

# The NEUT Neutrino Interaction Simulation Program Library

Luke Pickering, Y. Hayato, +Uncountable others  
NuInt 2022



# About Me

---

I'm an experimentalist and not a theorist...

Research Interest: PMNS Neutrino Oscillation

- Reducing interaction model dependence
- Neutrino interactions:
  - Nuclear-target effects
  - Global cross-section data fits
- Uncertainty motivation and error propagation



# What Is NEUT?

[Eur. Phys. J. Spec. Top. 230, 4469–4481 \(2021\)](#)

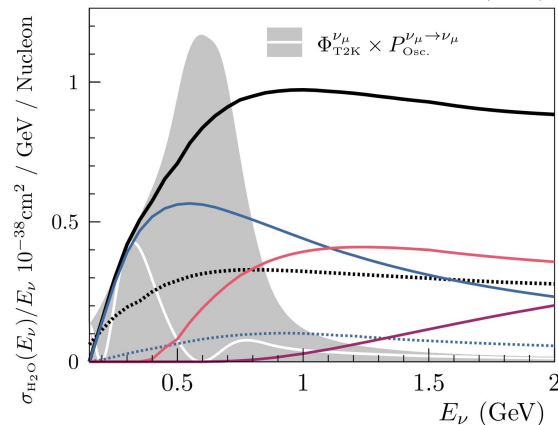
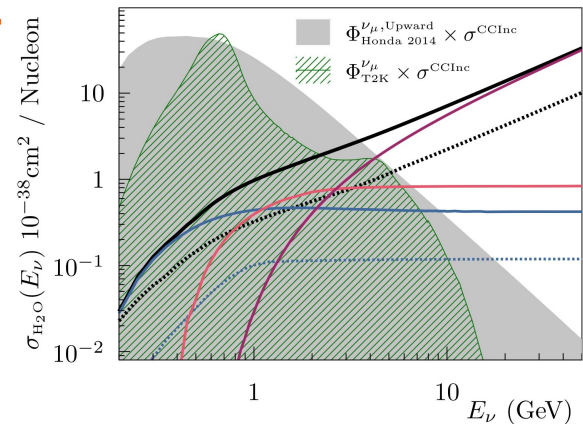
---

- Primarily a Neutrino–Nucleus interaction generator:
  - Simulates important primary processes for  $\sim 100$  MeV to few-TeV neutrinos
  - Interactions with nuclear targets from Hydrogen to Lead
  - Hadron cascade for propagating hadrons out of the nuclear medium

# What Is NEUT?

[Eur. Phys. J. Spec. Top. 230, 4469–4481 \(2021\)](#)

- Primarily a Neutrino–Nucleus interaction generator:
  - Simulates important primary processes for ~100 MeV to few-TeV neutrinos
  - Interactions with nuclear targets from Hydrogen to Lead
  - Hadron cascade for propagating hadrons out of the nuclear medium
- Maintained 'in house' for use on T2K and SK:
  - Development targets the needs of the long baseline oscillation and cross-section programmes
    - Sub-to-few GeV energy region
    - Hydrocarbon and water targets
- For more detail on T2K's tuning of NEUT predictions see Clarence's [talk](#).



# History

- Originally developed to predict neutrino-induced background rate for Kamiokande nucleon decay measurements.

```
1 *****
2 *
3 *
4 *
5 *
6 *
7 *
8 *
9 *
10 *
11 *
12 *
13 *
14 *
15 *
16 *
17 *
18 *
19 *
20 *
21 *****
```

```
SUBROUTINE RNAZI(C,S)
-----
(Purpose)
  Give cosine and sine of random direction
(Input)
  NONE
(Output)
  C      : COSINE OF RANDOM DIRECTION
  S      : SINE OF RANDOM DIRECTION
(Creation Date and Author)
  1978.09.08 ; S.Yamada, A.Sato
  1995.02.03 ; K. KANEYUKI FOR S.K.
              RANAZI -> RNAZI
```



# History

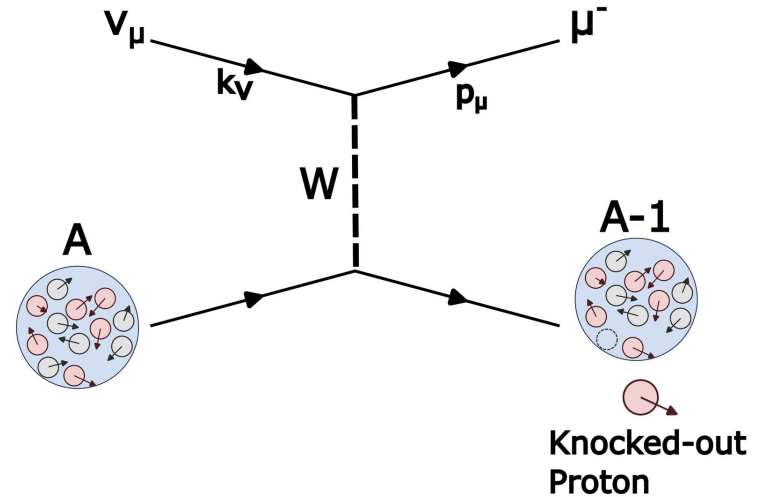
- Originally developed to predict neutrino-induced background rate for Kamiokande nucleon decay measurements.
- Has since been used for all SK and T2K long baseline oscillation results and the majority of T2K cross-section measurements.
  - Including Nobel and Breakthrough prize-winning measurements!
- The source code has historically not been public, but is available upon request.

```
1
2 *
3
4 *
5 *
6 *
7 *
8 *
9 *
10 *
11 *
12 *
13 *
14 *
15 *
16 *
17 *
18 *
19 *
20 *
21 *
SUBROUTINE RNAZI(C,S)
(Purpose)
  Give cosine and sine of random direction
(Input)
  NONE
(Output)
  C      : COSINE OF RANDOM DIRECTION
  S      : SINE OF RANDOM DIRECTION
(Creation Date and Author)
  1978.09.08 ; S.Yamada, A.Sato
  1995.02.03 ; K. KANEYUKI FOR S.K.
                RANAZI -> RNAZI
*****
```

```
37 *
38 *
39 *
40 *
41 *
42 *
43 *
44 *
45 *
46 *
47 *
48 *
49 *
50 *
51 *
52 *
53 *
54 *
55 *
56 *
57 *
58 *
59 *
60 *
61 *
62 *
63 *
64 *
65 *
66 *
67 *
(Creation Date and Author)
  1983.??.?? ; M.NAKAHATA
  1987.08.?? ; N.SATO FOR TAU
  1988.08.31 ; T.KAJITA DATA UPDATE
  1988.09.06 ; T.KAJITA R1314 IS ADDED
  1988.09.19 ; T.KAJITA DX/DY WAS CHANGED BY THAT OF N.SATO'S
                    WHICH INCLUDE LEPTON MASS TERM AND SMALL
                    TERMS
  1988.10.05 ; T.KAJITA SIGMA(NC)/SIGMA(CC) RATIOS AT HIGH ENERGIES
                    J.E.KIM ET AL., REV.MOD.PHYS.53(1981)211
  1989.07.21 ; K.KANEYUKI NEU-TAU C.C. CROSS SECTION WAS UPDATED SAME
                    AS NEU-E,NEU-MU
                    NEU-TAU N.C. CROSS SECTION =>
                    SAME AS NEU-E,NEU-MU
  1998.03.02 ; M.Shiozawa invariant mass threshold was changed due
                    to new improved Rein-Sehgal model.
  1998.09.?? ; J.Kameda New Calculation based on New Structure
                    function GRV94 DIS
                    Consider Nu_tau cross section
  2006.08.04 ; G.Mitsuka Cross section is calculated after loading
                    cross section table
  2007.11.05 ; G.Mitsuka support target nucleus besides 160
  2007.11.10 ; T.Tanaka add upmu mode
  2007.12.05 ; G.Mitsuka Maximum neutrno energy is extended to 100TeV
                    even if not upmu mode
  2008.11.17 ; R.Tacik calculate inump and inumpr for each event
  2016.03.08 ; C.Bronner Put back the possibility to use a given input proton fraction
                    inump and inumpr are computed from number of nucleons only if
                    the input fraction is <0 or >1
  2020.12.02 ; C.Bronner Cross-section for new BY model
```

