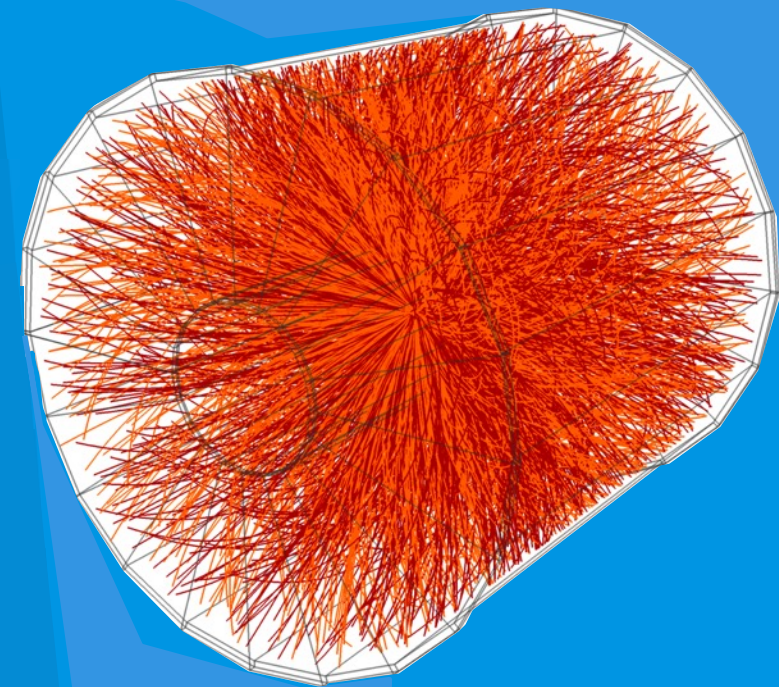


# Experimental results on heavy quark hadronisation

Luuk Vermunt\* (Universität Heidelberg)



Torino  
16/07/2022

\* [luuk.vermunt@cern.ch](mailto:luuk.vermunt@cern.ch)

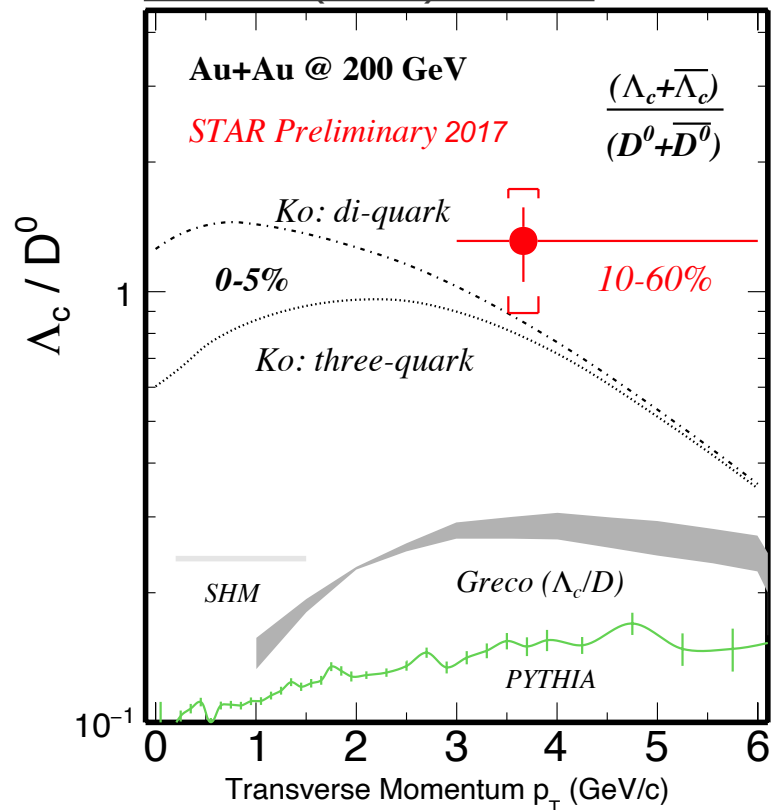


# Heavy-quark hadronisation

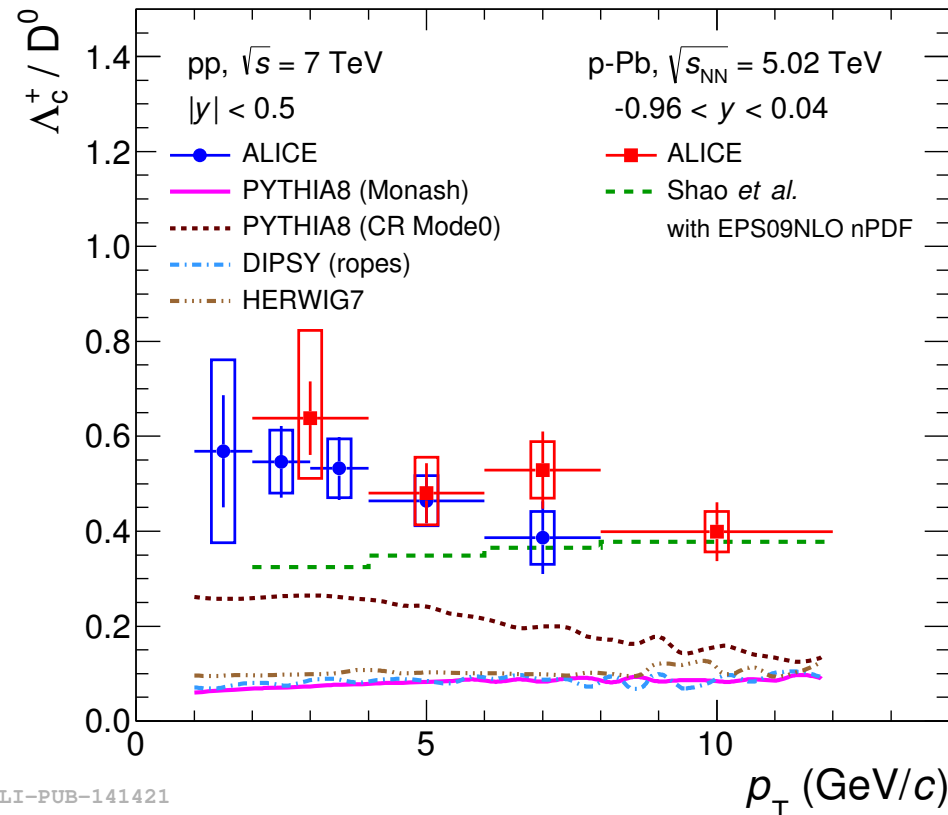
Interest in heavy-quark hadronisation recently (re)started

- **< QM17:** Only appeared as one of the model ingredients
- **≥ QM17:** Investigated after STAR and ALICE pioneering  $\Lambda_c^+$  measurements
- **= QM22:** 6x “heavy-flavour hadronisation” in contribution titles

Extended and published in:  
PRL 123 (2020) 172301



JHEP 04 (2018) 108



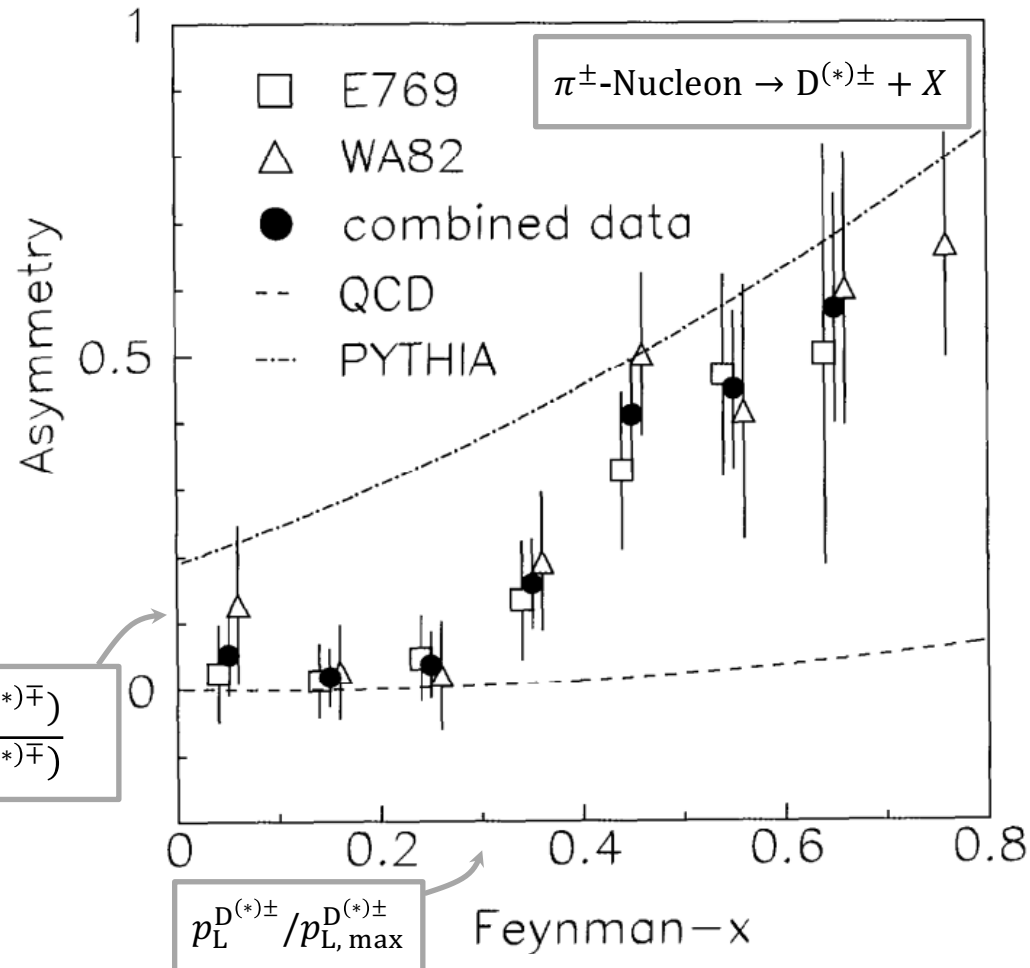
ALI-PUB-141421



# When the experimental effort actually began

“The leading particle effect”: An observed asymmetry in charm-hadron production in case the light quark is in common with the beam particle

- Not described by models → **Modified hadronisation mechanisms** proposed (recombination)



- [LEBC-EHS] Phys. Lett. B 122 (1983) 312
- [NA27] Phys. Lett. B 161 (1985) 400
- [LEBC-EHS] Zeit. Phys. C 31 (1986) 491
- [ACCMOR] Zeit. Phys. C 49 (1991) 555
- [WA82] Phys. Lett. B 305 (1993) 402
- [E769] Phys. Rev. Lett. 72 (1994) 1946
- [E791] Phys. Lett. B 371 (1996) 157
- [E791] Phys. Lett. B 411 (1997) 230
- [WA89] Eur. Phys. J. C 8 (1999) 593
- [SELEX] Phys. Lett. B 528 (2002) 49

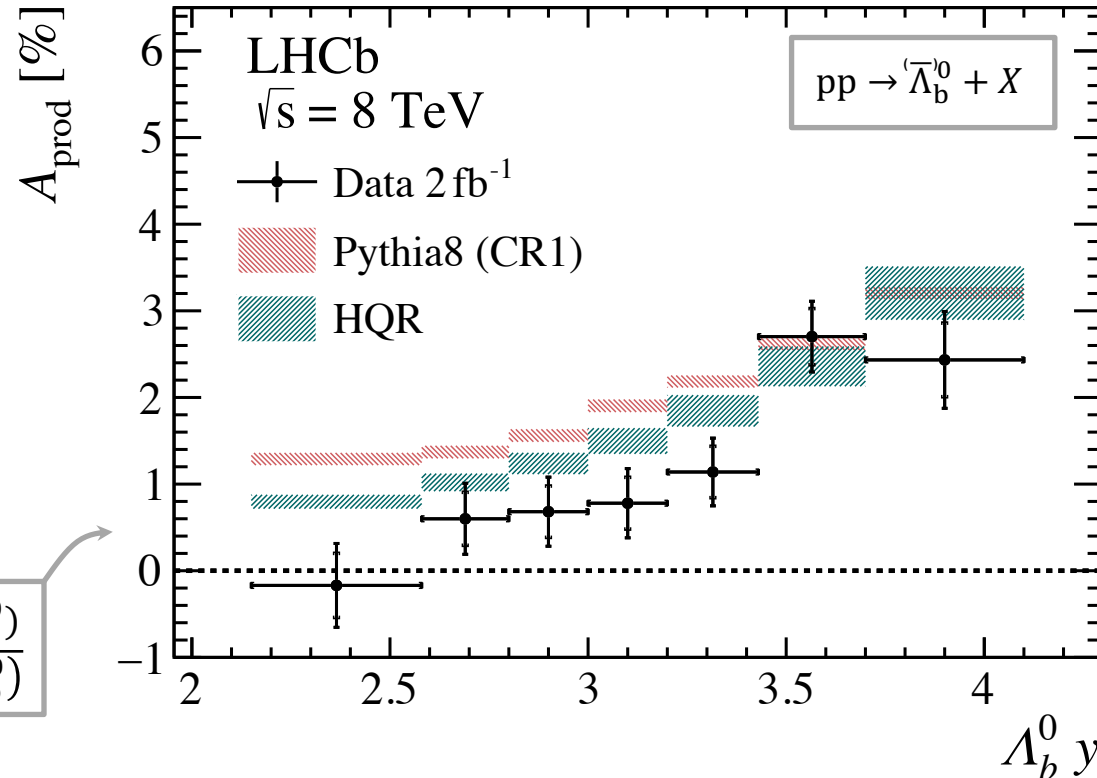


# Recent progress on HF production asymmetry

LHCb studied the hadronisation process via the production asymmetry for HF hadrons

