

Search for heavy resonances decaying to ZZ or ZW and axion-like particles mediating nonresonant ZZ or ZH production at $\sqrt{s} = 13$ TeV

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The CMS Collaboration

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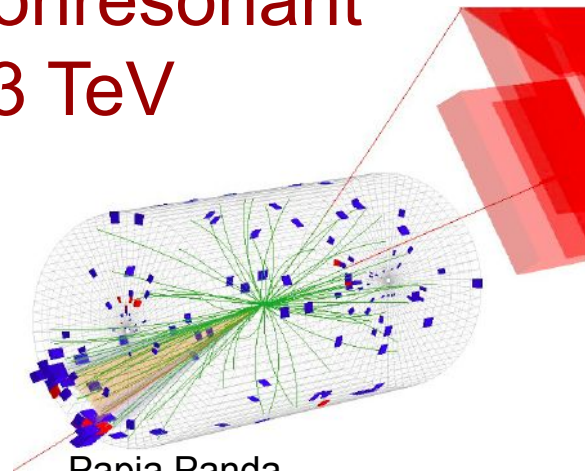
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Pyeongchang, SOUTH KOREA

Introduction

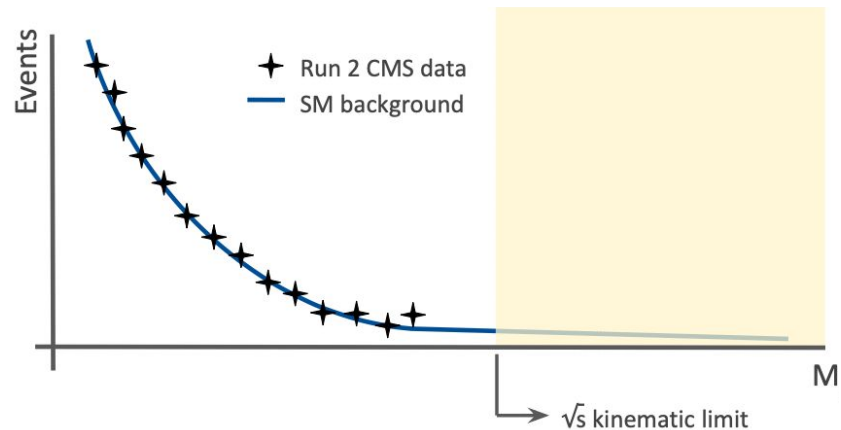
- SM extensions predict additional heavy gauge bosons, or deviations in the variables of the $2l2q$ system.
- Search for new physics in **diboson** ZZ / ZW / ZH events with **2 leptons and 2 quarks** final states.
- Using pp collision, at $\sqrt{s} = 13$ TeV, Run 2 ($L = 138 \text{ fb}^{-1}$) dataset recorded by CMS experiment.

Analysis strategy:

- Search from deviations w.r.t. the **SM prediction**.
- Discriminant variable: invariant mass of the $2l2j$ system.
- Both resonant and non-resonant **deviations**.
- If no deviation is found, upper limit on the σ will be set.

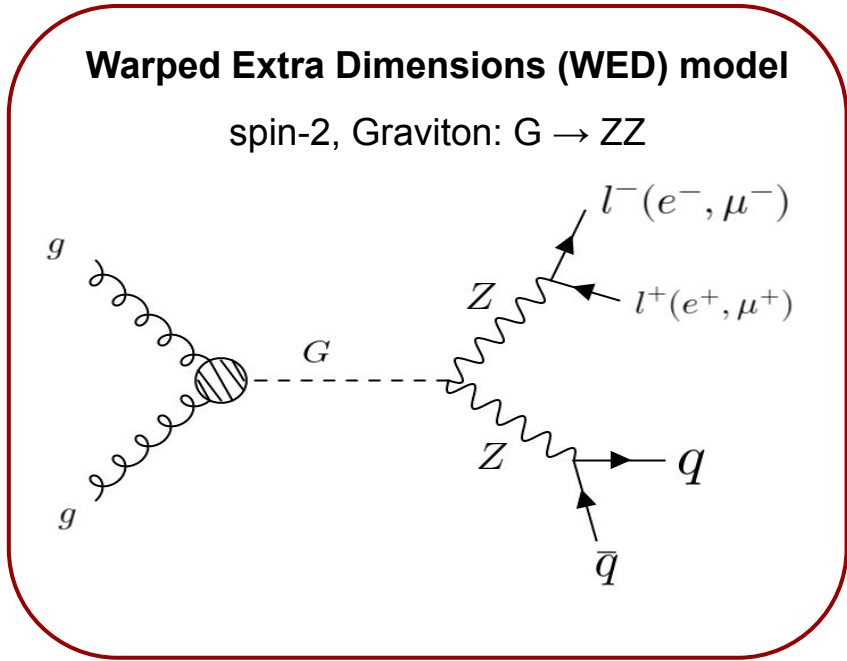
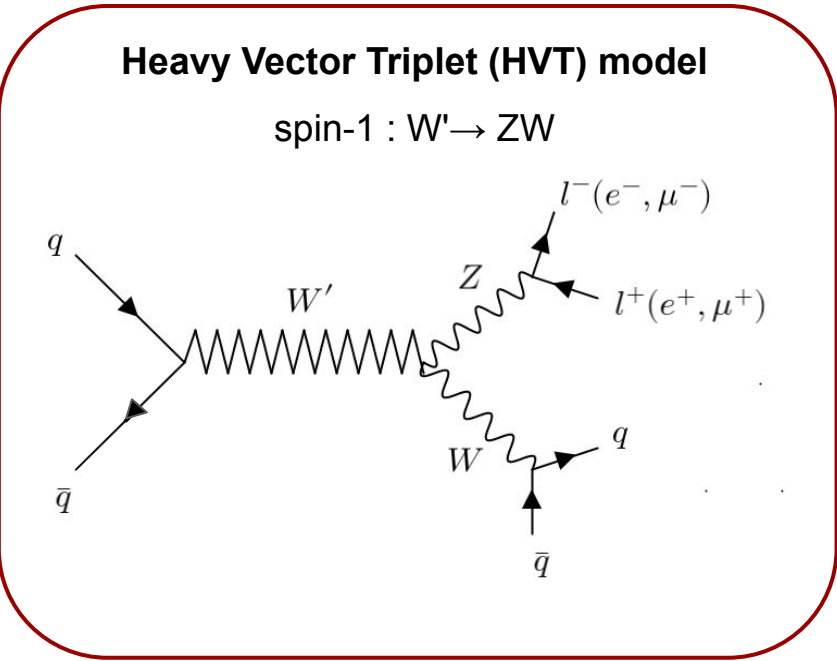
What's new?:

- First search for the Axion-Like Particles (ALP) mediated ZZ / ZH production at the LHC.



Models

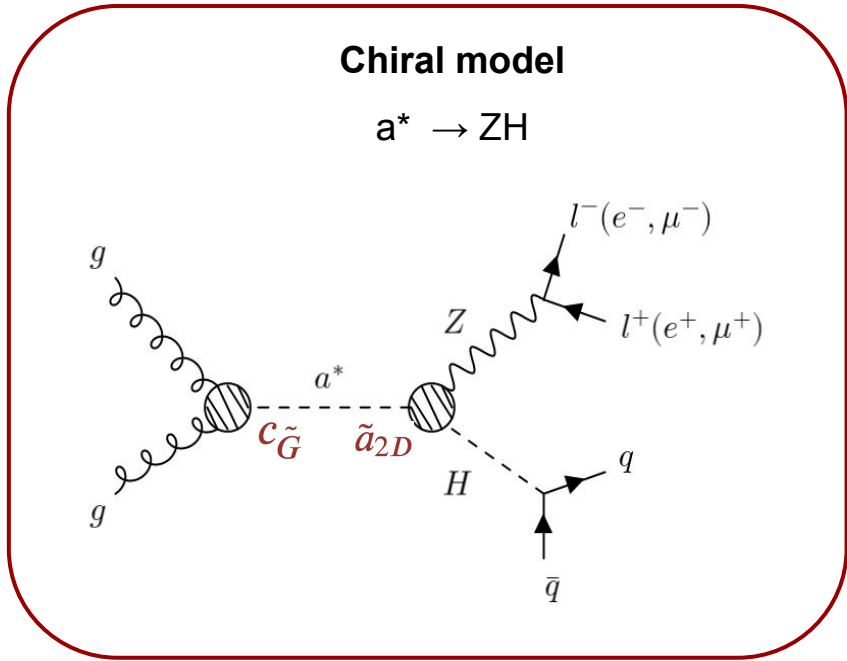
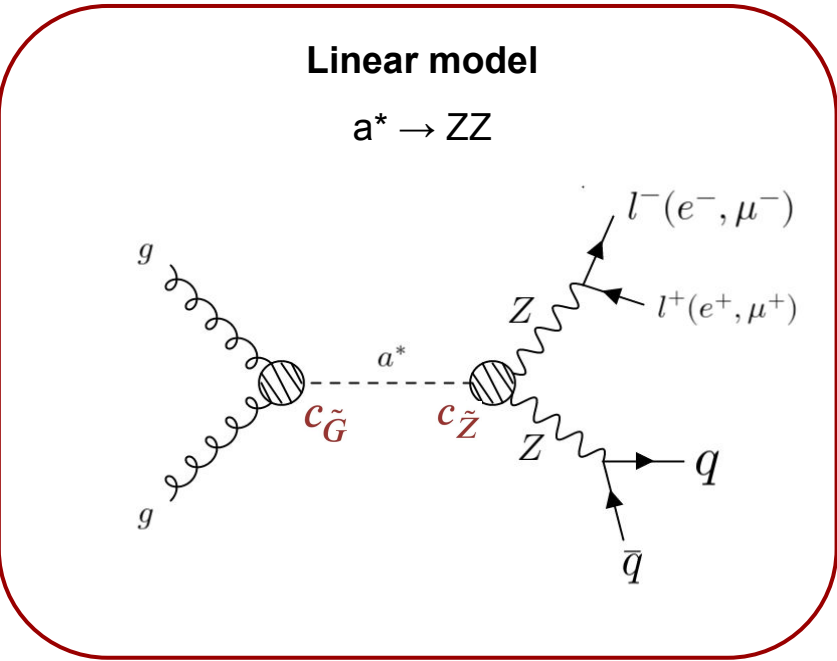
→ Resonant models:



