sigma_{
m total} - \sigma_{
m el} \ \sigma_{
m prod} = \sigma_{
m inel} - \sigma_{
m qe}$$

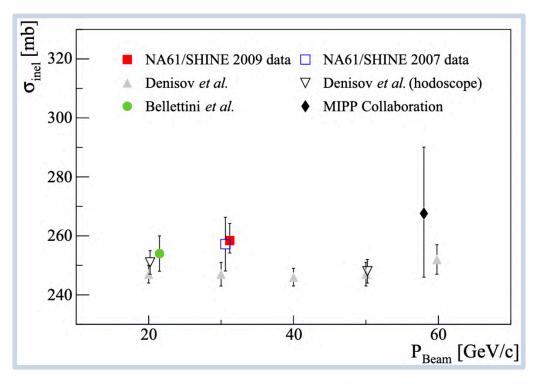
Use this definition through the talk (T2K uses this definition)

- $\sigma_{\rm inel} = \sigma_{\rm total} \sigma_{\rm el} \sigma_{\rm qe} \longrightarrow \sigma_{\rm prod}$ in above definition NuMI flux tuning definition:
 - $\sigma_{\rm absorption} = \sigma_{\rm total} \sigma_{\rm el}$ —> $\sigma_{\rm inel}$ in above definition
- Earlier experiments: mixed up inelastic and production cross sections
 - e.g. Denisov, et. al (1973): $\sigma_{
 m absorption} = \sigma_{
 m total} \sigma_{
 m el}$

- $\rightarrow \sigma_{\rm inel}$ in above definition
- e.g. Carroll, et. al (1979): $\sigma_{
 m absorption} = \sigma_{
 m total} \sigma_{
 m el} \sigma_{
 m qe}$
- $\rightarrow \sigma_{\mathrm{prod}}$ in above definition

Note: Notation of Production Cross Section





Phys. Rev. C 84, 034604 (2011)

Eur. Phys. J. C (2016) 76:84

Example comparison between old data and NA61/SHINE

- Denisov and Carroll claim absorption cross section is measured
- NA61 measured production and inelastic cross sections

An important role of modern experiments: make clear what was the measured physics quantity in old data

Strategy of Measurements

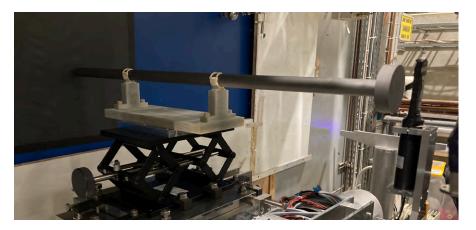
- Thin target: a few % of nuclear interaction length (λ) to study single interactions
 - Total cross sections (inelastic and production cross sections)
 - Measurement of differential production yields (multiplicity)
- Replica (thick) target: same geometry and material as real neutrino beamline
 - Measurement of differential production yields
 - Production cross section via beam attenuation

Thin graphite target (1.5 cm, 3.1% of λ)



T2K replica graphite target (90 cm, 1.9 λ)

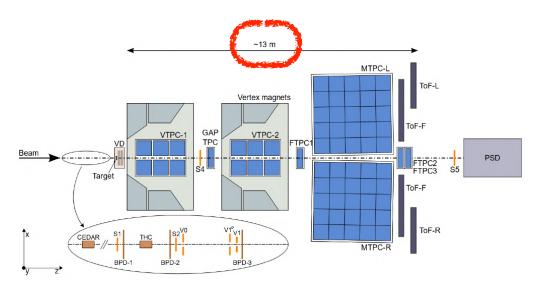






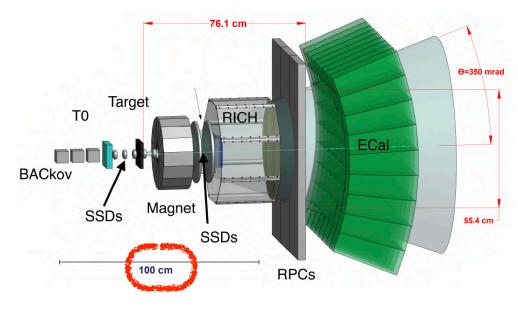
Running Experiments: Two Main Players

NA61/SHINE (about 150 collaborators)



- At the CERN SPS North Area
 - Hadron beam: 13-350 GeV/c
- Large TPC-based spectrometer
- Thin and Replica target measurements

EMPHATIC (about 20 collaborators)

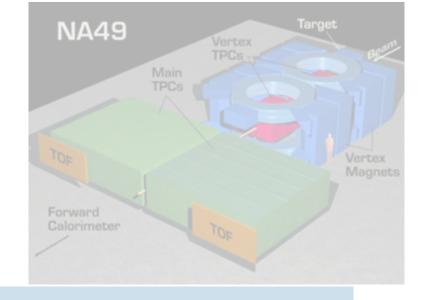


- At the Fermilab Test Beam Facility (FTBF)
 - Hadron beam: 0.2-120 GeV/c
- Table-top size silicon-baed spectrometer
- Thin target measurements

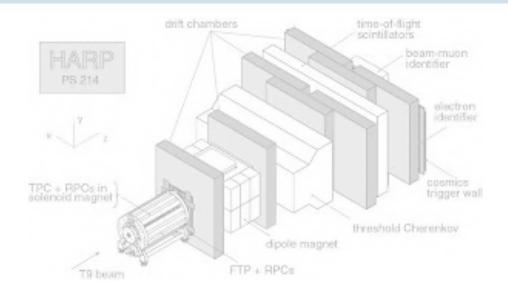
See details in later this talk!

See the next talk for more detail!

