Lectures on Neutrino Physics (I)

AEPSHEP

05-18 OCTOBER 2022, Pyeongchang, SOUTH KOREA

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The Neutrino Revolution (June, 1998)

Official Super-Kamiokande Press Release

MEDIA ADVISORY for June 5, 1998, Takayama, Japan US EMBARGO EXPIRATION: 20:00 June 4, 1998, Pacific Daylight Time

EVIDENCE FOR MASSIVE NEUTRINOS

The Neutrino Revolution (June, 1998)

Clinton on neutrino

President Clinton addresses the graduating class at MIT

(excerpted from remarks at the MIT commencement, June 6, 1998)



President Clinton addresses the graduating class at MIT

[W]e must help you to ensure that America continues to lead the revolution in science and technology...... Just yesterday in Japan, physicists announced a discovery that tiny neutrinos have mass.but it may change our most fundamental theories from the nature of the smallest subatomic particles to how the universe itself works, and indeed how it expands.

What are Neutrinos ?

Big Bang

Inflation

Expansion

Present Day Acceleration

Source: David Aigning, Harvard-Smithsonian Center for Astronbysics

Elusive ghost particles

Big Bang

Inflation

Expansion

Source: Devid Aignier, Herverd-Smithsonien Center for Astronbroirs

celeration

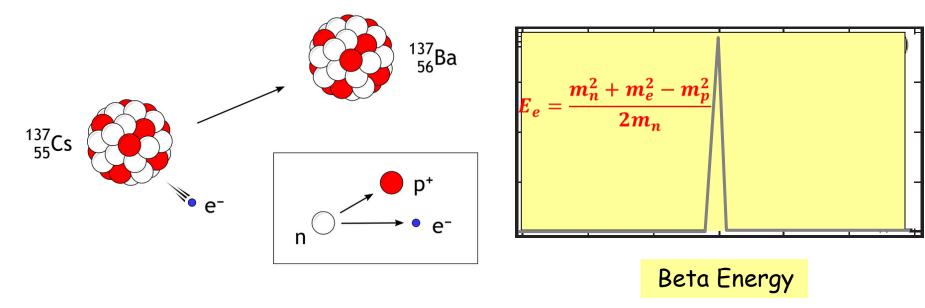
Invented to resolve a puzzle.....

Invention of the Neutrino



- 2-body decay should give mono-energetic electron

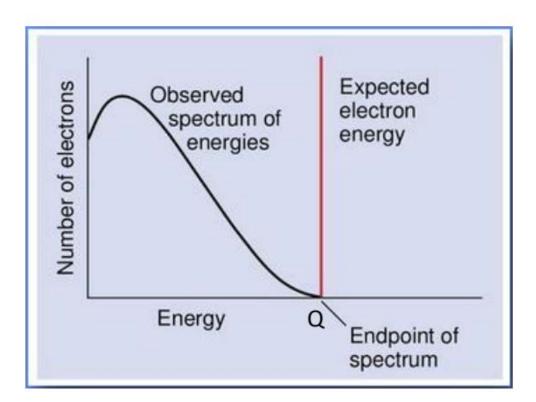
2-body decay



Invention of the Neutrino

Observed spectrum was continuous

→ Breakdown of Energy Conservation ?





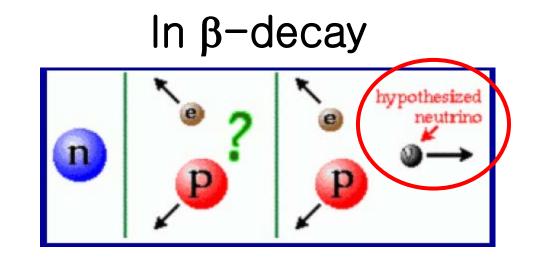
James Chadwick 1914

Also, conservation of angular momentum was broken

Invention of the Neutrino

W. Pauli's solution (1930)





Pauli proposed a hypothetical particle which is weakly interacting massless neutral fermion



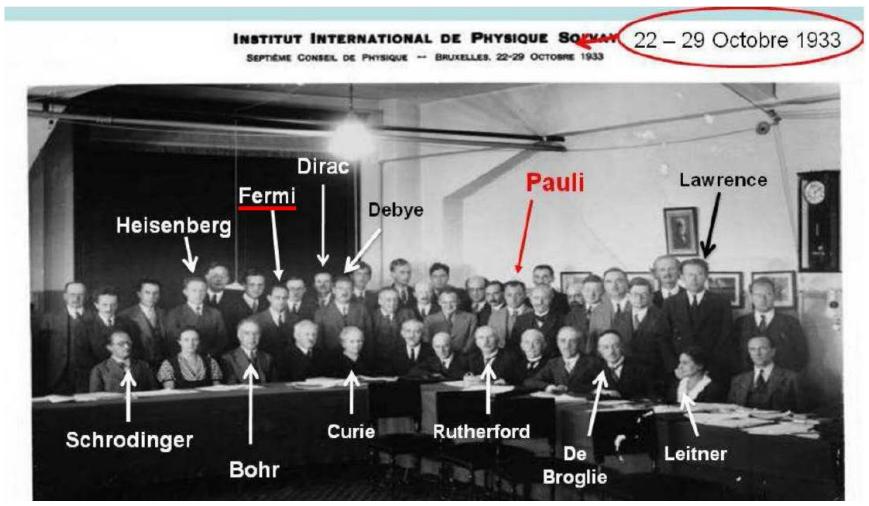
4th December 1930

Dear Radioactive Ladies and Gentlemen,

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and Li⁶ nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons, which have spin 1/2 and obey the exclusion principle and which further differ from light quanta in that they do not travel with the velocity of light. The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant...

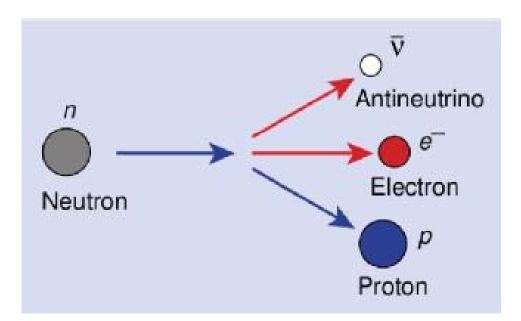


Pauli gave a talk on his idea of neutrino



True Picture of Beta Decay

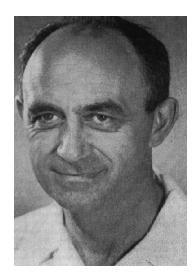
Three-body final state



Electron and antineutrino share the energy

Fermi Theory (1933)

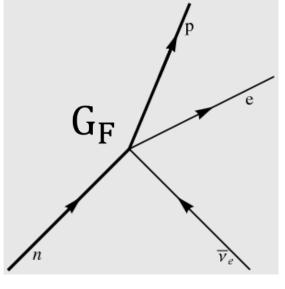
• Fermi formulated the theory of beta decay



$$n
ightarrow p^+ + e^- + ar{
u}$$

$$\mathcal{L}_{\mathrm{Fermi}} = -\frac{G_F}{\sqrt{2}} \, \bar{p} \gamma_\mu n \, \bar{e} \gamma^\mu \nu + \mathrm{h.c.}$$

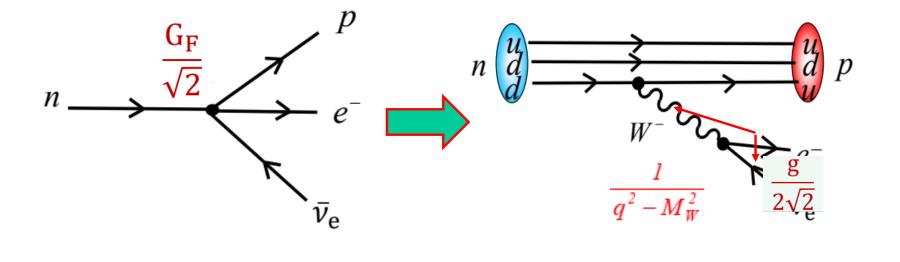
 $G_F = 1.166 \cdot 10^{-5} \,\mathrm{GeV}^{-2}$ Fermi constant



- point-like four fermion vertex
- works for muon decay and can be applied to any nucleu
- first successful theory of the creation of massive particle
- Nature didn't publish the article:" contained speculations too remote from reality to be of interest to the reader..."

Weak Theory

Interaction between (p^+, n) and $(e^-, \overline{\nu})$ is mediated by W^- of which the Fermi theory is the low-E effective field theory



 $\frac{G_F}{\sqrt{2}} = \frac{g^2}{8M_W^2} \qquad (q^2 \ll M_W^2) \qquad M_W \sim 80.4 \text{ GeV}$

Discovery of neutrino

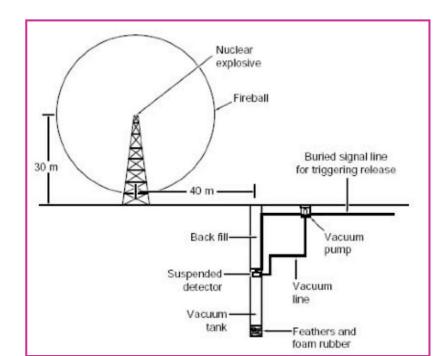
- Bethe-Peierls (1934) proposed a possible detection of ν from the phenomenon known as inverse β -decay $\overline{\nu}_e + p^+ \rightarrow n + e^+$
- They computed v cross section using Fermi theory

$$\sigma < \frac{h^3}{m^3 c^4 t} \sim 10^{-44} \text{ cm}^2$$
 (for $E \sim 2 \text{MeV}$)
In fact, $\sigma \sim 10^{-43} (E/\text{MeV})^2 \text{ cm}^2$

- The "mean free path" of such neutrinos in a block of lead is of the order of 1 light year !.
- Detecting neutrinos is next to impossible.

Discovery of neutrino

- In "atomic age", very intense sources of ν could be available : nuclear bombs or nuclear reactors.
 → Reactors ~ isotropic flux of 10²⁰ ν/sec
- Poltergeist project came up with : First idea is to put
 the detector close to a nuclear explosion

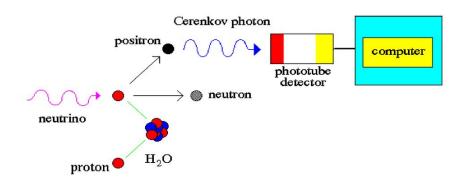


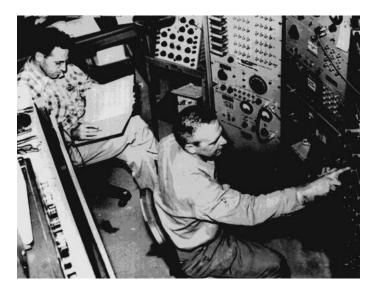
Discovery of Neutrino

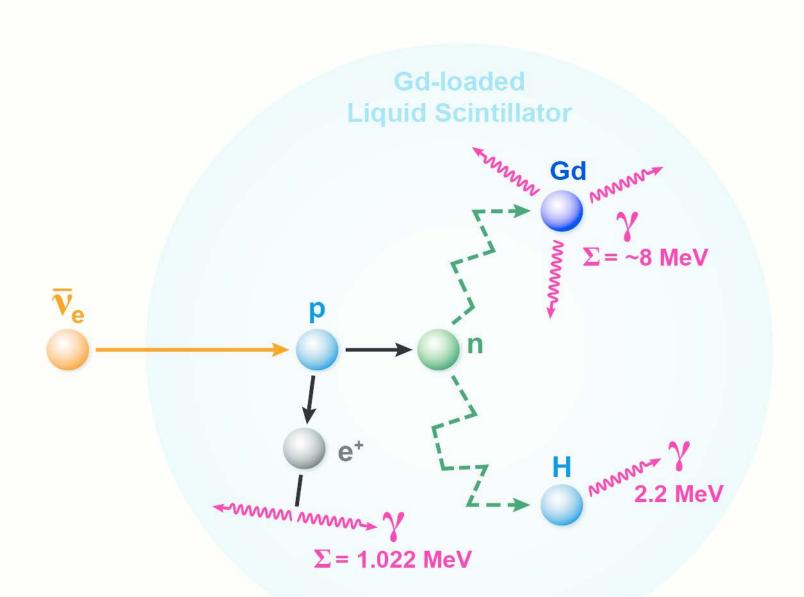
- Frederick Reines & Clyde Cowan's Project (1951)
- "the first detection of anti-neutrinos using reactor"
- Project approved at Los Alamos (1952)

Anti-Neutrino Detector

 $\overline{\nu} + p \rightarrow e^+ + n$







Use delayed coincidence between e+ annihilation and neutron capture

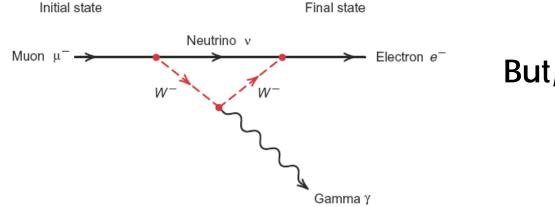
On June 14, 1956, Reines and Cowan sent a telegram to Pauli:

"We are happy to inform you that we have definitely detected neutrinos from fission fragments by observing inverse beta decay of protons. Observed cross section agrees well with expected..."