- Crucial to improve the precision of  $CP$ -violation measurements
- $y$ - and  $p_T$ -differential  $\Lambda_b^0$  data at 8 TeV **favour** a ( $\sim p$ QCD) heavy-quark **recombination model**

[E. Braaten et al.] [Phys. Rev. D 66 \(2002\) 034003](#)



$$\frac{\sigma(\Lambda_b^0) - \sigma(\bar{\Lambda}_b^0)}{\sigma(\Lambda_b^0) + \sigma(\bar{\Lambda}_b^0)}$$

- [LHCb] [Phys. Lett. B 713 \(2012\) 186](#)
- [CMS] [Phys. Lett. B 714 \(2012\) 136](#)
- [LHCb] [Phys. Lett. B 718 \(2013\) 902](#)
- [LHCb] [Phys. Lett. B 739 \(2014\) 218](#)
- [D0] [Phys. Rev. D 91 \(2015\) 072008](#)
- [LHCb] [Chin. Phys. C 40 \(2016\) 011001](#)
- [LHCb] [Phys. Lett. B 774 \(2017\) 139](#)
- [LHCb]** [JHEP 10 \(2021\) 060](#)



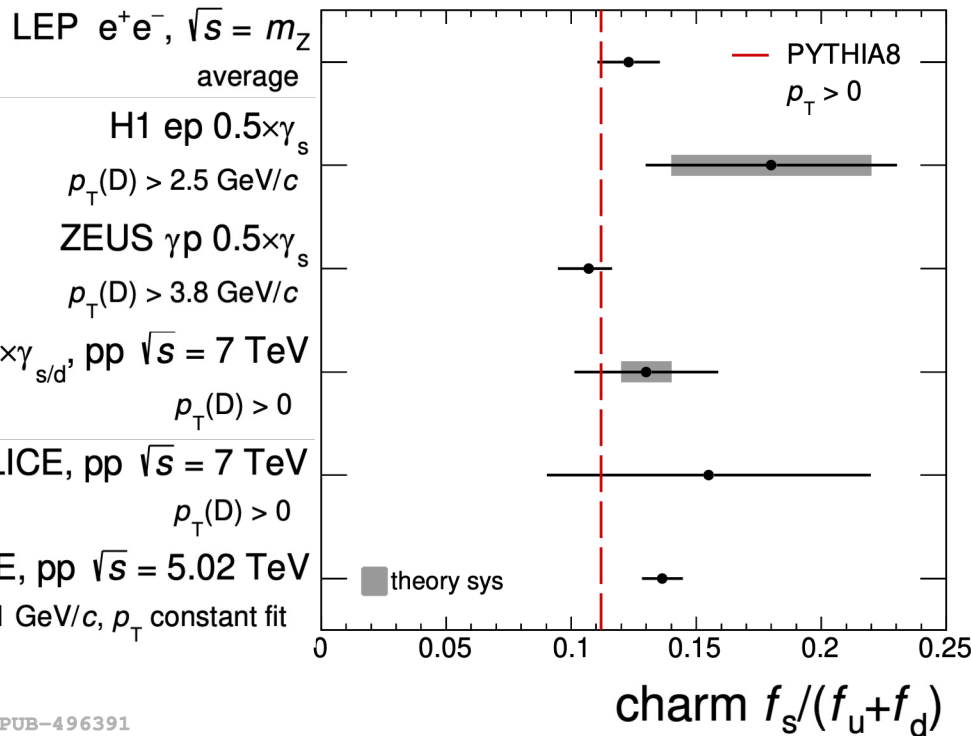
# Meson fragmentation fraction ratios in pp

Improved precision on HF fragmentation fractions (**strangeness suppression factor**)

- Compatible with previous measurements (pp, yp, ep, e<sup>+</sup>e<sup>-</sup>) and PYTHIA 8 simulations
- LHCb observes a **significant dependence on  $\sqrt{s}$  and  $p_T$**

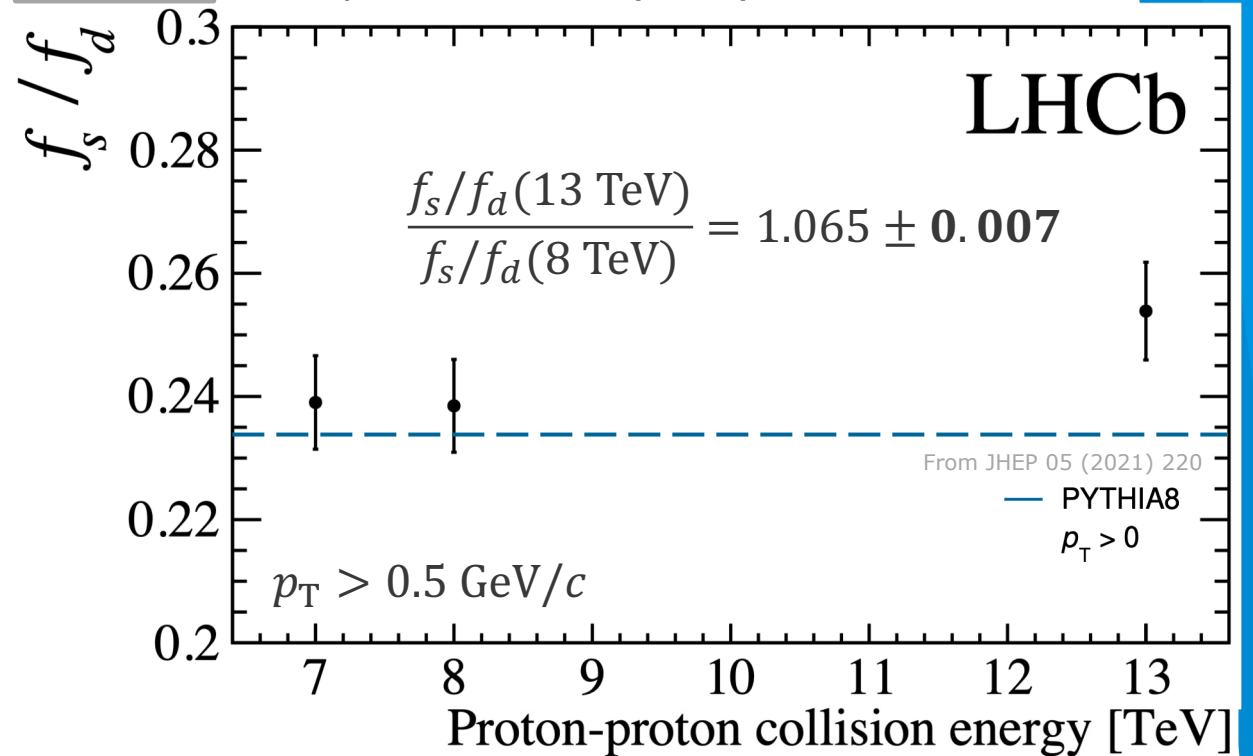
charm

[ALICE] JHEP 05 (2021) 220



beauty

Phys. Rev. D 104 (2021) 032005



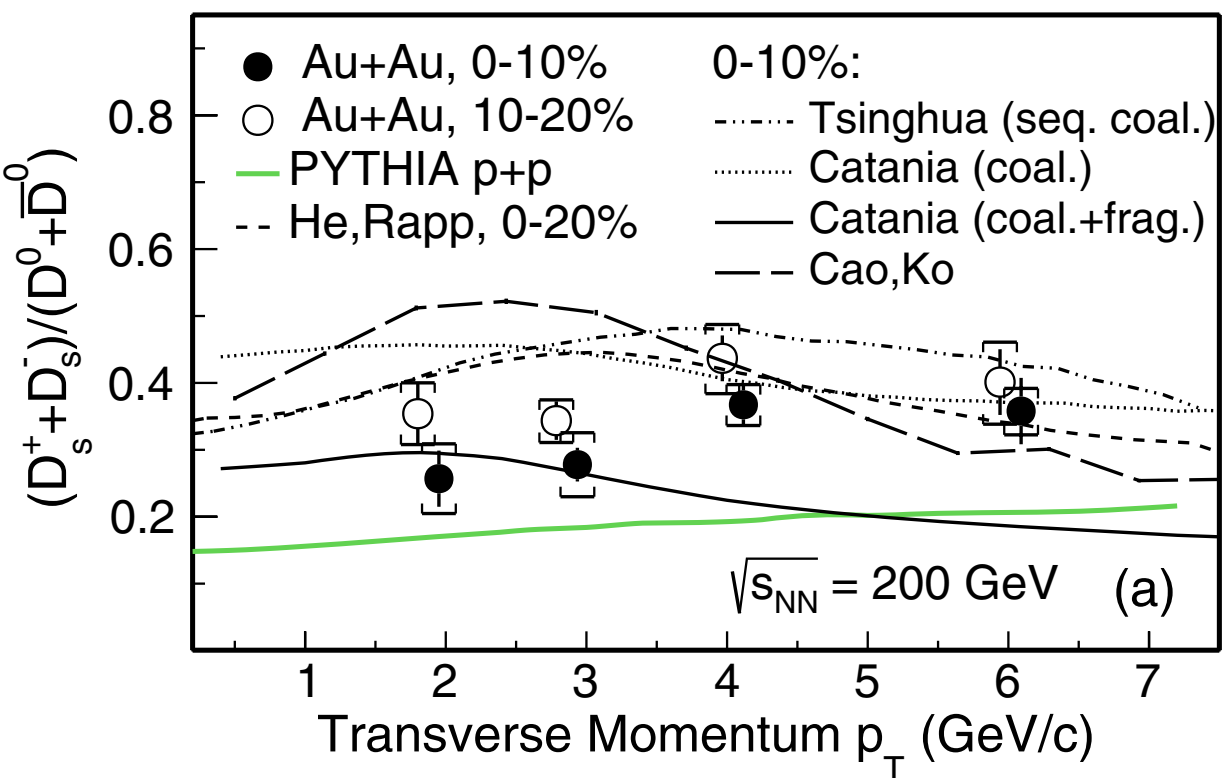


# Charm-strange mesons in A-A

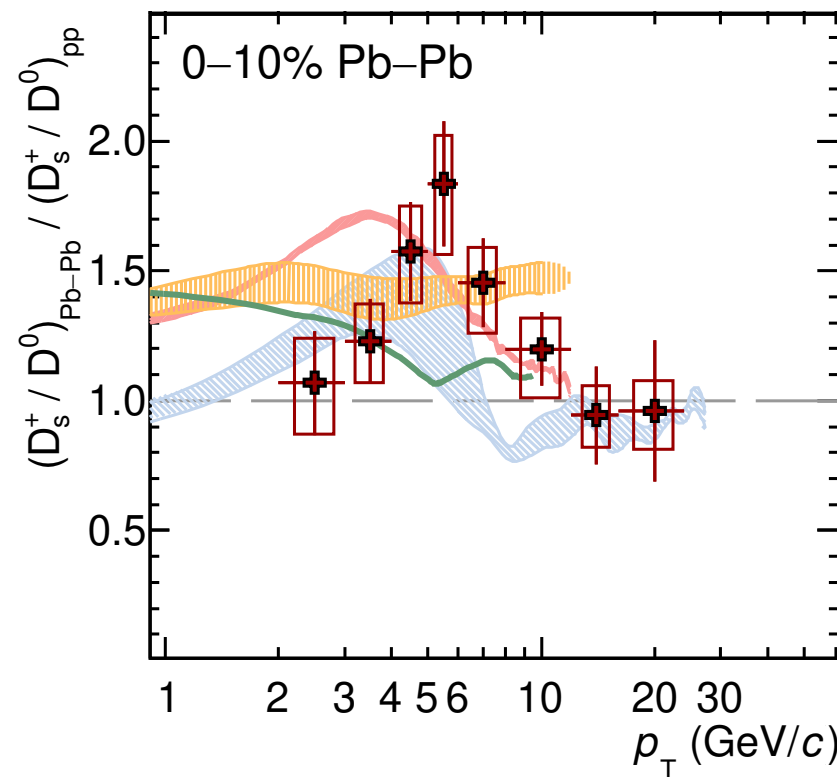
The  $D_s^+ / D^0$  ratio for  $p_T < 8$  GeV/c in A-A is larger than the measured/predicted ratio in pp

- ALICE 0-10% Pb-Pb by **2.3 $\sigma$** , STAR 10-60% Au-Au by **>5 $\sigma$**
- Qualitatively captured by models including **recombination** and strangeness enhancement