Mipp Main Injector Particle Production Experiment (FNAL-E907) Horizontal out plans Time of Flight Chambers Cerenkov Rosie Rich Neutron Calorimeter



Brief Overview of Available Datasets



Key Hadron Production Data

Below 25 GeV/c

- HARP: p + C or $\pi^+ + C \to \pi^\pm$ at 3-12.9 GeV/c (Eur. Phys. JC 52 (2007) 29, Nucl. Phys. B 732 (2006) 1, Astr. Phys. 29 (2008) 257, Phys. Rev. C 80 (2009) 035208)
- BNL E910: $p + Be \rightarrow \pi^{\pm}$ at 6.4, 12.3, and 17.5 GeV/c (Phys. Rev. C 77 (2008) 015209)
- BNL E802: $p + Al/Be \rightarrow \pi^{\pm}$ at 14.6 GeV/c (Phys. Rev. Lett. 66 (1991) 1567-1570 , Phys. Rev. D 45 (1992) 3906-3920)
- Allaby, et al: $p + Al/Be \rightarrow \pi^{\pm}, k^{\pm}, p, \bar{p}$ at 19.2 GeV/c (Tech. Rep. 70-12 (CERN, 1970))
- Eichten, et al: $p + Al/Be \rightarrow \pi^{\pm}, k^{\pm}, p, \bar{p}$ at 24 GeV/c (Nucl. Phys. B44, 333 (1972))

Around 100 GeV/c

- MIPP: $p + Be/C \rightarrow n$ at 58, 84, and 120 GeV/c (Phys. Rev. D 83 (2011) 012002), p + NuMI (low energy target) $\rightarrow \pi^{\pm}$ at 120 GeV/c (Phys. Rev. D 90 (2014) 032001)
- NA49: $p+C \rightarrow \pi^{\pm}$ at 158 GeV/c (Eur. Phys. J. C49 (2007) 897)

Above 400 GeV/c

- NA20: $\mathrm{p} + \mathrm{Be} \to \pi^\pm, k^\pm, p, \bar{p}$ at 400 GeV/c (CERN Tech. Rept. 80-70 (1980))
- NA56/SPY: $p + Be \rightarrow \pi^{\pm}, k^{\pm}, p, \bar{p}$ at 450 GeV/c (Phys. Lett. B420 (1998) 225, Phys. Lett. B425 (1998) 208, Eur. Jour. Phys, C10 (1998) 605)

Recent NA61 data at 31 GeV/c and 60-120 GeV/c are also available (see later in this talk)

Note: Old Hadron Production Data Issues

List of concerns:

- No detail on error report
- No covariance matrix
- Inconsistency between experiments

example: Hadron production data for < 15 GeV atmospheric neutrino fluxes

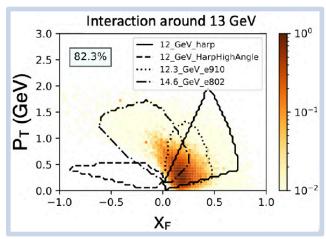
Energy [GeV]	Process	Error report	Covariance Matrix	Experiment (year)
3, 5, 8, 12	$p+C \rightarrow \pi^{\pm}, K^{\pm}$	stat. and syst. separately	No	HARP (2009)
6.4, 12.3, 17.5	$p+Be \rightarrow \pi^{\pm}$	only total error	No	E910 (2008)
14.6	$p+Al \rightarrow \pi^{\pm}, K^{\pm}$	only stat.	No	E802 (1991)

Table 1: Past hadron production datasets relevant to the momentum range of the low-E beamline project.

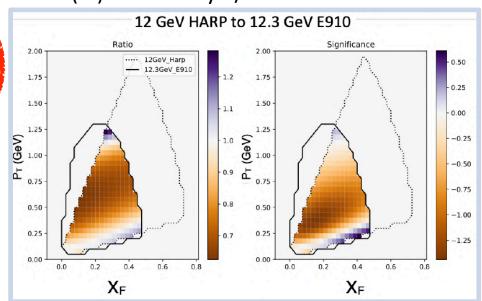
CERN-SPSC-2022-022

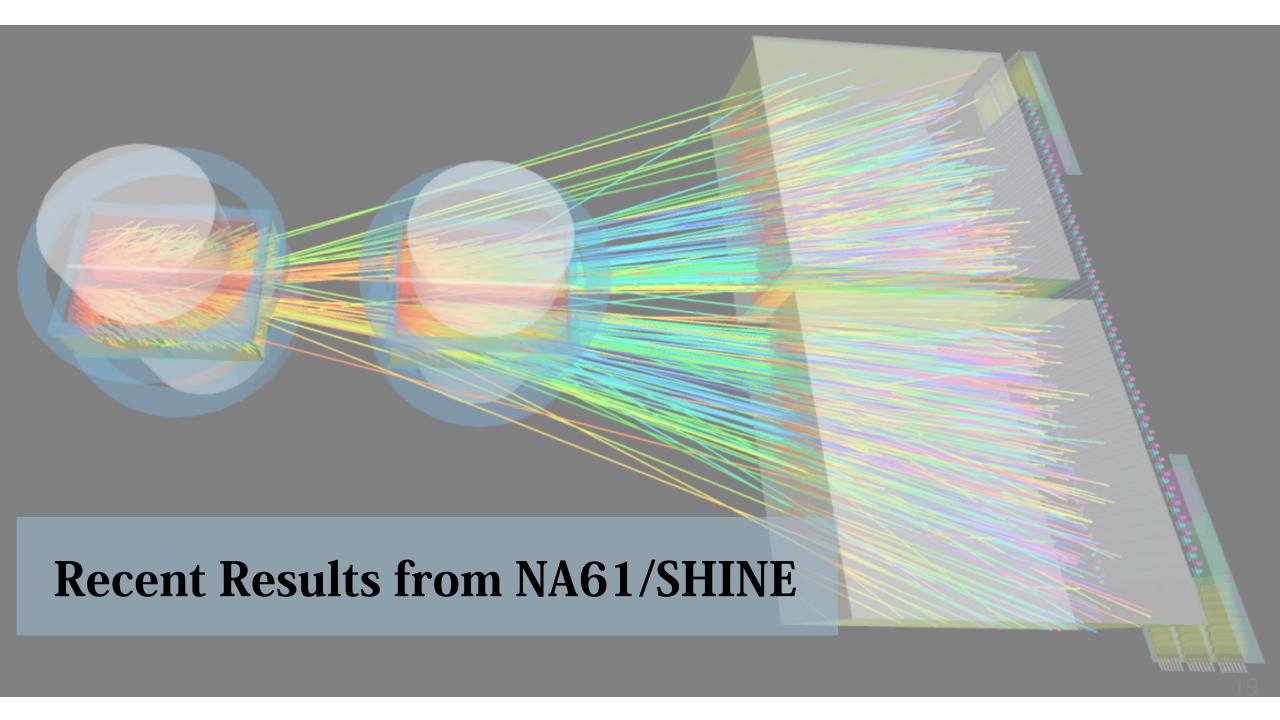
These issues remain as of now -> We want to improve this!!