Reines: Nobel Prize in 1995

Discovery of Muon Neutrino

- Muons were discovered by Anderson & Neddermeyer (1936) from cosmic source and confirmed by Street and Stevenson's cloud chamber experiment in 1937.
- It is like electron but heavier.
- It was thought to decay into an electron and a gamma



But, it didn't happen

Discovery of Muon Neutrino

- In the late 40's, it became clear that the μ^- decayed into more than one particle
- Presumably the unseen particles were neutrinos :

$$\mu \rightarrow e + \nu + \bar{\nu}$$

• There was a particle decaying into a μ^- and a $\overline{\nu}_x$:

$$\pi^- o \mu^- + \overline{
u}_{\chi}$$

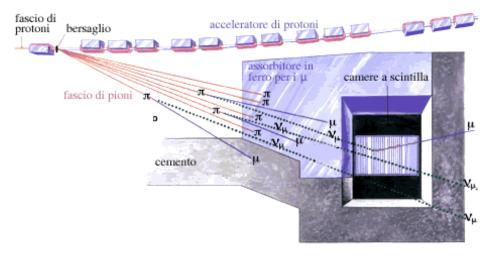
• Are they all the same as neutrino emitting from β -decay ?

$$\pi \rightarrow \mu + \nu$$

$$\downarrow \quad \quad \downarrow \quad \nu + N \rightarrow e \text{ or } \mu?$$

Discovery of Muon Neutrino

• Experiment was done at the Brookhaven 30 GeV accelerator in 1962 (Lederman, Schwartz, Steinberger).



$$\pi^-
ightarrow \mu^- + \overline{
u}_x$$

$$\bar{\nu}_x + p
ightarrow e^+ + n$$



None was compatible with electron in the final state

Nobel Prize in 1988

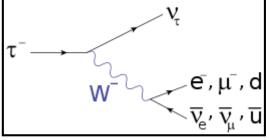
Discovery of Tau Neutrino

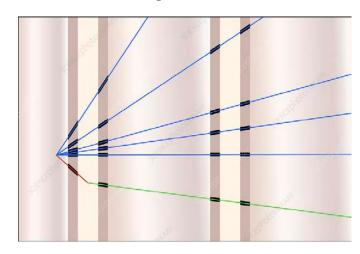
• Tau was discovered by M. Perl in 1975 via

 $e^+ + e^- \rightarrow e^{\pm} + \mu^{\mp} + X$ (undetected) (at least two)



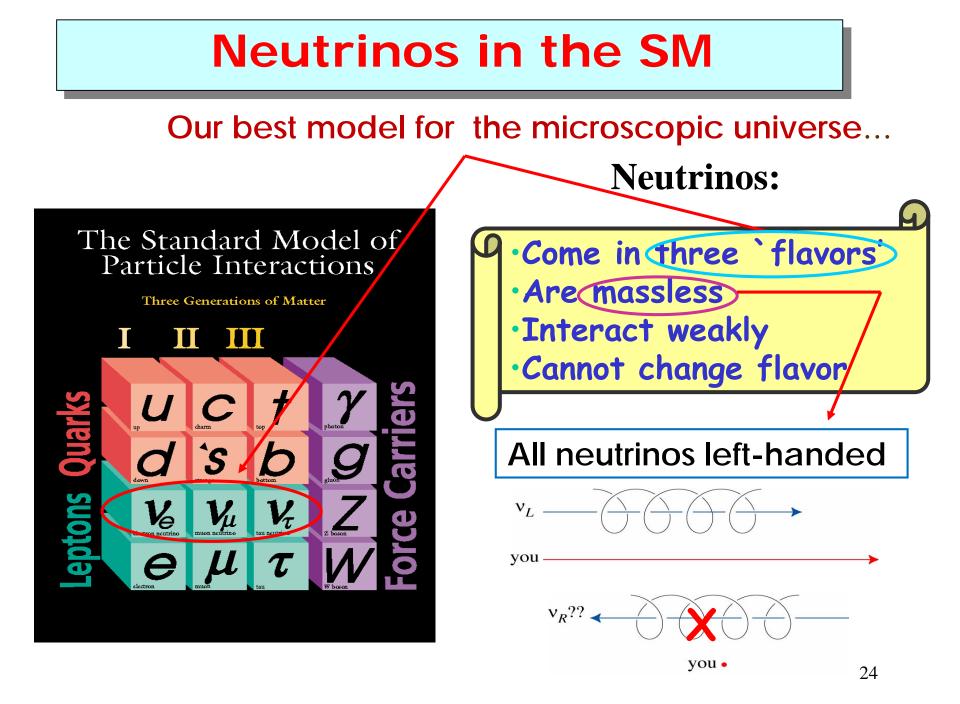
- It was proposed that the above can be mediated by $e^+ + e^- \rightarrow \tau^+ + \tau^- \rightarrow e^{\pm} + \mu^{\mp} + 4\nu$
- Tau neutrino was finally discovered by DONUT exp. at FERMILAB (2000)





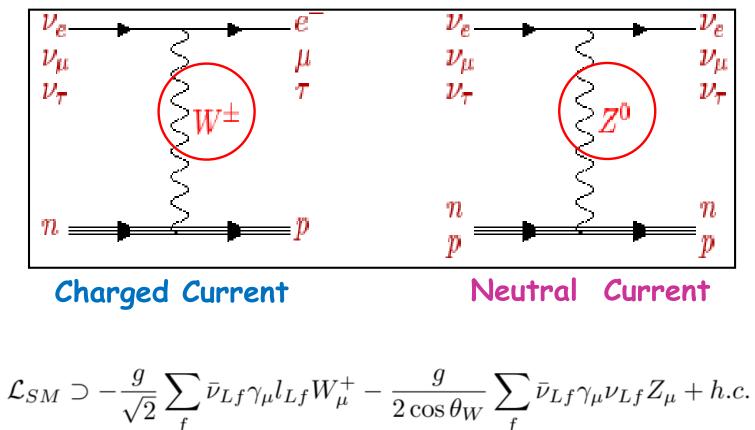
Lepton family of 3 generations is completed !

Prize in 1995 (discovery of τ^-)



Neutrinos in the SM

- How neutrinos interact ?
 - \rightarrow weak interaction exchanging W^{\pm} , Z^{0}



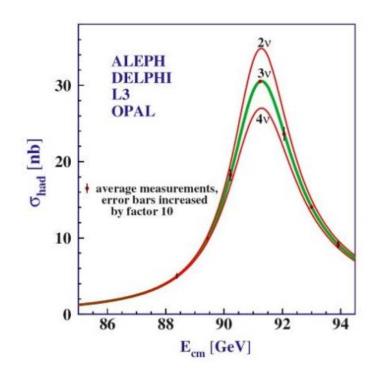
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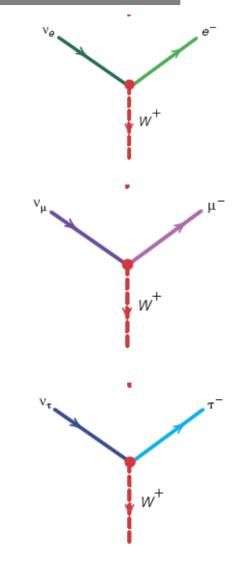
Neutrinos in the SM

 $N_{\nu} = \frac{\Gamma_{\rm inv}}{\Gamma_{\nu\bar{\nu}}} = 2.984 \pm 0.008$

 Only 3 neutrinos exist (below electroweak scale)

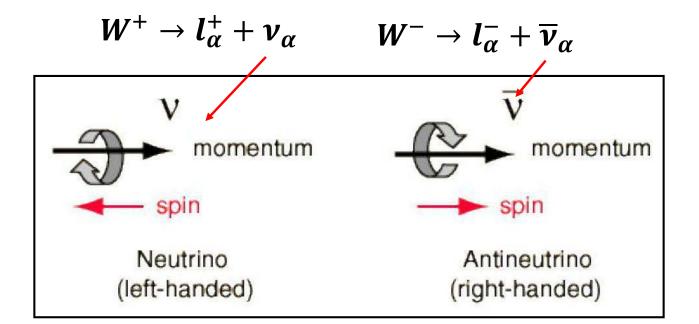
From
$$e^+ + e^- \rightarrow Z^0 \rightarrow f\overline{f}$$





Neutrinos in the SM

- Neutrinos in SM exist only in 2 states : ν with (-) helicity & $\overline{\nu}$ with (+) helicity
- Experiments have shown that $v(\overline{v})$ are always left-handed (right-handed)



Massless neutrinos: helicity=chirality

Neutrinos in the Universe

Neutrinos are everywhere:

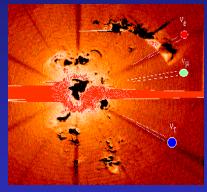
Every second hundred trillion neutrinos from sun are passing through our body.



Neutrino Sources

←Sun

~10³⁸/sec



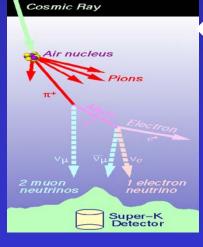
←Cosmology Big Bang ~300/cm³ Astronomy: \rightarrow **Supernovae** GRBs **UHE** v's

> **Reactors**→ ~10²¹/sec



Supernova 1987A. 23.