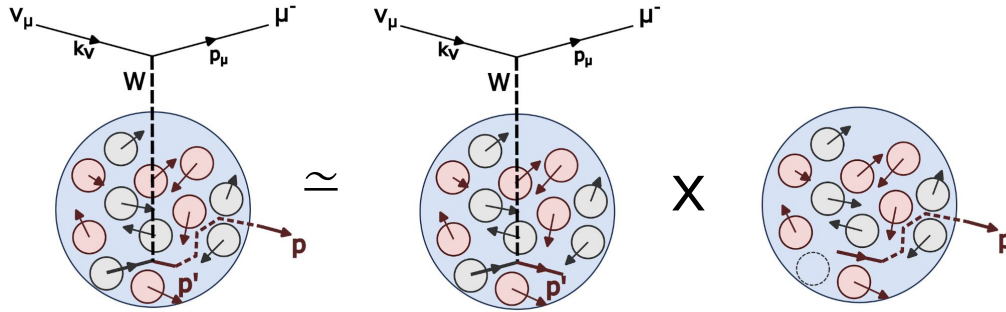
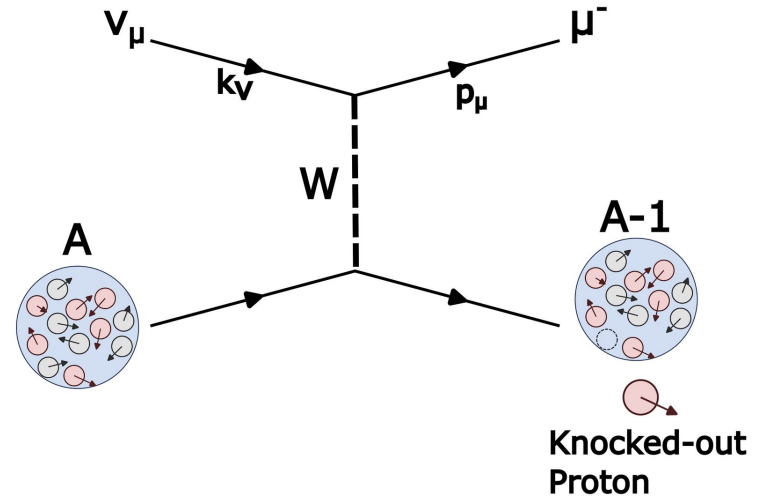
# Anatomy of A Neutrino Interaction

- In the few GeV region, nuclear effects have a significant impact on cross-section predictions
  - But solving the neutrino–nucleus quantum many-body problem fully is intractable



# Anatomy of A Neutrino Interaction

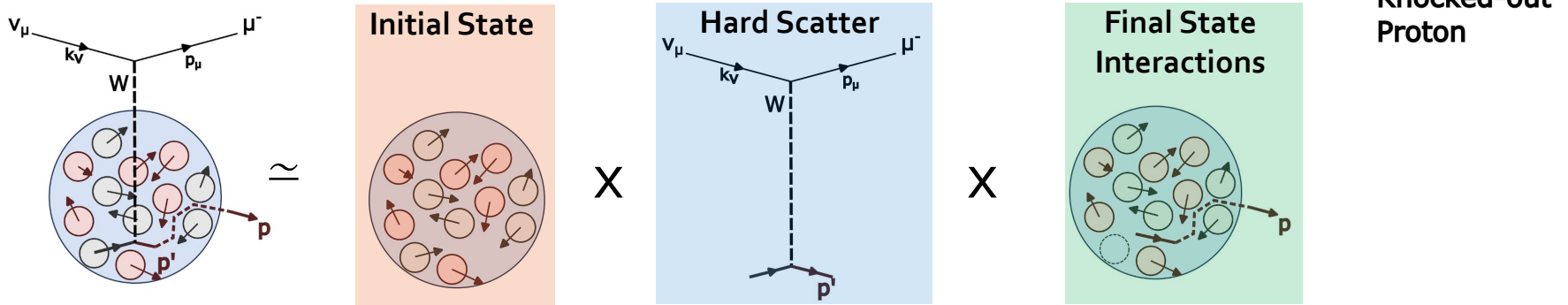
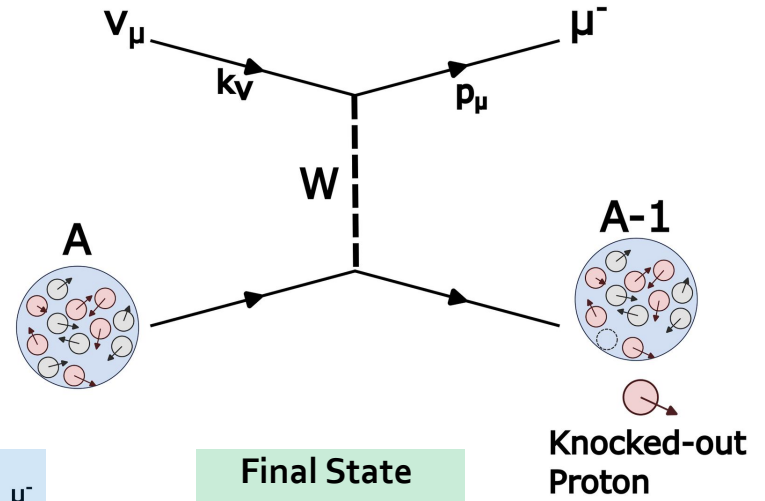
- In the few GeV region, nuclear effects have a significant impact on cross-section predictions
  - But solving the neutrino–nucleus quantum many-body problem fully is intractable
- Factorisation to the *rescue*!





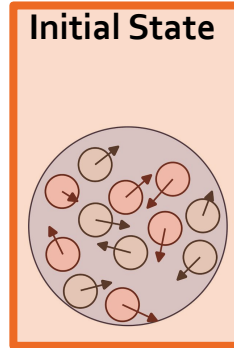
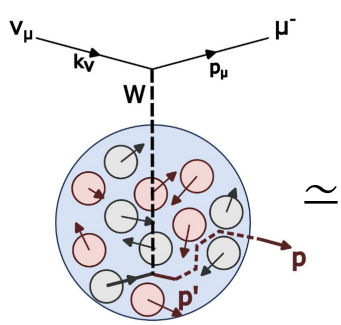
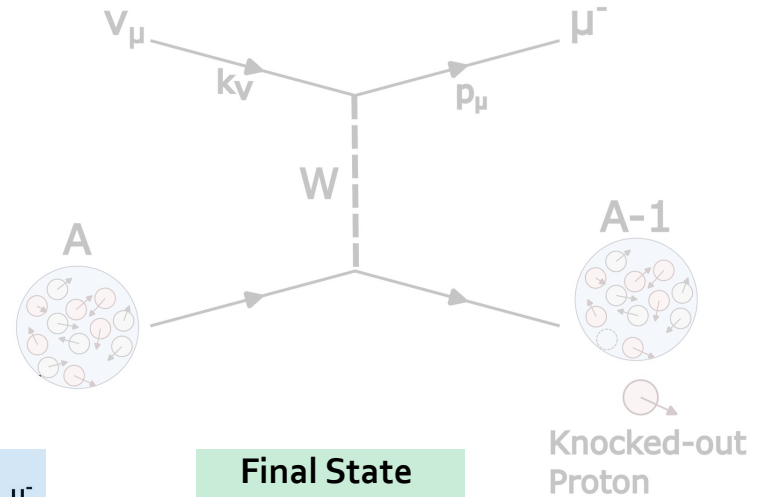
# Anatomy of A Neutrino Interaction

- In the few GeV region, nuclear effects have a significant impact on cross-section predictions
  - But solving the neutrino–nucleus quantum many-body problem fully is intractable
- Factorisation to the *rescue* again!