[STAR] PRL 127 (2021) 092301



PLB 827 (2022) 136986



ALICE

$\sqrt{s_{NN}} = 5.02$  TeV  
 $|y| < 0.5$

ALI-PUB-498470



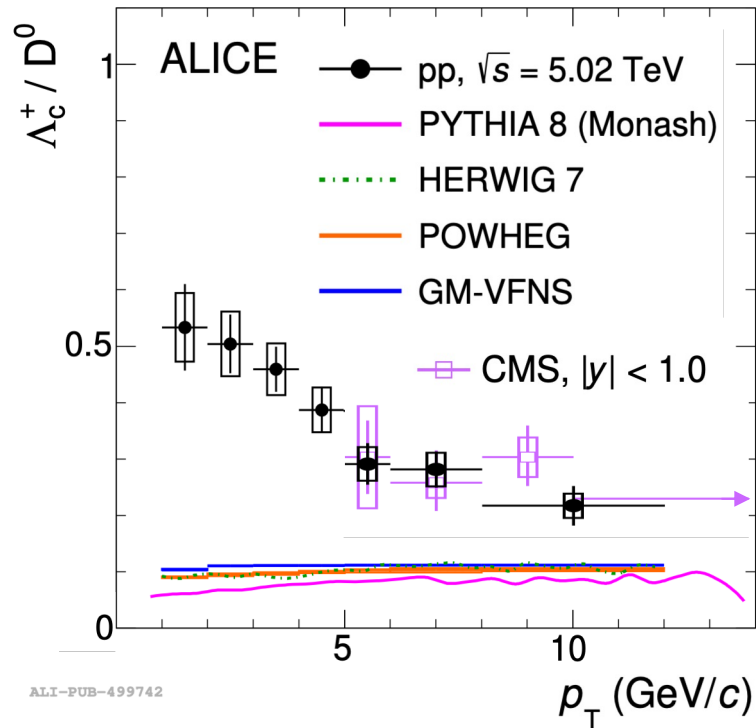


# The baryon sector in pp

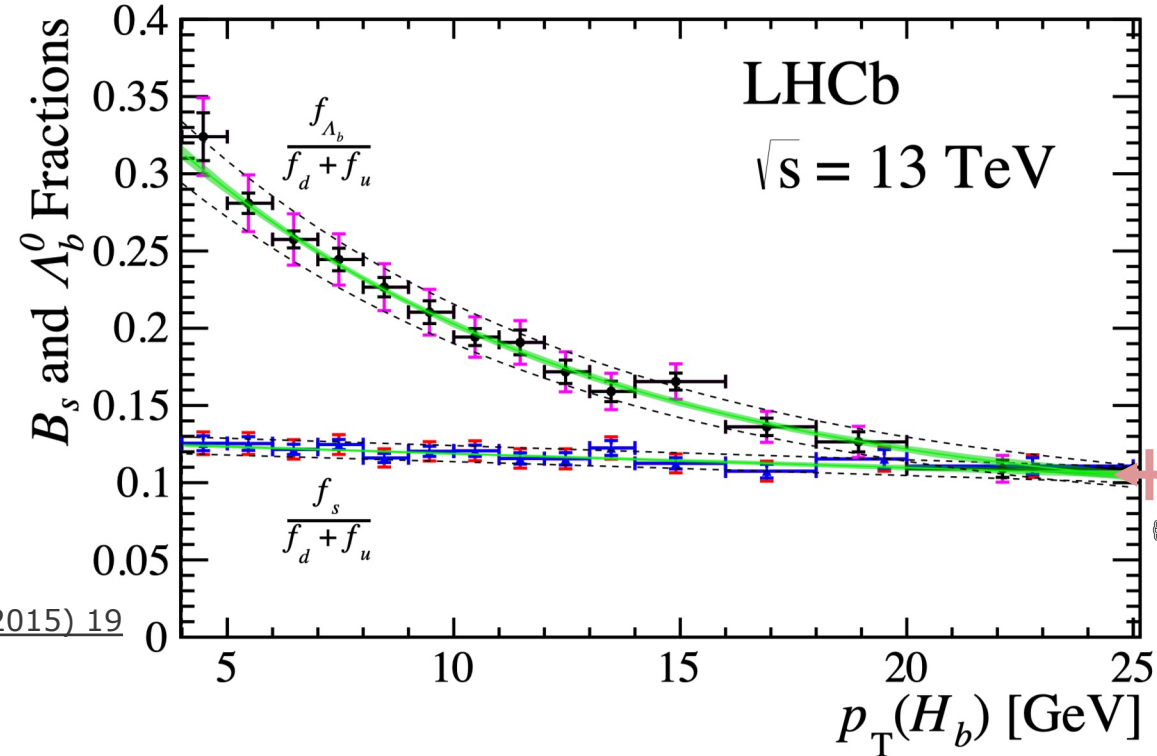
Strong  $p_T$  dependence observed in the heavy-flavour baryon-to-meson ratios

- Ratio **significantly higher** at low and intermediate  $p_T$  than  **$e^+e^-$  and  $ep$**  collision data
- pQCD(-inspired) and  $e^+e^-$ -tuned **calculations underpredict data**

charm [ALICE] PRL 127 (2021) 202301  
 [CMS] PLB 803 (2020) 135328



beauty Phys. Rev. D 100 (2019) 031102



ALI-PUB-499742

EPJC 75 (2015) 19

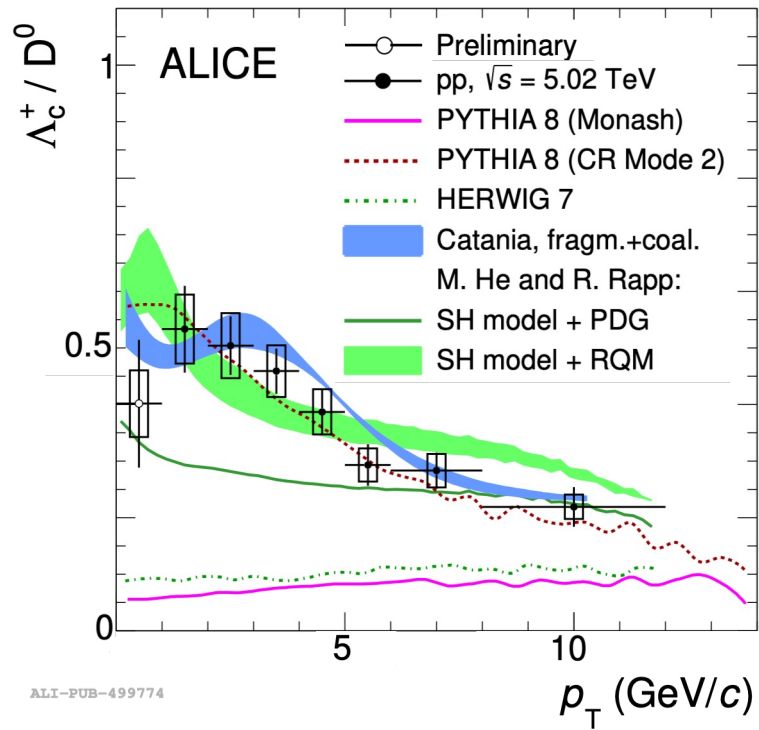
EPJC 81 (2021) 226



# Charm baryons in pp vs models

ALICE measured many charm baryons:  $\Lambda_c^+$ ,  $\Sigma_c^{0,++}$ ,  $\Xi_c^{0,+}$ , and  $\Omega_c^0$

PRL 127 (2021) 202301



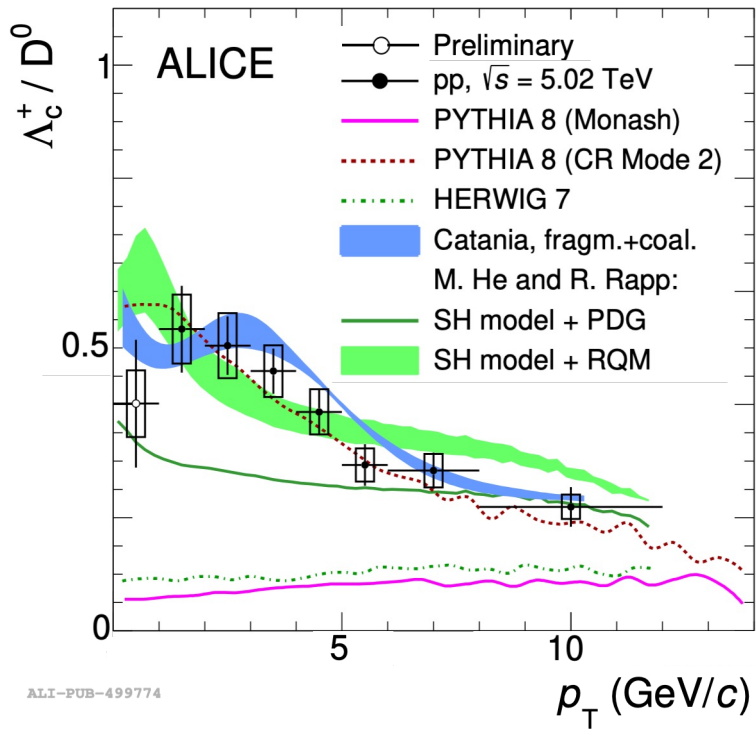




# Charm baryons in pp vs models

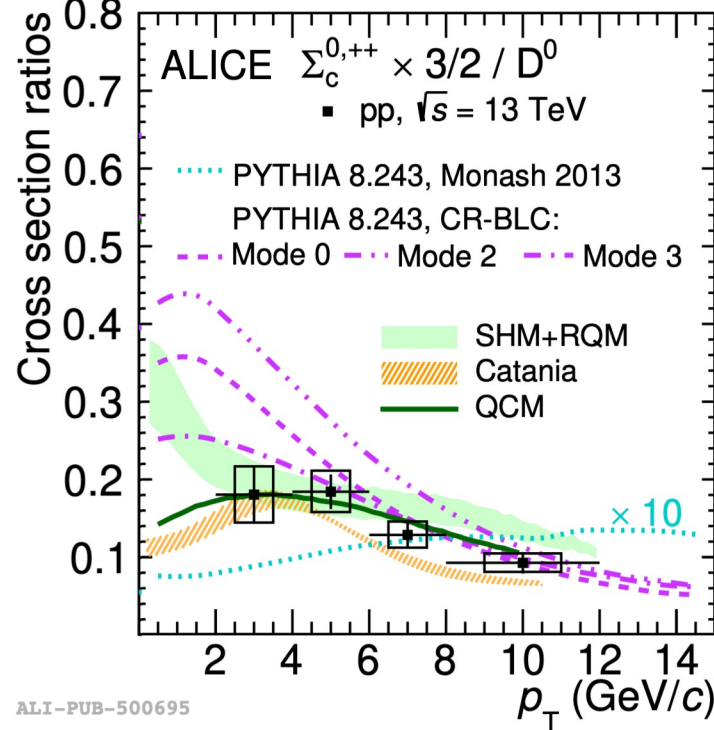
ALICE measured many charm baryons:  $\Lambda_c^+$ ,  $\Sigma_c^{0,++}$ ,  $\Xi_c^{0,+}$ , and  $\Omega_c^0$

PRL 127 (2021) 202301



ALI-PUB-499774

PRL 128 (2022) 012001



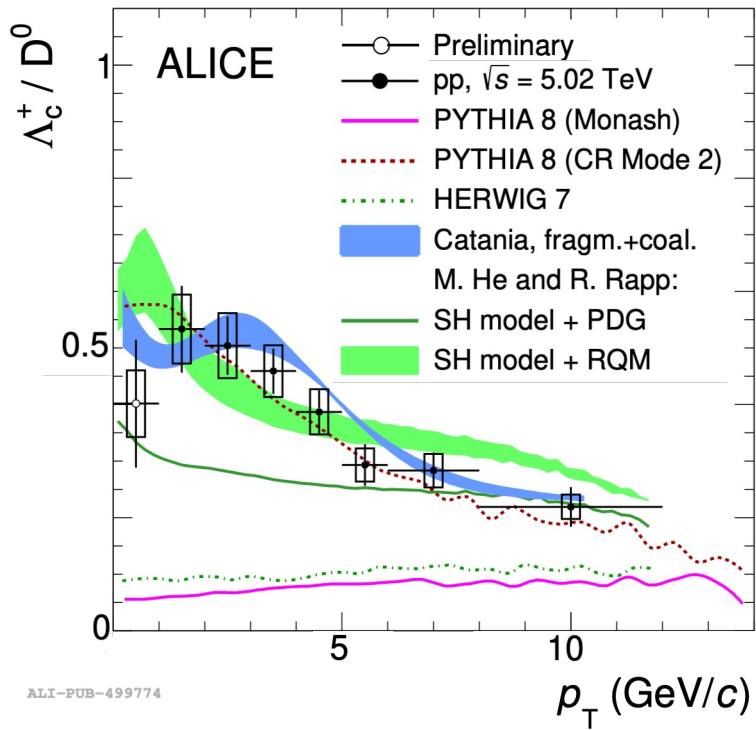
ALI-PUB-500695



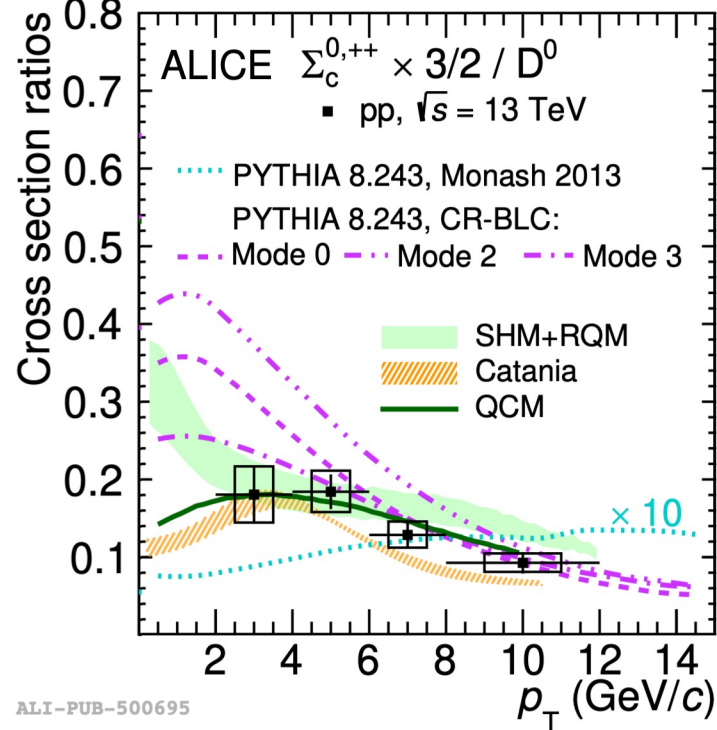
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ALICE measured many charm baryons:  $\Lambda_c^+$ ,  $\Sigma_c^{0,++}$ ,  $\Xi_c^{0,+}$ , and  $\Omega_c^0$

