



Checking backward evolution?

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LH 2019 study with L. Gellersen and D. Napoletano (arXiv:2003.01700)

Any self-respecting shower will “amend” DGLAP evolution to avoid soft double-counting.

But how bad are the consequences?

[Note: Derivation following arXiv:1506.05057]

DGLAP evolution equation:

$$\frac{df_a(x, t)}{d \ln t} = \sum_{b=q,g} \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} [P_{ba}(z)]_+ f_b(x/z, t),$$

where P_{ab} = regularized kernels. Assume: Write using unregularized kernels \hat{P}_{ab} , restricted to all but an ε -environment around the pole, plus an endpoint:

$$P_{ba}(z, \varepsilon) = \hat{P}_{ba}(z) \Theta(1 - z - \varepsilon) - \delta_{ab} \frac{\Theta(z - 1 + \varepsilon)}{\varepsilon} \sum_{c=q,g} \int_0^{1-\varepsilon} d\zeta \zeta \hat{P}_{ac}(\zeta)$$

w/o momentum conservation, $\varepsilon \rightarrow 0$ is possible, allowing identification of $[P_{ba}(z)]_+$ as the $\varepsilon \rightarrow 0$ limit of $P_{ba}(z, \varepsilon)$.

With this, the DGLAP evolution equation becomes:

$$\frac{1}{f_a(x, t)} \frac{df_a(x, t)}{d \ln t} = - \sum_{c=q, g} \int_0^{1-\varepsilon} d\zeta \zeta \frac{\alpha_s}{2\pi} \hat{P}_{ac}(\zeta) + \sum_{b=q, g} \int_x^{1-\varepsilon} \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}_{ba}(z) \frac{f_b(x/z, t)}{f_a(x, t)} .$$

The first term is the “virtual” part, the second a “spectrum” contribution.

(Note: We can argue about the precise form of the “virtual” part, but that’s not really important here. The relevant point is $\varepsilon \ll 1$.)

Defining the Sudakov form factor Δ and the no-emission probability Π ,

$$\Delta_a(t_1, t_0) = \exp \left\{ - \int_{t_1}^{t_0} \frac{dt}{t} \sum_{c=q,g} \int_0^{1-\varepsilon} d\zeta \zeta \frac{\alpha_s}{2\pi} \hat{P}_{ac}(\zeta) \right\}$$

$$\Pi_a(t_1, t_0; x) = \exp \left\{ - \int_{t_1}^{t_0} \frac{dt}{t} \sum_{b=q,g} \int_x^{1-\varepsilon} \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}_{ba}(z) \frac{f_b(x/z, t)}{f_a(x, t)} \right\}$$

the DGLAP equation can be rewritten as

$$f_a(x, t) \Delta_a(t, \mu^2) = f_a(x, \mu^2) \Pi_a(t, \mu^2; x) .$$

The assumptions going into this are

1. P are the DGLAP kernels
2. $\varepsilon \ll 1$

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$$f_a(x, t) \Delta_a(t, \mu^2) = f_a(x, \mu^2) \Pi_a(t, \mu^2; x) .$$

The assumptions going into this are

1. We use DGLAP kernels
2. $\varepsilon \ll 1$

Parton showers rely on sampling the spectrum (i.e. Π) to produce evolution.

We do not use vanilla DGLAP kernels (due to having to avoid soft double-counting), nor have $\varepsilon \ll 1$ in all regions of phase space, due to momentum conservation constraints.

How much is the relation violated in practise?

Note that the function

$$D_a(t, \mu^2; x) = \frac{f_a(x, \mu^2)}{f_a(x, t)} \Pi_a(t, \mu^2; x) .$$

should be x -independent for all a , and every combination t, μ^2 .

Note that we don't really need to agree that $D_a = \Delta_a$, nor do we need to worry about the precise value of D_a yet.

Since the value of D_a depends heavily on t, μ^2 and the guts of the PS (ordering, etc.), it's best to normalize

$$d(t, \mu^2; x_i) = \frac{D(t, \mu^2; x_i)}{\sum_j D(t, \mu^2; x_j)} = \frac{\frac{f_a(x_i, \mu^2)}{f_a(x_i, t)} \Pi_a(t, \mu^2; x_i)}{\sum_j D(t, \mu^2; x_j)} ,$$

to compare the x -independence for various t on equal footing

We want to check the x -independence of

$$d(t, \mu^2; x_i) = \frac{D(t, \mu^2; x_i)}{\sum_j D(t, \mu^2; x_j)} = \frac{\frac{f_a(x_i, \mu^2)}{f_a(x_i, t)} \Pi_a(t, \mu^2; x_i)}{\sum_j D(t, \mu^2; x_j)},$$

Expectations:

1. Large separation between t, μ^2 gives long evolution, which could lead to small inconsistencies accumulating.
2. Usually $\varepsilon = \varepsilon(\bar{t}, m_D)$, where m_D is the dipole mass. For Pythia

$$\varepsilon_{\text{Pythia}} = \frac{\sqrt{t_{\text{Pythia}}}}{m_D} \left(\sqrt{1 + \frac{t_{\text{Pythia}}}{4 m_D^2}} - \frac{\sqrt{t_{\text{Pythia}}}}{2 m_D} \right)$$

Smaller m_D thus allows ε to be closer to 1, and violate the assumptions more severely.