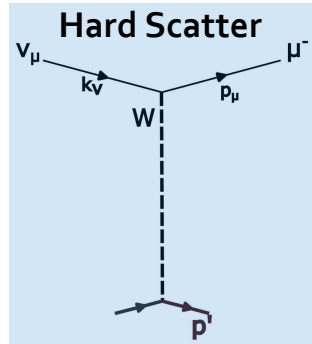


# Anatomy of A Neutrino Interaction

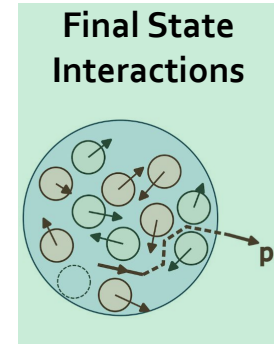
- In the few GeV region, nuclear effects have a significant impact on cross-section predictions
  - But solving the neutrino–nucleus quantum many-body problem fully is intractable
- Factorisation to the *rescue* again!



X



X



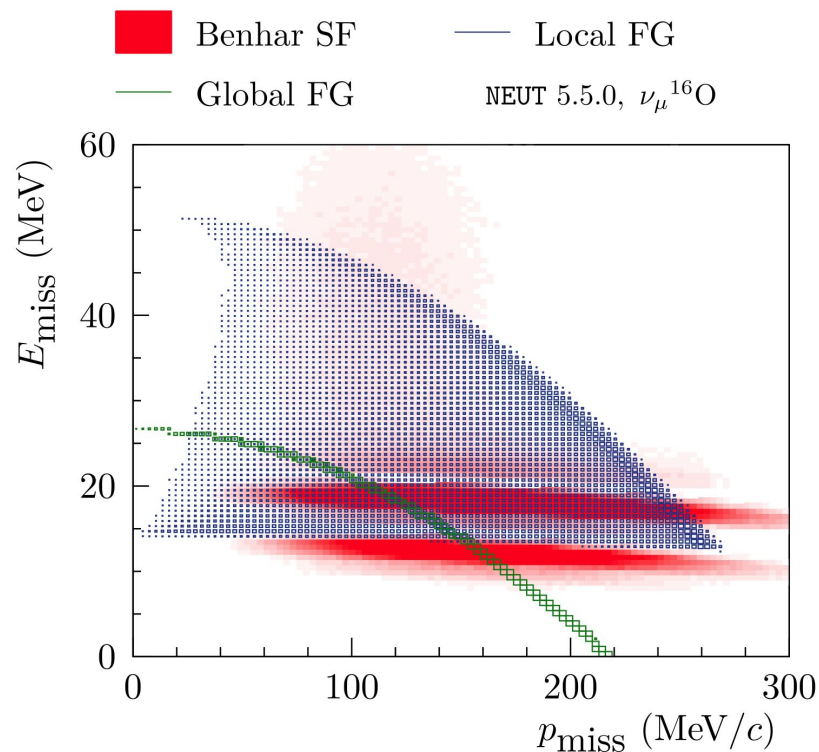
# The Initial State

---

- The details of the initial state are critical for predicting few-GeV interactions correctly
  - Bound nucleons are in Fermi motion
  - Struck nucleons are off mass shell

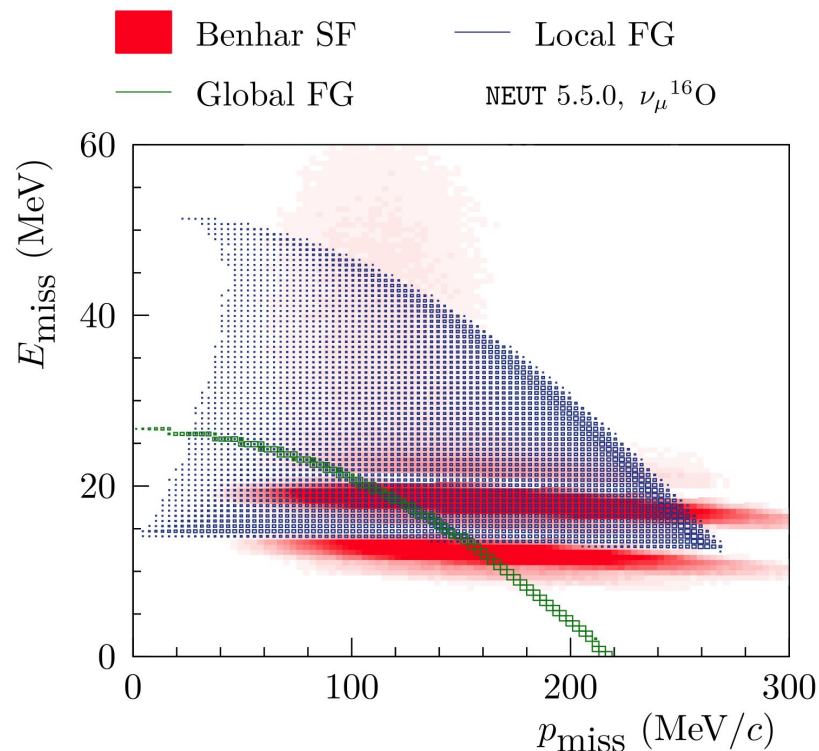
# The Initial State

- The details of the initial state are critical for predicting few-GeV interactions correctly
  - Bound nucleons are in Fermi motion
  - Struck nucleons are off mass shell
- Usually characterised by Spectral Functions that are tuned to predict measured 'missing energy' and 'missing momentum' in electron scattering experiments.



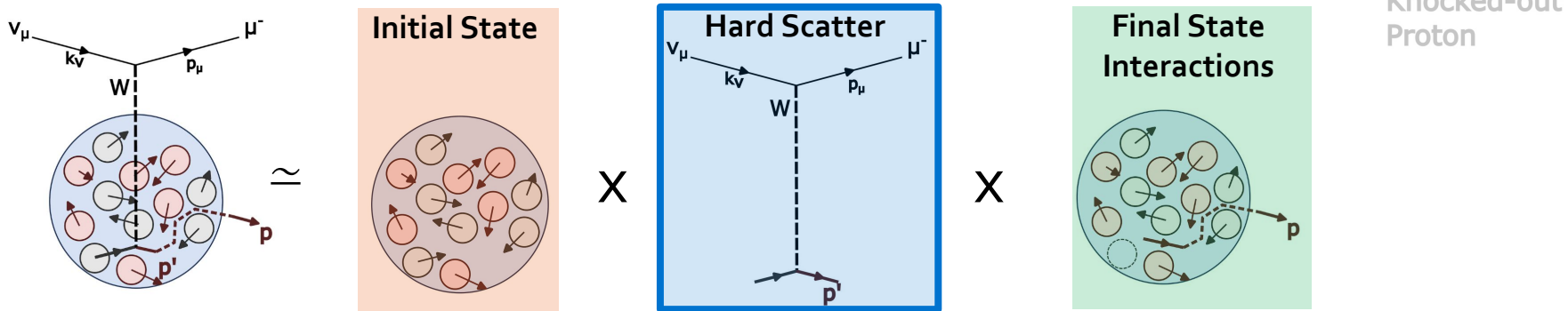
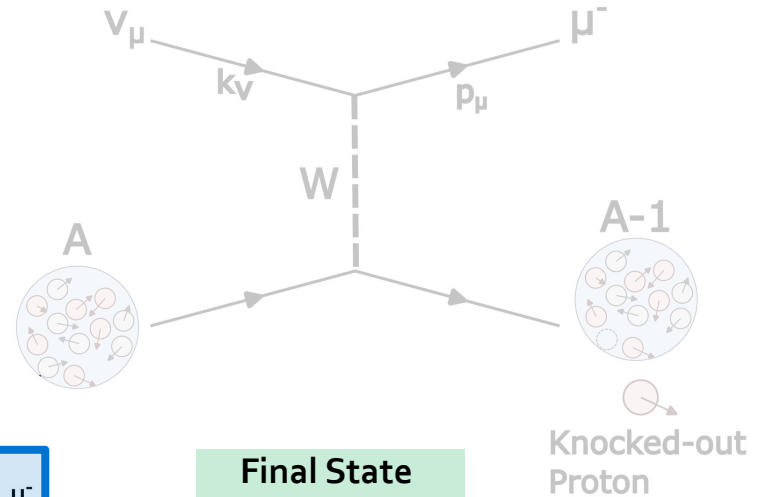
# The Initial State