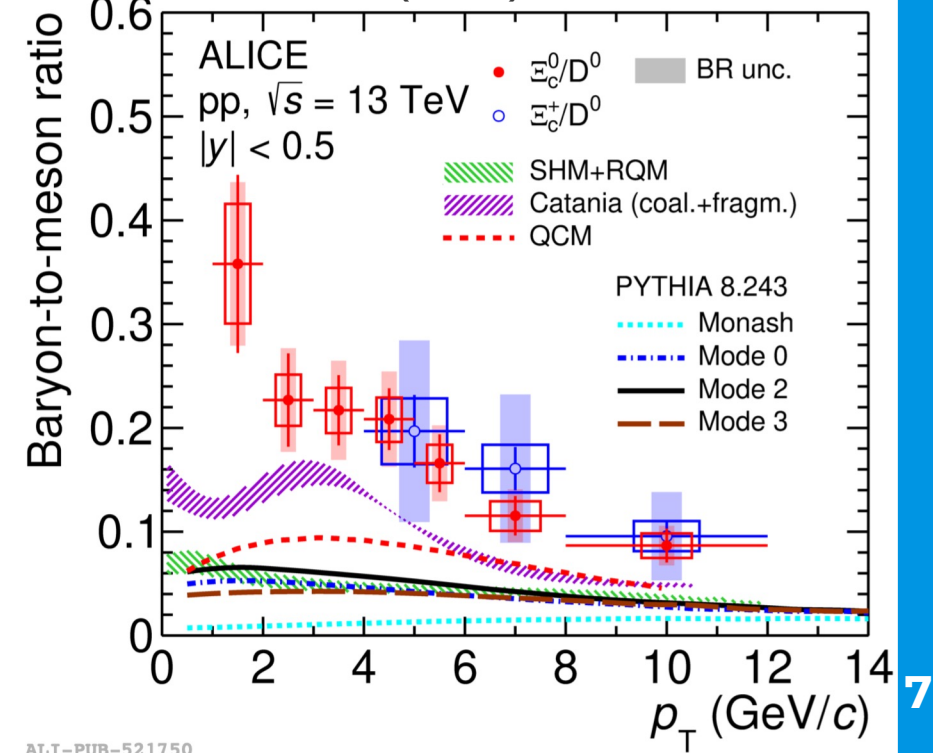
PRL 127 (2021) 202301



PRL 128 (2022) 012001



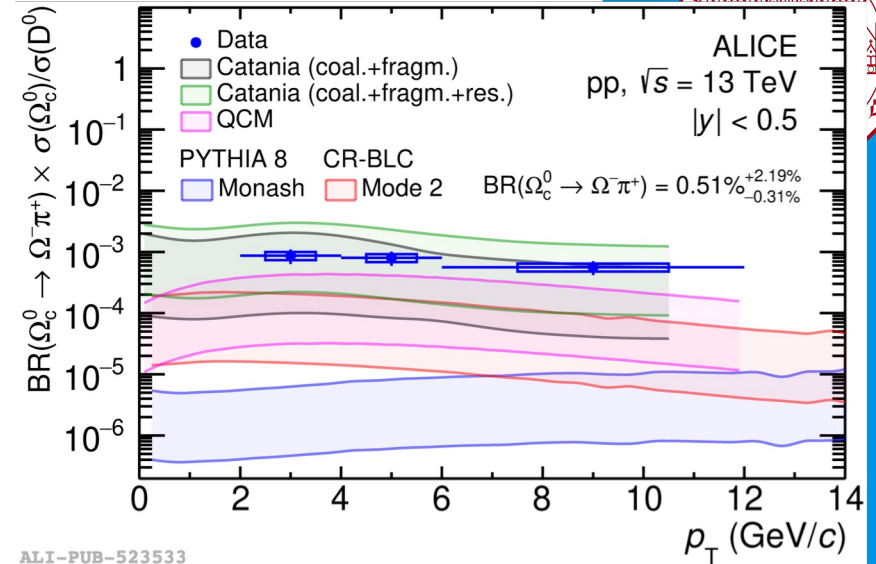
PRL 127 (2021) 272001



# Charm baryons in pp vs models

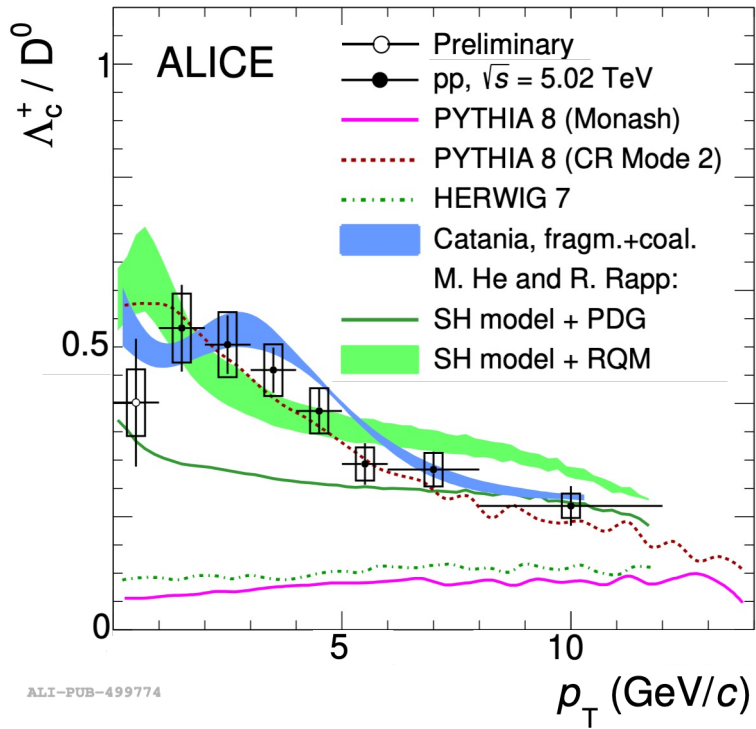
ALICE measured many charm baryons:  $\Lambda_c^+$ ,  $\Sigma_c^{0,++}$ ,  $\Xi_c^{0,+}$ , and  $\Omega_c^0$

arXiv:2205.13993



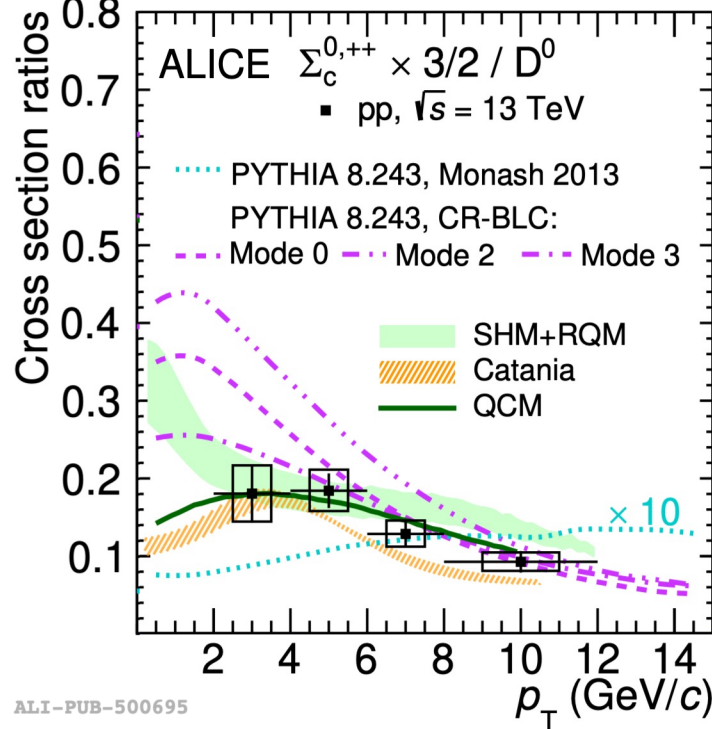
ALI-PUB-523533

PRL 127 (2021) 202301



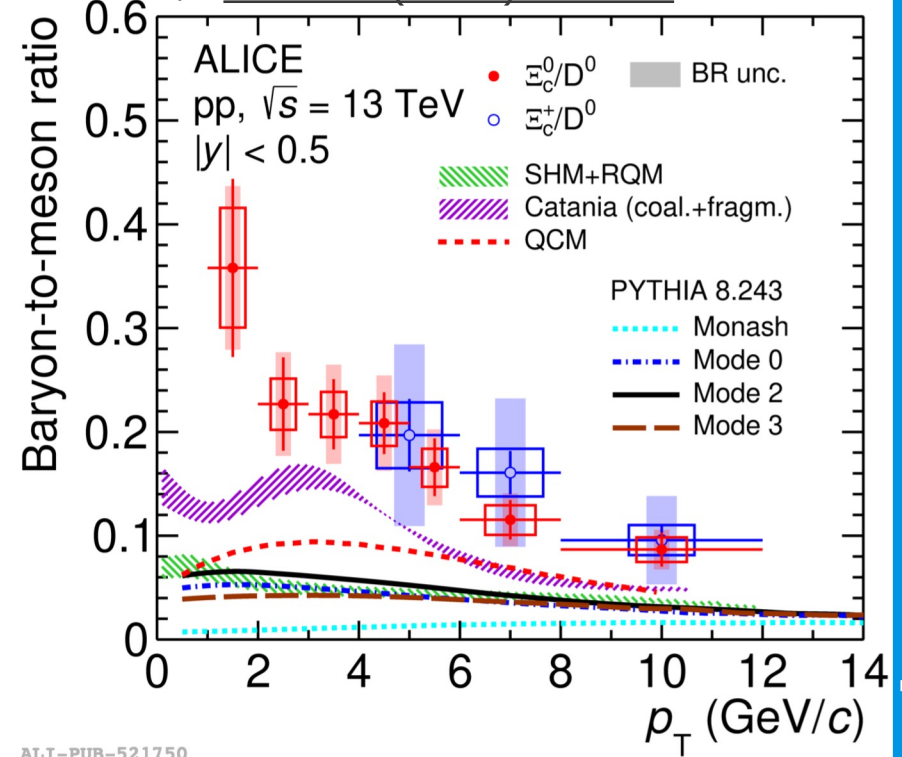
ALI-PUB-499774

PRL 128 (2022) 012001



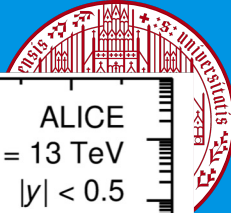
ALI-PUB-500695

PRL 127 (2021) 272001



ALI-PUB-521750



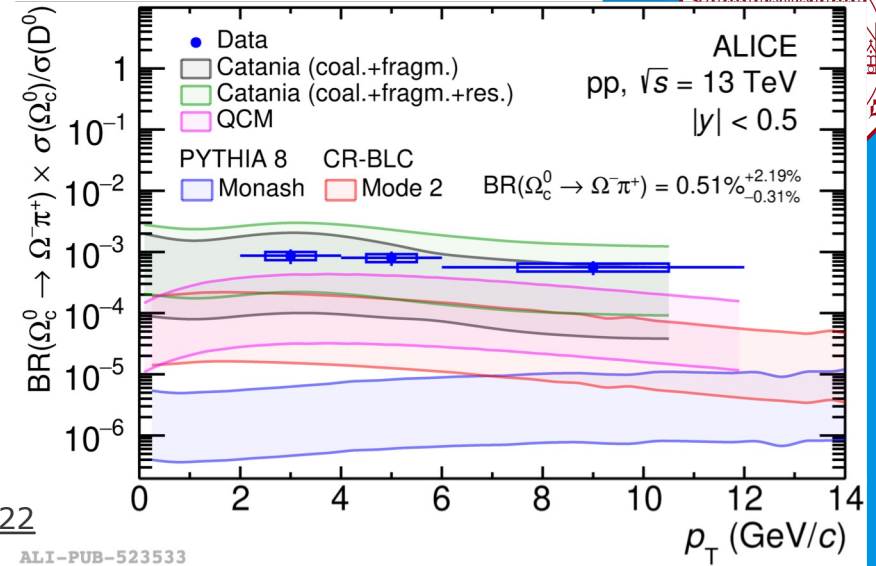


# Charm baryons in pp vs models

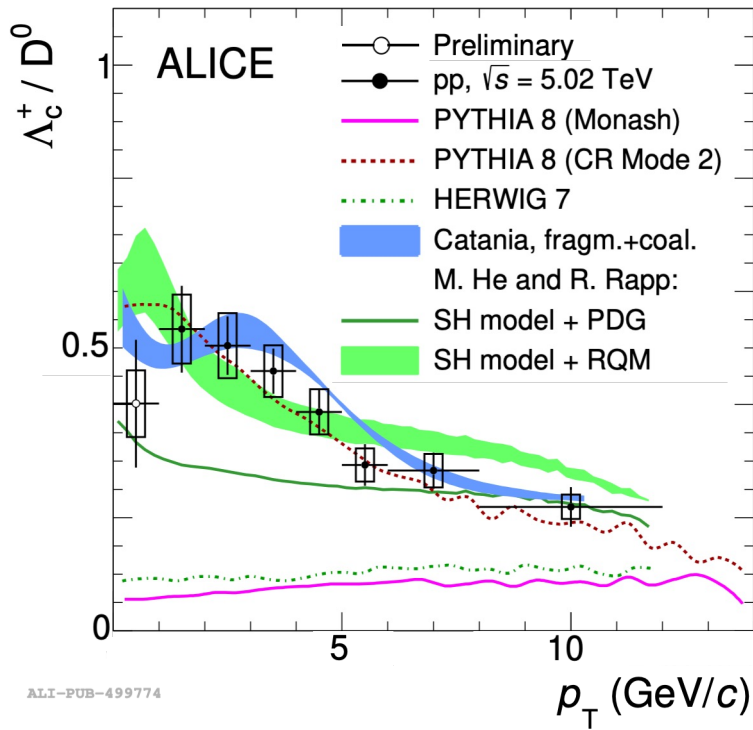
ALICE measured many charm baryons:  $\Lambda_c^+$ ,  $\Sigma_c^{0,++}$ ,  $\Xi_c^{0,+}$ , and  $\Omega_c^0$