phase-space coverage of 3 experiments



(In)consistency b/w HARP and E910







"The SPS Heavy Ion and Neutrino Experiment"

Over 150 physicists from 30 institutions and 15 countries

LHC NA61/SHINE (SPS north area) SPS <- CERN (main site)</pre>

The NA61/SHINE Experiment

Hadron beams

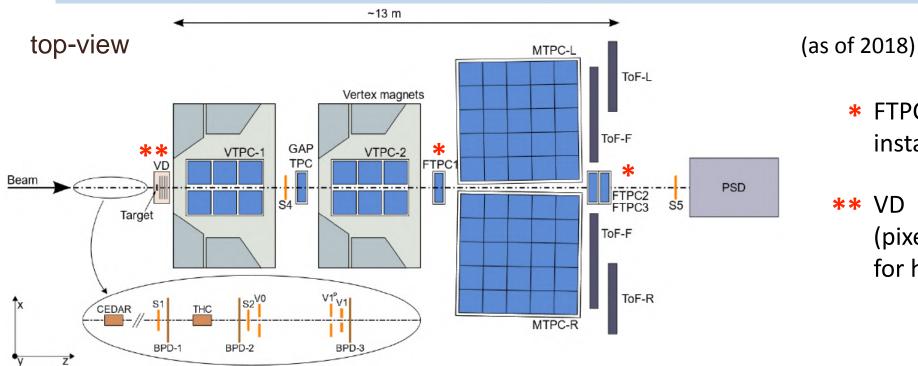
- primary protons at 400 GeV/c
- secondary hadrons (p, π, K) at 13 350 GeV/c

Ion beams

- primary (Ar, Xe, Pb) at 13-150 AGeV/c
- secondary Be at 13 150 AGeV/c (from Pb fragmentation)

- Broad physics program
 - Neutrino
 - Hadron production measurements to improve neutrino beam flux predictions
 - Strong interaction / Heavy ion
 - Search for the critical point
 - Study the onset of QCD deconfinement
 - Study open-charm production mechanism
 - Cosmic ray
 - Hadron production measurements to improve air-shower model predictions
 - Study (anti-)deuteron production mechanism for the AMS and GAPS experiments
 - Nuclear fragmentation cross sections to understand cosmic-ray flux

NA61/SHINE Experimental Facility



- * FTPC 1-3 installed in 2017
- ** VD (pixel vertex detector) for heavy ion run

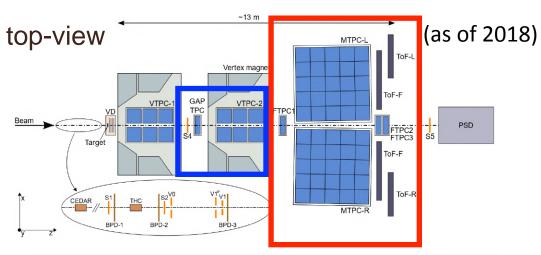
A fixed target experiment at SPS with

- Good beam particle selection (p, π, K) using beamline Cherenkov detectors
- Large acceptance spectrometer for charged particles
 - Time Projection Chambers (TPCs) for tracking and dE/dx
 - 2 dipole magnets with 1.5 T field
 - Time-of-flight detectors placed downstream

Precise tracking with:

- Particle identification
- Momentum measurement

NA61/SHINE Experimental Facility







NA61/SHINE Data Collection History

Phase 1 (2006-2010): p+C (2cm graphite or 90-cm-long T2K replica graphite) at 31 GeV/c -> T2K

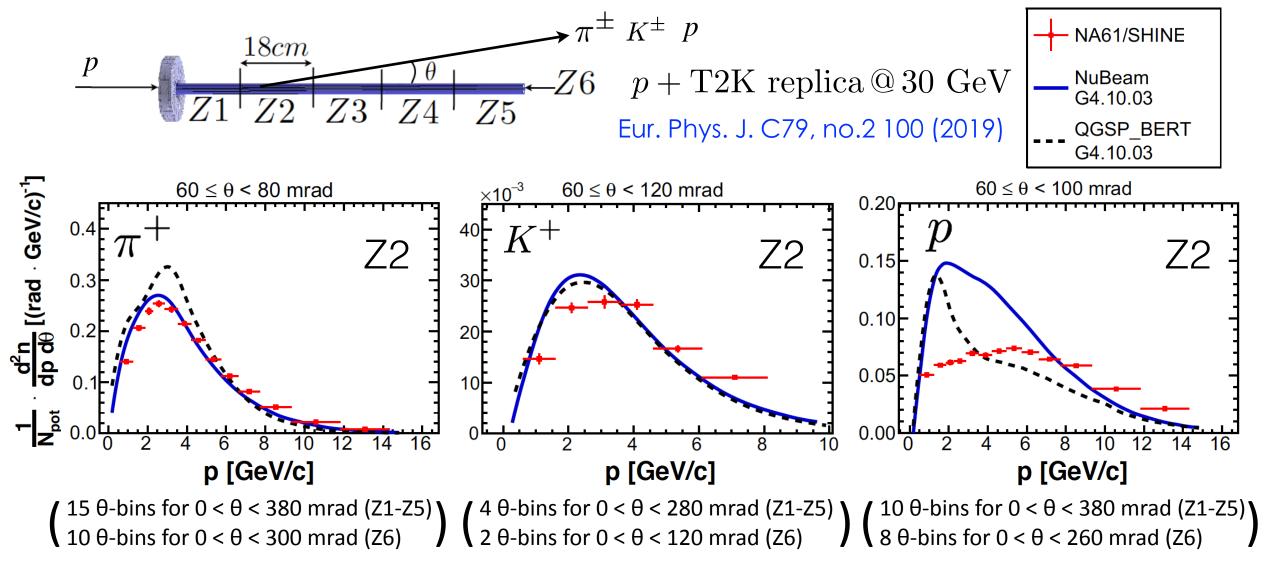
Thin target:

- total cross-section and $\pi^{+/-}$ spectra measurements (Phys. Rev. C84 034604 (2011))
- K+ spectra measurement (Phys. Rev. C85 035210 (2012))
- K_{S}^{0} and Λ spectra measurements (Phys. Rev. C89 (2014) 025205)
- total cross-section and $\pi^{+/-}$, $K^{+/-}$ p, K^0 s, and Λ spectra measurements (Eur. Phys. J. C76 84 (2016))

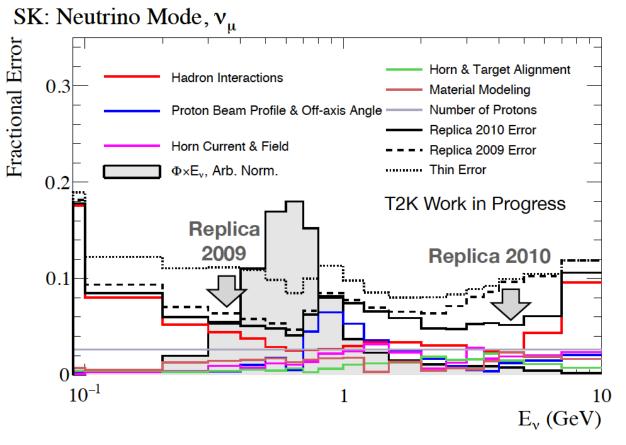
Replica target:

- methodology, $\pi^{+/-}$ yield measurement (Nucl. Instrum. Meth. A701 99-114 (2013))
- $\pi^{+/-}$ yield measurement (Eur. Phys. J. C76 617 (2016))
- $\pi^{+/-}$, p, and K+/- yield measurements (Eur. Phys. J. C79, no.2 100 (2019))
- Production cross-section via beam attenuation (Phys. Rev. D103, 012006 (2021))

Example: T2K Replica Target Results



T2K Flux Uncertainty with NA61/SHINE Measurements



Lukas Berns (NBI 2019)

- Replica target measurements will improve uncertainty down to < 5%
 - —> For phase space which is not covered by replica target measurements, we still rely on thin target measurements

(e.g. re-interactions outside of target)

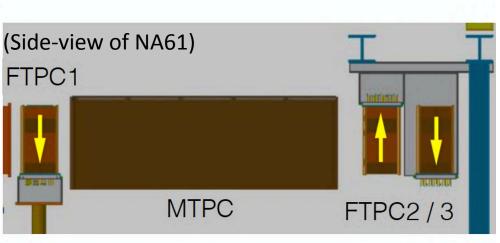
NA61/SHINE Data Collection History

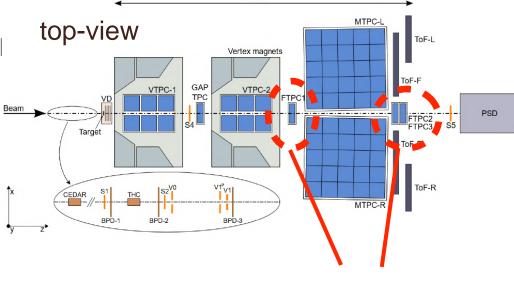
Phase 1 (2006-2010): p+C (2cm graphite or 90-cm-long T2K replica graphite) at 31 GeV/c -> T2K

<u>Phase 2 (2015-2018)</u>: various -> Fermilab beamlines (NuMI, LBNF/DUNE)

- Facility Upgrade
 - Forward TPCs (after 2017) to measure forward hadron production with higher momentum
 - NuMI: 120 GeV, LBNF: 60-120 GeV







(allow indicates drift direction)

3 TPCs (FTPCs) installed in 2017

Rejection of out-of-time tracks with "Tandem" TPC concept

NA61/SHINE Data Collection History

Phase 1 (2006-2010): p+C (2cm graphite or 90-cm-long T2K replica graphite) at 31 GeV/c -> T2K

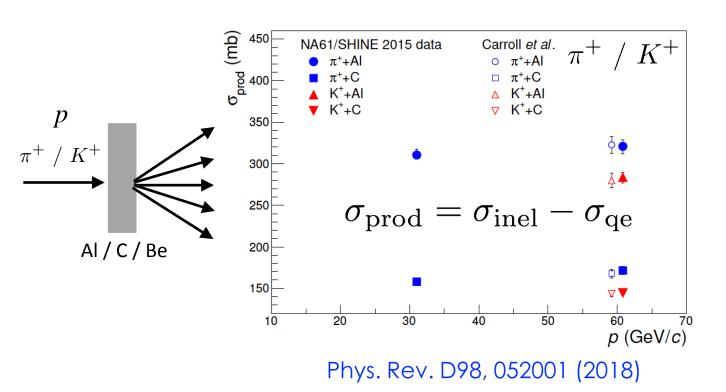
<u>Phase 2 (2015-2018)</u>: various -> Fermilab beamlines (NuMI, LBNF/DUNE)