Februar 1



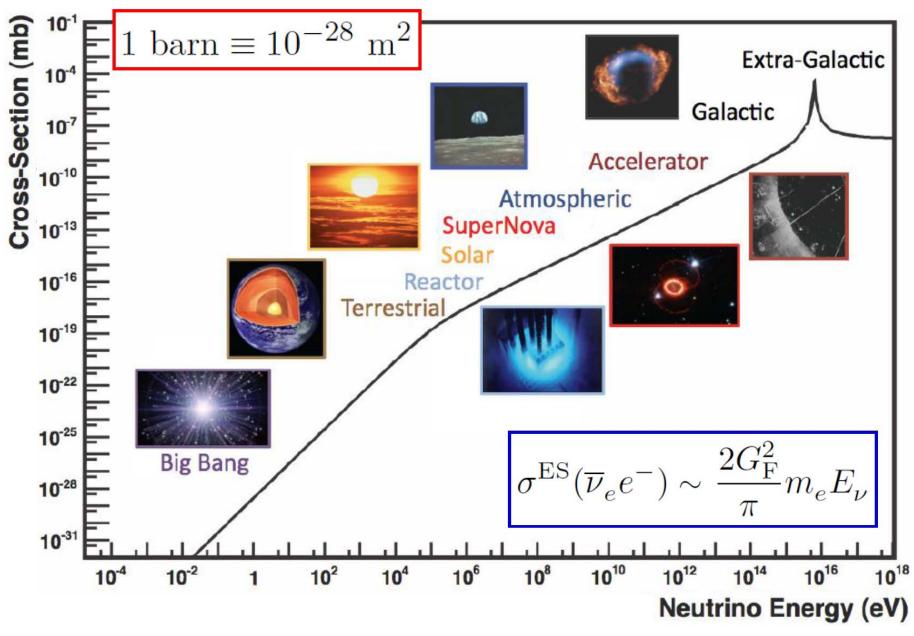
Atmosphere ~1000/sec detected

Accelerators \rightarrow

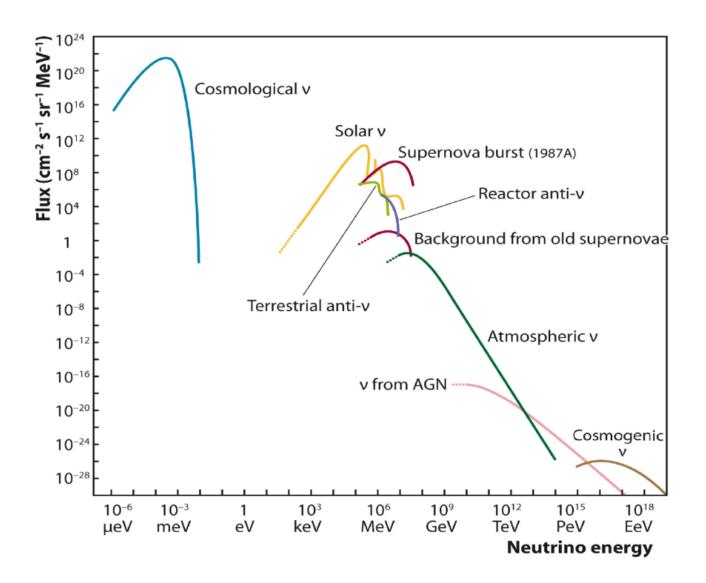
←Earth

~109/second





Prormaggio & Zeller, arXiv:1305.7513



Measured and expected fluxes of natural and reactor neutrinos (arXiv:1207.4952)

How did neutrino physics become so important ?

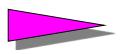


The Neutrino Revolution (1998-)

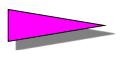
Discovery of Neutrino Oscillation

Neutrinos are massive.

leptons mix.

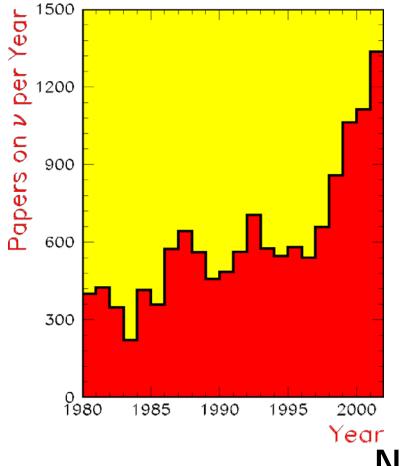


Evidence for new physics beyond SM

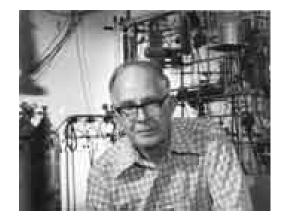


Playing a key role in understanding our universe

Neutrino became hot !



2002-Nobel Prizes were awarded to Davis & Koshiba for detection of cosmic neutrinos





Neutrinos from backstage to center stage

Neutrino is still hot!

2015-Nobel prizes were awarded to "discovery of neutrino oscillation Kajita & Mcdonald







Yoji Totsuka(March 6, 1942 – July 10, 2008)

"The discovery of oscillation in neutrinos was largely due to the work done by Professor Totsuka," Kajita said.

ciliation probabilities for an initial electron petitino

5000 10000 15060 20000 25600 30000 35000 L/E (km/GeV)

Physics of Neutrino Oscillations

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History of Neutrino Oscillations

- After the experimental observation of $K \overline{K}$ osc., Pontecorvo (1957) asked weather something similar could occur to other systems such as $v - \overline{v}, n - \overline{n}, ...$
- Maki, Nakagawa & Sakata (1962) mentioned the possible occurrence of virtual transmutation of neutrinos again without elaborating the details
- This was amended, once again, by Pontecorvo (1967).
 → developed the modern theory of NO in vacuum.
 → new ingredient is the mixing of different families of neutrinos introduced by MNS.

History of Neutrino Oscillations

- First indication on NO came from solar neutrino experiments: Homestake found solar neutrino flux deficit (1964,68)
- Atmospheric neutrino experiments (IMB, MACRO, Kamokande-II) found a deficit in the ratio of the flux of muon to electron neutrinos
- SuperK reported the first evidence of the atmospheric neutrino oscillations. (1998)
- SNO experiments provided clear evidence of the solar neutrino oscillations. (2001)

Lepton Mixing

• Flavor eigenstates $(\nu_e, \nu_\mu, \nu_\tau)$: states produced or detected via weak interactions together with charged lepton with the same flavor (e, μ, τ)

≈105.66 MeV/c2

μ

muon

Vμ

muon

neutrino

<0.17 MeV/c²

≈1.7768 GeV/c2

τ

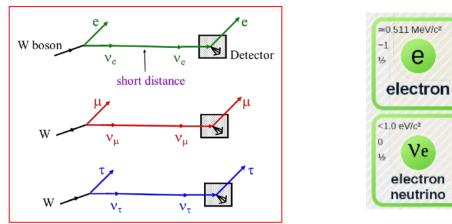
tau

Vτ

tau

neutrino

<18.2 MeV/c²



• Mass eigenstates (v_1, v_2, v_3) : states of definite masses that are created by the interactions with Higgs boson or other mechanisms

- Mismatch between flavor states and mass states of neutrinos gives rise to neutrino mixing
- A specific flavor state is a superposition of three mass eigenstates with definite masses.

$$\nu_{\ell} = \sum_{i=1}^{N} U_{\ell i} \nu_{i} \text{ with } \begin{cases} \ell = e, \mu, \tau \quad \text{[flavor]} \\ i = 1, 2, 3 \quad \text{[mass]} \end{cases}$$
-Pontecorvo-Maki-Makagawa-Sakita mixing matrix (3x3 unitary)

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \mathsf{PMNS} \\ \mathsf{matrix} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Lepton Mixing

• The unitary mixing matrix U occurs in C.C. weak interactions

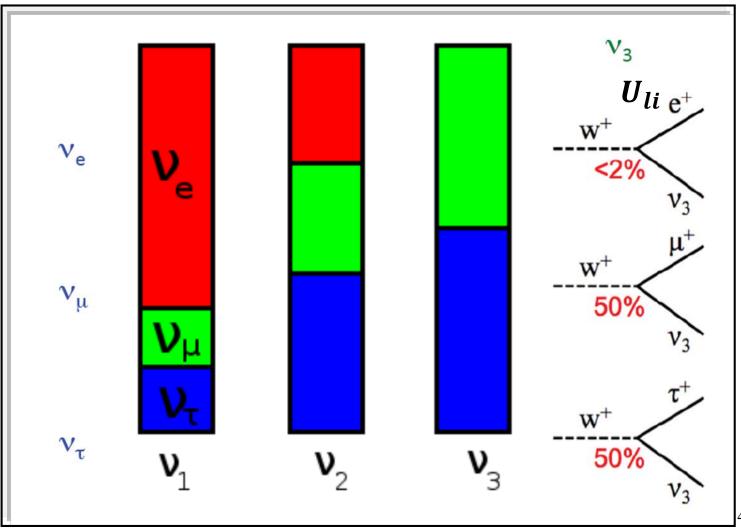
(in the flavor basis):

$$-\mathcal{L}_{cc} = \frac{g}{\sqrt{2}} \overline{(\nu_e, \nu_\mu, \nu_\tau)_{\rm L}} \gamma^\mu \begin{pmatrix} e \\ \mu \\ \tau \end{pmatrix}_{\rm L} W^+_\mu + \text{ h.c.}$$

(in the mass basis):

$$-\mathcal{L}_{cc} = \frac{g}{\sqrt{2}} \overline{(\nu_1, \nu_2, \nu_3)_{\rm L}} \underbrace{U^{\dagger}}_{\Gamma} \gamma^{\mu} \begin{pmatrix} e \\ \mu \\ \tau \end{pmatrix}_{\rm L} W^{+}_{\mu} + \text{h.c.}$$

What neutrino mixing elements mean



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$$U = V_L^{\ell \dagger} V_L^{\nu} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

- 3x3 unitary mixing matrix depends on 9 independent parameters → 3 mixing angles and 6 phases
- Not all phases are physical observables.
- Let's see how many phases are physical by assuming neutrinos are Dirac particles.

- Under global phase transformations:

 \rightarrow 5 phases can be eliminated by redefining fields

Alternatively

$$\begin{pmatrix} e^{i\gamma_2} & 0 & 0 \\ 0 & c_2 e^{i\alpha_2} & s_2 e^{-i\beta_2} \\ 0 & -s_2 e^{i\beta_2} & c_2 e^{-i\alpha_2} \end{pmatrix} \begin{pmatrix} c_3 e^{i\alpha_3} & 0 & s_3 e^{-i\beta_3} \\ 0 & e^{i\gamma_3} & 0 \\ -s_3 e^{i\beta_3} & 0 & c_3 e^{-i\alpha_3} \end{pmatrix} \begin{pmatrix} c_1 e^{i\alpha_1} & s_1 e^{-i\beta_1} & 0 \\ -s_1 e^{i\beta_1} & c_1 e^{-i\alpha_1} & 0 \\ 0 & 0 & e^{i\gamma_1} \end{pmatrix}$$

 $= \begin{pmatrix} c_1 c_3 e^{i(\alpha_1 + \gamma_2 + \alpha_3)} & s_1 c_3 e^{i(-\beta_1 + \gamma_2 + \alpha_3)} & s_3 e^{i(\gamma_1 + \gamma_2 - \beta_3)} \\ -s_1 c_2 e^{i(\beta_1 + \alpha_2 + \gamma_3)} - c_1 s_2 s_3 e^{i(\alpha_1 - \beta_2 + \beta_3)} & c_1 c_2 e^{i(-\alpha_1 + \alpha_2 + \gamma_3)} - s_1 s_2 s_3 e^{i(-\beta_1 - \beta_2 + \beta_3)} & s_2 c_3 e^{i(\gamma_1 - \beta_2 - \alpha_3)} \\ s_1 s_2 e^{i(\beta_1 + \beta_2 + \gamma_3)} - c_1 c_2 s_3 e^{i(\alpha_1 - \alpha_2 + \beta_3)} & -c_1 s_2 e^{i(-\alpha_1 + \beta_2 + \gamma_3)} - s_1 c_2 s_3 e^{i(-\beta_1 - \alpha_2 + \beta_3)} & c_2 c_3 e^{i(\gamma_1 - \alpha_2 - \alpha_3)} \end{pmatrix}$

$$= \begin{pmatrix} e^{ia} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & e^{ib} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & e^{ic} \end{pmatrix} \begin{pmatrix} c_1 c_3 & s_1 c_3 & s_3 e^{-i\delta} \\ -s_1 c_2 - c_1 s_2 s_3 e^{i\delta} & c_1 c_2 - s_1 s_2 s_3 e^{i\delta} & s_2 c_3 \\ s_1 s_2 - c_1 c_2 s_3 e^{i\delta} & -c_1 s_2 - s_1 c_2 s_3 e^{i\delta} & c_2 c_3 \end{pmatrix} \begin{pmatrix} e^{ix} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & e^{iy} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & e^{iz} \end{pmatrix}$$

$$\boldsymbol{a} = (\boldsymbol{\alpha}_1 - \boldsymbol{\beta}_1) - (\boldsymbol{\alpha}_2 + \boldsymbol{\beta}_2 - \boldsymbol{\gamma}_2) - \boldsymbol{\gamma}_3$$