- Theoretical effort ongoing to describe them all

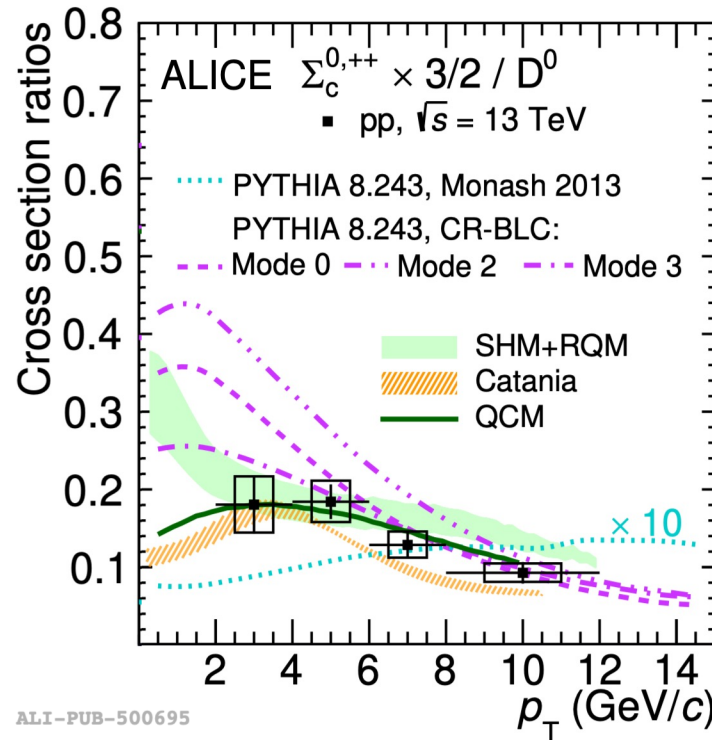
	$\Lambda_c^+/D^0$ (no s)	$\Sigma_c^{0,++}/D^0$ (no s)	$\Xi_c^{0,+}/D^0$ (s)	$\Omega_c^0/D^0$ (ss)	
Monash	✗	✗	✗	✗	EPJC 74 (2014) 3024
CR-BLC	✓	✓	✗	✗	JHEP 08 (2015) 003
SHM+RQM	✓	✓	✗	-	PLB 795 (2019) 117
Catania	✓	✓	~	~	PLB 821 (2021) 136622
QCM	✓	✓	✗	✗	EPJC 78 (2018) 344



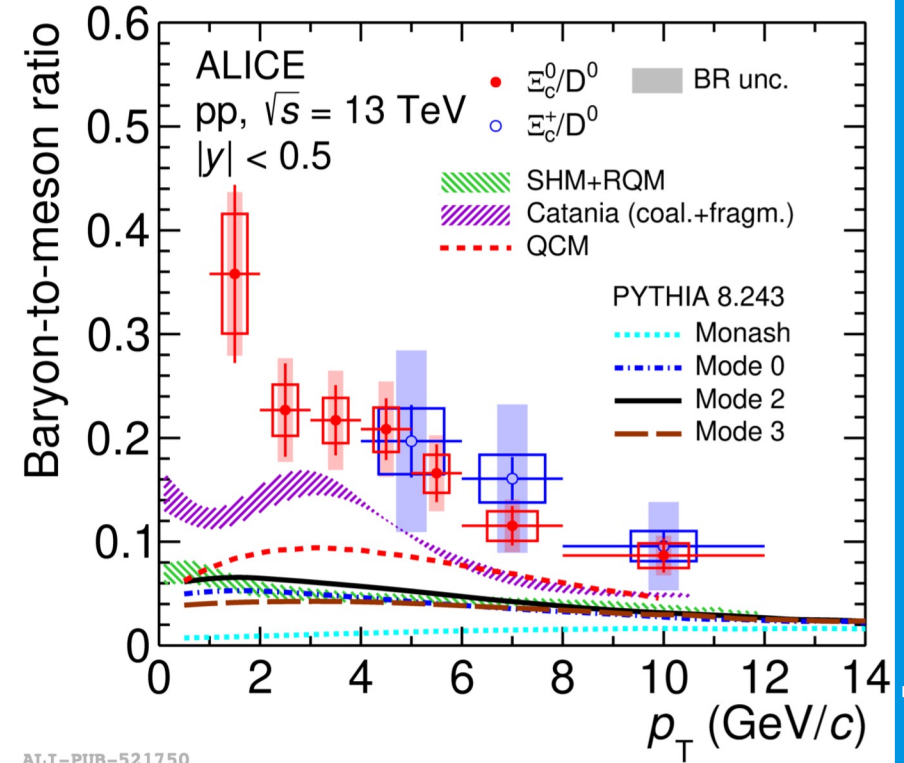
ALI-PUB-523533



ALI-PUB-499774



ALI-PUB-500695

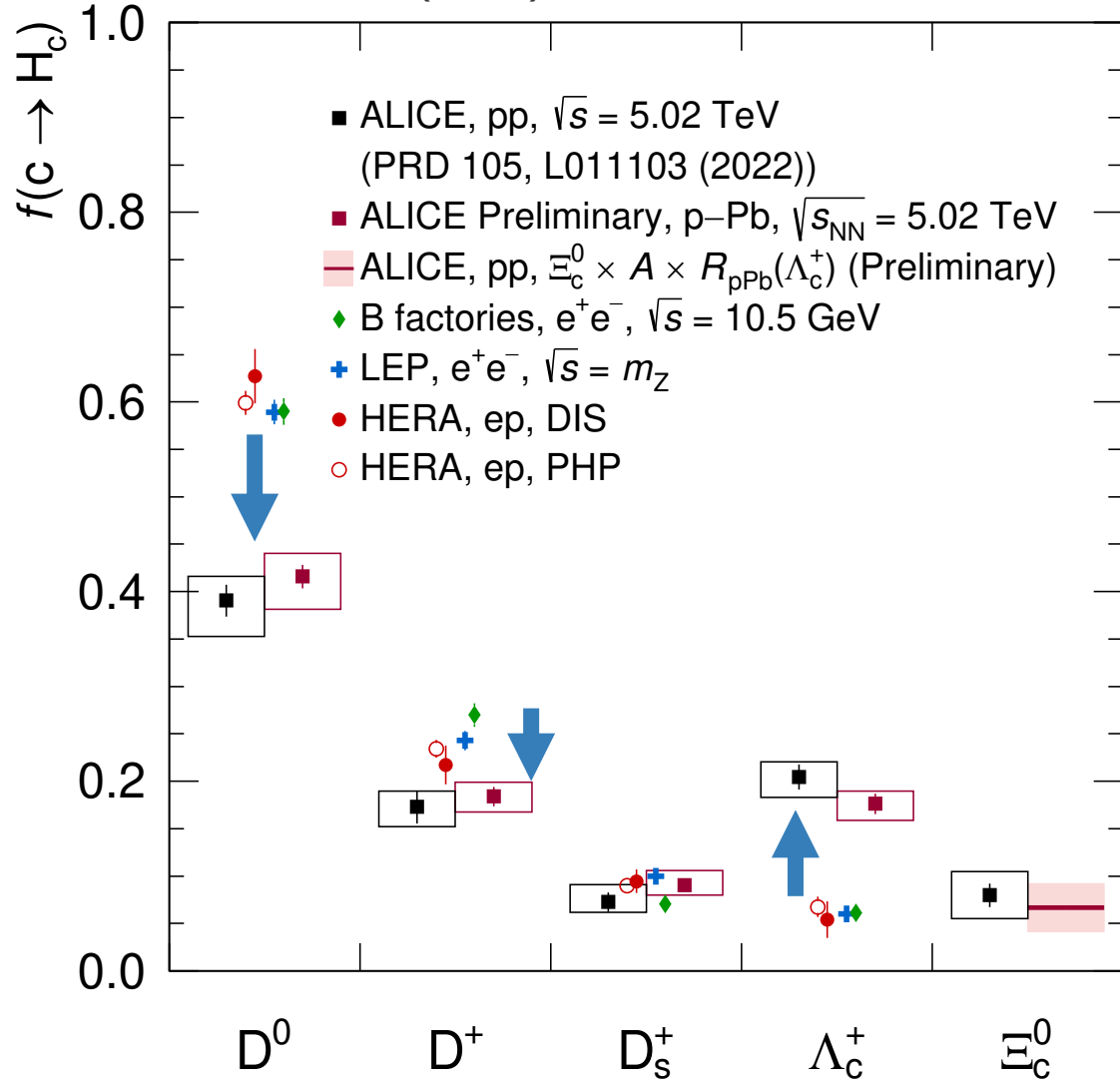


ALI-PUB-521750



# Charm fragmentation fractions in pp & p-Pb

PRD 105 (2022) L011103



Fragmentation fractions  $f(c \rightarrow H_c)$  estimated by ALICE and found to be not universal

→ significant baryon enhancement in hadronic collisions

	$f(c \rightarrow H_c)$ in pp
$D^0$	$39.1 \pm 1.7(\text{stat})_{-3.7}^{+2.5}(\text{syst})$
$D^+$	$17.3 \pm 1.8(\text{stat})_{-2.1}^{+1.7}(\text{syst})$
$D_s^+$	$7.3 \pm 1.0(\text{stat})_{-1.1}^{+1.9}(\text{syst})$
$\Lambda_c^+$	$20.4 \pm 1.3(\text{stat})_{-2.2}^{+1.6}(\text{syst})$
$\Xi_c^0, \Xi_c^+$	$8.0 \pm 1.2(\text{stat})_{-2.4}^{+2.5}(\text{syst})$
$(D^{*+})$	$15.5 \pm 1.2(\text{stat})_{-1.9}^{+4.1}(\text{syst})$

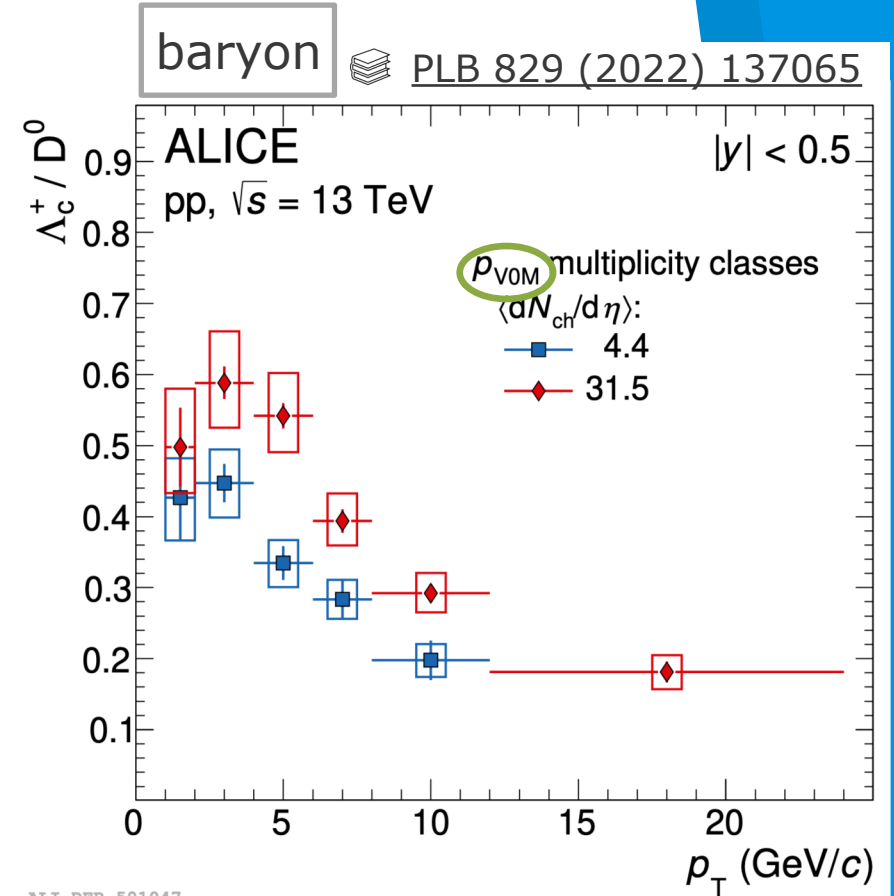
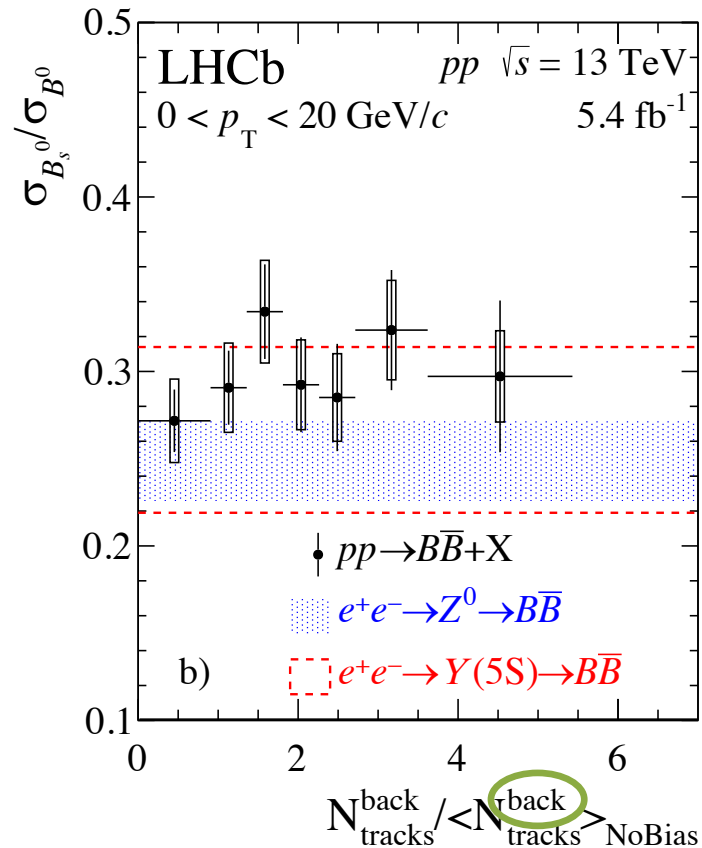
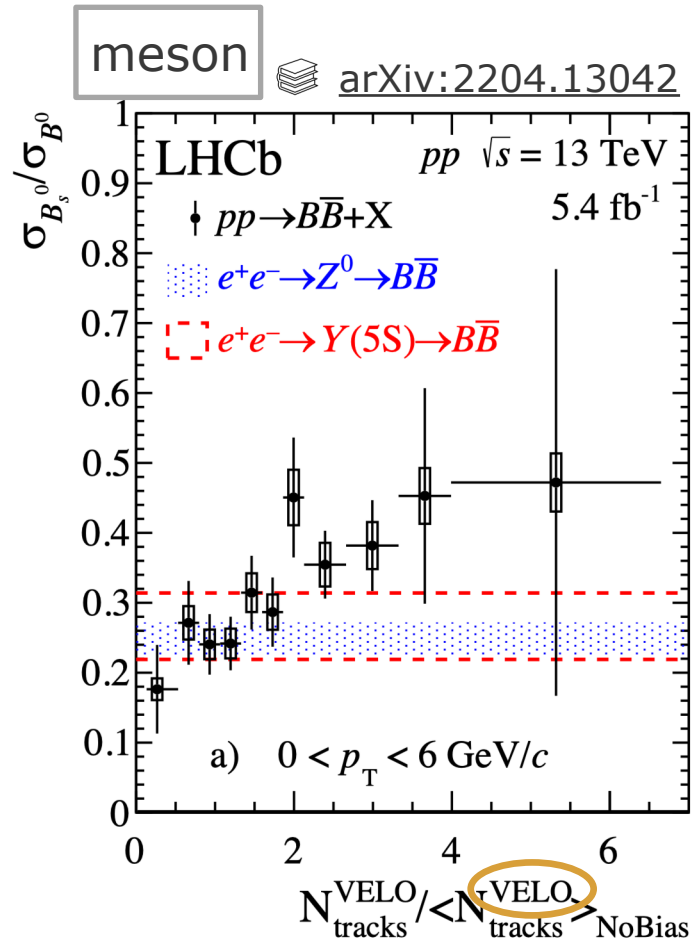