- The details of the initial state are critical for predicting few-GeV interactions correctly
  - Bound nucleons are in Fermi motion
  - Struck nucleons are off mass shell
- Usually characterised by Spectral Functions that are tuned to predict measured 'missing energy' and 'missing momentum' in electron scattering experiments.
- NEUT can simulate interactions with FG nuclear models on a wide range of target nuclei
- NEUT can also use the Benhar SF for Quasi Elastic interactions with C<sub>12</sub>, O<sub>16</sub>, and Fe<sub>56</sub>



# Anatomy of A Neutrino Interaction

- In the few GeV region, nuclear effects have a significant impact on cross-section predictions
  - But solving the neutrino–nucleus quantum many-body problem fully is intractable
- Factorisation to the *rescue* again!



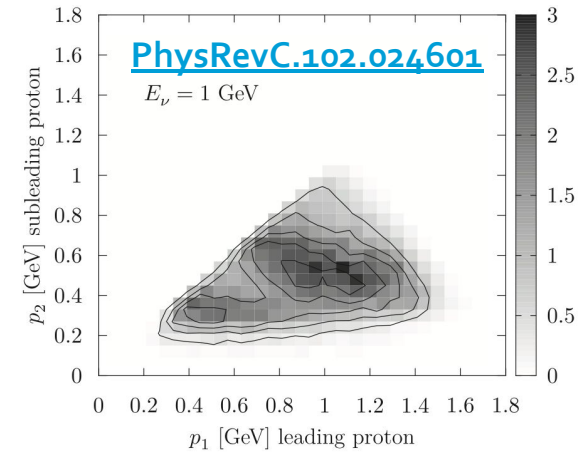
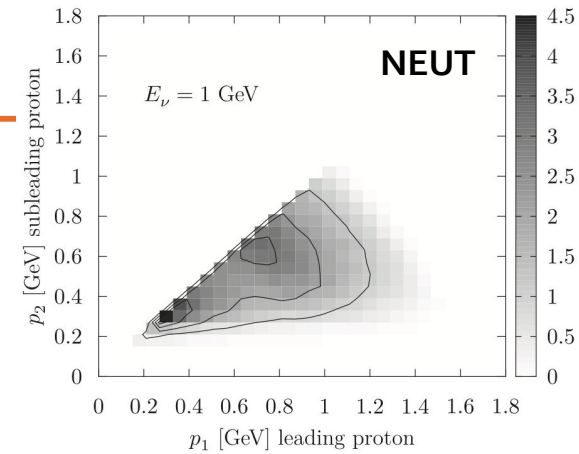
# oPi

---

- Inclusive CCQE Models:
  - Smith-Moniz RFG w/Llewellyn Smith cross-section & kinematics
  - Benhar *et al.* SF w/Llewellyn Smith cross-section & kinematics
  - Nieves *et al.* 1p1h (Valencia) w/Bourguille *et al.* removal energy
  - Nucleon Form Factors:
    - Vector: Dipole, BBA05, BBBA07
    - Axial: Dipole, 3-component, Z-expansion

# oPi

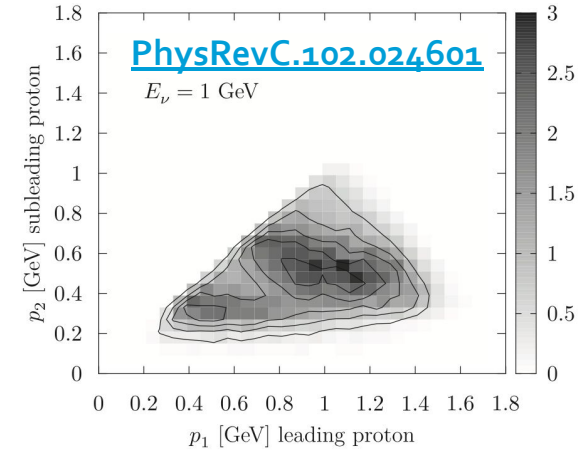
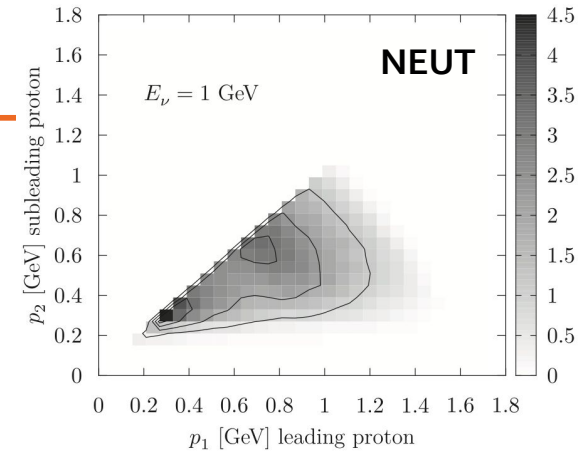
- Inclusive CCQE Models:
  - Smith-Moniz RFG w/Llewellyn Smith cross-section & kinematics
  - Benhar *et al.* SF w/Llewellyn Smith cross-section & kinematics
  - Nieves *et al.* 1p1h (Valencia) w/Bourguille *et al.* removal energy
  - Nucleon Form Factors:
    - Vector: Dipole, BBA05, BBBA07
    - Axial: Dipole, 3-component, Z-expansion
- Inclusive 2p2h Model: Nieves *et al.* 2p2h (Valencia)
  - w/egalitarian hadron kinematics model and Bourguille *et al.* removal energy
  - Breaks second factorisation as interaction is inherently multi-body
  - Full HT or Look-up Table implementations





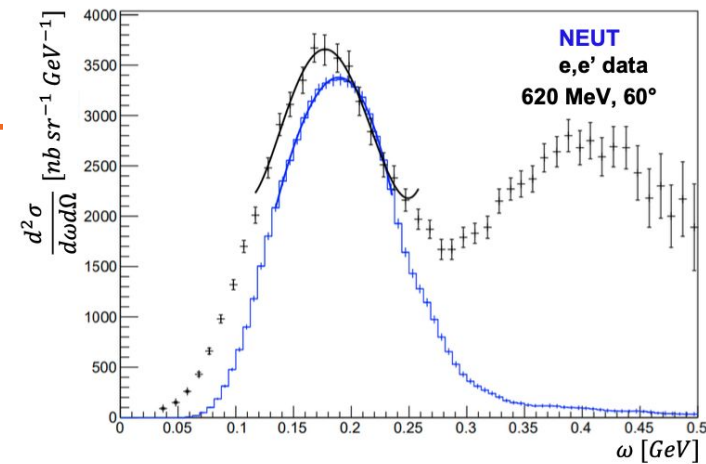
# oPi

- Inclusive CCQE Models:
  - Smith-Moniz RFG w/Llewellyn Smith cross-section & kinematics
  - Benhar *et al.* SF w/Llewellyn Smith cross-section & kinematics
  - Nieves *et al.* 1p1h (Valencia) w/Bourguille *et al.* removal energy
  - Nucleon Form Factors:
    - Vector: Dipole, BBA05, BBBA07
    - Axial: Dipole, 3-component, Z-expansion
- Inclusive 2p2h Model: Nieves *et al.* 2p2h (Valencia)
  - w/egalitarian hadron kinematics model and Bourguille *et al.* removal energy
  - Breaks second factorisation as interaction is inherently multi-body
  - Full HT or Look-up Table implementations
- 2010's NEUT development focussed on oPi channels
  - But more sophisticated models exist and still significant improvements needed to meet uncertainty budget of HK/DUNE