→ Resonance mass range: [450, 2000] GeV

Models

→ Non-resonant models: axion-like particles (ALP) neutral pseudo-scalar boson as mediators.



Sensitive to 2-dimensional parameter space: the couplings $|c_{\tilde{G}} c_{\tilde{Z}}|$ $|c_{\tilde{G}} \tilde{a}_{2D}|$ and the scale of new physics f_a .

Signal simulation

Simulated with Madgraph at LO

- **Gravitons (WED):** $m(G) = 450 - 2000$ GeV and curvature parameter κ of WED metric
Bulk graviton production cross sections, etc. are taken from [[1404.0102](#)]

- **W' bosons (HVT):** $m(W') = 450 - 2000$ GeV
W' production cross sections, widths, branching fractions etc. are taken from [[JHEP 2009 20\(2014\) 20060](#)]
 - Model A (gauge $SU_1(2) \times SU_2(2) \times U_Y(1)$) with coupling strength $g_V=1$
 - Model B (minimal composite Higgs model $SO(5) \rightarrow SO(4)$) with coupling strength $g_V=3$

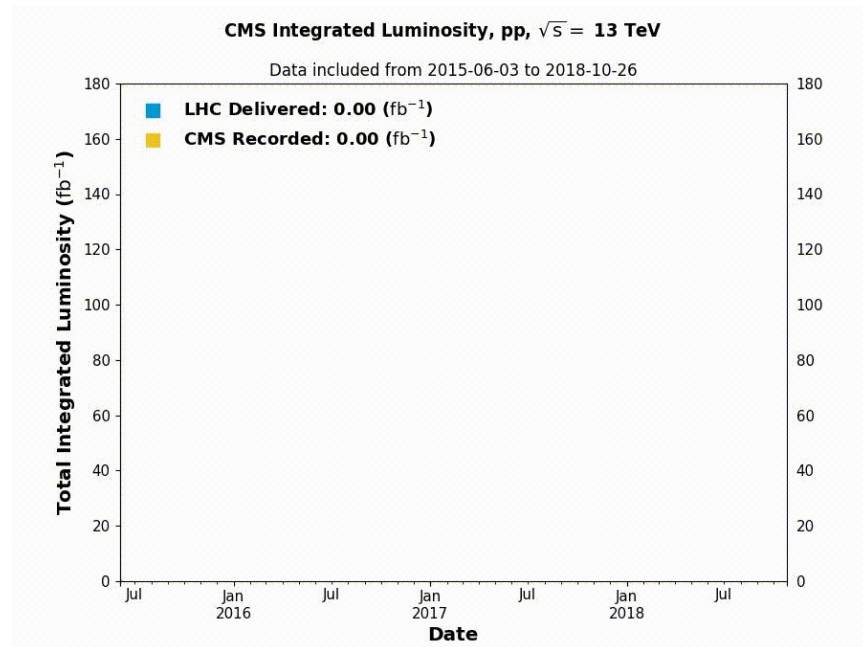
- **ALPs (non-resonance):** $m(a^*) = 1$ MeV, f_a (new physics energy scale), derivative coupling
 - Linear EFT: $c_{\tilde{G}}/f_a = c_{\tilde{Z}}/f_a = 1 \text{ TeV}^{-1}$ (coupling for a-g-g and a-Z-Z)
 - Chiral EFT: $c_{\tilde{G}}/f_a = \tilde{a}_{2D}/f_a = 1 \text{ TeV}^{-1}$ (coupling for a-g-g and a-Z-H)

Data and simulation

→ **Data:** proton-proton collisions recorded by CMS during Run2 (2016-2018), $L = 138 \text{ fb}^{-1}$

→ **Simulation:**

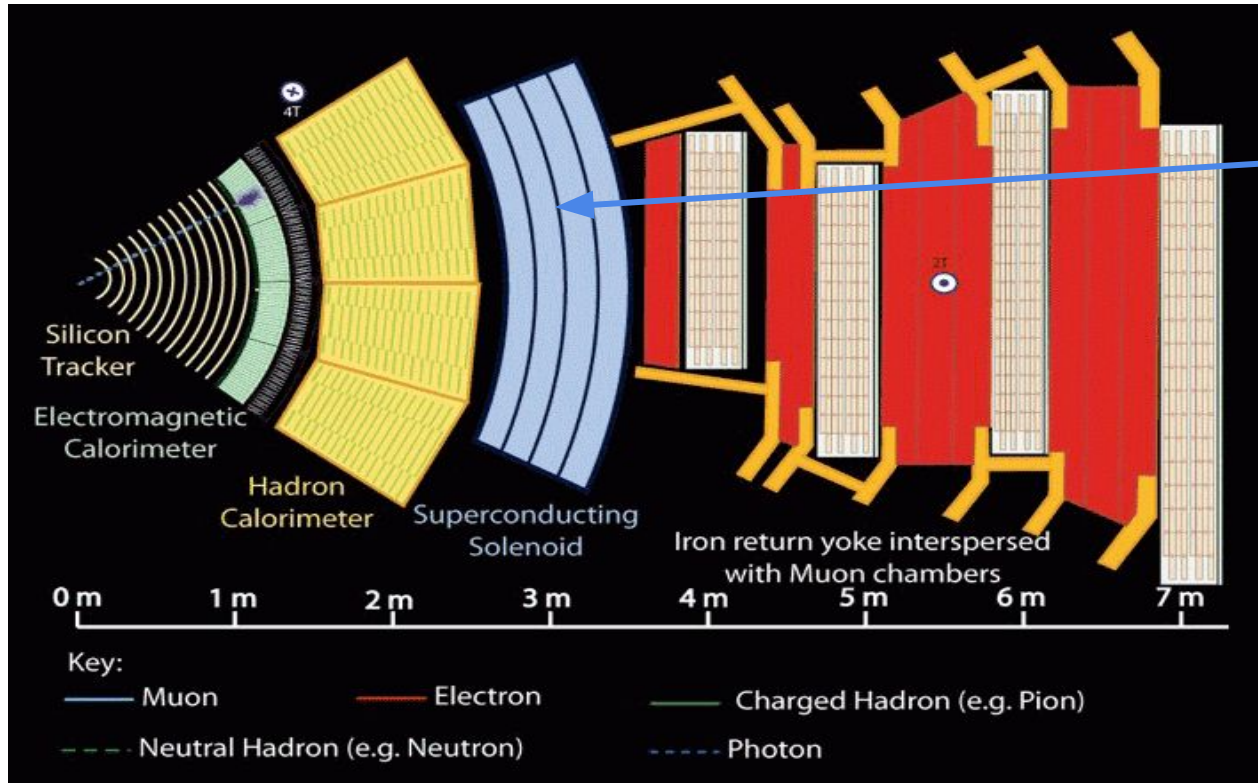
- Madgraph simulator
 - i. Z+jets at LO and NLO
 - ii. ZZ, ZW, ZH at NLO
 - iii. Signal at LO
- Parton showering and Hadronization: PYTHIA8
- PDF: NNPDF3.0 (2016) and NNPDF3.1 (2017, 2018, ALP)
- Detector simulation: GEANT4