# Heavy-flavour production vs multiplicity in pp

LHCb observes an **increase** in the  $B_s^0/B^0$  ratio **with multiplicity** below 6 GeV/c ( $3.4\sigma$ )

- NB: disappears when multiplicity is sampled in different phase-space region

ALICE finds a significant enhancement in the  $\Lambda_c^+/D^0$  ratio with multiplicity ( $5.3\sigma$ , midrapidity)



ALI-DER-501047





# Charm baryons in A-A

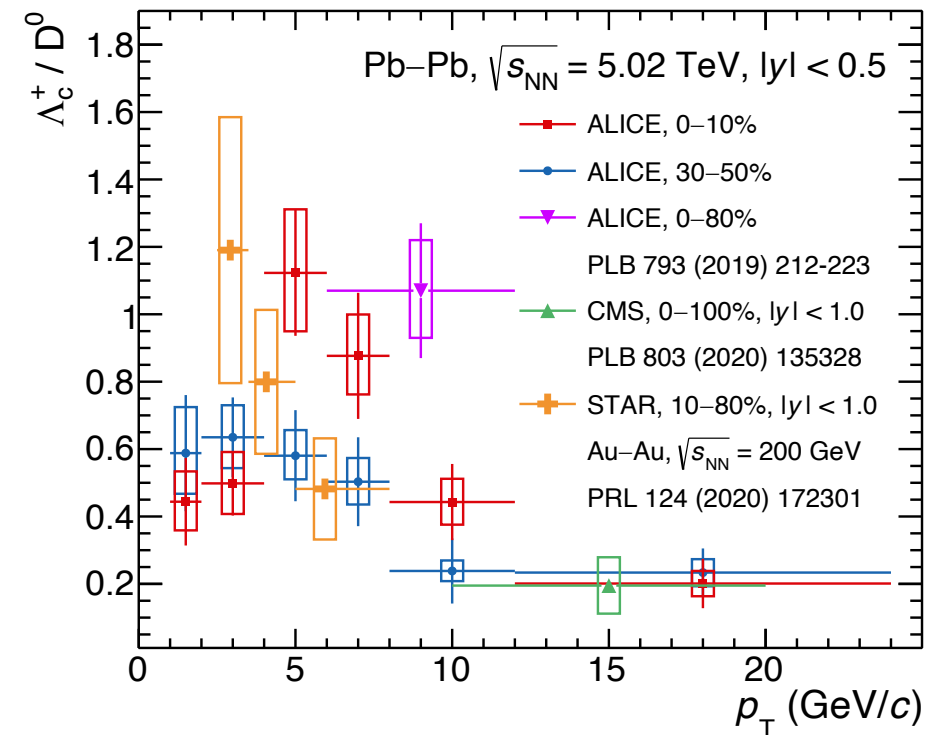
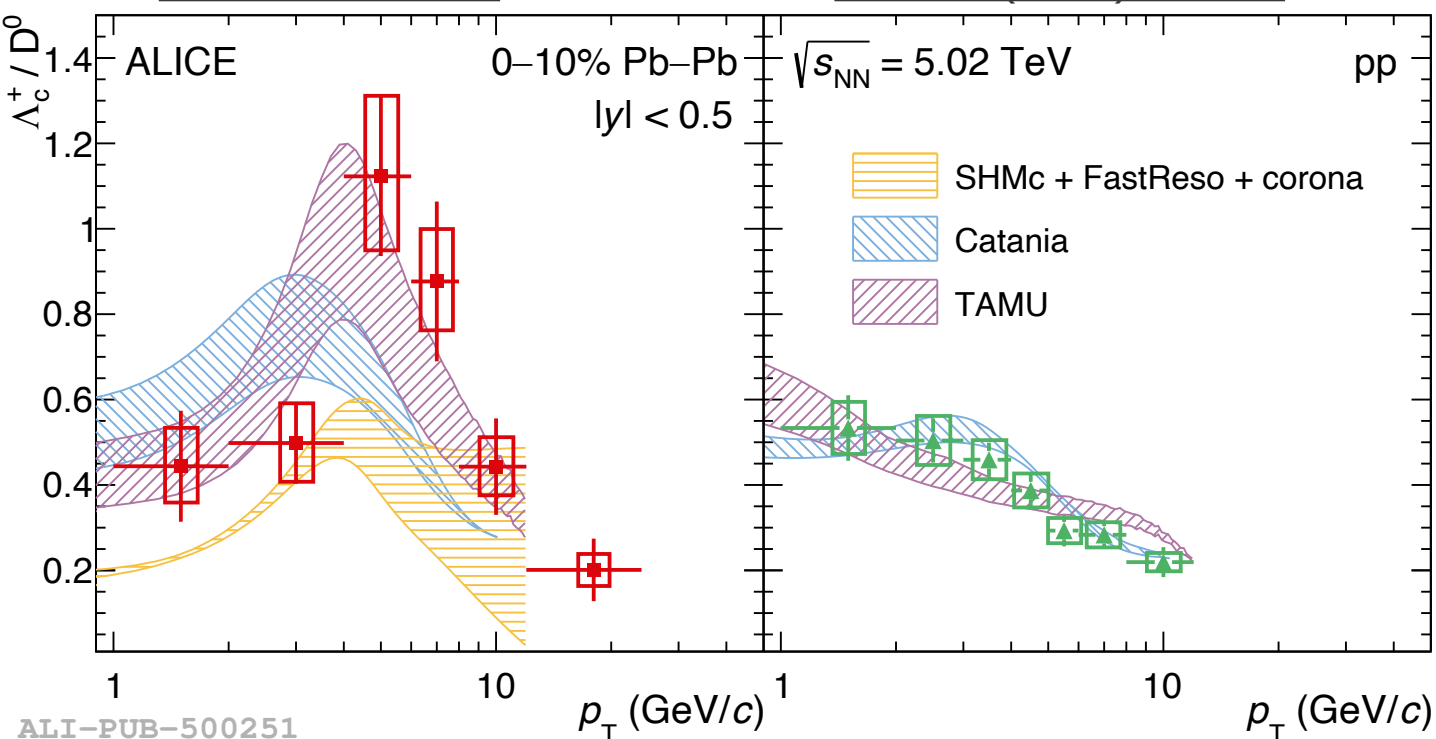
ALICE observes an **enhanced**  $\Lambda_c^+ / D^0$  in central Pb-Pb wrt pp collisions by **3.7 $\sigma$**

- As for STAR, data described by the fragm+recomb TAMU and Catania models
- CMS ratio, in  $10 < p_T < 20$  GeV/c, compatible with pp  $\rightarrow$  recomb. minimal effect

- [ALICE] [arXiv:2112.08156](https://arxiv.org/abs/2112.08156)
- [ALICE] [PLB 793 \(2019\) 212](https://arxiv.org/abs/1907.02223)
- [CMS] [PLB 803 \(2020\) 135328](https://arxiv.org/abs/2003.13532)
- [STAR] [PRL 124 \(2020\) 172301](https://arxiv.org/abs/2003.17230)

[ALICE] [arXiv:2112.08156](https://arxiv.org/abs/2112.08156)

[ALICE] [PRL 127 \(2021\) 202301](https://arxiv.org/abs/2102.02301)



ALI-PUB-500251

[TAMU] [PRL 124 \(2020\) 042301](https://arxiv.org/abs/2003.04230)

[Catania] [PRC 96 \(2017\) 044905](https://arxiv.org/abs/1707.04490)

[SHMc] [JHEP 07 \(2021\) 035](https://arxiv.org/abs/2107.035)

# $p_T$ -integrated $\Lambda_c^+ / D^0$ ratio

The  $\Lambda_c^+$  and  $D^0$  yields extrapolated to  $p_T > 0$

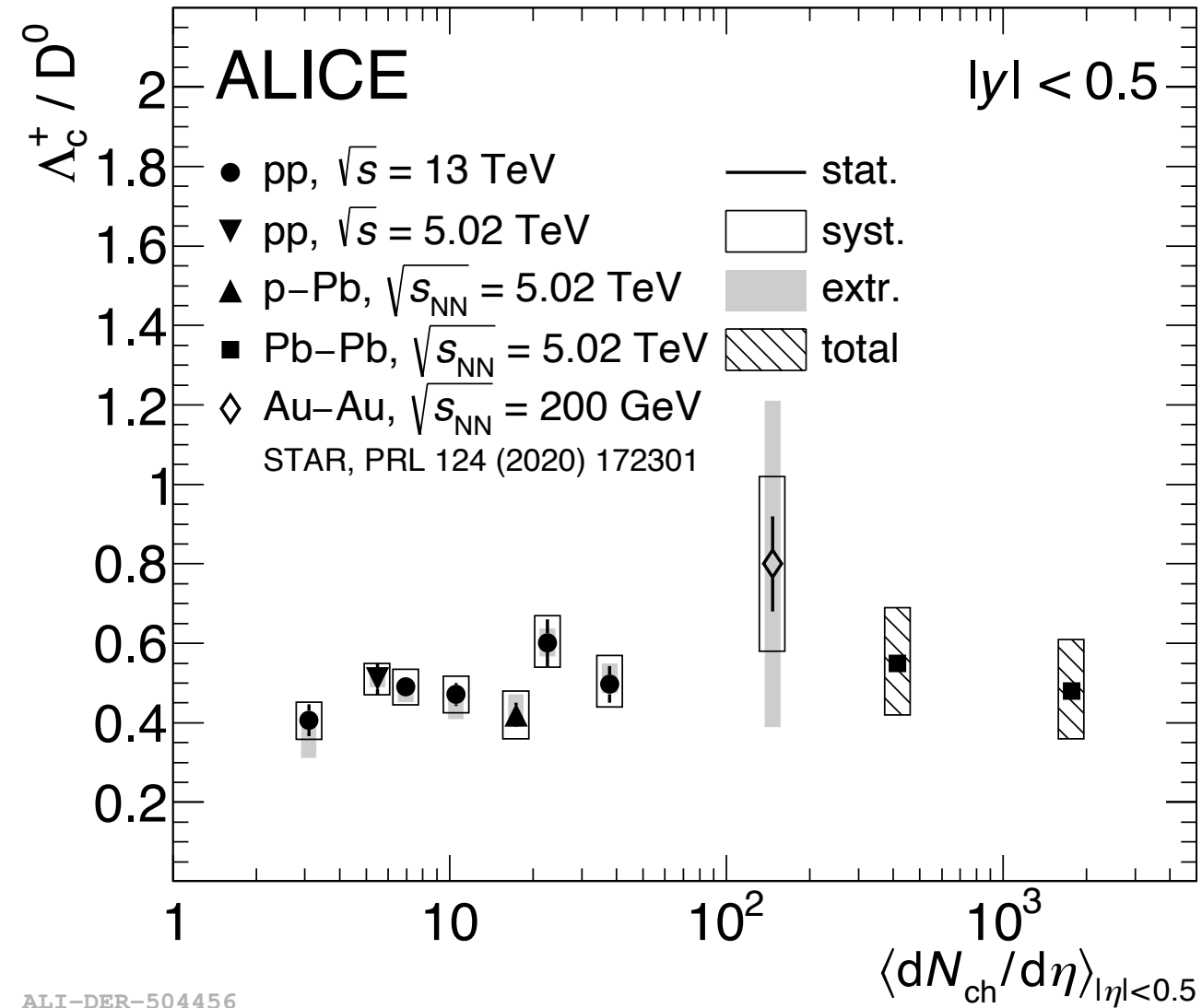
- New and precise estimates by ALICE at low and high multiplicities
- Hint of a **flat trend with multiplicity**