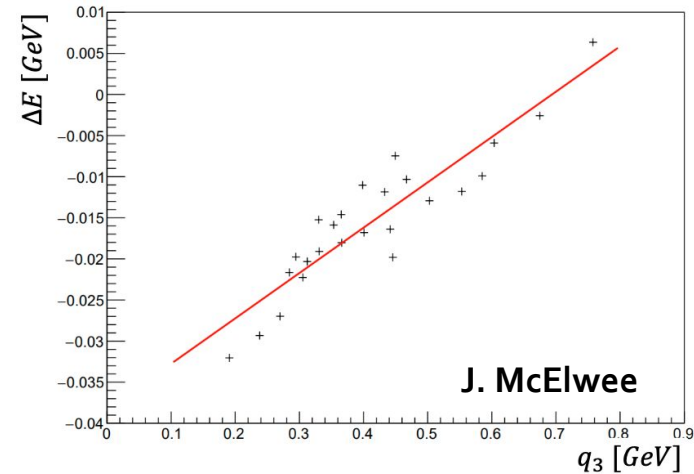
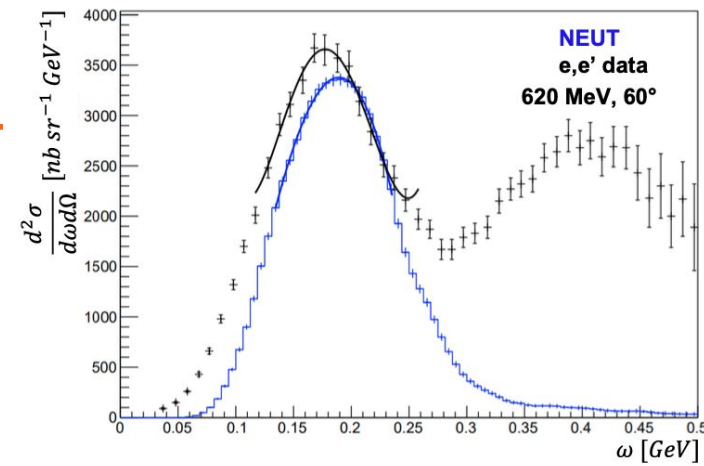
# Electron Scattering

- New capability to run an electron-like mode in NEUT
- Based on NCQE cross-section:
  - modified form factors and couplings
  - coulomb corrections to initial and final state energies



# Electron Scattering

- New capability to run an electron-like mode in NEUT
- Based on NCQE cross-section:
  - modified form factors and couplings
  - coulomb corrections to initial and final state energies
- Can be used to benchmark nuclear response implementation:
  - As expected from earlier work, the QE peak position is not correctly predicted by factorized SF implementation.
  - Shift of predicted to measured QE peak position shows clear dependence on interaction kinematics...
  - The second factorisation is wrong again.
  - But, the observed shift matches predictions from RMF!



# Single Pion Production

---

- Rein-Sehgal model: w/Berger-Sehgal lepton mass effects
  - All RS resonances contribute coherently
  - Graczyk–Sobczyk form factors
  - Isospin  $\frac{1}{2}$  non-resonant background included incoherently
  - Single Etas, Omegas, and Gamma production is also implemented

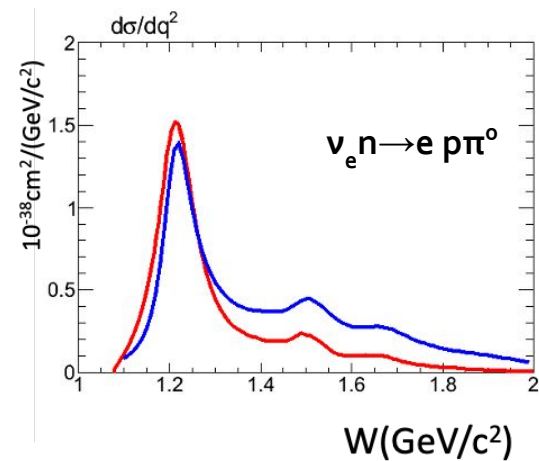
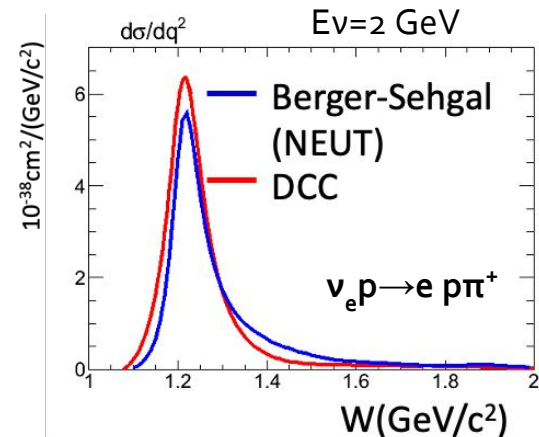
# Single Pion Production

---

- Rein-Sehgal model: w/Berger-Sehgal lepton mass effects
  - All RS resonances contribute coherently
  - Graczyk–Sobczyk form factors
  - Isospin 1/2 non-resonant background included incoherently
  - Single Etas, Omegas, and Gamma production is also implemented
- MK2018 implementation:
  - **Key improvement:** Non-resonant channels contribute coherently
  - Significantly improved model on the way, watch this space!

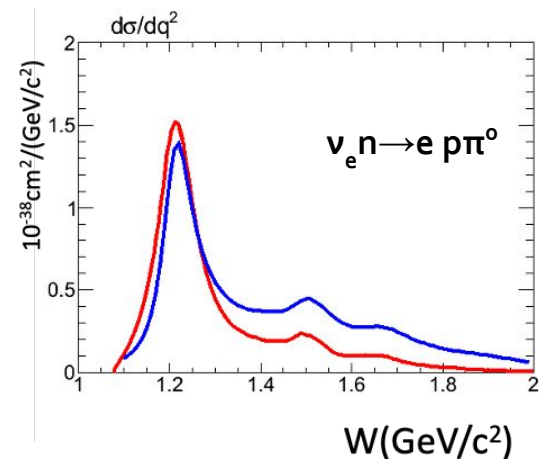
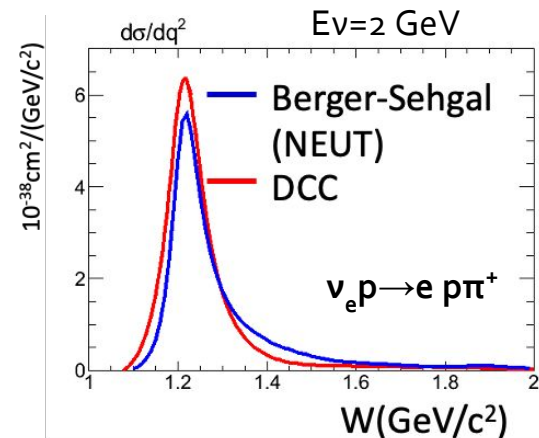
# Single Pion Production