CMS detector

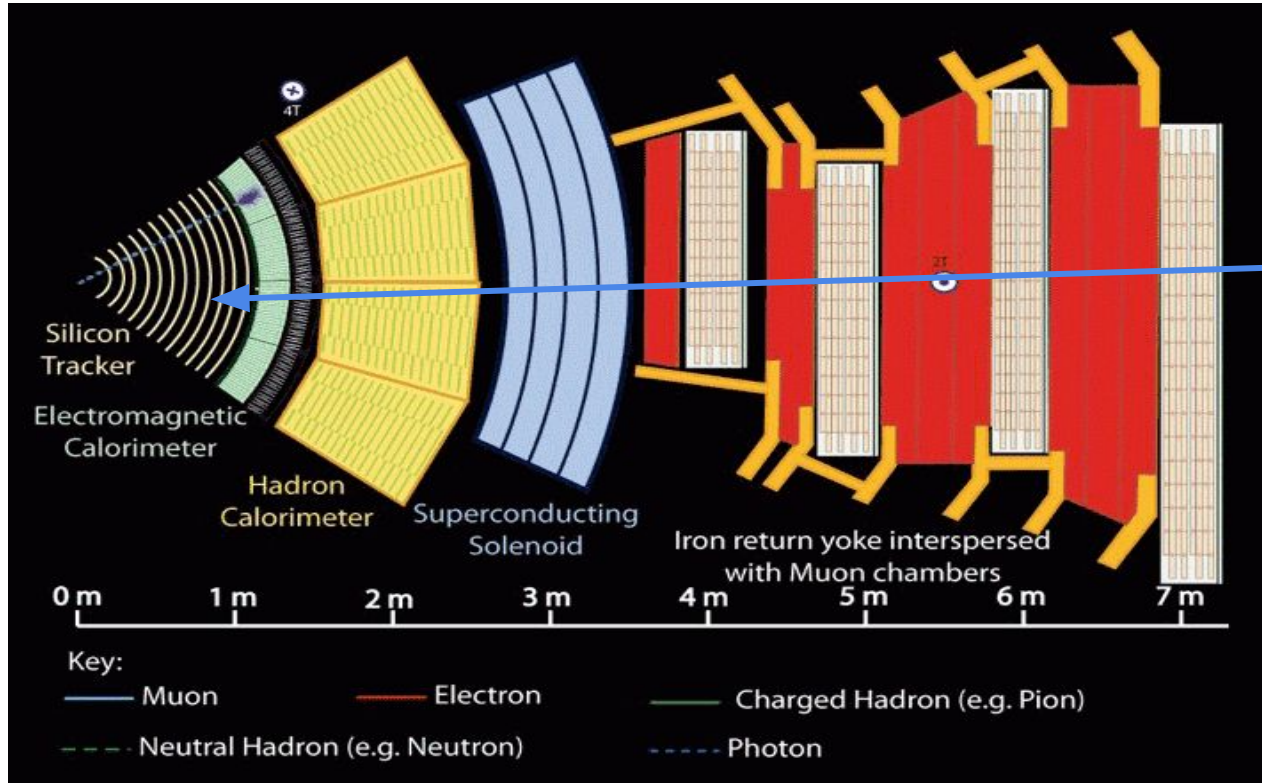


COMPONENTS OF THE DETECTOR



- **3.8T Solenoid Magnet:** bending particles
- **Silicon Tracker:** measurement of the momentum of charged particles
- **ECAL and HCAL:** Measurement of the energy for electron and hadrons
- **Muon Chambers (DTs, CSCs, RPCs):** measurement of the muon momentum

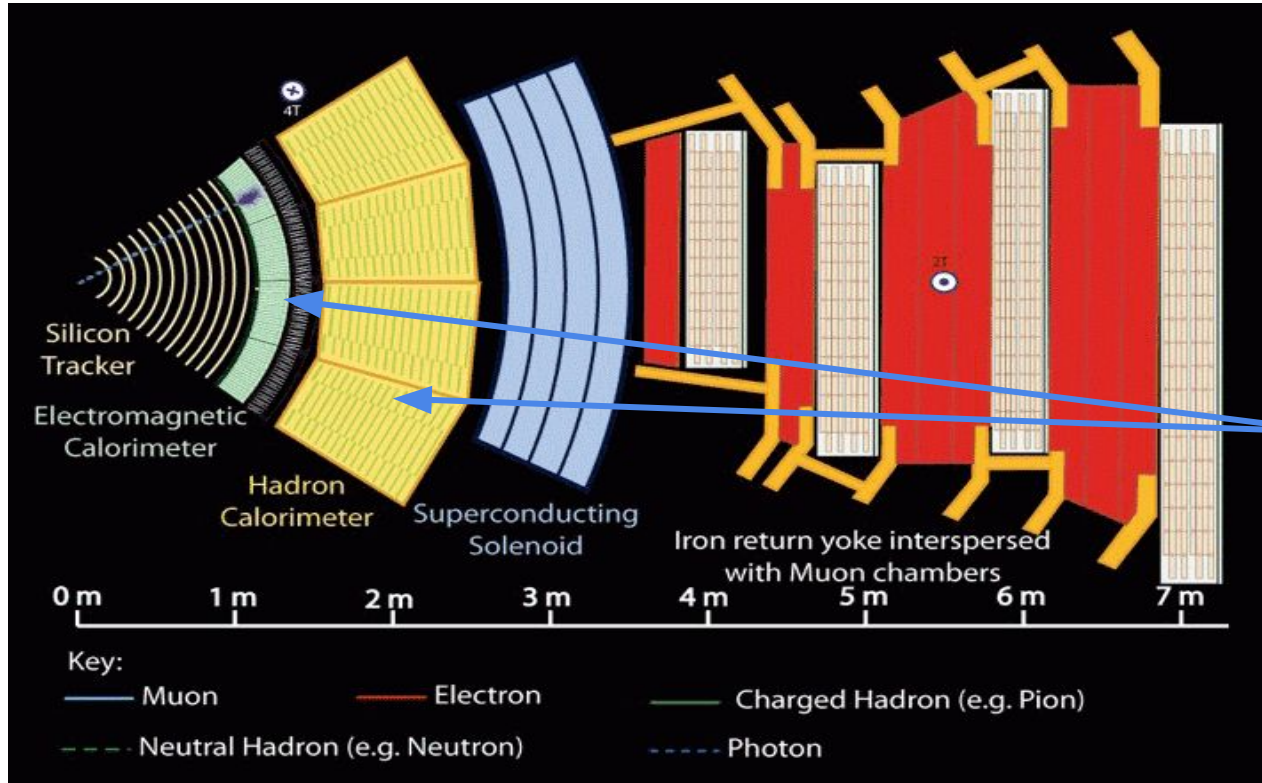
CMS detector



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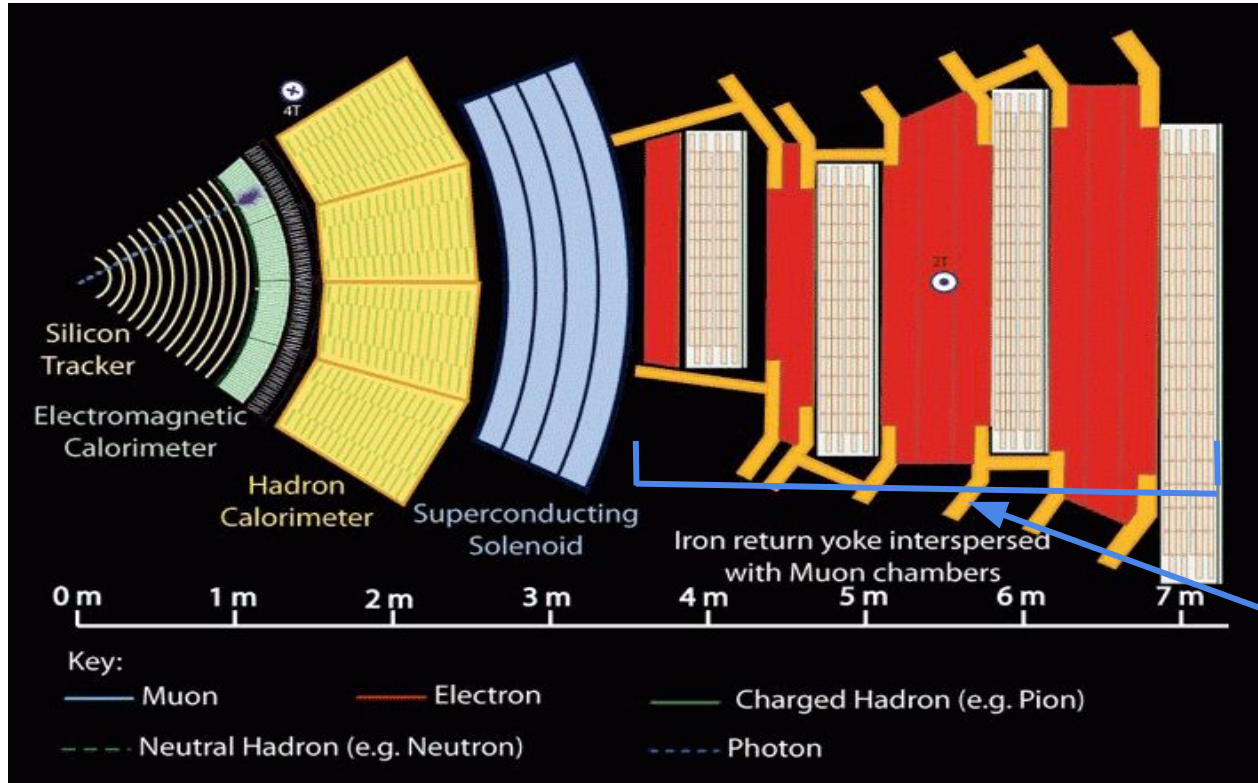
CMS detector