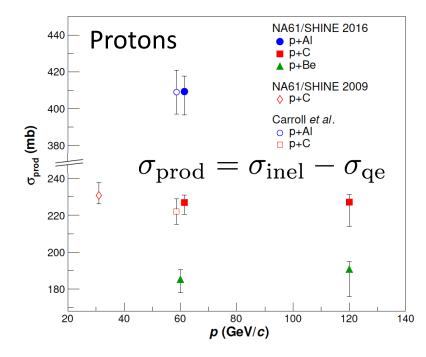
Data sets						
2015		2016	2017	2018		
no mo	agnetic field	with magnetic field	with magnetic field	with magnetic field		
p+C	(31 GeV/c)	p+AI/Be/C (60 GeV/c)	p+C (90 GeV/c)	p+NuMI medium-e target		
π++Al/(C (31 GeV/c)	p+Be/C (120 GeV/c)	p+Be/C (120 GeV/c)	(120 GeV/c)		
π++Al/(C (60 GeV/c)	π++Be/C (60 GeV/c)	π++C (31 GeV/c)			
K++AI/(C (60 GeV/c)		π++Al (60 GeV/c)			
			π ⁻ +C (60 GeV/c)			

- Total cross-section
 - π^+ and K⁺ beams (Phys. Rev. D98, 052001 (2018))
 - proton beams (Phys. Rev. D100, 112001 (2019))
 - π+ beams (Phys. Rev. D100, 112004 (2019))

- Differential production multiplicity
 - π+ beams (Phys. Rev. D100, 112004 (2019))
 - proton beams (analyses ongoing)

Example: Total Cross Section

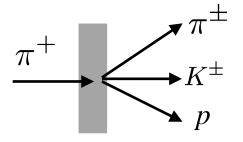




Phys. Rev. D100, 112004 (2019)

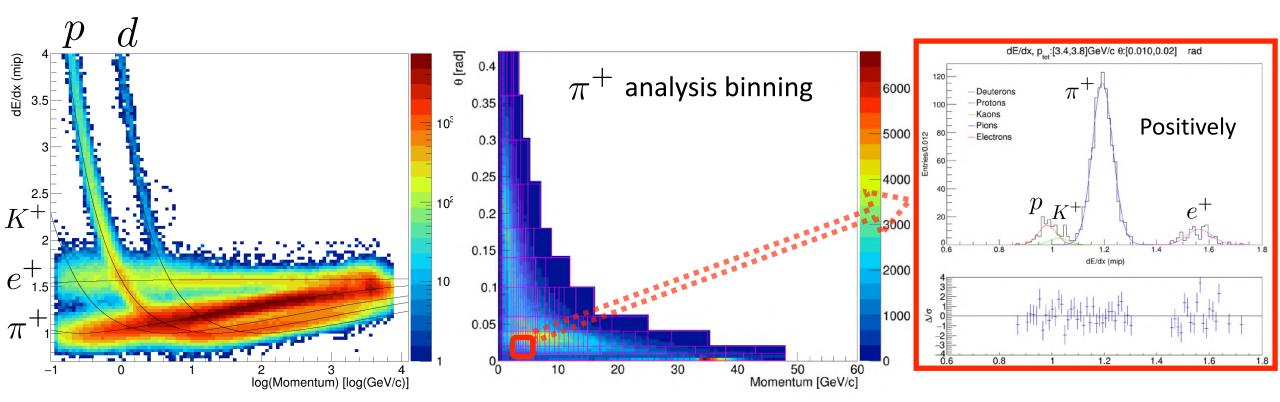
- \circ Precision of measurements: 2~4% (30, 60 GeV/c) and 6~8% (120 GeV/c)
 - It was 5% for pions, 15-30% for kaons
 - First measurement on proton at 120 GeV

Example: Charged Hadron Production



ullet Example: $\pi^+ + \mathrm{C} @ 60 \ \mathrm{GeV}$ Phys. Rev. D100, 112004 (2019)

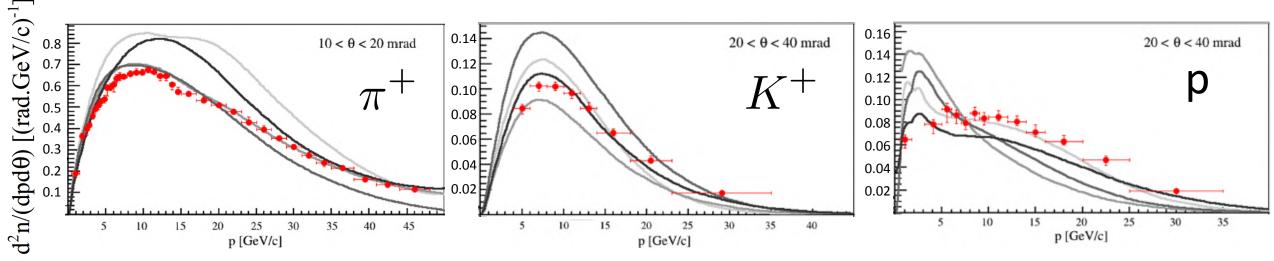
Binning of pions, kaons, and protons is optimized for each particle species

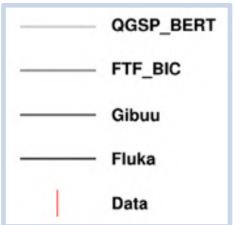


Example: Charged Hadron Production

ullet Measured differential production yields ($\pi^+ + \mathrm{C} \ @ \ 60 \ \mathrm{GeV}$)

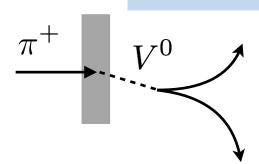
Phys. Rev. D100, 112004 (2019)





Negative pions and kaons have been measured as well.