- Rein-Sehgal model: w/Berger-Sehgal lepton mass effects
  - All RS resonances contribute coherently
  - Graczyk–Sobczyk form factors
  - Isospin  $\frac{1}{2}$  non-resonant background included incoherently
  - Single Etas, Omegas, and Gamma production is also implemented
- MK2018 implementation:
  - **Key improvement:** Non-resonant channels contribute coherently
  - Significantly improved model on the way, watch this space!
- DCC 1Pi [PRD 92, 074024 (2015)]:
  - State-of-the-art 1Pi model
  - Inclusive predictions recently implemented in NEUT



# Single Pion Production

- Rein-Sehgal model: w/Berger-Sehgal lepton mass effects
  - All RS resonances contribute coherently
  - Graczyk–Sobczyk form factors
  - Isospin  $\frac{1}{2}$  non-resonant background included incoherently
  - Single Etas, Omegas, and Gamma production is also implemented
- MK2018 implementation:
  - **Key improvement:** Non-resonant channels contribute coherently
  - Significantly improved model on the way, watch this space!
- DCC 1Pi [PRD 92, 074024 (2015)]:
  - State-of-the-art 1Pi model
  - Inclusive predictions recently implemented in NEUT
- Coherent 1Pi: Rein-Sehgal and **Berger-Sehgal**
- Diffractive 1Pi: Rein Model



# Shallow & Deep Inelastic Scattering

---

- See Christophe's [Talk](#) earlier in the day for details

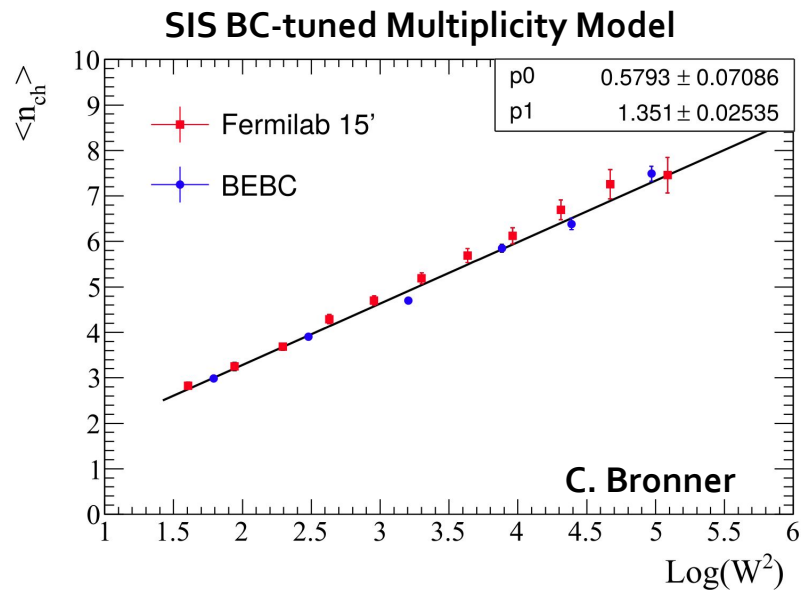


# Shallow & Deep Inelastic Scattering

- See Christophe's [Talk](#) earlier in the day for details

## NEUT SIS+DIS Model:

- GRV98 with Bodek Yang low  $Q^2$  modifications
- Pythia 5.7 fragmentation
- SIS:  $W < 2$ 
  - Must produce  $\geq 2$  pions to avoid overlap with Single Pion Production Processes
  - Custom charged-hadron multiplicity model with multiple options: Legacy, **BC-tuned**, AGKY

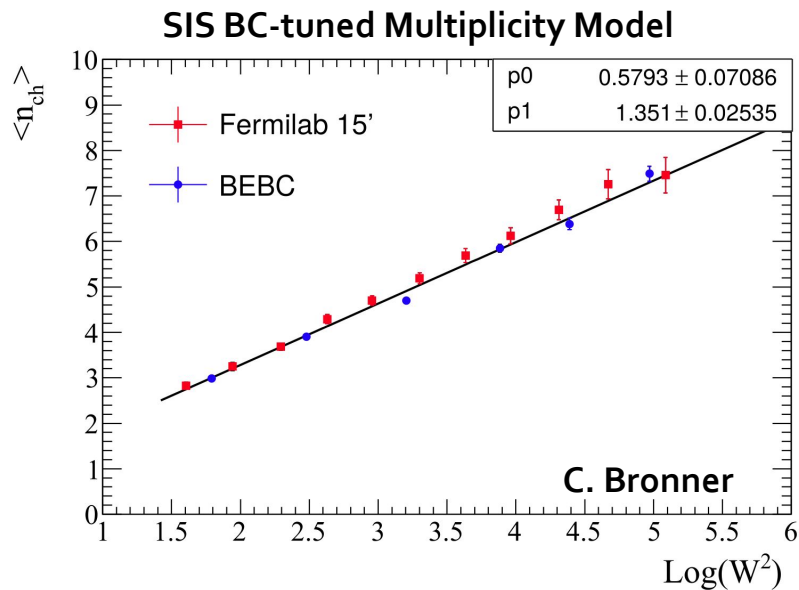


# Shallow & Deep Inelastic Scattering

- See Christophe's [Talk](#) earlier in the day for details

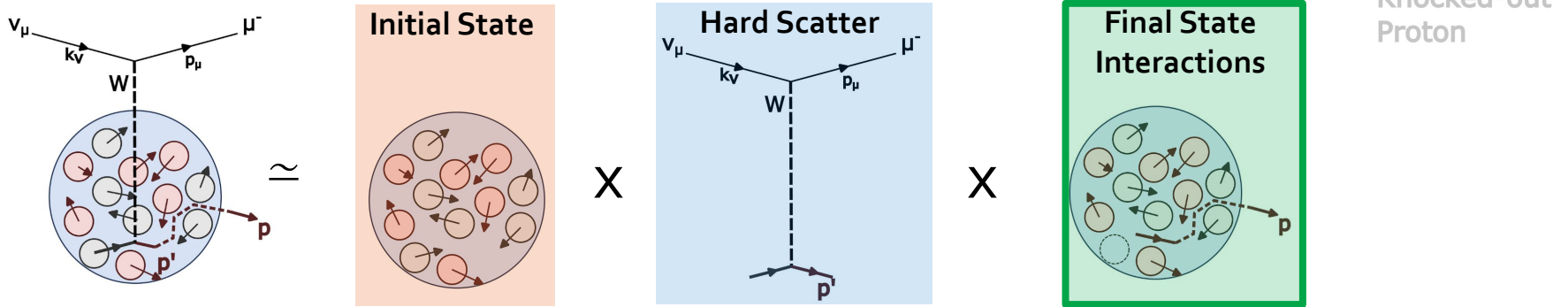
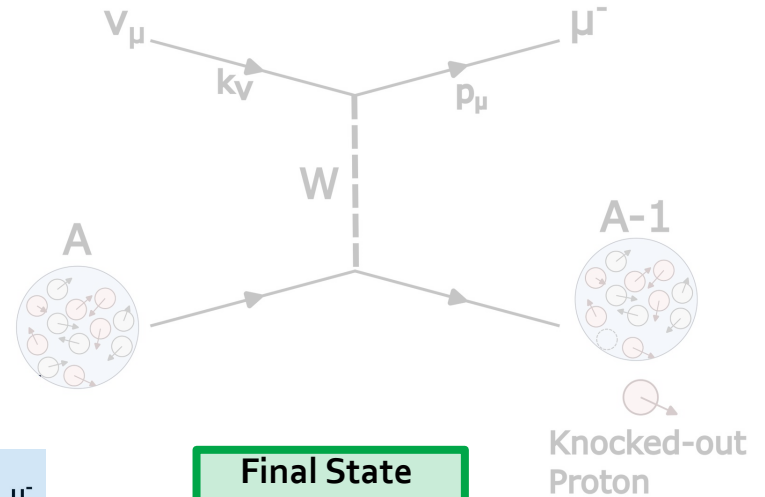
## NEUT SIS+DIS Model:

- GRV98 with Bodek Yang low  $Q^2$  modifications
- Pythia 5.7 fragmentation
- SIS:  $W < 2$ 
  - Must produce  $\geq 2$  pions to avoid overlap with Single Pion Production Processes
  - Custom charged-hadron multiplicity model with multiple options: Legacy, BC-tuned, AGKY
- DIS:  $W > 2$ 
  - Full Pythia event generation



# Anatomy of A Neutrino Interaction

- In the few GeV region, nuclear effects have a significant impact on cross-section predictions
  - But solving the neutrino–nucleus quantum many-body problem fully is intractable
- Factorisation to the *rescue* again!