- The mixing matrix contains 1 physical phase
- 3x3 unitary mixing matrix can be expressed in terms of 3 mixing angles and 1 phase
- Standard parametrization :

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

 $=\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23}-c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23}-s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23}-c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23}-s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix}$

$$(\boldsymbol{s_{ij}} = \sin \theta_{ij}$$
 , $\boldsymbol{c_{ij}} = \cos \theta_{ij})$

• Non-relativisitic Schrodinger Eq. for a free particle

$$E=\frac{p^2}{2m}.$$

Substituting
$$E \rightarrow i\hbar \frac{\partial}{\partial t}$$
, $\mathbf{p} = -i\hbar \nabla$

 $\frac{\partial^2 \phi}{\partial t^2}$

$$i\frac{\partial\psi}{\partial t} + \frac{1}{2m}\nabla^2\psi = \mathbf{0}$$

Relativistic quantum equation from energy-momentum relation:

$$E^2 = \mathbf{p}^2 + m^2.$$

+
$$\nabla^2 \phi = m^2 \phi$$
 \rightarrow Klein-Gordon Eq

$$\phi = Ne^{i\mathbf{p}\cdot\mathbf{x}-iEt}$$
 \rightarrow free particle solution

Choosing natural units

I units
$$\hbar = c = 1$$

 $\hbar = \frac{h}{2\pi} = 1.055 \times 10^{-34}$ J sec

 \hbar (ML²/T) and c (L/T)

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• In high *E* physics, quantities are measured in units of GeV (e.g. $m_p \sim 1 \text{ GeV}$) $1 \text{ kg} \equiv 1 \times (2.998 \times 10^8)^2 \text{ J}$ $(2.998 \times 10^8)^2 \text{ J}$

 $c = 2.998 \times 10^8 \text{ m sec}^{-1}$

$$m \text{ kg} \equiv mc^2 \text{ Energy units}$$

$$1 \text{ kg} \equiv 1 \times (2.998 \times 10^8)^2 \text{ J}$$
$$= \frac{(2.998 \times 10^8)^2 \text{ J}}{1.6 \times 10^{-19} \frac{\text{J}}{\text{eV}}}$$
$$= 5.618 \times 10^{-35} \text{ eV}$$
$$= 5.618 \times 10^{-26} \text{ GeV}.$$

Quantity	Conversion factor	Actual dimension
Mass	$1 \text{ kg} = 5.62 \times 10^{-26} \text{ GeV}$	$\frac{\text{GeV}}{c^2}$
Length	$1 \text{ m} = 5.07 \times 10^{15} \text{ GeV}^{-1}$	<u>ħc</u> GeV
Time	$1 \sec = 1.52 \times 10^{24} \text{ GeV}^{-1}$	$\frac{\hbar}{\text{GeV}}$

Relativistic Schrodinger Eq. in a form linear in $\frac{\partial}{\partial t}$ $H\psi = (\alpha \cdot \mathbf{P} + \beta m)\psi = i\frac{\partial}{\partial t}\psi$

 $\alpha_i \beta$ are constants and satisfy $E^2 = \mathbf{p}^2 + m^2$.

$$H^2\psi = (\mathbf{P}^2 + m^2)\psi$$

• $\alpha_i^2 = \beta^2 = 0$

• $\alpha_i \alpha_j + \alpha_j \alpha_i = \alpha_i \beta + \beta \alpha_i = 0$. Hence α_i 's and β anticommute with one another.

• Due to the last relations, $\alpha_i \beta$ cannot be numbers but matrices

α

$$= \begin{pmatrix} 0 & \boldsymbol{\sigma} \\ \boldsymbol{\sigma} & 0 \end{pmatrix}, \quad \beta = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}$$

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$
⁴⁹

Relativistic Schrodinger Eq. :

$$(i\gamma^{\mu}\partial_{\mu}-m)\psi=0$$

where $\gamma^{\mu} \equiv (\beta, \beta \alpha)$ and $\partial_{\mu} = \left(\frac{\partial}{\partial t}, \nabla\right)$ (in four vector notation). $\gamma^{\mu'}$ s are known as the Dirac γ matrices

Quantum mechanical effects when

Evolution of $\boldsymbol{\nu}_{k}$ (mass states): Evolution of ν_{a} (flavor states):

Flavor states

$$\begin{bmatrix}
v_1 & v_2 & v_3 \\
& & & & & \\
\end{bmatrix}$$

$$\begin{bmatrix}
v_{\alpha} \rangle = \sum_k U_{\alpha k} |v_k\rangle \\
& & & & \\
\end{bmatrix}$$

$$\begin{bmatrix}
v_k(t, x) \rangle = e^{-iE_k + ip_k x} |v_k\rangle \\
& & & \\
\end{bmatrix}$$

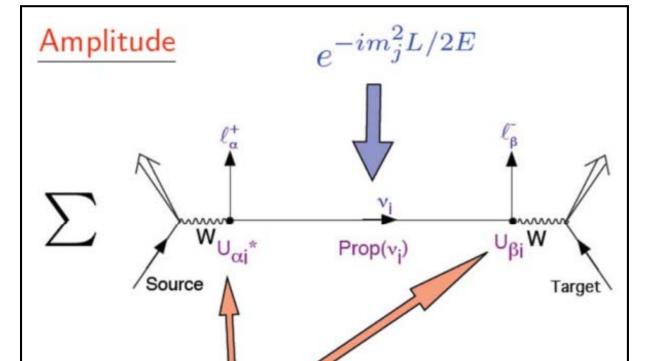
$$\begin{bmatrix}
v_{\alpha}(t, x) \rangle = \sum_k U_{\alpha k} e^{-iE_k + ip_k x} |v_k\rangle \\
& & & \\
\end{bmatrix}$$

N/acc atataa

51

$$|| \nu_{\alpha}(t,x) \rangle = \sum_{\beta} \left(\sum_{k} U_{\alpha k} e^{-iE_{k} + ip_{k}x} U_{\beta k}^{*} \right) | \nu_{\beta} \rangle$$

$$=\sum_{\beta}A_{\nu_{\alpha}\to\nu_{\beta}}(t,x)|\nu_{\beta}\rangle$$



52

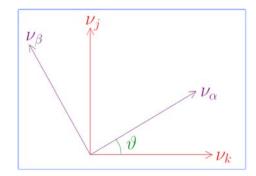
$$P_{\nu_{\alpha} \to \nu_{\beta}}(t, x) = \left| A_{\nu_{\alpha} \to \nu_{\beta}}(t, x) \right|^{2} = \left| \sum_{k} U_{\alpha k} e^{-iE_{k}t + ip_{k}x} U_{\beta k}^{*} \right|^{2}$$

$$E_{k}t - p_{k} \times \simeq (E_{k} - p_{k}) L = \frac{E_{k}^{2} - p_{k}^{2}}{E_{k} + p_{k}} L = \frac{m_{k}^{2}}{E_{k} + p_{k}} L \simeq \frac{m_{k}^{2}}{2E} L$$
(In natural unit, $t = L$)
$$P_{\nu_{\alpha} \to \nu_{\beta}}(L, E) = \left| \sum_{k} U_{\alpha k} e^{-im_{k}^{2}L/2E} U_{\beta k}^{*} \right|^{2}$$

$$= \sum_{kj} U_{\alpha k} U_{\beta k}^{*} U_{\alpha j} U_{\beta j}^{*} \exp\left(-i\frac{\Delta m_{kj}^{2}L}{2E}\right)$$

$$\Delta m_{kj}^{2} \equiv m_{k}^{2} - m_{j}^{2}$$
53

$$\begin{pmatrix} \boldsymbol{\nu}_{e} \\ \boldsymbol{\nu}_{\mu} \end{pmatrix} = \begin{pmatrix} \cos \boldsymbol{\theta} & \sin \boldsymbol{\theta} \\ -\sin \boldsymbol{\theta} & \cos \boldsymbol{\theta} \end{pmatrix} \begin{pmatrix} \boldsymbol{\nu}_{1} \\ \boldsymbol{\nu}_{2} \end{pmatrix}$$



Production
(Flavor state)
$$|\nu_{\mu}\rangle = -\sin\theta |\nu_{1}\rangle + \cos\theta |\nu_{2}\rangle$$
Propagation :
(mass states) $|\nu_{1}: e^{-ip_{1}x} |\nu_{\mu}(x)\rangle =$
 $\nu_{2}: e^{-ip_{2}x} -\sin\theta e^{-ip_{1}x} |\nu_{1}\rangle + \cos\theta e^{-ip_{2}x} |\nu_{2}\rangle$ Detection :
(flavor states) $|\nu_{e}\rangle = \cos\theta |\nu_{1}\rangle + \sin\theta |\nu_{2}\rangle$

 $P_{\nu_{\mu} \to \nu_{e}} = \left| \left\langle \nu_{e}(\mathbf{0}) \left| \nu_{\mu}(x) \right\rangle \right|^{2} = \left| -\sin\theta\cos\theta \, e^{-ip_{1}x} + \cos\theta\sin\theta \, e^{-ip_{2}x} \right|^{2}$

$$p_j = \sqrt{E^2 - m_j^2} \approx E - rac{m_j^2}{2E}$$

$$P_{\nu_{\mu} \to \nu_{e}}(L, E) = 2\sin^{2}\theta \cos^{2}\theta \left(1 - \cos\left(\frac{\Delta m_{21}^{2}L}{2E}\right)\right)$$
$$= \sin^{2}2\theta \sin^{2}\left(\frac{\Delta m_{21}^{2}L}{4E}\right) \implies \text{ transition probability}$$

• 2 fundamental parameters $: (\theta, \Delta m_{21}^2)$

oscillation length :
$$L^{osc} = \frac{4\pi E}{\Delta m^2}$$

• Converting natural unit to lab. unit :

$$\frac{\Delta m^2 L}{4E} = 1.27 \frac{\Delta m^2 [\text{eV}^2] L[\text{m}]}{E[\text{MeV}]} = 1.27 \frac{\Delta m^2 [\text{eV}^2] L[\text{km}]}{E[\text{GeV}]}$$

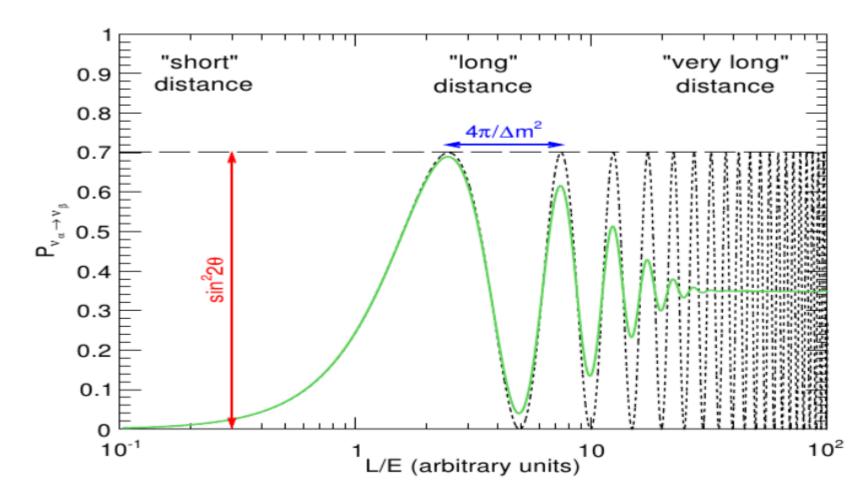
Survival (disappearance) probability

$$\boldsymbol{P}_{\boldsymbol{\nu}_{\mu}\to\boldsymbol{\nu}_{\mu}}(\boldsymbol{L},\boldsymbol{E}) = 1 - \boldsymbol{P}_{\boldsymbol{\nu}_{\mu}\to\boldsymbol{\nu}_{e}}(\boldsymbol{L},\boldsymbol{E}) = 1 - \sin^{2}2\boldsymbol{\theta}\sin^{2}\left(\frac{\Delta m_{21}^{2}L}{4E}\right)$$