[ALICE] PLB 829 (2022) 137065

[ALICE] PRL 127 (2021) 202301

[ALICE] arXiv:2112.08156

[STAR] PRL 124 (2020) 172301



ALI-DER-504456



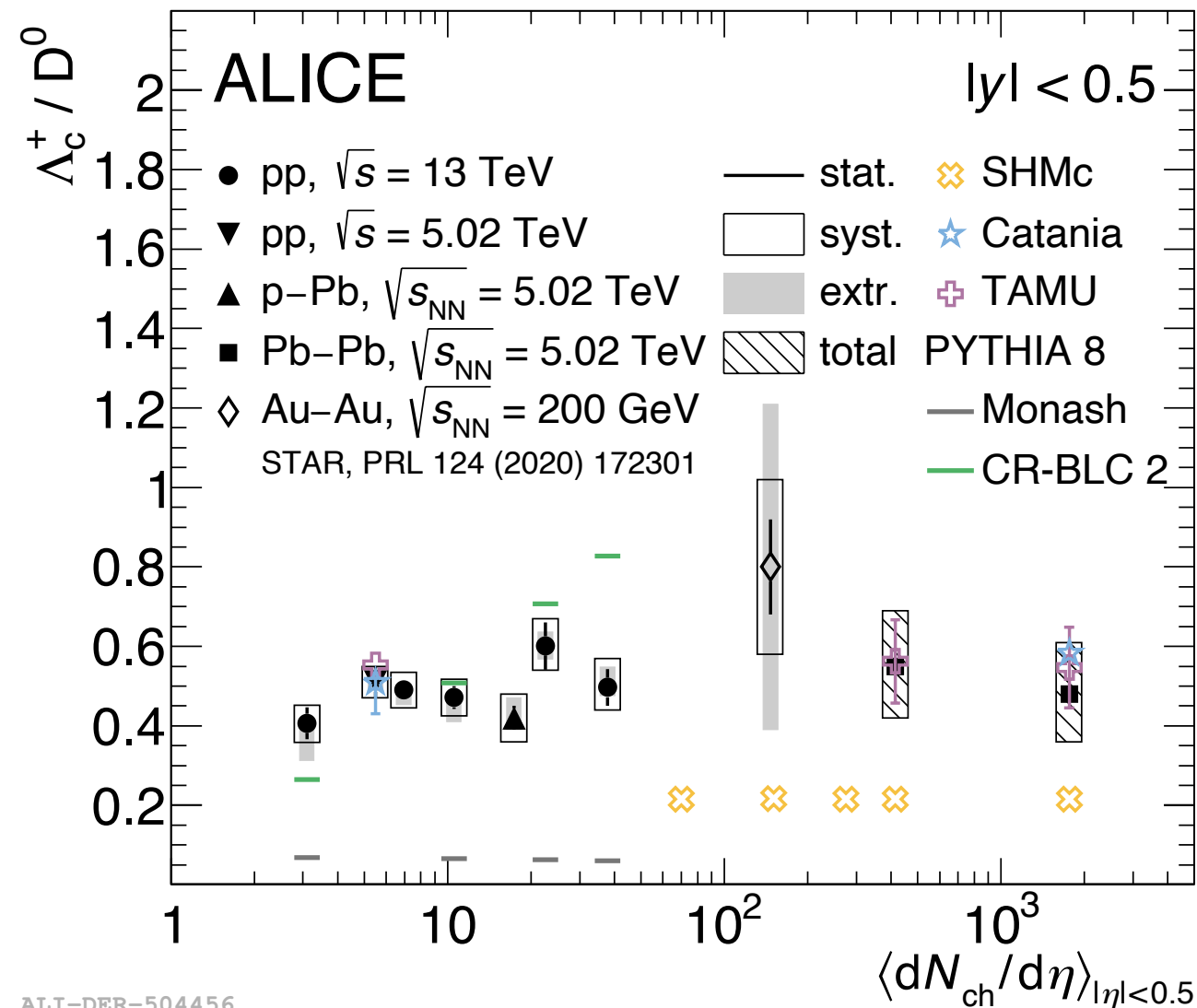
# $p_T$ -integrated $\Lambda_c^+ / D^0$ ratio

The  $\Lambda_c^+$  and  $D^0$  yields extrapolated to  $p_T > 0$

- New and precise estimates by ALICE at low and high multiplicities
- Hint of a flat trend with multiplicity

**Reproduced** by the **fragm+recomb** and SHM predictions (in case new, not yet observed, charm-baryon states are assumed)

- **Tension with CR-BLC** PYTHIA predictions that show an enhancement with multiplicity



ALI-DER-504456



# $p_T$ -integrated $\Lambda_c^+ / D^0$ ratio

The  $\Lambda_c^+$  and  $D^0$  yields extrapolated to  $p_T > 0$

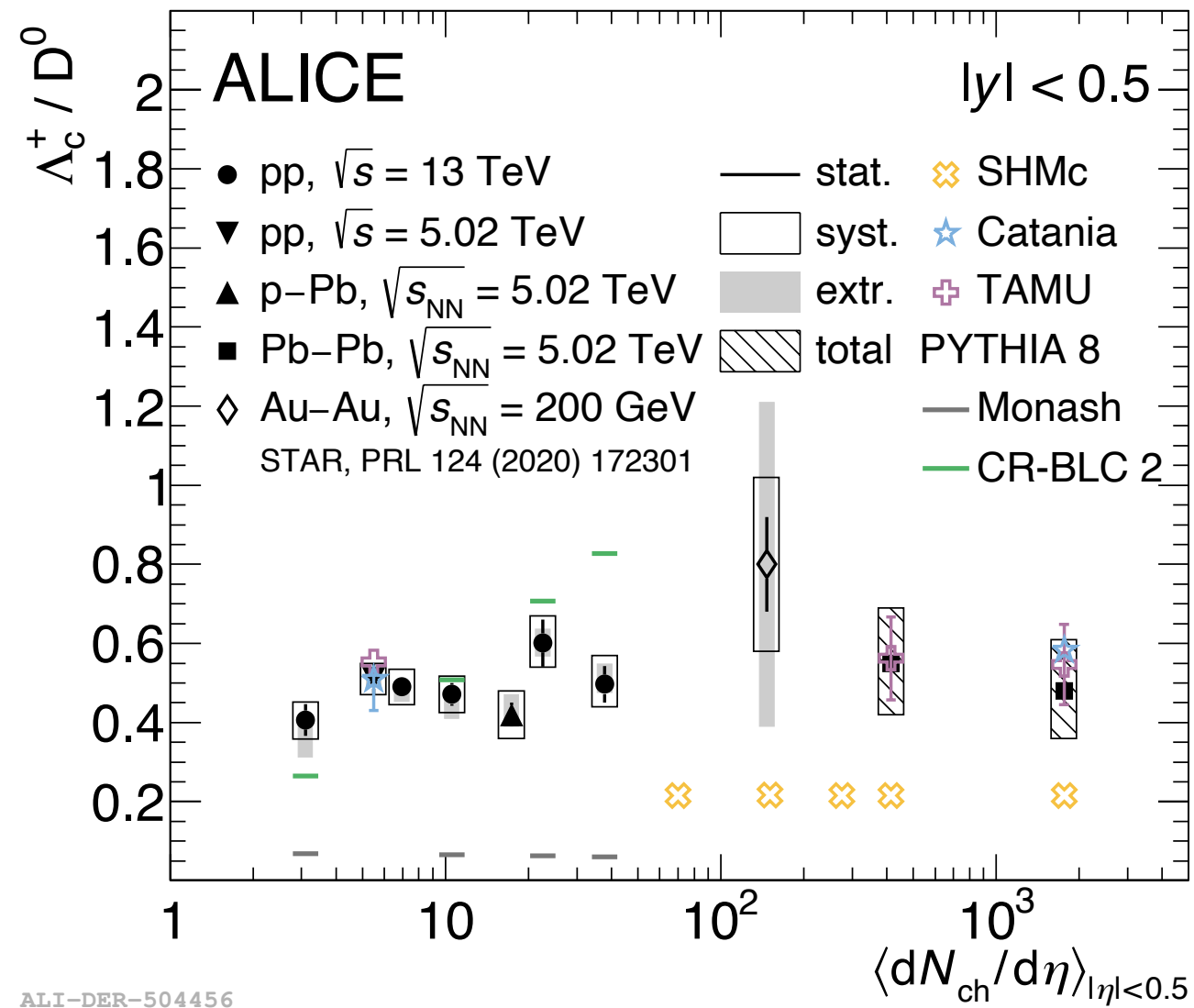
- New and precise estimates by ALICE at low and high multiplicities
- Hint of a flat trend with multiplicity

Reproduced by the fragm+recomb and SHM predictions (in case new, not yet observed, charm-baryon states are assumed)

- Tension with CR-BLC PYTHIA predictions that show an enhancement with multiplicity

Similarities with the light-flavour sector

- Is the  $p_T$  differential  $\Lambda_c^+ / D^0$  enhancement just a **consequence of radial flow and recomb?**





# Outlook: beauty-strange and -charm mesons

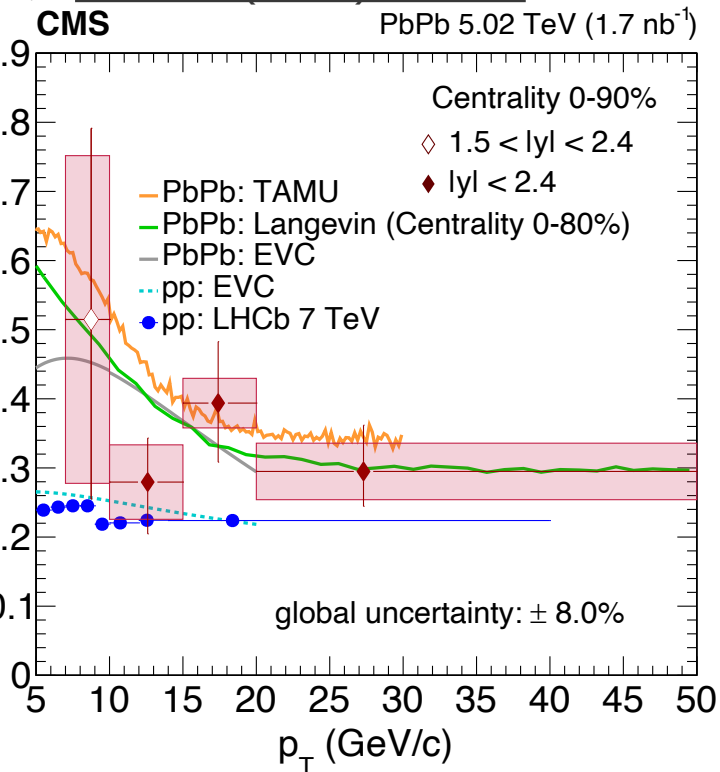
$B_s^0$ ,  $B_c^+$ , and  $X(3872)$  production also accessed in pp (LHCb, ATLAS, CMS) and Pb-Pb (CMS)

- Current uncertainties still relatively large → **outlook for LHC Run 3 and 4**

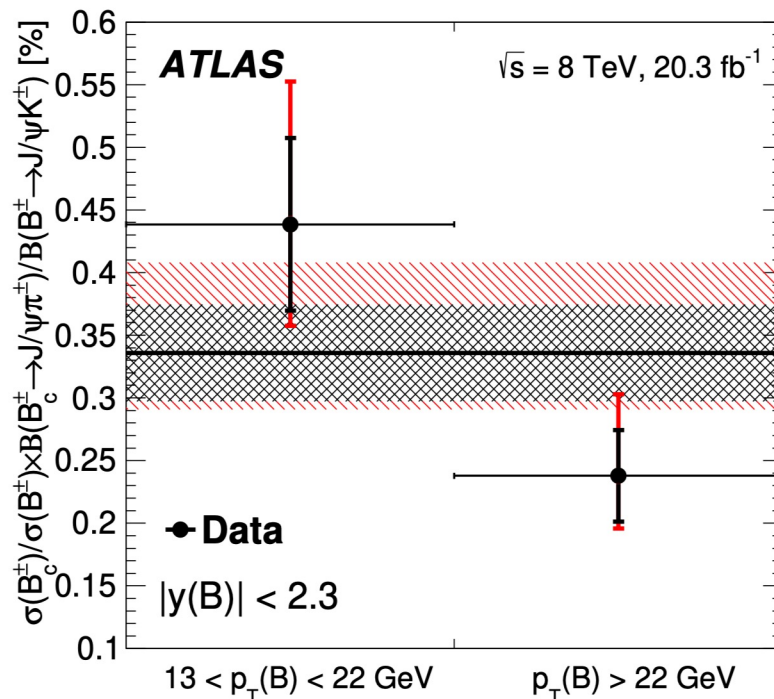
In addition, future **double-** or **triple-charm baryon** measurements in A-A of large interests

- So far only  $\Xi_{cc}^{++}$  accessed by LHCb in various decay channels in pp [JHEP 05 \(2022\) 038](#)