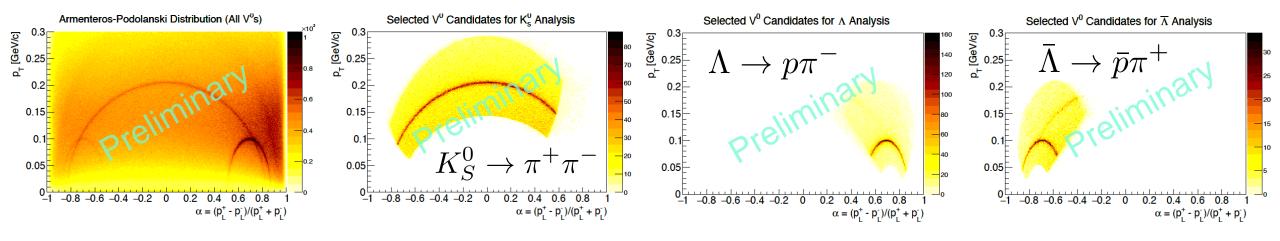
Example: Neutral Hadron Production



 $_{\odot}$ Example: $p+C@120\,GeV$

Preliminary result (paper to be submitted to a journal soon!)

The Armenteros-Podolansky distribution (visualization of V⁰ candidates)



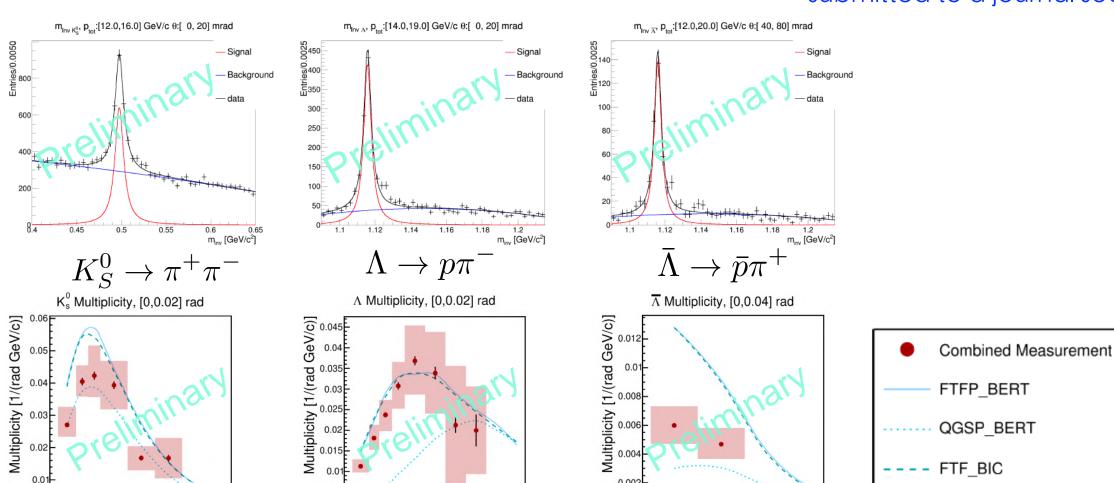
X-axis: $\alpha = \frac{p_L^+ - p_L^-}{p_L^+ + p_L^-}$ (Asymmetry in the longitudinal momenta of the child tracks with respect to the V⁰ track)

Y-axis: p_T (Transverse momenta of V⁰ track)

Example: Neutral Hadron Production

 $_{\odot}$ Example: $p+C@120\,GeV$

Preliminary result (paper to be submitted to a journal soon!)



p [GeV/c]

0.002

15

p [GeV/c]

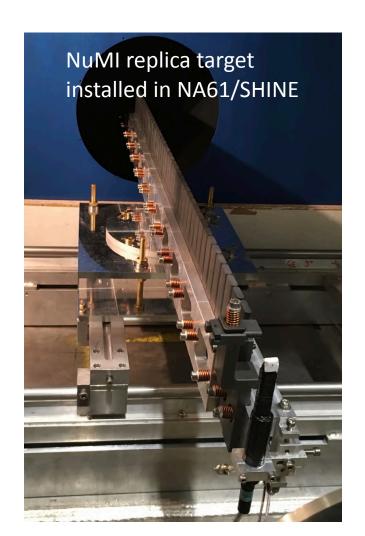
0.0

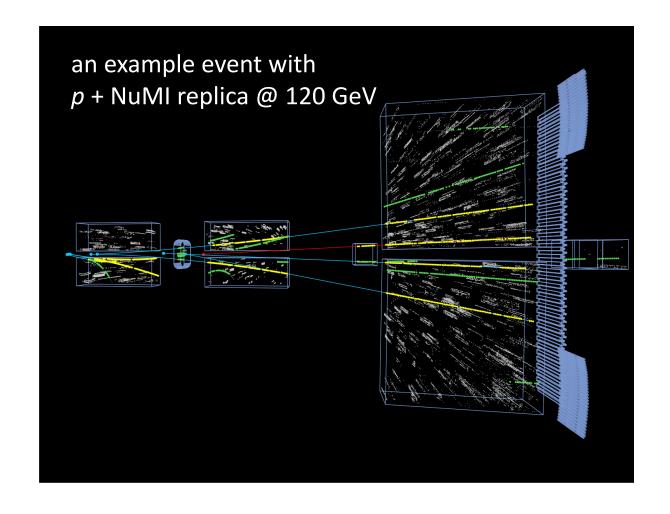
20

p [GeV/c]

Coming Soon: p+NuMI Replica Target @120 GeV/c

Replica target: proton beam @ 120 GeV/c in 2018 on NOvA replica target





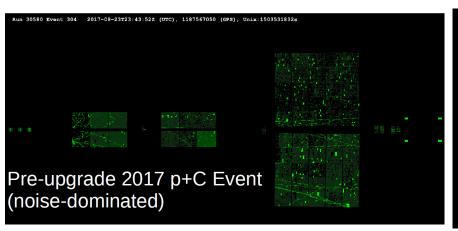
NA61/SHINE Data Collection History

Phase 1 (2006-2010): p+C (2cm graphite or 90-cm-long T2K replica graphite) at 31 GeV/c -> T2K