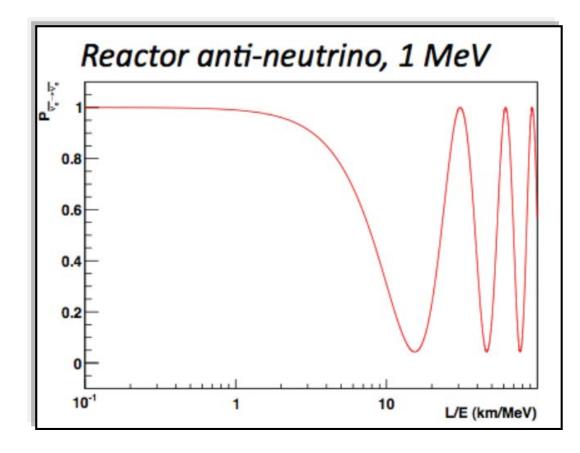
2 \

1

Example of $P_{\nu_{\alpha} \rightarrow \nu_{\beta}}$

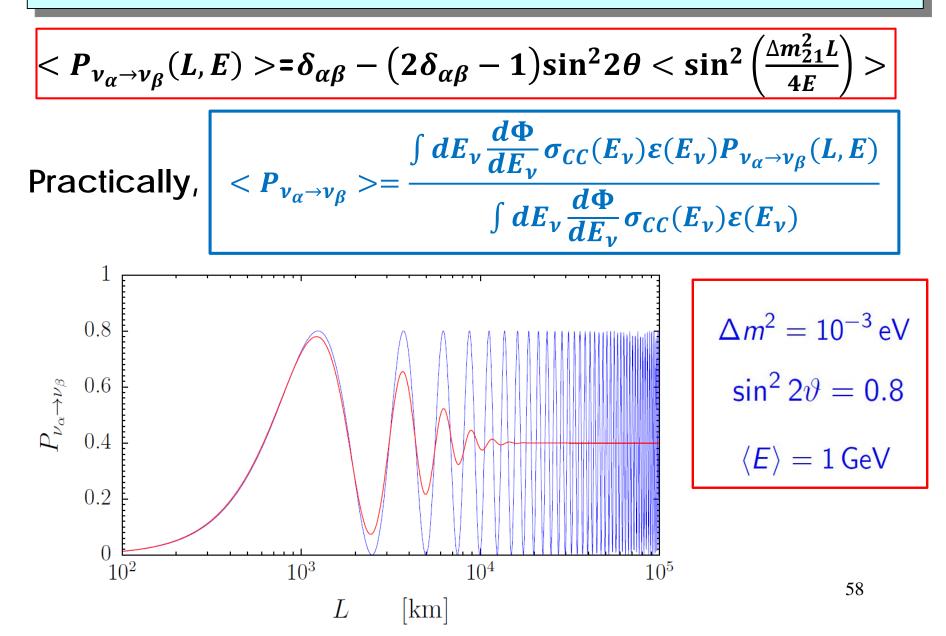


$$P_{\nu_{\alpha} \to \nu_{\alpha}} = 1 - P_{\nu_{\alpha} \to \nu_{\beta}}$$



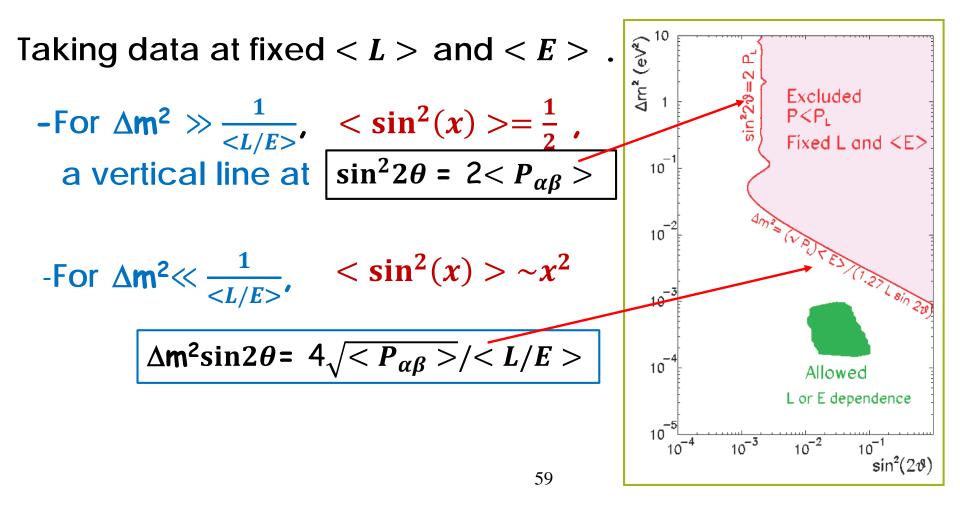
E=1 MeV, θ =40 deg $\Delta m^2 = 8 \times 10^{-5} (eV)^2$

Averaging over E



Exclusion Curve

$$< P_{\nu_{lpha}
ightarrow
u_{eta}}(L,E) > = \delta_{lphaeta} - \left(2\delta_{lphaeta} - 1\right)\sin^2 2\theta < \sin^2\left(\frac{\Delta m_{21}^2 L}{4E}\right) >$$



θ

Oscillation probability

$$P_{\nu_{\alpha} \rightarrow \nu_{\beta}} = \sum_{i=1}^{3} |U_{\alpha i}^{*} U_{\beta i}|^{2} + 2 \sum_{i < j}^{3} Re(U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \cos \frac{\Delta m_{j i}^{2} L}{2E} - 2 \sum_{i < j}^{3} Im(U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin \frac{\Delta m_{j i}^{2} L}{2E}$$
$$= \left|\sum_{i=1}^{3} U_{\alpha i}^{*} U_{\beta i}\right|^{2} - 4 \sum_{i < j}^{3} Re(U_{\alpha i} U_{\beta i} U_{\alpha j}^{*} U_{\beta j}^{*}) \sin^{2} \frac{\Delta m_{j i}^{2} L}{2E} + 2 \sum_{i < j}^{3} Im(U_{\alpha i} U_{\beta i} U_{\alpha j}^{*} U_{\beta j}^{*}) \sin \frac{\Delta m_{j i}^{2} L}{2E}$$
$$= J \sum_{\nu, k} \varepsilon_{\alpha \beta \nu} \varepsilon_{i j k}$$
CP conserving part : $P_{\nu_{\alpha} \rightarrow \nu_{\beta}}^{CPC}$

$$P_{\nu_{\alpha} \to \nu_{\beta}}^{CPV} = 8J \sum_{\gamma} \varepsilon_{\alpha\beta\gamma} \sin \frac{\Delta m_{21}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{32}^2 L}{4E}$$

$$P_{\nu_{\alpha} \to \nu_{\alpha}} = 1 - 4|U_{\alpha 1}|^{2}|U_{\alpha 2}|^{2}\sin^{2}\frac{\Delta m_{21}^{2}L}{4E} - 4|U_{\alpha 1}|^{2}|U_{\alpha 3}|^{2}\sin^{2}\frac{\Delta m_{31}^{2}L}{4E} - 4|U_{\alpha 2}|^{2}|U_{\alpha 3}|^{2}\sin^{2}\frac{\Delta m_{32}^{2}L}{4E}$$
$$-4|U_{\alpha 2}|^{2}|U_{\alpha 3}|^{2}\sin^{2}\frac{\Delta m_{32}^{2}L}{4E} - 4(1 - |U_{\alpha 3}|^{2})|U_{\alpha 3}|^{2}\sin^{2}\frac{\Delta m_{31}^{2}L}{4E}$$
$$P_{\nu_{\alpha} \to \nu_{\alpha}} \cong 1 - 4|U_{\alpha 1}|^{2}|U_{\alpha 2}|^{2}\sin^{2}\frac{\Delta m_{21}^{2}L}{4E} - 4(1 - |U_{\alpha 3}|^{2})|U_{\alpha 3}|^{2}\sin^{2}\frac{\Delta m_{31}^{2}L}{4E}$$
$$P_{\nu_{\alpha} \to \nu_{\beta}} \cong -4(U_{\alpha 1}U_{\beta 1}U_{\alpha 2}^{*}U_{\beta 2}^{*})\sin^{2}\frac{\Delta m_{21}^{2}L}{4E} + 4|U_{\alpha 3}|^{2}|U_{\beta 3}|^{2}\sin^{2}\frac{\Delta m_{31}^{2}L}{4E}$$

$$(\Delta m_{21}^2 = \Delta m_{sol}^2 \ll |\Delta m_{atm}^2| = |\Delta m_{31}^2| \cong |\Delta m_{32}^2|)$$

This hierarchy & small θ_{13} lead to two-neutrino oscillation approximation for many experiments.

• v_e survival (appearance) probability

 $|U_{e3}|^2 \ll |U_{e1}|^2, |U_{e2}|^2 \implies |U_{e1}|^2 \simeq \cos \vartheta_{12}, |U_{e2}|^2 \simeq \sin \vartheta_{12}$

$$P_{\nu_e \to \nu_e} = 1 - \sin^2 2\vartheta_{12} \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E}\right)$$



It is decoupled from atmospheric v osc. so, good at probing solar neutrinos

In the case that $|\Delta m^2_{21}| \ll |\Delta m^2_{31}| \cong |\Delta m^2_{32}|$

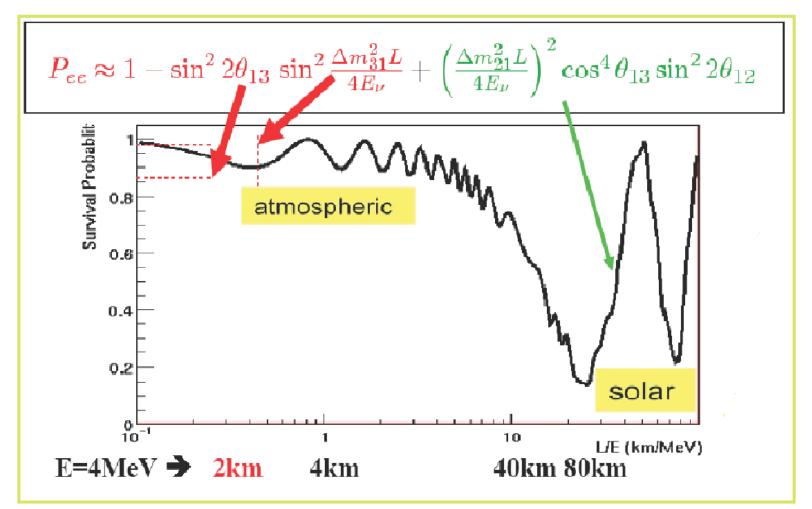
$$P_{\nu_{\alpha} \to \nu_{\beta}} = \delta_{\alpha\beta} - 4|U_{\alpha3}|^2 \left(\delta_{\alpha\beta} - |U_{\beta3}|^2\right) \sin^2 \frac{\Delta m_{31}^2 L}{4E}$$