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CMS detector

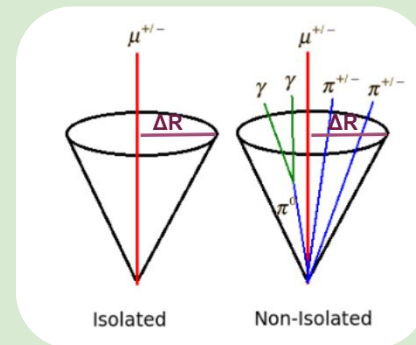


COMPONENTS OF THE DETECTOR

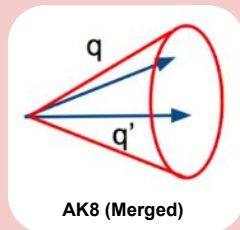
- **3.8T Solenoid Magnet:** bending particles
- **Silicon Tracker:** measurement of the momentum of charged particles
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LEPTONS

	p_T resolution	Isolation
Electron	1.7 - 4.5%	$\Delta R = 0.3$
Muon	1 - 3% up to $p_T = 100$ GeV, < 7% up to $p_T = 1$ TeV	$\Delta R = 0.4$



JETS



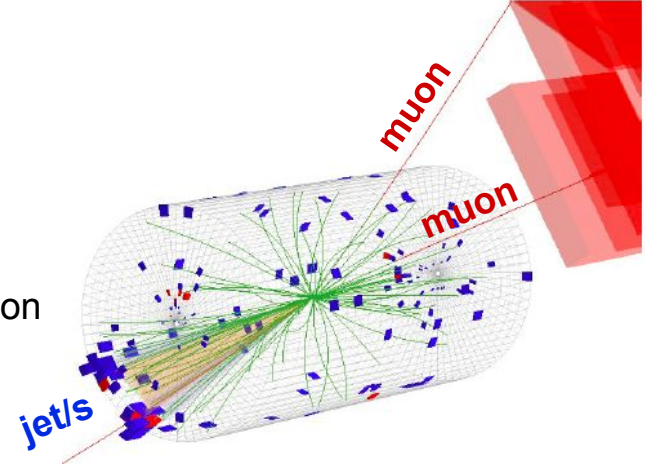
- Anti-kT jet clustering
- Pileup rejection (PUPPI)
- Cleaning/grooming (softdrop)
- Quark/gluon AK8 jets rejected using subjettness variable τ_{21}

p_T resolution	Isolation from leptons	b-tagging
5-10%	$\Delta R > 0.8$ (0.4) for AK8 (AK4) jets	NN (DeepCSV) → Loose (medium) → 84 (64) % efficiency

Event Selection

→ Trigger selection:

- Electron: [$p_T > 27$ (32) GeV for the 2016 (2017 and 2018) sample; tight identification] OR [electron $p_T > 115$ GeV; no isolation]
- Muon: $p_T > 24$ (27) GeV for 2016 and 2018 (2017); tight identification and loose isolation



→ Leptonic Z reconstruction:

- $p_{T(l)} > 40$ GeV, $p_{T(l\prime)} > 150$ GeV (resolved) and $p_{T(l\prime)} > 200$ GeV (boosted), $76 < m(l\prime) < 106$ GeV

→ Boosted W / Z / H [AK8(J)]:

- $p_{T(l\prime)} > 200$ GeV; $p_{T(J)} > 200$ GeV
- $m_{SD}(J) > 30$ GeV
- $\tau_{21} < 0.40$ (0.45) in 2016 (2017 and 2018)
- Tight ID

→ Resolved W / Z / H [AK4(j)]:

- veto boosted
- dijet combination with $m(jj) > 30$ GeV
- $p_{T(l\prime)} > 150$ GeV, $p_{T(jj)} > 150$ GeV
- $\Delta R(jj) < 1.5$; PU-beta > 0.2
- Tight ID

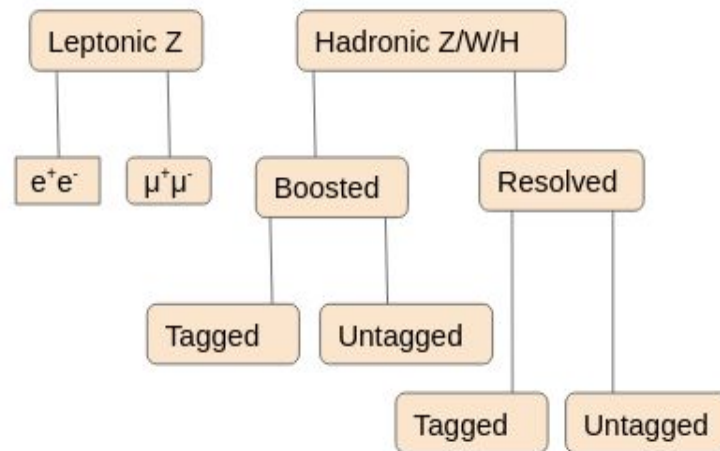
Event Categorization

To increase the sensitivity to the signal, events are split into **8 categories** by combining:

- electrons/muons
- boosted/resolved
- tagged/untagged

Each category is further split into **3 regions**:

- SR1: signal region sensitive to ZV
- SR2: signal region sensitive to ZH
- SB: background enriched sideband region

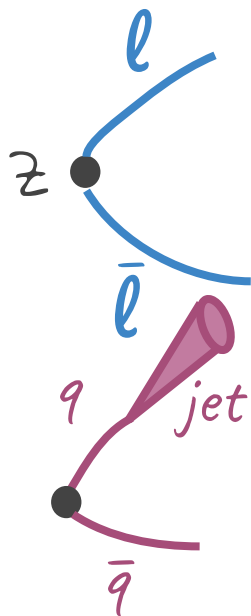


	Boosted	Resolved
SR1	$65 < m_{SD}(J) < 105 \text{ GeV}$	$65 < m(jj) < 110 \text{ GeV}$
SR2	$95 < m_{SD}(J) < 135 \text{ GeV}$	$95 < m(jj) < 135 \text{ GeV}$
SB	$30 < m(jj) < 65 \text{ GeV} \&$ $135 < m(jj) < 300 \text{ GeV}$	$30 < m(jj) < 65 \text{ GeV} \&$ $135 < m(jj) < 180 \text{ GeV}$

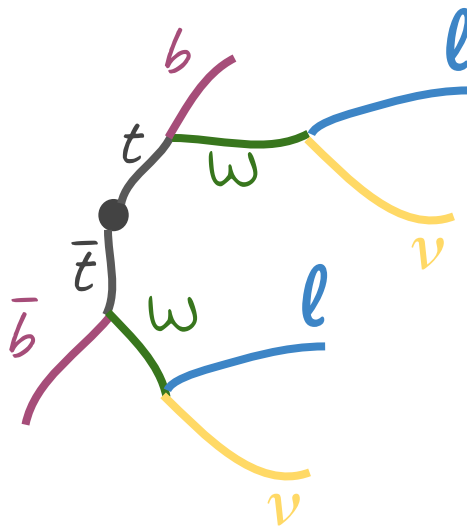
Background estimation

Background = processes whose final state is the same or can be mistaken as that of the signal (dilepton+dijet)

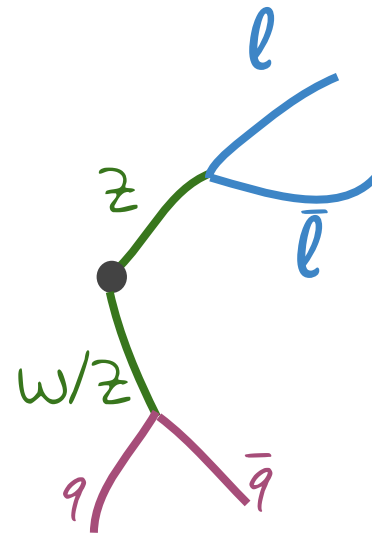
Z + jets



t + X



SM ZV



Background estimation

Z + jets (dominant)