# Cascade

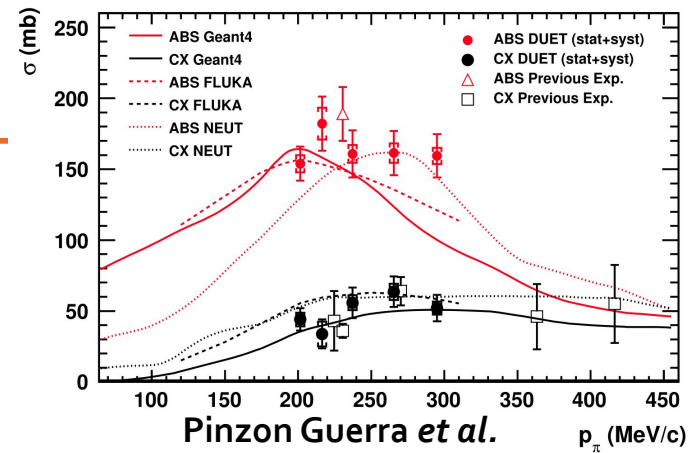
---

- Hadrons produced in the Hard Scatter must be transported out of the nuclear medium before being considered *observable*.
  - Hadron kinematics, particle species, and multiplicity can change through interactions



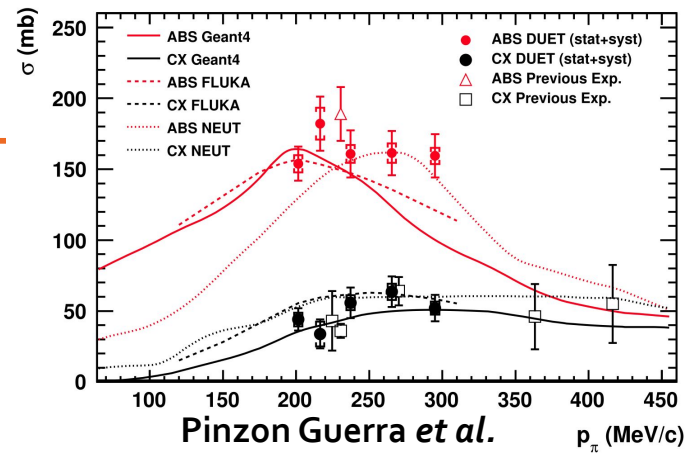
# Cascade

- Hadrons produced in the Hard Scatter must be transported out of the nuclear medium before being considered *observable*.
  - Hadron kinematics, particle species, and multiplicity can change through interactions
- In NEUT, hadrons are stepped out the nucleus via a semi-classical Metropolis cascade which implements interactions of nucleons, pions, kaons, etas, and omegas
  - Pion processes: Quasi-Elastic, Charge-exchange, Absorption, or pion production tuned to a variety of thin-target data



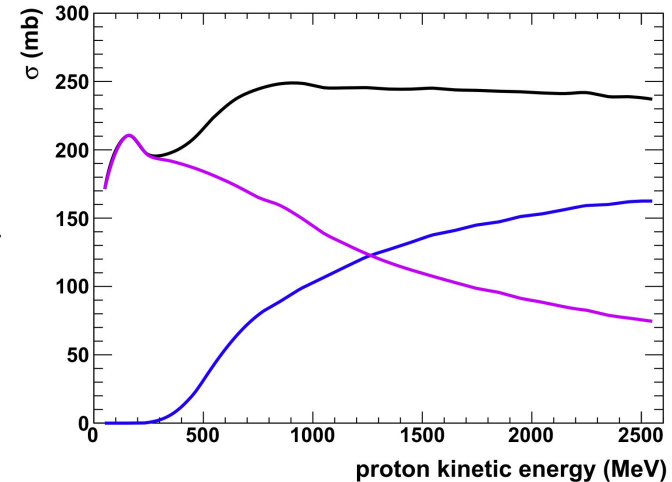
# Cascade

- Hadrons produced in the Hard Scatter must be transported out of the nuclear medium before being considered *observable*.
  - Hadron kinematics, particle species, and multiplicity can change through interactions
- In NEUT, hadrons are stepped out the nucleus via a semi-classical Metropolis cascade which implements interactions of nucleons, pions, kaons, etas, and omegas
  - Pion processes: Quasi-Elastic, Charge-exchange, Absorption, or pion production tuned to a variety of thin-target data
  - The nucleon cascade follows Bertini *et al.* for MECC-7
- Woods-Saxon nucleon density with LFG spectral function