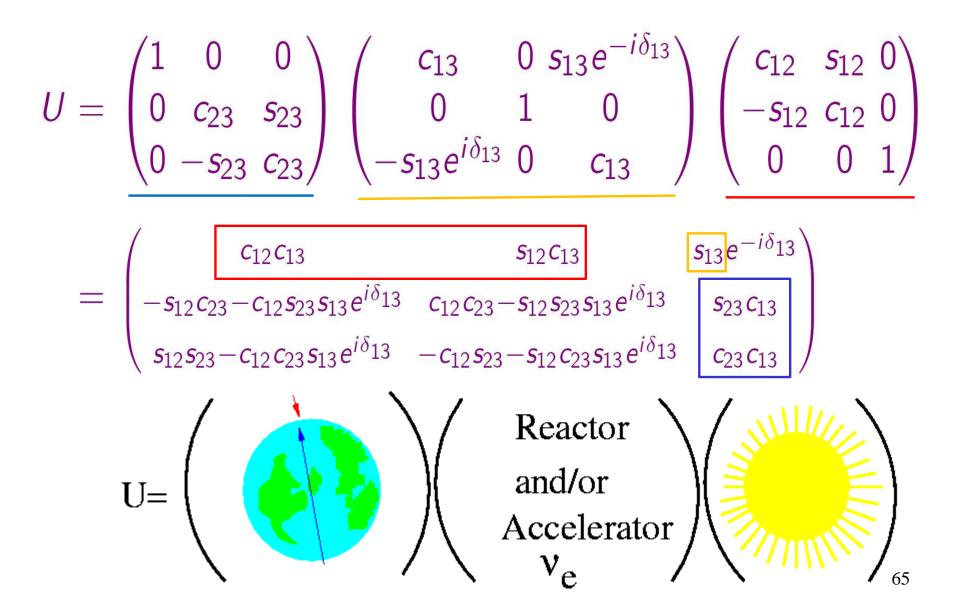
$$\alpha \neq \beta \implies P_{\nu_{\alpha} \to \nu_{\beta}} = 4|U_{\alpha3}|^2|U_{\beta3}|^2\sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right)$$

$$\alpha = \beta \implies P_{\nu_{\alpha} \to \nu_{\alpha}} = 1 - 4|U_{\alpha3}|^2\left(1 - |U_{\alpha3}|^2\right)\sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right)$$

relevant to atmospheric & SBL reactor exp.

Oscillations for Short & Medium Baseline Reactor neutrinos





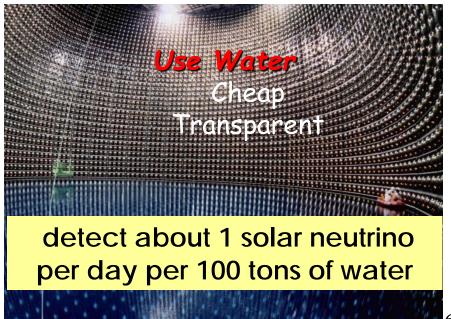
- Neutrinos don't interact with light, so can't directly be seen.
- But signatures are produced via weak interactions → large volume of detector is required to capture significant numbers of neutrino events

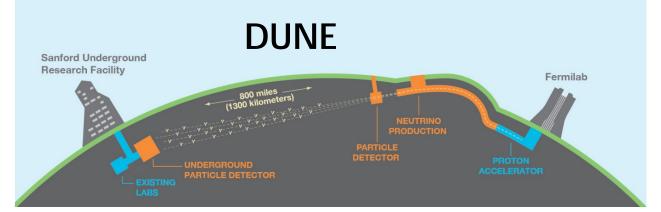
Neutrino detectors

22,500 tons of water observing atmospheric neutrinos

300 events/yr

(ex) Super Kamiokande





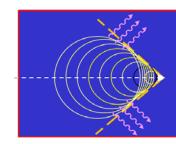
Use ~40 kt liquid Ar

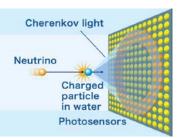
RENO

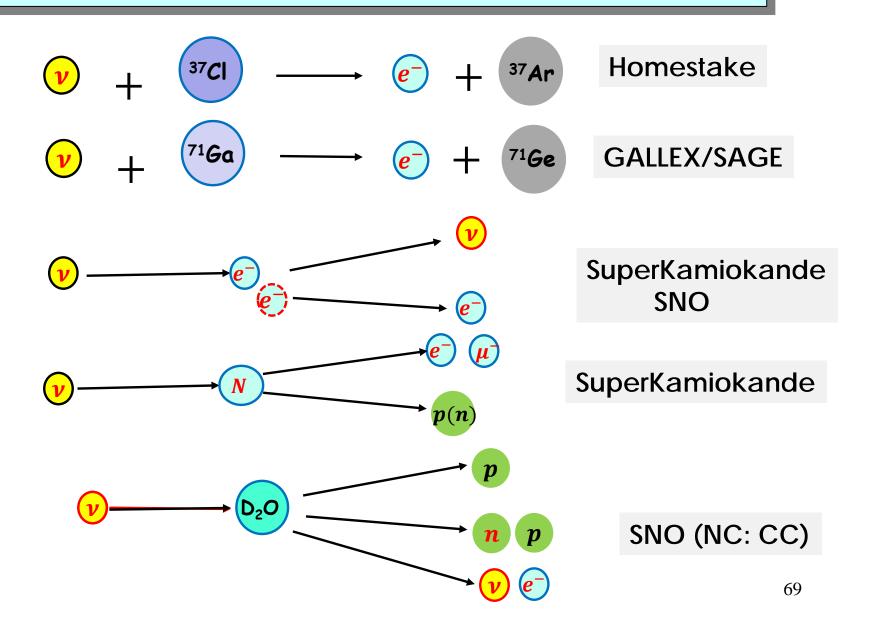




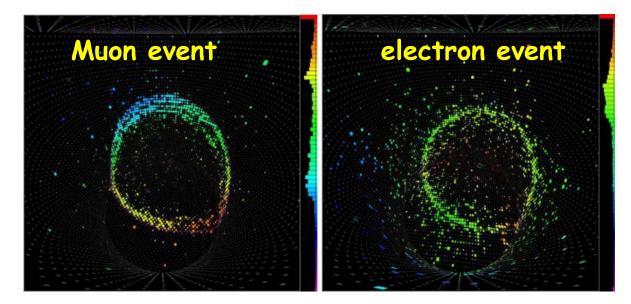
- Liquid Scintillators : first designed by Reines & Cowan to detect $\overline{\nu}_e$ from reactor by observing signals of radiation striking the scintillator (KamLAND, Borexino, NovA, SNO+)
- Radiochemical detectors : filled with radiochemical materials(³⁷ CI, ⁷¹ Ga) where neutrinos convert them into others (unstable isotopes) (Homestake, GALLEX/SAGE)
- Cherenkov detectors : filled with (heavy)water or oil to detect Cherenkov light produced whenever charged particles move through medium faster than the speed of light. (Super-K, SNO, IceCube, AMANDA etc.)

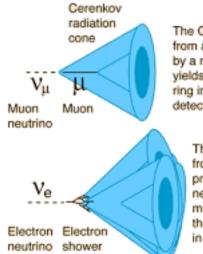






Cherenkov Radiations



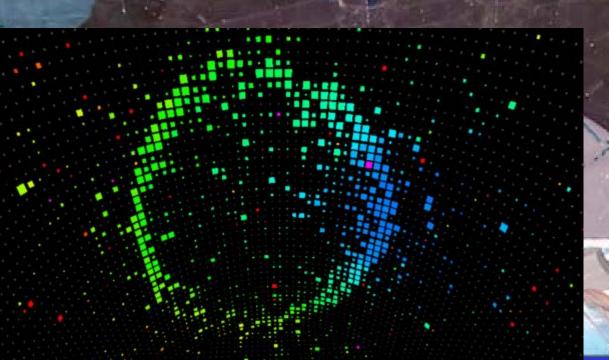


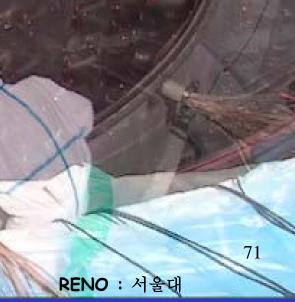
The Cerenkov radiation from a muon produced by a muon neutrino event yields a well defined circular ring in the photomultiplier detector bank.

The Cerenkov radiation from the electron shower produced by an electron neutrino event produces multiple cones and therefore a diffuse ring in the detector array.

중성미자 검출시설 내부

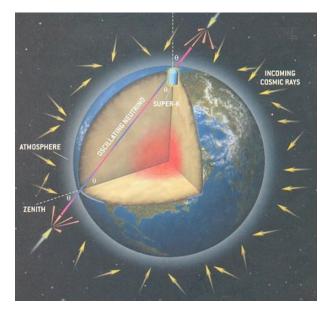
Neutrino Oscillation Experiments

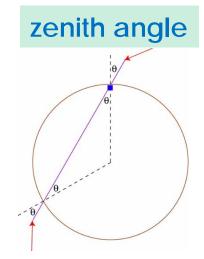




Cosmic Ray π, Κ μ е νve \mathbf{v}_{μ}

Atmospheric Neutrinos

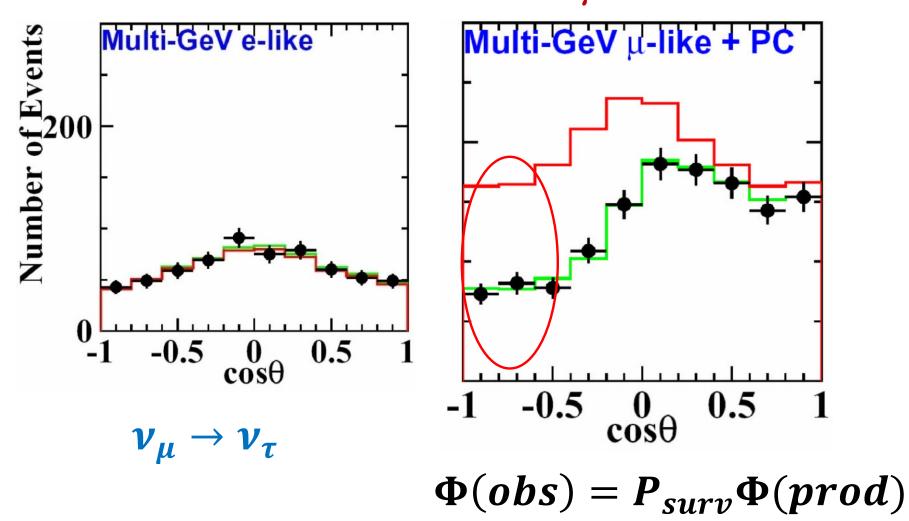


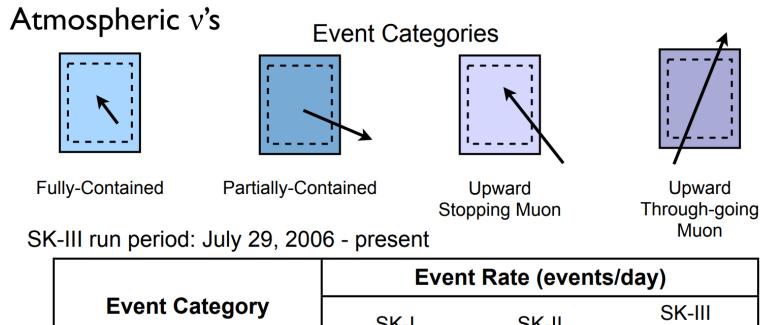


$$R_{\mu/e} = \frac{N_{\nu_{\mu}} + N_{\bar{\nu}_{\mu}}}{N_{\nu_{e}} + N_{\bar{\nu}_{e}}} \sim 2$$

A deficit was observed in the ratio μ/e events : Saudan 2, IBM, Kamiokande 72

A half of upward going V_{μ} lost !!