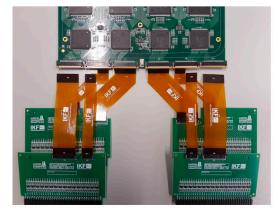
Phase 2 (2015-2018): various -> Fermilab beamlines (NuMI, LBNF/DUNE)

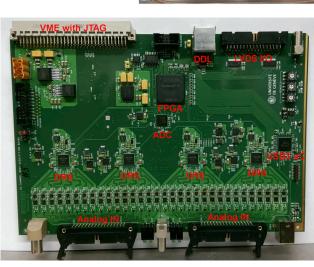
<u>Phase 3 (2022-2025)</u>: various -> T2K, LBNF/DUNE

- Facility Upgrade (2019-2022)
 - Many major upgrades recently completed
 - Replacement of TPC electronics with ALICE board
 - The DAQ rate: ~100 Hz -> above 1 kHz
 - Improved raw data quality (low noise level)
 - Replacement of ToF readout with DRS4 board









NA61/SHINE Data Collection History

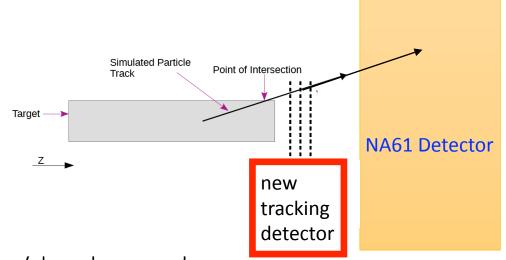
<u>Phase 1 (2006-2010)</u>: p+C (2cm graphite or 90-cm-long T2K replica graphite) at 31 GeV/c -> T2K

<u>Phase 2 (2015-2018)</u>: various -> Fermilab beamlines (NuMI, LBNF/DUNE)

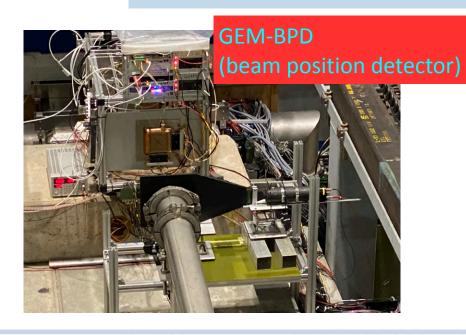
<u>Phase 3 (2022-2025)</u>: various -> T2K, LBNF/DUNE

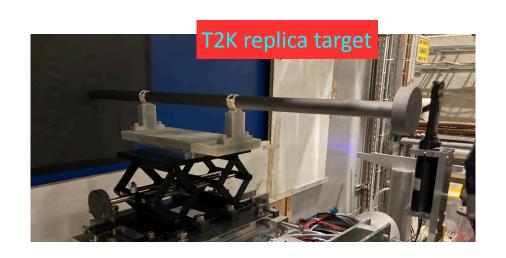
(we are here!!)

- T2K replica target run (summer 2022) -> T2K
 - A dedicated dataset to further reduce hadron production uncertainty coming from
 - forward charged kaons (primary uncertainty source at high-energy region)
 - K⁰s production (primary uncertainty source of wrong-sign v_e)
- Future plans (2023-2025) -> LBNF/DUNE
 - Charged kaon scattering with thin targets to constrain secondary interaction uncertainties
 - LBNF/DUNE replica target (after 2024)
 - At least a 1.5 m long target that introduces tracking challenge on track extrapolation
 - An additional tracking detector is under consideration/development



Summer 2022 T2K Replica Run





Dataset	Statistics (in Million)	Data collection period
Full MF, target OUT	6.0	4-5 July, 2022
Half MF, target IN	149.7	
Half MF, target OUT	9.1	7-23 and 25-27 July, 2022
Half MF, empty target holder IN	2.9	
1/8 MF, target IN	14.0	23-25 July, 2022
1/8 MF, target OUT	1.4	
Total	183.1	4-27 July, 2022

Table 1: Summary of collected datasets with the T2K replica target during 2022 run.

- Two weeks of detector commissioning followed by three weeks of data taking
 - Main physics data: 150 M events
 - Total physics data: over 180 M events

(c.f. phase 1 T2K replica data stat. was about 10 M events)

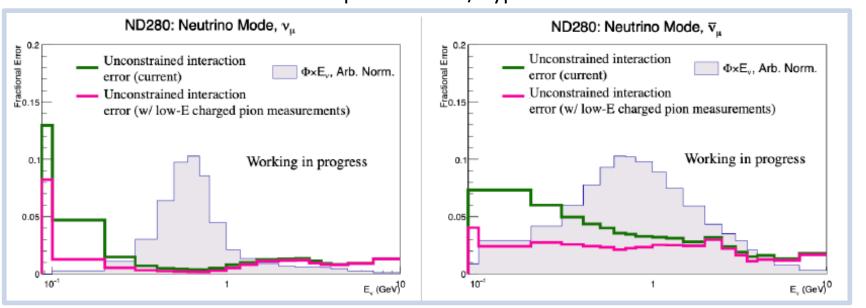
 Data calibration and analysis have just started



The needs (accelerator-neutrinos)

After all available data...