- Linear fit of the m_{ZX} shape in each SB category to match MC to data within uncertainties:

$$\text{corr}(m_{ZX}, s) = 1 + s(m_{ZX} - 500 \text{ GeV}) / (500 \text{ GeV})$$

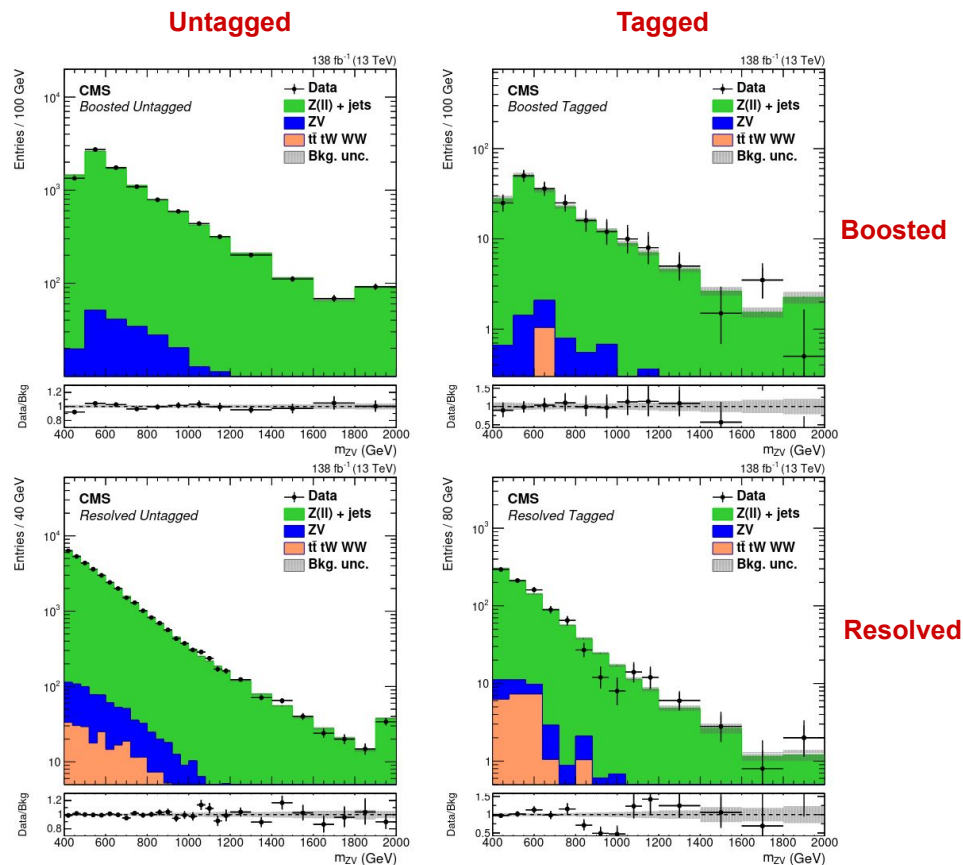
SM ZV: ZZ and ZW with Z→ll (3-20%)

- Estimated from MC simulation

t + X: tt, tW, WW, Z→ττ, fakes (4%)

- Lepton flavor symmetric backgrounds determined from eμ data using a top quark-enriched control region

m_{ZV} distributions:



Systematics

The systematic uncertainties influence both the **normalization** and **shape** of the background and signal.

Dominant effect: **background shape correction** uncertainty.

Quantized by calculating the change on the fitted signal cross section when a given parameter is displaced by ± 1 std from its post-fit value.

Fraction of signal σ total uncertainty (%)	Boosted		Resolved	
	Untagged	Tagged	Untagged	Tagged
Bulk graviton	11	13	3	3
ALP linear ZZ	42	42	16	16
ALP chiral ZH	9	44	7	23

Systematics:

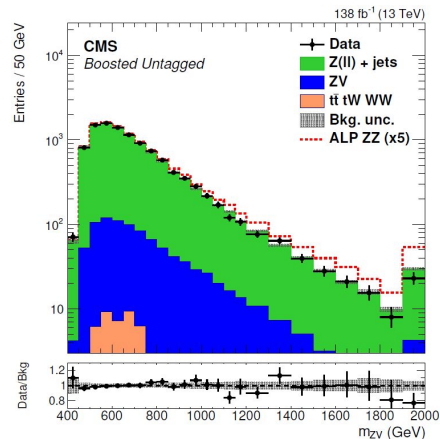
Background and signal **normalization** uncertainties (%)

Source	Boosted		Resolved	
	Background	Signal	Background	Signal
Integrated luminosity	1.8		1.8	
Electron trigger and ident.	2.0		2.0	
Muon trigger and ident.	1.5		1.5	
Electron energy scale	0.8	<0.1–0.2	0.9	<0.1
Muon momentum scale	0.5	<0.1–0.1	0.6	<0.1
Jet energy scale	1.0	<0.1–0.1	2.8	0.1–1.9
Jet energy resolution	0.3	<0.1–0.3	0.3	1.0
V/H identification (τ_{21})	5 (ZV)	5	—	—
V/H identification (extrap.)	—	2.6–6.0	—	—
V/H mass scale	0.6 (ZV)	0.4–0.8	—	—
V/H mass resolution	5.0 (ZV)	5.0–6.0	—	—
b tag SF, untagged	0.1	1.0–7.4	0.1	0.7–2.2
b tag SF, tagged	12	12	3.6	4
Mistag SF, untagged	0.3	<0.1–0.2	0.2	0.1
Mistag SF, tagged	3.5	0.1–0.3	3.8	0.4–1.0
SM ZV production	12	—	12	—
t + X normalization	4 ($e\mu$)	—	4 ($e\mu$)	—
SR-to-SB norm. ratio	3 (Z + jets)	—	5 (Z + jets)	—
PDFs	—	1.5–1.6	—	0.3–1.1
Renorm. and fact. scales	—	0.1–0.3	—	0.2–0.3
Pileup	0.5	0.1–0.2	0.1	0.1–0.2
MC statistics, untagged	0.3	0.7	0.2	1
MC statistics, tagged	2	1.5	1.5	2
Total, untagged	4	8–13	6	3–4
Total, tagged	13	14–16	8	5–6

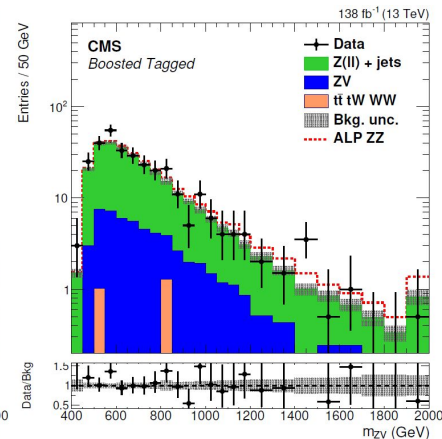
Fitting procedure * Signal selection efficiency: 30~40 %

- Maximum-likelihood fit to m_{ZV} / m_{ZH} distributions for electrons / muons, boosted / resolved, tagged / untagged categories in SR (SR1 for m_{ZV} , SR2 for m_{ZH}) + SB simultaneously.
- The background-only hypothesis is tested against the signal + background hypothesis.
- Systematic and MC statistical uncertainties included as nuisance parameters in the fit.
- Z+jets normalizations and shape corrections float in the fit, independently for each categories.
- In the ALP fits, for given value of the f_a scale, events with m_{ZV} or $m_{ZH} > f_a$ are excluded from the fit.