We want to check the x -independence of

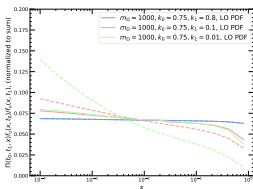
$$d(t, \mu^2; x_i) = \frac{D(t, \mu^2; x_i)}{\sum_j D(t, \mu^2; x_j)} = \frac{\frac{f_a(x_i, \mu^2)}{f_a(x_i, t)} \Pi_a(t, \mu^2; x_i)}{\sum_j D(t, \mu^2; x_j)},$$

Settings:

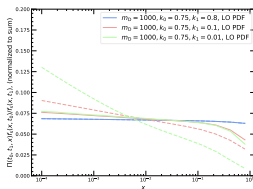
- For simplicity, stick to initial-initial color connection (=Drell-Yan-like)
- Pick a value for m_D , and then define $t_0 = k_0 m_D$. We fixed $k_0 = 0.75$.
- Define $t_1 = k_1 t_0$. Use $k_1 \in [0.8, 0.1, 0.01]$ as proxy for short, moderate and very long evolution.
- Check both NNPFD23_lo_as_0119_qed and NNPFD23_nlo_as_0119_qed

...then calculate $D_a(t, \mu^2; x)$ for several values of x (by employing trial showers for “events” with two partons a, b with $(p_a + p_b)^2 = m_D^2$, $x_a = x$, and only allowing radiation from the a -leg)

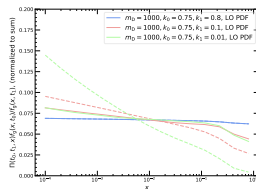
High mass plots (note: focus on the solid lines)



(a) d -quark evolution



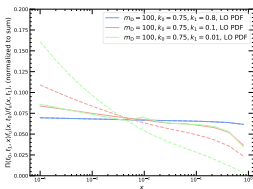
(b) s -quark evolution



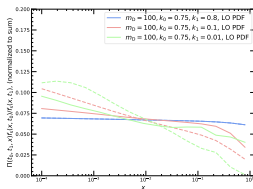
(c) gluon evolution

Figure: x -distribution for different length of parton-shower evolution, for $m_D = 1000$ GeV, leading-order PDF set NNPDF23_lo_as_0119_qed, and for both Pythia (solid curves) and Sherpa (dashed curves)

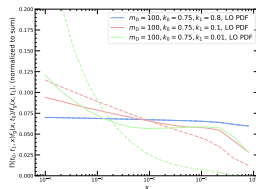
Low mass plots (note: focus on the solid lines)



(a) d -quark evolution



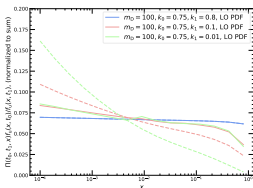
(b) s -quark evolution



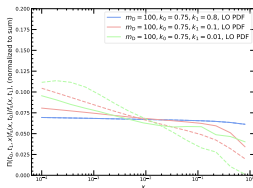
(c) gluon evolution

Figure: x -distribution for different length of parton-shower evolution, for $m_D = 100$ GeV, leading-order PDF set NNPDF23_lo_as_0119_qed, and for both Pythia (solid curves) and Sherpa (dashed curves)

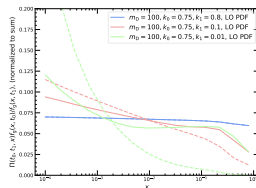
LO vs. NLO PDFs (note: focus on the solid lines)



(a) *d*-quark evolution

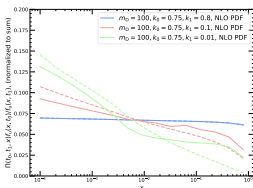


(b) *s*-quark evolution

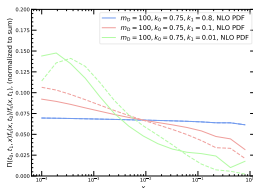


(c) gluon evolution

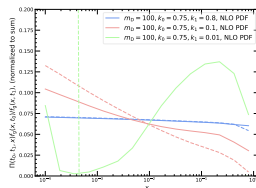
Figure: $m_D = 100$ GeV, leading-order PDF set NNPDF23_lo_as_0119_qed



(a) *d*-quark evolution



(b) *s*-quark evolution



(c) gluon evolution

Figure: $m_D = 100$ GeV, NLO PDF set NNPDF23_lo_as_0119_qed

Fun results, but probably needs further studies :)

- Lower mass \rightarrow stronger x -dependence: Makes sense from form of ε .
- Long evolution \rightarrow stronger x -dependence: Problems accumulate.
- NLO PDFs \rightarrow stronger x -dependence: No NLO kernels means NLO DGLAP not recovered.

Lots of further studies could be done...

Deductor has published a similar study, Cascade is working on it.