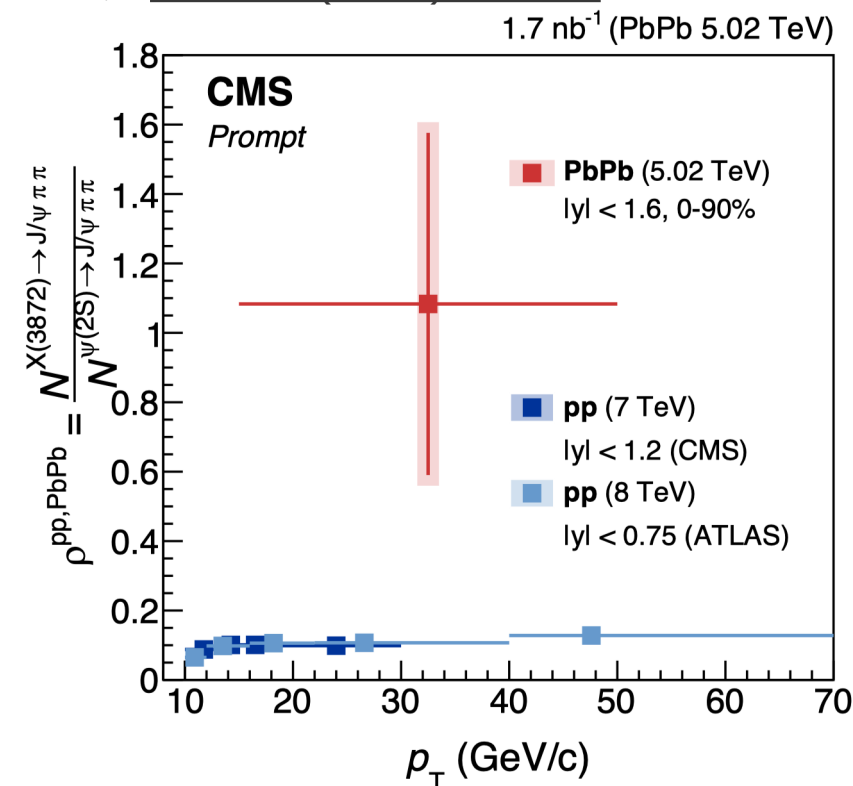
[PLB 829 \(2022\) 137062](#)



[PRD 104 \(2021\) 012010](#)



[PRL 128 \(2022\) 032001](#)







# Concluding words

HQ hadronisation is a very active field (again since  $\sim 5$  years)

Many recent **experimental** measurements shown today

with a personal bias to open heavy flavour production, sorry if I missed your favourite measurement

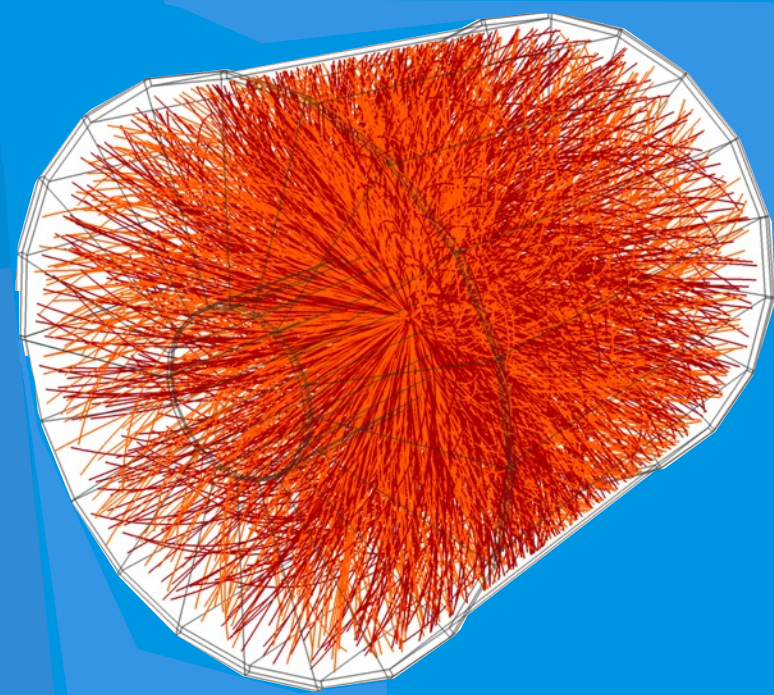
Coming up: Salvatore Plumari, "Heavy quark hadronization (**theory**)"



Thanks for your attention!

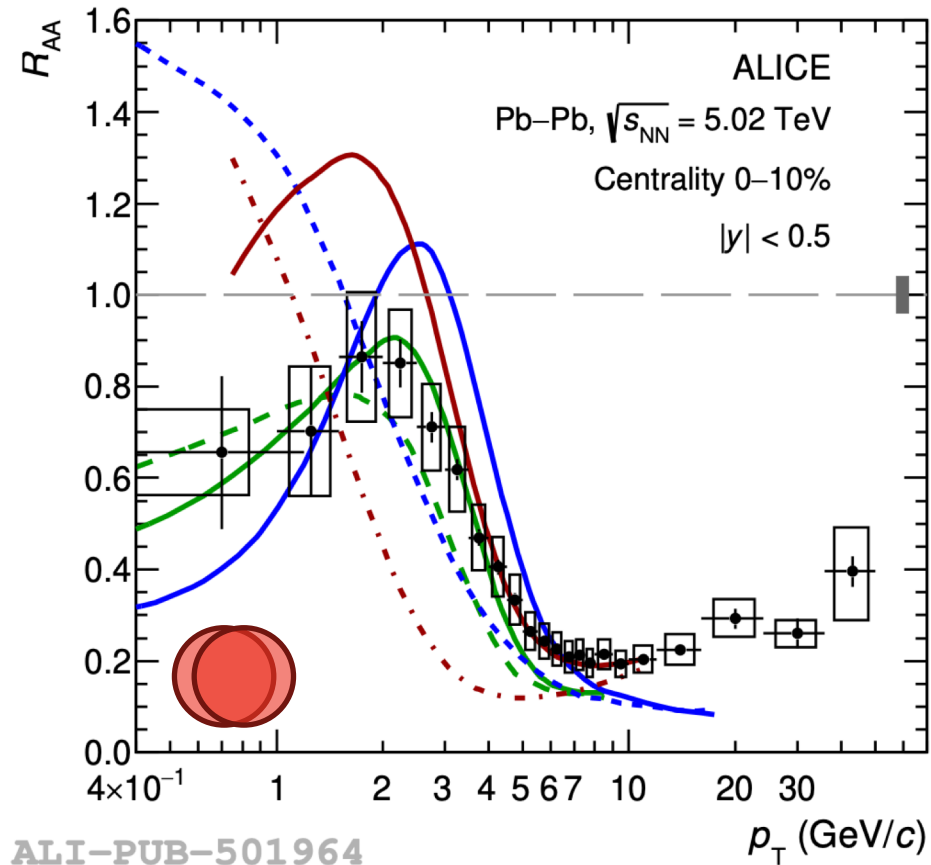


# Additional slides

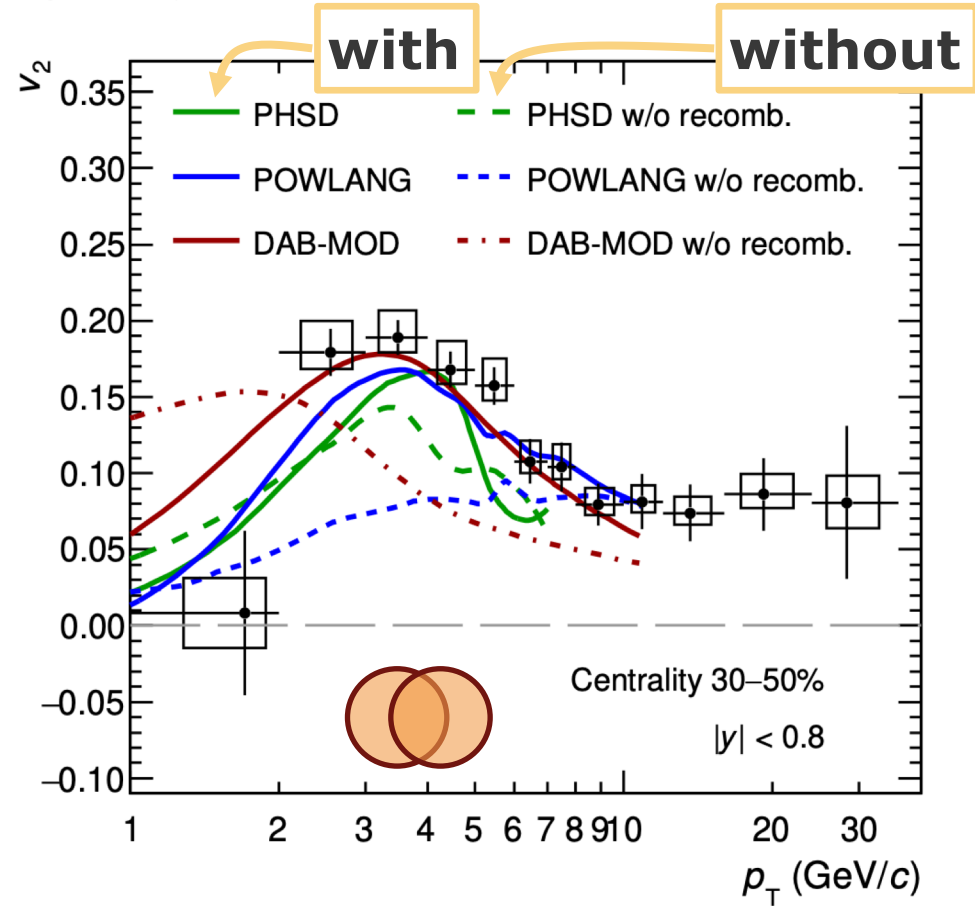




# What does recombination?



ALI-PUB-501964



**Hadronisation via recombination** important to describe low and intermediate  $p_T$   
→ D meson “picks up” the  $v_2$  of the light quark



# Statistical hadronisation of charm

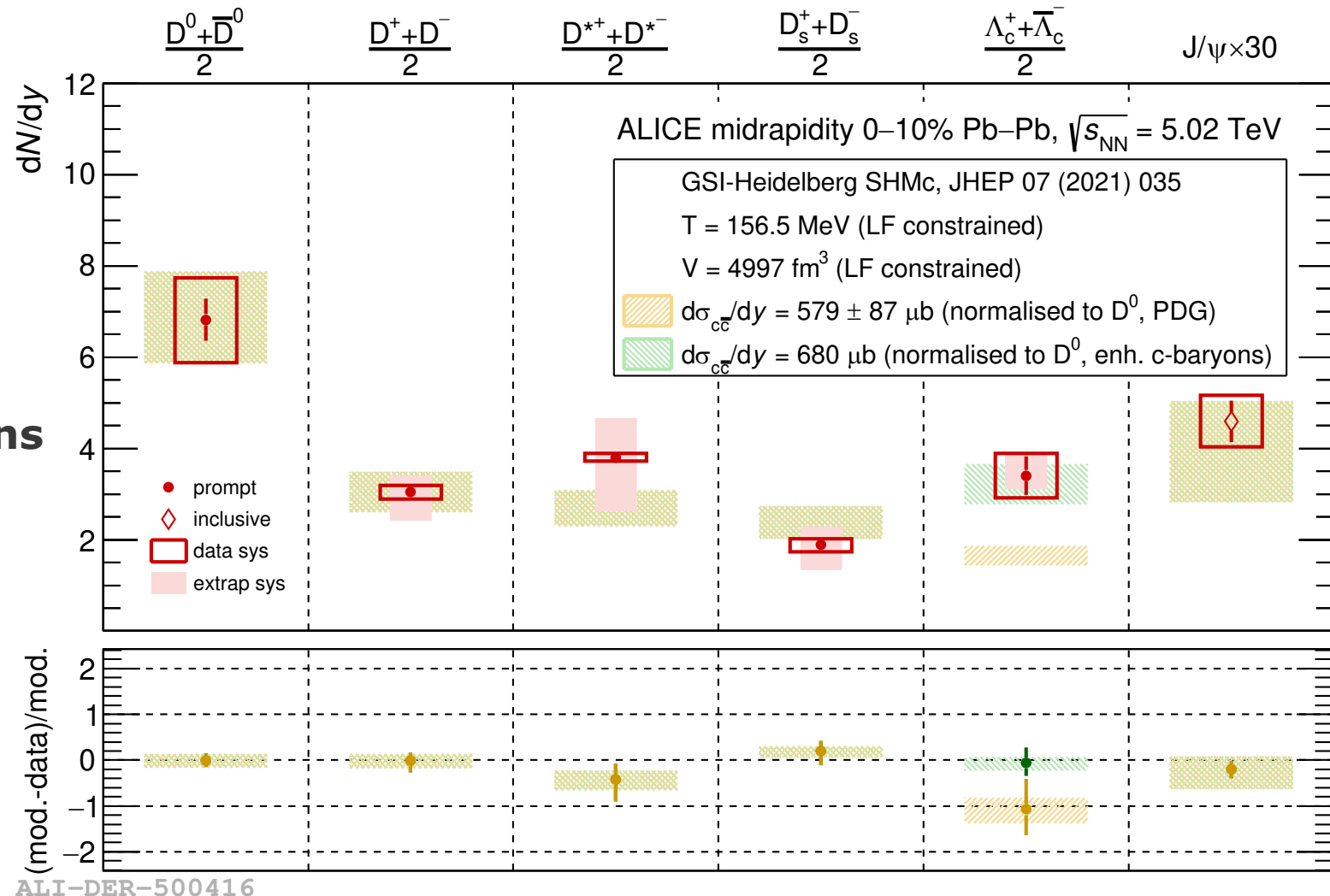
SHMc (charm quarks fully thermalised in the QGP)

→ Distributed into hadrons at phase boundary according to **thermal weights**

Measured yields of **open-charm mesons compatible** with SHMc

Measured yield of  $\Lambda_c^+$  **underestimated**

→ Described in case of an enhanced charm-baryon resonance spectrum





# Charm-quark transport models: ingredients

	Collisional en. loss	Radiative en. loss	Coalescence	Hydro	nPDF
TAMU	✓	✗	✓	✓	✓
LIDO	✓	✓	✓	✓	✓
PHSD	✓	✗	✓	✓	✓
DAB-MOD	✓	✓	✓	✓	✗
Catania	✓	✗	✓	✓	✓
MC@sHQ+EPOS	✓	✓	✓	✓	✓
LBT	✓	✓	✓	✓	✓
POWLANG+HTL	✓	✗	✓	✓	✓
LGR	✓	✓	✓	✓	✓

But more importantly: different **implementations** and **input parameters**.