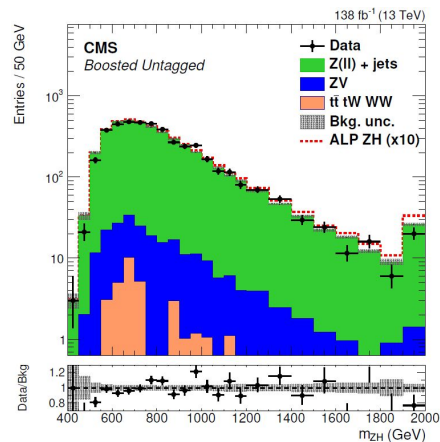
Boosted Untagged, m_{ZV}



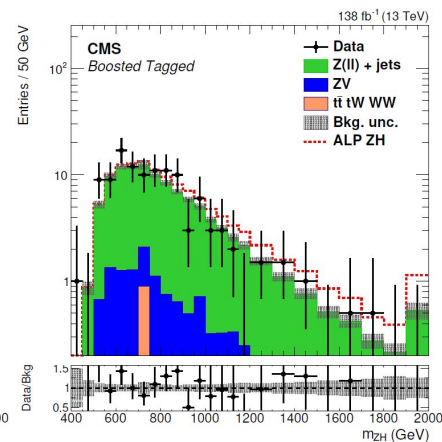
Boosted Tagged, m_{ZV}



Boosted Untagged, m_{ZH}

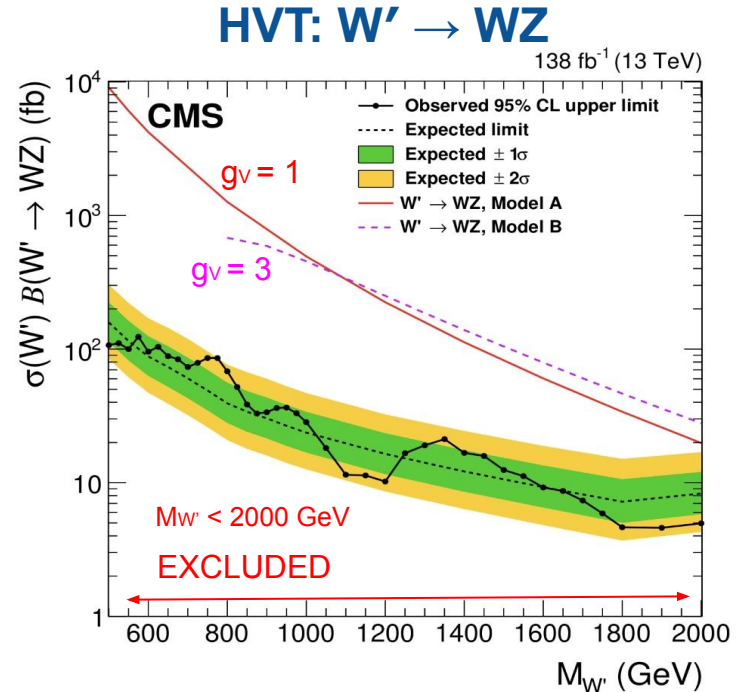
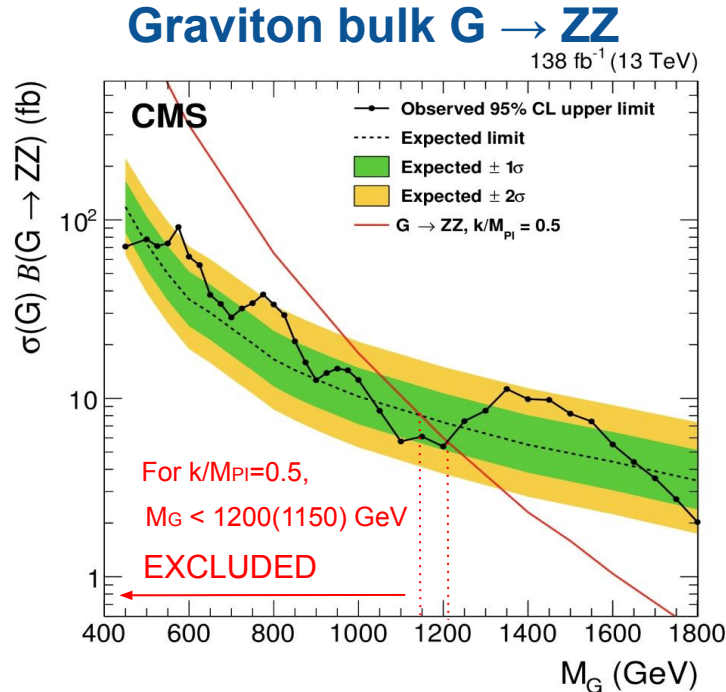


Boosted Tagged, m_{ZH}



Results: G and W'

- No significant excess was observed wrt the SM prediction.
- Upper limits on the production cross section times the branching ratio as a function of the resonance mass is computed, at 95% confidence level.

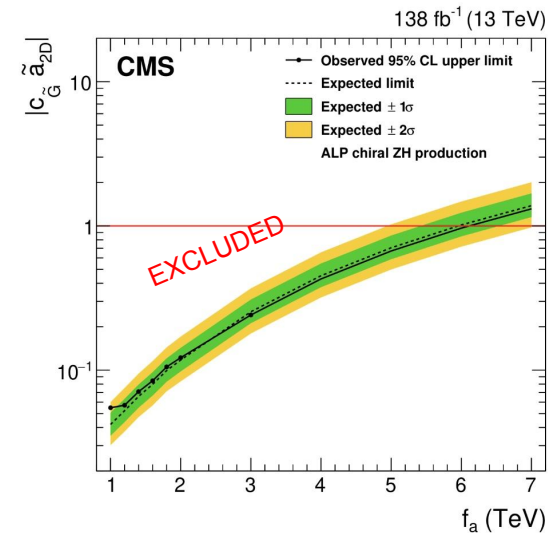
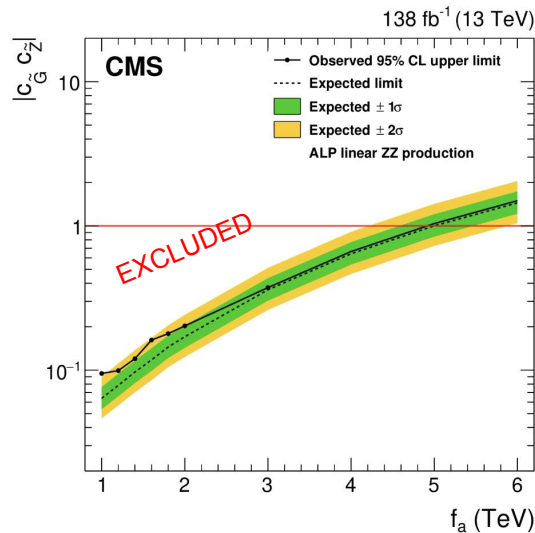


Results: ALP

$a^* \rightarrow ZZ$

$a^* \rightarrow ZH$

- No significant excess was observed.
- Upper limits on the coupling strengths as a function of f_a is computed, at 95% CL for $m_a < 100$ GeV.



- Upper limits on $\sigma(gg \rightarrow a^* \rightarrow ZZ/ZH)$ at 95% CL for $f_a = 3$ TeV.

Model (fb)	Expected					Observed
	-2σ	-1σ	Median	$+1\sigma$	$+2\sigma$	
ALP linear ZZ	79	107	151	218	304	162
ALP chiral ZH	32	39	64	94	134	57

Summary

- The work presents a search of new physics processes in the $2l2j$ final states.
 - Resonances: heavy new resonances W' and G decaying to ZZ / ZW dibosons.
 - Non-resonant ZZ or ZH production mediated by axion-like particles (ALPs).
 - The search is sensitive to the mass range of (450-2000) GeV.
- No significant excess is observed in the data above the standard model expectations.
- Upper limits at 95 % CL:
 - Graviton: $\sigma(G) \times B(G \rightarrow ZZ) < (2-90)$ fb. Masses below 1200 GeV were excluded.
 - W' boson: $\sigma(W') \times B(W' \rightarrow ZW) < (5-120)$ fb. Masses below 2000 GeV were excluded.
 - Production of ZZ (ZH) mediated by non-resonant ALP: $\sigma < 162$ (57) fb.
 - Constrain on the couplings, $|c_{\tilde{G}} c_{\tilde{Z}}|$ and $|c_{\tilde{G}} \tilde{a}_{2D}|$ vs the scale f_a .

ALPENSIA Convention Centre

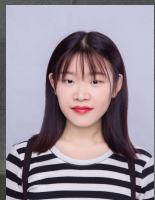
AEPSHEP 2022

Asia-Europe-Pacific School of High Energy Physics

2022. 10. 05 ~ 2022. 10. 18
Pyeongchang, SOUTH KOREA



THANK YOU!



BACKUP

Data and Simulation (detail list of simulation program)

2016-2018 LHC dataset

	Signal simulation w/ Madgraph	Parton showering and hadronization w/ PYTHIA	PDFs of colliding protons w/
Graviton, W'	LO	v8226 CUETP8M1(2016) v8230 CP5 (2017, 2018)	NNPDF30(2016) NNPDF31(2017, 2018)
ALPs	LO	v8230 CUETP8M1	NNPDF31
SM->Z(l) jets	2016 (NLO) 2017, 2018 (LO) reweight	v8226 CUETP8M1(2016) v8230 CP5 (2017, 2018)	NNPDF30(2016) NNPDF31(2017, 2018)
SM->ZZ, ZW, ZH	NLO		

*All sample are processed via simulation of CMS detector using GEANT4

Considering Model

- Pseudo Nambu-Goldstone boson of SSB at f_a
- Neglect small interactions between axions and fermions
- $g_{agg} \lesssim 1.1 \times 10^{-5} \text{ GeV}^{-1}$ (90% CL) for $m_a \lesssim 60 \text{ MeV}$
- No information for axion-Z-Z bound

Linear EFT

Linear expansions of gauge invariant operators built on the SM field -> *NLO are listed in the box*

Chiral EFT

The Higgs field is realized by (1) $U=e^{i\sigma\pi/V}$ (π are longitudinal components of gauge fields W, B) & (2) higgs h
-> construct invariant lagrangian -> *a-H-Z coupling appears at LO and those listed in the box at NLO*

NLO

$$\delta\mathcal{L}_{\text{eff}} \supset -\frac{g_{agg}}{4} a G_{\mu\nu} \tilde{G}^{\mu\nu} - \frac{g_{a\gamma\gamma}}{4} a F_{\mu\nu} \tilde{F}^{\mu\nu} - \frac{g_{aZ\gamma}}{4} a F_{\mu\nu} \tilde{Z}^{\mu\nu} \\ - \frac{g_{aZZ}}{4} a Z_{\mu\nu} \tilde{Z}^{\mu\nu} - \frac{g_{aWW}}{4} a W_{\mu\nu} \tilde{W}^{\mu\nu},$$

where

$$g_{agg} = \frac{4}{f_a} c_{\tilde{G}}, \quad g_{a\gamma\gamma} = \frac{4}{f_a} (s_w^2 c_{\tilde{W}} + c_w^2 c_{\tilde{B}}),$$

$$g_{aWW} = \frac{4}{f_a} c_{\tilde{W}}, \quad g_{aZZ} = \frac{4}{f_a} (c_w^2 c_{\tilde{W}} + s_w^2 c_{\tilde{B}}),$$

$$g_{a\gamma Z} = \frac{8}{f_a} s_w c_w (c_{\tilde{W}} - c_{\tilde{B}}),$$

Gravitons theory

Considering Model

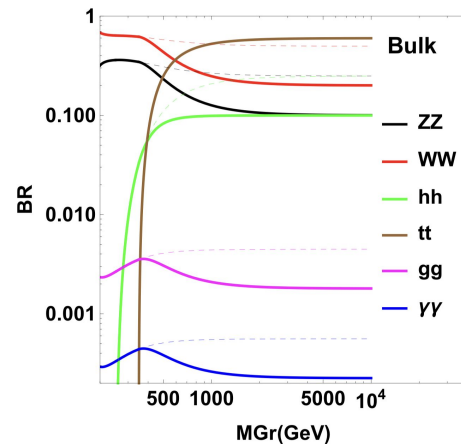
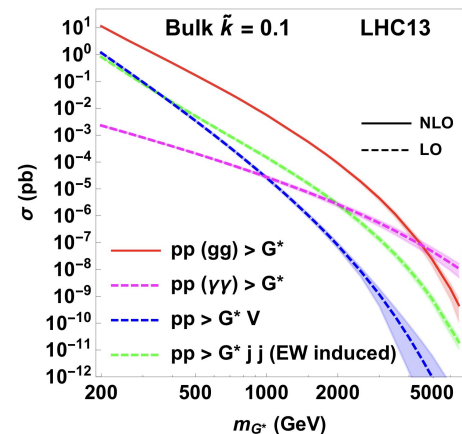
- Graviton couples SM particles through Energy momentum tensor
- Two model parameters (m_G , k)

$$\mathcal{L} = -\frac{x_1 \tilde{k}}{m_G} h^{\mu\nu(1)} \times d_i T_{\mu\nu}^i$$