Example from T2K/Hyper-K:



CERN-SPSC-2022-022

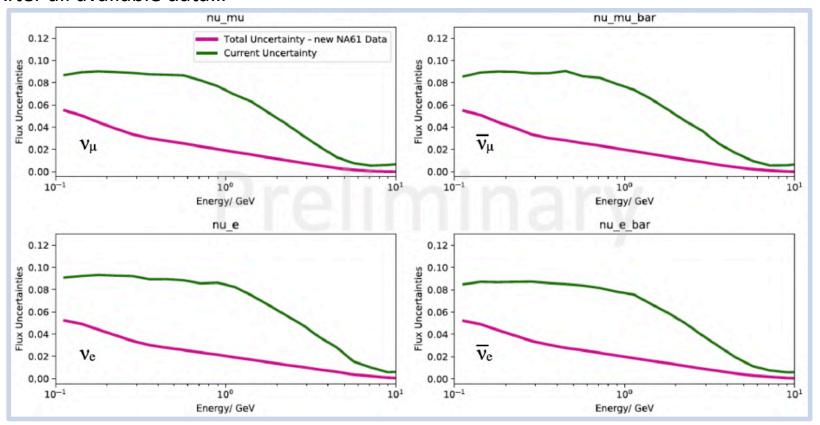
For the next generation experiments (DUNE, Hyper-K), flux uncertainty needs to be constrained within 2-3%

There are still unconstrained interactions that limit physics sensitivity. Improvement is possible if we have additional hadron production data not yet measured by past/present experiments.

(e.g. low energy pion scattering)

The needs (atmospheric neutrinos)

After all available data...



CERN-SPSC-2022-022

Knowledge of atmospheric neutrino flux is even worse, but..

Drastic improvement in the atmospheric neutrino flux is possible if we have lower energy $p + N \rightarrow \pi^{\pm} + X$ for lower energies (down to a few GeV)

NA61++/SHINE Era

Phase 1 (2006-2010): p+C (2cm graphite or 90-cm-long T2K replica graphite) at 31 GeV/c -> T2K

Phase 2 (2015-2018): various -> Fermilab beamlines (NuMI, LBNF/DUNE)

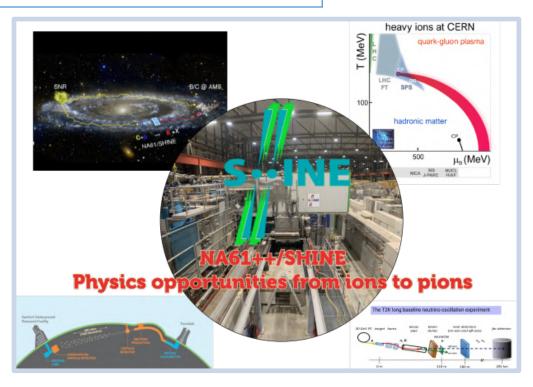
Phase 3 (2022-2025): various -> T2K, LBNF/DUNE

After LS3 (2027-???): various -> Planning phase

note: CERN's Long-Shutdown 3, LS3, is currently planned 2026-2027 or 2026-2028

Physics opportunities

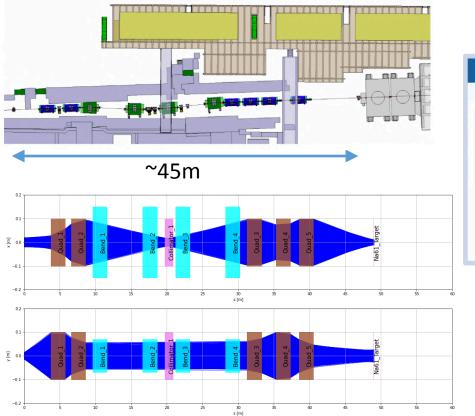
- Conventional SPS beams (hadrons & ions, up to SPS energy)
- Low-energy hadron beams (1-20 GeV)
 - New initiative to build a tertiary beamline (next page)
- Interdisciplinary program
 - Neutrino Physics
 - Strong interaction and heavy ion physics
 - Cosmic ray physics
 - and more..?
- An open workshop will be held at CERN (December 15-17, 2022)
 - Discussion regarding technical issues and physics opportunities
 - Registration is open: https://indico.cern.ch/event/1174830



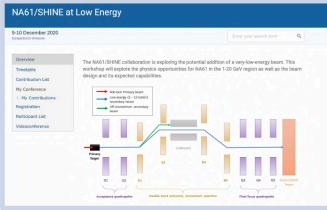
https://indico.cern.ch/event/1174830

Low-Energy Beamline Project

- A new tertiary low-E hadron beamline at CERN SPS H2 (same beamline as NA61/SHINE)
 - Low-Energy = 1-20 GeV (higher-energy covered by conventional beamline)
- Project is moving forward quickly —> obtained positively evaluation from the SPSC committee
 - First beam in 2024 at the earliest (depending on the funding)

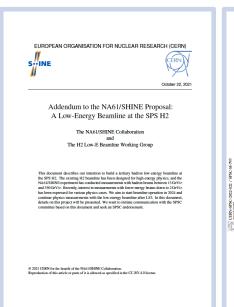


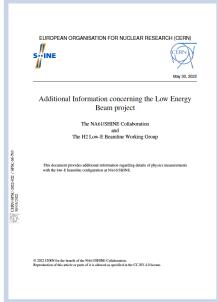
Dedicated workshop in 2020



https://indico.cern.ch/event/973899

Project documents (for SPSC evaluation)





http://cds.cern.ch/record/2783037

https://cds.cern.ch/record/2810696

Interested in the project? Please contact us!!

New ideas and contributions are welcome!

Summary

- Precision hadron production measurements are essential to reduce the leading systematic uncertainty on the neutrino flux prediction for accelerator-based and atmospheric neutrino experiments
- Lots of recent activities by NA61/SHINE (this talk) and EMPHATIC (next talk)
 - Recent data helps a lot to improve flux knowledge of T2K, and near future for Fermilab beamlines
- Phase is shifting to envision next-generation experiments (DUNE, Hyper-K)
 - These experiments require unprecedented knowledge of neutrino fluxes
 - Hadron production experiments prepares for their needs

Backup

How to Improve Flux Model with External Data

Two corrections to constrain model ambiguity

- Interaction length: Tune production cross-section to external measurement
- Multiplicity: Tune differential hadron multiplicity (differential cross section) to external measurement