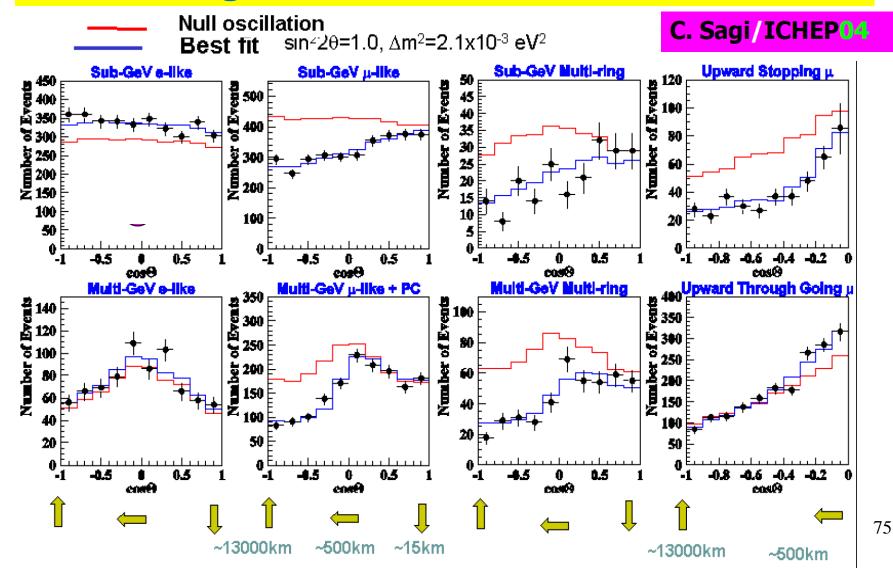


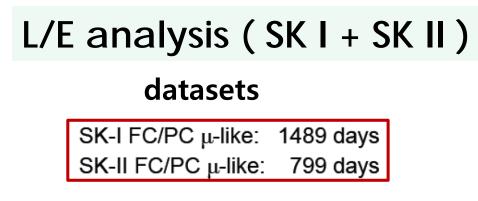


Event Category			
	SK-I	SK-II	SK-III (Preliminary)
Fully Contained (FC)	8.18 ± 0.07	8.22 ± 0.10	8.31 ± 0.22
Partially Contained (PC)	0.61 ± 0.02	0.54 ± 0.03	0.57 ± 0.06
Upward-stopping μ (Upstop)	0.25 ± 0.01	0.28 ± 0.02	0.24 ± 0.03
Upward-thrugoing μ (Upthru)	1.12 ± 0.03	1.07 ± 0.04	1.11 ± 0.06

Event rates consistent across all phases of SK

Zenith angle distributions (SuperKamiokande)

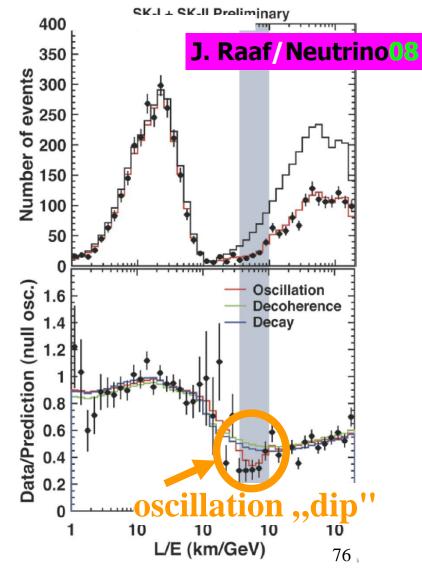


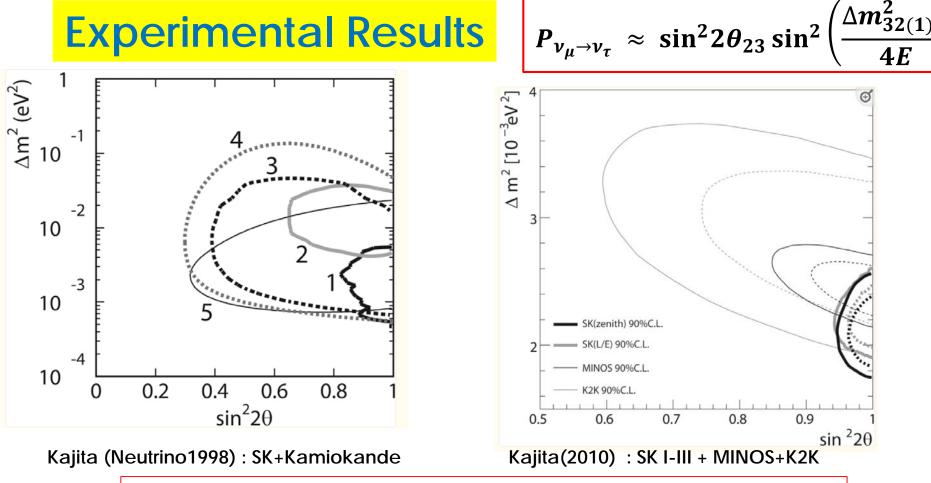


- Use only event categories with good L/E resolution:
 - Partially-contained muons
 - Fully-contained muons

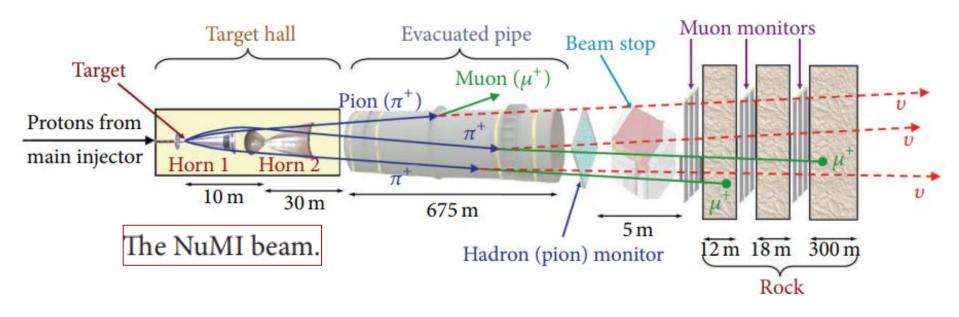
oscillation, decay and decoherence models tested

Rejecting alternatives to oscillation





Evidence for the existence of neutrino masses and mixing



- Making intense neutrino beams using particle accelerators.
- Neutrinos produced in accelerators are typically ν_{μ}
- Neutrino beams can be used for SBL or LBL ν detectors

SBL (short-based line) Experiments

- Sit close to the source of neutrinos, so the beam is very concentrated when it reaches the detector.
 (much higher number of neutrino interactions with a very pure neutrino beam.)
- Good for characterizing the beam and learning about the neutrinos before they oscillate
- Good place to hunt for sterile neutrinos and see how neutrinos interact with other particles.
- LSND, MiniBooNE, MicroBooNE etc.

LBL (Long-based line) Experiments

- Focus on the oscillations while traveling great distances through Earth.
- Neutrinos have a lot of chances to interact with matter and sufficient distance to change flavors
- Good place to figure out mass ordering & CPV
- MINOS, T2K, NovA, DUNE, etc.

LBL (Long-based line) Experiments

• For $\nu_{\mu}(\bar{\nu}_{\mu})$ disappearance observations

$$P_{\nu_{\mu} \to \nu_{\mu}} \approx 1 - (\cos^4 \theta_{13} \sin^2 2\theta_{23} + \sin^2 2\theta_{13} \sin^2 \theta_{23}) \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E}\right)$$

• For $v_e(\bar{v}_e)$ appearance observations

$$P_{\nu_{\mu} \to \nu_{e}} \approx \sin^{2} 2\theta_{23} \sin^{2} 2\theta_{13} \sin^{2} \left(\frac{\Delta m_{32}^{2} L}{4E}\right)$$

• But , matter effects are not negligible in LBL exp.

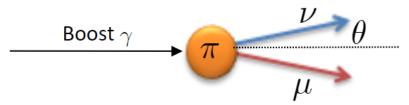
$$P_{matt\pm}[\nu_{\mu}(\bar{\nu}_{\mu}) \rightarrow \nu_{e}(\bar{\nu}_{e})] = \pm \cos 2\theta_{13} \sin^{2} 2\theta_{13} s_{23}^{2} \left(\frac{2Ea(x)}{\Delta m_{13}^{2}}\right) \sin^{2} \left(\frac{\Delta m_{13}^{2}L}{4E}\right)$$
$$\mp \frac{a(x)L}{4} \sin^{2} 2\theta_{13} \cos 2\theta_{13} s_{23}^{2} \sin \left(\frac{\Delta m_{13}^{2}L}{2E}\right), \quad 81$$

On vs. Off-axis neutrino beam

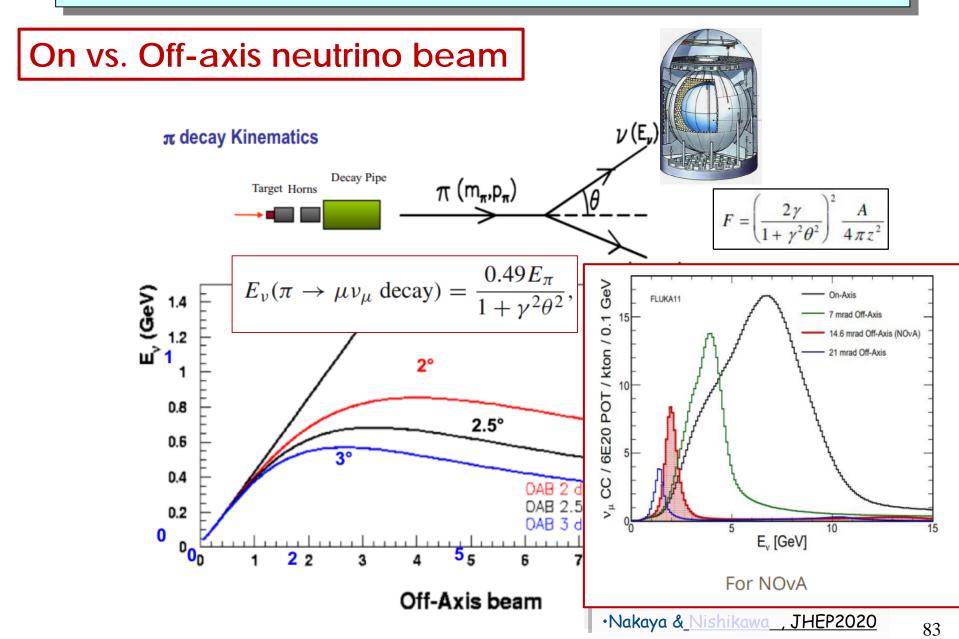
In the pion rest frame the kinematics are all completely determined for the decay

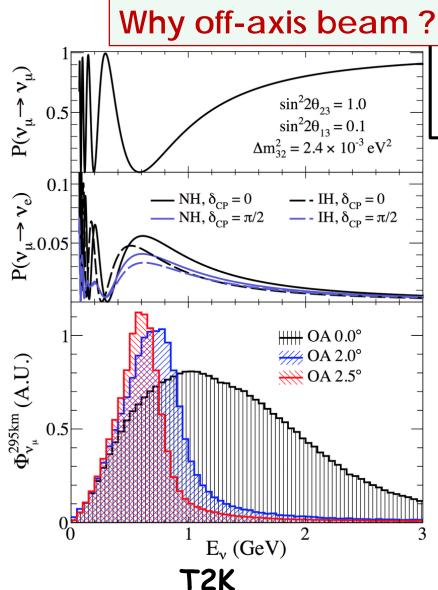


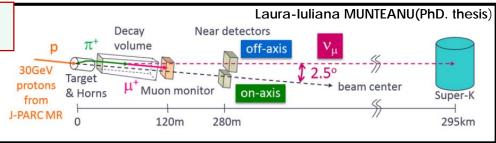
When we boost into the lab frame, neutrino energy depends on the angle relative to the boost direction