Massless Boson coupling

$$\sigma_{bulk}^{(gg/\gamma\gamma)}[m_{G^*}, \tilde{k}] = \left(\frac{d_g(m_{G^*}, \tilde{k})}{d_g(m_{G^*}, \tilde{k} = 0.1)} \times \frac{\tilde{k}}{0.1} \right)^2 \sigma_{bulk}^{(gg/\gamma\gamma)}[m_{G^*}, \tilde{k} = 0.1].$$

$$d_g = \frac{2}{k\pi r_c} \frac{(1 - J_0(x_1))}{x_1^2 |J_2(x_1)|}$$



W' theory

- W' (BSM candidate) is based on “HVT” model.
 - a. Model A: **Extended gauge symmetry:**
 - Gauge symmetry: $SU_1(2) \times SU_2(2) \times U_Y(1)$
 - SM H transforms as $(2, 1, 1/2)$
 - Additional field ϕ transforms as $(2, 2, 0)$
 - ϕ get vev after SSB and gauge symmetry breaks as $SU_L(2) \times U_Y(1)$
 - Couplings present in this model: c_H = coupling between SM vector bosons and Higgs, c_F : coupling between fermions, g_V : interaction of W' with SM fermions(=1).
 - b. Model B: **MCHM (Minimal composite Higgs model):**
 - Higgs generates mass via symmetry breaking of $SO(5)$ to $SO(4)$
 - Additional field ρ_μ transforms as $(3, 1)$ under $SO(4)$
 - g_V : interaction of W' with SM fermions(=3)

W' theory

Considering Model

- Additional real vector V embedding W' and Z'

$$\begin{aligned}\mathcal{L}_V = & -\frac{1}{4}D_{[\mu}V_{\nu]}^a D^{[\mu}V^{\nu]}{}_a + \frac{m_V^2}{2}V_\mu^a V^{\mu a} \\ & + i g_V c_H V_\mu^a H^\dagger \tau^a \overleftrightarrow{D}^\mu H + \frac{g^2}{g_V} c_F V_\mu^a J_F^{\mu a} \\ & + \frac{g_V}{2} c_{VVV} \epsilon_{abc} V_\mu^a V_\nu^b D^{[\mu}V^{\nu]}{}_c + g_V^2 c_{VVHH} V_\mu^a V^{\mu a} H^\dagger H - \frac{g}{2} c_{VW} \epsilon_{abc} W^{\mu\nu a} V_\mu^b V_\nu^c.\end{aligned}\tag{2.2}$$

Event Selection

→ Trigger selection:

- Electron: One electron with $p_T > 27$ (32) GeV and for the 2016 (2017 and 2018); passing tight identification and isolation ; electron $p_T > 115$ GeV; no isolation
- Muon: $p_T > 24$ (27) GeV and $|\eta| < 2.4$ for 2016 and 2018 (2017); tight identification and loose isolation

→ Leptonic Z reconstruction:

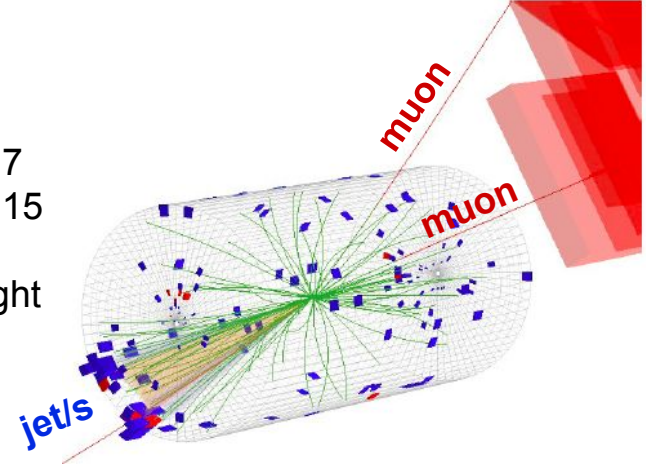
- Two electron or two muon with opposite charge
- Leading and subleading $p_T(I) > 40$ GeV, $p_T(II) > 150$ GeV (resolved) and $p_T > 200$ GeV(boosted), $76 < m(II) < 106$ GeV

→ Boosted W/Z/H Tagging [AK8(J)]:

- $p_T(II) > 200$ GeV; $p_T(J) > 200$ GeV
- PUPPI softdrop mass $m_{SD}(J) > 30$ GeV
- PUPPI τ_{21} HP cut
- Tight ID

→ Resolved [AK4(j)]:

- veto boosted
- dijet combination with $m(jj) > 30$ GeV
- $p_T(II) > 150$ GeV, $p_T(jj) > 150$ GeV
- $\Delta R(jj) < 1.5$; PU-beta > 0.2
- Tight ID

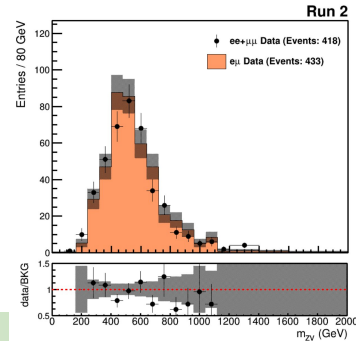
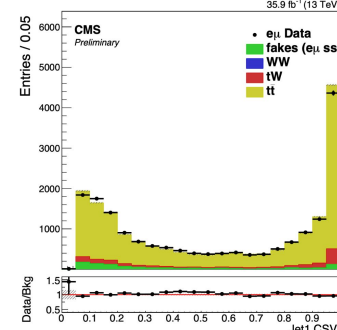
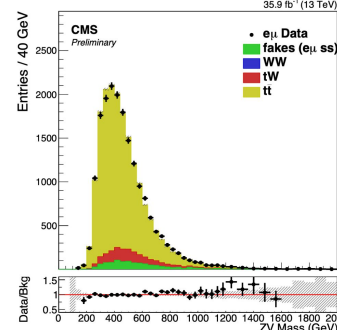
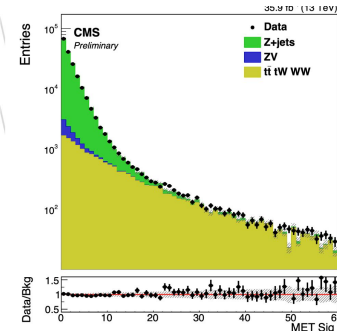
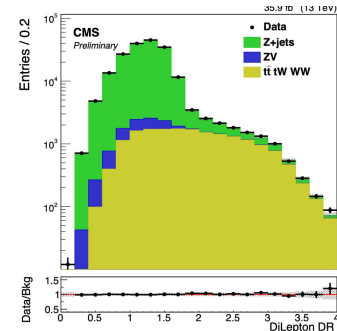


B-Tagging Categorization of events based on DeepCSV Tagged: 1 Medium and 1 Loose tag.