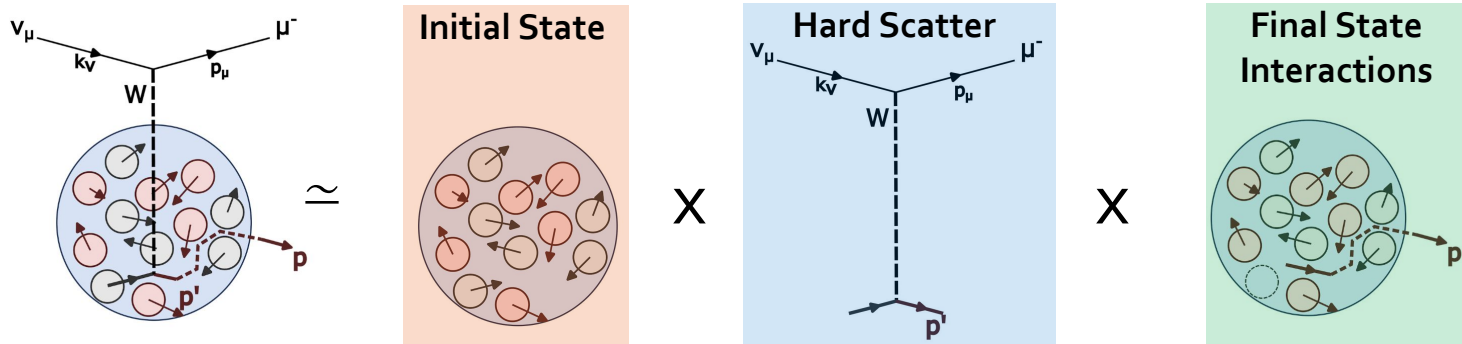
Pinzon Guerra *et al.*

Proton-Carbon cross-sections



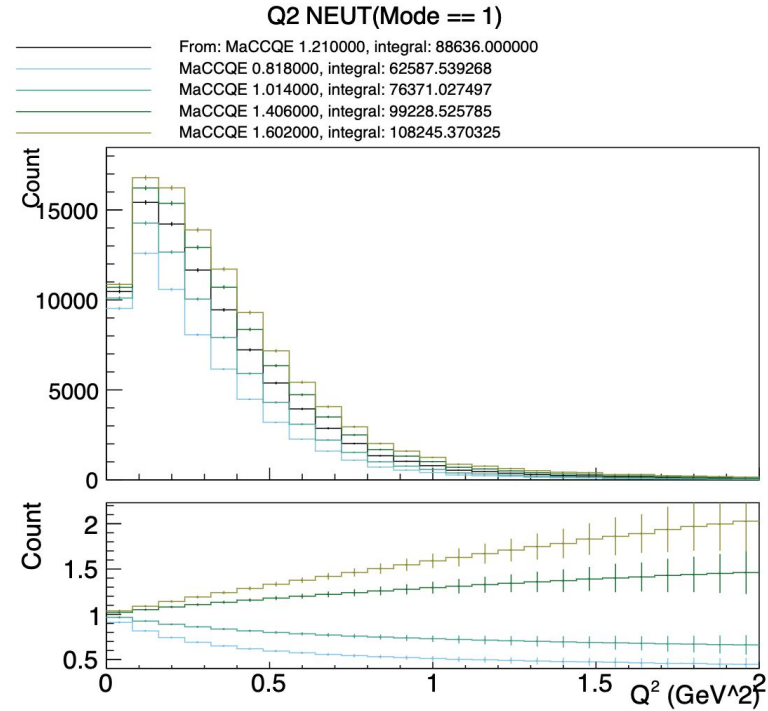
# Back to the *Initial State*

- Factorisation is a necessary evil, but have to be extra careful about consistency:
  - Final state phase space modified by Pauli blocking in the nuclear remnant
  - The cascade steps liberated hadrons through the nuclear remnant
  - Post-interaction nuclear de-excitation or remnant break-up



# Tools Worth Mentioning

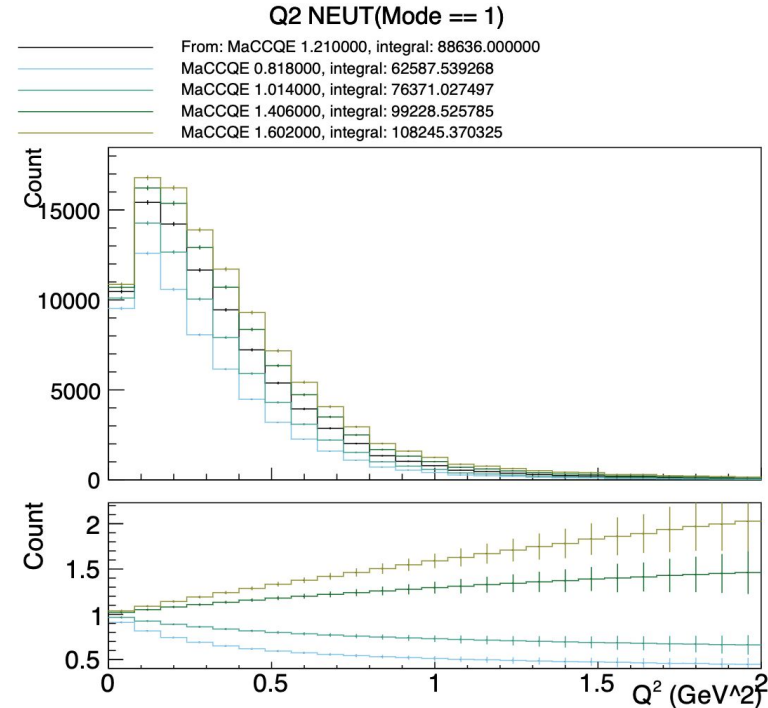
- NEUT ReWeight:
  - Calculate the relative probability of an already-generated event under some model variation
  - A critical tool for uncertainty propagation, but doesn't work for all model variations – complement with approximate techniques
  - Implemented for QE and Res1Pi form factors
  - Implemented for Pion and Nucleon cascade for modest variations of in-medium scattering probabilities





# Tools Worth Mentioning

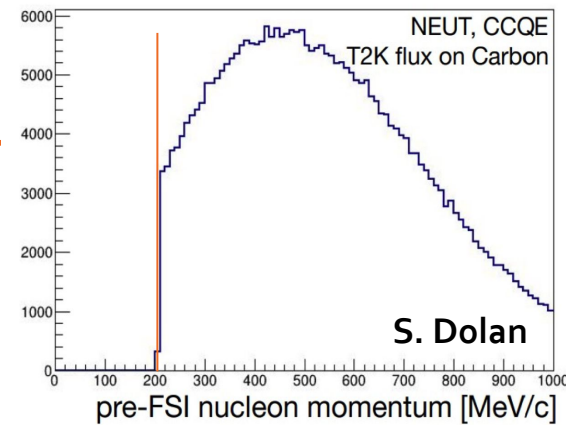
- NEUT ReWeight:
  - Calculate the relative probability of an already-generated event under some model variation
  - A critical tool for uncertainty propagation, but doesn't work for all model variations – complement with approximate techniques
  - Implemented for QE and Res1Pi form factors
  - Implemented for Pion and Nucleon cascade for modest variations of in-medium scattering probabilities
- GEANT interface:
  - Can use the NEUT hadron transport model as an inelastic model in GEANT<sub>4</sub>
  - Enables correlation of Final State Interaction (intra-nuclear) and Secondary Interaction (in-detector) models



# Known Limitations

- Nuclear models are inconsistent between models or steps in the factorisation:
  - Benhar *et al.* SF can be used for CCQE but no other modes
  - LFG used for FSI nuclear description
- Benhar *et al.* SF Pauli blocking uses simple, RFG-like approach
- Nuclear effects in single pion production are largely ignored
- Nuclear transparency has no effect on inclusive cross-section
- Between us... there are others

based on the density and momentum predictions from an LFG model. Such an inconsistent model is sometimes affectionately referred to as a Franken-model, after the fictional scientist and his Gothic horror implementation. For single meson production, nuclear effects



# Future

---

- Development has begun on NEUT6 - Targeted at HK and final T2K analyses:
  - Significant reorganization of code-base
  - Improved build system
  - Removed dependence on CERNLIB2005
  - New TOML-based configuration file
  - (More) Modern C/Fortran interop
- Implementing HepMC3-based event format proposed as a common neutrino generator format: [NuHepMC](#)
  - Hope to widen the phase-space for cross-tool interoperability
  - Formats are only one piece of the puzzle: Common APIs, community flux and geometry tooling
  - See whitepaper here: <https://arxiv.org/abs/2008.06566>
- Aim is to release NEUT6 as open source under the GPL in 2023
- Hope to produce comprehensive data-model comparisons alongside NEUT6 release



# Summary

---

- Development targets needs of J-PARC-based neutrino scattering experiments
  - Focus on few GeV electron, muon, and tau neutrino interactions with H<sub>1</sub>, C<sub>12</sub>, O<sub>16</sub> targets
- NEUT provides a complete model for interpreting neutrino-scattering data
  - But significantly improved predictions are needed for the precision generation of experiments
- Factorisations are mathematically and computationally necessary, but we know their usages misses important physical effects:
  - Ongoing effort to understand, quantify, and implement effective corrections.



# Summary

---

- Development targets needs of J-PARC-based neutrino scattering experiments
  - Focus on few GeV electron, muon, and tau neutrino interactions with H<sub>1</sub>, C<sub>12</sub>, O<sub>16</sub> targets
- NEUT provides a complete model for interpreting neutrino-scattering data
  - But significantly improved predictions are needed for the precision generation of experiments
- Factorisations are mathematically and computationally necessary, but we know their usages misses important physical effects:
  - Ongoing effort to understand, quantify, and implement effective corrections.
- NEUT has a long, rich history and we want to make sure that it not only survives, but becomes a more useful community tool into the next generation.
  - Effort on opening up the source code
  - Implementing community interfaces and formats
  - Updating dependencies and procedures to modern standards (where possible)





ROYAL  
HOLLOWAY  
UNIVERSITY  
OF LONDON

Supported by  
URF\R1\211661

THE  
ROYAL  
SOCIETY