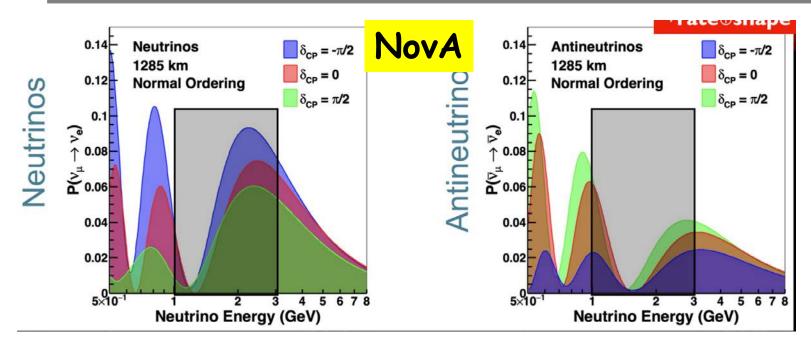
This ends up projecting neutrino E spectrum down till it's almost flat

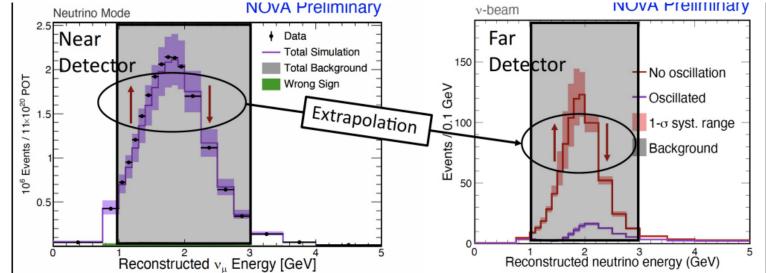




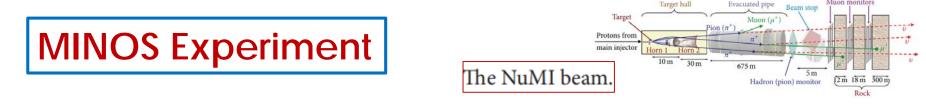


- Off-axis method provides narrow E_{ν} band peaking at a specific E.
- The off-axis angle is adjusted to maximize $P_{\alpha\beta}$ (oscillation maximum)
- Low background, few high *E* events
- Measure oscillations at a single L/E
- Essential for high precision of mixing angle 84



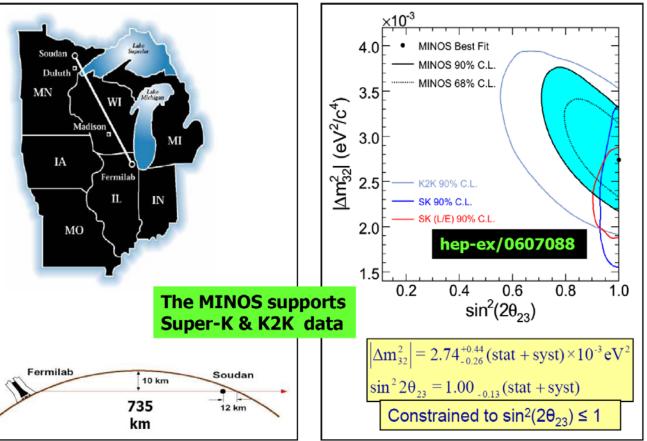


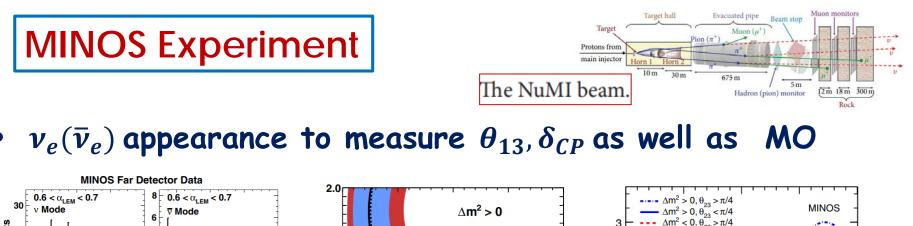
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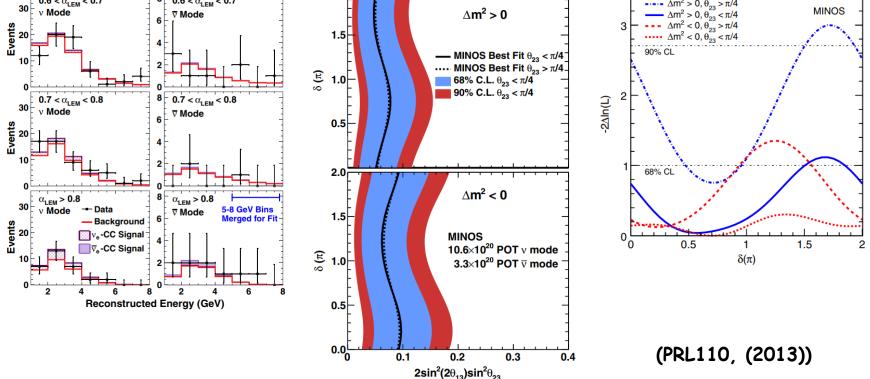


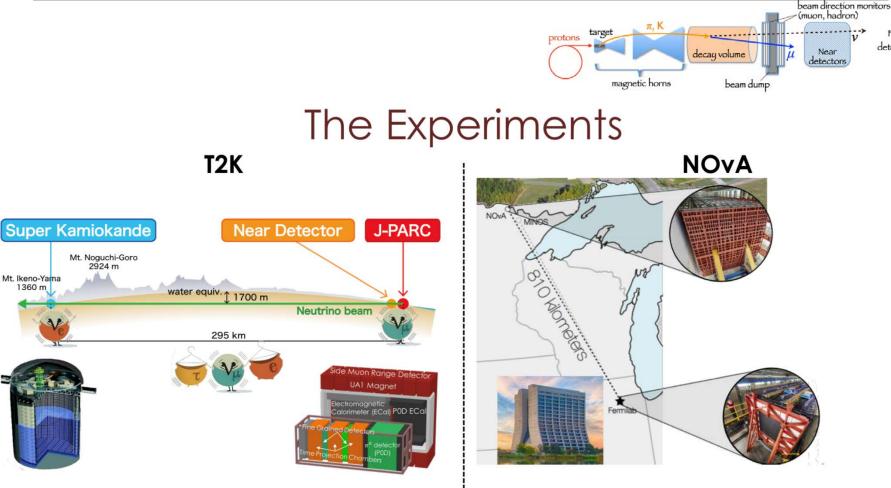
 ν_{μ} disappearance to probe atmospheric oscillation regime

-Neutrinos produced by NuMI beamline -2 detectors : Near : close to beamline Far (larger) : 735 km away









- Baseline: 295 km .
- Peak E_{ν} : ~0.6 GeV (off-axis) ٠
- Near detector: ND280 (~2 T C/O targets, TPC tracking, magnetised) ٠
- Far detector: Super-K, 50 kT, Water-Cherenkov
- Baseline: 810 km
- Peak E_{ν} : ~2 GeV (off-axis)
- Near detector: Scintillator tracker (300 T)
- Far detector: Scintillator tracker (14 kT) ٠

Far

detector

Near

detectors

T2K (Tokai-to-Kamioka) experiment



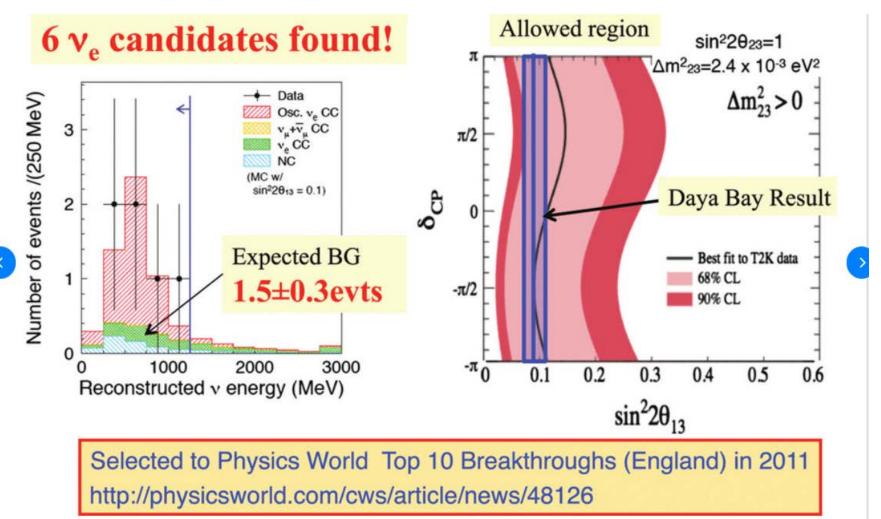
T2K Main Goals:

arXiv:1106.2822 [hep-ex] 14 June 2011 Hint for unsuppressed theta(13) !

\star Discovery of $v_{\mu} \rightarrow v_{e}$ oscillation (v_{e} appearance)

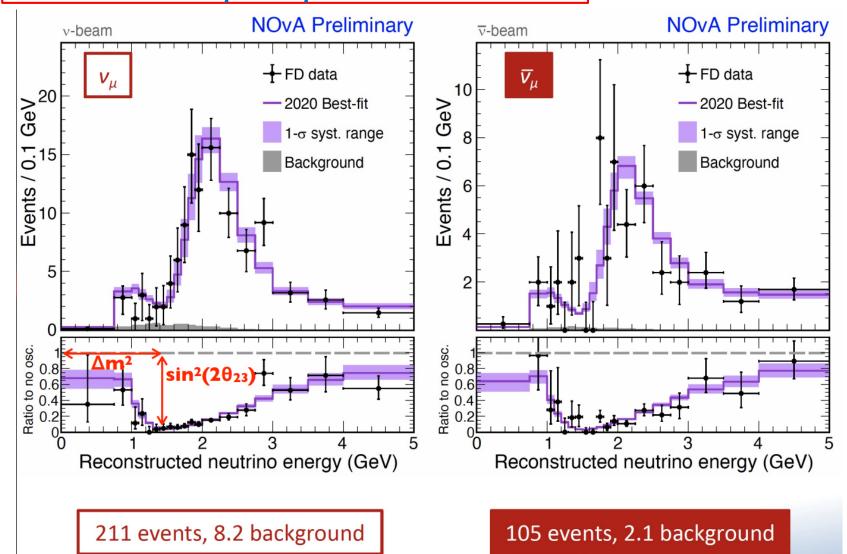
 \star Precision measurement of v_{μ} disappearance

• First observation of v_e appearance

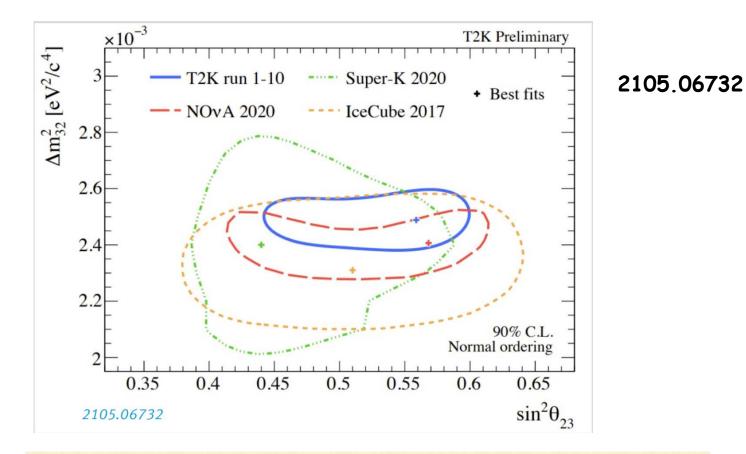


T2K result for electron neutrino appearance.

Search for $\nu_{\mu} \& \bar{\nu}_{\mu}$ disappearance



Experimetal Results



• Δm_{32}^2 -vs-sin² θ_{23} : at 90% CL, θ_{23} contours overlap. T2K and NOVA favour upper octant while Super-K prefers lower