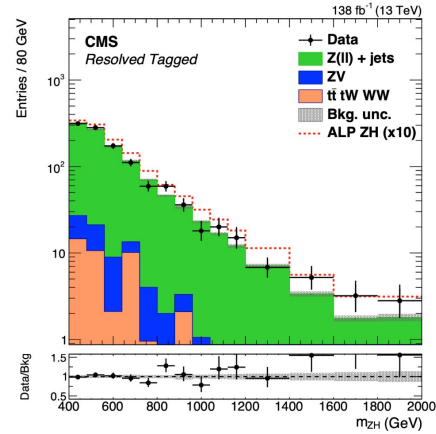
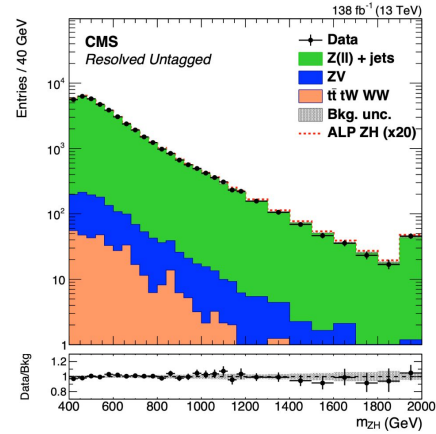
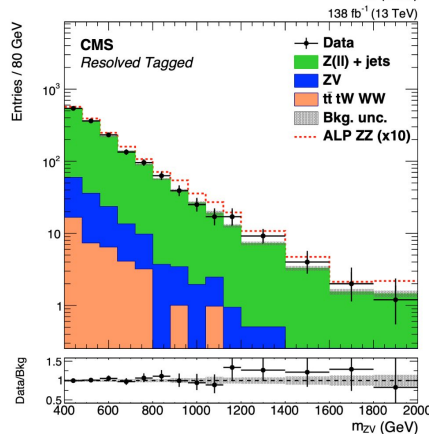
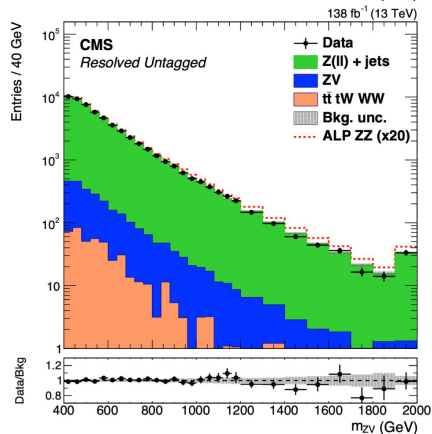
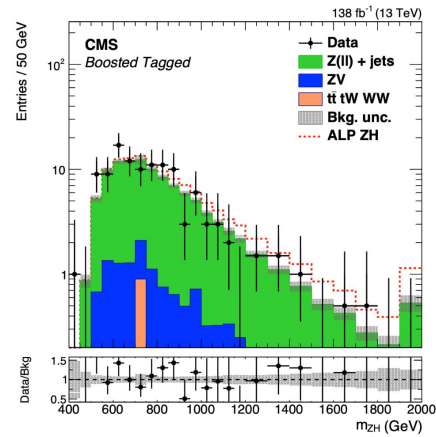
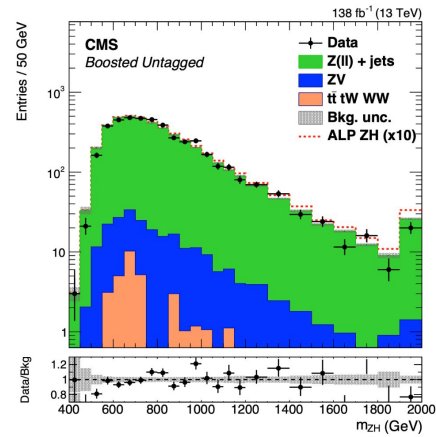
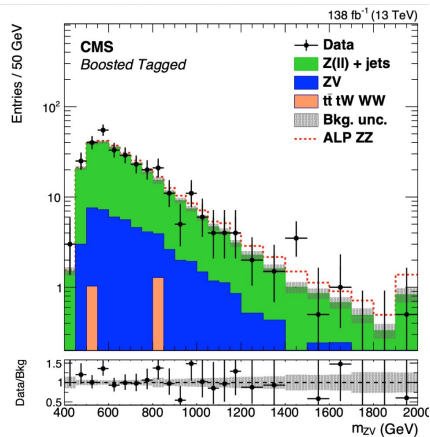
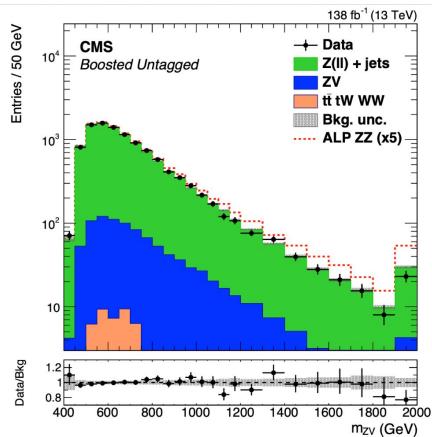
Background Estimation (t+X)

Data driven background

- Data driven background from $e\mu$ data
- Leptonic Z cut loosened ($m(\ell\ell) > 50$ GeV) to enhance the t+X background
 - Good agreement between the data and the estimation of the non-Z decay background
- Tested in the top quark-enriched control region: MET significance > 6 , $|m(\ell\ell) - m(Z)| > 10$ GeV, 1 medium DeepCSV tag



Results



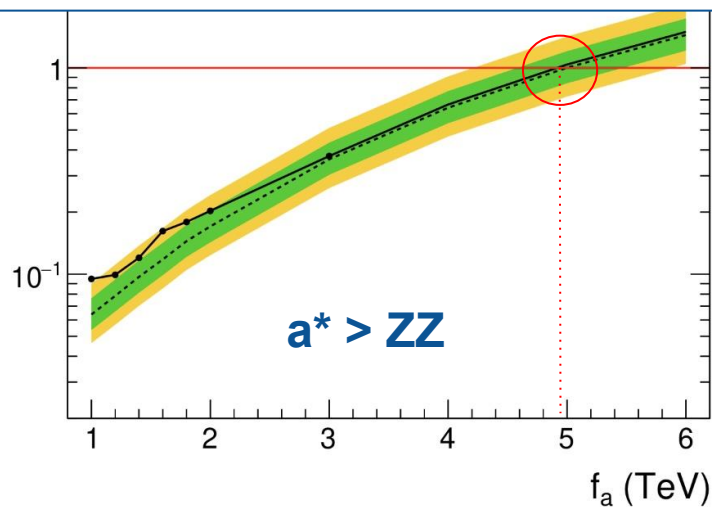
Results: Examples of ALP coupling coefficients

Example

$$|C_{\tilde{G}}C_{\tilde{Z}}| = 1 \quad \& \quad f_a \sim 4.9 \text{ TeV}$$

$$|C_{\tilde{G}}C_{\tilde{Z}}|/f_a^2 \sim 0.0415(0.0400) \text{ TeV}^{-2}$$

$$|C_{\tilde{G}}|/f_a = |C_{\tilde{Z}}|/f_a = 0.204(0.200) \text{ TeV}^{-1}$$

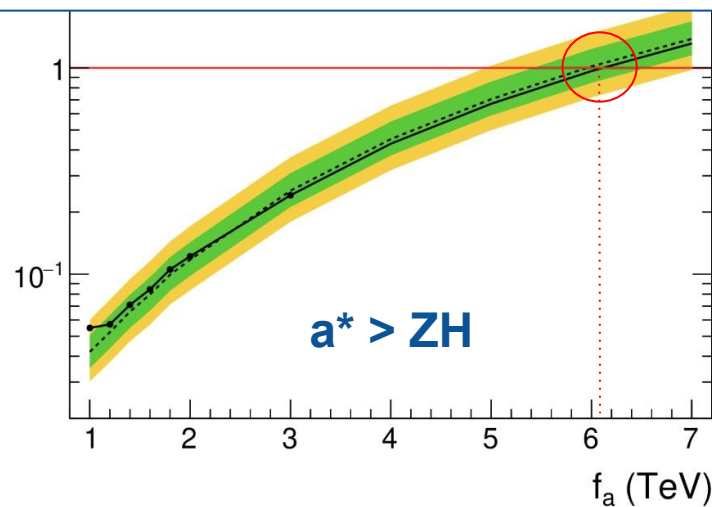


Example

$$|C_{\tilde{G}}\tilde{a}_{2D}| = 1 \quad \& \quad f_a \sim 6.1 \text{ TeV}$$

$$|C_{\tilde{G}}\tilde{a}_{2D}|/f_a^2 \sim 0.0269(0.0281) \text{ TeV}^{-2}$$

$$|C_{\tilde{G}}|/f_a = |\tilde{a}_{2D}|/f_a = 0.164(0.168) \text{ TeV}^{-1}$$



Comparison with other heavy resonances measurements

- CMS Collaboration, “Search for a heavy resonance decaying into a Z boson and a Z or W at $\sqrt{s} = 13$ TeV”, *JHEP* **09** (2018) 101

Upper limit	CMS 36.5 fb ⁻¹	CMS 139 fb ⁻¹ (our result)
$\sigma(G) \times B(G \rightarrow ZZ)$	(1.5-400) fb	(2-90) fb
$\sigma(W') \times B(W' \rightarrow ZW)$	(3-3000) fb	(5-120) fb

This analysis importantly benefits from the increase in the amount of data collected.

- ATLAS Collaboration, “Search for heavy resonances decaying into a pair of Z bosons in the $l+l-l+l-$ and $l+l-\nu\nu$ final states using 139 fb⁻¹ of proton–proton collisions at $\sqrt{s} = 13$ TeV with the ATLAS detector”, *Eur. Phys. J. C* **81** (2021) 332

Mass exclusion range	ATLAS (fully leptonic final state)	CMS 139 fb ⁻¹ (our result)
M_G	< 1800 GeV	< 1200 GeV

Fully leptonic final states seem to provide stronger exclusion limits.